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I *Legislative acts*

BUDGETS

★ **Definitive adoption (EU, Euratom) 2016/150 of the European Union's general budget for the financial year 2016** ..... 1

EN

Acts whose titles are printed in light type are those relating to day-to-day management of agricultural matters, and are generally valid for a limited period.

The titles of all other acts are printed in bold type and preceded by an asterisk.

All amounts in this budget document are expressed in euro unless otherwise indicated.

Any revenue provided for in Article 21(2) and (3) of the Financial Regulation, entered in Titles 5 and 6 of the statement of revenue, may lead to additional appropriations being entered on the headings which bore the initial expenditure giving rise to the corresponding revenue.

Figures for outturn refer to all authorised appropriations, including budget appropriations, additional appropriations and earmarked revenue.

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Budgetary remarks are only executable insofar as they do not modify or extend the scope of an existing legal base, impinge on the administrative autonomy of institutions and can be covered by available resources (as indicated in the annex to the executability letter dated 28 October 2015).

## I

*(Legislative acts)*

## BUDGETS

## DEFINITIVE ADOPTION (EU, Euratom) 2016/150

## of the European Union's general budget for the financial year 2016

THE PRESIDENT OF THE EUROPEAN PARLIAMENT,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 314 thereof,

Having regard to the Treaty establishing the European Atomic Energy Community, and in particular Article 106a thereof,

Having regard to Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources <sup>(1)</sup>,

Having regard to Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 <sup>(2)</sup>,

Having regard to Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 <sup>(3)</sup>,

Having regard to the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management <sup>(4)</sup>,

Having regard to the draft general budget of the European Union for the financial year 2016, which the Commission adopted on 24 June 2015,

Having regard to the position on the draft budget of the European Union for the financial year 2016, which the Council adopted on 4 September 2015 and forwarded to Parliament on 17 September 2015,

Having regard to the Commission's Letters of Amendment No 1/2016 and No 2/2016 to the draft general budget of the European Union for the financial year 2016,

Having regard to the resolution adopted by Parliament on 28 October 2015 on the Council position on the draft general budget of the European Union for the financial year 2016,

Having regard to the amendments to the draft general budget adopted by Parliament on 28 October 2015,

Having regard to the letter of 28 October 2015 from the President of the Council stating that the Council was unable to approve all the amendments adopted by Parliament,

<sup>(1)</sup> OJ L 163, 23.6.2007, p. 17.

<sup>(2)</sup> OJ L 298, 26.10.2012, p. 1.

<sup>(3)</sup> OJ L 347, 20.12.2013, p. 884.

<sup>(4)</sup> OJ C 373, 20.12.2013, p. 1.

Having regard to the letter to the President of the Council of 28 October 2015 convening the Conciliation Committee,

Having regard to the fact that the Conciliation Committee agreed on a joint text within the 21-day time limit referred to in Article 314(6) of Treaty on the Functioning of the European Union,

Having regard to the Council's approval of the joint text on 24 November 2015,

Having regard to the Parliament's approval of the joint text on 25 November 2015,

Having regard to Rules 90 and 91 of Parliament's Rules of Procedure,

DECLARES:

*Sole Article*

The procedure under Article 314 of the Treaty on the Functioning of the European Union is complete and the European Union's general budget for the financial year 2016 has been definitively adopted.

Done at Strasbourg, 25 November 2015.

*The President*  
M. SCHULZ

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## GENERAL BUDGET OF THE EUROPEAN UNION FOR THE FINANCIAL YEAR 2016

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## A. INTRODUCTION AND FINANCING OF THE GENERAL BUDGET

### INTRODUCTION

The general budget of the Union is the instrument which sets out and authorises the total amount of revenue and expenditure deemed necessary for the European Union and the European Atomic Energy Community for each year.

The budget is established and implemented in compliance with the principles of unity, budgetary accuracy, annuality, equilibrium, unit of account, universality, specification, sound financial management and transparency.

- The *principle of unity* and the *principle of budgetary accuracy* mean that all revenue and expenditure of the Union when it is charged to the budget must be incorporated in a single document.
- The *principle of annuality* means that the budget is adopted for one budgetary year at a time and that both commitment and payment appropriations for the current budgetary year must, in principle, be used in the course of the year.
- The *principle of equilibrium* means that forecasts of revenue for the budgetary year must be equal to payment appropriations for that year; borrowing to cover any budget deficit which may arise is not compatible with the own resources system and will not be authorised.
- In accordance with the *principle of unit of account*, the budget is drawn up and implemented in euros and the accounts shall be presented in euros.
- The *principle of universality* means that total revenue is to cover total payment appropriations with the exception of a limited number of revenue items which are assigned to particular items of expenditure. Revenue and expenditure are entered in full in the budget without any adjustment against each other.
- The *principle of specification* means that each appropriation must have a given purpose and be assigned to a specific objective in order to prevent any confusion between appropriations.
- The *principle of sound financial management* is defined by reference to the principles of economy, efficiency and effectiveness.
- The budget is established in compliance with the *principle of transparency*, ensuring sound information on the implementation of the budget and the accounts.

The budget presents appropriations and resources by purpose (activity-based budgeting), with a view to enhancing transparency in the management of the budget with reference to the objectives of sound financial management and in particular efficiency and effectiveness.

The expenditure authorised by the present budget totals EUR 155 004 173 148 in commitment appropriations and EUR 143 885 295 484 in payment appropriations, representing a variation rate of – 4,48 % and of + 1,84 % respectively by comparison with the 2015 budget.

Budgetary revenue totals EUR 143 885 295 484. The uniform rate of call for the VAT resource is 0,30 % whilst that for the GNI resource is 0,7134 %. Traditional own resources (customs duties and sugar levies) account for 12,92 % of the financing of the budget for 2016. The VAT resource accounts for 13,07 % and the GNI resource for 72,88 %. Other revenue for this financial year is estimated at EUR 1 616 701 373.

The own resources needed to finance the 2016 budget account for 0,97 % of the total GNI, thus falling below the ceiling of 1,23 % of GNI calculated using the method set out in Article 3(1) of Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17).

The tables below set out step by step the method used to calculate the financing of the 2016 budget.

## FINANCING OF THE GENERAL BUDGET

**Appropriations to be covered during the financial year 2016 pursuant to Article 1 of Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources**

## EXPENDITURE

Description	Budget 2016	Budget 2015 <sup>(1)</sup>	Change (%)
1. Smart and inclusive growth	66 262 537 636	66 853 308 910	– 0,88
2. Sustainable growth: natural resources	55 120 803 654	55 978 784 039	– 1,53
3. Security and citizenship	3 022 287 739	1 926 965 795	+ 56,84
4. Global Europe	10 155 590 403	7 478 225 907	+ 35,80
5. Administration	8 935 076 052	8 658 632 705	+ 3,19
6. Compensation	p.m.	p.m.	—
Special instruments	389 000 000	384 505 583	+ 1,17
<b>Total expenditure <sup>(2)</sup></b>	<b>143 885 295 484</b>	<b>141 280 422 939</b>	<b>+ 1,84</b>

<sup>(1)</sup> The figures in this column correspond to those in the 2015 budget (OJ L 69, 13.3.2015, p. 1) plus amending budgets No 1 to No 8/2015.

<sup>(2)</sup> The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: 'The revenue and expenditure shown in the budget shall be in balance'.

## REVENUE

Description	Budget 2016	Budget 2015 <sup>(1)</sup>	Change (%)
Miscellaneous revenue (Titles 4 to 9)	1 616 701 373	3 045 497 557	– 46,92
Surplus available from the preceding financial year (Chapter 3 0, Article 3 0 0)	p.m.	1 434 557 708	—
Surplus of own resources resulting from the repayment of the surplus of the Guarantee Fund for external actions (Chapter 3 0, Article 3 0 2)	p.m.	p.m.	—
Balance of own resources accruing from VAT and GNP/GNI-based own resources for earlier years (Chapters 3 1 and 3 2)	p.m.	7 133 244 000	—
<b>Total revenue for Titles 3 to 9</b>	<b>1 616 701 373</b>	<b>11 613 299 265</b>	<b>– 86,08</b>
Net amount of customs duties and sugar levies (Chapters 1 1 and 1 2)	18 590 000 000	18 759 400 000	– 0,90
VAT-based own resource at the uniform rate (Tables 1 and 2, Chapter 1 3)	18 812 783 576	18 023 353 946	+ 4,38
Remainder to be financed by the additional resource (GNI-based own resource, Table 3, Chapter 1 4)	104 865 810 535	92 884 369 728	+ 12,90
Appropriations to be covered by the own resources referred to in Article 2 of Decision 2007/436/EC, Euratom <sup>(2)</sup>	142 268 594 111	129 667 123 674	+ 9,72
<b>Total revenue <sup>(3)</sup></b>	<b>143 885 295 484</b>	<b>141 280 422 939</b>	<b>+ 1,84</b>
<sup>(1)</sup> The figures in this column correspond to those in the 2015 budget (OJ L 69, 13.3.2015, p. 1) plus amending budgets No 1 to No 8/2015. <sup>(2)</sup> The own resources for the 2016 budget are determined on the basis of the budget forecasts adopted at the 163th meeting of the Advisory Committee on Own Resources on 19 May 2015. <sup>(3)</sup> The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: 'The revenue and expenditure shown in the budget shall be in balance'.			

TABLE 1

Calculation of capping of harmonised value added tax (VAT) bases pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom

Member State	1 % of non-capped VAT base	1 % of gross national income	Capping rate (in %)	1 % of gross national income multiplied by capping rate	1 % of capped VAT base <sup>(1)</sup>	Member States whose VAT base is capped
	(1)	(2)	(3)	(4)	(5)	(6)
Belgium	1 744 699 000	4 145 592 000	50	2 072 796 000	1 744 699 000	Bulgaria
Bulgaria	210 850 884	421 349 576	50	210 674 788	210 674 788	
Czech Republic	669 395 447	1 504 649 302	50	752 324 651	669 395 447	
Denmark	1 039 399 365	2 784 815 730	50	1 392 407 865	1 039 399 365	
Germany	13 041 327 753	31 089 578 000	50	15 544 789 000	13 041 327 753	
Estonia	100 673 730	206 957 500	50	103 478 750	100 673 730	
Ireland	730 296 000	1 681 832 000	50	840 916 000	730 296 000	
Greece	737 959 500	1 825 366 000	50	912 683 000	737 959 500	
Spain	4 584 349 000	11 112 124 500	50	5 556 062 250	4 584 349 000	
France	9 842 907 391	22 246 075 500	50	11 123 037 750	9 842 907 391	
Croatia	261 595 059	427 238 463	50	213 619 232	213 619 232	Croatia
Italy	5 806 143 000	16 221 126 500	50	8 110 563 250	5 806 143 000	
Cyprus	106 733 609	164 549 000	50	82 274 500	82 274 500	Cyprus
Latvia	94 350 984	258 200 500	50	129 100 250	94 350 984	
Lithuania	150 145 740	384 106 522	50	192 053 261	150 145 740	Luxembourg
Luxembourg	298 311 000	319 485 000	50	159 742 500	159 742 500	
Hungary	446 561 568	1 118 843 433	50	559 421 717	446 561 568	
Malta	58 943 842	83 745 500	50	41 872 750	41 872 750	Malta
Netherlands	2 731 320 500	6 795 975 500	50	3 397 987 750	2 731 320 500	
Austria	1 540 873 000	3 299 760 000	50	1 649 880 000	1 540 873 000	
Poland	1 853 096 591	4 416 658 720	50	2 208 329 360	1 853 096 591	
Portugal	808 661 500	1 766 052 500	50	883 026 250	808 661 500	
Romania	579 320 158	1 617 874 308	50	808 937 154	579 320 158	
Slovenia	187 196 000	376 395 000	50	188 197 500	187 196 000	
Slovakia	266 308 000	773 099 500	50	386 549 750	266 308 000	
Finland	932 204 500	2 045 366 500	50	1 022 683 250	932 204 500	
Sweden	1 958 026 409	4 517 946 432	50	2 258 973 216	1 958 026 409	
United Kingdom	12 155 879 682	25 379 828 021	50	12 689 914 011	12 155 879 682	
<b>Total</b>	<b>62 937 529 212</b>	<b>146 984 591 507</b>		<b>73 492 295 755</b>	<b>62 709 278 588</b>	

<sup>(1)</sup> The base to be used does not exceed 50 % of GNI.

**TABLE 2**

Breakdown of own resources accruing from VAT pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom (Chapter 1 3)

Member State	1 % of capped VAT base	Uniform rate of VAT own resource (in %)	VAT-based own resource at uniform rate
	(1)	(2)	(3) = (1) × (2)
Belgium	1 744 699 000	0,300	523 409 700
Bulgaria	210 674 788	0,300	63 202 436
Czech Republic	669 395 447	0,300	200 818 634
Denmark	1 039 399 365	0,300	311 819 810
Germany	13 041 327 753	0,300	3 912 398 326
Estonia	100 673 730	0,300	30 202 119
Ireland	730 296 000	0,300	219 088 800
Greece	737 959 500	0,300	221 387 850
Spain	4 584 349 000	0,300	1 375 304 700
France	9 842 907 391	0,300	2 952 872 217
Croatia	213 619 232	0,300	64 085 770
Italy	5 806 143 000	0,300	1 741 842 900
Cyprus	82 274 500	0,300	24 682 350
Latvia	94 350 984	0,300	28 305 295
Lithuania	150 145 740	0,300	45 043 722
Luxembourg	159 742 500	0,300	47 922 750
Hungary	446 561 568	0,300	133 968 470
Malta	41 872 750	0,300	12 561 825
Netherlands	2 731 320 500	0,300	819 396 150
Austria	1 540 873 000	0,300	462 261 900
Poland	1 853 096 591	0,300	555 928 977
Portugal	808 661 500	0,300	242 598 450
Romania	579 320 158	0,300	173 796 047
Slovenia	187 196 000	0,300	56 158 800
Slovakia	266 308 000	0,300	79 892 400
Finland	932 204 500	0,300	279 661 350
Sweden	1 958 026 409	0,300	587 407 923
United Kingdom	12 155 879 682	0,300	3 646 763 905
<b>Total</b>	<b>62 709 278 588</b>		<b>18 812 783 576</b>

**TABLE 3**

Determination of uniform rate and breakdown of resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom (Chapter 1 4)

Member State	1 % of gross national income	Uniform rate of 'additional base', own resource	'Additional base' own resource at uniform rate
	(1)	(2)	(3) = (1) × (2)
Belgium	4 145 592 000	0,7 134 476 <sup>(1)</sup>	2 957 662 846
Bulgaria	421 349 576		300 610 862
Czech Republic	1 504 649 302		1 073 488 500
Denmark	2 784 815 730		1 986 820 222
Germany	31 089 578 000		22 180 786 181
Estonia	206 957 500		147 653 341
Ireland	1 681 832 000		1 199 899 078
Greece	1 825 366 000		1 302 303 072
Spain	11 112 124 500		7 927 919 046
France	22 246 075 500		15 871 410 157
Croatia	427 238 463		304 812 275
Italy	16 221 126 500		11 572 924 487
Cyprus	164 549 000		117 397 096
Latvia	258 200 500		184 212 538
Lithuania	384 106 522		274 039 893
Luxembourg	319 485 000		227 935 821
Hungary	1 118 843 433		798 236 211
Malta	83 745 500		59 748 030
Netherlands	6 795 975 500		4 848 572 710
Austria	3 299 760 000		2 354 205 998
Poland	4 416 658 720		3 151 054 759
Portugal	1 766 052 500		1 259 985 996
Romania	1 617 874 308		1 154 268 614
Slovenia	376 395 000		268 538 126
Slovakia	773 099 500		551 566 017
Finland	2 045 366 500		1 459 261 911
Sweden	4 517 946 432		3 223 318 238
United Kingdom	25 379 828 021		18 107 178 510
<b>Total</b>	<b>146 984 591 507</b>		<b>104 865 810 535</b>

<sup>(1)</sup> Calculation of rate: (104 865 810 535) / (146 984 591 507) = 0,713447644136262.

TABLE 4

Correction of budgetary imbalances for the United Kingdom for the year 2015 pursuant to Article 4 of Decision 2007/436/EC, Euratom (Chapter 1 5)

Description	Coefficient <sup>(1)</sup> (%)	Amount
1. United Kingdom's share (in %) of notional uncapped VAT base	19,4 288	
2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure	7,3 919	
3. (1) – (2)	12,0 369	
<b>4. Total allocated expenditure</b>		<b>130 016 348 031</b>
5. Enlargement related expenditure <sup>(2)</sup>		36 305 752 879
6. Enlargement-adjusted total allocated expenditure = (4) – (5)		93 710 595 151
7. United Kingdom's correction original amount = (3) × (6) × 0,66		7 444 724 929
8. United Kingdom's advantage <sup>(3)</sup>		2 212 027 407
9. Core United Kingdom's correction = (7) – (8)		5 232 697 523
10. Windfall gains deriving from traditional own resources <sup>(4)</sup>		– 50 550 782
11. Correction for the United Kingdom = (9) – (10)		5 283 248 305

<sup>(1)</sup> Rounded percentages.  
<sup>(2)</sup> The amount of enlargement-related expenditure corresponds to total allocated expenditure in 13 Member States (that have acceded to the EU after 30 April 2004), except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section.  
<sup>(3)</sup> The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.  
<sup>(4)</sup> These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 25 % as of 1 January 2001 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).



TABLE 5

Calculation of the financing of the correction for the United Kingdom amounting to EUR – 5 283 248 305 (Chapter 1 5)

Member State	Percentage share of GNI base	Shares without the United Kingdom	Shares without Germany, the Netherlands, Austria, Sweden and the United Kingdom	Three quarters of the share of Germany, the Netherlands, Austria and Sweden in column 2	Column 4 distributed in accordance with column 3	Financing scale	Financing scale applied to the correction
	(1)	(2)	(3)	(4)	(5)	(6) = (2) + (4) + (5)	(7)
Belgium	2,82	3,41	5,46		1,54	4,95	261 447 948
Bulgaria	0,29	0,35	0,56		0,16	0,50	26 573 040
Czech Republic	1,02	1,24	1,98		0,56	1,80	94 892 954
Denmark	1,89	2,29	3,67		1,03	3,32	175 628 561
Germany	21,15	25,57	0,00	– 19,17	0,00	6,39	337 679 947
Estonia	0,14	0,17	0,27		0,08	0,25	13 052 084
Ireland	1,14	1,38	2,22		0,62	2,01	106 067 246
Greece	1,24	1,50	2,40		0,68	2,18	115 119 431
Spain	7,56	9,14	14,64		4,13	13,26	700 802 719
France	15,13	18,29	29,31		8,26	26,56	1 402 981 958
Croatia	0,29	0,35	0,56		0,16	0,51	26 944 431
Italy	11,04	13,34	21,37		6,02	19,36	1 023 009 556
Cyprus	0,11	0,14	0,22		0,06	0,20	10 377 528
Latvia	0,18	0,21	0,34		0,10	0,31	16 283 800
Lithuania	0,26	0,32	0,51		0,14	0,46	24 224 251
Luxembourg	0,22	0,26	0,42		0,12	0,38	20 148 798
Hungary	0,76	0,92	1,47		0,42	1,34	70 561 531
Malta	0,06	0,07	0,11		0,03	0,10	5 281 535
Netherlands	4,62	5,59	0,00	– 4,19	0,00	1,40	73 814 596
Austria	2,24	2,71	0,00	– 2,04	0,00	0,68	35 840 396
Poland	3,00	3,63	5,82		1,64	5,27	278 543 175
Portugal	1,20	1,45	2,33		0,66	2,11	111 378 737
Romania	1,10	1,33	2,13		0,60	1,93	102 033 658
Slovenia	0,26	0,31	0,50		0,14	0,45	23 737 913
Slovakia	0,53	0,64	1,02		0,29	0,92	48 756 674
Finland	1,39	1,68	2,69		0,76	2,44	128 994 091
Sweden	3,07	3,72	0,00	– 2,79	0,00	0,93	49 071 747
United Kingdom	17,27	0,00	0,00		0,00	0,00	0
<b>Total</b>	<b>100,00</b>	<b>100,00</b>	<b>100,00</b>	<b>– 28,19</b>	<b>28,19</b>	<b>100,00</b>	<b>5 283 248 305</b>

The calculations are made to 15 decimal places.

TABLE 6

Summary of financing <sup>(1)</sup> of the general budget by type of own resource and by Member State

Member State	Traditional own resources (TOR)				VAT and GNI-based own resources, including adjustments					Total own resources <sup>(2)</sup>
	Net sugar sector levies (75 %)	Net customs duties (75 %)	Total net traditional own resources (75 %)	Collection costs (25 % of gross TOR) (p.m.)	VAT-based own resource	GNI-based own resource	United Kingdom correction	Total 'national contributions'	Share in total 'national contributions' (%)	
	(1)	(2)	(3) = (1) + (2)	(4)	(5)	(6)	(7)	(8) = (5) + (6) + (7)	(9)	(10) = (3) + (8)
Belgium	6 600 000	1 769 700 000	1 776 300 000	592 100 000	523 409 700	2 957 662 846	261 447 948	3 742 520 494	3,03	5 518 820 494
Bulgaria	400 000	58 200 000	58 600 000	19 533 333	63 202 436	300 610 862	26 573 040	390 386 338	0,32	448 986 338
Czech Republic	3 400 000	216 200 000	219 600 000	73 200 000	200 818 634	1 073 488 500	94 892 954	1 369 200 088	1,11	1 588 800 088
Denmark	3 400 000	340 900 000	344 300 000	114 766 667	311 819 810	1 986 820 222	175 628 561	2 474 268 593	2,00	2 818 568 593
Germany	26 300 000	3 655 500 000	3 681 800 000	1 227 266 664	3 912 398 326	22 180 786 181	337 679 947	26 430 864 454	21,37	30 112 664 454
Estonia	0	24 900 000	24 900 000	8 300 000	30 202 119	147 653 341	13 052 084	190 907 544	0,15	215 807 544
Ireland	0	250 700 000	250 700 000	83 566 667	219 088 800	1 199 899 078	106 067 246	1 525 055 124	1,23	1 775 755 124
Greece	1 400 000	130 300 000	131 700 000	43 900 000	221 387 850	1 302 303 072	115 119 431	1 638 810 353	1,33	1 770 510 353
Spain	4 700 000	1 261 400 000	1 266 100 000	422 033 334	1 375 304 700	7 927 919 046	700 802 719	10 004 026 465	8,09	11 270 126 465
France	30 900 000	1 571 200 000	1 602 100 000	534 033 333	2 952 872 217	15 871 410 157	1 402 981 958	20 227 264 332	16,35	21 829 364 332
Croatia	1 700 000	44 000 000	45 700 000	15 233 334	64 085 770	304 812 275	26 944 431	395 842 476	0,32	441 542 476
Italy	4 700 000	1 596 900 000	1 601 600 000	533 866 667	1 741 842 900	11 572 924 487	1 023 009 556	14 337 776 943	11,59	15 939 376 943
Cyprus	0	17 800 000	17 800 000	5 933 333	24 682 350	117 397 096	10 377 528	152 456 974	0,12	170 256 974
Latvia	0	28 200 000	28 200 000	9 400 000	28 305 295	184 212 538	16 283 800	228 801 633	0,18	257 001 633
Lithuania	800 000	69 600 000	70 400 000	23 466 667	45 043 722	274 039 893	24 224 251	343 307 866	0,28	413 707 866
Luxembourg	0	15 100 000	15 100 000	5 033 333	47 922 750	227 935 821	20 148 798	296 007 369	0,24	311 107 369
Hungary	2 100 000	109 300 000	111 400 000	37 133 333	133 968 470	798 236 211	70 561 531	1 002 766 212	0,81	1 114 166 212
Malta	0	11 200 000	11 200 000	3 733 333	12 561 825	59 748 030	5 281 535	77 591 390	0,06	88 791 390
Netherlands	7 200 000	2 230 500 000	2 237 700 000	745 900 000	819 396 150	4 848 572 710	73 814 596	5 741 783 456	4,64	7 979 483 456
Austria	3 200 000	208 100 000	211 300 000	70 433 334	462 261 900	2 354 205 998	35 840 396	2 852 308 294	2,31	3 063 608 294
Poland	12 800 000	489 200 000	502 000 000	167 333 334	555 928 977	3 151 054 759	278 543 175	3 985 526 911	3,22	4 487 526 911
Portugal	100 000	131 200 000	131 300 000	43 766 667	242 598 450	1 259 985 996	111 378 737	1 613 963 183	1,30	1 745 263 183
Romania	900 000	123 500 000	124 400 000	41 466 667	173 796 047	1 154 268 614	102 033 658	1 430 098 319	1,16	1 554 498 319
Slovenia	0	64 200 000	64 200 000	21 400 000	56 158 800	268 538 126	23 737 913	348 434 839	0,28	412 634 839
Slovakia	1 300 000	96 400 000	97 700 000	32 566 667	79 892 400	551 566 017	48 756 674	680 215 091	0,55	777 915 091
Finland	700 000	113 700 000	114 400 000	38 133 333	279 661 350	1 459 261 911	128 994 091	1 867 917 352	1,51	1 982 317 352
Sweden	2 600 000	514 300 000	516 900 000	172 300 000	587 407 923	3 223 318 238	49 071 747	3 859 797 908	3,12	4 376 697 908
United Kingdom	9 500 000	3 323 100 000	3 332 600 000	1 110 866 667	3 646 763 905	18 107 178 510	- 5 283 248 305	16 470 694 110	13,32	19 803 294 110
<b>Total</b>	<b>124 700 000</b>	<b>18 465 300 000</b>	<b>18 590 000 000</b>	<b>6 196 666 667</b>	<b>18 812 783 576</b>	<b>104 865 810 535</b>	<b>0</b>	<b>123 678 594 111</b>	<b>100,00</b>	<b>142 268 594 111</b>

<sup>(1)</sup> p.m. (own resources + other revenue = total revenue = total expenditure); (142 268 594 111 + 1 616 701 373 = 143 885 295 484 = 143 885 295 484).

<sup>(2)</sup> Total own resources as percentage of GNI: (142 268 594 111) / (14 698 459 150 700) = 0,97 %; own resources ceiling as percentage of GNI: 1,23 %.

**B. GENERAL STATEMENT OF REVENUE BY BUDGET HEADING**

Title	Heading	Financial year 2016	Financial year 2015	Financial year 2014
1	OWN RESOURCES	142 268 594 111	129 667 123 674	128 866 678 682,39
3	SURPLUSES, BALANCES AND ADJUSTMENTS	p.m.	8 567 801 708	5 100 054 025,61
4	REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES	1 348 027 707	1 300 952 883	1 251 228 829,93
5	REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS	55 455 129	94 453 674	578 079 503,85
6	CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES	60 000 000	60 000 000	3 224 982 237,29
7	INTEREST ON LATE PAYMENTS AND FINES	123 000 000	1 523 000 000	4 606 681 826,68
8	BORROWING AND LENDING OPERATIONS	5 217 537	36 890 000	297 309 339,40
9	MISCELLANEOUS REVENUE	25 001 000	30 201 000	15 103 275,47
<b>GRAND TOTAL</b>		<b>143 885 295 484</b>	<b>141 280 422 939</b>	<b>143 940 117 720,62</b>

## TITLE 1

## OWN RESOURCES

CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM)

CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM

CHAPTER 1 3 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(B) OF DECISION 2007/436/EC, EURATOM

CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(C) OF DECISION 2007/436/EC, EURATOM

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 1 1				
1 1 0	<i>Production levies related to the marketing year 2005/2006 and previous years</i>	p.m.	p.m.	– 200 437 776,87	
1 1 1	<i>Sugar storage levies</i>	p.m.	p.m.	0,—	
1 1 3	<i>Charges levied on non-exported C sugar, C isoglucose and C inulin syrup production, and on substituted C sugar and C isoglucose</i>	p.m.	p.m.	0,—	
1 1 7	<i>Production charge</i>	124 700 000	124 700 000	124 144 904,02	99,55
1 1 8	<i>One-off amounts on additional sugar quotas and supplementary isoglucose quotas</i>	p.m.	p.m.	0,—	
1 1 9	<i>Surplus amount</i>	p.m.	p.m.	7 272 590,08	
	CHAPTER 1 1 — TOTAL	124 700 000	124 700 000	– 69 020 282,77	– 55,35
	CHAPTER 1 2				
1 2 0	<i>Customs duties and other duties referred to in Article 2(1)(a) of Decision 2007/436/EC, Euratom</i>	18 465 300 000	18 634 700 000	16 498 505 401,97	89,35
	CHAPTER 1 2 — TOTAL	18 465 300 000	18 634 700 000	16 498 505 401,97	89,35
	CHAPTER 1 3				
1 3 0	<i>Own resources accruing from value added tax pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom</i>	18 812 783 576	18 023 353 946	17 746 098 140,88	94,33
	CHAPTER 1 3 — TOTAL	18 812 783 576	18 023 353 946	17 746 098 140,88	94,33
	CHAPTER 1 4				
1 4 0	<i>Own resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom</i>	104 865 810 535	92 884 369 728	94 863 129 955,36	90,46
	CHAPTER 1 4 — TOTAL	104 865 810 535	92 884 369 728	94 863 129 955,36	90,46

**CHAPTER 1 5 — CORRECTION OF BUDGETARY IMBALANCES****CHAPTER 1 6 — GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO THE NETHERLANDS AND SWEDEN**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>1 5 0</b>	CHAPTER 1 5				
	<i>Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2007/436/EC, Euratom</i>	0,—	0,—	– 172 034 533,05	
	CHAPTER 1 5 — TOTAL	0,—	0,—	– 172 034 533,05	
<b>1 6 0</b>	CHAPTER 1 6				
	<i>Gross reduction in the annual GNI-based contribution granted to the Netherlands and Sweden pursuant to Article 2(5) of Decision 2007/436/EC, Euratom</i>	p.m.	p.m.	0,—	
	CHAPTER 1 6 — TOTAL	p.m.	p.m.	0,—	
Title 1 — Total		142 268 594 111	129 667 123 674	128 866 678 682,39	90,58

## TITLE 1

### OWN RESOURCES

#### CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM)

##### 1 1 0 *Production levies related to the marketing year 2005/2006 and previous years*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	– 200 437 776,87

##### *Remarks*

The common organisation of the market in the sugar sector provided that sugar, isoglucose and inulin syrup producers had to pay basic and B production levies. These levies were intended to cover market support expenditure. At present amounts entered under this article are a consequence of the revision of past established levies. Levies for the marketing years 2007/2008 and following years are entered under Article 1 1 7 of this chapter as a 'production charge'.

Figures are net of collection costs.

##### *Legal basis*

Council Regulation (EC) No 1260/2001 of 19 June 2001 on the common organisation of the markets in the sugar sector (OJ L 178, 30.6.2001, p. 1).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

Council Regulation (EU) No 1360/2013 of 2 December 2013 fixing the production levies in the sugar sector for the 2001/2002, 2002/2003, 2003/2004, 2004/2005 and 2005/2006 marketing years, the coefficient required for calculating the additional levy for the 2001/2002 and 2004/2005 marketing years and the amount to be paid by sugar manufacturers to beet sellers in respect of the difference between the maximum levy and the levy to be charged for the 2002/2003, 2003/2004 and 2005/2006 marketing years (OJ L 343, 19.12.2013, p. 2).

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)**

**1 1 0** (cont'd)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	p.m.	– 13 407 918,37
Bulgaria	—	—	0,—
Czech Republic	p.m.	p.m.	– 615 887,57
Denmark	p.m.	p.m.	– 8 435 300,68
Germany	p.m.	p.m.	– 66 326 085,22
Estonia	—	—	0,—
Ireland	p.m.	p.m.	– 1 629 765,17
Greece	p.m.	p.m.	0,—
Spain	p.m.	p.m.	– 3 104 371,25
France	p.m.	p.m.	– 67 344 987,65
Croatia	—	—	0,—
Italy	p.m.	p.m.	– 5 424 004,14
Cyprus	—	—	0,—
Latvia	p.m.	p.m.	– 37 347,10
Lithuania	p.m.	p.m.	– 52 491,31
Luxembourg	—	—	0,—
Hungary	p.m.	p.m.	0,—
Malta	—	—	0,—
Netherlands	p.m.	p.m.	– 15 999 527,09
Austria	p.m.	p.m.	– 6 408 204,35
Poland	p.m.	p.m.	– 3 938 707,93
Portugal	p.m.	p.m.	– 552 030,26
Romania	—	—	0,—
Slovenia	p.m.	p.m.	– 4 159,31
Slovakia	p.m.	p.m.	– 999 645,34
Finland	p.m.	p.m.	– 1 324 320,10
Sweden	p.m.	p.m.	3 232 826,45
United Kingdom	p.m.	p.m.	– 8 065 850,48
<i>Article 1 1 0 — Total</i>	p.m.	p.m.	– 200 437 776,87

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)****1 1 1*****Sugar storage levies***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

***Remarks***

This article is intended for the recording of income charged by new Member States in the event of non-elimination of sugar stocks considered surplus within the meaning of Commission Regulation (EC) No 60/2004 of 14 January 2004 laying down transitional measures in the sugar sector by reason of the accession of the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland, Slovenia and Slovakia (OJ L 9, 15.1.2004, p. 8).

It is also intended to record revenue from the outstanding sugar storage levies as Council Regulation (EC) No 1260/2001 of 19 June 2001 on the common organisation of the markets in the sugar sector (OJ L 178, 30.6.2001, p. 1) abolished the storage levy.

This article also covers the outstanding amounts due pursuant to Article 5 of Commission Regulation (EEC) No 65/82 of 13 January 1982 laying down detailed rules for carrying forward sugar to the following marketing year (OJ L 9, 14.1.1982, p. 14) when the obligation to store sugar carried forward is not complied with, and the amounts due in accordance with Council Regulation (EEC) No 1789/81 of 30 June 1981 laying down general rules concerning the system of minimum stocks in the sugar sector (OJ L 177, 1.7.1981, p. 39) when the general rules concerning the system of minimum stocks in the sugar sector are not complied with.

Figures are net of collection costs.

***Legal basis***

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.



**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)**

**1 1 1** (cont'd)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	p.m.	0,—
Bulgaria	p.m.	p.m.	0,—
Czech Republic	p.m.	p.m.	0,—
Denmark	p.m.	p.m.	0,—
Germany	p.m.	p.m.	0,—
Estonia	p.m.	p.m.	0,—
Ireland	p.m.	p.m.	0,—
Greece	p.m.	p.m.	0,—
Spain	p.m.	p.m.	0,—
France	p.m.	p.m.	0,—
Croatia	p.m.	p.m.	0,—
Italy	p.m.	p.m.	0,—
Cyprus	p.m.	p.m.	0,—
Latvia	p.m.	p.m.	0,—
Lithuania	p.m.	p.m.	0,—
Luxembourg	—	—	0,—
Hungary	p.m.	p.m.	0,—
Malta	p.m.	p.m.	0,—
Netherlands	p.m.	p.m.	0,—
Austria	p.m.	p.m.	0,—
Poland	p.m.	p.m.	0,—
Portugal	p.m.	p.m.	0,—
Romania	p.m.	p.m.	0,—
Slovenia	p.m.	p.m.	0,—
Slovakia	p.m.	p.m.	0,—
Finland	p.m.	p.m.	0,—
Sweden	p.m.	p.m.	0,—
United Kingdom	p.m.	p.m.	0,—
<i>Article 1 1 1 —Total</i>	p.m.	p.m.	0,—

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)**

**1 1 3      *Charges levied on non-exported C sugar, C isoglucose and C inulin syrup production, and on substituted C sugar and C isoglucose***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Amounts corresponding to charges levied on non-exported C sugar, C isoglucose and C inulin syrup production. They comprise as well the charges levied on substituted C sugar and C isoglucose.

Figures are net of collection costs.

*Legal basis*

Commission Regulation (EEC) No 2670/81 of 14 September 1981 laying down detailed implementing rules in respect of sugar production in excess of the quota (OJ L 262, 16.9.1981, p. 14).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)**

**1 1 3** (cont'd)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	p.m.	0,—
Bulgaria	—	—	0,—
Czech Republic	p.m.	p.m.	0,—
Denmark	p.m.	p.m.	0,—
Germany	p.m.	p.m.	0,—
Estonia	—	—	0,—
Ireland	p.m.	p.m.	0,—
Greece	p.m.	p.m.	0,—
Spain	p.m.	p.m.	0,—
France	p.m.	p.m.	0,—
Croatia	—	—	0,—
Italy	p.m.	p.m.	0,—
Cyprus	—	—	0,—
Latvia	p.m.	p.m.	0,—
Lithuania	p.m.	p.m.	0,—
Luxembourg	—	—	0,—
Hungary	p.m.	p.m.	0,—
Malta	—	—	0,—
Netherlands	p.m.	p.m.	0,—
Austria	p.m.	p.m.	0,—
Poland	p.m.	p.m.	0,—
Portugal	p.m.	p.m.	0,—
Romania	—	—	0,—
Slovenia	p.m.	p.m.	0,—
Slovakia	p.m.	p.m.	0,—
Finland	p.m.	p.m.	0,—
Sweden	p.m.	p.m.	0,—
United Kingdom	p.m.	p.m.	0,—
<i>Article 1 1 3 — Total</i>	p.m.	p.m.	0,—

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)****1 1 7*****Production charge***

Financial year 2016	Financial year 2015	Financial year 2014
124 700 000	124 700 000	124 144 904,02

***Remarks***

Under the actual common organisation of the markets in the sugar sector, a production charge is levied on undertakings producing sugar, isoglucose or inulin syrup.

Figures are net of collection costs.

***Legal basis***

Council Regulation (EC) No 318/2006 of 20 February 2006 on the common organisation of the markets in the sugar sector (OJ L 58, 28.2.2006, p. 1), and in particular Article 16 thereof.

Commission Regulation (EC) No 952/2006 of 29 June 2006 laying down detailed rules for the application of Council Regulation (EC) No 318/2006 as regards the management of the Community market in sugar and the quota system (OJ L 178, 1.7.2006, p. 39).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1), and in particular Article 51 thereof.

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671), and in particular Article 128 thereof.

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)**

**1 1 7** (cont'd)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	6 600 000	6 600 000	6 601 725,90
Bulgaria	400 000	400 000	401 391,00
Czech Republic	3 400 000	3 400 000	3 154 847,61
Denmark	3 400 000	3 400 000	3 349 426,03
Germany	26 300 000	26 300 000	26 339 173,20
Estonia	—	—	0,—
Ireland	p.m.	p.m.	0,—
Greece	1 400 000	1 400 000	1 428 318,00
Spain	4 700 000	4 700 000	4 728 467,70
France	30 900 000	30 900 000	30 933 280,80
Croatia	1 700 000	1 700 000	1 726 191,35
Italy	4 700 000	4 700 000	4 173 118,86
Cyprus	—	—	0,—
Latvia	p.m.	p.m.	0,—
Lithuania	800 000	800 000	812 268,00
Luxembourg	—	—	0,—
Hungary	2 100 000	2 100 000	1 989 781,53
Malta	—	—	0,—
Netherlands	7 200 000	7 200 000	7 243 992,00
Austria	3 200 000	3 200 000	3 159 246,60
Poland	12 800 000	12 800 000	12 999 821,70
Portugal	100 000	100 000	56 250,00
Romania	900 000	900 000	749 593,27
Slovenia	p.m.	p.m.	0,—
Slovakia	1 300 000	1 300 000	1 317 300,75
Finland	700 000	700 000	728 991,00
Sweden	2 600 000	2 600 000	2 561 481,88
United Kingdom	9 500 000	9 500 000	9 690 236,84
<i>Article 1 1 7 — Total</i>	124 700 000	124 700 000	124 144 904,02

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)****1 1 8*****One-off amounts on additional sugar quotas and supplementary isoglucose quotas***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

A one-off amount is levied on additional sugar quotas or supplementary isoglucose quotas which have been allocated to undertakings in accordance with Article 58 of Regulation (EC) No 1234/2007.

Figures are net of collection costs.

*Legal basis*

Council Regulation (EC) No 318/2006 of 20 February 2006 on the common organisation of the markets in the sugar sector (OJ L 58, 28.2.2006, p. 1), and in particular Article 8 and Article 9(2) and (3) thereof.

Commission Regulation (EC) No 952/2006 of 29 June 2006 laying down detailed rules for the application of Council Regulation (EC) No 318/2006 as regards the management of the Community market in sugar and the quota system (OJ L 178, 1.7.2006, p. 39).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)**

**1 1 8** (cont'd)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	p.m.	0,—
Bulgaria	p.m.	p.m.	0,—
Czech Republic	p.m.	p.m.	0,—
Denmark	p.m.	p.m.	0,—
Germany	p.m.	p.m.	0,—
Estonia	—	—	0,—
Ireland	p.m.	p.m.	0,—
Greece	p.m.	p.m.	0,—
Spain	p.m.	p.m.	0,—
France	p.m.	p.m.	0,—
Croatia	—	—	0,—
Italy	p.m.	p.m.	0,—
Cyprus	—	—	0,—
Latvia	p.m.	p.m.	0,—
Lithuania	p.m.	p.m.	0,—
Luxembourg	—	—	0,—
Hungary	p.m.	p.m.	0,—
Malta	—	—	0,—
Netherlands	p.m.	p.m.	0,—
Austria	p.m.	p.m.	0,—
Poland	p.m.	p.m.	0,—
Portugal	p.m.	p.m.	0,—
Romania	p.m.	p.m.	0,—
Slovenia	p.m.	p.m.	0,—
Slovakia	p.m.	p.m.	0,—
Finland	p.m.	p.m.	0,—
Sweden	p.m.	p.m.	0,—
United Kingdom	p.m.	p.m.	0,—
<i>Article 1 1 8 — Total</i>	p.m.	p.m.	0,—

**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)****1 1 9****Surplus amount**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	7 272 590,08

*Remarks*

A surplus amount shall be levied and charged by the Member States to the undertakings concerned located on its territory in accordance with Article 142 of Regulation (EU) No 1308/2013.

Figures are net of collection costs.

*Legal basis*

Council Regulation (EC) No 318/2006 of 20 February 2006 on the common organisation of the markets in the sugar sector (OJ L 58, 28.2.2006, p. 1), and in particular Article 15 thereof.

Commission Regulation (EC) No 967/2006 of 29 June 2006 laying down detailed rules for the application of Council Regulation (EC) No 318/2006 as regards sugar production in excess of the quota (OJ L 176, 30.6.2006, p. 22).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1), and in particular Article 64 thereof.

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).



**CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM) (cont'd)**

**1 1 9** (cont'd)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	p.m.	83 144,11
Bulgaria	p.m.	p.m.	0,—
Czech Republic	p.m.	p.m.	1 954,96
Denmark	p.m.	p.m.	1 740 974,63
Germany	p.m.	p.m.	16 886,63
Estonia	—	—	0,—
Ireland	p.m.	p.m.	0,—
Greece	p.m.	p.m.	0,—
Spain	p.m.	p.m.	0,—
France	p.m.	p.m.	5 362 941,03
Croatia	p.m.	p.m.	0,—
Italy	p.m.	p.m.	0,—
Cyprus	—	—	0,—
Latvia	p.m.	p.m.	0,—
Lithuania	p.m.	p.m.	0,—
Luxembourg	—	—	0,—
Hungary	p.m.	p.m.	0,—
Malta	—	—	0,—
Netherlands	p.m.	p.m.	66 688,72
Austria	p.m.	p.m.	0,—
Poland	p.m.	p.m.	0,—
Portugal	p.m.	p.m.	0,—
Romania	p.m.	p.m.	0,—
Slovenia	p.m.	p.m.	0,—
Slovakia	p.m.	p.m.	0,—
Finland	p.m.	p.m.	0,—
Sweden	p.m.	p.m.	0,—
United Kingdom	p.m.	p.m.	0,—
<i>Article 1 1 9 — Total</i>	p.m.	p.m.	7 272 590,08

**CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM****1 2 0 Customs duties and other duties referred to in Article 2(1)(a) of Decision 2007/436/EC, Euratom**

Financial year 2016	Financial year 2015	Financial year 2014
18 465 300 000	18 634 700 000	16 498 505 401,97

*Remarks*

The assignment of customs duties as own resources to the financing of common expenditure is the logical consequence of the free movement of goods within the Union. This article may comprise levies, premiums, additional or compensatory amounts, additional amounts or factors, Common Customs Tariff duties and other duties established or to be established by the institutions of the European Union in respect of trade with third countries and customs duties on products under the expired Treaty establishing the European Coal and Steel Community.

Figures are net of collection costs.

*Legal basis*

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

**CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(A) OF DECISION 2007/436/EC, EURATOM**  
(*cont'd*)

**1 2 0** (*cont'd*)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	1 769 700 000	1 777 109 902	1 579 252 097,99
Bulgaria	58 200 000	60 771 523	56 281 047,22
Czech Republic	216 200 000	218 992 601	195 365 856,43
Denmark	340 900 000	343 547 332	297 527 451,41
Germany	3 655 500 000	3 673 396 526	3 367 018 994,23
Estonia	24 900 000	24 631 290	22 157 722,02
Ireland	250 700 000	256 746 238	227 194 978,98
Greece	130 300 000	134 450 271	121 801 765,78
Spain	1 261 400 000	1 267 919 835	1 131 277 339,92
France	1 571 200 000	1 609 531 084	1 425 142 160,20
Croatia	44 000 000	45 282 852	40 797 860,51
Italy	1 596 900 000	1 620 394 666	1 521 698 520,46
Cyprus	17 800 000	19 145 718	17 833 312,16
Latvia	28 200 000	28 395 898	25 916 142,36
Lithuania	69 600 000	68 730 978	63 542 514,10
Luxembourg	15 100 000	15 703 791	14 026 782,88
Hungary	109 300 000	115 412 112	103 521 371,54
Malta	11 200 000	11 939 184	10 453 493,79
Netherlands	2 230 500 000	2 226 496 487	1 990 418 279,32
Austria	208 100 000	208 774 381	181 825 082,14
Poland	489 200 000	482 300 013	419 092 498,17
Portugal	131 200 000	129 502 501	111 464 267,00
Romania	123 500 000	122 403 526	105 045 495,15
Slovenia	64 200 000	65 396 612	58 214 760,15
Slovakia	96 400 000	99 923 441	94 783 821,56
Finland	113 700 000	116 487 715	127 444 447,23
Sweden	514 300 000	519 515 847	460 313 132,26
United Kingdom	3 323 100 000	3 371 797 676	2 729 094 207,01
<i>Article 1 2 0 — Total</i>	18 465 300 000	18 634 700 000	16 498 505 401,97

**CHAPTER 1 3 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(B) OF DECISION 2007/436/EC, EURATOM****1 3 0      *Own resources accruing from value added tax pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom***

Financial year 2016	Financial year 2015	Financial year 2014
18 812 783 576	18 023 353 946	17 746 098 140,88

*Remarks*

The applied uniform rate valid for all Member States to the harmonised VAT assessment bases determined according to Union rules is fixed at 0,30 %. The assessment base to be taken into account for this purpose shall not exceed 50 % of GNI for each Member State.

*Legal basis*

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(b) and (4) thereof.

**CHAPTER 1 3 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(B) OF DECISION 2007/436/EC, EURATOM** (*cont'd*)

**1 3 0** (*cont'd*)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	523 409 700	511 842 600	499 622 100,00
Bulgaria	63 202 436	59 662 964	57 927 299,98
Czech Republic	200 818 634	194 223 456	178 500 769,55
Denmark	311 819 810	302 927 330	285 410 895,73
Germany	3 912 398 326	3 776 991 727	3 725 690 100,00
Estonia	30 202 119	28 498 014	26 144 100,00
Ireland	219 088 800	214 960 200	196 628 400,00
Greece	221 387 850	216 364 200	212 793 600,00
Spain	1 375 304 700	1 327 940 850	1 427 048 700,00
France	2 952 872 217	2 892 563 036	2 919 401 100,00
Croatia	64 085 770	62 205 250	63 252 010,89
Italy	1 741 842 900	1 703 589 150	1 812 851 100,00
Cyprus	24 682 350	24 307 200	23 013 300,00
Latvia	28 305 295	26 840 306	26 570 100,00
Lithuania	45 043 722	42 528 985	41 524 799,99
Luxembourg	47 922 750	45 415 200	48 755 700,00
Hungary	133 968 470	127 337 086	116 203 011,65
Malta	12 561 825	11 921 060	10 564 650,00
Netherlands	819 396 150	796 827 900	823 095 900,00
Austria	462 261 900	449 740 050	449 919 300,00
Poland	555 928 977	501 980 483	521 397 619,07
Portugal	242 598 450	235 658 100	230 141 400,00
Romania	173 796 047	163 693 239	159 153 321,63
Slovenia	56 158 800	55 037 400	52 845 450,00
Slovakia	79 892 400	78 020 250	69 001 800,00
Finland	279 661 350	274 014 000	278 532 000,00
Sweden	587 407 923	561 562 344	557 232 228,74
United Kingdom	3 646 763 905	3 336 701 566	2 932 877 383,65
<i>Article 1 3 0 — Total</i>	18 812 783 576	18 023 353 946	17 746 098 140,88

**CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(C) OF DECISION 2007/436/EC, EURATOM****1 4 0      *Own resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom***

Financial year 2016	Financial year 2015	Financial year 2014
104 865 810 535	92 884 369 728	94 863 129 955,36

*Remarks*

The GNI-based resource is an 'additional' resource, providing the revenue required to cover expenditure in excess of the amount yielded by traditional own resources, VAT-based payments and other revenue in any particular year. By implication, the GNI-based resource ensures that the general budget of the Union is always balanced *ex ante*.

The GNI call rate is determined by the additional revenue needed to finance the budgeted expenditure not covered by the other resources (VAT-based payments, traditional own resources and other revenue). Thus a call rate is applied to the GNI of each of the Member States.

The rate to be applied to the Member States' gross national income for this financial year is 0,71 34 %.

*Legal basis*

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(c) thereof.

**CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(C) OF DECISION 2007/436/EC, EURATOM** (*cont'd*)

**1 4 0** (*cont'd*)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	2 957 662 846	2 677 114 263	2 752 569 449,00
Bulgaria	300 610 862	272 938 189	280 112 820,00
Czech Republic	1 073 488 500	946 409 981	949 765 706,98
Denmark	1 986 820 222	1 781 398 206	1 861 245 102,04
Germany	22 180 786 181	19 854 398 530	20 336 920 973,00
Estonia	147 653 341	129 683 490	130 457 204,00
Ireland	1 199 899 078	1 062 586 372	1 005 662 600,00
Greece	1 302 303 072	1 164 029 800	1 261 209 951,00
Spain	7 927 919 046	7 097 387 238	7 210 679 301,00
France	15 871 410 157	14 360 602 477	15 014 013 074,00
Croatia	304 812 275	274 469 466	295 810 080,65
Italy	11 572 924 487	10 445 402 587	11 053 651 104,00
Cyprus	117 397 096	107 251 144	107 580 948,00
Latvia	184 212 538	162 773 242	172 605 499,00
Lithuania	274 039 893	240 751 810	244 897 382,99
Luxembourg	227 935 821	200 386 395	227 919 701,00
Hungary	798 236 211	680 905 632	662 572 556,68
Malta	59 748 030	52 599 532	49 386 879,00
Netherlands	4 848 572 710	4 360 923 079	4 382 027 230,00
Austria	2 354 205 998	2 119 039 398	2 256 035 360,00
Poland	3 151 054 759	2 645 588 685	2 735 602 991,90
Portugal	1 259 985 996	1 131 025 757	1 160 869 691,00
Romania	1 154 268 614	1 004 358 767	1 016 908 361,28
Slovenia	268 538 126	242 842 620	247 038 175,00
Slovakia	551 566 017	487 964 976	508 952 508,00
Finland	1 459 261 911	1 318 547 150	1 390 603 421,00
Sweden	3 223 318 238	2 847 089 742	3 023 453 942,61
United Kingdom	18 107 178 510	15 215 901 200	14 524 577 942,23
<i>Article 1 4 0 — Total</i>	104 865 810 535	92 884 369 728	94 863 129 955,36

## CHAPTER 1 5 — CORRECTION OF BUDGETARY IMBALANCES

**1 5 0**      ***Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2007/436/EC, Euratom***

Financial year 2016	Financial year 2015	Financial year 2014
0,—	0,—	– 172 034 533,05

*Remarks*

The budgetary imbalance correction mechanism in favour of the United Kingdom (UK correction) was introduced by the European Council in Fontainebleau in June 1984 and the resulting own resources decision of 1985. The purpose of the mechanism is to reduce the UK budgetary imbalance through a reduction in its payments to the Union.

*Legal basis*

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.



CHAPTER 1 5 — CORRECTION OF BUDGETARY IMBALANCES (*cont'd*)1 5 0 (*cont'd*)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	261 447 948	227 330 088	274 500 044,00
Bulgaria	26 573 040	23 176 845	27 934 257,01
Czech Republic	94 892 954	80 365 439	94 610 050,04
Denmark	175 628 561	151 269 379	185 650 032,48
Germany	337 679 947	290 405 953	350 335 402,00
Estonia	13 052 084	11 012 215	13 009 847,00
Ireland	106 067 246	90 230 685	100 289 723,00
Greece	115 119 431	98 844 865	125 774 188,00
Spain	700 802 719	602 682 406	719 085 138,00
France	1 402 981 958	1 219 446 279	1 497 272 756,00
Croatia	26 944 431	23 306 875	29 474 619,41
Italy	1 023 009 556	886 982 795	1 102 325 579,00
Cyprus	10 377 528	9 107 348	10 728 512,00
Latvia	16 283 800	13 822 068	17 213 087,00
Lithuania	24 224 251	20 443 703	24 422 396,00
Luxembourg	20 148 798	17 016 030	22 729 297,00
Hungary	70 561 531	57 819 847	65 983 684,97
Malta	5 281 535	4 466 547	4 925 108,00
Netherlands	73 814 596	63 786 269	75 487 301,00
Austria	35 840 396	30 994 726	38 863 752,00
Poland	278 543 175	224 653 059	272 499 533,66
Portugal	111 378 737	96 042 290	115 767 753,00
Romania	102 033 658	85 286 224	101 443 034,07
Slovenia	23 737 913	20 621 247	24 635 887,00
Slovakia	48 756 674	41 436 080	50 755 299,00
Finland	128 994 091	111 965 875	138 677 954,00
Sweden	49 071 747	41 643 760	51 905 237,96
United Kingdom	– 5 283 248 305	– 4 544 158 897	– 5 708 334 005,65
<i>Article 1 5 0 — Total</i>	0	0	– 172 034 533,05

**CHAPTER 1 6 — GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO THE NETHERLANDS AND SWEDEN****1 6 0      *Gross reduction in the annual GNI-based contribution granted to the Netherlands and Sweden pursuant to Article 2(5) of Decision 2007/436/EC, Euratom***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record gross reductions in the annual GNI contributions of certain Member States in accordance with Council Decision 2007/436/EC, Euratom.

*Legal basis*

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1), and in particular Article 10(9) thereof.

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(5) thereof.

**CHAPTER 1 6 — GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO THE NETHERLANDS AND SWEDEN**  
(*cont'd*)

**1 6 0** (*cont'd*)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	p.m.	0,—
Bulgaria	p.m.	p.m.	0,—
Czech Republic	p.m.	p.m.	0,—
Denmark	p.m.	p.m.	0,—
Germany	p.m.	p.m.	0,—
Estonia	p.m.	p.m.	0,—
Ireland	p.m.	p.m.	0,—
Greece	p.m.	p.m.	0,—
Spain	p.m.	p.m.	0,—
France	p.m.	p.m.	0,—
Croatia	p.m.	p.m.	0,—
Italy	p.m.	p.m.	0,—
Cyprus	p.m.	p.m.	0,—
Latvia	p.m.	p.m.	0,—
Lithuania	p.m.	p.m.	0,—
Luxembourg	p.m.	p.m.	0,—
Hungary	p.m.	p.m.	0,—
Malta	p.m.	p.m.	0,—
Netherlands	p.m.	p.m.	0,—
Austria	p.m.	p.m.	0,—
Poland	p.m.	p.m.	0,—
Portugal	p.m.	p.m.	0,—
Romania	p.m.	p.m.	0,—
Slovenia	p.m.	p.m.	0,—
Slovakia	p.m.	p.m.	0,—
Finland	p.m.	p.m.	0,—
Sweden	p.m.	p.m.	0,—
United Kingdom	p.m.	p.m.	0,—
<i>Article 1 6 0 — Total</i>	p.m.	p.m.	0,—

## TITLE 3

## SURPLUSES, BALANCES AND ADJUSTMENTS

CHAPTER 3 0 — SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR

CHAPTER 3 1 — BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) NO 1150/2000

CHAPTER 3 2 — BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) NO 1150/2000

CHAPTER 3 4 — ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 3 0				
3 0 0	<i>Surplus available from the preceding financial year</i>	p.m.	1 434 557 708	1 005 406 925,37	
3 0 2	<i>Surplus own resources resulting from repayment of the surplus from the Guarantee Fund for external actions</i>	p.m.	p.m.	0,—	
	CHAPTER 3 0 — TOTAL	p.m.	1 434 557 708	1 005 406 925,37	
	CHAPTER 3 1				
3 1 0	<i>Application for 1995 and subsequent financial years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000</i>				
3 1 0 3	Application for 1995 and subsequent years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000	p.m.	– 192 713 000	– 78 735 768,07	
	Article 3 1 0 — Total	p.m.	– 192 713 000	– 78 735 768,07	
	CHAPTER 3 1 — TOTAL	p.m.	– 192 713 000	– 78 735 768,07	
	CHAPTER 3 2				
3 2 0	<i>Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000</i>				
3 2 0 3	Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000	p.m.	7 325 957 000	4 212 457 271,70	
	Article 3 2 0 — Total	p.m.	7 325 957 000	4 212 457 271,70	
	CHAPTER 3 2 — TOTAL	p.m.	7 325 957 000	4 212 457 271,70	
	CHAPTER 3 4				
3 4 0	<i>Adjustment for the impact of the non-participation of certain Member States in certain policies in the area of freedom, security and justice</i>	p.m.	p.m.	– 1 792 657,35	
	CHAPTER 3 4 — TOTAL	p.m.	p.m.	– 1 792 657,35	

## CHAPTER 3 5 — RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM

## CHAPTER 3 6 — RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/ 2016
	CHAPTER 3 5				
3 5 0	<b>Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom</b>				
3 5 0 4	Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom	p.m.	0,—	– 18 021 343,—	
	<i>Article 3 5 0 — Total</i>	p.m.	0,—	– 18 021 343,—	
	CHAPTER 3 5 — TOTAL	p.m.	0,—	– 18 021 343,—	
	CHAPTER 3 6				
3 6 0	<b>Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom</b>				
3 6 0 4	Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom	p.m.	0,—	– 19 260 403,04	
	<i>Article 3 6 0 — Total</i>	p.m.	0,—	– 19 260 403,04	
	CHAPTER 3 6 — TOTAL	p.m.	0,—	– 19 260 403,04	
	Title 3 — Total	p.m.	8 567 801 708	5 100 054 025,61	

### TITLE 3

#### SURPLUSES, BALANCES AND ADJUSTMENTS

#### CHAPTER 3 0 — SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR

##### 3 0 0 *Surplus available from the preceding financial year*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	1 434 557 708	1 005 406 925,37

##### *Remarks*

In accordance with Article 18 of the Financial Regulation, the balance from each financial year, whether surplus or deficit, is entered as revenue or expenditure in the budget of the subsequent financial year.

The relevant estimates of such revenue or expenditure are entered in the budget during the budgetary procedure and, where appropriate, in a letter of amendment submitted pursuant to Article 39 of the Financial Regulation. They are drawn up in accordance with the principles set out in Article 15 of Regulation (EC, Euratom) No 1150/2000.

After the closure of the accounts for each financial year, any discrepancy in relation to the estimates is entered in the budget for the following financial year through an amending budget that must be presented by the Commission within 15 days following the submission of the provisional accounts.

A deficit is entered in Article 27 02 01 of the statement of expenditure in Section III 'Commission'.

##### *Legal basis*

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 7 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 18 thereof.

##### 3 0 2 *Surplus own resources resulting from repayment of the surplus from the Guarantee Fund for external actions*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

**CHAPTER 3 0 — SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR** (*cont'd*)**3 0 2** (*cont'd*)*Remarks*

This article is intended to receive, once the target amount has been reached, any surplus in the Guarantee Fund for external actions in accordance with Articles 3 and 4 of Regulation (EC, Euratom) No 480/2009.

*Legal basis*

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17).

Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (OJ L 145, 10.6.2009, p. 10).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 7(2) thereof.

**CHAPTER 3 1 — BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) NO 1150/2000**

**3 1 0      *Application for 1995 and subsequent financial years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000***

3 1 0 3      Application for 1995 and subsequent years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	– 192 713 000	– 78 735 768,07

*Remarks*

Under Article 7(1) of Regulation (EEC, Euratom) No 1553/89, Member States are to send the Commission a statement of the total amount of the VAT resources base for the previous calendar year before 31 July.

Each Member State is debited with an amount calculated on the basis of that statement according to Union rules and credited with the 12 payments actually made during the previous financial year. The Commission works out each Member State's balance and informs it in time for that Member State to enter its balance in the Commission's account referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 on the first working day of December of the same year.

Any corrections to the abovementioned statements resulting from Commission controls in accordance with Article 9 of Regulation (EEC, Euratom) No 1553/89 or/and any changes to the GNI of previous financial years that have an effect on the capping of the 'VAT' base will lead to adjustments of the 'VAT' balances.

*Legal basis*

Council Regulation (EEC, Euratom) No 1553/89 of 29 May 1989 on the definitive uniform arrangements for the collection of own resources accruing from value added tax (OJ L 155, 7.6.1989, p. 9).

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1), and in particular Article 10(4), (5) and (8) thereof.



**CHAPTER 3 1 — BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) NO 1150/2000** (*cont'd*)

**3 1 0** (*cont'd*)

**3 1 0 3** (*cont'd*)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	72 870 000	9 023 791,36
Bulgaria	p.m.	1 525 000	782 111,42
Czech Republic	p.m.	9 640 000	5 253 417,30
Denmark	p.m.	– 5 927 000	– 5 933 619,05
Germany	p.m.	– 103 806 000	– 27 013 915,04
Estonia	p.m.	608 000	– 459 385,02
Ireland	p.m.	7 099 000	6 536 069,87
Greece	p.m.	– 45 286 000	73 209 509,30
Spain	p.m.	– 72 892 000	– 45 030 311,20
France	p.m.	– 43 477 000	36 977 772,10
Croatia	p.m.	– 1 184 000	– 213 679,91
Italy	p.m.	– 216 644 000	– 52 745 669,33
Cyprus	p.m.	11 239 000	0,—
Latvia	p.m.	1 669 000	5 899 999,26
Lithuania	p.m.	358 000	– 1 224 942,82
Luxembourg	p.m.	14 886 000	– 10 259 465,23
Hungary	p.m.	4 379 000	1 928 539,21
Malta	p.m.	3 630 000	0,—
Netherlands	p.m.	– 26 259 000	– 4 502 509,48
Austria	p.m.	– 4 400 000	3 073 121,20
Poland	p.m.	30 536 000	– 76 259 558,01
Portugal	p.m.	18 273 000	12 195 115,10
Romania	p.m.	– 12 492 000	2 158 848,32
Slovenia	p.m.	1 905 000	0,—
Slovakia	p.m.	6 967 000	34 033,11
Finland	p.m.	– 8 412 000	– 8 009 160,90
Sweden	p.m.	1 356 000	– 4 155 879,63
United Kingdom	p.m.	161 126 000	0,—
Item 3 1 0 3 — Total	p.m.	– 192 713 000	– 78 735 768,07

**CHAPTER 3 2 — BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) NO 1150/2000**

**3 2 0      *Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000***

**3 2 0 3      Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	7 325 957 000	4 212 457 271,70

*Remarks*

On the basis of figures for aggregate gross national income and its components from the preceding year, supplied by the Member States in accordance with Article 2(2) of Regulation (EC, Euratom) No 1287/2003, each Member State shall be debited with an amount calculated according to Union rules and credited with the 12 payments made during that previous financial year.

The Commission works out each Member State's balance and informs it in time for that Member State to enter its balance in the account referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 on the first working day of December of the same year.

Any changes to the gross national product/gross national income of previous financial years pursuant to Article 2(2) of Regulation (EC, Euratom) No 1287/2003, subject to Articles 4 and 5 thereof, gives rise for each Member State concerned to an adjustment to the balance established pursuant to Article 10(7) of Regulation (EC, Euratom) No 1150/2000.

*Legal basis*

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1), and in particular Article 10(6), (7) and (8) thereof.

Council Regulation (EC, Euratom) No 1287/2003 of 15 July 2003 on the harmonisation of gross national income at market prices (OJ L 181, 19.7.2003, p. 1).

**CHAPTER 3 2 — BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) NO 1150/2000** (*cont'd*)

**3 2 0** (*cont'd*)

**3 2 0 3** (*cont'd*)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	147 716 000	109 853 224,02
Bulgaria	p.m.	59 923 000	34 946 866,83
Czech Republic	p.m.	51 471 000	73 085 521,36
Denmark	p.m.	– 60 420 000	– 117 815 874,57
Germany	p.m.	383 851 000	1 386 016 819,89
Estonia	p.m.	11 786 000	7 770 364,28
Ireland	p.m.	156 657 000	105 640 410,37
Greece	p.m.	– 171 235 000	148 775 724,38
Spain	p.m.	– 333 271 000	634 182 093,25
France	p.m.	300 400 000	0,—
Croatia	p.m.	– 5 551 000	– 1 201 179,69
Italy	p.m.	1 167 877 000	381 035 520,19
Cyprus	p.m.	55 184 000	0,—
Latvia	p.m.	– 1 293 000	19 995 102,02
Lithuania	p.m.	6 460 000	8 741 021,54
Luxembourg	p.m.	74 065 000	– 56 671 059,21
Hungary	p.m.	42 869 000	37 789 156,61
Malta	p.m.	17 767 000	0,—
Netherlands	p.m.	538 657 000	1 107 926 623,17
Austria	p.m.	– 72 668 000	– 60 166 556,31
Poland	p.m.	197 995 000	49 398 353,91
Portugal	p.m.	21 688 000	109 406 869,62
Romania	p.m.	40 791 000	72 788 666,16
Slovenia	p.m.	14 745 000	0,—
Slovakia	p.m.	– 14 817 000	– 6 696 989,68
Finland	p.m.	9 616 000	– 26 309 817,72
Sweden	p.m.	41 121 000	193 966 411,28
United Kingdom	p.m.	4 644 573 000	0,—
Item 3 2 0 3 — Total	p.m.	7 325 957 000	4 212 457 271,7

**CHAPTER 3 4 — ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE****3 4 0      *Adjustment for the impact of the non-participation of certain Member States in certain policies in the area of freedom, security and justice***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	– 1 792 657,35

*Remarks*

Article 3 of the Protocol on the position of Denmark and Article 5 of the Protocol on the position of the United Kingdom and Ireland, annexed to the Treaty on European Union and the Treaty on the Functioning of the European Union, grant full exemption to these Member States from supporting the financial consequences of some specific policies in the area of freedom, security and justice, with the exception of the related administrative costs. They can therefore obtain an adjustment to the own resources paid for each year in which they do not participate.

Each Member State's contribution to the adjustment mechanism is calculated by applying to the budget expenditure resulting from this operation or policy the scale of the aggregate gross national income and its components from the preceding year, supplied by the Member States in accordance with Article 2(2) of Council Regulation (EC, Euratom) No 1287/2003 of 15 July 2003 on the harmonisation of gross national income at market prices (OJ L 181, 19.7.2003, p. 1).

The Commission works out each Member State's balance and informs it in time for that Member State to enter its balance in the account referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 on the first working day of December, in accordance with Article 10a of that Regulation.

*Legal basis*

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1), and in particular Article 10a thereof.

Protocol on the position of Denmark, annexed to the Treaty on European Union and to the Treaty on the Functioning of the European Union, and in particular Article 3 thereof, and Protocol on the position of the United Kingdom and Ireland in respect of the area of freedom, security and justice, annexed to the Treaty on European Union and to the Treaty on the Functioning of the European Union, and in particular Article 5 thereof.

**CHAPTER 3 4 — ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE** (*cont'd*)

**3 4 0** (*cont'd*)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	p.m.	2 002 598,81
Bulgaria	p.m.	p.m.	210 680,74
Czech Republic	p.m.	p.m.	697 292,21
Denmark	p.m.	p.m.	– 5 768 732,87
Germany	p.m.	p.m.	14 742 277,52
Estonia	p.m.	p.m.	94 419,01
Ireland	p.m.	p.m.	– 3 315 510,86
Greece	p.m.	p.m.	944 652,73
Spain	p.m.	p.m.	5 392 603,41
France	p.m.	p.m.	11 025 252,65
Croatia	p.m.	p.m.	110 312,49
Italy	p.m.	p.m.	8 348 177,54
Cyprus	p.m.	p.m.	91 301,32
Latvia	p.m.	p.m.	120 648,96
Lithuania	p.m.	p.m.	177 930,11
Luxembourg	p.m.	p.m.	150 863,27
Hungary	p.m.	p.m.	487 546,82
Malta	p.m.	p.m.	37 433,23
Netherlands	p.m.	p.m.	3 320 348,32
Austria	p.m.	p.m.	1 621 642,12
Poland	p.m.	p.m.	1 988 014,63
Portugal	p.m.	p.m.	859 755,01
Romania	p.m.	p.m.	740 086,79
Slovenia	p.m.	p.m.	184 143,09
Slovakia	p.m.	p.m.	372 965,33
Finland	p.m.	p.m.	1 026 106,80
Sweden	p.m.	p.m.	2 131 288,13
United Kingdom	p.m.	p.m.	– 49 586 754,66
<i>Article 3 4 0 — Total</i>	p.m.	p.m.	– 1 792 657,35

**CHAPTER 3 5 — RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM****3 5 0      *Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom***

3 5 0 4      Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	0,—	– 18 021 343,—

*Remarks*

Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom.

The figures for 2014 correspond to the result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the year 2010.

The figures for 2015 correspond to the result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the year 2011.

*Legal basis*

Council Decision 2000/597/EC, Euratom of 29 September 2000 on the system of the European Communities' own resources (OJ L 253, 7.10.2000, p. 42), and in particular Articles 4 and 5 thereof.

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.

**CHAPTER 3 5 — RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM** (*cont'd*)

**3 5 0** (*cont'd*)

**3 5 0 4** (*cont'd*)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	11 815 757	4 520 547,00
Bulgaria	p.m.	1 392 513	562 835,00
Czech Republic	p.m.	4 784 685	2 261 756,21
Denmark	p.m.	3 487 953	3 348 228,98
Germany	p.m.	10 915 347	10 941 079,00
Estonia	p.m.	364 152	334 638,00
Ireland	p.m.	3 453 266	5 207 662,00
Greece	p.m.	2 741 329	452 777,00
Spain	p.m.	27 503 186	5 161 577,00
France	p.m.	43 503 201	36 713 295,00
Croatia	—	—	0,—
Italy	p.m.	53 237 596	25 185 874,00
Cyprus	p.m.	1 207 563	919 896,00
Latvia	p.m.	244 042	379 038,23
Lithuania	p.m.	768 575	527 852,00
Luxembourg	p.m.	37 104	– 467 949,00
Hungary	p.m.	2 508 198	828 565,75
Malta	p.m.	344 459	320 963,00
Netherlands	p.m.	5 167 025	1 088 457,00
Austria	p.m.	1 172 371	439 387,00
Poland	p.m.	9 539 521	4 174 448,00
Portugal	p.m.	2 909 281	2 496 000,00
Romania	p.m.	2 915 322	– 374 513,82
Slovenia	p.m.	702 416	896 466,00
Slovakia	p.m.	1 459 572	913 354,00
Finland	p.m.	4 044 692	822 308,00
Sweden	p.m.	1 743 115	839 645,02
United Kingdom	p.m.	– 197 962 241	– 126 515 529,37
Item 3 5 0 4 — Total	p.m.	0.	– 18 021 343,00

**CHAPTER 3 6 — RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM****3 6 0      *Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom*****3 6 0 4      Result of intermediate updates of the calculation of the financing of the correction of budgetary imbalances for the United Kingdom**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	0,—	– 19 260 403,04

*Remarks*

This item is intended to record the difference between previously budgeted and the latest intermediate update of the UK correction before the final calculations are made.

The figures for 2014 correspond to the result of the intermediate calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the year 2011.

The figures for 2015 correspond to the result of the intermediate calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of the correction for the years 2012 and 2013.

*Legal basis*

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Articles 4 and 5 thereof.



**CHAPTER 3 6 — RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM** (*cont'd*)

**3 6 0** (*cont'd*)

**3 6 0 4** (*cont'd*)

Member State	Budget 2016	Budget 2015	Outturn 2014
Belgium	p.m.	41 696 056	8 101 453,00
Bulgaria	p.m.	5 399 849	1 375 381,00
Czech Republic	p.m.	16 597 084	4 575 664,73
Denmark	p.m.	26 100 869	7 280 440,58
Germany	p.m.	59 409 243	18 309 269,00
Estonia	p.m.	2 731 925	885 630,00
Ireland	p.m.	26 072 954	8 409 370,00
Greece	p.m.	16 075 510	3 438 553,00
Spain	p.m.	119 160 675	21 543 140,00
France	p.m.	231 245 357	58 179 865,00
Croatia	p.m.	2 783 075	0,—
Italy	p.m.	184 985 384	37 543 615,00
Cyprus	p.m.	3 521 069	479 335,00
Latvia	p.m.	1 747 001	1 323 328,46
Lithuania	p.m.	4 386 557	1 324 873,00
Luxembourg	p.m.	– 1 314 718	– 29 470,00
Hungary	p.m.	12 478 629	4 517 318,30
Malta	p.m.	1 555 077	438 532,00
Netherlands	p.m.	17 466 600	2 529 744,00
Austria	p.m.	4 156 024	1 155 028,00
Poland	p.m.	38 554 600	17 679 999,58
Portugal	p.m.	22 456 571	5 178 017,00
Romania	p.m.	19 182 854	307 073,59
Slovenia	p.m.	4 698 046	1 156 634,00
Slovakia	p.m.	6 628 582	1 786 552,00
Finland	p.m.	18 511 657	1 891 154,00
Sweden	p.m.	6 785 813	2 784 569,89
United Kingdom	p.m.	– 893 072 343	– 231 425 473,17
Item 3 6 0 4 — Total	p.m.	0	– 19 260 403,04

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

## CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 4 0				
4 0 0	<i>Proceeds from the tax on the salaries, wages and allowances of Members of the institutions, officials, other servants and persons in receipt of a pension, and members of the governing bodies of the European Investment Bank, the European Central Bank, the European Investment Fund, their staff and persons in receipt of a pension</i>	718 322 869	677 271 687	674 287 546,04	93,87
4 0 3	<i>Proceeds from the temporary contribution from the salaries of Members of the institutions, officials and other servants in active employment</i>	p.m.	p.m.	383 929,45	
4 0 4	<i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institutions, officials and other servants in active employment</i>	80 907 654	76 200 621	100 808 264,55	124,60
	CHAPTER 4 0 — TOTAL	799 230 523	753 472 308	775 479 740,04	97,03
	CHAPTER 4 1				
4 1 0	<i>Staff contributions to the pension scheme</i>	426 470 277	421 735 470	348 693 712,84	81,76
4 1 1	<i>Transfer or purchase of pension rights by staff</i>	100 592 938	103 896 621	109 386 819,46	108,74
4 1 2	<i>Contributions to the pensions scheme by officials and temporary staff on leave on personal grounds</i>	110 000	110 000	97 727,29	88,84
	CHAPTER 4 1 — TOTAL	527 173 215	525 742 091	458 178 259,59	86,91
	CHAPTER 4 2				
4 2 0	<i>Employer's contribution by decentralised agencies and international organisations to the pension scheme</i>	21 623 969	21 738 484	17 570 830,30	81,26
4 2 1	<i>Contributions by Members of the European Parliament to the pension scheme</i>	p.m.	p.m.	0,—	
	CHAPTER 4 2 — TOTAL	21 623 969	21 738 484	17 570 830,30	81,26
	Title 4 — Total	1 348 027 707	1 300 952 883	1 251 228 829,93	92,82

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from the tax on the salaries, wages and allowances of Members of the institutions, officials, other servants and persons in receipt of a pension, and members of the governing bodies of the European Investment Bank, the European Central Bank, the European Investment Fund, their staff and persons in receipt of a pension*

Financial year 2016	Financial year 2015	Financial year 2014
718 322 869	677 271 687	674 287 546,04

## Remarks

This revenue represents all the tax levied on salaries, wages and emoluments of every type, with the exception of benefits and family allowances paid to Members of the Commission, officials, other servants and persons in receipt of the compensation payments on termination of employment referred to in Chapter 01 of each title of the statement of expenditure and to persons in receipt of a pension.

European Parliament	73 484 272
Council	25 490 000
Commission:	475 896 378
— administration	(379 720 000)
— research and technological development	(18 676 561)
— research (indirect actions)	(16 683 776)
— European Anti-Fraud Office (OLAF)	(3 255 000)
— European Personnel Selection Office (EPSO)	(684 000)
— Office for Infrastructure and Logistics in Brussels (OIB)	(2 604 000)
— Office for Infrastructure and Logistics in Luxembourg (OIL)	(873 000)
— Office for Administration and Payment of Individual Entitlements (PMO)	(1 308 000)
— Publications Office of the European Union (OP)	(3 398 000)
— Agency for the Cooperation of Energy Regulators (ACER)	(231 893)
— Agency for the Operational Management of Large-scale IT Systems in the Area of Freedom, Security and Justice (eu-LISA)	(575 397)
— Bio-based Industries Joint Undertaking (BBI)	(26 543)
— Body of European Regulators for Electronic Communications (BEREC)	(70 671)
— Clean Sky Joint Undertaking (CSJU)	(198 436)
— Community Plant Variety Office (CPVO)	(271 893)
— Consumers, Health, Agriculture and Food Executive Agency (Chafea, ex-EAHC)	(205 450)
— Education, Audiovisual and Culture Executive Agency (EACEA)	(1 258 625)
— Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL, ex-Artemis and ENIAC)	(132 131)
— European Agency for Safety and Health at Work (EU-OSHA)	(259 580)

**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 0** (*cont'd*)

— European Agency for the Management of Operational Cooperation at the External Borders (Frontex)	(879 355)
— European Asylum Support Office (EASO)	(229 585)
— European Aviation Safety Agency (EASA)	(4 335 231)
— European Banking Authority (EBA)	(1 324 237)
— European Body for the Enhancement of Judicial Cooperation (Eurojust)	(803 500)
— European Centre for Disease Prevention and Control (ECDC)	(1 619 141)
— European Centre for the Development of Vocational Training (Cedefop)	(564 801)
— European Chemicals Agency (ECHA)	(3 638 825)
— European Environment Agency (EEA)	(1 353 711)
— European Fisheries Control Agency (EFCA)	(328 585)
— European Food Safety Authority (EFSA)	(1 726 786)
— European Foundation for the Improvement of Living and Working Conditions (Eurofound)	(743 061)
— European GNSS Agency (Galileo)	(520 980)
— European Institute for Gender Equality (EIGE)	(139 683)
— European Institute of Innovation and Technology (EIT)	(161 678)
— European Insurance and Occupational Pensions Authority (EIOPA)	(625 754)
— European Joint Undertaking for ITER and the Development of Fusion Energy (F4E)	(2 058 636)
— European Maritime Safety Agency (EMSA)	(963 262)
— European Medicines Agency (EMA)	(5 461 786)
— European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	(565 560)
— European Network and Information Security Agency (ENISA)	(258 185)
— European Police College (CEPOL)	(173 018)
— European Police Office (Europol)	(2 846 113)
— European Rail Joint Undertaking (Shift2Rail)	(10 529)
— European Railway Agency (ERA)	(917 935)
— European Research Council Executive Agency (ERCEA)	(1 261 487)
— European Securities and Markets Authority (ESMA)	(915 273)
— European Training Foundation (ETF)	(842 296)
— European Union Agency for Fundamental Rights (FRA)	(504 570)
— Executive Agency for Small and Medium-sized Enterprises (EASME ex-EACI)	(815 240)
— Fuel Cells and Hydrogen Technology Joint Undertaking (FCH)	(131 711)
— Innovation and Networks Executive Agency (INEA ex-TEN-T EA)	(532 406)
— Innovative Medicines Initiative Joint Undertaking (IMI)	(271 910)
— New Generation European Air Traffic Management System Joint Undertaking (SESAR)	(234 397)
— Office for Harmonization in the Internal Market (OHIM)	(4 301 236)
— Research Executive Agency (REA)	(1 307 504)
— Single Resolution Board (SRB)	(909 095)

**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 0** (*cont'd*)

— Translation Centre for the bodies of the European Union (CdT)	(1 186 360)	
Court of Justice of the European Union		27 907 000
Court of Auditors		11 192 000
European Economic and Social Committee		4 567 558
Committee of the Regions		3 636 656
European Ombudsman		644 005
European Data Protection Supervisor		495 000
European External Action Service		19 861 000
European Investment Bank		43 900 000
European Central Bank		27 800 000
European Investment Fund		3 449 000
	Total	718 322 869

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Council Regulation No 422/67/EEC, No 5/67/Euratom of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ L 187, 8.8.1967, p. 1).

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of Employment of Staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15).

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (*cont'd*)4 0 0 (*cont'd*)

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35).

Council Decision 2009/910/EU of 1 December 2009 laying down the conditions of employment of the High Representative of the Union for Foreign Affairs and Security Policy (OJ L 322, 9.12.2009, p. 36).

Council Decision 2009/912/EU of 1 December 2009 laying down the conditions of employment of the Secretary-General of the Council of the European Union (OJ L 322, 9.12.2009, p. 38).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institutions, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	383 929,45

*Remarks*

The provisions concerning the temporary contribution applied until 30 June 2003. Therefore this line will cover any revenue resulting from the residual amount of the temporary contribution from the salaries of Members of the Commission, officials and other servants in active employment.

European Parliament	p.m.
Council	p.m.
Commission:	p.m.
— administration	(p.m.)
— research and technological development	(p.m.)
— research (indirect actions)	(p.m.)
— European Personnel Selection Office (EPSO)	(p.m.)
— European Anti-Fraud Office (OLAF)	(p.m.)
— Office for Infrastructure and Logistics in Brussels (OIB)	(p.m.)
— Office for Infrastructure and Logistics in Luxembourg (OIL)	(p.m.)
— Office for Administration and Payment of Individual Entitlements (PMO)	(p.m.)
— Publications Office of the European Union (OP)	(p.m.)
— Community Plant Variety Office (CPVO)	(p.m.)
— European Body for the Enhancement of Judicial Cooperation (Eurojust)	(p.m.)
— European Agency for Safety and Health at Work (EU-OSHA)	(p.m.)
— European Medicines Agency (EMA)	(p.m.)
— European Aviation Safety Agency (EASA)	(p.m.)
— European Centre for the Development of Vocational Training (Cedefop)	(p.m.)

**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 3** (*cont'd*)

— European Environment Agency (EEA)	(p.m.)	
— European Food Safety Authority (EFSA)	(p.m.)	
— European Foundation for the Improvement of Living and Working Conditions (Eurofound)	(p.m.)	
— European Maritime Safety Agency (EMSA)	(p.m.)	
— European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	(p.m.)	
— European Union Agency for Fundamental Rights (FRA)	(p.m.)	
— European Training Foundation (ETF)	(p.m.)	
— Office for Harmonization in the Internal Market (OHIM)	(p.m.)	
— Translation Centre for the bodies of the European Union (CdT)	(p.m.)	
Court of Justice of the European Union		p.m.
Court of Auditors		p.m.
European Economic and Social Committee		p.m.
Committee of the Regions		p.m.
European Ombudsman		p.m.
European Data Protection Supervisor		p.m.
	Total	p.m.

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Council Regulation No 422/67/EEC, No 5/67/Euratom of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

**4 0 4*****Proceeds from the special levy and the solidarity levy on the salaries of Members of the institutions, officials and other servants in active employment***

Financial year 2016	Financial year 2015	Financial year 2014
80 907 654	76 200 621	100 808 264,55

*Remarks*

This article is intended to record the proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment in accordance with Article 66a of the Staff Regulations.

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (*cont'd*)

## 4 0 4

(*cont'd*)

European Parliament	10 797 731
Council	3 192 000
Commission:	54 463 584
— administration	(33 925 000)
— research and technological development	(4 668 696)
— research (indirect actions)	(3 955 390)
— European Anti-Fraud Office (OLAF)	(654 000)
— European Personnel Selection Office (EPSO)	(131 000)
— Office for Infrastructure and Logistics in Brussels (OIB)	(443 000)
— Office for Infrastructure and Logistics in Luxembourg (OIL)	(153 000)
— Office for Administration and Payment of Individual Entitlements (PMO)	(246 000)
— Publications Office of the European Union (OP)	(687 000)
— Agency for the Cooperation of Energy Regulators (ACER)	(70 939)
— Agency for the Operational Management of Large-scale IT Systems in the Area of Freedom, Security and Justice (eu-LISA)	(67 652)
— Bio-based Industries Joint Undertaking (BBI)	(4 248)
— Body of European Regulators for Electronic Communications (BEREC)	(18 066)
— Clean Sky Joint Undertaking (CSJU)	(42 820)
— Community Plant Variety Office (CPVO)	(55 164)
— Consumers, Health, Agriculture and Food Executive Agency (Chafea, ex-EAHC)	(39 877)
— Education, Audiovisual and Culture Executive Agency (EACEA)	(210 161)
— Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL, ex-Artemis and ENIAC)	(28 558)
— European Agency for Safety and Health at Work (EU-OSHA)	(56 760)
— European Agency for the Management of Operational Cooperation at the External Borders (Frontex)	(267 941)
— European Asylum Support Office (EASO)	(51 249)
— European Aviation Safety Agency (EASA)	(1 027 061)
— European Banking Authority (EBA)	(186 645)
— European Body for the Enhancement of Judicial Cooperation (Eurojust)	(123 513)
— European Centre for Disease Prevention and Control (ECDC)	(216 261)
— European Centre for the Development of Vocational Training (Cedefop)	(133 677)
— European Chemicals Agency (ECHA)	(624 311)
— European Environment Agency (EEA)	(215 937)
— European Fisheries Control Agency (EFCA)	(81 532)
— European Food Safety Authority (EFSA)	(410 436)



**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 4** (*cont'd*)

— European Foundation for the Improvement of Living and Working Conditions (Eurofound)	(134 366)	
— European GNSS Agency (Galileo)	(146 103)	
— European Institute for Gender Equality (EIGE)	(40 536)	
— European Institute of Innovation and Technology (EIT)	(47 040)	
— European Insurance and Occupational Pensions Authority (EIOPA)	(139 567)	
— European Joint Undertaking for ITER and the Development of Fusion Energy (F4E)	(451 994)	
— European Maritime Safety Agency (EMSA)	(276 665)	
— European Medicines Agency (EMA)	(716 620)	
— European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	(153 182)	
— European Network and Information Security Agency (ENISA)	(64 034)	
— European Police College (CEPOL)	(30 070)	
— European Police Office (Europol)	(656 819)	
— European Rail Joint Undertaking (Shift2Rail)	(1 489)	
— European Railway Agency (ERA)	(194 656)	
— European Research Council Executive Agency (ERCEA)	(236 326)	
— European Securities and Markets Authority (ESMA)	(175 045)	
— European Training Foundation (ETF)	(175 912)	
— European Union Agency for Fundamental Rights (FRA)	(110 215)	
— Executive Agency for Small and Medium-sized Enterprises (EASME, ex-EACI)	(120 826)	
— Fuel Cells and Hydrogen Technology Joint Undertaking (FCH)	(34 624)	
— Innovation and Networks Executive Agency (INEA, ex-TEN-T EA)	(100 006)	
— Innovative Medicines Initiative Joint Undertaking (IMI)	(58 707)	
— New Generation European Air Traffic Management System Joint Undertaking (SESAR)	(51 542)	
— Office for Harmonization in the Internal Market (OHIM)	(921 184)	
— Research Executive Agency (REA)	(229 524)	
— Single Resolution Board (SRB)	(140 479)	
— Translation Centre for the bodies of the European Union (CdT)	(260 159)	
Court of Justice of the European Union		5 147 000
Court of Auditors		1 800 000
European Economic and Social Committee		880 233
Committee of the Regions		706 771
European Ombudsman		70 335
European Data Protection Supervisor		83 000
European External Action Service		3 767 000
	Total	80 907 654

**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 4** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

Council Regulation No 422/67/EEC, No 5/67/Euratom of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ L 187, 8.8.1967, p. 1).

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15).

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35).

Council Decision 2009/910/EU of 1 December 2009 laying down the conditions of employment of the High Representative of the Union for Foreign Affairs and Security Policy (OJ L 322, 9.12.2009, p. 36).

Council Decision 2009/912/EU of 1 December 2009 laying down the conditions of employment of the Secretary-General of the Council of the European Union (OJ L 322, 9.12.2009, p. 38).

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME****4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
426 470 277	421 735 470	348 693 712,84

*Remarks*

The revenue represents staff contributions to the financing of the pension scheme.

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME (*cont'd*)

## 4 1 0

(*cont'd*)

European Parliament	59 667 459
Council	21 733 000
Commission:	292 000 614
— administration	(182 465 000)
— research and technological development	(18 468 895)
— research (indirect actions)	(16 477 720)
— European Anti-Fraud Office (OLAF)	(3 302 000)
— European Personnel Selection Office (EPSO)	(907 000)
— Office for Infrastructure and Logistics in Brussels (OIB)	(4 724 000)
— Office for Infrastructure and Logistics in Luxembourg (OIL)	(1 446 000)
— Office for Administration and Payment of Individual Entitlements (PMO)	(2 396 000)
— Publications Office of the European Union (OP)	(4 340 000)
— Agency for the Cooperation of Energy Regulators (ACER)	(430 236)
— Agency for the Operational Management of Large-scale IT Systems in the Area of Freedom, Security and Justice (eu-LISA)	(827 848)
— Bio-based Industries Joint Undertaking (BBI)	(37 417)
— Body of European Regulators for Electronic Communications (BEREC)	(113 262)
— Clean Sky Joint Undertaking (CSJU)	(191 657)
— Community Plant Variety Office (CPVO)	(297 514)
— Consumers, Health, Agriculture and Food Executive Agency (Chafea, ex-EAHC)	(288 996)
— Education, Audiovisual and Culture Executive Agency (EACEA)	(1 980 724)
— Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL, ex-Artemis and ENIAC)	(156 557)
— European Agency for Safety and Health at Work (EU-OSHA)	(374 386)
— European Agency for the Management of Operational Cooperation at the External Borders (Frontex)	(1 438 297)
— European Asylum Support Office (EASO)	(367 562)
— European Aviation Safety Agency (EASA)	(5 167 979)
— European Banking Authority (EBA)	(947 222)
— European Body for the Enhancement of Judicial Cooperation (Eurojust)	(1 161 506)
— European Centre for Disease Prevention and Control (ECDC)	(1 572 724)
— European Centre for the Development of Vocational Training (Cedefop)	(752 900)
— European Chemicals Agency (ECHA)	(3 534 056)

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (*cont'd*)**4 1 0** (*cont'd*)

— European Environment Agency (EEA)	(1 270 415)
— European Fisheries Control Agency (EFCA)	(399 690)
— European Food Safety Authority (EFSA)	(2 514 865)
— European Foundation for the Improvement of Living and Working Conditions (Eurofound)	(726 087)
— European GNSS Agency (Galileo)	(827 978)
— European Institute for Gender Equality (EIGE)	(239 850)
— European Institute of Innovation and Technology (EIT)	(341 753)
— European Insurance and Occupational Pensions Authority (EIOPA)	(747 532)
— European Joint Undertaking for ITER and the Development of Fusion Energy (F4E)	(2 645 185)
— European Maritime Safety Agency (EMSA)	(1 471 858)
— European Medicines Agency (EMA)	(4 390 382)
— European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	(730 558)
— European Network and Information Security Agency (ENISA)	(391 208)
— European Police College (CEPOL)	(176 023)
— European Police Office (Europol)	(3 489 707)
— European Rail Joint Undertaking (Shift2Rail)	(22 827)
— European Railway Agency (ERA)	(1 002 769)
— European Research Council Executive Agency (ERCEA)	(1 915 843)
— European Securities and Markets Authority (ESMA)	(973 209)
— European Training Foundation (ETF)	(905 763)
— European Union Agency for Fundamental Rights (FRA)	(642 697)
— Executive Agency for Small and Medium-sized Enterprises (EASME, ex-EACI)	(1 156 340)
— Fuel Cells and Hydrogen Technology Joint Undertaking (FCH)	(153 548)
— Innovation and Networks Executive Agency (INEA, ex-TEN-T EA)	(785 921)
— Innovative Medicines Initiative Joint Undertaking (IMI)	(278 112)
— New Generation European Air Traffic Management System Joint Undertaking (SESAR)	(260 787)
— Office for Harmonization in the Internal Market (OHIM)	(5 086 470)
— Research Executive Agency (REA)	(2 478 891)
— Single Resolution Board (SRB)	(325 335)
— Translation Centre for the bodies of the European Union (CdT)	(1 481 553)

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (*cont'd*)**4 1 0** (*cont'd*)

Court of Justice of the European Union	18 451 000
Court of Auditors	7 496 000
European Economic and Social Committee	5 338 577
Committee of the Regions	3 772 117
European Ombudsman	518 510
European Data Protection Supervisor	394 000
European External Action Service	17 099 000
<b>Total</b>	<b>426 470 277</b>

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of Employment of Staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

**4 1 1** *Transfer or purchase of pension rights by staff*

Financial year 2016	Financial year 2015	Financial year 2014
100 592 938	103 896 621	109 386 819,46

*Remarks*

The revenue represents the payment to the Union of the actuarial equivalent or the flat-rate redemption value of pension rights acquired by officials in their previous jobs.

European Parliament	9 100 000
Council	p.m.
Commission	91 492 938
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
<b>Total</b>	<b>100 592 938</b>

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (*cont'd*)**4 1 1** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union.

**4 1 2** *Contributions to the pensions scheme by officials and temporary staff on leave on personal grounds*

Financial year 2016	Financial year 2015	Financial year 2014
110 000	110 000	97 727,29

*Remarks*

Officials and other servants taking leave on personal grounds may continue to acquire pension rights provided that they also bear the cost of the employer's contribution.

European Parliament	10 000
Council	p.m.
Commission	100 000
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	110 000

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME****4 2 0** *Employer's contribution by decentralised agencies and international organisations to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
21 623 969	21 738 484	17 570 830,30

CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME (*cont'd*)4 2 0 (*cont'd*)*Remarks*

The revenue represents the employer's contribution by decentralised agencies and international organisations to the pension scheme.

Commission

21 623 969

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## 4 2 1

***Contributions by Members of the European Parliament to the pension scheme***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

The revenue represents contributions by Members of the European Parliament to the financing of the pension scheme.

European Parliament

p.m.

*Legal basis*

Rules governing the payment of expenses and allowances to Members of the European Parliament, and in particular Annex III thereto.

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 0				
5 0 0	<i>Proceeds from the sale of movable property (supply of goods)</i>				
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.	16 070,—	
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue	p.m.	p.m.	325 842,05	
5 0 0 2	Proceeds from the supply of goods to other institutions or bodies — Assigned revenue	p.m.	p.m.	667 661,62	
	Article 5 0 0 — Total	p.m.	p.m.	1 009 573,67	
5 0 1	<i>Proceeds from the sale of immovable property</i>	p.m.	p.m.	0,—	
5 0 2	<i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i>	p.m.	p.m.	345 124,64	
	CHAPTER 5 0 — TOTAL	p.m.	p.m.	1 354 698,31	
	CHAPTER 5 1				
5 1 0	<i>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</i>	p.m.	p.m.	649,34	
5 1 1	<i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i>				
5 1 1 0	Proceeds from letting and subletting immovable property — Assigned revenue	p.m.	p.m.	17 113 535,02	
5 1 1 1	Reimbursement of charges connected with lettings — Assigned revenue	p.m.	p.m.	2 289 800,67	
	Article 5 1 1 — Total	p.m.	p.m.	19 403 335,69	
	CHAPTER 5 1 — TOTAL	p.m.	p.m.	19 403 985,03	
	CHAPTER 5 2				
5 2 0	<i>Revenue from investments or loans granted, bank and other interest on the institutions' accounts</i>	455 129	453 674	2 142 331,10	470,71
5 2 1	<i>Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission</i>	10 000 000	50 000 000	15 550 692,95	155,51
5 2 2	<i>Interest yielded by pre-financing</i>	40 000 000	40 000 000	18 567 546,46	46,42
5 2 3	<i>Revenue generated on Trust Accounts — Assigned revenue</i>	p.m.	p.m.	123 434,10	
	CHAPTER 5 2 — TOTAL	50 455 129	90 453 674	36 384 004,61	72,11



**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK****CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS****CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 5				
5 5 0	<i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i>	p.m.	p.m.	37 192 210,99	
5 5 1	<i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i>	p.m.	p.m.	4 413 932,61	
	CHAPTER 5 5 — TOTAL	p.m.	p.m.	41 606 143,60	
	CHAPTER 5 7				
5 7 0	<i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>	p.m.	p.m.	43 544 889,76	
5 7 1	<i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>	p.m.	p.m.	20 899,93	
5 7 2	<i>Repayment of welfare expenditure incurred on behalf of another institution</i>	p.m.	p.m.	0,—	
5 7 3	<i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>	p.m.	p.m.	164 795 528,82	
5 7 4	<i>Revenue arising from the Commission contribution to the European External Action Service (EEAS) for Commission staff working in Union delegations — Assigned revenue</i>	p.m.	p.m.	263 844 850,57	
	CHAPTER 5 7 — TOTAL	p.m.	p.m.	472 206 169,08	
	CHAPTER 5 8				
5 8 0	<i>Revenue from payments connected with lettings — Assigned revenue</i>	p.m.	p.m.	17 828,08	
5 8 1	<i>Revenue from insurance payments received — Assigned revenue</i>	p.m.	p.m.	549 164,94	
	CHAPTER 5 8 — TOTAL	p.m.	p.m.	566 993,02	
	CHAPTER 5 9				
5 9 0	<i>Other revenue from administrative operations</i>	5 000 000	4 000 000	6 557 510,20	131,15
	CHAPTER 5 9 — TOTAL	5 000 000	4 000 000	6 557 510,20	131,15
	<b>Title 5 — Total</b>	<b>55 455 129</b>	<b>94 453 674</b>	<b>578 079 503,85</b>	<b>1 042,43</b>

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	16 070,—

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institutions.

It also records the proceeds from the sale of vehicles that are being replaced or scrapped when their book value is fully depreciated.

In accordance with Article 21(3)(a) and (b) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	325 842,05

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institutions.

It also records the proceeds from the sale of equipment, installations, materials, and scientific and technical apparatus which are being replaced or scrapped when the book value is fully depreciated.

**CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY** (*cont'd*)**5 0 0** (*cont'd*)**5 0 0 1** (*cont'd*)

In accordance with Article 21(3)(a) and (b) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

**5 0 0 2** Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	667 661,62

*Remarks*

In accordance with Article 21(3)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY (*cont'd*)5 0 1 *Proceeds from the sale of immovable property*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institutions.

5 0 2 *Proceeds from the sale of publications, printed works and films — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	345 124,64

*Remarks*

In accordance with Article 21(3)(h) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article also contains revenue from the sale of such products on an electronic medium.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
	<hr/>
Total	p.m.

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

5 1 0 *Proceeds from the hiring-out of furniture and equipment — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	649,34

**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING** (*cont'd*)**5 1 0** (*cont'd*)*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

**5 1 1** *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings***5 1 1 0** Proceeds from letting and subletting immovable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	17 113 535,02

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING** (*cont'd*)**5 1 1** (*cont'd*)**5 1 1 1** Reimbursement of charges connected with lettings — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	2 289 800,67

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank and other interest on the institutions' accounts*

Financial year 2016	Financial year 2015	Financial year 2014
455 129	453 674	2 142 331,10

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest credited or debited on the institutions' accounts.

European Parliament	410 000
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	40 000
Committee of the Regions	5 129
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	455 129

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST (*cont'd*)**5 2 1** *Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission*

Financial year 2016	Financial year 2015	Financial year 2014
10 000 000	50 000 000	15 550 692,95

*Remarks*

This article is intended to record the revenue accruing from the repayment of interest by the subsidised organisations which have placed advances received from the Commission on interest-bearing accounts. If they remain unused, these advances and the interest on them have to be repaid to the Commission.

Commission

10 000 000

**5 2 2** *Interest yielded by pre-financing*

Financial year 2016	Financial year 2015	Financial year 2014
40 000 000	40 000 000	18 567 546,46

*Remarks*

This article is intended to record revenue from the interest yielded by pre-financing.

In accordance with Article 21(3)(d) of the Financial Regulation, this revenue may be used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Interest generated by pre-financing payments made from the budget shall not be due to the Union except as otherwise provided for in the delegation agreements, with the exception of those agreements concluded with third countries or the bodies they have designated. In cases in which it is provided for, such interest shall be reused for the corresponding action, deducted from payment requests in accordance with point (c) of the first subparagraph of Article 23(1) of the Financial Regulation, or recovered.

Delegated Regulation (EU) No 1268/2012 also lays down provisions regarding the accounting of interest yielded on pre-financing.

Commission

40 000 000

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(3)(d) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST (*cont'd*)5 2 3 *Revenue generated on Trust Accounts — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	123 434,10

*Remarks*

This article is intended to record interest and other revenue generated on Trust Accounts.

The Trust Accounts are kept on behalf of the Union by international financial institutions (European Investment Fund, European Investment Bank, Council of Europe Development Bank/Kreditanstalt für Wiederaufbau, European Bank for Reconstruction and Development) managing Union programmes and the amounts paid in by the Union remain on the account until they are made available to the beneficiaries under the single programme, such as small and medium-sized enterprises or institutions managing projects in accession countries.

In accordance with Article 21(4) of the Financial Regulation, interest generated by Trust Accounts used for Union programmes are used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

5 5 0 *Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	37 192 210,99

*Remarks*

In accordance with point (e) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.



CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK (*cont'd*)5 5 0 (*cont'd*)

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

5 5 1 *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	4 413 932,61

*Remarks*

In accordance with point (a) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS

5 7 0 *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	43 544 889,76

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS** (cont'd)

**5 7 0** (cont'd)

*Remarks*

In accordance with point (c) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	20 899,93

*Remarks*

In accordance with point (d) of Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS** (*cont'd*)

**5 7 2 Repayment of welfare expenditure incurred on behalf of another institution**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

**Remarks**

This article is intended to record revenue from the repayment of welfare expenditure incurred on behalf of another institution.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

**5 7 3 Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	164 795 528,82

**Remarks**

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS** (cont'd)

**5 7 4** *Revenue arising from the Commission contribution to the European External Action Service (EEAS) for Commission staff working in Union delegations — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	263 844 850,57

*Remarks*

This revenue arises from a Commission contribution to the EEAS for covering locally managed expenses of Commission staff working in Union delegations, including Commission staff funded by the European Development Fund (EDF).

In accordance with Article 21(2) and (3) of the Financial Regulation, any revenue will be used to provide additional appropriations for Item 3 0 0 5 in the statement of expenditure in Section X 'European External Action Service'.

European External Action Service p.m.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**5 8 0** *Revenue from payments connected with lettings — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	17 828,08

*Remarks*

In accordance with point (g) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
<b>Total</b>	<b>p.m.</b>

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION** (*cont'd*)**5 8 1** *Revenue from insurance payments received — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	549 164,94

*Remarks*

In accordance with point (f) of Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article is also intended to record revenue arising from reimbursement by insurance companies of the salaries of officials involved in accidents.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	p.m.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS****5 9 0** *Other revenue from administrative operations*

Financial year 2016	Financial year 2015	Financial year 2014
5 000 000	4 000 000	6 557 510,20

*Remarks*

This article is intended to record other revenue from administrative operations.

European Parliament	p.m.
Council	p.m.
Commission	5 000 000
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	5 000 000

## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 6 0				
<b>6 0 1</b>	<b>Miscellaneous research programmes</b>				
6 0 1 1	Switzerland/Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue	p.m.	p.m.	0,—	
6 0 1 2	European fusion development agreements (EFDAs) — Assigned revenue	p.m.	p.m.	1 916 000,—	
6 0 1 3	Cooperation agreements with third countries under Union research programmes — Assigned revenue	p.m.	p.m.	188 328 679,52	
6 0 1 5	Cooperation agreements with institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue	p.m.	p.m.	0,—	
6 0 1 6	Agreements for European cooperation in the field of scientific and technical research — Assigned revenue	p.m.	p.m.	0,—	
	Article 6 0 1 — Total	p.m.	p.m.	190 244 679,52	
<b>6 0 2</b>	<b>Other programmes</b>				
6 0 2 1	Miscellaneous revenue relating to humanitarian aid — Assigned revenue	p.m.	p.m.	49 927 571,36	
	Article 6 0 2 — Total	p.m.	p.m.	49 927 571,36	
<b>6 0 3</b>	<b>Association agreements between the Union and third countries</b>				
6 0 3 1	Revenue accruing from the participation of the candidate countries and the western Balkan potential candidates in Union programmes — Assigned revenue	p.m.	p.m.	200 597 560,18	
6 0 3 2	Revenue accruing from the participation of third countries, other than candidate countries and western Balkan potential candidates in customs cooperation agreements — Assigned revenue	p.m.	p.m.	670 748,—	
6 0 3 3	Participation of third countries or outside bodies in Union activities — Assigned revenue	p.m.	p.m.	135 583 121,90	
	Article 6 0 3 — Total	p.m.	p.m.	336 851 430,08	
	CHAPTER 6 0 — TOTAL	p.m.	p.m.	577 023 680,96	
	CHAPTER 6 1				
<b>6 1 1</b>	<b>Repayment of expenditure incurred on behalf of one or more Member States</b>				
6 1 1 3	Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue	p.m.	p.m.	53 081 071,04	

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (cont'd)

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>6 1 1</b>	(cont'd)				
6 1 1 4	Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel	p.m.	p.m.	0,—	
	<i>Article 6 1 1 — Total</i>	p.m.	p.m.	53 081 071,04	
<b>6 1 2</b>	<b>Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue</b>	p.m.	p.m.	1 937,74	
<b>6 1 4</b>	<b>Repayment of Union support to commercially successful projects and activities</b>				
6 1 4 3	Repayment of Union support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue	p.m.	p.m.	0,—	
6 1 4 4	Repayment of Union support to risk-sharing instruments financed from the European Regional Development Fund and the Cohesion Fund — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 1 4 — Total</i>	p.m.	p.m.	0,—	
<b>6 1 5</b>	<b>Repayment of unused Union aid</b>				
6 1 5 0	Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, European Union Solidarity Fund, ISPA, IPA, EFF, FEAD, EMFF and European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue	p.m.	p.m.	67 212 656,57	
6 1 5 1	Repayment of unused subsidies for balancing budgets — Assigned revenue	p.m.	p.m.	0,—	
6 1 5 2	Repayment of unused interest subsidies — Assigned revenue	p.m.	p.m.	0,—	
6 1 5 3	Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue	p.m.	p.m.	0,—	
6 1 5 7	Repayments of payments on account under the Structural Funds, the Cohesion Fund, the European Fisheries Fund, the Fund for European Aid to the Most Deprived, the European Maritime and Fisheries Fund and the European Agricultural Fund for Rural Development — Assigned revenue	p.m.	p.m.	0,30	
6 1 5 8	Repayment of miscellaneous unused Union aid — Assigned revenue	p.m.	p.m.	582 627,32	
	<i>Article 6 1 5 — Total</i>	p.m.	p.m.	67 795 284,19	
<b>6 1 6</b>	<b>Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue</b>	p.m.	p.m.	0,—	
<b>6 1 7</b>	<b>Repayment of amounts paid in connection with Union aid to third countries</b>				
6 1 7 0	Repayments within the framework of cooperation with South Africa — Assigned revenue	p.m.	p.m.	1 754,84	
	<i>Article 6 1 7 — Total</i>	p.m.	p.m.	1 754,84	

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)  
**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>6 1 8</b>	<b><i>Repayment of amounts paid in connection with food aid</i></b>				
6 1 8 0	Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue	p.m.	p.m.	15 691,39	
6 1 8 1	Repayment of additional costs caused by the recipients of food aid — Assigned revenue	p.m.	p.m.	10 000,—	
	Article 6 1 8 — Total	p.m.	p.m.	25 691,39	
<b>6 1 9</b>	<b><i>Repayment of other expenditure incurred on behalf of outside bodies</i></b>				
6 1 9 1	Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 77/270/Euratom — Assigned revenue	p.m.	p.m.	84 113,—	
	Article 6 1 9 — Total	p.m.	p.m.	84 113,—	
	CHAPTER 6 1 — TOTAL	p.m.	p.m.	120 989 852,20	
	CHAPTER 6 2				
<b>6 2 0</b>	<b><i>Supply against payment of source materials or special fissile materials (point (b) of Article 6 of the Euratom Treaty) — Assigned revenue</i></b>	p.m.	p.m.	0,—	
<b>6 2 2</b>	<b><i>Revenue from services provided by the Joint Research Centre to outside bodies against payment</i></b>				
6 2 2 1	Revenue from the operation of the high-flux reactor (HFR) to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	7 994 736,64	
6 2 2 3	Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	8 111 453,33	
6 2 2 4	Revenue from licences granted by the Commission on inventions resulting from Union research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue	p.m.	p.m.	268 933,15	
6 2 2 5	Other revenue for the Joint Research Centre — Assigned revenue	p.m.	p.m.	0,—	
6 2 2 6	Revenue from services provided by the Joint Research Centre to other services of the Commission on a competitive basis, to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	61 421 661,54	
	Article 6 2 2 — Total	p.m.	p.m.	77 796 784,66	
<b>6 2 4</b>	<b><i>Revenue from licences granted by the Commission on inventions resulting from Union research, irrespective of whether they can be patented (indirect action) — Assigned revenue</i></b>	p.m.	p.m.	0,—	
	CHAPTER 6 2 — TOTAL	p.m.	p.m.	77 796 784,66	



## CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/ 2016
	CHAPTER 6 3				
<b>6 3 0</b>	<b>Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue</b>	p.m.	p.m.	286 014 835,—	
<b>6 3 1</b>	<b>Contributions within the framework of the Schengen acquis</b>				
6 3 1 1	Contributions to administrative costs resulting from the agreement concluded with Iceland and Norway — Assigned revenue	p.m.	p.m.	1 177 275,75	
6 3 1 2	Contributions for the development, establishment, operation and use of large-scale information systems under the agreements concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue	p.m.	p.m.	2 620 721,15	
6 3 1 3	Other contributions within the framework of the Schengen acquis (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue	p.m.	p.m.	31 993,89	
	<i>Article 6 3 1 — Total</i>	p.m.	p.m.	3 829 990,79	
<b>6 3 2</b>	<b>Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue</b>	p.m.	p.m.	86 415 429,65	
<b>6 3 3</b>	<b>Contributions to certain external aid programmes</b>				
6 3 3 0	Contributions from Member States, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	11 557 494,80	
6 3 3 1	Contributions from third countries, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	0,—	
6 3 3 2	Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 3 3 — Total</i>	p.m.	p.m.	11 557 494,80	
<b>6 3 4</b>	<b>Contributions from trust funds and financial instruments — Assigned revenue</b>				
6 3 4 0	Contributions from trust funds to the management costs of the Commission — Assigned revenue	p.m.	p.m.	0,—	
6 3 4 1	Contributions from financial instruments — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 3 4 — Total</i>	p.m.	p.m.	0,—	
	CHAPTER 6 3 — TOTAL	p.m.	p.m.	387 817 750,24	

**CHAPTER 6 5 — FINANCIAL CORRECTIONS****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 6 5				
6 5 0	<i>Financial corrections prior to 2015 in connection with the ERDF, the ESF, the EAGGF-Guidance, the FIGF, the Cohesion Fund, the EFF, EMFF, Sapard and IPA — Assigned revenue</i>	—	—	118 383 863,98	
6 5 1	<i>Financial corrections related to the programming periods before 2000</i>	p.m.	p.m.		
6 5 2	<i>Financial corrections related to the programming period 2000-2006 — Assigned revenue</i>	p.m.	p.m.		
6 5 3	<i>Financial corrections related to the programming period 2007-2013 — Assigned revenue</i>	p.m.	p.m.		
6 5 4	<i>Financial corrections related to the programming period 2014-2020 — Assigned revenue</i>	p.m.	p.m.		
	CHAPTER 6 5 — TOTAL	p.m.	p.m.	118 383 863,98	
	CHAPTER 6 6				
6 6 0	<i>Other contributions and refunds</i>				
6 6 0 0	Other assigned contributions and refunds — Assigned revenue	p.m.	p.m.	850 711 696,25	
6 6 0 1	Other non-assigned contributions and refunds	60 000 000	60 000 000	8 666 423,81	14,44
	Article 6 6 0 — Total	60 000 000	60 000 000	859 378 120,06	1 432,30
	CHAPTER 6 6 — TOTAL	60 000 000	60 000 000	859 378 120,06	1 432,30
	CHAPTER 6 7				
6 7 0	<i>Revenue concerning European Agricultural Guarantee Fund</i>				
6 7 0 1	Clearance of European Agricultural Guarantee Fund accounts — Assigned revenue	p.m.	p.m.	815 588 600,63	
6 7 0 2	European Agricultural Guarantee Fund irregularities — Assigned revenue	p.m.	p.m.	150 305 663,70	
6 7 0 3	Super-levy from milk producers — Assigned revenue	p.m.	p.m.	48 284 110,03	
	Article 6 7 0 — Total	p.m.	p.m.	1 014 178 374,36	
6 7 1	<i>Revenue concerning European Agricultural Fund for Rural Development</i>				
6 7 1 1	Clearance of accounts European Agricultural Fund for Rural Development — Assigned revenue	p.m.	p.m.	69 413 810,83	
6 7 1 2	European Agricultural Fund for Rural Development irregularities — Assigned revenue	p.m.	p.m.	0,—	
	Article 6 7 1 — Total	p.m.	p.m.	69 413 810,83	
	CHAPTER 6 7 — TOTAL	p.m.	p.m.	1 083 592 185,19	
	Title 6 — Total	60 000 000	60 000 000	3 224 982 237,29	5 374,97

## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES

6 0 1 *Miscellaneous research programmes*

6 0 1 1 Switzerland/Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue resulting from cooperation agreements between Switzerland and the European Atomic Energy Community, in particular that of 14 September 1978.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 08 03 50 and 08 04 50 (indirect action) of the statement of expenditure in Section III 'Commission', depending on the expenditure to be covered.

6 0 1 2 European fusion development agreements (EFDAs) — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 916 000,—

*Remarks*

Revenue resulting from the multilateral EFDAs between the European Atomic Energy Community and its 26 fusion associates.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 08 03 50 (indirect action) of the statement of expenditure in Section III 'Commission', depending on the expenditure to be covered.

6 0 1 3 Cooperation agreements with third countries under Union research programmes — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	188 328 679,52

*Remarks*

Revenue resulting from cooperation agreements between the Union and third countries, in particular those participating in European cooperation in the field of scientific and technical research (COST) in order to associate them with Union research programmes.

Any contributions received are to cover the costs of meetings, experts' contracts and research expenditure under the programmes in question.

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)**6 0 1 3** (*cont'd*)

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 50, 05 09 50, 06 03 50, 08 02 50, 08 03 50, 08 04 50, 09 04 50, 15 03 50, 32 04 50 (indirect action), 10 02 50 and 10 03 50 of the statement of expenditure in Section III 'Commission', depending on the expenditure to be covered.

*Legal basis*

Council Decision 2008/372/EC of 12 February 2008 on the signing and provisional application of a Protocol to the Euro-Mediterranean Agreement establishing an association between the European Communities and their Member States, of the one part, and the State of Israel, of the other part, on a framework Agreement between the European Community and the State of Israel on the general principles governing the State of Israel's participation in Community programmes (OJ L 129, 17.5.2008, p. 39).

Council Decision 2011/28/EU of 12 July 2010 on the conclusion of a Protocol to the Partnership and Cooperation Agreement establishing a partnership between the European Communities and their Member States, of the one part, and the Republic of Moldova, of the other part, on a Framework Agreement between the European Union and the Republic of Moldova on the general principles for the participation of the Republic of Moldova in Union programmes (OJ L 14, 19.1.2011, p. 5).

Council Decision 2012/777/EU of 10 December 2012 on the signing, on behalf of the European Union, of a Protocol to the Partnership and Cooperation Agreement between the European Communities and their Member States, of the one part, and the Republic of Armenia, of the other part, on a Framework Agreement between the European Union and the Republic of Armenia on the general principles for the participation of the Republic of Armenia in Union programmes (OJ L 340, 13.12.2012, p. 26).

Commission Decision C(2014) 2089 of 2 April 2014 on the approval and signature of the Agreement between the European Union and the State of Israel on the participation of Israel in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision 2014/494/EU of 16 June 2014 on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Georgia, of the other part (OJ L 261, 30.8.2014, p. 1).

Commission Decision C(2014) 4290 of 30 June 2014 on the approval and signature of the Agreement between the European Union and the Republic of Moldova on the participation of Moldova in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision 2014/691/EU of 29 September 2014 amending Decision 2014/668/EU on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part, as regards Title III (with the exception of the provisions relating to the treatment of third-country nationals legally employed as workers in the territory of the other Party) and Titles IV, V, VI and VII thereof, as well as the related Annexes and Protocols (OJ L 289, 3.10.2014, p. 1).

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)**6 0 1 3** (*cont'd*)

Council Decision (EU) 2015/209 of 10 November 2014 on the signing, on behalf of the European Union and provisional application of the Agreement for scientific and technological cooperation between the European Union and the Faroe Islands associating the Faroe Islands to Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 35, 11.2.2015, p. 1).

Council Decision 2014/953/EU of 4 December 2014, on the signing, on behalf of the European Union, and provisional application of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020, and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy (OJ L 370, 30.12.2014, p. 1).

Council Decision 2014/954/Euratom of 4 December 2014 approving the conclusion by the European Commission, on behalf of the European Atomic Energy Community, of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation, associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020; and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Ener (OJ L 370, 30.12.2014, p. 19).

Commission Decision C(2014) 9320 of 5 December 2014 on the conclusion, on behalf of the European Atomic Energy Community, of an Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020 and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy.

Council Decision (EU) 2015/575 of 17 December 2014 on the signing, on behalf of the European Union, and provisional application of the Protocol to the Euro-Mediterranean Agreement establishing an association between the European Communities and their Member States, of the one part, and the Republic of Tunisia, of the other part, on a Framework Agreement between the European Union and the Republic of Tunisia on the general principles for the participation of the Republic of Tunisia in Union programmes (OJ L 96, 11.4.2015, p. 1).

Commission Decision C(2015) 1355 of 3 March 2015 on the approval and signature of the Agreement between the European Union and Ukraine on the participation of Ukraine in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Council Decision (EU) 2015/1795 of 1 October 2015 on the conclusion of the Agreement for scientific and technological cooperation between the European Union and the Faroe Islands associating the Faroe Islands to Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 263, 8.10.2015, p. 6).

Council Decision (EU) 2015/1796 of 1 October 2015 on the conclusion of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020, and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy (OJ L 263, 8.10.2015, p. 8).

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 3 (*cont'd*)

Commission Decision C(2015) 8195 of 25 November 2015 on the approval and signature of the Agreement between the European Union and the Republic of Tunisia on the participation of the Republic of Tunisia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' (Agreement not yet signed).

Proposal for a Commission Decision C(...) ... of .... on the approval and signature of the Agreement between the European Union and Georgia on the participation of Georgia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Proposal for a Commission Decision C(...) ... of .... on the approval and signature of the Agreement between the European Union and the Republic of Armenia on the participation of the Republic of Armenia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

6 0 1 5 Cooperation agreements with institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue resulting from cooperation agreements between the Union and institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others).

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 50, 05 09 50, 06 03 50, 08 02 50, 09 04 50, 15 03 50 and 32 04 50 (indirect action) of the statement of expenditure in Section III 'Commission'.

6 0 1 6 Agreements for European cooperation in the field of scientific and technical research — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue from States taking part in European cooperation in the field of scientific and technical research.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 50, 05 09 50, 06 03 50, 08 02 50, 09 04 50, 15 03 50 and 32 04 50 (indirect action) of the statement of expenditure in Section III 'Commission'.

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 6 (*cont'd*)*Reference acts*

Resolution of the Ministers of States participating in European Cooperation in the field of Scientific and Technical Research (COST) (signed in Vienna on 21 November 1991) (OJ C 333, 24.12.1991, p. 1).

**6 0 2** ***Other programmes***

6 0 2 1 Miscellaneous revenue relating to humanitarian aid — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	49 927 571,36

*Remarks*

Any contributions by outside bodies relating to humanitarian aid.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Title 23 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Regulation (EU) No 375/2014 of the European Parliament and of the Council of 3 April 2014 establishing the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative') (OJ L 122, 24.4.2014, p. 1).

**6 0 3** ***Association agreements between the Union and third countries***

6 0 3 1 Revenue accruing from the participation of the candidate countries and the western Balkan potential candidates in Union programmes — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	200 597 560,18

*Remarks*

Revenue accruing from the Association Agreements concluded between the Union and the countries listed below as a result of their participation in various Union programmes. Any revenue from countries that are already Member States relate to past operations.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 3** (*cont'd*)6 0 3 1 (*cont'd*)*Reference acts*

Framework Agreement between the European Community and the Republic of Turkey on the general principles for the participation of the Republic of Turkey in Community programmes (OJ L 61, 2.3.2002, p. 29).

Commission Decision C(2014) 3502 of 2 June 2014 on the approval and signature of an Agreement between the European Union and the Republic of Turkey on the participation of the Republic of Turkey in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Framework Agreement between the European Community and the Republic of Albania on the general principles for the participation of the Republic of Albania in Community programmes (OJ L 192, 22.7.2005, p. 2).

Commission Decision C(2014) 3711 of 10 June 2014 on the approval and signature of an Agreement between the European Union and the Republic of Albania on the participation of Albania in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Framework Agreement between the European Community and Bosnia and Herzegovina on the general principles for the participation of Bosnia and Herzegovina in Community programmes (OJ L 192, 22.7.2005, p. 9).

Commission Decision C(2014) 3693 of 10 June 2014 on the approval and signature of an Agreement between the European Union and Bosnia and Herzegovina on the participation of Bosnia and Herzegovina in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Framework Agreement between the European Community and Serbia and Montenegro on the general principles for the participation of Serbia and Montenegro in Community programmes (OJ L 192, 22.7.2005, p. 29).

Commission Decision C(2014) 3710 of 10 June 2014 on the approval and signature of an Agreement between the European Union and the Republic of Serbia on the participation of Serbia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Protocol to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the former Yugoslav Republic of Macedonia, of the other part, on a Framework Agreement between the European Community and the former Yugoslav Republic of Macedonia on the general principles for the participation of the Former Yugoslav Republic of Macedonia in Community programmes (OJ L 192, 22.7.2005, p. 23).

Commission Decision C(2014) 3707 of 10 June 2014 on the approval and signature of an Agreement between the European Union and the former Yugoslav Republic of Macedonia on the participation of the former Yugoslav Republic of Macedonia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Protocol 8 to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Republic of Montenegro, of the other part, on the general principles for the participation of Montenegro in Community programmes (OJ L 108, 29.4.2010, p. 1).

Commission Decision C(2014) 3705 of 10 June 2014 on the approval and signature of an Agreement between the European Union and the Republic of Montenegro on the participation of Montenegro in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.



**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 3** (*cont'd*)6 0 3 1 (*cont'd*)

A Framework Agreement between the European Union and Kosovo on the general principles for the participation of Kosovo in Union programmes (COM(2013) 218 final) is pending approval.

Additional protocols to the Europe Agreements (Articles 228 and 238) opening up Union programmes to the candidate countries.

6 0 3 2 Revenue accruing from the participation of third countries, other than candidate countries and western Balkan potential candidates in customs cooperation agreements — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	670 748,—

*Remarks*

This item is intended to receive third country contributions to customs cooperation agreements, in particular under the Transit project and the project for the dissemination of tariff and other particulars (by computer).

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 14 02 02, 14 02 51, 14 03 02 and 14 03 51 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Convention of 20 May 1987 between the European Economic Community, the Republic of Austria, the Republic of Finland, the Republic of Iceland, the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation, on a common transit procedure (OJ L 226, 13.8.1987, p. 2).

Council Decision 2000/305/EC of 30 March 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Switzerland concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 102, 27.4.2000, p. 50).

Council Decision 2000/506/EC of 31 July 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Norway concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 204, 11.8.2000, p. 35).

Council Decision of 19 March 2001 authorising the Commission to negotiate, on behalf of the European Community, an amendment to the Convention creating the Customs Cooperation Council signed in Brussels on 15 December 1950 allowing the European Community to become a member of that organisation.

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 3** (*cont'd*)**6 0 3 2** (*cont'd*)

Regulation (EU) No 1294/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme for customs in the European Union for the period 2014-2020 (Customs 2020) and repealing Decision No 624/2007/EC (OJ L 347, 20.12.2013, p. 209).

**6 0 3 3** Participation of third countries or outside bodies in Union activities — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 35 583 121,90

*Remarks*

This item is intended to receive contributions by third countries or outside bodies to Union activities.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE****6 1 1** *Repayment of expenditure incurred on behalf of one or more Member States***6 1 1 3** Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	53 081 071,04

*Remarks*

Decision 2003/76/EC stipulates that the Commission is entrusted with winding up the financial operations of the European Coal and Steel Community which are still in progress upon expiry of the ECSC Treaty.

Pursuant to Article 4 of that Decision, net revenue from the investment of available assets will constitute revenue in the general budget of the European Union earmarked for a specific purpose, namely financing research projects in sectors related to the coal and steel industry by the Research Fund for Coal and Steel.

The net revenue available for financing research projects in year  $n + 2$  appears in the balance sheet of the ECSC in liquidation for year  $n$ , and after the liquidation process has been completed will appear as assets in the balance sheet of the Coal and Steel Research Fund. This financing mechanism took effect in 2003. Revenue coming from 2014 will be used for research in 2016. In order to reduce as far as possible the fluctuations that movements in the financial markets could cause in the financing of research, a smoothing arrangement is applied. The amount of net revenue expected to be available for research in 2016 comes to EUR 43 100 000.

In accordance with Article 4 of Decision 2003/76/EC, 72,8 % of the fund's appropriations will be for the steel-related sector and 27,2 % for the coal-related sector.

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)**6 1 1** (*cont'd*)**6 1 1 3** (*cont'd*)

In accordance with Article 21 and Article 181(2) of the Financial Regulation, revenue will be used to provide additional appropriations under Chapter 08 05 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

**6 1 1 4** Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Decision 2003/76/EC stipulates that the Commission is entrusted with winding up the financial operations of the European Coal and Steel Community which are still in progress upon expiry of the ECSC Treaty.

Pursuant to Article 4(5) of that Decision, the amounts recovered return initially to the assets of the ECSC in liquidation and subsequently, on completion of the liquidation, to the assets of the Research Fund for Coal and Steel.

*Legal basis*

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

**6 1 2** ***Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 937,74

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)6 1 2 (*cont'd*)

Commission	p.m.
Council	p.m.
European External Action Service	p.m.
Total	p.m.

6 1 4 **Repayment of Union support to commercially successful projects and activities**

## 6 1 4 3 Repayment of Union support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Repayment of all or part of the support for commercially successful projects, with possible participation in profits resulting from grants awarded as part of European risk capital activities in support of small and medium-sized enterprises under the Venture Consort and Eurotech Capital instruments.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

## 6 1 4 4 Repayment of Union support to risk-sharing instruments financed from the European Regional Development Fund and the Cohesion Fund — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Repayment of reflows and amounts left over from Union support to risk-sharing instruments financed from the European Regional Development Fund and the Cohesion Fund.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which the revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Articles 14 and 36a thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)**6 1 5      *Repayment of unused Union aid***

6 1 5 0      Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, European Union Solidarity Fund, ISPA, IPA, EFF, FEAD, EMFF and European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	67 212 656,57

*Remarks*

Repayment of unused aid from the European Social Fund, the European Regional Development Fund, the European Agricultural Guidance and Guarantee Fund, the Financial Instrument for Fisheries Guidance, the Cohesion Fund, the European Union Solidarity Fund, the Instrument for Structural Policies for Pre-Accession (ISPA), the Instrument for Pre-Accession Assistance (IPA), the European Fisheries Fund (EFF), the Fund for European Aid to the Most Deprived (FEAD), the European Maritime and Fisheries Fund (EMFF) and the European Agricultural Fund for Rural Development (EAFRD).

In accordance with Article 21 of the Financial Regulation, this revenue will be used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

6 1 5 1      Repayment of unused subsidies for balancing budgets — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

6 1 5 2      Repayment of unused interest subsidies — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

6 1 5 3      Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)**6 1 5** (*cont'd*)6 1 5 3 (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

6 1 5 7 Repayments of payments on account under the Structural Funds, the Cohesion Fund, the European Fisheries Fund, the Fund for European Aid to the Most Deprived, the European Maritime and Fisheries Fund and the European Agricultural Fund for Rural Development — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,30

*Remarks*

This item is intended to record the repayment of payments on account under the Structural Funds (European Regional Development Fund and European Social Fund), the Cohesion Fund, the European Fisheries Fund (EFF), the Fund for European Aid to the Most Deprived (FEAD), the European Maritime and Fisheries Fund (EMFF) and the European Agricultural Fund for Rural Development (EAFRD).

The amounts booked to this item will, in accordance with Articles 21 and 177 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 11 and 13 of the statement of expenditure in Section III 'Commission', in order not to reduce the contribution from the Funds to the operation concerned.

*Legal basis*

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1), and in particular Article D of Annex II thereto.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25), and in particular Article 82(2) and Chapter II thereof.

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)**6 1 5** (*cont'd*)**6 1 5 7** (*cont'd*)

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

**6 1 5 8** Repayment of miscellaneous unused Union aid — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	582 627,32

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**6 1 6** ***Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Repayment by the International Atomic Energy Agency (IAEA) of sums which were advanced by the Commission to pay for controls carried out by the IAEA under the verification agreements (see Articles 32 03 01 and 32 03 02 of the statement of expenditure in Section III 'Commission').

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Reference acts*

Agreement between the Kingdom of Belgium, the Kingdom of Denmark, the Federal Republic of Germany, Ireland, the Italian Republic, the Grand Duchy of Luxembourg, the Kingdom of the Netherlands, the European Atomic Energy Community and the International Atomic Energy Agency in implementation of Article III (1) and (4) of the Treaty on the non-proliferation of nuclear weapons (OJ L 51, 22.2.1978, p. 1), and in particular Article 15 thereof.

Tripartite agreements between the Community, the United Kingdom and the International Atomic Energy Agency.

Tripartite agreement between the Community, France and the International Atomic Energy Agency.

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)**6 1 7      *Repayment of amounts paid in connection with Union aid to third countries*****6 1 7 0      Repayments within the framework of cooperation with South Africa — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 754,84

*Remarks*

Repayments by tenderers or recipients of overpayments made in connection with development cooperation with South Africa.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Items 21 02 05 01 and 21 02 05 02 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**6 1 8      *Repayment of amounts paid in connection with food aid*****6 1 8 0      Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	15 691,39

*Remarks*

Provisions contained in the invitations to tender or in the financial conditions attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

**6 1 8 1      Repayment of additional costs caused by the recipients of food aid — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	10 000,—



**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)**6 1 8** (*cont'd*)6 1 8 1 (*cont'd*)*Remarks*

Provisions contained in the terms of delivery attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

**6 1 9** ***Repayment of other expenditure incurred on behalf of outside bodies***

6 1 9 1 Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 77/270/Euratom — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	84 113,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 21 06 01, 21 06 02, 21 06 51 and 22 02 51 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

Regulation (Euratom) No 237/2014 of the Council of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109).

## CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT

**6 2 0** *Supply against payment of source materials or special fissile materials (point (b) of Article 6 of the Euratom Treaty) — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue from the supply to Member States against payment of source materials or special fissile materials for their research programmes.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Treaty establishing the European Atomic Energy Community, and in particular point (b) of Article 6 thereof.

**6 2 2** *Revenue from services provided by the Joint Research Centre to outside bodies against payment***6 2 2 1** *Revenue from the operation of the high-flux reactor (HFR) to be used to provide additional appropriations — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	7 994 736,64

*Remarks*

Revenue resulting from the operation of the HFR (high-flux reactor) at the Petten establishment of the Joint Research Centre.

Payments by outside bodies to cover all types of expenditure involved in the operation of the HFR by the Joint Research Centre.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05 and 10 04 04 of the statement of expenditure in Section III 'Commission'.

*Completion of earlier programmes*

The revenue is provided by Belgium, France and the Netherlands.

**6 2 2 3** *Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	8 111 453,33

**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT** (*cont'd*)**6 2 2** (*cont'd*)**6 2 2 3** (*cont'd*)*Remarks*

Revenue from persons, firms and national bodies for which the Joint Research Centre will carry out work and/or provide services against payment.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05, 10 02 01, 10 02 51, 10 02 52, 10 03 01, 10 03 51, 10 03 52 and 10 04 02 of the statement of expenditure in Section III 'Commission' amounting to the expenditure under each contract with an outside body.

**6 2 2 4** Revenue from licences granted by the Commission on inventions resulting from Union research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	268 933,15

*Remarks*

Council Decision 2013/743/EU requires the Joint Research Centre to support knowledge and technology transfer and to generate additional resources through, *inter alia*, the exploitation of intellectual property.

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05, 10 04 02, 10 04 03 and Chapters 10 02 and 10 03 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 182 and 183 thereof.

Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT (*cont'd*)6 2 2 (*cont'd*)

## 6 2 2 5 Other revenue for the Joint Research Centre — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue from contributions, gifts or bequests from third parties for the various activities carried out by the Joint Research Centre.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 10 01 05 and Chapters 10 02, 10 03 and 10 04 of the statement of expenditure in Section III 'Commission'.

## 6 2 2 6 Revenue from services provided by the Joint Research Centre to other services of the Commission on a competitive basis, to be used to provide additional appropriations — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	61 421 661,54

*Remarks*

Revenue from other services of the Commission for which the Joint Research Centre will carry out work and/or provide services against payment and revenue from participation in the activities of the framework programmes for research and technological development.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05, 10 02 01, 10 02 51, 10 02 52, 10 03 01, 10 03 51, 10 03 52 and 10 04 03 of the statement of expenditure in Section III 'Commission' amounting to the specific expenditure under each contract with other services of the Commission.

6 2 4 **Revenue from licences granted by the Commission on inventions resulting from Union research, irrespective of whether they can be patented (indirect action) — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT** (*cont'd*)**6 2 4** (*cont'd*)*Legal basis*

Treaty establishing the European Atomic Energy Community.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS****6 3 0** *Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	286 014 835,—

*Remarks*

This article is intended to record contributions from the European Free Trade Association Member States resulting from their financial participation in certain activities of the Union in accordance with Article 82 of and Protocol 32 to the Agreement on the European Economic Area.

The total contribution planned is shown in the summary presented for information in an annex to the statement of expenditure in Section III 'Commission'.

Contributions by the European Free Trade Association Member States are made available to the Commission in accordance with Articles 1, 2 and 3 of Protocol 32 to the Agreement on the European Economic Area.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Reference acts*

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3).

**6 3 1** *Contributions within the framework of the Schengen acquis***6 3 1 1** Contributions to administrative costs resulting from the agreement concluded with Iceland and Norway — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 177 275,75

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)6 3 1 (*cont'd*)6 3 1 1 (*cont'd*)*Remarks*

Contributions to administrative costs resulting from the Agreement of 18 May 1999 concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the latters' association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 36), and in particular Article 12 of that Agreement.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Council

p.m.

European External Action Service

p.m.

Total

p.m.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

6 3 1 2

Contributions for the development, establishment, operation and use of large-scale information systems under the agreements concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	2 620 721,15

*Remarks*

In accordance with Article 21 of Financial Regulation, any revenue will be used to provide additional appropriations under Articles 18 02 07, 18 02 08, 18 02 09 and 18 03 03 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latters' association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)**6 3 1 2** (*cont'd*)

Council Regulation (EC) No 2725/2000 of 11 December 2000 concerning the establishment of Eurodac for the comparison of fingerprints for the effective application of the Dublin Convention (OJ L 316, 15.12.2000, p. 1).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of that Agreement.

Council Decision 2001/886/JHA of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 1).

Council Regulation (EC) No 2424/2001 of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 4).

Council Regulation (EC) No 343/2003 of 18 February 2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 50, 25.2.2003, p. 1).

Commission Regulation (EC) No 1560/2003 of 2 September 2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 222, 5.9.2003, p. 3).

Council Decision 2004/512/CE of 8 June 2004 establishing the Visa Information System (VIS) (OJ L 213, 15.6.2004, p. 5).

Regulation (EC) No 1986/2006 of the European Parliament and of the Council of 20 December 2006 regarding access to the Second Generation Schengen Information System (SIS II) by the services in the Member States responsible for issuing vehicle registration certificates (OJ L 381, 28.12.2006, p. 1).

Regulation (EC) No 1987/2006 of the European Parliament and of the Council of 20 December 2006 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 381, 28.12.2006, p. 4).

Council Decision 2007/533/JHA of 12 June 2007 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 205, 7.8.2007, p. 63).

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)6 3 1 (*cont'd*)6 3 1 2 (*cont'd*)

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Council Decision 2008/633/JHA of 23 June 2008 concerning access for consultation of the Visa Information System (VIS) by designated authorities of Member States and by Europol for the purposes of the prevention, detection and investigation of terrorist offences and of other serious criminal offences (OJ L 218, 13.8.2008, p. 129).

Regulation (EC) No 767/2008 of the European Parliament and of the Council of 9 July 2008, concerning the Visa Information System (VIS) and the exchange of data between Member States on short-stay visas (VIS Regulation) (OJ L 218, 13.8.2008, p. 60).

Council Decision 2011/349/EU of 7 March 2011 on the conclusion on behalf of the European Union of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating in particular to judicial cooperation in criminal matters and police cooperation (OJ L 160, 18.6.2011, p. 1).

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011, establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011, p. 1).

Regulation (EU) No 603/2013 of the European Parliament and of the Council of 26 June 2013 on the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of Regulation (EU) No 604/2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person and on requests for the comparison with Eurodac data by Member States' law enforcement authorities and Europol for law enforcement purposes, and amending Regulation (EU) No 1077/2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 180, 29.6.2013, p. 1).

6 3 1 3 Other contributions within the framework of the Schengen *acquis* (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	31 993,89



**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)6 3 1 3 (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 18 03 02 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of that Agreement.

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum Support Office (OJ L 132, 29.5.2010, p. 11).

Council Decision 2011/349/EU of 7 March 2011 on the conclusion on behalf of the European Union of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating in particular to judicial cooperation in criminal matters and police cooperation (OJ L 160, 18.6.2011, p. 1).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)6 3 1 3 (*cont'd*)

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

Council Decision 2012/192/EU of 12 July 2010 on the signing, on behalf of the Union, of the Arrangement between the European Union and the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Swiss Confederation on the participation by those States in the work of the committees which assist the European Commission in the exercise of its executive powers as regards the implementation, application and development of the Schengen *acquis* (OJ L 103, 13.4.2012, p. 1).

Council Decision 2012/193/EU of 13 March 2012 on the conclusion, on behalf of the Union, of the Arrangement between the European Union and the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Swiss Confederation on the participation by those States in the work of the committees which assist the European Commission in the exercise of its executive powers as regards the implementation, application and development of the Schengen *acquis* (OJ L 103, 13.4.2012, p. 3).

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

Council Decision 2014/301/EU of 19 May 2014 on the conclusion of the Arrangement between the European Union and the Kingdom of Norway on the modalities of its participation in the European Asylum Support Office (OJ L 157, 27.5.2014, p. 33).

Council Decision 2014/344/EU of 19 May 2014 on the conclusion of the Arrangement between the European Union and the Principality of Liechtenstein on the modalities of its participation in the European Asylum Support Office (OJ L 170, 11.6.2014, p. 49).

*Reference acts*

Proposal for a Council Decision, submitted by the Commission on 10 December 2013, on the conclusion of the Arrangement with the Republic of Iceland on the modalities of its participation in the European Asylum Support Office (COM(2013) 875 final).

Proposal for a Council Decision, submitted by the Commission on 10 December 2013, on the conclusion of the Arrangement between the European Union and the Swiss Confederation on the modalities of its participation in the European Asylum Support Office (COM(2013) 862 final).

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)**6 3 2 Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	86 415 429,65

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue from the European Development Fund (EDF) contributing to the cost of support measures will be used to provide additional appropriations under Item 21 01 04 07 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Council Decision 2013/755/EU of 25 November 2013 on the association of the overseas countries and territories with the European Union ('Overseas Association Decision') (OJ L 344, 19.12.2013, p. 1).

Council Decision 2013/759/EU of 12 December 2013 regarding transitional EDF management measures from 1 January 2014 until the entry into force of the 11th European Development Fund (OJ L 335, 14.12.2013, p. 48).

*Reference acts*

Internal Agreement between the Representatives of the Governments of the Member States, meeting within the Council, on the financing of Community aid under the multiannual financial framework for the period 2008 to 2013 in accordance with the ACP-EC Partnership Agreement and on the allocation of financial assistance for the Overseas Countries and Territories to which Part Four of the EC Treaty applies (OJ L 247, 9.9.2006, p. 32).

Communication from the Commission to the European Parliament and the Council of 7 December 2011: Preparation of the multiannual financial framework regarding the financing of EU cooperation for African, Caribbean and Pacific States and Overseas Countries and Territories for the 2014-2020 period (11th European Development Fund) (COM(2011)0837).

**6 3 3 Contributions to certain external aid programmes****6 3 3 0 Contributions from Member States, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	11 557 494,80

*Remarks*

This item is intended to record the financial contributions from Member States, including their public agencies, entities or natural persons to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with point (b) of Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 3** (*cont'd*)**6 3 3 1** Contributions from third countries, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record the financial contributions from third countries, including their public agencies entities or natural persons to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with point (b) of Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**6 3 3 2** Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record the financial contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with point (b) of Article 21(2) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**6 3 4** *Contributions from trust funds and financial instruments — Assigned revenue***6 3 4 0** Contributions from trust funds to the management costs of the Commission — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record the management fees which the Commission is authorised to withdraw for a maximum of 5 % of the amounts pooled into the trust fund to cover its management costs from the years in which the contributions to each trust fund have started to be used.

In accordance with point (b) of Article 21(2) of the Financial Regulation, such management fees shall be assimilated to assigned revenue for the duration of the trust fund.

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 4** (*cont'd*)6 3 4 0 (*cont'd*)*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 187(7) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 259 thereof.

6 3 4 1 Contributions from financial instruments — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Annual repayments, including capital repayments, guarantees released, and repayments of the principal of loans, paid back to the Commission or fiduciary accounts opened for financial instruments and attributable to the support from the budget under a financial instrument, shall constitute internal assigned revenue in accordance with Article 21 of the Financial Regulation and shall be used for the same financial instruments, without prejudice to Article 140(9) of that Regulation, for a period not exceeding the period for the commitment of appropriations plus two years, unless specified otherwise in a basic act.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 140(6) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

**CHAPTER 6 5 — FINANCIAL CORRECTIONS**

**6 5 0** *Financial corrections prior to 2015 in connection with the ERDF, the ESF, the EAGGF-Guidance, the FIGF, the Cohesion Fund, the EFF, EMFF, Sapard and IPA — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
—	—	118 383 863,98

**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 0** (*cont'd*)*Remarks*

From the budgetary year 2015 onwards, the amounts booked in respect of financial corrections previously booked under Item 6 5 0 0 are broken down per programming period under the Articles 6 5 1 to 6 5 4.

Item 6 5 0 0 was intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the European Agricultural Guidance and Guarantee Fund (EAGGF) Guidance Section, the Financial Instrument for Fisheries Guidance (FIGF), the Cohesion Fund, the European Fisheries Fund (EFF), the European Maritime and Fisheries Fund (EMFF), the Special Accession Programme for Agriculture and Rural Development (Sapard), the Instrument for Pre-accession Assistance (IPA) and the Transitional Rural Development Instrument (TRDI) funded by the EAGGF Guarantee Section.

The amounts booked to this item were, in accordance with Article 21 of the Financial Regulation, used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in Section III 'Commission'.

In accordance with Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

In accordance with Article 152(1) of Regulation (EU) No 1303/2013, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1), and in particular Article 24 thereof.

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

CHAPTER 6 5 — FINANCIAL CORRECTIONS (cont'd)

6 5 0 (cont'd)

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

Reference acts

Commission Regulation (EC) No 448/2001 of 2 March 2001 laying down detailed rules for the implementation of Council Regulation (EC) No 1260/1999 as regards the procedure for making financial corrections to assistance granted under the Structural Funds (OJ L 64, 6.3.2001, p. 13).

Commission Regulation (EC) No 27/2004 of 5 January 2004 laying down transitional detailed rules for the application of Council Regulation No 1257/1999 as regards the financing by the EAGGF Guarantee Section of rural development measures in the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 5, 9.1.2004, p. 86).

Commission Regulation (EC) No 141/2004 of 28 January 2004 laying down rules for applying Council Regulation (EC) No 1257/1999 as regards the transitional rural development measures applicable to the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 24, 29.1.2004, p. 25).

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

6 5 1 Financial corrections related to the programming periods before 2000

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	



**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 1** (*cont'd*)*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the European Agricultural Guidance and Guarantee Fund (EAGGF) Guidance Section, the Financial Instrument for Fisheries Guidance (FIGF) and the Cohesion Fund (CF), related to the programming periods before 2000.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in Section III 'Commission'.

In accordance with Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

In accordance with Article 152(1) of Regulation (EU) No 1303/2013 that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Financial regulation of 21 December 1977 applicable to the general budget of the European Communities (OJ L 356, 31.12.1977, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1), and in particular Article 24 thereof.

Council Regulation (EEC) No 4254/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Council Regulation (EEC) No 4255/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Council Regulation (EEC) No 4256/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the EAGGF Guidance Section (OJ L 374, 31.12.1988, p. 25).

Council Regulation (EEC) No 2080/93 of 20 July 1993 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the financial instrument of fisheries guidance (OJ L 193, 31.7.1993, p. 1).



**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 1** (*cont'd*)

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

**6 5 2** **Financial corrections related to the programming period 2000-2006 — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the European Agricultural Guidance and Guarantee Fund (EAGGF) Guidance Section, the Financial Instrument for Fisheries Guidance (FIGF), the Cohesion Fund (CF) and the Special Accession Programme for Agriculture and Rural Development (Sapard), related to the 2000-2006 programming period and the Transitional Rural Development Instrument (TRDI) funded by the EAGGF Guarantee Section.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in Section III 'Commission'.

In accordance with Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

In accordance with Article 152(1) of Regulation (EU) No 1303/2013 that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 2** (*cont'd*)

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 June 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

*Reference acts*

Commission Regulation (EC) No 448/2001 of 2 March 2001 laying down detailed rules for the implementation of Council Regulation (EC) No 1260/1999 as regards the procedure for making financial corrections to assistance granted under the Structural Funds (OJ L 64, 6.3.2001, p. 13).

Commission Regulation (EC) No 1386/2002 of 29 July 2002 laying down detailed rules for the implementation of Council Regulation (EC) No 1164/94 as regards the management and control systems for assistance granted from the Cohesion Fund and the procedure for making financial corrections (OJ L 201, 31.7.2002, p. 5).

Commission Regulation (EC) No 27/2004 of 5 January 2004 laying down transitional detailed rules for the application of Council Regulation No 1257/1999 as regards the financing by the EAGGF Guarantee Section of rural development measures in the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 5, 9.1.2004, p. 86).

Commission Regulation (EC) No 141/2004 of 28 January 2004 laying down rules for applying Council Regulation (EC) No 1257/1999 as regards the transitional rural development measures applicable to the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 24, 29.1.2004, p. 25).

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 3** *Financial corrections related to the programming period 2007-2013 — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund (CF) the European Fisheries Fund (EFF) and the Instrument for Pre-accession Assistance (IPA I), related to the 2007-2013 programming period.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in Section III 'Commission'.

In accordance with Article 152(1) of Regulation (EU) No 1303/2013, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

*Reference acts*

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

**6 5 4** *Financial corrections related to the programming period 2014-2020 — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund (CF), the European Maritime and Fisheries Fund (EMFF), the Fund for European Aid to the Most Deprived (FEAD) and the Instrument for Pre-accession Assistance (IPA II), related to the 2014-2020 programming period.

**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 4** (*cont'd*)

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 11 and 13 of the statement of expenditure in Section III 'Commission'.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

**CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0** *Other contributions and refunds***6 6 0 0** Other assigned contributions and refunds — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	850 711 696,25

*Remarks*

This item is intended to accommodate, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

European Parliament	p.m.
Council	p.m.
Commission	p.m.
Ombudsman	p.m.
European External Action Service	p.m.
	<hr/>
Total	p.m.

**6 6 0 1** Other non-assigned contributions and refunds

Financial year 2016	Financial year 2015	Financial year 2014
60 000 000	60 000 000	8 666 423,81

**CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS** (*cont'd*)**6 6 0** (*cont'd*)6 6 0 1 (*cont'd*)*Remarks*

This item is intended to accommodate any revenue not provided for in other parts of Title 6 which is not used in accordance with Article 21 of the Financial Regulation.

European Parliament	p.m.
Commission	60 000 000
Total	60 000 000

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT****6 7 0** *Revenue concerning European Agricultural Guarantee Fund*

6 7 0 1 Clearance of European Agricultural Guarantee Fund accounts — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	815 588 600,63

*Remarks*

This item is intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the Union budget concerning expenditure financed by the European Agricultural Guidance and Guarantee Fund (Guarantee Section) under Heading 1 of the 2000-2006 Financial Perspectives and the European Agricultural Guarantee Fund (EAGF) under Heading 2 of the 2007-2013 and the 2014-2020 multiannual financial framework in accordance with Articles 51 and 52 of Regulation (EU) No 1306/2013. It includes corrections related to non-compliance with payment deadlines in accordance with Article 40 of that Regulation.

This item is also intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the Union budget concerning expenditure financed by the temporary scheme for the restructuring of the sugar industry (Sugar Restructuring Fund) in the Community established by Regulation (EC) No 320/2006, which ended on 30 September 2012.

In accordance with Article 1(3) of Regulation (EC) No 320/2006 and Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in Section III 'Commission'.

The revenue under this item has been estimated at EUR 2 015 000 000, including EUR 890 000 000 carried over from 2015 to 2016 in accordance with Article 14 of the Financial Regulation. When establishing the budget for 2016, an amount of EUR 600 000 000 was taken into account for financing the needs of measures under Article 05 02 08 (Item 05 02 08 03) and the remaining amount of EUR 1 415 000 000 was taken into account for financing the needs of measures under Article 05 03 01 (Item 05 03 01 10).

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)

**6 7 0** (*cont'd*)

6 7 0 1 (*cont'd*)

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

6 7 0 2 European Agricultural Guarantee Fund irregularities — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	150 305 663,70

*Remarks*

This item is intended to accommodate amounts recovered following irregularities or negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received, as well as securities, deposits or guarantees forfeited concerning expenditure financed by the European Agricultural Guidance and Guarantee Fund (Guarantee Section) under Heading 1 of the 2000-2006 Financial Perspectives and the European Agricultural Guarantee Fund (EAGF) under Heading 2 of the 2007-2013 and the 2014-2020 multiannual financial framework in accordance with Articles 54 and 55 of Regulation (EU) No 1306/2013.

This item is also intended to accommodate amounts recovered following irregularities or oversight, including interest, penalties and securities acquired, resulting from expenditure financed by the temporary scheme for the restructuring of the sugar industry (Sugar Restructuring Fund) in the Community established by Regulation (EC) No 320/2006, which ended on 30 September 2012.

It is also intended to accommodate the net amounts recovered for which Member States may retain 20 % as provided for in Article 55 of Regulation (EU) No 1306/2013.

In accordance with Article 1(3) of Regulation (EC) No 320/2006 and Articles 43 and 55 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in Section III 'Commission'.

The revenue under this item has been estimated at EUR 155 000 000. When establishing the budget for 2016, this amount was taken into account for financing the needs of measures under Article 05 03 01 (Item 05 03 01 10).

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)

**6 7 0** (*cont'd*)

**6 7 0 2** (*cont'd*)

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**6 7 0 3** Super-levy from milk producers — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	48 284 110,03

*Remarks*

This item is intended to accommodate amounts related to the surplus levy applying to the milk quota system which are collected or recovered in accordance with the provisions of Section III of Chapter III of Title I of Part II of Regulation (EC) No 1234/2007, in particular Article 78 thereof.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under European Agricultural Guarantee Fund of the statement of expenditure in Section III 'Commission'.

The revenue under this item has been estimated at EUR 810 000 000. When establishing the budget for 2016, this amount was taken into account for financing the needs of measures under Article 05 03 01 (Item 05 03 01 10).

