

PROCEDURES RELATING TO THE IMPLEMENTATION OF COMPETITION POLICY

EUROPEAN COMMISSION

Prior notification of a concentration

(Case COMP/M.6965 — Carlyle/AlpInvest Group)

Candidate case for simplified procedure

(Text with EEA relevance)

(2013/C 183/13)

1. On 20 June 2013, the Commission received a notification of a proposed concentration pursuant to Article 4 of Council Regulation (EC) No 139/2004 ⁽¹⁾ by which the Carlyle Group ('Carlyle', United States of America), acquires within the meaning of Article 3(1)(b) of the Merger Regulation indirect sole control of AlpInvest Partners BV ('AlpInvest', the Netherlands), by way of purchase of shares.

2. The business activities of the undertakings concerned are:

- for Carlyle: the provision of alternative asset management services, through the management of funds that invest globally across four investment disciplines: corporate private equity (buyout and growth capital), real assets (real estate, infrastructure and energy), global market strategies (structured credit, mezzanine, distressed, hedge funds, and middle market debt) and solutions (private equity fund of funds program and related co-investment and secondary activities),
- for AlpInvest: the provision of fund management services, covering in particular fund investments, co-investments (direct minority shareholdings), secondary fund investments and investments in mezzanine debt.

3. On preliminary examination, the Commission finds that the notified transaction could fall within the scope of the EC Merger Regulation. However, the final decision on this point is reserved. Pursuant to the Commission Notice on a simplified procedure for treatment of certain concentrations under the EC Merger Regulation ⁽²⁾ it should be noted that this case is a candidate for treatment under the procedure set out in the Notice.

4. The Commission invites interested third parties to submit their possible observations on the proposed operation to the Commission.

Observations must reach the Commission not later than 10 days following the date of this publication. Observations can be sent to the Commission by fax (+32 22964301), by email to COMP-MERGER-REGISTRY@ec.europa.eu or by post, under reference number COMP/M.6965 — Carlyle/AlpInvest Group, to the following address:

European Commission
Directorate-General for Competition
Merger Registry
1049 Bruxelles/Brussel
BELGIQUE/BELGIË

⁽¹⁾ OJ L 24, 29.1.2004, p. 1 (the 'EC Merger Regulation').

⁽²⁾ OJ C 56, 5.3.2005, p. 32 ('Notice on a simplified procedure').