*Legal basis*

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)

**6 7 0** (*cont'd*)

**6 7 0 3** (*cont'd*)

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**6 7 1** ***Revenue concerning European Agricultural Fund for Rural Development***

**6 7 1 1** Clearance of accounts European Agricultural Fund for Rural Development — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	69 413 810,83

*Remarks*

This item is intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the Union budget within the context of rural development financed by the European Agricultural Fund for Rural Development (EAFRD) in accordance with Articles 51 and 52 of Regulation (EU) No 1306/2013. Amounts in relation to the repayment of payments on account under the EAFRD are also recorded on this item.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 177 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2016, no specific amount was foreseen for Article 05 04 05 and 05 04 60.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**6 7 1 2** European Agricultural Fund for Rural Development irregularities — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—



**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURAL GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)**6 7 1** (*cont'd*)6 7 1 2 (*cont'd*)*Remarks*

This item is intended to accommodate amounts recovered resulting from irregularities and negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received as well as securities forfeited in the context of rural development financed by the European Agricultural Fund for Rural Development (EAFRD) in accordance with Articles 54 and 56 of Regulation (EU) No 1306/2013.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 177 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2016, no specific amount was foreseen for Articles 05 04 05 and 05 04 60.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

## TITLE 7

## INTEREST ON LATE PAYMENTS AND FINES

## CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

## CHAPTER 7 1 — FINES

## CHAPTER 7 2 — INTEREST ON DEPOSITS AND FINES

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 7 0				
<b>7 0 0</b>	<b><i>Interest on late payments</i></b>				
7 0 0 0	Interest due on late payments into the accounts held with the treasuries of the Member States	5 000 000	10 000 000	62 832 700,78	1 256,65
7 0 0 1	Other interest on late payments	3 000 000	3 000 000	1 304 377,43	43,48
	<i>Article 7 0 0 — Total</i>	8 000 000	13 000 000	64 137 078,21	801,71
<b>7 0 1</b>	<b><i>Interest on late payments and other interest on fines</i></b>	15 000 000	45 000 000	368 455 044,42	2 456,37
	CHAPTER 7 0 — TOTAL	23 000 000	58 000 000	432 592 122,63	1 880,84
	CHAPTER 7 1				
<b>7 1 0</b>	<b><i>Fines, periodic penalty payments and other penalties</i></b>	100 000 000	1 415 000 000	4 124 682 904,05	4 124,68
7 1 1	<i>Excess emissions premiums for new passenger cars</i>	p.m.	p.m.	0,—	
7 1 2	<i>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</i>	p.m.	50 000 000	49 406 800,—	
	CHAPTER 7 1 — TOTAL	100 000 000	1 465 000 000	4 174 089 704,05	4 174,09
	CHAPTER 7 2				
<b>7 2 0</b>	<b><i>Interest on deposits and fines</i></b>				
7 2 0 0	Interest on deposits and fines resulting from the implementation of the excessive deficit procedure — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 7 2 0 — Total</i>	p.m.	p.m.	0,—	
	CHAPTER 7 2 — TOTAL	p.m.	p.m.	0,—	
	<b>Title 7 — Total</b>	<b>123 000 000</b>	<b>1 523 000 000</b>	<b>4 606 681 826,68</b>	<b>3 745,27</b>

## TITLE 7

### INTEREST ON LATE PAYMENTS AND FINES

#### CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

#### 7 0 0 *Interest on late payments*

7 0 0 0 Interest due on late payments into the accounts held with the treasuries of the Member States

Financial year 2016	Financial year 2015	Financial year 2014
5 000 000	10 000 000	62 832 700,78

#### *Remarks*

Any delay by a Member State in making the entry in the account opened in the name of the Commission referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 gives rise to the payment of interest by the Member State concerned.

In the case of Member States whose currency is the euro, the interest rate shall be equal to the rate as published in the *Official Journal of the European Union*, C series, which the European Central Bank applied to its refinancing operations, on the first day of the month in which the due date fell, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

In the case of Member States whose currency is not the euro, the rate shall be equal to the rate applied on the first day of the month in question by the central banks for their main refinancing operations, increased by two percentage points, or, for the Member States for which the Central Bank rate is not available, the most equivalent rate applied on the first day of the month in question on the Member State's money market, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

The interest rate applies to all entries of own resources enumerated under Article 10 of Regulation (EC, Euratom) No 1150/2000.

Council	p.m.
Commission	5 000 000
European External Action Service	p.m.
Total	5 000 000

#### *Legal basis*

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

**CHAPTER 7 0 — INTEREST ON LATE PAYMENTS** (*cont'd*)**7 0 0** (*cont'd*)**7 0 0 1** Other interest on late payments

Financial year 2016	Financial year 2015	Financial year 2014
3 000 000	3 000 000	1 304 377,43

*Remarks*

This item is intended to record interest on the late payment of entitlements other than own resources.

Commission	3 000 000
European External Action Service	p.m.
Total	3 000 000

*Legal basis*

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3), and in particular Article 2(5) of Protocol 32 thereto.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Article 102 thereof.

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 83 thereof.

**7 0 1** *Interest on late payments and other interest on fines*

Financial year 2016	Financial year 2015	Financial year 2014
15 000 000	45 000 000	368 455 044,42

*Remarks*

This article is intended to receive accrued interest on special accounts for fines and interest on late payment connected with fines.

**CHAPTER 7 0 — INTEREST ON LATE PAYMENTS** (*cont'd*)**7 0 1** (*cont'd*)*Legal basis*

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 83 thereof.

**CHAPTER 7 1 — FINES****7 1 0** *Fines, periodic penalty payments and other penalties*

Financial year 2016	Financial year 2015	Financial year 2014
100 000 000	1 415 000 000	4 124 682 904,05

*Remarks*

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union; the undertaking must accept that interest will be paid on the debt after the final date for payment and provide the Commission with a bank guarantee covering both the principal of the debt and interest or surcharges by the final date for payment.

*Legal basis*

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 7 1 — FINES** (*cont'd*)**7 1 0** (*cont'd*)

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

**7 1 1** ***Excess emissions premiums for new passenger cars***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record any excess emissions premium imposed by the Commission.

The objective of Regulation (EC) No 443/2009 is to set emission performance standards for new passenger cars registered in the Union, which forms part of the Union's integrated approach to reducing CO<sub>2</sub> emissions from light-duty vehicles while ensuring the proper functioning of the internal market.

However, in respect of each calendar year from 2012 onwards for which a manufacturer's average specific emissions of CO<sub>2</sub> exceed its specific emissions target in that year, the Commission shall impose an excess emissions premium on the manufacturer or, in the case of a pool, the pool manager.

*Legal basis*

Regulation (EC) No 443/2009 of the European Parliament and of the Council of 23 April 2009 setting emission performance standards for new passenger cars as part of the Community's integrated approach to reduce CO<sub>2</sub> emissions from light-duty vehicles (OJ L 140, 5.6.2009, p. 1), and in particular Article 9 thereof.

Commission Decision 2012/100/EU of 17 February 2012 on a method for the collection of premiums for excess CO<sub>2</sub> emissions from new passenger cars pursuant to Regulation (EC) No 443/2009 of the European Parliament and of the Council (OJ L 47, 18.2.2012, p. 71).

**7 1 2** ***Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	50 000 000	49 406 800,—

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Article 260(2) thereof.

**CHAPTER 7 2 — INTEREST ON DEPOSITS AND FINES****7 2 0        *Interest on deposits and fines***

**7 2 0 0**        Interest on deposits and fines resulting from the implementation of the excessive deficit procedure — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue arising from interest on deposits and fines resulting from implementation of the excessive deficit procedure.

In accordance with Article 21(2)(c) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Council Regulation (EC) No 1467/97 of 7 July 1997 on speeding up and clarifying the implementation of the excessive deficit procedure (OJ L 209, 2.8.1997, p. 6), and in particular Article 16 thereof.

## TITLE 8

## BORROWING AND LENDING OPERATIONS

**CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES**

**CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION**

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 8 0				
8 0 0	<i>European Union guarantee for Union borrowings for balance of payments support</i>	p.m.	p.m.	0,—	
8 0 1	<i>European Union guarantee for Euratom borrowings</i>	p.m.	p.m.	0,—	
8 0 2	<i>European Union guarantee for Union borrowings for financial assistance under the European Financial Stabilisation Mechanism</i>	p.m.	p.m.	0,—	
	CHAPTER 8 0 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 8 1				
8 1 0	<i>Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean third countries</i>	p.m.	30 000 000	292 611 339,40	
8 1 3	<i>Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in the Mediterranean region and South Africa under the European Union Investment Partners operation</i>	p.m.	p.m.	0,—	
	CHAPTER 8 1 — TOTAL	p.m.	30 000 000	292 611 339,40	
	CHAPTER 8 2				
8 2 7	<i>European Union guarantee for the borrowing programmes contracted by the Union to provide macro-financial assistance to third countries</i>	p.m.	p.m.	0,—	
8 2 8	<i>Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States</i>	p.m.	p.m.	0,—	
	CHAPTER 8 2 — TOTAL	p.m.	p.m.	0,—	



## CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES

## CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
8 3 5	CHAPTER 8 3				
	<i>European Union guarantee for European Investment Bank loans to third countries</i>	p.m.	p.m.	0,—	
	CHAPTER 8 3 — TOTAL	p.m.	p.m.	0,—	
8 5 0	CHAPTER 8 5				
	<i>Dividends paid by the European Investment Fund</i>	5 217 537	6 890 000	4 698 000,—	90,04
	CHAPTER 8 5 — TOTAL	5 217 537	6 890 000	4 698 000,—	90,04
Title 8 — Total		5 217 537	36 890 000	297 309 339,40	5 698,27

## TITLE 8

### BORROWING AND LENDING OPERATIONS

#### CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES

##### 8 0 0 *European Union guarantee for Union borrowings for balance of payments support*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

##### *Remarks*

The Union guarantee is for borrowings raised on the capital markets or from financial institutions. The amount in principal of loans which may then be granted to the Member States is limited to EUR 50 000 000 000.

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 02 02 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

##### *Legal basis*

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payment (OJ L 53, 23.2.2002, p. 1).

Council Decision 2009/102/EC of 4 November 2008 providing Community medium-term financial assistance for Hungary (OJ L 37, 6.2.2009, p. 5).

Council Decision 2009/290/EC of 20 January 2009 providing Community medium-term financial assistance for Latvia (OJ L 79, 25.3.2009, p. 39).

Council Decision 2009/459/EC of 6 May 2009 providing Community medium-term financial assistance for Romania (OJ L 150, 13.6.2009, p. 8).

Council Decision 2011/288/EU of 12 May 2011 providing precautionary EU medium-term financial assistance for Romania (OJ L 132, 19.5.2011, p. 15).

Council Decision 2013/531/EU of 22 October 2013 providing precautionary Union medium-term financial assistance to Romania (OJ L 286, 29.10.2013, p. 1).

**CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES** (*cont'd*)

**8 0 1** *European Union guarantee for Euratom borrowings*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 04 03 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

*Legal basis*

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Council Decision 77/271/Euratom of 29 March 1977 on the implementation of Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 11).

**8 0 2** *European Union guarantee for Union borrowings for financial assistance under the European Financial Stabilisation Mechanism*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

The Union's guarantee is for borrowings raised on the capital markets or from financial institutions. The outstanding amount of loans or credit lines to be granted to Member States shall be within the limits provided for in the legal basis.

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 02 03, provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

*Legal basis*

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).

Council Implementing Decision 2011/77/EU of 7 December 2010 on granting Union financial assistance to Ireland (OJ L 30, 4.2.2011, p. 34).

**CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES** (*cont'd*)

**8 0 2** (*cont'd*)

Council Implementing Decision 2011/344/EU of 30 May 2011 on granting Union financial assistance to Portugal (OJ L 159, 17.6.2011, p. 88).

**CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION**

**8 1 0** *Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean third countries*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	30 000 000	292 611 339,40

*Remarks*

This article is intended to record capital repayments and interest payments on special loans and risk capital granted from the appropriations in Chapters 22 02 and 22 04 of the statement of expenditure in Section III 'Commission' to Mediterranean third countries.

It also comprises capital repayments and interest payments on special loans and risk capital granted to certain Mediterranean Member States which, however, represent a very small proportion of the overall amount. These loans/ risk capital were granted at a time those countries had not yet joined the Union.

The revenue obtained normally exceeds the amounts forecast in the budget because of the interest payments on special loans which may still be disbursed during the preceding financial year as well as during the current financial year. The interest on special loans and risk capital is charged from the moment the loans are disbursed; interest on special loans is paid in six-monthly instalments and interest on risk capital generally in annual instalments.

This article may accommodate, in accordance with Article 21 of the Financial Regulation, assigned revenue which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

*Legal basis*

For the legal basis, see remarks for Chapters 22 02 and 22 04 of the statement of expenditure in Section III 'Commission'.

**8 1 3** *Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in the Mediterranean region and South Africa under the European Union Investment Partners operation*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record capital repayments and interest payment on loans and risk capital granted from the appropriations in Articles 21 02 51 and 22 04 51 of the statement of expenditure of Section III 'Commission' under the European Union Investment Partners operation.

**CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION** (*cont'd*)**8 1 3** (*cont'd*)*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

For the legal basis, see also remarks for Articles 21 02 51 and 22 04 51 of the statement of expenditure in Section III 'Commission'.

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES****8 2 7** *European Union guarantee for the borrowing programmes contracted by the Union to provide macro-financial assistance to third countries*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 03 03 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

*Legal basis*

Council Decision 97/471/EC of 22 July 1997 providing macro-financial assistance for the former Yugoslav Republic of Macedonia (OJ L 200, 29.7.1997, p. 59).

Council Decision 97/472/EC of 22 July 1997 providing macro-financial assistance for Bulgaria (OJ L 200, 29.7.1997, p. 61).

Council Decision 97/787/EC of 17 November 1997 providing exceptional financial assistance for Armenia and Georgia (OJ L 322, 25.11.1997, p. 37).

Council Decision 98/592/EC of 15 October 1998 providing supplementary macro-financial assistance for Ukraine (OJ L 284, 22.10.1998, p. 45).

Council Decision 1999/325/EC of 10 May 1999 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 123, 13.5.1999, p. 57).

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES** (*cont'd*)**8 2 7** (*cont'd*)

Council Decision 1999/731/EC of 8 November 1999 providing supplementary macro-financial assistance to Bulgaria (OJ L 294, 16.11.1999, p. 27).

Council Decision 1999/732/EC of 8 November 1999 providing supplementary macro-financial assistance to Romania (OJ L 294, 16.11.1999, p. 29).

Council Decision 1999/733/EC of 8 November 1999 providing supplementary macro-financial assistance to the former Yugoslav Republic of Macedonia (OJ L 294, 16.11.1999, p. 31).

Council Decision 2001/549/EC of 16 July 2001 providing macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 197, 21.7.2001, p. 38).

Council Decision 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22).

Council Decision 2002/882/EC of 5 November 2002 providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 308, 9.11.2002, p. 25).

Council Decision 2002/883/EC of 5 November 2002 providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 308, 9.11.2002, p. 28).

Council Decision 2004/580/EC of 29 April 2004 providing macro-financial assistance to Albania and repealing Decision 1999/282/EC (OJ L 261, 6.8.2004, p. 116).

Council Decision 2007/860/EC of 10 December 2007 providing Community macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).

Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance to Armenia (OJ L 320, 5.12.2009, p. 3).

Council Decision 2009/891/EC of 30 November 2009 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 320, 5.12.2009, p. 6).

Council Decision 2009/892/EC of 30 November 2009 providing macro-financial assistance to Serbia (OJ L 320, 5.12.2009, p. 9).

Decision No 388/2010/EU of the European Parliament and of the Council of 7 July 2010 providing macro-financial assistance to Ukraine (OJ L 179, 14.7.2010, p. 1).

## CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES *(cont'd)*

### 8 2 7 *(cont'd)*

Decision No 778/2013/EU of the European Parliament and of the Council of 12 August 2013 providing further macro-financial assistance to Georgia (OJ L 218, 14.8.2013, p. 15).

Decision No 1025/2013/EU of the European Parliament and of the Council of 22 October 2013 providing macro-financial assistance to the Kyrgyz Republic (OJ L 283, 25.10.2013, p. 1).

Decision No 1351/2013/EU of the European Parliament and of the Council of 11 December 2013 on providing macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 341, 18.12.2013, p. 4).

Council Decision 2014/215/EU of 14 April 2014 providing macro-financial assistance to Ukraine (OJ L 111, 15.4.2014, p. 85).

Decision 534/2014/EU of the European Parliament and of the Council of 15 May 2014 providing macro-financial assistance to the Republic of Tunisia (OJ L 151, 21.5.2014, p. 9).

Decision (EU) 2015/601 of the European Parliament and of the Council of 15 April 2015 providing macro-financial assistance to Ukraine (OJ L 100, 17.4.2015, p. 1).

### 8 2 8 *Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

#### *Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 03 04 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

#### *Legal basis*

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

For the legal basis for Euratom loans to Member States, see also Article 8 0 1.

# **CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES**

## **8 3 5      *European Union guarantee for European Investment Bank loans to third countries***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

### *Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 03 05 of the statement of expenditure in Section III 'Commission' provided that this revenue has not been deducted from expenditure.

A summary of borrowing-and-lending operations, including debt management, in capital and interest, is given in the Annex 'Borrowing-and-lending operations' to Section III 'Commission'.

### *Legal basis*

Council Decision of 8 March 1977 (Mediterranean Protocols).

Council Regulation (EEC) No 1273/80 of 23 May 1980 on the conclusion of the Interim Protocol between the European Economic Community and the Socialist Federal Republic of Yugoslavia on the advance implementation of Protocol 2 to the Cooperation Agreement (OJ L 130, 27.5.1980, p. 98).

Council Decision of 19 July 1982 (further exceptional aid for the reconstruction of Lebanon).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision of 9 October 1984 (loan outside the Yugoslavia Protocol).

Council Decision 87/604/EEC of 21 December 1987 concerning the conclusion of the Second Protocol on financial cooperation between the European Economic Community and the Socialist Federal Republic of Yugoslavia (OJ L 389, 31.12.1987, p. 65).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).



**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES** (*cont'd*)**8 3 5** (*cont'd*)

Council Decision 90/62/EEC of 12 February 1990 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Hungary, Poland, Czechoslovakia, Bulgaria and Romania (OJ L 42, 16.2.1990, p. 68).

Council Decision 91/252/EEC of 14 May 1991 extending to Czechoslovakia, Bulgaria and Romania Decision 90/62/EEC granting the Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 123, 18.5.1991, p. 44).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Decision 92/210/EEC of 16 March 1992 on the conclusion of a Protocol relating to financial cooperation between the European Economic Community and the State of Israel (OJ L 94, 8.4.1992, p. 45).

Council Regulation (EEC) No 1763/92 of 29 June 1992 concerning financial cooperation in respect of all Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 5), repealed by Regulation (EC) No 1488/96 (OJ L 189, 30.7.1996, p. 1).

Council Decision 92/548/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 93/115/EEC of 15 February 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in certain third countries (OJ L 45, 23.2.1993, p. 27).

Council Decision 93/166/EEC of 15 March 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Estonia, Latvia and Lithuania (OJ L 69, 20.3.1993, p. 42).

Council Decision 93/408/EEC of 19 July 1993 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the Republic of Slovenia (OJ L 189, 29.7.1993, p. 152).

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES** (*cont'd*)**8 3 5** (*cont'd*)

Council Decision 93/696/EC of 13 December 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects in central and eastern European countries (Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania) (OJ L 321, 23.12.1993, p. 27).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Decision 95/207/EC of 1 June 1995 granting a Community guarantee to the European Investment Bank against losses under loans for projects in South Africa (OJ L 131, 15.6.1995, p. 31).

Council Decision 95/485/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Cyprus (OJ L 278, 21.11.1995, p. 22).

Council Decision 96/723/EC of 12 December 1996 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in Latin American and Asian countries with which the Community has concluded cooperation agreements (Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, El Salvador, Uruguay and Venezuela; Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam) (OJ L 329, 19.12.1996, p. 45).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern European countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 98/348/EC of 19 May 1998 granting a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia and amending Decision 97/256/EC granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (central and eastern European countries, Mediterranean countries, Latin American and Asian countries and South Africa) (OJ L 155, 29.5.1998, p. 53).

Council Decision 1999/786/EC of 29 November 1999 granting a Community guarantee to the European Investment Bank (EIB) against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey (OJ L 308, 3.12.1999, p. 35).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (central and eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2001/777/EC of 6 November 2001 granting a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension (OJ L 292, 9.11.2001, p. 41).

Council Decision 2005/48/EC of 22 December 2004 granting a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus (OJ L 21, 25.1.2005, p. 11).

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES** (*cont'd*)

**8 3 5** (*cont'd*)

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

**CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES**

**8 5 0** *Dividends paid by the European Investment Fund*

Financial year 2016	Financial year 2015	Financial year 2014
5 217 537	6 890 000	4 698 000,—

*Remarks*

This article is intended to receive any dividends paid by the European Investment Fund in respect of this contribution.

*Legal basis*

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Decision No 562/2014/EU of the European Parliament and of the Council of 15 May 2014 on the participation of the European Union in the capital increase of the European Investment Fund (OJ L 156, 24.5.2014, p. 1).

## TITLE 9

### MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/ 2016
9 0 0	CHAPTER 9 0				
	Miscellaneous revenue	25 001 000	30 201 000	15 103 275,47	60,41
	CHAPTER 9 0 — TOTAL	25 001 000	30 201 000	15 103 275,47	60,41
	Title 9 — Total	25 001 000	30 201 000	15 103 275,47	60,41
	GRAND TOTAL	143 885 295 484	141 280 422 939	143 940 117 720,62	100,04

## TITLE 9

### MISCELLANEOUS REVENUE

#### CHAPTER 9 0 — MISCELLANEOUS REVENUE

9 0 0

*Miscellaneous revenue*

Financial year 2016	Financial year 2015	Financial year 2014
25 001 000	30 201 000	15 103 275,47

*Remarks*

This article is intended to receive miscellaneous revenue.

European Parliament	1 000
Council	p.m.
Commission	25 000 000
Court of Justice of the European Union	p.m.
Court of Auditors	p.m.
European Economic and Social Committee	p.m.
Committee of the Regions	p.m.
European Ombudsman	p.m.
European Data Protection Supervisor	p.m.
European External Action Service	p.m.
Total	25 001 000

## C. ESTABLISHMENT PLAN STAFF

## Authorised establishment plan

Institution	2016		2015 <sup>(1)</sup>	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
European Parliament	5 573	1 189	5 591	1 148
European Council and Council	3 004	36	3 036	36
Commission:	23 617	427	23 970	458
— operation	18 482	375	18 645	394
— research and technological development	3 431		3 570	
— Publications Office of the European Union	613		633	
— European Anti-Fraud Office	317	51	315	62
— European Personnel Selection Office	111	1	110	2
— Office for the Administration and Payment of Individual Entitlements	169		179	
— Office for Infrastructure and Logistics, Brussels	360		378	
— Office for Infrastructure and Logistics, Luxembourg	134		140	
Court of Justice of the European Union	1 551	522	1 547	451
Court of Auditors	725	137	733	139
European Economic and Social Committee	635	35	678	35
Committee of the Regions	446	50	477	50
European Ombudsman	47	19	47	19
European Data Protection Supervisor	47		46	
European External Action Service	1 627	1	1 644	1
<b>Total</b>	<b>37 272</b>	<b>2 416</b>	<b>37 769</b>	<b>2 337</b>

<sup>(1)</sup> The figures in this column correspond to those in the 2015 budget (OJ L 69, 13.3.2015, p. 1) plus amending budgets No 1/2015 to No 8/2015.

## Authorised establishment plan

Bodies set up by the Union and having legal personality	2016		2015 <sup>(1)</sup>	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
Decentralised agencies	116	5 109	120	5 246
European joint undertakings	55	267	56	245
European Institute of Innovation and Technology		39		39
Executive agencies		571		544
<b>Total</b>	<b>171</b>	<b>5 986</b>	<b>176</b>	<b>6 074</b>

<sup>(1)</sup> The figures in this column correspond to those in the 2015 budget (OJ L 69, 13.3.2015, p. 1) plus amending budgets No 1/2015 to No 8/2015.

## D. BUILDINGS

Institutions		Buildings rented		Buildings owned <sup>(1)</sup>
		Appropriations 2016 <sup>(2)</sup>	Appropriations 2015 <sup>(2)</sup>	
Section I	European Parliament	33 058 000	35 372 000	1 020 211 118
Section II	European Council and Council	2 806 000	2 806 000	325 729 823
Section III	Commission:			1 570 416 436,86
	— headquarters (Brussels and Luxembourg)	249 276 000	247 602 000	1 358 537 403,72
	— offices in the Union	12 724 000	12 792 000	32 987 271,24
	— Food and Veterinary Office	2 285 000	2 385 000	17 543 332,67
	— Union delegations <sup>(3)</sup>	22 160 000	20 838 000	—
	— Joint Research Centre <sup>(4)</sup>	1 473 605	1 447 384	161 348 429,23
	— Publications Office of the European Union	7 100 000	7 271 000	—
	— European Anti-Fraud Office	5 109 000	4 879 000	—
	— European Personnel Selection Office	2 812 000	2 825 000	—
	— Office for Administration and Payment of Individual Entitlements	3 619 000	3 583 000	—
	— Office for Infrastructure and Logistics, Brussels	5 675 000	5 602 000	—
	— Office for Infrastructure and Logistics, Luxembourg	1 458 000	1 458 000	—
Section IV	Court of Justice of the European Union	42 166 000	42 321 500	373 991 905,47 <sup>(5)</sup>
Section V	Court of Auditors	169 000	160 000	82 350 041,87
Section VI	European Economic and Social Committee	14 034 634	13 886 537	112 606 486
Section VII	Committee of the Regions	61 710 380 091	10 254 617	72 304 507
Section VIII	European Ombudsman	749 000	749 000	—
Section IX	European Data — Protection Supervisor	885 000	885 000	—
Section X	European External Action Service:			108 962 217,80 <sup>(6)</sup>
	— headquarters (Brussels)	18 372 000	18 372 000	
	— Union delegations	84 055 736 <sup>(7)</sup>	84 055 736 <sup>(7)</sup>	
	<b>Total</b>	<b>519 445 390</b>	<b>519 445 390</b>	<b>3 824 503 690,55</b>

<sup>(1)</sup> Net book value entered in balance sheet at 31 December 2014 (except when stated otherwise).

<sup>(2)</sup> These appropriations represent the cumulative amounts entered in Items 2 0 0 0 (rent), 2 0 0 1 (annual lease payments) and 2 0 0 3 (acquisition of immovable property).

<sup>(3)</sup> Commission's contribution towards the Union delegations.

<sup>(4)</sup> These appropriations cover the renting of premises financed by Item 10 01 05 03 'Other management expenditure for research and innovation programmes — Horizon 2020'.

<sup>(5)</sup> Net book value entered in balance sheet at 31 December 2014 for the renovated Annex buildings 'A', 'B' and 'C' and for the buildings complex of the new Palais (the renovated original Palais, Anneau, two towers and connecting gallery) under lease-purchase contracts.

<sup>(6)</sup> Net booked value as at 1 June 2014. The buildings of the Union delegations were transferred to the European External Action Service on 1 January 2011.

<sup>(7)</sup> Including amounts transferred from Section III 'Commission' as a result of the 2015 budgetary procedure. As from 2015, appropriations for rents and construction/acquisition of delegations' premises are fully included in Section X.

Institution	Location	Year of purchase	Net booked value (€)	
			Subtotal	Total
European Parliament	Brussels			687 035 164
	Land		125 647 743	
	Paul-Henri Spaak	1998	46 815 390	
	Altiero Spinelli	1998	233 606 359	
	Willy Brandt	2007	75 762 157	
	József Antall	2008	108 720 670	
	Atrium	1999	18 823 395	
	Atrium II	2004	6 539 709	
	Montoyer 75	2006	18 404 457	
	Trier I	2011	11 160 000	
	Eastman	2008	14 738 333	
	Cathedrale	2005	1 668 078	
	Wayenberg (Marie Haps)	2003	5 130 707	
	Remard	2010	11 528 167	
	Montoyer 70	2012	8 490 000	
	Strasbourg (Louise Weiss)	1998		199 199 651
	Strasbourg (Churchill, de Madariaga, Pflimlin)	2006		101 618 752
	Strasbourg (Václav Havel)	2012		6 690 000
	Luxembourg (Konrad Adenauer)	2003		36 038 668
	Luxembourg (KAD Z)	2010		1 542 687
	Jean Monnet House (Bazoches)	1982		1 115 044
	Lisbon	1986		361 899
	Athens	1991		3 192 255
	Copenhagen	2005		3 421 324
	The Hague	2006		4 358 754
	Valletta	2006		2 038 790
	Nicosia	2006		2 570 113
	Vienna	2008		22 527 320
	London	2008		11 307 859
	Budapest	2010		3 224 910
	Sofia	2013		8 846 700
European Council and Council	Brussels			325 729 823
	Land		67 525 000	
	Justus Lipsius	1995	105 420 994	
	Crèche	2006	9 956 429	
	Lex	2007	142 827 400	



Institution	Location	Year of purchase	Net booked value <sup>(1)</sup>	
			Subtotal	Total
Commission	Brussels			1 277 492 630,31
	Overijse	1997	2 673 749,71	
	Loi 130	1987	51 712 395,20	
	Breydel	1989	7 298 833,00	
	Haren	1993	5 586 595,78	
	Clovis	1995	7 981 189,04	
	Cours Saint-Michel 1	1997	15 378 903,39	
	Belliard 232 <sup>(2)</sup>	1997	16 849 618,01	
	Demot 24 <sup>(2)</sup>	1997	27 105 647,95	
	Breydel II	1997	31 496 643,89	
	Beaulieu 29/31/33	1998	29 609 044,59	
	Charlemagne	1997	94 786 432,38	
	Demot 28 <sup>(2)</sup>	1999	21 623 455,52	
	Joseph II 99 <sup>(2)</sup>	1998	15 343 284,64	
	Loi 86	1998	28 859 661,79	
	Luxembourg 46 <sup>(3)</sup>	1999	32 305 243,65	
	Montoyer 59 <sup>(2)</sup>	1998	15 902 731,47	
	Froissart 101 <sup>(2)</sup>	2000	16 904 466,30	
	VM 18 <sup>(2)</sup>	2000	15 278 693,79	
	Joseph II 70 <sup>(2)</sup>	2000	34 599 853,56	
	Loi 41 <sup>(2)</sup>	2000	55 909 456,80	
	SC 11 <sup>(2)</sup>	2000	18 106 512,37	
	Joseph II 30 <sup>(4)</sup>	2000	29 345 765,53	
	Joseph II 54 <sup>(2)</sup>	2001	35 680 748,16	
	Joseph II 79 <sup>(2)</sup>	2002	34 403 941,53	
	VM2 <sup>(2)</sup>	2001	34 293 375,00	
	Palmerston	2002	6 092 032,96	
	SPA 3 <sup>(2)</sup>	2003	24 619 825,00	
	Berlaymont <sup>(2)</sup>	2004	344 800 837,95	
	CCAB <sup>(2)</sup>	2005	40 612 362,42	
	BU-25	2006	45 329 777,89	
	Cornet-Leman	2006	18 825 828,49	
	Madou	2006	102 010 326,43	
	WALI	2009	16 070 396,12	
	Houtweg	2014	95 000,00	
	Luxembourg			81 044 773,41
	Euroforum <sup>(2)</sup>	2004	72 728 010,00	
	Foyer européen	2009	8 316 763,41	

Institution	Location	Year of purchase	Net booked value (€)	
			Subtotal	Total
	<i>Offices in the Union</i>			32 987 271,24
	Lisbon	1986	—	
		1993	196 737,66	
	Marseille	1991	23 045,14	
		1993	9 980,70	
	Milan	1986	—	
	Copenhagen	2005	3 218 565,44	
	Valletta	2007	2 053 370,94	
	Nicosia (Byron)	2006	2 488 844,49	
	The Hague	2006	4 123 297,15	
	London	2010	16 182 092,22	
	Budapest	2010	4 691 337,50	
	<i>Joint Research Centre</i>			161 348 429,23
	Ispra		94 907 188,70	
	Geel		28 691 532,69	
	Karlsruhe		28 336 933,02	
	Petten		9 412 774,82	
	<i>Food and Veterinary Office</i>			17 543 332,67
	Grange (Ireland) <sup>(2)</sup>	2002	17 543 332,67	
	<b>Total Commission</b>			<b>1 570 416 436,86</b>
Court of Justice of the European Union	<i>Luxembourg</i>			373 991 905,47
	Annex 'A' — Erasmus, Annex 'B' — Thomas More and Annex 'C'	1994	15 769 207,57	
	New Palais buildings complex (renovated original Palais, Anneau, two towers and connecting gallery)	2008	358 222 697,90	
Court of Auditors	<i>Luxembourg</i>			86 335 055,11
	Land	1990	776 631,00	
	Luxembourg (K1)	1990	7 579 122,27	
	Luxembourg (K2)	2004	16 200 108,82	
	Luxembourg (K3)	2009	61 779 193,02	

Institution	Location	Year of purchase	Net booked value <sup>(1)</sup>	
			Subtotal	Total
European Economic and Social Committee <sup>(2)</sup>	Brussels			117 230 578
	Montoyer 92-102	2001	28 419 632	
	Belliard 99-101	2001	69 021 931	
	Belliard 68-72	2004	8 220 164	
	Trèves 74	2005	7 312 416	
	Belliard 93	2005	4 256 435	
Committee of the Regions <sup>(2)</sup>	Brussels			75 182 146
	Montoyer	2001	13 961 443	
	Belliard 101-103	2001	33 893 257	
	Belliard 68	2004	12 192 398	
	Trèves 74	2004	10 905 425	
	Belliard 93	2005	4 229 623	
European External Action Service	<i>External Action Service</i>			
	Brussels Headquarters <sup>(6)</sup>			
	<i>Union delegations</i>	2012	212 740 947,12	330 785 134,49 <sup>(7)</sup>
	Tirana (Albania)	2015	1 596 842,40	
	Buenos Aires (Argentina)	1992	326 381,66	
	Canberra (Australia)	1983	0	
		1990	0	
	Cotonou (Benin)	1992	115 908,51	
	Gaborone (Botswana)	1982	50 866,95	
		1985	8 190,40	
		1985	6 403,95	
		1986	5 912,85	
		1987	12 572,25	
	Brasilia (Brazil)	1994	233 366,04	
	Ouagadougou (Burkina Faso)	1984	19 248,47	
		1997	688 299,19	
	Bujumbura (Burundi)	1982	36 584,40	
		1986	111 426,72	
	Phnom Penh (Cambodia)	2005	478 703,20	
	Ottawa (Canada)	1977	64 132,79	
	Praia (Cape Verde)	1981	14 091,34	
	Praia (Cape Verde)	2015	1 154 531,12	
	Bangui (Central African Republic)	1983	65 707,89	
	N'Djamena (Chad)	1991	11 965,76	
		2009	361 840,50	
	Beijing (China)	1995	2 009 926,07	
	Moroni (Comoros)	1988	18 232,81	

Institution	Location	Year of purchase	Net booked value (€)	
			Subtotal	Total
	Brazzaville (Congo)	1994	95 959,73	
	San José (Costa Rica)	1995	132 602,56	
	Abidjan (Côte d'Ivoire)	1993	111 284,50	
		1994	6 852 294,77	
	Paris (France)	1990	1 236 105,57	
		1991	5 325,39	
	Libreville (Gabon)	1996	204 221,34	
	Banjul (Gambia)	1989	22 778,48	
	Bissau (Guinea-Bissau)	1995	181 714,46	
	Port-au Prince-(Haiti)	1995	18 570,64	
		2014	6 688 117,53	
	Tokyo (Japan)	2006	78 199 511,65	
	Nairobi (Kenya)	2005	529 091,92	
	Maseru (Lesotho)	1985	30 467,06	
		1990	33 605,58	
		1991	87 461,56	
		2006	98 373,66	
		2006	79 331,98	
	Lilongwe (Malawi)	1982	42 053,03	
		1988	12 969,50	
	Mexico City (Mexico)	1995	1 079 398,52	
	Rabat (Morocco)	1987	62 541,23	
	Port Louis (Mauritius)	1988	18 232,81	
	Maputo (Mozambique)	2008	2 837 956,80	
	Windhoek (Namibia)	1992	59 386,19	
		1992	33 859,65	
		1992	38 017,02	
		1992	67 767,96	
	Niamey (Niger)	1997	73 640,83	
	Abuja (Nigeria)	1992	134 350,20	
		2005	3 043 621,53	
		2012	3 368 558,33	
		1992	93 078,88	
	Port Moresby (Papua New Guinea)	1982	48 274,53	
	Kigali (Rwanda)	1980	112 548,18	
		1982	71 627,45	
	Dakar (Senegal)	1984	325 145,55	
	Honiara (Solomon Islands)	1990	19 761,68	
	Pretoria (South Africa)	1994	164 080,99	
		1996	404 927,19	

Institution	Location	Year of purchase	Net booked value <sup>(1)</sup>	
			Subtotal	Total
	Mbabane (Swaziland)	1994	197 592,39	
		1987	26 994,00	
		1988	13 497,00	
		1987	13 497,00	
	Dar es Salaam (Tanzania)	2002	2 240 802,55	
	Kampala (Uganda)	1986	58 646,36	
	New York (United States of America)	1987	253 001,13	
	Washington (United States of America)	1997	901 567,25	
	Lusaka (Zambia)	1982	43 366,60	
	Harare (Zimbabwe)	1990	73 859,06	
		1994	141 912,33	
<b>Grand total</b>				<b>3 974 760 969,93</b>
<sup>(1)</sup> Net book value entered in balance sheet at 31 December 2014 (except when stated otherwise). <sup>(2)</sup> Long-term lease with option to purchase. <sup>(3)</sup> Long-term lease with option to purchase (ex Marie de Bourgogne). <sup>(4)</sup> Long-term lease with option to purchase (partially occupied by OLAF). <sup>(5)</sup> Long-term lease/purchase. <sup>(6)</sup> Long-term lease. <sup>(7)</sup> Net booked value as at 31 December 2015. The buildings of the Union delegations were transferred to the European External Action Service on 1 January 2011.				

*SECTION I*

**EUROPEAN PARLIAMENT**

## REVENUE

**Contribution of the European Union to the financing of the expenditure of the  
Parliament for the financial year 2016**

Heading	Amount
Expenditure	1 838 388 600
Own resources	– 153 470 462
<b>Contribution due</b>	<b>1 684 918 138</b>

EUROPEAN PARLIAMENT

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

## CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 4 0				
4 0 0	<i>Proceeds from taxation on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension</i>	73 484 272	69 674 060	71 471 886,11	97,26
4 0 3	<i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>	p.m.	p.m.	25 197,48	
4 0 4	<i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>	10 797 731	9 412 163	9 772 278,65	90,50
	CHAPTER 4 0 — TOTAL	84 282 003	79 086 223	81 269 362,24	96,43
	CHAPTER 4 1				
4 1 0	<i>Staff contributions to the pension scheme</i>	59 667 459	60 237 843	53 614 328,60	89,86
4 1 1	<i>Transfer or purchase of pension rights by staff</i>	9 100 000	9 100 000	11 352 853,21	124,76
4 1 2	<i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>	10 000	10 000	24 042,14	240,42
	CHAPTER 4 1 — TOTAL	68 777 459	69 347 843	64 991 223,95	94,49
	CHAPTER 4 2				
4 2 1	<i>Contributions by Members of the European Parliament to a retirement pension scheme</i>	p.m.	p.m.		
	CHAPTER 4 2 — TOTAL	p.m.	p.m.		
	Title 4 — Total	153 059 462	148 434 066	146 260 586,19	95,56



## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

Financial year 2016	Financial year 2015	Financial year 2014
73 484 272	69 674 060	71 471 886,11

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	25 197,48

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
10 797 731	9 412 163	9 772 278,65

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
59 667 459	60 237 843	53 614 328,60

## EUROPEAN PARLIAMENT

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME (*cont'd*)4 1 0 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

4 1 1 *Transfer or purchase of pension rights by staff*

Financial year 2016	Financial year 2015	Financial year 2014
9 100 000	9 100 000	11 352 853,21

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 4, Article 11(2) and (3) and Article 48 of Annex VIII thereto.

4 1 2 *Contributions to the pension scheme by officials and temporary staff on leave on personal grounds*

Financial year 2016	Financial year 2015	Financial year 2014
10 000	10 000	24 042,14

## CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME

4 2 1 *Contributions by Members of the European Parliament to a retirement pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Legal basis*

Rules governing the payment of expenses and allowances to Members of the European Parliament, and in particular Annex III thereto.

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 0				
<b>5 0 0</b>	<b><i>Proceeds from the sale of movable property (supply of goods)</i></b>				
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.		
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue	p.m.	p.m.	6 888,—	
5 0 0 2	Proceeds from the supply of goods to other institutions or bodies — Assigned revenue	p.m.	p.m.	6 427,81	
	Article 5 0 0 — Total	p.m.	p.m.	13 315,81	
<b>5 0 1</b>	<b><i>Proceeds from the sale of immovable property</i></b>	p.m.	p.m.		
<b>5 0 2</b>	<b><i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i></b>	p.m.	p.m.	5 848,47	
	CHAPTER 5 0 — TOTAL	p.m.	p.m.	19 164,28	
	CHAPTER 5 1				
<b>5 1 1</b>	<b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>				
5 1 1 0	Proceeds from letting and subletting immovable property — Assigned revenue	p.m.	p.m.	2 728 921,78	
5 1 1 1	Reimbursement of charges connected with lettings — Assigned revenue	p.m.	p.m.	10 681,12	
	Article 5 1 1 — Total	p.m.	p.m.	2 739 602,90	
	CHAPTER 5 1 — TOTAL	p.m.	p.m.	2 739 602,90	
	CHAPTER 5 2				
<b>5 2 0</b>	<b><i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i></b>	410 000	410 000	442 335,67	107,89
	CHAPTER 5 2 — TOTAL	410 000	410 000	442 335,67	107,89
	CHAPTER 5 5				
<b>5 5 0</b>	<b><i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i></b>	p.m.	p.m.	5 232 191,62	
<b>5 5 1</b>	<b><i>Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue</i></b>	p.m.	p.m.	1 105 304,58	
	CHAPTER 5 5 — TOTAL	p.m.	p.m.	6 337 496,20	

EUROPEAN PARLIAMENT

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 58 — MISCELLANEOUS PAYMENTS

[illegible]

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

This item is intended to record revenue accruing from the sale or part-exchange of vehicles belonging to the institution.

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	6 888,—

*Remarks*

This item is intended to record revenue accruing from the sale or part exchange of movable property other than vehicles belonging to the institution.

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	6 427,81

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

Details of expenditure and revenue resulting from loans or rents or the provision of services under this budget item shall be set out in an annex to this budget.

## EUROPEAN PARLIAMENT

**CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY** *(cont'd)***5 0 1** *Proceeds from the sale of immovable property*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

**5 0 2** *Proceeds from the sale of publications, printed works and films — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	5 848,47

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

This article shall also include the proceeds of the sale of these products by electronic means.

**CHAPTER 5 1 — PROCEEDS FROM LETTING****5 1 1** *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings***5 1 1 0** *Proceeds from letting and subletting immovable property — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	2 728 921,78

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

Details of expenditure and revenue resulting from loans or rents or the provision of services under this budget item shall be set out in an annex to this budget.

**5 1 1 1** *Reimbursement of charges connected with lettings — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	10 681,12

**CHAPTER 5 1 — PROCEEDS FROM LETTING** (*cont'd*)**5 1 1** (*cont'd*)5 1 1 1 (*cont'd*)*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

Financial year 2016	Financial year 2015	Financial year 2014
410 000	410 000	442 335,67

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest on the institution's accounts.

**CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT****5 5 0** *Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	5 232 191,62

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1** *Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 105 304,58

## EUROPEAN PARLIAMENT

**CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT** (*cont'd*)**5 5 1** (*cont'd*)*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****5 7 0** *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	4 705 964,82

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

In accordance with Article 21(2)(d) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 2** *Repayment of welfare expenditure incurred on behalf of another institution*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

This article is intended to record revenue from the repayment of welfare expenditure incurred on behalf of another institution.



**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (*cont'd*)**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	2 084 376,41

**CHAPTER 5 8 — MISCELLANEOUS PAYMENTS****5 8 1** *Revenue from insurance payments received — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	185 508,04

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations against the headings which bore the initial expenditure giving rise to the corresponding revenue.

This article also includes reimbursement by insurance companies of the salaries of officials involved in accidents.

EUROPEAN PARLIAMENT

## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 66 — OTHER CONTRIBUTIONS AND REFUNDS

[illegible]

**TITLE 6****CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0      *Other contributions and refunds*****6 6 0 0      Other assigned contributions and refunds — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	10 906 918,89

*Remarks*

This item is intended to record, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 and which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

**6 6 0 1      Other non-assigned contributions and refunds**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

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## TITLE 9

### MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>9 0 0</b>	CHAPTER 9 0				
	Miscellaneous revenue	1 000	1 000	754 898,78	75 489,88
	CHAPTER 9 0 — TOTAL	1 000	1 000	754 898,78	75 489,88
	Title 9 — Total	1 000	1 000	754 898,78	75 489,88
	GRAND TOTAL	153 470 462	148 845 066	174 436 852,18	113,66

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0*****Miscellaneous revenue***

Financial year 2016	Financial year 2015	Financial year 2014
1 000	1 000	754 898,78

***Remarks***

This article is intended to record miscellaneous revenue.

Details of expenditure and revenue resulting from loans or rents or the provision of services under this article shall be set out in an annex to this budget.

EUROPEAN PARLIAMENT

**EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>1</b>	<b>PERSONS WORKING WITH THE INSTITUTION</b>			
1 0	MEMBERS OF THE INSTITUTION	213 281 500	220 252 000	223 348 317,16
1 2	OFFICIALS AND TEMPORARY STAFF	627 305 500	608 733 635	592 225 771,19
1 4	OTHER STAFF AND EXTERNAL SERVICES	125 501 000	121 114 400	89 290 606,42
1 6	OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION	17 772 500	18 314 480	15 769 390,60
	<b>Title 1 — Total</b>	<b>983 860 500</b>	<b>968 414 515</b>	<b>920 634 085,37</b>
<b>2</b>	<b>BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b>			
2 0	BUILDINGS AND ASSOCIATED COSTS	211 173 440	210 241 500	261 255 176,91
2 1	DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY	166 845 910	149 330 149	130 623 097,45
2 3	CURRENT ADMINISTRATIVE EXPENDITURE	5 992 750	6 049 000	4 824 591,—
	<b>Title 2 — Total</b>	<b>384 012 100</b>	<b>365 620 649</b>	<b>396 702 865,36</b>
<b>3</b>	<b>EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION</b>			
3 0	MEETINGS AND CONFERENCES	35 423 000	36 175 971	28 562 579,97
3 2	EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION	115 433 000	115 686 393	109 872 538,56
	<b>Title 3 — Total</b>	<b>150 856 000</b>	<b>151 862 364</b>	<b>138 435 118,53</b>
<b>4</b>	<b>EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION</b>			
4 0	EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES	111 100 000	104 818 084	100 523 786,23
4 2	EXPENDITURE RELATING TO PARLIAMENTARY ASSISTANCE	202 140 000	192 113 500	181 048 836,81
4 4	MEETINGS AND OTHER ACTIVITIES OF CURRENT AND FORMER MEMBERS	420 000	400 000	400 000,—
	<b>Title 4 — Total</b>	<b>313 660 000</b>	<b>297 331 584</b>	<b>281 972 623,04</b>

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>5</b>	<b>AUTHORITY FOR EUROPEAN POLITICAL PARTIES AND EUROPEAN POLITICAL FOUNDATIONS AND THE COMMITTEE OF INDEPENDENT EMINENT PERSONS</b>			
5 0	EXPENDITURE OF THE AUTHORITY FOR EUROPEAN POLITICAL PARTIES AND EUROPEAN POLITICAL FOUN- DATIONS AND THE COMMITTEE OF INDEPENDENT EMINENT PERSONS	p.m.		
	<b>Title 5 — Total</b>	<b>p.m.</b>		
<b>10</b>	<b>OTHER EXPENDITURE</b>			
10 0	PROVISIONAL APPROPRIATIONS	p.m.	p.m.	
10 1	CONTINGENCY RESERVE	6 000 000	11 700 000	0,—
10 3	ENLARGEMENT RESERVE	p.m.	p.m.	0,—
10 4	RESERVE FOR INFORMATION AND COMMUNICATION POLICY	p.m.	p.m.	0,—
10 5	PROVISIONAL APPROPRIATION FOR BUILDINGS	p.m.	p.m.	0,—
10 6	RESERVE FOR PRIORITY PROJECTS UNDER DEVELOPMENT	p.m.	p.m.	0,—
10 8	EMAS RESERVE	p.m.	p.m.	0,—
	<b>Title 10 — Total</b>	<b>6 000 000</b>	<b>11 700 000</b>	<b>0,—</b>
	<b>GRAND TOTAL</b>	<b>1 838 388 600</b>	<b>1 794 929 112</b>	<b>1 737 744 692,30</b>

EUROPEAN PARLIAMENT

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 0				
<b>1 0 0</b>	<b>Salaries and allowances</b>				
1 0 0 0	Salaries				
	Non-differentiated appropriations	72 520 000	71 530 000	74 881 314,28	103,26
1 0 0 4	Ordinary travel expenses				
	Non-differentiated appropriations	73 340 000	72 800 000	66 550 000,—	90,74
1 0 0 5	Other travel expenses				
	Non-differentiated appropriations	7 050 000	5 850 000	7 500 000,—	106,38
1 0 0 6	General expenditure allowance				
	Non-differentiated appropriations	39 715 000	39 715 000	43 215 516,17	108,81
1 0 0 7	Allowances for performance of duties				
	Non-differentiated appropriations	181 500	179 000	171 049,74	94,24
	<i>Article 1 0 0 — Total</i>	192 806 500	190 074 000	192 317 880,19	99,75
<b>1 0 1</b>	<b>Accident and sickness insurance and other welfare measures</b>				
1 0 1 0	Accident and sickness insurance and other social security charges				
	Non-differentiated appropriations	3 058 000	3 358 000	2 339 883,01	76,52
1 0 1 2	Specific measures to assist disabled Members				
	Non-differentiated appropriations	798 000	301 000	239 224,34	29,98
	<i>Article 1 0 1 — Total</i>	3 856 000	3 659 000	2 579 107,35	66,89
<b>1 0 2</b>	<b>Transitional allowances</b>				
	Non-differentiated appropriations	1 770 000	11 810 000	14 766 194,17	834,25
<b>1 0 3</b>	<b>Pensions</b>				
1 0 3 0	Retirement pensions (PEAM)				
	Non-differentiated appropriations	11 450 000	11 010 000	10 422 836,85	91,03
1 0 3 1	Invalidity pensions (PEAM)				
	Non-differentiated appropriations	291 000	285 000	282 186,10	96,97
1 0 3 2	Survivors' pensions (PEAM)				
	Non-differentiated appropriations	2 458 000	2 782 000	2 454 828,14	99,87
1 0 3 3	Optional pension scheme for Members				
	Non-differentiated appropriations	p.m.	32 000	25 284,36	
	<i>Article 1 0 3 — Total</i>	14 199 000	14 109 000	13 185 135,45	92,86
<b>1 0 5</b>	<b>Language and computer courses</b>				
	Non-differentiated appropriations	650 000	600 000	500 000,—	76,92



**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)  
**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF**  
**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 0 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 0 — TOTAL	213 281 500	220 252 000	223 348 317,16	104,72
	CHAPTER 1 2				
<b>1 2 0</b>	<b>Remuneration and other entitlements</b>				
1 2 0 0	Remuneration and allowances				
	Non-differentiated appropriations	623 007 500	604 340 535	588 325 126,76	94,43
1 2 0 2	Paid overtime				
	Non-differentiated appropriations	248 000	296 500	110 000,—	44,35
1 2 0 4	Entitlements in connection with entering the service, transfer and leaving the service				
	Non-differentiated appropriations	2 950 000	3 760 000	3 200 000,—	108,47
	Article 1 2 0 — Total	626 205 500	608 397 035	591 635 126,76	94,48
<b>1 2 2</b>	<b>Allowances upon early termination of service</b>				
1 2 2 0	Allowances for staff retired or placed on leave in the interests of the service				
	Non-differentiated appropriations	1 100 000	335 600	418 515,27	38,05
1 2 2 2	Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff				
	Non-differentiated appropriations	p.m.	1 000	172 129,16	
	Article 1 2 2 — Total	1 100 000	336 600	590 644,43	53,69
<b>1 2 4</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 2 — TOTAL	627 305 500	608 733 635	592 225 771,19	94,41
	CHAPTER 1 4				
<b>1 4 0</b>	<b>Other staff and external persons</b>				
1 4 0 0	Other staff				
	Non-differentiated appropriations	64 301 000	54 199 000	45 051 879,32	70,06
1 4 0 2	Expenditure on interpretation				
	Non-differentiated appropriations	45 125 000	49 524 900	34 553 991,—	76,57
1 4 0 4	Graduate traineeships, grants and exchanges of officials				
	Non-differentiated appropriations	7 185 000	7 390 500	5 791 881,77	80,61
1 4 0 6	Observers				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	Article 1 4 0 — Total	116 611 000	111 114 400	85 397 752,09	73,23

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**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 4 2</b>	<b>External translation services</b>				
	Non-differentiated appropriations	8 890 000	10 000 000	3 892 854,33	43,79
<b>1 4 4</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 4 — TOTAL	125 501 000	121 114 400	89 290 606,42	71,15
	CHAPTER 1 6				
<b>1 6 1</b>	<b>Expenditure relating to staff management</b>				
1 6 1 0	Expenditure on recruitment				
	Non-differentiated appropriations	254 000	328 980	236 000,—	92,91
1 6 1 2	Further training				
	Non-differentiated appropriations	6 200 000	5 200 000	4 835 590,94	77,99
	Article 1 6 1 — Total	6 454 000	5 528 980	5 071 590,94	78,58
<b>1 6 3</b>	<b>Measures to assist the institution's staff</b>				
1 6 3 0	Social welfare				
	Non-differentiated appropriations	784 000	764 000	517 180,69	65,97
1 6 3 1	Mobility				
	Non-differentiated appropriations	754 000	754 000	702 914,74	93,22
1 6 3 2	Social contacts between members of staff and other social measures				
	Non-differentiated appropriations	238 000	255 000	248 522,25	104,42
	Article 1 6 3 — Total	1 776 000	1 773 000	1 468 617,68	82,69
<b>1 6 5</b>	<b>Activities relating to all persons working with the institution</b>				
1 6 5 0	Medical service				
	Non-differentiated appropriations	1 250 000	1 100 000	1 172 537,01	93,80
1 6 5 2	Current operating expenditure for restaurants and canteens				
	Non-differentiated appropriations	1 365 000	3 500 000	4 050 000,—	296,70
1 6 5 4	Childcare facilities				
	Non-differentiated appropriations	6 727 500	6 212 500	4 006 644,97	59,56
1 6 5 5	European Parliament contribution for accredited Type II European Schools				
	Non-differentiated appropriations	200 000	200 000		
	Article 1 6 5 — Total	9 542 500	11 012 500	9 229 181,98	96,72
	CHAPTER 1 6 — TOTAL	17 772 500	18 314 480	15 769 390,60	88,73
	<b>Title 1 — Total</b>	<b>983 860 500</b>	<b>968 414 515</b>	<b>920 634 085,37</b>	<b>93,57</b>

**TITLE 1****PERSONS WORKING WITH THE INSTITUTION****CHAPTER 1 0 — MEMBERS OF THE INSTITUTION****1 0 0        *Salaries and allowances*****1 0 0 0        Salaries**

Appropriations 2016	Appropriations 2015	Outturn 2014
72 520 000	71 530 000	74 881 314,28

*Remarks*

This appropriation is intended to cover the salary provided for by the Statute for Members.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Articles 9 and 10 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 1 and 2 thereof.

**1 0 0 4        Ordinary travel expenses**

Appropriations 2016	Appropriations 2015	Outturn 2014
73 340 000	72 800 000	66 550 000,—

*Remarks*

This appropriation is intended to cover reimbursement of travel and subsistence expenses in connection with travelling to and from the places of work and with other duty travel.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 25 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 20 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 10 to 21 and 24 thereof.

## EUROPEAN PARLIAMENT

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 0 (*cont'd*)

## 1 0 0 5 Other travel expenses

Appropriations 2016	Appropriations 2015	Outturn 2014
7 050 000	5 850 000	7 500 000,—

*Remarks*

This appropriation is intended to cover reimbursement of additional travel expenses and travel expenses incurred in the Member State of election.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 20 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 22 and 23 thereof.

## 1 0 0 6 General expenditure allowance

Appropriations 2016	Appropriations 2015	Outturn 2014
39 715 000	39 715 000	43 215 516,17

*Remarks*

This appropriation is intended to cover, in accordance with the Implementing measures for the Statute for Members of the European Parliament, expenses resulting from the parliamentary activities of Members.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 75 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 20 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 25 to 28 thereof.

## 1 0 0 7 Allowances for performance of duties

Appropriations 2016	Appropriations 2015	Outturn 2014
181 500	179 000	171 049,74

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 0** (*cont'd*)1 0 0 7 (*cont'd*)*Remarks*

This appropriation is intended to cover the flat-rate subsistence and representation allowances in connection with the duties of the President of the European Parliament.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 20 thereof.

Bureau Decision of 17 June 2009.

**1 0 1** ***Accident and sickness insurance and other welfare measures***

1 0 1 0 Accident and sickness insurance and other social security charges

Appropriations 2016	Appropriations 2015	Outturn 2014
3 058 000	3 358 000	2 339 883,01

*Remarks*

This appropriation is intended to cover accident insurance and reimbursement of medical expenses for Members and loss and theft of Members' personal effects.

It is also intended to cover the provision of insurance cover and assistance to Members should they need to be repatriated whilst undertaking an official journey, as a result of a serious illness, an accident or an unforeseen event that prevents them from continuing their journey. Such assistance involves organising the Member's repatriation and defraying the related costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 79 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Articles 18 and 19 thereof.

Implementing measures for the Statute for Members of the European Parliament, in particular Articles 3 to 9 and 29 thereof.

Common rules on the insurance of officials of the European Union against the risk of accident and of occupational disease.

Joint rules on sickness insurance for officials of the European Communities.

## EUROPEAN PARLIAMENT

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 1 (*cont'd*)1 0 1 0 (*cont'd*)

Commission Decision laying down general implementing provisions for the reimbursement of medical expenses.

## 1 0 1 2 Specific measures to assist disabled Members

Appropriations 2016	Appropriations 2015	Outturn 2014
798 000	301 000	239 224,34

*Remarks*

This appropriation is intended to cover certain expenditure required to provide assistance for a seriously disabled Member.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 30 thereof.

1 0 2 **Transitional allowances**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 770 000	11 810 000	14 766 194,17

*Remarks*

This appropriation is intended to cover the transitional allowance after the end of a Member's term of office.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 13 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 45 to 48 and 77 thereof.

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 3 Pensions****1 0 3 0 Retirement pensions (PEAM)**

Appropriations 2016	Appropriations 2015	Outturn 2014
11 450 000	11 010 000	10 422 836,85

*Remarks*

This appropriation is intended to cover the payment of an old-age pension after the cessation of a Member's term of office.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 150 000.

*Legal basis*

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 75 thereof, and Annex III to the Rules on Payment of Expenses and Allowances to Members of the European Parliament ('PEAM rules').

**1 0 3 1 Invalidity pensions (PEAM)**

Appropriations 2016	Appropriations 2015	Outturn 2014
291 000	285 000	282 186,10

*Remarks*

This appropriation is intended to cover the payment of a pension to Members who become incapacitated during their term of office.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 75 thereof, and Annex II to the Rules on Payment of Expenses and Allowances to Members of the European Parliament ('PEAM rules').

**1 0 3 2 Survivors' pensions (PEAM)**

Appropriations 2016	Appropriations 2015	Outturn 2014
2 458 000	2 782 000	2 454 828,14

## EUROPEAN PARLIAMENT

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 3 (*cont'd*)1 0 3 2 (*cont'd*)*Remarks*

This appropriation is intended to cover the payment of a survivor's and/or orphan's pension in the event of the death of a Member or of a former Member.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 15 000.

*Legal basis*

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 75 thereof, and Annex I to the Rules on Payment of Expenses and Allowances to Members of the European Parliament ('PEAM rules').

1 0 3 3 Optional pension scheme for Members

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	32 000	25 284,36

*Remarks*

This appropriation is intended to cover the institution's contribution to the additional voluntary pension scheme for Members.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 500.

*Legal basis*

Statute for Members of the European Parliament, and in particular Article 27 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 76 thereof, and Annex VII to the Rules on Payment of Expenses and Allowances to Members of the European Parliament ('PEAM rules').

1 0 5 **Language and computer courses**

Appropriations 2016	Appropriations 2015	Outturn 2014
650 000	600 000	500 000,—

*Remarks*

This appropriation is intended to cover the cost of language and computer courses for Members.



**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 5** (*cont'd*)*Legal basis*

Implementing measures for the Statute for Members of the European Parliament, and in particular Article 44 thereof.

Bureau Decision of 4 May 2009 on language and computer courses for Members.

**1 0 9** ***Provisional appropriation***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the effects of any adjustments to payments to Members of the institution.

It is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF****1 2 0** ***Remuneration and other entitlements*****1 2 0 0** Remuneration and allowances

Appropriations 2016	Appropriations 2015	Outturn 2014
623 007 500	604 340 535	588 325 126,76

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- salaries, allowances and other payments related to salaries,
- insurance against sickness, accident and occupational disease and other social security contributions,
- flat-rate overtime allowances,
- miscellaneous allowances and grants,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,

## EUROPEAN PARLIAMENT

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)1 2 0 0 (*cont'd*)

- the impact of salary weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment,
- unemployment insurance for temporary staff and payments made by the institution to allow temporary staff to constitute or maintain pension rights in their country of origin.

It is also intended to cover the insurance premiums in respect of sports accidents for users of the European Parliament's sports centre in Brussels and in Strasbourg.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 300 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 2 0 2 Paid overtime

Appropriations 2016	Appropriations 2015	Outturn 2014
248 000	296 500	110 000,—

*Remarks*

This appropriation is intended to cover the payment of overtime under the conditions set out in the abovementioned provisions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

Conditions of Employment of Other Servants of the European Union.

1 2 0 4 Entitlements in connection with entering the service, transfer and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
2 950 000	3 760 000	3 200 000,—

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 2 0** (*cont'd*)1 2 0 4 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- travel expenses due to officials and temporary staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- the compensation for a probationary official who is dismissed because his/her work is obviously inadequate,
- compensation for a member of the temporary staff whose contract is terminated by the institution,
- the difference between the contributions paid by contract staff to a Member State pension scheme and those payable to the Union scheme in the event of reclassification of a contract.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 2 2** ***Allowances upon early termination of service***

1 2 2 0 Allowances for staff retired or placed on leave in the interests of the service

Appropriations 2016	Appropriations 2015	Outturn 2014
1 100 000	335 600	418 515,27

*Remarks*

This appropriation is intended to cover the allowances payable:

- to officials assigned non-active status in connection with action to reduce the number of posts in the institution,

## EUROPEAN PARLIAMENT

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 2 (*cont'd*)1 2 2 0 (*cont'd*)

- to officials placed on leave to meet organisational needs associated with the acquisition of new skills within the institution,
- to officials and temporary management staff for political groups holding posts in grades AD 16 and AD 15 retired in the interests of the service.

It also covers the employer's contribution towards sickness insurance and the impact of the weightings applicable to these allowances (except for beneficiaries of Article 42c, who are not entitled to a weighting).

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41, 42c and 50 thereof and Annex IV thereto, and Article 48a of the Conditions of Employment of Other Servants of the European Union.

## 1 2 2 2 Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	1 000	172 129,16

*Remarks*

This appropriation is intended to cover:

- the allowances payable under the Staff Regulations or Council Regulations (EC, Euratom, ESCS) No 2689/95 and (EC, Euratom) No 1748/2002,
- the employer's contributions towards sickness insurance for the recipients of the allowances,
- the impact of the weightings applicable to the various allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

Council Regulation (EC, Euratom, ECSC) No 2689/95 of 17 November 1995 introducing special measures to terminate the service of temporary staff of the European Communities as a result of the accession of Austria, Finland and Sweden (OJ L 280, 23.11.1995, p. 4).

Council Regulation (EC, Euratom) No 1748/2002 of 30 September 2002 introducing, in the context of the modernisation of the institution, special measures to terminate the service of Officials of the European Communities appointed to an established post in the European Parliament and temporary staff working in the Political Groups of the European Parliament (OJ L 264, 2.10.2002, p. 9).

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 2 4** *Provisional appropriation*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the effects of any salary adjustments decided by the Council during the financial year.

It is provisional and may be used only after its transfer to the appropriate headings of this chapter.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof and Annex XI thereto.

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES****1 4 0** *Other staff and external persons***1 4 0 0** Other staff

Appropriations 2016	Appropriations 2015	Outturn 2014
64 301 000	54 199 000	45 051 879,32

*Remarks*

This appropriation is mainly intended to cover the following expenditure:

- the remuneration, including allocations and allowances, of other staff, including contract staff and special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to the various social security schemes, the bulk of which are paid in to the Union institutions' own scheme, and the impact of salary weightings applicable to the remuneration of these staff,
- the employment of temporary agency staff.
- invoices issued by the PMO to cover the cost of employing staff to deal with the administrative files of members of Parliament's staff (in particular matters relating to unemployment benefits and pension entitlements).

Part of this appropriation is to be used for the recruitment of persons with disabilities as contract staff members, in accordance with the Bureau Decision of 7 and 9 July 2008.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 000 000.

## EUROPEAN PARLIAMENT

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 0 (*cont'd*)1 4 0 0 (*cont'd*)*Legal basis*

Conditions of Employment of Other Servants of the European Union (Title IV).

General implementing provisions governing competitions and selection procedures, recruitment and the grading of officials and other servants of the European Parliament (decision of the Secretary-General of the European Parliament of 17 October 2014).

1 4 0 2 Expenditure on interpretation

Appropriations 2016	Appropriations 2015	Outturn 2014
45 125 000	49 524 900	34 553 991,—

*Remarks*

This appropriation is intended to cover the following expenditure:

- the fees and related allowances, social security contributions, travel expenses and other expenses of contract conference interpreters recruited by the European Parliament to service meetings organised by the European Parliament to meet its own needs or those of other institutions when the necessary services cannot be provided by European Parliament interpreters (officials and temporary staff),
- expenditure on conference agencies, technicians and administrators used to service the above meetings where they cannot be serviced by officials, temporary staff or other European Parliament staff,
- expenses in connection with services provided to the European Parliament by interpreters who are staff members of regional, national or international institutions,
- expenses in connection with interpretation-related activities, in particular preparations for meetings and interpreter training and selection.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 570 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Agreement on working conditions and the pecuniary regime for auxiliary conference interpreters (ACIs) (and the implementing rules therefor), as established on 28 July 1999, amended on 13 October 2004 and revised on 31 July 2008.

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 0** (*cont'd*)**1 4 0 4** Graduate traineeships, grants and exchanges of officials

Appropriations 2016	Appropriations 2015	Outturn 2014
7 185 000	7 390 500	5 791 881,77

*Remarks*

This appropriation is intended to cover:

- emoluments for graduate trainees (scholarships), including any household allowances, and allowances paid to trainees,
- travel expenses of trainees,
- additional costs, directly related to their disability, for trainees on the pilot programme of traineeships who have a disability, in accordance with Article 24(9) of the Internal Rules governing traineeships and study visits in the Secretariat of the European Parliament. These include the costs of an additional disability payment (of up to 50% of the scholarship),
- sickness and accident insurance for trainees,
- costs connected with the holding of information/training sessions for trainees (including costs of receiving trainees),
- expenditure arising from movements between the European Parliament and the public sector in the Member States or other countries specified in the rules,
- expenditure arising from the secondment of national experts to the European Parliament, including allowances and travel expenses,
- accident insurance for national experts on secondment,
- allowances for study visits,
- the organising of training schemes for conference interpreters and translators, inter alia in cooperation with schools of interpreting and universities providing training in translation, as well as grants for the training and further training of interpreters and translators, purchase of teaching materials, and associated costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Rules governing the attachment of European Parliament officials and temporary staff of the political groups to national public authorities, bodies treated as such public authorities and international organisations (Bureau Decision of 7 March 2005).

## EUROPEAN PARLIAMENT

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)**1 4 0** (*cont'd*)1 4 0 4 (*cont'd*)

Rules governing the secondment of national experts to the European Parliament (Bureau Decision of 4 May 2009).

Internal Rules governing traineeships and study visits in the Secretariat of the European Parliament (decision of the Secretary-General of the European Parliament of 1 February 2013).

1 4 0 6 Observers

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the payment of expenses relating to observers, in accordance with Rule 13 of the European Parliament's Rules of Procedure.

**1 4 2** ***External translation services***

Appropriations 2016	Appropriations 2015	Outturn 2014
8 890 000	10 000 000	3 892 854,33

*Remarks*

This appropriation is intended to cover the translation, typing, coding and technical assistance work sent to outside suppliers.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 135 000.

**1 4 4** ***Provisional appropriation***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the effects of any salary adjustments decided by the Council during the financial year.

It is provisional and may be used only after its transfer to the appropriate headings of this Chapter.



**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 4** (*cont'd*)*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION****1 6 1** *Expenditure relating to staff management***1 6 1 0** Expenditure on recruitment

Appropriations 2016	Appropriations 2015	Outturn 2014
254 000	328 980	236 000,—

*Remarks*

This appropriation is intended to cover:

- expenditure on organising the competitions provided for in Article 3 of Decision 2002/621/EC and travel and subsistence expenses for applicants called for interviews and medical examinations,
- the costs of organising procedures for selecting staff.

In cases duly justified by operational needs and after consultation of the European Personnel Selection Office, the institution may use some of these appropriations to organise its own competitions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee, the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

**1 6 1 2** Further training

Appropriations 2016	Appropriations 2015	Outturn 2014
6 200 000	5 200 000	4 835 590,94

## EUROPEAN PARLIAMENT

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 1 (*cont'd*)1 6 1 2 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on training for improving staff skills and the performance and efficiency of the institution, e.g. via language courses for the official working languages.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

Conditions of Employment of Other Servants of the European Union.

1 6 3 ***Measures to assist the institution's staff***

1 6 3 0 Social welfare

Appropriations 2016	Appropriations 2015	Outturn 2014
784 000	764 000	517 180,69

*Remarks*

This appropriation is intended to cover:

— as part of an interinstitutional policy to assist persons with a disability in the following categories:

- officials and temporary staff in active employment,
- spouses of officials and temporary staff in active employment,
- dependent children within the meaning of the Staff Regulations of Officials of the European Union,

the reimbursement, to the extent permitted by the budget and after national entitlements in the country of residence or the country of origin have been exhausted, of expenses (other than medical expenses) recognised as necessary, resulting from the handicap and supported by documentary evidence and not covered by the Joint Sickness Insurance Scheme,

— action taken in respect of officials and other servants in particularly difficult situations,

— the financing of a grant for the Staff Committee and incidental expenditure in the Welfare Service. Contributions or defrayal of expenses by the Staff Committee for participants in a welfare activity will be aimed at financing activities that have a social, cultural or linguistic dimension, but there will be no subsidies for individual staff members or households,

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 3** (*cont'd*)**1 6 3 0** (*cont'd*)

- other institutional or interinstitutional welfare measures for the inclusion of officials and other staff,
- the financing of specific reasonable accommodation measures for officials and other servants with disabilities and trainees with disabilities in application of Article 1d of the Staff Regulations, in particular personal assistance at the workplace or during missions.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 1d, 9(3), third subparagraph, and 76 thereof.

**1 6 3 1** Mobility

Appropriations 2016	Appropriations 2015	Outturn 2014
754 000	754 000	702 914,74

*Remarks*

This appropriation is intended to cover expenditure relating to mobility at the various places of work.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**1 6 3 2** Social contacts between members of staff and other social measures

Appropriations 2016	Appropriations 2015	Outturn 2014
238 000	255 000	248 522,25

*Remarks*

This appropriation is intended to encourage and provide financial backing for schemes to promote social contact between staff of different nationalities, for example subsidies to staff clubs, sports associations, cultural societies, etc., and to make a contribution to the cost of a permanent leisure centre (cultural and sports activities, hobbies, restaurant).

It also covers financial support for interinstitutional social activities.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 600 000.

## EUROPEAN PARLIAMENT

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 5      *Activities relating to all persons working with the institution*****1 6 5 0      Medical service**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 250 000	1 100 000	1 172 537,01

*Remarks*

This appropriation is intended to cover the operating costs of the medical service at the three places of work, including the purchase of materials and pharmaceutical products, etc., expenditure on preventive medical check-ups, expenditure arising from the operation of the Invalidity Committee and expenditure on services provided by outside medical specialists deemed necessary by the medical officers.

It also covers expenditure involving the purchase of certain work tools deemed necessary on medical grounds, together with expenditure on medical or paramedical staff under service provision arrangements or on short-term stand-in assignment.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

**1 6 5 2      Current operating expenditure for restaurants and canteens**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 365 000	3 500 000	4 050 000,—

*Remarks*

This appropriation is intended to cover restaurant and canteen management and operating costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000 000.

**1 6 5 4      Childcare facilities**

Appropriations 2016	Appropriations 2015	Outturn 2014
6 727 500	6 212 500	4 006 644,97

*Remarks*

This appropriation is intended to cover the European Parliament's contribution to all the expenditure of the Early Childhood Centre and outside crèches with which an agreement has been concluded.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 762 000.

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 5** (*cont'd*)

1 6 5 5 European Parliament contribution for accredited Type II European Schools

Appropriations 2016	Appropriations 2015	Outturn 2014
200 000	200 000	

*Remarks*

Implementation of Commission Decision C(2013)4886 of 1 August 2013 (OJ C 222, 2.8.2013, p. 8).

This appropriation is intended to cover the European Parliament's contribution for Type II European Schools accredited by the Board of Governors of the European Schools or the reimbursement of the contribution paid by the Commission on behalf of the European Parliament for Type II European Schools accredited by the Board of Governors of the European Schools, in accordance with the service agreement concluded with the Commission. It covers costs relating to children of European Parliament staff coming under the Staff Regulations who are enrolled in such schools.

EUROPEAN PARLIAMENT

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 2 0				
<b>2 0 0</b>	<b>Buildings</b>				
2 0 0 0	Rent				
	Non-differentiated appropriations	33 058 000	28 782 000	29 109 302,26	88,06
2 0 0 1	Lease payments				
	Non-differentiated appropriations	p.m.	6 590 000	76 914 000,—	
2 0 0 3	Acquisition of immovable property				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 5	Construction of buildings				
	Non-differentiated appropriations	15 770 000	18 560 000	29 037 044,51	184,13
2 0 0 7	Fitting-out of premises				
	Non-differentiated appropriations	57 045 440	45 471 000	23 517 399,72	41,23
2 0 0 8	Other specific property management arrangements				
	Non-differentiated appropriations	5 256 000	5 561 000	4 060 467,21	77,25
	<i>Article 2 0 0 — Total</i>	111 129 440	104 964 000	162 638 213,70	146,35
<b>2 0 2</b>	<b>Expenditure on buildings</b>				
2 0 2 2	Building maintenance, upkeep, operation and cleaning				
	Non-differentiated appropriations	62 944 000	62 400 000	54 930 847,78	87,27
2 0 2 4	Energy consumption				
	Non-differentiated appropriations	19 660 000	21 690 000	17 034 803,69	86,65
2 0 2 6	Security and surveillance of buildings				
	Non-differentiated appropriations	16 760 000	20 211 500	25 890 784,21	154,48
2 0 2 8	Insurance				
	Non-differentiated appropriations	680 000	976 000	760 527,53	111,84
	<i>Article 2 0 2 — Total</i>	100 044 000	105 277 500	98 616 963,21	98,57
	CHAPTER 2 0 — TOTAL	211 173 440	210 241 500	261 255 176,91	123,72
	CHAPTER 2 1				
<b>2 1 0</b>	<b>Computing and telecommunications</b>				
2 1 0 0	Computing and telecommunications — business-as-usual operations — operations				
	Non-differentiated appropriations	25 310 000	28 560 000	22 369 914,61	88,38
2 1 0 1	Computing and telecommunications — business-as-usual operations — infrastructure				
	Non-differentiated appropriations	19 029 000	18 404 000	20 587 060,43	108,19

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY** (*cont'd*)  
**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>2 1 0</b>	( <i>cont'd</i> )				
2 1 0 2	Computing and telecommunications — business-as-usual operations — General support for users				
	Non-differentiated appropriations	13 910 000	13 202 500	11 763 534,15	84,57
2 1 0 3	Computing and telecommunications — business-as-usual operations — Management of ICT applications				
	Non-differentiated appropriations	18 920 365	18 380 809	16 841 575,41	89,01
2 1 0 4	Computing and telecommunications — Investment in infrastructure				
	Non-differentiated appropriations	21 824 135	21 173 000	19 352 736,86	88,68
2 1 0 5	Computing and telecommunications — Investment in projects				
	Non-differentiated appropriations	24 288 310	15 454 750	12 213 961,44	50,29
	<i>Article 2 1 0 — Total</i>	123 281 810	115 175 059	103 128 782,90	83,65
<b>2 1 2</b>	<b>Furniture</b>				
	Non-differentiated appropriations	6 014 000	3 007 000	3 168 096,49	52,68
<b>2 1 4</b>	<b>Technical equipment and installations</b>				
	Non-differentiated appropriations	30 868 100	24 159 090	19 365 043,88	62,73
<b>2 1 6</b>	<b>Transport of Members, other persons and goods</b>				
	Non-differentiated appropriations	6 682 000	6 989 000	4 961 174,18	74,25
	<b>CHAPTER 2 1 — TOTAL</b>	166 845 910	149 330 149	130 623 097,45	78,29
	CHAPTER 2 3				
<b>2 3 0</b>	<b>Stationery, office supplies and miscellaneous consumables</b>				
	Non-differentiated appropriations	1 756 000	2 263 000	1 463 016,51	83,32
<b>2 3 1</b>	<b>Financial charges</b>				
	Non-differentiated appropriations	40 000	40 000	19 598,46	49,00
<b>2 3 2</b>	<b>Legal costs and damages</b>				
	Non-differentiated appropriations	1 110 000	1 035 000	842 916,34	75,94
<b>2 3 6</b>	<b>Postage on correspondence and delivery charges</b>				
	Non-differentiated appropriations	303 000	355 000	297 523,89	98,19
<b>2 3 7</b>	<b>Removals</b>				
	Non-differentiated appropriations	1 440 000	1 160 000	1 512 597,25	105,04
<b>2 3 8</b>	<b>Other administrative expenditure</b>				
	Non-differentiated appropriations	1 093 750	946 000	688 938,55	62,99
<b>2 3 9</b>	<b>EMAS activities, including promotion, and the European Parliament's carbon offsetting scheme</b>				
	Non-differentiated appropriations	250 000	250 000	0,—	0
	<b>CHAPTER 2 3 — TOTAL</b>	5 992 750	6 049 000	4 824 591,—	80,51
	<b>Title 2 — Total</b>	<b>384 012 100</b>	<b>365 620 649</b>	<b>396 702 865,36</b>	<b>103,30</b>

## EUROPEAN PARLIAMENT

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

*Remarks*

Since risk cover has been revoked by insurance companies, the risk of industrial conflicts and terrorist attacks for the European Parliament buildings needs to be covered through the general budget of the European Union.

The appropriations of this title accordingly cover all expenses in connection with damage resulting from industrial conflicts and terrorist attacks.

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

**2 0 0** *Buildings***2 0 0 0** Rent

Appropriations 2016	Appropriations 2015	Outturn 2014
33 058 000	28 782 000	29 109 302,26

*Remarks*

This appropriation is intended to cover rent for the buildings or parts of buildings occupied by the European Parliament.

It also covers property tax. The rentals are calculated over 12 months on the basis of existing leases or leases in preparation, which normally provide for cost of living or construction cost index-linking.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 500 000.

Financial contributions from Member States or their public agencies or entities in the form of financing or repayment of costs and of associated charges relating to the purchase or use of land, buildings, as well as of charges in relation to buildings and facilities of the institution, shall be considered as external assigned revenue within the meaning of Article 21(2) of the Financial Regulation.

**2 0 0 1** Lease payments

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	6 590 000	76 914 000,—

*Remarks*

This appropriation is intended to cover the annual lease payments for buildings or parts of buildings under existing leases or leases in preparation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.



**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 1** (*cont'd*)

Financial contributions from Member States or their public agencies or entities in the form of financing or repayment of costs and of associated charges relating to the purchase or use of land, buildings, as well as of charges in relation to buildings and facilities of the institution, shall be considered as external assigned revenue within the meaning of Article 21(2) of the Financial Regulation.

**2 0 0 3** Acquisition of immovable property

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the acquisition of immovable property. Subsidies for land and its servicing will be dealt with in accordance with the provisions of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000 000.

Financial contributions from Member States or their public agencies or entities in the form of financing or repayment of costs and of associated charges relating to the purchase or use of land, buildings, as well as of charges in relation to buildings and facilities of the institution, shall be considered as external assigned revenue within the meaning of Article 21(2) of the Financial Regulation.

**2 0 0 5** Construction of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
15 770 000	18 560 000	29 037 044,51

*Remarks*

This item is intended for any entry of appropriations for the construction of buildings (works, consultants' fees and all related costs).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

Financial contributions from Member States or their public agencies or entities in the form of financing or repayment of costs and of associated charges relating to the purchase or use of land, buildings, as well as of charges in relation to buildings and facilities of the institution, shall be considered as external assigned revenue within the meaning of Article 21(2) of the Financial Regulation.

## EUROPEAN PARLIAMENT

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 7** Fitting-out of premises

Appropriations 2016	Appropriations 2015	Outturn 2014
57 045 440	45 471 000	23 517 399,72

*Remarks*

This appropriation is intended to cover the performance of fitting-out work, including other expenditure connected with that work, in particular architects' or engineers' fees, etc.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 600 000.

Financial contributions from Member States or their public agencies or entities in the form of financing or repayment of costs and of associated charges relating to the purchase or use of land, buildings, as well as of charges in relation to buildings and facilities of the institution, shall be considered as external assigned revenue within the meaning of Article 21(2) of the Financial Regulation.

**2 0 0 8** Other specific property management arrangements

Appropriations 2016	Appropriations 2015	Outturn 2014
5 256 000	5 561 000	4 060 467,21

*Remarks*

This appropriation is intended to cover expenditure on property management not specifically provided for in the other articles in this Chapter, i.e.:

- waste management and treatment,
- mandatory inspections, quality checks, expert opinions, audits, compliance monitoring, etc.,
- technical library,
- management support (building helpdesk),
- taking care of building drawings and information media,
- other expenditure.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2**      ***Expenditure on buildings*****2 0 2 2**      Building maintenance, upkeep, operation and cleaning

Appropriations 2016	Appropriations 2015	Outturn 2014
62 944 000	62 400 000	54 930 847,78

*Remarks*

This appropriation is intended to cover the maintenance, upkeep, operating and cleaning costs, on the basis of current contracts, for the buildings (offices, other areas and installations) rented or owned by the European Parliament.

Before renewing or concluding contracts, the institution will consult the other institutions on the contractual terms each of them has obtained (prices, currency chosen, index-linking, duration, other clauses) with due regard for Article 104 of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 200 000.

**2 0 2 4**      Energy consumption

Appropriations 2016	Appropriations 2015	Outturn 2014
19 660 000	21 690 000	17 034 803,69

*Remarks*

This appropriation is intended to cover, in particular, water, gas, electricity and heating costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100 000.

**2 0 2 6**      Security and surveillance of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
16 760 000	20 211 500	25 890 784,21

*Remarks*

This appropriation is intended to cover essentially the costs of caretaking and surveillance in respect of buildings occupied by the European Parliament at its three habitual places of work, its information offices in the Union and its offices in third countries.

## EUROPEAN PARLIAMENT

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2** (*cont'd*)**2 0 2 6** (*cont'd*)

Before renewing or concluding contracts, the institution will consult the other institutions on the contractual terms each of them has obtained (prices, currency chosen, index-linking, duration, other clauses) with due regard for Article 104 of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 120 000.

**2 0 2 8** Insurance

Appropriations 2016	Appropriations 2015	Outturn 2014
680 000	976 000	760 527,53

*Remarks*

This appropriation is intended to cover payments in respect of insurance policy premiums.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY***Remarks*

In connection with public procurement, the institution will consult the other institutions on the contractual terms each of them has obtained.

**2 1 0** ***Computing and telecommunications*****2 1 0 0** Computing and telecommunications — business-as-usual operations — operations

Appropriations 2016	Appropriations 2015	Outturn 2014
25 310 000	28 560 000	22 369 914,61

*Remarks*

This appropriation is intended to cover expenditure on the purchase, hire, servicing and maintenance of hardware and software and on outside assistance from service bureaux and IT consultants for business-as-usual operations to ensure that the European Parliament's computing and telecommunications systems function properly. That expenditure relates mainly to systems at the computer and telecommunications centre, computing at departmental level and network management operations.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 140 000.

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY** (*cont'd*)**2 1 0** (*cont'd*)**2 1 0 1** Computing and telecommunications — business-as-usual operations — infrastructure

Appropriations 2016	Appropriations 2015	Outturn 2014
19 029 000	18 404 000	20 587 060,43

*Remarks*

This appropriation is intended to cover expenditure on the purchase, hire, servicing and maintenance of hardware and software and on outside assistance from service bureaux and IT consultants for business-as-usual operations to manage and maintain the European Parliament's computing and telecommunications system infrastructure. That expenditure relates mainly to network, cabling, telecommunications, individual equipment and voting system infrastructure.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 291 000.

**2 1 0 2** Computing and telecommunications — business-as-usual operations — General support for users

Appropriations 2016	Appropriations 2015	Outturn 2014
13 910 000	13 202 500	11 763 534,15

*Remarks*

This appropriation is intended to cover expenditure on the purchase, hire, servicing and maintenance of hardware and software and on outside assistance from service bureaux and IT consultants for business-as-usual operations to provide assistance and support for users of the European Parliament's computing and telecommunications systems. That expenditure relates mainly to support services for Members and for administrative and legislative applications.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**2 1 0 3** Computing and telecommunications — business-as-usual operations — Management of ICT applications

Appropriations 2016	Appropriations 2015	Outturn 2014
18 920 365	18 380 809	16 841 575,41

*Remarks*

This appropriation is intended to cover expenditure on the purchase, hire, servicing and maintenance of hardware and software and related work, and on outside assistance from service bureaux and IT consultants for business-as-usual operations connected with ICT applications management in the institution. That expenditure relates mainly to applications for Members and communication activities, as well as administrative and legislative applications.

It is also intended to cover expenditure in ICT tools financed jointly in the context of interinstitutional cooperation in the field of languages, provided for by the decisions taken by the Interinstitutional Committee on Translation and Interpretation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 32 000.

## EUROPEAN PARLIAMENT

CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY (*cont'd*)2 1 0 (*cont'd*)

## 2 1 0 4 Computing and telecommunications — Investment in infrastructure

Appropriations 2016	Appropriations 2015	Outturn 2014
21 824 135	21 173 000	19 352 736,86

*Remarks*

This appropriation is intended to cover expenditure on the purchase of hardware and software and on outside assistance from service bureaux and IT consultants for investments connected to the European Parliament's computing and telecommunications system infrastructure. The investments relate mainly to systems at the computer and telecommunications centre, networks, cabling and video-conferencing systems.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 30 000.

## 2 1 0 5 Computing and telecommunications — Investment in projects

Appropriations 2016	Appropriations 2015	Outturn 2014
24 288 310	15 454 750	12 213 961,44

*Remarks*

This appropriation is intended to cover expenditure on the purchase of hardware and software and on outside assistance from service bureaux and IT consultants for investments relating to ongoing and new ICT projects. The investments relate mainly to applications for Members, legislative, administrative and financial applications and ICT governance applications.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 000.

2 1 2 **Furniture**

Appropriations 2016	Appropriations 2015	Outturn 2014
6 014 000	3 007 000	3 168 096,49

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of furniture, including the purchase of ergonomic furniture, the replacement of worn-out and broken furniture and office machines. It is also intended to cover miscellaneous expenditure on managing the European Parliament's furniture stock.

In connection with works of art, this appropriation is intended to cover both the cost of acquiring and purchasing specific material and the current expenditure relating thereto, such as experts, conservation, framing, restoration, cleaning, insurance and ad hoc transport costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY** (*cont'd*)**2 1 4**      ***Technical equipment and installations***

Appropriations 2016	Appropriations 2015	Outturn 2014
30 868 100	24 159 090	19 365 043,88

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance, repair and management of technical equipment and installations, and in particular of:

- miscellaneous fixed and mobile technical installations and equipment in connection with publishing, security (including software), canteens and buildings, etc.,
- equipment in particular for the printshop, telephone service, canteens, staff shops, security, conferences, and the audiovisual sector, etc.,
- special equipment (electronic, computing and electrical) and related external services,
- installation of two additional telephone lines in Members' offices upon request.

This appropriation also covers publicity costs for the resale and scrapping of inventoried items and the costs of technical assistance (consultancy) with matters on which external expertise is needed.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 135 000.

**2 1 6**      ***Transport of Members, other persons and goods***

Appropriations 2016	Appropriations 2015	Outturn 2014
6 682 000	6 989 000	4 961 174,18

*Remarks*

This appropriation is intended to cover the purchase, maintenance, use and repair of vehicles (fleet of cars and bicycles) and the hire of cars, taxis, coaches and lorries, with or without drivers, including the necessary insurance cover and other management costs. When replacing the car fleet or purchasing or hiring vehicles, preference will be given to cars that are the least polluting for the environment, such as hybrid cars.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100 000.

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE***Remarks*

In connection with public procurement, the institution will consult the other institutions on the contractual terms each of them has obtained.

## EUROPEAN PARLIAMENT

CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE (*cont'd*)**2 3 0 Stationery, office supplies and miscellaneous consumables**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 756 000	2 263 000	1 463 016,51

*Remarks*

This appropriation is intended to cover the purchase of paper, envelopes, office supplies, supplies for the print shop and document reproduction workshops, etc., together with the related management costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 500.

**2 3 1 Financial charges**

Appropriations 2016	Appropriations 2015	Outturn 2014
40 000	40 000	19 598,46

*Remarks*

This appropriation is intended to cover bank charges (commission, agios and miscellaneous charges) and other financial charges, including ancillary costs for the financing of buildings.

**2 3 2 Legal costs and damages**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 110 000	1 035 000	842 916,34

*Remarks*

This appropriation is intended to cover:

- costs which may be awarded against the European Parliament by the Court of Justice, the General Court, the Civil Service Tribunal or national courts,
- the cost of hiring outside lawyers to represent the European Parliament in Union and national courts, and the cost of hiring legal advisers or experts to assist the Legal Service,
- reimbursement of lawyers' fees in connection with disciplinary and equivalent proceedings,
- damages and interest expenses,
- agreed compensation through amicable settlement pursuant to Articles 91 and 92 (formerly 69 and 70) of the Rules of Procedure of the European Union Civil Service Tribunal.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.



CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE (*cont'd*)**2 3 6** *Postage on correspondence and delivery charges*

Appropriations 2016	Appropriations 2015	Outturn 2014
303 000	355 000	297 523,89

*Remarks*

This appropriation is intended to cover charges for postage, processing and delivery by national postal services or private delivery firms.

This appropriation is also intended to cover mail-handling services.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 25 000.

**2 3 7** *Removals*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 440 000	1 160 000	1 512 597,25

*Remarks*

This appropriation is intended to cover the cost of removal and handling work carried out by removal firms or by temporary handling staff supplied by outside agencies.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**2 3 8** *Other administrative expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 093 750	946 000	688 938,55

*Remarks*

This appropriation is intended to cover:

- insurance not specifically provided for in another item,
- the purchase and maintenance of uniforms for ushers, drivers, receptionists, warehouse staff, removal men and staff in the Visits and Seminars Unit, the Parliamentarium, the medical services, the security and building maintenance services and various technical services,
- miscellaneous operating and management expenses, purchases of goods or services not specifically provided for against another heading,
- miscellaneous purchases in connection with Eco-Management Audit Scheme (EMAS) activities (promotional campaigns, etc.).

## EUROPEAN PARLIAMENT

CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE (*cont'd*)2 3 8 (*cont'd*)

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

2 3 9 **EMAS activities, including promotion, and the European Parliament's carbon offsetting scheme**

Appropriations 2016	Appropriations 2015	Outturn 2014
250 000	250 000	0,—

*Remarks*

This appropriation is intended to cover expenditure related to EMAS activities aiming at improving the European Parliament's environmental performance, including promotion of these activities, and to the European Parliament's carbon offsetting scheme.

## TITLE 3

## EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 3 0 — MEETINGS AND CONFERENCES

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 3 0				
<b>3 0 0</b>	<b>Expenses for staff missions and duty travel between the three places of work</b>				
	Non-differentiated appropriations	27 700 000	28 748 281	22 818 505,73	82,38
<b>3 0 2</b>	<b>Reception and representation expenses</b>				
	Non-differentiated appropriations	1 388 000	1 392 690	816 097,91	58,80
<b>3 0 4</b>	<b>Miscellaneous expenditure on meetings</b>				
3 0 4 0	Miscellaneous expenditure on internal meetings				
	Non-differentiated appropriations	1 400 000	1 400 000	2 246 000,—	160,43
3 0 4 2	Meetings, congresses and conferences				
	Non-differentiated appropriations	1 795 000	1 435 000	440 674,05	24,55
3 0 4 3	Miscellaneous expenditure for organising parliamentary assemblies, interparliamentary delegations and other delegations				
	Non-differentiated appropriations	1 100 000	1 200 000	256 302,28	23,30
3 0 4 9	Expenditure on travel agency services				
	Non-differentiated appropriations	2 040 000	2 000 000	1 985 000,—	97,30
	Article 3 0 4 — Total	6 335 000	6 035 000	4 927 976,33	77,79
	CHAPTER 3 0 — TOTAL	35 423 000	36 175 971	28 562 579,97	80,63
	CHAPTER 3 2				
<b>3 2 0</b>	<b>Acquisition of expertise</b>				
	Non-differentiated appropriations	9 309 500	8 957 000	4 963 870,79	53,32
<b>3 2 1</b>	<b>Acquisition of expertise for the European Parliamentary Research Service, the Library and the Archives</b>				
	Non-differentiated appropriations	8 829 000	9 107 200	5 876 203,82	66,56
<b>3 2 2</b>	<b>Documentation expenditure</b>				
	Non-differentiated appropriations	2 217 000	2 308 000	2 464 212,10	111,15
<b>3 2 3</b>	<b>Support for democracy and capacity-building for the parliaments of third countries</b>				
	Non-differentiated appropriations	1 100 000	1 340 000	512 925,74	46,63
<b>3 2 4</b>	<b>Production and dissemination</b>				
3 2 4 0	Official Journal				
	Non-differentiated appropriations	4 373 000	4 244 000	4 202 107,46	96,09
3 2 4 1	Digital and traditional publications				
	Non-differentiated appropriations	3 771 000	3 705 000	3 496 946,08	92,73

## EUROPEAN PARLIAMENT

**CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION**  
(cont'd)

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>3 2 4</b>	(cont'd)				
3 2 4 2	Expenditure on publication, information and participation in public events				
	Non-differentiated appropriations	16 662 000	16 501 034	19 651 446,69	117,94
3 2 4 3	Parliamentarium — the European Parliament Visitors' Centre				
	Non-differentiated appropriations	6 030 000	4 150 000	4 748 380,08	78,75
3 2 4 4	Organisation and reception of groups of visitors, Euroscola programme and invitations to opinion multipliers from third countries				
	Non-differentiated appropriations	30 723 500	31 739 039	27 249 058,55	88,69
3 2 4 5	Organisation of seminars, symposia and cultural activities				
	Non-differentiated appropriations	5 262 000	5 077 120	5 610 918,40	106,63
3 2 4 6	Parliamentary television channel (Web TV)				
	Non-differentiated appropriations	5 000 000	5 000 000	4 994 665,86	99,89
3 2 4 7	House of European History				
	Non-differentiated appropriations	6 500 000	10 000 000	9 571 045,83	147,25
3 2 4 8	Expenditure on audiovisual information				
	Non-differentiated appropriations	14 506 000	12 608 000	15 484 852,11	106,75
3 2 4 9	Information exchanges with national parliaments				
	Non-differentiated appropriations	250 000	250 000	65 334,15	26,13
	<i>Article 3 2 4 — Total</i>	93 077 500	93 274 193	95 074 755,21	102,15
<b>3 2 5</b>	<b>Expenditure relating to Information Offices</b>				
	Non-differentiated appropriations	900 000	700 000	980 570,90	108,95
	<b>CHAPTER 3 2 — TOTAL</b>	115 433 000	115 686 393	109 872 538,56	95,18
	<b>Title 3 — Total</b>	150 856 000	151 862 364	138 435 118,53	91,77

## TITLE 3

## EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 3 0 — MEETINGS AND CONFERENCES

3 0 0 *Expenses for staff missions and duty travel between the three places of work*

Appropriations 2016	Appropriations 2015	Outturn 2014
27 700 000	28 748 281	22 818 505,73

*Remarks*

This appropriation is intended to cover expenditure on duty travel by staff of the institution, seconded national experts, trainees and staff of other European or international institutions invited by the institution between place of employment and any of the European Parliament's three places of work (Brussels, Luxembourg and Strasbourg) and on missions to any location other than the three places of work. Expenditure is made up of transport costs, daily allowances, accommodation costs and compensatory allowances for unsocial hours. Ancillary costs (including cancellation of tickets and hotel reservations, electronic invoicing costs and mission insurance costs) are also covered.

This appropriation is also intended to cover any expenditure on carbon offsetting relating to staff missions and duty travel.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 240 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11, 12 and 13 of Annex VII thereto.

3 0 2 *Reception and representation expenses*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 388 000	1 392 690	816 097,91

*Remarks*

This appropriation is intended to cover:

- expenses related to the obligations of the institution regarding receptions, including in connection with work carried out by the institution's unit for Scientific and Technological Options Assessment (STOA), and representation expenses for Members of the institution,
- representation expenses of the President when he or she is travelling outside the places of work,

## EUROPEAN PARLIAMENT

CHAPTER 3 0 — MEETINGS AND CONFERENCES (*cont'd*)3 0 2 (*cont'd*)

- representation expenses and the contribution to the secretarial expenses of the President's office,
- the Secretariat's reception and representation expenses, including the purchase of items and medals for officials who have completed 15 or 25 years' service,
- miscellaneous protocol expenditure, such as on flags, display stands, invitation cards, printed menus, etc.,
- travel and subsistence expenses incurred by VIP visitors to the institution,
- visa costs relating to official travel by Members and staff,
- reception and representation expenses and the other specific expenses for Members performing official duties at the European Parliament.

3 0 4 **Miscellaneous expenditure on meetings**

## 3 0 4 0 Miscellaneous expenditure on internal meetings

Appropriations 2016	Appropriations 2015	Outturn 2014
1 400 000	1 400 000	2 246 000,—

*Remarks*

This appropriation is intended to cover the costs of the beverages, refreshments and occasional light meals served at meetings held by the institution, together with the management costs for these services.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

## 3 0 4 2 Meetings, congresses and conferences

Appropriations 2016	Appropriations 2015	Outturn 2014
1 795 000	1 435 000	440 674,05

*Remarks*

This appropriation is intended to cover, inter alia:

- expenditure connected with the organisation of meetings outside the places of work (committees and committee delegations, political groups), including, where appropriate, representation expenditure,
- affiliation fees in respect of international organisations to which the European Parliament or one of its bodies belongs (Interparliamentary Union, Association of Secretaries-General of Parliaments, Twelve Plus Group within the Interparliamentary Union),

**CHAPTER 3 0 — MEETINGS AND CONFERENCES** (*cont'd*)**3 0 4** (*cont'd*)**3 0 4 2** (*cont'd*)

- the reimbursement to the Commission, on the basis of a service agreement concluded between the European Parliament and the Commission, of Parliament's share of the cost of producing EU *laissez-passer* (equipment, staff and supplies), in accordance with the Protocol on the Privileges and Immunities of the European Union (Article 6), Article 23 of the Staff Regulations of Officials of the European Union, Articles 11 and 81 of the Conditions of Employment of Other Servants of the European Union and Council Regulation (EU) No 1417/2013 of 17 December 2013 laying down the form of the *laissez-passer* issued by the European Union (OJ L 353, 28.12.2013, p. 26).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 20 000.

**3 0 4 3** Miscellaneous expenditure for organising parliamentary assemblies, interparliamentary delegations and other delegations

Appropriations 2016	Appropriations 2015	Outturn 2014
1 100 000	1 200 000	256 302,28

*Remarks*

This appropriation is intended to cover expenditure, other than that covered by Chapter 1 0 and Article 3 0 0, connected with the organisation of meetings of:

- delegations to the ACP-EU Joint Parliamentary Assembly, the EuroLat Parliamentary Assembly and the Euronest Parliamentary Assembly and their bodies,
- the Parliamentary Assembly of the Union for the Mediterranean (UfMPA), its committees and its Bureau; this expenditure includes the European Parliament's contribution to the budget of the autonomous secretariat of the UfMPA or the direct defrayal of expenses representing the European Parliament's share of the budget of the UfMPA,
- interparliamentary delegations, ad hoc delegations, joint parliamentary committees, parliamentary cooperation committees, parliamentary delegations to the WTO, and the Parliamentary Conference on the WTO and its Steering Committee.

**3 0 4 9** Expenditure on travel agency services

Appropriations 2016	Appropriations 2015	Outturn 2014
2 040 000	2 000 000	1 985 000,—

*Remarks*

This appropriation is intended to cover the running costs of the travel agency under contract to the European Parliament.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

## EUROPEAN PARLIAMENT

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION

3 2 0 *Acquisition of expertise*

Appropriations 2016	Appropriations 2015	Outturn 2014
9 309 500	8 957 000	4 963 870,79

*Remarks*

This appropriation is intended to cover:

- the cost of contracts with qualified experts and research institutes for studies and other research activities (workshops, round tables, expert panels or hearings, and conferences) carried out for the European Parliament's governing bodies, for the parliamentary committees and for the Administration,
- acquisition or hiring of specialised information sources, such as specialised databases, related literature or technical support, when needed to complement the expertise contracts mentioned above,
- the travel, subsistence and incidental expenses of experts and other persons, including petitioners to the European Parliament, invited to take part in committee, delegation, study group or working party meetings and in workshops,
- costs of dissemination of internal or external parliamentary research products and other relevant products, for the benefit of the institution and of the public (in particular by means of publications on the internet, internal databases, brochures and publications),
- expenditure on calling in outside persons to take part in the work of bodies such as the Disciplinary Board or the Specialised Financial Irregularities Panel.

3 2 1 *Acquisition of expertise for the European Parliamentary Research Service, the Library and the Archives*

Appropriations 2016	Appropriations 2015	Outturn 2014
8 829 000	9 107 200	5 876 203,82

*Remarks*

This appropriation is intended to cover expenditure on the work of DG EPRS, particularly:

- acquisition of specialised expertise and support for the European Parliament's research activities (including articles, studies, workshops, seminars, round tables, expert panels and conferences) which may, if necessary, be carried out in partnership with other Institutions, international organisations, research departments and libraries of national parliaments, think tanks, research bodies and other qualified experts,
- acquisition of specialised expertise in the fields of impact assessment/ex ante and ex post evaluation, European added value, and scientific and technological options assessment (STOA),
- acquisition or hiring of books, journals, newspapers, databases, press agency products and any other information medium for the Library in various formats, including costs of copyright, the quality assurance system, materials and work involved in rebinding and conservation, and other relevant services,
- the cost of outside archiving services (organisation, selection, description, transfer to different media and to paperless form, acquisition of primary archive sources),



**CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION** (*cont'd*)**3 2 1** (*cont'd*)

- acquisition, development, installation, operation and maintenance of special library and archiving documentation and of special media-library materials, including materials and/or electrical, electronic and computerised systems, and materials for rebinding and conservation,
- costs of dissemination of internal or external parliamentary research products and other relevant products, for the benefit of the institution and of the public (in particular by means of publications on the internet, internal databases, brochures and publications),
- travel, subsistence and associated costs of experts and authors invited to attend presentations, seminars, workshops or other such activities organised by DG EPRS,
- participation by the Unit for Scientific and Technological Options Assessment (STOA) in the activities of European and international scientific bodies,
- the European Parliament's obligations under international and/or interinstitutional cooperation agreements, including the European Parliament's contribution to the costs of managing the Union's historical archives in accordance with Council Regulation (EEC, Euratom) No 354/83.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 20 000.

*Legal basis*

Council Regulation (EEC, Euratom) No 354/83 of 1 February 1983 concerning the opening to the public of the historical archives of the European Economic Community and the European Atomic Energy Community (OJ L 43, 15.2.1983, p. 1).

Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents (OJ L 145, 31.5.2001, p. 43).

Bureau Decision of 28 November 2001 on rules governing public access to European Parliament documents, as last amended on 22 June 2011 (OJ C 216, 22.7.2011, p. 19).

Bureau Decision of 16 December 2002 on rules governing the archives of the European Parliament, as consolidated on 3 May 2004.

Bureau Decision of 10 March 2014 on procedures governing the European Parliament's acquisition of private archives of Members and former Members.

**3 2 2** *Documentation expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 217 000	2 308 000	2 464 212,10

## EUROPEAN PARLIAMENT

CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (*cont'd*)3 2 2 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- subscriptions to newspapers and periodicals and news agencies and to the publications thereof and online services, including copyright fees for the reproduction and dissemination of the above in written and/or electronic form and service contracts for press reviews and cuttings,
- subscriptions or service contracts for the supply of summaries and analyses of the content of periodicals or the storage on optical media of articles taken from such periodicals,
- utilising external documentary and statistical databases (computer hardware and telecommunications charges excepted),
- the purchase of new dictionaries and glossaries, or the replacement thereof, regardless of medium, including for the new language sections, and other works for the language services and the Legislative Quality Units.

3 2 3 *Support for democracy and capacity-building for the parliaments of third countries*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 100 000	1 340 000	512 925,74

*Remarks*

This appropriation is intended to cover:

- expenditure on programmes for the exchange of information and cooperation between the European Parliament and the national parliaments of the pre-accession countries, in particular the Western Balkans and Turkey;
- expenditure committed for promoting relations between the European Parliament and democratically elected national parliaments from third countries (other than those referred to in the previous indent) as well as with corresponding regional parliamentary organisations. The activities concerned are notably aimed at strengthening parliamentary capacity in new and emerging democracies in particular in the European Neighbourhood (South and East);
- expenditure on promoting activities in support of mediation, and programmes for young political leaders from the European Union and from countries in the European Neighbourhood;
- expenditure on organising the Sakharov Prize (in particular the amount of the prize, winner's/winners' travel expenses and costs of receiving them, operating costs of the Sakharov network and duty travel by members of the network) and on activities to promote human rights.

These activities include information visits to the European Parliament in Brussels, Luxembourg or Strasbourg, and the appropriations cover, wholly or partially, the expenses of the participants, particularly travel, accommodation and daily subsistence.

**CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION** (*cont'd*)**3 2 3** (*cont'd*)*Legal basis*

Bureau Decision of 12 December 2011 establishing the Directorate for Democracy Support in DG EXPO.

**3 2 4** ***Production and dissemination*****3 2 4 0** Official Journal

Appropriations 2016	Appropriations 2015	Outturn 2014
4 373 000	4 244 000	4 202 107,46

*Remarks*

This appropriation is intended to cover the institution's share of the Publications Office's expenditure on publishing and dissemination and other ancillary costs with regard to the texts to be published in the *Official Journal of the European Union*.

**3 2 4 1** Digital and traditional publications

Appropriations 2016	Appropriations 2015	Outturn 2014
3 771 000	3 705 000	3 496 946,08

*Remarks*

This appropriation is intended to cover:

- all costs for digital publishing (Intranet sites) and traditional publishing (miscellaneous documents and printed matter subcontracted out), including distribution,
- upgrading and evolutive and corrective maintenance of editorial systems.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 6 000.

**3 2 4 2** Expenditure on publication, information and participation in public events

Appropriations 2016	Appropriations 2015	Outturn 2014
16 662 000	16 501 034	19 651 446,69

## EUROPEAN PARLIAMENT

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (cont'd)

3 2 4 (cont'd)

3 2 4 2 (cont'd)

## Remarks

This appropriation is intended to cover expenditure on information publications, including electronic publications, information activities, public relations, participation in public events, trade fairs and exhibitions in the Member States and the accession countries and the countries in which the European Parliament has a liaison office, and updating of the Legislative Observatory (OEIL), as well as the development of tools or instruments to increase and facilitate public access to it using mobile equipment.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

3 2 4 3 Parliamentarium — the European Parliament Visitors' Centre

Appropriations 2016	Appropriations 2015	Outturn 2014
6 030 000	4 150 000	4 748 380,08

## Remarks

This appropriation is intended to finance the Parliamentarium — the European Parliament Visitors' Centre in Brussels — and installations, exhibitions and material adapted or reproduced for separate use outside Brussels.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 9 300

3 2 4 4 Organisation and reception of groups of visitors, Euroscola programme and invitations to opinion multipliers from third countries

Appropriations 2016	Appropriations 2015	Outturn 2014
30 723 500	31 739 039	27 249 058,55

## Remarks

This appropriation is intended to cover subsidies granted for group visits and associated supervision and infrastructure costs, the financing of traineeships for opinion multipliers from third countries (EUVF) and the running costs of the Euroscola, Euromed-Scola and Euronest-Scola programmes. The EuroMed-Scola and Euronest-Scola programmes shall take place each year, on an alternating basis, on the European Parliament's premises in Strasbourg or Brussels, with the exception of election years.

This appropriation shall be increased every year using a deflator that takes into account movements in GNI and prices.

Each Member of the European Parliament is entitled to invite a maximum of five groups each calendar year for a total of 110 visitors.

**CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION** (*cont'd*)**3 2 4** (*cont'd*)**3 2 4 4** (*cont'd*)

An appropriate amount is included for visitors with disabilities.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100 000.

*Legal basis*

Bureau Decision of 16 December 2002 on rules governing the reception of groups of visitors and the Euroscola, Euomed-Scola and Euronest-Scola programmes, as last amended on 26 February 2013.

**3 2 4 5** Organisation of seminars, symposia and cultural activities

Appropriations 2016	Appropriations 2015	Outturn 2014
5 262 000	5 077 120	5 610 918,40

*Remarks*

This appropriation is intended to cover:

- expenditure or subsidies connected with the organisation of national or international symposia and seminars for opinion multipliers from the Member States, the accession countries and the countries in which the European Parliament has a liaison office, the cost of organising parliamentary symposia and seminars, and the financing of cultural projects of European interest, such as the European Parliament LUX Prize for European Cinema,
- expenditure on special events in the Chamber in Strasbourg and Brussels in accordance with the annual programme adopted by the Bureau,
- multilingualism support measures and tools such as seminars and conferences, meetings with providers of training for interpreters or translators, measures and actions to raise awareness of multilingualism and the profession of interpreter or translator, including a programme of grants for universities, schools and other organisations offering interpreting or translation courses, virtual communication solutions, as well as participation in similar actions and measures organised jointly with other services in the context of interinstitutional and international cooperation,

This appropriation also covers the cost of organising those activities, including catering services and expenses, and expenditure in connection with invitations to journalists to cover the activities concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 25 000.

**3 2 4 6** Parliamentary television channel (Web TV)

Appropriations 2016	Appropriations 2015	Outturn 2014
5 000 000	5 000 000	4 994 665,86

## EUROPEAN PARLIAMENT

CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (*cont'd*)3 2 4 (*cont'd*)3 2 4 6 (*cont'd*)*Remarks*

This appropriation is intended to cover the costs of in-house production and hosting of web clips and broadcast-ready material (EuroparlTV), in line with the European Parliament's communication strategy.

3 2 4 7 House of European History

Appropriations 2016	Appropriations 2015	Outturn 2014
6 500 000	10 000 000	9 571 045,83

*Remarks*

This appropriation is intended to finance the activities of the House of European History, such as performing specific fitting-out work, acquiring collections and organising exhibitions, as well as its running costs, including expenditure on books, magazines and other publications related to the House's activities.

It is also intended to cover the cost of contracts with qualified experts and research institutes for studies and other research activities (workshops, round tables, expert panels and conferences) carried out for the House of European History.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000 000.

3 2 4 8 Expenditure on audiovisual information

Appropriations 2016	Appropriations 2015	Outturn 2014
14 506 000	12 608 000	15 484 852,11

*Remarks*

This appropriation is intended to cover:

- the operating budget of the audiovisual sector (including services under its own control and outside assistance such as technical services for radio and television stations, provision, production and co-production of audiovisual programmes, the hiring of lines, the transmission of television and radio programmes, and other measures to develop relations between the institution and audiovisual broadcasting bodies),
- expenditure on live internet broadcasting of plenary sittings and parliamentary committee meetings,
- the establishment of appropriate archives ensuring uninterrupted media and public access to that information.

**CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION** (*cont'd*)**3 2 4** (*cont'd*)**3 2 4 8** (*cont'd*)

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 13 000.

*Legal basis*

European Parliament Resolution of 12 March 2002 on the guidelines for the 2003 budgetary procedure (OJ C 47 E, 27.2.2003, p. 72).

European Parliament Resolution of 14 May 2002 on the estimates of revenue and expenditure of Parliament for the financial year 2003 (OJ C 180 E, 31.7.2003, p. 150).

European Parliament Resolution of 14 May 2003 on the estimates of revenue and expenditure of Parliament for the financial year 2004 (OJ C 67 E, 17.3.2004, p. 179).

**3 2 4 9** Information exchanges with national parliaments

Appropriations 2016	Appropriations 2015	Outturn 2014
250 000	250 000	65 334,15

*Remarks*

This appropriation is intended to cover:

- expenditure committed for promoting relations between the European Parliament and national parliaments. It relates to parliamentary relations other than those covered by Chapters 1 0 and 3 0, exchanges of information and documentation, and assistance in the analysis and management of that information, including exchanges with the European Centre for Parliamentary Research and Documentation (ECPRD),
- funding of cooperation programmes and training schemes for officials of the aforementioned parliaments and, in general, activities to strengthen their parliamentary capacities.

Training schemes include study visits to the European Parliament in Brussels, Luxembourg and Strasbourg; the appropriation is intended to cover all or part of the expenditure incurred by participants, in particular travelling costs, travel expenses, accommodation and daily allowances,

- cooperation measures, including those linked to legislative work, and measures linked to documentation, analysis and information and making the [www.ipex.eu](http://www.ipex.eu) domain secure, including those carried out by the ECPRD.

This appropriation aims at financing the cooperation between the European Parliament and national parliaments in the parliamentary scrutiny of the CFSP/CSDP, in accordance with the Treaty on European Union and the Treaty on the Functioning of the European Union, and in particular Articles 9 and 10 of Protocol No 1 on the role of national parliaments in the European Union.

## EUROPEAN PARLIAMENT

CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (*cont'd*)3 2 4 (*cont'd*)3 2 4 9 (*cont'd*)*Legal basis*

Conferences of Speakers of European Parliamentary Assemblies (June 1977) and of European Union Parliaments (September 2000, March 2001).

3 2 5 ***Expenditure relating to Information Offices***

Appropriations 2016	Appropriations 2015	Outturn 2014
900 000	700 000	980 570,90

*Remarks*

This appropriation is intended to cover general expenditure and miscellaneous incidental expenditure linked to the Information Offices of the European Parliament (in particular office supplies, telecommunications, delivery charges, handling, transport, storage, standard promotional items, databases).



Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>4 0 0</b>	CHAPTER 4 0 <b>Current administrative expenditure and expenditure relating to the political and information activities of the political groups and non-attached Members</b>				
	Non-differentiated appropriations	61 000 000	59 800 000	59 416 002,23	97,40
<b>4 0 2</b>	<b>Funding of European political parties</b>				
	Non-differentiated appropriations	31 400 000	28 350 084	27 713 795,20	88,26
<b>4 0 3</b>	<b>Funding of European political foundations</b>				
	Non-differentiated appropriations	18 700 000	16 668 000	13 393 988,80	71,63
	CHAPTER 4 0 — TOTAL	111 100 000	104 818 084	100 523 786,23	90,48
<b>4 2 2</b>	CHAPTER 4 2 <b>Expenditure relating to parliamentary assistance</b>				
	Non-differentiated appropriations	202 140 000	192 113 500	181 048 836,81	89,57
	CHAPTER 4 2 — TOTAL	202 140 000	192 113 500	181 048 836,81	89,57
<b>4 4 0</b>	CHAPTER 4 4 <b>Cost of meetings and other activities of former Members</b>				
	Non-differentiated appropriations	210 000	200 000	200 000,—	95,24
<b>4 4 2</b>	<b>Cost of meetings and other activities of the European Parliamentary Association</b>				
	Non-differentiated appropriations	210 000	200 000	200 000,—	95,24
	CHAPTER 4 4 — TOTAL	420 000	400 000	400 000,—	95,24
	<b>Title 4 — Total</b>	<b>313 660 000</b>	<b>297 331 584</b>	<b>281 972 623,04</b>	<b>89,90</b>

EUROPEAN PARLIAMENT

**TITLE 4****EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION****CHAPTER 4 0 — EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES****4 0 0** *Current administrative expenditure and expenditure relating to the political and information activities of the political groups and non-attached Members*

Appropriations 2016	Appropriations 2015	Outturn 2014
61 000 000	59 800 000	59 416 002,23

*Remarks*

This appropriation is intended to cover, in respect of the political groups and the non-attached Members:

- secretarial, administrative and operational expenditure,
- expenditure on political and information activities conducted in connection with the Union's political activities.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

*Legal basis*

Bureau Decision of 30 June 2003 on rules on the use of appropriations from budget Item 4 0 0, as last amended on 27 April 2015.

**4 0 2** *Funding of European political parties*

Appropriations 2016	Appropriations 2015	Outturn 2014
31 400 000	28 350 084	27 713 795,20

*Remarks*

This appropriation is intended to finance political parties at European level.

*Legal basis*

Treaty on European Union, and in particular Article 10(4) thereof.

Treaty on the Functioning of the European Union, and in particular Article 224 thereof.

Regulation (EC) No 2004/2003 of the European Parliament and of the Council of 4 November 2003 on the regulations governing political parties at European level and the rules regarding their funding (OJ L 297, 15.11.2003, p. 1).

**CHAPTER 4 0 — EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES** (*cont'd*)**4 0 2** (*cont'd*)

Decision of the Bureau of the European Parliament of 29 March 2004 laying down the procedures for implementing Regulation (EC) No 2004/2003 of the European Parliament and of the Council on the regulations governing political parties at European level and the rules regarding their funding (OJ C 112, 9.4.2011, p. 1).

**4 0 3** *Funding of European political foundations*

Appropriations 2016	Appropriations 2015	Outturn 2014
18 700 000	16 668 000	13 393 988,80

*Remarks*

This appropriation is intended to finance political foundations at European level.

*Legal basis*

Treaty on European Union, and in particular Article 10(4) thereof.

Treaty on the Functioning of the European Union, and in particular Article 224 thereof.

Regulation (EC) No 2004/2003 of the European Parliament and of the Council of 4 November 2003 on the regulations governing political parties at European level and the rules regarding their funding (OJ L 297, 15.11.2003, p. 1).

Decision of the Bureau of the European Parliament of 29 March 2004 laying down the procedures for implementing Regulation (EC) No 2004/2003 of the European Parliament and of the Council on the regulations governing political parties at European level and the rules regarding their funding (OJ C 112, 9.4.2011, p. 1).

**CHAPTER 4 2 — EXPENDITURE RELATING TO PARLIAMENTARY ASSISTANCE****4 2 2** *Expenditure relating to parliamentary assistance*

Appropriations 2016	Appropriations 2015	Outturn 2014
202 140 000	192 113 500	181 048 836,81

*Remarks*

This appropriation is intended to cover costs relating to staff and service providers responsible for the provision of parliamentary assistance to Members, as well as costs relating to paying agents.

It is intended to cover exchange differences to be met from the budget of the European Parliament in accordance with the provisions applicable to reimbursement of parliamentary assistance expenses.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 775 000.

## EUROPEAN PARLIAMENT

**CHAPTER 4 2 — EXPENDITURE RELATING TO PARLIAMENTARY ASSISTANCE** (*cont'd*)**4 2 2** (*cont'd*)*Legal basis*

Statute for Members of the European Parliament, and in particular Article 21 thereof.

Implementing measures for the Statute for Members of the European Parliament, and in particular Articles 33 to 44 thereof.

Conditions of Employment of Other Servants of the European Union, and in particular Articles 5a and 125 to 139 thereof.

Bureau Decision of 14 April 2014 on implementing measures for Title VII of the Conditions of Employment of Other Servants of the European Union.

**CHAPTER 4 4 — MEETINGS AND OTHER ACTIVITIES OF CURRENT AND FORMER MEMBERS****4 4 0** *Cost of meetings and other activities of former Members*

Appropriations 2016	Appropriations 2015	Outturn 2014
210 000	200 000	200 000,—

*Remarks*

This appropriation is intended to cover the cost of meetings of the association of former Members of the European Parliament plus any other associated costs, if appropriate.

**4 4 2** *Cost of meetings and other activities of the European Parliamentary Association*

Appropriations 2016	Appropriations 2015	Outturn 2014
210 000	200 000	200 000,—

*Remarks*

This appropriation is intended to cover the cost of meetings of the European Parliamentary Association plus, if appropriate, any other associated costs.

## TITLE 5

**AUTHORITY FOR EUROPEAN POLITICAL PARTIES AND EUROPEAN POLITICAL FOUNDATIONS AND THE  
COMMITTEE OF INDEPENDENT EMINENT PERSONS**

## CHAPTER 5 0 — EXPENDITURE OF THE AUTHORITY FOR EUROPEAN POLITICAL PARTIES AND EUROPEAN POLITICAL FOUNDATIONS AND THE COMMITTEE OF INDEPENDENT EMINENT PERSONS

[illegible]

EUROPEAN PARLIAMENT

## TITLE 5

**AUTHORITY FOR EUROPEAN POLITICAL PARTIES AND EUROPEAN POLITICAL FOUNDATIONS AND THE COMMITTEE OF INDEPENDENT EMINENT PERSONS****CHAPTER 5 0 — EXPENDITURE OF THE AUTHORITY FOR EUROPEAN POLITICAL PARTIES AND EUROPEAN POLITICAL FOUNDATIONS AND THE COMMITTEE OF INDEPENDENT EMINENT PERSONS****5 0 0      *Operational expenditure of the Authority for European political parties and European political foundations***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.		

*Remarks*

This appropriation is intended to cover the expenditure of the Authority for European political parties and European political foundations to ensure its full and independent operation.

*Legal basis*

Regulation (EU, Euratom) No 1141/2014 of the European Parliament and of the Council of 22 October 2014 on the statute and funding of European political parties and European political foundations (OJ L 317, 4.11.2014, p. 1), and in particular Articles 6(1), 6(7) and 41 thereof.

**5 0 1      *Expenditure related to the committee of independent eminent persons***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.		

*Remarks*

This appropriation is intended to cover the expenditure linked to the secretariat and the funding of the committee of independent eminent persons.

*Legal basis*

Regulation (EU, Euratom) No 1141/2014 of the European Parliament and of the Council of 22 October 2014 on the statute and funding of European political parties and European political foundations (OJ L 317, 4.11.2014, p. 1), and in particular Article 11(2) thereof.

## TITLE 10

## OTHER EXPENDITURE

CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS

CHAPTER 10 1 — CONTINGENCY RESERVE

CHAPTER 10 3 — ENLARGEMENT RESERVE

CHAPTER 10 4 — RESERVE FOR INFORMATION AND COMMUNICATION POLICY

CHAPTER 10 5 — PROVISIONAL APPROPRIATION FOR BUILDINGS

CHAPTER 10 6 — RESERVE FOR PRIORITY PROJECTS UNDER DEVELOPMENT

CHAPTER 10 8 — EMAS RESERVE

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 10 0	p.m.	p.m.		
	CHAPTER 10 0 — TOTAL	p.m.	p.m.		
	CHAPTER 10 1	6 000 000	11 700 000	0,—	0
	CHAPTER 10 1 — TOTAL	6 000 000	11 700 000	0,—	0
	CHAPTER 10 3	p.m.	p.m.	0,—	
	CHAPTER 10 3 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 10 4	p.m.	p.m.	0,—	
	CHAPTER 10 4 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 10 5	p.m.	p.m.	0,—	
	CHAPTER 10 5 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 10 6	p.m.	p.m.	0,—	
	CHAPTER 10 6 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 10 8	p.m.	p.m.	0,—	
	CHAPTER 10 8 — TOTAL	p.m.	p.m.	0,—	
	Title 10 — Total	6 000 000	11 700 000	0,—	0
	GRAND TOTAL	1 838 388 600	1 794 929 112	1 737 744 692,30	94,53

EUROPEAN PARLIAMENT

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

**CHAPTER 10 1 — CONTINGENCY RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
6 000 000	11 700 000	0,—

*Remarks*

This appropriation is intended to cover expenditure resulting from budgetary decisions taken in the course of the financial year (expenditure that cannot be estimated).

**CHAPTER 10 3 — ENLARGEMENT RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the cost of the institution's preparations for enlargement.

**CHAPTER 10 4 — RESERVE FOR INFORMATION AND COMMUNICATION POLICY**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure on information and communication policy.

**CHAPTER 10 5 — PROVISIONAL APPROPRIATION FOR BUILDINGS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—



**CHAPTER 10 5 — PROVISIONAL APPROPRIATION FOR BUILDINGS** (*cont'd*)*Remarks*

This appropriation is intended to cover property investments and fitting-out work carried out by the institution. The European Parliament's Bureau is requested to adopt a coherent and responsible long-term strategy in the area of property and buildings which takes into account the particular problem of increasing maintenance costs, renovation needs and security costs and ensures the sustainability of the European Parliament's budget.

**CHAPTER 10 6 — RESERVE FOR PRIORITY PROJECTS UNDER DEVELOPMENT**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure on the institution's priority projects under development.

**CHAPTER 10 8 — EMAS RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

Further to the decisions to be taken by the Bureau for implementation of the EMAS action plan, in particular following the European Parliament's carbon audit, this appropriation is intended to endow the relevant operational headings.

## EUROPEAN PARLIAMENT

## STAFF

## Section I — European Parliament

Function group and grade	2015			
	Permanent posts		Temporary posts	
			Others	Political groups
Non-category	1	0	0	0
AD 16	13	0	1	7
AD 15	40	0	1	4
AD 14	234	2	7	26
AD 13	456	8	2	43
AD 12	204	0	12	64
AD 11	189	0	6	29
AD 10	180	0	9	27
AD 9	178	0	5	24
AD 8	420	0	3	33
AD 7	328	0	7	47
AD 6	198	0	7	53
AD 5	209	0	6	58
AD total	2 649	10	66	415
AST 11	147	10	0	34
AST 10	95	0	20	30
AST 9	364	0	4	44
AST 8	421	0	6	41
AST 7	443	0	1	44
AST 6	311	0	7	71
AST 5	295	0	17	62
AST 4	320	0	6	76
AST 3	270	0	5	71
AST 2	168	0	0	60
AST 1	37	0	0	68
AST total	2 871	10	66	601
AST/SC 6	0	0	0	0
AST/SC 5	0	0	0	0
AST/SC 4	0	0	0	0
AST/SC 3	0	0	0	0
AST/SC 2	50	0	0	0
AST/SC 1	20	0	0	0
AST/SC total	70	0	0	0
<b>Total</b>	<b>5 591 <sup>(1)</sup></b>	<b>20 <sup>(2)</sup></b>	<b>132</b>	<b>1 016</b>
<b>Grand Total</b>	<b>6 739 <sup>(3)</sup></b>			

<sup>(1)</sup> Of which three ad personam promotions (three AD 14 to AD 15) granted in exceptional cases to deserving officials.

<sup>(2)</sup> Notional reserve for officials seconded in the interests of the service not included in the grand total.

<sup>(3)</sup> The interinstitutional agreement signed on 5 February 2014 between the European Parliament, the Committee of the Regions and the European Economic and Social Committee provides for the transfer of up to 80 new posts (60 AD and 20 AST) from those committees to the European Parliament. This operation will be carried out gradually, starting in the autumn of 2014, and the inclusion in the European Parliament's establishment plan of the posts in question (already carried out in 2014) will be offset by the abolition of a corresponding number of posts in the two committees' establishment plans.

Function group and grade	2016			
	Permanent posts		Temporary posts	
			Others	Political groups
Non-category	1	0	0	0
AD 16	13	0	1	7
AD 15	47	0	1	4
AD 14	223	2	7	30
AD 13	443	8	2	40
AD 12	242	0	12	64
AD 11	174	0	6	30
AD 10	195	0	9	25
AD 9	177	0	6	29
AD 8	433	0	3	37
AD 7	286	0	6	59
AD 6	196	0	8	48
AD 5	219	0	5	63
AD total	2 649	10	66	436
AST 11	120	10	0	36
AST 10	84	0	20	33
AST 9	491	0	4	44
AST 8	308	0	6	40
AST 7	388	0	2	43
AST 6	309	0	6	72
AST 5	305	0	19	74
AST 4	393	0	3	78
AST 3	243	0	4	78
AST 2	88	0	0	58
AST 1	45	0	0	67
AST total	2 774	10	64	623
SC 6	0	0	0	0
SC 5	0	0	0	0
SC 4	0	0	0	0
SC 3	0	0	0	0
SC 2	50	0	0	0
SC 1	100	0	0	0
SC total	150	0	0	0
<b>Total</b>	<b>5 573</b>	<b>20 <sup>(1)</sup></b>	<b>130</b>	<b>1 059</b>
<b>Grand Total</b>	<b>6 762 <sup>(2)</sup></b>			

<sup>(1)</sup> Notional reserve for officials seconded in the interests of the service not included in the grand total.

<sup>(2)</sup> The interinstitutional agreement signed on 5 February 2014 between the European Parliament, the Committee of the Regions and the European Economic and Social Committee provides for the transfer of up to 80 new posts (60 AD and 20 AST) from those committees to the European Parliament. This operation will be carried out gradually, starting in the autumn of 2014, and the inclusion in Parliament's establishment plan of the posts in question (already carried out in 2014) will be offset by the abolition of a corresponding number of posts in the two committees' establishment plans.

*SECTION II*

**EUROPEAN COUNCIL AND COUNCIL**

## REVENUE

**Contribution of the European Union to the financing of the expenditure of the  
European Council and Council for the financial year 2016**

Heading	Amount
Expenditure	545 054 000
Own resources	– 50 415 000
<b>Contribution due</b>	<b>494 639 000</b>

EUROPEAN COUNCIL AND COUNCIL

## OWN RESOURCES

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 40 — DEDUCTIONS FROM STAFF REMUNERATION

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 4 0				
4 0 0	<i>Proceeds from taxation on the salaries, wages and allowances of Members of the institution, officials and other servants</i>	25 490 000	22 576 000	23 893 573,—	93,74
4 0 3	<i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>	p.m.	p.m.	0,—	
4 0 4	<i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>	3 192 000	3 170 000	4 376 212,—	137,10
	CHAPTER 4 0 — TOTAL	28 682 000	25 746 000	28 269 785,—	98,56
	CHAPTER 4 1				
4 1 0	<i>Staff contributions to the pension scheme</i>	21 733 000	31 357 000	17 998 089,—	82,81
4 1 1	<i>Transfer or purchase of pension rights by staff</i>	p.m.	p.m.	4 919 304,—	
4 1 2	<i>Contributions to the pension scheme by officials on leave on personal grounds</i>	p.m.	p.m.	0,—	
	CHAPTER 4 1 — TOTAL	21 733 000	31 357 000	22 917 393,—	105,45
	Title 4 — Total	50 415 000	57 103 000	51 187 178,—	101,53

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 4 0 — DEDUCTIONS FROM STAFF REMUNERATION

**4 0 0** *Proceeds from taxation on the salaries, wages and allowances of Members of the institution, officials and other servants*

Financial year 2016	Financial year 2015	Financial year 2014
25 490 000	22 576 000	23 893 573,—

*Remarks*

Protocol on the Privileges and Immunities of the European Union, and in particular Article 12 thereof.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
3 192 000	3 170 000	4 376 212,—

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
21 733 000	31 357 000	17 998 089,—

EUROPEAN COUNCIL AND COUNCIL

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (*cont'd*)**4 1 0** (*cont'd*)*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or purchase of pension rights by staff*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	4 919 304,—

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 4 and Article 11(2) of Annex VIII thereto.

**4 1 2** *Contributions to the pension scheme by officials on leave on personal grounds*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 11(2) and Article 48 of Annex VIII thereto.



## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK INTEREST AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 0				
<b>5 0 0</b>	<b><i>Proceeds from the sale of movable property</i></b>				
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.		
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue	p.m.	p.m.	104 000,—	
5 0 0 2	Proceeds from the supply of goods to other institutions or bodies — Assigned revenue	p.m.	p.m.	0,—	
	Article 5 0 0 — Total	p.m.	p.m.	104 000,—	
<b>5 0 2</b>	<b><i>Proceeds from the sale of publications, printed works and films</i></b>	p.m.	p.m.	0,—	
	CHAPTER 5 0 — TOTAL	p.m.	p.m.	104 000,—	
	CHAPTER 5 1				
<b>5 1 0</b>	<b><i>Proceeds from the hiring-out of furniture and equipment</i></b>	p.m.	p.m.	0,—	
<b>5 1 1</b>	<b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>	p.m.	p.m.	281 611,—	
	CHAPTER 5 1 — TOTAL	p.m.	p.m.	281 611,—	
	CHAPTER 5 2				
<b>5 2 0</b>	<b><i>Revenue from investments or loans granted, bank interest and other interest on the institution's accounts</i></b>	p.m.	p.m.	12 468,—	
	CHAPTER 5 2 — TOTAL	p.m.	p.m.	12 468,—	
	CHAPTER 5 5				
<b>5 5 0</b>	<b><i>Revenue from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i></b>	p.m.	p.m.	1 479 110,—	
<b>5 5 1</b>	<b><i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i></b>	p.m.	p.m.	40 529,—	
	CHAPTER 5 5 — TOTAL	p.m.	p.m.	1 519 639,—	

EUROPEAN COUNCIL AND COUNCIL

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 58 — MISCELLANEOUS COMPENSATION

## CHAPTER 59 — OTHER REVENUE ARISING FROM ADMINISTRATIVE MANAGEMENT

[illegible]

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	104 000,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 0 2 *Proceeds from the sale of publications, printed works and films*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

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**CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY** (*cont'd*)**5 0 2** (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING****5 1 0** *Proceeds from the hiring-out of furniture and equipment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1** *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	281 611,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK INTEREST AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank interest and other interest on the institution's accounts*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	12 468,—

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

**5 5 0** *Revenue from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 479 110,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1** *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	40 529,—

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

**5 7 0** *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	17 395 334,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	11 660,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

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**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (*cont'd*)**5 7 2**      ***Repayment of welfare expenditure incurred on behalf of another institution — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 3**      ***Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 792 978,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****5 8 0**      ***Miscellaneous compensation — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	22 603,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE ARISING FROM ADMINISTRATIVE MANAGEMENT****5 9 0**      ***Other revenue arising from administrative management***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 332,—

## TITLE 6

## CONTRIBUTIONS AND REPAYMENTS UNDER UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

## CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

## CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
6 1 2	CHAPTER 6 1				
	<i>Repayment of expenditure incurred specifically during the performance of work on request and for consideration — Assigned revenue</i>	p.m.	p.m.	0,—	
	CHAPTER 6 1 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 6 3				
	6 3 1 <i>Contributions within the framework of the Schengen acquis — Assigned revenue</i>				
	6 3 1 1 Contribution to the administrative costs arising from the framework agreement with Iceland and Norway — Assigned revenue	p.m.	p.m.		
	Article 6 3 1 — Total	p.m.	p.m.		
	CHAPTER 6 3 — TOTAL	p.m.	p.m.		
	CHAPTER 6 6				
	6 6 0 <i>Other contributions and refunds</i>				
	6 6 0 0 Other assigned contributions and refunds — Assigned revenue	p.m.	p.m.	0,—	
	Article 6 6 0 — Total	p.m.	p.m.	0,—	
	CHAPTER 6 6 — TOTAL	p.m.	p.m.	0,—	
	Title 6 — Total	p.m.	p.m.	0,—	

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## TITLE 6

## CONTRIBUTIONS AND REPAYMENTS UNDER UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

**6 1 2**      *Repayment of expenditure incurred specifically during the performance of work on request and for consideration — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

**6 3 1**      *Contributions within the framework of the Schengen acquis — Assigned revenue***6 3 1 1**      Contribution to the administrative costs arising from the framework agreement with Iceland and Norway — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

Contribution to the administrative costs arising from the Agreement of 18 May 1999 concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* — Final Act (OJ L 176, 10.7.1999, p. 36), and in particular Article 12 thereof.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).



**CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0        *Other contributions and refunds*****6 6 0 0        Other assigned contributions and refunds — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this item is intended to record any revenue not provided for in any other parts of Title 6 and which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

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## TITLE 7

## INTEREST ON LATE PAYMENTS

## CHAPTER 70 — INTEREST ON LATE PAYMENTS

[illegible]

**TITLE 7****INTEREST ON LATE PAYMENTS****CHAPTER 7 0 — INTEREST ON LATE PAYMENTS****7 0 0*****Interest on late payments***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 177 275,—

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## TITLE 9

## MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>9 0 0</b>	CHAPTER 9 0				
	Miscellaneous revenue	p.m.	p.m.	8,—	
	CHAPTER 9 0 — TOTAL	p.m.	p.m.	8,—	
	Title 9 — Total	p.m.	p.m.	8,—	
	GRAND TOTAL	50 415 000	57 103 000	73 506 086,—	145,80

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0*****Miscellaneous revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	8,—

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**EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>1</b>	<b>PERSONS WORKING WITH THE INSTITUTION</b>			
1 0	MEMBERS OF THE INSTITUTION	1 302 000	1 392 000	896 787,—
1 1	OFFICIALS AND TEMPORARY STAFF	299 452 000	297 827 000	282 214 956,—
1 2	OTHER STAFF AND EXTERNAL SERVICES	13 306 000	13 144 000	11 091 744,—
1 3	OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION	9 242 000	9 405 000	8 026 533,—
	<b>Title 1 — Total</b>	<b>323 302 000</b>	<b>321 768 000</b>	<b>302 230 020,—</b>
<b>2</b>	<b>BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE</b>			
2 0	BUILDINGS AND ASSOCIATED COSTS	55 768 000	52 207 500	45 897 283,—
2 1	COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE	46 204 000	46 421 000	41 867 366,—
2 2	OPERATING EXPENDITURE	117 780 000	120 395 000	94 580 299,—
	<b>Title 2 — Total</b>	<b>219 752 000</b>	<b>219 023 500</b>	<b>182 344 948,—</b>
<b>10</b>	<b>OTHER EXPENDITURE</b>			
10 0	PROVISIONAL APPROPRIATIONS	p.m.	p.m.	0,—
10 1	CONTINGENCY RESERVE	2 000 000	1 000 000	0,—
	<b>Title 10 — Total</b>	<b>2 000 000</b>	<b>1 000 000</b>	<b>0,—</b>
	<b>GRAND TOTAL</b>	<b>545 054 000</b>	<b>541 791 500</b>	<b>484 574 968,—</b>

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 0				
<b>1 0 0</b>	<b>Remuneration and other entitlements</b>				
1 0 0 0	Basic salary				
	Non-differentiated appropriations	319 000	319 000	310 306,—	97,27
1 0 0 1	Entitlements related to the post held				
	Non-differentiated appropriations	66 000	66 000	58 312,—	88,35
1 0 0 2	Entitlements related to personal circumstances				
	Non-differentiated appropriations	9 000	21 000	7 866,—	87,40
1 0 0 3	Social security cover				
	Non-differentiated appropriations	13 000	14 000	11 551,—	88,85
1 0 0 4	Other management expenditure				
	Non-differentiated appropriations	675 000	675 000	444 675,—	65,88
1 0 0 6	Entitlements on entering the service, transfer, and leaving the service				
	Non-differentiated appropriations	p.m.	77 000	51 300,—	
	<i>Article 1 0 0 — Total</i>	1 082 000	1 172 000	884 010,—	81,70
<b>1 0 1</b>	<b>Termination of service</b>				
1 0 1 0	Pensions				
	Non-differentiated appropriations	170 000	170 000	12 777,—	7,52
	<i>Article 1 0 1 — Total</i>	170 000	170 000	12 777,—	7,52
<b>1 0 2</b>	<b>Provisional appropriation</b>				
1 0 2 0	Provisional appropriation for changes in entitlements				
	Non-differentiated appropriations	50 000	50 000	0,—	
	<i>Article 1 0 2 — Total</i>	50 000	50 000	0,—	
	CHAPTER 1 0 — TOTAL	1 302 000	1 392 000	896 787,—	68,88
	CHAPTER 1 1				
<b>1 1 0</b>	<b>Remuneration and other entitlements</b>				
1 1 0 0	Basic salaries				
	Non-differentiated appropriations	223 785 000	222 569 000	213 118 461,—	95,23

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**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 1 0</b>	( <i>cont'd</i> )				
1 1 0 1	Entitlements under the Staff Regulations related to the post held				
	Non-differentiated appropriations	1 950 000	2 047 000	1 472 393,—	75,51
1 1 0 2	Entitlements under the Staff Regulations related to the personal circumstances of the staff member				
	Non-differentiated appropriations	57 214 000	56 860 000	54 811 359,—	95,80
1 1 0 3	Social security cover				
	Non-differentiated appropriations	9 032 000	8 983 000	8 880 476,—	98,32
1 1 0 4	Salary weightings				
	Non-differentiated appropriations	50 000	50 000	76 381,—	152,76
1 1 0 5	Overtime				
	Non-differentiated appropriations	1 500 000	1 450 000	1 265 216,—	84,35
1 1 0 6	Entitlements under the Staff Regulations on entering the service, transfer, and leaving the service				
	Non-differentiated appropriations	2 700 000	2 720 000	1 852 000,—	68,59
	<i>Article 1 1 0 — Total</i>	296 231 000	294 679 000	281 476 286,—	95,02
<b>1 1 1</b>	<b>Termination of service</b>				
1 1 1 0	Allowances in the event of retirement in the interests of the service (pursuant to Articles 41 and 50 of the Staff Regulations)				
	Non-differentiated appropriations	56 000	166 000	194 819,—	347,89
1 1 1 1	Allowances for staff whose service is terminated				
	Non-differentiated appropriations	p.m.	p.m.	41 703,—	
1 1 1 2	Entitlements of the former Secretaries-General				
	Non-differentiated appropriations	506 000	500 000	502 148,—	99,24
	<i>Article 1 1 1 — Total</i>	562 000	666 000	738 670,—	131,44
<b>1 1 2</b>	<b>Provisional appropriation</b>				
1 1 2 0	Provisional appropriation (officials and temporary staff)				
	Non-differentiated appropriations	2 653 000	2 476 000	0,—	
1 1 2 1	Provisional appropriation (retired staff and staff retired under special arrangements)				
	Non-differentiated appropriations	6 000	6 000	0,—	
	<i>Article 1 1 2 — Total</i>	2 659 000	2 482 000	0,—	
	<b>CHAPTER 1 1 — TOTAL</b>	299 452 000	297 827 000	282 214 956,—	94,24



**CHAPTER 1 2 — OTHER STAFF AND EXTERNAL SERVICES****CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 2				
<b>1 2 0</b>	<b>Other staff and external services</b>				
1 2 0 0	Other staff				
	Non-differentiated appropriations	9 189 000	9 259 000	7 455 499,—	81,14
1 2 0 1	National experts on secondment				
	Non-differentiated appropriations	953 000	953 000	862 795,—	90,53
1 2 0 2	Traineeships				
	Non-differentiated appropriations	650 000	607 000	575 190,—	88,49
1 2 0 3	External services				
	Non-differentiated appropriations	2 215 000	2 075 000	2 046 409,—	92,39
1 2 0 4	Supplementary services for the translation service				
	Non-differentiated appropriations	200 000	250 000	151 851,—	75,93
	<i>Article 1 2 0 — Total</i>	13 207 000	13 144 000	11 091 744,—	83,98
<b>1 2 2</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	99 000	p.m.	0,—	
	<b>CHAPTER 1 2 — TOTAL</b>	13 306 000	13 144 000	11 091 744,—	83,36
	CHAPTER 1 3				
<b>1 3 0</b>	<b>Expenditure relating to staff management</b>				
1 3 0 0	Miscellaneous expenditure on recruitment				
	Non-differentiated appropriations	173 000	167 000	165 138,—	95,46
1 3 0 1	Further training				
	Non-differentiated appropriations	1 970 000	1 950 000	1 900 980,—	96,50
	<i>Article 1 3 0 — Total</i>	2 143 000	2 117 000	2 066 118,—	96,41
<b>1 3 1</b>	<b>Measures to assist the institution's staff</b>				
1 3 1 0	Special assistance grants				
	Non-differentiated appropriations	30 000	30 000	0,—	
1 3 1 1	Social contacts between members of staff				
	Non-differentiated appropriations	117 000	117 000	109 724,—	93,78

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**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 3 1</b>	<i>(cont'd)</i>				
1 3 1 2	Supplementary aid for the disabled				
	Non-differentiated appropriations	210 000	200 000	145 000,—	69,05
1 3 1 3	Other welfare expenditure				
	Non-differentiated appropriations	66 000	66 000	79 600,—	120,61
	<i>Article 1 3 1 — Total</i>	423 000	413 000	334 324,—	79,04
<b>1 3 2</b>	<b>Activities relating to all persons working with the institution</b>				
1 3 2 0	Medical service				
	Non-differentiated appropriations	450 000	517 000	418 154,—	92,92
1 3 2 1	Restaurants and canteens				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
1 3 2 2	Crèches and childcare facilities				
	Non-differentiated appropriations	2 646 000	2 593 000	2 250 000,—	85,03
	<i>Article 1 3 2 — Total</i>	3 096 000	3 110 000	2 668 154,—	86,18
<b>1 3 3</b>	<b>Missions</b>				
1 3 3 1	Mission expenses of the General Secretariat of the Council				
	Non-differentiated appropriations	2 980 000	3 165 000	2 537 893,—	85,16
1 3 3 2	Travel expenses of staff related to the European Council				
	Non-differentiated appropriations	600 000	600 000	420 044,—	70,01
	<i>Article 1 3 3 — Total</i>	3 580 000	3 765 000	2 957 937,—	82,62
<b>1 3 4</b>	<b>Schooling fees for Type II European Schools</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<b>CHAPTER 1 3 — TOTAL</b>	9 242 000	9 405 000	8 026 533,—	86,85
	<b>Title 1 — Total</b>	323 302 000	321 768 000	302 230 020,—	93,48

**TITLE 1****PERSONS WORKING WITH THE INSTITUTION****CHAPTER 1 0 — MEMBERS OF THE INSTITUTION****1 0 0      *Remuneration and other entitlements*****1 0 0 0      Basic salary**

Appropriations 2016	Appropriations 2015	Outturn 2014
319 000	319 000	310 306,—

*Remarks*

This appropriation is intended to cover the basic salary of the President of the European Council.

*Legal basis*

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35).

**1 0 0 1      Entitlements related to the post held**

Appropriations 2016	Appropriations 2015	Outturn 2014
66 000	66 000	58 312,—

*Remarks*

This appropriation is intended to cover entitlements of the President of the European Council related to the post held.

*Legal basis*

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35).

**1 0 0 2      Entitlements related to personal circumstances**

Appropriations 2016	Appropriations 2015	Outturn 2014
9 000	21 000	7 866,—

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CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 0 (*cont'd*)1 0 0 2 (*cont'd*)*Remarks*

This appropriation is intended to cover entitlements related to the personal circumstances of the President of the European Council.

*Legal basis*

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35).

1 0 0 3 Social security cover

Appropriations 2016	Appropriations 2015	Outturn 2014
13 000	14 000	11 551,—

*Remarks*

This appropriation is intended to cover the employer's insurance contributions for the Members of the institution of the European Council.

*Legal basis*

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35).

1 0 0 4 Other management expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
675 000	675 000	444 675,—

*Remarks*

This appropriation is intended to cover:

- travel expenses incurred and related daily subsistence allowances and additional or exceptional expenditure incurred on mission of the President of the European Council,

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 0** (*cont'd*)**1 0 0 4** (*cont'd*)

- representation expenditure of the President of the European Council which are related to the fulfilment of his or her duties and as part of the institution's activities,
- transitory expenditure relating to preparation to taking up duties at or leaving the office of the President of the European Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**1 0 0 6** Entitlements on entering the service, transfer, and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	77 000	51 300,—

*Remarks*

This appropriation is intended to cover entitlements of the President of the European Council related to entering the service, transfer and leaving the service.

**1 0 1** **Termination of service****1 0 1 0** Pensions

Appropriations 2016	Appropriations 2015	Outturn 2014
170 000	170 000	12 777,—

*Remarks*

This appropriation is intended to cover:

- the transitory allowance/retirement pension of the former Presidents of the European Council,
- the survivors' pensions (widows and orphans) of the former Presidents of the European Council,
- the impact of weightings applied to the retirement pensions of the former Presidents of the European Council.

*Legal basis*

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35).

## EUROPEAN COUNCIL AND COUNCIL

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)**1 0 2** ***Provisional appropriation*****1 0 2 0** Provisional appropriation for changes in entitlements

Appropriations 2016	Appropriations 2015	Outturn 2014
50 000	50 000	0,—

*Remarks*

This appropriation is intended to cover the financial impact of the changes in the entitlements of the President of the European Council.

*Legal basis*

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35).

## CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF

*Remarks*

The appropriations entered in this chapter are assessed on the basis of the European Council and Council's establishment plan for the financial year.

A flat-rate reduction of 5,5 % has been applied to salaries, allowances and payments to take account of the fact that not all posts in the European Council and Council's establishment plan are occupied at any given time.

**1 1 0** ***Remuneration and other entitlements*****1 1 0 0** Basic salaries

Appropriations 2016	Appropriations 2015	Outturn 2014
223 785 000	222 569 000	213 118 461,—

*Remarks*

This appropriation is intended to cover basic salaries, compensation for annual leave not taken up and management allowances for officials and temporary staff holding a post provided for in the establishment plan.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 1 0** (*cont'd*)**1 1 0 1** Entitlements under the Staff Regulations related to the post held

Appropriations 2016	Appropriations 2015	Outturn 2014
1 950 000	2 047 000	1 472 393,—

*Remarks*

This appropriation is intended to cover primarily, for officials and temporary staff holding a post provided for in the establishment plan:

- secretarial allowances,
- accommodation and transport allowances,
- fixed local travel allowances,
- allowances for shift work or standby duty at the official's place of work or at home,
- other allowances and repayments,
- overtime (drivers, security agents, secretaries of the Secretary-General and the President of the European Council).

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 1 0 2** Entitlements under the Staff Regulations related to the personal circumstances of the staff member

Appropriations 2016	Appropriations 2015	Outturn 2014
57 214 000	56 860 000	54 811 359,—

*Remarks*

This appropriation is intended to cover primarily, for officials and temporary staff holding a post provided for in the establishment plan:

- expatriation and foreign residence allowances,
- household, dependent child and education allowances,

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CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 1 0 (*cont'd*)1 1 0 2 (*cont'd*)

— allowances for parental or family leave,

— payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,

— miscellaneous allowances and grants.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 1 0 3 Social security cover

Appropriations 2016	Appropriations 2015	Outturn 2014
9 032 000	8 983 000	8 880 476,—

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

— insurance against sickness, accidents and occupational disease, and other social security charges,

— unemployment insurance for temporary staff and payments made by the institution to allow temporary staff to constitute or maintain pension rights in their country of origin.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.



**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 1 0** (*cont'd*)**1 1 0 4** Salary weightings

Appropriations 2016	Appropriations 2015	Outturn 2014
50 000	50 000	76 381,—

*Remarks*

This appropriation is intended to cover, for officials and temporary staff holding a post provided for in the establishment plan, the impact of weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 1 0 5** Overtime

Appropriations 2016	Appropriations 2015	Outturn 2014
1 500 000	1 450 000	1 265 216,—

*Remarks*

This appropriation is intended for the payment of overtime pursuant to the provisions mentioned below.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

Conditions of Employment of Other Servants of the European Union.

**1 1 0 6** Entitlements under the Staff Regulations on entering the service, transfer, and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
2 700 000	2 720 000	1 852 000,—

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**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 1 0** (*cont'd*)1 1 0 6 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- travel expenses due to officials and temporary staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses due to officials and temporary staff obliged to change their place of residence on taking up their duties, on transfer to a new place of employment and on leaving the service and resettling elsewhere,
- daily subsistence allowances payable to officials and temporary staff who provide proof that they have had to change their place of residence on taking up their duties or transferring to a new place of employment,
- severance grant for probationers dismissed for obvious inadequacy,
- compensation in the event of termination by the institution of the contract of a temporary staff member.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 1 1** **Termination of service**

1 1 1 0 Allowances in the event of retirement in the interests of the service (pursuant to Articles 41 and 50 of the Staff Regulations)

Appropriations 2016	Appropriations 2015	Outturn 2014
56 000	166 000	194 819,—

*Remarks*

This appropriation is intended to cover allowances for officials:

- assigned non-active status in connection with a measure to reduce the number of posts in the institution,
- holding an AD 16 or AD 15 grade post and who are retired in the interests of the service.

**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 1 1** (*cont'd*)**1 1 1 0** (*cont'd*)

It also covers the employer's contributions to sickness insurance and the impact of weightings applicable to these allowances.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

**1 1 1 1** Allowances for staff whose service is terminated

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	41 703,—

*Remarks*

This appropriation is intended to cover:

- the allowances payable under the Staff Regulations or Regulation (EC, Euratom) No 1747/2002,
- the employer's contributions to the sickness insurance of persons eligible for the allowances,
- the impact of the weightings applicable to the various allowances.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Council Regulation (EC, Euratom) No 1747/2002 of 30 September 2002 introducing, in the context of the modernisation of the institution, special measures to terminate the service of officials of the European Communities appointed to an established post in the Council of the European Union (OJ L 264, 2.10.2002, p. 5).

**1 1 1 2** Entitlements of the former Secretaries-General

Appropriations 2016	Appropriations 2015	Outturn 2014
506 000	500 000	502 148,—

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**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 1 1** (*cont'd*)1 1 1 2 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- the retirement pensions of the former Secretaries-General of the institution,
- the survivors' pensions (surviving spouses and orphans) of the former Secretaries-General of the institution,
- payment of the weightings applied to the retirement pensions of the former Secretaries-General of the institution.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

**1 1 2** ***Provisional appropriation***

1 1 2 0 Provisional appropriation (officials and temporary staff)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 653 000	2 476 000	0,—

*Remarks*

This appropriation is intended to cover the effect of any salary adjustments made by the Council in the course of the financial year.

It is provisional and may be used only after its transfer to the appropriate headings of this chapter.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof and Annex XI thereto.

**CHAPTER 1 1 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 1 2** (*cont'd*)**1 1 2 1** Provisional appropriation (retired staff and staff retired under special arrangements)

Appropriations 2016	Appropriations 2015	Outturn 2014
6 000	6 000	0,—

*Remarks*

This appropriation is intended to cover the effect of any salary adjustments made by the Council in the course of the financial year.

It is provisional and may be used only after its transfer to the appropriate headings of this chapter.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof and Annex XI thereto.

**CHAPTER 1 2 — OTHER STAFF AND EXTERNAL SERVICES****1 2 0** *Other staff and external services***1 2 0 0** Other staff

Appropriations 2016	Appropriations 2015	Outturn 2014
9 189 000	9 259 000	7 455 499,—

*Remarks*

This appropriation is mainly intended to cover the remuneration of other staff including auxiliary, contract and local staff, and special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to the various social security schemes and the impact of salary weightings applicable to the remuneration of such staff.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

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CHAPTER 1 2 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 2 0 (*cont'd*)

## 1 2 0 1 National experts on secondment

Appropriations 2016	Appropriations 2015	Outturn 2014
953 000	953 000	862 795,—

*Remarks*

This appropriation is intended to cover allowances and administrative expenses in respect of national experts on secondment.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Council Decision 2007/829/EC of 5 December 2007 concerning the rules applicable to national experts and military staff on secondment to the General Secretariat of the Council and repealing Decision 2003/479/EC (OJ L 327, 13.12.2007, p. 10).

Council Decision (EU) 2015/1027 of 23 June 2015 concerning the rules applicable to experts on secondment to the General Secretariat of the Council and repealing Decision 2007/829/EC (OJ L 163, 30.6.2015, p. 40).

## 1 2 0 2 Traineeships

Appropriations 2016	Appropriations 2015	Outturn 2014
650 000	607 000	575 190,—

*Remarks*

This appropriation is intended to cover a grant, study travel and mission expenses for trainees, and accident and sickness insurance during traineeships.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 1 2 0 3 External services

Appropriations 2016	Appropriations 2015	Outturn 2014
2 215 000	2 075 000	2 046 409,—

**CHAPTER 1 2 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 2 0** (*cont'd*)1 2 0 3 (*cont'd*)*Remarks*

This appropriation is intended to cover all services performed by persons not linked to the institution and in particular:

- temporary staff for miscellaneous services,
- supplementary staff for meetings in Luxembourg and Strasbourg,
- experts in the field of working conditions.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

1 2 0 4 Supplementary services for the translation service

Appropriations 2016	Appropriations 2015	Outturn 2014
200 000	250 000	151 851,—

*Remarks*

This appropriation is intended to cover expenditure relating to translation services provided by external translation agencies to absorb the occasional excessive workload of the Council Language Service, on the one hand, and to verify the translations of agreements, treaties and other arrangements with third countries in non-EU languages, on the other hand.

Expenditure on any work entrusted to the Translation Centre for the Bodies of the European Union is also covered by this item.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**1 2 2** ***Provisional appropriation***

Appropriations 2016	Appropriations 2015	Outturn 2014
99 000	p.m.	0,—

*Remarks*

This appropriation is intended to cover the effect of any salary adjustments made by the Council in the course of the financial year.

It is provisional and may be used only after its transfer to the appropriate headings of this chapter.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## EUROPEAN COUNCIL AND COUNCIL

CHAPTER 1 2 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 2 2 (*cont'd*)*Legal basis*

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

1 3 0 *Expenditure relating to staff management*

## 1 3 0 0 Miscellaneous expenditure on recruitment

Appropriations 2016	Appropriations 2015	Outturn 2014
173 000	167 000	165 138,—

*Remarks*

This appropriation is intended to cover:

- expenditure on organising the competitions provided for in Article 3 of Decision 2002/621/EC and travel and subsistence expenses for applicants called for interviews and medical examinations,
- the costs of organising selection procedures for temporary, auxiliary and local staff,
- the costs associated with the work of selection boards and panels, in particular costs of specialised tests used to evaluate candidates' competencies; in cases duly justified by operational requirements, and after consulting the European Personnel Selection Office, this appropriation may be used for competitions organised by the institution itself,
- cost of organising outplacement activities.

Assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).



**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 3 0** (*cont'd*)**1 3 0 1** Further training

Appropriations 2016	Appropriations 2015	Outturn 2014
1 970 000	1 950 000	1 900 980,—

*Remarks*

This appropriation is intended to cover:

— further training and retraining courses, including language courses, run on an interinstitutional basis and within the institution, and competency testing,

— officials' enrolment fees for seminars and conferences.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

Conditions of Employment of Other Servants of the European Union.

**1 3 1** ***Measures to assist the institution's staff*****1 3 1 0** Special assistance grants

Appropriations 2016	Appropriations 2015	Outturn 2014
30 000	30 000	0,—

*Remarks*

This appropriation is intended to cover measures taken to assist officials and other staff in particularly difficult situations.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 24 and 76 thereof.

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CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 3 1 (*cont'd*)

## 1 3 1 1 Social contacts between members of staff

Appropriations 2016	Appropriations 2015	Outturn 2014
117 000	117 000	109 724,—

*Remarks*

This appropriation is intended to cover costs for social contacts between members of staff.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 1 3 1 2 Supplementary aid for the disabled

Appropriations 2016	Appropriations 2015	Outturn 2014
210 000	200 000	145 000,—

*Remarks*

This appropriation is intended for the following categories of disabled persons as part of a policy to assist the disabled:

- officials in service,
- spouses of officials in service,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It enables the reimbursement of non-medical costs that are deemed necessary, result from disablement and are duly substantiated, subject to budgetary ceilings, once any national entitlements granted in the country of residence or of origin have been exhausted.

The amount of assigned revenue in accordance with Article 21 of the Financial Regulation is estimated at EUR 10 000.

## 1 3 1 3 Other welfare expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
66 000	66 000	79 600,—

*Remarks*

This appropriation is intended to cover other welfare expenditure for staff and their families.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 3 2      *Activities relating to all persons working with the institution*****1 3 2 0      Medical service**

Appropriations 2016	Appropriations 2015	Outturn 2014
450 000	517 000	418 154,—

*Remarks*

This appropriation is intended to cover in particular:

- medical expenditure related to the European Council,
- operating costs of the dispensaries, consumption, care and medicinal equipment expenses,
- expenses related to medical examinations (recruitment and annual),
- expenses to be set out in the title of the invalidity committees and specific expertise,
- expenses relating to spectacles for work on screen.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Internal Directive No 2/2010 adopted by the Secretary-General on the reimbursement of expenses relating to spectacles for work on screen.

**1 3 2 1      Restaurants and canteens**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover remuneration for the services provided by the operator of the restaurants and canteens.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**1 3 2 2      Crèches and childcare facilities**

Appropriations 2016	Appropriations 2015	Outturn 2014
2 646 000	2 593 000	2 250 000,—

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CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 3 2 (*cont'd*)1 3 2 2 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- the Council's contribution to the cost of the Early Childhood Centre and other crèches and childcare facilities (to be paid to the Commission),
- the cost of running the Council crèche.

Revenue from the parental contribution and from contributions by organisations employing parents gives rise to assigned revenue.

The amount of assigned revenue in accordance with Article 21 of the Financial Regulation is estimated at EUR 957 000.

1 3 3 **Missions**

1 3 3 1 Mission expenses of the General Secretariat of the Council

Appropriations 2016	Appropriations 2015	Outturn 2014
2 980 000	3 165 000	2 537 893,—

*Remarks*

This appropriation is intended to cover mission expenses and staff duty travel expenses of the officials of the General Secretariat of the Council, together with transport costs, payment of daily subsistence allowances on mission and ancillary or special costs connected with missions.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 11, 12 and 13 of Annex VII thereto.

1 3 3 2 Travel expenses of staff related to the European Council

Appropriations 2016	Appropriations 2015	Outturn 2014
600 000	600 000	420 044,—

**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 3 3** (*cont'd*)1 3 3 2 (*cont'd*)*Remarks*

This appropriation is intended to cover mission expenses and staff duty travel expenses of the officials of the General Secretariat of the Council for specific activities of the European Council, together with transport costs, payment of daily subsistence allowances on mission and ancillary or special costs connected with missions.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 11, 12 and 13 of Annex VII thereto.

**1 3 4*****Schooling fees for Type II European Schools***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the Council's contribution to the Type II European Schools accredited by the Board of Governors of the European Schools or the reimbursement of the contribution paid by the Commission on behalf of the Council for Type II European Schools accredited by the Board of Governors of the European Schools, in accordance with the service agreement concluded with the Commission. It covers costs relating to children of the Council staff coming under the Staff Regulations who are enrolled in such schools.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Commission Decision C(2013) 4886 of 1 August 2013 (OJ C 222, 2.8.2013, p. 8).

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## TITLE 2

## BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 2 0				
<b>2 0 0</b>	<b>Buildings</b>				
2 0 0 0	Rent				
	Non-differentiated appropriations	2 829 000	2 806 000	525 077,—	18,56
2 0 0 1	Annual lease payments				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 2	Acquisition of immovable property				
	Non-differentiated appropriations	1 000 000	1 000 000	10 000 000,—	1 000,00
2 0 0 3	Fitting-out and installation work				
	Non-differentiated appropriations	11 530 000	8 236 000	6 665 677,—	57,81
2 0 0 4	Work to make premises secure				
	Non-differentiated appropriations	1 635 000	1 740 000	327 288,—	20,02
2 0 0 5	Expenditure preliminary to the acquisition, construction and fitting-out of buildings				
	Non-differentiated appropriations	649 000	552 000	489 065,—	75,36
	<i>Article 2 0 0 — Total</i>	17 643 000	14 334 000	18 007 107,—	102,06
<b>2 0 1</b>	<b>Costs relating to buildings</b>				
2 0 1 0	Cleaning and maintenance				
	Non-differentiated appropriations	19 100 000	17 647 500	13 155 906,—	68,88
2 0 1 1	Water, gas, electricity and heating				
	Non-differentiated appropriations	4 990 000	5 316 000	3 222 509,—	64,58
2 0 1 2	Building security and surveillance				
	Non-differentiated appropriations	13 217 000	13 890 000	10 992 419,—	83,17
2 0 1 3	Insurance				
	Non-differentiated appropriations	214 000	300 000	164 920,—	77,07
2 0 1 4	Other expenditure relating to buildings				
	Non-differentiated appropriations	604 000	720 000	354 422,—	58,68
	<i>Article 2 0 1 — Total</i>	38 125 000	37 873 500	27 890 176,—	73,15
	CHAPTER 2 0 — TOTAL	55 768 000	52 207 500	45 897 283,—	82,30

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE**  
**CHAPTER 2 2 — OPERATING EXPENDITURE**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 2 1				
<b>2 1 0</b>	<b>Computer systems and telecommunications</b>				
2 1 0 0	Acquisition of equipment and software				
	Non-differentiated appropriations	9 883 000	9 248 000	7 028 169,—	71,11
2 1 0 1	External assistance for the operation and development of computer systems				
	Non-differentiated appropriations	21 053 000	20 010 000	22 445 215,—	106,61
2 1 0 2	Servicing and maintenance of equipment and software				
	Non-differentiated appropriations	7 384 000	6 005 000	5 940 920,—	80,46
2 1 0 3	Telecommunications				
	Non-differentiated appropriations	1 980 000	3 225 000	1 931 000,—	97,53
	<i>Article 2 1 0 — Total</i>	40 300 000	38 488 000	37 345 304,—	92,67
<b>2 1 1</b>	<b>Furniture</b>				
	Non-differentiated appropriations	1 016 000	2 664 000	707 802,—	69,67
<b>2 1 2</b>	<b>Technical equipment and installations</b>				
2 1 2 0	Purchase and replacement of technical equipment and installations				
	Non-differentiated appropriations	3 195 000	2 650 000	1 784 239,—	55,84
2 1 2 1	External assistance for the operation and development of technical equipment and installations				
	Non-differentiated appropriations	90 000	100 000	60 303,—	67,00
2 1 2 2	Rental, servicing, maintenance and repair of technical equipment and installations				
	Non-differentiated appropriations	749 000	651 000	490 436,—	65,48
	<i>Article 2 1 2 — Total</i>	4 034 000	3 401 000	2 334 978,—	57,88
<b>2 1 3</b>	<b>Transport</b>				
	Non-differentiated appropriations	854 000	1 868 000	1 479 282,—	173,22
	<b>CHAPTER 2 1 — TOTAL</b>	46 204 000	46 421 000	41 867 366,—	90,61
	CHAPTER 2 2				
<b>2 2 0</b>	<b>Meetings and conferences</b>				
2 2 0 0	Travel expenses of delegations				
	Non-differentiated appropriations	17 802 000	17 802 000	14 074 731,—	79,06
2 2 0 1	Miscellaneous travel expenses				
	Non-differentiated appropriations	465 000	404 000	367 853,—	79,11

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**CHAPTER 2 2 — OPERATING EXPENDITURE** (*cont'd*)

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>2 2 0</b>	<i>(cont'd)</i>				
2 2 0 2	Interpreting costs				
	Non-differentiated appropriations	82 739 000	83 900 000	64 953 834,—	78,50
2 2 0 3	Representation expenses				
	Non-differentiated appropriations	281 000	3 265 000	2 104 480,—	748,93
2 2 0 4	Miscellaneous expenditure on internal meetings				
	Non-differentiated appropriations	5 462 000	3 458 000	3 190 204,—	58,41
2 2 0 5	Organisation of conferences, congresses and meetings				
	Non-differentiated appropriations	275 000	511 000	404 286,—	147,01
	<i>Article 2 2 0 — Total</i>	107 024 000	109 340 000	85 095 388,—	79,51
<b>2 2 1</b>	<b>Information</b>				
2 2 1 0	Documentation and library expenditure				
	Non-differentiated appropriations	1 361 000	1 461 000	1 243 383,—	91,36
2 2 1 1	Official Journal				
	Non-differentiated appropriations	4 000 000	4 816 000	4 037 721,—	100,94
2 2 1 2	General publications				
	Non-differentiated appropriations	330 000	380 000	277 881,—	84,21
2 2 1 3	Information and public events				
	Non-differentiated appropriations	2 560 000	2 190 000	1 962 477,—	76,66
	<i>Article 2 2 1 — Total</i>	8 251 000	8 847 000	7 521 462,—	91,16
<b>2 2 3</b>	<b>Miscellaneous expenses</b>				
2 2 3 0	Office supplies				
	Non-differentiated appropriations	482 000	536 000	259 742,—	53,89
2 2 3 1	Postal charges				
	Non-differentiated appropriations	80 000	80 000	82 029,—	102,54
2 2 3 2	Expenditure on studies, surveys and consultations				
	Non-differentiated appropriations	45 000	45 000	19 820,—	44,04
2 2 3 3	Interinstitutional cooperation				
	Non-differentiated appropriations	p.m.	p.m.	3 455,—	
2 2 3 4	Removals				
	Non-differentiated appropriations	370 000	20 000	0,—	



## CHAPTER 2 2 — OPERATING EXPENDITURE (cont'd)

[illegible]

EUROPEAN COUNCIL AND COUNCIL

## TITLE 2

## BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

2 0 0 *Buildings*

## 2 0 0 0 Rent

Appropriations 2016	Appropriations 2015	Outturn 2014
2 829 000	2 806 000	525 077,—

*Remarks*

This appropriation is intended to cover the rents and taxes on buildings occupied by the European Council and Council and the rent for meeting rooms, a warehouse and parking spaces:

- premises occupied in Brussels,
- premises occupied in Luxembourg (Kirchberg).

The amount of assigned revenue in accordance with Article 21 of the Financial Regulation is estimated at EUR 147 000.

The requests for appropriations have been reduced by taking into account the estimated assigned revenue.

## 2 0 0 1 Annual lease payments

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the annual lease payments for buildings or parts of buildings under existing leases or leases in preparation.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 2 0 0 2 Acquisition of immovable property

Appropriations 2016	Appropriations 2015	Outturn 2014
1 000 000	1 000 000	10 000 000,—

*Remarks*

This appropriation is intended to cover the acquisition of immovable property.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 3** Fitting-out and installation work

Appropriations 2016	Appropriations 2015	Outturn 2014
11 530 000	8 236 000	6 665 677,—

*Remarks*

This appropriation is intended to cover fitting-out work, including:

- fitting-out and transformation of premises in accordance with operational requirements,
- adaptation of premises and technical installations to meet the health and safety requirements and standards in force.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 0 0 4** Work to make premises secure

Appropriations 2016	Appropriations 2015	Outturn 2014
1 635 000	1 740 000	327 288,—

*Remarks*

This appropriation is intended to cover work on the fitting-out of buildings for the purposes of the physical and material security of persons and property.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 0 0 5** Expenditure preliminary to the acquisition, construction and fitting-out of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
649 000	552 000	489 065,—

*Remarks*

This appropriation is intended to cover, inter alia, experts' contributions to the studies on adapting and extending the institution's buildings.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

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CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS (*cont'd*)**2 0 1** *Costs relating to buildings***2 0 1 0** Cleaning and maintenance

Appropriations 2016	Appropriations 2015	Outturn 2014
19 100 000	17 647 500	13 155 906,—

*Remarks*

This appropriation is intended to cover the following cleaning and maintenance costs:

- the cleaning of building spaces,
- miscellaneous maintenance and repair,
- technical supplies,
- contracts for the maintenance of miscellaneous technical equipment (air conditioning, heating, waste disposal, lifts, electrical and security installations),
- maintenance of gardens and plants.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 0 1 1** Water, gas, electricity and heating

Appropriations 2016	Appropriations 2015	Outturn 2014
4 990 000	5 316 000	3 222 509,—

*Remarks*

This appropriation is intended to cover water, gas, electricity and heating costs.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 0 1 2** Building security and surveillance

Appropriations 2016	Appropriations 2015	Outturn 2014
13 217 000	13 890 000	10 992 419,—

*Remarks*

This appropriation is intended to cover essentially the costs of caretaking and surveillance in respect of buildings occupied by the European Council and Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 1** (*cont'd*)**2 0 1 3** Insurance

Appropriations 2016	Appropriations 2015	Outturn 2014
214 000	300 000	164 920,—

*Remarks*

This appropriation is intended to cover the premiums on contracts concluded with insurance companies for the buildings occupied by the European Council and Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 0 1 4** Other expenditure relating to buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
604 000	720 000	354 422,—

*Remarks*

This appropriation is intended to cover any other current expenditure relating to buildings not provided for in the other articles of this chapter, in particular the costs of waste removal, signage, surveys by specialised bodies, etc.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE****2 1 0** *Computer systems and telecommunications***2 1 0 0** Acquisition of equipment and software

Appropriations 2016	Appropriations 2015	Outturn 2014
9 883 000	9 248 000	7 028 169,—

*Remarks*

This appropriation is intended to cover expenditure relating to the purchase or rental of equipment or software for computer systems and applications.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 1 0 1** External assistance for the operation and development of computer systems

Appropriations 2016	Appropriations 2015	Outturn 2014
21 053 000	20 010 000	22 445 215,—

## EUROPEAN COUNCIL AND COUNCIL

CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE (*cont'd*)2 1 0 (*cont'd*)2 1 0 1 (*cont'd*)*Remarks*

This appropriation is intended to cover the cost of assistance and training provided by computer services and consultancy firms for the operation and development of computer systems and applications, including support for users.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 1 0 2 Servicing and maintenance of equipment and software

Appropriations 2016	Appropriations 2015	Outturn 2014
7 384 000	6 005 000	5 940 920,—

*Remarks*

This appropriation is intended to cover expenditure relating to the servicing and maintenance of computer equipment and systems and applications software.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 1 0 3 Telecommunications

Appropriations 2016	Appropriations 2015	Outturn 2014
1 980 000	3 225 000	1 931 000,—

*Remarks*

This appropriation is intended to cover subscriptions and the price of communications and data-transmission costs.

In drawing up these estimates, account was taken of the reusable value when recovering the costs of telephone communications.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 1 1 **Furniture**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 016 000	2 664 000	707 802,—

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE** (*cont'd*)**2 1 1** (*cont'd*)*Remarks*

This appropriation is intended to cover:

- purchase of furniture and specialised furniture,
- replacement of some of the furniture which was purchased at least 15 years ago or cannot be reused,
- rental of furniture,
- maintenance and repair of furniture.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 1 2** ***Technical equipment and installations*****2 1 2 0** Purchase and replacement of technical equipment and installations

Appropriations 2016	Appropriations 2015	Outturn 2014
3 195 000	2 650 000	1 784 239,—

*Remarks*

This appropriation is intended to cover the purchase or replacement of miscellaneous fixed and mobile technical equipment and installations, particularly in connection with archiving, the purchasing department, security, conferences, canteens and buildings.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 1 2 1** External assistance for the operation and development of technical equipment and installations

Appropriations 2016	Appropriations 2015	Outturn 2014
90 000	100 000	60 303,—

*Remarks*

This appropriation is intended to cover expenditure on technical assistance and supervision, particularly in connection with conferences and canteens.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## EUROPEAN COUNCIL AND COUNCIL

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE** (*cont'd*)**2 1 2** (*cont'd*)**2 1 2 2** Rental, servicing, maintenance and repair of technical equipment and installations

Appropriations 2016	Appropriations 2015	Outturn 2014
749 000	651 000	490 436,—

*Remarks*

This appropriation is intended to cover the rental of technical equipment and installations and the cost of servicing, maintaining and repairing such technical equipment and installations.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 1 3** **Transport**

Appropriations 2016	Appropriations 2015	Outturn 2014
854 000	1 868 000	1 479 282,—

*Remarks*

This appropriation is intended to cover, inter alia:

- the acquisition, leasing and replacement of the vehicle fleet,
- the cost of hiring cars,
- the cost of maintenance and repair of service cars (purchase of petrol, tyres, etc.),
- the cost of the mobility policy adopted by the General Secretariat of the Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**CHAPTER 2 2 — OPERATING EXPENDITURE****2 2 0** **Meetings and conferences****2 2 0 0** Travel expenses of delegations

Appropriations 2016	Appropriations 2015	Outturn 2014
17 802 000	17 802 000	14 074 731,—



**CHAPTER 2 2 — OPERATING EXPENDITURE** (*cont'd*)**2 2 0** (*cont'd*)**2 2 0 0** (*cont'd*)*Remarks*

This appropriation is intended to cover travel expenses of delegates of Member States in accordance with Decision 30/2013 of the Secretary-General of the Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Decision No 30/2013 of the Secretary-General of the Council concerning reimbursement of travel expenses of delegates of Member States.

**2 2 0 1** Miscellaneous travel expenses

Appropriations 2016	Appropriations 2015	Outturn 2014
465 000	404 000	367 853,—

*Remarks*

This appropriation is intended to cover travel and subsistence allowances for experts invited to meetings or sent on mission by the Secretary-General of the Council or by the President of the European Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

*Legal basis*

Decision No 21/2009 of the Deputy Secretary-General of the Council of the European Union on the reimbursement of mission expenses of persons other than staff members of the Council of the European Union.

**2 2 0 2** Interpreting costs

Appropriations 2016	Appropriations 2015	Outturn 2014
82 739 000	83 900 000	64 953 834,—

*Remarks*

This appropriation is intended to cover interpretation expenditure in accordance with Decision No 111/2007 of the Secretary-General of the Council.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## EUROPEAN COUNCIL AND COUNCIL

CHAPTER 2 2 — OPERATING EXPENDITURE (*cont'd*)2 2 0 (*cont'd*)2 2 0 2 (*cont'd*)*Legal basis*

Decision No 111/2007 of the Secretary-General of the Council concerning interpreting for the European Council, the Council and its preparatory bodies.

2 2 0 3 Representation expenses

Appropriations 2016	Appropriations 2015	Outturn 2014
281 000	3 265 000	2 104 480,—

*Remarks*

This appropriation is intended to cover expenditure relating to the obligations incumbent upon the institution in the form of representation and miscellaneous expenses other than catering.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 0 4 Miscellaneous expenditure on internal meetings

Appropriations 2016	Appropriations 2015	Outturn 2014
5 462 000	3 458 000	3 190 204,—

*Remarks*

This appropriation is intended to cover expenditure relating to the obligations incumbent upon the institution in the form of catering (e.g. meals, drinks, snacks), including goods and services that could be associated with the catering contracts (e.g. laundry services, acquisition of table clothing and small acquisitions).

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 0 5 Organisation of conferences, congresses and meetings

Appropriations 2016	Appropriations 2015	Outturn 2014
275 000	511 000	404 286,—

*Remarks*

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

CHAPTER 2 2 — OPERATING EXPENDITURE (*cont'd*)2 2 1 **Information**

## 2 2 1 0 Documentation and library expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
1 361 000	1 461 000	1 243 383,—

*Remarks*

This appropriation is intended to cover:

- the purchase of books and other works in hard copy and/or in electronic form for the library,
- subscriptions to newspapers, periodicals, services supplying analyses of such publications' content and other online publications (with the exception of press agencies); this appropriation also covers any copyright costs arising out of the reproduction and circulation of such publications in hard copy and/or electronically,
- the costs of access to external documentary and statistical databases,
- the cost of subscriptions to teleprinter news agencies,
- bookbinding and other costs essential for preserving books and periodicals.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 2 2 1 1 Official Journal

Appropriations 2016	Appropriations 2015	Outturn 2014
4 000 000	4 816 000	4 037 721,—

*Remarks*

This appropriation is intended to cover the costs of preparing, publishing and disseminating the texts which the Council is required to publish in the *Official Journal of the European Union* pursuant to Article 297 of the Treaty on the Functioning of the European Union and the entry into force of legal acts of the Union.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

## 2 2 1 2 General publications

Appropriations 2016	Appropriations 2015	Outturn 2014
330 000	380 000	277 881,—

## EUROPEAN COUNCIL AND COUNCIL

CHAPTER 2 2 — OPERATING EXPENDITURE (*cont'd*)2 2 1 (*cont'd*)2 2 1 2 (*cont'd*)*Remarks*

This appropriation is intended to cover the costs of preparing, publishing in the official languages of the Member States of the European Union, by traditional means (paper or microfilm) or electronic methods, and disseminating European Council and Council publications other than those published in the *Official Journal of the European Union*.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 1 3 Information and public events

Appropriations 2016	Appropriations 2015	Outturn 2014
2 560 000	2 190 000	1 962 477,—

*Remarks*

This appropriation is intended to cover:

- expenditure arising, inter alia, from public meetings of the Council and assistance for audiovisual media covering the work of the institution (renting of equipment and radio/TV service contracts, purchase, maintenance and repair of the equipment necessary for radio/TV transmissions, external photo services, etc.),
- the cost of miscellaneous information and public relations activities,
- expenditure on publicity and the promotion of publications and public events relating to the institution's activities, including ancillary management and infrastructure costs.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 3 **Miscellaneous expenses**

2 2 3 0 Office supplies

Appropriations 2016	Appropriations 2015	Outturn 2014
482 000	536 000	259 742,—

*Remarks*

This appropriation is intended to cover:

- purchase of paper,
- photocopies and fees,

**CHAPTER 2 2 — OPERATING EXPENDITURE** (*cont'd*)**2 2 3** (*cont'd*)**2 2 3 0** (*cont'd*)

- specific paper and office supplies (day-to-day supplies),
- printed material,
- supplies for dispatching mail (envelopes, wrapping paper, plates for the franking machine, stampers, frames),
- supplies for the document reproduction workshop (printer inks, offset plates, films and chemicals for preparing plates).

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 2 3 1** Postal charges

Appropriations 2016	Appropriations 2015	Outturn 2014
80 000	80 000	82 029,—

*Remarks*

This appropriation is intended to cover expenditure on postal charges.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 2 3 2** Expenditure on studies, surveys and consultations

Appropriations 2016	Appropriations 2015	Outturn 2014
45 000	45 000	19 820,—

*Remarks*

This appropriation is intended to cover the cost of studies and consultations contracted out to highly qualified experts.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 2 3 3** Interinstitutional cooperation

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	3 455,—

## EUROPEAN COUNCIL AND COUNCIL

CHAPTER 2 2 — OPERATING EXPENDITURE (*cont'd*)2 2 3 (*cont'd*)2 2 3 3 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on interinstitutional activities.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 3 4 Removals

Appropriations 2016	Appropriations 2015	Outturn 2014
370 000	20 000	0,—

*Remarks*

This appropriation is intended to cover the cost of the removal and transport of equipment.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 3 5 Financial charges

Appropriations 2016	Appropriations 2015	Outturn 2014
10 000	10 000	5 000,—

*Remarks*

This appropriation is intended to cover all financial charges, including bank charges.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

2 2 3 6 Legal expenses and costs, damages and compensation

Appropriations 2016	Appropriations 2015	Outturn 2014
1 250 000	1 250 000	1 450 000,—

*Remarks*

This appropriation is intended to cover:

— costs which may be awarded against the Council by any of the three courts comprising the Court of Justice of the European Union (i.e. the Court of Justice, the General Court and the Civil Service Tribunal),

**CHAPTER 2 2 — OPERATING EXPENDITURE** (*cont'd*)**2 2 3** (*cont'd*)**2 2 3 6** (*cont'd*)

— fees charged by outside lawyers for representing the Council in court or for advising the Council in administrative and contractual matters,

— damages and compensation for which the Council may be liable.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 2 3 7** Other operating expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
268 000	267 000	143 403,—

*Remarks*

This appropriation is intended to cover:

- insurance other than insurance on buildings, which is charged to Item 2 0 1 3,
- cost of purchasing working clothes for staff in accordance with the rules adopted by DGA, working equipment for workshop staff and internal services staff and the repair and maintenance of working clothes,
- the Council contribution towards the expenditure of some associations whose activities are directly related to those of the institutions of the Union,
- other operating expenditure not specially provided for under the preceding headings (flags, miscellaneous services).

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

EUROPEAN COUNCIL AND COUNCIL

**TITLE 10**  
**OTHER EXPENDITURE**

**CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS****CHAPTER 10 1 — CONTINGENCY RESERVE**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 10 0	p.m.	p.m.	0,—	
	CHAPTER 10 0 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 10 1	2 000 000	1 000 000	0,—	
	CHAPTER 10 1 — TOTAL	2 000 000	1 000 000	0,—	
	<b>Title 10 — Total</b>	<b>2 000 000</b>	<b>1 000 000</b>	<b>0,—</b>	
	<b>GRAND TOTAL</b>	<b>545 054 000</b>	<b>541 791 500</b>	<b>484 574 968,—</b>	<b>88,90</b>



**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

The appropriations entered in this chapter are provisional and may be used only after their transfer to other chapters in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 10 1 — CONTINGENCY RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
2 000 000	1 000 000	0,—

*Remarks*

This appropriation is intended to cover expenditure resulting from budgetary decisions taken in the course of the financial year (expenditure that cannot be estimated).

## EUROPEAN COUNCIL AND COUNCIL

**STAFF**  
**European Council and Council**

Function group and grade	2015		
	Permanent posts	Temporary posts	
		President of the European Council	Others
Non-category	1	—	—
AD 16	8	1	—
AD 15	33 <sup>(1)</sup>	1	—
AD 14	165 <sup>(2)</sup>	2	1
AD 13	151	3	—
AD 12	139	2	2
AD 11	89	—	—
AD 10	90	3	—
AD 9	128	1	—
AD 8	176	—	—
AD 7	166	1	—
AD 6	125	3	—
AD 5	130	—	—
AD total	1 400	17	3
AST 11	30	—	—
AST 10	23	1	—
AST 9	96	—	—
AST 8	191	3	—
AST 7	170	—	—
AST 6	167	3	—
AST 5	205	4	—
AST 4	238	1	—
AST 3	257	3	—
AST 2	136	1	—
AST 1	67	—	—
AST total	1 580	16	—
AST/SC 6	—	—	—
AST/SC 5	—	—	—
AST/SC 4	—	—	—
AST/SC 3	—	—	—
AST/SC 2	—	—	—
AST/SC 1	55	—	—
AST/SC total	55	—	—
<b>Total</b>	<b>3 036</b>	<b>33</b>	<b>3</b>
<b>Grand total</b>	<b>3 072</b>		

<sup>(1)</sup> Of which four AD 16 *ad personam*.  
<sup>(2)</sup> Of which seven AD 15 *ad personam*.

## EUROPEAN COUNCIL AND COUNCIL

Function group and grade	2016		
	Permanent posts	Temporary posts	
		President of the European Council	Others
Non-category	1	—	—
AD 16	8	1	—
AD 15	33 <sup>(1)</sup>	1	—
AD 14	152 <sup>(2)</sup>	2	1
AD 13	133	3	—
AD 12	160	2	2
AD 11	78	1	—
AD 10	97	5	—
AD 9	148	1	—
AD 8	192	—	—
AD 7	151	1	—
AD 6	139	3	—
AD 5	119	—	—
AD total	1 410	20	3
AST 11	18	—	—
AST 10	22	—	—
AST 9	119	2	—
AST 8	182	1	—
AST 7	170	—	—
AST 6	161	3	—
AST 5	205	3	—
AST 4	220	1	—
AST 3	228	2	—
AST 2	131	1	—
AST 1	47	—	—
AST total	1 503	13	—
AST/SC 6	—	—	—
AST/SC 5	—	—	—
AST/SC 4	—	—	—
AST/SC 3	—	—	—
AST/SC 2	—	—	—
AST/SC 1	90	—	—
AST/SC total	90	—	—
<b>Total</b>	<b>3 004</b>	<b>33</b>	<b>3</b>
<b>Grand total</b>	<b>3 040</b>		

(<sup>1</sup>) Of which four AD 16 *ad personam*.  
(<sup>2</sup>) Of which seven AD 15 *ad personam*.

*SECTION III*

**COMMISSION**

**REVENUE**

COMMISSION

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

## CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 4 0				
4 0 0	<i>Proceeds from the tax on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension</i>	551 045 378	519 310 407	515 061 234,89	93,47
4 0 3	<i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>	p.m.	p.m.	358 763,32	
4 0 4	<i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>	54 463 584	53 683 717	75 484 787,53	138,60
	CHAPTER 4 0 — TOTAL	605 508 962	572 994 124	590 904 785,74	97,59
	CHAPTER 4 1				
4 1 0	<i>Staff contributions to the pension scheme</i>	292 000 614	282 537 089	228 635 783,30	78,30
4 1 1	<i>Transfer or purchase of pension rights by staff</i>	91 492 938	94 796 621	90 058 008,66	98,43
4 1 2	<i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>	100 000	100 000	70 469,59	70,47
	CHAPTER 4 1 — TOTAL	383 593 552	377 433 710	318 764 261,55	83,10
	CHAPTER 4 2				
4 2 0	<i>Employer's contribution by decentralised agencies and international organisations to the pension scheme</i>	21 623 969	21 738 484	17 570 830,30	81,26
	CHAPTER 4 2 — TOTAL	21 623 969	21 738 484	17 570 830,30	81,26
	Title 4 — Total	1 010 726 483	972 166 318	927 239 877,59	91,74

**TITLE 4****REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES****CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS****4 0 0** *Proceeds from the tax on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

Financial year 2016	Financial year 2015	Financial year 2014
551 045 378	519 310 407	515 061 234,89

*Remarks*

This revenue represents all the tax levied on salaries, wages and emoluments of every type, with the exception of benefits and family allowances paid to Members of the Commission, officials, other servants and persons in receipt of the compensation payments on termination of employment referred to in Chapter 01 of each title of the statement of expenditure and to persons in receipt of a pension.

The estimated revenue also includes the amounts for the European Investment Bank, the European Central Bank and the European Investment Fund.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of Employment of Staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	358 763,32

## COMMISSION

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS (*cont'd*)4 0 3 (*cont'd*)*Remarks*

The provisions concerning the temporary contribution applied until 30 June 2003. Therefore this article will cover any revenue resulting from the residual amount of the temporary contribution from the salaries of Members of the Commission, officials and other servants in active employment.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof in the version in force until 15 December 2003.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

**4 0 4 *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment***

Financial year 2016	Financial year 2015	Financial year 2014
54 463 584	53 683 717	75 484 787,53

*Remarks*

This article is intended to record the proceeds from the special levy and from the solidarity levy on the salaries of officials and other servants in active employment in accordance with Article 66a of the Staff Regulations.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).



**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME****4 1 0      *Staff contributions to the pension scheme***

Financial year 2016	Financial year 2015	Financial year 2014
292 000 614	282 537 089	228 635 783,30

*Remarks*

This revenue represents staff contributions to the financing of the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of Employment of Staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

**4 1 1      *Transfer or purchase of pension rights by staff***

Financial year 2016	Financial year 2015	Financial year 2014
91 492 938	94 796 621	90 058 008,66

*Remarks*

This revenue represents the payment to the Union of the actuarial equivalent or the flat-rate redemption value of pension rights acquired by officials in their previous jobs.

*Legal basis*

Staff Regulations of Officials of the European Union.

**4 1 2      *Contributions to the pension scheme by officials and temporary staff on leave on personal grounds***

Financial year 2016	Financial year 2015	Financial year 2014
100 000	100 000	70 469,59

*Remarks*

Officials and other servants taking leave on personal grounds may continue to acquire pension rights provided that they also bear the cost of the employer's contribution.

COMMISSION

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (*cont'd*)**4 1 2** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME****4 2 0** *Employer's contribution by decentralised agencies and international organisations to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
21 623 969	21 738 484	17 570 830,30

*Remarks*

This revenue represents the employer's contribution by decentralised agencies and international organisations to the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 0				
<b>5 0 0</b>	<b><i>Proceeds from the sale of movable property (supply of goods)</i></b>				
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.	16 070,—	
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue	p.m.	p.m.	80 563,23	
5 0 0 2	Proceeds from the supply of goods to other institutions or bodies — Assigned revenue	p.m.	p.m.	661 233,62	
	Article 5 0 0 — Total	p.m.	p.m.	757 866,85	
<b>5 0 1</b>	<b><i>Proceeds from the sale of immovable property</i></b>	p.m.	p.m.	0,—	
<b>5 0 2</b>	<b><i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i></b>	p.m.	p.m.	220 985,04	
	CHAPTER 5 0 — TOTAL	p.m.	p.m.	978 851,89	
	CHAPTER 5 1				
<b>5 1 0</b>	<b><i>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</i></b>	p.m.	p.m.	649,34	
<b>5 1 1</b>	<b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>				
5 1 1 0	Proceeds from letting and subletting immovable property — Assigned revenue	p.m.	p.m.	11 461 316,16	
5 1 1 1	Reimbursement of charges connected with lettings — Assigned revenue	p.m.	p.m.	2 279 119,67	
	Article 5 1 1 — Total	p.m.	p.m.	13 740 435,83	
	CHAPTER 5 1 — TOTAL	p.m.	p.m.	13 741 085,17	
	CHAPTER 5 2				
<b>5 2 0</b>	<b><i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i></b>	p.m.	p.m.	1 242 137,25	
<b>5 2 1</b>	<b><i>Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission</i></b>	10 000 000	50 000 000	15 550 692,95	155,51
<b>5 2 2</b>	<b><i>Interest yielded by pre-financing</i></b>	40 000 000	40 000 000	18 567 546,46	46,42
<b>5 2 3</b>	<b><i>Revenue generated on trust accounts — Assigned revenue</i></b>	p.m.	p.m.	123 434,10	
	CHAPTER 5 2 — TOTAL	50 000 000	90 000 000	35 483 810,76	70,97

## COMMISSION

**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK****CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 5				
5 5 0	<i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i>	p.m.	p.m.	28 106 531,21	
5 5 1	<i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i>	p.m.	p.m.	3 270 929,37	
	CHAPTER 5 5 — TOTAL	p.m.	p.m.	31 377 460,58	
	CHAPTER 5 7				
5 7 0	<i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>	p.m.	p.m.	7 597 218,18	
5 7 1	<i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>	p.m.	p.m.	0,—	
5 7 2	<i>Repayment of welfare expenditure incurred on behalf of another institution</i>	p.m.	p.m.	0,—	
5 7 3	<i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>	p.m.	p.m.	159 983 344,34	
	CHAPTER 5 7 — TOTAL	p.m.	p.m.	167 580 562,52	
	CHAPTER 5 8				
5 8 0	<i>Revenue from payments connected with lettings — Assigned revenue</i>	p.m.	p.m.	0,—	
5 8 1	<i>Revenue from insurance payments received — Assigned revenue</i>	p.m.	p.m.	31 318,13	
	CHAPTER 5 8 — TOTAL	p.m.	p.m.	31 318,13	
	CHAPTER 5 9				
5 9 0	<i>Other revenue from administrative operations</i>	5 000 000	4 000 000	6 556 038,20	131,12
	CHAPTER 5 9 — TOTAL	5 000 000	4 000 000	6 556 038,20	131,12
	Title 5 — Total	55 000 000	94 000 000	255 749 127,25	465,00

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	16 070,—

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution. It records also the proceeds from the sale of vehicles that are being replaced or scrapped when the book value is fully depreciated.

In accordance with Article 21(3)(a) and (b) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	80 563,23

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution. It records also the proceeds from the sale of equipment, installations, materials, and scientific and technical apparatus which are being replaced or scrapped when the book value is fully depreciated.

In accordance with Article 21(3)(a) and (b) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	661 233,62

*Remarks*

In accordance with Article 21(3)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## COMMISSION

CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY (*cont'd*)**5 0 1      *Proceeds from the sale of immovable property***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record revenue from the sale of immovable property belonging to the institution.

**5 0 2      *Proceeds from the sale of publications, printed works and films — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	220 985,04

*Remarks*

In accordance with Article 21(3)(h) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article also contains revenue from the sale of such products on an electronic medium.

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

**5 1 0      *Proceeds from the hiring-out of furniture and equipment — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	649,34

*Remarks*

In accordance with Article 21(3)(a) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1      *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*****5 1 1 0      *Proceeds from letting and subletting immovable property — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	11 461 316,16

*Remarks*

In accordance with Article 21(3)(a) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING** (*cont'd*)**5 1 1** (*cont'd*)**5 1 1 1** Reimbursement of charges connected with lettings — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	2 279 119,67

*Remarks*

In accordance with Article 21(3)(a) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 242 137,25

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest credited or debited on the institutions' accounts.

**5 2 1** *Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission*

Financial year 2016	Financial year 2015	Financial year 2014
10 000 000	50 000 000	15 550 692,95

*Remarks*

This article is intended to record the revenue accruing from the repayment of interest by the subsidised organisations which have placed advances received from the Commission on interest-bearing accounts. If they remain unused, these advances and the interest on them have to be repaid to the Commission.

**5 2 2** *Interest yielded by pre-financing*

Financial year 2016	Financial year 2015	Financial year 2014
40 000 000	40 000 000	18 567 546,46

*Remarks*

This article is intended to record revenue from the interest yielded by pre-financing.

## COMMISSION

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST (*cont'd*)5 2 2 (*cont'd*)

In accordance with Article 21(3)(d) of the Financial Regulation, this revenue may be used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Interest generated by pre-financing payments made from the budget shall not be due to the Union except as otherwise provided for in the delegation agreements, with the exception of those agreements concluded with third countries or the bodies they have designated. In cases in which it is provided for, such interest shall either be reused for the corresponding action, deducted from payment requests in accordance with point (c) of the first subparagraph of Article 23(1) of the Financial Regulation or recovered.

Delegated Regulation (EU) No 1268/2012 also lays down provisions regarding the accounting of interest yielded on pre-financing.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 8(4) and Article 21(3)(d) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

5 2 3 **Revenue generated on trust accounts — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	123 434,10

*Remarks*

This article is intended to record interest and other revenue generated on trust accounts.

The trust accounts are kept on behalf of the Union by international financial institutions (European Investment Fund, European Investment Bank, Council of Europe Development Bank/Kreditanstalt für Wiederaufbau, European Bank for Reconstruction and Development) managing Union programmes and the amounts paid in by the Union remain on the account until they are made available to the beneficiaries under the single programme, such as small and medium-sized enterprises or institutions managing projects in acceding countries.

In accordance with Article 21(4) of the Financial Regulation, interest generated by trust accounts used for Union programmes is used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(4) thereof.



**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST** (*cont'd*)**5 2 3** (*cont'd*)

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

**CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK****5 5 0** *Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	28 106 531,21

*Remarks*

In accordance with Article 21(3)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1** *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	3 270 929,37

*Remarks*

In accordance with Article 21(3)(a) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****5 7 0** *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	7 597 218,18

*Remarks*

In accordance with Article 21(3)(c) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## COMMISSION

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (*cont'd*)**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(2)(d) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 2** *Repayment of welfare expenditure incurred on behalf of another institution*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record revenue from the repayment of welfare expenditure incurred on behalf of another institution.

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	159 983 344,34

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****5 8 0** *Revenue from payments connected with lettings — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(3)(g) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION** (*cont'd*)**5 8 1** *Revenue from insurance payments received — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	31 318,13

*Remarks*

In accordance with Article 21(3)(f) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS****5 9 0** *Other revenue from administrative operations*

Financial year 2016	Financial year 2015	Financial year 2014
5 000 000	4 000 000	6 556 038,20

*Remarks*

This article is intended to record other revenue from administrative operations.

COMMISSION

## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 6 0				
<b>6 0 1</b>	<b>Miscellaneous research programmes</b>				
6 0 1 1	Switzerland-Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue	p.m.	p.m.	0,—	
6 0 1 2	European fusion development agreements (EFDA) — Assigned revenue	p.m.	p.m.	1 916 000,—	
6 0 1 3	Cooperation agreements with third countries under Union research programmes — Assigned revenue	p.m.	p.m.	188 328 679,52	
6 0 1 5	Cooperation agreements with institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue	p.m.	p.m.	0,—	
6 0 1 6	Agreements for European cooperation in the field of scientific and technical research — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 0 1 — Total</i>	p.m.	p.m.	190 244 679,52	
<b>6 0 2</b>	<b>Other programmes</b>				
6 0 2 1	Miscellaneous revenue relating to humanitarian aid — Assigned revenue	p.m.	p.m.	49 927 571,36	
	<i>Article 6 0 2 — Total</i>	p.m.	p.m.	49 927 571,36	
<b>6 0 3</b>	<b>Association agreements between the Union and third countries</b>				
6 0 3 1	Revenue accruing from the participation of the candidate countries and the Western Balkan potential candidates in Union programmes — Assigned revenue	p.m.	p.m.	200 597 560,18	
6 0 3 2	Revenue accruing from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements — Assigned revenue	p.m.	p.m.	670 748,—	
6 0 3 3	Participation of third countries or outside bodies in Union activities — Assigned revenue	p.m.	p.m.	135 583 121,90	
	<i>Article 6 0 3 — Total</i>	p.m.	p.m.	336 851 430,08	
	CHAPTER 6 0 — TOTAL	p.m.	p.m.	577 023 680,96	

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 6 1				
<b>6 1 1</b>	<b><i>Repayment of expenditure incurred on behalf of one or more Member States</i></b>				
6 1 1 3	Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue	p.m.	p.m.	53 081 071,04	
6 1 1 4	Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel	p.m.	p.m.	0,—	
	<i>Article 6 1 1 — Total</i>	p.m.	p.m.	53 081 071,04	
<b>6 1 2</b>	<b><i>Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue</i></b>	p.m.	p.m.	1 937,74	
<b>6 1 4</b>	<b><i>Repayment of Union support to commercially successful projects and activities</i></b>				
6 1 4 3	Repayment of Union support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue	p.m.	p.m.	0,—	
6 1 4 4	Repayment of Union support to risk sharing instruments financed from the European Regional Development Fund and the Cohesion Fund — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 1 4 — Total</i>	p.m.	p.m.	0,—	
<b>6 1 5</b>	<b><i>Repayment of unused Union aid</i></b>				
6 1 5 0	Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, European Union Solidarity Fund, ISPA, IPA, EFF, FEAD, EMFF and European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue	p.m.	p.m.	67 212 656,57	
6 1 5 1	Repayment of unused subsidies for balancing budgets — Assigned revenue	p.m.	p.m.	0,—	
6 1 5 2	Repayment of unused interest subsidies — Assigned revenue	p.m.	p.m.	0,—	
6 1 5 3	Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue	p.m.	p.m.	0,—	
6 1 5 7	Repayments of payments on account under the Structural Funds, the Cohesion Fund, the European Fisheries Fund, the Fund for European Aid to the Most Deprived, the European Maritime and Fisheries Fund and the European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue	p.m.	p.m.	0,30	
6 1 5 8	Repayment of miscellaneous unused Union aid — Assigned revenue	p.m.	p.m.	582 627,32	
	<i>Article 6 1 5 — Total</i>	p.m.	p.m.	67 795 284,19	

## COMMISSION

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)  
**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>6 1 6</b>	<b><i>Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue</i></b>	p.m.	p.m.	0,—	
<b>6 1 7</b>	<b><i>Repayment of amounts paid in connection with Union aid to third countries</i></b>				
6 1 7 0	Repayments within the framework of cooperation with South Africa — Assigned revenue	p.m.	p.m.	1 754,84	
	Article 6 1 7 — Total	p.m.	p.m.	1 754,84	
<b>6 1 8</b>	<b><i>Repayment of amounts paid in connection with food aid</i></b>				
6 1 8 0	Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue	p.m.	p.m.	15 691,39	
6 1 8 1	Repayment of additional costs caused by the recipients of food aid — Assigned revenue	p.m.	p.m.	10 000,—	
	Article 6 1 8 — Total	p.m.	p.m.	25 691,39	
<b>6 1 9</b>	<b><i>Repayment of other expenditure incurred on behalf of outside bodies</i></b>				
6 1 9 1	Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 77/270/Euratom — Assigned revenue	p.m.	p.m.	84 113,—	
	Article 6 1 9 — Total	p.m.	p.m.	84 113,—	
	CHAPTER 6 1 — TOTAL	p.m.	p.m.	120 989 852,20	
	CHAPTER 6 2				
<b>6 2 0</b>	<b><i>Supply against payment of source materials or special fissile materials (Article 6(b) of the Euratom Treaty) — Assigned revenue</i></b>	p.m.	p.m.	0,—	
<b>6 2 2</b>	<b><i>Revenue from services provided by the Joint Research Centre to outside bodies against payment</i></b>				
6 2 2 1	Revenue from the operation of the high-flux reactor (HFR) to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	7 994 736,64	
6 2 2 3	Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	8 111 453,33	
6 2 2 4	Revenue from licences granted by the Commission on inventions resulting from Union research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue	p.m.	p.m.	268 933,15	

**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT** (*cont'd*)**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>6 2 2</b>	( <i>cont'd</i> )				
6 2 2 5	Other revenue for the Joint Research Centre — Assigned revenue	p.m.	p.m.	0,—	
6 2 2 6	Revenue from services provided by the Joint Research Centre to other services of the Commission on a competitive basis, to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	61 421 661,54	
	<i>Article 6 2 2 — Total</i>	p.m.	p.m.	77 796 784,66	
<b>6 2 4</b>	<b><i>Revenue from licences granted by the Commission on inventions resulting from Union research, irrespective of whether they can be patented (indirect action) — Assigned revenue</i></b>	p.m.	p.m.	0,—	
	<b>CHAPTER 6 2 — TOTAL</b>	p.m.	p.m.	77 796 784,66	
	<b>CHAPTER 6 3</b>				
<b>6 3 0</b>	<b><i>Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue</i></b>	p.m.	p.m.	286 014 835,—	
<b>6 3 1</b>	<b><i>Contributions within the framework of the Schengen acquis</i></b>				
6 3 1 2	Contributions for the development, establishment, operation and use of large-scale information systems under the agreements concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue	p.m.	p.m.	2 620 721,15	
6 3 1 3	Other contributions in connection with the Schengen acquis (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue	p.m.	p.m.	31 993,89	
	<i>Article 6 3 1 — Total</i>	p.m.	p.m.	2 652 715,04	
<b>6 3 2</b>	<b><i>Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue</i></b>	p.m.	p.m.	86 415 429,65	
<b>6 3 3</b>	<b><i>Contributions to certain external aid programmes</i></b>				
6 3 3 0	Contributions from Member States, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	11 557 494,80	
6 3 3 1	Contributions from third countries, including their public agencies, entities or natural persons to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	0,—	

## COMMISSION

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**CHAPTER 6 5 — FINANCIAL CORRECTIONS****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>6 3 3</b>	( <i>cont'd</i> )				
6 3 3 2	Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 3 3 — Total</i>	p.m.	p.m.	11 557 494,80	
<b>6 3 4</b>	<b>Contributions from trust funds and financial instruments — Assigned revenue</b>				
6 3 4 0	Contributions from trust funds to the management costs of the Commission — Assigned revenue	p.m.	p.m.	0,—	
6 3 4 1	Contributions from financial instruments — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 6 3 4 — Total</i>	p.m.	p.m.	0,—	
	<b>CHAPTER 6 3 — TOTAL</b>	p.m.	p.m.	386 640 474,49	
	<b>CHAPTER 6 5</b>				
<b>6 5 0</b>	<b>Financial corrections prior to 2015 in connection with the ERDF, the ESF, the EAGGF-Guidance, the FIGE, the Cohesion Fund, the EFF, the EMFF, Sapard and IPA — Assigned revenue</b>	—	—	118 383 863,98	
<b>6 5 1</b>	<b>Financial corrections related to the programming periods before 2000</b>	p.m.	p.m.		
<b>6 5 2</b>	<b>Financial corrections related to the programming period 2000-2006 — Assigned revenue</b>	p.m.	p.m.		
<b>6 5 3</b>	<b>Financial corrections related to the programming period 2007-2013 — Assigned revenue</b>	p.m.	p.m.		
<b>6 5 4</b>	<b>Financial corrections related to the programming period 2014-2020 — Assigned revenue</b>	p.m.	p.m.		
	<b>CHAPTER 6 5 — TOTAL</b>	p.m.	p.m.	118 383 863,98	
	<b>CHAPTER 6 6</b>				
<b>6 6 0</b>	<b>Other contributions and refunds</b>				
6 6 0 0	Other assigned contributions and refunds — Assigned revenue	p.m.	p.m.	839 804 777,25	
6 6 0 1	Other non-assigned contributions and refunds	60 000 000	60 000 000	8 666 423,81	14,44
	<i>Article 6 6 0 — Total</i>	60 000 000	60 000 000	848 471 201,06	1 414,12
	<b>CHAPTER 6 6 — TOTAL</b>	60 000 000	60 000 000	848 471 201,06	1 414,12



## CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURE GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT

[illegible]

COMMISSION

## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES

6 0 1 *Miscellaneous research programmes*

6 0 1 1 Switzerland-Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue resulting from cooperation agreements between Switzerland and the European Atomic Energy Community, in particular that of 14 September 1978.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 08 03 50 and 08 04 50 (indirect actions) of the statement of expenditure in this section, depending on the expenditure to be covered.

6 0 1 2 European fusion development agreements (EFDA) — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 916 000,—

*Remarks*

Revenue resulting from the multilateral EFDA agreements between the European Atomic Energy Community and its 26 fusion associates.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 08 03 50 (indirect action) of the statement of expenditure in this section, depending on the expenditure to be covered.

6 0 1 3 Cooperation agreements with third countries under Union research programmes — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	188 328 679,52

*Remarks*

Revenue resulting from cooperation agreements between the Union and third countries, in particular those participating in European cooperation in the field of scientific and technical research (COST), in order to associate them with Union research programmes.

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)**6 0 1 3** (*cont'd*)

Any contributions received are to cover the costs of meetings, experts' contracts and research expenditure under the programmes in question.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 50, 05 09 50, 06 03 50, 08 02 50, 08 03 50, 08 04 50, 09 04 50, 15 03 50, 32 04 50 (indirect action), 10 02 50 and 10 03 50 of the statement of expenditure in this section, depending on the expenditure to be covered.

*Legal basis*

Council Decision 2008/372/EC of 12 February 2008 on the signing and provisional application of a Protocol to the Euro-Mediterranean Agreement establishing an association between the European Communities and their Member States, of the one part, and the State of Israel, of the other part, on a framework Agreement between the European Community and the State of Israel on the general principles governing the State of Israel's participation in Community programmes (OJ L 129, 17.5.2008, p. 39).

Council Decision 2011/28/EU of 12 July 2010 on the conclusion of a Protocol to the Partnership and Cooperation Agreement establishing a partnership between the European Communities and their Member States, of the one part, and the Republic of Moldova, on the other part, on a Framework Agreement between the European Union and the Republic of Moldova, on the general principles for the participation of the Republic of Moldova in Union programmes (OJ L 14, 19.1.2011, p. 5).

Council Decision No 2012/777/EU of 10 December 2012 on the signing, on behalf of the European Union, of a Protocol to the Partnership and Cooperation Agreement between the European Communities and their Member States, of the one part, and the Republic of Armenia, of the other part, on a framework Agreement between the European Union and the Republic of Armenia on the general principles for the participation of the Republic of Armenia in Union programmes (OJ L 340, 13.12.2012, p. 6).

Council Decision (EU) 2014/494 of 16 June 2014 on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Georgia, of the other part (OJ L 261, 30.08.2014, p. 1).

Council Decision (EU) 2014/691 of 29 September 2014 amending Decision 2014/668/EU on the signing, on behalf of the European Union, and provisional application of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part, as regards Title III (with the exception of the provisions relating to the treatment of third-country nationals legally employed as workers in the territory of the other Party) and Titles IV, V, VI and VII thereof, as well as the related Annexes and Protocols (OJ L 289, 3.10.2014, p.1).

Council Decision 2014/953/EU of 4 December 2014, on the signing, on behalf of the European Union, and provisional application of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020, and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy (OJ L 370, 30.12.2014, p. 1).

## COMMISSION

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 3 (*cont'd*)

Council Decision 2014/954/Euratom of 4 December 2014 approving the conclusion by the European Commission, on behalf of the European Atomic Energy Community, of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation, associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020; and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy. (OJ L 370, 30.12.2014, p. 19).

Commission Decision C(2014) 2089 of 2 April 2014 on the approval and signature of the Agreement between the European Union and the State of Israel on the participation of Israel in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Commission Decision C(2014) 4290 of 30 June 2014 on the approval and signature of the Agreement between the European Union and the Republic of Moldova on the participation of Moldova in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Commission Decision C(2014) 9320 of 5 December 2014 on the conclusion, on behalf of the European Atomic Energy Community, of an Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 — the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020 and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy.

Council Decision (EU) 2015/209 of 10 November 2014 on the signing, on behalf of the European Union and provisional application of the Agreement for scientific and technological cooperation between the European Union and the Faroe Islands associating the Faroe Islands to Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 35, 11.2.2015, p. 1).

Council Decision (EU) 2015/575 of 17 December 2014 on the signing, on behalf of the European Union, and provisional application of the Protocol to the Euro-Mediterranean Agreement establishing an association between the European Communities and their Member States, of the one part, and the Republic of Tunisia, of the other part, on a Framework Agreement between the European Union and the Republic of Tunisia on the general principles for the participation of the Republic of Tunisia in Union programmes (OJ L 96, 11.04.2015, p.1).

Council Decision (EU) 2015/1795 of 1 October 2015 on the conclusion of the Agreement for scientific and technological cooperation between the European Union and the Faroe Islands associating the Faroe Islands to Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) OJ L 263, 8.10.2015, p. 6).

Council Decision (EU) 2015/1796 of 1 October 2015 on the conclusion of the Agreement for scientific and technological cooperation between the European Union and European Atomic Energy Community and the Swiss Confederation associating the Swiss Confederation to Horizon 2020 - the Framework Programme for Research and Innovation and the Research and Training Programme of the European Atomic Energy Community complementing Horizon 2020; and regulating the Swiss Confederation's participation in the ITER activities carried out by Fusion for Energy (OJ L 263, 8.10.2015, p. 8).

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)**6 0 1 3** (*cont'd*)

Commission Decision C(2015) 1355 of 3 March 2015 on the approval and signature of the Agreement between the European Union and Ukraine on the participation of Ukraine in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Commission Decision C(2015) 8195 of 25 November 2015 on the approval and signature of the Agreement between the European Union and the Republic of Tunisia on the participation of the Republic of Tunisia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'. (Agreement not yet signed).

Commission Decision C(...) ... of .... on the approval and signature of the Agreement between the European Union and Georgia on the participation of Georgia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Commission Decision C(...) ... of .... on the approval and signature of the Agreement between the European Union and the Republic of Armenia on the participation of the Republic of Armenia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

**6 0 1 5** Cooperation agreements with institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue resulting from cooperation agreements between the Union and institutes from third countries in connection with scientific and technological projects of Union interest (Eureka and others).

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 50, 05 09 50, 06 03 50, 08 02 50, 09 04 50, 15 03 50 and 32 04 50 (indirect action) of the statement of expenditure in this section.

**6 0 1 6** Agreements for European cooperation in the field of scientific and technical research — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue from States taking part in European cooperation in the field of scientific and technical research.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 50, 05 09 50, 06 03 50, 08 02 50, 09 04 50, 15 03 50 and 32 04 50 (indirect action) of the statement of expenditure in this section.

## COMMISSION

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 1** (*cont'd*)6 0 1 6 (*cont'd*)*Reference acts*

Resolution of the Ministers of States participating in European Cooperation in the field of scientific and technical research (COST) (signed in Vienna on 21 November 1991) (OJ C 333, 24.12.1991, p. 1).

**6 0 2** ***Other programmes***

6 0 2 1 Miscellaneous revenue relating to humanitarian aid — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	49 927 571,36

*Remarks*

Any contributions by outside bodies relating to humanitarian aid.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Title 23 of the statement of expenditure in this section.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Regulation (EU) No 375/2014 of the European Parliament and of the Council of 3 April 2014 establishing the European Voluntary Humanitarian Aid Corps (OJ L 122, 24.4.2014, p. 1).

**6 0 3** ***Association agreements between the Union and third countries***

6 0 3 1 Revenue accruing from the participation of the candidate countries and the Western Balkan potential candidates in Union programmes — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	200 597 560,18

*Remarks*

Revenue accruing from the Association Agreements concluded between the Union and the countries listed below as a result of their participation in various Union programmes. Any revenue from countries that are already Member States relates to past operations.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 3** (*cont'd*)6 0 3 1 (*cont'd*)*Reference acts*

Framework Agreement between the European Community and the Republic of Turkey on the general principles for the participation of the Republic of Turkey in Community programmes (OJ L 61, 2.3.2002, p. 29).

Commission Decision C(2014) 3502 of 2 June 2014 on approval and signature of an Agreement between the European Union and the Republic of Turkey on the participation of the Republic of Turkey in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Framework Agreement between the European Community and the Republic of Albania on the general principles for the participation of the Republic of Albania in Community programmes (OJ L 192, 22.7.2005, p. 2).

Commission Decision C(2014) 3711 of 10 June 2014 on approval and signature of an Agreement between the European Union and the Republic of Albania on the participation of Albania in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Framework Agreement between the European Community and Bosnia and Herzegovina on the general principles for the participation of Bosnia and Herzegovina in Community programmes (OJ L 192, 22.7.2005, p. 9).

Commission Decision C(2014) 3693 of 10 June 2014 on approval and signature of an Agreement between the European Union and Bosnia and Herzegovina on the participation of Bosnia and Herzegovina in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Framework Agreement between the European Community and Serbia and Montenegro on the general principles for the participation of Serbia and Montenegro in Community programmes (OJ L 192, 22.7.2005, p. 29).

Commission Decision C(2014) 3710 of 10 June 2014 on approval and signature of an Agreement between the European Union and Republic of Serbia on the participation of Serbia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Protocol to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the former Yugoslav Republic of Macedonia, of the other part, on a Framework Agreement between the European Community and the former Yugoslav Republic of Macedonia on the general principles for the participation of the Former Yugoslav Republic of Macedonia in Community programmes (OJ L 192, 22.7.2005, p. 23).

Commission Decision C(2014) 3707 of 10 June 2014 on approval and signature of an Agreement between the European Union and the former Yugoslav Republic of Macedonia on the participation of the former Yugoslav Republic of Macedonia in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Protocol 8 to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Republic of Montenegro, of the other part, on the general principles for the participation of Montenegro in Community programmes (OJ L 108, 29.04.2010, p. 1).

## COMMISSION

CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES (*cont'd*)6 0 3 (*cont'd*)6 0 3 1 (*cont'd*)

Commission Decision C(2014) 3705 of 10 June 2014 on approval and signature of an Agreement between the European Union and Montenegro on the participation of Montenegro in the Union programme 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)'.

Framework Agreement between the European Union and Kosovo on the general principles for the participation of Kosovo in Union programmes (COM(2013) 218 final) is pending Council approval.

Additional protocols to the Europe Agreements (Articles 228 and 238) opening up Union programmes to the candidate countries.

6 0 3 2 Revenue accruing from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	670 748,—

*Remarks*

This item is intended to record third country contributions to customs cooperation agreements, in particular under the Transit project and the project for the dissemination of tariff and other particulars (by computer).

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 14 02 02, 14 02 51, 14 03 02 and 14 03 51 of the statement of expenditure in this section.

*Legal basis*

Convention of 20 May 1987 between the European Economic Community, the Republic of Austria, the Republic of Finland, the Republic of Iceland, the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation, on a common transit procedure (OJ L 226, 13.8.1987, p. 2).

Council Decision 2000/305/EC of 30 March 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Switzerland concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 102, 27.4.2000, p. 50).

Council Decision 2000/506/EC of 31 July 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Norway concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 204, 11.8.2000, p. 35).

Council Decision of 19 March 2001 authorising the Commission to negotiate, on behalf of the European Community, an amendment to the Convention creating the Customs Cooperation Council signed in Brussels on 15 December 1950 allowing the European Community to become a member of that organisation.



**CHAPTER 6 0 — CONTRIBUTIONS TO UNION PROGRAMMES** (*cont'd*)**6 0 3** (*cont'd*)**6 0 3 2** (*cont'd*)

Regulation (EU) No 1294/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme for customs in the European Union for the period 2014-2020 (Customs 2020) and repealing Decision No 624/2007/EC (OJ L 347, 20.12.2013, p. 209).

**6 0 3 3** Participation of third countries or outside bodies in Union activities — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	135 583 121,90

*Remarks*

Contributions by third countries or outside bodies to Union activities.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE****6 1 1** *Repayment of expenditure incurred on behalf of one or more Member States***6 1 1 3** Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	53 081 071,04

*Remarks*

Decision 2003/76/EC stipulates that the Commission is entrusted with winding up the financial operations of the European Coal and Steel Community (ECSC) which are still in progress upon expiry of the ECSC Treaty.

Pursuant to Article 4 of that Decision, net revenue from the investment of available assets will constitute revenue in the general budget of the European Union earmarked for a specific purpose, namely financing research projects in sectors related to the coal and steel industry by the Research Fund for Coal and Steel.

The net revenue available for financing research projects in year  $n + 2$  appears in the balance sheet of the ECSC in liquidation for year  $n$ , and after the liquidation process has been completed will appear as assets in the balance sheet of the Coal and Steel Research Fund. This financing mechanism took effect in 2003. Revenue coming from 2014 will be used for research in 2016. In order to reduce as far as possible the fluctuations that movements in the financial markets could cause in the financing of research, a smoothing arrangement is applied. The amount of net revenue expected to be available for research in 2016 comes to EUR 43 100 000.

## COMMISSION

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)6 1 1 (*cont'd*)6 1 1 3 (*cont'd*)

In accordance with Article 4 of Decision 2003/76/EC, 72,8 % of the fund's appropriations will be for the steel-related sector and 27,2 % for the coal-related sector.

In accordance with Article 21 and Article 181(2) of the Financial Regulation, revenue will be used to provide additional appropriations under Chapter 08 05 of the statement of expenditure in this section.

*Legal basis*

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

## 6 1 1 4 Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Decision 2003/76/EC stipulates that the Commission is entrusted with winding up the financial operations of the European Coal and Steel Community (ECSC) which are still in progress upon expiry of the ECSC Treaty.

Pursuant to Article 4(5) of that Decision, the amounts recovered return initially to the assets of the ECSC in liquidation and subsequently, on completion of the liquidation, to the assets of the Research Fund for Coal and Steel.

*Legal basis*

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

6 1 2 **Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 937,74

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)**6 1 4      *Repayment of Union support to commercially successful projects and activities***

6 1 4 3      Repayment of Union support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Repayment of all or part of the support for commercially successful projects, with possible participation in profits resulting from grants awarded as part of European risk capital activities in support of small and medium-sized enterprises under the Venture Consort and Eurotech Capital instruments.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

6 1 4 4      Repayment of Union support to risk sharing instruments financed from the European Regional Development Fund and the Cohesion Fund — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Repayment of reflows and amounts left over from Union support to risk sharing instruments financed from the European Regional Development Fund and the Cohesion Fund.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which the revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Article 14 and Article 36a thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

## COMMISSION

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)**6 1 5**      ***Repayment of unused Union aid***

6 1 5 0      Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, European Union Solidarity Fund, ISPA, IPA, EFF, FEAD, EMFF and European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	67 212 656,57

*Remarks*

Repayment of unused aid from the European Social Fund, the European Regional Development Fund, the European Agricultural Guidance and Guarantee Fund, the Financial Instrument for Fisheries Guidance, the Cohesion Fund, the European Union Solidarity Fund, the Instrument for Structural Policies for Pre-Accession (ISPA), the Instrument for Pre-Accession Assistance (IPA), the European Fisheries Fund (EFF), the Fund for European Aid to the Most Deprived (FEAD), the European Maritime and Fisheries Fund (EMFF) and the European Agricultural Fund for Rural Development (EAFRD).

In accordance with Article 21 of the Financial Regulation, this revenue will be used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

6 1 5 1      Repayment of unused subsidies for balancing budgets — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

6 1 5 2      Repayment of unused interest subsidies — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

6 1 5 3      Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)**6 1 5** (*cont'd*)6 1 5 3 (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

6 1 5 7 Repayments of payments on account under the Structural Funds, the Cohesion Fund, the European Fisheries Fund, the Fund for European Aid to the Most Deprived, the European Maritime and Fisheries Fund and the European Agricultural Fund for Rural Development (EAFRD) — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,30

*Remarks*

This item is intended to record the repayment of payments on account under the Structural Funds (European Regional Development Fund and European Social Fund), the Cohesion Fund, the European Fisheries Fund (EFF), the Fund for European Aid to the Most Deprived (FEAD), the European Maritime and Fisheries Fund (EMFF) and the European Agricultural Fund for Rural Development (EAFRD).

The amounts booked to this item will, in accordance with Articles 21 and 177 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 11 and 13 of the statement of expenditure in this section, in order not to reduce the contribution from the Funds to the operation concerned.

*Legal basis*

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1), and in particular Article D of Annex II thereto.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25), and in particular Article 82(2) and Chapter II thereof.

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

## COMMISSION

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)6 1 5 (*cont'd*)6 1 5 7 (*cont'd*)

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

6 1 5 8 Repayment of miscellaneous unused Union aid — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	582 627,32

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

6 1 6 ***Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Repayment by the International Atomic Energy Agency (IAEA) of sums which were advanced by the Commission to pay for controls carried out by the IAEA under the verification agreements (see Articles 32 03 01 and 32 03 02 of the statement of expenditure in this section).

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Reference acts*

Agreement between the Kingdom of Belgium, the Kingdom of Denmark, the Federal Republic of Germany, Ireland, the Italian Republic, the Grand Duchy of Luxembourg, the Kingdom of the Netherlands, the European Atomic Energy Community and the International Atomic Energy Agency in implementation of Article III(1) and (4) of the Treaty on the non-proliferation of nuclear weapons (OJ L 51, 22.2.1978, p. 1), and in particular Article 15 thereof.

Tripartite agreement between the Community, the United Kingdom and the International Atomic Energy Agency.

Tripartite agreement between the Community, France and the International Atomic Energy Agency.

**CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE** (*cont'd*)**6 1 7      *Repayment of amounts paid in connection with Union aid to third countries*****6 1 7 0      Repayments within the framework of cooperation with South Africa — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 754,84

*Remarks*

Repayments by tenderers or recipients of overpayments made in connection with development cooperation with South Africa.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Items 21 02 05 01 and 21 02 05 02 of the statement of expenditure in this section.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**6 1 8      *Repayment of amounts paid in connection with food aid*****6 1 8 0      Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	15 691,39

*Remarks*

Provisions contained in the invitations to tender or in the financial conditions attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

**6 1 8 1      Repayment of additional costs caused by the recipients of food aid — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	10 000,—

## COMMISSION

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE (*cont'd*)6 1 8 (*cont'd*)6 1 8 1 (*cont'd*)*Remarks*

Provisions contained in the terms of delivery attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

**6 1 9      *Repayment of other expenditure incurred on behalf of outside bodies***

6 1 9 1      Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 77/270/Euratom — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	84 113,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations under Items 21 06 01, 21 06 02, 21 06 51 and 22 02 51 of the statement of expenditure in this section.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

Council Regulation (Euratom) No 237/2014 of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109).



**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT****6 2 0      *Supply against payment of source materials or special fissile materials (Article 6(b) of the Euratom Treaty) — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue from the supply to Member States against payment of source materials or special fissile materials for their research programmes.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Legal basis*

Treaty establishing the European Atomic Energy Community, and in particular Article 6(b) thereof.

**6 2 2      *Revenue from services provided by the Joint Research Centre to outside bodies against payment*****6 2 2 1      Revenue from the operation of the high-flux reactor (HFR) to be used to provide additional appropriations — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	7 994 736,64

*Remarks*

Revenue resulting from the operation of the high-flux reactor (HFR) at the Petten establishment of the Joint Research Centre.

Payments by outside bodies to cover all types of expenditure involved in the operation of the HFR by the Joint Research Centre.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05 and 10 04 04 of the statement of expenditure in this section.

*Completion of earlier programmes*

The revenue is provided by Belgium, France and the Netherlands.

**6 2 2 3      Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	8 111 453,33

## COMMISSION

CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT *(cont'd)*6 2 2 *(cont'd)*6 2 2 3 *(cont'd)**Remarks*

Revenue from persons, firms and national bodies for which the Joint Research Centre will carry out work and/or provide services against payment.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05, 10 02 01, 10 02 51, 10 02 52, 10 03 01, 10 03 51, 10 03 52 and 10 04 02 of the statement of expenditure in this section, amounting to the expenditure under each contract with an outside body.

6 2 2 4 Revenue from licences granted by the Commission on inventions resulting from Union research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	268 933,15

*Remarks*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) requires the JRC to support knowledge and technology transfer and to generate additional resources through i.e. the exploitation of intellectual property.

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05, 10 04 02, 10 04 03 and Chapters 10 02 and 10 03 in the statement of expenditure in this section.

*Legal basis*

Article 182 and Article 183 of the Treaty on the Functioning of the European Union.

Article 12 of the Treaty establishing the European Atomic Energy Community.

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

**CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT** (*cont'd*)**6 2 2** (*cont'd*)**6 2 2 5** Other revenue for the Joint Research Centre — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Revenue from contributions, gifts or bequests from third parties for the various activities carried out by the Joint Research Centre.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations for Article 10 01 05 and Chapters 10 02, 10 03 and 10 04 of the statement of expenditure in this section.

**6 2 2 6** Revenue from services provided by the Joint Research Centre to other services of the Commission on a competitive basis, to be used to provide additional appropriations — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	61 421 661,54

*Remarks*

Revenue from other services of the Commission for which the Joint Research Centre will carry out work and/or provide services against payment and revenue from participation in the activities of the framework programmes for research and technological development.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05, 10 02 01, 10 02 51, 10 02 52, 10 03 01, 10 03 51, 10 03 52 and 10 04 03 of the statement of expenditure in this section, amounting to the specific expenditure under each contract with other services of the Commission.

**6 2 4** ***Revenue from licences granted by the Commission on inventions resulting from Union research, irrespective of whether they can be patented (indirect action) — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

## COMMISSION

CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT (*cont'd*)6 2 4 (*cont'd*)*Legal basis*

Treaty establishing the European Atomic Energy Community.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

## CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

6 3 0 *Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	286 014 835,—

*Remarks*

This article is intended to record contributions from the European Free Trade Association Member States resulting from their financial participation in certain activities of the Union in accordance with Article 82 of and with Protocol 32 to the Agreement on the European Economic Area.

The total contribution planned is shown in the summary presented for information in an annex to the statement of expenditure in this section.

The contributions by the European Free Trade Association Member States will be made available to the Commission in accordance with Articles 1, 2 and 3 of Protocol 32 to the Agreement on the European Economic Area.

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

*Reference acts*

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3).

6 3 1 *Contributions within the framework of the Schengen acquis*

## 6 3 1 2 Contributions for the development, establishment, operation and use of large-scale information systems under the agreements concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	2 620 721,15

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)**6 3 1 2** (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 18 02 07, 18 02 08, 18 02 09 and 18 03 03 of the statement of expenditure in this section.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* ( OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latters' association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

Council Regulation (EC) No 2725/2000 of 11 December 2000 concerning the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of the Dublin Convention (OJ L 316, 15.12.2000, p. 1).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of that Agreement.

Council Decision 2001/886/JHA of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 1).

Council Regulation (EC) No 2424/2001 of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 4).

Council Regulation (EC) No 343/2003 of 18 February 2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 50, 25.2.2003, p. 1).

Commission Regulation (EC) No 1560/2003 of 2 September 2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 222, 5.9.2003, p. 3).

Council Decision 2004/512/CE of 8 June 2004 establishing the Vis Information System (VIS) (OJ L 213, 15.6.2004, p. 5).

Regulation (EC) No 1986/2006 of the European Parliament and of the Council of 20 December 2006 regarding access to the Second Generation Schengen Information System (SIS II) by the services in the Member States responsible for issuing vehicle registration certificates (OJ L 381, 28.12.2006, p. 1).

## COMMISSION

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)6 3 1 (*cont'd*)6 3 1 2 (*cont'd*)

Regulation (EC) No 1987/2006 of the European Parliament and of the Council of 20 December 2006 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 381, 28.12.2006, p. 4).

Council Decision 2007/533/JHA of 12 June 2007 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 205, 7.8.2007, p. 63).

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Council Decision 2008/633/JHA of 23 June 2008 concerning access for consultation of the Visa Information System (VIS) by designated authorities of Member States and by Europol for the purposes of the prevention, detection and investigation of terrorist offences and of other serious criminal offences (OJ L 218, 13.8.2008, p. 129).

Regulation (EC) No 767/2008 of the European Parliament and of the Council of 9 July 2008, concerning the Visa Information System (VIS) and the exchange of data between Member States on short-stay visas (VIS Regulation) (OJ L 218, 13.8.2008, p. 60).

Council Decision 2011/349/EU of 7 March 2011 on the conclusion on behalf of the European Union of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating in particular to judicial cooperation in criminal matters and police cooperation (OJ L 160, 18.6.2011, p. 1).

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011, p. 1).

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)**6 3 1 2** (*cont'd*)

Regulation (EU) No 603/2013 of the European Parliament and of the Council of 26 June 2013 on the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of Regulation (EU) No 604/2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person and on requests for the comparison with Eurodac data by Member States' law enforcement authorities and Europol for law enforcement purposes, and amending Regulation (EU) No 1077/2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 180, 29.6.2013, p. 1).

**6 3 1 3** Other contributions in connection with the Schengen *acquis* (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	31 993,89

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue will be used to provide additional appropriations for Article 18 03 02 of the statement of expenditure in this section.

*Legal basis*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* ( OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latters' association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of that Agreement.

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

## COMMISSION

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)6 3 1 (*cont'd*)6 3 1 3 (*cont'd*)

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum Support Office (OJ L 132, 29.5.2010, p. 11).

Council Decision 2011/349/EU of 7 March 2011 on the conclusion on behalf of the European Union of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating in particular to judicial cooperation in criminal matters and police cooperation (OJ L 160, 18.6.2011, p. 1).

Council Decision 2011/350/EU of 7 March 2011 on the conclusion, on behalf of the European Union, of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis*, relating to the abolition of checks at internal borders and movement of persons (OJ L 160, 18.6.2011, p. 19).

Council Decision 2012/192/EU of 12 July 2010 on the signing, on behalf of the Union, of the Arrangement between the European Union and the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Swiss Confederation on the participation by those States in the work of the committees which assist the European Commission in the exercise of its executive powers as regards the implementation, application and development of the Schengen *acquis* (OJ L 103, 13.4.2012, p. 1).

Council Decision 2012/193/EU of 13 March 2012 on the conclusion, on behalf of the Union, of the Arrangement between the European Union and the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Swiss Confederation on the participation by those States in the work of the committees which assist the European Commission in the exercise of its executive powers as regards the implementation, application and development of the Schengen *acquis* (OJ L 103, 13.4.2012, p. 3).

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

Council Decision 2014/301/EU of 19 May 2014 on the conclusion of the Arrangement between the European Union and the Kingdom of Norway on the modalities of its participation in the European Asylum Support Office (OJ L 157, 27.5.2014, p. 33).



**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 1** (*cont'd*)**6 3 1 3** (*cont'd*)

Council Decision 2014/344/EU of 19 May 2014 on the conclusion of the Arrangement between the European Union and the Principality of Liechtenstein on the modalities of its participation in the European Asylum Support Office (OJ L 170, 11.6.2014, p. 49).

*Reference acts*

Proposal for a Council Decision, submitted by the Commission on 10 December 2013, on the conclusion of the Arrangement with the Republic of Iceland on the modalities of its participation in the European Asylum Support Office (COM(2013) 875 final).

Proposal for a Council Decision, submitted by the Commission on 10 December 2013, on the conclusion of the Arrangement between the European Union and the Swiss Confederation on the modalities of its participation in the European Asylum Support Office (COM(2013) 862 final).

**6 3 2** ***Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	86 415 429,65

*Remarks*

In accordance with Article 21 of the Financial Regulation, any revenue from the European Development Fund (EDF) contributing to the cost of support measures will be used to provide additional appropriations under Item 21 01 04 07 of the statement of expenditure in this section.

*Legal basis*

Council Decision 2013/755/EU of 25 November 2013 on the association of the overseas countries and territories with the European Union ('Overseas Association Decision') (OJ L 344, 19.12.2013, p. 1).

Council Decision 2013/759/EU of 12 December 2013 regarding transitional EDF management measures from 1 January 2014 until the entry into force of the 11th European Development Fund (OJ L 335, 14.12.2013, p. 48).

*Reference acts*

Internal Agreement between the Representatives of the Governments of the Member States, meeting within the Council, on the financing of Community aid under the multiannual financial framework for the period 2008 to 2013 in accordance with the ACP-EC Partnership Agreement and on the allocation of financial assistance for the Overseas Countries and Territories to which Part Four of the EC Treaty applies (OJ L 247, 9.9.2006, p. 32).

## COMMISSION

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)6 3 2 (*cont'd*)

Communication from the Commission to the European Parliament and the Council of 7 December 2011: Preparation of the multiannual financial framework regarding the financing of EU cooperation for African, Caribbean and Pacific States and Overseas Countries and Territories for the 2014-2020 period (11th European Development Fund) (COM(2011) 837 final).

6 3 3 **Contributions to certain external aid programmes**

## 6 3 3 0 Contributions from Member States, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	11 557 494,80

*Remarks*

This item is intended to record the financial contributions from Member States, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with Article 21(2)(b) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

## 6 3 3 1 Contributions from third countries, including their public agencies, entities or natural persons to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record the financial contributions from third countries, including their public agencies, entities or natural persons, to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with Article 21(2)(b) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

## 6 3 3 2 Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

**CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS** (*cont'd*)**6 3 3** (*cont'd*)6 3 3 2 (*cont'd*)*Remarks*

This item is intended to record the financial contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf.

In accordance with Article 21(2)(b) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**6 3 4 Contributions from trust funds and financial instruments — Assigned revenue**

6 3 4 0 Contributions from trust funds to the management costs of the Commission — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record the management fees that the Commission is authorised to withdraw to cover its management costs from the years in which the contributions to each trust fund have started to be used.

In accordance with Article 21(2)(b) of the Financial Regulation, such management fees shall be assimilated to assigned revenue for the duration of the trust fund.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 187(7) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 259 thereof.

6 3 4 1 Contributions from financial instruments — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

## COMMISSION

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS (*cont'd*)6 3 4 (*cont'd*)6 3 4 1 (*cont'd*)*Remarks*

Annual repayments, including capital repayments, guarantees released and repayments of the principal of loans, paid back to the Commission or fiduciary accounts opened for financial instruments and attributable to the support from the budget under a financial instrument, shall constitute internal assigned revenue in accordance with Article 21 of the Financial Regulation and shall be used for the same financial instrument, without prejudice to Article 140(9) of that Regulation, for a period not exceeding the period for the commitment of appropriations plus two years, unless specified otherwise in a basic act.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 140(6) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

## CHAPTER 6 5 — FINANCIAL CORRECTIONS

**6 5 0** *Financial corrections prior to 2015 in connection with the ERDF, the ESF, the EAGGF-Guidance, the FIGF, the Cohesion Fund, the EFF, the EMFF, Sapard and IPA — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
—	—	118 383 863,98

*Remarks*

From the budgetary year 2015 onwards, the amounts booked in respect of financial corrections previously booked under Item 6 5 0 0 are broken down per programming period under the Articles 6 5 1 to 6 5 4.

Item 6 5 0 0 was intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the European Agricultural Guidance and Guarantee Fund (EAGGF-Guidance Section), the Financial Instrument for Fisheries Guidance (FIGF), the Cohesion Fund, the European Fisheries Fund (EFF), the European Maritime and Fisheries Fund (EMFF), the Special Accession Programme for Agriculture and Rural Development (Sapard), the Instrument for Pre-accession Assistance (IPA) and the Transitional Rural Development Instrument (TRDI) funded by the EAGGF Guarantee Section.

The amounts booked to this item were, in accordance with Article 21 of the Financial Regulation, used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section.

**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 0** (*cont'd*)

In accordance with Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

In accordance with Article 152(1) of Regulation (EU) No 1303/2013, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1), and in particular Article 24 thereof.

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

## COMMISSION

CHAPTER 6 5 — FINANCIAL CORRECTIONS (*cont'd*)6 5 0 (*cont'd*)

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing and Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

*Reference acts*

Commission Regulation (EC) No 448/2001 of 2 March 2001 laying down detailed rules for the implementation of Council Regulation (EC) No 1260/1999 as regards the procedure for making financial corrections to assistance granted under the Structural Funds (OJ L 64, 6.3.2001, p. 13).

Commission Regulation (EC) No 27/2004 of 5 January 2004 laying down transitional detailed rules for the application of Council Regulation No 1257/1999 as regards the financing by the EAGGF Guarantee Section of rural development measures in the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 5, 9.1.2004, p. 86).

Commission Regulation (EC) No 141/2004 of 28 January 2004 laying down rules for applying Council Regulation (EC) No 1257/1999 as regards the transitional rural development measures applicable to the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 24, 29.1.2004, p. 25).

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

6 5 1 *Financial corrections related to the programming periods before 2000*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the European Agricultural Guidance and Guarantee Fund (EAGGF) — Guidance section, the Financial Instrument for Fisheries Guidance (FIGF) and the Cohesion Fund (CF), related to the programming periods before 2000.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section.

**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 1** (*cont'd*)

In accordance with Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

In accordance with Article 152(1) of Regulation (EU) 1303/2013 that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Financial regulation of 21 December 1977 applicable to the general budget of the European Communities (OJ L 356, 31.12.1977, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1), and in particular Article 24 thereof.

Council Regulation (EEC) No 4254/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Council Regulation (EEC) No 4255/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Council Regulation (EEC) No 4256/88 of 19 December 1988, laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the EAGGF Guidance Section (OJ L 374, 31.12.1988, p. 25).

Council Regulation (EEC) No 2080/93 of 20 July 1993 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the financial instrument of fisheries guidance (OJ L 193, 31.7.1993, p. 1).

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

## COMMISSION

CHAPTER 6 5 — FINANCIAL CORRECTIONS (*cont'd*)6 5 2 *Financial corrections related to the programming period 2000-2006 — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the European Agricultural Guidance and Guarantee Fund (EAGGF) — Guidance section, the Financial Instrument for Fisheries Guidance (FIGF), the Cohesion Fund (CF) and the Special Accession Programme for Agriculture and Rural Development (Sapard), related to the 2000-2006 programming period and the Transitional Rural Development Instrument (TRDI) funded by the EAGGF Guarantee section.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section.

In accordance with Article 105(1) of Regulation (EC) No 1083/2006, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

In accordance with Article 152(1) of Regulation (EU) 1303/2013 that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).



**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 2** (*cont'd*)

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 June 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13/08/1999, p. 5).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

*Reference acts*

Commission Regulation (EC) No 448/2001 of 2 March 2001 laying down detailed rules for the implementation of Council Regulation (EC) No 1260/1999 as regards the procedure for making financial corrections to assistance granted under the Structural Funds (OJ L 64, 6.3.2001, p. 13).

Commission Regulation (EC) No 1386/2002 of 29 July 2002 laying down detailed rules for the implementation of Council Regulation (EC) No 1164/94 as regards the management and control systems for assistance granted from the Cohesion Fund and the procedure for making financial corrections (OJ L 201, 31.7.2002, p. 5).

Commission Regulation (EC) No 27/2004 of 5 January 2004 laying down transitional detailed rules for the application of Council Regulation No 1257/1999 as regards the financing by the EAGGF Guarantee Section of rural development measures in the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 5, 9.1.2004, p. 86).

Commission Regulation (EC) No 141/2004 of 28 January 2004 laying down rules for applying Council Regulation (EC) No 1257/1999 as regards the transitional rural development measures applicable to the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland Slovenia and Slovakia (OJ L 24, 29.1.2004, p. 25).

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

**6 5 3*****Financial corrections related to the programming period 2007-2013 — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund (CF) the European Fisheries Fund (EFF) and the Instrument for Pre-accession Assistance (IPA I), related to the 2007-2013 programming period.

## COMMISSION

CHAPTER 6 5 — FINANCIAL CORRECTIONS (*cont'd*)6 5 3 (*cont'd*)

The amounts booked to this article may, in accordance with Article 21 of the Financial Regulation be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section.

In accordance with Article 152(1) of Regulation (EU) No 1303/2013, that Regulation does not affect the continuation or modification, including the total or partial cancellation, of the projects concerned, until their closure, or of assistance approved by the Commission on the basis of Regulation (EC) No 1083/2006 or any other legislation applying to that assistance on 31 December 2013.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

*Reference acts*

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

6 5 4 **Financial corrections related to the programming period 2014-2020 — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	

*Remarks*

This article is intended to accommodate financial corrections in connection with the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund (CF), the European Maritime and Fisheries Fund (EMFF), the Fund for European Aid to the Most Deprived (FEAD) and the Instrument for Pre-accession Assistance (IPA II), related to the 2014-2020 programming period.

The amounts booked to this article will, in accordance with Article 21 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 11 and 13 of the statement of expenditure in this section.

**CHAPTER 6 5 — FINANCIAL CORRECTIONS** (*cont'd*)**6 5 4** (*cont'd*)*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

**CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0** *Other contributions and refunds***6 6 0 0** Other assigned contributions and refunds — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	839 804 777,25

*Remarks*

This item is intended to accommodate, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

**6 6 0 1** Other non-assigned contributions and refunds

Financial year 2016	Financial year 2015	Financial year 2014
60 000 000	60 000 000	8 666 423,81

*Remarks*

This item is intended to accommodate any revenue not provided for in other parts of Title 6 which is not used, in accordance with Article 21 of the Financial Regulation.

## COMMISSION

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURE GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT****6 7 0      *Revenue concerning the European Agricultural Guarantee Fund*****6 7 0 1      Clearance of European Agricultural Guarantee Fund accounts — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	815 588 600,63

*Remarks*

This item is intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the Union budget concerning expenditure financed by the European Agricultural Guidance and Guarantee Fund (Guarantee Section) under Heading 1 of the 2000-2006 Financial Perspectives and the European Agricultural Guarantee Fund (EAGF), under Heading 2 of the 2007-2013 and the 2014-2020 Multiannual Financial Framework in accordance with Articles 51 and 52 of Regulation (EU) No 1306/2013. It includes corrections related to non-compliance with payment deadlines in accordance with Article 40 of that Regulation.

This item is also intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the general budget of the Union concerning expenditure financed by the temporary scheme for the restructuring of the sugar industry (Sugar Restructuring Fund) in the Community established by Regulation (EC) No 320/2006, which ended on 30 September 2012.

In accordance with Article 1(3) of Regulation (EC) No 320/2006 and Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 2 015 000 000, including EUR 890 000 000 carried over from 2015 to 2016 in accordance with Article 14 of the Financial Regulation. When establishing the budget for 2016, an amount of EUR 600 000 000 was taken into account for financing the needs of measures under Article 05 02 08 (Item 05 02 08 03) and the remaining amount of EUR 1 415 000 000 was taken into account for financing the needs of measures under Article 05 03 01 (Item 05 03 01 10).

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURE GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)

**6 7 0** (*cont'd*)

**6 7 0 2** European Agricultural Guarantee Fund irregularities — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	150 305 663,70

*Remarks*

This item is intended to accommodate amounts recovered following irregularities or negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received, as well as securities, deposits or guarantees forfeited concerning expenditure financed by the European Agricultural Guidance and Guarantee Fund (Guarantee section) under Heading 1 of the 2000-2006 Financial Perspectives and the European Agricultural Guarantee Fund (EAGF), under Heading 2 of the 2007-2013 and the 2014-2020 Multiannual Financial Framework in accordance with Articles 54 and 55 of Regulation (EU) No 1306/2013.

This item is also intended to accommodate amounts recovered following irregularities or oversight, including interest, penalties and securities acquired, resulting from expenditure financed by the temporary scheme for the restructuring of the sugar industry (Sugar Restructuring Fund) in the Community established by Regulation (EC) No 320/2006, which ended on 30 September 2012.

This item is also intended to accommodate the net amounts recovered for which Member States may retain 20 % as provided for in Article 55 of Regulation (EU) No 1306/2013.

In accordance with Article 1(3) of Regulation (EC) No 320/2006 and Articles 43 and 55 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 155 000 000. When establishing the budget for 2016, this amount was taken into account for financing the needs of measures under Article 05 03 01 (Item 05 03 01 10).

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

## COMMISSION

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURE GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)**6 7 0** (*cont'd*)**6 7 0 3** Superlevy from milk producers — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	48 284 110,03

*Remarks*

This item is intended to accommodate amounts related to the surplus levy applying to the milk quota system which are collected or recovered in accordance with the provisions of Section III of Chapter III of Title I of Part II of Regulation (EC) No 1234/2007, in particular Article 78 thereof.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 174 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under European Agricultural Guarantee Fund of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 810 000 000. When establishing the budget for 2016, this amount was taken into account for financing the needs of measures under Article 05 03 01 (Item 05 03 01 10).

*Legal basis*

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**6 7 1** **Revenue concerning the European Agricultural Fund for Rural Development****6 7 1 1** Clearance of accounts European Agricultural Fund for Rural Development — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	69 413 810,83

**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURE GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)

**6 7 1** (*cont'd*)

6 7 1 1 (*cont'd*)

*Remarks*

This item is intended to accommodate amounts resulting from decisions related to conformity and accounting clearance of accounts in favour of the Union budget within the context of rural development financed by the European Agricultural Fund for Rural Development (EAFRD) in accordance with Articles 51 and 52 of Regulation (EU) No 1306/2013. Amounts in relation to the repayment of payments on account under the EAFRD are also recorded on this item.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 177 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2016, no specific amount was foreseen for Articles 05 04 05 and 05 04 60.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

6 7 1 2 European Agricultural Fund for Rural Development irregularities — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to accommodate amounts recovered resulting from irregularities and negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received as well as securities forfeited in the context of rural development financed by the European Agricultural Fund for Rural Development (EAFRD) in accordance with Articles 54 and 56 of Regulation (EU) No 1306/2013.

In accordance with Article 43 of Regulation (EU) No 1306/2013, such amounts shall be regarded as assigned revenue within the meaning of Articles 21 and 177 of the Financial Regulation. Any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2016, no specific amount was foreseen for Articles 05 04 05 and 05 04 60.

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**CHAPTER 6 7 — REVENUE CONCERNING THE EUROPEAN AGRICULTURE GUARANTEE FUND AND THE EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT** (*cont'd*)**6 7 1** (*cont'd*)6 7 1 2 (*cont'd*)*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).



## TITLE 7

## INTEREST ON LATE PAYMENTS AND FINES

## CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

## CHAPTER 7 1 — FINES

## CHAPTER 7 2 — INTEREST ON DEPOSITS AND FINES

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 7 0				
<b>7 0 0</b>	<b><i>Interest on late payments</i></b>				
7 0 0 0	Interest due on late payments into the accounts held with the treasuries of the Member States	5 000 000	10 000 000	62 832 700,78	1 256,65
7 0 0 1	Other interest on late payments	3 000 000	3 000 000	1 304 377,43	43,48
	<i>Article 7 0 0 — Total</i>	8 000 000	13 000 000	64 137 078,21	801,71
<b>7 0 1</b>	<b><i>Interest on late payments and other interest on fines</i></b>	15 000 000	45 000 000	368 455 044,42	2 456,37
	CHAPTER 7 0 — TOTAL	23 000 000	58 000 000	432 592 122,63	1 880,84
	CHAPTER 7 1				
<b>7 1 0</b>	<b><i>Fines, periodic penalty payments and other penalties</i></b>	100 000 000	1 415 000 000	4 124 682 904,05	4 124,68
<b>7 1 1</b>	<b><i>Excess emissions premiums for new passenger cars</i></b>	p.m.	p.m.	0,—	
<b>7 1 2</b>	<b><i>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</i></b>	p.m.	50 000 000	49 406 800,—	
	CHAPTER 7 1 — TOTAL	100 000 000	1 465 000 000	4 174 089 704,05	4 174,09
	CHAPTER 7 2				
<b>7 2 0</b>	<b><i>Interest on deposits and fines</i></b>				
7 2 0 0	Interest on deposits and fines resulting from implementation of the excessive deficit procedure — Assigned revenue	p.m.	p.m.	0,—	
	<i>Article 7 2 0 — Total</i>	p.m.	p.m.	0,—	
	CHAPTER 7 2 — TOTAL	p.m.	p.m.	0,—	
	<b>Title 7 — Total</b>	<b>123 000 000</b>	<b>1 523 000 000</b>	<b>4 606 681 826,68</b>	<b>3 745,27</b>

COMMISSION

## TITLE 7

## INTEREST ON LATE PAYMENTS AND FINES

## CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

7 0 0 *Interest on late payments*

7 0 0 0 Interest due on late payments into the accounts held with the treasuries of the Member States

Financial year 2016	Financial year 2015	Financial year 2014
5 000 000	10 000 000	62 832 700,78

*Remarks*

Any delay by a Member State in making the entry in the account opened in the name of the Commission referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 gives rise to the payment of interest by the Member State concerned.

In the case of Member States whose currency is the euro, the interest rate shall be equal to the rate as published in the *Official Journal of the European Union*, C series, which the European Central Bank applied to its refinancing operations, on the first day of the month in which the due date fell, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

In the case of Member States whose currency is not the euro, the rate shall be equal to the rate applied on the first day of the month in question by the Central Banks for their main refinancing operations, increased by two percentage points, or, for the Member States for which the Central Bank rate is not available, the most equivalent rate applied on the first day of the month in question on the Member State's money market, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

The interest rate applies to all entries of own resources enumerated under Article 10 of Regulation (EC, Euratom) No 1150/2000.

*Legal basis*

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

7 0 0 1 Other interest on late payments

Financial year 2016	Financial year 2015	Financial year 2014
3 000 000	3 000 000	1 304 377,43

**CHAPTER 7 0 — INTEREST ON LATE PAYMENTS** (*cont'd*)**7 0 0** (*cont'd*)**7 0 0 1** (*cont'd*)*Remarks*

This item is intended to record interest on the late payment of entitlements other than own resources.

*Legal basis*

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3), and in particular Article 2(5) of Protocol 32 thereto.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25), and in particular Article 102 thereof.

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 83 thereof.

**7 0 1*****Interest on late payments and other interest on fines***

Financial year 2016	Financial year 2015	Financial year 2014
15 000 000	45 000 000	368 455 044,42

*Remarks*

This article is intended to record accrued interest on special accounts for fines and interest on late payment connected with fines.

*Legal basis*

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

## COMMISSION

CHAPTER 7 0 — INTEREST ON LATE PAYMENTS (*cont'd*)7 0 1 (*cont'd*)

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1), and in particular Article 83 thereof.

## CHAPTER 7 1 — FINES

7 1 0 *Fines, periodic penalty payments and other penalties*

Financial year 2016	Financial year 2015	Financial year 2014
100 000 000	1 415 000 000	4 124 682 904,05

*Remarks*

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations enumerated below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union; the undertaking must accept that interest will be paid on the debt after the final date for payment and provide the Commission with a bank guarantee covering both the principal of the debt and interest or surcharges by the final date for payment.

*Legal basis*

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

**CHAPTER 7 1 — FINES** (*cont'd*)**7 1 1** *Excess emissions premiums for new passenger cars*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record any excess emissions premium imposed by the Commission.

The objective of Regulation (EC) No 443/2009 is to set emission performance standards for new passenger cars registered in the Union, which forms part of the Union's integrated approach to reducing CO<sub>2</sub> emissions from light-duty vehicles while ensuring the proper functioning of the internal market.

However, in respect of each calendar year from 2012 onwards for which a manufacturer's average specific emissions of CO<sub>2</sub> exceed its specific emissions target in that year, the Commission shall impose an excess emissions premium on the manufacturer or, in the case of a pool, the pool manager.

*Legal basis*

Regulation (EC) No 443/2009 of the European Parliament and of the Council of 23 April 2009 setting emission performance standards for new passenger cars as part of the Community's integrated approach to reduce CO<sub>2</sub> emissions from light-duty vehicles (OJ L 140, 5.6.2009, p. 1), and in particular Article 9 thereof.

Commission Decision 2012/100/EU of 17 February 2012 on a method for the collection of premiums for excess CO<sub>2</sub> emissions from new passenger cars pursuant to Regulation (EC) No 443/2009 of the European Parliament and of the Council (OJ L 47, 18.2.2012, p. 71).

**7 1 2** *Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	50 000 000	49 406 800,—

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Article 260(2) thereof.

**CHAPTER 7 2 — INTEREST ON DEPOSITS AND FINES****7 2 0** *Interest on deposits and fines***7 2 0 0** Interest on deposits and fines resulting from implementation of the excessive deficit procedure — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

COMMISSION

**CHAPTER 7 2 — INTEREST ON DEPOSITS AND FINES** (*cont'd*)**7 2 0** (*cont'd*)**7 2 0 0** (*cont'd*)*Remarks*

Revenue arising from interest on deposits and fines resulting from implementation of the excessive deficit procedure.

In accordance with Article 21(2)(c) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

*Legal basis*

Council Regulation (EC) No 1467/97 of 7 July 1997 on speeding up and clarifying the implementation of the excessive deficit procedure (OJ L 209, 2.8.1997, p. 6), and in particular Article 16 thereof.

## TITLE 8

## BORROWING AND LENDING OPERATIONS

**CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES**

**CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION**

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 8 0				
8 0 0	<i>European Union guarantee for Union borrowings for balance of payments support</i>	p.m.	p.m.	0,—	
8 0 1	<i>European Union guarantee for Euratom borrowings</i>	p.m.	p.m.	0,—	
8 0 2	<i>European Union guarantee for Union borrowings for financial assistance under the European Financial Stabilisation Mechanism</i>	p.m.	p.m.	0,—	
	CHAPTER 8 0 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 8 1				
8 1 0	<i>Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean third countries</i>	p.m.	30 000 000	292 611 339,40	
8 1 3	<i>Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in the Mediterranean region and South Africa under the European Community Investment Partners operation</i>	p.m.	p.m.	0,—	
	CHAPTER 8 1 — TOTAL	p.m.	30 000 000	292 611 339,40	
	CHAPTER 8 2				
8 2 7	<i>European Union guarantee for the borrowing programmes contracted by the Union to provide macro-financial assistance to third countries</i>	p.m.	p.m.	0,—	
8 2 8	<i>Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States</i>	p.m.	p.m.	0,—	
	CHAPTER 8 2 — TOTAL	p.m.	p.m.	0,—	

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## CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES

## CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
8 3 5	CHAPTER 8 3				
	<i>European Union guarantee for European Investment Bank loans to third countries</i>	p.m.	p.m.	0,—	
	CHAPTER 8 3 — TOTAL	p.m.	p.m.	0,—	
8 5 0	CHAPTER 8 5				
	<i>Dividends paid by the European Investment Fund</i>	5 217 537	6 890 000	4 698 000,—	90,04
	CHAPTER 8 5 — TOTAL	5 217 537	6 890 000	4 698 000,—	90,04
Title 8 — Total		5 217 537	36 890 000	297 309 339,40	5 698,27



## TITLE 8

## BORROWING AND LENDING OPERATIONS

## CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES

8 0 0 *European Union guarantee for Union borrowings for balance of payments support*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

The Union guarantee is for borrowings raised on the capital markets or from financial institutions. The amount in principal of loans which may then be granted to the Member States is limited to EUR 50 000 000 000.

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 02 02, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 02 02 of the statement of expenditure in this section.

8 0 1 *European Union guarantee for Euratom borrowings*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 04 03, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 04 03 of the statement of expenditure in this section.

## COMMISSION

**CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES** (*cont'd*)**8 0 2** *European Union guarantee for Union borrowings for financial assistance under the European Financial Stabilisation Mechanism*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

The Union guarantee is for borrowings raised on the capital markets or from financial institutions. The outstanding amount of loans or credit lines to be granted to Member States shall be in the limits set out in the legal basis.

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 02 03, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 02 03 of the statement of expenditure in this section.

**CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION****8 1 0** *Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean third countries*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	30 000 000	292 611 339,40

*Remarks*

This article is intended to record capital repayments and interest payments on special loans and risk capital granted from the appropriations in Chapters 22 02 and 22 04 of the statement of expenditure in this section to Mediterranean third countries.

It also comprises capital repayments and interest payments on special loans and risk capital granted to certain Mediterranean Member States which, however, represent a very small proportion of the overall amount. These loans/ risk capital were granted at a time the countries had not yet joined the Union.

The revenue obtained normally exceeds the amounts forecast in the budget because of the interest payments on special loans which may still be disbursed during the preceding financial year as well as during the current financial year. The interest on special loans and risk capital is charged from the moment the loans are disbursed; interest on special loans is paid in six-monthly instalments and interest on risk capital generally in annual instalments.

This article may accommodate, in accordance with Article 21 of the Financial Regulation, assigned revenue which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

**CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION** (*cont'd*)**8 1 0** (*cont'd*)*Legal basis*

For the legal basis, see remarks for Chapters 22 02 and 22 04 of the statement of expenditure in this section.

**8 1 3** *Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in the Mediterranean region and South Africa under the European Community Investment Partners operation*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record capital repayments and interest payments on loans and risk capital granted from the appropriations in Articles 21 02 51 and 22 04 51 under the European Community Investment Partners operation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

For the legal basis, see also the remarks for Articles 21 02 51 and 22 04 51 of the statement of expenditure in this section.

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES****8 2 7** *European Union guarantee for the borrowing programmes contracted by the Union to provide macro-financial assistance to third countries*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 03 03, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

## COMMISSION

**CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR THIRD COUNTRIES** (*cont'd*)**8 2 7** (*cont'd*)*Legal basis*

For the legal basis, see the remark for Article 01 03 03 of the statement of expenditure in this section.

**8 2 8** *Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Article 01 03 04, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 03 04 of the statement of expenditure in this section.

**CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN THIRD COUNTRIES****8 3 5** *European Union guarantee for European Investment Bank loans to third countries*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record any revenue arising from the exercise of rights in connection with a guarantee under Article 01 03 05 of the statement of expenditure in this section.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II to the statement of expenditure in this section.

*Legal basis*

For the legal basis, see the remark for Article 01 03 05 of the statement of expenditure in this section.

**CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES****8 5 0      *Dividends paid by the European Investment Fund***

Financial year 2016	Financial year 2015	Financial year 2014
5 217 537	6 890 000	4 698 000,—

*Remarks*

This article is intended to receive any dividends paid by the European Investment Fund in respect of this contribution.

*Legal basis*

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Decision No 562/2014/EU of the European Parliament and of the Council of 15 May 2014 on the participation of the European Union in the capital increase of the European Investment Fund (OJ L 156, 24.5.2014, p. 1).

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## TITLE 9

### MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>9 0 0</b>	CHAPTER 9 0				
	Miscellaneous revenue	25 000 000	30 000 000	13 750 288,44	55,00
	CHAPTER 9 0 — TOTAL	25 000 000	30 000 000	13 750 288,44	55,00
	Title 9 — Total	25 000 000	30 000 000	13 750 288,44	55,00
	GRAND TOTAL	1 278 944 020	2 716 056 318	9 313 628 501,90	728,23

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0*****Miscellaneous revenue***

Financial year 2016	Financial year 2015	Financial year 2014
25 000 000	30 000 000	13 750 288,44

***Remarks***

This article is intended to record miscellaneous revenue.

COMMISSION

**GENERAL SUMMARY OF APPROPRIATIONS (2016 AND 2015) AND OUTTURN (2014)**



Title	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01	ECONOMIC AND FINANCIAL AFFAIRS	2 532 673 157	1 097 025 157	1 726 222 260	463 888 664	230 605 599,76	300 229 549,58
02	INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES	2 285 812 989	1 894 487 636	2 397 808 425	2 093 932 585	2 487 077 892,35	2 004 487 689,80
03	COMPETITION	102 698 620	102 698 620	97 651 538	97 651 538	96 725 491,67	96 725 491,67
04	EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION	12 924 259 299	13 030 720 525	15 003 231 515	10 973 416 992	10 356 579 013,32	11 450 809 753,11
05	AGRICULTURE AND RURAL DEVELOPMENT	61 382 084 429	54 625 119 708	61 948 762 610	54 941 251 061	47 789 188 674,45	55 769 052 032,08
06	MOBILITY AND TRANSPORT	4 219 477 187	2 295 863 330	2 581 291 171	2 056 297 929	2 878 698 665,69	1 037 554 207,13
07	ENVIRONMENT	448 266 445	397 061 087	425 317 926	391 226 413	415 534 290,15	353 767 552,65
08	RESEARCH AND INNOVATION	5 854 638 306	5 402 950 507	5 807 294 471	5 470 901 309	6 269 437 583,26	4 484 471 699,98
09	COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY	1 803 314 364	2 373 056 657	1 855 814 447	1 854 363 843	1 849 669 513,90	1 334 494 094,06
10	DIRECT RESEARCH	396 834 657	402 688 960	392 970 215	402 052 368	535 186 038,49	516 790 641,89
11	MARITIME AFFAIRS AND FISHERIES	999 860 215	675 121 774	1 731 272 311	918 640 442	218 100 889,36	805 002 735,44
	Reserves (40 02 41)	83 345 750	83 345 750	74 090 991	69 190 991		
		1 083 205 965	758 467 524	1 805 363 302	987 831 433	218 100 889,36	805 002 735,44
12	FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION	84 986 304	85 662 304	85 296 380	83 489 504	89 046 778,31	87 630 783,56
13	REGIONAL AND URBAN POLICY	35 988 630 661	36 386 098 987	44 725 459 137	40 775 745 430	17 112 213 205,74	44 002 702 182,56
14	TAXATION AND CUSTOMS UNION	166 447 251	159 265 251	161 232 912	137 132 884	159 531 738,23	136 415 501,94
15	EDUCATION AND CULTURE	2 889 262 253	3 030 752 053	2 745 176 038	2 523 359 667	3 068 349 697,56	2 512 424 939,01
16	COMMUNICATION	203 694 896	196 759 396	199 892 227	192 074 269	199 290 902,65	205 438 063,84
17	HEALTH AND FOOD SAFETY	570 625 060	571 327 060	573 819 742	537 902 207	595 372 141,87	546 521 698,95
18	MIGRATION AND HOME AFFAIRS	3 225 091 730	2 323 443 097	1 736 875 609	1 202 221 437	708 899 643,59	1 088 957 601,55

## COMMISSION

Title	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
19	FOREIGN POLICY INSTRUMENTS	782 603 058	677 343 652	759 243 944	577 841 739	687 367 990,48	554 512 550,42
20	TRADE	107 216 392	105 566 392	115 119 115	123 790 917	119 712 032,48	117 987 056,62
21	INTERNATIONAL COOPERATION AND DEVELOPMENT	3 161 973 792	3 345 883 780	2 958 618 918	2 649 645 152	2 960 444 482,15	2 362 051 966,60
22	NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS	3 835 177 683	3 565 517 946	3 854 486 972	2 611 644 410	3 798 152 499,83	2 659 070 811,10
23	HUMANITARIAN AID AND CIVIL PROTECTION	1 202 303 141	1 560 487 834	1 018 951 102	1 054 277 483	1 187 339 476,55	1 428 943 158,70
24	FIGHT AGAINST FRAUD	80 226 300	85 655 000	78 734 800	75 357 901	75 772 533,09	74 237 520,52
25	COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE	206 099 587	205 749 587	191 983 721	191 983 721	198 336 843,34	199 289 183,98
26	COMMISSION'S ADMINISTRATION	1 013 314 325	1 012 769 705	997 048 573	991 791 094	1 070 481 868,68	1 069 648 408,44
	Reserves (40 01 40)	3 426 739	3 426 739				
		1 016 741 064	1 016 196 444	997 048 573	991 791 094	1 070 481 868,68	1 069 648 408,44
27	BUDGET	72 184 538	72 184 538	70 488 939	70 488 939	88 637 392,08	88 637 392,08
28	AUDIT	18 774 034	18 774 034	11 936 916	11 936 916	12 000 789,60	12 000 789,60
29	STATISTICS	139 150 570	127 507 570	134 393 726	116 198 129	139 738 046,37	138 743 729,83
30	PENSIONS AND RELATED EXPENDITURE	1 647 355 000	1 647 355 000	1 567 119 435	1 567 119 435	1 493 058 835,73	1 493 058 835,73
31	LANGUAGE SERVICES	398 824 459	398 824 459	389 488 765	389 488 765	443 756 554,45	443 756 554,45
32	ENERGY	1 531 675 330	1 523 585 634	1 355 770 790	1 541 567 179	1 721 696 310,22	1 166 909 407,91
33	JUSTICE AND CONSUMERS	258 626 977	239 160 105	241 103 459	221 749 427	240 687 323,40	219 605 416,26
34	CLIMATE ACTION	137 514 278	81 944 278	127 447 895	84 247 010	121 531 870,16	51 335 444,96
40	RESERVES	561 384 489	395 772 489	539 455 991	219 190 991	0,—	0,—
	<b>Total</b>	<b>151 233 061 776</b>	<b>140 114 184 112</b>	<b>158 606 781 995</b>	<b>137 613 867 750</b>	<b>109 418 222 608,96</b>	<b>138 813 264 446,—</b>
	<b>Of which Reserves (40 01 40, 40 02 41)</b>	<b>86 772 489</b>	<b>86 772 489</b>	<b>74 090 991</b>	<b>69 190 991</b>		

*TITLE XX*

**ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS**

COMMISSION

TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

## TITLE XX

## ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014
XX 01	ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS				
<b>XX 01 01</b>	<b>Expenditure related to officials and temporary staff in policy areas</b>				
XX 01 01 01	Expenditure related to officials and temporary staff working with the institution				
XX 01 01 01 01	Remuneration and allowances	5.2	1 913 010 000	1 847 039 000	1 890 276 455,78
XX 01 01 01 02	Expenses and allowances related to recruitment, transfers and termination of service	5.2	11 828 000	12 180 000	12 678 000,—
XX 01 01 01 03	Adjustments to remuneration	5.2	17 279 000	15 760 000	0,—
	<i>Subtotal</i>		1 942 117 000	1 874 979 000	1 902 954 455,78
XX 01 01 02	Expenditure related to Commission officials and temporary staff working in Union delegations				
XX 01 01 02 01	Remuneration and allowances	5.2	104 747 000	105 435 000	101 982 176,34
XX 01 01 02 02	Expenses and allowances related to recruitment, transfers and termination of service	5.2	7 188 000	5 676 000	8 486 742,41
XX 01 01 02 03	Appropriations to cover any adjustments to remuneration	5.2	912 000	860 000	0,—
	<i>Subtotal</i>		112 847 000	111 971 000	110 468 918,75
	<i>Article XX 01 01 — Subtotal</i>		2 054 964 000	1 986 950 000	2 013 423 374,53
<b>XX 01 02</b>	<b>External personnel and other management expenditure</b>				
XX 01 02 01	External personnel working with the institution				
XX 01 02 01 01	Contract staff	5.2	65 206 000	62 714 000	68 218 939,88
XX 01 02 01 02	Agency staff and technical and administrative assistance in support of different activities	5.2	21 900 000	23 700 000	22 581 130,06
XX 01 02 01 03	National civil servants temporarily assigned to the institution	5.2	37 308 000	37 183 000	32 262 661,71
	<i>Subtotal</i>		124 414 000	123 597 000	123 062 731,65
XX 01 02 02	External personnel of the Commission in Union delegations				
XX 01 02 02 01	Remuneration of other staff	5.2	8 945 000	8 869 000	8 482 552,74
XX 01 02 02 02	Training of junior experts and seconded national experts	5.2	1 828 000	1 810 000	1 752 000,—
XX 01 02 02 03	Expenses of other staff and payment for other services	5.2	343 000	340 000	337 000,—
	<i>Subtotal</i>		11 116 000	11 019 000	10 571 552,74

## COMMISSION

## TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014
XX 01 02 11	Other management expenditure of the institution				
XX 01 02 11 01	Mission and representation expenses	5.2	57 067 000	56 654 500	56 525 682,91
XX 01 02 11 02	Conferences, meetings and expert groups' expenses	5.2	25 890 000	25 842 500	22 114 187,65
XX 01 02 11 03	Meetings of committees	5.2	12 215 000	12 215 000	11 854 058,94
XX 01 02 11 04	Studies and consultations	5.2	6 090 000	6 394 000	5 004 088,38
XX 01 02 11 05	Information and management systems	5.2	28 793 000	28 650 000	34 345 024,28
XX 01 02 11 06	Further training and management training	5.2	11 900 000	12 400 000	12 992 053,14
	<i>Subtotal</i>		141 955 000	142 156 000	142 835 095,30
XX 01 02 12	Other management expenditure relating to Commission staff in Union delegations				
XX 01 02 12 01	Missions, conferences and representation expenses	5.2	5 587 000	5 657 000	5 796 000,—
XX 01 02 12 02	Further training of staff in delegations	5.2	699 000	274 000	330 000,—
	<i>Subtotal</i>		6 286 000	5 931 000	6 126 000,—
	<i>Article XX 01 02 — Subtotal</i>		283 771 000	282 703 000	282 595 379,69
<b>XX 01 03</b>	<b><i>Expenditure related to information and communication technology equipment and services, and buildings</i></b>				
XX 01 03 01	Expenditure related to information and communication technology equipment and services of the Commission				
XX 01 03 01 03	Information and communication technology equipment	5.2	61 113 000	56 169 000	63 583 563,10
XX 01 03 01 04	Information and communication technology services	5.2	62 835 000	62 866 000	74 413 567,72
	<i>Subtotal</i>		123 948 000	119 035 000	137 997 130,82
XX 01 03 02	Buildings and related expenditure relating to Commission staff in Union delegations				
XX 01 03 02 01	Acquisition, renting and related expenditure	5.2	28 797 000	26 872 000	41 857 000,—
XX 01 03 02 02	Equipment, furniture, supplies and services	5.2	836 000	906 000	8 336 000,—
	<i>Subtotal</i>		29 633 000	27 778 000	50 193 000,—
	<i>Article XX 01 03 — Subtotal</i>		153 581 000	146 813 000	188 190 130,82
	<b>CHAPTER XX 01 — TOTAL</b>		<b>2 492 316 000</b>	<b>2 416 466 000</b>	<b>2 484 208 885,04</b>

COMMISSION

TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

**TITLE XX****ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS****CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS****XX 01 01      *Expenditure related to officials and temporary staff in policy areas***

XX 01 01 01      Expenditure related to officials and temporary staff working with the institution

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014
XX 01 01 01	Expenditure related to officials and temporary staff working with the institution				
XX 01 01 01 01	Remuneration and allowances	5.2	1 913 010 000	1 847 039 000	1 890 276 455,78
XX 01 01 01 02	Expenses and allowances related to recruitment, transfers and termination of service	5.2	11 828 000	12 180 000	12 678 000,—
XX 01 01 01 03	Adjustments to remuneration	5.2	17 279 000	15 760 000	0,—
	Item XX 01 01 01 — Total		1 942 117 000	1 874 979 000	1 902 954 455,78

*Remarks*

With the exception of staff serving in third countries, this appropriation is intended to cover, in respect of officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and other payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to be made by the Commission to temporary staff to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- in respect of officials and temporary staff, allowances for shift work or standby duty at the official's place of work or at home,
- allowances in the event of dismissal of a probationary official for obvious inadequacy,
- allowances in the event of cancellation by the institution of the contract of a temporary staff member,
- reimbursement of expenditure on security measures at the homes of officials working in offices of the Union and in Union delegations within the territory of the Union,

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 01 (*cont'd*)XX 01 01 01 (*cont'd*)

- flat-rate allowances and payments at hourly rates for overtime worked by officials in category AST which cannot be compensated, under the arrangements laid down, by free time,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- transitional costs for officials assigned to posts in new Member States prior to accession who are requested to remain in service in those Member States following the accession date, and who will be entitled, exceptionally, to the same financial and material conditions applied by the Commission before accession, in accordance with Annex X to the Staff Regulations of Officials and the Conditions of Employment of Other Servants of the European Union,
- the cost of any adjustments to remuneration during the financial year.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 49 500 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 01 (*cont'd*)

## XX 01 01 02 Expenditure related to Commission officials and temporary staff working in Union delegations

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014
XX 01 01 02	Expenditure related to Commission officials and temporary staff working in Union delegations				
XX 01 01 02 01	Remuneration and allowances	5.2	104 747 000	105 435 000	101 982 176,34
XX 01 01 02 02	Expenses and allowances related to recruitment, transfers and termination of service	5.2	7 188 000	5 676 000	8 486 742,41
XX 01 01 02 03	Appropriations to cover any adjustments to remuneration	5.2	912 000	860 000	0,—
	Item XX 01 01 02 — Total		112 847 000	111 971 000	110 468 918,75

*Remarks*

In respect of Items 19 01 01 02, 20 01 01 02, 21 01 01 02 and 22 01 01 02, relating to Union delegations in third countries and at international organisations, this appropriation is intended to cover, in respect of officials and temporary staff holding posts on the Commission establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- overtime,
- the cost of weightings applied to the remuneration of officials and temporary staff,
- the cost of any adjustments to remuneration during the financial year,
- installation and resettlement allowances due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment, and on finally leaving the institution and resettling elsewhere,
- travel expenses due to staff (including members of their family) on taking up duty, leaving the institution or transfer to another place of employment,
- removal expenses due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment, and on finally leaving the institution and resettling elsewhere.



CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)**XX 01 01** (*cont'd*)XX 01 01 02 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

**XX 01 02** *External personnel and other management expenditure*

## XX 01 02 01 External personnel working with the institution

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014
XX 01 02 01	External personnel working with the institution				
XX 01 02 01 01	Contract staff	5.2	65 206 000	62 714 000	68 218 939,88
XX 01 02 01 02	Agency staff and technical and administrative assistance in support of different activities	5.2	21 900 000	23 700 000	22 581 130,06
XX 01 02 01 03	National civil servants temporarily assigned to the institution	5.2	37 308 000	37 183 000	32 262 661,71
	Item XX 01 02 01 — Total		124 414 000	123 597 000	123 062 731,65

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the territory of the Union:

- the remuneration of contract staff (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to social welfare for contract staff and the impact of weightings applicable to the remuneration of such staff,
- a sum to cover the remuneration of contract staff acting as guides for persons with disabilities,
- the employment of agency staff, particularly clerical staff and shorthand typists,

## COMMISSION

## TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 02 (*cont'd*)XX 01 02 01 (*cont'd*)

- expenditure on staff included in service contracts for technical and administrative work and the supply of intellectual services, and expenditure on buildings and equipment and operating costs relating to this type of staff,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Commission or called for short consultations, particularly to draft legislation on harmonisation in various areas; exchanges are also organised to allow uniform application of Union legislation by the Member States,
- the cost of any adjustments to remuneration during the financial year.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Revenue from contributions from the EFTA States to the Union's general costs under Article 82 of the Agreement on the European Economic Area gives rise to the provision of supplementary appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 202 932.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The amount of assigned revenue based on data available in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 470 875.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Council Directive 2000/78/EC of 27 November 2000 establishing a general framework for equal treatment in employment and occupation (OJ L 303, 2.12.2000, p. 16).

Code of good practice for the employment of people with disabilities, adopted by European Parliament Bureau Decision of 22 June 2005.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 02 (*cont'd*)

## XX 01 02 02 External personnel of the Commission in Union delegations

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014
XX 01 02 02	External personnel of the Commission in Union delegations				
XX 01 02 02 01	Remuneration of other staff	5.2	8 945 000	8 869 000	8 482 552,74
XX 01 02 02 02	Training of junior experts and seconded national experts	5.2	1 828 000	1 810 000	1 752 000,—
XX 01 02 02 03	Expenses of other staff and payment for other services	5.2	343 000	340 000	337 000,—
	Item XX 01 02 02 — Total		11 116 000	11 019 000	10 571 552,74

*Remarks*

In respect of Items 19 01 02 02, 20 01 02 02, 21 01 02 02 and 22 01 02 02, relating to external personnel of the Commission posted in Union delegations in third countries and at international organisations, this appropriation is intended to cover:

- the remuneration of local and/or contract staff, and the social security charges and benefits to be met by the employer,
- employer's contributions towards supplementary social security cover for local staff,
- services of agency and freelance staff.

As regards junior experts and seconded national experts in Union delegations, this appropriation is intended:

- to finance or co-finance the expenditure related to the posting of junior experts (university graduates) in Union delegations,
- to meet the costs of seminars organised for young diplomats from the Member States and third countries,
- to cover expenditure relating to the secondment or temporary assignment of officials from the Member States to Union delegations.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 7 000.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

## COMMISSION

## TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 02 (*cont'd*)

## XX 01 02 11 Other management expenditure of the institution

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014
XX 01 02 11	Other management expenditure of the institution				
XX 01 02 11 01	Mission and representation expenses	5.2	57 067 000	56 654 500	56 525 682,91
XX 01 02 11 02	Conferences, meetings and expert groups' expenses	5.2	25 890 000	25 842 500	22 114 187,65
XX 01 02 11 03	Meetings of committees	5.2	12 215 000	12 215 000	11 854 058,94
XX 01 02 11 04	Studies and consultations	5.2	6 090 000	6 394 000	5 004 088,38
XX 01 02 11 05	Information and management systems	5.2	28 793 000	28 650 000	34 345 024,28
XX 01 02 11 06	Further training and management training	5.2	11 900 000	12 400 000	12 992 053,14
	Item XX 01 02 11 — Total		141 955 000	142 156 000	142 835 095,30

## Remarks

This appropriation is intended to cover the following decentralised operating expenditure:

## Missions:

- travel expenses, including ancillary costs relating to tickets and reservations, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by Commission staff covered by the Staff Regulations and by national or international experts or officials seconded to Commission departments (refunds of mission expenses paid for the account of other Union institutions or bodies and for third parties will constitute assigned expenditure). Where the option is available, the Commission will use airlines covered by collective bargaining agreements and complying with the relevant ILO conventions.

## Representation expenses:

- reimbursement of the costs incurred by persons officially representing the Commission (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions).

## Meetings of experts:

- reimbursement of the costs incurred for the functioning of the expert groups established or convened by the Commission: travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission).

**CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS** (*cont'd*)**XX 01 02** (*cont'd*)**XX 01 02 11** (*cont'd*)

## Conferences:

- expenditure relating to conferences, congresses and meetings organised by the Commission in support of its various policies, and expenditure for running a network for financial control organisations and bodies, including an annual meeting between such organisations and the members of the European Parliament's Committee on Budgetary Control, as requested in paragraph 88 of European Parliament resolution of 27 April 2006 with comments forming an integral part of the decision on the discharge for implementation of the European Union general budget for the financial year 2004, Section III — Commission (OJ L 340, 6.12.2006, p. 5),
- expenditure relating to conferences, seminars, meetings, training courses and practical in-house training for officials of the Member States who manage or monitor operations financed by the Union funds or operations to collect revenue that constitutes Union own resources or cooperate in the Union statistics system, and expenditure of the same type for officials from the countries of central and eastern Europe managing or monitoring operations financed under Union programmes,
- expenditure on training third country officials who carry out management or control duties with a direct bearing on protecting the Union's financial interest,
- the cost of the Commission's participation in conferences, congresses and meetings,
- conference enrolment fees, excluding training expenses,
- subscriptions to trade and scientific associations,
- the cost of refreshments and food served on special occasions during internal meetings.

## Meetings of Committees:

- travel, subsistence and incidental expenses of experts participating in committees set up by the Treaty and by European Parliament and Council Regulations or Council Regulations, and the cost of organising such meetings where they are not covered by the existing infrastructure (in the headquarters of the institutions or external offices) (experts are reimbursed on the basis of decisions made by the Commission).

## Studies and consultations:

- expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) if the Commission does not have suitable staff available to carry out such studies,
- the purchase of studies already carried out or subscriptions with specialist research institutions.

## Information and management systems:

- the development and maintenance under contract of management and information systems,

## COMMISSION

## TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 02 (*cont'd*)XX 01 02 11 (*cont'd*)

- the acquisition and maintenance of complete (turnkey) information and management systems in the field of administrative management (staff, budget, finance, accounts, etc.),
- studies, documentation and training linked to those systems and project management,
- the acquisition of skills and expertise in the area of information technology for all departments: quality, security, technology, development methodology, information technology management, etc.,
- technical support for those systems, and the technical work needed to ensure that they operate satisfactorily.

Further training and management training:

- expenditure on general training designed to improve the skills of the staff and the performance and efficiency of the Commission:
  - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality assurance and personnel management,
  - expenditure incurred in designing, holding and evaluating the training organised by the Commission in the form of courses, seminars and conferences (course instructors/speakers and their travel and subsistence expenses, and teaching materials),
  - the cost of attending external training and of joining the relevant professional organisations,
  - expenditure related to the practical aspects of organising such courses and the use of premises and transport and the cost of food and accommodation for the participants of residential courses,
  - training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
  - financing teaching aids.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Revenue from contributions from the EFTA States to the Union's general costs under Article 82 of the Agreement on the European Economic Area gives rise to the provision of supplementary appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 727 500.

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 02 (*cont'd*)XX 01 02 11 (*cont'd*)

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The amount of assigned revenue based on data available in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 7 550 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

## XX 01 02 12 Other management expenditure relating to Commission staff in Union delegations

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014
XX 01 02 12	Other management expenditure relating to Commission staff in Union delegations				
XX 01 02 12 01	Missions, conferences and representation expenses	5.2	5 587 000	5 657 000	5 796 000,—
XX 01 02 12 02	Further training of staff in delegations	5.2	699 000	274 000	330 000,—
	Item XX 01 02 12 — Total		6 286 000	5 931 000	6 126 000,—

*Remarks*

In respect of Items 19 01 02 12, 20 01 02 12, 21 01 02 12 and 22 01 02 12, relating to Commission staff posted in Union delegations in third countries and at international organisations, this appropriation is intended to cover:

- miscellaneous costs and allowances concerning other staff, including legal consultations,
- expenditure arising from recruitment procedures of officials, contract staff and local staff, including publication costs, travel and subsistence costs and accident insurance for candidates called for examinations and interviews, costs connected with the organisation of group recruitment tests and pre-recruitment medical examinations,
- expenses related to the cost of annual medical examinations of officials, contract staff and local staff, including analyses and tests carried out as part of such examinations, cultural activities and initiatives for encouraging social contacts,
- expenses related to the medical costs of local staff employed under local law contracts, the cost of medical and dental advisers and the costs concerning the policy regarding AIDS at the workplace,

## COMMISSION

## TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS *(cont'd)***XX 01 02** *(cont'd)*XX 01 02 12 *(cont'd)*

- the fixed allowance granted to officials who regularly incur representation expenses by reason of their duties, and the reimbursement of costs incurred by authorised officials to represent the Commission/Union in the interest of the service and by reason of their duties (in the case of Union delegations within the territory of the Union, part of the accommodation expenses will be covered by the fixed representation allowance),
- expenditure on travel expenses, daily subsistence allowances for missions and incidental or exceptional expenses incurred in connection with missions by officials and other staff,
- expenditure on travel expenses and daily subsistence allowances in connection with medical evacuations,
- expenditure arising from crisis situations, including travel, accommodation and daily subsistence allowances,
- expenditure on general and language training designed to improve the skills of the staff and the performance of the Commission:
  - fees for experts employed to identify training needs, design, develop and hold courses, and to evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, planning, management, strategy, quality assurance and personnel management,
  - expenditure incurred in designing, holding and evaluating training organised by the Commission or the EEAS in the form of courses, seminars and conferences (course instructors/speakers and their travel and subsistence expenses and teaching materials),
  - expenditure related to the practical and logistical aspects of organising courses including premises, transport and equipment hire for training and local and regional seminars as well as miscellaneous connected costs such as refreshments and food,
  - the cost of participation in conferences and symposiums, and subscriptions to professional and scientific associations,
  - training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licenses for distance teaching, books, press and multimedia products.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 18 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.



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TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)**XX 01 03      *Expenditure related to information and communication technology equipment and services, and buildings***

XX 01 03 01      Expenditure related to information and communication technology equipment and services of the Commission

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014
XX 01 03 01	Expenditure related to information and communication technology equipment and services of the Commission				
XX 01 03 01 03	Information and communication technology equipment	5.2	61 113 000	56 169 000	63 583 563,10
XX 01 03 01 04	Information and communication technology services	5.2	62 835 000	62 866 000	74 413 567,72
	Item XX 01 03 01 — Total		123 948 000	119 035 000	137 997 130,82

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the territory of the Union:

- telecommunications facilities within the Commission's buildings, notably the purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems,
- data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing of equipment, including toner, relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers and scanners,
- the purchase, hire or leasing of electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media, the training and support required for accessing this information,
- subscription charges and the cost of cable or radio communications (fixed and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, the cost of inter-building telephone and data links and international transmission lines between sites of Union offices,

## COMMISSION

## TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 03 (*cont'd*)XX 01 03 01 (*cont'd*)

- technical and logistic support, training and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software,
- expenditure on the Data Centre:
  - the purchase, hire or leasing of computers, peripherals and software for the Data Centre, and the costs of back-up facilities,
  - maintenance, support, studies, documentation, training and supplies related to this equipment and outside operating personnel,
- the development and maintenance, under contract, of the necessary software for the operation of the Data Centre.

Appropriations to cover the equivalent expenditure in respect of research are entered under various Items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding Commission Representations in the Union for which expenditure is entered in Item 16 01 03 03.

Any revenue from the Swiss Confederation's contributions for participation in Union programmes, entered under Item 6 0 3 3 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 13 865 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS (*cont'd*)XX 01 03 (*cont'd*)

## XX 01 03 02 Buildings and related expenditure relating to Commission staff in Union delegations

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014
XX 01 03 02	Buildings and related expenditure relating to Commission staff in Union delegations				
XX 01 03 02 01	Acquisition, renting and related expenditure	5.2	28 797 000	26 872 000	41 857 000,—
XX 01 03 02 02	Equipment, furniture, supplies and services	5.2	836 000	906 000	8 336 000,—
	Item XX 01 03 02 — Total		29 633 000	27 778 000	50 193 000,—

*Remarks*

In respect of Items 19 01 03 02, 20 01 03 02, 21 01 03 02 and 22 01 03 02, relating to Commission staff posted in Union delegations in third countries and with international organisations, this appropriation is intended to cover:

- temporary accommodation allowances and daily allowances,
- for all the buildings or parts of buildings for officials posted outside the Union: rents (including temporary accommodation) and taxes, insurance premiums, refurbishments and major repairs, and routine expenditure relating to the security of persons,
- for the buildings or parts of buildings occupied by officials within the territory of the Union: reimbursement of expenditure relating to the security of housing,
- the purchase, maintenance and repair of equipment such as generators and air-conditioning units for officials' housing,
- all expenditure on furniture and fittings for residential accommodation made available to officials.

*Legal basis*

Staff Regulations of Officials of the European Union.

COMMISSION

*TITLE 01*

**ECONOMIC AND FINANCIAL AFFAIRS**

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

**TITLE 01****ECONOMIC AND FINANCIAL AFFAIRS****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01 01	ADMINISTRATIVE EXPENDITURE OF THE 'ECONOMIC AND FINANCIAL AFFAIRS' POLICY AREA	82 891 865	82 891 865	80 530 357	80 530 357	79 745 983,24	79 745 983,24
01 02	ECONOMIC AND MONETARY UNION	15 990 500	14 692 500	12 827 385	10 698 630	11 728 002,97	11 756 643,64
01 03	INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS	336 790 792	336 790 792	222 364 518	218 627 579	96 614 168,—	58 612 100,15
01 04	FINANCIAL OPERATIONS AND INSTRUMENTS	2 097 000 000	662 650 000	1 410 500 000	154 032 098	42 517 445,55	150 114 822,55
	<b>Title 01 — Total</b>	<b>2 532 673 157</b>	<b>1 097 025 157</b>	<b>1 726 222 260</b>	<b>463 888 664</b>	<b>230 605 599,76</b>	<b>300 229 549,58</b>

COMMISSION

TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

**TITLE 01****ECONOMIC AND FINANCIAL AFFAIRS****CHAPTER 01 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ECONOMIC AND FINANCIAL AFFAIRS' POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
01 01	ADMINISTRATIVE EXPENDITURE OF THE 'ECONOMIC AND FINANCIAL AFFAIRS' POLICY AREA					
<b>01 01 01</b>	<b><i>Expenditure related to officials and temporary staff in the 'Economic and financial affairs' policy area</i></b>	5.2	65 698 945	62 357 038	61 680 284,84	93,88
<b>01 01 02</b>	<b><i>External personnel and other management expenditure in support of the 'Economic and financial affairs' policy area</i></b>					
01 01 02 01	External personnel	5.2	6 008 098	6 323 049	5 754 689,32	95,78
01 01 02 11	Other management expenditure	5.2	6 721 845	7 591 468	7 595 163,48	112,99
	Article 01 01 02 — Subtotal		12 729 943	13 914 517	13 349 852,80	104,87
<b>01 01 03</b>	<b><i>Expenditure related to information and communication technology equipment and services, and specific expenditure in the 'Economic and financial affairs' policy area</i></b>					
01 01 03 01	Expenditure related to information and communication technology equipment and services, and specific expenditure	5.2	4 192 977	3 958 802	4 452 468,46	106,19
01 01 03 04	Expenditure related to specific electronic, telecommunication and information needs	5.2	270 000	300 000	263 377,14	97,55
	Article 01 01 03 — Subtotal		4 462 977	4 258 802	4 715 845,60	105,67
	<b>Chapter 01 01 — Total</b>		<b>82 891 865</b>	<b>80 530 357</b>	<b>79 745 983,24</b>	<b>96,20</b>

**01 01 01      Expenditure related to officials and temporary staff in the 'Economic and financial affairs' policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
65 698 945	62 357 038	61 680 284,84

**CHAPTER 01 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘ECONOMIC AND FINANCIAL AFFAIRS’ POLICY AREA** (*cont'd*)**01 01 02 External personnel and other management expenditure in support of the ‘Economic and financial affairs’ policy area****01 01 02 01 External personnel***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
6 008 098	6 323 049	5 754 689,32

**01 01 02 11 Other management expenditure***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
6 721 845	7 591 468	7 595 163,48

**01 01 03 Expenditure related to information and communication technology equipment and services, and specific expenditure in the ‘Economic and financial affairs’ policy area****01 01 03 01 Expenditure related to information and communication technology equipment and services, and specific expenditure***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 192 977	3 958 802	4 452 468,46

**01 01 03 04 Expenditure related to specific electronic, telecommunication and information needs***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
270 000	300 000	263 377,14

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- expenditure on equipping buildings with telecommunications, in particular the purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing, installation and maintenance of electronic office equipment, computers, terminals, mini-computers, peripherals, connection devices and the necessary software,

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## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ECONOMIC AND FINANCIAL AFFAIRS' POLICY AREA (*cont'd*)01 01 03 (*cont'd*)01 01 03 04 (*cont'd*)

- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing such information,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, telex, telegraph, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of connection to telecommunication networks, for example SWIFT (interbank) and CoreNet (secure network set up by the ECB), and related infrastructure and services,
- installation, configuration, maintenance, studies, evaluations, documentation and supplies related to this equipment.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## CHAPTER 01 02 — ECONOMIC AND MONETARY UNION

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
01 02	ECONOMIC AND MONETARY UNION								
<b>01 02 01</b>	<b><i>Coordination and surveillance of, and communication on, the economic and monetary union, including the euro</i></b>	1.1	11 952 000	11 700 000	11 802 585	10 001 744	10 849 485,65	11 007 550,83	94,08
<b>01 02 02</b>	<b><i>European Union guarantee for Union borrowings for balance-of-payments support</i></b>	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>01 02 03</b>	<b><i>European Union guarantee for Union borrowings for financial assistance under the European financial stabilisation mechanism</i></b>	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>01 02 04</b>	<b><i>Protecting euro banknotes and coins against counterfeiting and related fraud</i></b>	1.1	1 038 500	992 500	1 024 800	609 775	878 517,32	276 610,94	27,87
<b>01 02 51</b>	<b><i>Completion of Pericles</i></b>	1.1	p.m.	p.m.	p.m.	87 111	0,—	472 481,87	
<b>01 02 77</b>	<b><i>Pilot projects and preparatory actions</i></b>								
01 02 77 01	Preparatory action — Capacity development and institution building to support the implementation of economic reforms	1.2	3 000 000	2 000 000					
	Article 01 02 77 — Subtotal		3 000 000	2 000 000					
	<b>Chapter 01 02 — Total</b>		<b>15 990 500</b>	<b>14 692 500</b>	<b>12 827 385</b>	<b>10 698 630</b>	<b>11 728 002,97</b>	<b>11 756 643,64</b>	<b>80,02</b>

**01 02 01** ***Coordination and surveillance of, and communication on, the economic and monetary union, including the euro***

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
11 952 000	11 700 000	11 802 585	10 001 744	10 849 485,65	11 007 550,83

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION (*cont'd*)01 02 01 (*cont'd*)*Remarks**Former Article 01 02 01 (in part)*

This appropriation is intended to cover the cost of carrying out the Joint Harmonised EU Programme of Business and Consumer Surveys in the Member States and candidate countries. The programme was initiated by a Commission Decision in November 1961 and was modified through subsequent Council and Commission decisions. It was last approved through Commission Decision C(97) 2241 of 15 July 1997 and presented in the Commission communication COM(2006) 379 final on 12 July 2006 (OJ C 245, 12.10.2006, p. 5).

This appropriation is also intended to cover the cost of studies, workshops, conferences, analyses, evaluations, publications, technical assistance, the purchase and maintenance of databases and software and the part-financing and support of measures relating to:

- fiscal policy including monitoring of fiscal positions,
- assessment of transposition and application by the Member States of the new Union fiscal governance framework supporting the functioning of the economic and monetary union (EMU),
- economic monitoring, analysis of the combination of measures and coordination of economic policies,
- the external aspects of the EMU,
- macroeconomic developments in the euro area,
- monitoring structural reforms and improving the operation of markets in the EMU and in the Union,
- coordination with financial institutions and analysis and development of financial markets, and borrowing and lending operations involving Member States,
- the facility providing financial assistance for Member States' balance of payments and the European financial stabilisation mechanism,
- cooperation with economic operators and decision-makers in the abovementioned fields,
- expanding the EMU,
- software development, maintenance and related training for the protection of the euro against counterfeiting.

This appropriation is also intended to cover the funding of priority information measures on Union policies on all aspects of the rules and functioning of EMU, as well as on the benefits of closer policy coordination and structural reforms, and to address information needs of key stakeholders and citizens, in relation to the EMU.

**CHAPTER 01 02 — ECONOMIC AND MONETARY UNION** (*cont'd*)**01 02 01** (*cont'd*)

This measure is designed to be an effective channel of communication and dialogue between the citizens of the Union and the Union institutions, and to take account of specific national and regional characteristics, where appropriate in cooperation with the Member State authorities. Emphasis will also be placed on preparing citizens for the introduction of the euro in Member States planning for its introduction.

It includes:

- the development of communication activities at central level (brochures, leaflets, newsletters, website design, development and maintenance, social media, exhibitions, stands, conferences, seminars, audiovisual products, opinion polls, surveys, studies, promotional material, twinning programmes, training etc.), and similar activities at the national and regional level implemented in cooperation with the Commission's representations,
- partnership agreements with Member States that wish to provide information about the euro or the EMU,
- cooperation and networking with Member States in the appropriate forums,
- communication initiatives in third countries, in particular to point out the international role of the euro and the value of financial integration.

The Commission, when implementing this article, should take duly into account the outcomes of the meetings of the Interinstitutional Group on Information (IGI).

The implementation of the Commission's communication strategy takes place in close coordination with the Member States and the European Parliament.

The Commission adopts a strategy and an annual work plan drawing on the orientations set out in its Communication of 11 August 2004 (COM(2004) 552 final) and it reports regularly to the relevant committee of the European Parliament on the implementation of the programme and on planning for the coming year.

This appropriation is also intended to cover or to temporarily pre-finance costs incurred by the Union in concluding and carrying out operations linked with the borrowing and lending operations for macro-financial assistance, Euratom, balance of payment and European financial stabilisation mechanism.

Any revenue entered in Article 5 5 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(3)(a) of the Financial Regulation.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payments (OJ L 53, 23.2.2002, p. 1).

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## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION (*cont'd*)01 02 01 (*cont'd*)

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).

01 02 02 **European Union guarantee for Union borrowings for balance-of-payments support**

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

The European Union guarantee is for borrowings raised on the capital markets or from financial institutions. The amount in principal of loans which may then be granted to the Member States is limited to EUR 50 000 000 000.

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

*Legal basis*

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payments (OJ L 53, 23.2.2002, p. 1).

Council Decision 2009/102/EC of 4 November 2008 providing Community medium-term financial assistance for Hungary (OJ L 37, 6.2.2009, p. 5).

Council Decision 2009/290/EC of 20 January 2009 providing Community medium-term financial assistance for Latvia (OJ L 79, 25.3.2009, p. 39).

Council Decision 2009/459/EC of 6 May 2009 providing Community medium-term financial assistance for Romania (OJ L 150, 13.6.2009, p. 8).

Council Decision 2011/288/EU of 12 May 2011 providing precautionary EU medium-term financial assistance for Romania (OJ L 132, 19.5.2011, p. 15).

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION (*cont'd*)**01 02 03      *European Union guarantee for Union borrowings for financial assistance under the European financial stabilisation mechanism****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

Article 122(2) of the Treaty on the Functioning of the European Union provides for the possibility of granting Union financial assistance to a Member State in difficulties or seriously threatened with severe difficulties caused by exceptional occurrences beyond its control.

The guarantee provided by the Union is for borrowings raised on the capital markets or from financial institutions.

In accordance with Article 2(2) of Regulation (EU) No 407/2010, the outstanding amount of loans and credit lines granted to Member States under this stabilisation mechanism is to be limited to the margin available under the Union's own resources for payment appropriations.

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

*Legal basis*

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).

Council Implementing Decision 2011/77/EU of 7 December 2010 on granting Union financial assistance to Ireland (OJ L 30, 4.2.2011, p. 34).

Council Implementing Decision 2011/344/EU of 17 May 2011 on granting Union financial assistance to Portugal (OJ L 159, 17.6.2011, p. 88).

Council Implementing Decision 2011/682/EU of 11 October 2011 amending Implementing Decision 2011/77/EU on granting Union financial assistance to Ireland (OJ L 269, 14.10.2011, p. 31).

Council Implementing Decision 2011/683/EU of 11 October 2011 amending Implementing Decision 2011/344/EU on granting Union financial assistance to Portugal (OJ L 269, 14.10.2011, p. 32).

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION (*cont'd*)01 02 03 (*cont'd*)*Reference acts*

Treaty on the Functioning of the European Union, and in particular Article 122(2) thereof.

01 02 04 **Protecting euro banknotes and coins against counterfeiting and related fraud***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 038 500	992 500	1 024 800	609 775	878 517,32	276 610,94

*Remarks*

Former Article 24 03 01

*Legal basis*

Regulation (EU) No 331/2014 of the European Parliament and of the Council of 11 March 2014 establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles 2020' programme) and repealing Council Decisions 2001/923/EC, 2001/924/EC, 2006/75/EC, 2006/76/EC, 2006/849/EC and 2006/850/EC (OJ L 103, 5.4.2014, p. 1), and in particular Article 4 thereof.

Council Regulation (EU) 2015/768 of 11 May 2015 extending to the non-participating Member States the application of Regulation (EU) No 331/2014 of the European Parliament and of the Council establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles 2020' programme) (OJ L 121, 14.5.2015, p. 1), and in particular Article 1 thereof.

01 02 51 **Completion of Pericles***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	87 111	0,—	472 481,87

*Remarks*

Former Article 24 03 51

This appropriation is intended to finance the completion costs of the Pericles programme for training, exchange and assistance for the protection of the euro against counterfeiting.

**CHAPTER 01 02 — ECONOMIC AND MONETARY UNION** (*cont'd*)**01 02 51** (*cont'd*)*Legal basis*

Council Decision 2001/923/EC of 17 December 2001 establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles' programme) (OJ L 339, 21.12.2001, p. 50).

Council Decision 2001/924/EC of 17 December 2001 extending the effects of the Decision establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting ('Pericles' programme) to the Member States which have not adopted the euro as the single currency (OJ L 339, 21.12.2001, p. 55).

Council Decision 2006/75/EC of 30 January 2006 amending and extending Decision 2001/923/EC establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles' programme) (OJ L 36, 8.2.2006, p. 40).

Council Decision 2006/76/EC of 30 January 2006 extending to the non-participating Member States the application of Decision 2006/75/EC amending and extending Decision 2001/923/EC establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the 'Pericles' programme) (OJ L 36, 8.2.2006, p. 42).

Council Decision 2006/849/EC of 20 November 2006 amending and extending Decision 2001/923/EC establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the Pericles programme) (OJ L 330, 28.11.2006, p. 28).

Council Decision 2006/850/EC of 20 November 2006 extending to the non-participating Member States the application of Decision 2006/849/EC amending and extending Decision 2001/923/EC establishing an exchange, assistance and training programme for the protection of the euro against counterfeiting (the Pericles programme) (OJ L 330, 28.11.2006, p. 30).

*Reference acts*

Commission Communication of 22 July 1998 to the Council, the European Parliament and the European Central Bank: 'Protection of the euro — Combating counterfeiting' (COM(98) 474 final).

Resolution of the European Parliament of 17 November 1998 on the communication from the Commission to the Council, the European Parliament and the European Central Bank: 'Protection of the euro — Combating counterfeiting' (OJ C 379, 7.12.1998, p. 39).

**01 02 77** ***Pilot projects and preparatory actions***

01 02 77 01 Preparatory action — Capacity development and institution building to support the implementation of economic reforms

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 000 000	2 000 000				

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION (*cont'd*)01 02 77 (*cont'd*)01 02 77 01 (*cont'd*)*Remarks*

This preparatory action is intended to finance the provision of support to Member States for the implementation of key reforms in the areas of fiscal responsibility, growth-enhancing public administration and competitiveness.

This support will aim to enhance the capacity of Member States to implement reforms and to strengthen their public institutions. It should be focused in particular on fiscal, structural and institutional reforms that have been identified as priorities in the course of the Union's regular cycle of macroeconomic surveillance, in the course of an economic adjustment programme, during enhanced surveillance, or during post-programme surveillance. It will be delivered by the Commission upon request of the Member State concerned and is open to all Member States.

This appropriation may be used to cover the implementation of technical assistance programmes and projects by national and international public organisations with strong expertise in capacity development and institution building as well as by private sector actors. It may also be used to cover expenditure related to the preparatory phases of such programmes and projects (programming, identification and formulation) as well as monitoring, evaluation, audit and control during and after implementation. It may also cover the costs of short-term technical assistance, twinning-type arrangements between public authorities as well as support expenditure for such activities (training, meetings, seminars, studies).

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

## CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
01 03	INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS								
01 03 01	<b>Participation in the capital of inter- national financial institutions</b>								
01 03 01 01	European Bank for Reconstruction and Development — Provision of paid-up shares of subscribed capital	4	—	—	—	—	0,—	0,—	
01 03 01 02	European Bank for Reconstruction and Development — Callable portion of subscribed capital	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 01 03 01 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 03 02	<b>Macro-financial assistance</b>	4	79 669 000	79 669 000	77 955 000	74 218 061	38 181 874,—	179 806,15	0,23
01 03 03	<b>European Union guarantee for Union borrowings for macro- financial assistance to third countries</b>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 03 04	<b>Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in third countries</b>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 03 05	<b>European Union guarantee for European Investment Bank loans and loan guarantees for oper- ations in third countries</b>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
01 03 06	<b>Provisioning of the Guarantee Fund</b>	4	257 121 792	257 121 792	144 409 518	144 409 518	58 432 294,—	58 432 294,—	22,73
	<b>Chapter 01 03 — Total</b>		<b>336 790 792</b>	<b>336 790 792</b>	<b>222 364 518</b>	<b>218 627 579</b>	<b>96 614 168,—</b>	<b>58 612 100,15</b>	<b>17,40</b>

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)**01 03 01      *Participation in the capital of international financial institutions***

## 01 03 01 01      European Bank for Reconstruction and Development — Provision of paid-up shares of subscribed capital

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

*Remarks*

This item is intended to cover the financing of the capital subscribed by the Union in the European Bank for Reconstruction and Development (EBRD).

*Legal basis*

Council Decision 90/674/EEC of 19 November 1990 on the conclusion of the Agreement establishing the European Bank for Reconstruction and Development (OJ L 372, 31.12.1990, p. 1).

Council Decision 97/135/EC of 17 February 1997 providing that the European Community should subscribe for extra shares as a result of the decision to double the capital of the European Bank for Reconstruction and Development (OJ L 52, 22.2.1997, p. 15).

Decision No 1219/2011/EU of the European Parliament and of the Council of 16 November 2011 concerning the subscription by the European Union to additional shares in the capital of the European Bank for Reconstruction and Development (EBRD) as a result of the decision to increase this capital (OJ L 313, 26.11.2011, p. 1).

## 01 03 01 02      European Bank for Reconstruction and Development — Callable portion of subscribed capital

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing of the capital subscribed by the Union in the European Bank for Reconstruction and Development.

The EBRD's current subscribed capital base amounts to EUR 29 674 000 000, while the total share capital subscribed by the Union accounts for EUR 900 440 000 (3 %). The paid-in shares of subscribed capital amounts to EUR 187 810 000, leaving a callable portion of subscribed capital amounting to EUR 712 630 000.

**CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS** (*cont'd*)**01 03 01** (*cont'd*)01 03 01 02 (*cont'd*)*Legal basis*

Council Decision 90/674/EEC of 19 November 1990 on the conclusion of the Agreement establishing the European Bank for Reconstruction and Development (OJ L 372, 31.12.1990, p. 1).

Council Decision 97/135/EC of 17 February 1997 providing that the European Community should subscribe for extra shares as a result of the decision to double the capital of the European Bank for Reconstruction and Development (OJ L 52, 22.2.1997, p. 15).

Decision No 1219/2011/EU of the European Parliament and of the Council of 16 November 2011 concerning the subscription by the European Union to additional shares in the capital of the European Bank for Reconstruction and Development (EBRD) as a result of the decision to increase this capital (OJ L 313, 26.11.2011 p. 1).

**01 03 02** **Macro-financial assistance***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
79 669 000	79 669 000	77 955 000	74 218 061	38 181 874,—	179 806,15

*Remarks*

Macro-financial assistance ('MFA') is a form of financial aid extended by the Union to partner countries experiencing a balance of payments crisis. MFA is designed for countries geographically, economically and politically close to the Union. These include candidate and potential candidate countries, countries covered by the European Neighbourhood Policy and, in certain circumstances, other third countries. In principle, MFA is only available to countries benefiting from an International Monetary Fund programme.

MFA is exceptional in nature and is mobilised on a case-by-case basis to help countries dealing with serious balance-of-payments difficulties. Its objective is to restore a sustainable external financial situation, while encouraging economic adjustments and structural reforms.

While MFA can take the form of medium/long-term loans or grants, or a combination of these, this article only covers the grant element of MFA operations.

Appropriations under this article will also be used to cover costs incurred in relation to MFA operations, and in particular: (i) costs incurred to conduct operational assessments in the beneficiary countries to obtain reasonable assurances on the functioning of administrative procedures and financial circuits, (ii) costs for ex-post evaluations of MFA operations, and (iii) costs to cover comitology requirements.

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 02 (*cont'd*)

The Commission will inform the budgetary authority regularly of the macro-financial situation of the beneficiary countries and will report extensively on the implementation of MFA on a yearly basis.

Any revenue entered in Article 5 5 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(3)(a) of the Financial Regulation.

*Legal basis*

Council Decision No 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22).

Decision No 388/2010/EU of the European Parliament and of the Council of 7 July 2010 providing macro-financial assistance to Ukraine (OJ L 179, 14.7.2010, p. 1).

Decision No 778/2013/EU of the European Parliament and of the Council of 12 August 2013 providing further macro-financial assistance to Georgia (OJ L 218, 14.8.2013, p. 15).

Decision No 1025/2013/EU of the European Parliament and of the Council of 22 October 2013 providing macro-financial assistance to the Kyrgyz Republic (OJ L 283, 25.10.2013, p. 1).

01 03 03 **European Union guarantee for Union borrowings for macro-financial assistance to third countries***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default on a loan granted under the Decisions referred to below.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

*Legal basis*

Council Decision 97/471/EC of 22 July 1997 providing macro-financial assistance for the former Yugoslav Republic of Macedonia (OJ L 200, 29.7.1997, p. 59) (a maximum amount of EUR 40 000 000 in principal).

**CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS** (*cont'd*)**01 03 03** (*cont'd*)

Council Decision 1999/325/EC of 10 May 1999 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 123, 13.5.1999, p. 57) (a maximum amount of EUR 30 000 000 in principal in the form of a 15-year loan).

Council Decision 1999/732/EC of 8 November 1999 providing supplementary macro-financial assistance to Romania (OJ L 294, 16.11.1999, p. 29) (a maximum amount of EUR 200 000 000 in principal).

Council Decision 1999/733/EC of 8 November 1999 providing supplementary macro-financial assistance to the former Yugoslav Republic of Macedonia (OJ L 294, 16.11.1999, p. 31) (a maximum amount of EUR 50 000 000 in principal).

Council Decision 2000/244/EC of 20 March 2000 amending Decision 97/787/EC providing exceptional financial assistance to Armenia and Georgia in order to extend it to Tajikistan (OJ L 77, 28.3.2000, p. 11) (a maximum amount of EUR 245 000 000 in principal).

Council Decision 2001/549/EC of 16 July 2001 providing macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 197, 21.7.2001, p. 38).

Council Decision 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22).

Council Decision 2002/882/EC of 5 November 2002 providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 308, 9.11.2002, p. 25).

Council Decision 2002/883/EC of 5 November 2002 providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 308, 9.11.2002, p. 28).

Council Decision 2003/825/EC of 25 November 2003 amending Decision 2002/882/EC providing further macro-financial assistance to the Federal Republic of Yugoslavia with regard to additional macro-financial assistance to Serbia and Montenegro (OJ L 311, 27.11.2003, p. 28).

Council Decision 2004/580/EC of 29 April 2004 providing macro-financial assistance to Albania and repealing Decision 1999/282/EC (OJ L 261, 6.8.2004, p. 116).

Council Decision 2004/861/EC of 7 December 2004 amending Council Decision 2002/883/EC providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 370, 17.12.2004, p. 80).

Council Decision 2004/862/EC of 7 December 2004 on macro-financial assistance to Serbia and Montenegro and amending Decision 2002/882/EC providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 370, 17.12.2004, p. 81).

Council Decision 2007/860/EC of 10 December 2007 providing Community macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).

Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance to Armenia (OJ L 320, 5.12.2009, p. 3).

Council Decision 2009/891/EC of 30 November 2009 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 320, 5.12.2009, p. 6).

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 03 (*cont'd*)

Council Decision 2009/892/EC of 30 November 2009 providing macro-financial assistance to Serbia (OJ L 320, 5.12.2009, p. 9).

Decision No 388/2010/EU of the European Parliament and of the Council of 7 July 2010 providing macro-financial assistance to Ukraine (OJ L 179, 14.7.2010, p. 1).

Decision No 778/2013/EU of the European Parliament and of the Council of 12 August 2013 providing further macro-financial assistance to Georgia (OJ L 218, 14.8.2013, p. 15).

Decision No 1025/2013/EU of the European Parliament and of the Council of 22 October 2013 providing macro-financial assistance to the Kyrgyz Republic (OJ L 283, 25.10.2013, p. 1).

Decision No 1351/2013/EU of the European Parliament and of the Council of 11 December 2013 on providing macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 341, 18.12.2013, p. 4).

Council Decision 2014/215/EU of 14 April 2014 providing macro-financial assistance to Ukraine (OJ L 111, 15.4.2014, p. 85).

Decision No 534/2014/EU of the European Parliament and of the Council of 15 May 2014 providing macro-financial assistance to the Republic of Tunisia (OJ L 151, 21.5.2014, p. 9).

Decision (EU) 2015/601 of the European Parliament and of the Council of 15 April 2015 providing macro-financial assistance to Ukraine (OJ L 100, 17.4.2015, p. 1).

**01 03 04** *Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in third countries*

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

The maximum amount of Euratom borrowings for the Member States and third countries remains fixed at EUR 4 000 000 000, as indicated in Article 01 04 03.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 04 (*cont'd*)*Legal basis*

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

For the legal basis for Euratom loans, see also Article 01 04 03.

01 03 05 ***European Union guarantee for European Investment Bank loans and loan guarantees for operations in third countries****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

Pursuant to Council Decision of 8 March 1977, the Union guarantees loans made by the European Investment Bank (EIB) in the context of the Union's financial commitments in respect of the Mediterranean countries.

That decision was the basis for a contract of guarantee between the European Economic Community and the EIB signed in Brussels on 30 October 1978 and in Luxembourg on 10 November 1978, setting up a comprehensive guarantee, equal to 75 % of all the funds available for loan operations in the following countries: Malta, Tunisia, Algeria, Morocco, Portugal (Financial Protocol, emergency aid), Turkey, Cyprus, Syria, Israel, Jordan, Egypt, former Yugoslavia and Lebanon.

Decision 90/62/EEC was the basis for a contract of guarantee between the European Economic Community and the EIB signed in Brussels on 24 April 1990 and in Luxembourg on 14 May 1990 as regards Hungary and Poland and for the extension of that contract to cover Czechoslovakia, Romania and Bulgaria, signed in Brussels and in Luxembourg on 31 July 1991.

Decision 93/696/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 22 July 1994 and in Luxembourg on 12 August 1994.

Pursuant to Decisions 93/115/EEC and 96/723/EC, the Union guarantees loans which may be made individually by the EIB in countries of Latin America and Asia with which the European Community has concluded cooperation agreements. Decision 93/115/EEC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 4 November 1993 and in Luxembourg on 17 November 1993. Decision 96/723/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 18 March 1997 and in Luxembourg on 26 March 1997.

Pursuant to Decision 95/207/EC, the Union guarantees loans which may be made individually by the EIB in South Africa. Decision 95/207/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 4 October 1995 and in Luxembourg on 16 October 1995.

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 05 (*cont'd*)

Decision 97/256/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997 setting up a guarantee restricted to 70 % of the aggregate amount of the appropriations opened, plus all related sums. The overall ceiling of the appropriations opened is equivalent to EUR 7 105 000 000.

Decision 2000/24/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 24 January 2000 and in Luxembourg on 17 January 2000, last restated in 2005, setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations opened, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 19 460 000 000. The EIB is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

Decision 2001/777/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 6 May 2002 and in Luxembourg on 7 May 2002 setting up a guarantee at 100 % against losses under a special lending action for selected environment projects in the Baltic Sea basin of Russia under the Northern Dimension. The overall ceiling is EUR 100 000 000.

Decision 2005/48/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Luxembourg on 9 December 2005 and in Brussels on 21 December 2005 setting up a guarantee at 100 % against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus. The overall ceiling is EUR 500 000 000. It covers a period ending on 31 January 2007. At the end of this period, the loans granted by the EIB not having attained the overall amounts referred to above, the period was automatically extended by six months.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations, less amounts reimbursed, plus all related sums. The overall ceiling of the appropriations made available for all countries under the Decision is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. That Decision has been replaced by Decision No 633/2009/EC.

Decision No 633/2009/EC was the basis for an amendment, signed on 28 October 2009, to the contract of guarantee between the European Community and the EIB which was signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007. The Union guarantee is restricted to 65 % of the aggregate amount of credits disbursed and guarantees. The maximum ceiling of the EIB financing operations, less amounts cancelled, shall not exceed EUR 27 800 000 000, broken down in a basic ceiling of EUR 25 800 000 000 and an optional mandate of EUR 2 000 000 000. It covers a period ending on 31 October 2011.

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg and in Brussels on 22 November 2011. The Union guarantee is restricted to 65 % of the aggregate amount of credits disbursed and guarantees less amounts reimbursed, plus all related amounts. The maximum ceiling of the EIB financing operations, less amounts cancelled, shall not exceed EUR 29 484 000 000, broken down into a general mandate of EUR 27 484 000 000 and a Climate Change mandate of EUR 2 000 000 000. It covers a period beginning on 1 February 2007 and ending on 31 December 2013 with an extension until the entry into force of a new decision.



**CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS** (*cont'd*)**01 03 05** (*cont'd*)

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the EIB signed in Luxembourg on 22 July 2014 and in Brussels on 25 July 2014. The Union guarantee is restricted to 65 % of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all related amounts. The maximum ceiling of the EIB financing operations under the Union guarantee, less amounts cancelled, shall not exceed EUR 30 000 000 000, broken down into a fixed ceiling of EUR 27 000 000 000 and an optional mandate of EUR 3 000 000 000. The European Parliament and the Council shall decide in accordance with the ordinary legislative procedure on the activation in whole or in part of the optional mandate. The Union guarantee covers EIB financing operations signed during the period beginning on 25 July 2014 and ending on 31 December 2020 with an extension of six months if the European Parliament and the Council have not adopted a new decision granting the Union guarantee to the EIB against losses under its financing operations outside the Union before the end of 2020.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default on the loans granted by the EIB.

*Legal basis*

Council Decision of 8 March 1977 (Mediterranean Protocols).

Council Regulation (EEC) No 1273/80 of 23 May 1980 on the conclusion of the Interim Protocol between the European Economic Community and the Socialist Federal Republic of Yugoslavia on the advance implementation of Protocol 2 to the Cooperation Agreement (OJ L 130, 27.5.1980, p. 98).

Council Decision of 19 July 1982 (further exceptional aid for the reconstruction of Lebanon).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision of 9 October 1984 (loan outside the Yugoslavia Protocol).

Council Decision 87/604/EEC of 21 December 1987 concerning the conclusion of the second Protocol on financial cooperation between the European Economic Community and the Socialist Federal Republic of Yugoslavia (OJ L 389, 31.12.1987, p. 65).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 05 (*cont'd*)

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 90/62/EEC of 12 February 1990 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Hungary, Poland, Czechoslovakia, Bulgaria and Romania (OJ L 42, 16.2.1990, p. 68).

Council Decision 91/252/EEC of 14 May 1991 extending to Czechoslovakia, Bulgaria and Romania Decision 90/62/EEC granting the Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 123, 18.5.1991, p. 44).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Decision 92/210/EEC of 16 March 1992 on the conclusion of a Protocol relating to financial cooperation between the European Economic Community and the State of Israel (OJ L 94, 8.4.1992, p. 45).

Council Regulation (EEC) No 1763/92 of 29 June 1992 concerning financial cooperation in respect of all the Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 5).

Council Decision 92/548/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 93/115/EEC of 15 February 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in certain third countries (OJ L 45, 23.2.1993, p. 27).

Council Decision 93/166/EEC of 15 March 1993 granting a Community guarantee to the European Investment Bank against losses under loans for investment projects carried out in Estonia, Latvia and Lithuania (OJ L 69, 20.3.1993, p. 42).

**CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS** (*cont'd*)**01 03 05** (*cont'd*)

Council Decision 93/408/EEC of 19 July 1993 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the Republic of Slovenia (OJ L 189, 29.7.1993, p. 152).

Council Decision 93/696/EC of 13 December 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects in central and eastern European countries (Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania) (OJ L 321, 23.12.1993, p. 27).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Decision 95/207/EC of 1 June 1995 granting a Community guarantee to the European Investment Bank against losses under loans for projects in South Africa (OJ L 131, 15.6.1995, p. 31).

Council Decision 95/485/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Cyprus (OJ L 278, 21.11.1995, p. 22).

Council Decision 96/723/EC of 12 December 1996 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in Latin American and Asian countries with which the Community has concluded cooperation agreements (Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, El Salvador, Uruguay and Venezuela; Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam (OJ L 329, 19.12.1996, p. 45).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 98/348/EC of 19 May 1998 granting a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia and amending Decision 97/256/EC granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern European countries, Mediterranean countries, Latin American and Asian countries and South Africa) (OJ L 155, 29.5.1998, p. 53).

Council Decision 98/729/EC of 14 December 1998 amending Decision 97/256/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Bosnia and Herzegovina (OJ L 346, 22.12.1998, p. 54).

Council Decision 1999/786/EC of 29 November 1999 granting a Community guarantee to the European Investment Bank (EIB) against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey (OJ L 308, 3.12.1999, p. 35).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 05 (*cont'd*)

Council Decision 2000/688/EC of 7 November 2000 amending Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Croatia (OJ L 285, 10.11.2000, p. 20).

Council Decision 2000/788/EC of 4 December 2000 amending Decision 2000/24/EC so as to establish a European Investment Bank special action programme in support of the consolidation and intensification of the EC-Turkey customs union (OJ L 314, 14.12.2000, p. 27).

Council Decision 2001/777/EC of 6 November 2001 granting a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension (OJ L 292, 9.11.2001, p. 41).

Council Decision 2001/778/EC of 6 November 2001 amending Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in the Federal Republic of Yugoslavia (OJ L 292, 9.11.2001, p. 43).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2005/48/EC of 22 December 2004 granting a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus (OJ L 21, 25.1.2005, p. 11).

Council Decision 2006/174/EC of 27 February 2006 amending Decision 2000/24/EC in order to include the Maldives in the list of countries covered, following the Indian Ocean tsunamis of December 2004 (OJ L 62, 3.3.2006, p. 26).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

01 03 06 **Provisioning of the Guarantee Fund**

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
257 121 792	144 409 518	58 432 294,—

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS (*cont'd*)01 03 06 (*cont'd*)*Remarks*

This appropriation is intended to provide the financial resources for payments to the Guarantee Fund according to its provisioning mechanism and for payments of operational costs linked to the management of the fund and the external evaluation to be carried out in the context of the mid-term review of the EIB external mandate.

Assigned revenue received under Article 8 1 0 of the statement of revenue may give rise to additional appropriations under this article, in accordance with Article 21 of the Financial Regulation and with Article 10 of Decision No 466/2014/EU.

*Legal basis*

Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (OJ L 145, 10.6.2009, p. 10).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

## CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
01 04	FINANCIAL OPERATIONS AND INSTRUMENTS								
<b>01 04 01</b>	<b>European Investment Fund</b>								
01 04 01 01	European Investment Fund — Provision of paid-up shares of subscribed capital	1.1	41 000 000	41 000 000	50 000 000	43 514 489	42 517 445,55	42 517 445,55	103,70
01 04 01 02	European Investment Fund — Callable portion of subscribed capital	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 01 04 01 — Subtotal</i>		41 000 000	41 000 000	50 000 000	43 514 489	42 517 445,55	42 517 445,55	103,70
<b>01 04 02</b>	<b>Nuclear safety — Cooperation with the European Investment Bank</b>	1.1	p.m.	p.m.	p.m.	p.m.	0,—	241 972,—	
<b>01 04 03</b>	<b>Guarantee for Euratom borrowings</b>	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>01 04 04</b>	<b>Guarantee for the European Fund for Strategic Investments (EFSI)</b>	1.1	p.m.	p.m.	p.m.	p.m.			
<b>01 04 05</b>	<b>Provisioning of the EFSI guarantee fund</b>	1.1	2 030 000 000	500 000 000	1 350 000 000	p.m.			
<b>01 04 06</b>	<b>European Investment Advisory Hub (EIAH) and European Investment Project Portal (EIPP)</b>	1.1	20 000 000	20 000 000	10 000 000	10 000 000			
<b>01 04 07</b>	<b>Fees due to the European Investment Fund for increased assistance under the European Fund for Strategic Investments</b>	1.1	5 000 000	5 000 000					

COMMISSION  
TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>01 04 51</b>	<b>Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014)</b>	1.1	p.m.	96 000 000	p.m.	100 267 609	0,—	107 355 405,—	111,83
<b>01 04 77</b>	<b>Pilot projects and preparatory actions</b>								
01 04 77 01	Pilot project — Strengthening cooperation and synergies between National Promotional Banks in order to support the long-term financing of the real economy	1.1	p.m.	250 000	500 000	250 000			
01 04 77 02	Pilot project — State asset management	1.1	1 000 000	400 000					
	Article 01 04 77 — Subtotal		1 000 000	650 000	500 000	250 000			
	<b>Chapter 01 04 — Total</b>		<b>2 097 000 000</b>	<b>662 650 000</b>	<b>1 410 500 000</b>	<b>154 032 098</b>	<b>42 517 445,55</b>	<b>150 114 822,55</b>	<b>22,65</b>

**01 04 01** **European Investment Fund**

01 04 01 01 European Investment Fund — Provision of paid-up shares of subscribed capital

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
41 000 000	41 000 000	50 000 000	43 514 489	42 517 445,55	42 517 445,55

*Remarks*

This appropriation is intended to cover the financing of the provision of paid-up shares of the capital subscribed by the Union.

The European Investment Fund (EIF) was created in 1994. Its founder members were the European Community, represented by the Commission, the European Investment Bank (EIB) and a number of financial institutions. Union membership of the EIF is presently governed by Decision 94/375/EC.

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)01 04 01 (*cont'd*)01 04 01 01 (*cont'd*)*Legal basis*

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Decision No 562/2014/EU of the European Parliament and of the Council of 15 May 2014 on the participation of the European Union in the capital increase of the European Investment Fund (OJ L 156, 24.5.2014, p. 1).

## 01 04 01 02 European Investment Fund — Callable portion of subscribed capital

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing in the event of a call for the amount of capital subscribed by the Union.

*Legal basis*

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Decision No 562/2014/EU of the European Parliament and of the Council of 15 May 2014 on the participation of the European Union in the capital increase of the European Investment Fund (OJ L 156, 24.5.2014, p. 1).



CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)01 04 02 **Nuclear safety — Cooperation with the European Investment Bank***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	241 972,—

*Remarks*

This appropriation is intended to cover the financing of the technical and legal assistance necessary for the evaluation of the safety, environmental, economic and financial aspects of the projects for which financing in the form of a Euratom loan has been requested, including studies undertaken by the European Investment Bank (EIB). The measures are also intended to enable loan contracts to be concluded and carried out.

Appropriation under this article will also be used to cover or temporarily pre-finance costs incurred by the Union in concluding and carrying out operations linked with Euratom borrowing and lending operations.

Any revenue entered in Article 5 5 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(3)(a) of the Financial Regulation.

*Legal basis*

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

01 04 03 **Guarantee for Euratom borrowings***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

The authorised borrowing ceiling is EUR 4 000 000 000, of which EUR 500 000 000 is authorised pursuant to Decision 77/270/Euratom, EUR 500 000 000 pursuant to Decision 80/29/Euratom, EUR 1 000 000 000 pursuant to Decision 82/170/Euratom, EUR 1 000 000 000 pursuant to Decision 85/537/Euratom and EUR 1 000 000 000 pursuant to Decision 90/212/Euratom.

This article constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)01 04 03 (*cont'd*)

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

*Legal basis*

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Council Decision 77/271/Euratom of 29 March 1977 on the implementation of Decision 77/270/Euratom empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 11).

Council Decision 80/29/Euratom of 20 December 1979 amending Decision 77/271/Euratom on the implementation of Decision 77/270/Euratom empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 12, 17.1.1980, p. 28).

Council Decision 82/170/Euratom of 15 March 1982 amending Decision 77/271/Euratom as regards the total amount of Euratom loans which the Commission is empowered to contract for the purpose of contributing to the financing of nuclear power stations (OJ L 78, 24.3.1982, p. 21).

Council Decision 85/537/Euratom of 5 December 1985 amending Decision 77/271/Euratom as regards the total amount of Euratom loans which the Commission is empowered to contract for the purpose of contributing to the financing of nuclear power stations (OJ L 334, 12.12.1985, p. 23).

Council Decision 90/212/Euratom of 23 April 1990 amending Decision 77/271/Euratom on the implementation of Decision 77/270/Euratom empowering the Commission to contract Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 112, 3.5.1990, p. 26).

01 04 04 **Guarantee for the European Fund for Strategic Investments (EFSI)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.		

*Remarks*

This article will only receive appropriations in the event that the European Investment Bank makes calls on the EFSI guarantee, in excess of the available resources of the guarantee fund and in accordance with Regulation (EU) 2015/1017, with the Bank's agreement with the Commission to that effect and with the procedures determined therein.

**CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS** (*cont'd*)**01 04 04** (*cont'd*)*Legal basis*

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament, the Council, the European Central Bank, the European Economic And Social Committee, the Committee of the Regions and the European Investment bank of 26 November 2014 — An Investment Plan for Europe (COM(2014) 903 final).

**01 04 05** ***Provisioning of the EFSI guarantee fund****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 030 000 000	500 000 000	1 350 000 000	p.m.		

*Remarks*

This appropriation is intended to provide the financial resources for payments to the EFSI guarantee fund in accordance with Regulation (EU) 2015/1017 and the procedures determined therein. Notably, the provisioning has the objective to provide for orderly execution of the budget if the EFSI guarantee is called.

*Legal basis*

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament, the Council, the European Central Bank, the European Economic And Social Committee, the Committee of the Regions and the European Investment bank of 26 November 2014 — An Investment Plan for Europe (COM(2014) 903 final).

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TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)**01 04 06 European Investment Advisory Hub (EIAH) and European Investment Project Portal (EIPP)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 000 000	20 000 000	10 000 000	10 000 000		

*Remarks*

This appropriation is intended to covers

- financial support to the European Investment Bank for the set-up and implementation of EIAH in accordance with Article 14 of Regulation (EU) 2015/1017 providing, inter alia, advisory support to project promoters including technical advice on the use and set-up of financial instruments, and
- costs related to the set-up and development, management, support and maintenance and hosting of the EIPP, as well as branding and communication costs.

Any revenue from fees charged to project promoters in connection to the EIPP may give rise to the provision of additional appropriations in accordance with Article 21(4) of the Financial Regulation.

*Legal basis*

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament, the Council, the European Central Bank, the European Economic And Social Committee, the Committee of the Regions and the European Investment bank of 26 November 2014 — An Investment Plan for Europe (COM(2014) 903 final).

**01 04 07 Fees due to the European Investment Fund for increased assistance under the European Fund for Strategic Investments***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 000 000	5 000 000				

**CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS** (*cont'd*)**01 04 07** (*cont'd*)*Remarks**New article*

The European Investment Fund (EIF) will implement the SME window of the European Fund for Strategic Investments, which will support loan and equity financing of SMEs and mid-caps. The EIF will be entitled to receive management fees for the implementation of the SME window. As provided for in Regulation (EU) 2015/1017, fees due to the EIF shall be primarily met by revenues from the resources in the EFSI guarantee fund and the European Fund for Strategic Investments. However, to the extent that those revenues are not sufficient to cover the fees due to the EIF, those fees shall be covered by the general budget of the Union.

*Legal basis*

Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament, the Council, the European Central Bank, the European Economic And Social Committee, the Committee of the Regions and the European Investment bank of 26 November 2014 — An Investment Plan for Europe (COM(2014) 903 final).

**01 04 51** ***Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	96 000 000	p.m.	100 267 609	0,—	107 355 405,—

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Even though the end of the commitment period has been reached, the facilities have to be operated for several years during which time payments will be needed for investments and in order to honour guarantee obligations. Therefore reporting and monitoring requirements will continue until the end of the facility periods.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) applies.

## COMMISSION

## TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)01 04 51 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates, for participating in Union programmes and entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenues and repayments generated on Trust Accounts entered in Article 5 2 3 of the statement of revenue will be returned to the general budget of the Union or transferred to the succeeding financial instruments under the Union equity instruments for research and innovation under Horizon 2020 or the Equity Facility for Growth under COSME, as the case may be, in accordance with the Financial Regulation and Regulations (EU) No 1287/2013 and (EU) No 1290/2013.

*Legal basis*

Council Decision 98/347/EC of 19 May 1998 on measures of financial assistance for innovative and job-creating small and medium-sized enterprises (SMEs) — the growth and employment initiative (OJ L 155, 29.5.1998, p. 43).

Council Decision 2000/819/EC of 20 December 2000 on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 333, 29.12.2000, p. 84).

Decision No 1776/2005/EC of the European Parliament and of the Council of 28 September 2005 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001 to 2005) (OJ L 289, 3.11.2005, p. 14).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)01 04 77 **Pilot projects and preparatory actions**

01 04 77 01 Pilot project — Strengthening cooperation and synergies between National Promotional Banks in order to support the long-term financing of the real economy

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	250 000	500 000	250 000		

*Remarks*

National (and regional) Promotional Banks (NPB), together with the European Investment Bank (EIB) and the European Investment Fund (EIF) are considered important actors to finance long-term projects at European level. The Commission communication of 27 March 2014 on long-term financing of the European economy — a follow-up to a Green Paper — confirmed that during consultations ‘calls were made for more joint Union-national or multinational initiatives to promote cooperation and synergies between the Union budget and the EIB/EIF, Multilateral Development Banks (MDBs) and NPBs’. In that communication, the Commission undertook to ‘encourage and monitor the cooperation of NPBs, as requested by the June 2013 European Council and to report to the December 2014 Council’.

In this context, it is important to enable the Commission to develop a pilot project to create, through visible support from the Union budget, a structured network of national and regional promotional banks based in the Member States, in order to enhance their cooperation and to achieve the objective of long-term financing of the real economy and thus support long-term growth, well-being and employment in Europe. Such cooperation will foster the exchange of best practices and help to develop innovative systems to channel private capital towards SMEs and towards project of public interest, such as investments in material and immaterial infrastructure, particularly in the regions hardest hit by recession and unemployment.

To this end, the project will include the following activities:

- promoting the exchange of information and best practices among participants and between participants and European Institutions, through meetings, seminars and publications,
- initiating research programmes and holding conferences for the participants,
- developing initiatives to promote the concept of long-term investment within the economic and financial sector,
- promoting the removal of obstacles and the development of incentives to favour long-term investing.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS (*cont'd*)01 04 77 (*cont'd*)

01 04 77 02 Pilot project — State asset management

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	400 000				

*Remarks*

This pilot project will encourage restructuring and/or privatisation of enterprises and other assets owned by the state and local government, with the aim of strengthening competitiveness and the internal market.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



*TITLE 02*

**INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES**

COMMISSION

TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

**TITLE 02****INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
02 01	ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA	142 672 092	142 672 092	137 942 472	137 942 472	147 481 524,06	147 481 524,06
02 02	COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME)	291 507 692	162 988 600	288 603 548	214 798 246	250 616 864,63	123 328 785,48
02 03	INTERNAL MARKET FOR GOODS AND SERVICES	120 961 000	118 305 000	57 545 000	48 325 160	48 605 418,22	42 885 695,59
02 04	HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES	271 047 805	342 633 544	275 857 405	297 021 123	292 577 154,94	260 439 332,34
02 05	EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO)	876 057 400	547 888 400	1 083 990 000	886 048 989	1 387 075 693,57	1 187 030 598,80
02 06	EUROPEAN EARTH OBSERVATION PROGRAMME	583 567 000	580 000 000	553 870 000	509 796 595	360 721 236,93	243 321 753,53
	<b>Title 02 — Total</b>	<b>2 285 812 989</b>	<b>1 894 487 636</b>	<b>2 397 808 425</b>	<b>2 093 932 585</b>	<b>2 487 077 892,35</b>	<b>2 004 487 689,80</b>

COMMISSION

TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## TITLE 02

## INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
02 01	ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA					
02 01 01	<i>Expenditure related to officials and temporary staff in the 'Internal market, industry, entrepreneurship and SMEs' policy area</i>	5.2	91 416 624	89 939 993	92 647 859,22	101,35
02 01 02	<i>External personnel and other management expenditure in support of the 'Internal market, industry, entrepreneurship and SMEs' policy area</i>					
02 01 02 01	External personnel	5.2	7 718 931	5 572 584	6 270 353,81	81,23
02 01 02 11	Other management expenditure	5.2	5 290 863	3 931 053	4 063 253,83	76,80
	Article 02 01 02 — Subtotal		13 009 794	9 503 637	10 333 607,64	79,43
02 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Internal market, industry, entrepreneurship and SMEs' policy area</i>	5.2	5 834 308	5 709 934	6 691 762,14	114,70
02 01 04	<i>Support expenditure for operations and programmes in the 'Internal market, industry, entrepreneurship and SMEs' policy area</i>					
02 01 04 01	Support expenditure for Competitiveness of enterprises and small and medium-sized enterprises (COSME)	1.1	3 363 000	3 749 000	4 321 513,32	128,50
02 01 04 02	Support expenditure for standardisation and approximation of legislation	1.1	160 000	160 000	159 567,29	99,73
02 01 04 03	Support expenditure for European satellite navigation programmes	1.1	3 400 000	3 400 000	3 447 732,49	101,40
02 01 04 04	Support expenditure for European Earth observation programme (Copernicus)	1.1	2 600 000	2 500 000	2 499 821,02	96,15
	Article 02 01 04 — Subtotal		9 523 000	9 809 000	10 428 634,12	109,51

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## CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>02 01 05</b>	<b>Support expenditure for research and innovation programmes in the 'Internal market, industry, entrepreneurship and SMEs' policy area</b>					
02 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	8 517 385	8 964 139	8 655 436,59	101,62
02 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	2 405 948	2 816 592	3 115 886,98	129,51
02 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	3 179 000	3 045 000	8 982 337,37	282,55
	<i>Article 02 01 05 — Subtotal</i>		14 102 333	14 825 731	20 753 660,94	147,16
<b>02 01 06</b>	<b>Executive agencies</b>					
02 01 06 01	Executive Agency for Small and Medium-sized Enterprises — Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME)	1.1	8 786 033	8 154 177	6 626 000,—	75,42
	<i>Article 02 01 06 — Subtotal</i>		8 786 033	8 154 177	6 626 000,—	75,42
	<b>Chapter 02 01 — Total</b>		<b>142 672 092</b>	<b>137 942 472</b>	<b>147 481 524,06</b>	<b>103,37</b>

**02 01 01** *Expenditure related to officials and temporary staff in the 'Internal market, industry, entrepreneurship and SMEs' policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
91 416 624	89 939 993	92 647 859,22

**02 01 02** *External personnel and other management expenditure in support of the 'Internal market, industry, entrepreneurship and SMEs' policy area***02 01 02 01** External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
7 718 931	5 572 584	6 270 353,81

**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES’ POLICY AREA** (cont'd)**02 01 02** (cont'd)

## 02 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
5 290 863	3 931 053	4 063 253,83

**02 01 03** *Expenditure related to information and communication technology equipment and services of the ‘Internal market, industry, entrepreneurship and SMEs’ policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
5 834 308	5 709 934	6 691 762,14

**02 01 04** *Support expenditure for operations and programmes in the ‘Internal market, industry, entrepreneurship and SMEs’ policy area*

## 02 01 04 01 Support expenditure for Competitiveness of enterprises and small and medium-sized enterprises (COSME)

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
3 363 000	3 749 000	4 321 513,32

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this Item in accordance with the Financial Regulation.

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## CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA (cont'd)

## 02 01 04 (cont'd)

## 02 01 04 01 (cont'd)

*Legal basis*

See Chapter 02 02.

## 02 01 04 02 Support expenditure for standardisation and approximation of legislation

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
160 000	160 000	159 567,29

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Article 02 03 02.

## 02 01 04 03 Support expenditure for European satellite navigation programmes

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 400 000	3 400 000	3 447 732,49

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA** (*cont'd*)

**02 01 04** (*cont'd*)

02 01 04 03 (*cont'd*)

*Legal basis*

See Chapter 02 05.

02 01 04 04 Support expenditure for European Earth observation programme (Copernicus)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 600 000	2 500 000	2 499 821,02

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts. Activities relating to the User Forum created by Article 17 of Regulation (EU) No 911/2010 of the European Parliament and of the Council of 22 September 2010 on the European Earth monitoring programme (GMES) and its initial operations (2011-2013) (OJ L 276, 20.10.2010, p. 1) may also be covered.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item in accordance with the Financial Regulation.

*Legal basis*

See Chapter 02 06.

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES’ POLICY AREA** (*cont’d*)**02 01 05** *Support expenditure for research and innovation programmes in the ‘Internal market, industry, entrepreneurship and SMEs’ policy area*

02 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
8 517 385	8 964 139	8 655 436,59

*Remarks**Former Item 02 01 05 01 (in part)*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020 and occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 02 04.

02 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 405 948	2 816 592	3 115 886,98

*Remarks**Former Item 02 01 05 02 (in part)*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.



**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES' POLICY AREA** (*cont'd*)**02 01 05** (*cont'd*)02 01 05 02 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 02 04.

02 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 179 000	3 045 000	8 982 337,37

*Remarks**Former Item 02 01 05 03 (in part)*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this Item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES’ POLICY AREA** (*cont’d*)**02 01 05** (*cont’d*)02 01 05 03 (*cont’d*)*Legal basis*

See Chapter 02 04.

**02 01 06** **Executive agencies**

02 01 06 01 Executive Agency for Small and Medium-sized Enterprises — Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
8 786 033	8 154 177	6 626 000,—

*Remarks*

This appropriation is intended to cover the Agency's expenditure on staff and administration incurred as a result of the Agency's role in the management of measures forming part of the Competitiveness of Enterprises and small and medium-sized enterprises programme (COSME).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this Item in accordance with the Financial Regulation.

The establishment plan of the Agency is set out in Annex ‘Staff’ to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES’  
POLICY AREA** (*cont’d*)**02 01 06** (*cont’d*)**02 01 06 01** (*cont’d*)

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33).

*Reference acts*

Commission Decision 2004/20/EC of 23 December 2003 setting up an executive agency, the ‘Intelligent Energy Executive Agency’, to manage Community action in the field of energy in application of Council Regulation (EC) No 58/2003 (OJ L 5, 9.1.2004, p. 85).

Commission Decision 2007/372/EC of 31 May 2007 amending Decision 2004/20/EC in order to transform the ‘Intelligent Energy Executive Agency’ into the Executive Agency for Competitiveness and Innovation (OJ L 140, 1.6.2007, p. 52).

Commission Implementing Decision 2013/771/EU of 17 December 2013 establishing the ‘Executive Agency for Small and Medium-sized Enterprises’ and repealing Decisions 2004/20/EC and 2007/372/EC (OJ L 341, 18.12.2013, p. 73).

Commission Decision C(2013) 9414 of 23 December 2013 delegating powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of Union programmes in the field of energy, environment, climate action, competitiveness and SMEs, research and innovation, ICT, maritime policy and fisheries comprising, in particular, implementation of appropriations entered in the general budget of the Union.

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 02	COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME)								
02 02 01	<i>Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises</i>								
		1.1	110 264 720	47 905 000	108 561 823	72 183 633	106 139 750,63	7 386 694,85	15,42
02 02 02	<i>Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt</i>								
		1.1	172 842 972	100 000 000	174 791 725	99 027 161	140 787 114,—	74 244 830,—	74,24
02 02 51	<i>Completion of former activities in the competitiveness and entrepreneurship domain</i>								
		1.1	p.m.	6 200 000	p.m.	37 284 452	0,—	36 819 162,40	593,86
02 02 77	<i>Pilot projects and preparatory actions</i>								
02 02 77 02	Pilot project — Erasmus for Young Entrepreneurs	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
02 02 77 03	Preparatory action — Erasmus for Young Entrepreneurs	1.1	p.m.	p.m.	p.m.	p.m.	0,—	753 039,—	
02 02 77 06	Preparatory action — Sustainable tourism	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
02 02 77 07	Preparatory action — Social tourism in Europe	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
02 02 77 08	Preparatory action — Promotion of European and transnational tourism products with special emphasis on cultural and industrial products	1.1	p.m.	825 000	p.m.	1 252 000	2 000 000,—	1 345 772,87	163,12
02 02 77 09	Preparatory action — Tourism and accessibility for all	1.1	p.m.	490 600	p.m.	305 000	690 000,—	603 799,92	123,07
02 02 77 10	Preparatory action — Euromed innovation entrepreneurs for change	1.1	p.m.	490 000	p.m.	1 310 000	0,—	1 178 145,49	240,44

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>02 02 77</b>	(cont'd)								
02 02 77 11	Pilot project — Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union	1.1	p.m.	143 000	p.m.	374 000	0,—	285 738,—	199,82
02 02 77 12	Pilot project — European rare earth competency network	1.1	p.m.	p.m.	p.m.	337 000	0,—	0,—	
02 02 77 13	Pilot project — Development of the European 'creative districts'	3	p.m.	285 000	p.m.	350 000	0,—	148 021,53	51,94
02 02 77 14	Pilot project — Rapid and efficient enforcement of outstanding claims by small and medium-sized enterprises (SMEs) operating across borders	3	p.m.	p.m.	p.m.	p.m.	0,—	563 581,42	
02 02 77 16	Pilot project — The future of manufacturing	1.1	p.m.	800 000	1 000 000	500 000	1 000 000,—	0,—	0
02 02 77 17	Pilot project — Business transfers to employees and cooperative model: ensuring the sustainability of SMEs	1.1	p.m.	350 000	500 000	250 000			
02 02 77 18	Pilot project — Female business angels	1.1	1 200 000	900 000	1 000 000	250 000			
02 02 77 19	Pilot project — World-bridging tourism	1.1	p.m.	250 000	750 000	375 000			
02 02 77 20	Pilot project — Towards EU Regional Economic Convergence (TREC)	1.1	p.m.	150 000	500 000	250 000			
02 02 77 21	Preparatory action — Transnational culture-related European tourism product	1.1	p.m.	600 000	1 500 000	750 000			
02 02 77 22	Pilot project — Towards a sharing economy for Europe's manufacturers: working capital and cost reductions through cloud-based platforms that support synergies and integration	1.1	500 000	250 000					

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 02 77 23	Pilot project — Youth on the SPOT — Special Partnership on Tourism	1.1	500 000	250 000					
02 02 77 24	Pilot project — Destination Europe Brand — Promoting Europe in the tourism sector	1.1	500 000	250 000					
02 02 77 25	Pilot project — Entrepreneurial capacity building for young migrants	1.1	2 200 000	1 100 000					
02 02 77 26	Pilot project — Sharing Economy Startup Initiative — Financing the future of European entrepreneurship	1.1	2 500 000	1 250 000					
02 02 77 27	Pilot project — Reducing youth unemployment and setting up cooperatives to enhance working opportunities in the EU	1.1	500 000	250 000					
02 02 77 28	Pilot project — SME instrument to enhance women's participation	1.1	500 000	250 000					
	Article 02 02 77 — Subtotal		8 400 000	8 883 600	5 250 000	6 303 000	3 690 000,—	4 878 098,23	54,91
	Chapter 02 02 — Total		291 507 692	162 988 600	288 603 548	214 798 246	250 616 864,63	123 328 785,48	75,67

## 02 02 01 Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
110 264 720	47 905 000	108 561 823	72 183 633	106 139 750,63	7 386 694,85

## Remarks

This appropriation is intended to strengthen the competitiveness of enterprises, in particular that of small and medium-sized enterprises (SMEs), and to encourage entrepreneurship and foster the creation and growth of SMEs.

The implemented measures will include:

- networks bringing together a variety of stakeholders,
- market replication projects,

**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)****02 02 01** (*cont'd*)

- policy analyses, development and coordination with participating countries,
- studies on gender-related discrimination linked to female entrepreneurship and implementation of policies to foster female entrepreneurship,
- information sharing, dissemination and awareness raising,
- support to joint actions of Member States or regions, as well as other measures under the COSME programme.

The Union will provide support to activities such as the Enterprise Europe Network and entrepreneurship promotion. It will also support projects concerned with the first applications or market replication of techniques, practices or products (e.g. new business concepts for consumer goods) of Union relevance that have already been technically demonstrated with success but, owing to residual risk, have not yet significantly penetrated the market. Those projects will be designed to promote wider use within the participating countries and facilitate market uptake.

Projects will also seek to improve the framework conditions including through capacity building in clusters and other business networks notably with regard to support SME internationalisation in order to ensure that Union enterprises are competitive and sustainable, including in the tourism sector, by supporting coherence and consistency in implementation, as well as informed policy-making at Union level. In addition, projects will be put in place to support the implementation of the Small Business Act for Europe. Support actions, directly linked to the achievement of these objectives are also considered for funding: meetings, studies, information and publications, participation in study groups, conferences, workshops.

In relation to gender equality, projects to promote the position of female entrepreneurs will receive particular attention in order to help overcome gender-based hurdles women may face and to attain an equal representation of male and female entrepreneurs throughout the EU.

Sustainable tourism activities will receive a special focus with initial priority given to soft mobility, cycling networks, eco-tourism and nature protection. Accessibility for all, particularly for people with reduced mobility and for socially disadvantaged people is also of high importance in this context.

The Union will coordinate, promote and support actions for sustainable tourism, such as:

- preservation of long-term sustainable tourism resources through protection of natural, cultural, historical and industrial heritage,

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)02 02 01 (*cont'd*)

- coordination and support for access to sustainable tourism information and services for less-advantaged citizens living in poverty, as well as for persons with reduced mobility,
- cross-border coordination of European cycling networks, together with rail and long-distance bus information and services.

The Erasmus for Entrepreneurs programme aims to encourage European entrepreneurship, the sharing of knowledge and best practices, and the creation of valuable networks and partnerships.

Due to the currently difficult economic situation it is indispensable to support European enterprises, in particular young innovative start-ups and female entrepreneurs, and to foster entrepreneurship by assigning enough funds to programmes like the programme for the competitiveness of enterprises and small and medium-sized enterprises (COSME). In particular the programme 'Erasmus for young entrepreneurs' has been very successful, efficient and effective in contributing successfully to fighting unemployment and supporting robust start-ups across Europe. With regard to under-representation of women among entrepreneurs, special attention should be paid to involving young female entrepreneurs in the programme in order to encourage them to pursue their entrepreneurial career and to gain experience in how to overcome gender-specific hurdles they may face.

The financial means for the 'Erasmus for young Entrepreneurs' programme must be increased in particular due to the following reasons:

- the programme helps fostering European entrepreneurship, the sharing of knowledge and best-practices as well as the creation of valuable networks and partnerships,
- the programme is very successful and has shown an increasing number of participants over the last years which is expected to increase further,
- the programme effectively tackles the problem of youth unemployment as it helps young people without work to become self-employed and existing SME to create jobs by expanding and/or internationalising their business,
- the number of applications exceeds by far the possibilities the Commission can fulfil with the financial means currently available.

Part of this appropriation, within the 2016 COSME action 'Migrant Entrepreneurs Labs' will be allocated to national business support systems for migrant entrepreneurs and their informal networks. This should be done through information and networking events/platforms organised by mainstream business support organisations and targeted at migrant entrepreneurs. The aim will be to better inform migrant entrepreneurs about the support systems in the host country, connect informal and mainstream networks and draw attention to the migrant entrepreneurs' specific needs and problems.



**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)****02 02 01** (cont'd)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33), and in particular Article 3(1)(a) to (c) thereof.

**02 02 02** *Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
172 842 972	100 000 000	174 791 725	99 027 161	140 787 114,—	74 244 830,—

*Remarks*

This appropriation is to be used to improve access to finance SMEs, including companies of female entrepreneurs in the form of equity and debt in their start-up, growth and transfer phase.

A loan guarantee facility (LGF) shall provide counter-guarantees, direct guarantees and other risk sharing arrangements for debt financing which shall reduce the particular difficulties that viable SMEs face in accessing finance either due to their perceived higher risk or their lack of sufficient available collateral and for securitisation of SME debt finance portfolios.

An equity facility for growth (EFG) shall allow investments in risk capital funds which invest in SMEs in the expansion and growth stage, whilst taking a gender-sensitive and non-discriminatory approach, and in particular in those operating cross-borders. The possibility shall exist to invest in early stage funds in conjunction with the equity facility for RDI under Horizon 2020. In cases of joint investments in multi-stage funds, investments will be provided on a pro-rata basis from the EFG of COSME and the equity facility for RDI under Horizon 2020. Support from the EFG shall be (a) either directly by the European Investment Fund (EIF) or other entities entrusted with the implementation on behalf of the Commission or (b) by funds-of-funds or investment vehicles investing across borders.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

## COMMISSION

## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)02 02 02 (*cont'd*)

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6341 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21(3)(i) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33), and in particular Article 3(1)(d) thereof.

02 02 51 *Completion of former activities in the competitiveness and entrepreneurship domain**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	6 200 000	p.m.	37 284 452	0,—	36 819 162,40

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Council Decision 89/490/EEC of 28 July 1989 on the improvement of the business environment and the promotion of the development of enterprises, and in particular small and medium-sized enterprises, in the Community (OJ L 239, 16.8.1989, p. 33).

Council Decision 91/179/EEC of 25 March 1991 on acceptance of the terms of reference of the International Copper Study Group (OJ L 89, 10.4.1991, p. 39).

**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)****02 02 51** (*cont'd*)

Council Decision 91/319/EEC of 18 June 1991 revising the programme for the improvement of the business environment and the promotion of the development of enterprises, and in particular small and medium-sized enterprises, in the Community (OJ L 175, 4.7.1991, p. 32).

Council Decision 91/537/EEC of 14 October 1991 on acceptance of the terms of reference of the International Nickel Study Group (OJ L 293, 24.10.1991, p. 23).

Council Decision 92/278/EEC of 18 May 1992 confirming the consolidation of the EC-Japan Centre for Industrial Cooperation (OJ L 144, 26.5.1992, p. 19).

Council Decision 93/379/EEC of 14 June 1993 on a multiannual programme of Community measures to intensify the priority areas and to ensure the continuity and consolidation of policy for enterprise, in particular small and medium-sized enterprises, in the Community (OJ L 161, 2.7.1993, p. 68).

Council Decision 96/413/EC of 25 June 1996 on the implementation of a Community action programme to strengthen the competitiveness of European industry (OJ L 167, 6.7.1996, p. 55).

Council Decision 97/15/EC of 9 December 1996 on a third multiannual programme for small and medium-sized enterprises (SMEs) in the European Union (1997 to 2000) (OJ L 6, 10.1.1997, p. 25).

Council Decision 2000/819/EC of 20 December 2000 on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 333, 29.12.2000, p. 84).

Council Decision 2001/221/EC of 12 March 2001 concerning the participation of the Community in the International Lead and Zinc Study Group (OJ L 82, 22.3.2001, p. 21).

Council Decision 2002/651/EC of 22 July 2002 concerning the participation of the Community in the International Rubber Study Group (OJ L 215, 10.8.2002, p. 13).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

Decision No 593/2004/EC of the European Parliament and of the Council of 21 July 2004 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 268, 16.8.2004, p. 3).

Decision No 1776/2005/EC of the European Parliament and of the Council of 28 September 2005 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005) (OJ L 289, 3.11.2005, p. 14).

Commission Decision 2006/77/EC of 23 December 2005 setting up a High Level Group on Competitiveness, Energy and the Environment (OJ L 36, 8.2.2006, p. 43).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

## COMMISSION

## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

## 02 02 77 Pilot projects and preparatory actions

## 02 02 77 02 Pilot project — Erasmus for Young Entrepreneurs

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under this pilot project.

## Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 02 02 77 03 Preparatory action — Erasmus for Young Entrepreneurs

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	753 039,—

## Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under this preparatory action.

## Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 02 02 77 06 Preparatory action — Sustainable tourism

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 06 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under this preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EC) No 1371/2007 of the European Parliament and of the Council of 23 October 2007 on rail passengers' rights and obligations (OJ L 315, 3.12.2007, p. 14), and in particular Article 5 thereof.

Article 195 of the Treaty on the Functioning of the European Union.

02 02 77 07 Preparatory action — Social tourism in Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under this preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 08 Preparatory action — Promotion of European and transnational tourism products with special emphasis on cultural and industrial products

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	825 000	p.m.	1 252 000	2 000 000,—	1 345 772,87

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 08 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under this preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 09 Preparatory action — Tourism and accessibility for all

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	490 600	p.m.	305 000	690 000,—	603 799,92

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under this preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 10 Preparatory action — Euromed innovation entrepreneurs for change

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	490 000	p.m.	1 310 000	0,—	1 178 145,49

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 10 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 11 Pilot project — Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	143 000	p.m.	374 000	0,—	285 738,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 12 Pilot project — European rare earth competency network

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	337 000	0,—	0,—

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 12 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 13 Pilot project — Development of the European ‘creative districts’

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	285 000	p.m.	350 000	0,—	148 021,53

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 14 Pilot project — Rapid and efficient enforcement of outstanding claims by small and medium-sized enterprises (SMEs) operating across borders

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	563 581,42



**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)****02 02 77** (cont'd)

02 02 77 14 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 16 Pilot project — The future of manufacturing

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	800 000	1 000 000	500 000	1 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under this pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 17 Pilot project — Business transfers to employees and cooperative model: ensuring the sustainability of SMEs

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	350 000	500 000	250 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under this pilot project.

## COMMISSION

## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 17 (cont'd)

SMEs in Europe are faced with the challenge of ageing entrepreneurs whose businesses could disappear if no successor is found. Given the number of bankruptcies or business failures where at least part of the business was profitable, the potential for saving activities and jobs is considerable. When a business closes down, jobs are lost, as are know-how, products and the services the business provided, and the indirect jobs among suppliers and local service providers. SMEs in particular find it difficult to prepare for business transfer because of a lack of time, money and know-how. A failure to prepare for business transfer leads to difficulties in finding successors and funds, as well as in reorganising the business.

The purpose of the project is to develop and implement concrete actions to help bring about successful business transfers with employee involvement. The overall objective is to facilitate the transfer of 'healthy' businesses to employees and employee buy-outs of failed or bankrupt businesses, using the cooperative model in both cases. Experience on the ground has demonstrated that the cooperative model is the one most often chosen by employees during restructuring processes. This pilot project will help raise awareness among entrepreneurs, employees, trade unions, business and trade associations, enterprise support service providers and public authorities of the added value of this option for business transfers. Many failures could be avoided if transfers were planned well ahead and specialised advice was sought. Working towards successful business transfers should thus be seen as an investment rather than a cost. The project will also support activities to make business owners aware of the need for timely preparation. Experience shows that the further in advance a transfer is prepared, the higher the chances are of its being a success. Member States should actively promote and support mentoring schemes involving the transfer of knowledge and core competencies essential to transfer businesses to employees as cooperatives. The main target groups for the pilot activities are SME entrepreneurs and employees.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 18 Pilot project — Female business angels

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 200 000	900 000	1 000 000	250 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under this pilot project.

Europe is not fully exploiting its entrepreneurial potential and lags behind in start-ups and self-employment. Women in particular are reluctant to create their own businesses. The Union has recognised that female entrepreneurship must be promoted and supported in order to strengthen Europe's competitiveness.

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 18 (cont'd)

One of the main obstacles for women in general is their lack of access to finance. Studies show that for female entrepreneurs it is significantly more difficult to obtain loan financing by comparison with their male partners. At the same time they also lack access to relevant technical, scientific and general business networks. Those networks are in many ways essential for creating and expanding a business, in particular for finding investors, business partners and mentors.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 19 Pilot project — World-bridging tourism

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	250 000	750 000	375 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under this pilot project.

Europe should benefit from world-bridging tourism because of the increasing share of GDP accounted for by the tourism sector. It could bring significant economic growth, including the creation of sustainable employment. Europe should be prepared for the difficulties and challenges posed by the arrival of more visitors from countries with different cultural customs, such as China. Europe should become the lead economy within world tourism competition.

Over the last few decades, tourism has become one of the largest and fastest growing economic sectors in the world. International tourist arrivals rose from 278 million in 1980 to 1 035 million in 2012 and are expected to grow by 3,3% a year on average by 2030. Europe remains the world's number one tourist destination, accounting for half of all international tourist arrivals worldwide — with three quarters of those in the Member States. However, its position is being challenged by new tourist destinations in emerging economies.

Tourists from emerging economies such as Brazil, Russia, China and India have increased in number over the past few years. Statistics show that 1 026 000 visas were issued in 2011 to Chinese tourists, compared with 560 000 in 2008. In 2011, foreign visitor spending amounted to over EUR 330 billion and, according to recent estimates, could increase to around EUR 430 billion in 2022. The 'Europe – Whenever you're ready' campaign, highlighting Europe's diverse cultural and natural heritage and targeting potential tourists, in particular from Brazil, India and China, was introduced in 2012. This programme has been very successful and has achieved extremely positive results thanks to the development and implementation of a common visa policy. Visa facilitation has increased international tourist arrivals from relevant markets by between 5 and 25 %. But visas are not the only issue, as all foreign visitors have different needs and expectations.

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CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)02 02 77 (*cont'd*)02 02 77 19 (*cont'd*)

Among the emerging economies referred to above, the focus should be on China, which is the fastest-growing tourism source market in the world. According to the World Tourism Organisation's report on the Chinese Outbound Travel Market (2013), Chinese tourists' propensity to travel abroad is expected to continue rising in the coming decades. Furthermore, beyond Asia and the Pacific, Europe is the largest destination region for Chinese travellers. As Europe has a prominent place on the Chinese 'wish list', it is necessary to think about how Member States could better host Chinese tourists. What is needed is mutual understanding and continuous and sustainable training of tourism sector employees all over Europe.

Furthermore, Europe should be prepared for the challenges posed by the arrival of many Chinese tourists, including public security, laws on foreign nationals, and the possibility of racist and xenophobic attitudes stemming from cultural differences between our societies.

This pilot project has the following main objectives:

- facilitating the development of networks and exchanges of best practices between public decision-makers and representatives of the tourism industry,
- promoting opportunities to create new and sustainable jobs in the service sector across Europe,
- establishing professional and structural dialogue among the EU institutions,
- identifying best practices concerning the profile of the Chinese traveller (how to host them better) and promoting them in the European tourism industry, including service providers (hotels, restaurants),
- improving dialogue and collaboration between Chinese and European tour operators and travel agencies,
- facilitating the development of specific training for staff (for example improving language skills and cultural knowledge),
- raising public awareness through campaigns on multiculturalism in cooperation with Chinese cultural institutes (Confucius Institutes),
- incentivising the tailoring of tourist products and services to the needs of Chinese tourists, including marketing tools (websites and flyers in Chinese) and their promotion on Chinese social networks (Weibo),
- enhancing cross-border cooperation to boost the image of Europe and the European identity — this project could be linked to the preparatory action 'Promotion of European and transnational tourism products with special emphasis on cultural and industrial products' — for example preparing a unique promotional video in Chinese about the European Union.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

## 02 02 77 (cont'd)

## 02 02 77 20 Pilot project — Towards EU Regional Economic Convergence (TREC)

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	150 000	500 000	250 000		

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under this pilot project.

To face its main societal challenges, the EU has set up the Horizon 2020 R & D investment programme for a competitive and sustainable Europe; and to help the EU regions to follow a common healthy path, through smart regional specialisation, the EU has introduced the Research and Innovation Strategies for Smart Specialisation (RIS3) initiative.

This pilot project will create closer links between Horizon 2020 and RIS3 in order to speed up R & D results exploitation and regional economic convergence at the same time. Although both Horizon 2020 and RIS3 are very well targeted programmes, a bridge between them which focused on social and economic convergence between EU regions would help to foster their success.

The TREC pilot project will include a call for tenders directed at European technology centres and the related industrial clusters, with the following conditions:

- clusters represented must have been selected in their regional RIS3 strategic plans,
- a common proposal must be presented by clusters from at least three Member States,
- a comprehensive economic picture of the clusters must be presented,
- there must be a readiness to share information and best practices.

Among the project candidates, at least three should be selected to receive financing for a project involving:

- complete benchmarking of the clusters,
- detecting bottlenecks and improvement opportunities,
- searching for available R & D results and drawing up an application plan,
- drawing up of an investment and training plan to meet the needs detected,
- making the necessary technology investments and implementing the training plans laid down,
- assessing and disseminating the results obtained.

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CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)02 02 77 (*cont'd*)02 02 77 20 (*cont'd*)

Owing to the difficulties involved in estimating the necessary duration and investment levels, the pilot project will consist of two phases, the first covering the first four activities listed above, the second covering implementation and assessment and dissemination.

The first phase could last for up to one year and the necessary EU financing could be some EUR 500 000. The second phase could last for up to three years and require up to EUR 4 000 000 and would be co-financed by the participating companies and/or countries.

In terms of performance, the projects selected must demonstrate a cluster productivity increase of at least 10 % and a spread of about +/- 3 % between clusters.

The final aim is to analyse the impact of specific industrial policies which can be carried out by the EU. The TREC pilot project seeks to determine how an innovative entity can best apply existing innovation to improve exploitation of R & D results and reduce unemployment, thus contributing to growth and more jobs in the EU.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 02 02 77 21 Preparatory action — Transnational culture-related European tourism product

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	600 000	1 500 000	750 000		

*Remarks*

The preparatory action will have the following main objectives:

- diversifying European tourism offerings,
- lessening the impact of seasonality at European destinations,
- enhancing the profile of Europe as a single tourist destination.

The Unesco logo is world-famous; it is a guarantee of the special cultural relevance of a site, but it is also a hook for attracting new tourism flows. Cooperation with Unesco, a specialised UN organisation, could be essential for enhancing international cooperation with the UN and developing a regional strategy for the promotion of European sites on the Unesco list. A map of emblematic Unesco sites grouped by theme (e.g. mediaeval towns, underwater heritage, Greek/Roman archaeological sites) or telling a story which pulls together their common strands will help to promote cultural tourism in both domestic and long-haul markets. Different communication tools can be developed on the basis of a European map of Unesco sites. This preparatory action will further explore the relationship between tourism and culture.

**CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)****02 02 77** (cont'd)

02 02 77 21 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 22 Pilot project — Towards a sharing economy for Europe's manufacturers: working capital and cost reductions through cloud-based platforms that support synergies and integration

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

This pilot project will explore the implementation of a cloud-based integration and synergy platform for Europe's manufacturers. An initial implementation will target inventory levels for critical and non-critical resources.

It is known that the pooling and dynamic redistribution of inventory for critical and non-critical manufacturing resources can drastically reduce the working capital required by manufacturers and lower their costs. The pilot project will investigate whether a transparent and intuitive cloud-based platform can build trust between manufacturers in order for them to pool resources and create a sharing economy. The overall reduction of working capital and inventory holding costs will free up resources that can be invested in an innovation funnel to make manufacturers more profitable or support growth. The objective is to present a first viable sharing platform which will lead to better integration and cooperation between manufacturers in Europe, thus driving economic growth.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 23 Pilot project — Youth on the SPOT — Special Partnership on Tourism

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

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CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)02 02 77 (*cont'd*)02 02 77 23 (*cont'd*)*Remarks*

Today the European Union is being tested by a variety of structural changes affecting the whole economy; hence, tourism cannot escape them.

One of the most pressing problems Europe is facing is the lack of jobs and opportunities for young people. In November 2014, more than 5 million young people in Europe were unemployed. That means that one in four people under the age of 25 is looking for a job.

The tourism sector has long been known for recruiting young people. Statistics show that in the European Union alone, tourism provides more than 20 million jobs through more than two million companies. Furthermore, given its influence on the economy, tourism has a great impact on growth and employment. These are precisely the areas that require substantial strengthening in the EU after the economic crisis.

EU institutions and national governments can facilitate and support job creation, as has been seen with the deployment of the Youth Initiative Guarantee. However, only private companies and actors can create jobs or training opportunities. The tourism industry, which has many private actors (hotels, cafés, restaurants, etc.), has a unique role in helping to resolve Europe's jobs crisis and contributing to its recovery.

For instance, an interesting paradox is that countries with the highest youth unemployment rates — Greece, Spain, Italy, and Portugal — are among the most popular tourist destinations in Europe. Thus, the tourism industry is very well positioned to contribute proactively to the institutions' efforts to tackle the issue of youth unemployment.

The tourism industry also has to be involved in and take responsibility for addressing social problems, in this case youth unemployment, by supporting the public sector's efforts to create opportunities for young people. In order to achieve this goal, a special partnership on tourism (SPOT) should be established between European-level decision making and representatives of the tourism sector.

Under SPOT, a new public-private partnership should be devised to facilitate the uptake of high quality jobs, apprenticeships and traineeship positions in the tourism industry across the EU, with a particular focus on small and medium-sized businesses.

This could involve all types of companies, supply chains, trade unions, education providers, European/national/regional tourism associations, authorities and agencies, business organisations and youth associations.

This initiative could raise awareness of the importance of the tourism sector for the EU economy. It could also incentivise EU decision-makers to recognise its potential and to develop a specific industrial policy in the future that supports the further development and growth of the sector.

Moreover, such a partnership will harness the dynamism of European youth, so young people are not left without hope or opportunity and can look with confidence to a brighter future by having the chance to enter the job market.

Last but not least, it is important to stress that this is also in the best interests of the tourism sector. If Europe is to remain the world's number one tourist destination, the industry needs to prepare the next generation by building up a high-quality, sustainable labour supply with advance ITC skills and experience in hosting tourists with different expectations and priorities, especially from non-EU countries. This will enable more growth and keep the sector attractive.



## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 23 (cont'd)

The pilot project has the following main objectives:

- to conduct research into the importance of the tourism industry for the European economy and its employment levels (need to recognise it and raise public awareness),
- to boost, strengthen and develop the employability of young people by:
  - pledging to create new positions for young people (jobs, apprenticeships and traineeship) in the tourism industry,
  - helping them to acquire skills, knowledge and competences,
  - developing career pathways and facilitating the transition between school and employment (organising career guidance, CV workshops and interview training in close coordination with educational institutions and youth organisations).

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 24 Pilot project — Destination Europe Brand — Promoting Europe in the tourism sector

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

Europe is the world's number one tourism destination, with a 52 % market share. Furthermore, tourism is a major socio-economic activity in the European Union, with a wide-ranging impact on economic growth, employment and social development and can be a powerful tool in fighting economic decline and unemployment.

Nevertheless the tourism sector is facing a series of challenges that could be addressed by both tourism businesses and public stakeholders. One of those challenges is increasing competition from emerging destinations.

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CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)02 02 77 (*cont'd*)02 02 77 24 (*cont'd*)

That is why there is a need to promote Europe as a single tourist destination and strengthen its competitive image in long-haul markets. An inclusive holistic approach therefore needs to be taken in order to establish a clear brand image.

This pilot project will develop a strategically coordinated series of actions to establish a long-term strategy for the promotion of Destination Europe. EU destinations must have a specific approach for each market in order to include the European brand in global packages using new communication tools.

In order to increase the number of tourists in the EU and maintain Europe's position as the world's number one tourist destination, the Commission should encourage investment in tourist infrastructures and regional development and increase efforts to market 'Destination Europe Brand' in cooperation with the Member States.

The pilot project has the following main objectives:

- introduce a clear brand image and ensure Europe's visibility in major third countries: for instance, organise four large-scale industry round tables in Europe (London and/or Brussels), Asia, North America and Latin America,
- strengthen public-private partnerships: build on existing good relationships with trade bodies and cooperation with major international stakeholders and organisations, such as the European Parliament, the Commission, the Chinese National Tourism Administration (CNTA), the European Tourism Association (ETOA), the US Travel Association, BRAZTOA, JATA, major companies' CEOs, etc.,
- gather input and knowledge from the industry on a wide range of topics: devise a SWOT model of the European tourism industry and define critical success factors:

*Strengths* — which products are really driving bookings for Europe and what interesting trends are beginning to emerge?*Weaknesses* — which factors are limiting bookings of European vacations (consumer perception, safety concerns, currency fluctuation, hotel and air capacity, price, visas, etc.)?*Opportunities* — to strengthen or widen Europe's product offerings, in particular to support a stronger pan-European offer and awareness of lesser-known destinations and cultural routes.*Threats* — competitor analysis to gain insight into the value proposition that other destinations have where Europe has an opportunity to directly compete for business.*Critical success factors* — what do Destination Europe's brand and strategy need to do to achieve positive results for Europe in the coming years, and what role can the industry play in ensuring that the brand is adopted and promoted, ensuring the strategy's success?

- develop cooperative marketing tools and strategies:
  - online and offline marketing campaigns to raise awareness of Destination Europe, built around stakeholder round tables (cooperative marketing together with main private tourism companies),
  - collaboration with main global media partners (CNN, BBC, Financial Times, etc.),
  - participation in tourism fairs and in other major national and international events (youth festivals, sport events, food fairs),
- raise political awareness of the importance of inbound tourism for the European economy and gain the support of policy makers at all levels in order to overcome the disadvantages affecting the European tourism industry.

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CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)02 02 77 (*cont'd*)02 02 77 24 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 02 77 25 Pilot project — Entrepreneurial capacity building for young migrants

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 200 000	1 100 000				

*Remarks*

Knowledge and skills are crucial not only for the personal welfare of each citizen, but also for sustainable development and economic growth. It is therefore important to offer a perspective to people coming from countries in economic and social crisis, especially young people, so that they have an opportunity to create added value not only for themselves, but also for the general public.

It is therefore important to empower young migrants and refugees to gain access to knowledge and to develop skills they are able to use and leverage on when going back to their home countries.

This way they are not only able to build a solid livelihood but may possibly become entrepreneurs, build up their own businesses and create employment.

The core of this initiative will be targeted mentoring programmes from different organisations, such as civil protection and community services, as well as companies (including local suppliers). Elements of a dual-training system would help beneficiaries to identify the specific needs of organisations or companies on the ground.

The legal situation regarding the waiting period for taking up an economic activity differs considerably from Member State to Member State. To make the pilot project a success, it will therefore also be necessary to conduct a review of the current national legal frameworks and to identify best practices which could be promoted in order to speed up procedures, so that young migrants can take advantage of the proposed programmes as soon as possible upon arrival.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

02 02 77 (cont'd)

02 02 77 26 Pilot project — Sharing Economy Startup Initiative — Financing the future of European entrepreneurship

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 500 000	1 250 000				

*Remarks*

The aim of this pilot project is to finance start-ups in the sharing economy. It will help them develop their ideas, covering at least a part of the initial costs and possibly providing a financial guarantee for the most ambitious and risky proposals. Given the small scale and the lower cost of this type of initiative, even with a relatively limited allocation of EU money, the project should be able to kick-start a good number of small companies that could eventually grow to compete in the sector.

## Specific objectives:

- identify which sectors are going to benefit from a sharing economy approach,
- define new legislative instruments to regulate the sharing economy, avoiding any weakening of its innovative potential,
- conduct a specific census of the sharing economy in Europe, sharing best practices between Member States and relevant business associations,
- offer financial support and economic guarantees to promising companies, even if their proposals are too risky to be funded by regular banking institutions,
- define a sharing economy model in line with European values that is mindful of the rights of consumers and of the workers involved.

## Proposed actions:

- work with local companies and associations in order to create a European network of sharing-economy companies,
- establish scholarships and forms of financial support specifically for researchers and young entrepreneurs wishing to focus their work on the sharing-economy-related fields.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (cont'd)

## 02 02 77 (cont'd)

02 02 77 27 Pilot project — Reducing youth unemployment and setting up cooperatives to enhance working opportunities in the EU

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

## Remarks

In the EU two groups currently affected by unemployment have huge potential: young people and graduates. These groups could create jobs in the EU by working in cooperatives which they also own, but to do so they need guidance and support in the form of labour market training.

Worker cooperatives are an economically feasible way to start up as an entrepreneur, and given the communality and the shared opportunities and obligations involved, they are a low-risk way for participants to both work in and own part of a company at the same time. Participants will establish new worker cooperatives, combining individuals' special skills and knowledge to their shared benefit and advantage. Those cooperatives have a good chance of offering sustainable jobs to their owners/employees, as they have a competitive advantage over most other kinds of companies because of their low overheads. The pilot project is to be launched in three EU countries with the most experience in this field.

The main objective is to help reduce youth unemployment by establishing best practice in this area for the whole of Europe. The pilot project will involve:

- preliminary actions and set-up in the three countries (first year),
- development and delivery of training courses (first and second years),
- follow-up and setting up of cooperatives with target groups (second year),
- expert evaluation of legal business barriers (third year, if the pilot project continues as a preparatory action),
- evaluation of outcomes (third year, if the pilot project continues as a preparatory action), and
- outcome report, to include proposals for any follow-on operational activities (third year, if the pilot project continues as a preparatory action).

## Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 02 02 — COMPETITIVENESS OF ENTERPRISES AND SMALL AND MEDIUM-SIZED ENTERPRISES (COSME) (*cont'd*)02 02 77 (*cont'd*)

02 02 77 28 Pilot project — SME instrument to enhance women's participation

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

This pilot project will enable SMEs that are EU-based or established in a Horizon 2020 country to obtain EU funding and support for innovation projects that will help them grow and expand their activities into other countries in Europe and beyond.

Innovation and business development coaching will be offered in parallel throughout phases 1 and 2 to help SMEs. The pilot project will establish a special coaching programme for women entrepreneurs, in parallel to the existing programme.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 03	INTERNAL MARKET FOR GOODS AND SERVICES								
<b>02 03 01</b>	<b>Operation and development of the internal market of goods and services</b>	1.1	22 693 000	18 500 000	21 885 000	16 742 549	22 473 877,89	18 777 440,64	101,50
<b>02 03 02</b>	<b>Standardisation and approximation of legislation</b>								
02 03 02 01	Support to standardisation activities performed by CEN, Cenelec and ETSI	1.1	17 970 000	18 100 000	17 843 714	16 100 331	18 011 319,26	18 304 054,96	101,13
02 03 02 02	Support to organisations representing small and middle-sized enterprises (SMEs) and societal stakeholders in standardisation activities	1.1	3 843 000	3 700 000	3 816 286	2 175 774	3 054 559,94	2 001 606,26	54,10
	<i>Article 02 03 02 — Subtotal</i>		21 813 000	21 800 000	21 660 000	18 276 105	21 065 879,20	20 305 661,22	93,15
<b>02 03 03</b>	<b>European Chemicals Agency — Chemicals legislation</b>	1.1	72 805 000	72 805 000	7 800 000	7 800 000	0,—	0,—	0
<b>02 03 04</b>	<b>Internal market governance tools</b>	1.1	3 650 000	3 500 000	4 000 000	3 394 146	3 986 424,54	2 956 425,34	84,47
<b>02 03 77</b>	<b>Pilot projects and preparatory actions</b>								
02 03 77 01	Preparatory action — RECAP: recycling at local scale of internal plastic scrap created by major Union polymer converting regions	2	p.m.	p.m.	p.m.	597 360	0,—	373 350,—	
02 03 77 02	Pilot project — Single Market Forum	1.1	p.m.	p.m.	p.m.	p.m.	0,—	1 374,52	
02 03 77 03	Preparatory action — Single Market Forum	1.1	p.m.	1 200 000	1 200 000	1 015 000	1 079 236,59	471 443,87	39,29
02 03 77 04	Pilot project — Support measures for traditional retailing	1.1	p.m.	500 000	1 000 000	500 000			
	<i>Article 02 03 77 — Subtotal</i>		p.m.	1 700 000	2 200 000	2 112 360	1 079 236,59	846 168,39	49,77
	<b>Chapter 02 03 — Total</b>		<b>120 961 000</b>	<b>118 305 000</b>	<b>57 545 000</b>	<b>48 325 160</b>	<b>48 605 418,22</b>	<b>42 885 695,59</b>	<b>36,25</b>

**02 03 01      Operation and development of the internal market of goods and services**

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
22 693 000	18 500 000	21 885 000	16 742 549	22 473 877,89	18 777 440,64

Remarks

Former Articles 02 03 01 and 12 02 01 (in part)

This appropriation is intended to cover expenditure arising in connection with measures contributing to the completion of the internal market and its operation and development:

## COMMISSION

## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 01 (*cont'd*)

- measures intended to make the operation of the internal market more effective and to ensure that citizens and businesses have access to the most extensive rights and opportunities resulting from the opening up and deepening of the internal market without borders and are able to exercise those rights and take advantage of those opportunities in full; and monitoring and evaluation measures relating to the practical exercise by citizens and businesses of their rights and opportunities with a view to identifying and facilitating the removal of any obstacles which may be preventing them from exercising those rights in full,
- approximation of standards and introduction of an information system for technical standards and regulations, examination of the rules notified by Member States, EFTA States and Turkey and translation of the draft technical regulations,
- financing of administrative and technical coordination and of cooperation between the notified bodies, grants in support of the European Technical Approval Organisation (EOTA), and of projects of Union interest undertaken by outside bodies,
- application of Union legislation on medical devices, cosmetics, foodstuffs, textile products, chemicals, classification and labelling of substances and preparations, cars and safety, toys, legal metrology, pre-packaging and the quality of the environment, aerosol dispensers, and information and publicity measures for greater awareness of Union legislation,
- a comprehensive review of regulations with a view to making necessary changes and producing an overall analysis of the effectiveness of the measures taken to further the sound operation of the internal market and an evaluation of the overall impact of the internal market on businesses and the economy, including the purchase of data and access by Commission departments to external databases, as well as targeted actions aimed at improving understanding of the functioning of the internal market and rewarding active participation in fostering its functioning,
- greater sectorial approximation in the fields of application of the 'new approach' directives, especially the extension of the 'new approach' to other sectors,
- implementation of the strategic programme on the internal market and market monitoring, and measures implementing Regulation (EC) No 765/2008, both for the infrastructures and market surveillance, and Regulation (EC) No 764/2008, for procedures relating to the application of certain national technical rules to products lawfully marketed in another Member State,
- the development of a unified area for security and defence, with measures implementing Directive 2009/43/EC simplifying terms and conditions of transfers of defence-related products within the Union and actions working towards the coordination of public procurement procedures for these products at Union level, as well as, where appropriate, devising studies and awareness-raising measures regarding the application of the legislation adopted,
- participation in the negotiation of agreements on mutual recognition and, under European agreements, support for the associate countries to allow them to adopt the *acquis* of the Union,
- implementation measures for Regulation (EC) No 1907/2006, especially those resulting from the REACH review (communication COM(2013) 49 final),
- actions related to the implementation of Directive 2014/60/EU,
- implementing and monitoring the provisions governing public contracts with a view to ensuring their optimum operation and that tenders are genuinely open, including the awareness-raising and training of the various parties to these contracts; the introduction and use of new technologies in the various fields of operation of these contracts; the continuous adaptation of the legislative and regulatory framework in the light of developments arising from these contracts, particularly the globalisation of markets and existing or future international agreements,



**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)**02 03 01** (*cont'd*)

- strengthening administrative cooperation through, inter alia, the Internal Market Information System (IMI), the deepening of knowledge of internal market legislation in the Member States and its sound application by them, and support for administrative cooperation between the authorities responsible for implementing legislation relating to the internal market with a view to achieving the Lisbon strategic goals as set out in the annual policy strategy,
- guaranteeing the completion and management of the internal market, especially in the fields of free movement of services, recognition of professional qualifications, and intellectual and industrial property, in particular the development of proposals for establishing a Union patent,
- analysis of the effects of removing obstacles to the internal market for services and of the effects of measures in place as part of the follow-up to the progressive liberalisation of postal services, coordination of Union policies on postal services with regard to international systems and in particular with regard to participants in Universal Postal Union (UPU) activities, cooperation with central and eastern European countries, as well as analysis of practical implications of the application of the General Agreement on Trade in Services (GATS) provisions to the postal sector and overlap with UPU regulations.

This appropriation is also intended to cover expenditure on consultations, studies, evaluations, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this article, as maintenance, updating and development of informatics systems related to technical regulations or linked to putting into place and monitoring policies launched within the framework of the internal market, and any other expenditure on technical and administrative assistance not involving public authority tasks.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Treaty on the Functioning of the European Union (consolidated version 2012), and in particular Articles 34 to 36 thereof (OJ C 326, 26.10.2012).

Council Directive 75/107/EEC of 19 December 1974 on the approximation of the laws of the Member States relating to bottles used as measuring containers (OJ L 42, 15.2.1975, p. 14).

Council Directive 75/324/EEC of 20 May 1975 on the approximation of the laws of the Member States relating to aerosol dispensers (OJ L 147, 9.6.1975, p. 40).

Council Directive 76/211/EEC of 20 January 1976 on the approximation of the laws of the Member States relating to the making-up by weight or by volume of certain prepackaged products (OJ L 46, 21.2.1976, p. 1).

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 01 (*cont'd*)

Council Directive 76/768/EEC of 27 July 1976 on the approximation of the laws of the Member States relating to cosmetic products (OJ L 262, 27.9.1976, p. 169).

Council Directive 80/181/EEC of 20 December 1979 on the approximation of the laws of the Member States relating to units of measurement and on the repeal of Directive 71/354/EEC (OJ L 39, 15.2.1980, p. 40).

Council Directive 85/374/EEC of 25 July 1985 on the approximation of the laws, regulations and administrative provisions of the Member States concerning liability for defective products (OJ L 210, 7.8.1985, p. 29).

Council Directive 89/105/EEC of 21 December 1988 relating to the transparency of measures regulating the pricing of medicinal products for human use and their inclusion in the scope of national health insurance systems (OJ L 040, 11.2.1989, p. 8).

Council Directive 90/385/EEC of 20 June 1990 on the approximation of the laws of the Member States relating to active implantable medical devices (OJ L 189, 20.7.1990, p. 17).

Council Directive 91/477/EEC of 18 June 1991 on control of the acquisition and possession of weapons (OJ L 256, 13.9.1991, p. 51).

Council Decision (8300/92) of 21 September 1992 authorising the Commission to negotiate agreements between the Community and certain non-member countries on mutual recognition.

Council Directive 93/5/EEC of 25 February 1993 on assistance to the Commission and cooperation by the Member States in the scientific examination of questions relating to food (OJ L 52, 4.3.1993, p. 18).

Council Directive 93/7/EEC of 15 March 1993 on the return of cultural objects unlawfully removed from the territory of a Member State (OJ L 74, 27.3.1993, p. 74).

Council Regulation (EEC) No 793/93 of 23 March 1993 on the evaluation and control of the risks of existing substances (OJ L 84, 5.4.1993, p. 1).

Council Directive 93/15/EEC of 5 April 1993 on the harmonisation of the provisions relating to the placing on the market and supervision of explosives for civil uses (OJ L 121, 15.5.1993, p. 20).

Council Directive 93/42/EEC of 14 June 1993 concerning medical devices (OJ L 169, 12.7.1993, p. 1).

Council Decision 93/465/EEC of 22 July 1993 concerning the modules for the various phases of the conformity assessment procedures and the rules for the affixing and use of the CE conformity marking, which are intended to be used in the technical harmonisation directives (OJ L 220, 30.8.1993, p. 23).

Council Decision 94/358/EC of 16 June 1994 accepting, on behalf of the European Community, the Convention on the elaboration of a European Pharmacopoeia (OJ L 158, 25.6.1994, p. 17).

Directive 96/100/EC of the European Parliament and of the Council of 17 February 1997 amending the Annex to Directive 93/7/EEC on the return of cultural objects unlawfully removed from the territory of a Member State (OJ L 60, 1.3.1997, p. 59).

Council Decision (8453/97) confirming the Article 113 Committee's interpretation of the Council decision of 21 September 1992 giving the Commission directives for the negotiation of European conformity assessment agreements.

**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)**02 03 01** (*cont'd*)

Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 envisaging an information procedure in the field of the technical standards and regulations (OJ L 204, 21.7.1998, p. 37).

Directive 98/48/EC of the European Parliament and of the Council of 20 July 1998 amending Directive 98/34/EC laying down a procedure for the provision of information in the field of technical standards and regulations (OJ L 217, 5.8.1998, p. 18).

Directive 98/79/EC of the European Parliament and of the Council of 27 October 1998 on in vitro diagnostic medical devices (OJ L 331, 7.12.1998, p. 1).

Council Regulation (EC) No 2679/98 of 7 December 1998 on the functioning of the internal market in relation to the free movement of goods among the Member States (OJ L 337, 12.12.1998, p. 8).

Directive 1999/4/EC of the European Parliament and of the Council of 22 February 1999 relating to coffee extracts and chicory extracts (OJ L 66, 13.3.1999, p. 26).

Council Directive 1999/36/EC of 29 April 1999 on transportable pressure equipment (OJ L 138, 1.6.1999, p. 20).

Directive 2000/9/EC of the European Parliament and of the Council of 20 March 2000 relating to cableway installations designed to carry persons (OJ L 106, 3.5.2000, p. 21).

Directive 2000/14/EC of the European Parliament and of the Council of 8 May 2000 on the approximation of the laws of the Member States relating to the noise emission in the environment by equipment for use outdoors (OJ L 162, 3.7.2000, p. 1).

Directive 2000/35/EC of the European Parliament and of the Council of 29 June 2000 on combating late payment in commercial transactions (OJ L 200, 8.8.2000, p. 35).

Council Regulation (EC) No 2580/2000 of 20 November 2000 amending Regulation (EC) No 3448/93 laying down the trade arrangements applicable to certain goods resulting from the processing of agricultural products (OJ L 298, 25.11.2000, p. 5).

Directive 2001/82/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to veterinary medicinal products (OJ L 311, 28.11.2001, p. 1).

Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use (OJ L 311, 28.11.2001, p. 67).

Directive 2002/95/EC of the European Parliament and of the Council of 27 January 2003 on the restriction of the use of certain hazardous substances in electrical and electronic equipment (OJ L 37, 13.2.2003, p. 19).

Directive 2002/96/EC of the European Parliament and of the Council of 27 January 2003 on waste electrical and electronic equipment (WEEE) (OJ L 37, 13.2.2003, p. 24).

Council Regulation (EC) No 1435/2003 of 22 July 2003 on the Statute for a European Cooperative Society (SCE) (OJ L 207, 18.8.2003, p. 1).

Regulation (EC) No 2003/2003 of the European Parliament and of the Council of 13 October 2003 relating to fertilisers (OJ L 304, 21.11.2003, p. 1).

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 01 (*cont'd*)

Directive 2003/102/EC of the European Parliament and of the Council of 17 November 2003 relating to the protection of pedestrians and other vulnerable road users before and in the event of a collision with a motor vehicle and amending Council Directive 70/156/EEC (OJ L 321, 6.12.2003, p. 15).

Regulation (EC) No 273/2004 of the European Parliament and of the Council of 11 February 2004 on drug precursors (OJ L 47, 18.2.2004, p. 1).

Directive 2004/9/EC of the European Parliament and of the Council of 11 February 2004 on the inspection and verification of good laboratory practice (GLP) (OJ L 50, 20.2.2004, p. 28).

Directive 2004/10/EC of the European Parliament and of the Council of 11 February 2004 on the harmonisation of laws, regulations and administrative provisions relating to the application of the principles of good laboratory practice and the verification of their applications for tests on chemical substances (OJ L 50, 20.2.2004, p. 44).

Regulation (EC) No 648/2004 of the European Parliament and of the Council of 31 March 2004 on detergents (OJ L 104, 8.4.2004, p. 1).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

Directive 2004/22/EC of the European Parliament and of the Council of 31 March 2004 on measuring instruments (OJ L 135, 30.4.2004, p. 1).

Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) and establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p. 1).

Directive 2007/23/EC of the European Parliament and of the Council of 23 May 2007 on the placing on the market of pyrotechnic articles (OJ L 154, 14.6.2007, p. 1).

Directive 2007/45/EC of the European Parliament and of the Council of 5 September 2007 laying down rules on nominal quantities for prepacked products, repealing Council Directives 75/106/EEC and 80/232/EEC, and amending Council Directive 76/211/EEC (OJ L 247, 21.9.2007, p. 17).

Regulation (EC) No 764/2008 of the European Parliament and of the Council of 9 July 2008 laying down procedures relating to the application of certain national technical rules to products lawfully marketed in another Member State and repealing Decision No 3052/95/EC (OJ L 218, 13.8.2008, p. 21).

Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products (OJ L 218, 13.8.2008, p. 30).

Decision No 768/2008/EC of the European Parliament and of the Council of 9 July 2008 on a common framework for the marketing of products and repealing Council Decision 93/465/EEC (OJ L 218, 13.8.2008, p. 82).

**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)**02 03 01** (*cont'd*)

Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 (OJ L 353, 31.12.2008, p. 1).

Directive 2009/23/EC of the European Parliament and of the Council of 23 April 2009 on non-automatic weighing instruments (OJ L 122, 16.5.2009, p. 6).

Directive 2009/34/EC of the European Parliament and of the Council of 23 April 2009 relating to common provisions for both measuring instruments and methods of metrological control (OJ L 106, 28.4.2009, p. 7).

Directive 2009/43/EC of the European Parliament and of the Council of 6 May 2009 simplifying terms and conditions of transfers of defence-related products within the Community (OJ L 146, 10.6.2009, p. 1).

Directive 2009/48/EC of the European Parliament and of the Council of 18 June 2009 on the safety of toys (OJ L 170, 30.6.2009, p. 1).

Directive 2009/125/EC of the European Parliament and of the Council of 21 October 2009 establishing a framework for the setting of ecodesign requirements for energy-related products (OJ L 285, 31.10.2009, p. 10).

Regulation (EU) No 305/2011 of the European Parliament and of the Council of 9 March 2011 laying down harmonised conditions for the marketing of construction products and repealing Council Directive 89/106/EEC (OJ L 88, 4.4.2011, p. 5).

Regulation (EU) No 1007/2011 of the European Parliament and of the Council of 27 September 2011 on textile fibre names and related labelling and marking of the fibre composition of textile products and repealing Council Directive 73/44/EEC and Directives 96/73/EC and 2008/121/EC of the European Parliament and of the Council (OJ L 272, 18.10.2011, p. 1).

Directive 2014/31/EU of the European Parliament and of the Council of 26 February 2014 on the harmonisation of the laws of the Member States relating to the making available on the market of non-automatic weighing instruments (OJ L 96, 29.3.2014, p. 107).

Directive 2014/32/EU of the European Parliament and of the Council of 26 February 2014 on the harmonisation of the laws of the Member States relating to the making available on the market of measuring instruments (OJ L 96, 29.3.2014, p. 149).

Directive 2014/60/EU of the European Parliament and of the Council of 15 May 2014 on the return of cultural objects unlawfully removed from the territory of a Member State and amending Regulation (EU) No 1024/2012 (OJ L 159, 28.5.2014, p. 1).

Directives of the European Parliament and of the Council implementing the new approach in certain sectors such as machinery, electromagnetic compatibility, radio equipment and telecommunications terminal equipment, low voltage electrical equipment, personal protective equipment, lifts, explosive atmospheres, medical devices, toys, pressure equipment, gas appliances, construction, the interoperability of the rail system, recreational craft, tyres, motor vehicle emissions, explosives, pyrotechnic articles, cableways installations, etc.

Council Directives adopted for the removal of technical barriers to trade in areas not covered by the 'new approach'.

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 02 **Standardisation and approximation of legislation**

02 03 02 01 Support to standardisation activities performed by CEN, Cenelec and ETSI

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 970 000	18 100 000	17 843 714	16 100 331	18 011 319,26	18 304 054,96

*Remarks*

In accordance with the general aim of maintaining the proper functioning of the internal market and the competitiveness of European industry, in particular through the mutual recognition of standards and the establishment of European standards in suitable cases, this appropriation is intended to cover:

- financial obligations arising from contracts to be signed with the European standardisation organisations (such as ETSI, CEN and Cenelec), for the development of standards,
- checking and certifying conformity with the standards, and demonstration projects,
- contract expenditure for the execution of the programme and projects indicated above. The contracts in question cover the following: research, association, evaluation, technical operations, coordination, scholarships, grants, training and mobility of scientific staff, participation in international agreements and participation in expenditure on equipment,
- improving the performance of standards bodies,
- promoting quality in standardisation, and the verification thereof,
- supporting the transposition of European standards into national standards, particularly by having them translated,
- measures relating to information, promotion and profile-raising of standardisation and the promotion of European interests in international standardisation,
- secretarial services for the technical committees,
- technical projects in the field of tests for compliance with the standards,
- examination of the compliance of draft standards to the relevant mandates,
- programmes of cooperation with and assistance to third countries,
- carrying out the work needed to apply international information technology standards uniformly throughout the Union,
- specifying methods of certification and laying down technical methods of certification,
- encouraging use of these standards in public procurement contracts,

**CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES** (*cont'd*)**02 03 02** (*cont'd*)**02 03 02 01** (*cont'd*)

— coordinating the various operations to prepare the standards and doing more to implement them (user guides, demonstrations, etc.). While preparing the standards, possible gender-related specificities should be considered.

Union finance is to be used to frame and implement the standardisation operation in consultation with the main participants: industry, workers' representatives, consumers, when relevant including women's organisations, small and medium-sized enterprises, the national and European standardisation institutions, the public procurement agencies in the Member States, all users and industrial policymakers at national and Union levels.

In support of interoperability, specific provisions about the use of ICT technical specifications in public procurement are included in Regulation (EU) No 1025/2012 of the European Parliament and of the Council of 25 October 2012 on European standardisation.

*Legal basis*

Regulation (EU) No 1025/2012 of the European Parliament and of the Council of 25 October 2012 on European standardisation, amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/23/EC and 2009/105/EC of the European Parliament and of the Council and repealing Council Decision 87/95/EEC and Decision No 1673/2006/EC of the European Parliament and of the Council (OJ L 316, 14.11.2012, p. 12).

**02 03 02 02** Support to organisations representing small and middle-sized enterprises (SMEs) and societal stakeholders in standardisation activities

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 843 000	3 700 000	3 816 286	2 175 774	3 054 559,94	2 001 606,26

*Remarks*

This appropriation is intended to cover the costs of the functioning and activities of European non-governmental and non-profit organisations representing the interests of SMEs and consumers, as well as environmental and social interests, in standardisation activities.

Such representation in the standardisation process at European level forms part of the statutory objectives of such organisations, and they have been mandated by national non-profit organisations in at least two thirds of the Member States to represent those interests.

Contributions to such European organisations have previously been covered by the Competitiveness and Innovation Programme, the consumer policy and the Financial Instrument for the environment, LIFE+. In Regulation (EU) No 1025/2012 the actions in the field of standardisation financed by specific programmes have been brought together in a single legal act.



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CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 02 (*cont'd*)02 03 02 02 (*cont'd*)*Legal basis*

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Decision No 1926/2006/EC of the European Parliament and of the Council of 18 December 2006 establishing a programme of Community action in the field of consumer policy (2007-2013) (OJ L 404, 30.12.2006, p. 39).

Regulation (EC) No 614/2007 of the European Parliament and of the Council of 23 May 2007 concerning the Financial Instrument for the Environment (LIFE+) (OJ L 149, 9.6.2007, p. 17).

Regulation (EU) No 1025/2012 of the European Parliament and of the Council of 25 October 2012 on European standardisation, amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/23/EC and 2009/105/EC of the European Parliament and of the Council and repealing Council Decision 87/95/EEC and Decision No 1673/2006/EC of the European Parliament and of the Council (OJ L 316, 14.11.2012, p. 12).

02 03 03 **European Chemicals Agency — Chemicals legislation***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
72 805 000	72 805 000	7 800 000	7 800 000	0,—	0,—

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure in connection with the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this Item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of the Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.



CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 03 (*cont'd*)

In 2016, the Agency's revenue from fees and charges and the surplus carried over from previous year will not be sufficient to cover for the expected expenditure, which implies the need of a subsidy from the Commission. The Union contribution for 2016 amounts to a total of EUR 72 805 000.

*Legal basis*

Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) and establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p. 1).

Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 (OJ L 353, 31.12.2008, p. 1).

02 03 04 *Internal market governance tools**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 650 000	3 500 000	4 000 000	3 394 146	3 986 424,54	2 956 425,34

*Remarks**Former Article 12 02 02*

This appropriation is intended to cover expenditure arising in connection with measures for the management and development of Solvit and for the implementation of the single market assistance services action plan.

The Solvit system has proved to be one of the most effective out-of-court dispute settlement mechanisms.

It can effectively and efficiently deal with problems faced by citizens or businesses, arising as a result of the misapplication of internal market legislation by a public administration in another Member State. Furthermore, the Solvit system is able to produce feedback information by using an online database system which is accessible to all coordination centres and to which citizens and businesses can directly submit their problems.

However, Solvit, like many other public assistance services relating to Union issues, is still not widely known among potential users of the system. At the same time citizens and businesses are often very confused about the right address for their requests for information, assistance or problem solving. To remedy this, the Commission announced, as part of the single market review, that it would endeavour to create a single web-based access point guiding citizens and businesses to the right service. In October 2010 the Commission launched a new website, Your Europe, which provides citizens and businesses with information, and refers them directly to relevant services such as Solvit in the event that they need help. Your Europe, together with the Europe Direct call centre, represents the single contact point for the Solvit system. The Commission is to inform the competent committee of the European Parliament in a yearly report about the frequency of use of the contact point and possible measures to further improve it.

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CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 04 (*cont'd*)

Member States should be encouraged to take appropriate measures to inform their citizens about the existence of the single contact point.

Moreover, in the Commission's action plan of 8 May 2008 on an integrated approach for providing single market assistance services to citizens and businesses, a range of actions was proposed to increase cooperation between the existing assistance services so that they can offer a better, faster and more streamlined overall service to citizens and businesses.

Promotion of all these services also needs to take place in a more coordinated way to avoid citizens and businesses being confused by too many brand names.

Additionally, the Commission is to inform the competent committee of the European Parliament about the concrete measures which have been undertaken in this field.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 03 77 **Pilot projects and preparatory actions**

02 03 77 01 Preparatory action — RECAP: recycling at local scale of internal plastic scrap created by major Union polymer converting regions

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	597 360	0,—	373 350,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 77 (*cont'd*)

## 02 03 77 02 Pilot project — Single Market Forum

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 374,52

*Remarks**Former Item 12 02 77 01*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 02 03 77 03 Preparatory action — Single Market Forum

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 200 000	1 200 000	1 015 000	1 079 236,59	471 443,87

*Remarks**Former Item 12 02 77 03*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SERVICES (*cont'd*)02 03 77 (*cont'd*)

## 02 03 77 04 Pilot project — Support measures for traditional retailing

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	1 000 000	500 000		

*Remarks**Former Item 12 02 77 06*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This appropriation is intended to fund stepped-up support measures for traditional retailing, with a view to revitalising and modernising it. The pilot project will help to coordinate measures and channel funding from various sources in order to address the sector's problems more effectively.

The pilot project will involve:

- (1) defining the features of the traditional trading sector and identifying the constraints and threats it currently faces;
- (2) proposing solutions to encourage revitalisation and modernisation of the sector;
- (3) identifying Union instruments and funding directly or indirectly usable by the sector;
- (4) establishing the uses to which the instruments, actions, measures and funding available are being put by the sector;
- (5) analysing the extent to which existing instruments meet the needs of the traditional retailing sector and, on the basis of that analysis, proposing any adjustments that may be necessary;
- (6) implementing the proposals referred to in point (2);
- (7) analysing the results and assessing the feasibility of a possible future Union programme of support for traditional retailing.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 04	HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES								
<b>02 04 02</b>	<b>Industrial leadership</b>								
02 04 02 01	Leadership in space	1.1	159 792 893	147 533 544	165 847 152	113 594 175	172 706 335,43	48 624 466,14	32,96
02 04 02 02	Enhancing access to risk finance for investing in research and innovation	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
02 04 02 03	Increasing innovation in small and medium-sized enterprises (SMEs)	1.1	35 738 414	18 500 000	34 105 989	17 650 787	33 075 345,—	2 939 912,13	15,89
	<i>Article 02 04 02 — Subtotal</i>		195 531 307	166 033 544	199 953 141	131 244 962	205 781 680,43	51 564 378,27	31,06
<b>02 04 03</b>	<b>Societal challenges</b>								
02 04 03 01	Achieving a resource- efficient and climate change resilient economy and a sustainable supply of raw materials	1.1	75 016 498	62 200 000	73 904 264	30 583 047	74 997 291,—	7 225 024,21	11,62
	<i>Article 02 04 03 — Subtotal</i>		75 016 498	62 200 000	73 904 264	30 583 047	74 997 291,—	7 225 024,21	11,62
<b>02 04 50</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>								
02 04 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	11 771 890,09	69 676,86	
02 04 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	8 052,—	4 014 736,95	
	<i>Article 02 04 50 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	11 779 942,09	4 084 413,81	
<b>02 04 51</b>	<b>Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)</b>								
		1.1	p.m.	85 800 000	p.m.	97 930 358	15 971,73	143 419 467,32	167,16

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 04 52	Completion of previous research framework programmes (prior to 2007)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
02 04 53	Completion of Competitiveness and Innovation Framework Programme — Innovation part (2007-2013)	1.1	p.m.	27 600 000	p.m.	36 262 756	2 269,69	54 146 048,73	196,18
02 04 77	Pilot projects and preparatory actions								
02 04 77 01	Pilot project — Design, roll-out and implementation of an EU-wide technical architecture to assess 112 PSAPs' readiness to transport GNSS location and other data from 112 emergency apps to European PSAPs securely and reliably	1.1	p.m.	500 000	1 000 000	500 000			
02 04 77 02	Pilot project — CSDP research	1.1	500 000	500 000	1 000 000	500 000			
	Article 02 04 77 — Subtotal		500 000	1 000 000	2 000 000	1 000 000			
	Chapter 02 04 — Total		271 047 805	342 633 544	275 857 405	297 021 123	292 577 154,94	260 439 332,34	76,01

## Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for the Horizon 2020 — the Framework Programme for Research and Innovation which covers the 2014 to 2020 period, and for the completion of the previous research programmes (Seventh Framework Programme and preceding framework programmes) and the Competitiveness and Innovation Framework Programme (CIP).

Horizon 2020 shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area. Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding. It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof. Particular attention will be paid to the involvement of female entrepreneurs and female researchers, in order to strengthen their participation in innovation and the knowledge-based economy.

**CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES** (*cont'd*)

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 02 04 50 01.

Administrative appropriations of this chapter will be provided under Article 02 01 05.

**02 04 02 Industrial leadership***Remarks*

This priority of Horizon 2020 aims at making Europe a more attractive location to invest in research and innovation, by promoting activities where businesses set the agenda as well as at accelerating the development of new technologies which will underpin future businesses and economic growth. It will provide major investment in key industrial technologies, maximise the growth potential of European companies by providing them with adequate levels of finance and help innovative SMEs to grow into world-leading companies.

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 02 (*cont'd*)

## 02 04 02 01 Leadership in space

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
159 792 893	147 533 544	165 847 152	113 594 175	172 706 335,43	48 624 466,14

*Remarks*

The aim of this appropriation is to:

- foster a competitive and innovative space industry and research community to develop and exploit space infrastructure to meet future Union policy and societal needs. The lines of activities are: enabling European competitiveness, non-dependence and innovation of the European space sector, enabling advances in space technologies, enabling exploitation of space data and enabling European research in support of international space partnerships,
- foster today's industrial forms of production towards more sustainable and low-carbon manufacturing and processing resulting in more innovative products, processes and services. In particular, sustainable and low-carbon technologies in energy-intensive process industries will be targeted to increase the competitiveness of process industries, by drastically improving resource and energy efficiencies and reducing the environmental impact of such industrial activities through the whole value chain, promoting the adoption of low-carbon technologies.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(2)(a)(vi) thereof.

## 02 04 02 02 Enhancing access to risk finance for investing in research and innovation

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—



CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 02 (*cont'd*)02 04 02 02 (*cont'd*)*Remarks*

The aim of this appropriation is to help remedy market deficiencies in accessing risk finance for research and innovation. In particular, the equity facility will target investments in risk capital funds which make early stage investments. It shall enable equity investments in, amongst others, seed capital funds, cross-border seed funds, business angel co-investment vehicles and early-stage venture capital funds. The equity facility, which will be demand-driven, shall use a portfolio approach, where venture capital funds and other comparable intermediaries select the firms to be invested in. Special attention should be paid to encouraging female entrepreneurs to participate in such schemes.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(3)(i) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(2)(b) thereof.

02 04 02 03 Increasing innovation in small and medium-sized enterprises (SMEs)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
35 738 414	18 500 000	34 105 989	17 650 787	33 075 345,—	2 939 912,13

*Remarks*

The aim of this appropriation is to:

- provide financing to the Enterprise Europe Network established under the COSME programme for their reinforced services linked to Horizon 2020. The support could range from improved information and advisory services through partner search activities for SMEs wishing to develop cross-border innovation projects, to providing innovation support services,

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 02 (*cont'd*)02 04 02 03 (*cont'd*)

- support the implementation and complementing the SME specific measures across Horizon 2020, notably to enhance the innovation capacity of SMEs. Activities may include awareness raising, information and dissemination, training and mobility activities, networking and exchange of best practices, developing high quality innovation support mechanisms and services with strong Union added value for SMEs (e.g. intellectual property and innovation management, knowledge transfer), as well as assisting SMEs to connect to research and innovation partners across the Union, allowing them to spin in technology and develop their innovation capacity. Awareness-raising campaigns to encourage female entrepreneurs to take part in the projects should be included among the activities. Intermediary organisations representing groups of innovative SMEs shall be invited to conduct cross-sectoral and cross-regional innovation activities with SMEs having mutually reinforcing competences, in order to develop new industrial value chains,
- support market-driven innovation in view of enhancing the innovation capacity of firms by improving the framework conditions for innovation as well as tackling the specific barriers preventing the growth of innovative firms, in particular SMEs and enterprises of intermediate size with potential for fast growth. Specialised innovation support (e.g. IP exploitation, networks of procurers, support to technology transfer offices, strategic design) and reviews of public policies in relation to innovation may be supported.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(2)(c) thereof.

02 04 03 **Societal challenges***Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test-beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

## 02 04 03 01 Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
75 016 498	62 200 000	73 904 264	30 583 047	74 997 291,—	7 225 024,21

**CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES** (*cont'd*)**02 04 03** (*cont'd*)02 04 03 01 (*cont'd*)*Remarks*

The aim of this appropriation will be to support secure supply of raw materials in order to meet the needs of a growing global population within the sustainable limits of the planet's natural resources. Activities will target the improvement of the knowledge base on raw materials and the development of innovative solutions for the cost-effective and environmentally friendly exploration, extraction, processing, recycling and recovery of raw materials and for their substitution by economically attractive alternatives.

Support will also be provided for tackling barriers impeding the deployment of circular-economy business models, in particular by SMEs, such as the use of materials from waste streams, the development of industrial symbiosis processes and the building of eco-industry clusters.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(e) thereof.

**02 04 50** ***Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development***

02 04 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	11 771 890,09	69 676,86

*Remarks*

*Former Item 02 04 50 01 (in part)*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the period 2014 to 2020.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

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CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 50 (*cont'd*)

02 04 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	8 052,—	4 014 736,95

## Remarks

Former Item 02 04 50 02 (*in part*)

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

02 04 51 **Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)**

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	85 800 000	p.m.	97 930 358	15 971,73	143 419 467,32

## Remarks

Former Article 02 04 51 (*in part*)

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

**CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES** (*cont'd*)**02 04 51** (*cont'd*)

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

**02 04 52** ***Completion of previous research framework programmes (prior to 2007)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover earlier commitments related to the research framework programmes prior to 2003.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

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CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 52 (*cont'd*)

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'structuring the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 44).

02 04 53 **Completion of Competitiveness and Innovation Framework Programme — Innovation part (2007-2013)**

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	27 600 000	p.m.	36 262 756	2 269,69	54 146 048,73

**Remarks**

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

**Legal basis**

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 77 **Pilot projects and preparatory actions**

02 04 77 01 Pilot project — Design, roll-out and implementation of an EU-wide technical architecture to assess 112 PSAPs' readiness to transport GNSS location and other data from 112 emergency apps to European PSAPs securely and reliably

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	1 000 000	500 000		

**Remarks**

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

People use smartphones and apps for everyday activities. Smartphone penetration is growing rapidly and, while society benefits from the digital world, how we will make emergency calls in the future, using apps, is not so clear. Accurate and reliable GNSS-based location data is being used for commercial and other purposes, but it is not accessible for emergency services when citizens make an emergency call.

As a result, very many 112-related apps have been created and are available today, but with limited functionality and accessibility. In the recent past, almost all European emergency services have been contacted by app developers who have made proposals to develop 112 apps in order to establish a voice connection and send location data and other data directly to 112 centres.

Unfortunately, these apps can only be used by citizens living in certain geographical areas and often do not work in the same way if they are used outside the boundaries of a given Public Service Answering Points (PSAPs) area. It is therefore common for apps developed in one region/country not to work in another region/country, making them redundant outside their own regions/countries.

Therefore, there is currently no pan-EU common architecture for utilising 112 apps.

Vice-Presidents Kroes and Kallas decided to work jointly on this, stating in 2010 that they intended to ensure that every European could access a 112 smartphone app in his or her own language. In recent years many 112 mobile apps had been developed, but much more could be done in the field.

Clearly, both Vice-Presidents identified the need for a common approach to developing a pan-EU architecture for 112 apps.

This project seeks to ensure PSAP readiness by funding the creation of a common EU-wide architecture to make it possible to send data, including GNSS location data and other vital information, using existing 112 apps to the most appropriate PSAP. This will ensure market freedom, which will have direct societal benefits. A series of interoperability and capability tests of both the apps and the platforms on which they run can be carried out at national and EU level.

The societal benefits will be for all citizens and will have a direct positive impact for citizens with disabilities.

The pilot project will be implemented in close collaboration with the relevant Commission stakeholders, who are currently looking into the use of GNSS location data for 112 services, using EGNOS and Galileo. The technical architecture designed as part of the project will strictly comply with the requirements of those stakeholders, ensure the involvement of 112 PSAPs and prepare the way for additional data to be sent in a harmonised manner.



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CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 77 (*cont'd*)02 04 77 01 (*cont'd*)

The project will:

- identify the main requirements of the emergency services (the demand side), in the medium term, building on extensive research already conducted as well as carrying out further research,
- involve the design and implementation of a method for conducting interoperability tests on 112 apps and platforms in a number of EU countries,
- involve readiness and compatibility tests on a number of PSAPs across the Member States participating in the pilot project,
- benefit citizens, including those with disabilities or special needs, by creating the environment and infrastructure required to allow apps technology and solution providers (the supply side) to send GNSS-based location and other data to the most appropriate PSAP,
- build on the successful work completed as part of the REACH112 project, which identified the requirements for ensuring that people with disabilities have greater access to 112 services, and the PEACE research project, which examined IP-based emergency applications and services for next-generation networks,
- partner European data protection authorities, European technology providers, free software communities, emergency services organisations, research and development laboratories, application developers, telecommunication network providers, Voice- Over-IP providers and other software providers to build on expertise in a collaborative fashion,
- map the future of 112 apps in line with citizens' and emergency services' needs and requirements in order to deliver on the vision for greater efficiencies and more impactful and effective services for our society.

At present, most 112 PSAPs in Europe are based on old analogue technologies and legacy-based networks. As a result, PSAPs have a very limited capability to handle emergency communications other than voice and are significantly out of step with the speed of modern communications systems. Citizens' expectations are also significantly greater than emergency services' capabilities, and many citizens with disabilities are not receiving an equivalent service. The 2014 COCOM Report also highlighted the lack of accessibility for citizens with disabilities and of accurate, reliable and on-time caller location information.

Many Member States are struggling to understand the impact and reach of apps based services and, especially, how such services access and interact with 112. European national authorities have limited exposure to apps-based services and urgently need to test the boundaries in order to be able to regulate effectively and proportionately and protect the consumer appropriately. Given the lack of cohesion and coordination with apps services and technologies, there is a need for such services to be developed and tested so that there is a match between their capabilities and an appropriate and effective standardisation and regulatory approach which includes assessment of privacy, data theft and surveillance risks.

As a result, all stakeholders need to be provided with a neutral, non-discriminatory, innovative architecture to ensure interoperability and to help design apps solutions that make for a more effective and efficient 112 service for the benefit of European citizens and visitors.



CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES (*cont'd*)02 04 77 (*cont'd*)02 04 77 01 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

02 04 77 02 Pilot project — CSDP research

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	500 000	1 000 000	500 000		

*Remarks*

The project will involve:

- continuing to develop cooperation between the Commission and the European Defence Agency (EDA) in connection with the Agency implementing Union objectives and managing Union budget appropriations, as provided for by Council Decision 2011/411/CFSP of 12 July 2011 defining the statute, seat and operational rules of the European Defence Agency and repealing Joint Action 2004/551/CFSP (OJ L 183, 13.7.2011, p. 16),
- continuing the pilot project with the aim of funding or co-funding two defence-related research and development activities in line with Articles 42(1) and 42(2) TEU:
  - one high-risk, high-payoff research activity whose outcome could potentially reshape future operations. The beneficiaries should be selected by means of a competition of ideas. The EDA will carry out the activity on behalf of the Union. Member States, the Commission and the EEAS will keep a watching brief on the activity in an advisory capacity. Third countries and organisations which have concluded an administrative arrangement with the EDA may also be invited to monitor the activity,
  - one research and development activity for certification against military and — if applicable — civilian requirements. Member States and third countries and organisations which have concluded an administrative arrangement with the EDA will be invited to contribute to the activity. The EDA will manage the activity on behalf of the Union and the other contributing members,

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

**CHAPTER 02 04 — HORIZON 2020 — RESEARCH RELATING TO ENTERPRISES** (*cont'd*)

**02 04 77** (*cont'd*)

02 04 77 02 (*cont'd*)

- monitoring the two processes in order to learn lessons for future Union action in support of building defence capabilities relevant for the CSDP and for Member States. The EU Military Staff should participate in the monitoring team.

Where appropriate, the NATO Secretary-General should be invited to send an observer to meetings held under this pilot project.

Work on weapons which due to their very nature would not be compliant with international humanitarian law, on lethal or excessively destructive weapons and related warhead technologies, and on autonomous target discrimination and engagement without human interaction will not be funded under this pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 05 — EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 05	EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO)								
02 05 01	<i>Developing and providing global satellite-based radio navigation infrastructures and services (Galileo) by 2020</i>	1.1	588 169 000	297 000 000	817 199 000	580 847 661	1 130 136 636,—	783 481 256,20	263,80
02 05 02	<i>Providing satellite-based services improving the performance of GPS to gradually cover the whole European Civil Aviation Conference (ECAC) region by 2020 (EGNOS)</i>	1.1	260 000 000	207 000 000	240 000 000	200 824 669	231 570 000,—	217 711 248,—	105,17
02 05 11	<i>European GNSS Agency</i>	1.1	27 888 400	27 888 400	26 791 000	26 791 000	25 369 057,57	25 369 057,57	90,97
02 05 51	<i>Completion of European satellite navigation programmes (EGNOS and Galileo)</i>	1.1	p.m.	16 000 000	p.m.	77 585 659	0,—	160 469 037,03	1 002,93
Chapter 02 05 — Total			876 057 400	547 888 400	1 083 990 000	886 048 989	1 387 075 693,57	1 187 030 598,80	216,66

**02 05 01      *Developing and providing global satellite-based radio navigation infrastructures and services (Galileo) by 2020***

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
588 169 000	297 000 000	817 199 000	580 847 661	1 130 136 636,—	783 481 256,20

*Remarks*

The Union contribution to the European GNSS programmes is granted with a view to financing activities relating to:

- the completion of the deployment phase of the Galileo programme, consisting of the construction, establishment, protection of the space and ground infrastructure, as well as preparatory activities for the exploitation phase including activities relating to the preparation of service provision,
- the exploitation phase of the Galileo programme, consisting of the management, maintenance, continuous improvement, evolution and protection of the space and ground infrastructure, the development of future generations of the system and the evolution of the services provided by the system, certification and standardisation operations, provision and marketing of the services provided by the system and all other activities needed to ensure that the programme runs smoothly.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(b) and (d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 05 — EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO) (*cont'd*)02 05 01 (*cont'd*)

The contribution of Member States for specific elements of the programmes could be added to the appropriations entered in this article.

*Legal basis*

Regulation (EU) No 1285/2013 of the European Parliament and of the Council of 11 December 2013 on the implementation and exploitation of European satellite navigation systems and repealing Council Regulation (EC) No 876/2002 and Regulation (EC) No 683/2008 of the European Parliament and of the Council (OJ L 347, 20.12.2013, p. 965), and in particular Article 1(4) thereof.

02 05 02 ***Providing satellite-based services improving the performance of GPS to gradually cover the whole European Civil Aviation Conference (ECAC) region by 2020 (EGNOS)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
260 000 000	207 000 000	240 000 000	200 824 669	231 570 000,—	217 711 248,—

*Remarks*

The Union contribution to the European GNSS programmes is granted with a view to financing activities relating to the exploitation of the EGNOS system, including all elements justifying the reliability of the system and its exploitation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(b) and (d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The contribution of Member States for specific elements of the programmes could be added to the appropriations entered in this article.

*Legal basis*

Regulation (EU) No 1285/2013 of the European Parliament and of the Council of 11 December 2013 on the implementation and exploitation of European satellite navigation systems and repealing Council Regulation (EC) No 876/2002 and Regulation (EC) No 683/2008 of the European Parliament and of the Council (OJ L 347, 20.12.2013, p. 1), and in particular Article 2(5) thereof.

CHAPTER 02 05 — EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO) (*cont'd*)02 05 11 *European GNSS Agency**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
27 888 400	27 888 400	26 791 000	26 791 000	25 369 057,57	25 369 057,57

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European GNSS Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 28 350 000. An amount of EUR 461 600, coming from the reserve of surplus, is added to the amount of EUR 27 888 400 entered in the budget.

*Legal basis*

Regulation (EU) No 512/2014 of the European Parliament and of the Council of 16 April 2014 amending Regulation (EU) No 912/2010 setting up the European GNSS Agency (OJ L 150, 20.5.2014, p. 72).

Regulation (EU) No 1285/2013 of the European Parliament and of the Council of 11 December 2013 on the implementation and exploitation of European satellite navigation systems and repealing Council Regulation (EC) No 876/2002 and Regulation (EC) No 683/2008 of the European Parliament and of the Council (OJ L 347, 20.12.2013, p. 1).

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## CHAPTER 02 05 — EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO) (cont'd)

02 05 51 *Completion of European satellite navigation programmes (EGNOS and Galileo)**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	16 000 000	p.m.	77 585 659	0,—	160 469 037,03

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Regulation (EC) N 683/2008 of the European Parliament and of the Council of 9 July 2008 on the further implementation of the European satellite navigation programmes (EGNOS and Galileo) (OJ L 196, 24.7.2008, p. 1).

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

## CHAPTER 02 06 — EUROPEAN EARTH OBSERVATION PROGRAMME

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
02 06	EUROPEAN EARTH OBSERVATION PROGRAMME								
<b>02 06 01</b>	<b><i>Delivering operational services relying on space-borne observations and in-situ data (Copernicus)</i></b>								
		1.1	122 353 000	121 000 000	113 650 000	81 952 171	44 721 236,93	25 796 807,72	21,32
<b>02 06 02</b>	<b><i>Building an autonomous Union's Earth observation capacity (Copernicus)</i></b>								
		1.1	461 214 000	459 000 000	440 220 000	427 844 424	316 000 000,—	200 187 000,—	43,61
<b>02 06 51</b>	<b><i>Completion of European Earth monitoring programme (GMES)</i></b>								
		1.1	p.m.	p.m.	p.m.	p.m.	0,—	16 279 633,21	
<b>02 06 77</b>	<b><i>Pilot projects and preparatory actions</i></b>								
02 06 77 01	Preparatory action — GMES operational services	1.1	p.m.	p.m.	p.m.	p.m.	0,—	1 058 312,60	
	Article 02 06 77 — Subtotal		p.m.	p.m.	p.m.	p.m.	0,—	1 058 312,60	
	<b>Chapter 02 06 — Total</b>		<b>583 567 000</b>	<b>580 000 000</b>	<b>553 870 000</b>	<b>509 796 595</b>	<b>360 721 236,93</b>	<b>243 321 753,53</b>	<b>41,95</b>

**02 06 01** ***Delivering operational services relying on space-borne observations and in-situ data (Copernicus)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
122 353 000	121 000 000	113 650 000	81 952 171	44 721 236,93	25 796 807,72

*Remarks*

The aim of this appropriation is to:

- enable operations of Copernicus services, tailored to the needs of users,
- contribute to ensure access to data of the observation infrastructure necessary to operate Copernicus services,
- create opportunities for increased private sector usage of information sources, thereby facilitating innovation by value-adding service providers.

This appropriation will, in particular, fund the set-up, the establishment and operation of the six services mentioned in the Copernicus Regulation and the related activities.

This appropriation may also fund cross-cutting activities among the services or their articulation and co-ordination, as well as for the in-situ co-ordination, user uptake and training and communication.

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## TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 06 — EUROPEAN EARTH OBSERVATION PROGRAMME (*cont'd*)02 06 01 (*cont'd*)

The budget envelope will be managed either directly by the Commission services or indirectly via delegation agreements with Union agencies and international organisations, or any entity eligible under Article 58 of the Financial Regulation.

When the Commission manages the budget directly, it may entrust the Joint Research Centre (JRC) with scientific and technical support tasks. The funding of these tasks may be entered into the JRC's indirect budget under Article 183(2)(c) and (6) of the Financial Regulation.

In addition, in support of competitiveness and growth, this appropriation may also fund data dissemination and the incubation of new businesses by supporting more robust and innovative IT structures in Europe.

Copernicus services will facilitate access to key data required in policy formulation at Union, national, regional and local level in fields such as agriculture, forest monitoring, water management, transport, urban planning, climate change and many others. This appropriation covers mainly the implementation of delegation agreements for the Copernicus programme, pursuant to Article 58 of the Financial Regulation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget. Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this Item in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU) No 377/2014 of the European Parliament and of the Council of 3 April 2014 establishing the Copernicus programme and repealing Regulation (EU) No 911/2010 (OJ L 122, 24.4.2014, p. 44).

02 06 02 **Building an autonomous Union's Earth observation capacity (Copernicus)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
461 214 000	459 000 000	440 220 000	427 844 424	316 000 000,—	200 187 000,—

*Remarks*

The aim of this appropriation is to:

- build an autonomous Union's Earth observation capacity by funding the space infrastructure and favouring the European industry in that regard, namely in the construction and launch of satellites,



**CHAPTER 02 06 — EUROPEAN EARTH OBSERVATION PROGRAMME** (*cont'd*)**02 06 02** (*cont'd*)

- contribute to the availability of the observation capacity necessary to enable the Copernicus services, in particular through the operations of the ground segment of the space infrastructure,
- create opportunities for increased private sector usage of information sources, thereby facilitating innovation by value-adding service providers.

The development of a European space infrastructure has a key role in enhancing competitiveness and innovation, and requires consistent public intervention to sustain the industrial effort.

This appropriation will fund the development and construction of satellites, as well as their operation. The data and information obtained through the space infrastructure is subject to the free, full and open data policy, which will increase their availability and thereby boost the downstream market.

To supplement the data needed by users, this appropriation may also fund the acquisition of data from third parties and the access to contributing mission from Member States, as well as the dedicated dissemination platform (core ground segment) which will serve, in priority the operational services funded by Article 02 06 01.

The budget envelope will be managed either directly by the Commission services or indirectly via delegation agreements with Union agencies and international organisations, or any entity eligible under Article 58 of the Financial Regulation.

When the Commission manages the budget directly, it may entrust the Joint Research Centre (JRC) with scientific and technical support tasks. The funding of these tasks may be entered into the JRC's indirect budget under Article 183(2)(c) and (6) of the Financial Regulation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this Item in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU) No 377/2014 of the European Parliament and of the Council of 3 April 2014 establishing the Copernicus programme and repealing Regulation (EU) No 911/2010 (OJ L 122, 24.4.2014, p. 44).

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TITLE 02 — INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES

CHAPTER 02 06 — EUROPEAN EARTH OBSERVATION PROGRAMME (*cont'd*)**02 06 51      *Completion of European Earth monitoring programme (GMES)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	16 279 633,21

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this Item in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU) No 911/2010 of the European Parliament and of the Council of 22 September 2010 on the European Earth monitoring programme (GMES) and its initial operations (2011 to 2013) (OJ L 276, 20.10.2010, p. 1).

*Reference acts*

Commission Decision 2010/67/EU of 5 February 2010 setting up the GMES Partners Board (OJ L 35, 6.2.2010, p. 23).

**02 06 77      *Pilot projects and preparatory actions***

02 06 77 01      Preparatory action — GMES operational services

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 058 312,60

**CHAPTER 02 06 — EUROPEAN EARTH OBSERVATION PROGRAMME** (*cont'd*)**02 06 77** (*cont'd*)02 06 77 01 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

In line with Article 54(2)(b) of the Financial Regulation, this preparatory action has been followed by the adoption on 22 September 2010 of the European Earth monitoring programme (see Article 02 06 51).

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 03*

**COMPETITION**

## TITLE 03

## COMPETITION

## General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
03 01	ADMINISTRATIVE EXPENDITURE OF THE 'COMPETITION' POLICY AREA	102 698 620	97 651 538	96 725 491,67
	<b>Title 03 — Total</b>	<b>102 698 620</b>	<b>97 651 538</b>	<b>96 725 491,67</b>

COMMISSION

TITLE 03 — COMPETITION

**TITLE 03****COMPETITION****CHAPTER 03 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMPETITION’ POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
03 01	ADMINISTRATIVE EXPENDITURE OF THE ‘COMPETITION’ POLICY AREA					
<b>03 01 01</b>	<b><i>Expenditure related to officials and temporary staff in the ‘Competition’ policy area</i></b>	5.2	84 068 715	78 992 075	79 402 779,15	94,45
<b>03 01 02</b>	<b><i>External personnel and other management expenditure in support of the ‘Competition’ policy area</i></b>					
03 01 02 01	External personnel	5.2	5 514 128	5 492 792	4 835 092,64	87,69
03 01 02 11	Other management expenditure	5.2	7 750 421	8 151 777	6 754 846,84	87,15
	Article 03 01 02 — Subtotal		13 264 549	13 644 569	11 589 939,48	87,38
<b>03 01 03</b>	<b><i>Expenditure related to information and communication technology equipment and services of the ‘Competition’ policy area</i></b>	5.2	5 365 356	5 014 894	5 732 773,04	106,85
<b>03 01 07</b>	<b><i>Requests for damages resulting from legal proceedings against the Commission’s decisions in the field of competition policy</i></b>	5.2	p.m.	p.m.	0,—	
	<b>Chapter 03 01 — Total</b>		<b>102 698 620</b>	<b>97 651 538</b>	<b>96 725 491,67</b>	<b>94,18</b>

**03 01 01 Expenditure related to officials and temporary staff in the ‘Competition’ policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
84 068 715	78 992 075	79 402 779,15

**03 01 02 External personnel and other management expenditure in support of the ‘Competition’ policy area****03 01 02 01 External personnel**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
5 514 128	5 492 792	4 835 092,64

**CHAPTER 03 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMPETITION’ POLICY AREA** (*cont’d*)**03 01 02** (*cont’d*)**03 01 02 11** Other management expenditure*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
7 750 421	8 151 777	6 754 846,84

**03 01 03** ***Expenditure related to information and communication technology equipment and services of the ‘Competition’ policy area****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
5 365 356	5 014 894	5 732 773,04

**03 01 07** ***Requests for damages resulting from legal proceedings against the Commission’s decisions in the field of competition policy****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

In order to ensure that the rules on competition concerning agreements, decisions by associations of undertakings and concerted practices (Article 101 of the Treaty on the Functioning of the European Union), abuses of a dominant position (Article 102 of the Treaty on the Functioning of the European Union), aids granted by States (Articles 107 and 108 of the Treaty on the Functioning of the European Union) and concentrations between undertakings (Regulation (EC) No 139/2004) are enforced, the Commission is empowered to take decisions, to conduct investigations and to impose penalties or order recovery.

The Commission’s decisions are subject to review by the Court of Justice of the European Union in accordance with the Treaty on the Functioning of the European Union.

As a prudential measure, it is appropriate to take into account the possibility of budgetary implications stemming from rulings of the Court of Justice of the European Union.

This appropriation is intended to cover any expenditure arising from damages awarded by the Court of Justice of the European Union to claimants and resulting from legal proceedings against the Commission’s decisions in the field of competition.

Since a reasonable estimate of the financial impact on the general budget cannot be established beforehand, a token entry (‘p.m.’) appears in this article. If necessary, the Commission will propose to make available the appropriations related to actual needs by means of transfers or through a draft amending budget.

COMMISSION

TITLE 03 — COMPETITION

CHAPTER 03 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMPETITION’ POLICY AREA (*cont'd*)03 01 07 (*cont'd*)*Legal basis*

Articles 101 and 102 of the Treaty on the Functioning of the European Union (former Articles 81 and 82 of the Treaty establishing the European Community) and derived legislation, in particular:

- Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1),
- Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1).

Articles 107 and 108 of the Treaty on the Functioning of the European Union (former Articles 87 and 88 of the Treaty establishing the European Community) and derived legislation, in particular Council Regulation (EC) No 659/1999 of 22 March 1999 laying down detailed rules for the application of Article 108 of the Treaty on the Functioning of the European Union (OJ L 83, 27.3.1999, p. 1).



*TITLE 04*

**EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION**

COMMISSION

TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

**TITLE 04****EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
04 01	ADMINISTRATIVE EXPENDITURE OF THE 'EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION' POLICY AREA	102 287 606	102 287 606	99 571 581	99 571 581	101 415 367,88	101 415 367,88
04 02	EUROPEAN SOCIAL FUND	12 033 016 235	12 164 352 919	14 129 626 425	10 212 703 337	9 445 228 238,12	10 609 272 680,11
04 03	EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION	253 802 800	208 080 000	249 375 800	198 518 688	244 319 460,32	204 473 915,99
04 04	EUROPEAN GLOBALISATION ADJUSTMENT FUND	p.m.	30 000 000	p.m.	25 000 000	63 591 891,—	63 591 891,—
04 05	INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT	p.m.	65 000 000	p.m.	74 547 800	0,—	62 529 134,55
04 06	FUND FOR EUROPEAN AID TO THE MOST DEPRIVED	535 152 658	461 000 000	524 657 709	363 075 586	502 024 056,—	409 526 763,58
<b>Title 04 — Total</b>		<b>12 924 259 299</b>	<b>13 030 720 525</b>	<b>15 003 231 515</b>	<b>10 973 416 992</b>	<b>10 356 579 013,32</b>	<b>11 450 809 753,11</b>

COMMISSION

TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## TITLE 04

## EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
04 01	ADMINISTRATIVE EXPENDITURE OF THE 'EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION' POLICY AREA					
<b>04 01 01</b>	<b><i>Expenditure relating to officials and temporary staff in the 'Employment, social affairs and inclusion' policy area</i></b>	5.2	67 427 864	66 755 356	68 116 717,36	101,02
<b>04 01 02</b>	<b><i>External personnel and other management expenditure in support of the 'Employment, social affairs and inclusion' policy area</i></b>					
04 01 02 01	External personnel	5.2	4 780 312	3 932 556	4 347 327,79	90,94
04 01 02 11	Other management expenditure	5.2	5 218 111	4 520 635	4 880 033,33	93,52
	Article 04 01 02 — Subtotal		9 998 423	8 453 191	9 227 361,12	92,29
<b>04 01 03</b>	<b><i>Expenditure relating to information and communication technology equipment and services of the 'Employment, social affairs and inclusion' policy area</i></b>	5.2	4 303 319	4 238 034	4 918 265,40	114,29
<b>04 01 04</b>	<b><i>Support expenditure for operations and programmes in the 'Employment, social affairs and inclusion' policy area</i></b>					
04 01 04 01	Support expenditure for European Social Fund and non-operational technical assistance	1.2	15 400 000	15 400 000	15 356 602,98	99,72
04 01 04 02	Support expenditure for the programme Employment and Social Innovation	1.1	4 728 000	4 300 000	3 549 908,34	75,08
04 01 04 03	Support expenditure for the Instrument for Pre-Accession Assistance — Employment, Social Policies and Human Resources Development	4	p.m.	p.m.	0,—	
04 01 04 04	Support expenditure for European Globalisation Adjustment Fund	9	p.m.	p.m.	246 512,68	
04 01 04 05	Support expenditure for the Fund for European Aid to the Most Deprived	1.2	430 000	425 000		
	Article 04 01 04 — Subtotal		20 558 000	20 125 000	19 153 024,—	93,17
	<b>Chapter 04 01 — Total</b>		<b>102 287 606</b>	<b>99 571 581</b>	<b>101 415 367,88</b>	<b>99,15</b>

COMMISSION

TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION’ POLICY AREA (cont'd)

**04 01 01 Expenditure relating to officials and temporary staff in the ‘Employment, social affairs and inclusion’ policy area***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
67 427 864	66 755 356	68 116 717,36

**04 01 02 External personnel and other management expenditure in support of the ‘Employment, social affairs and inclusion’ policy area****04 01 02 01 External personnel***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 780 312	3 932 556	4 347 327,79

**04 01 02 11 Other management expenditure***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
5 218 111	4 520 635	4 880 033,33

**04 01 03 Expenditure relating to information and communication technology equipment and services of the ‘Employment, social affairs and inclusion’ policy area***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 303 319	4 238 034	4 918 265,40

**04 01 04 Support expenditure for operations and programmes in the ‘Employment, social affairs and inclusion’ policy area****04 01 04 01 Support expenditure for European Social Fund and non-operational technical assistance***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
15 400 000	15 400 000	15 356 602,98

*Remarks*

This appropriation is intended to cover the European Social Fund (ESF)-funded technical assistance measures provided for in Articles 58 and 118 of Regulation (EU) No 1303/2013. Technical assistance may finance the preparatory, monitoring, evaluation, supervision and management measures required for the implementation of the ESF by the Commission. This appropriation may, in particular, be used to cover:

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION' POLICY AREA (*cont'd*)04 01 04 (*cont'd*)04 01 04 01 (*cont'd*)

- support expenditure (representation expenses, training, meetings, missions and translations),
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) up to EUR 5 000 000, including missions relating to the external personnel financed under this item.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

## 04 01 04 02 Support expenditure for the programme Employment and Social Innovation

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 728 000	4 300 000	3 549 908,34

*Remarks*

This appropriation is intended to cover expenditure on studies, committees, meetings of experts, conferences, information and publications directly linked to the achievement of the objectives of the programme or measures falling under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION' POLICY AREA (*cont'd*)**04 01 04** (*cont'd*)04 01 04 02 (*cont'd*)*Legal basis*

See Chapter 04 03.

## 04 01 04 03 Support expenditure for the Instrument for Pre-Accession Assistance — Employment, Social Policies and Human Resources Development

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the Instrument for Pre-Accession Assistance.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Chapter 04 05.

*Legal basis*

See Chapter 04 05.

## 04 01 04 04 Support expenditure for European Globalisation Adjustment Fund

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	246 512,68

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION' POLICY AREA (*cont'd*)04 01 04 (*cont'd*)04 01 04 04 (*cont'd*)*Remarks*

This appropriation is intended to be used, at the initiative of the Commission, subject to a ceiling of 0,5 % of the annual maximum amount of the European Globalisation Adjustment Fund (EGF) as defined in Regulation (EU) No 1309/2013. The appropriation may be used to finance the preparation, monitoring, data gathering and creation of a knowledge base relevant to the implementation of the EGF. It may also be used to finance administrative and technical support, information and communication activities, as well as audit, control and evaluation activities necessary to implement the operations of the EGF.

*Legal basis*

See Chapter 04 04.

04 01 04 05 Support expenditure for the Fund for European Aid to the Most Deprived

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
430 000	425 000	

*Remarks*

This appropriation is intended to cover technical assistance as provided for in Article 27 of Regulation (EU) No 223/2014.

Technical assistance may finance preparation, monitoring, administrative and technical assistance, audit, information, control and evaluation measures necessary for implementing Regulation (EU) No 223/2014 as well as for activities pursuant to Article 10 of that same Regulation.

In particular, this appropriation is intended to cover:

- support expenditure (representation expenses, training, meetings, missions and translations),
- preparation, monitoring, data gathering and creation of a knowledge base relevant to the implementation of the Fund for European Aid to the Most Deprived,
- contracts for the provision of services and studies.

COMMISSION

TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION’ POLICY AREA *(cont’d)*

**04 01 04** *(cont’d)*

04 01 04 05 *(cont’d)*

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).



## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 02 — EUROPEAN SOCIAL FUND

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
04 02	EUROPEAN SOCIAL FUND								
04 02 01	Completion of the European Social Fund — Objective 1 (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	38 289 415,04	
04 02 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 03	Completion of the European Social Fund — Objective 1 (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	311 896,80	406 443,09	
04 02 04	Completion of the European Social Fund — Objective 2 (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 05	Completion of the European Social Fund — Objective 2 (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 06	Completion of the European Social Fund — Objective 3 (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	3 524 357,15	
04 02 07	Completion of the European Social Fund — Objective 3 (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 08	Completion of EQUAL (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 09	Completion of previous Community initiatives (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
04 02 10	Completion of the European Social Fund — Innovative actions and technical assistance (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 11	Completion of the European Social Fund — Innovative actions and technical assistance (prior to 2000)	1.2	—	—	—	—	0,—	0,—	
04 02 17	Completion of the European Social Fund — Convergence (2007 to 2013)	1.2	p.m.	3 470 000 000	p.m.	4 917 020 000	16 683 215,—	7 119 707 592,13	205,18
04 02 18	Completion of the European Social Fund — PEACE (2007 to 2013)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 02 19	Completion of the European Social Fund — Regional competitiveness and employment (2007 to 2013)	1.2	p.m.	1 109 595 811	p.m.	2 357 168 235	133 316 785,—	2 824 777 350,80	254,58
04 02 20	Completion of the European Social Fund — Operational technical assistance (2007 to 2013)	1.2	p.m.	1 500 000	p.m.	5 752 675	0,—	8 499 766,51	566,65
04 02 60	European Social Fund — Less developed regions — Investment for growth and jobs goal	1.2	6 904 001 096	3 420 000 000	7 381 001 397	1 029 000 000	3 932 722 061,—	324 392 427,94	9,49
04 02 61	European Social Fund — Transition regions — Investment for growth and jobs goal	1.2	1 631 895 346	927 965 850	2 054 985 763	284 757 420	1 162 099 751,—	77 522 858,—	8,35
04 02 62	European Social Fund — More developed regions — Investment for growth and jobs goal	1.2	3 479 119 793	2 178 091 258	3 174 368 240	583 896 529	2 604 015 528,—	176 415 545,90	8,10

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 02 — EUROPEAN SOCIAL FUND (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>04 02 63</b>	<b>European Social Fund — Operational technical assistance</b>								
04 02 63 01	European Social Fund — Operational technical assistance	1.2	18 000 000	7 200 000	14 700 000	8 629 013	17 559 531,49	1 393 209,02	19,35
04 02 63 02	European Social Fund — Operational technical assistance managed by the Commission at the request of a Member State	1.2	p.m.	p.m.	p.m.	p.m.	4 165 644,83	0,—	
	<i>Article 04 02 63 — Subtotal</i>		18 000 000	7 200 000	14 700 000	8 629 013	21 725 176,32	1 393 209,02	19,35
<b>04 02 64</b>	<b>Youth Employment Initiative</b>	1.2	—	1 050 000 000	1 504 571 025	1 026 479 465	1 574 353 825,—	34 343 714,53	3,27
	<b>Chapter 04 02 — Total</b>		<b>12 033 016 235</b>	<b>12 164 352 919</b>	<b>14 129 626 425</b>	<b>10 212 703 337</b>	<b>9 445 228 238,12</b>	<b>10 609 272 680,11</b>	<b>87,22</b>

## Remarks

Article 175 of the Treaty on the Functioning of the European Union (TFEU) provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action it takes through the Structural Funds, which includes European Social Fund (ESF). The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177 TFEU.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ESF.

Any revenue from the financial corrections carried out on that basis is entered in Article 6 5 1, 6 5 2, 6 5 3 or 6 5 4 of the statement of revenue and constitutes assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of pre-financing amounts applicable to the ESF.

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded under Article 24 02 01.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39 thereof.

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Articles 82, 83, 100 and 102 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21(3) and (4), and Articles 80 and 177 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 16 and 17 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

**CHAPTER 04 02 — EUROPEAN SOCIAL FUND** (*cont'd*)**04 02 01**      **Completion of the European Social Fund — Objective 1 (2000 to 2006)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	38 289 415,04

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

**04 02 02**      **Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)04 02 02 (*cont'd*)*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular paragraph 44(b) thereof.

Conclusions of the Brussels European Council of 17 and 18 June 2004, and in particular paragraph 49 thereof.

04 02 03 **Completion of the European Social Fund — Objective 1 (prior to 2000)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	311 896,80	406 443,09

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 1 and 6.

*Legal basis*

Council Decision 83/516/EEC of 17 October 1983 on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 38).

Council Regulation (EEC) No 2950/83 of 17 October 1983 on the implementation of Decision 83/516/EEC on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

**CHAPTER 04 02 — EUROPEAN SOCIAL FUND** (*cont'd*)**04 02 03** (*cont'd*)

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

**04 02 04** **Completion of the European Social Fund — Objective 2 (2000 to 2006)**

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

**04 02 05** **Completion of the European Social Fund — Objective 2 (prior to 2000)**

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 2 and 5(b).

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)04 02 05 (*cont'd*)

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

04 02 06 **Completion of the European Social Fund — Objective 3 (2000 to 2006)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	3 524 357,15

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

04 02 07 **Completion of the European Social Fund — Objective 3 (prior to 2000)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 3 and 4.



**CHAPTER 04 02 — EUROPEAN SOCIAL FUND** (*cont'd*)**04 02 07** (*cont'd*)*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

**04 02 08** **Completion of EQUAL (2000 to 2006)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

*Reference acts*

Communication from the Commission to the Member States of 14 April 2000 establishing the guidelines for the Community initiative EQUAL concerning transnational cooperation to promote new means of combating all forms of discrimination and inequalities in connection with the labour market (OJ C 127, 5.5.2000, p. 2).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)**04 02 09      *Completion of previous Community initiatives (prior to 2000)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from previous Community initiatives preceding the 2000-2006 programming period.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

*Reference acts*

Commission communication to the Member States of 13 May 1992 laying down guidelines for operational programmes which Member States are invited to establish within the framework of a Community initiative for regions heavily dependent on the textiles and clothing sector (RETEX) (OJ C 142, 4.6.1992, p. 5).

Commission communication to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (PESCA) (OJ C 180, 1.7.1994, p. 1).

Commission communication to the Member States of 15 June 1994 laying down guidelines for the operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 180, 1.7.1994, p. 6).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to propose in the framework of a Community initiative concerning the adaptation of small and medium-sized enterprises to the single market (SMEs initiative) (OJ C 180, 1.7.1994, p. 10).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)04 02 09 (*cont'd*)

Commission communication to the Member States of 15 June 1994 laying down guidelines for the RETEX initiative (OJ C 180, 1.7.1994, p. 17).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to establish in the framework of a Community initiative concerning defence conversion (Konver) (OJ C 180, 1.7.1994, p. 18).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of steel areas (Resider II) (OJ C 180, 1.7.1994, p. 22).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of coal-mining areas (Rechar II) (OJ C 180, 1.7.1994, p. 26).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative 'Adaptation of the workforce to industrial change' (ADAPT), aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 180, 1.7.1994, p. 30).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative 'Employment and development of human resources' aimed at promoting employment growth mainly through the development of human resources (Employment) (OJ C 180, 1.7.1994, p. 36).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

Commission communication to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (PEACE I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 200, 10.7.1996, p. 4).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative on adaptation of the workforce to industrial change (ADAPT) aimed at promoting employment growth and the adaptation of the workforce to industrial change (OJ C 200, 10.7.1996, p. 7).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)04 02 09 (*cont'd*)

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative on employment and development of human resources aimed at promoting employment growth mainly through the development of human resources (OJ C 200, 10.7.1996, p. 13).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (Interreg II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication of 26 November 1997 to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (PEACE I) (COM(97) 642 final).

04 02 10 **Completion of the European Social Fund — Innovative actions and technical assistance (2000 to 2006)**

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the 2000-2006 programming period for innovative actions and technical assistance as provided for in Articles 22 and 23 of Regulation (EC) No 1260/1999. The innovative actions included studies, pilot projects and exchanges of experience. They were intended in particular to bring about a qualitative improvement in Structural Fund measures. Technical assistance covered preparatory, monitoring, evaluation, supervision and management measures required for implementation of the ESF. This appropriation was, in particular, used to cover:

- support expenditure (representation expenses, training, meetings and missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services and studies,
- grants.

*Legal basis*

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)04 02 11 **Completion of the European Social Fund — Innovative actions and technical assistance (prior to 2000)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

*Remarks*

This article is intended to cover the settlement of ESF commitments from previous programming periods for innovative actions or for preparatory, monitoring, evaluation, supervision and management measures or any other similar form of technical assistance provided for in the relevant Regulations.

It is also intended to cover the former multiannual measures, in particular those approved and implemented under the other Regulations mentioned below, which are not covered by the priority objectives of the Structural Funds.

*Legal basis*

Council Decision 83/516/EEC of 17 October 1983 on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 38).

Council Regulation (EEC) No 2950/83 of 17 October 1983 on the implementation of Decision 83/516/EEC on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 1).

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

COMMISSION

TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)**04 02 17 Completion of the European Social Fund — Convergence (2007 to 2013)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 470 000 000	p.m.	4 917 020 000	16 683 215,—	7 119 707 592,13

*Remarks*

This appropriation is intended to cover commitments remaining to be settled for programmes under the ESF convergence objective in the 2007-2013 programming period. That objective is aimed at speeding up the convergence of the least developed Member States and regions by improving conditions for growth and employment.

This appropriation aims to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with the speeding-up of economic and social restructuring and population ageing.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006, as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

**04 02 18 Completion of the European Social Fund — PEACE (2007 to 2013)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled for the PEACE programme under the ESF for the 2007-2013 programming period.

**CHAPTER 04 02 — EUROPEAN SOCIAL FUND** (*cont'd*)**04 02 18** (*cont'd*)

In recognition of the special effort for the peace process in Northern Ireland, a total of EUR 200 000 000 was allocated for the PEACE programme for the 2007-2013 period. This programme is implemented in full compliance with the principle of additionality of Structural Fund operations.

*Legal basis*

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

*Reference acts*

Conclusions of the Brussels European Council of 16 and 17 December 2005.

**04 02 19** **Completion of the European Social Fund — Regional competitiveness and employment (2007 to 2013)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 109 595 811	p.m.	2 357 168 235	133 316 785,—	2 824 777 350,80

*Remarks*

This appropriation is intended to cover commitments remaining to be settled for the programmes under the ESF regional competitiveness and employment objective during the 2007-2013 programming period. That objective shall, outside the least developed regions, be aimed at strengthening regions' competitiveness and attractiveness as well as employment by taking into consideration the goals set in the Europe 2020 strategy.

This appropriation aims to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with the speeding-up of economic and social restructuring and population ageing.

*Legal basis*

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

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TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)04 02 20 **Completion of the European Social Fund — Operational technical assistance (2007 to 2013)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 500 000	p.m.	5 752 675	0,—	8 499 766,51

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from the 2007-2013 programming period for technical assistance measures as provided for in Articles 45 and 46 of Regulation (EC) No 1083/2006 and in Article 9 of Regulation (EC) No 1081/2006.

Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for implementation of the ESF. This appropriation may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings and missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- expenditure on support for accessibility for people with disabilities within technical assistance measures,
- expenditure on a high-level group to ensure the implementation of overarching principles such as equality between men and women, accessibility for people with disabilities and sustainable development,
- contracts for the provision of services and studies,
- grants.

This appropriation is also intended to support measures approved by the Commission in the context of the preparation of the 2014-2020 programming period.

*Legal basis*

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).



CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)**04 02 60      *European Social Fund — Less developed regions — Investment for growth and jobs goal****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 904 001 096	3 420 000 000	7 381 001 397	1 029 000 000	3 932 722 061,—	324 392 427,94

*Remarks*

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in less developed regions in the 2014-2020 programming period. The catching-up process for these economically and socially lagging regions requires long-term sustained efforts. This category of regions concerns those regions whose GDP per capita is less than 75 % of the average GDP of the EU-27.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (c) of Article 4(3) thereof.

**04 02 61      *European Social Fund — Transition regions — Investment for growth and jobs goal****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 631 895 346	927 965 850	2 054 985 763	284 757 420	1 162 099 751,—	77 522 858,—

*Remarks*

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in the 2014-2020 programming period in a new category of region ('transition regions') that replaces the 2007-2013 phasing-out and phasing-in system. This category of regions includes all regions with a GDP per capita is between 75 and 90 % of the average GDP of the EU-27.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)04 02 61 (*cont'd*)*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (b) of Article 4(3) thereof.

04 02 62 **European Social Fund — More developed regions — Investment for growth and jobs goal***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 479 119 793	2 178 091 258	3 174 368 240	583 896 529	2 604 015 528,—	176 415 545,90

*Remarks*

This appropriation is intended to cover ESF support under the Investment for growth and jobs goal in more developed regions in the 2014-2020 programming period. While interventions in the less developed regions will remain the priority for cohesion policy, this appropriation is thus intended to cover important challenges that concern all Member States such as global competition in the knowledge-based economy, the shift towards the low carbon economy and social polarisation exacerbated by the current economic climate. This category of regions concerns those regions whose GDP per capita is above 90 % of the average GDP of the EU-27.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470), and in particular point (a) of Article 4(3) thereof.

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)04 02 63 *European Social Fund — Operational technical assistance**Remarks*

This appropriation is intended to cover the preparatory, monitoring, administrative and technical assistance, evaluation, audit and control measures necessary for implementing Regulation (EU) No 1303/2013 as provided for in Articles 58 and 118 of that Regulation. In particular, it may be used to cover support expenditure (representation expenses, training, meetings and missions).

This appropriation is also intended to cover administrative learning and cooperation with non-governmental organisations and social partners.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

04 02 63 01 *European Social Fund — Operational technical assistance**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 000 000	7 200 000	14 700 000	8 629 013	17 559 531,49	1 393 209,02

*Remarks*

This appropriation is intended to cover the preparatory, monitoring, administrative and technical assistance, evaluation, audit and control measures necessary for implementing Regulation (EU) No 1303/2013 as provided for in Articles 58 and 118 of that Regulation. In particular, it may be used to cover support expenditure (representation expenses, training, meetings and missions).

This appropriation is also intended to cover administrative learning and cooperation with non-governmental organisations and social partners.

This appropriation is also intended to cover inter alia the elaboration of:

- tools for electronic project application and reporting, unification and standardisation of documents and procedures for the management and implementation of operational programmes,
- a peer review of each of the Member States' financial management and quality performance,

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)04 02 63 (*cont'd*)04 02 63 01 (*cont'd*)

- standard tender documentation on public procurement,
- a common system of result and impact indicators,
- a best practices manual to optimise the absorption process and decrease the error rate.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

## 04 02 63 02 European Social Fund — Operational technical assistance managed by the Commission at the request of a Member State

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	4 165 644,83	0,—

*Remarks*

This appropriation is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with Article 25 of Regulation (EU) No 1303/2013, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in that Member State.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)

## 04 02 64 Youth Employment Initiative

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 050 000 000	1 504 571 025	1 026 479 465	1 574 353 825,—	34 343 714,53

Remarks

This appropriation is intended to provide additional support to measures against youth unemployment financed by the ESF. It represents the specific allocation to the Youth Employment Initiative under the Investment for growth and jobs goal in regions with a level of youth unemployment exceeding 25 % in 2012 or for Member States where the youth unemployment rate has increased by more than 30 % in 2012, regions that have a youth unemployment rate of more than 20 % in 2012 ('eligible regions'). The additional EUR 3 000 000 000 allocated to this line for the 2014-2020 period is intended to provide match funding to ESF interventions in the eligible regions. This appropriation is intended to finance the creation of decent jobs.

While promoting gender equality, special attention should be paid to the situation of young women who can face gender-specific obstacles to get a good quality offer of employment, continued education, an apprenticeship or a traineeship.

This appropriation is to be used, amongst others, to support the establishment of educational structures combining non-formal education, language courses, democratic awareness and vocational training in the regions most affected by youth unemployment, both by state-actors and non-governmental organisations.

The margins available below the multiannual financial framework (MFF) ceilings for commitment appropriations for 2014-2017 will constitute a global MFF margin for commitments, to be made available over and above the ceilings established in the MFF for 2016-2020 for policy objectives related to growth and employment, in particular youth employment, as established in Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

Legal basis

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1304/2013 of the European Parliament and of the Council of 17 December 2013 on the European Social Fund and repealing Council Regulation (EC) No 1081/2006 (OJ L 347, 20.12.2013, p. 470).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
04 03	EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION								
<b>04 03 01</b>	<b><i>Prerogatives and specific competencies</i></b>								
04 03 01 01	Cost of preliminary consultation meetings with trade union represen- tatives	1.1	452 800	350 000	444 800	315 402	434 927,86	308 764,36	88,22
04 03 01 03	Free movement of workers, coord- ination of social security schemes and measures for migrants, including migrants from third countries	1.1	8 589 000	6 200 000	8 000 000	5 482 852	6 784 571,38	5 805 385,34	93,64
04 03 01 04	Analysis of and studies on the social situation, demographics and the family	1.1	4 118 000	2 800 000	4 000 000	2 697 911	3 240 574,44	1 823 011,42	65,11
04 03 01 05	Information and training measures for workers' organisations	1.1	18 758 200	16 500 000	18 257 000	12 793 321	18 619 000,—	17 460 215,95	105,82
04 03 01 06	Information, consultation and participation of representatives of undertakings	1.1	7 313 000	6 400 000	7 116 000	3 481 176	7 175 017,90	6 257 385,05	97,77
04 03 01 07	European Year for Active Ageing and Solidarity between Generations (2012)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	74 410,—	
04 03 01 08	Industrial relations and social dialogue	1.1	15 775 000	12 300 000	15 641 000	8 876 998	17 381 319,83	12 582 989,26	102,30
	<i>Article 04 03 01 — Subtotal</i>		55 006 000	44 550 000	53 458 800	33 647 660	53 635 411,41	44 312 161,38	99,47
<b>04 03 02</b>	<b><i>European Union Programme for Employment and Social Innovation (EaSI)</i></b>								
04 03 02 01	Progress — Supporting the devel- opment, implementation, moni- toring and evaluation of Union employment and social policy and working conditions legislation	1.1	74 681 600	38 850 000	72 494 000	22 666 588	65 278 249,91	9 956 784,59	25,63
04 03 02 02	EURES — Promoting workers' voluntary geographical mobility and boosting employment opportunities	1.1	22 061 000	14 300 000	21 392 000	9 424 939	21 439 000,—	4 512 200,37	31,55

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>04 03 02</b>	(cont'd)								
04 03 02 03	Microfinance and Social Entrepreneurship — Increasing access to, and the availability of, financing for legal and physical persons, especially those furthest from the labour market, and social enterprises	1.1	25 624 200	17 000 000	26 457 000	11 815 018	28 500 200,—	0,—	0
	Article 04 03 02 — Subtotal		122 366 800	70 150 000	120 343 000	43 906 545	115 217 449,91	14 468 984,96	20,63
<b>04 03 11</b>	<b>European Foundation for the Improvement of Living and Working Conditions</b>	1.1	20 360 000	20 360 000	20 371 000	20 371 000	20 562 432,46	20 562 088,82	100,99
<b>04 03 12</b>	<b>European Agency for Safety and Health at Work</b>	1.1	14 663 000	14 663 000	14 534 000	14 534 000	14 438 521,—	14 229 072,—	97,04
<b>04 03 13</b>	<b>European Centre for the Development of Vocational Training (Cedefop)</b>	1.1	17 051 000	17 051 000	17 224 000	17 224 000	17 434 633,39	16 933 900,—	99,31
<b>04 03 14</b>	<b>European Training Foundation (ETF)</b>	4	19 956 000	19 956 000	19 945 000	19 945 000	20 143 868,66	20 143 500,01	100,94
<b>04 03 51</b>	<b>Completion of Progress</b>	1.1	p.m.	11 400 000	p.m.	24 802 431	94 214,86	47 872 724,12	419,94
<b>04 03 52</b>	<b>Completion of EURES</b>	1.1	p.m.	2 000 000	p.m.	8 579 394	0,—	17 694 831,36	884,74
<b>04 03 53</b>	<b>Completion of other activities</b>	1.1	p.m.	2 000 000	p.m.	8 180 353	67 056,65	1 138 318,15	56,92
<b>04 03 77</b>	<b>Pilot projects and preparatory actions</b>								
04 03 77 02	Pilot project — Promoting protection of the right to housing	1.1	p.m.	p.m.	p.m.	523 430	0,—	199 866,—	
04 03 77 03	Pilot project — Working and living conditions of posted workers	1.1	—	—	—	p.m.	0,—	0,—	
04 03 77 04	Pilot project — Measures for employment maintenance	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 03 77 05	Pilot project — Enhancing mobility and integration of workers within the Union	1.1	p.m.	p.m.	—	p.m.	0,—	0,—	

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>04 03 77</b>	( <i>cont'd</i> )								
04 03 77 06	Pilot project — All-inclusive cooperation between public authorities, commercial firms and not-for-profit enterprises on integrating people into society and employment	1.1	p.m.	p.m.	p.m.	p.m.	0,—	776 081,30	
04 03 77 07	Preparatory action — Your first EURES Job	1.1	p.m.	750 000	p.m.	1 308 576	0,—	3 434 258,97	457,90
04 03 77 08	Pilot project — Social solidarity for social integration	1.1	p.m.	p.m.	p.m.	348 954	0,—	499 736,—	
04 03 77 09	Preparatory action — Information centres for posted workers and migrant workers	1.1	p.m.	p.m.	p.m.	523 430	0,—	213 769,34	
04 03 77 10	Pilot project — Encourage conversion of precarious work into work with rights	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 03 77 11	Pilot project — Preventing elder abuse	1.1	—	—	p.m.	p.m.	0,—	0,—	
04 03 77 12	Pilot project — Health and safety at work of older workers	1.1	p.m.	p.m.	p.m.	p.m.	0,—	350 000,—	
04 03 77 13	Preparatory action — Activation measures targeting young people — implementing the 'Youth on the Move' initiative	1.1	p.m.	500 000	p.m.	1 292 053	0,—	689 809,50	137,96
04 03 77 14	Preparatory action — Social innovation driven by social business and young entrepreneurship	1.1	p.m.	500 000	p.m.	697 907	887 251,98	661 906,48	132,38
04 03 77 15	Pilot project — Feasibility and added value of a European unemployment insurance or benefit scheme	1.1	p.m.	1 000 000	p.m.	523 430	1 838 620,—	0,—	0
04 03 77 16	Preparatory action — Micro credit to specifically combat youth unemployment	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 03 77 17	Pilot project — Social security card	1.1	700 000	350 000	500 000	250 000			



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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
04 03 77 18	Preparatory action — Social solidarity for social integration	1.1	p.m.	750 000	1 500 000	750 000			
04 03 77 19	Preparatory action — Supporting active inclusion of disadvantaged migrants in Europe through development and testing of local centres for social and economic integration	1.1	p.m.	250 000	500 000	250 000			
04 03 77 20	Pilot project — Consequences of reductions in welfare benefits	1.1	p.m.	p.m.	1 000 000	500 000			
04 03 77 21	Pilot project — European Union Real Time Sign Language Application and Service	3	p.m.	p.m.	p.m.	360 525	0,—	292 907,60	
04 03 77 22	Preparatory action — Erasmus-style programme for apprentices	1.1	—	—	—	—	0,—	0,—	
04 03 77 23	Preparatory action — Reactivate - Intra-EU mobility programme for unemployed over-35s	1.1	3 000 000	1 500 000					
04 03 77 24	Pilot project — Quality employment for job starters through entrepreneurship	1.1	700 000	350 000					
<i>Article 04 03 77 — Subtotal</i>			4 400 000	5 950 000	3 500 000	7 328 305	2 725 871,98	7 118 335,19	119,64
<b>Chapter 04 03 — Total</b>			<b>253 802 800</b>	<b>208 080 000</b>	<b>249 375 800</b>	<b>198 518 688</b>	<b>244 319 460,32</b>	<b>204 473 915,99</b>	<b>98,27</b>

**04 03 01 Prerogatives and specific competencies**

04 03 01 01 Cost of preliminary consultation meetings with trade union representatives

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
452 800	350 000	444 800	315 402	434 927,86	308 764,36

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 01 (*cont'd*)04 03 01 01 (*cont'd*)*Remarks**Former Item 04 03 01 01 and Article 01 02 01 (in part)*

This appropriation is intended to cover expenditure on preliminary consultation meetings between European trade union representatives with a view to helping them form their opinions and harmonise their positions regarding the development of Union policies.

This appropriation is also intended to cover the cost of studies, workshops, conferences, analyses, evaluations, publications, technical assistance, the purchase and maintenance of databases and software and the part-financing and support of measures relating to economic monitoring, analysis of the combination of measures and coordination of economic policies.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 01 03 Free movement of workers, coordination of social security schemes and measures for migrants, including migrants from third countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 589 000	6 200 000	8 000 000	5 482 852	6 784 571,38	5 805 385,34

*Remarks*

The objective of this activity is to promote geographic and professional mobility (including the coordination of social security schemes) of workers in Europe in order to overcome the obstacles to free movement of workers and to contribute to the establishment of a real labour market at European level. It can also be used to support the integration of regular migrant workers, including those from third countries, into European labour markets.

This appropriation is intended to cover actions supporting the monitoring of Union law through financing of a network of experts on free movement of workers and social security reporting regularly on the implementation of Union legal acts in the Member States and at Union level, and analysing and evaluating the major trends in legislations of the Member States with regard to the free movement of workers and coordination of social security systems. This appropriation is also intended to cover actions supporting governance of Union legal acts through meetings of the committees, actions for awareness raising and implementation and other specific technical support and the development of Electronic Exchange of Social Security Information (EESSI) system and its implementation.

This appropriation is intended to cover in particular:

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 01 (*cont'd*)04 03 01 03 (*cont'd*)

- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures falling under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts,
- the analysis and evaluation of the major trends in legislation of the Member States with regard to the free movement of workers, and coordination of social security systems, as well as the financing of networks of experts in those fields,
- the analysis and research on new policy developments in the domain of free movement of workers linked for example to the end of transitional periods and modernisation of social security coordination provisions,
- ensuring fair mobility by setting up additional information centres,
- support for the work of the Administrative Commission and its sub-groups and follow-up of the decisions taken, as well as support for the work of the Technical and Advisory Committees on free movement of workers,
- support for actions preparing for the application of the new regulations on social security, including transnational exchanges of experience and information and training initiatives developed at national level,
- the financing of actions aimed at providing a better service and raising public awareness, including measures aimed at identifying migrant workers' social security and employment problems, and measures speeding up and simplifying administrative procedures, gender-sensitive analysis of the barriers to free movement of workers and lack of coordination of social security systems and their impact on people with disabilities, including adaptation of administrative procedures to new information-processing techniques, in order to improve the system for acquiring rights and the calculation and payment of benefits pursuant to Regulations (EEC) No 1408/71, (EEC) No 574/72, Regulation (EC) No 859/2003 as well as Regulation (EC) No 883/2004, its implementing Regulation (EC) No 987/2009, and Regulation (EU) No 1231/2010,
- the development of information and actions to make members of the public aware of their rights on free movement of workers and coordination of social security schemes,
- the support of the electronic exchange of social security information among Member States, with a view to facilitating the implementation of Regulation (EC) No 883/2004 and its implementing Regulation (EC) No 987/2009. This includes the maintenance of the central node of the EESSI system, testing system components, helpdesk activities, support for further development of the system and training.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 45 and 48 thereof.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 01 (*cont'd*)04 03 01 03 (*cont'd*)

Council Regulation (EEC) No 1408/71 of 14 June 1971 on the application of social security schemes to employed persons, to self-employed persons and to members of their families moving within the Community (OJ L 149, 5.7.1971, p. 2).

Council Regulation (EEC) No 574/72 of 21 March 1972 laying down the procedure for implementing Regulation (EEC) No 1408/71 on the application of social security schemes to employed persons, to self-employed persons and to their families moving within the Community (OJ L 74, 27.3.1972, p. 1).

Council Directive 98/49/EC of 29 June 1998 on safeguarding the supplementary pension rights of employed and self-employed persons moving within the Community (OJ L 209, 25.7.1998, p. 46).

Council Regulation (EC) No 859/2003 of 14 May 2003 extending the provisions of Regulation (EEC) No 1408/71 and Regulation (EEC) No 574/72 to nationals of third countries who are not already covered by those provisions solely on the ground of their nationality (OJ L 124, 20.5.2003, p. 1).

Regulation (EC) No 883/2004 of the European Parliament and of the Council of 29 April 2004 on the coordination of social security systems (OJ L 166, 30.4.2004, p. 1).

Regulation (EC) No 987/2009 of the European Parliament and of the Council of 16 September 2009 laying down the procedure for implementing Regulation (EC) No 883/2004 on the coordination of social security systems (OJ L 284, 30.10.2009, p. 1).

Regulation (EU) No 1231/2010 of the European Parliament and of the Council of 24 November 2010 extending Regulation (EC) No 883/2004 and Regulation (EC) No 987/2009 to nationals of third countries who are not already covered by these Regulations solely on the ground of their nationality (OJ L 344, 29.12.2010, p. 1).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on free movement for workers within the Union (OJ L 141, 27.5.2011, p. 1).

## 04 03 01 04 Analysis of and studies on the social situation, demographics and the family

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 118 000	2 800 000	4 000 000	2 697 911	3 240 574,44	1 823 011,42

*Remarks*

The objective of this activity is to promote better policy responses in the Member States to demographic and social challenges by producing and disseminating comparative information in the context of the implementation of the Europe 2020 strategy and the identification of future priorities for social policies, including gender-specific measures.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 01 (*cont'd*)04 03 01 04 (*cont'd*)

This appropriation is intended to cover actions promoting the development of comparative analysis and the exchange of views and experience at all relevant levels (regional, national, Union, international) regarding the social and demographic situation and the socio-economic trends in the Union, as well as the gender pay gap and discrimination against women in the workplace. This appropriation is also intended to cover actions supporting an Observatory of the Social Situation, the cooperation with relevant activities in Member States and with international organisations and the management of a technical support group for the European Alliance for Families.

This appropriation is intended to cover in particular expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures falling under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation is intended to cover in particular expenditure on Commission reports (including an annual report on the social situation and a report on demographic change and its implications every 2 years, in accordance with Article 159 of the Treaty on the Functioning of the European Union (TFEU), as well as Commission reports on problems concerning the social situation (which can be requested by the European Parliament pursuant to Article 161 TFEU).

This appropriation is also intended to cover expenditure on analysis for the reporting referred to in TFEU, as well as for the dissemination of knowledge on major social and demographic challenges and ways of tackling them. In particular, the following activities can be pursued with due consideration of the gender aspect:

- analysis of the impact of population ageing in the context of a society for all ages, in terms of trends in care and social protection needs, behaviour and flanking policies, including research on elderly minorities or migrants and the situation of informal carers,
- analysis of the impact of demographic change on Union and Member State policies, measures and programmes, and formulation of recommendations for adjustments to economic and other policies, measures and programmes at Union and national levels in order to prevent the ageing of society from having a negative impact,
- analysis of the links between family units and demographic trends,
- analysis of trends in poverty, income and wealth distribution and their broader societal impact,
- identification of the existing relations between technological development (impact on communication technologies, geographical and occupational mobility) and the consequences for households and society in general,
- analysis of the links between disability and demographic trends, analysis of the social situation of people with disabilities and their families and the needs of children with disabilities within their families and communities,
- analysis of trends in social objectives (in terms of safeguarding acquired rights or extending them) regarding both goods and services, taking account of new social challenges as well as demographic trends and changing the relationships between generations,
- development of appropriate methodological tools (sets of social indicators, simulation techniques, data collection on policy initiatives at all levels, etc.), so as to underpin the reports on the social situation, on social protection and social inclusion with a solid quantitative and scientific foundation,

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 01 (*cont'd*)04 03 01 04 (*cont'd*)

- raising awareness and organising debates on the major demographic and social challenges with the aim of promoting better policy responses,
- the taking account of demographic trends, family life and childhood in the implementation of relevant Union policies, such as the free movement of persons and equality between men and women.

*Reference acts*

Treaty on the Functioning of the European Union, and in particular Articles 159 and 161 thereof.

## 04 03 01 05 Information and training measures for workers' organisations

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 758 200	16 500 000	18 257 000	12 793 321	18 619 000,—	17 460 215,95

*Remarks*

This appropriation is intended to cover expenditure on information and training measures for workers' organisations, including representatives of workers' organisations in the candidate countries, deriving from Union action in the framework of the implementation of the Union social dimension. Those measures should help workers' organisations to address the overarching challenges facing European employment and social policy as laid down in the Europe 2020 strategy and within the context of Union initiatives to address the consequences of the economic crisis. Special attention will be given to training on gender challenges at work.

This appropriation is intended to cover in particular the following activities:

- support for the work programmes of the two specific trade union institutes, European Trade Union Institute and European Centre for Workers' Questions, which have been established to facilitate capacity-building through training and research at European level, as well as to improve the degree of involvement of workers' representatives in European governance,
- information and training measures for workers' organisations, including representatives of workers' organisations in the candidate countries, deriving from the implementation of Union action in the framework of the implementation of the Union social dimension,
- measures involving representatives of the social partners in the candidate countries with the specific purpose of promoting social dialogue at Union level. It is also intended to promote equal participation of women and men in the decision-making bodies of workers' organisations.

**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)**04 03 01** (*cont'd*)04 03 01 05 (*cont'd*)*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Article 154.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

## 04 03 01 06 Information, consultation and participation of representatives of undertakings

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 313 000	6 400 000	7 116 000	3 481 176	7 175 017,90	6 257 385,05

*Remarks*

This appropriation is intended to cover in particular the following activities:

- measures to set the conditions for social dialogue in companies and proper employee involvement in undertakings as provided under Directive 2009/38/EC on European Works Councils, Directives 2001/86/EC and 2003/72/EC on employees' involvement in the European company and in the European cooperative society, respectively, Directive 2002/14/EC establishing a general framework for informing and consulting employees in the European Community, Directive 98/59/EC on collective redundancies and Article 16 of Directive 2005/56/EC on cross-border mergers of limited liability companies,
- initiatives to strengthen transnational cooperation between workers' and employers' representatives in respect of information, consultation and participation of employees within companies operating in more than one Member State and short training actions for negotiators and representatives in transnational information, consultation and participation bodies may be funded in that context. This may involve social partners from candidate countries,
- measures to enable social partners to exercise their rights and duties as regards employee involvement, especially within the framework of European Works Councils, to familiarise them with transnational company agreements and strengthen their cooperation in respect to Union law on employee involvement,
- operations fostering the development of employee involvement in undertakings as well as assessing the findings of the 'Fitness check' and its impact on Union acts in the area of information and consultation of workers,

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 01 (*cont'd*)04 03 01 06 (*cont'd*)

- innovative actions relating to employee involvement, with the view of supporting the anticipation of change and the prevention and resolution of disputes in the context of corporate restructuring, mergers, take-overs and relocation in Union-scale undertakings and Union-scale groups of undertakings,
- measures to strengthen cooperation between the social partners for the development of employee involvement in the design of solutions addressing the consequences of the economic crisis, such as mass redundancies, or the need for a shift towards an inclusive, sustainable and low-carbon economy,
- transnational exchange of information and good practice in matters relevant for social dialogue at company level.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Articles 154 and 155.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Council Directive 97/74/EC of 15 December 1997 extending to the United Kingdom of Great Britain and Northern Ireland Directive 94/45/EC on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 10, 16.1.1998, p. 22).

Council Directive 98/59/EC of 20 July 1998 on the approximation of the laws of the Member States relating to collective redundancies (OJ L 225, 12.8.1998, p. 16).

Council Directive 2001/23/EC of 12 March 2001 on the approximation of the laws of the Member States relating to the safeguarding of employees' rights in the event of transfers of undertakings, businesses or parts of undertakings or businesses (OJ L 82, 22.3.2001, p. 16).

Council Directive 2001/86/EC of 8 October 2001 supplementing the Statute for a European Company with regard to the involvement of employees (OJ L 294, 10.11.2001, p. 22).

Directive 2002/14/EC of the European Parliament and of the Council of 11 March 2002 establishing a general framework for informing and consulting employees in the European Community (OJ L 80, 23.3.2002, p. 29).

Council Directive 2003/72/EC of 22 July 2003 supplementing the Statute for a European Cooperative Society with regard to the involvement of employees (OJ L 207, 18.8.2003, p. 25).

Directive 2005/56/EC of the European Parliament and of the Council of 26 October 2005 on cross-border mergers of limited liability companies (OJ L 310, 25.11.2005, p. 1).

Directive 2009/38/EC of the European Parliament and of the Council of 6 May 2009 on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 122, 16.5.2009, p. 28).



## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 01 (*cont'd*)

## 04 03 01 07 European Year for Active Ageing and Solidarity between Generations (2012)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	74 410,—

*Remarks*

The European Year for Active Ageing and Solidarity between Generations was intended to raise general awareness of the value of active ageing, to stimulate debate, to exchange information and to develop mutual learning between Member States and stakeholders at all levels, to offer a framework for commitment and concrete action to enable the Union, Member States and stakeholders at all levels to develop innovative solutions, policies and long-term strategies through specific activities and to pursue specific objectives relating to active ageing and intergenerational solidarity, and to promote activities which will help to combat age discrimination.

This appropriation is intended to cover at Union-level activities in line with the European Year's for Active Ageing and Solidarity between Generations objectives and to cover the costs relating to the organisation of the closing Union conference by the incumbent Presidency. Part of this appropriation is also intended to cover the costs relating to the evaluation of the European Year for Active Ageing and Solidarity between Generations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 940/2011/EU of the European Parliament and of the Council of 14 September 2011 on the European Year for Active Ageing and Solidarity between Generations (2012) (OJ L 246, 23.9.2011, p. 5).

## 04 03 01 08 Industrial relations and social dialogue

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 775 000	12 300 000	15 641 000	8 876 998	17 381 319,83	12 582 989,26

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 01 (*cont'd*)04 03 01 08 (*cont'd*)*Remarks*

The objective of this activity is to strengthen the role of social dialogue and promote the adoption of agreements and other joint actions between the social partners at the Union level. The actions financed should help social partner organisations to address the overarching challenges facing European employment and social policy as laid down in the Europe 2020 strategy and within the context of Union initiatives to address the consequences of the economic crisis and to contribute to the improvement and dissemination of knowledge of industrial relations institutions and practices.

This appropriation is intended to cover in particular the following activities:

- studies, consultations, meetings of experts, negotiations, information, publications and other operations directly linked to the achievement of the objectives of the programme or measures falling under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts,
- actions undertaken by social partners to promote social dialogue (including capacity of social partners) at cross-industry and sector level,
- actions to improve knowledge on industrial relations institutions and practices across the Union and dissemination of results,
- measures involving representatives of the social partners in the candidate countries with the specific purpose of promoting social dialogue at Union level. It is also intended to promote equal participation of women and men in the decision-making bodies of both trade unions and employers' organisations,
- actions to support industrial relations measures, in particular those designed to develop expertise and the exchange of Union-relevant information.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Articles 154 and 155.

04 03 02 **European Union Programme for Employment and Social Innovation (EaSI)**

## 04 03 02 01 Progress — Supporting the development, implementation, monitoring and evaluation of Union employment and social policy and working conditions legislation

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
74 681 600	38 850 000	72 494 000	22 666 588	65 278 249,91	9 956 784,59

**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)**04 03 02** (*cont'd*)04 03 02 01 (*cont'd*)*Remarks*

The general objective of the European Union Programme for Employment and Social Innovation (EaSI) is to contribute to the Europe 2020 strategy and the related headline targets for employment, education and poverty by providing financial support for the Union's objectives.

To achieve the general objectives of EaSI in terms of promoting a high level of employment, guaranteeing adequate social protection, combating social exclusion and poverty, improving working conditions and protecting worker's safety and health, the specific objectives of the Progress axis are to:

- develop and disseminate high-quality comparative analytical knowledge in order to ensure that Union employment and social policy and working conditions and occupational health and safety legislation are based on sound evidence and are relevant to needs, challenges and conditions in the individual Member States and the other participating countries,
- facilitate effective and inclusive information-sharing, mutual learning and dialogue on Union employment and social policy and working conditions and occupational health and safety legislation at Union, national and international level in order to assist the Member States and the other participating countries in developing their policies and in implementing Union law,
- provide policymakers with financial support to promote social and labour market policy reforms, build up the main actors' capacity to design and implement social experimentation, and make the relevant knowledge and expertise accessible,
- monitoring and evaluating the implementation of the European Employment Guidelines and Recommendations and their impact, notably through the Joint Employment Report, and analysing the interaction between the EES and general economic and social policy areas,
- provide Union and national organisations with financial support to develop, promote and support the implementation of Union employment and social policy and working conditions and occupational health and safety legislation,
- raising awareness, exchanging good practice, disseminating information and promoting the debate on the key challenges and policy issues in relation to working conditions, gender equality, occupational health and safety and the reconciliation of work and family life and the ageing society, including among social partners,
- to encourage job creation, promote youth employment and fight poverty by promoting increased social convergence by means of Social Mark.

In addition support could be provided to actions related to the implementation of the common provisions of EaSI such as monitoring, evaluation, dissemination of results and communication. Article 16 of Regulation (EU) No 1296/2013 describes the types of actions which may be financed.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 02 (*cont'd*)04 03 02 01 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1296/2013 of the European Parliament and of the Council of 11 December 2013 on a European Union Programme for Employment and Social Innovation ('EaSI') and amending Decision No 283/2010/EU establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 347, 20.12.2013, p. 238).

## 04 03 02 02 EURES — Promoting workers' voluntary geographical mobility and boosting employment opportunities

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
22 061 000	14 300 000	21 392 000	9 424 939	21 439 000,—	4 512 200,37

*Remarks*

The general objective of the European Union Programme for Employment and Social Innovation (EaSI) is to contribute to the Europe 2020 strategy and the related headline targets for employment, education and poverty by providing financial support for the Union's objectives.

The EaSI is structured around three complementarity axes: Progress, EURES and Microfinance and Social Entrepreneurship.

To achieve the general objectives of EaSI in particular to promote workers' geographical mobility and boost employment opportunities by developing Union labour markets that are open and accessible to all, the specific objectives of the EURES axis are to:

- ensure that job vacancies and applications, and any related information as well as working conditions and rights are transparent for the potential applicants and the employers; this shall be achieved through their exchange and dissemination at transnational, interregional and cross-border level using standard interoperability forms,
- help ensure that European-level job vacancies and mobility options are advertised in parallel to national job vacancies and applications and not only after local or national options are exhausted,

**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)**04 03 02** (*cont'd*)04 03 02 02 (*cont'd*)

- develop services for the recruitment and placing of workers in employment through the clearance of job vacancies and applications at European level; this shall cover all phases of placement, ranging from pre-recruitment preparation to post-placement assistance, including language skill development options, with a view to the applicant's successful integration into the labour market; such services shall include targeted mobility schemes to fill job vacancies where labour market shortcomings have been identified and/or help particular groups of workers such as young people,
- provide assistance for national and cross-border support activities organised by EURES partners,
- initial and further training of EURES advisers in the Member States,
- contacts between EURES advisers and cooperation between government employment services, including those of candidate countries,
- promotion of EURES among firms and the general public,
- development of special structures for cooperation and services in border regions pursuant to point (b) of Article 17(1) of Regulation (EEC) No 1612/68,
- measures to help remove mobility obstacles, particularly in the field of work-related social security.

This programme should also facilitate the matching and placement of apprentices and trainees as a critical element in helping the transition of young people from school to work, as already begun under the preparatory action 'Your first EURES job' and complemented by the Youth Employment Initiative. Companies, particularly small and medium-sized enterprises (SMEs), will be encouraged to recruit more young people, including by financial support.

Target groups:

- young people up to 30 years of age, regardless of their qualifications and work experience as the scheme is not exclusively tailored for labour market entrants,
- all legally established businesses, particularly SMEs, to assist with reducing the costs of international hiring that affect mostly smaller companies.

Eligible jobs under this part of the programme will offer young people apprenticeships, a first work experience or specialised jobs. The scheme will not support situations of job substitution or precarious employment, or in any circumstances be contrary to national labour law.

To be eligible for funding, jobs have also to comply with the following criteria:

- be located in a EURES member country other than the country of origin of the young job seeker (transnational vacancies),
- ensure a work placement for a minimum contractual period of 6 months.

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 02 (*cont'd*)04 03 02 02 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

In addition support could be provided to actions related to the implementation of the common provisions of EaSI such as monitoring, evaluation, dissemination of results and communication. Article 21 of Regulation (EU) No 1296/2013 describes the types of actions which may be financed.

*Legal basis*

Council Regulation (EEC) No 1612/68 of 15 October 1968 on freedom of movement for workers within the Community (OJ L 257, 19.10.1968, p. 2).

Commission Decision 2003/8/EC of 23 December 2002 implementing Council Regulation (EEC) No 1612/68 as regards the clearance of vacancies and applications for employment (OJ L 5, 10.1.2003, p. 16).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on freedom of movement for workers within the Union (OJ L 141, 27.5.2011, p. 1).

Regulation (EU) No 1296/2013 of the European Parliament and of the Council of 11 December 2013 on a European Union Programme for Employment and Social Innovation ('EaSI') and amending Decision No 283/2010/EU establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 347, 20.12.2013, p. 238).

04 03 02 03 Microfinance and Social Entrepreneurship — Increasing access to, and the availability of, financing for legal and physical persons, especially those furthest from the labour market, and social enterprises

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 624 200	17 000 000	26 457 000	11 815 018	28 500 200,—	0,—

*Remarks*

The general objective of the European Union Programme for Employment and Social Innovation (EaSI) is to contribute to the Europe 2020 strategy and the related headline targets for employment, education and poverty by providing financial support for the Union's objectives.

**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)**04 03 02** (*cont'd*)**04 03 02 03** (*cont'd*)

The EaSI is structured around three complementarity axes: Progress, EURES and Microfinance and Social Entrepreneurship.

To achieve the general objectives of EaSI in particular to promote employment and social inclusion by increasing the availability and accessibility of microfinance for vulnerable groups and micro-enterprises, and by increasing access to finance for social enterprises, the specific objectives of the Microfinance and Social Entrepreneurship axis are to:

- increase access to, and the availability of, microfinance for persons who have lost or are at risk of losing their jobs, or who have difficulty in entering or re-entering the labour market, persons at risk of social exclusion and vulnerable persons, including women wishing to start entrepreneurial careers, who are in a disadvantaged position with regard to access to the conventional credit market and who wish to start up or develop their own micro-enterprises; and for micro-enterprises, especially those which employ these persons as referred to,
- build up the institutional capacity of microcredit providers,
- support the development of social enterprises.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenues may give rise to the provision of additional appropriations in accordance with point (i) of Article 21(3) of the Financial Regulation.

Part of the appropriations will be used to provide support and technical assistance for recipients of microfinance.

*Legal basis*

Regulation (EU) No 1296/2013 of the European Parliament and of the Council of 11 December 2013 on a European Union Programme for Employment and Social Innovation ('EaSI') and amending Decision No 283/2010/EU establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 347, 20.12.2013, p. 238).

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TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)**04 03 11      *European Foundation for the Improvement of Living and Working Conditions****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 360 000	20 360 000	20 371 000	20 371 000	20 562 432,46	20 562 088,82

*Remarks*

This appropriation is intended to cover the European Foundation for the Improvement of Living and Working Conditions (Eurofound) staff and administrative expenditure (Titles 1 and 2), and operational expenditure for the work programme (Title 3).

The Eurofound must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The Union contribution for 2016 amounts to a total of EUR 20 371 000. An amount of EUR 11 000 coming from the recovery of surplus is added to the amount of EUR 20 360 000 entered in the budget.

Part of this appropriation is intended for the work of the European Monitoring Centre for Change, decided upon at the Nice European Council of 7 to 9 December 2000 with a view to identifying, anticipating and managing technological, social (in particular demographic) and economic trends. To that end, high-quality information must be collected, processed and analysed.

Part of this appropriation is intended to cover also work on three topics of importance in relation to family policies:

- family-friendly policies in the workplace (balance between work and family life, working conditions, etc.),
- factors influencing the situation of families with regard to community housing (access to decent housing for families),
- lifelong family support concerning, for example, childcare and other issues that fall within the Foundation's forms of reference.

This appropriation is also intended to cover studies on the impact of new technologies in the workplace and on occupational illnesses.

The Foundation's establishment plan is set out in Annex 'Staff' to this section.

*Legal basis*

Regulation (EEC) No 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions (OJ L 139, 30.5.1975, p. 1).



CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 12 *European Agency for Safety and Health at Work**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 663 000	14 663 000	14 534 000	14 534 000	14 438 521,—	14 229 072,—

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The Agency's objective is to provide the Union institutions, Member States and interested parties with technical, scientific and economic information of use in the area of health and safety at work. Special attention will be paid to gender aspects in the area of health and safety at work.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The Union contribution for 2016 amounts to a total of EUR 14 679 000. An amount of EUR 16 000 coming from the recovery of surplus is added to the amount of EUR 14 663 000 entered in the budget.

This appropriation is intended to cover the measures necessary to accomplish the Agency's tasks as defined in Regulation (EC) No 2062/94, and in particular:

- awareness-building and risk anticipation measures, with special emphasis on SMEs,
- operation of the European Risk Observatory based on examples of good practice collected from firms or specific branches of activity,
- preparation and provision of relevant tools for smaller companies to manage health and safety at work,
- operation of the network comprising the main component elements of the national information networks, including the national social partner's organisations, according to national legislation and/or practice, as well as the national focal points,
- also in collaboration with the International Labour Organization and other international organisations, organising exchanges of experience, information and good practices,
- integrating the candidate countries into these information networks and devising working tools which are geared to their specific situation,

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 12 (*cont'd*)

- organising and running the European Campaign on Healthy Workplaces as well as the European Week on Health and Safety, focusing on specific risks and needs of users and final beneficiaries.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 2062/94 of 18 July 1994 establishing a European Agency for Safety and Health at Work (OJ L 216, 20.8.1994, p. 1).

04 03 13 **European Centre for the Development of Vocational Training (Cedefop)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 051 000	17 051 000	17 224 000	17 224 000	17 434 633,39	16 933 900,—

*Remarks**Former Article 15 02 11*

This appropriation is intended to cover the Centre's staff and administrative expenditure, and operational expenditure relating to the work programme.

The Centre must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure. The Commission, if requested by the Centre, undertakes to notify the European Parliament and the Council of transfers made between operational and administrative appropriations.

The Centre's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 17 434 000. An amount of EUR 383 000 coming from the recovery of surplus is added to the amount of EUR 17 051 000 entered in the budget.

*Legal basis*

Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training (OJ L 39, 13.2.1975, p. 1).

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)**04 03 14** **European Training Foundation (ETF)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
19 956 000	19 956 000	19 945 000	19 945 000	20 143 868,66	20 143 500,01

*Remarks**Former Article 15 02 12*

This appropriation is intended to cover the Foundation's staff and administrative expenditure (Titles 1 and 2), and operational expenditure regarding its work programme (Title 3).

It is also intended to cover the support of partner countries in the Mediterranean region in reforming their labour markets and vocational training systems, promoting social dialogue and supporting entrepreneurship.

The Foundation must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The establishment plan for the Foundation is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 20 145 000. An amount of EUR 189 000 coming from the recovery of surplus is added to the amount of EUR 19 956 000 entered in the budget.

*Legal basis*

Regulation (EC) No 1339/2008 of the European Parliament and of the Council of 16 December 2008 establishing a European Training Foundation (OJ L 354, 31.12.2008, p. 82).

**04 03 51** **Completion of Progress***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	11 400 000	p.m.	24 802 431	94 214,86	47 872 724,12

*Remarks*

This appropriation is intended to cover earlier commitments under the Community programme for Employment and Social Solidarity (Progress).

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 51 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

04 03 52 **Completion of EURES***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 000 000	p.m.	8 579 394	0,—	17 694 831,36

*Remarks*

This appropriation is intended to cover the funding of commitments remaining to be settled from past years in respect of former Article 04 03 04.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Regulation (EEC) No 1612/68 of 15 October 1968 on freedom of movement for workers within the Community (OJ L 257, 19.10.1968, p. 2).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on freedom of movement for workers within the Union (OJ L 141, 27.5.2011, p. 1).

**CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION** (*cont'd*)**04 03 52** (*cont'd*)

Commission Decision 2003/8/EC of 23 December 2002 implementing Council Regulation (EEC) No 1612/68 as regards the clearance of vacancies and applications for employment (OJ L 5, 10.1.2003, p. 16).

**04 03 53** **Completion of other activities**

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 000 000	p.m.	8 180 353	67 056,65	1 138 318,15

*Remarks*

This appropriation is intended to cover the funding of commitments remaining to be settled from past years in respect of former Articles 04 04 07, 04 04 12 and 04 04 15.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Decision of 9 July 1957 concerning the terms of reference and rules of procedure of the Mines Safety and Health Commission (OJ 28, 31.8.1957, p. 487/57).

Council Decision 74/325/EEC of 27 June 1974 on the setting up of an Advisory Committee on Safety, Hygiene and Health Protection at Work (OJ L 185, 9.7.1974, p. 15).

Council Decision 74/326/EEC of 27 June 1974 on the extension of the responsibilities of the Mines Safety and Health Commission to all mineral-extracting industries (OJ L 185, 9.7.1974, p. 18).

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

Council Decision 98/171/EC of 23 February 1998 on Community activities concerning analysis, research and cooperation in the field of employment and the labour market (OJ L 63, 4.3.1998, p. 26).

Council Decision 2000/750/EC of 27 November 2000 establishing a Community action programme to combat discrimination (2001 to 2006) (OJ L 303, 2.12.2000, p. 23).

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 53 (*cont'd*)

Decision No 50/2002/EC of the European Parliament and of the Council of 7 December 2001 establishing a programme of Community action to encourage cooperation between Member States to combat social exclusion (OJ L 10, 12.1.2002, p. 1).

Decision No 1145/2002/EC of the European Parliament and of the Council of 10 June 2002 on Community incentive measures in the field of employment (OJ L 170, 29.6.2002, p. 1).

Council Decision of 22 July 2003 setting up an Advisory Committee on Safety and Health at Work (OJ C 218, 13.9.2003, p. 1).

Decision No 1554/2005/EC of the European Parliament and of the Council of 7 September 2005 amending Council Decision 2001/51/EC establishing a programme relating to the Community framework strategy on gender equality and Decision No 848/2004/EC establishing a Community action programme to promote organisations active at European level in the field of equality between men and women (OJ L 255, 30.9.2005, p. 9).

Decision No 1098/2008/EC of the European Parliament and of the Council of 22 October 2008 on the European Year for Combating Poverty and Social Exclusion (2010) (OJ L 298, 7.11.2008, p. 20).

Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1).

*Reference acts*

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union under Articles 151, 153 and 156.

04 03 77 **Pilot projects and preparatory actions**

## 04 03 77 02 Pilot project — Promoting protection of the right to housing

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	523 430	0,—	199 866,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)04 03 77 02 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 03 Pilot project — Working and living conditions of posted workers

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	0,—

*Remarks*

This item is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 04 Pilot project — Measures for employment maintenance

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

04 03 77 05 Pilot project — Enhancing mobility and integration of workers within the Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 06 Pilot project — All-inclusive cooperation between public authorities, commercial firms and not-for-profit enterprises on integrating people into society and employment

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	776 081,30

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

## 04 03 77 07 Preparatory action — Your first EURES Job

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	750 000	p.m.	1 308 576	0,—	3 434 258,97

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 04 03 77 08 Pilot project — Social solidarity for social integration

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	348 954	0,—	499 736,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

04 03 77 09 Preparatory action — Information centres for posted workers and migrant workers

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	523 430	0,—	213 769,34

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 10 Pilot project — Encourage conversion of precarious work into work with rights

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

04 03 77 11 Pilot project — Preventing elder abuse

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

*Remarks*

This item is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 12 Pilot project — Health and safety at work of older workers

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	350 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

04 03 77 13 Preparatory action — Activation measures targeting young people — implementing the 'Youth on the Move' initiative

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	p.m.	1 292 053	0,—	689 809,50

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 14 Preparatory action — Social innovation driven by social business and young entrepreneurship

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	p.m.	697 907	887 251,98	661 906,48

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

04 03 77 15 Pilot project — Feasibility and added value of a European unemployment insurance or benefit scheme

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 000 000	p.m.	523 430	1 838 620,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 16 Preparatory action — Micro credit to specifically combat youth unemployment

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

## 04 03 77 17 Pilot project — Social security card

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
700 000	350 000	500 000	250 000		

*Remarks*

This pilot project will investigate the benefits of introducing and – if appropriate – making available a forgery-proof European electronic document containing individuals' social security details, on which could be stored all the data needed to verify the bearer's employment status, such as details on his or her social security status and working hours, and which would be subject to strict data-protection rules, particularly where privacy-sensitive personal data is processed. This card should not only be an information tool for workers, but also and primarily a tool for labour inspectorates to help them uncover abuses and ensure the enforcement of social and labour legislation in the Union. The Commission should report back to European Parliament on a regular basis about the development of this pilot project.

The measures covered will include:

- a report on best practices in Member States which have already implemented similar systems,
- devising a preparatory action, based on the report, to help introduce this document in specific sectors/countries,
- an impact assessment and cost-benefit analysis of the production of such an electronic document,
- a comparative analysis of the social security systems in the EU-28 which can serve as a basis for building knowledge about the significant variations between Member States with regard to economic structures, size and composition of social systems.

This pilot project could also cover the design of an EU-model electronic card providing relevant information for verifying the bearer's employment status, such as details of his or her social security status and working hours.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

## 04 03 77 18 Preparatory action — Social solidarity for social integration

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	750 000	1 500 000	750 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The preparatory action is to: strengthen the capacity of national minimum income networks to develop a strategy to act on the recommendations that have emerged from the pilot project as well as links with the Europe 2020 strategy by following up on the implementation of the relevant country-specific recommendations; further develop awareness raising in connection with minimum income schemes, focusing on coverage, adequacy and take-up; engage Union-level actors in further dialogue on minimum income schemes; and promote the common methodology for reference budgets (under preparation as part of another pilot project, 'Reference Budget Network') at local, national and Union level to ensure that minimum income support is adequate.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 04 03 77 19 Preparatory action — Supporting active inclusion of disadvantaged migrants in Europe through development and testing of local centres for social and economic integration

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	250 000	500 000	250 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action will contribute to overall Union policy for combating poverty and social exclusion, especially among disadvantaged groups such as migrants. In particular, it will tie in with the Commission communications entitled 'European Platform against Poverty and Social Exclusion' (COM(2010) 758 final) and 'Towards Social Investments for Growth and Cohesion' (COM(2013) 83 final) as well as with the European Social Fund Regulation of 17 December 2013 (Regulation No 1304/2013).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)04 03 77 19 (*cont'd*)*Objective*

This preparatory action will contribute to the welfare and full social and economic integration of migrants in Europe (both European nationals and third country nationals), especially those in extremely difficult social and economic situations (unemployment, habitual exclusion, health problems, addictions and other forms of marginalisation) through development and testing of pilot integration centres in member states with different welfare systems and labour market configurations. Those centres will form part of the European Platform against Poverty and Social Exclusion and will strengthen migrants' skills and capacities and empower them to participate fully in employment and social life by providing an integrated package of activities and services.

*Actions/measures to be funded*

The measures covered will include:

- creation of pilot social integration centres, which will:
  - be established in urban and rural areas with severe integration problems in selected Member States, in partnership with local social, public and economic stakeholders,
  - run workshops and full-time vocational training schemes, job coaching schemes and apprenticeships that meet local community needs in areas such as care services, green area upkeep services, professional cleaning services and building construction services,
  - serve as one-stop-shops for marginalised migrants looking for assistance with all legal and community-related issues (social assistance, job advice, financial incentives),
  - create favourable environments and local partnerships for the development of social entrepreneurship.

The pilot centres will assist with trial arrangements for the future creation of local centres for social and economic integration in all Member States as part of the European Platform against Poverty and Social Exclusion. The aim of local centres will be twofold: (1) to activate and empower migrants in order to improve their chances of re-entry into the mainstream economy and full integration in the host communities and society; (2) to assist local authorities, social partners and civil society organisations in removing barriers, supporting mobility and fostering social and economic inclusion of marginalised migrants.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

## 04 03 77 20 Pilot project — Consequences of reductions in welfare benefits

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	1 000 000	500 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project aims to carry out studies on the consequences of reductions in welfare benefits (family allowance, long-term unemployment benefit, supplementary sickness benefit, social integration payment) in terms of increased poverty and gender inequalities.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 04 03 77 21 Pilot project — European Union Real Time Sign Language Application and Service

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	360 525	0,—	292 907,60

*Remarks**Former Item 33 02 77 07*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)

## 04 03 77 22 Preparatory action — Erasmus-style programme for apprentices

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

*Remarks**Former Item 15 02 77 01*

This item is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 04 03 77 23 Preparatory action — Reactivate - Intra-EU mobility programme for unemployed over-35s

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 000 000	1 500 000				

*Remarks*

Despite public perceptions, labour mobility is low in the Union. High unemployment and low labour mobility within and between countries coexist with skill and labour shortages in some countries and regions. Well-performing labour markets are important for facilitating adjustment to shocks, allocating resources to best effect and dealing with potential ageing-related labour market shortages. Mobility within the Union can, in principle, help to remedy labour market shortages and imbalances. The over-35 age group also faces higher mobility barriers, and it is therefore important to put financial and/or other support specifically designed for this group to the test.

Reactivate is a mobility programme for adult traineeships and work trials lasting between 6 and 12 months in other Member States. It is targeted at unemployed citizens in the 35+ age group, including the long-term unemployed (LTU). This age group generally represents a population segment with strong skills based on work experience, whence the need for this programme.

In the light of the number of LTUs, Reactivate will test support tailor-made for them. In order to encourage employers to make job offers to unemployed persons from other Member States, Reactivate will test ways of engaging with employers and forms of financial and/or other support for employers.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 03 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION (*cont'd*)04 03 77 (*cont'd*)04 03 77 23 (*cont'd*)

Reactivate is intended as an extension of the successful EURES First Job programme, offering similar advantages for unemployed persons, in particular LTUs, over the age of 35. This project can build on EURES First Job as a sound mobility platform.

Reactivate will give unemployed persons, including LTUs, in this age group an unprecedented opportunity to acquire diversified knowledge and skills, to learn new languages, and to better understand the European internal market. All these advantages greatly militate in favour of a stronger European identity and diversity while increasing labour mobility and the employment rate.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

04 03 77 24 Pilot project — Quality employment for job starters through entrepreneurship

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
700 000	350 000				

*Remarks*

The pilot project will establish whether youth entrepreneurship initiatives are effective in creating long-term quality employment, especially amongst young people.

The pilot project ties in with the European Parliament Committee on Employment's priorities with regard to youth unemployment/youth entrepreneurship and the Youth Guarantee and Youth Employment Initiative.

The following actions and measures will be funded: monitoring entrepreneurs in Europe and verifying what influence current policies have on creating quality employment for job starters.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 04 — EUROPEAN GLOBALISATION ADJUSTMENT FUND

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
04 04	EUROPEAN GLOBALISATION ADJUSTMENT FUND								
04 04 01	<i>EGF — to support workers and self-employed persons whose activity has ceased as a result of globalisation</i>	9	p.m.	30 000 000	p.m.	25 000 000	33 542 470,—	33 542 470,—	111,81
04 04 51	<i>Completion of the European Globalisation Adjustment Fund (2007 to 2013)</i>	9	p.m.	p.m.	p.m.	p.m.	30 049 421,—	30 049 421,—	
Chapter 04 04 — Total			p.m.	30 000 000	p.m.	25 000 000	63 591 891,—	63 591 891,—	211,97

**04 04 01** *EGF — to support workers and self-employed persons whose activity has ceased as a result of globalisation*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	30 000 000	p.m.	25 000 000	33 542 470,—	33 542 470,—

*Remarks*

This appropriation is intended to cover the EGF so as to enable the Union to demonstrate solidarity towards, and to support workers made redundant and self-employed persons whose activity has ceased as a result of major structural changes in world patterns due to globalisation, as a result of the continuation of the global financial and economic crisis addressed in Regulation (EC) No 546/2009 of the European Parliament and of the Council of 18 June 2009 amending Regulation (EC) No 1927/2006 on establishing the European Globalisation Adjustment Fund (OJ L 167, 29.6.2009, p. 26), or as a result of a new global financial and economic crisis and to provide financial support for their rapid reintegration into sustainable employment.

The actions undertaken by the EGF should be complementary to those of the European Social Fund and there must be no double funding from these instruments. EGF-supported actions or measures should aim to ensure that the largest possible number of beneficiaries participating in these actions find sustainable employment as soon as possible before the final report is due.

The rules for entering the appropriations in this reserve and for mobilising the EGF are laid down in point 13 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

*Legal basis*

Regulation (EU) No 1309/2013 of the European Parliament and of the Council of 17 December 2013 on the European Globalisation Adjustment Fund (2014-2020) and repealing Regulation (EC) No 1927/2006 (OJ L 347, 20.12.2013, p. 855), and in particular Article 1 thereof.

**CHAPTER 04 04 — EUROPEAN GLOBALISATION ADJUSTMENT FUND** (*cont'd*)**04 04 01** (*cont'd*)*Reference acts*

Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

**04 04 51** ***Completion of the European Globalisation Adjustment Fund (2007 to 2013)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	30 049 421,—	30 049 421,—

*Remarks*

This appropriation is intended to cover the EGF so as to enable the Union to provide time-limited and targeted support for workers made redundant as a result of major structural changes in world trade patterns due to globalisation where these redundancies have a significant adverse impact on the regional or local economy. It is applicable to all applications submitted up to 31 December 2013. For applications submitted between 1 May 2009 and 31 December 2011, it may also be used to provide support to workers made redundant as a direct result of the global financial and economic crisis.

The actions undertaken by the EGF should be complementary to those of the European Social Fund. There must be no double funding from these instruments.

The rules for entering the appropriations in this reserve and for mobilising the EGF are laid down in point 13 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

*Legal basis*

Regulation (EC) No 1927/2006 of the European Parliament and of the Council of 20 December 2006 on establishing the European Globalisation Adjustment Fund (OJ L 406, 30.12.2006, p. 1).

Regulation (EC) No 546/2009 of the European Parliament and of the Council of 18 June 2009 amending Regulation (EC) No 1927/2006 on establishing the European Globalisation Adjustment Fund (OJ L 167, 29.6.2009, p. 26).

*Reference acts*

Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
04 05	INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT								
<b>04 05 01</b>	<b>Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</b>								
04 05 01 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 05 01 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 04 05 01 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>04 05 02</b>	<b>Support to Iceland</b>								
04 05 02 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
04 05 02 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 04 05 02 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>04 05 03</b>	<b>Support to Turkey</b>								
04 05 03 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

**CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT** (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>04 05 03</b>	( <i>cont'd</i> )								
04 05 03 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 04 05 03 — Subtotal		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>04 05 51</b>	<b>Completion of actions (prior to 2014) — Instrument for Pre-Accession Assistance — Human resources development</b>	4	p.m.	65 000 000	p.m.	74 547 800	0,—	62 529 134,55	96,20
	<b>Chapter 04 05 — Total</b>		<b>p.m.</b>	<b>65 000 000</b>	<b>p.m.</b>	<b>74 547 800</b>	<b>0,—</b>	<b>62 529 134,55</b>	<b>96,20</b>
( <sup>1</sup> ) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.									

**04 05 01 Support to Albania, Bosnia and Herzegovina, Kosovo (<sup>1</sup>), Montenegro, Serbia and the former Yugoslav Republic of Macedonia**04 05 01 01 Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

(<sup>1</sup>) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT (*cont'd*)04 05 01 (*cont'd*)04 05 01 01 (*cont'd*)*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

04 05 01 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(b) and (c) thereof.



## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT (*cont'd*)**04 05 02      *Support to Iceland***04 05 02 01      Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

04 05 02 02      Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT (*cont'd*)04 05 02 (*cont'd*)04 05 02 02 (*cont'd*)

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) to (c) of Article 2(1) thereof.

04 05 03 **Support to Turkey**04 05 03 01 Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

**CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT** (*cont'd*)**04 05 03** (*cont'd*)04 05 03 01 (*cont'd*)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (a) and (c) of Article 2(1) thereof.

04 05 03 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — EMPLOYMENT, SOCIAL POLICIES AND HUMAN RESOURCES DEVELOPMENT *(cont'd)*04 05 03 *(cont'd)*04 05 03 02 *(cont'd)**Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular points (b) and (c) of Article 2(1) thereof.

**04 05 51** ***Completion of actions (prior to 2014) — Instrument for Pre-Accession Assistance — Human resources development***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	65 000 000	p.m.	74 547 800	0,—	62 529 134,55

*Remarks*

This appropriation is intended to cover the liquidation of commitments made prior to 2014.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006, as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

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## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

## CHAPTER 04 06 — FUND FOR EUROPEAN AID TO THE MOST DEPRIVED

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
04 06	FUND FOR EUROPEAN AID TO THE MOST DEPRIVED								
04 06 01	<i>Promoting social cohesion and alleviating the worst forms of poverty in the Union</i>	1.2	533 712 658	460 000 000	523 247 709	362 116 807	500 784 056,—	409 526 763,58	89,03
04 06 02	<i>Technical assistance</i>	1.2	1 440 000	1 000 000	1 410 000	958 779	1 240 000,—	0,—	0
	<b>Chapter 04 06 — Total</b>		<b>535 152 658</b>	<b>461 000 000</b>	<b>524 657 709</b>	<b>363 075 586</b>	<b>502 024 056,—</b>	<b>409 526 763,58</b>	<b>88,83</b>

*Remarks*

Article 174 of the Treaty on the Functioning of the European Union sets out the Union's objective of economic, social and territorial cohesion while Article 175 specifies the role of the Structural Funds in achieving this objective and makes provisions for the adoption of specific actions outside the Structural Funds.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Articles 56 and 57 of Regulation (EU) No 223/2014 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the Fund for European Aid to the Most Deprived (FEAD).

Any revenue from the financial corrections carried out on that basis is entered in Item 6 5 0 0 of the statement of revenue and constitutes assigned revenue in accordance with point (c) of Article 21(3) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Article 44 of Regulation (EU) No 223/2014 provides for specific rules on repayment of pre-financing applicable to the FEAD.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Article 19 of Regulation (EU, Euratom) No 1311/2013 lays down the conditions for the revision of the multiannual financial framework in order to transfer to subsequent years, in excess of the corresponding expenditure ceilings, allocations not used in 2014, in the event of the adoption after 1 January 2014 of new rules or programmes.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 174 and 175 thereof.

## COMMISSION

## TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

CHAPTER 04 06 — FUND FOR EUROPEAN AID TO THE MOST DEPRIVED (*cont'd*)

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1) and in particular Articles 21(3)(4), 80 and 177 thereof.

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

*Reference acts*

Conclusions of the European Council of 7 and 8 February 2013.

## 04 06 01

**Promoting social cohesion and alleviating the worst forms of poverty in the Union***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
533 712 658	460 000 000	523 247 709	362 116 807	500 784 056,—	409 526 763,58

*Remarks*

The Fund for European Aid to the Most Deprived (FEAD) replaces the European Union's Food Distribution Programme for the Most Deprived Persons of the Community, which was discontinued at the end of 2013.

To ensure continuity between the two programmes, expenditure shall be eligible for a support from a FEAD operational programme if it is incurred by a beneficiary and paid between 1 December 2013 and 31 December 2023.

FEAD shall promote social cohesion, enhance social inclusion and therefore ultimately contribute to the objective of eradicating poverty in the Union by contributing to achieving the poverty reduction target of at least 20 million of the number of persons at risk of poverty and social exclusion in accordance with the Europe 2020 strategy, whilst complementing the Structural Funds. Since the proportion of women at risk of poverty or social exclusion is higher than is the case for men, FEAD will take a gender-sensitive approach by adapting measures to the groups actually at risk, including women and the elderly. It shall contribute to achieving the specific objective of alleviating and eradicating the worst forms of poverty, by providing non-financial assistance to the most deprived persons by food and/or basic material assistance, and social inclusion activities aiming at the social integration of the most deprived persons.

**CHAPTER 04 06 — FUND FOR EUROPEAN AID TO THE MOST DEPRIVED** (*cont'd*)**04 06 01** (*cont'd*)

This objective and the results of the implementation of the Fund shall be qualitatively and quantitatively assessed.

FEAD shall complement and shall not replace or reduce sustainable national poverty eradication and social inclusion policies, which remain the responsibility of Member States.

The resources for the Fund available for budgetary commitment for the period 2014-2020 shall be EUR 3 395 684 880 in 2011 prices.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).

**04 06 02** **Technical assistance***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 440 000	1 000 000	1 410 000	958 779	1 240 000,—	0,—

*Remarks*

This appropriation is intended to cover technical assistance as provided for in Article 27 of Regulation (EU) No 223/2014.

Technical assistance covers preparation, monitoring, administrative and technical assistance, audit, information, control and evaluation measures necessary for implementing Regulation (EU) No 223/2014 as well as for activities pursuant to Article 10 of that Regulation.

In particular, this appropriation is intended to cover:

- support expenditure (representation expenses, training, meetings and missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,

COMMISSION

TITLE 04 — EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

**CHAPTER 04 06 — FUND FOR EUROPEAN AID TO THE MOST DEPRIVED** (*cont'd*)

**04 06 02** (*cont'd*)

- contracts for the provision of services and studies,
- grants,
- audit, control and evaluation activities.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 223/2014 of the European Parliament and of the Council of 11 March 2014 on the Fund for European Aid to the Most Deprived (OJ L 72, 12.3.2014, p. 1).



*TITLE 05*

**AGRICULTURE AND RURAL DEVELOPMENT**

COMMISSION

TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## TITLE 05

## AGRICULTURE AND RURAL DEVELOPMENT

## General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
05 01	ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA	134 218 823	134 218 823	131 384 520	131 384 520	132 620 948,40	132 620 948,40
05 02	IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS	2 703 000 000	2 691 337 221	2 400 689 000	2 400 752 166	2 478 674 849,21	2 478 172 776,19
05 03	DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES	39 445 708 157	39 445 708 157	40 908 597 789	40 908 597 789	41 659 679 433,60	41 659 679 433,60
05 04	RURAL DEVELOPMENT	18 671 922 495	11 742 025 443	18 165 329 129	11 162 302 959	3 305 186 830,69	11 185 998 301,10
05 05	INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT	112 000 000	425 400 000	94 000 000	177 168 992	0,—	158 726 294,26
05 06	INTERNATIONAL ASPECTS OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA	6 966 518	6 966 518	4 675 000	4 201 456	1 806 025,54	1 806 025,54
05 07	AUDIT OF AGRICULTURAL EXPENDITURE FINANCED BY THE EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF)	58 630 000	58 630 000	87 300 000	87 300 000	118 837 246,32	118 837 246,32
05 08	POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA	35 433 167	41 555 618	55 331 373	50 466 940	40 276 006,69	32 045 124,67
05 09	HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRICULTURE	214 205 269	79 277 928	101 455 799	19 076 239	52 107 334,—	1 165 882,—
	<b>Title 05 — Total</b>	<b>61 382 084 429</b>	<b>54 625 119 708</b>	<b>61 948 762 610</b>	<b>54 941 251 061</b>	<b>47 789 188 674,45</b>	<b>55 769 052 032,08</b>

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## TITLE 05

## AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
05 01	ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA					
05 01 01	<i>Expenditure related to officials and temporary staff in the 'Agriculture and rural development' policy area</i>	5.2	100 493 451	98 894 779	101 262 897,31	100,77
05 01 02	<i>External personnel and other management expenditure in support of the 'Agriculture and rural development' policy area</i>					
05 01 02 01	External personnel	5.2	3 395 913	3 394 913	3 659 449,07	107,76
05 01 02 11	Other management expenditure	5.2	6 692 410	7 204 827	6 912 994,23	103,30
	<i>Article 05 01 02 — Subtotal</i>		10 088 323	10 599 740	10 572 443,30	104,80
05 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Agriculture and rural development' policy area</i>	5.2	6 413 600	6 278 438	7 312 903,39	114,02
05 01 04	<i>Support expenditure for operations and programmes in the 'Agriculture and rural development' policy area</i>					
05 01 04 01	Support expenditure for the European Agricultural Guarantee Fund (EAGF) — Non-operational technical assistance	2	8 116 124	8 100 000	7 899 090,—	97,33
05 01 04 03	Support expenditure for pre-accession assistance in the field of agriculture and rural development (IPA)	4	552 600	497 475	109 114,98	19,75
05 01 04 04	Support expenditure for the European Agricultural Fund for Rural Development (EAFRD) — Non-operational technical assistance	2	4 368 000	4 450 000	3 543 914,68	81,13
	<i>Article 05 01 04 — Subtotal</i>		13 036 724	13 047 475	11 552 119,66	88,61

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>05 01 05</b>	<b>Support expenditure for research and innovation programmes in the 'Agriculture and rural development' policy area</b>					
05 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	1 483 475	1 277 088	1 258 542,94	84,84
05 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	424 210	321 000	255 170,34	60,15
05 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	830 664	800 000	406 871,46	48,98
	<i>Article 05 01 05 — Subtotal</i>		2 738 349	2 398 088	1 920 584,74	70,14
<b>05 01 06</b>	<b>Executive agencies</b>					
05 01 06 01	Consumer, Health, Agriculture and Food Executive Agency — Contribution from the agricultural promotion programme	2	1 448 376	166 000		
	<i>Article 05 01 06 — Subtotal</i>		1 448 376	166 000		
	<b>Chapter 05 01 — Total</b>		<b>134 218 823</b>	<b>131 384 520</b>	<b>132 620 948,40</b>	<b>98,81</b>

## Remarks

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

## Legal basis

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**05 01 01** **Expenditure related to officials and temporary staff in the 'Agriculture and rural development' policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
100 493 451	98 894 779	101 262 897,31

CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (*cont'd*)**05 01 02** *External personnel and other management expenditure in support of the 'Agriculture and rural development' policy area*

## 05 01 02 01 External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
3 395 913	3 394 913	3 659 449,07

## 05 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
6 692 410	7 204 827	6 912 994,23

**05 01 03** *Expenditure related to information and communication technology equipment and services of the 'Agriculture and rural development' policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
6 413 600	6 278 438	7 312 903,39

**05 01 04** *Support expenditure for operations and programmes in the 'Agriculture and rural development' policy area**Legal basis*

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund and amending and repealing certain Regulations (EAGGF) (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 870/2004 of 24 April 2004 establishing a Community programme on the conservation, characterisation, collection and utilisation of genetic resources in agriculture and repealing Regulation (EC) No 1467/94 (OJ L 162, 30.4.2004, p. 18).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (*cont'd*)05 01 04 (*cont'd*)

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1305/2013 of the European Parliament and of the Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) and repealing Council Regulation (EC) No 1698/2005 (OJ L 347, 20.12.2013, p. 487).

Regulation (EU) No 1307/2013 of the European Parliament and of the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 (OJ L 347, 20.12.2013, p. 608).

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

## 05 01 04 01 Support expenditure for the European Agricultural Guarantee Fund (EAGF) — Non-operational technical assistance

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
8 116 124	8 100 000	7 899 090,—

*Remarks*

This appropriation is intended to cover the preparatory, monitoring, administrative and technical support activities, as well as evaluation, audit and inspection measures required to implement the common agricultural policy and in particular the measures as stipulated in Article 6(a) and (d) to (f) of Regulation (EU) No 1306/2013.

**CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA** (*cont'd*)**05 01 04** (*cont'd*)05 01 04 01 (*cont'd*)

This includes expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the genetic resources programme laid down by Regulation (EC) No 870/2004. It also includes the expenditure for the financing of the Conciliation Body within the framework of the common agricultural policy clearance of accounts (fees, equipment, travel and meetings) and studies and other expenditure on communication and support for audit and controls, such as assistance by audit firms.

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 174 of the Financial Regulation.

## 05 01 04 03 Support expenditure for pre-accession assistance in the field of agriculture and rural development (IPA)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
552 600	497 475	109 114,98

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, and renting of accommodation directly resulting from the presence in the delegation of the external personnel remunerated from the appropriations entered in this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (*cont'd*)**05 01 04** (*cont'd*)05 01 04 03 (*cont'd*)

This appropriation covers administrative expenditure under Chapter 05 05.

## 05 01 04 04 Support expenditure for the European Agricultural Fund for Rural Development (EAFRD) — Non-operational technical assistance

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 368 000	4 450 000	3 543 914,68

*Remarks*

This appropriation is intended to cover the EAFRD-funded technical assistance provided for in Article 66(1) of Regulation (EC) No 1698/2005 and Article 51 of Regulation (EU) No 1305/2013, Article 6 of Regulation (EU) No 1306/2013 and Article 58 of Regulation (EU) No 1303/2013. Technical assistance covers preparatory, monitoring, administrative support, evaluation, audit and control measures. It can, in particular, be used to finance:

- support expenditure (representation expenses, training, meetings, missions, translations),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- audit and control measures, such as assistance by audit firms,
- contracts for the provision of services,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) up to EUR 1 850 000, as well as missions relating to the external personnel.

Any revenue entered in Article 6 7 1 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 177 of the Financial Regulation.

**05 01 05** ***Support expenditure for research and innovation programmes in the 'Agriculture and rural development' policy area****Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(b) thereof.



## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (*cont'd*)05 01 05 (*cont'd*)

05 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 483 475	1 277 088	1 258 542,94

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

05 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
424 210	321 000	255 170,34

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA (*cont'd*)05 01 05 (*cont'd*)

## 05 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
830 664	800 000	406 871,46

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

05 01 06 *Executive agencies*

## 05 01 06 01 Consumer, Health, Agriculture and Food Executive Agency — Contribution from the agricultural promotion programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 448 376	166 000	

*Remarks*

This appropriation is intended to cover the contribution for expenditure of the Agency's staff and administration incurred as a result of the Agency's role in the management of measures forming part of the promotion programme as of 1 December 2015.

**CHAPTER 05 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA** (*cont'd*)**05 01 06** (*cont'd*)05 01 06 01 (*cont'd*)

The establishment plan to the Executive Agency is set out in the Annex ‘Staff’ to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1144/2014 of the European Parliament and of the Council of 22 October 2014 on information provision and promotion measures concerning agricultural products implemented in the internal market and in third countries and repealing Council Regulation (EC) No 3/2008 (OJ L 317, 4.11.2014, p. 56).

*Reference acts*

Commission Implementing Decision 2013/770/EU of 17 December 2013 establishing the Consumers, Health and Food Executive Agency and repealing Decision 2004/858/EC (OJ L 341 18.12.2013, p. 69)

Commission Implementing Decision 2014/927/EU of 17 December 2014 amending Implementing Decision 2013/770/EU in order to transform the ‘Consumers, Health and Food Executive Agency’ into the ‘Consumers, Health, Agriculture and Food Executive Agency’ (OJ L 363, 18.12.2014, p. 183).

Commission Decision C(2014) 9594 of 19 December 2014 amending Decision C(2013) 9505 as regards the delegation of powers to the Consumers, Health, Agriculture and Food Executive Agency with a view to the performance of tasks linked to the implementation of information provision and promotion measures concerning agricultural products implemented in the internal market and in third countries and food safety training measures covered by Decision C(2014) 1269 comprising, in particular, the implementation of appropriations entered in the general budget of the Union.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 02	IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS								
<b>05 02 01</b>	<b>Cereals</b>								
05 02 01 01	Export refunds for cereals	2	p.m.	p.m.	p.m.	p.m.	2 458 299,88	2 458 299,88	
05 02 01 02	Intervention storage of cereals	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 01 99	Other measures (cereals)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 05 02 01 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	2 458 299,88	2 458 299,88	
<b>05 02 02</b>	<b>Rice</b>								
05 02 02 01	Export refunds for rice	2	p.m.	p.m.	p.m.	p.m.	6 484,18	6 484,18	
05 02 02 02	Intervention storage of rice	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 02 99	Other measures (rice)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 05 02 02 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	6 484,18	6 484,18	
<b>05 02 03</b>	<b>Refunds on non-Annex I products</b>	2	100 000	100 000	100 000	100 000	128 875,26	128 875,26	128,88
<b>05 02 04</b>	<b>Food programmes</b>								
05 02 04 99	Other measures (food programmes)	2	p.m.	p.m.	p.m.	p.m.	– 7 238 759,71	– 7 238 759,71	
	<i>Article 05 02 04 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	– 7 238 759,71	– 7 238 759,71	
<b>05 02 05</b>	<b>Sugar</b>								
05 02 05 01	Export refunds for sugar and isoglucose	2	p.m.	p.m.	p.m.	p.m.	264 399,37	264 399,37	
05 02 05 03	Production refunds for sugar used in the chemical industry	2	p.m.	p.m.	p.m.	p.m.	194 999,22	194 999,22	
05 02 05 08	Private storage of sugar	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 05 99	Other measures (sugar)	2	p.m.	p.m.	p.m.	p.m.	– 2 064,15	– 2 064,15	
	<i>Article 05 02 05 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	457 334,44	457 334,44	

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>05 02 06</b>	<b>Olive oil</b>								
05 02 06 03	Private storage of olive oil	2	p.m.	p.m.	p.m.	p.m.	– 49 750,—	– 49 750,—	
05 02 06 05	Quality improvement measures	2	45 000 000	45 000 000	46 000 000	46 000 000	42 965 160,37	42 965 160,37	95,48
05 02 06 99	Other measures (olive oil)	2	300 000	300 000	600 000	600 000	115 269,—	115 269,—	38,42
	<i>Article 05 02 06 — Subtotal</i>		45 300 000	45 300 000	46 600 000	46 600 000	43 030 679,37	43 030 679,37	94,99
<b>05 02 07</b>	<b>Textile plants</b>								
05 02 07 02	Private storage of flax fibre	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 07 03	Cotton — National restructuring programmes	2	6 100 000	6 100 000	6 100 000	6 100 000	6 134 000,—	6 134 000,—	100,56
05 02 07 99	Other measures (textile plants)	2	100 000	100 000	100 000	100 000	139 976,29	139 976,29	139,98
	<i>Article 05 02 07 — Subtotal</i>		6 200 000	6 200 000	6 200 000	6 200 000	6 273 976,29	6 273 976,29	101,19
<b>05 02 08</b>	<b>Fruit and vegetables</b>								
05 02 08 03	Operational funds for producer organisations	2	298 000 000	298 000 000	541 500 000	541 500 000	724 444 659,89	724 444 659,89	243,10
05 02 08 11	Aid to producer groups for preliminary recognition	2	86 000 000	86 000 000	150 000 000	150 000 000	212 307 497,24	212 307 497,24	246,87
05 02 08 12	School fruit scheme	2	150 000 000	150 000 000	144 000 000	144 000 000	73 702 631,59	73 702 631,59	49,14
05 02 08 99	Other measures (fruit and vegetables)	2	77 800 000	77 800 000	700 000	700 000	72 957,42	72 957,42	0,09
	<i>Article 05 02 08 — Subtotal</i>		611 800 000	611 800 000	836 200 000	836 200 000	1 010 527 746,14	1 010 527 746,14	165,17
<b>05 02 09</b>	<b>Products of the wine-growing sector</b>								
05 02 09 08	National support programmes for the wine sector	2	1 075 000 000	1 075 000 000	1 094 000 000	1 094 000 000	1 019 483 384,85	1 019 483 384,85	94,84
05 02 09 99	Other measures (wine-growing sector)	2	1 000 000	1 000 000	1 000 000	1 000 000	2 906 936,16	2 906 936,16	290,69
	<i>Article 05 02 09 — Subtotal</i>		1 076 000 000	1 076 000 000	1 095 000 000	1 095 000 000	1 022 390 321,01	1 022 390 321,01	95,02

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>05 02 10</b>	<b>Promotion</b>								
05 02 10 01	Promotion measures — Payments by Member States	2	77 000 000	77 000 000	64 000 000	64 000 000	53 195 490,42	53 195 490,42	69,09
05 02 10 02	Promotion measures — Direct payments by the Union	2	16 000 000	4 337 221	1 189 000	1 252 166	1 495 798,32	993 725,30	22,91
05 02 10 99	Other measures (promotion)	2	p.m.	p.m.	p.m.	p.m.	38 462,13	38 462,13	
	Article 05 02 10 — Subtotal		93 000 000	81 337 221	65 189 000	65 252 166	54 729 750,87	54 227 677,85	66,67
<b>05 02 11</b>	<b>Other plant products/ measures</b>								
05 02 11 03	Hops — Aid to producer organisations	2	2 300 000	2 300 000	2 300 000	2 300 000	2 277 000,—	2 277 000,—	99,00
05 02 11 04	POSEI (excluding direct payments)	2	237 000 000	237 000 000	231 000 000	231 000 000	238 477 941,40	238 477 941,40	100,62
05 02 11 99	Other measures (other plant products/ measures)	2	100 000	100 000	100 000	100 000	0,—	0,—	0
	Article 05 02 11 — Subtotal		239 400 000	239 400 000	233 400 000	233 400 000	240 754 941,40	240 754 941,40	100,57
<b>05 02 12</b>	<b>Milk and milk products</b>								
05 02 12 01	Refunds for milk and milk products	2	p.m.	p.m.	p.m.	p.m.	16 963,36	16 963,36	
05 02 12 02	Storage measures for skimmed-milk powder	2	17 000 000	17 000 000	p.m.	p.m.	0,—	0,—	0
05 02 12 04	Storage measures for butter and cream	2	15 000 000	15 000 000	p.m.	p.m.	4 142 775,56	4 142 775,56	27,62
05 02 12 06	Private storage of certain cheeses	2	p.m.	p.m.	p.m.	p.m.			
05 02 12 08	School milk	2	75 000 000	75 000 000	77 000 000	77 000 000	67 626 501,91	67 626 501,91	90,17
05 02 12 09	Dairy products distribution as urgent response to humanitarian crises	2	30 000 000	30 000 000					
05 02 12 99	Other measures (milk and milk products)	2	430 100 000	430 100 000	100 000	100 000	3 134,51	3 134,51	0,00
	Article 05 02 12 — Subtotal		567 100 000	567 100 000	77 100 000	77 100 000	71 789 375,34	71 789 375,34	12,66

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>05 02 13</b>	<b>Beef and veal</b>								
05 02 13 01	Refunds for beef and veal	2	100 000	100 000	100 000	100 000	169 451,37	169 451,37	169,45
05 02 13 02	Storage measures for beef and veal	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 13 04	Refunds for live animals	2	p.m.	p.m.	p.m.	p.m.	274 727,61	274 727,61	
05 02 13 99	Other measures (beef and veal)	2	p.m.	p.m.	p.m.	p.m.	– 35,86	– 35,86	
	<i>Article 05 02 13 — Subtotal</i>		100 000	100 000	100 000	100 000	444 143,12	444 143,12	444,14
<b>05 02 14</b>	<b>Sheepmeat and goatmeat</b>								
05 02 14 01	Private storage of sheepmeat and goatmeat	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 02 14 99	Other measures (sheepmeat and goatmeat)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 05 02 14 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>05 02 15</b>	<b>Pigmeat, eggs and poultry, bee-keeping and other animal products</b>								
05 02 15 01	Refunds for pigmeat	2	p.m.	p.m.	p.m.	p.m.	214 519,63	214 519,63	
05 02 15 02	Private storage of pigmeat	2	32 000 000	32 000 000	p.m.	p.m.	0,—	0,—	0
05 02 15 04	Refunds for eggs	2	p.m.	p.m.	p.m.	p.m.	18 837,57	18 837,57	
05 02 15 05	Refunds for poultrymeat	2	p.m.	p.m.	1 000 000	1 000 000	924 088,13	924 088,13	
05 02 15 06	Specific aid for bee-keeping	2	32 000 000	32 000 000	31 000 000	31 000 000	30 948 596,79	30 948 596,79	96,71
05 02 15 99	Other measures (pigmeat, poultry, eggs, bee-keeping, other animal products)	2	p.m.	p.m.	8 800 000	8 800 000	815 639,50	815 639,50	
	<i>Article 05 02 15 — Subtotal</i>		64 000 000	64 000 000	40 800 000	40 800 000	32 921 681,62	32 921 681,62	51,44
	<b>Chapter 05 02 — Total</b>		<b>2 703 000 000</b>	<b>2 691 337 221</b>	<b>2 400 689 000</b>	<b>2 400 752 166</b>	<b>2 478 674 849,21</b>	<b>2 478 172 776,19</b>	<b>92,08</b>

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**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)*Remarks*

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this chapter in accordance with Articles 21 and 174 of the Financial Regulation.

In the framework of establishing the budgetary needs for this chapter, an amount of EUR 600 000 000 originating from Item 6 7 0 1 of the general statement of revenue was taken into account in establishing the budgetary needs concerning Article 05 02 08, and in particular for Item 05 02 08 03.

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

*Legal basis*

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).

Council Regulation (EU) No 1370/2013 of 16 December 2013 determining measures on fixing certain aids and refunds related to the common organisation of the markets in agricultural products (OJ L 346, 20.12.2013, p. 12).

**05 02 01      Cereals**

05 02 01 01      Export refunds for cereals

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	2 458 299,88

*Remarks*

This appropriation is intended to cover export refunds for cereals granted in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Articles 13 and 14 of Regulation (EU) No 1370/2013.



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05 02 01 (*cont'd*)

05 02 01 02 Intervention storage of cereals

Figures (*Non-differentiated appropriations*)

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover technical, financial and other costs, in particular the financial depreciation of stocks, resulting from the buying-in of cereals for public storage in accordance with Articles 8, 9, 11 to 16, 19 and 20 of Regulation (EU) No 1308/2013 and with Articles 2 and 3 of Regulation (EU) No 1370/2013.

05 02 01 99 Other measures (cereals)

Figures (*Non-differentiated appropriations*)

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

Remarks

This appropriation is intended to cover outstanding balances as well as other expenditure related to intervention schemes for cereals under Regulations (EC) No 1234/2007, (EU) No 1308/2013 and (EU) No 1370/2013 not covered by the appropriations for the other items under Article 05 02 01.

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219, 220(1)(b) and 221 of Regulation (EU) No 1308/2013.

05 02 02 **Rice**

05 02 02 01 Export refunds for rice

Figures (*Non-differentiated appropriations*)

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	6 484,18

Remarks

This appropriation is intended to cover export refunds for rice granted in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Articles 13 and 14 of Regulation (EU) No 1370/2013.

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## 05 02 02 02 Intervention storage of rice

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover technical, financial and other costs, in particular the financial depreciation of stocks, resulting from the buying-in of rice for public storage in accordance with Articles 8, 9, 11 to 16 and 19 to 20 of Regulation (EU) No 1308/2013 and with Articles 2 and 3 of Regulation (EU) No 1370/2013.

## 05 02 02 99 Other measures (rice)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure under other intervention schemes for rice under Regulations (EC) No 1234/2007, (EU) No 1308/2013 and (EU) No 1370/2013 not covered by the appropriations for the other items under Article 05 02 02.

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219, 220(1)(b) and 221 of Regulation (EU) No 1308/2013.

**05 02 03 Refunds on non-Annex I products***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	100 000	128 875,26

*Remarks*

This appropriation is intended to cover refunds on cereals exported in the form of certain spirits drinks, in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013, as well as refunds on goods resulting from the processing of cereals and rice, sugar and isoglucose, skimmed milk, butter and eggs, in accordance with Regulation (EU) No 510/2014.

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 03** (*cont'd*)*Legal basis*

Regulation (EU) No 510/2014 of the European Parliament and of the Council of 16 April 2014 laying down the trade arrangements applicable to certain goods resulting from the processing of agricultural products and repealing Council Regulations (EC) No 1216/2009 and (EC) No 614/2009 (OJ L 150, 20.5.2014, p. 1).

**05 02 04** **Food programmes**

## 05 02 04 99 Other measures (food programmes)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	– 7 238 759,71

*Remarks*

This appropriation is intended to cover any outstanding balances resulting from the application of Article 27 of Regulation (EC) No 1234/2007 in respect of the supply of food from intervention stocks and products mobilised on the Union market to designated organisations for distribution to the most deprived persons in the Union.

**05 02 05** **Sugar**

## 05 02 05 01 Export refunds for sugar and isoglucose

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	264 399,37

*Remarks*

This appropriation is intended to cover export refunds for sugar and isoglucose granted in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013, as well as outstanding expenditure granted in accordance with Article 27 of Regulation (EC) No 1260/2001, including those relating to certain sugars incorporated into processed fruit and vegetables, in accordance with Articles 16 and 18 of Regulation (EC) No 2201/96.

*Legal basis*

Council Regulation (EC) No 2201/96 of 28 October 1996 on the common organisation of the markets in processed fruit and vegetable products (OJ L 297, 21.11.1996, p. 29).

Council Regulation (EC) No 1260/2001 of 19 June 2001 on the common organisation of the markets in the sugar sector (OJ L 178, 30.6.2001, p. 1).

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CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (*cont'd*)05 02 05 (*cont'd*)

## 05 02 05 03 Production refunds for sugar used in the chemical industry

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	194 999,22

*Remarks*

This appropriation is intended to cover outstanding expenditure on production refunds for industrial sugar in accordance with Article 129 of Regulation (EU) No 1308/2013 and Article 8 of Regulation (EU) No 1370/2013 as well as outstanding expenditure for refunds for use in the chemical industry in accordance with Article 97 of Regulation (EC) No 1234/2007.

## 05 02 05 08 Private storage of sugar

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover aid for the private storage of sugar in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

## 05 02 05 99 Other measures (sugar)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	– 2 064,15

*Remarks*

This appropriation is intended to cover any other expenditure for sugar under Regulations (EC) No 1234/2007, (EU) No 1308/2013 and (EU) No 1370/2013, as well as any other outstanding balances, including corrections related to it, from the application of Regulations (EC) No 1260/2001, (EC) No 318/2006 and (EC) No 320/2006, not covered by the appropriations for the other items under Article 05 02 05. These outstanding balances include, in particular, possible outstanding expenditure for measures to aid the disposal of raw sugar produced in the French Overseas Departments, in accordance with the first subparagraph of Article 7(4) of Regulation (EC) No 1260/2001 and for the adjustment aid for the refinery industry, in accordance with the second subparagraph of Article 7(4), Article 33(2) and Article 38 of Regulation (EC) No 1260/2001. It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219, 220(1)(b) and 221 of Regulation (EU) No 1308/2013.

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 05** (*cont'd*)05 02 05 99 (*cont'd*)*Legal basis*

Council Regulation (EC) No 1260/2001 of 19 June 2001 on the common organisation of the markets in the sugar sector (OJ L 178, 30.6.2001, p. 1).

Council Regulation (EC) No 318/2006 of 20 February 2006 on the common organisation of the markets in the sugar sector (OJ L 58, 28.2.2006, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

**05 02 06** **Olive oil**

## 05 02 06 03 Private storage of olive oil

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	– 49 750,—

*Remarks*

This appropriation is intended to cover aid for the private storage of olive oil in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

## 05 02 06 05 Quality improvement measures

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
45 000 000	46 000 000	42 965 160,37

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This appropriation is intended to cover expenditure for aid to operator organisations incurred in accordance with Articles 29 to 31 of Regulation (EU) No 1308/2013.

## 05 02 06 99 Other measures (olive oil)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
300 000	600 000	115 269,—

*Remarks*

This appropriation is intended to cover any other expenditure for olive oil under Regulation (EU) No 1308/2013, as well as any other outstanding balances from the application of Regulation No 136/66/EEC, Regulations (EC) No 865/2004 and (EC) No 1234/2007, not covered by the appropriations for the other items under Article 05 02 06. It includes in particular expenditure on exceptional measures under Articles 219, 220(1)(b) and 221 of Regulation (EU) No 1308/2013.

**05 02 07** **Textile plants**

## 05 02 07 02 Private storage of flax fibre

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover aid for the private storage of flax fibre in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

## 05 02 07 03 Cotton — National restructuring programmes

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
6 100 000	6 100 000	6 134 000,—

*Remarks*

This appropriation is intended to cover expenditure in accordance with Article 66(2) of Regulation (EU) No 1307/2013.

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 07** (*cont'd*)05 02 07 03 (*cont'd*)*Legal basis*

Regulation (EU) No 1307/2013 of the European Parliament and of the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 (OJ L 347, 20.12.2013, p. 608).

05 02 07 99 Other measures (textile plants)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	100 000	139 976,29

*Remarks*

This appropriation is intended to cover any outstanding expenditure on aid for cotton which is not carded or combed in accordance with Regulation (EC) No 1051/2001 and any other expenditure for textile plants, including outstanding payments on processing aid for flax fibre and hemp fibre, under Regulations (EC) No 1234/2007 and (EU) No 1308/2013 not covered by the appropriations for the other items under Article 05 02 07.

It includes in particular expenditure on exceptional measures under Articles 219, 220(1)(b) and 221 of Regulation (EU) No 1308/2013.

*Legal basis*

Council Regulation (EC) No 1050/2001 of 22 May 2001 adjusting, for the sixth time, the system of aid for cotton introduced by Protocol 4 annexed to the Act of Accession of Greece (OJ L 148, 1.6.2001, p. 1).

Council Regulation (EC) No 1051/2001 of 22 May 2001 on production aid for cotton (OJ L 148, 1.6.2001, p. 3).

**05 02 08** ***Fruit and vegetables***

05 02 08 03 Operational funds for producer organisations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
298 000 000	541 500 000	724 444 659,89

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This appropriation is intended to cover the Union's part-financing of expenditure connected with operational funds for producer organisations, in accordance with Articles 32 to 38 and 152 to 160 of Regulation (EU) No 1308/2013.

05 02 08 11 Aid to producer groups for preliminary recognition

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
86 000 000	150 000 000	212 307 497,24

*Remarks*

This appropriation is intended to cover expenditure related to aid for producer groups granted preliminary recognition in accordance with Subsection I of Section IVa of Chapter IV of Title I of Part II of Regulation (EC) No 1234/2007.

05 02 08 12 School fruit scheme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
150 000 000	144 000 000	73 702 631,59

*Remarks*

This appropriation is intended to cover expenditure related to the Union contribution to the school fruit scheme in accordance with Articles 22 to 25 of Regulation (EU) No 1308/2013 and Article 5 of Regulation (EU) No 1370/2013, giving preference to products of local and/or national origin.

05 02 08 99 Other measures (fruit and vegetables)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
77 800 000	700 000	72 957,42

*Remarks*

This appropriation is intended to cover any other expenditure for fruit and vegetables under Regulations (EU) No 1308/2013 and (EU) No 1370/2013, as well as any outstanding expenditure for measures under Regulations (EC) No 399/94, (EC) No 2200/96, (EC) No 2201/96, (EC) No 2202/96, (EC) No 1782/2003 and (EC) No 1234/2007, not covered by the appropriations for the other items under Article 05 02 08.



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05 02 08 (*cont'd*)

05 02 08 99 (*cont'd*)

It includes in particular expenditure on exceptional measures under Articles 219, 220(1)(b) and 221 of Regulation (EU) No 1308/2013.

05 02 09 **Products of the wine-growing sector**

05 02 09 08 National support programmes for the wine sector

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 075 000 000	1 094 000 000	1 019 483 384,85

*Remarks*

This appropriation is intended to cover expenditure on support programmes for the wine sector in accordance with Articles 39 to 54 of Regulation (EU) No 1308/2013.

05 02 09 99 Other measures (wine-growing sector)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 000 000	1 000 000	2 906 936,16

*Remarks*

This appropriation is intended to cover any outstanding balances from application of Regulations (EEC) No 822/87, (EC) No 1493/1999, (EC) No 479/2008, (EC) No 1234/2007 and (EU) No 1308/2013, not covered by the appropriations for the other items under Article 05 02 09.

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219, 220(1)(b) and 221 of Regulation (EU) No 1308/2013.

*Legal basis*

Council Regulation (EEC) No 822/87 of 16 March 1987 on the common organisation of the market in wine (OJ L 84, 27.3.1987, p. 1).

Council Regulation (EC) No 1493/1999 of 17 May 1999 on the common organisation of the market in wine (OJ L 179, 14.7.1999, p. 1).

Council Regulation (EC) No 479/2008 of 29 April 2008 on the common organisation of the market in wine (OJ L 148, 6.6.2008, p. 1).

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Council Regulation (EC) No 3/2008 of 17 December 2007 on information provision and promotion measures for agricultural products on the internal market and in third countries (OJ L 3, 5.1.2008, p. 1).

Regulation (EU) No 1144/2014 of the European Parliament and of the Council of 22 October 2014 on information provision and promotion measures concerning agricultural products implemented in the internal market and in third countries and repealing Council Regulation (EC) No 3/2008 (OJ L 317, 4.11.2014, p. 56).

## 05 02 10 01 Promotion measures — Payments by Member States

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
77 000 000	64 000 000	53 195 490,42

*Remarks*

This appropriation is intended to co-finance promotion programmes implemented by the Member States in respect of agricultural products, their method of production and food products in accordance with the provisions of Regulations (EC) No 3/2008 and (EU) No 1144/2014.

## 05 02 10 02 Promotion measures — Direct payments by the Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
16 000 000	4 337 221	1 189 000	1 252 166	1 495 798,32	993 725,30

*Remarks*

This appropriation is intended to finance promotion actions directly managed by the Commission as well as the technical assistance, such as preparatory work, monitoring, evaluation, supervision and management measures, required for the implementation of the promotion programmes in accordance with the provisions of Regulations (EC) No 3/2008 and (EU) No 1144/2014.

## 05 02 10 99 Other measures (promotion)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	38 462,13

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 10** (*cont'd*)05 02 10 99 (*cont'd*)*Remarks*

This appropriation is intended to finance other measures in accordance with Regulations (EC) No 3/2008 and (EU) No 1144/2014, not financed by the appropriations for the other items under Article 05 02 10.

**05 02 11** ***Other plant products/measures***

05 02 11 03 Hops — Aid to producer organisations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 300 000	2 300 000	2 277 000,—

*Remarks*

This appropriation is intended to cover the aid to producer organisations in the hops sector in accordance with Articles 58 to 60 of Regulation (EU) No 1308/2013.

05 02 11 04 POSEI (excluding direct payments)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
237 000 000	231 000 000	238 477 941,40

*Remarks*

This appropriation is intended to cover expenditure incurred in accordance with Regulation (EU) No 228/2013 and Regulation (EU) No 229/2013 as well as any outstanding expenditure arising from the implementation of the POSEI and Aegean islands legislation under Regulations (EC) No 247/2006 and (EC) No 1405/2006.

*Legal basis*

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Council Regulation (EC) No 1405/2006 of 18 September 2006 laying down specific measures for agriculture in favour of the smaller Aegean islands (OJ L 265, 26.9.2006, p. 1).

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 11** (*cont'd*)05 02 11 04 (*cont'd*)

Regulation (EU) No 228 /2013 of the European Parliament and of the Council of 13 March 2013 laying down specific measures for agriculture in the outermost regions of the Union and repealing Council Regulation (EC) No 247/2006 (OJ L 78, 20.3.2013, p. 23).

Regulation (EU) No 229/2013 of the European Parliament and of the Council of 13 March 2013 laying down specific measures for agriculture in favour of the smaller Aegean islands and repealing Council Regulation (EC) No 1405/2006 (OJ L 78, 20.3.2013, p. 41).

## 05 02 11 99 Other measures (other plant products/measures)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	100 000	0,—

*Remarks*

This appropriation is intended to cover any other outstanding balances as well as other expenditure for other plant products/measures under Regulations (EEC) No 2075/92, (EC) 1786/2003, (EC) No 1234/2007 and (EU) No 1308/2013 not covered by the appropriations for the other items under Article 05 02 11.

It includes in particular expenditure on exceptional measures under Articles 219, 220(1)(b) and 221 of Regulation (EU) No 1308/2013.

*Legal basis*

Council Regulation (EEC) No 2075/92 of 30 June 1992 on the common organisation of the market in raw tobacco (OJ L 215, 30.7.1992, p. 70).

Council Regulation (EC) No 1786/2003 of 29 September 2003 on the common organisation of the market in dried fodder (OJ L 270, 21.10.2003, p. 114).

**05 02 12** **Milk and milk products**

## 05 02 12 01 Refunds for milk and milk products

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	16 963,36

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 12** (*cont'd*)05 02 12 01 (*cont'd*)*Remarks*

This appropriation is intended to cover export refunds for milk and milk products in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

## 05 02 12 02 Storage measures for skimmed-milk powder

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
17 000 000	p.m.	0,—

*Remarks*

This appropriation is intended to cover technical, financial and other costs, in particular the financial depreciation of stocks, resulting from public buying-in into storage of skimmed-milk powder in accordance with Articles 8, 9, 11 to 16, 19 and 20 of Regulation (EU) No 1308/2013 and with Articles 2 and 3 of Regulation (EU) No 1370/2013.

This appropriation is also intended to cover private storage aid for skimmed-milk powder in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

## 05 02 12 04 Storage measures for butter and cream

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
15 000 000	p.m.	4 142 775,56

*Remarks*

This appropriation is intended to cover expenditure on private storage aid for butter and cream granted in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

It is also intended to cover technical, financial and other costs, in particular the financial depreciation of stocks, resulting from the buying-in into public storage of butter and cream in accordance with Articles 8, 9, 11 to 16, 19 and 20 of Regulation (EU) No 1308/2013 and with Article 2 and 3 of Regulation (EU) No 1370/2013.

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (*cont'd*)05 02 12 (*cont'd*)

## 05 02 12 06 Private storage of certain cheeses

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

This appropriation is intended to cover expenditure on private storage aid for certain cheeses granted in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

## 05 02 12 08 School milk

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
75 000 000	77 000 000	67 626 501,91

*Remarks*

This appropriation is intended to cover expenditure on Union aid granted for supplying to pupils in educational establishments certain milk products in accordance with Articles 26 to 28 of Regulation (EU) No 1308/2013 and with Article 6 of Regulation (EU) No 1370/2013, giving preference to products of local and/or national origin.

## 05 02 12 09 Dairy products distribution as urgent response to humanitarian crises

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 000 000	30 000 000				

*Remarks**New item*

As part of the emergency measures aiming to restore market balance in the EU dairy sector, this appropriation is intended to cover expenditure related to the distribution of dairy products of EU origin under the EU humanitarian aid assistance to third countries in accordance with Council Regulation (EC) No 1257/96, in particular Articles 1 and 6 thereof.

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 12** (*cont'd*)05 02 12 09 (*cont'd*)*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

## 05 02 12 99 Other measures (milk and milk products)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
430 100 000	100 000	3 134,51

*Remarks*

This appropriation is intended to cover any outstanding expenditure for measures in the milk sector under Regulations (EC) No 2330/98, (EC) No 1234/2007, (EU) No 1233/2009, as well as other expenditure for the sector under Regulations (EU) No 1308/2013 and (EU) No 1370/2013, not covered by the appropriations for the other items under Article 05 02 12.

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219 to 221 of Regulation (EU) No 1308/2013.

*Legal basis*

Council Regulation (EC) No 2330/98 of 22 October 1998 providing for an offer of compensation to certain producers of milk and milk products temporarily restricted in carrying out their trade (OJ L 291, 30.10.1998, p. 4).

**05 02 13** **Beef and veal**

## 05 02 13 01 Refunds for beef and veal

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	100 000	169 451,37

*Remarks*

This appropriation is intended to cover export refunds for beef and veal in accordance with Articles 196 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (*cont'd*)05 02 13 (*cont'd*)

## 05 02 13 02 Storage measures for beef and veal

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover aid for the private storage of beef and veal in accordance with Articles 8 to 10 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

It is also intended to cover technical, financial and other costs, in particular the financial depreciation of stocks, resulting from the buying-in into public storage of beef and veal in accordance with Articles 8 to 16, 19 and 20 of Regulation (EU) No 1308/2013 and with Articles 2 and 3 of Regulation (EU) No 1370/2013.

## 05 02 13 04 Refunds for live animals

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	274 727,61

*Remarks*

This appropriation is intended to cover export refunds for live animals in accordance with Articles 196 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

## 05 02 13 99 Other measures (beef and veal)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	– 35,86

*Remarks*

This appropriation is intended to cover any other expenditure for measures in the beef and veal sector under Regulations (EU) No 1308/2013 and (EU) No 1370/2013, as well as any other outstanding balances from the application of Regulations (EC) No 1254/1999 and (EC) 1234/2007, not covered by the appropriations for the other items under Article 05 02 13.

It is also intended to cover any outstanding payments resulting from the application of Commission Regulation (EC) No 716/96 of 19 April 1996 adopting exceptional support measures for the beef market in the United Kingdom (OJ L 99, 20.4.1996, p. 14).



**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 13** (*cont'd*)05 02 13 99 (*cont'd*)

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219 to 221 of Regulation (EU) No 1308/2013.

*Legal basis*

Council Regulation (EC) No 1254/1999 of 17 May 1999 on the common organisation of the market in beef and veal (OJ L 263, 18.10.2000, p. 34).

**05 02 14** ***Sheepmeat and goatmeat***

## 05 02 14 01 Private storage of sheepmeat and goatmeat

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure on the private storage of sheepmeat and goatmeat in accordance with Articles 8 to 10 and 17 to 21 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

## 05 02 14 99 Other measures (sheepmeat and goatmeat)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover any other expenditure for measures in the sheepmeat and goatmeat sector under Regulations (EU) No 1308/2013 and (EU) No 1370/2013, as well as any other outstanding balances from the application of Regulations (EC) No 2529/2001 and (EC) No 1234/2007, not covered by the appropriations for the other items under Article 05 02 14.

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219 to 221 of Regulation (EU) No 1308/2013.

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS (*cont'd*)**05 02 15 Pigmeat, eggs and poultry, bee-keeping and other animal products**

## 05 02 15 01 Refunds for pigmeat

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	214 519,63

*Remarks*

This appropriation is intended to cover export refunds for pigmeat in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

## 05 02 15 02 Private storage of pigmeat

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
32 000 000	p.m.	0,—

*Remarks*

This appropriation is intended to cover costs related to the private storage of pigmeat in accordance with Articles 8, 9 and 17 to 20 of Regulation (EU) No 1308/2013 and with Article 4 of Regulation (EU) No 1370/2013.

## 05 02 15 04 Refunds for eggs

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	18 837,57

*Remarks*

This appropriation is intended to cover expenditure on export refunds for eggs in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

## 05 02 15 05 Refunds for poultrymeat

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	1 000 000	924 088,13

**CHAPTER 05 02 — IMPROVING THE COMPETITIVENESS OF THE AGRICULTURAL SECTOR THROUGH INTERVENTIONS IN AGRICULTURAL MARKETS** (*cont'd*)**05 02 15** (*cont'd*)05 02 15 05 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on export refunds for poultrymeat in accordance with Articles 196 to 199 and Articles 201 to 204 of Regulation (EU) No 1308/2013 and with Article 13 of Regulation (EU) No 1370/2013.

05 02 15 06 Specific aid for bee-keeping

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
32 000 000	31 000 000	30 948 596,79

*Remarks*

This appropriation is intended to cover expenditure under national programmes for the bee-keeping sector, in accordance with Articles 55 to 57 of Regulation (EU) No 1308/2013.

05 02 15 99 Other measures (pigmeat, poultry, eggs, bee-keeping, other animal products)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	8 800 000	815 639,50

*Remarks*

This appropriation is intended to cover any other expenditure for measures in the pigmeat, poultry, eggs, bee-keeping and other animal products sectors under Regulations (EU) No 1308/2013 and (EU) No 1370/2013, as well as any other outstanding balances from the application of Regulation (EC) No 1234/2007 not covered by the appropriations for the other items under Article 05 02 15.

It includes in particular expenditure on exceptional measures carried out in accordance with Articles 219 to 221 of Regulation (EU) No 1308/2013.

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
05 03	DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES					
<b>05 03 01</b>	<b><i>Decoupled direct payments</i></b>					
05 03 01 01	Single payment scheme (SPS)	2	61 000 000	28 342 000 000	30 834 240 250,22	50 547,93
05 03 01 02	Single area payment scheme (SAPS)	2	4 237 000 000	7 806 000 000	7 366 436 539,76	173,86
05 03 01 03	Separate sugar payment	2	1 000 000	278 000 000	274 493 125,89	27 449,31
05 03 01 04	Separate fruit and vegetables payment	2	100 000	12 000 000	11 941 769,01	11 941,77
05 03 01 05	Specific support (Article 68 of Regulation (EC) No 73/2009) — Decoupled direct payments	2	1 000 000	507 000 000	457 415 813,45	45 741,58
05 03 01 06	Separate soft fruit payment	2	100 000	12 000 000	11 370 747,27	11 370,75
05 03 01 07	Redistributive payment	2	1 251 000 000	440 000 000		
05 03 01 10	Basic payment scheme (BPS)	2	15 927 000 000			
05 03 01 11	Payment for agricultural practices beneficial for the climate and the environment	2	12 239 000 000			
05 03 01 12	Payment for farmers in areas with natural constraints	2	3 000 000			
05 03 01 13	Payment for young farmers	2	549 000 000			
05 03 01 99	Other (decoupled direct payments)	2	p.m.	p.m.	– 3 842 836,10	
	<i>Article 05 03 01 — Subtotal</i>		34 269 200 000	37 397 000 000	38 952 055 409,50	113,66
<b>05 03 02</b>	<b><i>Other direct payments</i></b>					
05 03 02 06	Suckler-cow premium	2	1 500 000	884 000 000	899 017 357,07	59 934,49
05 03 02 07	Additional suckler-cow premium	2	100 000	49 000 000	47 369 012,96	47 369,01
05 03 02 13	Sheep and goat premium	2	500 000	22 000 000	21 866 696,36	4 373,34
05 03 02 14	Sheep and goat supplementary premium	2	100 000	7 000 000	6 784 232,34	6 784,23
05 03 02 28	Aid for silkworms	2	500 000	500 000	397 885,54	79,58
05 03 02 40	Crop-specific payment for cotton	2	247 000 000	239 000 000	231 804 961,36	93,85
05 03 02 44	Specific support (Article 68 of Regulation (EC) No 73/2009) — Coupled direct payments	2	3 000 000	1 430 000 000	1 062 362 818,28	35 412,09

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>05 03 02</b>	( <i>cont'd</i> )					
05 03 02 50	POSEI — European Union support programmes	2	416 000 000	421 000 000	409 731 714,10	98,49
05 03 02 52	POSEI — Smaller Aegean islands	2	17 000 000	18 000 000	16 316 285,75	95,98
05 03 02 60	Voluntary coupled support scheme	2	4 046 000 000			
05 03 02 61	Small farmers scheme	2	p.m.			
05 03 02 99	Other (direct payments)	2	3 108 157	7 897 789	11 940 117,58	384,15
	<i>Article 05 03 02 — Subtotal</i>		4 734 808 157	3 078 397 789	2 707 591 081,34	57,18
<b>05 03 03</b>	<b>Additional amounts of aid</b>	2	100 000	200 000	32 942,76	32,94
<b>05 03 09</b>	<b>Reimbursement of direct payments to farmers from appropriations carried-over in relation to financial discipline</b>	2	p.m.	p.m.	0,—	
<b>05 03 10</b>	<b>Reserve for crises in the agricultural sector</b>	2	441 600 000	433 000 000	0,—	0
	<b>Chapter 05 03 — Total</b>		<b>39 445 708 157</b>	<b>40 908 597 789</b>	<b>41 659 679 433,60</b>	<b>105,61</b>

*Remarks*

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this chapter in accordance with Article 21 and Article 174 of the Financial Regulation.

When establishing the budgetary needs for this chapter, an amount of EUR 2 380 000 000 originating from Items 6 7 0 1, 6 7 0 2 and 6 7 0 3 of the general statement of revenue was taken into account in establishing the budgetary needs concerning Article 05 03 01, and in particular for Item 05 03 01 10.

The following legal basis applies to all Articles and Items of this chapter unless otherwise stated.

*Legal basis*

Council Regulation (EC) No 1782/2003 of 29 September 2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers and amending Regulations (EEC) No 2019/93, (EC) No 1452/2001, (EC) No 1453/2001, (EC) No 1454/2001, (EC) 1868/94, (EC) No 1251/1999, (EC) No 1254/1999, (EC) No 1673/2000, (EEC) No 2358/71 and (EC) No 2529/2001 (OJ L 270, 21.10.2003, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers, amending Regulations (EC) No 1290/2005, (EC) No 247/2006, (EC) No 378/2007 and repealing Regulation (EC) No 1782/2003 (OJ L 30, 31.1.2009, p. 16).

Regulation (EU) No 671/2012 of the European Parliament and of the Council of 11 July 2012 amending Council Regulation (EC) No 73/2009 as regards the application of direct payments to farmers in respect of the year 2013 (OJ L 204, 31.7.2012, p. 11).

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**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 1307/2013 of the European Parliament and of the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 (OJ L 347, 20.12.2013, p. 608).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

**05 03 01      Decoupled direct payments**

## 05 03 01 01      Single payment scheme (SPS)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
61 000 000	28 342 000 000	30 834 240 250,22

*Remarks*

This appropriation is intended to cover outstanding expenditure under the single payment scheme in accordance with Title III of Regulation (EC) No 73/2009 and Title III of Regulation (EC) No 1782/2003.

## 05 03 01 02      Single area payment scheme (SAPS)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 237 000 000	7 806 000 000	7 366 436 539,76

*Remarks*

This appropriation is intended to cover expenditure under the single area payment scheme in accordance with Section 4 of Chapter 1 of Title III of Regulation (EU) No 1307/2013 as well as any outstanding expenditure under the single area payment scheme in accordance with Title V of Regulation (EC) No 73/2009, Title IVa of Regulation (EC) No 1782/2003 and the Acts of Accession of 2003 and 2005.

**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)**05 03 01** (*cont'd*)05 03 01 02 (*cont'd*)*Legal basis*

2003 Act of Accession of the Czech Republic, the Republic of Estonia, the Republic of Cyprus, the Republic of Latvia, the Republic of Lithuania, the Republic of Hungary, the Republic of Malta, the Republic of Poland, the Republic of Slovenia and the Slovak Republic, and in particular Annex II thereto 'List referred to in Article 20 of the Act of Accession' point 6A(26), as adapted by Council Decision 2004/281/EC (OJ L 93, 30.3.2004, p. 1).

2005 Act of Accession of the Republic of Bulgaria and Romania, and in particular Annex III thereto 'List referred to in Article 19 of the Act of Accession'.

05 03 01 03 Separate sugar payment

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 000 000	278 000 000	274 493 125,89

*Remarks*

This appropriation is intended to cover any outstanding expenditure under the separate sugar payment for the Member States which applied the single area payment scheme in accordance with Title V of Regulation (EC) No 73/2009 and Title IVa of Regulation (EC) No 1782/2003.

05 03 01 04 Separate fruit and vegetables payment

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	12 000 000	11 941 769,01

*Remarks*

This appropriation is intended to cover any outstanding expenditure under the separate fruit and vegetables payment for the Member States which applied the single area payment scheme in accordance with Title V of Regulation (EC) No 73/2009 and Title IVa of Regulation (EC) No 1782/2003.

05 03 01 05 Specific support (Article 68 of Regulation (EC) No 73/2009) — Decoupled direct payments

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 000 000	507 000 000	457 415 813,45

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES (*cont'd*)05 03 01 (*cont'd*)05 03 01 05 (*cont'd*)

## Remarks

This appropriation is intended to cover any outstanding expenditure on decoupled specific support provided for in Article 68 of Regulation (EC) No 73/2009, and in particular those referred to in point (v) of paragraph 1(a) and in paragraphs 1(c) and (d).

05 03 01 06 Separate soft fruit payment

Figures (*Non-differentiated appropriations*)

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	12 000 000	11 370 747,27

## Remarks

This appropriation is intended to cover any outstanding expenditure under the separate soft fruit payment provided for in Article 129 of Regulation (EC) No 73/2009 in Member States which applied the single area payment scheme in accordance with Title V of that Regulation.

05 03 01 07 Redistributive payment

Figures (*Non-differentiated appropriations*)

Appropriations 2016	Appropriations 2015	Outturn 2014
1 251 000 000	440 000 000	

## Remarks

This appropriation is intended to cover expenditure under the redistributive payment provided for in Chapter 2 of Title III of Regulation (EU) No 1307/2013 as well as any outstanding expenditure under the redistributive payment provided for in Articles 72a and 125a of Regulation (EC) No 73/2009 in calendar year 2014.

05 03 01 10 Basic payment scheme (BPS)

Figures (*Non-differentiated appropriations*)

Appropriations 2016	Appropriations 2015	Outturn 2014
15 927 000 000		

## Remarks

New item



**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)**05 03 01** (*cont'd*)05 03 01 10 (*cont'd*)

This appropriation is intended to cover expenditure under the basic payment scheme in accordance with Chapter 1 of Title III of Regulation (EU) No 1307/2013. Appropriations will not be used to support breeding or rearing of bulls for lethal bull fighting activities <sup>(1)</sup>.

## 05 03 01 11 Payment for agricultural practices beneficial for the climate and the environment

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
12 239 000 000		

*Remarks*

*New item*

This appropriation is intended to cover expenditure under the payment for agricultural practices beneficial for the climate and the environment in accordance with Chapter 3 of Title III of Regulation (EU) No 1307/2013.

## 05 03 01 12 Payment for farmers in areas with natural constraints

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 000 000		

*Remarks*

*New item*

This appropriation is intended to cover expenditure under the payment for areas with natural constraints in accordance with Chapter 4 of Title III of Regulation (EU) No 1307/2013.

## 05 03 01 13 Payment for young farmers

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
549 000 000		

<sup>(1)</sup> In respect of the last sentence, the Commission's executability letter indicated the following: 'Budgetary remarks should be fully in line with the provisions of the adopted legal bases and the Financial Regulation. This amendment modifies the legal provisions of the CAP, in particular Regulation (EU) No 1307/2013 of the European Parliament and of the Council, and is therefore not executable'.

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**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)**05 03 01** (*cont'd*)05 03 01 13 (*cont'd*)*Remarks**New item*

This appropriation is intended to cover expenditure under the payment for young farmers in accordance with Chapter 5 of Title III of Regulation (EU) No 1307/2013.

05 03 01 99 Other (decoupled direct payments)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	– 3 842 836,10

*Remarks*

This appropriation is intended to cover expenditure for other decoupled direct payments not covered by the appropriations for the other items under Article 05 03 01 and to cover corrections arising from non-compliance with the ceilings as laid down by Articles 8 and 40 of Regulation (EC) No 73/2009 as well as by Articles 6 and 7 of Regulation (EU) No 1307/2013 which are not attributable to a specific budget line under Article 05 03 01.

**05 03 02** **Other direct payments**

05 03 02 06 Suckler-cow premium

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 500 000	884 000 000	899 017 357,07

*Remarks*

This appropriation is intended to cover any outstanding expenditure for the suckler-cow premiums in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 12 of Title IV of Regulation (EC) No 1782/2003.

**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)**05 03 02** (*cont'd*)

## 05 03 02 07 Additional suckler-cow premium

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	49 000 000	47 369 012,96

*Remarks*

This appropriation is intended to cover any outstanding expenditure for the additional national suckler-cow premium in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 12 of Title IV of Regulation (EC) No 1782/2003.

## 05 03 02 13 Sheep and goat premium

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
500 000	22 000 000	21 866 696,36

*Remarks*

This appropriation is intended to cover any outstanding expenditure for the headage payments in accordance with Section 10 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 11 of Title IV of Regulation (EC) No 1782/2003.

## 05 03 02 14 Sheep and goat supplementary premium

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	7 000 000	6 784 232,34

*Remarks*

This appropriation is intended to cover any outstanding expenditure resulting from the grant of specific aid per ewe or she-goat for producers of sheepmeat or goatmeat in less favoured or mountain areas in accordance with Section 10 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 11 of Title IV of Regulation (EC) No 1782/2003.

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CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES (*cont'd*)05 03 02 (*cont'd*)

## 05 03 02 28 Aid for silkworms

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
500 000	500 000	397 885,54

*Remarks*

This appropriation is intended to cover any outstanding expenditure for the aid for silkworms granted in accordance with Article 111 of Regulation (EC) No 1234/2007.

*Legal basis*

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

## 05 03 02 40 Crop-specific payment for cotton

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
247 000 000	239 000 000	231 804 961,36

*Remarks*

This appropriation is intended to cover expenditure for the crop-specific payment for cotton provided for in Chapter 2 of Title IV of Regulation (EU) No 1307/2013 as well as any outstanding expenditure for the crop-specific payment for cotton in accordance with Section 6 of Chapter 1 of Title IV of Regulation (EC) No 73/2009 and Chapter 10a of Title IV of Regulation (EC) No 1782/2003.

## 05 03 02 44 Specific support (Article 68 of Regulation (EC) No 73/2009) — Coupled direct payments

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 000 000	1 430 000 000	1 062 362 818,28

*Remarks*

This appropriation is intended to cover any outstanding expenditure for the coupled specific support provided for in Article 68 of Regulation (EC) No 73/2009, in particular those referred to in points (i), (ii), (iii) and (iv) of paragraph 1(a) and in paragraphs 1(b) and (e) thereof.

**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)

**05 03 02** (*cont'd*)

05 03 02 50 POSEI — European Union support programmes

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
416 000 000	421 000 000	409 731 714,10

*Remarks*

This appropriation is intended to cover direct payments related to programmes containing specific measures to assist local agricultural production in the outermost regions of the Union in accordance with Regulation (EU) No 228/2013 and any outstanding expenditure arising from the application of Title III of Regulation (EC) No 247/2006.

*Legal basis*

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Regulation (EU) No 228/2013 of the European Parliament and of the Council of 13 March 2013 laying down specific measures for agriculture in the outermost regions of the Union and repealing Council Regulation (EC) No 247/2006 (OJ L 78, 20.3.2013, p. 23).

05 03 02 52 POSEI — Smaller Aegean islands

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
17 000 000	18 000 000	16 316 285,75

*Remarks*

This appropriation is intended to cover other expenditure for direct aid arising from the implementation of Regulation (EU) No 229/2013 and any outstanding expenditure arising from the application of Regulations (EEC) No 2019/93 and (EC) No 1405/2006.

*Legal basis*

Council Regulation (EEC) No 2019/93 of 19 July 1993 introducing specific measures for the smaller Aegean islands concerning certain agricultural products (OJ L 184, 27.7.1993, p. 1).

Council Regulation (EC) No 1405/2006 of 18 September 2006 laying down specific measures for agriculture in favour of the smaller Aegean islands and amending Regulation (EC) No 1782/2003 (OJ L 265, 26.9.2006, p. 1).

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**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)**05 03 02** (*cont'd*)05 03 02 52 (*cont'd*)

Regulation (EU) No 229/2013 of the European Parliament and of the Council of 13 March 2013 laying down specific measures for agriculture in favour of the smaller Aegean islands and repealing Council Regulation (EC) No 1405/2006 (OJ L 78, 20.3.2013, p. 41).

05 03 02 60 Voluntary coupled support scheme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 046 000 000		

*Remarks**New item*

This appropriation is intended to cover expenditure for the voluntary coupled support provided for in Chapter 1 of Title IV of Regulation (EU) No 1307/2013.

05 03 02 61 Small farmers scheme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.		

*Remarks**New item*

This appropriation is intended to cover expenditure under the small farmers scheme in accordance with Title V of Regulation (EU) No 1307/2013.

05 03 02 99 Other (direct payments)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 108 157	7 897 789	11 940 117,58

*Remarks*

*Former Items 05 03 02 36, 05 03 02 39, 05 03 02 42 and 05 03 02 99*

**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)**05 03 02** (*cont'd*)05 03 02 99 (*cont'd*)

This appropriation is intended to cover expenditure for other direct payments not covered by the appropriations of the other items under Article 05 03 02 and to cover corrections which are not attributable to a specific budget line. It is also intended to cover corrections arising from non-compliance with the ceilings as laid down by Articles 8 and 40 of Regulation (EC) No 73/2009 which are not attributable to a specific budget line under Article 05 03 02. It may also finance outstanding expenditure linked to:

- the supplement to the area payment in accordance with Chapter 8 of Title IV of Regulation (EC) No 1782/2003,
- the per hectare aid to maintain crops of chickpeas, lentils and vetches in accordance with Chapter 13 of Title IV of Regulation (EC) No 1782/2003,
- the transitional regime for dried fodder in accordance with Regulation No 1782/2003,
- the supplement for durum wheat in non-traditional production zones in accordance with Chapter 10 of Title IV of Regulation (EC) No 1782/2003,
- the beef deseasonalisation premium in accordance with Chapter 12 of Title IV of Regulation (EC) No 1782/2003,
- the beef extensification premium in accordance with Chapter 12 of Title IV of Regulation (EC) No 1782/2003,
- the additional payments to beef producers in accordance with Article 133 of Regulation (EC) No 1782/2003,
- the additional payments in the sheep and goat sector in accordance with Chapter 11 of Title IV of Regulation (EC) No 1782/2003,
- the dairy premium to milk producers in accordance with Chapter 7 of Title IV of Regulation (EC) No 1782/2003,
- the additional premiums to milk producers in accordance with Chapter 7 of Title IV of Regulation (EC) No 1782/2003,
- the compensatory aid for bananas in accordance with Article 12 of Regulation (EEC) No 404/93,
- the transitional aid for sugar beet growers in accordance with Chapter 10e of Title IV of Regulation (EC) No 1782/2003,
- the establishment of the agrimonetary arrangements for the euro in accordance with Regulation (EC) No 2799/98,
- the area aid for dried grapes in accordance with Article 7 of Regulation (EC) No 2201/96,
- the area aid to producers of energy crops in accordance with Chapter 5 of Title IV of Regulation (EC) No 1782/2003,

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- the area aid for cereals, oilseeds, protein crops, grass silage and set aside in accordance with Chapter 10 of Title IV of Regulation (EC) No 1782/2003,
- the supplementary aid to the per hectare payments granted for durum wheat producers in traditional production zones in accordance with Chapter 10 of Title IV of Regulation (EC) No 1782/2003,
- the production aid for seeds in accordance with Section 5 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the beef special premiums in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the premium for the slaughter of bovine calves in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the premium for the slaughter of adult bovine animals in accordance with Section 11 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the production aid paid to producers of potatoes intended for the manufacture of potato starch in accordance with Section 2 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the area aid for rice granted in accordance with Section 1 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the aid for olive groves in accordance with Chapter 10b of Title IV of Regulation (EC) No 1782/2003,
- the aid granted to farmers producing raw tobacco in accordance with Chapter 10c of Title IV of Regulation (EC) No 1782/2003,
- the hops area aid granted to producers in accordance with Chapter 10d of Title IV of Regulation (EC) No 1782/2003,
- the specific quality premium for durum wheat in accordance with Chapter 1 of Title IV of Regulation (EC) No 1782/2003,
- the aid to producers of protein crops in accordance with Section 3 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the area aid to producers of nuts in accordance with Section 4 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,



**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)

**05 03 02** (*cont'd*)

05 03 02 99 (*cont'd*)

- the transitional payments to farmers producing tomatoes, in accordance with Articles 54(1) and 128(1) of Regulation (EC) No 73/2009 and the aid related to the transitional soft fruit payment in accordance with Section 9 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the direct aids granted in the outermost regions in accordance with Article 70(1)(b) of Regulation (EC) No 1782/2003 and the direct aids granted in these regions before 2006,
- the payments for specific types of farming and quality production granted in accordance with Article 72(3) of Regulation (EC) No 73/2009,
- the additional amount for sugar beet and cane producers in accordance with Section 7 of Chapter 1 of Title IV of Regulation (EC) No 73/2009,
- the transitional payments to farmers producing one or more of the fruit and vegetables, other than tomatoes, in accordance with Article 54(2) and Article 128(2) of Regulation (EC) No 73/2009.

*Legal basis*

Council Regulation (EEC) No 404/93 of 13 February 1993 on the common organisation of the market in bananas (OJ L 47, 25.2.1993, p. 1).

Council Regulation (EC) No 2201/96 of 28 October 1996 on the common organisation of the markets in processed fruit and vegetable products (OJ L 297, 21.11.1996, p. 29).

Council Regulation (EC) No 2799/98 of 15 December 1998 establishing agrimonetary arrangements for the euro (OJ L 349, 24.12.1998, p. 1).

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

**05 03 03** ***Additional amounts of aid***

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	200 000	32 942,76

*Remarks*

This appropriation is intended to cover the outstanding payments in accordance with Article 12 of Regulation (EC) No 1782/2003.

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**CHAPTER 05 03 — DIRECT PAYMENTS AIMED AT CONTRIBUTING TO FARM INCOMES, LIMITING FARM INCOME VARIABILITY AND MEETING ENVIRONMENT AND CLIMATE OBJECTIVES** (*cont'd*)**05 03 09      *Reimbursement of direct payments to farmers from appropriations carried-over in relation to financial discipline****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This article does not include any fresh appropriations but is intended to accommodate the amounts that may be carried over in accordance with Article 169(3) of the Financial Regulation for reimbursement of the reduction of direct payments due to the application of financial discipline in the previous year. In accordance with Article 26(5) of Regulation (EU) No 1306/2013, Member States shall reimburse the final recipients who are subject, in the financial year to which the appropriations are carried over, to the application of financial discipline in accordance with Article 26(1) to (4) thereof.

**05 03 10      *Reserve for crises in the agricultural sector****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
441 600 000	433 000 000	0,—

*Remarks*

The appropriations of this reserve article are intended to cover expenditure for measures needed to cope with major crises affecting agricultural production or distribution.

The reserve is to be established by applying at the beginning of each year a reduction to direct payments (Chapter 05 03) with the financial discipline mechanisms in accordance with Articles 25 and 26 of Regulation (EU) No 1306/2013 as well as Article 8 of Regulation (EU) No 1307/2013. When the Commission considers the reserve needs to be called on, in accordance with the relevant legislative act, it shall present to the European Parliament and the Council a proposal for a transfer from the reserve to the corresponding budget lines financing the measure deemed necessary. Any Commission proposal for a transfer to draw on the reserve must be preceded by an examination of the scope for reallocating appropriations. By the end of the financial year, any amount of the reserve not made available for crisis measures shall be reimbursed proportionally as direct payments to the budget lines from which the reduction was made. Any reimbursement will be done under Article 05 03 09 from appropriations carried over from the previous financial year.

Transfers calling on the reserve and transfer back from the reserve to direct payments shall be made in accordance with the Financial Regulation.

*Reference acts*

Interinstitutional agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 04 — RURAL DEVELOPMENT

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 04	RURAL DEVELOPMENT								
<b>05 04 01</b>	<b>Completion of rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006</b>								
05 04 01 14	Completion of rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006	2	p.m.	p.m.	p.m.	p.m.	– 1 397 376,72	– 1 397 376,72	
	Article 05 04 01 — Subtotal		p.m.	p.m.	p.m.	p.m.	– 1 397 376,72	– 1 397 376,72	
<b>05 04 02</b>	<b>Rural development financed by the EAGGF Guidance Section — Completion of earlier programmes</b>								
05 04 02 01	Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Objective 1 regions (2000 to 2006)	2	p.m.	p.m.	p.m.	p.m.	0,—	6 556 494,14	
05 04 02 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	2	p.m.	p.m.	p.m.	p.m.	87 488,03	87 488,03	
05 04 02 03	Completion of earlier programmes in Objectives 1 and 6 regions (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 04	Completion of earlier programmes in Objective 5b regions (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 05	Completion of earlier programmes outside Objective 1 regions (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 04 — RURAL DEVELOPMENT (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>05 04 02</b>	(cont'd)								
05 04 02 06	Completion of Leader (2000 to 2006)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 07	Completion of earlier Community initiatives (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 08	Completion of earlier innovative measures (prior to 2000)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 04 02 09	Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Operational technical assistance (2000 to 2006)	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 05 04 02 — Subtotal		p.m.	p.m.	p.m.	p.m.	87 488,03	6 643 982,17	
<b>05 04 03</b>	<b>Completion of other measures</b>								
05 04 03 02	Plant and animal genetic resources — Completion of earlier measures	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 05 04 03 — Subtotal		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>05 04 04</b>	<b>Transitional instrument for the financing of rural development by the EAGGF Guarantee Section for the new Member States — Completion of programmes (2004 to 2006)</b>	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>05 04 05</b>	<b>Completion of rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)</b>								
05 04 05 01	Rural development programmes	2	p.m.	3 235 000 000	p.m.	5 890 339 551	0,—	10 947 350 313,60	338,40

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>05 04 05</b>	(cont'd)								
05 04 05 02	Operational technical assistance	2	p.m.	p.m.	p.m.	p.m.	0,—	5 076 009,58	
	Article 05 04 05 — Subtotal		p.m.	3 235 000 000	p.m.	5 890 339 551	0,—	10 952 426 323,18	338,56
<b>05 04 60</b>	<b>European Agricultural Fund for Rural Development — EAFRD (2014 to 2020)</b>								
05 04 60 01	Promoting sustainable rural development, a more territorially and environmentally balanced, climate-friendly and innovative Union agricultural sector	2	18 650 559 495	8 487 000 000	18 149 536 729	5 252 192 422	3 294 627 697,—	224 989 885,83	2,65
05 04 60 02	Operational technical assistance	2	21 363 000	20 025 443	15 792 400	19 770 986	11 869 022,38	3 335 486,64	16,66
05 04 60 03	Operational technical assistance managed by the Commission at the request of a Member State	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 05 04 60 — Subtotal		18 671 922 495	8 507 025 443	18 165 329 129	5 271 963 408	3 306 496 719,38	228 325 372,47	2,68
	<b>Chapter 05 04 — Total</b>		<b>18 671 922 495</b>	<b>11 742 025 443</b>	<b>18 165 329 129</b>	<b>11 162 302 959</b>	<b>3 305 186 830,69</b>	<b>11 185 998 301,10</b>	<b>95,26</b>

**05 04 01** **Completion of rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006**

Remarks

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any item in this article in accordance with Articles 21 and 174 of the Financial Regulation.

The following legal basis applies to all items of this article unless otherwise stated.

Legal basis

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 01 (*cont'd*)

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1), and in particular Article 39 thereof.

## 05 04 01 14 Completion of rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	– 1 397 376,72

*Remarks*

This appropriation is intended to cover sums recovered by the Member States that cannot be considered to constitute an irregularity or negligence under Article 32 of Regulation (EC) No 1290/2005. These sums will be booked as corrections regarding expenditure previously financed from Items 05 04 01 01 to 05 04 01 13 and cannot be reused by the Member States.

It is also intended to cover the payment of outstanding amounts declared by Member States subsequent to the application of Article 39(3) of Regulation (EC) No 1290/2005.

05 04 02 ***Rural development financed by the EAGGF Guidance Section — Completion of earlier programmes****Remarks*

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections, any revenue from which is entered in Item 6 5 0 0 of the statement of revenue. That revenue may give rise to the provision of additional appropriations, in accordance with Articles 21 and 177 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

The following legal basis applies to all items of this article unless otherwise stated.

*Legal basis*

Treaty establishing the European Community, and in particular Articles 158, 159 and 161 thereof.

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

**CHAPTER 05 04 — RURAL DEVELOPMENT** (*cont'd*)**05 04 02** (*cont'd*)

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1), and in particular Article 39 thereof.

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

**05 04 02 01** Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Objective 1 regions (2000 to 2006)*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	6 556 494,14

*Remarks*

This appropriation is intended to cover EAGGF Guidance Section Objective 1 commitments to be settled from the programming period 2000 to 2006.

*Reference acts*

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

**05 04 02 02** Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	87 488,03	87 488,03

*Remarks*

The special programme for peace and reconciliation is intended to cover commitments remaining to be settled from the programming period 2000 to 2006.

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 02 (*cont'd*)05 04 02 02 (*cont'd*)*Reference acts*

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

## 05 04 02 03 Completion of earlier programmes in Objectives 1 and 6 regions (prior to 2000)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing of commitments remaining to be settled from previous programming periods in respect of former Objectives 1 and 6.

## 05 04 02 04 Completion of earlier programmes in Objective 5b regions (prior to 2000)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing, by the EAGGF Guidance Section, of commitments remaining to be settled from previous programming periods in respect of former Objective 5b.

## 05 04 02 05 Completion of earlier programmes outside Objective 1 regions (prior to 2000)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—



**CHAPTER 05 04 — RURAL DEVELOPMENT** (*cont'd*)**05 04 02** (*cont'd*)05 04 02 05 (*cont'd*)*Remarks*

This appropriation is intended to cover the funding, by the EAGGF Guidance Section, of commitments remaining to be settled from earlier programming periods in respect of former Objective 5a.

## 05 04 02 06 Completion of Leader (2000 to 2006)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the funding, by the Leader+ Community initiative, of commitments remaining to be settled from the programming period 2000 to 2006.

*Reference acts*

Commission notice to the Member States of 14 April 2000 laying down guidelines for the Community initiative for rural development (Leader+) (OJ C 139, 18.5.2000, p. 5).

## 05 04 02 07 Completion of earlier Community initiatives (prior to 2000)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to fund commitments remaining to be settled in respect of Community initiatives which preceded the programming period 2000 to 2006.

*Reference acts*

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 02 (*cont'd*)05 04 02 07 (*cont'd*)

Commission notice to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (PEACE I) (OJ C 186, 20.7.1995, p. 3).

Commission notice to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (Interreg II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (PEACE I) (COM(1997) 642 final).

## 05 04 02 08 Completion of earlier innovative measures (prior to 2000)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the settlement of commitments entered into, in the course of earlier programming periods, in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the regulations concerned.

It also funds amounts outstanding from former multiannual measures, in particular those approved and implemented under the other abovementioned regulations, which are not covered by the priority objectives of the Funds.

This appropriation will where applicable also be used to cover amounts payable in respect of the EAGGF Guidance Section for measures for which the corresponding commitment appropriations are neither available nor provided for in the programming for 2000 to 2006.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 02 (*cont'd*)

05 04 02 09 Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Operational technical assistance (2000 to 2006)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the funding by the EAGGF Guidance Section of commitments remaining to be settled from the programming period 2000 to 2006 for technical assistance measures as provided for by Article 23 of Regulation (EC) No 1260/1999. Technical assistance covered the preparation, monitoring, evaluation, inspection and management required to implement assistance from the EAGGF Guidance Section. It was in particular used for:

- support expenditure (representation allowances, training, meetings, missions),
- expenditure on information and publications,
- expenditure on information and telecommunications technology,
- contracts for the provision of services,
- grants.

05 04 03 **Completion of other measures**

05 04 03 02 Plant and animal genetic resources — Completion of earlier measures

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the settlement of commitments entered in respect of the Community programme on the conservation, characterisation, collection and utilisation of genetic resources in agriculture.

This appropriation is to be used as a matter of priority for the sustainable use and further development of biodiversity through the interaction of farmers, established non-governmental organisations in the area and public and private sector institutes. Consumer awareness in this field should also be fostered.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 03 (*cont'd*)05 04 03 02 (*cont'd*)

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 174 of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 870/2004 of 26 April 2004 establishing a Community programme on the conservation, characterisation, collection and utilisation of genetic resources in agriculture and repealing Regulation (EC) No 1467/94 (OJ L 162, 30.4.2004, p. 18).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**05 04 04** *Transitional instrument for the financing of rural development by the EAGGF Guarantee Section for the new Member States — Completion of programmes (2004 to 2006)*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

The appropriations entered are intended to pay commitments of the 2004 to 2006 programming period.

*Legal basis*

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

2003 Act of Accession of the Czech Republic, the Republic of Estonia, the Republic of Cyprus, the Republic of Latvia, the Republic of Lithuania, the Republic of Hungary, the Republic of Malta, the Republic of Poland, the Republic of Slovenia and the Slovak Republic, and in particular Annex II thereto: 'List referred to in Article 20 of the Act of Accession', point 6A(26), as adapted by Council Decision 2004/281/EC (OJ L 93, 30.3.2004, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1), and in particular Article 39 thereof.

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)**05 04 05      *Completion of rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)****Remarks*

Any revenue entered in Article 6 7 1 of the general statement of revenue may give rise to the provision of additional appropriations on any item in this article in accordance with Articles 21 and 177 of the Financial Regulation.

The following legal basis applies to all items of this article unless otherwise stated.

*Legal basis*

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

Council Regulation (EC) No 378/2007 of 27 March 2007 laying down rules for voluntary modulation of direct payments provided for in Regulation (EC) No 1782/2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers, and amending Regulation (EC) No 1290/2005 (OJ L 95, 5.4.2007, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers, amending Regulations (EC) No 1290/2005, (EC) No 247/2006, (EC) No 378/2007 and repealing Regulation (EC) No 1782/2003 (OJ L 30, 31.1.2009, p. 16).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

*Reference acts*

Commission Implementing Regulation (EU) No 335/2013 of 12 April 2013 amending Regulation (EC) No 1974/2006 laying down detailed rules for the application of Council Regulation (EC) No 1698/2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 105, 13.4.2013, p. 1).

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 05 (*cont'd*)

## 05 04 05 01 Rural development programmes

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 235 000 000	p.m.	5 890 339 551	0,—	10 947 350 313,60

*Remarks*

This appropriation is intended to pay commitments of the 2007 to 2013 rural development programmes funded by the European Agricultural Fund for Rural Development (EAFRD).

## 05 04 05 02 Operational technical assistance

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	5 076 009,58

*Remarks*

This appropriation is intended to pay commitments for technical assistance measures as provided for by Article 66(1) of Regulation (EC) No 1698/2005, and in particular the European Network for Rural Development.

05 04 60 **European Agricultural Fund for Rural Development — EAFRD (2014 to 2020)***Remarks*

Any revenue entered in Article 6 7 1 of the general statement of revenue in relation to programmes 2014-2020 may give rise to the provision of additional appropriations on any line in this article in accordance with Articles 21 and 177 of the Financial Regulation.

The following legal basis applies to all items of this article unless otherwise stated.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 60 (*cont'd*)

Regulation (EU) No 1305/2013 of the European Parliament and of the Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) and repealing Council Regulation (EC) No 1698/2005 (OJ L 347, 20.12.2013, p. 487).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

Regulation (EU) No 1310/2013 of the European Parliament and of the Council of 17 December 2013 laying down certain transitional provisions on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), amending Regulation (EU) No 1305/2013 of the European Parliament and of the Council as regards resources and their distribution in respect of the year 2014 and amending Council Regulation (EC) No 73/2009 and Regulations (EU) No 1307/2013, (EU) No 1306/2013 and (EU) No 1308/2013 of the European Parliament and of the Council as regards their application in the year 2014 (OJ L 347, 20.12.2013, p. 865).

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

05 04 60 01 Promoting sustainable rural development, a more territorially and environmentally balanced, climate-friendly and innovative Union agricultural sector

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 650 559 495	8 487 000 000	18 149 536 729	5 252 192 422	3 294 627 697,—	224 989 885,83

*Remarks*

This appropriation is intended to cover the financing of the 2014 to 2020 rural development programmes funded by the European Agricultural Fund for Rural Development (EAFRD).

Rural development measures will be measured against more refined performance indicators for farming systems and production methods so as to respond to the challenges related to climate change, water protection, biodiversity and renewable energies.

05 04 60 02 Operational technical assistance

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
21 363 000	20 025 443	15 792 400	19 770 986	11 869 022,38	3 335 486,64

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)05 04 60 (*cont'd*)05 04 60 02 (*cont'd*)*Remarks*

This appropriation is intended to cover technical assistance measures at the initiative of the Commission as provided for by Articles 51 to 54 of Regulation (EU) No 1305/2013, Article 6 of Regulation (EU) No 1306/2013 and Article 58 of Regulation (EU) No 1303/2013. This includes the European Network for Rural Development and the European Innovation Partnership Network.

05 04 60 03 Operational technical assistance managed by the Commission at the request of a Member State

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with Article 25 of Regulation (EU) No 1303/2013, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.



## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 05	INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT								
<b>05 05 01</b>	<b>Special Accession Programme for Agriculture and Rural Development (Sapard) — Completion of earlier measures (prior to 2014)</b>								
05 05 01 01	The Sapard pre-accession instrument — Completion of the programme (2000 to 2006)	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 05 01 02	The Sapard pre-accession instrument — Completion of the pre-accession assistance related to eight candidate countries	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 05 05 01 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>05 05 02</b>	<b>Instrument for Pre-Accession Assistance for Rural Development (IPARD) — Completion of the programme (2007 to 2013)</b>	4	p.m.	375 000 000	p.m.	143 793 016	0,—	158 726 294,26	42,33
<b>05 05 03</b>	<b>Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</b>								
05 05 03 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
05 05 03 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	43 000 000	9 000 000	25 000 000	7 917 659	0,—	0,—	0
	<i>Article 05 05 03 — Subtotal</i>		43 000 000	9 000 000	25 000 000	7 917 659	0,—	0,—	0
<b>05 05 04</b>	<b>Support to Turkey</b>								
05 05 04 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>05 05 04</b>	( <i>cont'd</i> )								
05 05 04 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	69 000 000	41 400 000	69 000 000	25 458 317	0,—	0,—	0
	Article 05 05 04 — Subtotal		69 000 000	41 400 000	69 000 000	25 458 317	0,—	0,—	0
	<b>Chapter 05 05 — Total</b>		<b>112 000 000</b>	<b>425 400 000</b>	<b>94 000 000</b>	<b>177 168 992</b>	<b>0,—</b>	<b>158 726 294,26</b>	<b>37,31</b>

(1) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

### 05 05 01 *Special Accession Programme for Agriculture and Rural Development (Sapard) — Completion of earlier measures (prior to 2014)*

#### Remarks

The following legal basis applies to all items of this article unless otherwise stated.

#### Legal basis

Council Regulation (EC) No 1268/1999 of 21 June 1999 on Community support for pre-accession measures for agriculture and rural development in the applicant countries of central and eastern Europe in the pre-accession period (OJ L 161, 26.6.1999, p. 87).

Council Regulation (EC) No 2257/2004 of 20 December 2004 amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 2666/2000, to take into account Croatia's candidate status (OJ L 389, 30.12.2004, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

05 05 01 01 The Sapard pre-accession instrument — Completion of the programme (2000 to 2006)

#### Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

#### Remarks

This appropriation is intended to cover the clearance of commitments made up to 31 December 2006 in Bulgaria, Romania and Croatia for support measures relating to agriculture and rural development under Sapard.

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT** (*cont'd*)

**05 05 01** (*cont'd*)

**05 05 01 02** The Sapard pre-accession instrument — Completion of the pre-accession assistance related to eight candidate countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the clearance of commitments made up to 31 December 2003 for support measures relating to agriculture and rural development under Sapard in the eight candidate countries which became Member States in 2004.

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

**05 05 02** ***Instrument for Pre-Accession Assistance for Rural Development (IPARD) — Completion of the programme (2007 to 2013)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	375 000 000	p.m.	143 793 016	0,—	158 726 294,26

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA), (OJ L 210, 31.7.2006, p. 82).

**05 05 03** ***Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia***

**05 05 03 01** Support for political reforms and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

<sup>(1)</sup> This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT (*cont'd*)05 05 03 (*cont'd*)05 05 03 01 (*cont'd*)*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

05 05 03 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
43 000 000	9 000 000	25 000 000	7 917 659	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,

CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT (*cont'd*)05 05 03 (*cont'd*)05 05 03 02 (*cont'd*)

- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(b) and (c) thereof.

05 05 04 **Support to Turkey**05 05 04 01 Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — AGRICULTURE AND RURAL DEVELOPMENT (*cont'd*)05 05 04 (*cont'd*)05 05 04 01 (*cont'd*)*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

05 05 04 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
69 000 000	41 400 000	69 000 000	25 458 317	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 06 — INTERNATIONAL ASPECTS OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 06	INTERNATIONAL ASPECTS OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA								
<b>05 06 01</b>	<b>International agricultural agreements</b>	4	6 966 518	6 966 518	4 675 000	4 201 456	1 806 025,54	1 806 025,54	25,92
	<b>Chapter 05 06 — Total</b>		<b>6 966 518</b>	<b>6 966 518</b>	<b>4 675 000</b>	<b>4 201 456</b>	<b>1 806 025,54</b>	<b>1 806 025,54</b>	<b>25,92</b>

**05 06 01 International agricultural agreements**

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 966 518	6 966 518	4 675 000	4 201 456	1 806 025,54	1 806 025,54

## Remarks

This appropriation is intended to cover the Union's contribution to the international agreements mentioned below.

## Legal basis

Council Decision 92/580/EEC of 13 November 1992 on the signing and conclusion of the International Sugar Agreement 1992 (OJ L 379, 23.12.1992, p. 15).

Council Decision 96/88/EC of 19 December 1995 concerning the approval by the European Community of the Grains Trade Convention and the Food Aid Convention, constituting the International Grains Agreement 1995 (OJ L 21, 27.1.1996, p. 47).

Council Decision 2005/800/EC of 14 November 2005 concerning the conclusion of the International Agreement on olive oil and table olives 2005 (OJ L 302, 19.11.2005, p. 46).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 54(2)(d) thereof.

Council Decision 2013/139/EU of 18 March 2013 on establishing the position to be taken on behalf of the European Union, within the International Sugar Council as regards the extension of the International Sugar Agreement 1992 (OJ L 77, 20.3.2013, p. 2).

Council Decision 2013/138/EU of 18 March 2013 on establishing the position to be taken on behalf of the European Union within the International Grains Council with respect to the extension of the Grains Trade Convention 1995 (OJ L 77, 20.3.2013, p. 1).

COMMISSION

TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 06 — INTERNATIONAL ASPECTS OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA (*cont'd*)**05 06 01** (*cont'd*)

Council Decision 2014/664/EU of 15 September 2014 on the position to be adopted on behalf of the European Union within the Council of members of the International Olive Council concerning the prolongation of the 2005 International Agreement on olive oil and table olives (OJ L 275, 17.9.2014, p. 6).

*Reference acts*

Proposal for a Council Decision, submitted by the Commission on 12 February 2015, establishing the position to be taken on behalf of the European Union within the International Grains Council with respect to the extension of the Grains Trade Convention 1995 (COM(2015) 52 final).

Proposal for a Council Decision, submitted by the Commission on 12 February 2015, establishing the position to be taken on behalf of the European Union within the International Sugar Council as regards the extension of the International Sugar Agreement 1992 (COM(2015) 53 final).



## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 07 — AUDIT OF AGRICULTURAL EXPENDITURE FINANCED BY THE EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF)**

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
05 07	AUDIT OF AGRICULTURAL EXPENDITURE FINANCED BY THE EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF)					
<b>05 07 01</b>	<b>Control of agricultural expenditure</b>					
05 07 01 02	Monitoring and preventive measures — Direct payments by the Union	2	9 130 000	6 800 000	6 799 667,58	74,48
05 07 01 06	Expenditure for financial corrections in favour of Member States following decisions on accounting clearance of previous years' accounts with regard to shared management declared under the EAGGF-Guarantee Section (previous measures) and under the EAGF	2	20 000 000	20 000 000	19 204 745,65	96,02
05 07 01 07	Expenditure for financial corrections in favour of Member States following decisions on conformity clearance of previous years' accounts with regard to shared management declared under the EAGGF-Guarantee Section (previous measures) and under the EAGF	2	p.m.	p.m.	505 183,59	
	<i>Article 05 07 01 — Subtotal</i>		29 130 000	26 800 000	26 509 596,82	91,00
<b>05 07 02</b>	<b>Settlement of disputes</b>	2	29 500 000	60 500 000	92 327 649,50	312,98
	<b>Chapter 05 07 — Total</b>		<b>58 630 000</b>	<b>87 300 000</b>	<b>118 837 246,32</b>	<b>202,69</b>

*Remarks*

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations on any item in this chapter in accordance with Article 21 and Article 174 of the Financial Regulation.

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

*Legal basis*

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 07 — AUDIT OF AGRICULTURAL EXPENDITURE FINANCED BY THE EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF) (cont'd)**

Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).

**05 07 01 Control of agricultural expenditure****05 07 01 02 Monitoring and preventive measures — Direct payments by the Union**

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
9 130 000	6 800 000	6 799 667,58

*Remarks*

This appropriation is intended to cover expenditure for carrying out remote-sensing checks in accordance with Articles 6(b) and 21 of Regulation (EU) No 1306/2013.

**05 07 01 06 Expenditure for financial corrections in favour of Member States following decisions on accounting clearance of previous years' accounts with regard to shared management declared under the EAGGF-Guarantee Section (previous measures) and under the EAGF**

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
20 000 000	20 000 000	19 204 745,65

*Remarks*

This appropriation is intended to cover the results of clearance of accounts decisions in accordance with Article 51 of Regulation (EU) No 1306/2013 in case these decisions are in favour of the Member States.

It is also intended to cover the results of accounting clearance decisions relating to the Sugar Restructuring Fund when these are in favour of the Member States.

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

**CHAPTER 05 07 — AUDIT OF AGRICULTURAL EXPENDITURE FINANCED BY THE EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF) (cont'd)****05 07 01** (cont'd)

05 07 01 07 Expenditure for financial corrections in favour of Member States following decisions on conformity clearance of previous years' accounts with regard to shared management declared under the EAGGF-Guarantee Section (previous measures) and under the EAGF

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	505 183,59

*Remarks*

This appropriation is intended to cover the results of conformity clearance decisions in accordance with Article 52 of Regulation (EU) No 1306/2013 in case these decisions are in favour of the Member States.

It is also intended to cover the results of conformity clearance decisions relating to the Sugar Restructuring Fund when these are in favour of Member States.

*Legal basis*

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

**05 07 02** **Settlement of disputes**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
29 500 000	60 500 000	92 327 649,50

*Remarks*

This appropriation is intended to accommodate, if necessary, an appropriation to cover expenditure for which the Commission may be made liable by a decision of a court, including the cost of settling claims for damages and interest.

It is also intended to cover any expenditure that the Commission may incur pursuant to Article 7(2) of Council Regulation (EEC) No 595/91 of 4 March 1991 concerning irregularities and the recovery of sums wrongly paid in connection with the financing of the common agricultural policy and the organisation of an information system in this field (OJ L 67, 14.3.1991, p. 11).

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 08	POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA								
<b>05 08 01</b>	<b>Farm Accountancy Data Network (FADN)</b>	2	15 119 325	17 487 116	15 009 325	11 783 396	14 516 206,40	13 732 660,66	78,53
<b>05 08 02</b>	<b>Surveys on the structure of agricultural holdings</b>	2	250 000	1 500 000	19 450 000	16 070 098	249 073,94	175 242,04	11,68
<b>05 08 03</b>	<b>Restructuring of systems for agricultural surveys</b>	2	5 681 842	5 437 303	4 773 648	3 160 136	1 751 497,50	1 057 709,07	19,45
<b>05 08 06</b>	<b>Enhancing public awareness of the common agricultural policy</b>	2	8 000 000	8 000 000	7 900 000	7 900 000	10 773 022,80	10 773 022,80	134,66
<b>05 08 09</b>	<b>European Agricultural Guarantee Fund (EAGF) — Operational technical assistance</b>	2	4 382 000	4 382 000	2 895 000	2 895 000	1 669 972,01	1 669 972,01	38,11
<b>05 08 77</b>	<b>Pilot projects and preparatory actions</b>								
05 08 77 01	Pilot project — Assessing end-user costs of compliance with Union legislation in the fields of environment, animal welfare and food safety	2	p.m.	p.m.	p.m.	p.m.	0,—	675 359,12	
05 08 77 06	Preparatory action — European farm prices and margins observatory	2	p.m.	670 000	p.m.	300 000	86 234,04	387 670,37	57,86
05 08 77 08	Pilot project — Exchange programme for young farmers	2	p.m.	299 969	p.m.	899 905	0,—	599 936,40	200,00

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>05 08 77</b>	(cont'd)								
05 08 77 09	Preparatory action — Union plant and animal genetic resources	2	p.m.	1 144 230	p.m.	1 250 000	1 000 000,—	0,—	0
05 08 77 10	Pilot project — Agropol: development of a European cross-border Agribusiness Model Region	2	p.m.	480 000	p.m.	600 000	1 200 000,—	0,—	0
05 08 77 11	Pilot project — Agro-forestry	2	p.m.	500 000	p.m.	500 000	1 000 000,—	0,—	0
05 08 77 12	Pilot project — Social eco-village	2	400 000	200 000					
05 08 77 13	Pilot project — Improving crisis prevention and management criteria and strategies in the agricultural sector	2	300 000	150 000					
05 08 77 14	Pilot project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme	2	700 000	350 000					
05 08 77 15	Pilot project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported	2	300 000	150 000					
	<i>Article 05 08 77 — Subtotal</i>		1 700 000	3 944 199	p.m.	3 549 905	3 286 234,04	1 662 965,89	42,16
<b>05 08 80</b>	<b>Union participation at the ‘Feeding the Planet — Energy for Life’ World Exposition 2015 in Milan</b>	2	300 000	805 000	5 303 400	5 108 405	8 030 000,—	2 973 552,20	369,39
	<b>Chapter 05 08 — Total</b>		<b>35 433 167</b>	<b>41 555 618</b>	<b>55 331 373</b>	<b>50 466 940</b>	<b>40 276 006,69</b>	<b>32 045 124,67</b>	<b>77,11</b>

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(cont'd)*Remarks*

Any revenue entered in Article 6 7 0 of the general statement of revenue may give rise to the provision of additional appropriations in accordance with Articles 21 and 174 of the Financial Regulation.

The following legal basis applies to all articles and items of this chapter unless otherwise stated.

*Legal basis*

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**05 08 01 Farm Accountancy Data Network (FADN)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 119 325	17 487 116	15 009 325	11 783 396	14 516 206,40	13 732 660,66

*Remarks*

This appropriation is intended to cover the financing of the standard fees and the development of facilities for the collection, processing, analysis, publication and dissemination of farm accountancy data and analysis of results.

*Legal basis*

Council Regulation (EC) No 1217/2009 of 30 November 2009 setting up a network for the collection of accountancy data on the incomes and business operation of agricultural holdings in the European Community (OJ L 328, 15.12.2009, p. 27).

**05 08 02 Surveys on the structure of agricultural holdings***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
250 000	1 500 000	19 450 000	16 070 098	249 073,94	175 242,04

*Remarks*

This appropriation is intended to cover contributions to financing statistical surveys needed to monitor structures in the Union, including the Eurofarm database.

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(cont'd)

**05 08 02** (cont'd)

*Legal basis*

Regulation (EC) No 1166/2008 of the European Parliament and of the Council of 19 November 2008 on farm structure surveys and the survey on agricultural production methods and repealing Council Regulation (EEC) No 571/88 (OJ L 321, 1.12.2008, p. 14).

**05 08 03** *Restructuring of systems for agricultural surveys*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 681 842	5 437 303	4 773 648	3 160 136	1 751 497,50	1 057 709,07

*Remarks*

This appropriation is intended to cover:

- expenditure on the improvement of systems of agricultural statistics in the Union,
- subsidies, contractual expenditure and expenditure involving payments for services connected with the purchasing and the consulting of databases,
- subsidies, contractual expenditure and expenditure involving payments for services connected with agricultural sector modelling and short- and medium-term forecasts of market and agricultural structure trends, and with the dissemination of results,
- subsidies, contractual expenditure and expenditure involving payments for services connected with the implementation of operations for applying remote sensing, area sampling and agrometeorological models to agricultural statistics,
- subsidies, contractual expenditure and expenditure involving payments for services connected with the carrying out of economic analyses and development of indicators in the field of the agricultural policy,
- subsidies, contractual expenditure and expenditure involving payments for services connected with measures required for the analysis, management, monitoring of agricultural resources and implementation of the common agricultural policy in accordance with Articles 6(c) and 22 of Regulation (EU) No 1306/2013 as well as for the implementation of the Common Monitoring and Evaluation Framework in accordance with Articles 6(a) and 110 of Regulation (EU) No 1306/2013,
- outstanding commitments made under Council Regulation (EC) No 78/2008.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA  
(cont'd)

## 05 08 03 (cont'd)

*Legal basis*

Tasks resulting from the Commission's prerogatives at the institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Decision 96/411/EC of 25 June 1996 on improving Community agricultural statistics (OJ L 162, 1.7.1996, p. 14).

Decision No 1445/2000/EC of the European Parliament and of the Council of 22 May 2000 on the application of aerial-survey and remote-sensing techniques to the agricultural statistics for 1999 to 2003 (OJ L 163, 4.7.2000, p. 1).

Council Regulation (EC) No 78/2008 of 21 January 2008 on the measures to be undertaken by the Commission in 2008-2013 making use of the remote-sensing applications developed within the framework of the common agricultural policy (OJ L 25, 30.1.2008, p. 1).

05 08 06 *Enhancing public awareness of the common agricultural policy**Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
8 000 000	7 900 000	10 773 022,80

*Remarks*

This appropriation is intended to cover the financing of information measures by the Union aiming to help explain, implement and develop the common agricultural policy and to raise public awareness of its content and objectives as provided for in Article 45 of Regulation (EU) No 1306/2013.

The measures may take the form of annual work programmes or other specific measures presented by third parties and/or are activities implemented on the Commission's initiative.

05 08 09 *European Agricultural Guarantee Fund (EAGF) — Operational technical assistance**Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 382 000	2 895 000	1 669 972,01

*Remarks*

This appropriation is intended to cover the expenditure in conformity with Article 6(a) and (d) to (f) of Regulation (EU) No 1306/2013.



**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(cont'd)

**05 08 09** (cont'd)

This appropriation also includes the expenditure for establishing an analytical databank for wine products provided for in Article 89(5)(a) of Regulation (EU) No 1306/2013.

**05 08 77** *Pilot projects and preparatory actions*

05 08 77 01 Pilot project — Assessing end-user costs of compliance with Union legislation in the fields of environment, animal welfare and food safety

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	675 359,12

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

05 08 77 06 Preparatory action — European farm prices and margins observatory

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	670 000	p.m.	300 000	86 234,04	387 670,37

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA  
(cont'd)

## 05 08 77 (cont'd)

## 05 08 77 08 Pilot project — Exchange programme for young farmers

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	299 969	p.m.	899 905	0,—	599 936,40

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 05 08 77 09 Preparatory action — Union plant and animal genetic resources

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 144 230	p.m.	1 250 000	1 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(cont'd)

**05 08 77** (cont'd)

05 08 77 10 Pilot project — Agropol: development of a European cross-border Agribusiness Model Region

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	480 000	p.m.	600 000	1 200 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

05 08 77 11 Pilot project — Agroforestry

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	p.m.	500 000	1 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA  
(cont'd)

## 05 08 77 (cont'd)

## 05 08 77 12 Pilot project — Social eco-village

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
400 000	200 000				

*Remarks*

Rural depopulation presents common challenges across the Union and is particularly acute in Central and Eastern Europe. The smart eco-social village project will develop models for a number of different types of 'smart village' in the Union, with a particular focus on the Visegrád group countries.

The project will create replicable, socially useful models, will act as an incentive by identifying successful practices, and will provide support, using an ICT/online method.

The project will focus on developing growth and jobs in rural areas by:

- analysing common features of rural villages in the Union (with a particular focus on the Visegrád group countries): infrastructure, various resources, services, access to markets, Digital Single Market opportunities, urban-rural links, links to the bioeconomy and the circular economy (innovation, precision farming, environmental management, local renewable energies, supply chain, services, local food), opportunities for improving quality of life and employment, with due regard for the importance of women and young people;
- identifying and documenting best practices and effective programmes in rural areas of the Union, analysing sustainable environmental and village management in line with the above features. The project will use regional case studies, filming, ICT and documentation and will identify best practices;
- assessing case studies involving local administration, academics, local communities, the private sector and NGOs in rural areas;
- developing a number of eco-socially oriented and replicable models for smart villages, and providing operational IT support.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(cont'd)

**05 08 77** (cont'd)

05 08 77 13 Pilot project — Improving crisis prevention and management criteria and strategies in the agricultural sector

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
300 000	150 000				

*Remarks*

Regulation (EU) No 1308/2013 establishing a common organisation of the market in agricultural products provides horizontal and sectoral crisis prevention and management measures in agriculture.

However, the regulation does not define or specify what is meant by ‘crisis situation’. It is therefore essential to set up a typology of crisis situations.

The pilot project will establish a list of parameters to clarify and simplify implementation of crisis prevention and management measures in agriculture.

It could also assess the feasibility of setting up ‘market watchers/observatories’ whose role would be to provide a basis for coping better with crisis situations and market volatility by providing early warning of the need to take counter-measures.

The main objective is to clarify the criteria for the activation of the instruments available to European farmers to cope with exposure to both natural disasters and market fluctuations, which have gradually become more common over the last few years.

Europe must give itself the wherewithal to manage crises in the agricultural sector more effectively.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

05 08 77 14 Pilot project — Restructuring the honey bee chain and Varroa resistance breeding and selection programme

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
700 000	350 000				

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(cont'd)**05 08 77** (cont'd)

05 08 77 14 (cont'd)

*Remarks*

The disappearance of bees has an impact throughout the Union, causing a fall-off in pollination and posing a threat to biodiversity and public health. The Union must be able to tackle this problem by developing a genuine economic strategy from production to consumption, focusing on the entire honey production chain.

This pilot project will combine the various Union strategies on innovation, social inclusion and job creation in order to establish linkage between them and a reoriented common agricultural policy and to support the gearing of agriculture more towards greater sustainability and practical research on breeding of a bee population that is resistant to Varroa in particular.

*Methodology:*

- implementation of a series of complementary and integrated actions, i.e. genetic selection (particularly Varroa resistance selection), breeding and conservation, training in apidology/sanitation (bee behaviour, veterinary and sanitary techniques);
- support for local swarms and queen reproduction/breeding farms;
- cooperation with relevant scientists and scientific institutes, in order to obtain maximum synergy (including with existing Union honey bee research programmes).

There is a great need for specific applied research, particularly on Varroa resistance selection, to save the honey bee, as well as for funding practical arrangements to give volunteers access to the results of fundamental research from apiology projects at Union-supported scientific institutes.

Know-how transfer and support for implementing this methodology and for relevant communication tools cannot be funded under any existing programme. A first European Apidology Training Centre would provide a basis for looking into the following issues: research, selection and conservation, repopulation and biodiversity.

Although the honeybee plays a very important role in agriculture, most beekeepers and breeders are volunteers. As regards pollination and honey production, there are professional beekeepers and small companies managing larger numbers of bee colonies. But these professionals often get their breeding material from breeding groups that are mainly organised by volunteers.

As a result, the beekeeping community has almost no budget for the application of findings from research into breeding Varroa-resistant bee lines through an Union-wide link-up between volunteers (beekeepers) and scientists. This pilot project seeks to bridge this practical gap in the FP7 programme SmartBees and national apiculture programmes.

The measurable value of this action is expected to be EUR 7 billion for the 2016-2020 period, i.e.:

- creation of 10 million hives in the Union, representing a business volume of over EUR 2 billion;

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(*cont'd*)

**05 08 77** (*cont'd*)

05 08 77 14 (*cont'd*)

— purchase of equipment needed to keep a hive and produce honey, representing, for hive manufacturers and sellers, a business volume of more than EUR 3 billion;

— increase in honey production in the Union resulting from the creation of 10 million hives, replacing current annual imports (50% of demand) from third countries and representing a business volume of more than EUR 2 billion euros.

Stepping up pollination to the level required to sustain and expand agricultural production of the Union, with more and better products, will mean not only increased agricultural production, but also fewer subsidies paid to farmers to offset productivity losses.

The creation of 30 000 jobs would in turn generate 30 000 associated jobs, making for a total of 60 000 jobs.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

05 08 77 15 Pilot project — Analysis of the best ways for producer organisations (POs) to be formed, carry out their activities and be supported

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
300 000	150 000				

*Remarks*

This pilot project will analyse:

— the incentives and disincentives for farmers to form and work together in producer organisations (POs);

— the governance arrangements, areas for cooperation and levels of concentration of supply which best allow POs to carry out their activities effectively and provide support to their members;

— the most effective financial and legal tools available under the common agricultural policy to support POs.

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

**CHAPTER 05 08 — POLICY STRATEGY AND COORDINATION OF THE ‘AGRICULTURE AND RURAL DEVELOPMENT’ POLICY AREA**  
(cont'd)**05 08 77** (cont'd)

05 08 77 15 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**05 08 80 Union participation at the ‘Feeding the Planet — Energy for Life’ World Exposition 2015 in Milan***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
300 000	805 000	5 303 400	5 108 405	8 030 000,—	2 973 552,20

*Remarks*

This appropriation is intended to finance the participation of the Union at the universal Expo ‘Feeding the Planet: Energy for Life’, which was held in Milan in 2015.

The appropriations are intended to cover the baseline costs for the Union participation within the Italian Pavilion (rental of space, stand erection and decoration, running costs) as well as for the preparatory and initial stages of a basic scientific programme for the EXPO 2015 involving the compilation of baseline data for policy support purposes. The cost for organising events and exhibitions (e.g. reimbursement of expert costs, exhibition materials, etc.) will be covered by appropriations of the relevant specific programmes according to the policy area concerned.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2d) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



COMMISSION  
TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 09 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRICULTURE

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
05 09	HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRI- CULTURE								
05 09 03	<i>Societal challenges</i>								
05 09 03 01	Securing sufficient supplies of safe and high quality food and other bio-based products	1.1	214 205 269	79 277 928	101 455 799	19 076 239	52 095 604,—	1 165 882,—	1,47
	Article 05 09 03 — Subtotal		214 205 269	79 277 928	101 455 799	19 076 239	52 095 604,—	1 165 882,—	1,47
05 09 50	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological devel- opment</i>								
05 09 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological devel- opment (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	11 730,—	0,—	
	Article 05 09 50 — Subtotal		p.m.	p.m.	p.m.	p.m.	11 730,—	0,—	
	<b>Chapter 05 09 — Total</b>		<b>214 205 269</b>	<b>79 277 928</b>	<b>101 455 799</b>	<b>19 076 239</b>	<b>52 107 334,—</b>	<b>1 165 882,—</b>	<b>1,47</b>

Remarks

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for the Horizon 2020 — the framework programme for research and innovation which covers the 2014 to 2020 period.

Horizon 2020 shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

## COMMISSION

## TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 09 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRICULTURE (*cont'd*)

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the framework programme for research and innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 05 09 50 01.

Administrative appropriations of this chapter will be provided under Article 05 01 05.

CHAPTER 05 09 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRICULTURE (*cont'd*)05 09 03 *Societal challenges**Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

## 05 09 03 01 Securing sufficient supplies of safe and high quality food and other bio-based products

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
214 205 269	79 277 928	101 455 799	19 076 239	52 095 604,—	1 165 882,—

*Remarks*

This activity will focus on developing more sustainable and productive agriculture and forestry systems, while at the same time developing services, concepts and policies for thriving rural livelihoods. In addition, emphasis will be placed on healthy and safe foods for all as well as competitive food processing methods that use fewer resources and produce fewer by-products. In parallel, efforts will be made on sustainably exploiting aquatic living resources (e.g. sustainable and environmentally friendly fisheries). Low carbon, resource-efficient, sustainable and competitive European bio-based industries will also be promoted.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

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TITLE 05 — AGRICULTURE AND RURAL DEVELOPMENT

CHAPTER 05 09 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO AGRICULTURE (*cont'd*)**05 09 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

05 09 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	11 730,—	0,—

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the period 2014 to 2020.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

*TITLE 06*

**MOBILITY AND TRANSPORT**

COMMISSION

TITLE 06 — MOBILITY AND TRANSPORT

**TITLE 06****MOBILITY AND TRANSPORT****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
06 01	ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA	71 348 528	71 348 528	75 145 385	75 145 385	72 407 093,67	72 407 093,67
06 02	EUROPEAN TRANSPORT POLICY	3 931 587 922	1 909 318 257	2 272 028 544	1 803 202 715	2 586 561 073,65	930 476 079,29
06 03	HORIZON 2020 — RESEARCH AND INNO- VATION RELATED TO TRANSPORT	216 540 737	315 196 545	234 117 242	177 949 829	219 730 498,37	34 671 034,17
	<b>Title 06 — Total</b>	<b>4 219 477 187</b>	<b>2 295 863 330</b>	<b>2 581 291 171</b>	<b>2 056 297 929</b>	<b>2 878 698 665,69</b>	<b>1 037 554 207,13</b>

## TITLE 06

## MOBILITY AND TRANSPORT

## CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
06 01	ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA					
<b>06 01 01</b>	<b>Expenditure related to officials and temporary staff in the 'Mobility and transport' policy area</b>	5.2	35 442 852	41 352 248	42 269 821,73	119,26
<b>06 01 02</b>	<b>External personnel and other management expenditure in support of the 'Mobility and transport' policy area</b>					
06 01 02 01	External personnel	5.2	2 276 288	2 288 852	2 032 764,39	89,30
06 01 02 11	Other management expenditure	5.2	2 061 310	2 097 882	2 712 557,62	131,59
	<i>Article 06 01 02 — Subtotal</i>		4 337 598	4 386 734	4 745 322,01	109,40
<b>06 01 03</b>	<b>Expenditure related to information and communication technology equipment and services of the 'Mobility and transport' policy area</b>	5.2	2 262 001	2 625 291	3 047 243,22	134,71
<b>06 01 04</b>	<b>Support expenditure for operations and programmes in the 'Mobility and transport' policy area</b>					
06 01 04 01	Support expenditure for Connecting Europe Facility (CEF) — Transport	1.1	2 500 000	2 953 000	1 968 744,92	78,75
	<i>Article 06 01 04 — Subtotal</i>		2 500 000	2 953 000	1 968 744,92	78,75
<b>06 01 05</b>	<b>Support expenditure for research and innovation programmes in the 'Mobility and transport' policy area</b>					
06 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	4 728 548	5 052 336	5 252 344,—	111,08
06 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	2 318 624	2 400 000	2 768 667,—	119,41

COMMISSION

TITLE 06 — MOBILITY AND TRANSPORT

**CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MOBILITY AND TRANSPORT’ POLICY AREA** (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>06 01 05</b>	(cont'd)					
06 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	595 089	566 751	771 552,79	129,65
	Article 06 01 05 — Subtotal		7 642 261	8 019 087	8 792 563,79	115,05
<b>06 01 06</b>	<b>Executive agencies</b>					
06 01 06 01	Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF)	1.1	15 010 344	14 413 000	11 583 398,—	77,17
06 01 06 03	Innovation and Networks Executive Agency — Contribution from the Cohesion Fund	1.2	4 153 472	1 396 025		
	Article 06 01 06 — Subtotal		19 163 816	15 809 025	11 583 398,—	60,44
	<b>Chapter 06 01 — Total</b>		<b>71 348 528</b>	<b>75 145 385</b>	<b>72 407 093,67</b>	<b>101,48</b>

**06 01 01 Expenditure related to officials and temporary staff in the ‘Mobility and transport’ policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
35 442 852	41 352 248	42 269 821,73

**06 01 02 External personnel and other management expenditure in support of the ‘Mobility and transport’ policy area****06 01 02 01 External personnel**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 276 288	2 288 852	2 032 764,39

**06 01 02 11 Other management expenditure**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 061 310	2 097 882	2 712 557,62



COMMISSION  
TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MOBILITY AND TRANSPORT’ POLICY AREA (*cont’d*)

**06 01 03** *Expenditure related to information and communication technology equipment and services of the ‘Mobility and transport’ policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 262 001	2 625 291	3 047 243,22

**06 01 04** *Support expenditure for operations and programmes in the ‘Mobility and transport’ policy area*

**06 01 04 01** Support expenditure for Connecting Europe Facility (CEF) — Transport

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 500 000	2 953 000	1 968 744,92

*Remarks*

This appropriation is intended to cover the ‘Programme support actions’ (PSAs) defined in Article 2(7) and Article 5(2)(b) of Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and directly related to the accompanying measures necessary for the implementation of the Connecting Europe Facility programme and trans-European transport network guidelines (TEN-T). This includes expenditure on studies, meetings of experts, information and publications, software and databases supporting actions directly linked to the achievement of the objective of the Connecting Europe Facility.

*Legal basis*

See Article 06 02 01.

**06 01 05** *Support expenditure for research and innovation programmes in the ‘Mobility and transport’ policy area*

**06 01 05 01** Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
4 728 548	5 052 336	5 252 344,—

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

## COMMISSION

## TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA *(cont'd)*06 01 05 *(cont'd)*06 01 05 01 *(cont'd)*

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

*Legal basis*

See Chapter 06 03.

## 06 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 318 624	2 400 000	2 768 667,—

*Remarks*

This appropriation is intended to cover expenditure relating to external personnel implementing research and innovation programmes — Horizon 2020, engaged in indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

*Legal basis*

See Chapter 06 03.

## 06 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
595 089	566 751	771 552,79

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TITLE 06 — MOBILITY AND TRANSPORT

**CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MOBILITY AND TRANSPORT’ POLICY AREA** (*cont’d*)

**06 01 05** (*cont’d*)

06 01 05 03 (*cont’d*)

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020, in indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

*Legal basis*

See Chapter 06 03.

**06 01 06** **Executive agencies**

06 01 06 01 Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
15 010 344	14 413 000	11 583 398,—

*Remarks*

This appropriation constitutes the subsidy to cover administrative expenditure on staff and operating expenditure by the Innovation and Networks Executive Agency as a result of its participation in the management of the Connecting Europe Facility programme, in the completion of the projects financed under the 2000-2006 and the 2007-2013 trans-European transport network (TEN-T) programme.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this section.

## COMMISSION

## TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA *(cont'd)*06 01 06 *(cont'd)*06 01 06 01 *(cont'd)**Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1).

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

*Reference acts*

Commission Decision C(2007) 5282 of 5 November 2007 delegating powers to the Trans-European Transport Network Executive Agency with a view to the performance of tasks linked to implementation of the Community programmes for grants in the field of the trans-European transport network, comprising in particular implementation of appropriations entered in the Community budget, as amended by Decision C(2008) 5538 of 7 October 2008.

Commission Implementing Decision 2013/801/EU of 23 December 2013 establishing the Innovation and Networks Executive Agency and repealing Decision 2007/60/EC as amended by Decision 2008/593/EC (OJ L 352, 24.12.2013, p. 65).

Commission Decision C(2013) 9235 of 23 December 2013 delegating powers to the Innovation and Networks Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of transport, energy and telecommunications infrastructure and in the field of transport and energy research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

06 01 06 03 Innovation and Networks Executive Agency — Contribution from the Cohesion Fund

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 153 472	1 396 025	

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TITLE 06 — MOBILITY AND TRANSPORTCHAPTER 06 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MOBILITY AND TRANSPORT' POLICY AREA (*cont'd*)06 01 06 (*cont'd*)06 01 06 03 (*cont'd*)*Remarks*

This appropriation constitutes the subsidy to cover administrative expenditure on staff and operating expenditure by the Innovation and Networks Executive Agency as a result of its participation in the management of the Cohesion Fund envelope of the Connecting Europe Facility programme.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1).

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

*Reference acts*

Commission Decision C(2007) 5282 of 5 November 2007 delegating powers to the Trans-European Transport Network Executive Agency with a view to the performance of tasks linked to implementation of the Community programmes for grants in the field of the trans-European transport network, comprising in particular implementation of appropriations entered in the Community budget, as amended by Decision C(2008) 5538 of 7 October 2008.

Commission Implementing Decision 2013/801/EU of 23 December 2013 establishing the Innovation and Networks Executive Agency and repealing Decision 2007/60/EC as amended by Decision 2008/593/EC (OJ L 352, 24.12.2013, p. 65).

Commission Decision C(2013) 9235 of 23 December 2013 delegating powers to the Innovation and Networks Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of transport, energy and telecommunications infrastructure and in the field of transport and energy research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

## COMMISSION

## TITLE 06 — MOBILITY AND TRANSPORT

## CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
06 02	EUROPEAN TRANSPORT POLICY								
<b>06 02 01</b>	<b>Connecting Europe Facility (CEF)</b>								
06 02 01 01	Removing bottlenecks, enhancing rail interoperability, bridging missing links and improving cross-border sections	1.1	1 092 004 777	582 450 000	686 522 277	463 983 806	1 122 087 732,44	419 182,87	0,07
06 02 01 02	Ensuring sustainable and efficient transport systems	1.1	68 547 064	31 045 375	43 000 681	32 970 282	69 860 000,—	0,—	0
06 02 01 03	Optimising the integration and interconnection of transport modes and enhancing interoperability	1.1	203 641 042	92 100 505	129 002 042	89 137 637	209 548 550,—	122 400,—	0,13
06 02 01 04	Connecting Europe Facility (CEF) — Cohesion Fund allocation	1.2	2 372 380 457	378 659 459	1 215 582 454	392 121 515	982 996 970,—	0,—	0
06 02 01 05	Creating an environment more conducive to private investment for transport infrastructure projects	1.1	66 354 000	43 300 000	70 000 000	43 657 683	70 000 000,—	0,—	0
	<i>Article 06 02 01 — Subtotal</i>		3 802 927 340	1 127 555 339	2 144 107 454	1 021 870 923	2 454 493 252,44	541 582,87	0,05
<b>06 02 02</b>	<b>European Aviation Safety Agency</b>	1.1	34 818 550	34 818 550	35 634 767	35 634 767	35 270 959,84	35 209 468,—	101,12
<b>06 02 03</b>	<b>European Maritime Safety Agency</b>								
06 02 03 01	European Maritime Safety Agency	1.1	29 549 032	29 523 073	30 282 323	30 282 323	31 708 322,15	31 708 322,—	107,40
06 02 03 02	European Maritime Safety Agency — Anti-pollution measures	1.1	21 600 000	17 811 801	20 600 000	12 968 852	20 271 153,—	7 961 583,—	44,70
	<i>Article 06 02 03 — Subtotal</i>		51 149 032	47 334 874	50 882 323	43 251 175	51 979 475,15	39 669 905,—	83,81
<b>06 02 04</b>	<b>European Railway Agency</b>	1.1	25 213 000	25 213 000	24 659 000	24 659 000	25 721 664,40	25 715 600,—	101,99
<b>06 02 05</b>	<b>Support activities to the European transport policy and passenger rights including communication activities</b>								
		1.1	12 705 000	15 621 386	12 363 000	17 405 878	17 339 491,42	15 434 883,84	98,81
<b>06 02 06</b>	<b>Transport security</b>	1.1	2 200 000	1 700 000	2 582 000	1 701 948	1 356 401,17	1 770 244,64	104,13
<b>06 02 51</b>	<b>Completion of trans-European networks programme</b>	1.1	p.m.	630 340 000	p.m.	632 817 206	208 549,23	782 315 140,52	124,11

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TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>06 02 52</b>	<b>Completion of Marco Polo programme</b>	1.1	p.m.	19 185 423	p.m.	17 463 073	0,—	17 253 824,95	89,93
<b>06 02 53</b>	<b>Completion of anti-pollution measures</b>	1.1	p.m.	4 688 199	p.m.	6 185 145	0,—	12 357 908,67	263,60
<b>06 02 77</b>	<b>Pilot projects and preparatory actions</b>								
06 02 77 01	Preparatory action — European transport information and booking interface across transport modes	1.1	p.m.	790 000	p.m.	790 170	0,—	207 520,80	26,27
06 02 77 03	Preparatory action — Ships fuelled by liquefied natural gas (LNG)	1.1	p.m.	p.m.	p.m.	436 192	0,—	0,—	
06 02 77 05	Pilot project — The role of rolling stock in European interoperability	1.1	p.m.	p.m.	500 000	250 000	0,—	0,—	
06 02 77 06	Preparatory action — General aviation — Statistics and key figures	1.1	p.m.	133 986	p.m.	87 238	191 280,—	0,—	0
06 02 77 07	Pilot project — Beyond traffic jams: intelligent integrated transport solutions for road infrastructure	1.1	p.m.	650 000	1 300 000	650 000			
06 02 77 08	Pilot project — GNSS monitoring system for heavy vehicles	1.1	350 000	175 000					
06 02 77 09	Pilot project — Making the EU transport sector attractive to future generations	1.1	500 000	250 000					
06 02 77 10	Preparatory action — Smart port city	1.1	700 000	350 000					
06 02 77 11	Pilot project — Feasibility study to test the use of a public-private joint undertaking to support the deployment of the European rail transport management system (ERTMS) throughout the core network corridors	1.1	400 000	200 000					
06 02 77 12	Preparatory action — Integrating remotely piloted aircraft systems (RPAS) in European airspace with an active geofencing service (AGS)	1.1	500 000	250 000					
06 02 77 13	Pilot project — Innovative ways of sustainably financing public transport	1.1	125 000	62 500					
	Article 06 02 77 — Subtotal		2 575 000	2 861 486	1 800 000	2 213 600	191 280,—	207 520,80	7,25
	<b>Chapter 06 02 — Total</b>		<b>3 931 587 922</b>	<b>1 909 318 257</b>	<b>2 272 028 544</b>	<b>1 803 202 715</b>	<b>2 586 561 073,65</b>	<b>930 476 079,29</b>	<b>48,73</b>

## COMMISSION

## TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 01 **Connecting Europe Facility (CEF)**

06 02 01 01 Removing bottlenecks, enhancing rail interoperability, bridging missing links and improving cross-border sections

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 092 004 777	582 450 000	686 522 277	463 983 806	1 122 087 732,44	419 182,87

*Remarks*

The objective of 'Removing bottlenecks and bridging missing links' refers to Article 4(2)(a) of Regulation (EU) No 1316/2013. This objective will be implemented through calls for proposals under the annual and multiannual work programmes constituting financing decisions within the meaning of Article 84 of the Financial Regulation, of the core network transport projects and Union transport corridors, which are defined in the annexes to the Connecting Europe Facility and TEN-T guidelines. The implementation of this objective is expected to be measured through new and improved cross-border connections and removed bottlenecks, which have benefitted from the Connecting Europe Facility.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 4(2)(a) thereof.

06 02 01 02 Ensuring sustainable and efficient transport systems

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
68 547 064	31 045 375	43 000 681	32 970 282	69 860 000,—	0,—

*Remarks*

The objective of 'Ensuring sustainable and efficient transport in the long run' refers to Article 4(2)(b) of Regulation (EU) No 1316/2013. This objective will be implemented through calls for proposals under the annual and multiannual work programmes constituting the financing decisions within the meaning of Article 84 of the Financial Regulation.

In the 2014-2020 period, a follow-up of the Marco Polo programme will be implemented by the Connecting Europe Facility within the framework of the revised TEN-T guidelines. In accordance with Article 32 of Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1), it will introduce a new approach in support of freight transport services in the Union (indicative budget EUR 70-140 million per year). It is important to optimise the use of transport infrastructure by shifting cargo to more sustainable modes, including inland waterways, and increase the efficiency of multimodal services. Sustainable schemes for partial reimbursement of the cost of modal shift for trucks (eco-bonus) can also be supported.



**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 01** (*cont'd*)06 02 01 02 (*cont'd*)*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 4(2)(b) thereof.

## 06 02 01 03 Optimising the integration and interconnection of transport modes and enhancing interoperability

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
203 641 042	92 100 505	129 002 042	89 137 637	209 548 550,—	122 400,—

*Remarks*

The objective of 'Optimising the integration and interconnection of transport modes and enhancing the interoperability, safety and security of transport' refers to Article 4(2)(c) of Regulation (EU) No 1316/2013.

This objective will be implemented through calls for proposals under the annual and multiannual work programmes constituting financing decisions within the meaning of Article 84 of the Financial Regulation.

The achievement of this objective will be measured by the number of inland and maritime ports and airports connected to the railway network, by the number of improved multimodal logistics platforms, by the number of improved connections through motorways of the sea and by the number of supply points in alternative sources of energy on the core network.

The implementation of the Single European Sky policy and the deployment of SESAR are pursued under this objective.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 4(2)(c) thereof.

## 06 02 01 04 Connecting Europe Facility (CEF) — Cohesion Fund allocation

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 372 380 457	378 659 459	1 215 582 454	392 121 515	982 996 970,—	0,—

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TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 01 (*cont'd*)06 02 01 04 (*cont'd*)*Remarks*

This appropriation is intended to cover the support from the Cohesion Fund under the 'Investment for growth and jobs' goal for transport infrastructure under the Connecting Europe Facility in accordance with Article 84(4) of Regulation (EU) No 1303/2013.

In accordance with Article 5(1)(a) of Regulation (EU) No 1316/2013, an amount of EUR 11 305 500 000 in current prices should be transferred from the Cohesion Fund to be spent in line with that Regulation exclusively in Member States eligible for funding from the Cohesion Fund.

In accordance with Article 11, this objective will be implemented through calls for proposals under the annual and multiannual work programmes, which are opened exclusively for the Member States eligible for financing under the Cohesion Fund. These annual and multiannual work programmes constitute financing decisions in the meaning of Article 84 of the Financial Regulation.

In accordance with Article 2(7) and Article 5(2), up to 1 % of the financial envelope will cover expenses pertaining to 'programme support actions'.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular:

- Article 5(1)(a) related to the transfer of EUR 11 305 500 000 from the Cohesion Fund to the Connecting Europe Facility,
- Article 11 related to the specific calls for funds transferred from the Cohesion Fund,
- Article 2(7) and Article 5(2) related to the 'programme support actions' supporting the implementation of Connecting Europe Facility.

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 01 (*cont'd*)

06 02 01 05 Creating an environment more conducive to private investment for transport infrastructure projects

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
66 354 000	43 300 000	70 000 000	43 657 683	70 000 000,—	0,—

*Remarks*

This objective of 'Creating an environment more conducive to private investment for transport infrastructure projects' aims to implement the projects of common interest through financial instruments, based on an *ex ante* assessment as required by Article 224 of Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1). In accordance with Article 21(4) of Regulation (EU) No 1316/2013, from 10 % to 20 % of the financial envelope for CEF transport will be available for innovative financing instruments such as the Project Bonds Instrument, the Loan Guarantee Instrument and other instruments such as joint ventures and the equity instruments to combine public and private financial resources to accelerate infrastructure investments in Europe. The financial instruments are intended to facilitate access to private financing and thus to accelerate or to make possible financing of the TEN-T projects eligible under the TEN-T guidelines and Regulation (EU) No 1316/2013. The financial instruments are intended to be established as 'debt' or 'equity' frameworks and they should address specific market failures and provide appropriate financing solutions. They are intended to be implemented as direct management by the entrusted entities, within the meaning of the Financial Regulation or jointly with the entrusted entities. The entrusted entities must be accredited to provide guarantees to the Commission on the protection of the Union financial interests in line with the requirements of the Financial Regulation.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenues may give rise to the provision of additional appropriations in accordance with Article 21(3)(i) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 14 thereof.

06 02 02 *European Aviation Safety Agency**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
34 818 550	34 818 550	35 634 767	35 634 767	35 270 959,84	35 209 468,—

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## TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 02 (*cont'd*)*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The establishment plan of the Agency is set out in Annex 'Staff' to this section.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 of 30 September 2013 on the framework financial regulation for the bodies referred to in Article 208 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council (OJ L 328, 7.12.2013, p. 42) constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2016 amounts to a total of EUR 36 370 000. An amount of EUR 1 551 450 coming from the recovery of surplus, is added to the amount of EUR 34 818 550 entered in the budget.

*Legal basis*

Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency (OJ L 79, 19.3.2008, p. 1).

Regulation (EC) No 1108/2009 of the European Parliament and of the Council of 21 October 2009 amending Regulation (EC) No 216/2008 in the field of aerodromes, air traffic management and air navigation services and repealing Directive 2006/23/EC (OJ L 309, 24.11.2009, p. 51).

*Reference acts*

Commission Regulation (EC) No 768/2006 of 19 May 2006 implementing Directive 2004/36/EC of the European Parliament and of the Council as regards the collection and exchange of information on the safety of aircraft using Community airports and the management of the information system (OJ L 134, 20.5.2006, p. 16).

Commission Regulation (EC) No 1356/2008 of 23 December 2008 amending Regulation (EC) No 593/2007 on the fees and charges levied by the European Aviation Safety Agency (OJ L 350, 30.12.2008, p. 46).

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 02** (*cont'd*)

Commission Implementing Regulation (EU) No 628/2013 of 28 June 2013 on working methods of the European Aviation Safety Agency for conducting standardisation inspections and for monitoring the application of the rules of Regulation (EC) No 216/2008 of the European Parliament and of the Council and repealing Commission Regulation (EC) No 736/2006 (OJ L 179, 29.6.2013, p. 46).

Commission Regulation (EU) No 319/2014 of 27 March 2014 on the fees and charges levied by the European Aviation Safety Agency, and repealing Regulation (EC) No 593/2007 (OJ L 93, 28.3.2014, p. 58).

**06 02 03** ***European Maritime Safety Agency*****06 02 03 01** European Maritime Safety Agency

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
29 549 032	29 523 073	30 282 323	30 282 323	31 708 322,15	31 708 322,—

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3) with the exception of anti-pollution measures (see Item 06 02 03 02).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2016 including anti-pollution measures amounts to a total of EUR 53 379 372. An amount of EUR 2 230 340 coming from the recovery of surplus, is added to the amount of EUR 51 149 032 entered in the budget.

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TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 03 (*cont'd*)06 02 03 01 (*cont'd*)*Legal basis*

Regulation (EC) No 1406/2002 of the European Parliament and of the Council of 27 June 2002 establishing a European Maritime Safety Agency (OJ L 208, 5.8.2002, p. 1).

## 06 02 03 02 European Maritime Safety Agency — Anti-pollution measures

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
21 600 000	17 811 801	20 600 000	12 968 852	20 271 153,—	7 961 583,—

*Remarks*

This appropriation is intended to cover anti-pollution measures as provided for in Regulation (EU) No 911/2014 of the European Parliament and of the Council.

It is in accordance with the European Parliament and Council decision to extend the tasks of the EMSA to include response to marine pollution caused by offshore installations for oil and gas exploitation.

*Legal basis*

Regulation (EC) No 1406/2002 of the European Parliament and of the Council of 27 June 2002 establishing a European Maritime Safety Agency (OJ L 208, 5.8.2002, p. 1).

Regulation (EU) No 911/2014 of the European Parliament and of the Council of 23 July 2014 on multiannual funding for the action of the European Maritime Safety Agency in the field of response to marine pollution caused by ships and oil and gas installations (OJ L 257, 28.8.2014, p. 115).

06 02 04 **European Railway Agency***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 213 000	25 213 000	24 659 000	24 659 000	25 721 664,40	25 715 600,—

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 04** (*cont'd*)

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 26 000 000. An amount of EUR 787 000 coming from the recovery of surplus, is added to the amount of EUR 25 213 000 entered in the budget.

*Legal basis*

Regulation (EC) No 881/2004 of the European Parliament and of the Council of 29 April 2004 establishing a European Railway Agency (Agency Regulation) (OJ L 164, 30.4.2004, p. 1).

Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004 on safety on the Community's railways (Railway Safety Directive) (OJ L 164, 30.4.2004, p. 44).

Directive 2007/59/EC of the European Parliament and of the Council of 23 October 2007 on the certification of train drivers operating locomotives and trains on the railway system in the Community (OJ L 315, 3.12.2007, p. 51).

Directive 2008/57/EC of the European Parliament and of the Council of 17 June 2008 on the interoperability of the rail system within the Community (OJ L 191, 18.7.2008, p. 1).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 30 January 2013, on the European Union Agency for Railways and repealing Regulation (EC) No 881/2004 (COM(2013) 27 final).

**06 02 05** ***Support activities to the European transport policy and passenger rights including communication activities****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 705 000	15 621 386	12 363 000	17 405 878	17 339 491,42	15 434 883,84

## COMMISSION

## TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 05 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is intended to cover expenditure on information and communication, conferences and events promoting activities in the transport sector as well as electronic and paper publications directly linked to the achievement of the objective of transport policy, as well as safety and protection of transport users.

This appropriation is also intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the Union's common transport policy, for all transport modes (road, rail, air, sea and inland waterways) and for all transport sectors (transport safety, internal market of transport with its implementation rules, optimisation of transport network, passengers' rights and protection in all transport modes, the use of alternative fuels in all transport modes, clean vehicles procurement and urban mobility, employment data, as well as for all other sectors related to transport). The main endorsed actions and objectives are intended to support the Union's common transport policy, including extension to third countries, technical assistance for all transport modes and sectors, specific training, definition of rules of transport safety, simplification of administrative procedures, the use of ICT technologies, the contribution to the standardisation process, and promotion of the common transport policy including establishment and implementation of trans-European network orientation endorsed by the Treaty on the Functioning of the European Union as well as strengthening passengers' rights and protection in all transport modes and to improve the application and enforcement of existing Regulations on passenger rights, in particular through awareness-raising activities about the content of those Regulations, targeted at both the transport industry as well as the travelling public.

*Maritime transport and logistics*

This appropriation is intended to cover the development and implementation of the Union's maritime transport strategy, in line with the objectives defined in the White Paper on the future of transport.

This includes analyses of economic and technological developments, support of international negotiations, developing and interpreting cabotage rules, following complaints and infringements procedures, development and implementation of actions to promote short sea shipping, administrative simplification and use of ICT systems in the waterborne and logistic sector, as well as support of the sustainable development of the shipping sector.

This appropriation is intended to cover the development and implementation of a Union strategy for freight transport logistics, including the digital transport and logistics agenda, providing a framework and actions for interoperable multimodal transport information and management systems and related standardisation issues, administrative (European) single window(s) for multimodal transport, a single transport document and a multimodal liability regime.

This appropriation is also intended to cover the development of a reference framework on carbon footprint calculators, modal shift follow-up (former Marco Polo programme), supporting standardisation and harmonisation of equipment and combined transport.



**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 05** (*cont'd*)*Maritime safety*

This appropriation is intended to cover monitoring, evaluation and revision (impact assessment) of Union legislation on maritime safety, protecting the maritime environment and promoting qualifications and working conditions for seafarers.

*Passenger rights*

This appropriation is intended to cover expenditure on monitoring, evaluation, revision and raising awareness actions of Union legislation on passenger rights.

In connection with Regulation (EC) No 261/2004 of the European Parliament and of the Council of 11 February 2004 establishing common rules on compensation and assistance to passengers in the event of denied boarding and of cancellation or long delay of flights, and repealing Regulation (EEC) No 295/91 (OJ L 46, 17.2.2004, p. 1), the Commission needs to develop additional measures to make enforcement of that Regulation more efficient. The implementation of Regulation (EC) No 1107/2006 of the European Parliament and of the Council of 5 July 2006 concerning the rights of disabled persons and persons with reduced mobility when travelling by air (OJ L 204, 26.7.2006, p. 1) and Council Regulation (EC) No 2027/97 of 9 October 1997 on air carrier liability in the event of accidents (OJ L 285, 17.10.1997, p. 1) needs also to be ensured.

The implementation of Regulation (EC) No 1371/2007 of the European Parliament and of the Council of 23 October 2007 on rail passengers' rights and obligations (OJ L 315, 3.12.2007, p. 14) requires specific implementation action due to legal reporting requirements of the Commission and due to the complex interaction of regional, national and international (COTIF) administrative structures involved in the implementation.

The implementation of Regulation (EU) No 1177/2010 of the European Parliament and of the Council of 24 November 2010 concerning the rights of passengers when travelling by sea and inland waterway (OJ L 334, 17.12.2010, p. 1) requires specific implementation actions to ensure its correct application and enforcement in the Member States and the compliance by Member States with their legal reporting obligations towards the Commission.

The implementation of Regulation (EU) No 181/2011 of the European Parliament and of the Council of 16 February 2011 concerning the rights of passengers in bus and coach travel (OJ L 55, 28.2.2011, p. 1) requires specific implementation actions in order to ensure its correct application and enforcement in the Member States and the compliance by Member States with their legal reporting obligations towards the Commission.

As an important implementation support measure the Commission conducts targeted actions covering some or all Member States to raise public awareness on passenger rights. Nearly one third of Union citizens are aware of their rights and obligations when buying a ticket to travel (31 %), although 59 % are said to be unaware of them (Eurobarometer on passenger rights 2014).

These actions and objectives could be supported at different levels (local, regional, national, European and international), for all transport modes and sectors and related to transport, as well as in technical, technological, regulatory, informative, environmental, climatic and political fields and for sustainable development.

Air transport has long been one of the sectors in which the consumer authorities receive most complaints in the Union. The increase in the number of commercial transactions performed electronically (using the internet or a mobile telephone) has merely resulted in more breaches of Union consumer legislation.

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 05 (*cont'd*)

One of the main complaints by Union consumers is that there are no effective means of redress at airports themselves, particularly when disputes arise as a result of failure on the part of airlines and other service providers to meet their obligations. Union consumers and air transport authorities therefore need to work together to secure an immediate improvement in passenger support and information facilities at airports and, at the same time, step up co-regulation in the sector.

*Road safety*

The Commission communication of 20 July 2010 entitled 'Towards a European road safety area: policy orientations on road safety 2011-2020' (COM(2010) 389 final) presents seven objectives: road user education, enforcement of traffic rules, safe infrastructure, safe vehicles, use of modern technology, post-injury emergency responses and a special focus on vulnerable road users. Work continues on the Commission's proposal, on the new Union driving licences, and on the revision of the rules on qualification and training for professional drivers, on the follow-up to the Directives 2014/45/EU, 2014/46/EU and 2014/47/EU, and the work towards a strategy on serious road traffic injuries. The Commission's road safety work also includes the management of the European Road Safety Charter, the management of rules relating to dangerous goods, the maintenance of a European database on road accidents (CARE), the follow-up on the infrastructure management and tunnel safety directives and on various aspects of vulnerable road user safety. The implementation of the policy orientations 2011-2020 would also require specific implementation action for the exchange of good practices, road safety campaigns, calls for proposals and development of the road safety observatory; as well as the evaluations of options for making the road safety in the Union work more effectively and efficiently in the future.

This appropriation is also intended to cover expenditure on communication activities and on public events such as the annual European Road Safety Day and similar awareness-raising and citizen interaction initiatives.

It is also intended to establish efficient cross-border cooperation between Member States concerning the enforcement of road safety related traffic offences.

*Land transport*

The main activities in the land transport area concern the implementation and review of existing policies and the planning of new initiatives. Among others, this includes activities in areas such as road charging, market access, social rules (including their enforcement), technical rules and international aspects (land transport relations with third countries and international organisations dealing with land transport issues).

*Single European Sky*

The full implementation of the Single European Sky (four basic Regulations (EC) No 549/2004, (EC) No 550/2004, (EC) No 551/2004 and (EC) No 552/2004, and more than 20 implementing rules) is a key priority to improve the performance of the air navigation services in terms of safety, cost efficiency of the air navigation service provision, reduction of air traffic flow delays and environmental performance, and hence of air transport in Europe.

The extension of the Single European Sky legislation to the south-east states through the Multilateral Agreement on the Establishment of a European common aviation area (ECAA) is an important step for the achievement of the neighbouring policy. This action is based on Article 7 of Regulation (EC) No 549/2004.

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 05** (*cont'd*)*Aviation safety and environment*

The uses of several legislative tools are important to ensure the safety of European Aviation and to ensure the achievement of an environmentally sustainable growth.

According to Articles 3 to 5 of Regulation (EC) No 2111/2005, the Commission may impose total bans or partial restrictions on air carriers from third countries coming to the Union. In that context and in accordance with Article 3(4) of Commission Regulation (EC) No 473/2006, the Commission, the European Aviation Safety Agency (EASA) and experts from the Member States may carry out assessment missions to conduct on-the-spot checks and to identify safety deficiencies of the air carriers and the authorities responsible for their oversight. The cost of on-site assessment visits for national experts is either reimbursed by the Union or comes out of their own budget.

There is a clear need to complement these missions with more positive and preventive actions as well as with *ex post* technical cooperation to assist the countries concerned by the ban or restrictions to correct the deficiencies. In addition, the Commission and EASA have as their objective the promotion of the highest standards of aviation safety across the world.

In this context, the large-scale Union projects in the area of civil aviation cooperation managed by other Directorates-General (ELARG and DEVCO), which can only be implemented on a long-term basis, do not provide an immediate answer to short-term needs.

The objective of this initiative is therefore to complement the existing instruments by establishing a flexible tool to implement *ad hoc* preventive and assistance (corrective) actions in favour of the national authorities responsible for the oversight of air carriers affected by the Union ban within the Union. The actions envisaged are small-scale and short-term activities.

Since the framework service contract concluded between EASA and MOVE in 2009 proved to be an effective and efficient tool to carry out technical assistance, a new contract with EASA was concluded for the period 2013-2016.

In addition, Regulation (EU) No 996/2010 on the investigation and prevention of accidents and incidents in civil aviation provides for the establishment of a European Network of Civil Aviation Safety Investigation Authorities (Encasia). Encasia is required to develop actions in order to further improve the quality of investigations conducted by safety investigation authorities and to enhance accident prevention in the Union. According to that Regulation, the Commission is associated with Encasia's work and provides Encasia with the necessary support.

Moreover, the Commission has been cooperating with Eurocontrol since 2003 under the Memorandum of Cooperation related to support to the Single European Sky and other Union policies. The Commission adopted a new framework agreement MOVE/E2/2012/FV/397-3 on 19 December 2012. The aim of this framework agreement is to assist the Commission in preparing and implementing Union environmental policies in aviation. These policies cover noise and emissions (CO<sub>2</sub> or NO<sub>x</sub>), where ICAO is developing standards for aircraft, which are quasi-automatically transposed into Union law by virtue of Article 6 of Regulation (EC) No 216/2008. In addition, the implementation and assessment of Union legislation requires the development of data and methods contributing to the overall European environmental assessment capacity. This fiche does not cover new activities but activities which used to be financed under the general envelope attributed to Eurocontrol.

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Finally, the Memorandum of Cooperation covers areas of aviation for which both the Commission and ICAO have responsibilities (i.e. safety, environment, air traffic management and aviation security). This strengthened cooperation will ensure the indispensable participation in and contribution to ICAO initiatives in several key aviation policy areas which are being pursued at the global level. This includes the initiative to strengthen the safety of international aviation on a worldwide basis, or arriving at measures with regard to the environmental impact of aviation which are acceptable worldwide. It aims to ensure that European interests (including of an industrial nature, for example in the area of the definition of worldwide technical standards) are better taken into consideration by ICAO. Through the agreement, ICAO will also be brought to accept, support and enhance the increasingly important role which regional organisations play in the current and future development of international aviation.

*Urban mobility*

The appropriation is intended to support the implementation of the 2013 'Urban mobility' package. This package aims to reinforce the support to European cities for tackling urban mobility challenges. To this end, the Commission will, among other actions, promote studies, conferences, campaigning actions (such as the European Mobility Week), and the establishment of platforms for the exchanges of information and best practices.

*Use of alternative fuels in transport*

The appropriation is intended to support the implementation of the strategy defined in the 'Clean power for transport' package (Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions 'Clean power for transport: a European alternative fuels strategy' (COM(2013) 17 final). The appropriation will also support the Commission with monitoring and assessing the implementation of national policy frameworks to be submitted by the Member States to comply with Article 3 of Directive 2014/94/EU on the deployment of alternative fuels infrastructure, as well as with the preparation of the reports foreseen by Article 10 of that Directive. Activities in support of the decarbonisation strategy and alternative fuels action plan to be adopted in 2017 will also be covered.

*Clean vehicles procurement*

Full transposition of Directive 2009/33/EC on the promotion of clean and energy-efficient road transport vehicles was completed in November 2013. The results of the first report on the application of the Directive were published on 18 April 2013. An external evaluation aimed at providing policy recommendations was undertaken. The appropriation is intended to cover the reporting and review requirements established by Article 10 of Directive 2009/33/EC on the promotion of clean and energy-efficient road transport vehicles as well as other support actions (e.g. communication activities).

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Regulation (EEC) No 4055/86 of 22 December 1986 applying the principle of freedom to provide services to maritime transport between Member States and between Member States and third countries (OJ L 378, 31.12.1986, p. 1).

Council Regulation (EEC) No 4057/86 of 22 December 1986 on unfair pricing practices in maritime transport (OJ L 378, 31.12.1986, p. 14).

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 05** (*cont'd*)

Council Regulation (EEC) No 4058/86 of 22 December 1986 concerning coordinated action to safeguard free access to cargoes in ocean trades (OJ L 378, 31.12.1986, p. 21).

Council Regulation (EEC) No 3577/92 of 7 December 1992 applying the principle of freedom to provide services to maritime transport within Member States (maritime cabotage) (OJ L 364, 12.12.1992, p. 7).

Directive 92/106/EEC of the Council of 7 December 1992 on the establishment of common rules for certain types of combined transport of goods between Member States (OJ L 368, 17.12.1992, p. 38).

Council Regulation (EEC) No 95/93 of 18 January 1993 on common rules for the allocation of slots at Community airports (OJ L 14, 22.1.1993, p. 1).

Council Decision 93/704/EC of 30 November 1993 on the creation of a Community database on road accidents (OJ L 329, 30.12.1993, p. 63).

Council Directive 95/50/EC of 6 October 1995 on uniform procedures for checks on the transport of dangerous goods by road (OJ L 249, 17.10.1995, p. 35).

Council Directive 96/53/EC of 25 July 1996 laying down for certain road vehicles circulating within the Community the maximum authorized dimensions in national and international traffic and the maximum authorized weights in international traffic (OJ L 235, 17.9.1996, p. 59).

Council Directive 96/67/EC of 15 October 1996 on access to the groundhandling market at Community airports (OJ L 302, 26.11.1996, p. 28).

Directive 1999/62/EC of the European Parliament and of the Council of 17 June 1999 on the charging of heavy goods vehicles for the use of certain infrastructures (OJ L 187, 20.7.1999, p. 42).

Directive 2002/15/EC of the European Parliament and of the Council of 11 March 2002 on the organisation of the working time of persons performing mobile road transport activities (OJ L 80, 23.3.2002, p. 35).

Directive 2002/30/EC of the European Parliament and of the Council of 26 March 2002 on the establishment of rules and procedures with regard to the introduction of noise-related operating restrictions at Community airports (OJ L 85, 28.3.2002, p. 40).

Regulation (EC) No 889/2002 of the European Parliament and of the Council of 13 May 2002 amending Council Regulation (EC) No 2027/97 on air carrier liability in the event of accidents (OJ L 140, 30.5.2002, p. 2).

Directive 2003/59/EC of the European Parliament and of the Council of 15 July 2003 on the initial qualification and periodic training of drivers of certain road vehicles for the carriage of goods or passengers, amending Council Regulation (EEC) No 3820/85 and Council Directive 91/439/EEC and repealing Council Directive 76/914/EEC (OJ L 226, 10.9.2003, p. 4).

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Regulation (EC) No 261/2004 of the European Parliament and of the Council of 11 February 2004 establishing common rules on compensation and assistance to passengers in the event of denied boarding and of cancellation or long delay of flights, and repealing Regulation (EEC) No 295/91 (OJ L 46, 17.2.2004, p. 1).

Regulation (EC) No 549/2004 of the European Parliament and of the Council of 10 March 2004 laying down the framework for the creation of the single European sky (the framework Regulation) (OJ L 96, 31.3.2004, p. 1).

Regulation (EC) No 550/2004 of the European Parliament and of the Council of 10 March 2004 on the provision of air navigation services in the single European sky (the service provision Regulation) (OJ L 96, 31.3.2004, p. 10).

Regulation (EC) No 551/2004 of the European Parliament and of the Council of 10 March 2004 on the organisation and use of the airspace in the single European sky (the airspace Regulation) (OJ L 96, 31.3.2004, p. 20).

Regulation (EC) No 552/2004 of the European Parliament and of the Council of 10 March 2004 on the interoperability of the European Air Traffic Management network (the interoperability Regulation) (OJ L 96, 31.3.2004, p. 26).

Regulation (EC) No 785/2004 of the European Parliament and of the Council of 21 April 2004 on insurance requirements for air carriers and aircraft operators (OJ L 138, 30.4.2004, p. 1).

Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004 on safety on the Community's railways (OJ L 164, 30.4.2004, p. 44).

Directive 2004/52/EC of the European Parliament and of the Council of 29 April 2004 on the interoperability of electronic road toll systems in the Community (OJ L 166, 30.4.2004, p. 124).

Directive 2004/54/EC of the European Parliament and of the Council of 29 April 2004 on minimum safety requirements for tunnels in the Trans-European Road Network (OJ L 167, 30.4.2004, p. 39).

Regulation (EC) No 2111/2005 of the European Parliament and of the Council of 14 December 2005 on the establishment of a Community list of air carriers subject to an operating ban within the community and on informing air transport passengers of the identity of the operating air carrier (OJ L 344, 27.12.2005, p. 15).

Directive 2006/1/EC of the European Parliament and of the Council of 18 January 2006 on the use of vehicles hired without drivers for the carriage of goods by road (OJ L 33, 4.2.2006, p. 82).

Directive 2006/22/EC of the European Parliament and of the Council of 15 March 2006 on minimum conditions for the implementation of Council Regulations (EEC) No 3820/85 and (EEC) No 3821/85 concerning social legislation relating to road transport activities and repealing Council Directive 88/599/EEC (OJ L 102, 11.4.2006, p. 35).

Regulation (EC) No 561/2006 of the European Parliament and of the Council of 15 March 2006 on the harmonisation of certain social legislation relating to road transport (OJ L 102, 11.4.2006, p. 1).

Commission Regulation (EC) No 473/2006 of 22 March 2006 laying down implementing rules for the Community list of air carriers which are subject to an operating ban within the Community referred to in Chapter II of Regulation (EC) No 2111/2005 of the European Parliament and of the Council (OJ L 84, 23.3.2006, p. 8).



**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 05** (*cont'd*)

Regulation (EC) No 1107/2006 of the European Parliament and of the Council of 5 July 2006 concerning the rights of disabled persons and persons with reduced mobility when travelling by air (OJ L 204, 26.7.2006, p. 1).

Directive 2006/87/EC of the European Parliament and of the Council of 12 December 2006 laying down technical requirements for inland waterway vessels (OJ L 389, 30.12.2006, p. 1).

Directive 2006/126/EC of the European Parliament and of the Council of 20 December 2006 on driving licences (OJ L 403, 30.12.2006, p. 18).

Regulation (EC) No 1370/2007 of the European Parliament and of the Council of 23 October 2007 on public passenger transport services by rail and by road (OJ L 315, 3.12.2007, p. 1).

Regulation (EC) No 1371/2007 of the European Parliament and of the Council of 23 October 2007 on rail passengers' rights and obligations (OJ L 315, 3.12.2007, p. 4).

Directive 2007/59/EC of the European Parliament and of the Council of 23 October 2007 on the certification of train drivers operating locomotives and trains on the railway system in the Community (OJ L 315, 3.12.2007, p. 51).

Directive 2008/57/EC of the European Parliament and of the Council of 17 June 2008 on the interoperability of the rail system within the community (OJ L 191, 18.7.2008, p. 1).

Regulation (EC) No 1008/2008 of the European Parliament and of the Council of 24 September 2008 on common rules for the operation in air services in the Community (OJ L 293, 31.10.2008, p. 3).

Directive 2008/68/EC of the European Parliament and of the Council of 24 September 2008 on the inland transport of dangerous goods (OJ L 260, 30.9.2008, p. 18).

Directive 2008/96/EC of the European Parliament and of the Council of 19 November 2008 on road infrastructure safety management (OJ L 319, 29.11.2008, p. 59).

Regulation (EC) No 80/2009 of the European Parliament and of the Council of 14 January 2009 on a Code of Conduct for computerised reservation systems and repealing Council Regulation (EEC) No 2299/89 (OJ L 35 of 4.2.2009, p. 47).

Directive 2009/12/EC of the European Parliament and of the Council of 11 March 2009 on airport charges (OJ L 70, 14.3.2009, p. 11).

Regulation (EC) No 392/2009 of the European Parliament and of the Council of 23 April 2009 on the liability of carriers of passengers by sea in the event of accidents (OJ L 131, 28.5.2009, p. 24).

Regulation (EC) No 1071/2009 of the European Parliament and of the Council of 21 October 2009 establishing common rules concerning the conditions to be complied with to pursue the occupation of road transport operator (OJ L 300, 14.11.2009, p. 51).

Regulation (EC) No 1072/2009 of the European Parliament and of the Council of 21 October 2009 on common rules for access to the international road haulage market (OJ L 300, 14.11.2009, p. 72).

Regulation (EC) No 1073/2009 of the European Parliament and of the Council of 21 October 2009 on common rules for access to the international market for coach and bus services, and amending Regulation (EC) No 561/2006 (OJ L 300, 14.11.2009, p. 88).

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Directive 2010/35/EU of the European Parliament and of the Council of 16 June 2010 on transportable pressure equipment and repealing Council Directives 76/767/EEC, 84/525/EEC, 84/526/EEC, 84/527/EEC and 1999/36/EC (OJ L 165, 30.6.2010, p. 1).

Directive 2010/40/EU of the European Parliament and of the Council of 7 July 2010 on the framework for the deployment of Intelligent Transport Systems in the field of road transport and for interfaces with other modes of transport (OJ L 207, 6.8.2010, p. 1).

Regulation (EU) No 913/2010 of the European Parliament and of the Council of 22 September 2010 concerning a European rail network for competitive freight (OJ L 276, 20.10.2010, p. 22).

Regulation (EU) No 996/2010 of the European Parliament and of the Council of 20 October 2010 on the investigation and prevention of accidents and incidents in civil aviation and repealing Directive 94/56/EC (OJ L 295, 12.11.2010, p. 35).

Regulation (EU) No 1177/2010 of the European Parliament and of the Council of 24 November 2010 concerning the rights of passengers when travelling by sea and inland waterway and amending Regulation (EC) No 2006/2004 (OJ L 334, 17.12.2010, p. 1).

Regulation (EU) No 181/2011 of the European Parliament and of the Council of 16 February 2011 concerning the rights of passengers in bus and coach transport and amending Regulation (EC) No 2006/2004 (OJ L 55, 28.2.2011, p. 1).

Council Decision 2012/243/EU of 8 March 2012 on the conclusion of a Memorandum of Cooperation between the European Union and the International Civil Aviation Organization providing a framework for enhanced cooperation, and laying down procedural arrangements related thereto (OJ L 121, 8.5.2012, p. 16).

Directive 2012/34/EU of the European Parliament and of the Council of 21 November 2012 establishing a single European railway area (OJ L 343, 14.12.2012, p. 32).

Regulation (EU) No 165/2014 of the European Parliament and of the Council of 4 February 2014 on tachographs in road transport, repealing Council Regulation (EEC) No 3821/85 on recording equipment in road transport and amending Regulation (EC) No 561/2006 of the European Parliament and of the Council on the harmonisation of certain social legislation relating to road transport (OJ L 60, 28.2.2014, p. 1).

Regulation (EU) No 376/2014 of the European Parliament and of the Council of 3 April 2014 on the reporting, analysis and follow-up of occurrences in civil aviation, amending Regulation (EU) No 996/2010 of the European Parliament and of the Council and repealing Directive 2003/42/EC of the European Parliament and of the Council and Commission Regulations (EC) No 1321/2007 and (EC) No 1330/2007 (OJ L 122, 24.4.2014, p. 18).

Directive (EU) 2015/413 of the European Parliament and of the Council of 11 March 2015 facilitating the cross-border exchange of information on road-safety-related traffic offences (OJ L 68, 13.3.2015, p. 9).



CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 06 **Transport security***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 200 000	1 700 000	2 582 000	1 701 948	1 356 401,17	1 770 244,64

*Remarks*

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the rules and measures required to improve the security of inland, air and sea transport, including extension to third countries, technical assistance and specific training.

The main objectives are to develop and apply security rules in the field of transport and in particular:

- measures to prevent malicious acts in the transport sector,
- approximation of legislation, technical standards and administrative monitoring practices relating to transport security,
- definition of common indicators, methods and security objectives for the transport sector and collection of the data necessary for such definition,
- monitoring of measures taken on transport security by the Member States, in all modes,
- international coordination on transport security,
- promoting research into transport security.

This appropriation is intended in particular to cover expenditure on the establishment and operation of a corps of inspectors to check compliance with the requirements of Union security legislation of airports, ports and port facilities in the Member States, including extension to third countries, and of ships flying the flag of a Member State. This expenditure includes the subsistence allowances and travel expenses of the Commission inspectors and the expenses of inspectors from the Member States, borne in accordance with the provisions laid down in that legislation. The costs of training inspectors, of preparatory meetings and of the supplies needed for inspections must, in particular, be added to that expenditure.

This appropriation is also intended to cover expenditure on information and communication, as well as electronic and paper publications directly linked to the achievement of the objective of transport policy, as well as safety and protection of transport users.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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Regulation (EC) No 725/2004 of the European Parliament and of the Council of 31 March 2004 on enhancing ship and port facility security (OJ L 129, 29.4.2004, p. 6).

Directive 2005/65/EC of the European Parliament and of the Council of 26 October 2005 on enhancing port security (OJ L 310, 25.11.2005, p. 28).

Regulation (EC) No 300/2008 of the European Parliament and of the Council of 11 March 2008 on common rules in the field of civil aviation security (OJ L 97, 9.4.2008, p. 72).

Directive 2008/68/EC of the European Parliament and of the Council of 24 September 2008 on the inland transport of dangerous goods (OJ L 260, 30.9.2008, p. 13).

06 02 51 **Completion of trans-European networks programme**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	630 340 000	p.m.	632 817 206	208 549,23	782 315 140,52

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 1692/96/EC of the European Parliament and of the Council of 23 July 1996 on Community guidelines for the development of the trans-European transport network (OJ L 228, 9.9.1996, p. 1).

Council Regulation (EC) No 876/2002 of 21 May 2002 setting up the Galileo joint undertaking (OJ L 138, 28.5.2002, p. 1).

Council Regulation (EC) No 219/2007 of 27 February 2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64, 2.3.2007, p. 1).

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

Commission Decision C(2007) 3512 of 23 July 2007, establishing the multiannual work programme for grants in the field of trans-European network for the period 2007-2013.

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 51** (*cont'd*)

Regulation (EC) No 67/2010 of the European Parliament and of the Council of 30 November 2009 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 27, 30.1.2010, p. 20).

Decision No 661/2010/EU of the European Parliament and of the Council of 7 July 2010 on Union guidelines for the development of the trans-European transport network (OJ L 204, 5.8.2010, p. 1).

*Reference acts*

Commission Decision C(2001) 2654 of 19 September 2001 establishing an indicative multiannual programme for the granting of Community financial aid in the area of the trans-European transport network for the period 2001 to 2006.

Commission Decision C(2007) 6382 of 17 December 2007 on the conclusion of a Cooperation Agreement between the Commission and the European Investment Bank in respect of the Loan Guarantee Instrument for TEN-T Projects.

**06 02 52** ***Completion of Marco Polo programme****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	19 185 423	p.m.	17 463 073	0,—	17 253 824,95

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Regulation (EC) No 1382/2003 of the European Parliament and of the Council of 22 July 2003 on the granting of Community financial assistance to improve the environmental performance of the freight transport system (Marco Polo programme) (OJ L 196, 2.8.2003, p. 1).

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 52 (*cont'd*)

Regulation (EC) No 1692/2006 of the European Parliament and of the Council of 24 October 2006 establishing the second Marco Polo programme for the granting of Community financial assistance to improve the environmental performance of the freight transport system (Marco Polo II) (OJ L 328, 24.11.2006, p. 1).

06 02 53 **Completion of anti-pollution measures**

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	4 688 199	p.m.	6 185 145	0,—	12 357 908,67

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

*Legal basis*

Regulation (EC) No 1406/2002 of the European Parliament and of the Council of 27 June 2002 establishing a European Maritime Safety Agency (OJ L 208, 5.8.2002, p. 1).

06 02 77 **Pilot projects and preparatory actions**

## 06 02 77 01 Preparatory action — European transport information and booking interface across transport modes

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	790 000	p.m.	790 170	0,—	207 520,80

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 77** (*cont'd*)06 02 77 01 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 03 Preparatory action — Ships fuelled by liquefied natural gas (LNG)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	436 192	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 05 Pilot project — The role of rolling stock in European interoperability

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	500 000	250 000	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)06 02 77 05 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 06 Preparatory action — General aviation — Statistics and key figures

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	133 986	p.m.	87 238	191 280,—	0,—

*Remarks*

Although the Commission gathers and publishes a large volume of transport-related figures and relevant statistics, general aviation has not been covered. This preparatory action will produce significant data on general aviation in Europe, such as passenger kilometres, workforce, turnover and economic output. As the European Aviation Safety Agency will continue to bring forward new regulations for this sector over the coming years, having a complete database could provide added value for better regulation.

This preparatory action is in line with the European Parliament resolution of 3 February 2009 on an agenda for sustainable future in general and business aviation (OJ C 67 E, 18.3.2010, p. 5), which highlights 'a lack of data and statistical information on general and business aviation' (recital C) and calls on the Commission to 'develop and implement a systematic approach to the gathering and sharing of international and European data' (paragraph 27).

The preparatory action also takes into account the Commission communication entitled 'An agenda for sustainable future in general and business aviation' (COM(2007) 869 final), which calls for 'development at the European level of the basic set of objective and coherent data'.

The preparatory action will aim to collect the most important data on general aviation in Europe, including passenger kilometres (available and flown), number of flights with a shorter distance than 500 km, workforce, turnover, economic output, environmental effect (including data on climate-relevant emissions), direct and indirect impact on related industries, safety issues, taxation (including number and percentage of tickets exempted from VAT and quantity and percentage of the kerosene used that is exempted from taxation) as well as social and economic impacts. The preparatory action will also aim to gather information on ground handling services for general aviation and the legal (including social) framework for the provision of those services.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 77** (*cont'd*)

06 02 77 07 Pilot project — Beyond traffic jams: intelligent integrated transport solutions for road infrastructure

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	650 000	1 300 000	650 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project will focus on the development of innovative solutions targeting improvements in urban logistics, road safety, public transport, traffic management and mobility planning.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 08 Pilot project — GNSS monitoring system for heavy vehicles

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
350 000	175 000				

*Remarks*

The pilot project will involve a study assessing the scope for developing a GNSS monitoring system in Europe for heavy commercial vehicles. The aim is to improve transport safety, traceability and compliance with rules and laws, and to ensure fair competition. The study will assess the best ways of using a GNSS monitoring system to improve the implementation of road transport legislation. Through real-time monitoring it will be possible to combat illegal practices in transport sector and illegal trafficking of goods and waste more effectively and to carry out real-time checks on all information regarding trucks and drivers.

On the basis of existing regulations and systems (digital tachographs monitoring working time and on-board weighing systems monitoring compliance with permissible weights), the study will involve, in stages:

- (1) defining objectives by reviewing the legislation to be monitored by the system (for instance tracking/tracing of dangerous goods, cabotage rules), possible new upcoming legislation for HOVs (e.g. eCall, event data recorders), taking particular account of the results of the study 'Benefit and feasibility of a range of new technologies and unregulated measures in the fields of vehicle occupant safety and protection of vulnerable road users' published by the Commission in March 2015;

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)06 02 77 08 (*cont'd*)

- (2) defining the functional requirements for the applications listed in point (1);
- (3) establishing a system architecture incorporating digital tachographs, and providing the technical requirements for all modules needed for the system;
- (4) assessing the security mechanisms to avoid fraud and jamming;
- (5) verifying the conditions for market introduction;
- (6) assessing the acceptability of the project by the road transport industry through open consultation of the stakeholders on a steering group monitoring all project stages;
- (7) assessing impacts for potential legislation with a view to making the system mandatory or 'strongly recommended'.

Studies have already been carried out by the Commission on the technical aspects of such an integrated on-board system (stages 1 and 3 above). This study will therefore focus essentially on the political aspects (stages 5 to 7) and go further into the technical issues (stages 1 to 4).

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 06 02 77 09 Pilot project — Making the EU transport sector attractive to future generations

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

In order to be competitive the transport sector requires a highly skilled, competitive and well prepared and trained workforce. In a number of areas there is today a clear need for specialised workers, as the labour market is not providing enough incentives to fill some of the high specialised vacancies in this sector, while at the same time it is difficult to fill some vacancies for low-skilled jobs. In the maritime sector more and more seaman jobs are disappearing and it has become more and more difficult to attract EU workers to this sector, mostly because of the demanding working standards and poor conditions. In aviation, more and more pilots and cabin crew are leaving the EU and an increasing number are accepting working arrangements in the EU that fall well below the well-established standards in the sector.

Furthermore, there is an urgent need to prepare for the imminent replacement of workers retiring in the transport sector.



**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 77** (*cont'd*)06 02 77 09 (*cont'd*)

Objectives:

- to prepare for this scenario and to provide the tools the Commission and the European Parliament and the Council require in order to identify urgent actions to avert an adverse impact on the labour market in this sector,
- to make transport professions more attractive, identify training and lifelong learning needs at Union level, costs and barriers to taking up employment in the transport sector, and propose appropriate measures,
- to provide a comprehensive picture of the needs of the transport sector in terms of employment and training in the coming years.

Proposed actions:

- to conduct a review of job profiles and educational and professional qualifications needed for the most in-demand positions and identify the main universities, learning centres and establishments providing training for highly specialised technical posts in this sector,
- to carry out a study to review the career development opportunities and career paths for new generations and identify, together with relevant authorities and stakeholders, including social partners, incentives to attract Union workers to the sector, and the scope for tying in with Union funding opportunities or support programmes such as the European Youth Initiative,
- to develop a web portal for the future workforce identifying the main career openings and career development opportunities and the relevant costs and including a job vacancy section. The platform will cover all transport modes and all stakeholders. It will include a tool for pooling experience and best practices. It will also include information about working conditions, career paths, etc. for each transport mode. Special attention will be paid to apprenticeships. The primary target audience will be young people.

The web platform will also include:

- a module on 'better enforcement' listing guidance material (at national and Union level) and information about the 'just culture' and the 'culture of compliance',
- a special gender-balance module addressing the issue of the very low proportion of female workers in the transport sector and paying special attention to urban transport,
- a special module on the work of social partners,
- a record, within the best practice pooling tool, of recent campaigns and details of their effectiveness,
- statistical support for labour market analysis in the sector, including comparative analyses with other regions and an inventory of relevant studies and statistics (data available from Eurostat, the OECD, the European Institute for Gender Equality and other sources), as well as works in the field of the digital economy.

The pilot project will identify available tools (including funding) and possible future cost-effective actions for promoting transport jobs, including an assessment of possible effective incentives for good social practices in the transport sector (e.g. a social charter or social awards).

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)06 02 77 09 (*cont'd*)

The pilot project could also incorporate into the platform ongoing Commission actions such as:

- mapping of key professions in the Union transport sector in which worker replacement will be a problem in the next few years,
- identifying areas of potential growth and potential human resources/expertise shortfalls.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 06 02 77 10 Preparatory action — Smart port city

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
700 000	350 000				

*Remarks*

The preparatory action will support exchanges of good practices on city-port relations and innovative smart port city projects. It could be coordinated with smart and sustainable cities actions.

Port cities are facing huge challenges in reconciling competition and sustainable urban development. Those challenges include relocation of port functions, container transport, redevelopment of port-city interfaces and waterfronts and dialogue with city inhabitants. Tensions between city and port, tourism and industry, natural and built-up areas need to be addressed. Smart city and smart port city ambitions should be complementary. A knowledge- and ICT-based smart port city could work hand in hand with inland interfaces to further increase service efficiency and quality. Having regard to the motorways of the sea concept, this is in line with the Europe 2020 strategy and the revised White Paper on transport and the TEN-T.

Cities and ports are faced with the same problems and have the same opportunities, so there should be innovative solutions for: (a) reducing greenhouse gas emissions from ocean shipping and also from port operations; (b) developing the linkage with hinterland transport (rail, road, inland); (c) enhancing renewable energy use and improving energy efficiency; (d) promoting ICT use and interoperability; (e) strengthening the competitiveness of port cities, bearing in mind the strategic position of the outermost regions and the importance of connectivity between islands; and (f) promoting synergies between city and port.

These innovative actions should also have an impact on maritime and coastal tourism. Actions should also focus on port facilities and dialogue between port and city authorities for urban and port planning. Coastal and maritime tourism also has a role to play in the diversification of ports. This preparatory action will identify the benefits of smart port city development around Europe and take into consideration the full significance of ports. The action will also include initiatives to create a European smart port city network.

**CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY** (*cont'd*)**06 02 77** (*cont'd*)06 02 77 10 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 11 Pilot project — Feasibility study to test the use of a public-private joint undertaking to support the deployment of the European rail transport management system (ERTMS) throughout the core network corridors

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
400 000	200 000				

*Remarks*

For many years the Union has been supporting through grants the deployment of the ERTMS on railway lines and on board rail vehicles in order to increase interoperability within the single European rail area and maximise train operating safety. According to preliminary assessments, the ERTMS may also be able to increase capacity on existing rail lines. The EU ERTMS Coordinator presented in December 2014 an ERTMS work plan highlighting the current deployment of the ERTMS on rail networks and future needs. It is clear from that report that completion of the deployment of the ERTMS along the core network corridors will take more than 50 years and require substantial financial resources beyond the capacity of Union and Member States' budgets. The current Union policy of supporting the ERTMS through grants from the EU budget has proved limited in effectiveness and in scope. Involvement of private-sector financing is a useful option.

Taking stock of the limits of the current financing scheme, the Commission has commissioned a study to develop tailor-made solutions for using innovative financing to support deployment of the ERTMS, in particular along nine core network corridors. This study is looking at various options for the involvement of the private sector and will be completed in 2015, but in order to test the business case for involving innovative financing to support the ERTMS, a European pilot project is needed as a follow-up to that study. In view of the preliminary analysis and the limited experience of the Commission and the EIB with private sector involvement in the deployment of the ERTMS, this pilot project will assess the feasibility of setting up a public-private joint undertaking to support the deployment of the ERTMS throughout the core network corridors, or at least along one corridor, using Union or EIB frameworks. The pilot project will assess the current regulatory, legal and financial feasibility of setting up such joint undertakings at corridor level, thus covering all Member States crossed by core network corridors. Moreover, the pilot project will assess the interest of private investors in particular for such a joint undertaking with Union backing, as well as the interest of ERTMS manufacturers, infrastructure managers and railway undertakings. Furthermore, this pilot project or a subsequent preparatory action could support the setting up of a joint undertaking responsible for supporting ERTMS deployment along one of the core network corridors. Should this prove successful, a new Union programme covering all corridors could be set up. The purpose of deploying along one corridor is to test the business case for a public-private joint undertaking which, while acting as a special-purpose vehicle, provides funding for infrastructure managers along the selected corridor to finance ERTMS deployment. Once deployment has been fully or mostly completed, railway undertakings will benefit from full interoperability along the corridor and could be charged a mark-up on their track access charges so as to repay the joint undertaking and its private shareholders. Railway undertakings are expected to pay the mark-up, since the commercial offer of faster transport for passengers and freight shippers could increase market appetite for rail services along the corridor. Rail services are expected to be faster and easier thanks to the full interoperability provided by the ERTMS.

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CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)06 02 77 11 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

06 02 77 12 Preparatory action — Integrating remotely piloted aircraft systems (RPAS) in European airspace with an active geofencing service (AGS)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

This preparatory action will demonstrate an AGS for RPAS (drones) flying below 150 metres (500 feet) above ground level. It will be set up by connecting the drone-controlling remote pilot station on the ground to a web-based platform. The web-based platform will display the position of the RPAS operation and will validate compliance with different national legislation, depending on the location of the operation. The platform can generate no-fly zones on the basis of aviation standards.

By connecting the web-based platform to the RPAS, users will not be able to operate RPAS in no-fly zones. No-fly zones can be generated, monitored and controlled by the authorities responsible. The information generated by the web-based platform can also be shared with all stakeholders in the European aviation network.

This action can provide a solution for the safe and secure integration of small drones into the existing aviation network, guaranteeing a level of safety equivalent to that in manned aviation and resulting in a win-win situation for all RPAS stakeholders. Privacy can be guaranteed by using no-fly zones for RPAS operations; and, more importantly, SMEs in the RPAS industries will be helped to create promising new job opportunities in Europe. The following RPAS services can be demonstrated by using a web-based platform: an AGS monitored and controlled by the authorities responsible, and a validation service checking the regulations applicable.

This platform could be accessible to various stakeholders, such as RPAS users, air navigation service providers, civil aviation authorities, police and emergency services, RPAS manufacturers and qualified entities. It will use existing aviation standards, be compliant with manned aviation rules and result in safer, more secure and standardised integration into the aviation network. In addition to those standards, the platform must be interoperable with all RPAS so as to open the European market for all RPAS manufacturers and all RPAS users. Finally, this RPAS air traffic management platform should be scalable for all Member States.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 06 02 — EUROPEAN TRANSPORT POLICY (*cont'd*)06 02 77 (*cont'd*)

## 06 02 77 13 Pilot project — Innovative ways of sustainably financing public transport

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
125 000	62 500				

*Remarks*

The pilot project will assess new ways of sustainably financing public transport that are compatible, in particular, with eco-sustainability, digitisation and accessibility, in order to boost the economy and create new jobs. The Commission's objective is to encourage the use of public transport, thereby reducing congestion and environment- and health-harming emissions in urban areas, especially through alternative, cleaner fuels. Many studies show that ticket costs affect a small part of the total cost of services. Customers pay a lot of money in terms of taxes and ticketing. It is necessary to find new ways to finance public transport, evaluating new models that could significantly reduce or remove charges for the public, save public money and reduce atmospheric emissions. In addition, improved urban mobility would help to increase passenger numbers. More efficient mobility would also help businesses and would create more jobs. A good example of this is Tallinn in Estonia. The aim of the project is to identify and exchange best practices, assess new funding methods and look into the possibility of creating a European one-stop office to support municipalities that wish to implement the best sustainable financing systems and projects.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
06 03	HORIZON 2020 — RESEARCH AND INNO- VATION RELATED TO TRANSPORT								
<b>06 03 03</b>	<b>Societal challenges</b>								
06 03 03 01	Achieving a resource-effi- cient, environmentally- friendly, safe and seamless European transport system	1.1	110 916 737	127 796 246	138 764 242	52 428 081	190 298 913,—	691 467,—	0,54
	<i>Article 06 03 03 — Subtotal</i>		110 916 737	127 796 246	138 764 242	52 428 081	190 298 913,—	691 467,—	0,54
<b>06 03 07</b>	<b>Joint Undertakings</b>								
06 03 07 31	Single European Sky Air Traffic Management Research (SESAR) Joint Undertaking — Support expenditure	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
06 03 07 32	Single European Sky Air Traffic Management Research 2 (SESAR2) Joint Under- taking	1.1	60 000 000	55 000 000	50 000 000	34 952 054	20 600 000,—	0,—	0
06 03 07 33	Shift2Rail (S2R) Joint Under- taking — Support expenditure	1.1	1 577 618	1 577 618	1 313 592	1 310 445	494 400,—	18 046,72	1,14
06 03 07 34	Shift2Rail (S2R) Joint Under- taking	1.1	44 046 382	24 227 092	44 039 408	43 690 067	0,—	0,—	0
	<i>Article 06 03 07 — Subtotal</i>		105 624 000	80 804 710	95 353 000	79 952 566	21 094 400,—	18 046,72	0,02
<b>06 03 50</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>								
06 03 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	7 894 780,66	382 592,95	
06 03 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	440 848,72	4 968 038,64	
	<i>Article 06 03 50 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	8 335 629,38	5 350 631,59	
<b>06 03 51</b>	<b>Completion of previous research framework programmes — seventh framework programme — EC (2007 to 2013)</b>	1.1	p.m.	106 595 589	p.m.	45 437 670	1 555,99	27 837 911,10	26,12
<b>06 03 52</b>	<b>Completion of previous research framework programmes (prior to 2007)</b>	1.1	p.m.	p.m.	p.m.	131 512	0,—	772 977,76	
	<b>Chapter 06 03 — Total</b>		<b>216 540 737</b>	<b>315 196 545</b>	<b>234 117 242</b>	<b>177 949 829</b>	<b>219 730 498,37</b>	<b>34 671 034,17</b>	<b>11,00</b>

**CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT** (*cont'd*)*Remarks*

These remarks are applicable to all the budget lines in this chapter.

These appropriations are intended to be used for Horizon 2020 — the framework programme for research and innovation, which covers the 2014 to 2020 period, and for the completion of the previous research programmes (seventh framework programme and preceding framework programmes).

Horizon 2020 will play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area. Horizon 2020 will contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding. The programme will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European research area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European research area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations are intended to be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget of the Union.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Revenue from States taking part in the European cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.



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## TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (*cont'd*)

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Item 06 03 50 01.

Administrative appropriations of this chapter will be provided under Article 06 01 05.

**06 03 03 Societal challenges***Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

Funding will be focused on the following challenges:

- smart, green and integrated transport,
- innovation and research, particularly in the areas of behavioural change, modal shift, accessibility for all, integration (interconnectivity, intermodality, interoperability) and sustainability (climate change, gas and noise emission reduction), which are of crucial importance for the transport and tourism sectors.

**06 03 03 01** Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
110 916 737	127 796 246	138 764 242	52 428 081	190 298 913,—	691 467,—

*Remarks*

This appropriation is intended to cover research and innovation actions that should substantially contribute to shifting transport into a new era of smart mobility. Actions under this item should support the development and take-up of the necessary solutions for all transport modes, drastically reducing transport emissions that are harmful to the environment, lowering the dependence of transport on fossil fuels, and hence reducing transport impact on biodiversity and preserve natural resources. This is expected to be achieved by investing in specific activities, particularly in the form of large public-private partnerships, such as making aircraft, trains, vehicles and vessels cleaner and quieter, developing smart equipment, infrastructure and services and improving transport and mobility in urban areas.

Research and innovation carried under this item is expected to make important contributions to optimise performance and efficiency in the face of growing demands for mobility. Actions under this item will also focus on substantially reducing traffic congestion, substantially improving the mobility of people and freight, developing and applying new concepts of freight transport and logistics and reducing accident rates and fatal casualties and improving security. Actions under this item are indeed intended to contribute to making Europe the safest region for aviation and to move towards the target of zero fatalities in road transport by 2050.



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CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (*cont'd*)

06 03 03 (*cont'd*)

06 03 03 01 (*cont'd*)

Research and innovation is expected to play a significant role in achieving global leadership for the European transport industry and contributing to the development of small and medium-sized enterprises, staying ahead in new technologies and reducing the costs of existing manufacturing processes, therefore contributing to economic growth and highly skilled jobs in the European transport industry. In this context, actions to develop the next generation of transport means and explore entirely new transport concepts are expected to be developed and covered by this appropriation.

Socioeconomic research and forward-looking activities for policymaking will also be covered by this appropriation: actions to support policy analysis and development including on socioeconomic aspects of transport are necessary to promote innovation and meet the challenges raised by transport.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(d) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

06 03 07 **Joint Undertakings**

06 03 07 31 Single European Sky Air Traffic Management Research (SESAR) Joint Undertaking — Support expenditure

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

The Single European Sky Air Traffic Management Research (SESAR) Joint Undertaking will contribute to the implementation of Horizon 2020 and in particular the societal challenge 'Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system'. It will have the objective of ensuring the modernisation of the European Air Traffic Management (ATM) system by concentrating and coordinating all relevant ATM research and innovation activities in the Union under its SESAR 2020 work programme and in line with the European ATM master plan.

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## TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (*cont'd*)06 03 07 (*cont'd*)06 03 07 31 (*cont'd*)*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 721/2014 of 16 June 2014 amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024 (OJ L 192, 1.7.2014, p. 1).

06 03 07 32 Single European Sky Air Traffic Management Research 2 (SESAR2) Joint Undertaking

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
60 000 000	55 000 000	50 000 000	34 952 054	20 600 000,—	0,—

*Remarks*

The Single European Sky Air Traffic Management Research 2 (SESAR2) Joint Undertaking will contribute to the implementation of Horizon 2020 and in particular the societal challenge 'Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system'. It will have the objective of ensuring the modernisation of the European Air Traffic Management (ATM) system by concentrating and coordinating all relevant ATM research and innovation activities in the Union under its SESAR 2020 work programme and in line with the European ATM master plan.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 721/2014 of 16 June 2014 amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024 (OJ L 192, 1.7.2014, p. 1).

CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (*cont'd*)06 03 07 (*cont'd*)

## 06 03 07 33 Shift2Rail (S2R) Joint Undertaking — Support expenditure

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 577 618	1 577 618	1 313 592	1 310 445	494 400,—	18 046,72

*Remarks*

The Shift2Rail (S2R) Joint Undertaking will contribute to the implementation of Horizon 2020 and in particular the societal challenge 'Smart, green and integrated transport'. It will have the objective of contributing to the achievement of the single European railway area and to a faster and cheaper transition to a more attractive, competitive, efficient and sustainable European rail system, through a comprehensive and coordinated approach addressing the research and innovation needs of the rail system and its users.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking (OJ L 177, 17.6.2014, p. 9).

## 06 03 07 34 Shift2Rail (S2R) Joint Undertaking

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
44 046 382	24 227 092	44 039 408	43 690 067	0,—	0,—

*Remarks*

The Shift2Rail (S2R) Joint Undertaking will contribute to the implementation of Horizon 2020 and in particular the societal challenge 'Smart, green and integrated transport'. It will have the objective of contributing to the achievement of the single European railway area and to a faster and cheaper transition to a more attractive, competitive, efficient and sustainable European rail system, through a comprehensive and coordinated approach addressing the research and innovation needs of the rail system and its users.

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CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (*cont'd*)06 03 07 (*cont'd*)06 03 07 34 (*cont'd*)*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking (OJ L 177, 17.6.2014, p. 9).

**06 03 50** *Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development*

06 03 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	7 894 780,66	382 592,95

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the period 2014 to 2020.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

06 03 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	440 848,72	4 968 038,64

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**CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT** (*cont'd*)

**06 03 50** (*cont'd*)

06 03 50 02 (*cont'd*)

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

**06 03 51** ***Completion of previous research framework programmes — seventh framework programme — EC (2007 to 2013)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	106 595 589	p.m.	45 437 670	1 555,99	27 837 911,10

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

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TITLE 06 — MOBILITY AND TRANSPORT

CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT (*cont'd*)06 03 51 (*cont'd*)

Council Regulation (EC) No 219/2007 of 27 February 2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64, 2.3.2007, p. 1).

Council Regulation (EC) No 1361/2008 of 16 December 2008 amending Regulation (EC) No 219/2007 on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 352, 31.12.2008, p. 12).

06 03 52 **Completion of previous research framework programmes (prior to 2007)**

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	131 512	0,—	772 977,76

*Remarks*

This appropriation is intended to cover earlier commitments under the previous research framework programmes (prior to 2007).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

**CHAPTER 06 03 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO TRANSPORT** (*cont'd*)**06 03 52** (*cont'd*)

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

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*TITLE 07*

**ENVIRONMENT**



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## TITLE 07

### ENVIRONMENT

#### General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
07 01	ADMINISTRATIVE EXPENDITURE OF THE 'ENVIRONMENT' POLICY AREA	61 249 353	61 249 353	64 305 575	64 305 575	76 539 607,05	76 539 607,05
07 02	ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL	387 017 092	335 811 734	361 012 351	326 920 838	338 994 683,10	277 227 945,60
	<b>Title 07 — Total</b>	<b>448 266 445</b>	<b>397 061 087</b>	<b>425 317 926</b>	<b>391 226 413</b>	<b>415 534 290,15</b>	<b>353 767 552,65</b>

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TITLE 07 — ENVIRONMENT

**TITLE 07****ENVIRONMENT****CHAPTER 07 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ENVIRONMENT' POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
07 01	ADMINISTRATIVE EXPENDITURE OF THE 'ENVIRONMENT' POLICY AREA					
<b>07 01 01</b>	<b><i>Expenditure related to officials and temporary staff in the 'Environment' policy area</i></b>	5.2	45 384 139	46 899 882	47 831 282,16	105,39
<b>07 01 02</b>	<b><i>External personnel and other management expenditure in support of the 'Environment' policy area</i></b>					
07 01 02 01	External personnel	5.2	3 557 474	3 686 304	4 000 284,86	112,45
07 01 02 11	Other management expenditure	5.2	3 339 633	3 538 531	3 580 141,50	107,20
	Article 07 01 02 — Subtotal		6 897 107	7 224 835	7 580 426,36	109,91
<b>07 01 03</b>	<b><i>Expenditure related to information and communication technology equipment and services of the 'Environment' policy area</i></b>	5.2	2 896 465	2 977 488	3 463 928,24	119,59
<b>07 01 04</b>	<b><i>Support expenditure for operations and programmes of the 'Environment' policy area</i></b>					
07 01 04 01	Support expenditure for the Programme for the Environment and Climate Action (LIFE) — Sub-programme for Environment	2	1 600 000	1 594 520	14 518 970,29	907,44
	Article 07 01 04 — Subtotal		1 600 000	1 594 520	14 518 970,29	907,44
<b>07 01 06</b>	<b><i>Executive agencies</i></b>					
07 01 06 01	Executive Agency for Small and Medium-sized Enterprises — Contribution from LIFE	2	4 471 642	5 608 850	3 145 000,—	70,33
	Article 07 01 06 — Subtotal		4 471 642	5 608 850	3 145 000,—	70,33
	<b>Chapter 07 01 — Total</b>		<b>61 249 353</b>	<b>64 305 575</b>	<b>76 539 607,05</b>	<b>124,96</b>

**07 01 01 Expenditure related to officials and temporary staff in the 'Environment' policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
45 384 139	46 899 882	47 831 282,16

CHAPTER 07 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘ENVIRONMENT’ POLICY AREA (*cont’d*)**07 01 02      *External personnel and other management expenditure in support of the ‘Environment’ policy area***

## 07 01 02 01      External personnel

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 557 474	3 686 304	4 000 284,86

## 07 01 02 11      Other management expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 339 633	3 538 531	3 580 141,50

**07 01 03      *Expenditure related to information and communication technology equipment and services of the ‘Environment’ policy area****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 896 465	2 977 488	3 463 928,24

**07 01 04      *Support expenditure for operations and programmes of the ‘Environment’ policy area***

## 07 01 04 01      Support expenditure for the Programme for the Environment and Climate Action (LIFE) — Sub-programme for Environment

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 600 000	1 594 520	14 518 970,29

*Remarks*

This appropriation is intended to cover, inter alia:

- development, hosting, maintenance, security, quality assurance, operation and support of adequate information technology (IT) systems for communication, selecting, monitoring, reporting on projects and disseminating results of projects, as well as of IT systems directly linked to the achievement of the policy objectives of the programme, for the mutual benefit of the Commission and beneficiaries and stakeholders. The engagement of IT experts *intra muros* to support the development, quality assurance and security of critical policy supporting IT system is also targeted,
- procurement of technical and/or administrative assistance relating to the evaluation, audit and supervision of programmes and projects.

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CHAPTER 07 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ENVIRONMENT' POLICY AREA (*cont'd*)07 01 04 (*cont'd*)07 01 04 01 (*cont'd*)*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

07 01 06 *Executive agencies*

07 01 06 01 Executive Agency for Small and Medium-sized Enterprises — Contribution from LIFE

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 471 642	5 608 850	3 145 000,—

*Remarks*

This appropriation is intended to cover the Agency's expenditure on staff and administration incurred as a result of the Agency's role in the management of measures forming part of a Programme for the Environment and Climate Action (LIFE).

The establishment plan of the Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

Commission Implementing Decision 2013/771/EU of 17 December 2013 establishing the 'Executive Agency for Small and Medium-sized Enterprises' and repealing Decisions 2004/20/EC and 2007/372/EC (OJ L 341, 18.12.2013, p. 73).

Commission Decision C(2013) 9414 of 23 December 2013 delegating powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of Union programmes in the field of energy, environment, climate action, competitiveness and SMEs, research and innovation and ICT, maritime policy and fisheries comprising, in particular, implementation of appropriations entered in the general budget of the Union.

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## CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
07 02	ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL								
07 02 01	<i>Contributing to a greener and more resource-efficient economy and to the development and implementation of Union environmental policy and legislation</i>	2	128 831 000	49 000 000	120 670 000	29 371 242	109 562 163,—	1 750 952,77	3,57
07 02 02	<i>Halting and reversing biodiversity loss</i>	2	157 206 000	49 000 000	147 832 750	39 021 354	140 802 000,—	145 998,40	0,30
07 02 03	<i>Supporting better environmental governance and information at all levels</i>	2	55 683 358	40 000 000	51 493 000	15 524 553	38 433 395,20	2 280 152,93	5,70
07 02 04	<i>Contribution to multilateral and international environment agreements</i>	4	4 000 000	4 000 000	3 600 000	3 235 347	3 050 226,29	3 050 226,29	76,26
07 02 05	<i>European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals</i>	2	1 039 880	1 039 880	1 130 235	1 130 235	1 297 224,44	1 297 224,44	124,75
07 02 06	<i>European Environment Agency</i>	2	35 556 854	35 556 854	34 886 366	34 886 366	41 774 676,47	41 774 676,47	117,49
07 02 51	<i>Completion of previous environmental programmes</i>	2	—	153 000 000	—	197 195 952	2 397 540,70	220 990 593,—	144,44
07 02 77	<i>Pilot projects and preparatory actions</i>								
07 02 77 02	Preparatory action — Environmental monitoring of the Black Sea Basin and a common European framework programme for development of the Black Sea region	4	p.m.	p.m.	p.m.	p.m.	0,—	90 000,—	
07 02 77 03	Preparatory action — Strategic environmental impact assessment on the development of the European Arctic	4	p.m.	p.m.	p.m.	335 789	0,—	698 852,—	
07 02 77 04	Preparatory action — Future legal basis on harmonised Union forest information	2	p.m.	195 000	p.m.	175 000	0,—	0,—	0

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## TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>07 02 77</b>	( <i>cont'd</i> )								
07 02 77 05	Pilot project — Development of prevention activities to halt desertification in Europe	2	p.m.	p.m.	—	p.m.	0,—	0,—	
07 02 77 06	Preparatory action — Climate of the Carpathian basin	2	p.m.	p.m.	p.m.	p.m.	0,—	941 265,40	
07 02 77 08	Pilot project — Economic loss due to high non-revenue water amounts in cities	2	p.m.	p.m.	—	p.m.	0,—	0,—	
07 02 77 09	Pilot project — Certification of low-carbon farming practices	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
07 02 77 10	Pilot project — Complex research on methods of controlling the spread of ragweed and pollen allergies	2	p.m.	p.m.	p.m.	p.m.	0,—	150 000,—	
07 02 77 12	Pilot project — Literature review on the potential effects of climate change on drinking water protection areas across the Union and the identification of priorities among different types of drinking water supplies	2	p.m.	p.m.	—	p.m.	0,—	0,—	
07 02 77 13	Preparatory action — BEST scheme (voluntary scheme for biodiversity and ecosystem services in the territories of the Union's outermost regions and overseas countries and territories)	2	p.m.	600 000	p.m.	800 000	0,—	1 122 693,01	187,12
07 02 77 14	Pilot project — Plastic recycling cycle and marine environmental impact	2	p.m.	p.m.	—	p.m.	0,—	0,—	
07 02 77 15	Preparatory action — Development of prevention activities to halt desertification in Europe	2	p.m.	p.m.	p.m.	600 000	0,—	379 572,29	
07 02 77 16	Pilot project — Atmospheric precipitation — Protection and efficient use of fresh water	2	p.m.	p.m.	p.m.	820 000	0,—	543 610,—	
07 02 77 19	Pilot project — Marine litter recovery	2	p.m.	p.m.	p.m.	400 000	0,—	284 902,50	

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TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
07 02 77 20	Pilot project — Availability, use and sustainability of water for the production of nuclear and fossil energy	2	p.m.	p.m.	p.m.	p.m.	0,—	437 500,—	
07 02 77 21	Pilot project — New knowledge for an integrated management of human activity in the sea	2	p.m.	p.m.	p.m.	600 000	0,—	123 000,—	
07 02 77 22	Pilot project — Biodiversity protection through a results-based remuneration of ecological achievements	2	p.m.	200 000	p.m.	600 000	500 000,—	145 648,20	72,82
07 02 77 23	Pilot project — Transversal communication on Union policies related to Environment: Tackling environmental awareness deficit of Union citizens through audiovisual tools (movies)	2	p.m.	p.m.	p.m.	750 000	0,—	750 000,—	
07 02 77 24	Pilot project — 'Resource efficiency' in practice — Closing mineral cycles	2	p.m.	p.m.	p.m.	400 000	0,—	271 077,90	
07 02 77 26	Pilot project — Creation of South East European regional centre on advanced recycling of electric and electronic waste	2	p.m.	215 000	p.m.	225 000	700 567,—	0,—	0
07 02 77 27	Pilot project — Resource efficient use of mixed wastes	2	p.m.	335 000	p.m.	150 000	476 890,—	0,—	0
07 02 77 28	Pilot project — Defining the equilibrium between the right of the state to regulate legitimate public policy objectives, the rights of investors to the protection of their investments and the rights of citizens with regard to the environment and public health in the light of the Transatlantic Trade and Investment Partnership (TTIP)	2	200 000	120 000	400 000	200 000			
07 02 77 29	Pilot project — Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform	2	p.m.	300 000	1 000 000	500 000			

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TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
07 02 77 30	Pilot project — Fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — Bridging the green innovations gap	2	1 000 000	500 000					
07 02 77 31	Pilot project — Mitigating infectious diseases to counteract loss of European biodiversity as required by the Habitats Directive	2	900 000	450 000					
07 02 77 32	Pilot project — Protocols for the establishment of green infrastructure assessment schemes across the Union	2	600 000	300 000					
07 02 77 33	Pilot project — Mitigating the impact of wind turbines on bat and bird populations and their migration routes	2	1 000 000	500 000					
07 02 77 34	Pilot project — Inventory of species and habitats in the French outermost regions	2	1 000 000	500 000					
<i>Article 07 02 77 — Subtotal</i>			4 700 000	4 215 000	1 400 000	6 555 789	1 677 457,—	5 938 121,30	140,88
<b>Chapter 07 02 — Total</b>			<b>387 017 092</b>	<b>335 811 734</b>	<b>361 012 351</b>	<b>326 920 838</b>	<b>338 994 683,10</b>	<b>277 227 945,60</b>	<b>82,55</b>

**07 02 01** *Contributing to a greener and more resource-efficient economy and to the development and implementation of Union environmental policy and legislation*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
128 831 000	49 000 000	120 670 000	29 371 242	109 562 163,—	1 750 952,77

*Remarks*

Regulation (EU) No 1293/2013 has four general objectives (Article 3) and three priority areas for the sub-programme for the environment (Article 9), of which the first one is Environment and Resource Efficiency.

Specific objectives of this first priority area are set out in Article 10.



CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 01 (*cont'd*)

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22).

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by the way of action grants or, where appropriate, financial instruments (Article 17(4)).

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

07 02 02 **Halting and reversing biodiversity loss***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
157 206 000	49 000 000	147 832 750	39 021 354	140 802 000,—	145 998,40

*Remarks*

Regulation (EU) No 1293/2013 has four general objectives (Article 3) and three priority areas for the sub-programme for the environment (Article 9), of which the second one is Nature and Biodiversity.

Specific objectives of this second priority area are set out in Article 11.

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22).

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by the way of action grants or, where appropriate, financial instruments (Article 17(4)).

At least 55 % of the budgetary resources allocated to projects supported by way of action grants under the sub-programme for Environment shall be dedicated to projects supporting the conservation of nature and biodiversity (Article 9(3)).

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

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TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)**07 02 03** *Supporting better environmental governance and information at all levels**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
55 683 358	40 000 000	51 493 000	15 524 553	38 433 395,20	2 280 152,93

*Remarks*

Regulation (EU) No 1293/2013 has four general objectives (Article 3) and three priority areas for the sub-programme for the environment (Article 9), of which the third one is Environment Governance and Information.

Specific objectives of this third priority area are set out in Article 12.

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22).

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by the way of action grants or, where appropriate, financial instruments (Article 17(4)).

Costs of technical assistance for selecting projects and monitoring, evaluating and auditing projects under the LIFE and LIFE+ programme (including non-governmental organisations supported via operating grants) may also be financed by this appropriation.

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

**07 02 04** *Contribution to multilateral and international environment agreements**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 000 000	4 000 000	3 600 000	3 235 347	3 050 226,29	3 050 226,29

*Remarks*

This appropriation is intended to cover obligatory and voluntary contributions to a number of international conventions, protocols and agreements to which the Union is party and preparatory work for future international agreements involving the Union.

In some cases, contributions to subsequent protocols are included in contributions to their basic convention.

**CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL** (*cont'd*)**07 02 04** (*cont'd*)*Legal basis*

Actions carried out by the Commission by virtue of tasks resulting from its prerogatives at institutional level pursuant to the Treaty on the Functioning of the European Union and the Treaty establishing the European Atomic Energy Community and pursuant to Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Decision 77/585/EEC of 25 July 1977 concluding the Convention for the protection of the Mediterranean Sea against pollution and the Protocol for the prevention of the pollution of the Mediterranean Sea by dumping from ships and aircraft (OJ L 240, 19.9.1977, p. 1).

Council Decision 81/462/EEC of 11 June 1981 on the conclusion of the Convention on long-range transboundary air pollution (OJ L 171, 27.6.1981, p. 11).

Council Decision 82/72/EEC of 3 December 1981 on the conclusion of the Convention on the conservation of European wildlife and natural habitats (OJ L 38, 10.2.1982, p. 1).

Council Decision 82/461/EEC of 24 June 1982 on the conclusion of the Convention on the conservation of migratory species of wild animals (OJ L 210, 19.7.1982, p. 10) and related agreements.

Council Decision 84/358/EEC of 28 June 1984 concerning the conclusion of the Agreement for cooperation in dealing with pollution of the North Sea by oil and other harmful substances (OJ L 188, 16.7.1984, p. 7).

Council Decision 86/277/EEC of 12 June 1986 on the conclusion of the Protocol to the 1979 Convention on long-range transboundary air pollution on long-term financing of the cooperative programme for monitoring and evaluation of the long-range transmission of air pollutants in Europe (EMEP) (OJ L 181, 4.7.1986, p. 1).

Council Decision 93/98/EEC of 1 February 1993 on the conclusion, on behalf of the Community, of the Convention on the control of transboundary movements of hazardous wastes and their disposal (Basel Convention) (OJ L 39, 16.2.1993, p. 1).

Council Decision 93/550/EEC of 20 October 1993 concerning the conclusion of the cooperation Agreement for the protection of the coasts and waters of the north-east Atlantic against pollution (OJ L 267, 28.10.1993, p. 20).

Council Decision 93/626/EEC of 25 October 1993 concerning the conclusion of the Convention on Biological Diversity (OJ L 309, 13.12.1993, p. 1).

Council Decision 94/156/EC of 21 February 1994 on the accession of the Community to the Convention on the Protection of the Marine Environment of the Baltic Sea Area 1974 (Helsinki Convention) (OJ L 73, 16.3.1994, p. 1).

Council Decision 95/308/EC of 24 July 1995 on the conclusion, on behalf of the Community, of the Convention on the protection and use of transboundary watercourses and international lakes (OJ L 186, 5.8.1995, p. 42).

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**CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL** (*cont'd*)**07 02 04** (*cont'd*)

Council Decision of 27 June 1997 on the conclusion, on behalf of the Community, of the Convention on environmental impact assessment in a transboundary context (ESPOO Convention) (proposal OJ C 104, 24.4.1992, p. 5; decision not published).

Council Decision 97/825/EC of 24 November 1997 concerning the conclusion of the Convention on cooperation for the protection and sustainable use of the river Danube (OJ L 342, 12.12.1997, p. 18).

Council Decision 98/216/EC of 9 March 1998 on the conclusion, on behalf of the European Community, of the United Nations Convention to combat desertification in countries seriously affected by drought and/or desertification, particularly in Africa (OJ L 83, 19.3.1998, p. 1).

Council Decision 98/249/EC of 7 October 1997 on the conclusion of the Convention for the protection of the marine environment of the north-east Atlantic (OJ L 104, 3.4.1998, p. 1).

Council Decision 98/685/EC of 23 March 1998 concerning the conclusion of the Convention on the Transboundary Effects of Industrial Accidents (OJ L 326, 3.12.1998, p. 1).

Council Decision 2000/706/EC of 7 November 2000 concerning the conclusion, on behalf of the Community, of the Convention for the Protection of the Rhine (OJ L 289, 16.11.2000, p. 30).

Council Decision 2002/628/EC of 25 June 2002 on the conclusion, on behalf of the European Community, of the Cartagena Protocol on Biosafety (OJ L 201, 31.7.2002, p. 48).

Council Decision 2005/370/EC of 17 February 2005 on the conclusion on behalf of the European Community of the Convention on access to information, public participation in decision-making and access to justice in environmental matters (OJ L 124, 17.5.2005, p. 1).

Council Decision 2006/61/EC of 2 December 2005 on the conclusion, on behalf of the European Community, of the UN-ECE Protocol on Pollutant Release and Transfer Registers (OJ L 32, 4.2.2006, p. 54).

Council Decision 2006/507/EC of 14 October 2004 concerning the conclusion, on behalf of the European Community, of the Stockholm Convention on Persistent Organic Pollutants (OJ L 209, 31.7.2006, p. 1).

Council Decision 2006/730/EC of 25 September 2006 on the conclusion, on behalf of the European Community, of the Rotterdam Convention on the Prior Informed Consent Procedure for certain hazardous chemicals and pesticides in international trade (OJ L 299, 28.10.2006, p. 23).

Council Decision 2006/871/EC of 18 July 2005 on the conclusion on behalf of the European Community of the Agreement on the Conservation of African-Eurasian Migratory Waterbirds (OJ L 345, 8.12.2006, p. 24).

Council Decision 2011/731/EU of 8 November 2011 on the conclusion, on behalf of the European Union, of the 2006 International Tropical Timber Agreement (OJ L 294, 12.11.2011, p. 1).

Council Decision 2014/283/EU of 14 April 2014 on the conclusion, on behalf of the European Union, of the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization to the Convention on Biological Diversity (OJ L 150, 20.5.2014, p. 231).

Council Decision (EU) 2015/451 of 6 March 2015 concerning the accession of the European Union to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) (OJ L 75, 19.3.2015, p. 1).

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 04 (*cont'd*)*Reference acts*

Proposal for a Council Decision, submitted by the Commission on 31 May 2013, on the signing, on behalf of the European Union, of the Minamata Convention on Mercury (COM(2013) 325 final).

07 02 05 ***European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 039 880	1 039 880	1 130 235	1 130 235	1 297 224,44	1 297 224,44

*Remarks**Former Item 07 02 05 02*

This appropriation is intended to cover the Agency's staff, administrative and operational expenditure for the activities related to the implementation of legislation on the export and import of dangerous chemicals.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The establishment plan of the European Chemicals Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 1 151 000. An amount of EUR 111 120 coming from the recovery of 2014 surplus is added to the amount of EUR 1 039 880 entered in the budget.

*Legal basis*

Regulation (EU) No 649/2012 of the European Parliament and of the Council of 4 July 2012 concerning the export and import of hazardous chemicals (OJ L 201, 27.7.2012, p. 60).

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 06 **European Environment Agency***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
35 556 854	35 556 854	34 886 366	34 886 366	41 774 676,47	41 774 676,47

*Remarks*

This appropriation is intended to cover the Agency's staff, administrative and operational expenditure.

The mission of the Agency is to provide the Union and the Member States with objective, reliable and comparable information on the environment at Union level, thus enabling them to take the requisite measures to protect the environment, to assess the results of such measures and to inform the public.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution to participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Environment Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 36 309 240. An amount of EUR 752 386 coming from the recovery of 2014 surplus is added to the amount of EUR 35 556 854 entered in the budget.

*Legal basis*

Regulation (EC) No 401/2009 of the European Parliament and of the Council of 23 April 2009 on the European Environment Agency and the European Environment Information and Observation Network (OJ L 126, 21.5.2009, p. 13).

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 51 *Completion of previous environmental programmes**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	153 000 000	—	197 195 952	2 397 540,70	220 990 593,—

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and resulting from the general objectives of the previous LIFE programmes and from other programmes and general actions based on the Community environmental action programme.

*Legal basis*

Actions carried out by the Commission by virtue of tasks resulting from its prerogatives at institutional level pursuant to the Treaty on the Functioning of the European Union and the Treaty establishing the European Atomic Energy Community and pursuant to Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Directive 79/409/EEC of 2 April 1979 on the conservation of wild birds (OJ L 103, 25.4.1979, p. 1).

Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7).

Regulation (EC) No 1655/2000 of the European Parliament and of the Council of 17 July 2000 concerning the Financial Instrument for the Environment (LIFE) (OJ L 192, 28.7.2000, p. 1).

Decision No 1600/2002/EC of the European Parliament and of the Council of 22 July 2002 laying down the Sixth Community Environment Action Programme (OJ L 242, 10.9.2002, p. 1).

Regulation (EC) No 2152/2003 of the European Parliament and of the Council of 17 November 2003 concerning monitoring of forests and environmental interactions in the Community (Forest focus) (OJ L 324, 11.12.2003, p. 1).

Regulation (EC) No 614/2007 of the European Parliament and of the Council of 23 May 2007 concerning the Financial Instrument for the Environment (LIFE+) (OJ L 149, 9.6.2007, p. 1).

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CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)**07 02 77 Pilot projects and preparatory actions**

07 02 77 02 Preparatory action — Environmental monitoring of the Black Sea Basin and a common European framework programme for development of the Black Sea region

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	90 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 03 Preparatory action — Strategic environmental impact assessment on the development of the European Arctic

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	335 789	0,—	698 852,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 04 Preparatory action — Future legal basis on harmonised Union forest information

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	195 000	p.m.	175 000	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 05 Pilot project — Development of prevention activities to halt desertification in Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 06 Preparatory action — Climate of the Carpathian basin

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	941 265,40

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 08 Pilot project — Economic loss due to high non-revenue water amounts in cities

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 09 Pilot project — Certification of low-carbon farming practices

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 10 Pilot project — Complex research on methods of controlling the spread of ragweed and pollen allergies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	150 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 12 Pilot project — Literature review on the potential effects of climate change on drinking water protection areas across the Union and the identification of priorities among different types of drinking water supplies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 13 Preparatory action — BEST scheme (voluntary scheme for biodiversity and ecosystem services in the territories of the Union's outermost regions and overseas countries and territories)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	600 000	p.m.	800 000	0,—	1 122 693,01

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 14 Pilot project — Plastic recycling cycle and marine environmental impact

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 15 Preparatory action — Development of prevention activities to halt desertification in Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	600 000	0,—	379 572,29

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 16 Pilot project — Atmospheric precipitation — Protection and efficient use of fresh water

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	820 000	0,—	543 610,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 19 Pilot project — Marine litter recovery

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	400 000	0,—	284 902,50

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 20 Pilot project — Availability, use and sustainability of water for the production of nuclear and fossil energy

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	437 500,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 21 Pilot project — New knowledge for an integrated management of human activity in the sea

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	600 000	0,—	123 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 22 Pilot project — Biodiversity protection through a results-based remuneration of ecological achievements

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	200 000	p.m.	600 000	500 000,—	145 648,20

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 23 Pilot project — Transversal communication on Union policies related to Environment: Tackling environmental awareness deficit of Union citizens through audiovisual tools (movies)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	750 000	0,—	750 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 24 Pilot project — 'Resource efficiency' in practice — Closing mineral cycles

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	400 000	0,—	271 077,90

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 26 Pilot project — Creation of South East European regional centre on advanced recycling of electric and electronic waste

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	215 000	p.m.	225 000	700 567,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

## 07 02 77 27 Pilot project — Resource efficient use of mixed wastes

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	335 000	p.m.	150 000	476 890,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 28 Pilot project — Defining the equilibrium between the right of the state to regulate legitimate public policy objectives, the rights of investors to the protection of their investments and the rights of citizens with regard to the environment and public health in the light of the Transatlantic Trade and Investment Partnership (TTIP)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
200 000	120 000	400 000	200 000		

*Remarks*

This will be the second year of this pilot project, whose aim is to initiate public dialogue on environmental protection in the context of the TTIP. Such dialogue should involve all stakeholders, including representatives of governments, environmental experts, and civil society. It should focus on the principles of state regulation of legitimate public policy objectives, helping to formulate a common approach to handling such policy objectives in the light of existing and future investment protection schemes. Such a dialogue is essential in order to enhance public understanding of both the need for state regulation in these areas and the need to ensure that all stakeholders are fairly treated and can expect proportionate and predictable legislative action to be taken. Part of the appropriation should be spent on objective SWOT analyses of the TTIP, on which basis public awareness of the real pros and cons could be increased.

The right (and duty) of a Member State and/or of the Union to regulate and implement public policy objectives in areas such as social security, the environment, national security, public health and safety and promotion and protection of cultural diversity is a crucial aspect of the social contract between citizens and any democratic government.

However, the exercise of this fundamental right must neither breach nor disregard the national and international obligations of Member States and/or the Union towards foreign investors entering the Union internal market with legitimate expectations such as non-discriminatory and equal treatment.

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 28 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 29 Pilot project — Capacity building, programmatic development and communication in the field of environmental taxation and budgetary reform

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	1 000 000	500 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project will contribute to further development of market-based instruments (MBI) in the field of environmental policy by making civil society organisations better able to participate in Union policy-making on green public procurement (GPP), the reduction of harmful subsidies and eco-taxation. Currently there is insufficient Union-level capacity in these fields and little coordination of reform efforts in Member States and at Union level. Greater involvement of civil society experts in the design and implementation of public procurement policies, the reduction of harmful subsidies and eco-taxation would improve the uptake of such policies in the Member States. Networking between civil society and public authorities interested in GPP, the reduction of harmful subsidies and eco-taxation would be a way of boosting Union civil society capacity and drawing on experience in Member States through the exchange of best practices and greater participation in the Union decision-making process.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 30 Pilot project — Fostering a green circular economy in Europe through capacity building, networking and exchanges of innovative solutions — Bridging the green innovations gap

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

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TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 30 (*cont'd*)*Remarks*

Moving towards a circular economy is at the heart of the resource efficiency agenda established under the Europe 2020 strategy for smart, sustainable and inclusive growth. The main ideas on how to do more with less are being taken further in the Union's Environment Action Programme to 2020. Resource efficiency and sustainability are crucial in order to achieve the Europe 2020 strategy targets, as well as to improve the Union's competitiveness and ensure energy security. This transboundary, transnational pilot project will cover all Member States. It is fully in line with the Commission communication entitled 'Towards a circular economy: A zero waste programme for Europe' (COM(2014) 398 final), as well as with the European Parliament resolution of 9 July 2015 on resource efficiency: moving towards a circular economy (2014/2208(INI)).

This pilot project has the following main objectives:

- to identify, analyse and foster all best innovative practices and existing networks for green solutions, thus facilitating knowledge transfer and exchanges of innovations in the circular economy,
- to develop capacity and innovation potential and provide in-depth analysis to empower researchers, industry, communities and individuals to tap into new and innovative opportunities in the green circular economy, thus meeting current and future societal challenges.

The project will last for 2 years and will focus on the following key activities:

- producing an analysis identifying all existing innovative practices and existing networks for solutions and setting up a unified European Green Circular Economy Network encompassing all potentially existing networks so as to facilitate knowledge transfer and exchange best practices and innovations, as well as more circular economic models. The network can also provide a platform for joint problem solving and direct access to vital resources, such as research, analytical tools, funding and technical expertise. It could serve as an e-learning facility for circular economy capacity development,
- capacity building, development of innovation potential and awareness raising with the aim of sharing challenges, opportunities and best innovative practices, organisation of at least two forums on the green circular economy per year, as well as one kick-off conference and one closing conference in Brussels. Structured around a series of training modules, the forums will encourage participants to actively reflect on how innovative solutions for switching to the circular economy can be adapted to their home countries. They will focus on sustainable consumption and more efficient and profitable production, using fewer raw materials, with less pollution and waste in the process, and more consumer needs fulfilled, with less energy, water or waste; better use of resources and less waste production of waste, circular economy solutions and green standards, as well as circular economy investments in innovation and research, and fiscal policy instruments,
- producing a study providing in-depth analysis and policy options for specific sectors, such as urban planning, sustainable buildings, waste and water management, trade, food sector, fiscal policy, etc., and identifying case studies that provide detailed information about innovative practices and approaches in promoting the green circular economy in the regions. It should suggest actions such as public investment in key areas, market-based instruments, and regulatory frameworks for Member States.

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 30 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 31 Pilot project — Mitigating infectious diseases to counteract loss of European biodiversity as required by the Habitats Directive

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
900 000	450 000				

*Remarks*

Biodiversity loss is a major societal challenge. To preserve European biodiversity, the Union imposed the Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7) ('Habitats Directive'), the cornerstone of Europe's nature conservation policy. Annex IV to that directive lists 27 of 34 salamander species, which Member States are obliged to protect against factors threatening their survival. Very recently, a novel fungal pathogen, *Batrachochytrium salamandrivorans*, emerged in Europe, where it is currently causing massive declines in salamander populations across three Member States, threatening the survival of most European salamander species. This pilot project will assist Member States in meeting their obligations under the Habitats Directive. No opportunities are available under the Horizon 2020 programme to take such immediate action.

This pilot project will design sustainable mitigation measures to counteract the impact of the epidemic caused by the fungus *Batrachochytrium salamandrivorans*, in both the short and long terms, in a joint effort by the most relevant Member States (based on the current occurrence of the fungus and the most salamander-diverse countries, including but not limited to Belgium, the Netherlands, Germany, the United Kingdom, France, Spain, Portugal, Italy and Greece).

In the short term (first 2 years) the pilot project will determine the current distribution of the fungus in threatened salamander populations across Europe. To prevent the spread of the fungus, the pilot project will also be used to investigate different methods (protective value of barriers, dilution effect of temporary removal of part of the population during an outbreak) in- and ex-situ in a multi-Member-State collaborative effort. In the long term (3 years), proof of concepts for vaccination strategies (increasing the amphibians' resistance to the fungus) and bioaugmentation strategies (steering the environment towards conditions that limit the fungus' survival and impact on amphibians (e.g. Schmeller *et al.* 2014)) will be developed.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 07 — ENVIRONMENT

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)

07 02 77 32 Pilot project — Protocols for the establishment of green infrastructure assessment schemes across the Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
600 000	300 000				

*Remarks*

There are a number of national and regional initiatives to enhance green infrastructure (GI) in the light of the Union's Green Infrastructure Strategy (May 2013) and in recognition of the role GI plays in implementing the EU's 2020 Biodiversity Strategy that requires restoration of at least 15 % of degraded ecosystems. One of the most effective ways of building urban GI is through urban planning, but planners require evidence-based assessment tools and best practices in GI to aid their decision-making. This pilot project will develop protocols for the establishment of dedicated region/city-specific GI assessment schemes to aid climate change mitigation in cities.

The aim of the project is therefore to develop protocols to promote the creation, management and governance of green infrastructure. A secondary aim is to examine GI's potential role as a catalyst for engagement in increasing urban resilience in a number of contexts (within communities and organisations) in different urban socio-economic, climatic and cultural settings.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 33 Pilot project — Mitigating the impact of wind turbines on bat and bird populations and their migration routes

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

*Remarks*

The purpose of the pilot project is to compile existing data and if necessary carry out further studies on the environmental impact of wind turbines, in particular their impact on bat and bird populations and their migration routes. On the basis of the data gathered, guidelines will be drawn up for large-scale implementation and, possibly, to serve as the basis for legislative proposals, with a view to mitigating — and where appropriate offsetting — the impact identified.

CHAPTER 07 02 — ENVIRONMENTAL POLICY AT UNION AND INTERNATIONAL LEVEL (*cont'd*)07 02 77 (*cont'd*)07 02 77 33 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

07 02 77 34 Pilot project — Inventory of species and habitats in the French outermost regions

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

*Remarks*

The Coordination of Information on the Environment (Corine) programme was set up by the Commission in 1991 with the principal aims of defining and protecting biotopes, combating environmental pollution, mapping the different types of land cover and preserving the natural environment. The database resulting from the programme was subsequently replaced by the European Union Nature Information System (EUNIS) database, which contains an inventory of European habitats (natural, near-natural, artificial, terrestrial and aquatic) and serves as the basis for Union nature legislation (Natura 2000).

However, that inventory does not include the species and habitats to be found in the French outermost regions (Martinique, Guadeloupe, French Guiana, Réunion and Mayotte), each of which has its own highly specific biological and ecological features and a very large proportion of endemic species.

The purpose of this pilot project is to use the same tools as the Corine Biotope and EUNIS programmes to compile an inventory of species and habitats and environmentally sensitive areas in these regions, which number among the world's biodiversity hotspots. A new common database of this kind is necessary in order to identify any gaps in knowledge and to take the action required in order to preserve the biotope of these regions, along the lines of that taken for the other Union regions.

This approach, which has already demonstrated its feasibility and value in other Union regions, is a first step on the road towards the adoption of legislation to preserve biodiversity in the French outermost regions. Advantage will be taken of any possible synergies with the work carried out under the BEST preparatory action.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 08*

**RESEARCH AND INNOVATION**



COMMISSION  
TITLE 08 — RESEARCH AND INNOVATION

**TITLE 08**  
**RESEARCH AND INNOVATION**

**General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
08 01	ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNO- VATION' POLICY AREA	326 792 757	326 792 757	326 458 360	326 458 360	290 131 869,89	290 131 869,89
08 02	HORIZON 2020 — RESEARCH	5 336 470 831	4 927 342 012	5 304 034 511	4 926 435 655	5 732 596 728,81	3 941 424 490,54
08 03	EURATOM PROGRAMME — INDIRECT ACTIONS	191 374 718	148 815 738	176 801 600	218 007 294	196 157 472,56	203 961 114,94
08 05	RESEARCH PROGRAMME OF THE RESEARCH FUND FOR COAL AND STEEL	p.m.	p.m.	p.m.	p.m.	50 551 512,—	48 954 224,61
	<b>Title 08 — Total</b>	<b>5 854 638 306</b>	<b>5 402 950 507</b>	<b>5 807 294 471</b>	<b>5 470 901 309</b>	<b>6 269 437 583,26</b>	<b>4 484 471 699,98</b>

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TITLE 08 — RESEARCH AND INNOVATION

**TITLE 08****RESEARCH AND INNOVATION***Remarks*

These remarks apply to all the budget lines in this title.

Research and innovation activities under this title will contribute to three main research programmes, i.e. Horizon 2020, Euratom and the programme for the ITER project. It will also cover research programmes of the research fund for coal and steel.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively, and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Particular account will be taken of the need to step up efforts to enhance the participation and role of women in science and research.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

These appropriations are also intended to cover administrative expenditure, including expenditure on staff, whether covered by the Staff Regulations or not, information, publications, administrative and technical operation, and certain other expenditure items relating to internal infrastructure linked with the achievement of the objective of the measure of which they form an integral part, including the action and initiatives necessary for preparation and monitoring of the Union's strategy on research, technological development and demonstration (RTD).

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

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## TITLE 08 — RESEARCH AND INNOVATION

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Items 08 02 50 01, 08 03 50 01 and 08 04 50 01.

Administrative appropriations of this title will be provided under Article 08 01 05.

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TITLE 08 — RESEARCH AND INNOVATION

**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
08 01	ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA					
<b>08 01 01</b>	<b><i>Expenditure related to officials and temporary staff in the 'Research and innovation' policy area</i></b>	5.2	8 644 598	8 559 194	8 730 914,24	101,00
<b>08 01 02</b>	<b><i>External personnel and other management expenditure of the 'Research and innovation' policy area</i></b>					
08 01 02 01	External personnel	5.2	282 168	279 619	253 101,51	89,70
08 01 02 11	Other management expenditure	5.2	369 192	382 840	383 826,—	103,96
	<i>Article 08 01 02 — Subtotal</i>		651 360	662 459	636 927,51	97,78
<b>08 01 03</b>	<b><i>Expenditure related to information and communi- cation technology equipment and services of the 'Research and innovation' policy area</i></b>	5.2	551 707	543 389	630 665,53	114,31
<b>08 01 05</b>	<b><i>Support expenditure for research and innovation programmes in the 'Research and innovation' policy area</i></b>					
08 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	96 541 173	104 430 000	104 077 587,—	107,81
08 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	27 238 539	27 432 315	26 908 862,—	98,79
08 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	49 036 366	51 172 413	30 021 132,86	61,22
08 01 05 11	Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom Programme	1.1	9 448 832	9 645 000	9 166 613,—	97,01
08 01 05 12	External personnel implementing research and innovation programmes — Euratom Programme	1.1	727 200	720 000	928 140,—	127,63
08 01 05 13	Other management expenditure for research and innovation programmes — Euratom Programme	1.1	3 272 850	3 117 000	5 612 393,34	171,48
	<i>Article 08 01 05 — Subtotal</i>		186 264 960	196 516 728	176 714 728,20	94,87
<b>08 01 06</b>	<b><i>Executive agencies</i></b>					
08 01 06 01	European Research Council Executive Agency — contribution from Horizon 2020	1.1	40 981 475	37 572 770	36 246 000,—	88,44

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TITLE 08 — RESEARCH AND INNOVATION

**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA** (*cont’d*)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>08 01 06</b>	( <i>cont’d</i> )					
08 01 06 02	Research Executive Agency — Contribution from Horizon 2020	1.1	59 972 046	57 578 641	51 395 032,41	85,70
08 01 06 03	Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020	1.1	24 877 409	21 056 283	13 930 000,—	55,99
08 01 06 04	Innovation and Networks Executive Agency — Contribution from Horizon 2020	1.1	4 849 202	3 968 896	1 847 602,—	38,10
	Article 08 01 06 — Subtotal		130 680 132	120 176 590	103 418 634,41	79,14
	<b>Chapter 08 01 — Total</b>		<b>326 792 757</b>	<b>326 458 360</b>	<b>290 131 869,89</b>	<b>88,78</b>

**08 01 01** *Expenditure related to officials and temporary staff in the ‘Research and innovation’ policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
8 644 598	8 559 194	8 730 914,24

**08 01 02** *External personnel and other management expenditure of the ‘Research and innovation’ policy area*

**08 01 02 01** External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
282 168	279 619	253 101,51

**08 01 02 11** Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
369 192	382 840	383 826,—

**08 01 03** *Expenditure related to information and communication technology equipment and services of the ‘Research and innovation’ policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
551 707	543 389	630 665,53

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TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (*cont'd*)**08 01 05**      ***Support expenditure for research and innovation programmes in the 'Research and innovation' policy area***

08 01 05 01      Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
96 541 173	104 430 000	104 077 587,—

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

See Chapter 08 02.

08 01 05 02      External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
27 238 539	27 432 315	26 908 862,—

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA** (*cont’d*)**08 01 05** (*cont’d*)**08 01 05 02** (*cont’d*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

See Chapter 08 02.

**08 01 05 03** Other management expenditure for research and innovation programmes — Horizon 2020*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
49 036 366	51 172 413	30 021 132,86

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA *(cont'd)*08 01 05 *(cont'd)*08 01 05 03 *(cont'd)*

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

See Chapter 08 02.

## 08 01 05 11 Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom Programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
9 448 832	9 645 000	9 166 613,—

*Remarks*

This appropriation covers expenditure relating to officials and temporary staff implementing research and innovation programmes — Euratom Programme, occupying posts on the authorised establishment plans engaged in indirect action under the nuclear programmes, including staff posted in Union delegations.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

See Chapter 08 03.

## 08 01 05 12 External personnel implementing research and innovation programmes — Euratom Programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
727 200	720 000	928 140,—

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Euratom Programme, in the form of indirect action under the nuclear programmes, including external personnel posted in Union delegations.



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CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (*cont'd*)

08 01 05 (*cont'd*)

08 01 05 12 (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

See Chapter 08 03.

08 01 05 13 Other management expenditure for research and innovation programmes — Euratom Programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 272 850	3 117 000	5 612 393,34

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Euratom Programme, in the form of indirect action under the nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

See Chapter 08 03.

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TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA (*cont’d*)**08 01 06**      *Executive agencies*

08 01 06 01      European Research Council Executive Agency — contribution from Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
40 981 475	37 572 770	36 246 000,—

*Remarks*

This appropriation is intended to cover the operating costs of the European Research Council Executive Agency incurred as a result of the Agency's role in the management of Horizon 2020 — the framework programme for research and innovation (2014-2020) and the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme: Ideas implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA** (*cont’d*)**08 01 06** (*cont’d*)08 01 06 01 (*cont’d*)

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

*Reference acts*

Commission Implementing Decision 2013/779/EU of 17 December 2013 establishing the European Research Council Executive Agency and repealing Decision 2008/37/EC (OJ L 346, 20.12.2013, p. 58).

Commission Decision C(2013) 9428 of 20 December 2013 delegating powers to the European Research Council Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of frontier research comprising, in particular, implementation of appropriations entered in the general budget of the Union, as amended by Decision C(2014) 9437 of 12 December 2014.

## 08 01 06 02 Research Executive Agency — Contribution from Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
59 972 046	57 578 641	51 395 032,41

*Remarks*

This appropriation is intended to cover the operating costs of the Research Executive Agency incurred as a result of the Agency’s role in the management of Horizon 2020 — the framework programme for research and innovation (2014-2020) and the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this section.

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TITLE 08 — RESEARCH AND INNOVATION

**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA** (*cont’d*)**08 01 06** (*cont’d*)08 01 06 02 (*cont’d*)*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme Cooperation implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme People implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 272).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: Capacities implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

*Reference acts*

Commission Decision 2008/46/EC of 14 December 2007 setting up the ‘Research Executive Agency’ for the management of certain areas of the specific Community programmes People, Capacities and Cooperation in the field of research in application of Council Regulation (EC) No 58/2003 (OJ L 11, 15.1.2008, p. 9).

Commission Implementing Decision 2013/778/EU of 13 December 2013 establishing the Research Executive Agency and repealing Decision 2008/46/EC (OJ L 346, 20.12.2013, p. 54).

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## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (*cont'd*)08 01 06 (*cont'd*)08 01 06 02 (*cont'd*)

Commission Decision C(2013) 9418 of 20 December 2013 delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

## 08 01 06 03 Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
24 877 409	21 056 283	13 930 000,—

*Remarks*

This appropriation is intended to cover the operating costs of the Executive Agency for Small and Medium-sized Enterprises incurred as a result of the Agency's role in the management of Horizon 2020 — the framework programme for research and innovation (2014-2020) and the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: 'Intelligent Energy — Europe' (2003-2006) (OJ L 176, 15.7.2003, p. 29).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

## COMMISSION

## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH AND INNOVATION' POLICY AREA (*cont'd*)08 01 06 (*cont'd*)08 01 06 03 (*cont'd*)

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

*Reference acts*

Commission Decision 2004/20/EC of 23 December 2003 setting up an executive agency, the 'Intelligent Energy Executive Agency', to manage Community action in the field of energy in application of Council Regulation (EC) No 58/2003 (OJ L 5, 9.1.2004, p. 85).

Commission Decision 2007/372/EC of 31 May 2007 amending Decision 2004/20/EC in order to transform the Intelligent Energy Executive Agency into the Executive Agency for Competitiveness and Innovation (OJ L 140, 1.6.2007, p. 52).

Commission Decision C(2007) 3198 of 9 July 2007 delegating powers to the 'Executive Agency for Competitiveness and Innovation' with a view to performance of tasks linked to implementation of the Intelligent Energy — Europe Programme 2003-2006, the Marco Polo Programme 2003-2006, the Competitiveness and Innovation Framework Programme 2007-2013 and the Marco Polo Programme 2007-2013 comprising in particular implementation of appropriations entered in the Community budget.

Commission Implementing Decision 2013/771/EU of 17 December 2013 establishing the 'Executive Agency for Small and Medium-sized Enterprises' and repealing Decisions 2004/20/EC and 2007/372/EC (OJ L 341, 18.12.2013, p. 73).

Commission Decision C(2013) 9414 of 23 December 2013 delegating powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of Union programmes in the field of energy, environment, climate action, competitiveness and SMEs, research and innovation, ICT, maritime policy and fisheries comprising, in particular, implementation of appropriations entered in the general budget of the Union.

## 08 01 06 04 Innovation and Networks Executive Agency — Contribution from Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 849 202	3 968 896	1 847 602,—

*Remarks*

This appropriation is intended to cover the operating costs of the Innovation and Networks Executive Agency incurred as a result of the Agency's role in the management of Horizon 2020 — the framework programme for research and innovation (2014-2020) and the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013).

**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH AND INNOVATION’ POLICY AREA** (*cont’d*)**08 01 06** (*cont’d*)**08 01 06 04** (*cont’d*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in ‘Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)’ and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

*Reference acts*

Commission Implementing Decision 2013/801/EU of 23 December 2013 establishing the Innovation and Networks Executive Agency and repealing Decision 2007/60/EC as amended by Decision 2008/593/EC (OJ L 352, 24.12.2013, p. 65).

Commission Decision C(2013) 9235 of 23 December 2013 delegating powers to the Innovation and Networks Executive Agency with a view to the performance of tasks linked to the implementation of Union programmes in the field of transport, energy and telecommunications infrastructure and in the field of transport and energy research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union.

## COMMISSION

## TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 02	HORIZON 2020 — RESEARCH								
<b>08 02 01</b>	<b>Excellent science</b>								
08 02 01 01	Strengthening frontier research in the European Research Council	1.1	1 622 722 376	591 884 144	1 650 723 198	449 911 575	1 702 876 770,12	8 282 867,77	1,40
08 02 01 02	Strengthening research in future and emerging technologies	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
08 02 01 03	Strengthening European research infrastructures, including e-infra-structures	1.1	183 905 321	83 564 914	188 149 548	73 032 317	178 680 576,—	319 132,—	0,38
	<i>Article 08 02 01 — Subtotal</i>		1 806 627 697	675 449 058	1 838 872 746	522 943 892	1 881 557 346,12	8 601 999,77	1,27
<b>08 02 02</b>	<b>Industrial leadership</b>								
08 02 02 01	Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing	1.1	504 175 361	407 929 917	503 592 719	178 666 161	496 248 079,—	55 851 350,07	13,69
08 02 02 02	Enhancing access to risk finance for investing in research and innovation	1.1	329 381 199	337 572 482	342 534 670	282 101 522	673 350 964,—	661 254 444,81	195,89
08 02 02 03	Increasing innovation in small and medium-sized enterprises (SMEs)	1.1	36 120 567	31 169 883	36 588 561	33 186 975	35 278 263,—	4 756 965,11	15,26
	<i>Article 08 02 02 — Subtotal</i>		869 677 127	776 672 282	882 715 950	493 954 658	1 204 877 306,—	721 862 759,99	92,94
<b>08 02 03</b>	<b>Societal challenges</b>								
08 02 03 01	Improving lifelong health and well-being	1.1	524 745 272	299 890 040	540 954 714	271 316 606	554 198 409,03	41 977 597,41	14,00
08 02 03 02	Securing sufficient supplies of safe, healthy and high quality food and other bio-based products	1.1	142 233 804	89 735 746	94 094 592	83 884 929	208 147 794,—	1 407 426,46	1,57
08 02 03 03	Making the transition to a reliable, sustainable and competitive energy system	1.1	335 369 074	242 548 217	313 168 348	137 003 313	307 466 237,12	2 759 304,76	1,14
08 02 03 04	Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless	1.1	331 555 393	174 476 315	159 469 104	288 354 444	387 411 556,—	5 122 790,02	2,94



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TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>08 02 03</b>	(cont'd)								
08 02 03 05	Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials	1.1	284 530 369	150 855 696	297 719 374	131 157 582	274 486 195,22	1 688 156,41	1,12
08 02 03 06	Fostering inclusive, innovative and reflective European societies	1.1	112 411 389	117 834 666	114 233 382	74 273 114	113 807 987,95	18 144 618,36	15,40
	<i>Article 08 02 03 — Subtotal</i>		1 730 845 301	1 075 340 680	1 519 639 514	985 989 988	1 845 518 179,32	71 099 893,42	6,61
<b>08 02 04</b>	<b><i>Spreading excellence and widening participation</i></b>	1.1	105 470 711	47 808 292	93 183 570	62 039 896	70 413 152,—	19 802 584,40	41,42
<b>08 02 05</b>	<b><i>Horizontal activities of Horizon 2020</i></b>	1.1	109 162 522	79 820 088	47 450 000	10 136 096	33 999 996,91	913 878,11	1,14
<b>08 02 06</b>	<b><i>Science with and for society</i></b>	1.1	53 497 266	40 461 390	54 853 984	25 322 763	51 062 663,05	3 448 223,28	8,52
<b>08 02 07</b>	<b><i>Joint Undertakings</i></b>								
08 02 07 31	Innovative Medicines Initiative 2 (IMI2) Joint Undertaking — Support expenditure	1.1	1 200 000	1 200 000	670 585	668 978	504 700,—	490 000,—	40,83
08 02 07 32	Innovative Medicines Initiative 2 (IMI2) Joint Undertaking	1.1	197 787 000	68 973 824	211 379 415	57 627 199	213 519 000,—	0,—	0
08 02 07 33	Bio-Based Industries (BBI) Joint Undertaking — Support expenditure	1.1	1 946 263	1 946 263	1 600 083	1 596 249	684 807,—	581 758,17	29,89
08 02 07 34	Bio-Based Industries (BBI) Joint Undertaking	1.1	156 136 237	60 148 775	200 495 917	17 527 581	51 500 000,—	0,—	0
08 02 07 35	Clean Sky 2 Joint Undertaking — Support expenditure	1.1	2 625 785	2 625 785	1 864 218	1 859 751	1 262 093,—	1 262 093,—	48,07
08 02 07 36	Clean Sky 2 Joint Undertaking	1.1	194 773 655	177 301 922	339 977 529	94 370 545	103 000 000,—	25 000 000,—	14,10
08 02 07 37	Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking — Support expenditure	1.1	454 948	454 948	466 833	465 714	301 447,—	301 447,—	66,26

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## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>08 02 07</b>	( <i>cont'd</i> )								
08 02 07 38	Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking	1.1	102 166 319	47 344 982	109 114 167	29 060 885	96 154 620,—	0,—	0
	<i>Article 08 02 07 — Subtotal</i>		657 090 207	359 996 499	865 568 747	203 176 902	466 926 667,—	27 635 298,17	7,68
<b>08 02 50</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>								
08 02 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	168 585 829,12	25 988 509,85	
08 02 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	3 986 042,26	172 659 703,46	
	<i>Article 08 02 50 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	172 571 871,38	198 648 213,31	
<b>08 02 51</b>	<b>Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)</b>	1.1	p.m.	1 867 645 867	p.m.	2 618 411 227	5 299 948,61	2 868 019 815,64	153,56
<b>08 02 52</b>	<b>Completion of previous research framework programmes — Indirect action (prior to 2007)</b>	1.1	p.m.	1 272 856	p.m.	3 585 233	369 598,42	21 266 824,45	1 670,80
<b>08 02 77</b>	<b>Pilot projects and preparatory actions</b>								
08 02 77 01	Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming	2	p.m.	75 000	p.m.	p.m.	0,—	125 000,—	166,67

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TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>08 02 77</b>	(cont'd)								
08 02 77 02	Pilot project — Recovering critical raw materials through recycling: an opportunity for the European Union and the African Union	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
08 02 77 03	Pilot project — Research and development for poverty-related and neglected diseases in achieving universal health coverage post-2015	1.1	p.m.	300 000	750 000	375 000			
08 02 77 04	Preparatory action — Towards a single and innovative European transport system	1.1	1 500 000	1 250 000	1 000 000	500 000			
08 02 77 05	Pilot project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings	1.1	600 000	300 000					
08 02 77 06	Preparatory action — Active political co-determination and co-decisive participation of the younger and older generations in Europe	1.1	600 000	250 000					
08 02 77 07	Pilot project — Optimisation of non-invasive opto-acoustic in situ underwater fish detection using a UFO pre-prototype to foster EEA based stock assessments and a better Marine Strategy Framework Directive (MSFD) implementation	2	200 000	100 000					
08 02 77 08	Pilot project — Developing an Automated Non-Invasive Opto-Acoustic UFO Test Array System to Support Monitoring of Fish Biodiversity and Other Marine Strategy Framework Directive (MSFD) Indicators in Marine Key Areas	2	1 200 000	600 000					
	<i>Article 08 02 77 — Subtotal</i>		4 100 000	2 875 000	1 750 000	875 000	0,—	125 000,—	4,35
	<b>Chapter 08 02 — Total</b>		<b>5 336 470 831</b>	<b>4 927 342 012</b>	<b>5 304 034 511</b>	<b>4 926 435 655</b>	<b>5 732 596 728,81</b>	<b>3 941 424 490,54</b>	<b>79,99</b>

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TITLE 08 — RESEARCH AND INNOVATION

**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)*Remarks*

These budgetary remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the framework programme for research and innovation, which covers the 2014-2020 period and brings together all existing Union research and innovation funding, including the framework programme for research, the innovation related activities of the competitiveness and innovation framework programme and the European Institute of Innovation and Technology (EIT). The programme shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'A digital agenda for Europe' 'Resource-efficient Europe' and 'An industrial policy for the globalisation era', as well as in the development and functioning of the European Research Area (ERA). Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding. The appropriation will be used also for the completion of the previous research programmes (Seventh Framework Programme and preceding framework programmes).

This appropriation will be used in accordance with the Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for the participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

**08 02 01*****Excellent science****Remarks*

This priority of Horizon 2020 aims to reinforce and extend excellence in the Union's science base and ensure a steady stream of world-class research to secure the Union's long-term competitiveness. It will support the best ideas, develop talent within the Union, provide researchers with access to priority research infrastructure, and make the Union an attractive location for the world's best researchers. Research actions to be funded will be determined according to the need and opportunities of science, without pre-determined thematic priorities. Attention will be paid to supporting also careers of female researchers and encouraging them to advance their careers to the highest grades, while removing cultural and institutional barriers preventing them to advance. The research agenda will be set in close liaison with the scientific community and research will be funded on the basis of excellence.

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CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)

08 02 01 (*cont'd*)

08 02 01 01 Strengthening frontier research in the European Research Council

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 622 722 376	591 884 144	1 650 723 198	449 911 575	1 702 876 770,12	8 282 867,77

*Remarks*

The fundamental activity of the European Research Council (ERC) shall be to provide attractive long-term funding to support excellent investigators and their research teams to pursue ground-breaking, high-gain/high-risk research. Particular priority shall be given to assisting excellent starting researchers to make the transition to independence by providing adequate support at the critical stage, when they are setting up or consolidating their own research team or programme. The ERC shall also give support, as necessary, to emerging new ways of working in the scientific world with the potential to create breakthrough results and facilitate exploration of the commercial and social innovation potential of the research which it funds.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(1)(a) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

*Reference acts*

Commission Implementing Decision C(2013) 8632 adopting the 2014-2015 work programme in the framework of the Specific Programme Implementing Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) in relation to the specific objective 'Strengthening frontier research, through the activities of the European Research Council', as amended by Implementing Decision C(2014) 5008 of 22 July 2014.

Commission Decision C(2013) 9428 of 20 December 2013 delegating powers to the European Research Council Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of frontier research comprising, in particular, implementation of appropriations entered in the general budget of the Union, as amended by Decision C(2014) 9437 of 12 December 2014.

Commission Decision C(2013) 8915 of 12 December 2013 establishing the European Research Council (OJ C 373, 20.12.2013, p. 23), as amended by Commission Decision of 17 February 2015 (OJ C 58, 18.2.2015, p. 3).

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TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 01 (cont'd)

## 08 02 01 02 Strengthening research in future and emerging technologies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Activities under the 'Future and emerging technologies' (FET) specific objective shall support fundamental science and technology research exploring new future technologies by challenging current paradigms and venturing into unknown areas. In addition, FET activities shall address a number of promising exploratory research themes with the potential to generate a critical mass of inter-related projects that, together, make up a broad and multifaceted exploration of the themes and build a European pool of knowledge. Finally, FET activities shall support ambitious large-scale, science-driven research aiming to achieve a scientific breakthrough. Such activities will benefit from the alignment of European and national agendas.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(1)(b) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

## 08 02 01 03 Strengthening European research infrastructures, including e-infrastructures

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
183 905 321	83 564 914	188 149 548	73 032 317	178 680 576,—	319 132,—

*Remarks*

Research infrastructures activity will ensure the implementation and operation of the ESFRI (European Strategy Forum for Research Infrastructure) and other world-class research infrastructures, including the development of regional partner facilities, for 2020 and beyond. In addition, the integration of and access to national research infrastructures and the development, deployment and operation of e-infrastructures will be ensured. The activity will also encourage research infrastructures to act as early adopters of technology, promote research and development (R & D) partnerships with industry, facilitate industrial use of research infrastructures and stimulate the creation of innovation clusters.

**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 01** (*cont'd*)08 02 01 03 (*cont'd*)*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(1)(d) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

**08 02 02** **Industrial leadership***Remarks*

This priority of Horizon 2020 aims to make the Union a more attractive location to invest in research and innovation, by promoting activities where businesses set the agenda as well as at accelerating the development of new technologies which will underpin future businesses and economic growth. It will provide major investment in key industrial technologies, maximise the growth potential of Union companies by providing them with adequate levels of finance and help innovative SMEs to grow into world-leading companies.

## 08 02 02 01 Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
504 175 361	407 929 917	503 592 719	178 666 161	496 248 079,—	55 851 350,07

*Remarks*

Leadership in enabling and industrial technologies shall provide dedicated support for research, development and demonstration on nanotechnology, advanced materials, biotechnology and advanced manufacturing and processing. Emphasis will be placed on interactions and convergence across and between the different technologies. In addition, emphasis shall be on R & D, large-scale pilots and demonstration activities, test beds and living labs, prototyping and product validation in pilot lines. Activities shall be designed to boost industrial competitiveness by stimulating industry, and in particular SMEs, to make more research and innovation investment.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(2)(a)(ii) to (v) thereof.

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## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 02 (*cont'd*)08 02 02 01 (*cont'd*)

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

## 08 02 02 02 Enhancing access to risk finance for investing in research and innovation

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
329 381 199	337 572 482	342 534 670	282 101 522	673 350 964,—	661 254 444,81

*Remarks*

The aim of this activity is to help companies and other types of organisation engaged in research and innovation (R & I) to gain easier access, via financial instruments, to loans, guarantees, counter-guarantees and hybrid, mezzanine and equity finance. Attention will be paid to access to finance for female entrepreneurs. Debt and equity facilities will be run in a demand-driven manner, though the priorities of particular sectors or of other Union programmes will be targeted if top-up funding is made available. The focus is on attracting private investments into R & I. The European Investment Bank (EIB) and the European Investment Fund (EIF) will play an important role, as entrusted entities, in implementing each financial instrument facility on behalf of and in partnership with the Commission. Part of this appropriation will be used to reinforce, in the form of paid-in capital, the EIF's capital base.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(2)(b) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

## 08 02 02 03 Increasing innovation in small and medium-sized enterprises (SMEs)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
36 120 567	31 169 883	36 588 561	33 186 975	35 278 263,—	4 756 965,11



**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 02** (*cont'd*)**08 02 02 03** (*cont'd*)*Remarks*

To support the participation of SMEs in Horizon 2020 a dedicated market-oriented instrument has been introduced, targeting all types of innovative SMEs that wish to develop, grow and internationalise. In addition, support will be provided to research intensive SMEs in transnational research projects and to women-led start-ups. Activities enhancing the innovation capacity of SMEs and improving the framework conditions for innovation will also be supported. European incubators with a proven track record in accelerating tech startups can make use of the part of this appropriation that focuses on early-stage tech startups.

In accordance with Regulation (EU) No 1291/2013, support will be provided to innovation in SMEs through the implementation of an SME instrument under a single management system and implemented in a bottom-up manner. In accordance with Annex II to that Regulation within the target of allocating a minimum of 20 % of the total combined budgets for the specific objective 'Leadership in enabling and industrial technologies' and the priority 'Societal challenges' for SMEs, a minimum of 5 % of those combined budgets will be initially allocated to the dedicated SME instrument. A minimum of 7 % of the total budgets of the specific objective 'Leadership in enabling and industrial technologies' and the priority 'Societal challenges' will be allocated to the dedicated SME instrument averaged over the duration of Horizon 2020.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(2)(c) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

**08 02 03** ***Societal challenges****Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level and take a gender-sensitive approach while aiming for balanced participation of men and women.

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## TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 03 (cont'd)

## 08 02 03 01 Improving lifelong health and well-being

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
524 745 272	299 890 040	540 954 714	271 316 606	554 198 409,03	41 977 597,41

*Remarks*

Lifelong health and well-being for all, high-quality and economically sustainable health and care systems, with health care becoming more personalised for more efficacy, and opportunities for new jobs and growth in the health sector and its related industries are the aims of this activity. To that respect activities will focus on effective health promotion and disease prevention (e.g. understanding the determinants of health, developing better preventive vaccines). Particular attention will be paid to gender- and age-related health specificities. In addition, emphasis will be placed on managing, treating and curing disease (notably via an increased personalisation of medicine), disability and reduced functionality (e.g. by transferring knowledge to clinical practice and scalable innovation actions, better use of health data, independent and assisted living). Furthermore, efforts will be made to improve decision-making in prevention and treatment provision, identify and support the dissemination of best practice in the health care sector, and support integrated care and the uptake of technological, organisational and social innovations empowering in particular older persons as well as disabled persons to remain active and independent. Finally, activities will be based on a gender-sensitive approach that recognises, amongst other things, the position of women in both the informal and the formal care sector.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(a) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

## 08 02 03 02 Securing sufficient supplies of safe, healthy and high quality food and other bio-based products

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
142 233 804	89 735 746	94 094 592	83 884 929	208 147 794,—	1 407 426,46

COMMISSION  
TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)

08 02 03 (*cont'd*)

08 02 03 02 (*cont'd*)

*Remarks*

This activity will focus on developing more sustainable and productive agriculture and forestry systems, while at the same time developing services, concepts and policies for thriving rural livelihoods. In addition, emphasis will be placed on healthy and safe foods for all as well as competitive food processing methods that use fewer resources and produce fewer by-products. In parallel, efforts will be made on sustainably exploiting aquatic living resources (e.g. sustainable and environmentally friendly fisheries). Low carbon, resource efficient, sustainable and competitive European bio-based industries will also be promoted.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(b) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

08 02 03 03 Making the transition to a reliable, sustainable and competitive energy system

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
335 369 074	242 548 217	313 168 348	137 003 313	307 466 237,12	2 759 304,76

*Remarks*

Efforts to ensure a secure, clean and efficient energy supply will focus on reducing the Union's energy consumption and carbon footprint (for example through affordable systems and components incorporating smart technologies) and securing a low-cost, low-carbon electricity supply (for example through research, development and full-scale demonstration of innovative renewables and carbon capture and storage technologies). There will be a special focus on alternative fuels and mobile energy sources and on developing a single, smart European electricity grid. Activities will also focus on multidisciplinary research for energy technologies and joint implementation of pan-European research programmes and world-class facilities. Furthermore, tools, methods and models for robust and transparent policy support will be developed and market uptake of energy innovation will be promoted. 85 % of the budget appropriations will be allocated to the renewable and end-use energy efficiency policy areas, including smart grids, energy storage and smart cities and communities from 2014 onwards.

## COMMISSION

## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 03 (*cont'd*)08 02 03 03 (*cont'd*)

In acknowledgment of the essential role of end-user energy efficiency and renewable energy for furthering energy security in the Union, market uptake measures will be supported through an 'Intelligent energy Europe III' programme to build capacity, improve governance and overcome market barriers so that energy efficiency and renewable energy solutions can be rolled out. Part of the appropriations in the overall energy challenge budget will therefore be spent on market uptake activities for existing renewable and energy efficiency technologies within the programme, carried out by a dedicated management structure, and will include support for sustainable energy policy implementation, capacity building and mobilisation of financing for investment, in line with what has been done to date.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(c) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

## 08 02 03 04 Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
331 555 393	174 476 315	159 469 104	288 354 444	387 411 556,—	5 122 790,02

*Remarks*

Under this activity emphasis will be placed on resource-efficient transport (e.g. accelerating the development and deployment of a new generation of electric and other low or zero emission aircraft, vehicles and vessels) as well as on better mobility with less congestion and more safety and security (e.g. promoting integrated door-to-door transport and logistics). The focus will also be on reinforcing the competitiveness and performance of European transport manufacturing industries and related services, by, for instance, developing the next generation of innovative transport means and preparing the ground for the following one. Activities aiming at improving the understanding of transport-related socioeconomic trends and prospects, and at providing policymakers with evidence-based data and analyses will also be supported.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(d) thereof.

COMMISSION  
TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

08 02 03 (cont'd)

08 02 03 04 (cont'd)

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

08 02 03 05 Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
284 530 369	150 855 696	297 719 374	131 157 582	274 486 195,22	1 688 156,41

*Remarks*

The main focus of this activity is to achieve a resource-efficient and climate change resilient economy and a sustainable supply of raw materials in order to meet the needs of a growing global population within the sustainable limits of the planet's natural resources. To that respect emphasis will be placed on fighting and adapting to climate change, on sustainably managing natural resources and ecosystems and on enabling the transition towards a green economy through eco-innovation. Comprehensive and sustained global environmental observation and information systems will also be developed.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(e) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

08 02 03 06 Fostering inclusive, innovative and reflective European societies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
112 411 389	117 834 666	114 233 382	74 273 114	113 807 987,95	18 144 618,36

## COMMISSION

## TITLE 08 — RESEARCH AND INNOVATION

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 03 (cont'd)

## 08 02 03 06 (cont'd)

*Remarks*

The aim of this activity is to contribute to making European societies more inclusive, innovative and reflective, by promoting smart, sustainable and inclusive growth. Actions will support coordinated policymaking through the development of evidence, tools, forward-looking activities and pilots to increase transnational efficiency and economic impact of research and innovation policies and achieve a well-functioning European Research Area and Innovation Union. Actions will also aim at closing the innovation divide, ensuring societal engagement in research and innovation and fostering gender balance in research teams, promoting coherent and effective cooperation with third countries and developing an understanding of Europe's intellectual basis: its history and the many European and non-European influences, as an inspiration for our lives today.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(f) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

08 02 04 *Spreading excellence and widening participation**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
105 470 711	47 808 292	93 183 570	62 039 896	70 413 152,—	19 802 584,40

*Remarks*

The aim of those activities is to fully exploit the potential of Europe's talent pool and to ensure that the benefits of an innovation-led economy are both maximised and widely distributed across the Union in accordance with the principle of excellence. By nurturing and connecting pools of excellence, the activities proposed will contribute to strengthening the European Research Area.

The activities will focus on teaming of excellent research institutions and low-performing RDI regions aiming at the creation of new (or significant upgrade of existing) centres of excellence in low performing RDI Member States and regions, twinning of research institutions, establishing 'ERA Chairs', a Policy Support Facility to improve the design, implementation, and evaluation of national/regional research and innovation policies, supporting access to international networks for excellent researchers and innovators who lack sufficient involvement in European and international networks and strengthening the administrative and operational capacity of transnational networks of national contact points, including through training.

COMMISSION  
TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

08 02 04 (cont'd)

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(4) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

08 02 05 **Horizontal activities of Horizon 2020**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
109 162 522	79 820 088	47 450 000	10 136 096	33 999 996,91	913 878,11

*Remarks*

This appropriation is intended to cover actions of a horizontal nature, which support the implementation of Horizon 2020. This includes, for example, activities which aim to support communication and dissemination, as well as make use of results to support innovation and competitiveness, and support for independent experts evaluating project proposals. This may also entail cross-cutting activities involving several priorities of Horizon 2020.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

08 02 06 **Science with and for society**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
53 497 266	40 461 390	54 853 984	25 322 763	51 062 663,05	3 448 223,28

## COMMISSION

## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 06 (*cont'd*)*Remarks*

The aim of this activity is to build effective cooperation between science and society, to recruit new talent for science and to pair scientific excellence with social awareness and responsibility. Emphasis will be placed on the attractiveness of science education and careers for young people, gender equality, the better integration of citizens' interests and values in science and innovation, and the development of the governance for the advancement of responsible research and innovation by all stakeholders (researchers, public authorities, industry and civil society organisations).

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(5) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

08 02 07 *Joint Undertakings*

## 08 02 07 31 Innovative Medicines Initiative 2 (IMI2) Joint Undertaking — Support expenditure

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 200 000	1 200 000	670 585	668 978	504 700,—	490 000,—

*Remarks*

This appropriation is intended to cover the administrative and running costs of the Joint Undertaking.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 557/2014 of 6 May 2014 establishing the Innovative Medicines Initiative 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 54).



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CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

08 02 07 (cont'd)

08 02 07 32 Innovative Medicines Initiative 2 (IMI2) Joint Undertaking

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
197 787 000	68 973 824	211 379 415	57 627 199	213 519 000,—	0,—

Remarks

The Innovative Medicines Initiative 2 (IMI2) Joint Undertaking, a joint undertaking (JU) between the Commission and the biopharmaceutical industry, will build up on the results of its predecessor, IMI. IMI 2's objective is to improve the drug development process by supporting more efficient research and development cooperation among academia, small and medium-sized enterprises and the biopharmaceutical industry, in order to deliver better and safer medicines for patients.

IMI2 JU shall contribute to the implementation of Horizon 2020, and in particular the specific objective 'Health, demographic change and wellbeing for all' of the priority 'Societal challenges'.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 557/2014 of 6 May 2014 establishing the Innovative Medicines Initiative 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 54).

08 02 07 33 Bio-Based Industries (BBI) Joint Undertaking — Support expenditure

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 946 263	1 946 263	1 600 083	1 596 249	684 807,—	581 758,17

Remarks

This appropriation is intended to cover the administrative and running costs of the Joint Undertaking.

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## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 07 (*cont'd*)08 02 07 33 (*cont'd*)*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 560/2014 of 6 May 2014 establishing the Bio-based Industries Joint Undertaking (OJ L 169, 7.6.2014, p. 130).

## 08 02 07 34 Bio-Based Industries (BBI) Joint Undertaking

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
156 136 237	60 148 775	200 495 917	17 527 581	51 500 000,—	0,—

*Remarks*

Bio-based Industries (BBI) Joint Undertaking is a joint undertaking (JU) between the Commission and the Bio-based industries aiming at contributing to the objectives of the BBI Initiative of a more resource-efficient and sustainable low-carbon economy and increasing economic growth and employment, in particularly in rural areas, by developing sustainable and competitive bio-based industries in Europe based on advanced biorefineries that source their biomass sustainably.

BBI JU shall contribute to the implementation of Horizon 2020, and in particular the specific objective 'Food security, sustainable agriculture and forestry, marine, maritime and inland water research and the bioeconomy' of the priority 'Societal challenges' and the 'Key Enabling Technologies' component of the specific objective 'Leadership in enabling and industrial technologies'.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

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CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

08 02 07 (cont'd)

08 02 07 34 (cont'd)

Council Regulation (EU) No 560/2014 of 6 May 2014 establishing the Bio-based Industries Joint Undertaking (OJ L 169, 7.6.2014, p. 130).

08 02 07 35 Clean Sky 2 Joint Undertaking — Support expenditure

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 625 785	2 625 785	1 864 218	1 859 751	1 262 093,—	1 262 093,—

Remarks

This appropriation is intended to cover the administrative and running costs of the Joint Undertaking.

Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 558/2014 of 6 May 2014 establishing the Clean Sky 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 77).

08 02 07 36 Clean Sky 2 Joint Undertaking

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
194 773 655	177 301 922	339 977 529	94 370 545	103 000 000,—	25 000 000,—

Remarks

The Clean Sky 2 Joint Undertaking, a joint undertaking (JU) between the Commission and the European aeronautical industry, will build up on the results of its predecessor, Clean Sky. Clean Sky 2 JU's objective is to improve the environmental impact of European aeronautical technologies through advanced research and full-scale demonstration in green technology for air transport, thus contributing to the future international competitiveness of the aeronautical sector. The technical activity is developed through different technical areas and aims at building full scale demonstrators in all flying segments.

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## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 07 (*cont'd*)08 02 07 36 (*cont'd*)

Clean Sky 2 JU shall contribute to the implementation of Horizon 2020, and in particular the specific objective 'Smart, green and integrated transport' of the priority 'Societal challenges'.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 558/2014 of 6 May 2014 establishing the Clean Sky 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 77).

## 08 02 07 37 Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking — Support expenditure

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
454 948	454 948	466 833	465 714	301 447,—	301 447,—

*Remarks*

This appropriation is intended to cover the administrative and running costs of the Joint Undertaking.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 559/2014 of 6 May 2014 establishing the Fuel Cells and Hydrogen 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 108).

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

## 08 02 07 (cont'd)

## 08 02 07 38 Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
102 166 319	47 344 982	109 114 167	29 060 885	96 154 620,—	0,—

## Remarks

The Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking (JU), a public private partnership between the Commission, the industry and the Research Grouping, will build up on the results of its predecessor, set up under the Seventh Framework Programme. FCH 2 JU's objective is to tackle a series of obstacles to the commercialisation of fuel cells and hydrogen technologies by reducing the cost of FCH systems, increasing their efficiency and demonstrating their feasibility, thus paving the way for a strong, sustainable and globally competitive fuel cells and hydrogen sector in the Union. This appropriation is intended to cover the operational costs of the FCH 2 JU.

FCH 2 JU shall contribute to the implementation of Horizon 2020 and, in particular, of the specific objectives 'Making the transition to a reliable, affordable, publicly accepted, sustainable and competitive energy system' and 'Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless' of the priority 'Societal challenges'.

## Legal basis

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Regulation (EU) No 559/2014 of 6 May 2014 establishing the Fuel Cells and Hydrogen 2 Joint Undertaking (OJ L 169, 7.6.2014, p. 108).

**08 02 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

08 02 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	168 585 829,12	25 988 509,85

## COMMISSION

## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 50 (*cont'd*)08 02 50 01 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

08 02 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	3 986 042,26	172 659 703,46

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)**08 02 51**      ***Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 867 645 867	p.m.	2 618 411 227	5 299 948,61	2 868 019 815,64

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme Cooperation implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/972/EC of 19 December 2006 concerning the Specific Programme: Ideas implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: Capacities implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Council Regulation (EC) No 71/2008 of 20 December 2007 setting up the Clean Sky Joint Undertaking (OJ L 30, 4.2.2008, p. 1).

Council Regulation (EC) No 73/2008 of 20 December 2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines (OJ L 30, 4.2.2008, p. 38).

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CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 51 (*cont'd*)

Council Regulation (EC) No 521/2008 of 30 May 2008 setting up the Fuel Cells and Hydrogen Joint Undertaking (OJ L 153, 12.6.2008, p. 1).

*Reference acts*

European Parliament resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 591).

08 02 52 **Completion of previous research framework programmes — Indirect action (prior to 2007)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 272 856	p.m.	3 585 233	369 598,42	21 266 824,45

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The contributions from EFTA States relate exclusively to their participation in the non-nuclear activities of the framework programme.

*Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).



**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 52** (*cont'd*)

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'structuring the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 44).

Decision No 1209/2003/EC of the European Parliament and of the Council of 16 June 2003 on Community participation in a research and development programme aimed at developing new clinical interventions to combat HIV/AIDS, malaria and tuberculosis through a long-term partnership between Europe and developing countries, undertaken by several Member States (OJ L 169, 8.7.2003, p. 1).

**08 02 77** ***Pilot projects and preparatory actions***

08 02 77 01 Pilot project — Coordinate research on the use of homeopathy and phytotherapy in livestock farming

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	75 000	p.m.	p.m.	0,—	125 000,—

**Remarks**

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 77 (*cont'd*)08 02 77 01 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

08 02 77 02 Pilot project — Recovering critical raw materials through recycling: an opportunity for the European Union and the African Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

08 02 77 03 Pilot project — Research and development for poverty-related and neglected diseases in achieving universal health coverage post-2015

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	750 000	375 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 77** (*cont'd*)08 02 77 03 (*cont'd*)

Ongoing discussions on the post-2015 global development framework have viewed universal health coverage (UHC) as a fundamental enabler for ending extreme poverty by 2030 and a crucial factor in completing the unfinished agenda of the health-related Millennium Development Goals (MDGs) — notably MDGs 4, 5 and 6 on improving maternal health, reducing child mortality, and combating HIV and AIDS, malaria and tuberculosis respectively.

It is also important to include neglected tropical diseases (NTDs) in the new development framework, in addition to the MDG 6 diseases and in line with the corresponding roadmap from the WHO ([http://whqlibdoc.who.int/hq/2012/WHO\\_HTM\\_NTD\\_2012.1\\_eng.pdf](http://whqlibdoc.who.int/hq/2012/WHO_HTM_NTD_2012.1_eng.pdf)) and other prominent expert organisations.

*Objectives*

This project will produce a study that quantifies the role of poverty-related and neglected diseases (PRND) R & D in contributing to UHC and improving the health situation in low- and middle-income countries. The study will:

- track Union investment in PRND R & D and assess how corresponding technologies are being translated into a resource for UHC,
- examine how the correlation between PRND R & D and UHC can be improved with regard to the post-2015 global development framework and develop recommendations for R & D indicators that help advance the impact of UHC.

Developing essential medicines for PRNDs that are safe, effective, affordable, accessible and of assured quality contributes to achieving UHC, and adequately investing in UHC is an effective way of guaranteeing that available services and products are also accessible and of a suitable standard of quality. This correlation will play a crucial role in the post-2015 global development framework, not only for ensuring healthy lives, but also for helping to reduce poverty.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

08 02 77 04 Preparatory action — Towards a single and innovative European transport system

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 500 000	1 250 000	1 000 000	500 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

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**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 77** (*cont'd*)**08 02 77 04** (*cont'd*)

The aim of this two-stage preparatory action is to identify the barriers that impede the development of a truly optimised single European transport system and to propose a set of actions which, collectively, can help to accelerate progress. The action fully supports Union and Commission initiatives. It will:

- inform future policy development and research and innovation programmes, at both Union and national level,
- address one of the seven challenges on which Horizon 2020 is based, namely ‘Smart, green and integrated transport’,
- contribute to implementation of Europe 2020 flagship initiatives such as ‘Increasing accessibility and clean transport’ and ‘Promoting innovation and excellence’.

The examination stage will:

- identify stakeholders (industry, research, administrations, regulators and civil society), and their opinions, needs and expectations,
- describe the current state of the system: public policy (at all levels); industry structures; market and regulatory conditions; mechanisms for stakeholder collaboration; transport programmes,
- look at similar issues in other world regions and identify lessons to be learned,
- identify opportunities to improve Europe’s transport system as a whole and barriers preventing this.

The roadmap stage will suggest actions, endorsed by a cross-section of stakeholders, which can accelerate progress towards an optimised transport system. It will examine:

- what, if any, new organisation(s) might help, without simply duplicating existing structures,
- how to develop a stronger ‘whole system’ mindset at all levels,
- ways to encourage existing initiatives to pay more attention to their interactions with the rest of the system,
- potential policy initiatives at Union, Member State and local levels.

The end result will be an in-depth analysis of the opportunities for and barriers to achieving a fully optimised transport system across Europe, plus committed stakeholders from all sectors.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 08 02 — HORIZON 2020 — RESEARCH (*cont'd*)08 02 77 (*cont'd*)

08 02 77 05 Pilot project — Maternal immunisation: bridging knowledge gaps for advancing maternal immunisation in low-resource settings

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
600 000	300 000				

*Remarks*

In the context of the unfinished MDG agenda, stepping up maternal immunisation for pregnant women and their babies, to protect them from diseases that cause substantial morbidity and mortality, such as malaria, HIV, tuberculosis, influenza and tetanus, represents a unique opportunity to effectively advance women's and children's health (MDG5 and MDG4) in a cost-effective and equitable fashion. Vaccines are among the most effective preventive tools available to date for reducing infectious diseases and their complications and sequelae. Most diseases that can be prevented with vaccines in pregnancy have the greatest and most serious impact on mothers and infants in low-income countries.

Maternal immunisation is a promising approach in which pregnant women are vaccinated in order to protect newborns who are too young to be vaccinated themselves. Neonatal mortality includes an estimated 600 000 infection-related deaths per year, a proportion of which may be addressed through maternal immunisation. In addition, maternal immunisation may address a proportion of the estimated 965 000 deaths from pre-term birth and prevent a proportion of the 10-50% of stillbirths estimated to be caused by infectious disease.

In contrast, the evidence currently available from the areas concerned on the burden of diseases that can be prevented through maternal immunisation and on the safety and efficacy of vaccines in pregnant women is incomplete and poor. The generation of good data and robust evidence on the burden of vaccine-preventable diseases in pregnancy and infancy in low-income countries is vital and is a prerequisite for the future definition of a road map for maternal immunisation policies in those areas.

Goal: to determine the burden of vaccine-preventable diseases in pregnant women and their infants in low-income countries and assess the impact of co-morbidities such as HIV, tuberculosis and Chagas disease on this burden.

Objectives: capitalising on recent evidence, the pilot project will quantify the burden of vaccine-preventable diseases (with a primary focus on Guillain-Barré Syndrome, respiratory syncytial virus, pertussis, influenza, tetanus and rubella) in pregnant women and their infants and to study how this burden in pregnant women is modified by co-morbidities such as infection by HIV, tuberculosis or joint HIV-tuberculosis infection in representative sites in sub-Saharan Africa, Latin America and the Caribbean.

The pilot project may also help to foster evaluation of licensed vaccines and vaccines under development for pregnant women in low-income countries, where these vaccines are most needed (i.e. it can help build a maternal influenza immunisation platform including antenatal care in developing countries), address obstacles to maternal immunisation, and contribute to the definition of a roadmap on maternal immunisation policies and inform priority setting in these contexts. Moreover the project is timely, given the momentum among global health stakeholders as regards the impact of immunisation and the fact that, throughout the next decade, low- and middle-income countries will be introducing new life-saving vaccines into their routine immunisation programmes. The project may trigger public-private collaboration and North-South research cooperation.

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## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

08 02 77 (cont'd)

08 02 77 05 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

08 02 77 06 Preparatory action — Active political co-determination and co-decisive participation of the younger and older generations in Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
600 000	250 000				

*Remarks*

Basic research at EU level into the status quo in all Member States to identify areas for action or draw political conclusions.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

08 02 77 07 Pilot project — Optimisation of non-invasive opto-acoustic in situ underwater fish detection using a UFO pre-prototype to foster EEA based stock assessments and a better Marine Strategy Framework Directive (MSFD) implementation

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
200 000	100 000				

## CHAPTER 08 02 — HORIZON 2020 — RESEARCH (cont'd)

08 02 77 (cont'd)

08 02 77 07 (cont'd)

*Remarks*

The proposed research is completely new in so far as it synchronously combines highly resolved acoustical (medium to far field) and optical (near field) imaging (low light, high frequencies) through a blending and calibration mechanism to translate the information from the near field into the far field and vice versa. This is to quantify fish abundance, biomass, and diversity in key areas as well as other MSFD indicators (through a further set of (environmental) instrumentation). Instead of snapshots (as generated like in regular surveys) 'videos' will be produced, where the assessment method will be following international (ICES) standards. The complete system is anticipated to work autonomously, continuously and non-invasively in an event based mode to save energy.

As a research platform an opto-acoustic lander hardware (underwater fish observatory, UFO) will be provided by the research institute at no cost for the project, for optimising the pattern recognition algorithms and related research.

The indicated amount covers inter alia the costs for biometrical measurements of fish, modelling of fish age-, length-, biomass-, volume-to-photo/video relationships, quantification of the reef effect through tagging experiments, calibration (integration) of biological results with those from acoustics and optics, optimisation of survey design from a biological perspective, migration and single fish separation (medium to far field fish tracing), event based control of the full instrumentation, distance estimation (near field stereo-metrics), fish separation (near field fish tracing) and calibration (integration) of optical results with those from acoustics and biology.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

08 02 77 08 Pilot project — Developing an Automated Non-Invasive Opto-Acoustic UFO Test Array System to Support Monitoring of Fish Biodiversity and Other Marine Strategy Framework Directive (MSFD) Indicators in Marine Key Areas

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 200 000	600 000				

*Remarks*

The proposed research is completely new in so far as it synchronously combines highly resolved acoustical (medium to far field) and optical (near field) imaging (low light, high frequencies) through a blending and calibration mechanism to translate the information from the near field into the far field and vice versa. This is to quantify fish abundance, biomass, and diversity in key areas as well as other MSFD indicators (through a further set of (environmental) instrumentation). Instead of snapshots (as generated like in regular surveys) 'videos' will be produced, where the assessment method will be following international (ICES) standards. The complete system is anticipated to work autonomously, continuously and non-invasively in an event based mode to save energy (Is that fish or something else? If fish, then switch on the full instrumentation). The two underwater fish observatories of the test array will be trained to learn talking to each other and exchanging relevant information (for instance, related to the reef effect).

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**CHAPTER 08 02 — HORIZON 2020 — RESEARCH** (*cont'd*)**08 02 77** (*cont'd*)08 02 77 08 (*cont'd*)

The indicated amount covers inter alia the costs for biometrical measurements of fish, modelling of fish age-, length, biomass-, volume-to-photo/video relationships, quantification of reef effect through tagging experiments, calibration (integration) of biological results with those from acoustics and optics, optimisation of survey design from a biological perspective, migration and single fish separation (medium to far field fish tracing), event based control of the full instrumentation, distance estimation (near field stereo-metrics), distance estimation (near field stereo-metrics), calibration (integration) of optical results with those from acoustics and biology, array hardware and technical sensor interfacing.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 03	EURATOM PROGRAMME — INDIRECT ACTIONS								
<b>08 03 01</b>	<b>Operational expenditure for the Euratom Programme</b>								
08 03 01 01	Euratom — Fusion energy	1.1	132 239 003	132 233 979	122 147 186	146 941 084	112 579 654,61	97 841 864,61	73,99
08 03 01 02	Euratom — Nuclear fission and radiation protection	1.1	59 135 715	p.m.	54 654 414	30 875 121	48 861 099,—	417 357,22	
	<i>Article 08 03 01 — Subtotal</i>		191 374 718	132 233 979	176 801 600	177 816 205	161 440 753,61	98 259 221,83	74,31
<b>08 03 50</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological devel- opment</b>								
08 03 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological devel- opment (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	34 406 584,72	33 548 036,36	
08 03 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological devel- opment (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	6 831,01	13 653 880,91	
	<i>Article 08 03 50 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	34 413 415,73	47 201 917,27	
<b>08 03 51</b>	<b>Completion of the previous Euratom research framework programme (2007 to 2013)</b>	1.1	p.m.	16 387 468	p.m.	39 796 544	300 085,79	58 351 931,66	356,08
<b>08 03 52</b>	<b>Completion of previous Euratom research framework programmes (prior to 2007)</b>	1.1	p.m.	194 291	p.m.	394 545	3 217,43	148 044,18	76,20
	<b>Chapter 08 03 — Total</b>		<b>191 374 718</b>	<b>148 815 738</b>	<b>176 801 600</b>	<b>218 007 294</b>	<b>196 157 472,56</b>	<b>203 961 114,94</b>	<b>137,06</b>

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**CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS** (*cont'd*)*Remarks*

The Euratom research and training programme (2014-2018) ('Euratom Programme') complements Horizon 2020 in the field of nuclear research and training. Its general objective is to pursue nuclear research and training activities with an emphasis on continually improving nuclear safety and radiation protection, notably to contribute to the long-term decarbonisation of the energy system in a safe, efficient and secure way. By supporting this research, the Euratom Programme shall reinforce outcomes under the three priorities of Horizon 2020: excellent science, industrial leadership, and societal challenges.

The indirect actions of the Euratom Programme are focused on two areas: nuclear fission, safety and radiation protection, and fusion research and development programme.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

**08 03 01 Operational expenditure for the Euratom Programme***Remarks*

The scope of the Euratom Programme for indirect actions includes nuclear fission safety and radiation protection as well as the fusion research and development which aim to ensure the success of the ITER project while enabling Europe to reap its benefits. It will reinforce outcomes under the three priorities of Horizon 2020: excellent science, industrial leadership and societal challenges.

**08 03 01 01 Euratom — Fusion energy***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
132 239 003	132 233 979	122 147 186	146 941 084	112 579 654,61	97 841 864,61

*Remarks*

The Euratom activity in the field of fusion will support common research activities undertaken by the fusion stakeholders involved in the implementation of the tasks derived from the fusion roadmap. In addition, it will support joint activities to develop and qualify materials for a demonstration power plant as well as to address reactor operation issues and develop and demonstrate all relevant technologies for a fusion demonstration power plant. The activity will also implement or support knowledge management and technology transfer from the research co-funded by this programme to industry, exploiting all innovative aspects of the research. Furthermore, the activity will support the construction, refurbishment, use and continued availability of key research infrastructures under the Euratom Programme.

*Legal basis*

Council Regulation (Euratom) No 1314/2013 of 16 December 2013 on the Research and Training Programme of the European Atomic Energy Community (2014-2018) complementing the Horizon 2020 Framework Programme for Research and Innovation (OJ L 347, 20.12.2013, p. 948), and in particular Article 3(2)(e) to (h) thereof.

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CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS (*cont'd*)

08 03 01 (*cont'd*)

08 03 01 02 Euratom — Nuclear fission and radiation protection

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
59 135 715	p.m.	54 654 414	30 875 121	48 861 099,—	417 357,22

Remarks

The Euratom activity in the field of nuclear fission will provide support to joint research activities concerning the safe operation of reactor systems in use or which may be used in the future in the Union. It will also contribute to the development of solutions for the management of ultimate nuclear waste. In addition, the activity will support joint and/or coordinated research activities, placing emphasis on the risks from low doses from industrial, medical or environmental exposure. Furthermore, Euratom fission will promote joint training and mobility activities between research centres and industry as well as between different Member and Associated States while it will provide support for maintaining multidisciplinary nuclear competences.

Legal basis

Council Regulation (Euratom) No 1314/2013 of 16 December 2013 on the Research and Training Programme of the European Atomic Energy Community (2014-2018) complementing the Horizon 2020 Framework Programme for Research and Innovation (OJ L 347, 20.12.2013, p. 948), and in particular Article 3(2)(a) to (d) thereof.

08 03 50 ***Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development***

08 03 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	34 406 584,72	33 548 036,36

Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

## COMMISSION

## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS (*cont'd*)08 03 50 (*cont'd*)08 03 50 01 (*cont'd*)

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

08 03 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	6 831,01	13 653 880,91

## Remarks

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

08 03 51 *Completion of the previous Euratom research framework programme (2007 to 2013)*

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	16 387 468	p.m.	39 796 544	300 085,79	58 351 931,66

## Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

**CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS** (*cont'd*)**08 03 51** (*cont'd*)

The programme covers two thematic areas:

Fusion research, which includes activities that range from basic research to technology development, construction of major projects and training and education activities. It offers the prospect of an almost limitless supply of clean energy, with ITER being the crucial next step in the progress towards this ultimate goal. The realisation of the ITER project therefore lies at the heart of the present Union strategy. It must be accompanied by a strong and focused European research and development programme on fusion to prepare for the exploitation of ITER and to develop the technologies and knowledge base that will be needed during ITER operation and beyond.

Fission research, which aims to establish a sound scientific and technical basis in order to accelerate practical developments for the safer management of long-lived radioactive waste, to promote safer, more resource-efficient and cost-effective nuclear energy and to ensure a robust and socially acceptable system of protection of man and the environment against the effects of radiation.

*Legal basis*

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the Specific Programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012 to 2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the Specific Programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 33).

**08 03 52** ***Completion of previous Euratom research framework programmes (prior to 2007)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	194 291	p.m.	394 545	3 217,43	148 044,18

## COMMISSION

## TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 03 — EURATOM PROGRAMME — INDIRECT ACTIONS (*cont'd*)08 03 52 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Decision 94/268/Euratom of 26 April 1994 concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998) (OJ L 115, 6.5.1994, p. 31).

Council Decision 96/253/Euratom of 4 March 1996 adapting Decision 94/268/Euratom concerning a framework programme of Community activities in the field of research and training for the European Atomic Energy Community (1994 to 1998), following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 72).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Council Decision 1999/64/Euratom of 22 December 1998 concerning the Fifth Framework Programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Council Decision 2002/668/Euratom of 3 June 2002 concerning the sixth framework programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities, also contributing to the creation of the European Research Area (2002 to 2006) (OJ L 232, 29.8.2002, p. 34).

Council Decision 2002/837/Euratom of 30 September 2002 adopting a specific programme (Euratom) for research and training on nuclear energy (2002-2006) (OJ L 294, 29.10.2002, p. 74).

COMMISSION  
TITLE 08 — RESEARCH AND INNOVATION

CHAPTER 08 05 — RESEARCH PROGRAMME OF THE RESEARCH FUND FOR COAL AND STEEL

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
08 05	RESEARCH PROGRAMME OF THE RESEARCH FUND FOR COAL AND STEEL								
08 05 01	<i>Research programme for steel</i>	1.1	p.m.	p.m.	p.m.	p.m.	35 644 823,—	35 177 770,27	
08 05 02	<i>Research programme for coal</i>	1.1	p.m.	p.m.	p.m.	p.m.	14 906 689,—	13 776 454,34	
	<b>Chapter 08 05 — Total</b>		<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>50 551 512,—</b>	<b>48 954 224,61</b>	

Remarks

The Research Fund for Coal and Steel funds every year innovative projects to enhance the safety, efficiency and competitive edge of the Union coal and steel industries. It was created in 2002 to build on the successes of the European Coal and Steel Community. The distribution of the budgets between coal (27,2 %) and steel (72,8 %) is defined in Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

**08 05 01** *Research programme for steel*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	35 644 823,—	35 177 770,27

Remarks

The steel research programme activity aims to improve steel production processes with a view to enhancing product quality and increasing productivity. Reducing emissions, energy consumption and the environmental impact as well as enhancing the use of raw materials and the conservation of resources shall form an integral part of the improvements sought.

Legal basis

Council Decision 2008/376/EC of 29 April 2008 on the adoption of the Research Fund of the RFCS and on the multiannual technical guidelines for this programme (OJ L 130, 20.5.2008, p. 7).

**08 05 02** *Research programme for coal*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	14 906 689,—	13 776 454,34

COMMISSION

TITLE 08 — RESEARCH AND INNOVATION

**CHAPTER 08 05 — RESEARCH PROGRAMME OF THE RESEARCH FUND FOR COAL AND STEEL** (*cont'd*)**08 05 02** (*cont'd*)*Remarks*

The coal research programme activity aims to reduce the total costs of mining production, improve the quality of the products and reduce the costs of using coal. Research projects shall also aim to achieve scientific and technological progress with a view to gaining a better understanding of the behaviour and control of deposits in relation to rock pressure, gas emissions, the risk of explosion, ventilation and all other factors affecting mining operations. Research projects with these objectives shall present the prospect of results applicable in the short or medium term to a substantial part of Union production.

*Legal basis*

Council Decision 2008/376/EC of 29 April 2008 on the adoption of the Research Fund of the RFCS and on the multiannual technical guidelines for this programme (OJ L 130, 20.5.2008, p. 7).



*TITLE 09*

**COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY**

COMMISSION

TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

**TITLE 09****COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
09 01	ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY' POLICY AREA	117 303 475	117 303 475	115 875 353	115 875 353	133 662 500,79	133 662 500,79
09 02	DIGITAL SINGLE MARKET	19 583 000	20 817 000	18 396 755	19 230 445	18 955 811,10	17 047 935,97
09 03	CONNECTING EUROPE FACILITY (CEF) — TELE-COMMUNICATION NETWORKS	140 417 661	79 544 909	91 642 770	72 471 741	86 634 073,06	5 706 591,58
09 04	HORIZON 2020	1 396 861 728	2 027 749 775	1 506 779 569	1 525 102 580	1 474 801 963,88	1 035 193 554,99
09 05	CREATIVE EUROPE	129 148 500	127 641 498	123 120 000	121 683 724	135 615 165,07	142 883 510,73
	<b>Title 09 — Total</b>	<b>1 803 314 364</b>	<b>2 373 056 657</b>	<b>1 855 814 447</b>	<b>1 854 363 843</b>	<b>1 849 669 513,90</b>	<b>1 334 494 094,06</b>

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TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## TITLE 09

## COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
09 01	ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY' POLICY AREA					
<b>09 01 01</b>	<b>Expenditure related to officials and temporary staff in the 'Communications networks, content and technology' policy area</b>	5.2	43 222 990	42 390 372	43 558 797,68	100,78
<b>09 01 02</b>	<b>External personnel and other management expenditure in support of the 'Communications networks, content and technology' policy area</b>					
09 01 02 01	External personnel	5.2	2 536 752	2 100 737	2 020 819,66	79,66
09 01 02 11	Other management expenditure	5.2	1 891 695	1 682 837	1 837 401,—	97,13
	Article 09 01 02 — Subtotal		4 428 447	3 783 574	3 858 220,66	87,12
<b>09 01 03</b>	<b>Expenditure related to information and communication technology equipment and services of the 'Communications networks, content and technology' policy area</b>	5.2	2 758 538	2 691 197	3 145 807,19	114,04
<b>09 01 04</b>	<b>Support expenditure for operations and programmes in the 'Communications networks, content and technology' policy area</b>					
09 01 04 01	Support expenditure for Connecting Europe Facility (CEF) — information and communication technologies (ICT)	1.1	497 000	323 000	193 643,—	38,96
09 01 04 02	Support expenditure for 'Creative Europe' programme — media sub-programme	3	1 414 980	1 374 030	1 427 720,26	100,90
	Article 09 01 04 — Subtotal		1 911 980	1 697 030	1 621 363,26	84,80
<b>09 01 05</b>	<b>Support expenditure for research and innovation programmes in the 'Communications networks, content and technology' policy area</b>					
09 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	43 255 948	43 000 000	48 584 372,—	112,32

## COMMISSION

## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY’  
POLICY AREA (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>09 01 05</b>	(cont'd)					
09 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	11 242 239	11 423 259	12 312 717,—	109,52
09 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	10 483 333	10 889 921	20 581 223,—	196,32
	Article 09 01 05 — Subtotal		64 981 520	65 313 180	81 478 312,—	125,39
	<b>Chapter 09 01 — Total</b>		<b>117 303 475</b>	<b>115 875 353</b>	<b>133 662 500,79</b>	<b>113,95</b>

**09 01 01**      **Expenditure related to officials and temporary staff in the ‘Communications networks, content and technology’ policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
43 222 990	42 390 372	43 558 797,68

**09 01 02**      **External personnel and other management expenditure in support of the ‘Communications networks, content and technology’ policy area**

## 09 01 02 01      External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 536 752	2 100 737	2 020 819,66

## 09 01 02 11      Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
1 891 695	1 682 837	1 837 401,—

**09 01 03**      **Expenditure related to information and communication technology equipment and services of the ‘Communications networks, content and technology’ policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 758 538	2 691 197	3 145 807,19

## COMMISSION

## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY’ POLICY AREA (cont'd)

**09 01 04** *Support expenditure for operations and programmes in the ‘Communications networks, content and technology’ policy area*

09 01 04 01 Support expenditure for Connecting Europe Facility (CEF) — information and communication technologies (ICT)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
497 000	323 000	193 643,—

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and/or administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or actions.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Articles 09 03 01, 09 03 02 and 09 03 03.

09 01 04 02 Support expenditure for ‘Creative Europe’ programme — media sub-programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 414 980	1 374 030	1 427 720,26

*Remarks*

*Former Item 15 01 04 02 (in part)*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, evaluation and promotion activities which are required for the management of the ‘Creative Europe’ programme and the achievement of its objectives; in particular studies, meetings of experts, information and communication actions, including institutional communication of the political priorities of the Union in so far as they are related to the general objectives of this item, and expenses connected with IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission in managing the programme.

## COMMISSION

## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

**CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY’  
POLICY AREA** (*cont’d*)**09 01 04** (*cont’d*)**09 01 04 02** (*cont’d*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any revenue from the Swiss Confederation’s contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 15 04.

**09 01 05** *Support expenditure for research and innovation programmes in the ‘Communications networks, content and technology’ policy area***09 01 05 01** Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
43 255 948	43 000 000	48 584 372,—

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

**CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY’ POLICY AREA** (*cont’d*)

**09 01 05** (*cont’d*)

**09 01 05 01** (*cont’d*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 09 04.

**09 01 05 02** External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
11 242 239	11 423 259	12 312 717,—

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 09 04.

**09 01 05 03** Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
10 483 333	10 889 921	20 581 223,—

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TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

**CHAPTER 09 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY’  
POLICY AREA** (*cont’d*)**09 01 05** (*cont’d*)09 01 05 03 (*cont’d*)*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 09 04.



## COMMISSION

## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 02 — DIGITAL SINGLE MARKET

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
09 02	DIGITAL SINGLE MARKET								
<b>09 02 01</b>	<b>Definition and implementation of the Union's policy in the field of electronic communication</b>								
		1.1	3 530 000	4 100 000	3 258 000	3 702 485	3 188 254,46	2 817 710,61	68,72
<b>09 02 03</b>	<b>European Union Agency for Network and Information Security (ENISA)</b>								
		1.1	10 070 000	10 070 000	9 100 612	9 100 612	9 085 457,86	9 085 457,86	90,22
<b>09 02 04</b>	<b>Body of European Regulators for Electronic Communications (BEREC) — Office</b>								
		1.1	4 072 000	4 072 000	3 498 143	3 498 143	4 162 873,64	4 162 792,—	102,23
<b>09 02 05</b>	<b>Measures concerning digital content, and audiovisual and other media industries</b>								
		3	1 061 000	1 200 000	1 040 000	1 087 338	1 019 225,14	150 215,14	12,52
<b>09 02 77</b>	<b>Pilot projects and preparatory actions</b>								
09 02 77 02	Pilot project — Implementation of the media pluralism monitoring tool	3	p.m.	p.m.	p.m.	326 889	500 000,—	426 079,92	
09 02 77 03	Pilot project — European Centre for Press and Media Freedom	3	p.m.	400 000	p.m.	764 978	1 000 000,—	405 680,44	101,42
09 02 77 04	Preparatory action — European Centre for Press and Media Freedom	3	500 000	600 000	1 000 000	500 000			
09 02 77 05	Preparatory action — Implementation of the media pluralism monitoring tool	3	350 000	375 000	500 000	250 000			
	<i>Article 09 02 77 — Subtotal</i>		850 000	1 375 000	1 500 000	1 841 867	1 500 000,—	831 760,36	60,49
	<b>Chapter 09 02 — Total</b>		<b>19 583 000</b>	<b>20 817 000</b>	<b>18 396 755</b>	<b>19 230 445</b>	<b>18 955 811,10</b>	<b>17 047 935,97</b>	<b>81,89</b>

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TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 02 — DIGITAL SINGLE MARKET (*cont'd*)**09 02 01**      ***Definition and implementation of the Union's policy in the field of electronic communication****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 530 000	4 100 000	3 258 000	3 702 485	3 188 254,46	2 817 710,61

*Remarks**Former Articles 09 02 01 and 12 02 01 (in part)*

This appropriation is intended to cover expenditure on a set of measures designed to:

- coordinate a better regulatory framework for competition, investment and growth over the entire range of issues in the e-communications field: economic analysis, impact assessment, policy development, regulatory compliance,
- carry out and review the Union's policy on electronic communication networks and services with a view to launching initiatives designed to meet the challenges in this dynamically evolving sector (convergence of electronic communication with audiovisual and content delivery),
- facilitate the implementation of the digital single market, in actions related to broadband targets through regulation, policy and public financial assistance, including coordination with cohesion policy in the areas relevant to electronic communications networks and services,
- develop policies and coordination measures which will ensure that Member States implement their national broadband plans with reference to the fixed and mobile infrastructure and their possible convergence, including coherence and economic efficiency of public interventions at Union and Member State levels,
- develop policy and legislation with a particular focus on issues related to access and authorisation of e-communications networks and services, notably interoperability, interconnection, civil works, the independence of regulators and new measures to strengthen the single market,
- facilitate the monitoring and implementation of relevant legislation in all Member States,
- provide coordination of infringement proceedings, and input to relevant State aid matters,
- develop policy and legislation with a particular focus on issues related to retail and consumer issues notably net neutrality, switching, roaming, demand and use stimulation and universal service,
- develop and implement consistent market-based regulations to be applied by national regulatory authorities and to respond to individual notifications from those authorities, notably regarding relevant markets, competition and appropriate regulatory intervention, in particular for next generation access networks,
- develop policies across the board which will ensure that Member States manage all uses of spectrum, including the different internal market realms such as e-communications, broadband internet and innovation,

**CHAPTER 09 02 — DIGITAL SINGLE MARKET** (*cont'd*)**09 02 01** (*cont'd*)

- promote and monitor the implementation of the regulatory framework for communication services (including the mechanism provided for in Article 7 of Directive 2002/21/EC of the European Parliament and of the Council of 7 March 2002 on a common regulatory framework for electronic communications networks and services (Framework Directive) (OJ L 108, 24.4.2002, p. 33),
- enable third countries to pursue a policy of opening up their markets to the same extent as in the Union,
- promote and monitor the implementation of the radio spectrum policy programme (Decision No 243/2012/EU of the European Parliament and of the Council of 14 March 2012 establishing a multiannual radio spectrum policy programme (OJ L 81, 21.3.2012, p. 7)),
- develop policies on copyright at Union level, in particular on the online distribution of copyright protected content in the digital single market, including the assessment of legal and economic barriers stemming from the regulatory framework at Union or Member States level or from industry practices,
- develop, implement and monitor policies in the context of the digital single market, on e-commerce in the Union, in particular those related to Directive 2000/31/EC of the European Parliament and of the Council of 8 June 2000 on certain legal aspects of information society services, in particular electronic commerce, in the internal market ('Directive on electronic commerce') (OJ L 178, 17.7.2000, p. 1), including the assessment of legal and economic barriers stemming from the internal market framework for electronic commerce or from related measures,
- support actions to safeguard the continued stability and security of the Internet governed by a genuine multi-stakeholder model to ensure that economic and social opportunities offered by electronic communications can be fully exploited,
- continue to implement the communication of the European Commission 'Internet Policy and Governance — Europe's role in shaping the future of Internet Governance' (COM(2014) 72 final), as well as to follow up on concrete calls expressed by the Member States in Council Conclusions on Internet Governance from 27 November 2014 and by European Parliament in the Resolution of 11 February 2015 on the renewal of the mandate of the Internet Governance Forum (2015/2526(RSP)),
- continue to ensure financial support for the Internet Governance Forum, as well as ensuring financial support for the pan-European dialogue on internet governance (EuroDIG) to secure their financial stability and therefore their operational viability; this also includes financial support for different initiatives related to raising the impact of both fora,
- promote the importance of ICTs in the development of the post-2015 sustainable development goals, including through capacity and confidence-building measures in the area of electronic communications addressed at third countries.

The specific objectives of these measures are:

- the formulation of a Union policy and strategy in the field of communication services and networks (including convergence between electronic communications and audiovisual environments, aspects related to the internet, etc.),
- the development of radio spectrum policy in the Union,
- the development of activities in the mobile and satellite communications sector, particularly as regards frequencies, and demand stimulation,

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CHAPTER 09 02 — DIGITAL SINGLE MARKET *(cont'd)*09 02 01 *(cont'd)*

- an analysis of the situation and the legislation adopted in these areas, as well as State aid decisions,
- an analysis of the financial state of play and investment intensities in the sector,
- the coordination of these policies and initiatives as regards the international environment (e.g. WRC, CEPT, etc.),
- the development of activities and initiatives in relation to the digital single market,
- the development of activities and initiatives in relation to cohesion policy,
- the development and maintenance of the database in relation to the radio spectrum policy programme and other actions related to monitoring and the implementation of the programme,
- the promotion and further advancement of the Union's vision of the multi-stakeholder model of Internet governance.

These measures consist, inter alia, in preparing analyses and progress reports, consulting stakeholders and the public, preparing communications, legislative proposals and monitoring the application of legislation, and translations of notifications and consultations under Article 7 of Directive 2002/21/EC.

This appropriation is intended to cover, in particular, contracts for analysis and expert reports, specific studies, evaluation reports, coordination activities, grants and the part-financing of certain measures.

In addition, this appropriation is also intended to cover expenditure on meetings of experts, communication events, membership fees, information and publications directly linked to the achievement of the objectives of the policy or measures coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 02 03 *European Union Agency for Network and Information Security (ENISA)*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 070 000	10 070 000	9 100 612	9 100 612	9 085 457,86	9 085 457,86

**CHAPTER 09 02 — DIGITAL SINGLE MARKET** (*cont'd*)**09 02 03** (*cont'd*)*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme only (Title 3).

The Agency was set up to enhance the capability of the Union, the Member States and, as a consequence, the business community to prevent, address and respond to network and information security problems. In order to achieve this goal, the Agency will be developing a high level of expertise and stimulating broad cooperation between actors from the public and private sectors.

The Agency's aim is to provide assistance and to deliver advice to the Commission and the Member States on issues related to network and information security falling within its competencies and to assist the Commission, where called upon, in the technical preparatory work for updating and developing Union legislation in the field of network and information security.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Pursuant to Article 208 of the Financial Regulation and the corresponding Articles of the framework Financial Regulation for each of the bodies set up by the Communities, the role of the European Parliament and the Council has been strengthened.

The establishment plan of the Agency is set out in the Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 10 120 000. An amount of EUR 50 000 coming from the recovery of surplus is added to the amount of EUR 10 070 000 entered in the budget.

*Legal basis*

Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013 concerning the European Union Agency for Network and Information Security (ENISA) and repealing Regulation (EC) No 460/2004 (OJ L 165, 18.6.2013, p. 41).

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CHAPTER 09 02 — DIGITAL SINGLE MARKET (*cont'd*)**09 02 04      *Body of European Regulators for Electronic Communications (BEREC) — Office****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 072 000	4 072 000	3 498 143	3 498 143	4 162 873,64	4 162 792,—

*Remarks*

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme only (Title 3).

BEREC acts as a specialised and independent expert advisory body assisting the Commission and the national regulatory authorities in the implementation of the Union regulatory framework for electronic communications in order to promote a consistent regulatory approach across the Union. BEREC is neither a Union body nor does it have legal personality.

The Office is established as a Union body with legal personality, providing BEREC with professional and administrative support in carrying out the tasks conferred on it by Regulation (EC) No 1211/2009.

The Office must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Pursuant to Article 208 of the Financial Regulation and the corresponding Articles of the framework Financial Regulation for each of the bodies set up by the Communities, the role of the European Parliament and the Council has been strengthened.

The establishment plan of the Office is set out in the Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 4 246 000. An amount of EUR 174 000 coming from the recovery of surplus is added to the amount of EUR 4 072 000 entered in the budget.

*Legal basis*

Regulation (EC) No 1211/2009 of the European Parliament and of the Council of 25 November 2009 establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office (OJ L 337, 18.12.2009, p. 1).

CHAPTER 09 02 — DIGITAL SINGLE MARKET (*cont'd*)**09 02 05 Measures concerning digital content, and audiovisual and other media industries***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 061 000	1 200 000	1 040 000	1 087 338	1 019 225,14	150 215,14

*Remarks*

This appropriation is intended to cover the following measures:

- the implementation of the Directive 2010/13/EU of the European Parliament and of the Council of 10 March 2010 on the coordination of certain provisions laid down by law, regulation or administrative action in Member States concerning the provision of audiovisual media services (Audiovisual Media Services Directive) (OJ L 95, 15.4.2010, p. 1),
- the monitoring of the evolution of the media sector, including pluralism and freedom of the media, and
- the collection and dissemination of economic and legal information and analysis concerning the audiovisual sector and converging media and content industries.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the measures coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**09 02 77 Pilot projects and preparatory actions****09 02 77 02 Pilot project — Implementation of the media pluralism monitoring tool***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	326 889	500 000,—	426 079,92

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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CHAPTER 09 02 — DIGITAL SINGLE MARKET (*cont'd*)09 02 77 (*cont'd*)09 02 77 02 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 02 77 03 Pilot project — European Centre for Press and Media Freedom

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	p.m.	764 978	1 000 000,—	405 680,44

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 02 77 04 Preparatory action — European Centre for Press and Media Freedom

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	600 000	1 000 000	500 000		

*Remarks*

The proposed European Centre for Press and Media Freedom follows on from the Charter of Fundamental Rights of the European Union and the European Charter on Freedom of the Press by acting as a single European-level 'drop-in centre' for journalistic organisations or individuals and media actors alleging violations of those charters. It will be the sole centre in Europe that monitors and documents any such violations. It will sound the alarm in acute cases, for instance by organising support from foreign colleagues for journalists who need help. The centre will benefit from input from a wide range of sources, including academic centres, regional partners all over Europe and various journalistic organisations. The centre will cover the 28 Member States and the candidate countries.



**CHAPTER 09 02 — DIGITAL SINGLE MARKET** (*cont'd*)**09 02 77** (*cont'd*)09 02 77 04 (*cont'd*)

This preparatory action will complement to existing actions supported by the Union budget. More specifically, the centre will be the practical hands-on counterpart of the academic Centre for Media Pluralism and Media Freedom based at the European University Institute in Florence. It will, furthermore, benefit from the momentum created by the High-Level Group on Media Freedom and Pluralism set up by the Commission and by the European Parliament resolution of 21 May 2013 on 'Standard settings for media freedom across the EU'.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 02 77 05 Preparatory action — Implementation of the media pluralism monitoring tool

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
350 000	375 000	500 000	250 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action follows on from the pilot project financed in 2013 and 2014 by the European Parliament, the main purpose of which was to test and apply the media pluralism monitor (MPM) developed in 2009 at the request of the Commission by a consortium of European universities.

The MPM pilot project is currently being carried out by the Centre for Media Pluralism and Media Freedom (CMPF) at the Robert Schuman Centre for Advanced Studies/European University Institute, an academic centre established in 2011 by the Commission as part of the Union's ongoing efforts to improve the protection of media pluralism and media freedom in Europe, and to assess what action needs to be taken at European or national level to further those objectives. Under the pilot project, the CMPF is testing a streamlined version of the 2009 MPM on a representative sample of nine Member States: Belgium, Bulgaria, Denmark, Estonia, France, Greece, Hungary, Italy and the United Kingdom. Those countries were selected on the basis of objective criteria so as to ensure the highest degree of neutrality and to allow broad implementation in different circumstances.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATION NETWORKS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
09 03	CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATION NETWORKS								
09 03 01	<i>Preparing broadband projects for public and/or private financing</i>	1.1	p.m.	450 000	p.m.	3 056 060	0,—	0,—	0
09 03 02	<i>Creating an environment more conducive to private investment for telecommunication infrastructure projects — CEF broadband</i>	1.1	37 287 000	18 643 500	35 575 000	35 799 319	17 499 729,16	0,—	0
09 03 03	<i>Promoting interoperability, sustainable deployment, operation and upgrading of trans-European digital service infrastructures, as well as coordination at European level</i>	1.1	103 130 661	60 166 409	56 067 770	29 687 199	69 134 343,90	293 720,81	0,49
09 03 51	<i>Completion of previous programmes</i>								
09 03 51 01	Completion of the 'Safer internetÉ' programme (2009 to 2013)	1.1	p.m.	285 000	p.m.	3 929 163	0,—	5 412 870,77	1 899,25
09 03 51 02	Completion of 'Safer internet plus' — Promoting safer use of the internet and new online technologies	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 09 03 51 — Subtotal		p.m.	285 000	p.m.	3 929 163	0,—	5 412 870,77	1 899,25
	<b>Chapter 09 03 — Total</b>		<b>140 417 661</b>	<b>79 544 909</b>	<b>91 642 770</b>	<b>72 471 741</b>	<b>86 634 073,06</b>	<b>5 706 591,58</b>	<b>7,17</b>

**09 03 01** *Preparing broadband projects for public and/or private financing*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	450 000	p.m.	3 056 060	0,—	0,—

## Remarks

Actions under this article shall contribute to the objectives of the Connecting Europe Facility laid out in Article 3 of Regulation (EU) No 283/2014.

**CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATION NETWORKS** (*cont'd*)**09 03 01** (*cont'd*)

Actions under this article shall contribute to the broadband-related objectives of the Connecting Europe Facility through studies and programme support actions, in particular technical assistance, as defined in Article 2 of Regulation (EU) No 1316/2013.

Actions under this article shall typically be carried out through grants or procurement, either under direct management in the meaning of point (a) of Article 58(1) of the Financial Regulation or indirect management in the meaning of point (c) of Article 58(1) of the same Regulation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular point (a) of Article 5(2) thereof.

Regulation (EU) No 283/2014 of the European Parliament and of the Council of 11 March 2014 on guidelines for trans-European networks in the area of telecommunications infrastructure and repealing Decision No 1336/97/EC (OJ L 86, 21.3.2014, p. 14), and in particular Article 6(9) thereof and Section 3 of the Annex thereto.

**09 03 02** ***Creating an environment more conducive to private investment for telecommunication infrastructure projects — CEF broadband****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
37 287 000	18 643 500	35 575 000	35 799 319	17 499 729,16	0,—

*Remarks*

Actions under this article shall contribute to the objectives of the Connecting Europe Facility laid out in Article 3 of Regulation (EU) No 283/2014.

They shall support projects of common interest in the field of broadband networks.

Actions under this article shall contribute to the above objectives through financial instruments in accordance with Article 7(4) of Regulation (EU) No 1316/2013.

The expenses shall cover financial assistance to broadband networks, as defined in Article 2 of Regulation (EU) No 283/2014.

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CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATION NETWORKS (*cont'd*)09 03 02 (*cont'd*)

Any repayment from financial instruments, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenues in accordance with Article 21(3) of the Financial Regulation may be used for the same financial instrument pursuant to Article 140(6) of the Financial Regulation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 7(4) thereof.

Regulation (EU) No 283/2014 of the European Parliament and of the Council of 11 March 2014 on guidelines for trans-European networks in the area of telecommunications infrastructure and repealing Decision No 1336/97/EC (OJ L 86, 21.3.2014, p. 14), and in particular Article 6 (7) thereof and Section 2 of the Annex thereto.

**09 03 03 *Promoting interoperability, sustainable deployment, operation and upgrading of trans-European digital service infrastructures, as well as coordination at European level***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
103 130 661	60 166 409	56 067 770	29 687 199	69 134 343,90	293 720,81

*Remarks*

Actions under this article shall contribute to the objectives laid out in Article 3 of Regulation (EU) No 283/2014.

They shall support projects of common interest in the field of digital service infrastructures.

Actions under this article shall typically contribute to the above objectives through the instrument of grants and procurement:

- core service platforms will typically be financed through procurement, with the exception of Europeana,
- generic services will typically be financed through grants,
- digital platforms, such as Europeana, to help the creative industries and to facilitate economic activity based on digitised cultural resources.

**CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATION NETWORKS** (*cont'd*)**09 03 03** (*cont'd*)

The expenses shall cover the entire cycle of digital service infrastructures, including feasibility studies, implementation, continuous operation and upgrading, coordination and evaluation and technical assistance, as defined in Article 2 of Regulation (EU) No 283/2014. The focus must not be solely on the creation of digital services infrastructures and related services but also on the governance relating to the operation of such platforms and services.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 4(4) thereof.

Regulation (EU) No 283/2014 of the European Parliament and of the Council of 11 March 2014 on guidelines for trans-European networks in the area of telecommunications infrastructure and repealing Decision No 1336/97/EC (OJ L 86, 21.3.2014, p. 14) and in particular Article 6(1) to (6) and (9) thereof and Sections 1 and 3 of the Annex thereto.

**09 03 51** ***Completion of previous programmes***

09 03 51 01 Completion of the 'Safer internetÉ' programme (2009 to 2013)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	285 000	p.m.	3 929 163	0,—	5 412 870,77

*Remarks*

This appropriation is intended to cover earlier commitments relating to the Safer Internet programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 03 — CONNECTING EUROPE FACILITY (CEF) — TELECOMMUNICATION NETWORKS (*cont'd*)09 03 51 (*cont'd*)09 03 51 01 (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 1351/2008/EC of the European Parliament and of the Council of 16 December 2008 establishing a multiannual Community programme on protecting children using the Internet and other communication technologies (OJ L 348, 24.12.2008, p. 118).

## 09 03 51 02 Completion of 'Safer internet plus' — Promoting safer use of the internet and new online technologies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover earlier commitments relating to the Safer Internet plus programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 854/2005/EC of the European Parliament and of the Council of 11 May 2005 establishing a multiannual Community Programme on promoting safer use of the Internet and new online technologies (OJ L 149, 11.6.2005, p. 1).

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## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 04 — HORIZON 2020

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
09 04	HORIZON 2020								
<b>09 04 01</b>	<b>Excellent science</b>								
09 04 01 01	Strengthening research in future and emerging technologies	1.1	215 400 890	244 098 326	232 151 334	109 225 168	225 025 085,—	700 000,—	0,29
09 04 01 02	Strengthening European research infrastructure, including e-infrastructure	1.1	97 889 261	95 000 000	105 290 655	83 011 128	99 195 637,—	4 529 000,—	4,77
	<i>Article 09 04 01 — Subtotal</i>		313 290 151	339 098 326	337 441 989	192 236 296	324 220 722,—	5 229 000,—	1,54
<b>09 04 02</b>	<b>Industrial leadership</b>								
09 04 02 01	Leadership in information and communications technology	1.1	723 681 812	799 548 750	824 154 429	467 483 720	759 968 487,67	42 533 821,50	5,32
	<i>Article 09 04 02 — Subtotal</i>		723 681 812	799 548 750	824 154 429	467 483 720	759 968 487,67	42 533 821,50	5,32
<b>09 04 03</b>	<b>Societal challenges</b>								
09 04 03 01	Improving lifelong health and well-being	1.1	118 188 002	142 332 732	132 981 639	52 428 081	130 908 869,—	3 190 531,31	2,24
09 04 03 02	Fostering inclusive, innovative and reflective European societies	1.1	36 564 471	47 093 653	43 725 806	21 845 034	36 338 878,49	313 569,—	0,67
09 04 03 03	Fostering secure European societies	1.1	45 791 092	50 080 000	50 665 706	18 786 729	48 181 957,—	207 000,—	0,41
	<i>Article 09 04 03 — Subtotal</i>		200 543 565	239 506 385	227 373 151	93 059 844	215 429 704,49	3 711 100,31	1,55
<b>09 04 07</b>	<b>Joint Undertakings</b>								
09 04 07 31	Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking — Support expenditure	1.1	1 019 130	1 019 130	786 407	784 523	460 000,—	460 000,—	45,14
09 04 07 32	Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking	1.1	153 127 070	120 651 306	115 023 593	61 166 094	155 000 000,—	0,—	0
	<i>Article 09 04 07 — Subtotal</i>		154 146 200	121 670 436	115 810 000	61 950 617	155 460 000,—	460 000,—	0,38
<b>09 04 50</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>								
09 04 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	7 779 364,42	550 313,89	

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## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>09 04 50</b>	(cont'd)								
09 04 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	6 549 748,—	57 962 496,48	
	Article 09 04 50 — Subtotal		p.m.	p.m.	p.m.	p.m.	14 329 112,42	58 512 810,37	
<b>09 04 51</b>	<b>Completion of the seventh framework programme (2007 to 2013)</b>	1.1	p.m.	484 237 378	p.m.	655 417 350	359 253,80	816 749 516,68	168,67
<b>09 04 52</b>	<b>Completion of previous research framework programmes (prior to 2007)</b>	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>09 04 53</b>	<b>Completion of competitiveness and innovation framework programme — Information and communication technologies policy support programme (ICT PSP)</b>								
09 04 53 01	Completion of competitiveness and innovation framework programme — Information and communication technologies policy support programme (ICT PSP) (2007 to 2013)	1.1	p.m.	38 588 500	p.m.	52 428 081	1 534 683,50	107 697 306,13	279,09
09 04 53 02	Completion of previous information and communication technologies programmes (prior to 2007)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 09 04 53 — Subtotal		p.m.	38 588 500	p.m.	52 428 081	1 534 683,50	107 697 306,13	279,09
<b>09 04 77</b>	<b>Pilots projects and preparatory actions</b>								
09 04 77 01	Pilot project — Open knowledge technologies: mapping and validating knowledge	1.1	p.m.	1 600 000	2 000 000	1 654 288	1 500 000,—	0,—	0
09 04 77 02	Pilot project — Connected for health: well-being and healthcare solution in an open access FTTH networks	1.1	p.m.	500 000	p.m.	436 192	1 000 000,—	0,—	0
09 04 77 03	Pilot project — RElsearch (Research excellence innovation framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policymakers	1.1	p.m.	400 000	p.m.	436 192	1 000 000,—	300 000,—	75,00



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## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>09 04 77</b>	(cont'd)								
09 04 77 04	Pilot project — Europe's digital agenda meets Silicon Valley	1.1	150 000	75 000					
09 04 77 05	Preparatory action — Open knowledge technologies: mapping and validating knowledge	1.1	1 500 000	750 000					
09 04 77 06	Pilot project — Digital skills: new professions, new educational methods, new jobs	1.1	400 000	200 000					
09 04 77 07	Pilot project — Developing the use of new technologies and digital tools in education	1.1	400 000	200 000					
09 04 77 08	Preparatory action — RElsearch (Research excellence innovation framework) - Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policy makers	1.1	750 000	375 000					
09 04 77 09	Preparatory action — Smart factories in Eastern Europe	1.2	2 000 000	1 000 000					
	<i>Article 09 04 77 — Subtotal</i>		5 200 000	5 100 000	2 000 000	2 526 672	3 500 000,—	300 000,—	5,88
	<b>Chapter 09 04 — Total</b>		<b>1 396 861 728</b>	<b>2 027 749 775</b>	<b>1 506 779 569</b>	<b>1 525 102 580</b>	<b>1 474 801 963,88</b>	<b>1 035 193 554,99</b>	<b>51,05</b>

*Remarks*

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the framework programme for research and innovation, which covers the 2014-2020 period, and for the completion of the previous research programmes (seventh framework programme and preceding framework programmes) and previous information and communication technologies programmes (ICT PSP (2007-2013) and programmes prior to 2007).

Horizon 2020 shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area. Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively, and research and innovation capacities in the whole of the Union and ensuring optimum use thereof.

## COMMISSION

## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 04 — HORIZON 2020 (*cont'd*)

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for the participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from states taking part in European cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 09 04 50 01.

Administrative appropriations of this chapter will be provided under Article 09 01 05.

## 09 04 01

***Excellent science****Remarks*

This priority of Horizon 2020 aims to reinforce and extend excellence in the Union's science base and ensure a steady stream of world-class research to secure the Union's long-term competitiveness. It will support the best ideas, develop talent within the Union, provide researchers with access to priority research infrastructure, and make the Union an attractive location for the world's best researchers. Research actions to be funded will be determined according to the need and opportunities of science, without predetermined thematic priorities. The research agenda will be set in close liaison with the scientific community and research will be funded on the basis of excellence.

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 01 (cont'd)

## 09 04 01 01 Strengthening research in future and emerging technologies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
215 400 890	244 098 326	232 151 334	109 225 168	225 025 085,—	700 000,—

*Remarks*

The specific objective is to foster radically new technologies by exploring novel and high-risk ideas building on scientific foundations. By providing flexible support to goal-oriented and interdisciplinary collaborative research on various scales and by adopting innovative research practices, the aim is to identify and seize opportunities of long-term benefit for citizens, the economy and society.

Activities under the 'Future and emerging technologies' specific objective shall address the entire spectrum of science-driven innovation: from bottom-up, small-scale early explorations of embryonic and fragile ideas to building new research and innovation communities around transformative emerging research areas and large and federated research initiatives built around a research agenda aiming to achieve ambitious and visionary goals.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (b) of Article 3(1) thereof.

## 09 04 01 02 Strengthening European research infrastructure, including e-infrastructure

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
97 889 261	95 000 000	105 290 655	83 011 128	99 195 637,—	4 529 000,—

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TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 04 — HORIZON 2020 (*cont'd*)09 04 01 (*cont'd*)09 04 01 02 (*cont'd*)*Remarks*

The specific objective is to endow Europe with world-class research infrastructure which is accessible to all researchers in Europe and beyond and fully exploit their potential for scientific advance and innovation.

Activities will focus on development, deployment and operation of e-infrastructure. In addition, activities for innovation, strengthening human resources for research infrastructure, policy development and international cooperation are foreseen.

An integrated and service-driven approach will be taken to deliver e-infrastructures that respond to the needs of European science, industry and society in terms of development and deployment of integrated e-infrastructure services for a wide range of research communities ('de-siloing'). Maximising coordination and synergies with e-infrastructure development at national level and expanding e-infrastructure beyond science as such, to the science-industry-society triangle.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (d) of Article 3(1) thereof.

09 04 02 ***Industrial leadership****Remarks*

This priority of Horizon 2020 aims to make the Union a more attractive location to invest in research and innovation, by promoting activities where businesses set the agenda as well as at accelerating the development of new technologies which will underpin future businesses and economic growth. It will provide major investment in key industrial technologies, maximise the growth potential of Union companies by providing them with adequate levels of finance and help innovative SMEs to grow into world-leading companies.

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 02 (cont'd)

## 09 04 02 01 Leadership in information and communications technology

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
723 681 812	799 548 750	824 154 429	467 483 720	759 968 487,67	42 533 821,50

Remarks

The specific objective is to maintain and build global leadership in enabling technologies, which underpin competitiveness across a range of existing and emerging industries and sectors. In line with the digital single market, the specific objective of ICT research and innovation is to enable Europe to develop and exploit the opportunities brought by ICT progress for the benefits of its citizens, businesses and scientific communities.

ICT underpins innovation and competitiveness across a broad range of private and public markets and sectors, and enables scientific progress in all disciplines. Over the next decade, the transformative impact of digital technologies, ICT components, infrastructures and services will be increasingly visible in all areas of life.

Activities will strengthen the Union's scientific and technology base and insure its global leadership in ICTs, help drive and stimulate innovation through ICT use and ensure that ICT progress is rapidly transformed into benefits for Europe's citizens, businesses, industry and governments. The activities under the 'Leadership in information and communications technology' specific objective will be primarily based on research and innovation agendas defined by industry and business, together with the research community and will have a strong focus on leveraging private sector investment.

Activities cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

Legal basis

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(2)(a)(i) thereof.

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## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 04 — HORIZON 2020 (*cont'd*)09 04 03 *Societal challenges**Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test beds, support for public procurement, design, end-user driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

## 09 04 03 01 Improving lifelong health and well-being

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
118 188 002	142 332 732	132 981 639	52 428 081	130 908 869,—	3 190 531,31

*Remarks*

The vision of the eHealth action plan 2012-2020 is to utilise and develop e-health to address several of the most pressing health and health systems challenges of the first half of the twenty-first century, namely:

- to improve chronic disease and multi-morbidity (multiple concurrent disease) management and to strengthen effective prevention and health promotion practices,
- to increase the sustainability and efficiency of health systems by unlocking innovation, enhancing patient-/citizen-centric care and citizen empowerment and encouraging organisational changes,
- to foster cross-border healthcare, health security, solidarity, universality and equity,
- to improve legal and market conditions for developing e-health products and services.

ICT-based products and services have demonstrated their capacity to help address these major challenges in the form of personalised health, telehealth and telecare solutions, service robotics for health and care, support for prolonged active and independent living and home-based care. It is also a major new growth opportunity as large new markets for ICT-based products and services emerge to address health, demographic change and well-being.

Activities will cover development and exploitation of ICT for health, well-being and 'ageing well' solutions. This will build on the emergence of underpinning technologies arising out of ICT in LEIT such as micro-nano systems, embedded systems, robotics, internet of the future and cloud technologies. It will also build on further development of security- and privacy-enhancing technologies.

The ambient assisted living research and development joint programme will also be supported to contribute to market availability and exploitation of ICT-based products and services and the ICT innovation and pilot projects will be further supported in response to the European Innovation Partnership on Active and Health Ageing and the eHealth 2020 action plan.

**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 03** (*cont'd*)09 04 03 01 (*cont'd*)

Activities will cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (a) of Article 3(3) thereof.

## 09 04 03 02 Fostering inclusive, innovative and reflective European societies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
36 564 471	47 093 653	43 725 806	21 845 034	36 338 878,49	313 569,—

*Remarks*

The specific objective is to foster inclusive, innovative and reflective European societies in a context of unprecedented transformations and growing global interdependencies.

Activities will cover four main areas: ICT-enabled public sector innovation, understanding and preserving Europe's intellectual basis, learning and inclusion.

ICT-enabled public sector innovation refers to the use of ICT for the creation and implementation of new processes, products, services and methods of delivery which result in significant improvements in the efficiency, effectiveness and quality of public services. The future public administrations should be digital and cross-border by default. Activities will cover fostering efficient, open and citizen-centric public services, involving the public sector as an agent for innovation and change as well as cross-border innovation measures or seamless delivery of public services.

The aim of the second challenge is 'to contribute to an understanding of Europe's intellectual basis: its history and the many European and non-European influences; as an inspiration for our lives today'.

The aim of the third challenge is to support the widespread adoption of ICT in schools and for training in Europe.



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## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 04 — HORIZON 2020 (*cont'd*)09 04 03 (*cont'd*)09 04 03 02 (*cont'd*)

The fourth challenge is to get the elderly (aged 65 and above), the unemployed and low-educated, migrants, people in need of care, people living in remote or poorer areas, persons with disabilities, and the homeless to fully participate in society. Activities will focus on empowering them with the necessary digital skills and providing them with access to digital technologies. Activities aiming at fostering a better consideration for inclusiveness and responsibility aspects in ICT-related innovations will also be supported.

Activities will cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

Part of the support of the Union to the COST intergovernmental framework for transnational cooperation among researchers, engineers and scholars across Europe will also be covered from this appropriation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (f) of Article 3(3) thereof.

## 09 04 03 03 Fostering secure European societies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
45 791 092	50 080 000	50 665 706	18 786 729	48 181 957,—	207 000,—

*Remarks*

The specific objective is to secure societies, protecting freedom and security of the Union and its citizens.

The integrated portfolio of activities will develop solutions to protect our society and economy against accidental or man-made disruptions of the information and communication technologies our society and economy depends on; providing solutions for end-to-end secure ICT systems, services and applications; safeguarding the human right of privacy in the digital society; providing the incentives for the industry to supply secure ICT; stimulating the uptake of secure ICT. The aim is to ensure cyber security, trust and privacy in the Digital Single Market, whilst at the same time improving the competitiveness of the Union's security, ICT and service industries. Another objective is to increase users' trust in their participation in the digital society, and overcome citizens' concern with the disclosure of their personal information online because of security issues (e.g. internet usage for banking or purchases).



**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 03** (*cont'd*)09 04 03 03 (*cont'd*)

Activities will cover collaboration, networking actions and national programme coordination initiatives. Also entered in this item are the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programme and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (g) of Article 3(3) thereof.

**09 04 07** ***Joint Undertakings***

## 09 04 07 31 Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking — Support expenditure

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 019 130	1 019 130	786 407	784 523	460 000,—	460 000,—

*Remarks*

The Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking shall contribute to the implementation of Horizon 2020, and in particular the 'Information and communications technology' segment of the priority 'Industrial leadership'. It shall have the objective of keeping Europe at the forefront of electronic components and systems and bridge faster the gap to exploitation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

## COMMISSION

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 07 (cont'd)

## 09 04 07 31 (cont'd)

Council Regulation (EU) No 561/2014 of 6 May 2014 establishing the ECSEL Joint Undertaking (OJ L 169, 7.6.2014, p. 152).

## 09 04 07 32 Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
153 127 070	120 651 306	115 023 593	61 166 094	155 000 000,—	0,—

*Remarks*

The Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking shall contribute to the implementation of Horizon 2020 and in particular the 'Information and communications technology' segment of the priority 'Industrial leadership'. It shall have the objective of keeping Europe at the forefront of electronic components and systems and bridge faster the gap to exploitation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

Council Regulation (EU) No 561/2014 of 6 May 2014 establishing the ECSEL Joint Undertaking (OJ L 169, 7.6.2014, p. 152).

**09 04 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

09 04 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	7 779 364,42	550 313,89

**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 50** (*cont'd*)09 04 50 01 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the accrual of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

## 09 04 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	6 549 748,—	57 962 496,48

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the accrual of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

**09 04 51** **Completion of the seventh framework programme (2007 to 2013)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	484 237 378	p.m.	655 417 350	359 253,80	816 749 516,68

*Remarks*

This appropriation is intended to cover earlier commitments relating to the seventh framework programme (2007 to 2013).

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CHAPTER 09 04 — HORIZON 2020 (*cont'd*)09 04 51 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

Council Regulation (EC) No 72/2008 of 20 December 2007 setting up the ENIAC Joint Undertaking (OJ L 30, 4.2.2008, p. 21).

Council Regulation (EC) No 74/2008 of 20 December 2007 on the establishment of the 'ARTEMIS Joint Undertaking' to implement a joint technology initiative in embedded computing systems (OJ L 30, 4.2.2008, p. 52).

09 04 52 **Completion of previous research framework programmes (prior to 2007)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover earlier commitments related to the completion of previous research framework programmes (prior to 2007).

**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 52** (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 52 (cont'd)

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'structuring the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 44).

**09 04 53 Completion of competitiveness and innovation framework programme — Information and communication technologies policy support programme (ICT PSP)**

09 04 53 01 Completion of competitiveness and innovation framework programme — Information and communication technologies policy support programme (ICT PSP) (2007 to 2013)

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	38 588 500	p.m.	52 428 081	1 534 683,50	107 697 306,13

Remarks

This appropriation is intended to cover earlier commitments relating to the competitiveness and innovation framework programme (CIP) — Information and communication technologies policy support programme (ICT PSP).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

09 04 53 02 Completion of previous information and communication technologies programmes (prior to 2007)

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover earlier commitments relating to the eContentplus programme, to networks in the telecommunications sectors and to the Modinis multiannual programme.

**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 53** (*cont'd*)09 04 53 02 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 2717/95/EC of the European Parliament and of the Council of 9 November 1995 on a set of guidelines for the development of the EURO-ISDN (Integrated Services Digital Network) as a trans-European network (OJ L 282, 24.11.1995, p. 16).

Council Decision 96/339/EC of 20 May 1996 adopting a multiannual Community programme to stimulate the development of a European multimedia content industry and to encourage the use of multimedia content in the emerging information society (INFO 2000) (OJ L 129, 30.5.1996, p. 24).

Council Decision 96/664/EC of 21 November 1996 on the adoption of a multiannual programme to promote the linguistic diversity of the Community in the information society (OJ L 306, 28.11.1996, p. 40).

Decision No 1336/97/EC of the European Parliament and of the Council of 17 June 1997 on a series of guidelines for trans-European telecommunications networks (OJ L 183, 11.7.1997, p. 12).

Council Decision 98/253/EC of 30 March 1998 adopting a multiannual Community programme to stimulate the establishment of the Information Society in Europe (Information Society) (OJ L 107, 7.4.1998, p. 10).

Council Decision 2001/48/EC of 22 December 2000 adopting a multiannual Community programme to stimulate the development and use of European digital content on the global networks and to promote linguistic diversity in the information society (OJ L 14, 18.1.2001, p. 32).

Decision No 2256/2003/EC of the European Parliament and of the Council of 17 November 2003 adopting a multiannual programme (2003-2005) for the monitoring of the eEurope 2005 action plan, dissemination of good practices and the improvement of network and information security (MODINIS) (OJ L 336, 23.12.2003, p. 1).

Decision No 456/2005/EC of the European Parliament and of the Council of 9 March 2005 establishing a multiannual Community programme to make digital content in Europe more accessible, usable and exploitable (OJ L 79, 24.3.2005, p. 1).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 77 *Pilots projects and preparatory actions*

09 04 77 01 Pilot project — Open knowledge technologies: mapping and validating knowledge

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 600 000	2 000 000	1 654 288	1 500 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 02 Pilot project — Connected for health: well-being and healthcare solution in an open access FTTH networks

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	p.m.	436 192	1 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 03 Pilot project — REIsearch (Research excellence innovation framework) — Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policymakers

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	p.m.	436 192	1 000 000,—	300 000,—



## CHAPTER 09 04 — HORIZON 2020 (cont'd)

09 04 77 (cont'd)

09 04 77 03 (cont'd)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 04 Pilot project — Europe's digital agenda meets Silicon Valley

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
150 000	75 000				

*Remarks*

For decades, thousands of entrepreneurs have been drawn to Silicon Valley, a region with a unique innovation ecosystem. Many European entrepreneurs have also established their own successful companies there, generating knowledge and investing worldwide. Silicon Valley is also the birthplace of many digital start-ups and digital innovations. In the context of the Commission's new digital strategy, especially the digital single market, a platform is needed to engage with Silicon Valley on the digital agenda, as well as to stay in touch with the Union expatriate community in Silicon Valley and build bridges between digital ecosystems in Europe and Silicon Valley. That will create synergies and raise awareness about Europe's digital agenda and its support for start-ups (e.g. the Startup Europe initiative). It will also be a channel for sharing knowledge and experience acquired in the Valley. It will also allow Europe to bring its digital agenda closer to the Union expatriate community in Silicon Valley, in the line with new reforms such as the digital single market and the capital markets union. Other countries are already engaged in such activities. India regularly organises the TiECon Conference in Silicon Valley, for example, in order to build a stronger bridge between Indian experts based in Silicon Valley and their homeland. Thousands of Indian entrepreneurs and CEOs of important Indian players regularly visit TiECon. In the context of the Welcome project, the Commission supported an event on start-ups in Silicon Valley in 2015 entitled 'Startup Europe comes to Silicon Valley' (SEC2SV). The pilot project will provide for a 'Digital Europe' conference in Silicon Valley with side events and meetings connecting with Union expatriates, with a particular focus on the digital economy, digital innovation and digitisation. This will also benefit from experience gained from the 2015 initiative.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 77 (cont'd)

## 09 04 77 05 Preparatory action — Open knowledge technologies: mapping and validating knowledge

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 500 000	750 000				

Remarks

Higher education and research are rapidly evolving. Creating new knowledge technologies means training teachers, students and researchers, as well as any individual who needs to adapt to new market demands. Many endeavours, such as citizen science, scientific discovery games and MOOCs (massive open online courses), show that — like many other sectors — education and research are rapidly evolving. In order to fully exploit the potential of this new type of learning system for the labour market, methods are needed to validate the quality of learning. Consequently, a mechanism has to be found that allows individuals to assess their competencies (creating their individual knowledge maps), the knowledge required on the labour market and the learning path that can link the two, hence providing the right content for each individual. Only by doing so can it be ensured that the right content is available to the right target group of individuals. To track the learning path, it must be possible to validate the knowledge acquired (through online certificates or badges).

Social and technological innovations of this kind can benefit all citizens regardless of their level of resources, the languages they speak, their age, their health or their cultural capital. Such an action will thus help to bridge the knowledge gap and reduce unemployment by helping unemployed young people in particular to maximise their learning and find new career opportunities.

The overall objective of this preparatory action is to demonstrate a Europe-wide ICT-based system shortening the up- and re-skilling time for European citizens, in particular the unemployed, by maximising the use of online courses and open education resources. The action will develop and deploy a technological platform integrating online services including the following modules:

- skill/competence mapping,
- tailored learning roadmaps (via educational services, including specific open educational resources),
- mechanisms for the validation of knowledge acquired.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 77 (cont'd)

09 04 77 06 Pilot project — Digital skills: new professions, new educational methods, new jobs

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
400 000	200 000				

*Remarks*

This pilot project will prepare the European economy, the job market and educational frameworks for new digital skills by encouraging the recognition of new digital professions and by creating a common methodology that will enable Member States to be in the forefront of the new digital revolution. This will involve setting up several working groups of experts from Member States to exchange ideas and propose solutions on how the education system could prepare people for new digital skills, on defining and recognising new digital professions and on the new requirements, demands and social status associated with new digital jobs. The goal is to produce materials and proposals that will enable the Union and the Member States to prepare for this new shift in the European economy. Specific activities carried out under this pilot project will result in a consultation process among Member States and education, social services and job market stakeholders.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 04 77 07 Pilot project — Developing the use of new technologies and digital tools in education

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
400 000	200 000				

*Remarks*

This pilot project will identify best European models and best practices for the use of new technologies and digital tools in education. It will improve awareness and knowledge in key target groups of the advantages of digital education and of mechanisms for developing and implementing European new-technology educational tools.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 77 (cont'd)

09 04 77 08 Preparatory action — REsearch (Research excellence innovation framework) - Enhancing the competitiveness of the European Research Area by increasing communication among researchers, citizens, industry and policy makers

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
750 000	375 000				

Remarks

REsearch seeks to accelerate full use of Europe's intellectual capital to the benefit of citizens, entrepreneurs and scientists through new media tools. Growing from a successful pilot project, this preparatory action will expand the work of the platform.

The preparatory action involves expansion of an e-infrastructure platform developed around the concept of social networks which facilitates direct communication between researchers, policy makers and citizens, with the involvement of media, across the European Research Area (ERA).

Inspired by the 'societal challenges' outlined in Horizon 2020, REsearch supports the creation of virtual clusters around key topics, creating a direct path for open dialogue between science and society.

The action promotes active interaction between science, citizens and policy makers through the involvement of European media and social networks, in order to support participation of citizens in research agenda-setting, ongoing research processes and discussions on the results and impacts of research for society, policy and further research. It supports the use of evidence-based policymaking in all Union actions, in line with the drive for better regulation. REsearch supports science-based policymaking by affording access to scientific information across the spectrum and providing a critical mass of knowledge to inspire confidence in any users of the platform. It is a neutral platform with no bias as to national origin or disciplinary background. Discussions are linked to the scientific evidence they are based on.

Building on the successful 14-month pilot project, REsearch will expand and grow to cover the full range of societal challenges, enabling users to engage with the issues of their choice and self-determine the clusters and direction of discussions. Alongside the platform itself, REsearch will integrate a range of tools such as big data/social media data-mining, applications of new artificial intelligence and semantic web applications to extract information about the trends and flows of the scientific consensus and discussions.

The objectives of the preparatory action are:

- to expand and fortify the reliable, non-profit e-infrastructure (similar to social networks) launched as a beta in 2015 to promote stronger communication and dialogue across disciplines, sectors and borders,
- to analyse and assess the results of the beta launch and develop the search algorithms and data-mining mechanisms underpinning the trend-mapping capabilities,

**CHAPTER 09 04 — HORIZON 2020** (*cont'd*)**09 04 77** (*cont'd*)**09 04 77 08** (*cont'd*)

- to further promote interoperability with existing research databases and platforms, with a single access point to research results from all countries and disciplines (interoperability) and with linkage to existing European initiatives,
- to expand the number of virtual clusters to include all the 'societal challenges' that bring together researchers, industry, citizens and policy makers to enhance collaboration on these key themes,
- to create a direct dialogue between these clusters (see previous indent) and citizens by linking in with leading European media.

The preparatory action seeks to respond to some of the needs and concerns outlined in the digital agenda and Horizon 2020. It also supports the underlying infrastructures of the European Research Area, which serves as a vital engine in unlocking Europe's potential for innovations that could lead to jobs and economic growth.

Current issues addressed by the action: recent ICT developments have shown how the power of social networks and e-infrastructures can help harness the potential of networks.

The problems of the existing online environment for research e-infrastructures are:

- size: the majority of existing networks are too small to go beyond a specific field and research group (according to Commission statistics the average size of an online network of researchers is around 100 users). There is a need for a large interoperable network that allows researchers and other stakeholders to find the information they are looking for across sectors and disciplines, using a familiar tool,
- reach: traditionally, networks bring together users within the same field and sector. In order to unlock Europe's innovation capacity, transdisciplinary (intersectoral and interdisciplinary) connections need to be made easier,
- reliability: one of the major reasons why big research networks have not been successful is that existing relations are still based on personal connections and online identities are not trusted. In order to really foster transdisciplinary connections, a system is needed in which identity verification creates a secure environment for all users,
- trust: this is a pivotal aspect for any network. Market studies suggest that a European social network/e-infrastructure would need to be developed in collaboration with stakeholders, be non-profit and have a clear intellectual property policy developed together with researchers.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 04 — HORIZON 2020 (cont'd)

## 09 04 77 (cont'd)

## 09 04 77 09 Preparatory action — Smart factories in Eastern Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 000 000	1 000 000				

*Remarks*

The preparatory action will seek to ensure a coherent, coordinated and sustainable approach, to secure, develop and enhance engagement of all relevant stakeholders from Eastern Europe (business, academia, research organisations and civil society) and to inform, prepare and help regional entities from this part of Europe as regards developing projects under the Commission's smart factories scheme. The action will cover those regions in Eastern Europe hard hit by deindustrialisation where no smart factories have been planned or brought into operation and those whose industrial capacity needs to be improved.

The preparatory action will also seek to identify, assess and support exploitation of the industrial potential in a selected region, and to explore its innovation potential and the scope for its expansion. It will clearly target identification of industrial competitive advantages and the potential for specialisation at regional level based on an entrepreneurship discovery process, establishing a governance structure and framework for continuous collaboration between businesses and researchers, and support for preparation of the relevant policy documents.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

## CHAPTER 09 05 — CREATIVE EUROPE

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
09 05	CREATIVE EUROPE								
<b>09 05 01</b>	<b>MEDIA subprogramme — Operating transnationally and internationally and promoting transnational circulation and mobility</b>	3	100 712 000	98 115 407	101 570 000	69 626 046	110 141 782,48	60 492 383,44	61,65
<b>09 05 05</b>	<b>Multimedia actions</b>	3	26 186 500	22 590 000	18 550 000	26 883 061	24 335 664,99	23 322 659,41	103,24
<b>09 05 51</b>	<b>Completion of former MEDIA programmes</b>	3	—	5 471 576	—	23 412 905	0,—	57 566 060,41	1 052,09
<b>09 05 77</b>	<b>Pilot projects and preparatory actions</b>								
09 05 77 01	Preparatory action — Circulation of audiovisual works in a digital environment	3	p.m.	p.m.	p.m.	p.m.	0,—	1 046 874,47	
09 05 77 02	Pilot project — Fostering European integration through culture by providing new subtitled versions of selected TV programmes across all Europe	3	p.m.	339 515	2 000 000	1 261 712	1 137 717,60	455 533,—	134,17
09 05 77 03	Preparatory action — Action on subtitling including crowdsourcing to increase the circulation of European works	3	500 000	250 000	1 000 000	500 000			
09 05 77 04	Pilot project — Media literacy for all	3	250 000	125 000					
09 05 77 05	Preparatory action — Subtitling European cultural television content throughout Europe	3	1 500 000	750 000					
	Article 09 05 77 — Subtotal		2 250 000	1 464 515	3 000 000	1 761 712	1 137 717,60	1 502 407,47	102,59
	<b>Chapter 09 05 — Total</b>		<b>129 148 500</b>	<b>127 641 498</b>	<b>123 120 000</b>	<b>121 683 724</b>	<b>135 615 165,07</b>	<b>142 883 510,73</b>	<b>111,94</b>

**09 05 01** **MEDIA subprogramme — Operating transnationally and internationally and promoting transnational circulation and mobility**

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
100 712 000	98 115 407	101 570 000	69 626 046	110 141 782,48	60 492 383,44

COMMISSION

TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 05 — CREATIVE EUROPE (*cont'd*)09 05 01 (*cont'd*)*Remarks**Former Article 15 04 03*

This appropriation is intended to cover the following measures relating to MEDIA subprogramme of the 'Creative Europe' programme:

- facilitating the acquisition and improvement of skills and competences of audiovisual professionals and the development of networks, including the use of digital technologies to ensure adaptation to market development, testing new approaches to audience development and testing new business models,
- increasing the capacity of audiovisual operators to develop European audiovisual works with a potential to circulate in the Union and beyond and to facilitate European and international co-production, including with television broadcasters,
- encouraging business-to-business exchanges by facilitating access to markets and business tools enabling audiovisual operators to increase the visibility of their projects on Union and international markets,
- supporting theatrical distribution through transnational marketing, branding, distribution and exhibition of audiovisual works,
- promoting transnational marketing, branding and distribution of audiovisual works on all other non-theatrical platforms,
- supporting audience development as a means of stimulating interest in, and improving access to, European audiovisual works, in particular through promotion, events, film literacy and festivals,
- promoting new distribution modes in order to allow the emergence of new business models.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.



**CHAPTER 09 05 — CREATIVE EUROPE** (*cont'd*)**09 05 01** (*cont'd*)

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020) and repealing Decisions No 1718/2006/EC, No 1855/2006/EC and No 1041/2009/EC (OJ L 347, 20.12.2013, p. 221).

**09 05 05** **Multimedia actions***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
26 186 500	22 590 000	18 550 000	26 883 061	24 335 664,99	23 322 659,41

*Remarks**Former Item 16 03 01 01 (in part)*

This appropriation is intended to fund general information to citizens on operations concerning the Union, for the purpose of increasing the visibility of the work of the Union institutions, the decisions taken and the stages in the building of Europe, so as to allow citizens to make full use of their right to be informed about and involved in European policies. It concerns essentially the funding or co-funding of the production and/or dissemination of multimedia (radio, TV, internet, etc.), information products, including existing pan-European networks made up of local and national media delivering daily news on European affairs, such as Euranet Plus, as well as the tools necessary to develop such a policy. Most of this appropriation covers evaluation expenditure. Part of this appropriation will be used to ensure continuity for those actions and a stable financing framework for the future of existing pan-European networks of local and national media moving into the digital age (radio, television, internet, etc.).

This appropriation also covers support expenditure such as studies, meetings, *ex post* controls, expert technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, evaluation and audit on current or future activities, feasibility studies, publications, and the repayment of travel and related expenses of experts.

The amount of assigned revenue pursuant to Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

The Commission, when implementing this item, should take duly into account the outcome of the meetings of the Interinstitutional Group of Information (IGI).

## COMMISSION

## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 05 — CREATIVE EUROPE (*cont'd*)09 05 05 (*cont'd*)*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 05 51 **Completion of former MEDIA programmes***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	5 471 576	—	23 412 905	0,—	57 566 060,41

*Remarks**Former Article 15 04 53*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Decision 2000/821/EC of 20 December 2000 on the implementation of a programme to encourage the development, distribution and promotion of European audiovisual works (MEDIA Plus — Development, Distribution and Promotion) (2001-2005) (OJ L 336, 30.12.2000, p. 82).

**CHAPTER 09 05 — CREATIVE EUROPE** (*cont'd*)**09 05 51** (*cont'd*)

Decision No 163/2001/EC of the European Parliament and of the Council of 19 January 2001 on the implementation of a training programme for professionals in the European audiovisual programme industry (MEDIA-Training) (2001-2005) (OJ L 26, 27.1.2001, p. 1).

Decision No 1718/2006/EC of the European Parliament and of the Council of 15 November 2006 concerning the implementation of a programme of support for the European audiovisual sector (MEDIA 2007) (OJ L 327, 24.11.2006, p. 12).

Decision No 1041/2009/EC of the European Parliament and of the Council of 21 October 2009 establishing an audiovisual cooperation programme with professionals from third countries (MEDIA Mundus) (OJ L 288, 4.11.2009, p. 10).

**09 05 77** ***Pilot projects and preparatory actions*****09 05 77 01** Preparatory action — Circulation of audiovisual works in a digital environment

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 046 874,47

*Remarks*

*Former Item 15 04 77 06*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**09 05 77 02** Pilot project — Fostering European integration through culture by providing new subtitled versions of selected TV programmes across all Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	339 515	2 000 000	1 261 712	1 137 717,60	455 533,—

## COMMISSION

## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 05 — CREATIVE EUROPE (*cont'd*)09 05 77 (*cont'd*)09 05 77 02 (*cont'd*)*Remarks**Former Item 15 04 77 07*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 05 77 03 Preparatory action — Action on subtitling including crowdsourcing to increase the circulation of European works

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000	1 000 000	500 000		

*Remarks*

This preparatory action is a continuation of the preparatory action entitled 'Crowdsourcing subtitling to increase circulation of European works' under a different title.

In spite of existing MEDIA support for subtitling, many European works (TV drama, documentaries, films, etc.) are often not made available, especially in relatively small countries in the European Union which do not share an official language with another country. One of the reasons for that is their relatively low commercial potential and the costs involved, in particular subtitling costs. In this context, the current action will support any innovative solution, e.g. crowdsourcing, which has the effect of decreasing the cost of subtitling. It will allow wider exposure, and potentially a wider audience, for European films, especially online.

The aims of this preparatory action are:

- testing and developing innovative subtitling solutions/processes/models that are more cost-effective than those currently available on the market,
- applying this approach to a well-designed catalogue of European works with a clear editorial line,
- supporting the online availability of the works.

**CHAPTER 09 05 — CREATIVE EUROPE** (*cont'd*)**09 05 77** (*cont'd*)09 05 77 03 (*cont'd*)

The outcomes sought for this preparatory action are:

- lowering the cost of making European films available by stimulating the development of cost-effective subtitling solutions,
- increasing the supply and raising the profile of European audiovisual works online and enriching the catalogues of video-on-demand services in the Union,
- improving the circulation of European audiovisual works within the Union and strengthening the competitiveness of the European audiovisual industry.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

09 05 77 04 Pilot project — Media literacy for all

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
250 000	125 000				

*Remarks*

The main goal of the pilot project is to transform people into critical media citizens and committed media fairness advocates who can deconstruct media communication and information media policy. It will furthermore focus on improving citizens' abilities to create media works that reflect their lived experience. Conferences, workshops and training programmes will be organised and particular attention will be paid to activities to enhance the ability to distinguish information from propaganda; improve creativity for media works production; design programmes for the integration of minorities; promote the sharing of best practices among stakeholders and countries; and deliver tools to experience direct democracy. The pilot project as a whole will be focused on all kinds of media tools (radio, internet, broadcasting, newspapers), used in all kinds of environments and targeted at all age groups. Priority will be given to low-skilled people and people at risk of social marginalisation. The pilot project will be the subject of a Commission call for proposals.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 09 — COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY

CHAPTER 09 05 — CREATIVE EUROPE (*cont'd*)09 05 77 (*cont'd*)

## 09 05 77 05 Preparatory action — Subtitling European cultural television content throughout Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 500 000	750 000				

*Remarks*

The existing pilot project under Item 15 04 77 07, 'Fostering European integration through culture by providing new subtitled versions of selected European TV programmes across all Europe', has been converted into a preparatory action under Item 09 05 77 05, 'Subtitling European cultural television content throughout Europe'.

This preparatory action follows on from that pilot project, financed in 2014 and 2015 by Parliament, the aim of which was to ascertain whether there is a European audience for cultural programmes by providing a range of subtitled versions of selected TV programmes across Europe.

The pilot project financed in 2014 is currently being carried out by ARTE, the European arts TV channel, which will provide 600 hours of programming in four languages (French, German, English and Spanish) from October 2015 onwards.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 10*

**DIRECT RESEARCH**

COMMISSION

TITLE 10 — DIRECT RESEARCH

**TITLE 10****DIRECT RESEARCH****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
10 01	ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA	331 938 960	331 938 960	330 509 370	330 509 370	402 154 548,65	402 154 548,65
10 02	HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES	25 686 697	26 350 000	24 127 845	31 976 761	48 823 262,28	42 452 109,22
10 03	EURATOM PROGRAMME — DIRECT ACTIONS	10 666 000	12 400 000	10 560 000	9 541 097	11 683 378,60	9 409 510,03
10 04	OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE	p.m.	p.m.	p.m.	p.m.	45 483 805,58	35 837 686,32
10 05	HISTORICAL LIABILITIES RESULTING FROM NUCLEAR ACTIVITIES CARRIED OUT BY THE JOINT RESEARCH CENTRE PURSUANT TO THE EURATOM TREATY	28 543 000	32 000 000	27 773 000	30 025 140	27 041 043,38	26 936 787,67
<b>Title 10 — Total</b>		<b>396 834 657</b>	<b>402 688 960</b>	<b>392 970 215</b>	<b>402 052 368</b>	<b>535 186 038,49</b>	<b>516 790 641,89</b>



**TITLE 10****DIRECT RESEARCH***Remarks*

These remarks apply to all the budget lines in the 'Direct research' policy area (with the exception of Chapter 10 05).

These appropriations are intended to cover expenditure relating to:

- staff occupying posts on the authorised establishment plan of the Joint Research Centre (JRC) and external personnel implementing the research and innovation programmes,
- staff-related costs such as missions, training, medical services and recruitment,
- operation and functioning of the JRC institutes, administrative support, safety and security of the sites, IT related expenditure, non-recurrent costs and major research infrastructures,
- research and support activities including exploratory research, scientific and technical equipment, subcontracting of services, etc.,
- research and scientific support tasks relating to activities entrusted to the JRC within the framework of its participation on a competitive basis in support of the Union's policies and on behalf of outside bodies.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 2 2 4 and 6 2 2 5 of the statement of revenue may give rise to the provision of additional appropriations.

Miscellaneous revenue may give rise to the provision of additional appropriations to be used in Chapters 10 02, 10 03 or 10 04 or Article 10 01 05, depending on their purpose.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The possibility of third countries or organisations from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Item 6 0 1 3 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

The additional appropriations will be provided under Items 10 02 50 01 and 10 03 50 01.

## COMMISSION

## TITLE 10 — DIRECT RESEARCH

## CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
10 01	ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA					
<b>10 01 05</b>	<b><i>Support expenditure for research and innovation programmes in the 'Direct research' policy area</i></b>					
10 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	137 841 590	136 700 000	143 812 051,39	104,33
10 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	32 688 000	32 400 000	62 793 703,62	192,10
10 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	58 163 970	58 163 970	73 057 021,29	125,61
10 01 05 04	Other expenditure for new major research infrastructures — Horizon 2020	1.1	2 000 000	2 000 000	2 060 000,—	103,00
10 01 05 11	Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom Programme	1.1	54 200 000	54 200 000	55 288 948,61	102,01
10 01 05 12	External personnel implementing research and innovation programmes — Euratom Programme	1.1	10 000 000	10 000 000	18 912 397,29	189,12
10 01 05 13	Other management expenditure for research and innovation programmes — Euratom Programme	1.1	35 045 400	35 045 400	41 112 181,91	117,31
10 01 05 14	Other expenditure for new major research infrastructures — Euratom Programme	1.1	2 000 000	2 000 000	5 118 244,54	255,91
	<i>Article 10 01 05 — Subtotal</i>		331 938 960	330 509 370	402 154 548,65	121,15
	<b>Chapter 10 01 — Total</b>		<b>331 938 960</b>	<b>330 509 370</b>	<b>402 154 548,65</b>	<b>121,15</b>

**10 01 05** ***Support expenditure for research and innovation programmes in the 'Direct research' policy area****Remarks*

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 2 2 1, 6 2 2 4 and 6 2 2 5 of the statement of revenue may give rise to the provision of additional appropriations. Such revenue will be used to cover, inter alia, expenditure incurred on staff and resources in respect of work for third parties carried out by the Joint Research Centre.

CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA *(cont'd)*10 01 05 *(cont'd)*

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

The appropriations could be supplemented by appropriations that the Joint Research Centre will earn by participating on a competitive basis in indirect actions and in scientific and technical activities in support of Union policies. The activities of a competitive nature conducted by the JRC shall consist of:

- activities carried out following grant or procurement procedures,
- activities on behalf of third parties,
- activities undertaken under an administrative agreement with other institutions or other Commission departments for the provision of technical-scientific services.

## 10 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
137 841 590	136 700 000	143 812 051,39

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff occupying posts on the authorised establishment plan of the Joint Research Centre implementing research and innovation programmes — Horizon 2020, and in particular:

- direct action, consisting of research activities, scientific and technical support activities, and exploratory research activities undertaken in the establishments of the Joint Research Centre,
- indirect action, consisting of programmes implemented as part of the Joint Research Centre's activities conducted on a competitive basis.

Staff costs comprise the basic salary, allowances, miscellaneous indemnities and contributions based on the statutory provisions, including expenses related to the entry into service, change in the place of employment and termination of service.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

COMMISSION

TITLE 10 — DIRECT RESEARCH

CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA (*cont'd*)10 01 05 (*cont'd*)10 01 05 01 (*cont'd*)*Legal basis*

See Chapter 10 02.

10 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
32 688 000	32 400 000	62 793 703,62

*Remarks*

This appropriation is intended to cover the expenditure relating to external personnel occupying posts which are not on the establishment plan of the Joint Research Centre, i.e. contract staff, grant holders, seconded national experts and visiting scientists, including external personnel posted in Union delegations, implementing research and innovation programmes — Horizon 2020.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 10 02.

10 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
58 163 970	58 163 970	73 057 021,29

*Remarks*

This appropriation is intended to cover:

- staff-related expenditure not covered by Items 10 01 05 01 and 10 01 05 02 including missions, training, medical and social services, expenditure on organising competitions, interviewing candidates, representation costs, etc.,

CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA (*cont'd*)10 01 05 (*cont'd*)10 01 05 03 (*cont'd*)

- expenditure in respect of all resources used for the implementation of the Joint Research Centre (JRC) activities; this includes:
  - expenses related to the operation and functioning of JRC institutes: regular maintenance of buildings, technical infrastructure and scientific equipment; utilities and fluids; heating, cooling and ventilation; workshop materials and equipment; cleaning of sites, roads and buildings; waste management, etc.,
  - expenses related to the administrative support of JRC institutes: furniture; stationery; telecommunications; documentation and publications; transport; miscellaneous supplies; general insurance, etc.,
  - expenses related to the safety and security of the sites: health and safety at work; radioprotection; fire-brigade, etc.,
  - IT related expenditure: computer rooms; hardware and software; networking services; information systems; help-desk and assistance to users, etc.,
  - non-recurrent costs: this appropriation covers renovation, rehabilitation and construction works on the JRC sites. It addresses expenses such as exceptional maintenance costs, renovation works, adaptation to new standards, etc. It may also finance research infrastructures not covered by Item 10 01 05 04.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 10 02.

## 10 01 05 04 Other expenditure for new major research infrastructures — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 000 000	2 000 000	2 060 000,—

*Remarks*

This appropriation is intended to cover expenditure in respect of all resources for the financing of major research infrastructure projects, in particular the construction of new buildings, the complete refurbishment of existing buildings and the purchase of important equipment related to the technical infrastructure of the sites.

COMMISSION

TITLE 10 — DIRECT RESEARCH

CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA (*cont'd*)10 01 05 (*cont'd*)10 01 05 04 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 10 02.

10 01 05 11 Expenditure related to officials and temporary staff implementing research and innovation programmes — Euratom Programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
54 200 000	54 200 000	55 288 948,61

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff occupying posts on the authorised establishment plan of the Joint Research Centre implementing research and innovation programmes — Euratom Programme, and in particular:

- direct action, consisting of research activities, scientific and technical support activities, and exploratory research activities undertaken in the establishments of the Joint Research Centre,
- indirect action, consisting of programmes implemented as part of the Joint Research Centre's activities conducted on a competitive basis.

Staff costs comprise the basic salary, allowances, miscellaneous indemnities and contributions based on the statutory provisions, including expenses related to the entry into service, change in the place of employment and termination of service.

*Legal basis*

See Chapter 10 03.

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TITLE 10 — DIRECT RESEARCH

CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA (*cont'd*)

10 01 05 (*cont'd*)

10 01 05 12 External personnel implementing research and innovation programmes — Euratom Programme

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
10 000 000	10 000 000	18 912 397,29

Remarks

This appropriation is intended to cover the expenditure relating to external personnel occupying posts which are not on the establishment plan of the Joint Research Centre, i.e. contract staff, grant holders, seconded national experts and visiting scientists, including external personnel posted in Union delegations, implementing the research and innovation programmes — Euratom Programme.

Legal basis

See Chapter 10 03.

10 01 05 13 Other management expenditure for research and innovation programmes — Euratom Programme

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
35 045 400	35 045 400	41 112 181,91

Remarks

This appropriation is intended to cover:

- staff-related expenditure not covered by Items 10 01 05 11 and 10 01 05 12 including missions, training, medical and social services, expenditure on organising competitions, interviewing candidates, representation costs, etc.,
- expenditure in respect of all resources used for the implementation of the Joint Research Centre (JRC) activities; this includes:
  - expenses related to the operation and functioning of JRC institutes: regular maintenance of buildings, technical infrastructure and scientific equipment; utilities and fluids; heating, cooling and ventilation; workshop materials and equipment; cleaning of sites, roads and buildings; waste management, etc.,
  - expenses related to the administrative support of JRC institutes: furniture; stationery; telecommunications; documentation and publications; transport; miscellaneous supplies; general insurance, etc.,
  - expenses related to the safety and security of the sites: health and safety at work; radioprotection; fire-brigade, etc.,

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TITLE 10 — DIRECT RESEARCH

CHAPTER 10 01 — ADMINISTRATIVE EXPENDITURE OF THE 'DIRECT RESEARCH' POLICY AREA (*cont'd*)10 01 05 (*cont'd*)10 01 05 13 (*cont'd*)

- IT related expenditure: computer rooms; hardware and software; networking services; information systems; help-desk and assistance to users, etc.,
- non-recurrent costs: this appropriation covers the renovation, rehabilitation and construction works on the JRC sites. It addresses expenses such as exceptional maintenance costs, renovation works, adaptation to new standards, etc. It may also finance research infrastructures not covered by Item 10 01 05 14.

*Legal basis*

See Chapter 10 03.

## 10 01 05 14 Other expenditure for new major research infrastructures — Euratom Programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 000 000	2 000 000	5 118 244,54

*Remarks*

This appropriation is intended to cover expenditure in respect of all resources for the financing of major research infrastructure projects, in particular the construction of new buildings, the complete refurbishment of existing buildings and the purchase of important equipment related to the technical infrastructure of the sites.

*Legal basis*

See Chapter 10 03.



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TITLE 10 — DIRECT RESEARCH

CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
10 02	HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES								
10 02 01	<i>Horizon 2020 — Customer-driven scientific and technical support to Union policies</i>	1.1	25 186 697	24 500 000	24 127 845	27 961 643	34 639 215,45	12 857 478,—	52,48
10 02 50	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological devel- opment</i>								
10 02 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and tech- nological development (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	11 849 927,18	1 087 081,65	
10 02 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and tech- nological development (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	55 360,82	7 278 838,77	
	<i>Article 10 02 50 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	11 905 288,—	8 365 920,42	
10 02 51	<i>Completion of the Seventh Framework Programme — Direct actions (2007 to 2013)</i>	1.1	p.m.	1 600 000	p.m.	4 015 118	2 210 102,02	21 172 279,04	1 323,27
10 02 52	<i>Completion of previous research framework programmes — Direct actions (prior to 2007)</i>	1.1	—	—	—	—	68 656,81	56 431,76	
10 02 77	<i>Pilot projects and preparatory actions</i>								
10 02 77 01	Pilot project — Estab- lishment of a European Commission Public Sector Innovation Lab	1.1	500 000	250 000					
	<i>Article 10 02 77 — Subtotal</i>		500 000	250 000					
	<b>Chapter 10 02 — Total</b>		<b>25 686 697</b>	<b>26 350 000</b>	<b>24 127 845</b>	<b>31 976 761</b>	<b>48 823 262,28</b>	<b>42 452 109,22</b>	<b>161,11</b>

## COMMISSION

## TITLE 10 — DIRECT RESEARCH

**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)*Remarks*

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the Framework Programme for Research and Innovation which covers the 2014-2020 period.

Horizon 2020 shall play a central role in the delivery of the Europe 2020 strategy for smart, sustainable and inclusive growth ('Europe 2020 strategy') by providing a common strategic framework for the Union's funding of excellent research and innovation, thus acting as a vehicle for leveraging private and public investment, creating new job opportunities and ensuring Europe's long-term sustainability, growth, economic development, social inclusion and industrial competitiveness, as well as addressing societal challenges across the Union.

This appropriation is intended to be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

**10 02 01 Horizon 2020 — Customer-driven scientific and technical support to Union policies***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 186 697	24 500 000	24 127 845	27 961 643	34 639 215,45	12 857 478,—

*Remarks*

This appropriation is intended to cover the scientific and technical support and research activities carried out by the Joint Research Centre in accordance with Part VI of the Specific Programme Implementing Horizon 2020 'Non-nuclear direct actions of the Joint Research Centre (JRC)', in order to provide customer-driven scientific and technical support to Union policies. The JRC shall focus on:

- excellent science: the JRC will carry out research to enhance the scientific evidence base for policy making and to examine emerging fields of science and technology, including through an exploratory research programme,
- industrial leadership: the JRC will contribute to competitiveness in the Union through support to the standardisation process and standards with pre-normative research, development of reference materials and measurements, and harmonisation of methodologies in five focal areas (energy; transport; the flagship initiative 'Digital Agenda for Europe'; security and safety; consumer protection). It will carry out safety assessments of new technologies in areas such as energy and transport and health and consumer protection. It will contribute to facilitating the use, standardisation and validation of space technologies and data, in particular to tackle societal challenges,

**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)

**10 02 01** (cont'd)

— societal challenges: the JRC will carry out research on the following themes: health, demographic change and well-being; food security, sustainable agriculture and forestry, marine, maritime and inland water research and the bio-economy; secure, clean and efficient energy; smart, green and integrated transport; climate action, environment, resource efficiency and raw materials; Europe in a changing world — inclusive, innovative and reflective societies; secure societies — protecting freedom and security of Europe and its citizens.

This appropriation covers specific expenditure relating to research and support activities, including the purchase of scientific and technical equipment, subcontracting of scientific and technical services, access to information, acquisition of consumables, etc. This includes expenditure on scientific infrastructure directly incurred for the projects concerned.

It also covers expenditure of any type concerning research and scientific support tasks relating to activities under this article entrusted to the Joint Research Centre within the framework of its participation on a competitive basis in support of the Union policies and on behalf of outside bodies.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(6) thereof.

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104), and in particular Article 5(4) thereof.

**10 02 50** ***Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development***

**10 02 50 01** Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	11 849 927,18	1 087 081,65

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TITLE 10 — DIRECT RESEARCH

**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)**10 02 50** (cont'd)

10 02 50 01 (cont'd)

*Remarks*

This appropriation is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or States (non-European Economic Area) participating in the research and innovation programmes — Horizon 2020, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

10 02 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	55 360,82	7 278 838,77

*Remarks*

This appropriation is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or States (non-European Economic Area) participating in projects in the field of non-nuclear research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

**10 02 51** **Completion of the Seventh Framework Programme — Direct actions (2007 to 2013)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 600 000	p.m.	4 015 118	2 210 102,02	21 172 279,04

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)**10 02 51** (cont'd)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/975/EC of 19 December 2006 concerning the Specific Programme to be carried out by means of direct actions by the Joint Research Centre under the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 368).

**10 02 52** **Completion of previous research framework programmes — Direct actions (prior to 2007)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	68 656,81	56 431,76

*Remarks*

This article is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)**10 02 52** (cont'd)*Legal basis*

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

**10 02 77** *Pilot projects and preparatory actions***10 02 77 01** Pilot project — Establishment of a European Commission Public Sector Innovation Lab*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

A growing number of Member States have recognised the value of taking the design and innovation process approach to developing new policies and public services. Several initiatives have already started to demonstrate how integrating user-centred design and prototyping activities into the policy-making process can save money, have a greater impact and provide policies that are more citizen-centred. The establishment of such a policy lab is under discussion within the Joint Research Centre and the pilot project will accelerate the establishment of the lab.

The Commission's 2013 Action Plan for Design-Driven Innovation and the Commission's Expert Group on Public Sector Innovation have highlighted the positive impact mainstreaming innovation in the public sector can have on growth.

This is why the establishment of a European Commission Public Sector Innovation Lab, as recommended by the expert group, would be beneficial.

One main objective of the pilot project is cooperation with national, regional and local actors to enhance their ability to implement EU policies by working with similar structures on the ground or supporting the establishment of new ones. The lab will feed into efforts to improve the spending of EU funds and the implementation of EU legislation.

Acting as the Commission's own in-house innovation team, the lab will also support the European institutions in re-designing old regulations or taking a more iterative and cost-effective approach to policy design from the idea generation phase through to implementation. This will include using techniques such as randomised control trials, behavioural economics, user-led trials, systems thinking, for example when designing new EU funding programmes for SMEs, and finding new ways of cutting red tape.

**CHAPTER 10 02 — HORIZON 2020 — DIRECT ACTIONS OF THE JOINT RESEARCH CENTRE (JRC) IN SUPPORT OF UNION POLICIES**  
(cont'd)**10 02 77** (cont'd)

10 02 77 01 (cont'd)

The lab will also act as a coordination point for Europe-wide initiatives in the Member States, and for sharing knowledge and experience among peers.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 10 — DIRECT RESEARCH

## CHAPTER 10 03 — EURATOM PROGRAMME — DIRECT ACTIONS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
10 03	EURATOM PROGRAMME — DIRECT ACTIONS								
<b>10 03 01</b>	<b>Euratom activities of direct research</b>	1.1	10 666 000	11 500 000	10 560 000	8 746 493	10 492 337,68	4 155 440,74	36,13
<b>10 03 50</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological devel- opment</b>								
10 03 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and tech- nological development (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	870 569,96	82 411,57	
10 03 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and tech- nological development (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	197 882,35	
	Article 10 03 50 — Subtotal		p.m.	p.m.	p.m.	p.m.	870 569,96	280 293,92	
<b>10 03 51</b>	<b>Completion of the Seventh Framework Programme — Euratom (2007 to 2013)</b>	1.1	p.m.	900 000	p.m.	794 604	320 470,96	4 973 775,37	552,64
<b>10 03 52</b>	<b>Completion of previous Euratom framework programmes (prior to 2007)</b>	1.1	—	—	—	—	0,—	0,—	
	<b>Chapter 10 03 — Total</b>		<b>10 666 000</b>	<b>12 400 000</b>	<b>10 560 000</b>	<b>9 541 097</b>	<b>11 683 378,60</b>	<b>9 409 510,03</b>	<b>75,88</b>

## Remarks

The Research and Training Programme on European Atomic Energy Community (2014-2018) (Euratom Programme) is an integral part of Horizon 2020, the Framework Programme for Research and Innovation. The Euratom Programme will strengthen the research and innovation framework in the nuclear field and coordinate Member States' research efforts, thereby avoiding duplication, retaining critical mass in key areas, and ensuring public funding is used in an optimal way.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).



**CHAPTER 10 03 — EURATOM PROGRAMME — DIRECT ACTIONS** (*cont'd*)

The general objective of the Euratom Programme is to pursue nuclear research and training activities with an emphasis on continuous improvement of nuclear safety, security and radiation protection, in particular to potentially contribute to the long-term decarbonisation of the energy system in a safe, efficient and secure way. It will cover both RTD indirect actions on the fusion research and development, nuclear fission, safety and radiation protection research activities, as well as the JRC direct actions in nuclear security and safety. The JRC will provide independent customer-driven scientific and technological support for the implementation and monitoring of Community policies, in particular in the field of nuclear safety and security research and training. By achieving these objectives, the Euratom Programme will reinforce outcomes under the three priorities of the Horizon 2020 Framework Programme: excellent science, industrial leadership and societal challenges. These objectives are clearly linked to those of the Europe 2020 and Energy 2020 strategies and the creation and operation of the European Research Area.

**10 03 01** *Euratom activities of direct research*

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 666 000	11 500 000	10 560 000	8 746 493	10 492 337,68	4 155 440,74

*Remarks*

This appropriation is intended to cover the scientific and technical support and research activities carried out by the Joint Research Centre to implement the Research and Training Programme of the European Atomic Energy Community (2014-2018). Direct actions under the Euratom Programme shall have the following specific objectives:

- to improve nuclear safety including: nuclear reactor and fuel safety, waste management, including final geological disposal as well as partitioning and transmutation; decommissioning, and emergency preparedness,
- to improve nuclear security including: nuclear safeguards, non-proliferation, combating illicit trafficking, and nuclear forensics,
- to increase excellence in the nuclear science base for standardisation,
- to foster knowledge management, education and training,
- to support the policy of the Union on nuclear safety and security.

This appropriation also addresses the activities necessary for implementing safeguards pursuant to Chapter 7 of Title II of the Euratom Treaty and the obligations arising from the Non-Proliferation Treaty and implementation of the Commission's programme to support the International Atomic Energy Agency (IAEA).

It covers specific expenditure relating to research and support activities, including the purchase of scientific and technical equipment, subcontracting of scientific and technical services, access to information, acquisition of consumables, etc. This includes expenditure on scientific infrastructure directly incurred for the projects concerned.

This appropriation also covers expenditure of any type concerning research and scientific support tasks relating to activities under this article entrusted to the Joint Research Centre within the framework of its participation on a competitive basis in support of Union policies and on behalf of outside bodies.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

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CHAPTER 10 03 — EURATOM PROGRAMME — DIRECT ACTIONS (*cont'd*)10 03 01 (*cont'd*)*Legal basis*

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104), and in particular Article 5(4) thereof.

Council Regulation (Euratom) No 1314/2013 of 16 December 2013 on the Research and Training Programme of the European Atomic Energy Community (2014-2018) complementing the Horizon 2020 Framework Programme for Research and Innovation (OJ L 347, 20.12.2013, p. 948).

**10 03 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

10 03 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	870 569,96	82 411,57

*Remarks*

This appropriation is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or States (non-European Economic Area) participating in Euratom Programme, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

10 03 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	197 882,35

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TITLE 10 — DIRECT RESEARCH

**CHAPTER 10 03 — EURATOM PROGRAMME — DIRECT ACTIONS** (*cont'd*)

**10 03 50** (*cont'd*)

10 03 50 02 (*cont'd*)

*Remarks*

This appropriation is intended to cover expenditure relating to revenue giving rise to the provision of additional appropriations from third parties or States (non-European Economic Area) participating in the Euratom Programme, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3 and 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations.

**10 03 51** ***Completion of the Seventh Framework Programme — Euratom (2007 to 2013)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	900 000	p.m.	794 604	320 470,96	4 973 775,37

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

*Legal basis*

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Decision 2006/977/Euratom of 19 December 2006 concerning the Specific Programme to be carried out by means of direct actions by the Joint Research Centre implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 434).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the Seventh Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

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## TITLE 10 — DIRECT RESEARCH

CHAPTER 10 03 — EURATOM PROGRAMME — DIRECT ACTIONS (*cont'd*)10 03 51 (*cont'd*)

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012 to 2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/95/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of direct actions by the Joint Research Centre, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013)) (OJ L 47, 18.2.2012, p. 40).

10 03 52 **Completion of previous Euratom framework programmes (prior to 2007)**

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

*Remarks*

This article is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 21 and Article 183(2) of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 6 of the statement of revenue may give rise to the provision of additional appropriations.

*Legal basis*

Council Decision 1999/64/Euratom of 22 December 1998 concerning the Fifth Framework Programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Council Decision 2002/668/Euratom of 3 June 2002 concerning the sixth framework programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities, also contributing to the creation of the European Research Area (2002 to 2006) (OJ L 232, 29.8.2002, p. 34).

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CHAPTER 10 04 — OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
10 04	OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE								
10 04 02	<i>Provision of services and work on behalf of outside bodies</i>	1.1	p.m.	p.m.	p.m.	p.m.	5 112 219,22	8 128 041,34	
10 04 03	<i>Scientific and technical support for Union policies on a competitive basis</i>	1.1	p.m.	p.m.	p.m.	p.m.	20 391 610,58	19 929 638,23	
10 04 04	<i>Operation of the high-flux reactor (HFR)</i>								
10 04 04 01	Operation of the high-flux reactor (HFR) — Supplementary HFR programmes	1.1	p.m.	p.m.	p.m.	p.m.	16 515 486,78	7 225 747,08	
10 04 04 02	Operation of the high-flux reactor (HFR) — Completion of previous supplementary HFR programmes	1.1	p.m.	p.m.	p.m.	p.m.	3 464 489,—	554 259,67	
	Article 10 04 04 — Subtotal		p.m.	p.m.	p.m.	p.m.	19 979 975,78	7 780 006,75	
	<b>Chapter 10 04 — Total</b>		<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>45 483 805,58</b>	<b>35 837 686,32</b>	

10 04 02 *Provision of services and work on behalf of outside bodies*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	5 112 219,22	8 128 041,34

Remarks

This article is intended to receive the appropriations required for expenditure specific to the various tasks performed on behalf of outside bodies. It includes research and supply of services under contract to third parties, such as industry, national or regional authorities, as well as contracts in the context of Member States' research programmes. This may cover the following:

- the provision of supplies, services and work carried out in general against payment, including certified reference materials,
- the operation of facilities for the benefit of Member States, including the irradiation for outside bodies in the high-flux reactor (HFR) at the Petten establishment of the Joint Research Centre,
- the performance of research activities and the provision of services additional to the specific research programmes, including the industrial clubs for which the partners from industry must pay an enrolment fee and annual subscriptions,

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CHAPTER 10 04 — OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE (*cont'd*)10 04 02 (*cont'd*)

— cooperation agreements with third parties.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 2 2 3 and 6 2 2 4 of the statement of revenue may give rise to the provision of additional appropriations.

Additional appropriations will be provided for this article, in accordance with Article 21 and Article 183(2) of the Financial Regulation, to cover specific expenditure for each contract with an outside body to the amount of the revenue to be entered in Item 6 2 2 3 of the statement of revenue.

*Legal basis*

Council Decision 89/340/EEC of 3 May 1989 concerning work for third parties performed by the Joint Research Centre relevant to the European Economic Community (OJ L 142, 25.5.1989, p. 10).

Council conclusions of 26 April 1994 on the role of the Joint Research Centre (JRC) (OJ C 126, 7.5.1994, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21 and 183 thereof.

10 04 03 **Scientific and technical support for Union policies on a competitive basis**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	20 391 610,58	19 929 638,23

*Remarks*

This article is intended to receive the appropriations required for expenditure specific to the various scientific support tasks performed by the Joint Research Centre on a competitive basis in support of the Union policies, outside Horizon 2020. Additional appropriations will be provided for this article, in accordance with Article 21 and Article 183(2) of the Financial Regulation, to cover specific expenditure for each contract with services of the European institutions to the amount of the revenue to be entered in Item 6 2 2 6 of the statement of revenue.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Item 6 2 2 4 of the statement of revenue may give rise to the provision of additional appropriations.

*Legal basis*

Council Decision 89/340/EEC of 3 May 1989 concerning work for third parties performed by the Joint Research Centre relevant to the European Economic Community (OJ L 142, 25.5.1989, p. 10).

Council conclusions of 26 April 1994 on the role of the Joint Research Centre (JRC) (OJ C 126, 7.5.1994, p. 1).

**CHAPTER 10 04 — OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE** (*cont'd*)**10 04 03** (*cont'd*)

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21 and 183 thereof.

**10 04 04** **Operation of the high-flux reactor (HFR)**

## 10 04 04 01 Operation of the high-flux reactor (HFR) — Supplementary HFR programmes

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	16 515 486,78	7 225 747,08

*Remarks*

This appropriation is intended to cover part of expenses of any kind incurred during the implementation of the high flux reactor (HFR) supplementary programme.

The scientific and technical objectives of the supplementary programme are the following:

- to provide a safe, steady and reliable neutron flux for experimental purposes,
- to perform research and development on: material and fuel science for the improvement of the safety of existing nuclear and future reactors (both fission and fusion); radioisotopes for medical applications, reactor ageing and life management, and on waste management,
- to act as a training facility hosting doctoral and post-doctoral fellows in performing their research activities through national or European programmes.

In accordance with Article 21 of the Financial Regulation, this item will, in the course of the financial year, be provided with additional appropriations within the limits of the revenue from the Member States concerned (currently the Netherlands, Belgium and France) to be entered in Item 6 2 2 1 of the statement of revenue.

*Legal basis*

Council Decision 2012/709/Euratom of 13 November 2012 on the adoption of the 2012-2015 High Flux Reactor supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 321, 20.11.2012, p. 59).

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CHAPTER 10 04 — OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE (*cont'd*)10 04 04 (*cont'd*)

## 10 04 04 02 Operation of the high-flux reactor (HFR) — Completion of previous supplementary HFR programmes

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	3 464 489,—	554 259,67

*Remarks*

This appropriation is intended to cover part of expenses of any kind incurred during the implementation of previous high flux reactor (HFR) supplementary programmes and not covered by the payment appropriations available for previous financial years.

The scientific and technical objectives of the supplementary programme are the following:

- to provide a safe, steady and reliable neutron flux for experimental purposes,
- to perform research and development on material and fuel science for the improvement of the safety of existing nuclear and future reactors (both fission and fusion), radioisotopes for medical applications, reactor ageing and life management, and on waste management,
- to act as a training facility hosting doctoral and post-doctoral fellows in performing their research activities through national or European programmes.

In accordance with Article 21 of the Financial Regulation, this item will, in the course of the financial year, be provided with additional appropriations within the limits of the revenue from Member States concerned to be entered in Item 6 2 2 1 of the statement of revenue.

*Legal basis*

Council Decision 84/1/Euratom, EEC of 22 December 1983 adopting a research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community and for the European Economic Community (1984 to 1987) (OJ L 3, 5.1.1984, p. 21).

Council Decision 88/523/Euratom of 14 October 1988 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 286, 20.10.1988, p. 37).

Council Decision 92/275/Euratom of 29 April 1992 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (1992-1995) (OJ L 141, 23.5.1992, p. 23).

Council Decision 96/419/Euratom of 27 June 1996 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (1996-1999) (OJ L 172, 11.7.1996, p. 23).



**CHAPTER 10 04 — OTHER ACTIVITIES OF THE JOINT RESEARCH CENTRE** (*cont'd*)**10 04 04** (*cont'd*)10 04 04 02 (*cont'd*)

Council Decision 2000/100/Euratom of 24 January 2000 adopting a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 29, 4.2.2000, p. 24).

Council Decision 2004/185/Euratom of 19 February 2004 concerning the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 57, 25.2.2004, p. 25).

Council Decision 2007/773/Euratom of 26 November 2007 on a one year extension of the supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 312, 30.11.2007, p. 29).

Council Decision 2009/410/Euratom of 25 May 2009 on the adoption of a supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 132, 29.5.2009, p. 13).

Council Decision 2012/709/Euratom of 13 November 2012 on the adoption of the 2012-2015 High Flux Reactor supplementary research programme to be implemented by the Joint Research Centre for the European Atomic Energy Community (OJ L 321, 20.11.2012, p. 59).

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**CHAPTER 10 05 — HISTORICAL LIABILITIES RESULTING FROM NUCLEAR ACTIVITIES CARRIED OUT BY THE JOINT RESEARCH CENTRE PURSUANT TO THE EURATOM TREATY**

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
10 05	HISTORICAL LIABILITIES RESULTING FROM NUCLEAR ACTIVITIES CARRIED OUT BY THE JOINT RESEARCH CENTRE PURSUANT TO THE EURATOM TREATY								
<b>10 05 01</b>	<b><i>Decommissioning of Euratom obsolete nuclear facilities and final disposal of wastes</i></b>	1.1	28 543 000	32 000 000	27 773 000	30 025 140	27 041 043,38	26 936 787,67	84,18
	<b>Chapter 10 05 — Total</b>		<b>28 543 000</b>	<b>32 000 000</b>	<b>27 773 000</b>	<b>30 025 140</b>	<b>27 041 043,38</b>	<b>26 936 787,67</b>	<b>84,18</b>

**10 05 01      *Decommissioning of Euratom obsolete nuclear facilities and final disposal of wastes****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
28 543 000	32 000 000	27 773 000	30 025 140	27 041 043,38	26 936 787,67

*Remarks*

This appropriation is intended to finance an action programme to reduce and dispose of nuclear liabilities resulting from activities conducted by the Joint Research Centre since its establishment.

It is intended to cover the decommissioning of plants that have been shut down and waste from such plants.

This appropriation is also intended to finance operations undertaken by the Commission on the basis of the powers conferred on it by Article 8 of the Euratom Treaty in conformity with the provisions of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

*Reference acts*

Communication from the Commission to the European Parliament and to the Council of 17 March 1999 on the historical liabilities resulting from nuclear activities carried out by the Joint Research Centre under the Euratom Treaty — Decommissioning of obsolete nuclear installations and waste management (COM(99) 114 final).

Communication from the Commission to the European Parliament and to the Council of 19 May 2004 concerning the decommissioning of nuclear installations and waste management — Nuclear liabilities arising out of the activities of the Joint Research Centre (JRC) carried out under the Euratom Treaty (SEC(2004) 621 final).

**CHAPTER 10 05 — HISTORICAL LIABILITIES RESULTING FROM NUCLEAR ACTIVITIES CARRIED OUT BY THE JOINT RESEARCH CENTRE PURSUANT TO THE EURATOM TREATY** *(cont'd)***10 05 01** *(cont'd)*

Communication from the Commission to the European Parliament and to the Council of 12 January 2009 concerning the decommissioning of nuclear installations and management of radioactive waste — Management of nuclear liabilities arising out of the activities of the Joint Research Centre (JRC) carried out under the Euratom Treaty (COM(2008) 903 final).

Communication from the Commission to the Council and the European Parliament of 25 October 2013 concerning the decommissioning of nuclear installations and management of radioactive waste: management of nuclear liabilities arising out of the activities of the Joint Research Centre (JRC) carried out under the Euratom Treaty (COM(2013) 734 final).

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*TITLE 11*

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**TITLE 11**

**MARITIME AFFAIRS AND FISHERIES**

**General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
11 01	ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA	43 057 571	43 057 571	41 517 759	41 517 759	42 061 330,25	42 061 330,25
11 03	COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS	56 154 250	55 654 250	61 509 244	61 799 384	76 669 622,30	73 512 122,30
	<i>Reserves (40 02 41)</i>	83 345 750	83 345 750	74 090 991	69 190 991		
		139 500 000	139 000 000	135 600 235	130 990 375	76 669 622,30	73 512 122,30
11 06	EUROPEAN MARITIME AND FISHERIES FUND (EMFF)	900 648 394	576 409 953	1 628 245 308	815 323 299	99 369 936,81	689 429 282,89
	<b>Title 11 — Total</b>	<b>999 860 215</b>	<b>675 121 774</b>	<b>1 731 272 311</b>	<b>918 640 442</b>	<b>218 100 889,36</b>	<b>805 002 735,44</b>
	<b>Reserves (40 02 41)</b>	<b>83 345 750</b>	<b>83 345 750</b>	<b>74 090 991</b>	<b>69 190 991</b>		
		<b>1 083 205 965</b>	<b>758 467 524</b>	<b>1 805 363 302</b>	<b>987 831 433</b>	<b>218 100 889,36</b>	<b>805 002 735,44</b>

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## TITLE 11

## MARITIME AFFAIRS AND FISHERIES

## CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
11 01	ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA					
11 01 01	<i>Expenditure relating to officials and temporary staff in the 'Maritime affairs and fisheries' policy area</i>	5.2	29 715 805	29 286 880	30 119 302,48	101,36
11 01 02	<i>External personnel and other management expenditure in support of the 'Maritime affairs and fisheries' policy area</i>					
11 01 02 01	External personnel	5.2	2 194 611	2 375 749	2 994 688,23	136,46
11 01 02 11	Other management expenditure	5.2	2 602 903	2 673 962	2 611 747,—	100,34
	<i>Article 11 01 02 — Subtotal</i>		4 797 514	5 049 711	5 606 435,23	116,86
11 01 03	<i>Expenditure relating to information and communication technology equipment and services of the 'Maritime affairs and fisheries' policy area</i>	5.2	1 896 494	1 859 308	2 175 050,99	114,69
11 01 04	<i>Support expenditure for operations and programmes in the 'Maritime affairs and fisheries' policy area</i>					
11 01 04 01	Support expenditure for maritime affairs and fisheries — Non-operational administrative and technical assistance	2	3 700 000	3 415 610	3 377 541,55	91,28
	<i>Article 11 01 04 — Subtotal</i>		3 700 000	3 415 610	3 377 541,55	91,28
11 01 06	<i>Executive agencies</i>					
11 01 06 01	Executive Agency for Small and Medium-sized Enterprises — Contribution from European Maritime and Fisheries Fund (EMFF)	2	2 947 758	1 906 250	783 000,—	26,56
	<i>Article 11 01 06 — Subtotal</i>		2 947 758	1 906 250	783 000,—	26,56
	<b>Chapter 11 01 — Total</b>		<b>43 057 571</b>	<b>41 517 759</b>	<b>42 061 330,25</b>	<b>97,69</b>

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CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MARITIME AFFAIRS AND FISHERIES’ POLICY AREA (cont’d)

**11 01 01**      **Expenditure relating to officials and temporary staff in the ‘Maritime affairs and fisheries’ policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
29 715 805	29 286 880	30 119 302,48

**11 01 02**      **External personnel and other management expenditure in support of the ‘Maritime affairs and fisheries’ policy area**

11 01 02 01      External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 194 611	2 375 749	2 994 688,23

11 01 02 11      Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 602 903	2 673 962	2 611 747,—

**11 01 03**      **Expenditure relating to information and communication technology equipment and services of the ‘Maritime affairs and fisheries’ policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
1 896 494	1 859 308	2 175 050,99

**11 01 04**      **Support expenditure for operations and programmes in the ‘Maritime affairs and fisheries’ policy area**

11 01 04 01      Support expenditure for maritime affairs and fisheries — Non-operational administrative and technical assistance

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
3 700 000	3 415 610	3 377 541,55

Remarks

This appropriation is intended to cover the non-operational technical assistance for the European Maritime and Fisheries Fund (EMFF) provided for in Article 58 of Regulation (EU) No 1303/2013 and Article 92 of Regulation (EU) No 508/2014.

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CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MARITIME AFFAIRS AND FISHERIES' POLICY AREA (*cont'd*)11 01 04 (*cont'd*)11 01 04 01 (*cont'd*)

It may, in particular, be used to cover:

- expenditure incurred on external personnel at headquarters (contract staff, seconded national experts or agency staff) up to EUR 850 000, including support expenditure (representation expenses, training, meetings, missions relating to the external personnel financed from this appropriation) required for the implementation of the EMFF and the completion of measures under its predecessor the European Fisheries Fund (EFF) relating to technical assistance,
- expenditure on external personnel (contract staff, local staff or seconded national experts) in the Union's delegations in third countries, as well as additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered in this item,
- expenditure on mission by third country delegations attending meetings to negotiate fisheries agreements and joint committees,
- expenditure on studies, evaluation measures and audits, meetings of experts and the participation of stakeholders in ad hoc meetings, seminars and conferences pertaining to major topics, information and publications in the field of maritime affairs and fisheries,
- expenditure on information technology (IT) covering both equipment and services,
- participation of scientific experts in meetings of Regional Fisheries Management Organisations,
- any other expenditure on non-operational technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Regulation (EU) No 1379/2013 of the European Parliament and of the Council of 11 December 2013 on the common organisation of the markets in fishery and aquaculture products, amending Council Regulations (EC) No 1184/2006 and (EC) No 1224/2009 and repealing Council Regulation (EC) No 104/2000 (OJ L 354, 28.12.2013, p. 1).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).



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**CHAPTER 11 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MARITIME AFFAIRS AND FISHERIES’ POLICY AREA** (*cont’d*)

**11 01 06**      ***Executive agencies***

11 01 06 01      Executive Agency for Small and Medium-sized Enterprises — Contribution from European Maritime and Fisheries Fund (EMFF)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 947 758	1 906 250	783 000,—

*Remarks*

This appropriation is intended to cover the Agency's expenditure on staff and administration incurred as a result of the Agency's role in the management of measures forming part of the European Maritime and Fisheries Fund.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this Section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Commission Decision C(2013) 9414 of 23 December 2013 delegating powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of Union programmes in the field of energy, environment, climate action, competitiveness and SMEs, research and innovation, ICT, maritime policy and fisheries comprising, in particular, implementation of appropriations entered in the general budget of the Union, as amended by Decision C(2014) 4636 of 11 July 2014.

Commission Decision C(2014) 4636 of 11 July 2014 amending Decision C(2013) 9414 of 23 December 2013 as regards the delegation of powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of the Union programme in the field of maritime policy and fisheries, comprising, in particular, implementation of appropriations entered in the general budget of the Union.

Commission Implementing Decision 2013/771/EU of 17 December 2013 establishing the ‘Executive Agency for Small and Medium-sized Enterprises’ and repealing Decisions 2004/20/EC and 2007/372/EC (OJ L 341, 18.12.2013, p. 73).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

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**CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS**

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
11 03	COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS								
11 03 01	<i>Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters</i>	2	50 654 250	50 654 250	57 197 244	57 197 244	72 431 549,—	69 274 049,—	136,76
	Reserves (40 02 41)		83 345 750	83 345 750	74 090 991	69 190 991			
			134 000 000	134 000 000	131 288 235	126 388 235	72 431 549,—	69 274 049,—	
11 03 02	<i>Promoting sustainable development for fisheries management and maritime governance in line with the CFP objectives (Compulsory contributions to international bodies)</i>	2	5 500 000	5 000 000	4 312 000	4 602 140	4 238 073,30	4 238 073,30	84,76
	<b>Chapter 11 03 — Total</b>		<b>56 154 250</b>	<b>55 654 250</b>	<b>61 509 244</b>	<b>61 799 384</b>	<b>76 669 622,30</b>	<b>73 512 122,30</b>	<b>132,09</b>
	Reserves (40 02 41)		83 345 750	83 345 750	74 090 991	69 190 991			
			139 500 000	139 000 000	135 600 235	130 990 375	76 669 622,30	73 512 122,30	

**11 03 01** *Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters*

Figures (Differentiated appropriations)

	Appropriations 2016		Appropriations 2015		Outturn 2014	
	Commitments	Payments	Commitments	Payments	Commitments	Payments
11 03 01	50 654 250	50 654 250	57 197 244	57 197 244	72 431 549,—	69 274 049,—
Reserves (40 02 41)	83 345 750	83 345 750	74 090 991	69 190 991		
Total	134 000 000	134 000 000	131 288 235	126 388 235	72 431 549,—	69 274 049,—

Remarks

This appropriation is intended to cover the expenditure arising from the fisheries agreements which the Union has negotiated or intends to renew or renegotiate with third countries.

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**CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS** (*cont'd*)

**11 03 01** (*cont'd*)

In addition, the Union may negotiate new fisheries partnership agreements which would need to be financed under this article.

*Legal basis*

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Union financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22), and in particular Article 31 thereof.

Regulations and Decisions concerning the conclusion of agreements and/or protocols adopted with regard to fisheries between the Union/Community and the governments of the following countries:

Status (as of September 2015)	Country	Legal basis	Date	Official Journal	Duration
In force	Cape Verde	Decision 2014/948/EU	15 December 2014	L 369, 24.12.2014	23.12.2014 to 22.12.2018
	Comoros	Decision 2014/369/EU	13 May 2014	L 179, 19.6.2014	1.1.2014 to 31.12.2016
	Côte d'Ivoire	Decision 2013/303/EU	29 May 2013	L 170, 22.6.2013	1.7.2013 to 30.6.2018
	Gabon	Decision 2013/462/EU	22 July 2013	L 250, 20.9.2013	24.7.2013 to 23.7.2016
	Guinea-Bissau	Decision 2014/782/EU	16 October 2014	L 328, 13.11.2014	24.11.2014 to 23.11.2017
	Madagascar	Decision 2014/929/EU	15 December 2014	L 365, 19.12.2014	1.1.2015 to 31.12.2018
	Mauritius	Decision 2014/146/EU	28 January 2014	L 79, 18.3.2014	28.1.2014 to 27.1.2017
	Morocco	Decision 2013/785/EU	16 December 2013	L 349, 21.12.2013	15.7.2014 to 14.7.2018
	São Tomé and Príncipe	Decision 2014/334/EU	19 May 2014	L 168, 7.6.2014	23.5.2014 to 22.5.2018
	Seychelles	Decision 2014/5/EU	18 January 2014	L 12, 17.1.2014	18.1.2014 to 17.1.2020
	Senegal	Decision 2014/733/EU	8 October 2014	L 340, 23.10.2014	20.10.2014 to 19.10.2019
Under negotiation or legislative procedure ongoing	Greenland	Decision 2012/653/EU	16 July 2012	L 293, 23.10.2012	1.1.2013 to 31.12.2015
	Mauritania	Decision 2013/672/EU	15 November 2013	L 313, 22.11.2013	16.12.2012 to 15.12.2014
	Mozambique	Decision 2012/306/EU	12 June 2012	L 153, 14.6.2012	1.2.2012 to 31.1.2015

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**11 03 02** *Promoting sustainable development for fisheries management and maritime governance in line with the CFP objectives (Compulsory contributions to international bodies)*
*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 500 000	5 000 000	4 312 000	4 602 140	4 238 073,30	4 238 073,30

*Remarks*

This appropriation is intended to cover the Union's active participation in international fisheries organisations responsible for the long-term conservation and sustainable exploitation of marine fisheries resources. It relates to compulsory contributions, amongst others, to the following regional fisheries management organisations and other international organisations:

- Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR),
- North Atlantic Salmon Conservation Organisation (NASCO),
- International Commission for the Conservation of Atlantic Tunas (ICCAT),
- North-East Atlantic Fisheries Commission (NEAFC),
- Northwest Atlantic Fisheries Organisation (NAFO),
- Indian Ocean Tuna Commission (IOTC),
- General Fisheries Commission for the Mediterranean (GFCM),
- South East Atlantic Fisheries Organisation (SEAFO),
- Southern Indian Ocean Fisheries Agreement (SIOFA),
- Western and Central Pacific Fisheries Commission (WCPFC, ex-MHLC),
- Agreement on the International Dolphin Conservation Program (AIDCP),
- Inter-American Tropical Tuna Commission (IATTC),
- South Pacific Regional Fisheries Management Organisation (SPRFMO),
- Convention on the conservation of migratory species of wild animals (CMS).

**CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS** (*cont'd*)**11 03 02** (*cont'd*)

This appropriation is also intended to cover the Union's financial contributions to the bodies set up by the United Nations Convention on the Law of the Sea, 1982, in particular the International Seabed Authority and the International Tribunal for the Law of the Sea.

*Legal basis*

Council Regulation (EEC) No 3179/78 of 28 December 1978 concerning the conclusion by the European Economic Community of the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (OJ L 378, 30.12.1978, p. 1).

Council Decision 81/608/EEC of 13 July 1981 concerning the conclusion of the Convention on Future Multilateral Cooperation in the North-East Atlantic Fisheries (OJ L 227, 12.8.1981, p. 21).

Council Decision 81/691/EEC of 4 September 1981 on the conclusion of the Convention on the conservation of Antarctic marine living resources (OJ L 252, 5.9.1981, p. 26).

Council Decision 82/461/EEC of 24 June 1982 on the conclusion of the Convention on the conservation of migratory species of wild animals (OJ L 210, 19.7.1982, p. 10).

Council Decision 82/886/EEC of 13 December 1982 concerning the conclusion of the Convention for the Conservation of Salmon in the North Atlantic Ocean (OJ L 378, 31.12.1982, p. 24).

Council Decision 86/238/EEC of 9 June 1986 on the accession of the Community to the International Convention for the Conservation of Atlantic Tunas, as amended by the Protocol annexed to the Final Act of the Conference of Plenipotentiaries of the States Parties to the Convention signed in Paris on 10 July 1984 (OJ L 162, 18.6.1986, p. 33).

Council Decision 95/399/EC of 18 September 1995 on the accession of the Community to the Agreement for the establishment of the Indian Ocean Tuna Commission (OJ L 236, 5.10.1995, p. 24).

Council Decision 98/392/EC of 23 March 1998 concerning the conclusion by the European Community of the United Nations Convention of 10 December 1982 on the Law of the Sea and the Agreement of 28 July 1994 relating to the implementation of Part XI thereof (OJ L 179, 23.6.1998, p. 1).

United Nations Convention on the Law of the Sea (OJ L 179, 23.6.1998, p. 3).

Council Decision 98/416/EC of 16 June 1998 on the accession of the European Community to the General Fisheries Commission for the Mediterranean (OJ L 190, 4.7.1998, p. 34).

Council Decision 2002/738/EC of 22 July 2002 on the conclusion by the European Community of the Convention on the Conservation and Management of Fishery Resources in the South-East Atlantic Ocean (OJ L 234, 31.8.2002, p. 39).

Council Decision 2005/75/EC of 26 April 2004 on the accession of the Community to the Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (OJ L 32, 4.2.2005, p. 1).

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**CHAPTER 11 03 — COMPULSORY CONTRIBUTIONS TO REGIONAL FISHERIES MANAGEMENT ORGANISATIONS AND OTHER INTERNATIONAL ORGANISATIONS AND SUSTAINABLE FISHERIES AGREEMENTS** (*cont'd*)**11 03 02** (*cont'd*)

Council Decision 2005/938/EC of 8 December 2005 on the approval on behalf of the European Community of the Agreement on the International Dolphin Conservation Programme (OJ L 348, 30.12.2005, p. 26).

Council Decision 2006/539/EC of 22 May 2006 on the conclusion, on behalf of the European Community of the Convention for the Strengthening of the Inter-American Tropical Tuna Commission established by the 1949 Convention between the United States of America and the Republic of Costa Rica (OJ L 224, 16.8.2006, p. 22).

Council Decision 2008/780/EC of 29 September 2008 on the conclusion, on behalf of the European Community, of the Southern Indian Ocean Fisheries Agreement (OJ L 268, 9.10.2008, p. 27).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22), and in particular Articles 29 and 30 thereof.

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Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
11 06	EUROPEAN MARITIME AND FISHERIES FUND (EMFF)								
11 06 01	<i>Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Objective 1 (2000 to 2006)</i>	2	p.m.	p.m.	p.m.	p.m.	0,—	8 787 871,25	
11 06 02	<i>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</i>	2	—	—	—	—	0,—	0,—	
11 06 03	<i>Completion of earlier programmes — Former Objectives 1 and 6 (prior to 2000)</i>	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
11 06 04	<i>Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Outside Objective 1 areas (2000 to 2006)</i>	2	p.m.	p.m.	p.m.	p.m.	0,—	18 745 424,22	
11 06 05	<i>Completion of earlier programmes — Former Objective 5a (prior to 2000)</i>	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
11 06 06	<i>Completion of earlier programmes — Initiatives prior to 2000</i>	2	—	—	—	—	0,—	0,—	
11 06 08	<i>Completion of earlier programmes — Former operational technical assistance and innovative measures (prior to 2000)</i>	2	—	—	—	—	0,—	0,—	
11 06 09	<i>Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco</i>	2	—	—	p.m.	p.m.	0,—	0,—	
11 06 11	<i>Completion of European Fisheries Fund (EFF) — Operational technical assistance (2007 to 2013)</i>	2	p.m.	p.m.	p.m.	494 296	0,—	1 564 356,98	
11 06 12	<i>Completion of European Fisheries Fund (EFF) — Convergence objective (2007 to 2013)</i>	2	p.m.	100 000 000	p.m.	419 306 000	0,—	475 486 067,25	475,49
11 06 13	<i>Completion of European Fisheries Fund (EFF) — Outside convergence objective (2007 to 2013)</i>	2	p.m.	32 000 000	p.m.	147 159 183	0,—	91 760 646,70	286,75

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## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>11 06 14</b>	<b>Completion of intervention in fishery products (2007 to 2013)</b>	2	p.m.	p.m.	p.m.	p.m.	0,—	9 261 252,77	
<b>11 06 15</b>	<b>Completion of the fisheries programme for the outermost regions (2007 to 2013)</b>	2	p.m.	p.m.	p.m.	p.m.	0,—	11 905 570,83	
<b>11 06 60</b>	<b>Promoting sustainable and competitive fisheries and aquaculture, balanced and inclusive territorial development of fisheries areas and fostering the implementation of the common fisheries policy</b>	2	805 423 852	335 000 000	1 538 852 624	138 235 825	19 167 006,—	0,—	0
<b>11 06 61</b>	<b>Fostering the development and implementation of the Union's integrated maritime policy</b>	2	38 426 980	30 491 000	32 538 385	23 969 480	29 186 089,70	10 755 724,18	35,28
<b>11 06 62</b>	<b>Accompanying measures for the common fisheries policy and the integrated maritime policy</b>								
11 06 62 01	Scientific advice and knowledge	2	8 680 015	14 000 000	8 050 015	18 775 139	6 540 000,—	21 892 642,58	156,38
11 06 62 02	Control and enforcement	2	15 510 967	32 700 000	15 510 967	35 954 220	14 956 055,47	23 848 031,37	72,93
11 06 62 03	Voluntary contributions to international organisations	2	7 978 580	6 900 000	7 978 580	6 305 411	6 053 997,56	2 141 314,32	31,03
11 06 62 04	Governance and communication	2	5 078 000	4 509 000	6 011 771	6 408 121	3 592 429,97	3 049 045,26	67,62
11 06 62 05	Market intelligence	2	4 900 000	4 100 000	4 545 966	4 741 131	4 047 880,—	0,—	0
	<i>Article 11 06 62 — Subtotal</i>		42 147 562	62 209 000	42 097 299	72 184 022	35 190 363,—	50 931 033,53	81,87
<b>11 06 63</b>	<b>European Maritime and Fisheries Fund (EMFF) — Technical assistance</b>								
11 06 63 01	European Maritime and Fisheries Fund (EMFF) — Operational technical assistance	2	4 080 000	4 300 000	4 300 000	2 697 540	2 503 607,44	86 410,80	2,01
11 06 63 02	European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance managed by the Commission at the request of a Member State	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 11 06 63 — Subtotal</i>		4 080 000	4 300 000	4 300 000	2 697 540	2 503 607,44	86 410,80	2,01



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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>11 06 64</b>	<b>European Fisheries Control Agency</b>	2	9 070 000	9 070 000	8 957 000	8 957 000	9 217 150,—	9 217 150,—	101,62
<b>11 06 77</b>	<b>Pilot projects and preparatory actions</b>								
11 06 77 01	Preparatory action — Monitoring centre for fisheries market prices	2	p.m.	p.m.	p.m.	p.m.	5 720,67	245 730,77	
11 06 77 02	Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders	2	p.m.	359 953	p.m.	359 953	0,—	479 937,41	133,33
11 06 77 03	Preparatory action — Maritime policy	2	p.m.	p.m.	—	p.m.	0,—	15 000,—	
11 06 77 05	Pilot project — Establishment of a single instrument for commercial designations for fishery and aquaculture products	2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
11 06 77 06	Preparatory action — Guardians of the Sea	2	p.m.	480 000	p.m.	960 000	600 000,—	187 106,20	38,98
11 06 77 07	Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management	2	p.m.	400 000	p.m.	p.m.	2 000 000,—	0,—	0
11 06 77 08	Pilot project — Support measures for small-scale fishing	2	p.m.	600 000	500 000	500 000	1 500 000,—	0,—	0
11 06 77 09	Pilot project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials	2	p.m.	750 000	1 000 000	500 000			
11 06 77 10	Pilot project — Assessment of voluntary claims relating to fisheries and aquaculture products in Europe	2	250 000	125 000					

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## CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>11 06 77</b>	(cont'd)								
11 06 77 11	Pilot project — Modernising fisheries controls and optimising vessel monitoring through the use of innovative European systems	2	500 000	250 000					
11 06 77 12	Pilot project — Creation of a European coastguard function	2	750 000	375 000					
	<i>Article 11 06 77 — Subtotal</i>		1 500 000	3 339 953	1 500 000	2 319 953	4 105 720,67	927 774,38	27,78
	<b>Chapter 11 06 — Total</b>		<b>900 648 394</b>	<b>576 409 953</b>	<b>1 628 245 308</b>	<b>815 323 299</b>	<b>99 369 936,81</b>	<b>689 429 282,89</b>	<b>119,61</b>

*Remarks*

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections, any revenue from which is entered in Article 6 5 2 of the statement of revenue.

Articles 97, 98 and 99 of Regulation (EC) No 1198/2006 provide for financial corrections, any revenue from which is entered in Article 6 5 3 of the statement of revenue.

Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 provide for financial corrections, any revenue from which is entered in Article 6 5 4 of the statement of revenue.

That revenue may give rise to the provision of additional appropriations, in accordance with Article 21 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of pre-financing payments in respect of a given operation.

Pre-financing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded under Article 24 02 01.

*Legal basis*

Treaty on the Functioning of the European Union, in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

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Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1) and in particular Article 21(3) and (4), Article 80 and Article 177 thereof.

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

**11 06 01      *Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Objective 1 (2000 to 2006)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	8 787 871,25

*Remarks*

This appropriation is intended to cover the financing, by the FIFG, of Objective 1 commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)**11 06 02** *Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

*Remarks*

The special programme for peace and reconciliation is intended to cover commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 2(4) thereof.

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular paragraph 44(b) thereof.

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

**11 06 03** *Completion of earlier programmes — Former Objectives 1 and 6 (prior to 2000)**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing, by the FIFG, of commitments remaining to be settled from previous programming periods in respect of former Objectives 1 and 6.

*Legal basis*

Council Regulation (EEC) No 4028/86 of 18 December 1986 on Community measures to improve and adapt structures in the fisheries and aquaculture sector (OJ L 376, 31.12.1986, p. 7).

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**CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (cont'd)**

**11 06 03** (cont'd)

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EC) No 2468/98 of 3 November 1998 laying down the criteria and arrangements regarding Community structural assistance in the fisheries and aquaculture sector and the processing and marketing of its products (OJ L 312, 20.11.1998, p. 19).

**11 06 04 Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Outside Objective 1 areas (2000 to 2006)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	18 745 424,22

*Remarks*

This appropriation is intended to cover the financing, by the FIFG, of commitments remaining to be settled from the 2000-2006 programming period, in respect of areas falling outside the scope of Objective 1.

*Legal basis*

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

**11 06 05 Completion of earlier programmes — Former Objective 5a (prior to 2000)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 05 (*cont'd*)*Remarks*

This appropriation is intended to cover the financing, by the FIFG, of commitments remaining to be settled from earlier programming periods in respect of former Objective 5a 'Fisheries', including measures funded pursuant to Article 4 of Regulation (EEC) No 2080/93.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EC) No 2468/98 of 3 November 1998 laying down the criteria and arrangements regarding Community structural assistance in the fisheries and aquaculture sector and the processing and marketing of its products (OJ L 312, 20.11.1998, p. 19).

11 06 06 **Completion of earlier programmes — Initiatives prior to 2000***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

*Remarks*

This article is intended to cover the financing, by the FIFG, of the commitments remaining to be settled from Community initiatives preceding the 2000-2006 programming period.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)

11 06 06 (*cont'd*)

*Reference acts*

Commission notice to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (Pesca) (OJ C 180, 1.7.1994, p. 1).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (Regis II) (OJ C 180, 1.7.1994, p. 44).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (Peace I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (Peace I) (COM(97) 642 final).

11 06 08 **Completion of earlier programmes — Former operational technical assistance and innovative measures (prior to 2000)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

*Remarks*

This article is intended to cover the settlement of commitments entered into, in earlier programming periods, by the FIFG in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the Regulations concerned. It also funds the former multiannual measures, in particular those approved and implemented under the other abovementioned regulations, which are not covered by the priority objectives of the Structural Funds. This appropriation will also be used if necessary to cover financing due under the FIFG for measures for which the corresponding commitment appropriations are not available or provided for in programming for 2000 to 2006.

*Legal basis*

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

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Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 3760/92 of 20 December 1992 establishing a Community system for fisheries and aquaculture (OJ L 389, 31.12.1992, p. 1).

Council Regulation (EEC) No 2847/93 of 12 October 1993 establishing a control system applicable to the common fisheries policy (OJ L 261, 20.10.1993, p. 1).

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Council Regulation (EC) No 2792/1999 of 17 December 1999 laying down the detailed rules and arrangements regarding Community structural assistance in the fisheries sector (OJ L 337, 30.12.1999, p. 10).

**11 06 09 *Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

*Remarks*

This article is intended to cover the specific measure aimed at promoting the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco.

Following the sinking of the *Prestige*, EUR 30 000 000 was allocated to specific measures to compensate the fishermen and the shellfish culture and aquaculture industries affected by the oil spills.

*Legal basis*

Council Regulation (EC) No 2561/2001 of 17 December 2001 aiming to promote the conversion of fishing vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco (OJ L 344, 28.12.2001, p. 17).

Council Regulation (EC) No 2372/2002 of 20 December 2002 instituting specific measures to compensate the Spanish fisheries, shellfish industry and aquaculture, affected by the oil spills from the *Prestige* (OJ L 358, 31.12.2002, p. 81).



CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)**11 06 11**      **Completion of European Fisheries Fund (EFF) — Operational technical assistance (2007 to 2013)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	494 296	0,—	1 564 356,98

*Remarks*

This appropriation is intended to cover the financing of the commitments remaining to be settled from earlier programming periods relating to EFF technical assistance measures as provided for in Article 46 of Regulation (EC) No 1198/2006. The technical assistance measures include studies, evaluations, measures aimed at the partners, measures to disseminate information, installation, operation and interconnection of computerised systems for the management, monitoring, audit, inspection and evaluation, improvements in evaluation methods and the exchange of information on practices in this field and the establishment of trans-national and Union networks of actors in the sustainable development of coastal fishing zones.

Technical assistance covers preparatory, monitoring, audit, evaluation, supervision and management measures required to implement the EFF.

This appropriation may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services,
- support for networking and exchange of best practices.

*Legal basis*

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

**11 06 12**      **Completion of European Fisheries Fund (EFF) — Convergence objective (2007 to 2013)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	100 000 000	p.m.	419 306 000	0,—	475 486 067,25

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)**11 06 12** (*cont'd*)*Remarks*

This appropriation is intended to cover the financing of the commitments remaining to be settled relating to the operational programmes of the European Fisheries Fund (EFF) convergence objective for the 2007-2013 programming period.

Actions financed under this article should take into account the need to maintain a stable and enduring balance between the capacity of the fishing fleets and the resources available, and the need to promote a safety culture within fishing.

This appropriation is also intended to cover the financing of the commitments remaining to be settled from earlier programming periods relating to the financing of actions to improve fishing gear selectivity.

*Legal basis*

Council Regulation (EC) 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

**11 06 13** ***Completion of European Fisheries Fund (EFF) — Outside convergence objective (2007 to 2013)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	32 000 000	p.m.	147 159 183	0,—	91 760 646,70

*Remarks*

This appropriation is intended to cover the financing of the commitments remaining to be settled relating to EFF measures without convergence objectives as regards commitments relating to the 2007-2013 programming period.

Actions financed under this article should take into account the need to promote a safety culture within fishing.

This appropriation is also intended to cover the financing of the commitments remaining to be settled from previous programming periods relating to the financing of actions to improve fishing gear selectivity.

*Legal basis*

Council Regulation (EC) 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)**11 06 14**      ***Completion of intervention in fishery products (2007 to 2013)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	9 261 252,77

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 104/2000 of 17 December 1999 on the common organisation of the markets in fishery and aquaculture products (OJ L 17, 21.1.2000, p. 22).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

**11 06 15**      ***Completion of the fisheries programme for the outermost regions (2007 to 2013)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	11 905 570,83

*Remarks*

This appropriation is intended to cover the financing of the commitments remaining to be settled from earlier programming periods relating to the scheme to compensate for the additional costs incurred in the marketing of certain fishery products from the Azores, Madeira, the Canary Islands and the French departments of Guiana and Réunion as a result of remoteness of those regions.

*Legal basis*

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 791/2007 of 21 May 2007 introducing a scheme to compensate for the additional costs incurred in the marketing of certain fishery products from the outermost regions the Azores, Madeira, the Canary Islands, French Guiana and Réunion (OJ L 176, 6.7.2007, p. 1).

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)**11 06 60** *Promoting sustainable and competitive fisheries and aquaculture, balanced and inclusive territorial development of fisheries areas and fostering the implementation of the common fisheries policy**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
805 423 852	335 000 000	1 538 852 624	138 235 825	19 167 006,—	0,—

*Remarks*

This appropriation is intended to cover expenditure relating to the operational programmes of the EMFF with a view to increasing employment and economic, social and territorial cohesion, fostering innovative, competitive and knowledge-based fisheries and aquaculture, supporting small-scale fishing, taking account of the specific characteristics of each Member State, promoting sustainable and resource-efficient fisheries and aquaculture, as well as fostering the implementation of the common fisheries policy.

*Legal basis*

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Article 5(a), (c) and (d) thereof.

**11 06 61** *Fostering the development and implementation of the Union's integrated maritime policy**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
38 426 980	30 491 000	32 538 385	23 969 480	29 186 089,70	10 755 724,18

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 61 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure arising from the programme to support the further development of the integrated maritime policy including inter alia:

- the European Marine Observation and Data Network,
- projects including test projects and cooperation projects,
- implementation of the road map for the Common Information Sharing Environment,
- pilot studies on cross-border maritime spatial planning,
- information technology applications such as the maritime forum and the European Atlas of the Seas,
- events and conferences,
- development and follow-up of sea-basin strategies,
- initiatives to co-finance, purchase and maintain marine observation systems and technical tools for designing, setting up and running of an operational European Marine Observation and Data Network system which aims to facilitate the collection, acquisition, assembling, processing, quality control, re-use and distribution of marine data and knowledge, through cooperation between the Member States and/or international institutions involved,
- secretarial or support services,
- studies, to be carried out at a European and sea-basin scale, in order to identify barriers to growth, assess new opportunities and determine human impact on the marine environment.

*Legal basis*

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Article 5(b) thereof.

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)**11 06 62      *Accompanying measures for the common fisheries policy and the integrated maritime policy***

## 11 06 62 01      Scientific advice and knowledge

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 680 015	14 000 000	8 050 015	18 775 139	6 540 000,—	21 892 642,58

*Remarks*

This appropriation is intended to cover expenditure relating to:

- the Union financial contribution consisting in payments related to the expenditure incurred by Member States for the collection, management and use of data in the framework of multiannual national programmes started in 2013 or earlier,
- the financing of studies and pilot projects carried out by the Commission, where appropriate in cooperation with the Member States, necessary for the implementation and development of the common fisheries policy, including on alternative types of sustainable fishing techniques,
- the preparation and provision of scientific opinions and advice by scientific bodies, including international advisory bodies in charge of stock assessments, by independent experts and by research institutions,
- the costs incurred by the Commission for services related to collection, management and use of data, to the organisation and management of fisheries expert meetings and the management of annual work programmes related to fisheries scientific and technical expertise, to the processing of data calls and datasets, to the preparatory work aiming at deliver scientific opinions and advice,
- the cooperation activities between the Member States in the field of data collection, including the setting-up and running of regionalised databases for the storage, management and use of data which will benefit regional cooperation and improve data collection and management activities as well as the scientific expertise in support of fisheries management,
- administrative arrangements with the Joint Research Centre, or any other Union consultative body, to provide the secretariat for the Scientific, Technical and Economic Committee for Fisheries (STECF), to conduct the pre-analysis of the data and to prepare the data to evaluate the situation of the fisheries resources,
- indemnities paid to the members of the STECF, and/or the external experts invited by the STECF and request for services in accordance with Decision 2005/629/EC (or with the Decision which will succeed to and replace that Decision).

*Legal basis*

Council Regulation (EC) No 1543/2000 of 29 June 2000 establishing a Community framework for the collection and management of the data needed to conduct the common fisheries policy (OJ L 176, 15.7.2000, p. 1).

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)

11 06 62 (*cont'd*)

11 06 62 01 (*cont'd*)

Council Regulation (EC) No 199/2008 of 25 February 2008 concerning the establishment of a Community framework for the collection, management and use of data in the fisheries sector and support for scientific advice regarding the Common Fisheries Policy (OJ L 60, 5.3.2008, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Article 84(a) thereof.

*Reference acts*

Commission Decision 2005/629/EC of 26 August 2005 establishing a Scientific, Technical and Economic Committee for Fisheries (OJ L 225, 31.8.2005, p. 18).

Commission Regulation (EC) No 665/2008 of 14 July 2008 laying down detailed rules for the implementation of Council Regulation (EC) No 199/2008 concerning the establishment of a Community framework for the collection, management and use of data in the fisheries sector and support for scientific advice regarding the Common Fisheries Policy (OJ L 186, 15.7.2008, p. 3).

Commission Regulation (EC) No 1078/2008 of 3 November 2008 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States for the collection and management of the basic fisheries data (OJ L 295, 4.11.2008, p. 24).

11 06 62 02 Control and enforcement

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 510 967	32 700 000	15 510 967	35 954 220	14 956 055,47	23 848 031,37

*Remarks*

This appropriation is intended to cover the payments for actions of the 2007 to 2013 period and relating to the expenditure incurred by Member States in implementing the monitoring and control systems applicable to the common fisheries policy for:

- investments relating to control activities carried out by administrative bodies or by the private sector including implementation of new control technologies such as electronic recording systems (ERS), vessel monitoring systems (VMS), automatic identification systems (AIS) connected with vessels detection systems (VDS) and the purchase and modernisation of control means,

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 62 (*cont'd*)11 06 62 02 (*cont'd*)

- training and exchange programmes for civil servants responsible for monitoring control and surveillance tasks in the fisheries area,
- implementation of pilot inspection and observer schemes,
- cost/benefit analysis, assessment of expenditure and audits incurred by competent authorities in carrying out monitoring, control and surveillance,
- initiatives, including seminars and media tools, aimed at enhancing awareness both among fishermen and other players such as inspectors, public prosecutors and judges, and among the general public of the need to fight irresponsible and illegal fishing and on the implementation of the rules of the common fisheries policy,
- implementation of systems and procedures to enable traceability and instruments to control fleet capacity through the monitoring of engine power,
- pilot projects such as CCTV (closed circuit television).

This appropriation is also intended to cover the control actions under direct management included in the EMFF:

- joint purchase and/or chartering by several Member States belonging to the same geographical area, of patrol vessels, aircrafts and helicopters, provided they are used at least 60 % of the time for fisheries control,
- assessment and development of new control technologies, as well as processes for the exchange of data,
- operational expenditure related to control and evaluation by the Commission of the implementation of the common fisheries policy, in particular verification, inspection and audit missions, equipment and training for Commission officials, the organisation of or participation to meetings, including the exchange between Member States of information and best practices, studies, IT services and suppliers, and the charter or purchase by the Commission of inspection means as specified in Title X of Regulation (EC) No 1224/2009,
- support to the implementation of transnational projects aimed at developing and testing the inter-State control, inspection and enforcement systems provided for under Article 36 of Regulation (EU) No 1380/2013 and laid down in Regulation (EC) No 1224/2009,
- international training programmes for personnel responsible for monitoring, control and surveillance of fisheries activities,
- initiatives, including seminars and media tools, for standardising the interpretation of regulations and associated controls in the Union.

*Legal basis*

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).



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**CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)**

**11 06 62** (*cont'd*)

11 06 62 02 (*cont'd*)

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999 (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Article 86 thereof.

*Reference acts*

Commission Regulation (EC) No 391/2007 of 11 April 2007 laying down detailed rules for the implementation of Council Regulation (EC) No 861/2006 as regards the expenditure incurred by Member States in implementing the monitoring and control systems applicable to the Common Fisheries Policy (OJ L 97, 12.4.2007, p. 30).

Commission implementing Regulation (EU) No 404/2011 of 8 April 2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy (OJ L 112, 30.4.2011, p. 1).

11 06 62 03 Voluntary contributions to international organisations

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 978 580	6 900 000	7 978 580	6 305 411	6 053 997,56	2 141 314,32

*Remarks*

This appropriation is intended to cover the Union's voluntary contributions to international organisations active in the field of fisheries and the law of the sea. It may in particular finance:

- preparatory work on new sustainable fisheries agreements,
- contributions and registration fees for meetings of international fisheries organisations in which the Union has observer status (Article 217 of the Treaty on the Functioning of the European Union), i.e. the International Whaling Commission (IWC), and the Organisation for Economic Cooperation and Development (OECD),

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 62 (*cont'd*)11 06 62 03 (*cont'd*)

- support for the follow-up and implementation of some regional projects, in particular by contributing to specific joint international control and inspection activities. This appropriation should also cover surveillance programmes to be negotiated in West Africa and the Western Pacific,
- financial contributions to preparations for new international fisheries organisations which are of interest to the Union,
- financial contributions to scientific work carried out by international fisheries organisations which is of special interest to the Union,
- financial contributions to any activity (including working, informal or extraordinary meetings of contracting parties) which upholds the interests of the Union in international organisations and strengthens cooperation with its partners in these organisations. In this connection, when the presence of representatives of third countries in negotiations and meetings within international forums and organisations becomes necessary for the Union interests, the EMFF shall bear the costs of their participation,
- grants to regional bodies in which coastal states are involved in the subregion concerned.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2)(d) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Article 88 thereof.

## 11 06 62 04 Governance and communication

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 078 000	4 509 000	6 011 771	6 408 121	3 592 429,97	3 049 045,26

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This appropriation is intended to finance the following activities under the action plan for closer dialogue with the fishing industry and those affected by the common fisheries policy and the integrated maritime policy:

- grants to Advisory Councils (following the adoption of Regulation (EU) No 1380/2013, the existing Regional Advisory Councils (RACs) were transformed into Advisory Councils (ACs) and new ones are being created) to cover operational costs as well as interpretation and translation costs of AC meetings,
- the implementation of measures to provide documentation about and explain the common fisheries policy aimed at the fishing industry and those affected by the common fisheries policy, as well as the integrated maritime policy.

The Commission will continue supporting the functioning of the ACs with a financial contribution. It will participate in meetings when appropriate and analyse the recommendations issued by the ACs which may provide an input when drafting legislation. Through the consultation of stakeholders in the ACs, the involvement of people working in fisheries and other interest groups in the common fisheries policy process will be strengthened, so that greater account of specific regional characteristics is taken.

Part of the appropriation is earmarked also for information and communication activities linked to the common fisheries policy and the integrated maritime policy as well as for communication activities addressed to the interested parties. Efforts will continue to be made to inform the stakeholders and the specialised media in the new Member States as well as in the candidate countries about the common fisheries policy and the integrated maritime policy.

Any revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2)(d) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1), and in particular Articles 89 and 91 thereof.

Commission Delegated Regulation (EU) 2015/242 of 9 October 2014 laying down detailed rules on the functioning of the Advisory Councils under the Common Fisheries Policy (OJ L 41, 17.2.2015, p. 1).

## COMMISSION

## TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 62 (*cont'd*)

## 11 06 62 05 Market intelligence

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 900 000	4 100 000	4 545 966	4 741 131	4 047 880,—	0,—

*Remarks*

This appropriation is intended to cover the costs for the development and dissemination of market intelligence for fishery and aquaculture products. Specific actions include, inter alia:

- the full operation of the Market Observatory,
- gathering, analysing and disseminating economic knowledge and understanding of the Union market for fishery and aquaculture products along the supply chain, taking into account the international context,
- regular price surveys along the Union supply chain for fishery and aquaculture products and the conduct of analyses on market trends,
- provision of ad-hoc market studies and a methodology for price formation surveys,
- facilitating access to available data on fishery and aquaculture products collected pursuant to the Union legislation,
- making market information available at adequate level to stakeholders.

*Legal basis*

Regulation (EU) No 1379/2013 of the European Parliament and of the Council of 11 December 2013 p, the common organisation of the markets in fishery and aquaculture products, amending Council Regulations (EC) No 1184/2006 and (EC) No 1224/2009 and repealing Council Regulation (EC) No 104/2000 (OJ L 354, 28.12.2013, p. 1).

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulation (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

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TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)

**11 06 63** *European Maritime and Fisheries Fund (EMFF) — Technical assistance*

11 06 63 01 European Maritime and Fisheries Fund (EMFF) — Operational technical assistance

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 080 000	4 300 000	4 300 000	2 697 540	2 503 607,44	86 410,80

*Remarks*

This appropriation is intended to cover EMFF technical assistance measures as provided for in Article 92 of Regulation (EU) No 508/2014.

Technical assistance covers preparatory, monitoring, audit, evaluation, supervision and management measures required to implement the EMFF.

It may, in particular, be used to cover:

- studies, evaluations and expert reports,
- actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries,
- the installation, operation and interconnection of computerised systems for management, monitoring, audit, control and evaluation,
- actions to improve evaluation methods and the exchange of information on evaluation practices,
- actions related to audit,
- the establishment of trans-national and Union networks of actors in the sustainable development of coastal fishing zones.

*Legal basis*

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

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## TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 63 (*cont'd*)11 06 63 01 (*cont'd*)

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

11 06 63 02 European Maritime and Fisheries Fund (EMFF) — Operational Technical assistance managed by the Commission at the request of a Member State

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with Article 25 of Regulation (EU) No 1303/2013, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.

*Legal basis*

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)**11 06 64** *European Fisheries Control Agency**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 070 000	9 070 000	8 957 000	8 957 000	9 217 150,—	9 217 150,—

*Remarks*

This appropriation is intended to cover the Agency's staff, administrative and operational expenditure.

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 9 217 000. An amount of EUR 147 000, coming from the recovery of surplus, is added to the amount of EUR 9 070 000 entered in the budget.

*Legal basis*

Council Regulation (EC) No 768/2005 of 26 April 2005 establishing a Community Fisheries Control Agency and amending Regulation (EEC) No 2847/93 establishing a control system applicable to the common fisheries policy (OJ L 128, 21.5.2005, p. 1).

Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing (OJ L 286, 29.10.2008, p. 1).

Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ L 343, 22.12.2009, p. 1).

*Reference acts*

Commission Decision 2009/988/EU of 18 December 2009 designating the Community Fisheries Control Agency as the body to carry out certain tasks under Council Regulation (EC) No 1005/2008 (OJ L 338, 19.12.2009, p. 104).

## COMMISSION

## TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)**11 06 77 Pilot projects and preparatory actions**

11 06 77 01 Preparatory action — Monitoring centre for fisheries market prices

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	5 720,67	245 730,77

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 02 Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	359 953	p.m.	359 953	0,—	479 937,41

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)

11 06 77 (*cont'd*)

11 06 77 03 Preparatory action — Maritime policy

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	15 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 05 Pilot project — Establishment of a single instrument for commercial designations for fishery and aquaculture products

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 77 (*cont'd*)

## 11 06 77 06 Preparatory action — Guardians of the Sea

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	480 000	p.m.	960 000	600 000,—	187 106,20

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 07 Pilot project — Bringing a network of marine protected areas established or to be established under national and international environmental or fisheries legislation into service, with a view to enhancing the production potential of Union's Mediterranean fisheries on the basis of maximum sustainable yields and an ecosystem approach to fisheries management

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	p.m.	p.m.	2 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)

11 06 77 (*cont'd*)

11 06 77 08 Pilot project — Support measures for small-scale fishing

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	600 000	500 000	500 000	1 500 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 09 Pilot project — Development of innovative, low-impact offshore fishing practices for small-scale vessels in outermost regions, including exchange of good practices and fishing trials

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	750 000	1 000 000	500 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Fishing communities in the outermost regions (ORs) are facing unique and atypical challenges. In response to those challenges, new fishing techniques have developed separately in different ORs. This pilot project will, by involving local fishing communities and stakeholders, explore the feasibility of transferring new fishing techniques from OR to OR.

The main objective is to encourage and share best practices, which may involve new low-environmental-impact fishing techniques, and promote offshore experimental fishing.

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## TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 77 (*cont'd*)11 06 77 09 (*cont'd*)

By doing this it may be possible to identify viable alternatives which allow the small-scale fishing sector to move its inshore fishing effort offshore, thus reducing fishing pressure on coastal resources. This may allow fishermen in ORs to maintain traditional fishing activities while moving to more environment-friendly and economically viable techniques.

This will also improve the supply to local markets of good-quality fish produced in situ, resulting in associated benefits for the tourism sector, and reduce the need for imports.

*Specifications*

The fishing practices to be developed in one or more ORs must be new to the region concerned. They could, however, be mature in another region but never have been introduced in the ORs in question. Exchange of good fishing practice among operators is key to success.

Fishing practices must have a low environmental impact, in that the techniques themselves must be environment-friendly and the fishing operations must be small in scale.

The project will involve an initial feasibility study, exchanges of views among experts from different regions and fishing trials involving scientific experts. The expected deliverable is a report detailing the technical aspects of the innovative fishing practices concerned, together with the yields obtained in the experimental trials. This will facilitate the transition towards viable alternative fishing practices for the ORs' small-scale fleets.

The report will also assess the potential investment needed (for example, in new gears) and assess the economic viability for fishermen to use the innovative fishing practices. As appropriate, synergies with the European Maritime and Fisheries Fund may be included in this assessment.

*Explanation*

All this points to the need to find fish further offshore or in new resources. In some ORs, such as the Azores and Madeira, offshore fishing is well developed and targeted at deep-water resources and, to some extent, large migratory fish, mainly using hook-and-line techniques with a very low environmental impact. In other ORs, particularly French ORs, techniques have been developed to fish for large migratory fish with anchored fish aggregating devices (FADs). Although some trials have been carried out, fishing for deep-water resources in French ORs has yet to be properly developed, and the know-how of the experts from the Azores and Madeira would be beneficial there. Similarly, while fishing over anchored FADs is well developed in French ORs, it is not in the Portuguese and Spanish ORs. Exchanges of fishing practices and experimental trials could be the starting point for adapting these techniques and using them more widely.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)

11 06 77 (*cont'd*)

11 06 77 10 Pilot project — Assessment of voluntary claims relating to fisheries and aquaculture products in Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
250 000	125 000				

*Remarks*

A list will be drawn up and will form the basis for an analysis of the systems used for the certification of voluntary claims relating to fisheries and aquaculture products. On the basis of the findings of that analysis, it will then be determined whether an external body needs to be set up to monitor the certification of such claims.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

11 06 77 11 Pilot project — Modernising fisheries controls and optimising vessel monitoring through the use of innovative European systems

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

With a view to improving fisheries monitoring and control, this pilot project will involve operational trials of an innovative solution developed under the Seventh Framework Programme which combines a number of data sources (AIS, radar systems, weather forecasting systems, rules, databases, etc.) in a smart monitoring system allowing real-time tracking of vessels suspected of engaging in illegal activities. This system, a demonstration of which could be carried out in partnership with the European Fisheries Control Agency, using VMS data, would be more efficient and less error-prone and would therefore be a great help in efforts to combat illegal, unregulated and unreported fishing (IUU fishing) and, in particular, in the management of joint deployment plans (JDPs).

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 11 — MARITIME AFFAIRS AND FISHERIES

CHAPTER 11 06 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF) (*cont'd*)11 06 77 (*cont'd*)

## 11 06 77 12 Pilot project — Creation of a European coastguard function

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
750 000	375 000				

*Remarks*

This pilot project will enhance coordination between national coastguards or entities carrying out coastguard functions, the Commission and EU agencies such as Frontex, the European Maritime Safety Agency and the European Fisheries Control Agency in order to create synergies between their respective activities in a maritime environment.

Better coordination and cooperation should improve information flow between actors in order to facilitate a more rapid response at sea in all their fields of activity.

The pilot project will test operational actions in practice, involving agencies and various national authorities, in order to promote the sharing of capacities, findings and expertise (pooling of capacity, sharing of assets and joint planning).

The pilot project will build on the existing legislative framework, particularly the EU Maritime Security Strategy, the European Agenda on Security and the European Agenda on Migration, as well as maritime transport legislation relating to environmental protection, security and safety.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 12*

**FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION**

COMMISSION

TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

**TITLE 12****FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
12 01	ADMINISTRATIVE EXPENDITURE OF THE 'FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION' POLICY AREA	39 171 904	39 171 904	41 788 614	41 788 614	41 585 960,12	41 585 960,12
12 02	FINANCIAL SERVICES AND CAPITAL MARKETS	45 814 400	46 490 400	43 507 766	41 700 890	47 460 818,19	46 044 823,44
	<b>Title 12 — Total</b>	<b>84 986 304</b>	<b>85 662 304</b>	<b>85 296 380</b>	<b>83 489 504</b>	<b>89 046 778,31</b>	<b>87 630 783,56</b>



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## TITLE 12

## FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

## CHAPTER 12 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
12 01	ADMINISTRATIVE EXPENDITURE OF THE 'FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION' POLICY AREA					
12 01 01	<i>Expenditure related to officials and temporary staff in the 'Financial stability, financial services and capital markets union' policy area</i>	5.2	31 444 725	30 541 594	30 648 582,17	97,47
12 01 02	<i>External personnel and other management expenditure in support of the 'Financial stability, financial services and capital markets union' policy area</i>					
12 01 02 01	External personnel	5.2	3 422 768	6 127 302	5 401 485,26	157,81
12 01 02 11	Other management expenditure	5.2	2 297 574	3 180 753	3 323 654,29	144,66
	<i>Article 12 01 02 — Subtotal</i>		5 720 342	9 308 055	8 725 139,55	152,53
12 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Financial stability, financial services and capital markets union' policy area</i>	5.2	2 006 837	1 938 965	2 212 238,40	110,24
	<b>Chapter 12 01 — Total</b>		<b>39 171 904</b>	<b>41 788 614</b>	<b>41 585 960,12</b>	<b>106,16</b>

**12 01 01** *Expenditure related to officials and temporary staff in the 'Financial stability, financial services and capital markets union' policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
31 444 725	30 541 594	30 648 582,17

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TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

## CHAPTER 12 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION' POLICY AREA (cont'd)

**12 01 02      External personnel and other management expenditure in support of the 'Financial stability, financial services and capital markets union' policy area**

## 12 01 02 01      External personnel

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 422 768	6 127 302	5 401 485,26

## 12 01 02 11      Other management expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 297 574	3 180 753	3 323 654,29

**12 01 03      Expenditure related to information and communication technology equipment and services of the 'Financial stability, financial services and capital markets union' policy area***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 006 837	1 938 965	2 212 238,40

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## TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

## CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
12 02	FINANCIAL SERVICES AND CAPITAL MARKETS								
12 02 01	<i>Implementation and development of the single market for financial services</i>								
		1.1	3 306 000	3 500 000	3 326 615	3 201 565	3 817 548,19	2 868 659,68	81,96
12 02 03	<i>Standards in the fields of financial reporting and auditing</i>								
		1.1	8 118 000	8 600 000	7 959 000	6 589 174	6 800 000,—	4 896 000,—	56,93
12 02 04	<i>European Banking Authority (EBA)</i>								
		1.1	14 565 400	14 565 400	11 163 000	11 163 000	14 099 982,—	14 099 982,—	96,80
12 02 05	<i>European Insurance and Occupational Pensions Authority (EIOPA)</i>								
		1.1	8 122 000	8 122 000	7 889 151	7 889 151	8 865 730,—	8 865 730,36	109,16
12 02 06	<i>European Securities and Markets Authority (ESMA)</i>								
		1.1	10 203 000	10 203 000	8 120 000	8 120 000	12 127 558,—	12 127 558,—	118,86
12 02 07	<i>Single Resolution Board (SRB)</i>								
		1.1	p.m.	p.m.	3 300 000	3 100 000			
12 02 51	<i>Completion of previous activities in the field of financial services, financial reporting and auditing</i>								
		1.1	p.m.	p.m.	p.m.	p.m.	0,—	1 825 196,25	
12 02 77	<i>Pilot projects and preparatory actions</i>								
12 02 77 02	Pilot project — Capacity building of end-users and other non-industry stakeholders for Union policymaking in the area of financial services								
		1.1	p.m.	p.m.	p.m.	p.m.	0,—	486 697,15	
12 02 77 05	Preparatory action — Capacity building for end-users and other non-industry stakeholders in connection with Union policymaking in the area of financial services								
		1.1	1 500 000	1 500 000	1 750 000	1 638 000	1 750 000,—	875 000,—	58,33
	Article 12 02 77 — Subtotal		1 500 000	1 500 000	1 750 000	1 638 000	1 750 000,—	1 361 697,15	90,78
	<b>Chapter 12 02 — Total</b>		<b>45 814 400</b>	<b>46 490 400</b>	<b>43 507 766</b>	<b>41 700 890</b>	<b>47 460 818,19</b>	<b>46 044 823,44</b>	<b>99,04</b>

12 02 01 *Implementation and development of the single market for financial services*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 306 000	3 500 000	3 326 615	3 201 565	3 817 548,19	2 868 659,68

## COMMISSION

## TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)12 02 01 (*cont'd*)*Remarks*

*Former Articles 01 02 01 and 12 02 01 (in part)*

This appropriation is intended to cover expenditure arising in connection with measures contributing to the completion of the internal market and its operation and development, and measures contributing, in particular, to:

- greater proximity to citizens and businesses, including the development and strengthening of dialogue with citizens and businesses: via measures intended to make the operation of the internal market more effective, and to ensure that citizens and businesses are able to gain access to the most extensive rights and opportunities, resulting from the opening up and deepening of the internal market without borders and are able to exercise those rights and opportunities in full; and via monitoring and evaluation measures relating to the practical exercise by citizens and businesses of their rights and opportunities with a view to identifying and facilitating the removal of any obstacles which may be preventing them from exercising those rights in full,
- implementing and monitoring the provisions governing public contracts with a view to ensuring their optimum operation and that tenders are genuinely open, including the awareness-raising and training of the various parties to these contracts; the introduction and use of new technologies in the various fields of operation of these contracts; the continuous adaptation of the legislative and regulatory framework in the light of developments arising from these contracts, particularly the globalisation of markets and existing or future international agreements,
- improvement, through the European business test panel (EBTP), of the legal environment for citizens and businesses, for which promotion activities, awareness-raising and training actions could be envisaged; fostering cooperation, development and coordination of legislation in the field of company law and assistance with the creation of European limited companies and European economic interest groupings,
- strengthening administrative cooperation through, inter alia, the Internal Market Information System (IMI), the deepening of knowledge of internal market legislation in the Member States and its sound application by them, and support for administrative cooperation between the authorities responsible for implementing legislation relating to the internal market with a view to achieving the Lisbon strategic goals as set out in the annual policy strategy,
- the setting-up of a system that can effectively and efficiently deal with problems faced by citizens or businesses, arising from the misapplication of internal market legislation by a public administration in another Member State; production of feedback information through the Solvit system by means of an online database system which is accessible to all coordination centres and which will also be made accessible to citizens and businesses; support for the initiative through training measures, promotion campaigns and targeted actions with a particular focus on the new Member States,
- interactive policymaking, in so far as it concerns the completion, development and operation of the internal market, makes up part of the Commission's governance and of the regulatory policy initiatives to better respond to the demands of citizens, consumers and businesses. The appropriations entered under this article are also intended to cover training, awareness-raising and network actions to the benefit of such participants with a view to making Union policymaking on the internal market more comprehensive and effective, and as part of the process of assessing the actual impact of internal market policies (or the lack of them) on the ground,
- a comprehensive review of regulations with a view to making necessary changes and producing an overall analysis of the effectiveness of the measures taken with a view to the sound operation of the internal market and the evaluation of the overall impact of the internal market on businesses and the economy, including the purchase of data and access by Commission departments to external databases, as well as targeted actions aimed at improving understanding of the functioning of the internal market and rewarding active participation in fostering the functioning of the internal market,

**CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS** (*cont'd*)**12 02 01** (*cont'd*)

- guaranteeing the completion and management of the internal market, especially in the fields of pensions, free movement of services, recognition of professional qualifications, and intellectual and industrial property: the development of proposals for putting in place a Union patent,
- broadening the strategy regarding the development of statistics on service sectors and statistical development projects in cooperation with Eurostat and the Organisation for Economic Cooperation and Development (OECD),
- monitoring the effects of removing obstacles to the internal market for services,
- the development of a unified area for security and defence, with action working towards the coordination of public procurement procedures for these products at Union level; appropriations may cover devising studies and awareness-raising measures regarding the application of the legislation adopted,
- strengthening and developing financial and capital markets and financial services provided to businesses and private individuals; adapting the market framework especially as regards the monitoring and regulation of the activities of operators and of transactions, so as to take account of changes at Union and global levels, of the reality of the euro and of new financial instruments, by putting forward new initiatives intended to consolidate, and provide a detailed analysis of, the results of the first Financial Services Action Plan,
- improvement of payment systems and retail financial services in the internal market; reduction in the cost of, and time needed for, such transactions, taking into account the internal market dimension; development of the technical aspects so as to establish one or more payment systems on the basis of the follow-up in respect of Commission communications; carrying out studies in this area,
- developing and strengthening the external aspects of the directives applicable in respect of financial institutions, the mutual recognition of financial instruments vis-à-vis third countries, international negotiations and assistance for third countries in establishing a market economy,
- implementing the many measures put forward in the Action Plan on company law and corporate governance which could lead to studies on a number of targeted subjects, with a view to drawing up the necessary legislative proposals,
- analysis of the effect of measures in place as part of the follow-up to the progressive liberalisation of postal services, coordination of Union policies on postal services with regard to international systems and in particular with regard to participants in Universal Postal Union (UPU) activities; cooperation with central and eastern European countries; practical implications of the application of the General Agreement on Trade (GATS) provisions to the postal sector and overlap with UPU regulations,
- implementing the law of the Union and international provisions in the field of money laundering, including participation in intergovernmental or ad hoc measures in this field; contributions relating to Commission participation as a member of the Financial Action Task Force (FATF) on money laundering created under the OECD,
- active participation in meetings held by international associations such as the International Association of Insurance Supervisors (IAIS/AICA) and the International Organisation of Securities Commission (IOSCO); this also comprises expenses related to the Commission's participation as a member of the group,
- development of evaluations and impact studies on the various aspects of the policies covered by this chapter for the purpose of devising new measures or revising existing measures relating to them,

## COMMISSION

## TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)12 02 01 (*cont'd*)

- creation and maintenance of systems directly linked to putting into place and monitoring policies launched within the framework of the internal market for services,
- support of activities which seek to contribute to the achievement of the Union's policy objectives by enhancing supervisory convergence and cooperation, and in the field of financial reporting, both inside and outside the Union.

In order to achieve these objectives, this appropriation covers the costs of consultation, studies, surveys, evaluations, participation, production and the development of publicity, awareness-raising and training materials (printed matter, audiovisual material, assessments, computer tools, the collection and dissemination of information, information and advice measures for citizens and businesses).

Part of this appropriation is intended to cover expenditure incurred by the Commission for ensuring the effective functioning of the European Observatory on Infringements of Intellectual Property Rights.

This appropriation is also intended to cover setting up a central coordination body assisting the Member States with market surveillance cooperation, building on existing structures and experience. This coordination body would support the cooperation, pooling of know-how and sharing of best practices between Member States to ensure the same high level of market surveillance throughout the Union, in accordance with Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products (OJ L 218, 13.8.2008, p. 30). To this end, regular joint training for representatives of national market surveillance authorities from all Member States will be organised, focusing on relevant practical aspects of market surveillance such as follow-up of complaints, monitoring accidents, verifying that corrective action has been taken, follow-up of scientific and technical knowledge concerning safety issues and coordination with customs authorities. Furthermore, exchanges of national officials and joint visit programmes will foster the exchange of experience between Member States. In addition, comparative data on the resources dedicated to market surveillance in the different Member States will be collected and discussed at the appropriate level with national authorities. The aim is to create awareness about the need to have adequate resources to guarantee efficient, comprehensive and consistent market surveillance throughout the internal market, and contribute to the upcoming revision of the Union product safety rules, particularly those concerning market surveillance, and the preparation of the follow-up to the Customs 2013 programme.

This appropriation is intended to cover expenditure on studies, surveys, meetings of experts, information activities and publications directly linked to the achievement of the objective of the programme or measures coming under this article, and any other expenditure on technical and administrative assistance.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS** (*cont'd*)**12 02 01** (*cont'd*)*Reference acts*

Commission communication of 18 June 2002 entitled 'A Methodological Note for the Horizontal Evaluation of Services of General Economic Interest' (COM(2002) 331 final).

**12 02 03** ***Standards in the fields of financial reporting and auditing****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 118 000	8 600 000	7 959 000	6 589 174	6 800 000,—	4 896 000,—

*Remarks**Former Article 12 03 01*

This appropriation is intended to cover expenditure arising in connection with the programme to support specific activities in the field of financial services, financial reporting and auditing.

The general objective of this programme is to improve the conditions for the functioning of the internal market by supporting the operations, activities or actions of certain bodies in the fields of financial services, financial reporting and auditing.

Union funding is vital in order to ensure the efficient and effective supervision of the internal market in financial services, particularly in view of the recent financial crisis.

Activities such as developing or providing input to the development of standards, applying, assessing or monitoring standards or overseeing standard-setting processes in support of the implementation of Union policies in the field of financial reporting and auditing are covered by the programme.

It is the continuation of the Community programme to support specific activities in the field of financial services, financial reporting and auditing as established by Decision No 716/2009/EC of the European Parliament and of the Council of 16 September 2009 establishing a Community programme to support specific activities in the field of financial services, financial reporting and auditing (OJ L 253, 25.9.2009 p. 8).

*Legal basis*

Regulation (EU) No 258/2014 of the European Parliament and of the Council of 3 April 2014 establishing a Union programme to support specific activities in the field of financial reporting and auditing for the period of 2014-20 and repealing Decision No 716/2009/EC (OJ L 105, 8.4.2014, p. 1), and in particular Article 2 thereof.

## COMMISSION

## TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)**12 02 04 European Banking Authority (EBA)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 565 400	14 565 400	11 163 000	11 163 000	14 099 982,—	14 099 982,—

*Remarks**Former Article 12 03 02*

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to Regulation (EU) No 1093/2010, the European Supervisory Authority is to form part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the European Banking Authority's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The European Banking Authority must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 of 30 September 2013 on the framework financial regulation of the bodies referred to in Article 208 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council (OJ L 328, 7.12.2013, p. 42) constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Banking Authority is set out in the 'Staff' Annex to this section.

The Union contribution for 2016 amounts to a total of EUR 14 678 400. An amount of EUR 113 000, corresponding to the recovery of surplus which stems from the Union contribution in 2014, is added to the amount of EUR 14 565 400 entered in the budget.

*Legal basis*

Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC (OJ L 331, 15.12.2010, p. 12).



CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)12 02 05 **European Insurance and Occupational Pensions Authority (EIOPA)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 122 000	8 122 000	7 889 151	7 889 151	8 865 730,—	8 865 730,36

*Remarks**Former Article 12 03 03*

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to Regulation (EU) No 1094/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the European Insurance and Occupational Pensions Authority's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The European Insurance and Occupational Pensions Authority must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Insurance and Occupational Pensions Authority is set out in the 'Staff' Annex to this section.

The Union contribution for 2016 amounts to a total of EUR 8 257 000. An amount of EUR 135 000, corresponding to the recovery of surplus which stems from the Union contribution in 2014, is added to the amount of EUR 8 122 000 entered in the budget.

*Legal basis*

Regulation (EU) No 1094/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/79/EC (OJ L 331, 15.12.2010, p. 48).

## COMMISSION

## TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)12 02 06 *European Securities and Markets Authority (ESMA)**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 203 000	10 203 000	8 120 000	8 120 000	12 127 558,—	12 127 558,—

*Remarks**Former Article 12 03 04*

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 114 thereof, as well as to Regulation (EU) No 1095/2010, the European Supervisory Authority forms part of a European System of Financial Supervision (ESFS). The main objective of the ESFS is to ensure that the rules applicable to the financial sector are adequately implemented to preserve financial stability and to ensure confidence in the financial system as a whole and sufficient protection for the customers of financial services.

This appropriation is intended to cover the European Securities and Markets Authority's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The European Securities and Markets Authority must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European Securities and Markets Authority is set out in the 'Staff' Annex to this section.

The Union contribution for 2016 amounts to a total of EUR 10 203 000.

*Legal basis*

Regulation (EU) No 1095/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Securities and Markets Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/77/EC (OJ L 331, 15.12.2010, p. 84).

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)**12 02 07      *Single Resolution Board (SRB)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	3 300 000	3 100 000		

*Remarks**Former Article 12 03 05*

The Single Resolution Board ('the Board') was established by Regulation (EU) No 806/2014. The Board will carry out specified tasks concerning the preparation for the resolution of banks and their actual resolution where they are failing or likely to fail.

This appropriation is intended to finance the administrative expenditures of the Board during its first months of operation in 2015, which are mainly composed of recruitment and staff expenditures, building and information technology costs and the first operational expenditures.

This financing provided by the Union budget will be reimbursed once the first annual contributions due by the banks to cover the administrative expenditures of the Board will have been collected by the Board, after which the Board is expected to become fully self-financed by its own revenues.

*Legal basis*

Regulation (EU) No 806/2014 of the European Parliament and of the Council of 15 July 2014 establishing uniform rules and a uniform procedure for the resolution of credit institutions and certain investment firms in the framework of a Single Resolution Mechanism and a Single Resolution Fund and amending Regulation (EU) No 1093/2010 (OJ L 225, 30.7.2014, p. 1).

**12 02 51      *Completion of previous activities in the field of financial services, financial reporting and auditing****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 825 196,25

*Remarks**Former Article 12 03 51*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

## COMMISSION

## TITLE 12 — FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION

CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS (*cont'd*)12 02 51 (*cont'd*)*Legal basis*

Decision No 716/2009/EC of the European Parliament and of the Council of 16 September 2009 establishing a Community programme to support specific activities in the field of financial services, financial reporting and auditing (OJ L 253, 25.9.2009, p. 8).

12 02 77 **Pilot projects and preparatory actions**

## 12 02 77 02 Pilot project — Capacity building of end-users and other non-industry stakeholders for Union policymaking in the area of financial services

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	486 697,15

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 12 02 77 05 Preparatory action — Capacity building for end-users and other non-industry stakeholders in connection with Union policymaking in the area of financial services

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 500 000	1 500 000	1 750 000	1 638 000	1 750 000,—	875 000,—

**CHAPTER 12 02 — FINANCIAL SERVICES AND CAPITAL MARKETS** (*cont'd*)**12 02 77** (*cont'd*)12 02 77 05 (*cont'd*)*Remarks*

The financial and economic crisis has significantly shaken the confidence of consumers, retail investors and SMEs in the legislation and regulations that are meant to protect them from failings in the financial system. For this reason, it is very important that the concerns of end users and other non-industry stakeholders should be taken into account in designing initiatives that aim to restore citizens' confidence in the soundness of the financial sector and in the ability of financial integration to deliver concrete benefits to them.

This preparatory action, which follows on from a successful pilot project, aims to enhance the capacity of civil society organisations to participate in Union policymaking in the area of financial services, with a view to providing policymakers with a counterweight to the lobbies of the banking and financial services sector and informing the wider public of the issues at stake in the regulation of financial markets for consumers, end-users, retail investors and other non-industry stakeholders.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 13*

**REGIONAL AND URBAN POLICY**

COMMISSION  
TITLE 13 — REGIONAL AND URBAN POLICY

**TITLE 13**  
**REGIONAL AND URBAN POLICY**

**General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
13 01	ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL AND URBAN POLICY' POLICY AREA	89 264 976	89 264 976	88 032 056	88 032 056	86 486 876,17	86 486 876,17
13 03	EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS	27 001 568 669	29 056 491 090	34 291 712 233	27 458 195 038	11 623 074 955,91	29 725 944 804,20
13 04	COHESION FUND (CF)	8 764 484 012	6 636 678 932	10 197 251 052	12 580 725 983	5 369 689 473,39	13 464 480 579,06
13 05	INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION	50 101 004	529 881 989	35 083 181	420 564 231	0,—	303 968 172,55
13 06	SOLIDARITY FUND	50 000 000	50 000 000	82 780 615	209 505 583	0,—	400 805 676,—
13 07	AID REGULATION	33 212 000	23 782 000	30 600 000	18 722 539	32 961 900,27	21 016 074,58
	<b>Title 13 — Total</b>	<b>35 988 630 661</b>	<b>36 386 098 987</b>	<b>44 725 459 137</b>	<b>40 775 745 430</b>	<b>17 112 213 205,74</b>	<b>44 002 702 182,56</b>

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TITLE 13 — REGIONAL AND URBAN POLICY

## TITLE 13

## REGIONAL AND URBAN POLICY

## CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL AND URBAN POLICY' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
13 01	ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL AND URBAN POLICY' POLICY AREA					
<b>13 01 01</b>	<b><i>Expenditure related to officials and temporary staff in the 'Regional and urban policy' policy area</i></b>	5.2	62 781 393	61 732 737	61 988 878,82	98,74
<b>13 01 02</b>	<b><i>External personnel and other management expenditure in support of the 'Regional and urban policy' policy area</i></b>					
13 01 02 01	External personnel	5.2	2 350 612	2 058 171	1 944 022,98	82,70
13 01 02 11	Other management expenditure	5.2	2 752 720	2 948 504	2 926 852,02	106,33
	Article 13 01 02 — Subtotal		5 103 332	5 006 675	4 870 875,—	95,44
<b>13 01 03</b>	<b><i>Expenditure related to information and communication technology equipment and services of the 'Regional and urban policy' policy area</i></b>	5.2	4 006 776	3 919 168	4 475 604,64	111,70
<b>13 01 04</b>	<b><i>Support expenditure for operations and programmes in the 'Regional and urban policy' policy area</i></b>					
13 01 04 01	Support expenditure for European Regional Development Fund (ERDF)	1.2	11 300 000	11 300 000	10 951 525,40	96,92
13 01 04 02	Support expenditure for the Instrument for Pre-Accession Assistance (IPA) — Regional development component	4	1 873 475	1 873 476	0,—	0
13 01 04 03	Support expenditure for the Cohesion Fund	1.2	4 200 000	4 200 000	4 199 992,31	100,00
	Article 13 01 04 — Subtotal		17 373 475	17 373 476	15 151 517,71	87,21
	<b>Chapter 13 01 — Total</b>		<b>89 264 976</b>	<b>88 032 056</b>	<b>86 486 876,17</b>	<b>96,89</b>

**13 01 01**      ***Expenditure related to officials and temporary staff in the 'Regional and urban policy' policy area***

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
62 781 393	61 732 737	61 988 878,82



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TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘REGIONAL AND URBAN POLICY’ POLICY AREA (cont'd)

**13 01 02 External personnel and other management expenditure in support of the ‘Regional and urban policy’ policy area**

13 01 02 01 External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 350 612	2 058 171	1 944 022,98

13 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 752 720	2 948 504	2 926 852,02

**13 01 03 Expenditure related to information and communication technology equipment and services of the ‘Regional and urban policy’ policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
4 006 776	3 919 168	4 475 604,64

**13 01 04 Support expenditure for operations and programmes in the ‘Regional and urban policy’ policy area**

13 01 04 01 Support expenditure for European Regional Development Fund (ERDF)

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
11 300 000	11 300 000	10 951 525,40

Remarks

This appropriation is intended to cover the ERDF-funded technical assistance provided for in Articles 58 and 118 of Regulation (EU) No 1303/2013. Technical assistance may finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing that Regulation.

It may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations, information systems),
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) up to EUR 3 060 000 including missions relating to the external personnel financed from this appropriation.

## COMMISSION

## TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL AND URBAN POLICY' POLICY AREA (*cont'd*)13 01 04 (*cont'd*)13 01 04 01 (*cont'd*)*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

## 13 01 04 02 Support expenditure for the Instrument for Pre-Accession Assistance (IPA) — Regional development component

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 873 475	1 873 476	0,—

*Remarks**Former Items 13 01 04 02 and 22 01 04 01 (in part)*

This appropriation is intended to cover technical assistance measures for the Instrument for Pre-Accession Assistance, the phasing out of pre-accession assistance and the support to the economic development of the Turkish Cypriot community, in particular:

- expenditure linked to the preparation, appraisal, approval, follow-up, control, audit and evaluation of multiannual programmes and/or individual operations and projects under THE IPA regional development component. These actions may include technical assistance contracts, studies, short-term expertise, meetings, exchange of experience, networking, information and publicity and awareness-creation events, including the development of communication strategies and corporate communication of the political priorities of the Union, training activities and publications directly linked to the achievement of the objective of the programme and any other support measures carried out at the level of the Commission central services or the delegations in the beneficiary countries,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the benefit of beneficiary countries and the Commission,
- installation, operation and interconnection of computerised systems for management, inspection and evaluation,
- improvements in evaluation methods and the exchange of information on practices in this field,
- research activities on relevant issues and the dissemination of their results,
- expenditure on external personnel at headquarters, as well as the EUPSO in Nicosia (contract staff, seconded national experts or agency staff) up to EUR 1 873 475 including missions relating to the external personnel financed from this appropriation.

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CHAPTER 13 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘REGIONAL AND URBAN POLICY’ POLICY AREA (*cont’d*)

13 01 04 (*cont’d*)

13 01 04 02 (*cont’d*)

This appropriation is also intended to support administrative learning and cooperation with non-governmental organisations and social partners.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Chapters 13 05 and 13 07.

13 01 04 03 Support expenditure for the Cohesion Fund

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 200 000	4 200 000	4 199 992,31

*Remarks*

This appropriation is intended to cover the technical assistance measures in the Cohesion Fund provided for in Articles 58 and 118 of Regulation (EU) No 1303/2013. Technical assistance may finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing that Regulation.

It may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations, information systems),
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) up to EUR 1 340 000, including missions relating to the external personnel financed from this appropriation.

*Legal basis*

Regulation (EU) No 1300/2013 of the European Parliament and of the Council of 17 December 2013 on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (OJ L 347, 20.12.2013, p. 281).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

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## TITLE 13 — REGIONAL AND URBAN POLICY

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 03	EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS								
13 03 01	Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	157 903 755,33	
13 03 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 03	Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	8 937 426,01	8 937 426,01	
13 03 04	Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	3 947 291,26	
13 03 05	Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 06	Completion of Urban (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 07	Completion of earlier programmes — Community initiatives (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 08	Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 09	Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 12	Union contribution to the International Fund for Ireland	1.1	p.m.	3 000 000	p.m.	p.m.	0,—	0,—	0

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TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 03 13	Completion of Interreg III Community initiative (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	7 224 615,26	
13 03 14	Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 16	Completion of European Regional Development Fund (ERDF) — Convergence	1.2	p.m.	11 630 610 000	p.m.	18 115 473 754	0,—	22 743 220 000,—	195,55
13 03 17	Completion of European Regional Development Fund (ERDF) — PEACE	1.2	p.m.	20 000 000	p.m.	22 253 265	0,—	32 725 406,63	163,63
13 03 18	Completion of European Regional Development Fund (ERDF) — Regional competitiveness and employment	1.2	p.m.	2 302 998 509	p.m.	2 845 465 225	0,—	4 641 077 214,04	201,52
13 03 19	Completion of European Regional Development Fund (ERDF) — European territorial cooperation	1.2	p.m.	504 208 000	p.m.	774 962 047	0,—	1 513 787 610,58	300,23
13 03 20	Completion of European Regional Development Fund (ERDF) — Operational technical assistance	1.2	p.m.	4 770 484	p.m.	5 752 675	0,—	12 690 790,80	266,03
13 03 31	Completion of technical assistance and dissemination of information on the European Union strategy for the Baltic Sea region and an improved knowledge of macro-regions strategy (2007 to 2013)	1.2	p.m.	558 015	p.m.	167 560	0,—	1 720 142,12	308,26
13 03 40	Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Convergence envelope (2007 to 2013)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 03 41	Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Regional competitiveness and employment envelope (2007 to 2013)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 60	European Regional Development Fund (ERDF) — Less developed regions — Investment for growth and jobs goal	1.2	17 862 662 517	9 467 650 000	22 791 990 704	3 742 700 000	7 343 016 939,—	354 537 485,71	3,74
13 03 61	European Regional Development Fund (ERDF) — Transition regions — Investment for growth and jobs goal	1.2	3 396 891 363	1 860 036 800	4 364 947 125	607 866 009	1 711 804 061,—	86 215 089,83	4,64
13 03 62	European Regional Development Fund (ERDF) — More developed regions — Investment for growth and jobs goal	1.2	4 426 018 219	2 750 605 336	5 692 851 846	925 413 678	2 188 846 023,—	104 804 471,31	3,81
13 03 63	European Regional Development Fund (ERDF) — Additional allocation for outermost and sparsely populated regions — Investment for growth and jobs goal	1.2	217 673 091	108 017 000	278 520 741	37 296 511	144 093 487,—	6 598 693,28	6,11
13 03 64	European Regional Development Fund (ERDF) — European territorial cooperation								
13 03 64 01	European Regional Development Fund (ERDF) — European territorial cooperation	1.2	958 188 214	284 930 000	1 030 771 642	263 856 034	101 623 065,—	19 725 009,83	6,92
13 03 64 02	Participation of candidate countries and potential candidates in ERDF ETC— Contribution from Heading 4 (IPA II)	4	5 171 292	1 500 000	6 101 230	p.m.	0,—	0,—	0
13 03 64 03	Participation of European neighbourhood countries in ERDF ETC — Contribution from Heading 4 (ENI)	4	1 414 450	264 000	p.m.	p.m.	0,—	0,—	0
	Article 13 03 64 — Subtotal		964 773 956	286 694 000	1 036 872 872	263 856 034	101 623 065,—	19 725 009,83	6,88

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>13 03 65</b>	<b>European Regional Development Fund (ERDF) — Operational technical assistance</b>								
13 03 65 01	European Regional Development Fund (ERDF) — Operational technical assistance	1.2	74 000 000	57 415 941	72 000 000	57 526 752	55 758 265,94	24 441 845,81	42,57
13 03 65 02	European Regional Development Fund (ERDF) — Operational technical assistance managed by the Commission at the request of a Member State	1.2	p.m.	p.m.	p.m.	p.m.	8 109 635,71	0,—	
	<i>Article 13 03 65 — Subtotal</i>		74 000 000	57 415 941	72 000 000	57 526 752	63 867 901,65	24 441 845,81	42,57
<b>13 03 66</b>	<b>European Regional Development Fund (ERDF) — Innovative actions in the field of sustainable urban development</b>								
		1.2	52 049 523	48 649 262	51 028 945	48 418 349	50 028 377,—	0,—	0
<b>13 03 67</b>	<b>Macro-regional strategies 2014-2020 — European strategy for the Baltic Sea region — Technical assistance</b>								
		1.2	p.m.	1 420 620	p.m.	479 390	2 500 000,—	435 493,76	30,66
<b>13 03 68</b>	<b>Macro-regional strategies 2014-2020 — European Union strategy for the Danube region — Technical assistance</b>								
		1.2	p.m.	750 000	p.m.	1 198 474	2 500 000,—	0,—	0
<b>13 03 77</b>	<b>Pilot projects and preparatory actions</b>								
13 03 77 01	Pilot project — Pan-European coordination of Roma integration methods	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 77 02	Pilot project — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	p.m.	p.m.	p.m.	0,—	2 588,95	
13 03 77 03	Preparatory action — Promoting a more favourable environment for micro-credit in Europe	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 77 04	Pilot project — Suburbs sustainable regeneration	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>13 03 77</b>	( <i>cont'd</i> )								
13 03 77 05	Preparatory action — Rurban — Partnership for sustainable urban-rural development	1.2	p.m.	p.m.	p.m.	p.m.	0,—	66 781,—	
13 03 77 06	Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale	1.2	p.m.	p.m.	p.m.	1 003 491	0,—	1 856 838,47	
13 03 77 07	Preparatory action — The definition of governance model for the European Union Danube region — better and effective coordination	1.2	p.m.	p.m.	p.m.	1 562 824	0,—	1 091 424,90	
13 03 77 08	Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region	1.2	p.m.	315 452	p.m.	1 174 000	0,—	824 148,13	261,26
13 03 77 09	Preparatory action on an Atlantic Forum for the European Union Atlantic strategy	1.2	p.m.	334 000	p.m.	600 000	0,—	167 000,—	50,00
13 03 77 10	Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status	1.2	p.m.	p.m.	p.m.	400 000	0,—	360 785,46	
13 03 77 11	Preparatory action — Erasmus for elected local and regional representatives	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 03 77 12	Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region	1.2	p.m.	1 857 671	2 000 000	2 000 000	1 799 458,65	629 721,75	33,90



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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 03 77 13	Pilot project — Cohesion policy and the synergies with the research and development funds: the 'stairway to excellence'	1.2	p.m.	2 100 000	1 500 000	1 350 000	1 200 000,—	600 000,—	28,57
13 03 77 14	Preparatory action — A regional strategy for the North Sea region	1.2	p.m.	p.m.	p.m.	125 000	158 217,60	0,—	
13 03 77 15	Preparatory action — World cities: EU-third countries cooperation on urban development	1.2	1 500 000	1 500 000	p.m.	800 000	2 000 000,—	3 173,98	0,21
13 03 77 16	Preparatory action — The actual and desired state of the economic potential in regions outside the Greek capital Athens	1.2	p.m.	p.m.	p.m.	350 000	700 000,—	350 000,—	
13 03 77 17	Preparatory action — EU-CELAC cooperation on territorial cohesion	1.2	2 000 000	1 000 000					
13 03 77 18	Preparatory action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward	1.2	1 000 000	500 000					
13 03 77 19	Preparatory action — Support for growth and governance in regions whose development is lagging behind	1.2	1 000 000	500 000					
13 03 77 20	Preparatory action — The economic competitive advantages and potential for smart specialisation at regional level in Romania	1.2	2 000 000	1 000 000					
	<i>Article 13 03 77 — Subtotal</i>		7 500 000	9 107 123	3 500 000	9 365 315	5 857 676,25	5 952 462,64	65,36
	<b>Chapter 13 03 — Total</b>		<b>27 001 568 669</b>	<b>29 056 491 090</b>	<b>34 291 712 233</b>	<b>27 458 195 038</b>	<b>11 623 074 955,91</b>	<b>29 725 944 804,20</b>	<b>102,30</b>

Remarks

Article 175 of the Treaty on the Functioning of the European Union provides that the objectives of economic, social and territorial cohesion set out in Article 174 shall be supported by the action it takes through the Structural Funds, which includes the European Regional Development Fund (ERDF). In accordance with Article 176, the ERDF is intended to help redress the main regional imbalances in the Union. The tasks, priority objectives and the organisation of the Structural Funds are defined in accordance with Article 177.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law.

Article 39 of Regulation (EC) No 1260/1999, Articles 100 and 102 of Regulation (EC) No 1083/2006 and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the ERDF.

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**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)

Any revenue from the financial corrections carried out on that basis is entered in Article 6 5 1, 6 5 2, 6 5 3 or 6 5 4 of the statement of revenue and constitutes assigned revenue in accordance with Article 21(3)(c) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of prefinancing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of prefinancing applicable to the ERDF.

Prefinancing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Measures to combat fraud are funded from Article 24 02 01.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Articles 174, 175, 176 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1) and in particular Article 39 thereof.

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Articles 82, 83, 100 and 102 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(3) and (4) and Articles 80 and 177 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

**13 03 01      *Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	157 903 755,33

*Remarks*

This appropriation is intended to cover ERDF Objective 1 commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**13 03 02      *Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing of commitments remaining to be settled from the 2000-2006 period for the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland. The special programme for peace and reconciliation was continued, in accordance with the decisions of the Berlin European Council, mentioned below, to provide EUR 500 000 000 (1999 prices) for the new period of validity of the programme (2000 to 2004). Following the request in the conclusions of the Brussels European Council of 17 and 18 June 2004, an additional EUR 105 000 000, to be allocated in 2005 and 2006, was added to align operations under the programme with the other programmes under the Structural Funds that came to an end in 2006.

*Legal basis*

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Commission Decision 1999/501/EC of 1 July 1999 fixing an indicative allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49), and in particular recital 5 thereof.

Commission Decision C(2001) 638 on the approval of Community structural assistance for the EU operational programme for Peace and Reconciliation (PEACE II programme) concerned by Objective 1 in Northern Ireland (United Kingdom) and in the border region (Ireland).

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**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 02** (*cont'd*)*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular paragraph 44(b) thereof.

Conclusions of the Brussels European Council of 17 and 18 June 2004, and in particular paragraph 49 thereof.

**13 03 03** **Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	8 937 426,01	8 937 426,01

*Remarks*

This appropriation is intended to cover the financing, by the ERDF, of commitments remaining to be settled from the programming period prior to 2000 for former Objectives 1 and 6.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**13 03 04** **Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	3 947 291,26

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

13 03 04 (*cont'd*)

*Remarks*

This appropriation is intended to cover ERDF Objective 2 commitments remaining to be settled from the 2000-2006 programming period.

*Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

13 03 05 **Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing by the three Funds (ERDF, ESF and EAGGF Guidance Section) of commitments remaining to be settled from the programming periods prior to 2000 in respect of former Objectives 2 and 5(b).

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 06      *Completion of Urban (2000 to 2006)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the Community initiative Urban II commitments remaining to be settled from the 2000-2006 programming period. This Community initiative was focused on the economic and social regeneration of cities and urban neighbourhoods in crisis with a view to promoting sustainable urban development.

*Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

*Reference acts*

Communication from the Commission to the Member States of 28 April 2000 laying down guidelines for a Community initiative concerning economic and social regeneration of cities and of neighbourhoods in crisis in order to promote sustainable urban development (URBAN II) (OJ C 141, 19.5.2000, p. 8).

**13 03 07      *Completion of earlier programmes — Community initiatives (prior to 2000)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the funding of commitments remaining to be settled from ERDF commitments relating to Community initiatives prior to 2000.

*Legal basis*

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 07** (*cont'd*)

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

*Reference acts*

Commission notice to the Member States of 13 May 1992 laying down guidelines for operational programmes which Member States are invited to establish within the framework of a Community initiative for regions heavily dependent on the textiles and clothing sector (RETEX) (OJ C 142, 4.6.1992, p. 5).

Commission notice to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (PESCA) (OJ C 180, 1.7.1994, p. 1).

Commission notice to the Member States of 15 June 1994 laying down guidelines for the operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 180, 1.7.1994, p. 6).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to propose in the framework of a Community initiative concerning the adaptation of small and medium-sized enterprises to the single market (SMEs initiative) (OJ C 180, 1.7.1994, p. 10).

Commission notice to the Member States of 15 June 1994 laying down guidelines for the RETEX initiative (OJ C 180, 1.7.1994, p. 17).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to establish in the framework of a Community initiative concerning defence conversion (Konver) (OJ C 180, 1.7.1994, p. 18).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of steel areas (Resider II) (OJ C 180, 1.7.1994, p. 22).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of coal-mining areas (Rechar II) (OJ C 180, 1.7.1994, p. 26).

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 07 (*cont'd*)

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative 'Adaptation of the workforce to industrial change' (Adapt), aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 180, 1.7.1994, p. 30).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative 'Employment and development of human resources' aimed at promoting employment growth mainly through the development of human resources (Employment) (OJ C 180, 1.7.1994, p. 36).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

Commission notice to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission notice to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (Peace I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (Urban) (OJ C 200, 10.7.1996, p. 4).

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative on employment and development of human resources aimed at promoting employment growth mainly through the development of human resources (OJ C 200, 10.7.1996, p. 13).

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative on adaptation of the workforce to industrial change (Adapt) aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 200, 10.7.1996, p. 7).

Commission notice to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (Interreg II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions of 26 November 1997 on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (Peace I) (COM(97) 642 final).



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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

**13 03 08**      ***Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the ERDF commitments for the innovative measures and technical assistance remaining to be settled from the 2000-2006 programming period as provided for in Articles 22 and 23 of Regulation (EC) No 1260/1999. The innovative measures include studies, pilot projects and exchanges of experience. They were intended in particular to bring about a qualitative improvement in Structural Fund measures. Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for implementation the ERDF. The appropriation may, in particular, be used to meet:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures taken by partners in preparation for the 2007-2013 programming period.

*Legal basis*

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**13 03 09**      ***Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 09** (*cont'd*)*Remarks*

This appropriation is intended to cover the settlement of commitments entered into, in earlier programming periods prior to 2000, by the ERDF in respect of innovative, preparatory, monitoring or evaluation measures, and any similar technical assistance measures provided for in the regulations concerned. It also funds the former multiannual measures, in particular those approved and implemented under the other Regulations mentioned below, which are not covered by the priority objectives of the Funds. This appropriation will also be used if necessary to cover financing where the corresponding commitment appropriations are not available or provided for in programming for 2000 to 2006.

*Legal basis*

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4254/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Regional Development Fund (OJ L 374, 31.12.1988, p. 15).

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

**13 03 12** *Union contribution to the International Fund for Ireland**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 000 000	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the Union contribution to the financing of the International Fund for Ireland set up by the Anglo-Irish Agreement of 15 November 1985 with a view to promoting economic and social progress and encouraging contacts, dialogue and reconciliation between the peoples of Ireland.

The activities under the International Fund for Ireland may complement and support activities promoted by the initiative programme to aid the peace process in both parts of Ireland.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

13 03 12 (*cont'd*)

*Legal basis*

Council Regulation (EC) No 177/2005 of 24 January 2005 concerning Community financial contributions to the International Fund for Ireland (2005-2006) (OJ L 30, 3.2.2005, p. 1).

Regulation (EU) No 1232/2010 of the European Parliament and of the Council of 15 December 2010 concerning European Union financial contributions to the International Fund for Ireland (2007 to 2010) (OJ L 346, 30.12.2010, p. 1).

13 03 13 **Completion of Interreg III Community initiative (2000 to 2006)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	7 224 615,26

*Remarks*

This appropriation is intended to cover commitments remaining to be settled under the Interreg III Community initiative relating to cross-border, transnational and interregional cooperation from the 2000-2006 programming period.

Special attention will be paid to cross-border activities, in particular with a view to better coordination with the Phare, Tacis, ISPA and MEDA programmes.

This appropriation is also intended to cover the costs of coordinating activities in the field of cross-border labour mobility and skills. Suitable attention will be paid to cooperation with the outermost regions.

This appropriation can be combined with Phare cross-border cooperation appropriations for common projects at the external borders of the Union.

This appropriation is intended to cover, inter alia, preparatory operations for local and regional cooperation between the old and new Member States and the applicant countries in the fields of democracy and social and regional development.

*Legal basis*

Regulation (EC) No 1783/1999 of the European Parliament and of the Council of 12 July 1999 on the European Regional Development Fund (OJ L 213, 13.8.1999, p. 1).

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**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 13** (*cont'd*)*Reference acts*

Communication from the Commission to the Member States of 2 September 2004 laying down guidelines for a Community initiative concerning trans-European cooperation intended to encourage harmonious and balanced development of the European territory — Interreg III (OJ C 226, 10.9.2004, p. 2).

**13 03 14** ***Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the commitments remaining to be settled for projects from the 2000-2006 programming period in the regions bordering the candidate countries in accordance with the rules of the Interreg III Community initiative relating to cross-border, transnational and interregional cooperation. The measures take into account the communication from the Commission on the impact of enlargement on regions bordering candidate countries 'Community action for border regions' (COM(2001) 437 final).

**13 03 16** ***Completion of European Regional Development Fund (ERDF) — Convergence****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	11 630 610 000	p.m.	18 115 473 754	0,—	22 743 220 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled for programmes under the ERDF objective of the convergence in the 2007-2013 programming period. This objective is aimed at speeding up the convergence of the least-developed Member States and regions by improving conditions for growth and employment.

Part of this appropriation is intended to be used to address intra-regional disparities so as to ensure that the overall state of development of a given region does not hide poverty loops and disadvantaged territorial units.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

13 03 16 (*cont'd*)

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

13 03 17 **Completion of European Regional Development Fund (ERDF) — PEACE**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	20 000 000	p.m.	22 253 265	0,—	32 725 406,63

*Remarks*

This appropriation is intended to cover commitments remaining to be settled for the PEACE programme under the European territorial cooperation objective of the ERDF of the 2007-2013 programming period.

The PEACE programme shall be implemented as a cross-border cooperation programme within the meaning of Article 3(2)(c) of Regulation (EC) No 1083/2006.

The PEACE programme shall promote social and economic stability in the regions concerned, including notably actions to promote cohesion between communities. The eligible area shall be the whole of Northern Ireland and the border counties of Ireland. This programme will be implemented in full compliance with the principle of additionality of Structural Fund operations.

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

*Reference acts*

Conclusions of the Brussels European Council of 15 and 16 December 2005.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 18 Completion of European Regional Development Fund (ERDF) — Regional competitiveness and employment***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 302 998 509	p.m.	2 845 465 225	0,—	4 641 077 214,04

*Remarks*

This appropriation is intended to cover commitments remaining to be settled for the programmes under the ERDF objective of the regional competitiveness and employment in the 2007-2013 programming period. This objective shall, outside the least developed regions, be aimed at strengthening regions' competitiveness and attractiveness as well as employment by taking into consideration the goals set in the Europe 2020 strategy.

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

**13 03 19 Completion of European Regional Development Fund (ERDF) — European territorial cooperation***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	504 208 000	p.m.	774 962 047	0,—	1 513 787 610,58

*Remarks*

This appropriation is intended to cover commitments remaining to be settled for the programmes under the ERDF objective of European territorial cooperation in the 2007-2013 programming period. This objective shall be aimed at strengthening territorial cooperation and macro-regional cooperation and exchange of experience at the appropriate level.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

13 03 19 (*cont'd*)

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

13 03 20 **Completion of European Regional Development Fund (ERDF) — Operational technical assistance**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	4 770 484	p.m.	5 752 675	0,—	12 690 790,80

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from the 2007-2013 programming period for the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing Regulation (EC) No 1083/2006, as provided for in Article 45 of that Regulation. In particular, it may be used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contract for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures approved by the Commission in the context of the preparation of the 2014-2020 programming period.

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 31      *Completion of technical assistance and dissemination of information on the European Union strategy for the Baltic Sea region and an improved knowledge of macro-regions strategy (2007 to 2013)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	558 015	p.m.	167 560	0,—	1 720 142,12

*Remarks*

This appropriation is intended to cover commitments from the 2007-2013 programming period remaining to be settled to ensure:

- the proper circulation of information through newsletters (including online), reports and conferences, and specifically an annual forum,
- the organisation of on-site events to familiarise all interested European regions with the Baltic Sea approach and the principles of macro-regions,
- successful governance of the strategy through the decentralised system that has been established and, in particular, the operation of the system of priority area coordinators and flagship project leaders,
- technical and administrative support for planning and coordination of activities related to the European Union strategy for the Baltic Sea Region,
- availability of seed money for planning and preparing projects supporting the strategy,
- support for civil society participation,
- the continued helping of priority area coordinators in their coordination activity,
- participation in an implementation facility with the EIB, if requested by Baltic Member States,
- the development of a more ambitious communication strategy on the European Union strategy for the Baltic Sea region.

The continuation of the support to the technical assistance action should be used to:

- keep on helping priority area coordinators in their coordination activity,
- participate in an implementation facility with EIB, if requested by Baltic Member States,
- develop a more ambitious communication strategy on the European Union strategy for the Baltic Sea region.



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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

13 03 31 (cont'd)

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund and repealing Regulation (EC) No 1783/1999 (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

13 03 40 **Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Convergence envelope (2007 to 2013)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to finance the risk-sharing instruments from the ERDF Convergence envelope for Member States which are experiencing or threatened with serious difficulties with respect to their financial stability.

Reflows and amounts left over after the completion of an operation covered by a risk-sharing instrument may be reused within the risk-sharing instrument if the Member State still meets the conditions set out in Article 77(2) of Regulation (EC) No 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left over shall be considered to be assigned revenue.

Any assigned revenue resulting from repayment of reflows or amounts left over entered in Item 6 1 4 4 of the statement of revenue shall give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Article 36a thereof.

Regulation (EU) No 423/2012 of the European Parliament and of the Council of 22 May 2012, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk-sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (OJ L 133, 23.5.2012, p. 1).

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 41** *Completion of risk-sharing instruments financed from the European Regional Development Fund (ERDF) Regional competitiveness and employment envelope (2007 to 2013)**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to finance the risk-sharing instruments from the ERDF Regional competitiveness and employment envelope for Member States which are experiencing, or threatened with, serious difficulties with respect to their financial stability.

Reflows and amounts left over after the completion of an operation covered by a risk-sharing instrument may be reused within the risk-sharing instrument if the Member State still meets the conditions set out in Article 77(2) of Regulation (EC) No 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left over shall be considered to be assigned revenue.

Any assigned revenue resulting from repayment of reflows or amounts left over entered in Item 6 1 4 4 of the statement of revenue shall give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Article 36a thereof.

Regulation (EU) No 423/2012 of the European Parliament and of the Council of 22 May 2012, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk-sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (OJ L 133, 23.5.2012, p. 1).

**13 03 60** *European Regional Development Fund (ERDF) — Less developed regions — Investment for growth and jobs goal**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 862 662 517	9 467 650 000	22 791 990 704	3 742 700 000	7 343 016 939,—	354 537 485,71

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 60 (*cont'd*)*Remarks*

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in less developed regions in the 2014-2020 programming period. The catching up process for these economically and socially lagging regions requires long-term sustained efforts. This category of regions includes regions with a GDP per capita less than 75 % of the average GDP of the Union.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

13 03 61 **European Regional Development Fund (ERDF) — Transition regions — Investment for growth and jobs goal***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 396 891 363	1 860 036 800	4 364 947 125	607 866 009	1 711 804 061,—	86 215 089,83

*Remarks*

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in the 2014-2020 period in a new category of region — 'transition regions' — that replaces the 2007-2013 phasing-out and phasing-in system. This category of regions includes regions with a GDP per capita between 75 % and 90 % of the average GDP of the Union.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 62 European Regional Development Fund (ERDF) — More developed regions — Investment for growth and jobs goal***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 426 018 219	2 750 605 336	5 692 851 846	925 413 678	2 188 846 023,—	104 804 471,31

*Remarks*

This appropriation is intended to cover ERDF support under the investment for growth and jobs goal in more developed regions in the 2014-2020 programming period. While interventions in the less developed regions will remain the priority for cohesion policy, this appropriation is thus intended to cover important challenges that concern all Member States such as global competition in the knowledge-based economy, the shift towards the low carbon economy and social polarisation exacerbated by the current economic climate. This category of regions includes regions with a GDP per capita above 90 % of the average GDP of the Union.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**13 03 63 European Regional Development Fund (ERDF) — Additional allocation for outermost and sparsely populated regions — Investment for growth and jobs goal***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
217 673 091	108 017 000	278 520 741	37 296 511	144 093 487,—	6 598 693,28

*Remarks*

This appropriation is intended to cover the specific additional ERDF support under the Investment for growth and jobs goal to outermost and sparsely populated regions in the 2014-2020 period. This additional funding is intended to take into account the specific challenges faced by the outermost regions identified by Article 349 of the Treaty on the Functioning of the European Union and the northern sparsely populated regions fulfilling the criteria laid down in Article 2 of Protocol No 6 to the Treaty of Accession of Austria, Finland and Sweden.

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 63** (*cont'd*)*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**13 03 64** ***European Regional Development Fund (ERDF) — European territorial cooperation****Remarks*

This appropriation is intended to cover ERDF support under the European territorial cooperation goal in the 2014-2020 programming period. It shall finance cross-border cooperation between adjacent regions, transnational cooperation over larger transnational territories and interregional cooperation. It shall also include support for cooperation activities at the external borders of the Union, which is to be supported under the European Neighbourhood Instrument and the Instrument for Pre-Accession Assistance.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**13 03 64 01** ***European Regional Development Fund (ERDF) — European territorial cooperation****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
958 188 214	284 930 000	1 030 771 642	263 856 034	101 623 065,—	19 725 009,83

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## TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 64 (*cont'd*)13 03 64 01 (*cont'd*)*Remarks*

This appropriation is intended to cover ERDF support under the European territorial cooperation goal in the 2014-2020 programming period. It shall finance cross-border cooperation between adjacent regions, transnational cooperation over larger transnational territories and interregional cooperation.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

13 03 64 02 Participation of candidate countries and potential candidates in ERDF ETC— Contribution from Heading 4 (IPA II)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 171 292	1 500 000	6 101 230	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the contribution of the Instrument of Pre-accession Assistance (IPA II) to ERDF transnational and interregional cooperation programmes in which the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 participate.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 64** (*cont'd*)13 03 64 02 (*cont'd*)*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

13 03 64 03 Participation of European neighbourhood countries in ERDF ETC — Contribution from Heading 4 (ENI)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 414 450	264 000	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover support from the European Neighbourhood Instrument (ENI) under the European territorial cooperation goal in the 2014-2020 programming period for the Baltic Sea cross-border cooperation programme. Support under both the ENI and the European Regional Development Fund (ERDF) should be provided for the cross-border cooperation programmes between, on the one hand, Member States and, on the other hand, partner countries and/or the Russian Federation ('other cross-border cooperation participating countries') along the external borders of the Union, in order to promote integrated and sustainable regional development and cooperation between neighbouring border areas and harmonious territorial integration across the Union and with neighbouring countries.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

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## TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 65      European Regional Development Fund (ERDF) — Operational technical assistance**

## 13 03 65 01      European Regional Development Fund (ERDF) — Operational technical assistance

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
74 000 000	57 415 941	72 000 000	57 526 752	55 758 265,94	24 441 845,81

*Remarks*

This appropriation is intended to support the preparatory, monitoring, administrative and technical assistance, evaluation, audit and control measures necessary for implementing Regulation (EU) No 1303/2013 as provided for in Articles 58 and 118 of that Regulation.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

## 13 03 65 02      European Regional Development Fund (ERDF) — Operational technical assistance managed by the Commission at the request of a Member State

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	8 109 635,71	0,—

*Remarks*

This appropriation is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with Article 25 of Regulation (EU) No 1303/2013, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.



**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 65** (*cont'd*)13 03 65 02 (*cont'd*)*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**13 03 66** ***European Regional Development Fund (ERDF) — Innovative actions in the field of sustainable urban development****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
52 049 523	48 649 262	51 028 945	48 418 349	50 028 377,—	0,—

*Remarks*

This appropriation is intended to support ERDF innovative actions at the initiative of the Commission in the area of sustainable urban development according to Article 8 of Regulation (EU) No 1301/2013. The innovative actions shall include studies and pilot projects to identify or test new solutions to issues relating to sustainable urban development which are of relevance at Union level.

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)**13 03 67      Macro-regional strategies 2014-2020 — European strategy for the Baltic Sea region — Technical assistance***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 420 620	p.m.	479 390	2 500 000,—	435 493,76

*Remarks*

This appropriation is intended to implement the European strategy for the Baltic Sea region.

The continuation of the support to technical assistance action in 2014 should be used to:

- keep on helping priority area coordinators in their coordination activity,
- participate in an implementation facility with EIB, if requested by Baltic Member-States,
- develop a more ambitious communication strategy on the European Union strategy for the Baltic Sea region (EUSBSR).

*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**13 03 68      Macro-regional strategies 2014-2020 — European Union strategy for the Danube region — Technical assistance***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	750 000	p.m.	1 198 474	2 500 000,—	0,—

*Remarks*

This appropriation is intended to implement the European strategy for the Danube region.

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 68** (*cont'd*)*Legal basis*

Regulation (EU) No 1301/2013 of the European Parliament and of the Council of 17 December 2013 on the European Regional Development Fund and on specific provisions concerning the Investment for growth and jobs goal and repealing Regulation (EC) No 1080/2006 (OJ L 347, 20.12.2013, p. 289).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**13 03 77** ***Pilot projects and preparatory actions***

## 13 03 77 01 Pilot project — Pan-European coordination of Roma integration methods

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 13 03 77 02 Pilot project — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	2 588,95

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 77 (*cont'd*)13 03 77 02 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 03 Preparatory action — Promoting a more favourable environment for micro-credit in Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 04 Pilot project — Suburbs sustainable regeneration

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

13 03 77 (*cont'd*)

13 03 77 04 (*cont'd*)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 05 Preparatory action — Rurban — Partnership for sustainable urban-rural development

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	66 781,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 06 Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 003 491	0,—	1 856 838,47

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

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## TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 77 (*cont'd*)13 03 77 06 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 07 Preparatory action — The definition of governance model for the European Union Danube region — better and effective coordination

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 562 824	0,—	1 091 424,90

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 08 Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	315 452	p.m.	1 174 000	0,—	824 148,13

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

13 03 77 (*cont'd*)

13 03 77 08 (*cont'd*)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 09 Preparatory action on an Atlantic Forum for the European Union Atlantic strategy

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	334 000	p.m.	600 000	0,—	167 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 10 Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	400 000	0,—	360 785,46

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

## COMMISSION

## TITLE 13 — REGIONAL AND URBAN POLICY

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

13 03 77 (cont'd)

13 03 77 10 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 11 Preparatory action — Erasmus for elected local and regional representatives

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 12 Preparatory action — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a pan-European expertise and excellence platform in the Danube macro-region

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 857 671	2 000 000	2 000 000	1 799 458,65	629 721,75

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.



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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

13 03 77 (*cont'd*)

13 03 77 12 (*cont'd*)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 13 Pilot project — Cohesion policy and the synergies with the research and development funds: the 'stairway to excellence'

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 100 000	1 500 000	1 350 000	1 200 000,—	600 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 14 Preparatory action — A regional strategy for the North Sea region

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	125 000	158 217,60	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The North Sea region has the potential to act as an engine for growth in Europe, add value to the European Union and contribute to the objectives of the Europe 2020 strategy.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 77 (*cont'd*)13 03 77 14 (*cont'd*)

Whereas the Union has developed a regional strategy for the Baltic Sea area and work has begun on developing strategies for the Atlantic and Arctic regions, such a process has not yet started for the North Sea region. A regional strategy for the North Sea region is the missing link between the macro regional areas in the north-east and north-west of Europe.

The aim of this preparatory action is to analyse the region's growth potential and use the findings to investigate the added value of having a future shared macro-regional strategy for the North Sea area, given the fact that a strong North Sea region has the potential to act as an engine for growth in Europe and contribute to the objectives of the Europe 2020 strategy.

*North Sea region 2020 strategy paper*

The North Sea Commission General Assembly has developed the North Sea region 2020 strategy paper in consultation with members and stakeholders in the North Sea region. The strategy paper regards the North Sea region as a territorial cooperation area, and its strategic focus is on the major challenges and common characteristics where transnational action and collaborative working is considered to give added value.

The strategy paper identifies five strategic priorities that are closely linked to the Europe 2020 objectives and contribute to the implementation of several (if not all) of the Europe 2020 flagship initiatives:

- managing maritime space,
- increasing accessibility and clean transport,
- tackling climate change,
- attractive and sustainable communities,
- promoting innovation and excellence.

The North Sea region strategy paper is a first step towards analysing the challenges and opportunities for the North Sea area and exploring the potential for regional growth in support of the Europe 2020 strategy.

*North Sea region preparatory action*

To fully explore the regional growth potential in support of the Europe 2020 strategy and lay the grounds for a strong macro-region, there is a need to carry out more in-depth analysis of the five strategic priorities identified in North Sea region 2020 strategy paper and their added value in a European community context.

*Activities*

The preparatory action will finance a number of well-coordinated initiatives over a three-year period (2014-2017) and focus on:

- in-depth analyses/studies of the five priorities identified in the North Sea region 2020 paper,

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 77** (*cont'd*)13 03 77 14 (*cont'd*)

- stakeholder conferences to address the issues of commitment, cooperation and content,
- follow-up dialogue with all stakeholders, including the Commission, Member States, and regional authorities.

The activities should be closely linked to the Union's regional policy and should also facilitate synergies with other Union policy areas and programmes.

*Output*

The final output will be an in-depth analysis of the North Sea region's growth potential, including possible intervention areas, and a white book to the Union and Member States about the added value of a shared regional strategy and cooperation for the North Sea region.

*Implementation*

The appropriations for this preparatory action could be inserted in the general budget of the Union under Title 13 'Regional and urban policy', given the regional nature of the intended activities. DG REGIO should be responsible for implementing the preparatory action in cooperation with the North Sea Commission.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 15 Preparatory action — World cities: EU-third countries cooperation on urban development

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 500 000	1 500 000	p.m.	800 000	2 000 000,—	3 173,98

*Remarks*

The aim of the preparatory action is to promote the exchange of experience and best practice between the European Union and third countries on the theme of territorial development with particular reference to urban development, urban-rural partnership and urban cross-border cooperation in the framework of the partnerships between the Commission and third countries, and to cooperate with and assist international organisations in building new regional partnerships in this field.

## COMMISSION

## TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 77 (*cont'd*)13 03 77 15 (*cont'd*)

The main focus of the preparatory action introduced in the 2014 budget is to support current policy dialogues and EU global relations and cooperation on urbanisation matters with strategic partners such as China, India, Canada or Japan.

The preparatory action helps to share the benefit of the EU's experience of how regional and urban development policies underpin the process of economic and political integration with main partners outside the EU and to pool international responses and experience, to the benefit of EU regions and cities, on the challenges faced by cities and regions worldwide. Under the preparatory action implemented since 2014, four platforms for city-to-city cooperation are being created, the third URBELAC network is being supported and technical assistance is being financed. Take-up of the funding for the preparatory action is high. The preparatory action needs to be extended into 2016 so as to further implement and deepen existing partnerships and to develop new strategic cooperation with countries and regions in other parts of the world.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 16 Preparatory action — The actual and desired state of the economic potential in regions outside the Greek capital Athens

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	350 000	700 000,—	350 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Implementation of this preparatory action should ideally set a best example for designing and implementing a genuine RIS3 strategy in a specific Greek region and should serve as a model for other convergence regions.

*Rationale*

Given that an important preparatory work has been already carried out by the Commission to incite Greece and its regions to start designing an adequate regional innovation smart specialisation strategy, the challenge still remains in development and implementation. Exchange of best practices is not sufficient to build competitive advantage by developing and matching research and innovation strengths with business needs of a particular territory. Therefore it is very important to strengthen current efforts by direct, professional and tailor-made assistance to a Greek region heavily hit by the crisis.

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 77** (*cont'd*)13 03 77 16 (*cont'd*)

Current fragmentation and lack of coordination efforts between central and regional governments does not guarantee that a proper entrepreneurial discovery process will be carried out in Greek regions. Therefore it is crucial to set up a sound and inclusive governance structure with relevant experience and human resources to plan and implement research, development and innovation (R & D & I) measures in one of Greek regions as an example. To facilitate and accelerate the development process, an exchange of expertise with a specialist on economic geography and change processes from other Union regions should assist one of the Greek regions in the entrepreneurial discovery process. This help would also lower the burden on human resources of the Greek authorities that need to build expertise. This expertise needs to be created with the help of best practice exchange on administration and regional economic development with a strong involvement of competent and experienced European specialists in the field of regional economic transformation based on knowledge.

*Scope*

The aim of this preparatory action is to capture the economic potential in a selected region, and secondly, to explore the possibilities of its innovation potential and its expansion. The region proposed is one of the poorest in Greece and in the Union, the region of Eastern Macedonia and Thrace. The region of Eastern Macedonia and Thrace is a convergence region strongly hit by the crisis and the fierce competition of low-labour-cost neighbouring countries. Despite the presence of a university and a technological institute and other research bodies in the region, the links of the R & D & I activities with the needs of the local entrepreneurs are almost non-existent. The preparatory action should show the example that growth based on innovation and export orientation of the local productive forces is feasible.

This should serve as a growth paradigm for other regions of convergence in Greece and in Europe.

The funding available from the Union should support development and implementation of the regional smart specialisation strategy, concentrating in particular on:

- creating and/or strengthening the links between regional outward-looking industry/manufacturing and Greek regional R & D & I bodies (preferably within the same region but outside the region as well),
- supporting Greek regional institutional R & D & I bodies in creating and/or strengthening links with regional outward oriented companies in providing concrete solutions to the technological needs of the exporting companies of the region,
- stopping the brain drain by fully exploiting available technological equipment in the Technological Institute of the region and the use of such equipment by local specialised personnel to provide technological solutions for the selected exporting companies and by creating a pool of highly qualified locals in business, trade and exports to assist and advise potential foreign investors,
- encouraging cooperation between the Managing Authority of the regional operational programme and geographic economists of the region and other geographic economists successfully implementing an RIS3 policy in a given region in another European Member State, e.g. Germany.

*Duration — Form of assistance*

The duration of this preparatory scheme should not exceed one year from its launch and should not exceed the initially allocated funding either.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 77 (*cont'd*)13 03 77 16 (*cont'd*)*Proposal for preparatory action in the region of Eastern Macedonia — Thrace*

Considering the actions undertaken so far in the region of Eastern Macedonia and Thrace (R-AMTH) on the 'smart specialisation strategy' the launching of pilot action is recommended to help shaping better the smart specialisation strategy of the Region, in particular, the selection of the priorities on which financing should concentrate. Hence, the following preparatory scheme is proposed:

- activation of an industrial research and technology development programme directed to the needs of activities identified in the entrepreneurial discovery process. Up to now RIS3 — Regional assessment: Eastern Macedonia — Thrace indicated some sectors where the region could have a competitive advantage like: geothermal energy, agriculture, livestock, fisheries and biotechnology, extraction and processing of marble and ICTs and automation,
- strengthening of cooperation and networking between researchers and research institutions of the R-AMTH with the productive forces of the region. Research bodies should demonstrate (e.g. under memoranda of understanding) their contribution to developing solutions for existing and potential users' needs for exploiting the results of tailor-made research and development projects (focusing on industrial research and experimental development),
- stopping brain drain by: (a) enabling a mobility research training programme and optimise use of the research equipment of TEI Kavala; and (b) creating a pool of highly qualified locals in business, trade and exports to assist and advise potential foreign investors,
- supporting the cooperation, the exchange of best practices and twinning of the Managing Authority of the regional operational programme and geographic economists for Eastern Macedonia and Thrace with a Managing Authority as well as geographic economists successfully implementing an RIS3 policy in a given region in another EU Member State.

*Monitoring and evaluation*

This one-year preparatory scheme will be monitored by the Managing Authority of the regional operational programme for Eastern Macedonia and Thrace. A limited number of specific output and result indicators should be set before the start of the preparatory scheme, measuring at least growth of turnover, growth of exports and jobs safeguarded and created.

A short evaluation study should be commissioned at the end of the preparatory scheme.

The results of the preparatory scheme should be presented in the region, in Athens in a special seminar to be organised by the European Parliament Representation in Athens and in the European Parliament in Brussels.

*Implementation of the preparatory action*

In order to maximise the synergies between the project and the work currently being done by the Joint Research Centre on smart specialisation in European regions, the Commission may implement the project through the Joint Research Centre, with the support of DG REGIO.

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CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)

13 03 77 (*cont'd*)

13 03 77 16 (*cont'd*)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 17 Preparatory action — EU-CELAC cooperation on territorial cohesion

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 000 000	1 000 000				

*Remarks*

This preparatory action will support dialogue on territorial cohesion and regional development policies, with a particular focus on cross-border cooperation, territorial development strategies and promotion of good governance by strengthening the administrative capacity of national, regional and urban authorities in the fields of economic development and innovation and cohesion.

It will support knowledge transfer and disseminate experience gained over 25 years under EU regional and urban policy in the CELAC countries.

It will focus more particularly on developing multi-level governance systems through training and technical assistance programmes in the context of geographically targeted policy design and strategic planning. It will promote cooperation between regional and local authorities and the private sector on both the EU and LAC sides.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 18 Preparatory action — Cohesion policy and synergies with the research and development funds: the stairway to excellence — the way forward

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

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TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 77 (*cont'd*)13 03 77 18 (*cont'd*)*Remarks*

This preparatory action will take the pilot project forward in two main ways:

- more detailed action on bottlenecks at national level,
- more solid support to solve the most recurrent weaknesses in taking up policy recommendations.

This preparatory action will build on the pilot project by including regions which are substantially lagging behind on their 'stairway to excellence', despite more than 25 years of Structural Fund assistance, and provide lessons for the future. A review process will be set up by the end of 2016 on the basis of smart specialisation strategies agreed by all stakeholders. The extension of the pilot project to 2016 and 2017 will allow participation at the start of the operational programmes in the 2014-2020 period and directly provide policymakers with novel evidence relating to Horizon 2020 participation and initial take-up of R & D spending under the new 2014-2020 European Structural and Investments Funds. This novel evidence will also help to identify ongoing positive/negative trends in the EU-13 countries with regard to participation in cross-border consortia. Extension to the EU-15 countries will allow analysis of 'lessons to learn' and make a long-lasting contribution to bridging the innovation gap in the relevant countries, regions and cities.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 19 Preparatory action — Support for growth and governance in regions whose development is lagging behind

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

*Remarks*

This preparatory action builds on the positive outcomes of the ongoing European Parliament preparatory action on refinement and implementation of an RIS3 strategy in the Greek region of Eastern Macedonia and Thrace (REMTh), which has served as a test bed for theory on smart specialisation. It goes further in providing appropriate and specific support for activities in selected regions whose development is lagging behind and in developing a more cross-cutting approach to key issues regarding growth and governance in those regions.



**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 77** (*cont'd*)13 03 77 19 (*cont'd*)

Two types of regions are regarded as potential targets for this preparatory action, namely low-growth regions and underdeveloped regions:

- low-growth regions are regions of Member States that have a GDP per capita in purchasing power standards (PPS) below the EU average in 2012 and that did not converge with the EU average between 2002 and 2012, i.e. regions in Greece, Italy, Spain and Portugal,
- underdeveloped regions are regions with a GDP per capita in PPS below 50 % of the EU average in 2011, i.e. a number of regions in Bulgaria, Hungary, Poland and Romania.

This preparatory action will support eight regions: four low-growth regions and four underdeveloped regions. The activities carried out will require back-to-back on-site events in each region, including a stakeholder event and a peer review, support for critical aspects for implementing the RIS3 in each region, and cross-cutting activities such as evidence-based support for policy development and further development of practical support for regions across Europe whose development is lagging behind.

The preparatory action will focus on three specific areas of interest: governance, transnational cooperation and refinement of the RIS3 model. The proposed duration is 18 months.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

13 03 77 20 Preparatory action — The economic competitive advantages and potential for smart specialisation at regional level in Romania

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 000 000	1 000 000				

*Remarks*

The preparatory action will seek to ensure a coherent, coordinated and sustainable approach, to secure, develop and enhance engagement of all relevant stakeholders (business, academia, research organisations and civil society) and to create linkage between regional research and innovation smart specialisation strategy/strategies (RIS3) and the existing national RIS3, given the difficulties of the Romanian innovation system. The action will cover those regions where no RIS3 has been devised or started, and those whose RIS3 needs to be improved. The preparatory action will also seek to identify, assess, and support exploitation of the economic potential in a selected region, and to explore its innovation potential and the scope for its expansion. It will clearly target identification of economic competitive advantages and the potential for specialisation at regional level based on an entrepreneurship discovery process, establishing a governance structure and framework for continuous collaboration between businesses and researchers, and support for preparation of the relevant policy documents. It may also look into how to enhance cooperation and coordination at all levels (including between national ministries).

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**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)**13 03 77** (*cont'd*)13 03 77 20 (*cont'd*)

It should also provide information on how best to channel cohesion policy funding towards implementation of national and regional RIS3 and thus support implementation and management of operational programmes. It will make use of existing mechanisms such as the platform in Seville. The preparatory action may lead to adjustments to the financial programming documents.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 13 04 — COHESION FUND (CF)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 04	COHESION FUND (CF)								
13 04 01	Completion of Cohesion Fund projects (prior to 2007)	1.2	p.m.	70 000 000	p.m.	431 450 637	0,—	399 220 009,65	570,31
13 04 02	Completion of Cohesion Fund (2007 to 2013)	1.2	p.m.	2 468 266 000	p.m.	10 487 806 882	0,—	12 852 961 747,72	520,73
13 04 03	Completion of risk-sharing instruments financed from the Cohesion Fund envelope (2007 to 2013)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 04 60	Cohesion Fund — Investment for growth and jobs goal	1.2	8 738 484 012	4 077 806 436	10 173 251 052	1 641 334 101	5 348 080 397,—	204 254 029,72	5,01
13 04 61	Cohesion Fund — Operational technical assistance								
13 04 61 01	Cohesion Fund — Operational technical assistance	1.2	26 000 000	20 606 496	24 000 000	20 134 363	18 384 356,93	8 044 791,97	39,04
13 04 61 02	Cohesion Fund — Operational technical assistance managed by the Commission at the request of a Member State	1.2	p.m.	p.m.	p.m.	p.m.	3 224 719,46	0,—	
	Article 13 04 61 — Subtotal		26 000 000	20 606 496	24 000 000	20 134 363	21 609 076,39	8 044 791,97	39,04
	Chapter 13 04 — Total		8 764 484 012	6 636 678 932	10 197 251 052	12 580 725 983	5 369 689 473,39	13 464 480 579,06	202,88

Remarks

Article 177, second paragraph, of the Treaty on the Functioning of the European Union provides that a Cohesion Fund is to be set up to support projects in the fields of the environment and trans-European networks in the area of transport infrastructure.

Article H of Annex II to Regulation (EC) No 1164/94, Articles 100 and 102 of Regulation (EC) No 1083/2006, and Articles 85, 144 and 145 of Regulation (EU) No 1303/2013 on criteria for financial corrections by the Commission provide for specific rules on financial corrections applicable to the Cohesion Fund.

Article 80 of the Financial Regulation provides for financial corrections in the event of expenditure incurred in breach of applicable law. Any revenue from the financial corrections carried out on that basis is entered in Article 6 5 1, 6 5 2, 6 5 3 or 6 5 4 of the statement of revenue and constitutes assigned revenue in accordance with Article 21(3)(c) of the Financial Regulation.

Article 177 of the Financial Regulation lays down the conditions for the repayment in full, or in part, of prefinancing payments in respect of a given operation.

Article 82 of Regulation (EC) No 1083/2006 provides for specific rules on repayment of prefinancing applicable to the Cohesion Fund.

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CHAPTER 13 04 — COHESION FUND (CF) (*cont'd*)

Prefinancing amounts repaid shall constitute internal assigned revenue in accordance with Article 21(4) of the Financial Regulation and shall be entered in Item 6 1 5 0 or 6 1 5 7.

Anti-fraud operations will be financed under Article 24 02 01.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Article 177 thereof.

Council Regulation (EC) No 1164/94 of 16 May 1994 (OJ L 130, 25.5.1994, p. 1), establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25) and in particular Articles 82, 100 and 102 thereof.

Council Regulation (EC) No 1084/2006 of 11 July 2006 establishing a Cohesion Fund and repealing Regulation (EC) No 1164/94 (OJ L 210, 31.7.2006, p. 79).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(3) and (4) and Articles 80 and 177 thereof.

Regulation (EU) No 1300/2013 of the European Parliament and of the Council of 17 December 2013 on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (OJ L 347, 20.12.2013, p. 281).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

*Reference acts*

Conclusions of the Brussels European Council of 15 and 16 December 2005.

Conclusions of the European Council of 7 and 8 February 2013.

**13 04 01      *Completion of Cohesion Fund projects (prior to 2007)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	70 000 000	p.m.	431 450 637	0,—	399 220 009,65

**CHAPTER 13 04 — COHESION FUND (CF)** (*cont'd*)**13 04 01** (*cont'd*)*Remarks*

This appropriation is intended to cover the commitments of the Cohesion Fund remaining to be settled before 2000 and completion of the 2000-2006 programming period.

*Legal basis*

Council Regulation (EEC) No 792/93 of 30 March 1993 establishing a cohesion financial instrument (OJ L 79, 1.4.1993, p. 74).

Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1).

*Reference acts*

Treaty establishing the European Community, and in particular Articles 158 and 161 thereof.

**13 04 02** **Completion of Cohesion Fund (2007 to 2013)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 468 266 000	p.m.	10 487 806 882	0,—	12 852 961 747,72

*Remarks*

This appropriation is intended to cover commitments to be settled for the Cohesion Fund from the 2007-2013 programming period.

This appropriation is also intended to cover commitments remaining to be settled from the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing Regulation (EC) No 1083/2006, as provided for in Article 45 of that Regulation. In particular, it may be used to cover:

- support expenditure (representation expenses, training, meetings),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contract for the provision of services and studies,
- grants.

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## CHAPTER 13 04 — COHESION FUND (CF) (cont'd)

## 13 04 02 (cont'd)

This appropriation is also intended to cover commitments remaining to be settled for measures approved by the Commission in the context of the preparation of the 2014-2020 programming period.

In accordance with Article 105a(1) of Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1084/2006 of 11 July 2006 establishing the Cohesion Fund (EC) (OJ L 210, 31.7.2006, p. 79).

*Reference acts*

Treaty establishing the European Community, and in particular Articles 158 and 161 thereof.

Treaty on the Functioning of the European Union, and in particular Articles 174 and 177 thereof.

13 04 03 **Completion of risk-sharing instruments financed from the Cohesion Fund envelope (2007 to 2013)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to finance the risk sharing instruments from the Cohesion Fund envelope for Member States which are experiencing, or threatened with, serious difficulties with respect to their financial stability.

Reflows and amounts left-over after the completion of an operation covered by a risk sharing instrument may be reused within the risk-sharing instrument if the Member State still meets the conditions set out in Article 77(2) of Regulation (EC) No 1083/2006. If the Member State no longer meets those conditions, reflows and amounts left-over shall be considered to be assigned revenue.

**CHAPTER 13 04 — COHESION FUND (CF)** (*cont'd*)**13 04 03** (*cont'd*)

Any assigned revenue resulting from repayment of reflows or amounts left-over entered in Item 6 1 4 4 of the statement of revenue shall give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation. At the request of the Member State concerned, additional commitment appropriations generated by this assigned revenue shall be added the following year to the cohesion policy financial allocation of the Member State concerned.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Article 36a thereof.

Regulation (EU) No 423/2012 of the European Parliament and of the Council of 22 May 2012, amending Council Regulation (EC) No 1083/2006 as regards certain provisions relating to risk-sharing instruments for Member States experiencing or threatened with serious difficulties with respect to their financial stability (OJ L 133, 23.5.2012, p. 1).

**13 04 60** ***Cohesion Fund — Investment for growth and jobs goal****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 738 484 012	4 077 806 436	10 173 251 052	1 641 334 101	5 348 080 397,—	204 254 029,72

*Remarks*

This appropriation is intended to cover Cohesion Fund support under the Investment for growth and jobs goal in the 2014-2020 programming period. The Cohesion Fund will continue to support Member States with gross national income (GNI) per capita of less than 90 % of the Union average. The appropriation, while ensuring an appropriate balance and according to the investment and infrastructure needs specific to each Member State, is intended to support:

- investments in the environment, including areas related to sustainable development and energy which present environmental benefits,
- trans-European networks in the area of transport infrastructure, in compliance with the guidelines adopted by Decision No 661/2010/EU.

*Legal basis*

Regulation (EU) No 1300/2013 of the European Parliament and of the Council of 17 December 2013 on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (OJ L 347, 20.12.2013, p. 281).

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## CHAPTER 13 04 — COHESION FUND (CF) (cont'd)

## 13 04 60 (cont'd)

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

## 13 04 61 Cohesion Fund — Operational technical assistance

## 13 04 61 01 Cohesion Fund — Operational technical assistance

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
26 000 000	20 606 496	24 000 000	20 134 363	18 384 356,93	8 044 791,97

## Remarks

This appropriation is intended to support the preparatory, monitoring, administrative and technical assistance, evaluation, audit and control measures necessary for implementing the Regulation (EU) No 1303/2013 as provided for in Articles 58 and 118 of that Regulation.

## Legal basis

Regulation (EU) No 1300/2013 of the European Parliament and of the Council of 17 December 2013 on the Cohesion Fund and repealing Council Regulation (EC) No 1084/2006 (OJ L 347, 20.12.2013, p. 281).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

## 13 04 61 02 Cohesion Fund — Operational technical assistance managed by the Commission at the request of a Member State

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	3 224 719,46	0,—



**CHAPTER 13 04 — COHESION FUND (CF)** (*cont'd*)**13 04 61** (*cont'd*)13 04 61 02 (*cont'd*)*Remarks*

This appropriation is intended to cover part of the national envelope for technical assistance transferred to the technical assistance at the initiative of the Commission at the request of a Member State which faces temporary budgetary difficulties. In accordance with Article 25 of Regulation (EU) No 1303/2013, it is intended to cover measures to identify, prioritise and implement structural and administrative reforms in response to economic and social challenges in this Member State.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

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## TITLE 13 — REGIONAL AND URBAN POLICY

## CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 05	INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION								
<b>13 05 01</b>	<b>Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)</b>								
13 05 01 01	Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)	4	p.m.	64 152 000	p.m.	61 733 374	0,—	35 178 481,44	54,84
13 05 01 02	Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries	4	p.m.	7 392 000	p.m.	18 466 415	0,—	10 649 123,14	144,06
	Article 13 05 01 — Subtotal		p.m.	71 544 000	p.m.	80 199 789	0,—	45 827 604,58	64,06
<b>13 05 02</b>	<b>Instrument for Pre-Accession Assistance (IPA) — Completion of regional development component (2007 to 2013)</b>	4	p.m.	355 465 255	p.m.	272 864 063	0,—	172 218 691,65	48,45
<b>13 05 03</b>	<b>Instrument for Pre-Accession Assistance (IPA) — Completion of cross-border cooperation (CBC) component (2007 to 2013)</b>								
13 05 03 01	Completion of cross-border cooperation (CBC) — Contribution from Subheading 1b	1.2	p.m.	24 321 680	p.m.	36 414 434	0,—	47 153 540,78	193,87
13 05 03 02	Completion of cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4	4	p.m.	28 450 050	p.m.	31 085 945	0,—	38 768 335,54	136,27
	Article 13 05 03 — Subtotal		p.m.	52 771 730	p.m.	67 500 379	0,—	85 921 876,32	162,82

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**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>13 05 60</b>	<b>Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</b>								
13 05 60 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 05 60 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 13 05 60 — Subtotal		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>13 05 61</b>	<b>Support to Iceland</b>								
13 05 61 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 05 61 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 13 05 61 — Subtotal		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>13 05 62</b>	<b>Support to Turkey</b>								
13 05 62 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
13 05 62 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 13 05 62 — Subtotal		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>13 05 63</b>	<b>Regional integration and territorial cooperation</b>								
13 05 63 01	Cross-border cooperation (CBC) — Contribution from Heading 1b	1.2	25 050 502	25 050 502	17 541 591	p.m.	0,—	0,—	0

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CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>13 05 63</b>	( <i>cont'd</i> )								
13 05 63 02	Cross-border cooperation (CBC) — Contribution from Heading 4	4	25 050 502	25 050 502	17 541 590	p.m.	0,—	0,—	0
	Article 13 05 63 — Subtotal		50 101 004	50 101 004	35 083 181	p.m.	0,—	0,—	0
	<b>Chapter 13 05 — Total</b>		<b>50 101 004</b>	<b>529 881 989</b>	<b>35 083 181</b>	<b>420 564 231</b>	<b>0,—</b>	<b>303 968 172,55</b>	<b>57,37</b>
( <sup>1</sup> ) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.									

**13 05 01 Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)***Remarks*

Aid provided by the Instrument for Structural Policies for Pre-accession (ISPA) was intended to help the candidate countries of Central and Eastern Europe in their accession to the Union. ISPA was used to help the beneficiary countries to comply with the *acquis* of the Union in the environment and transport fields.

**13 05 01 01 Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	64 152 000	p.m.	61 733 374	0,—	35 178 481,44

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 1266/1999 of 21 June 1999 on coordinating aid to the applicant countries in the framework of the pre-accession strategy (OJ L 161, 26.6.1999, p. 68).

Council Regulation (EC) No 1267/1999 of 21 June 1999 establishing an Instrument for Structural Policies for Pre-accession (OJ L 161, 26.6.1999, p. 73).

Council Regulation (EC) No 2257/2004 of 20 December 2004 amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 2666/2000, to take into account Croatia's candidate status (OJ L 389, 30.12.2004, p. 1).

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CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION (*cont'd*)

13 05 01 (*cont'd*)

13 05 01 02 Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	7 392 000	p.m.	18 466 415	0,—	10 649 123,14

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 1266/1999 of 21 June 1999 on coordinating aid to the applicant countries in the framework of the pre-accession strategy (OJ L 161, 26.6.1999, p. 68).

Council Regulation (EC) No 1267/1999 of 21 June 1999 establishing an Instrument for Structural Policies for Pre-accession (OJ L 161, 26.6.1999, p. 73).

13 05 02 **Instrument for Pre-Accession Assistance (IPA) — Completion of regional development component (2007 to 2013)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	355 465 255	p.m.	272 864 063	0,—	172 218 691,65

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 105a(1) of Council Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

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**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)**13 05 02** (*cont'd*)*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

**13 05 03** ***Instrument for Pre-Accession Assistance (IPA) — Completion of cross-border cooperation (CBC) component (2007 to 2013)*****13 05 03 01** Completion of cross-border cooperation (CBC) — Contribution from Subheading 1b*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	24 321 680	p.m.	36 414 434	0,—	47 153 540,78

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from the European Regional Development Fund 2007-2013 contribution for cross-border cooperation and the technical assistance provided outside the Commission which is required for the implementation in the Member States.

In accordance with Article 105a(1) of Council Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

**13 05 03 02** Completion of cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	28 450 050	p.m.	31 085 945	0,—	38 768 335,54

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CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION (*cont'd*)

13 05 03 (*cont'd*)

13 05 03 02 (*cont'd*)

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

In accordance with Article 105a(1) of Council Regulation (EC) No 1083/2006 as modified by point 7 of Annex III to the Treaty concerning the Accession of the Republic of Croatia to the European Union (OJ L 112, 24.4.2012), programmes and major projects which, on the date of accession of Croatia, have been approved under Regulation (EC) No 1085/2006 and the implementation of which has not been completed by that date, shall be considered to have been approved by the Commission under Regulation (EC) No 1083/2006, with the exception of the programmes approved under the components referred to in points (a) and (e) of Article 3(1) of Regulation (EC) No 1085/2006.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

13 05 60 **Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia**

13 05 60 01 Support for political reforms and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

<sup>(1)</sup> This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

## COMMISSION

## TITLE 13 — REGIONAL AND URBAN POLICY

**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)**13 05 60** (*cont'd*)13 05 60 01 (*cont'd*)

Part of this appropriation will be used for measures to help the beneficiary countries meet the requirements stemming from visa-free travel to and from the Schengen countries, or, in the case of Kosovo, measures to speed up the visa liberalisation process.

Part of this appropriation will be used for measures with a view to reconciliation between the countries, peoples and ethnic groups throughout the Western Balkans, and to support efforts to promote an impartial view on historical and political events.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

13 05 60 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.



**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)

**13 05 60** (*cont'd*)

 13 05 60 02 (*cont'd*)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(b) and (c) thereof.

**13 05 61** ***Support to Iceland***

 13 05 61 01 Support for political reforms and related progressive alignment with the Union *acquis*
*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

— support for political reforms,

— strengthening the ability of beneficiary countries to fulfil the obligations stemming from membership by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

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**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)**13 05 61** (*cont'd*)13 05 61 01 (*cont'd*)*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

13 05 61 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(b) and (c) thereof.

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CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION (*cont'd*)

**13 05 62**      **Support to Turkey**

13 05 62 01      Support for political reforms and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

13 05 62 02      Support for economic, social and territorial development and related progressive alignment with the Union *acquis*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

## COMMISSION

## TITLE 13 — REGIONAL AND URBAN POLICY

**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)**13 05 62** (*cont'd*)13 05 62 02 (*cont'd*)*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(b) and (c) thereof.

**13 05 63** ***Regional integration and territorial cooperation***

13 05 63 01 Cross-border cooperation (CBC) — Contribution from Heading 1b

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 050 502	25 050 502	17 541 591	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the ERDF support under the European territorial cooperation goal in the 2014-2020 programming period to cross-border cooperation under the Instrument for Pre-Accession Assistance (IPA II).

**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)

**13 05 63** (*cont'd*)

**13 05 63 01** (*cont'd*)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259), and in particular Article 4 thereof.

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

**13 05 63 02** Cross-border cooperation (CBC) — Contribution from Heading 4

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 050 502	25 050 502	17 541 590	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the specific objective of regional integration and territorial cooperation involving the beneficiaries listed in Annex I to Regulation (EU) No 231/2014, Member States and, where appropriate, third countries within the scope of Regulation (EU) No 232/2014 of the European Parliament and of the Council establishing a European Neighbourhood Instrument.

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TITLE 13 — REGIONAL AND URBAN POLICY

**CHAPTER 13 05 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE — REGIONAL DEVELOPMENT AND REGIONAL AND TERRITORIAL COOPERATION** (*cont'd*)**13 05 63** (*cont'd*)**13 05 63 02** (*cont'd*)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(d) thereof.

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

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CHAPTER 13 06 — SOLIDARITY FUND

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 06	SOLIDARITY FUND								
13 06 01	Assistance to Member States in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy	9	50 000 000	50 000 000	82 780 615	149 280 978	0,—	400 805 676,—	801,61
13 06 02	Assistance to countries negotiating for accession in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy	9	p.m.	p.m.	p.m.	60 224 605	0,—	0,—	
Chapter 13 06 — Total			50 000 000	50 000 000	82 780 615	209 505 583	0,—	400 805 676,—	801,61

**13 06 01** Assistance to Member States in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
50 000 000	50 000 000	82 780 615	149 280 978	0,—	400 805 676,—

Remarks

This article is intended to record appropriations resulting from the mobilisation of the European Union Solidarity Fund in the event of major disasters in the Member States. Assistance should be provided mainly in connection with natural disasters, but may also be provided, where warranted by the urgency of the situation, to the Member States concerned, with a deadline being laid down for use of the financial assistance awarded and provision being made for beneficiary states to substantiate the use made of the assistance they receive. Assistance received which is subsequently offset by third-party payments, under the 'polluter pays' principle, for example, or received in excess of the final valuation of damage should be recovered.

With the exception of the advance payment, the allocation of the appropriations will be decided on in an amending budget with the sole purpose of mobilising the European Union Solidarity Fund.

Legal basis

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884), and in particular Article 10 thereof.

Regulation (EU) No 661/2014 of the European Parliament and of the Council of 15 May 2014 amending Council Regulation (EC) No 2012/2002 establishing the European Union Solidarity Fund (OJ L 189, 27.6.2014, p. 143).

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TITLE 13 — REGIONAL AND URBAN POLICY

CHAPTER 13 06 — SOLIDARITY FUND (*cont'd*)**13 06 02** *Assistance to countries negotiating for accession in the event of a major natural disaster with serious repercussions on living conditions, the natural environment or the economy**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	60 224 605	0,—	0,—

*Remarks*

This article is intended to record appropriations resulting from the mobilisation of the European Union Solidarity Fund in the event of major disasters in countries involved in accession negotiations with the Union. Assistance should be provided mainly in connection with natural disasters, but may also be provided, where warranted by the urgency of the situation, to the countries concerned, with a deadline being laid down for use of the financial assistance awarded and provision being made for beneficiary states to substantiate the use made of the assistance they receive. Assistance received which is subsequently offset by third-party payments, under the 'polluter pays' principle, for example, or received in excess of the final valuation of damage should be recovered.

Allocation of the appropriations will be decided on in an amending budget with the sole purpose of mobilising the European Union Solidarity Fund.

*Legal basis*

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884), and in particular Article 10 thereof.

Regulation (EU) No 661/2014 of the European Parliament and of the Council of 15 May 2014 amending Council Regulation (EC) No 2012/2002 establishing the European Union Solidarity Fund (OJ L 189, 27.6.2014, p. 143).



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TITLE 13 — REGIONAL AND URBAN POLICY

## CHAPTER 13 07 — AID REGULATION

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
13 07	AID REGULATION								
<b>13 07 01</b>	<b>Financial support for encouraging the economic development of the Turkish Cypriot community</b>	4	33 212 000	23 782 000	30 600 000	18 722 539	32 961 900,27	21 016 074,58	88,37
	<b>Chapter 13 07 — Total</b>		<b>33 212 000</b>	<b>23 782 000</b>	<b>30 600 000</b>	<b>18 722 539</b>	<b>32 961 900,27</b>	<b>21 016 074,58</b>	<b>88,37</b>

**13 07 01      Financial support for encouraging the economic development of the Turkish Cypriot community**

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
33 212 000	23 782 000	30 600 000	18 722 539	32 961 900,27	21 016 074,58

Remarks

Former Article 22 03 01

This appropriation is intended to cover the continuation of aid under Regulation (EC) No 389/2006 to facilitate the reunification of Cyprus by encouraging the economic development of the Turkish Cypriot community with particular emphasis on economic integration covering the areas specified in that Regulation, notably actions for rural development, restructuring of infrastructure, reconciliation and confidence building measures and scholarships in Member States for Turkish Cypriot students. The TAIEX instrument will be used for the preparation of legal texts to prepare for the application of the Union *acquis* immediately following any political settlement for reunification.

The appropriations will also allow for the continuation of Union financial support to facilitate the intensification of the work of the Committee on Missing Persons in order to meet the goals of its strategic plan on the faster identification of missing persons, as well as the implementation of the decisions of the bicomunal Technical Committee on Cultural Heritage, which should include minorities' projects.

This appropriation is to be used, amongst others, to sustain the outcome of works, supplies and grants being funded under earlier allocations. In addition, grant schemes addressed to a large variety of beneficiaries within the civil society of the community (non-governmental organisations, students and teachers, schools, farmers, small villages, the private sector) may continue. These activities aim at socio-economic development and are reunification driven. Priority should be given, where possible, to reconciliation projects which create bridges between the two communities and build confidence. These measures underline the strong desire and commitment of the Union to a Cyprus settlement and reunification.

Legal basis

Council Regulation (EC) No 389/2006 of 27 February 2006 establishing an instrument of financial support for encouraging the economic development of the Turkish Cypriot community and amending Council Regulation (EC) No 2667/2000 on the European Agency for Reconstruction (OJ L 65, 7.3.2006, p. 5).

COMMISSION

*TITLE 14*

**TAXATION AND CUSTOMS UNION**

COMMISSION  
TITLE 14 — TAXATION AND CUSTOMS UNION

**TITLE 14**

**TAXATION AND CUSTOMS UNION**

**General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
14 01	ADMINISTRATIVE EXPENDITURE OF THE 'TAXATION AND CUSTOMS UNION' POLICY AREA	57 950 251	57 950 251	57 088 560	57 088 560	57 985 164,83	57 985 164,83
14 02	CUSTOMS	72 848 000	66 615 000	69 897 552	52 262 325	67 552 293,99	49 756 899,03
14 03	TAXATION	32 449 000	31 500 000	31 146 800	25 084 478	30 994 280,16	25 983 453,03
14 04	POLICY STRATEGY AND COORDINATION	3 200 000	3 200 000	3 100 000	2 697 521	2 999 999,25	2 689 985,05
	<b>Title 14 — Total</b>	<b>166 447 251</b>	<b>159 265 251</b>	<b>161 232 912</b>	<b>137 132 884</b>	<b>159 531 738,23</b>	<b>136 415 501,94</b>

COMMISSION

TITLE 14 — TAXATION AND CUSTOMS UNION

**TITLE 14****TAXATION AND CUSTOMS UNION****CHAPTER 14 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘TAXATION AND CUSTOMS UNION’ POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
14 01	ADMINISTRATIVE EXPENDITURE OF THE ‘TAXATION AND CUSTOMS UNION’ POLICY AREA					
<b>14 01 01</b>	<b><i>Expenditure related to officials and temporary staff in the ‘Taxation and customs union’ policy area</i></b>	5.2	46 896 945	45 992 775	46 273 326,05	98,67
<b>14 01 02</b>	<b><i>External personnel and other management expenditure in support of the ‘Taxation and customs union’ policy area</i></b>					
14 01 02 01	External personnel	5.2	5 188 752	5 245 171	5 222 623,04	100,65
14 01 02 11	Other management expenditure	5.2	2 671 540	2 730 714	2 950 826,09	110,45
	Article 14 01 02 — Subtotal		7 860 292	7 975 885	8 173 449,13	103,98
<b>14 01 03</b>	<b><i>Expenditure related to information and communication technology equipment and services of the ‘Taxation and customs union’ policy area</i></b>	5.2	2 993 014	2 919 900	3 340 393,20	111,61
<b>14 01 04</b>	<b><i>Support expenditure for oper- ations and programmes in the ‘Taxation and customs union’ policy area</i></b>					
14 01 04 01	Support expenditure for Customs	1.1	100 000	100 000	99 996,45	100,00
14 01 04 02	Support expenditure for Fiscalis	1.1	100 000	100 000	98 000,—	98,00
	Article 14 01 04 — Subtotal		200 000	200 000	197 996,45	99,00
	<b>Chapter 14 01 — Total</b>		<b>57 950 251</b>	<b>57 088 560</b>	<b>57 985 164,83</b>	<b>100,06</b>

**14 01 01 Expenditure related to officials and temporary staff in the ‘Taxation and customs union’ policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
46 896 945	45 992 775	46 273 326,05

CHAPTER 14 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘TAXATION AND CUSTOMS UNION’ POLICY AREA (*cont’d*)**14 01 02**      ***External personnel and other management expenditure in support of the ‘Taxation and customs union’ policy area***

## 14 01 02 01      External personnel

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
5 188 752	5 245 171	5 222 623,04

## 14 01 02 11      Other management expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 671 540	2 730 714	2 950 826,09

**14 01 03**      ***Expenditure related to information and communication technology equipment and services of the ‘Taxation and customs union’ policy area****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 993 014	2 919 900	3 340 393,20

**14 01 04**      ***Support expenditure for operations and programmes in the ‘Taxation and customs union’ policy area***

## 14 01 04 01      Support expenditure for Customs

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	100 000	99 996,45

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as exists between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 14 02.

COMMISSION

TITLE 14 — TAXATION AND CUSTOMS UNION

CHAPTER 14 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TAXATION AND CUSTOMS UNION' POLICY AREA *(cont'd)***14 01 04** *(cont'd)*

14 01 04 02 Support expenditure for Fiscalis

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	100 000	98 000,—

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as exists between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 14 03.

COMMISSION  
TITLE 14 — TAXATION AND CUSTOMS UNION

## CHAPTER 14 02 — CUSTOMS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
14 02	CUSTOMS								
<b>14 02 01</b>	<b><i>Supporting the functioning and modernisation of the customs union</i></b>	1.1	71 733 000	57 000 000	68 801 000	37 809 192	66 289 812,94	12 005 412,31	21,06
<b>14 02 02</b>	<b><i>Membership of international organisations in the field of customs</i></b>	4	1 115 000	1 115 000	1 096 552	985 479	1 071 531,67	1 071 531,67	96,10
<b>14 02 51</b>	<b><i>Completion of former programmes in customs</i></b>	1.1	p.m.	8 500 000	p.m.	13 467 654	190 949,38	36 679 955,05	431,53
<b>Chapter 14 02 — Total</b>			<b>72 848 000</b>	<b>66 615 000</b>	<b>69 897 552</b>	<b>52 262 325</b>	<b>67 552 293,99</b>	<b>49 756 899,03</b>	<b>74,69</b>

**14 02 01** ***Supporting the functioning and modernisation of the customs union***

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
71 733 000	57 000 000	68 801 000	37 809 192	66 289 812,94	12 005 412,31

*Remarks*

This appropriation is intended to cover expenditure on the implementation of the Customs 2020 programme, and in particular the financing of joint actions, IT capacity building and human competency building.

It covers in particular:

- the cost of the acquisition, development, maintenance, operation and quality control of Union components of European information systems. The Union components of the European information systems are: (1) IT assets such as the hardware, the software and the network connections of the systems including the associated data infrastructure; (2) IT services necessary to support the development, maintenance, improvement and operation of the systems; and (3) any other elements which, for reasons of efficiency, security and rationalisation, are identified by the Commission as common to participating countries,
- expenses related to seminars, workshops, project groups, working visits, monitoring activities, expert teams, administration capacity building and supporting actions, studies, and communication projects,
- the costs related to implementation of provisions for common training actions,
- expenses pertaining to preparatory, monitoring, control, audit and evaluation activities, required for the management of the programme and the achievement of its objectives,
- the costs of any other activity in support of the objectives and activity areas of the programme.

## COMMISSION

## TITLE 14 — TAXATION AND CUSTOMS UNION

CHAPTER 14 02 — CUSTOMS (*cont'd*)14 02 01 (*cont'd*)

Any revenue from the contributions from acceding countries, candidate countries, potential candidates benefiting from a pre-accession strategy and, partner countries under the European neighbourhood policy, provided they reached a sufficient level of approximation of the relevant legislation and administrative methods to those of the Union for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e) to (f) of Article 21(2) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with points (e) to (f) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1294/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme for customs in the European Union for the period 2014-2020 (Customs 2020) and repealing Decision No 624/2007/EC (OJ L 347, 20.12.2013, p. 209), and in particular Article 5 thereof.

14 02 02 **Membership of international organisations in the field of customs***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 115 000	1 115 000	1 096 552	985 479	1 071 531,67	1 071 531,67

*Remarks*

This appropriation is intended to cover the contributions of the Union to the World Customs Organisation (WCO).

*Legal basis*

Council Decision 2007/668/EC of 25 June 2007 on the exercise of rights and obligations akin to membership ad interim by the European Community in the World Customs Organisation (OJ L 274, 18.10.2007, p. 11).

14 02 51 **Completion of former programmes in customs***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	8 500 000	p.m.	13 467 654	190 949,38	36 679 955,05



COMMISSION  
TITLE 14 — TAXATION AND CUSTOMS UNIONCHAPTER 14 02 — CUSTOMS (*cont'd*)14 02 51 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

Council Decision 2000/305/EC of 30 March 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Switzerland concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 102, 27.4.2000, p. 50).

Council Decision 2000/506/EC of 31 July 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Norway concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 204, 11.8.2000, p. 35).

Decision No 253/2003/EC of the European Parliament and of the Council of 11 February 2003 adopting an action programme for customs in the Community (Customs 2007) (OJ L 36, 12.2.2003, p. 1).

Decision No 624/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing an action programme for customs in the Community (Customs 2013) (OJ L 154, 14.6.2007, p. 25).

Decision No 70/2008/EC of the European Parliament and of the Council of 15 January 2008 on a paperless environment for customs and trade (OJ L 23, 26.1.2008, p. 21).

Regulation (EC) No 450/2008 of the European Parliament and of the Council of 23 April 2008 laying down the Community Customs Code (Modernised Customs Code) (OJ L 145, 4.6.2008, p. 1).

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## TITLE 14 — TAXATION AND CUSTOMS UNION

## CHAPTER 14 03 — TAXATION

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
14 03	TAXATION								
<b>14 03 01</b>	<b>Improving the proper functioning of the taxation systems</b>	1.1	31 449 000	27 500 000	31 025 000	19 488 194	30 872 480,16	6 417 407,95	23,34
<b>14 03 02</b>	<b>Membership of international organisations in the field of taxation</b>	4	p.m.	p.m.	121 800	109 462	121 800,—	0,—	
<b>14 03 51</b>	<b>Completion of former programmes in taxation</b>	1.1	p.m.	3 500 000	p.m.	5 486 822	0,—	19 566 045,08	559,03
<b>14 03 77</b>	<b>Pilot projects and preparatory acts</b>								
14 03 77 01	Pilot project — Digital fiscal education system and tax payments	1.1	500 000	250 000					
14 03 77 02	Pilot project — Capacity building, programmatic development and communication in the context of the fight against tax avoidance, tax evasion and tax fraud	1.1	500 000	250 000					
	Article 14 03 77 — Subtotal		1 000 000	500 000					
	<b>Chapter 14 03 — Total</b>		<b>32 449 000</b>	<b>31 500 000</b>	<b>31 146 800</b>	<b>25 084 478</b>	<b>30 994 280,16</b>	<b>25 983 453,03</b>	<b>82,49</b>

**14 03 01 Improving the proper functioning of the taxation systems**

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
31 449 000	27 500 000	31 025 000	19 488 194	30 872 480,16	6 417 407,95

## Remarks

This appropriation is intended to cover expenditure on the implementation of the Fiscalis 2020 programme, and in particular the financing of joint actions, IT capacity building and human competency building.

It covers in particular:

- the cost of the acquisition, development, maintenance, operation and quality control of Union components of European information systems. The Union components of the European information systems are: (1) IT assets such as the hardware, the software and the network connections of the systems including the associated data infrastructure; (2) IT services necessary to support the development, maintenance, improvement and operation of the systems; and (3) any other elements which, for reasons of efficiency, security and rationalisation, are identified by the Commission as common to participating countries,

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CHAPTER 14 03 — TAXATION (*cont'd*)

14 03 01 (*cont'd*)

- expenses related to seminars, workshops, project groups, bilateral or multilateral controls, working visits, expert teams, public administration capacity building and supporting actions, studies, and communication projects,
- the costs related to implementation of provisions for common training actions,
- expenses pertaining to preparatory, monitoring, control, audit and evaluation activities required for the management of the programme and the achievement of its objectives,
- the costs of any other activity required in support of the objectives and priorities set out for the programme.

Any revenue from the contributions from acceding countries, candidate countries, potential candidates benefiting from a pre-accession strategy and, partner countries under the European Neighbourhood Policy, provided they reached a sufficient level of approximation of the relevant legislation and administrative methods to those of the Union for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with Article 21(1) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1286/2013 of the European Parliament and of the Council of 11 December 2013 establishing an action programme to improve the operation of taxation systems in the European Union for the period 2014-2020 (Fiscalis 2020) and repealing Decision No 1482/2007/EC (OJ L 347, 20.12.2013, p. 25), and in particular Article 5 thereof.

14 03 02 **Membership of international organisations in the field of taxation**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	121 800	109 462	121 800,—	0,—

*Remarks*

This appropriation is intended to cover the contributions of the Union to the International Tax Dialogue (ITD).

*Reference acts*

Commission Decision of 4 June 2008 on the Community's participation in the work of the International Tax Dialogue.

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CHAPTER 14 03 — TAXATION (*cont'd*)**14 03 51      *Completion of former programmes in taxation****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 500 000	p.m.	5 486 822	0,—	19 566 045,08

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any revenue from the participation of third countries, other than candidate countries and Western Balkan potential candidates, in customs cooperation agreements entered in Item 6 0 3 2 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this article in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Decision No 1152/2003/EC of the European Parliament and of the Council of 16 June 2003 on computerising the movement and surveillance of excisable products (OJ L 162, 1.7.2003, p. 5).

Council Regulation (EC) No 1798/2003 of 7 October 2003 on administrative cooperation in the field of value added tax and repealing Regulation (EEC) No 218/92 (OJ L 264, 15.10.2003, p. 1).

Decision No 1482/2007/EC of the European Parliament and of the Council of 11 December 2007 establishing a Community programme to improve the operation of taxation systems in the internal market (Fiscalis 2013) (OJ L 330, 15.12.2007, p. 1).

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CHAPTER 14 03 — TAXATION (*cont'd*)

**14 03 77** *Pilot projects and preparatory acts*

14 03 77 01 Pilot project — Digital fiscal education system and tax payments

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

This pilot project will create a European network of national and local promoters of digital fiscal education and tax payments. By exchanging best practices and campaign models, an expert group will develop a European online and social media campaign designed to improve tax payment rates. The project will create a user-friendly online platform attractive to young people, with an offline application and educational software for individuals and schools.

The project seeks to improve fiscal education for European citizens, especially young people, and to cut tax evasion and fraud throughout Europe. It will also assess the tax payment impact of digital fiscal education and social media.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

14 03 77 02 Pilot project — Capacity building, programmatic development and communication in the context of the fight against tax avoidance, tax evasion and tax fraud

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

This pilot project will help to strengthen a wide range of civil society organisations, including some new actors not actively involved in fighting tax fraud and avoidance, so as to build up their expertise and capacity in connection with European tax rules, enhance awareness-raising efforts and further develop tools and instruments to fight tax avoidance, tax evasion and tax fraud.

In the light of the challenges revealed by the LuxLeaks and SwissLeaks scandals, it is apparent that the Union-level capacity of a wide range of organisations (e.g. consumer organisations, NGOs, trade unions and academic communities) in this field needs to be boosted. Enhanced capacity building for research, training and awareness raising, alliance building (including with journalists) and greater involvement of civil society experts in the design and implementation of, and advocacy for, action to combat tax avoidance, tax evasion and tax fraud will create synergies with current Union efforts to put an end to abusive practices such as aggressive tax planning by multinationals and tax evasion by wealthy individuals.

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CHAPTER 14 03 — TAXATION (*cont'd*)14 03 77 (*cont'd*)14 03 77 02 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 14 04 — POLICY STRATEGY AND COORDINATION

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
14 04	POLICY STRATEGY AND COORDINATION								
<b>14 04 01</b>	<b><i>Implementation and development of the internal market</i></b>	1.1	3 200 000	3 200 000	3 100 000	2 697 521	2 999 999,25	2 689 985,05	84,06
	<b>Chapter 14 04 — Total</b>		<b>3 200 000</b>	<b>3 200 000</b>	<b>3 100 000</b>	<b>2 697 521</b>	<b>2 999 999,25</b>	<b>2 689 985,05</b>	<b>84,06</b>

**14 04 01      *Implementation and development of the internal market****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 200 000	3 200 000	3 100 000	2 697 521	2 999 999,25	2 689 985,05

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

This appropriation is intended to cover expenditure arising in connection with measures contributing to the completion, operation and development of the internal market.

It supports Union customs policy and taxation policy and includes actions which cannot be financed by the Customs 2020 and Fiscalis 2020 programmes.

In the field of taxation and customs, this appropriation is intended primarily to cover:

— the cost of consultations, studies, analyses and impact assessments,

**CHAPTER 14 04 — POLICY STRATEGY AND COORDINATION** (*cont'd*)**14 04 01** (*cont'd*)

- activities in customs classification, and the acquisition of data,
- investment in software,
- the production and development of publicity, awareness-raising and training materials.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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*TITLE 15*

**EDUCATION AND CULTURE**



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**TITLE 15**  
**EDUCATION AND CULTURE**

**General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
15 01	ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA	116 708 999	116 708 999	118 332 255	118 332 255	121 546 664,59	121 546 664,59
15 02	ERASMUS+	1 701 213 173	1 770 438 678	1 571 334 000	1 352 130 023	1 789 991 909,89	1 476 601 114,86
15 03	HORIZON 2020	993 834 081	1 064 324 156	991 450 783	993 045 813	1 090 726 663,55	852 130 217,62
15 04	CREATIVE EUROPE	77 506 000	79 280 220	64 059 000	59 851 576	66 084 459,53	62 146 941,94
<b>Title 15 — Total</b>		<b>2 889 262 253</b>	<b>3 030 752 053</b>	<b>2 745 176 038</b>	<b>2 523 359 667</b>	<b>3 068 349 697,56</b>	<b>2 512 424 939,01</b>

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## TITLE 15

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## CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
15 01	ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA					
15 01 01	<i>Expenditure relating to officials and temporary staff in the 'Education and culture' policy area</i>	5.2	45 708 312	45 046 795	46 389 438,68	101,49
15 01 02	<i>External personnel and other management expenditure in support of the 'Education and culture' policy area</i>					
15 01 02 01	External personnel	5.2	3 298 903	3 743 403	3 239 199,66	98,19
15 01 02 11	Other management expenditure	5.2	2 337 864	3 593 687	4 499 755,12	192,47
	Article 15 01 02 — Subtotal		5 636 767	7 337 090	7 738 954,78	137,29
15 01 03	<i>Expenditure relating to information and communication technology equipment and services of the 'Education and culture' policy area</i>	5.2	2 917 154	2 859 843	3 352 338,98	114,92
15 01 04	<i>Support expenditure for operations and programmes in the 'Education and culture' policy area</i>					
15 01 04 01	Support expenditure for Erasmus+	1.1	10 444 400	11 000 000	11 600 887,85	111,07
15 01 04 02	Support expenditure for Creative Europe programme — Culture sub-programme	3	831 020	806 970	838 502,37	100,90
	Article 15 01 04 — Subtotal		11 275 420	11 806 970	12 439 390,22	110,32
15 01 05	<i>Support expenditure for research and innovation programmes in the 'Education and culture' policy area</i>					
15 01 05 01	Expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	2 048 214	2 093 307	1 896 047,99	92,57

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CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘EDUCATION AND CULTURE’ POLICY AREA (*cont’d*)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>15 01 05</b>	( <i>cont’d</i> )					
15 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	659 782	653 250	751 473,57	113,90
15 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	1 139 250	1 085 000	1 172 702,42	102,94
	<i>Article 15 01 05 — Subtotal</i>		3 847 246	3 831 557	3 820 223,98	99,30
<b>15 01 06</b>	<b>Executive agencies</b>					
15 01 06 01	Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus+	1.1	26 112 100	26 312 000	25 332 870,—	97,02
15 01 06 02	Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe	3	12 199 000	12 164 000	12 491 000,—	102,39
	<i>Article 15 01 06 — Subtotal</i>		38 311 100	38 476 000	37 823 870,—	98,73
<b>15 01 60</b>	<b>Library and e-resources</b>	5.2	2 534 000	2 534 000	2 586 886,56	102,09
<b>15 01 61</b>	<b>Cost of organising graduate traineeships with the institution</b>	5.2	6 479 000	6 440 000	7 395 561,39	114,15
	<b>Chapter 15 01 — Total</b>		<b>116 708 999</b>	<b>118 332 255</b>	<b>121 546 664,59</b>	<b>104,15</b>

**15 01 01** *Expenditure relating to officials and temporary staff in the ‘Education and culture’ policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
45 708 312	45 046 795	46 389 438,68

**15 01 02** *External personnel and other management expenditure in support of the ‘Education and culture’ policy area*

**15 01 02 01** External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
3 298 903	3 743 403	3 239 199,66

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CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (*cont'd*)15 01 02 (*cont'd*)

## 15 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 337 864	3 593 687	4 499 755,12

15 01 03 **Expenditure relating to information and communication technology equipment and services of the 'Education and culture' policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 917 154	2 859 843	3 352 338,98

15 01 04 **Support expenditure for operations and programmes in the 'Education and culture' policy area**

## 15 01 04 01 Support expenditure for Erasmus+

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
10 444 400	11 000 000	11 600 887,85

## Remarks

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the programme and the achievement of its objectives; in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union as far as they are related to the general objectives of this item, expenses linked to IT focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

**CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘EDUCATION AND CULTURE’ POLICY AREA** (*cont’d*)**15 01 04** (*cont’d*)15 01 04 01 (*cont’d*)

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

See Chapter 15 02.

## 15 01 04 02 Support expenditure for Creative Europe programme — Culture sub-programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
831 020	806 970	838 502,37

*Remarks**Former Item 15 01 04 02 (in part)*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the Creative Europe programme and the achievement of its objectives; in particular studies, meetings of experts, information and communication actions, including institutional communication of the political priorities of the Union in so far as they are related to the general objectives of this item, and expenses connected with IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission in managing the programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (*cont'd*)**15 01 04** (*cont'd*)15 01 04 02 (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

See Chapter 15 04.

**15 01 05** ***Support expenditure for research and innovation programmes in the 'Education and culture' policy area***

## 15 01 05 01 Expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 048 214	2 093 307	1 896 047,99

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA *(cont'd)*

15 01 05 *(cont'd)*

15 01 05 01 *(cont'd)*

*Legal basis*

See Chapter 15 03.

15 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
659 782	653 250	751 473,57

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 15 03.

15 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 139 250	1 085 000	1 172 702,42

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

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## TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (*cont'd*)15 01 05 (*cont'd*)15 01 05 03 (*cont'd*)

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 15 03.

15 01 06 **Executive agencies**

## 15 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus+

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
26 112 100	26 312 000	25 332 870,—

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency's participation in managing the Erasmus+ programme under Heading 1a as well as to cover costs of the Agency arising from the management of the completion of the cooperation programmes in higher education and vocational education and training, and the Youth in Action programme of the 2007 to 2013 multiannual financial framework.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.



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TITLE 15 — EDUCATION AND CULTURECHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘EDUCATION AND CULTURE’ POLICY AREA (*cont’d*)15 01 06 (*cont’d*)15 01 06 01 (*cont’d*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The Agency’s establishment plan is set out in Annex ‘Staff’ to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Decision 2006/910/EC of 4 December 2006 concerning the conclusion of the Agreement between the European Community and the United States of America renewing the cooperation programme in higher education and vocational education and training (OJ L 346, 9.12.2006, p. 33).

Council Decision 2006/964/EC of 18 December 2006 on the conclusion of the Agreement between the European Community and the Government of Canada establishing a framework for cooperation in higher education, training and youth (OJ L 397, 30.12.2006, p. 14).

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing ‘Erasmus+’: the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

*Reference acts*

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the ‘Education, Audiovisual and Culture Executive Agency’ and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

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## TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (*cont'd*)15 01 06 (*cont'd*)

## 15 01 06 02 Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
12 199 000	12 164 000	12 491 000,—

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred because of the Agency's participation in managing the Creative Europe programme under Heading 3b as well as to cover the operating costs arising from the management of the 2007 to 2013 multiannual financial framework.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020) and repealing Decisions No 1718/2006/EC, No 1855/2006/EC and No 1041/2009/EC (OJ L 347, 20.12.2013, p. 221).

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CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (*cont'd*)

**15 01 06** (*cont'd*)

15 01 06 02 (*cont'd*)

*Reference acts*

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the 'Education, Audiovisual and Culture Executive Agency' and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

**15 01 60** *Library and e-resources*

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 534 000	2 534 000	2 586 886,56

*Remarks*

This appropriation is intended to cover:

- the purchase of books, e-books and other publications, the updating of existing volumes,
- the cost of binding and other expenditure necessary for the preservation of books and publications,
- expenditure on subscriptions to newspapers, specialist periodicals, documentary databases,
- expenditure on subscriptions to cataloguing databases, and
- other specialised publications in print and online.

It does not cover expenditure incurred by:

- Joint Research Centre sites, for which expenditure is entered in Article 01 05 of each title concerned,

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TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EDUCATION AND CULTURE' POLICY AREA (*cont'd*)**15 01 60** (*cont'd*)

- Commission Representations in the Union, for which expenditure is entered in Item 16 01 03 03,
- similar expenditure incurred outside the Union entered in Item 01 03 02 of each title concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 53 000.

**15 01 61** *Cost of organising graduate traineeships with the institution*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
6 479 000	6 440 000	7 395 561,39

*Remarks*

This appropriation is intended to cover the costs of in-service traineeships for graduates. This traineeship is designed to provide them with an overview of the objectives set and the challenges faced by the Union, an insight into how its institutions work and an opportunity to enhance their knowledge through work experience at the Commission.

This appropriation covers the payment of grants and other related costs (supplement for persons with disabilities, accident and sickness insurance, contribution to travelling costs linked to the traineeship, at the beginning and at the end of the traineeship, costs of events organised in the framework of the traineeship programme, e.g. visits, hosting and reception costs).

The selection of trainees is based on objective and transparent criteria.

The amount of related assigned revenue in accordance with Article 21(2) to (3) of the Financial Regulation is estimated at EUR 1 277 433.

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## CHAPTER 15 02 — ERASMUS+

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
15 02	ERASMUS+								
<b>15 02 01</b>	<b>Promoting excellence and cooperation in the European education, training and youth area, its relevance to the labour market and the participation of young people in European democratic life</b>								
15 02 01 01	Promoting excellence and cooperation in the European education and training area and its relevance to the labour market	1.1	1 457 638 273	1 503 812 182	1 348 476 000	1 100 675 667	1 527 225 887,80	1 049 895 578,87	69,82
15 02 01 02	Promoting excellence and cooperation in the European youth area and the participation of young people in European democratic life	1.1	172 700 000	171 249 596	165 245 000	128 252 717	199 563 927,60	139 880 180,48	81,68
	<i>Article 15 02 01 — Subtotal</i>		1 630 338 273	1 675 061 778	1 513 721 000	1 228 928 384	1 726 789 815,40	1 189 775 759,35	71,03
<b>15 02 02</b>	<b>Promoting excellence in teaching and research activities in European integration through the Jean Monnet activities worldwide</b>	1.1	37 074 900	33 676 900	36 174 000	29 034 105	38 789 324,29	31 301 633,68	92,95
<b>15 02 03</b>	<b>Developing the European dimension in sport</b>	1.1	30 000 000	28 000 000	20 939 000	11 611 197	22 434 981,14	5 118 869,58	18,28
<b>15 02 51</b>	<b>Completion line for lifelong learning, including multi-lingualism</b>	1.1	—	31 300 000	—	78 988 099	977 789,06	212 680 242,65	679,49
<b>15 02 53</b>	<b>Completion line for youth and sport</b>	1.1	—	500 000	—	1 061 755	0,—	34 400 801,28	6 880,16
<b>15 02 77</b>	<b>Pilot projects and preparatory actions</b>								
15 02 77 05	Preparatory action to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair in the College of Europe (Natolin Campus)	1.1	p.m.	p.m.	p.m.	697 907	0,—	437 116,68	
15 02 77 06	Preparatory action — Amicus — Association of Member States Implementing a Community Universal Service	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	

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## TITLE 15 — EDUCATION AND CULTURE

## CHAPTER 15 02 — ERASMUS+ (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>15 02 77</b>	(cont'd)								
15 02 77 07	Preparatory action in the field of sport	1.1	p.m.	p.m.	p.m.	p.m.	0,—	39 681,06	
15 02 77 08	Preparatory action — European partnerships on sport	1.1	p.m.	p.m.	p.m.	1 308 576	0,—	2 847 010,58	
15 02 77 09	Preparatory action — E-Platform for Neighbourhood	1.1	p.m.	p.m.	500 000	500 000	1 000 000,—	0,—	
15 02 77 10	Pilot project — Promoting health-enhancing physical activity across Europe	1.1	600 000	300 000					
15 02 77 11	Pilot project — Improving learning outcomes by supporting novice teachers through online learning, coaching and mentoring	1.1	500 000	250 000					
15 02 77 12	Pilot project — A European framework for apprentice mobility: developing European citizenship and skills through youth integration in the labour market	1.1	2 000 000	1 000 000					
15 02 77 13	Pilot project — Youth mobility in vocational training - Better youth mobility	1.1	100 000	50 000					
15 02 77 14	Preparatory action — EU-Russia child and youth exchange programme	1.1	300 000	150 000					
15 02 77 15	Preparatory action — EU-Ukraine child and youth exchange programme	1.1	300 000	150 000					
Article 15 02 77 — Subtotal			3 800 000	1 900 000	500 000	2 506 483	1 000 000,—	3 323 808,32	174,94
<b>Chapter 15 02 — Total</b>			<b>1 701 213 173</b>	<b>1 770 438 678</b>	<b>1 571 334 000</b>	<b>1 352 130 023</b>	<b>1 789 991 909,89</b>	<b>1 476 601 114,86</b>	<b>83,40</b>

**15 02 01** *Promoting excellence and cooperation in the European education, training and youth area, its relevance to the labour market and the participation of young people in European democratic life*

15 02 01 01 Promoting excellence and cooperation in the European education and training area and its relevance to the labour market

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 457 638 273	1 503 812 182	1 348 476 000	1 100 675 667	1 527 225 887,80	1 049 895 578,87

**CHAPTER 15 02 — ERASMUS+ (cont'd)****15 02 01 (cont'd)****15 02 01 01 (cont'd)***Remarks*

In line with the general objective in particular the objectives of the ET 2020 strategic framework for European cooperation in education and training, as well as in support of the sustainable development of third countries in the field of higher education, this appropriation is intended to pursue the following specific objectives in the field of education and training:

- to improve the level of key competences and skills with particular regard to their relevance for the labour market and contribution to a cohesive society, notably through increased opportunities for learning mobility and strengthened cooperation between the world of education and training and the world of work, and to combat gender stereotypes and raise awareness of equal rights and equal opportunities,
- to foster quality improvements, innovation excellence and internationalisation at the level of education and training notably through enhanced transnational cooperation between education and training providers and other stakeholders,
- to promote the emergence and raise awareness of a European lifelong learning area, to complement policy reforms at national level and to support the modernisation of education and training systems, notably through enhanced policy cooperation, better use of Union transparency and recognition tools and the dissemination of good practices,
- to enhance the international dimension of education and training, notably through cooperation between Union and third country institutions in the field of vocational education and training (VET) and in higher education, by increasing the attractiveness of the European higher education institutions and supporting the Union's external action, including its development objectives through the promotion of mobility and cooperation between the Union and third country higher education institutions and targeted capacity building in third countries,
- to improve the teaching and learning of languages and to promote the Union's broad linguistic diversity and intercultural awareness, including minority and endangered languages,
- to support free, high-quality public education in such a way as to guarantee that no pupil is denied access to or forced to break off any level of education on economic grounds, with particular attention being paid to the first years of schooling, with a view to preventing early school-leaving and ensuring that children from the least-favoured sections of society can be fully integrated.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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CHAPTER 15 02 — ERASMUS+ (*cont'd*)15 02 01 (*cont'd*)15 02 01 01 (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

15 02 01 02 Promoting excellence and cooperation in the European youth area and the participation of young people in European democratic life

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
172 700 000	171 249 596	165 245 000	128 252 717	199 563 927,60	139 880 180,48

*Remarks*

In line with the general objective, this appropriation is intended to pursue the following specific objectives in the area of youth:

- to improve the level of key competences and skills of young people, including those with fewer opportunities, as well as to promote participation in democratic life in Europe and the labour market, active citizenship, intercultural dialogue, social inclusion and solidarity, notably through increased learning mobility opportunities for young people, those active in youth work or youth organisations and youth leaders, and through strengthened links between the youth field and the labour market,



**CHAPTER 15 02 — ERASMUS+ (cont'd)****15 02 01 (cont'd)****15 02 01 02 (cont'd)**

- to foster quality improvements in youth work, notably through enhanced cooperation between organisations in the youth field and/or other stakeholders,
- to complement policy reforms at local, regional and national level and to support the development of knowledge and evidence-based youth policy as well as the recognition of non-formal and informal learning, notably through enhanced policy cooperation, better use of Union transparency and recognition tools and the dissemination of good practices,
- to enhance the international dimension of youth activities and the role of youth workers and organisations as support structures for young people in complementarity with the Union's external action, notably through the promotion of mobility and cooperation between the Union and third country stakeholders and international organisations and through targeted capacity building in third countries.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

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## CHAPTER 15 02 — ERASMUS+ (cont'd)

**15 02 02 Promoting excellence in teaching and research activities in European integration through the Jean Monnet activities worldwide***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
37 074 900	33 676 900	36 174 000	29 034 105	38 789 324,29	31 301 633,68

*Remarks*

In line with the general objective, this appropriation is intended to pursue the following specific objectives of the Jean Monnet activities:

- to promote teaching and research on European integration world-wide among specialist academics, learners and citizens, notably through the creation of Jean Monnet Chairs and other academic activities, as well as by providing aid for other knowledge-building activities at higher education institutions,
- to support the activities of academic institutions or associations active in the field of European integration studies and support a Jean Monnet label for excellence,
- to support the activities of academic institutions or associations active in the field of European integration studies,
- to support European academic institutions pursuing an aim of European interest,
- to promote policy debate and exchanges between the academic world and policymakers on Union policy priorities.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

**CHAPTER 15 02 — ERASMUS+ (cont'd)****15 02 02 (cont'd)**

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

**15 02 03 *Developing the European dimension in sport****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 000 000	28 000 000	20 939 000	11 611 197	22 434 981,14	5 118 869,58

*Remarks*

In line with the general objective, this appropriation is intended to cover support of the implementation of Union policies in areas that correspond to the Chapter's specific objectives (Article 11) and activities (Article 12).

The Sport Chapter of the 'Erasmus+' programme pursues the following specific objectives in the area of sport:

- to tackle transnational threats to sport such as doping, match fixing, violence, racism and intolerance,
- to support good governance in sport and dual careers of athletes,
- to promote voluntary activities in sport, social inclusion, equal opportunities and health-enhancing physical activity through increased participation in sport.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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## TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 02 — ERASMUS+ (*cont'd*)15 02 03 (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

European MOVE Week is a Europe-wide flagship event promoting grassroots sport and physical activity and their positive impact on European citizens and societies. It is an open European platform coordinated by the International Sport and Culture Association.

In line with the ambition to get 100 million more Europeans involved in sport and physical activity by 2020, MOVE Week 2015 took a bottom-up approach involving local communities, sports clubs, schools, workplaces and cities in a large-scale celebration of sport and physical activity. It was an integral part of the European NowWeMove campaign and thus made a sustainable contribution to a more physically active and healthier European citizenry.

MOVE Week 2016 will feature at least 1 500 events from all 28 Member States and at least 250 cities, introducing new sports and physical activity initiatives and highlighting the wide range of successful actions already in place.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

15 02 51 **Completion line for lifelong learning, including multilingualism**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	31 300 000	—	78 988 099	977 789,06	212 680 242,65

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This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Council Decision 1999/382/EC of 26 April 1999 establishing the second phase of the Community vocational training action programme 'Leonardo da Vinci' (OJ L 146, 11.6.1999, p. 33).

Decision No 253/2000/EC of the European Parliament and of the Council of 24 January 2000 establishing the second phase of the Community action programme in the field of education 'Socrates' (OJ L 28, 3.2.2000, p. 1).

Decision No 2317/2003/EC of the European Parliament and of the Council of 5 December 2003 establishing a programme for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries (Erasmus Mundus) (2004 to 2008) (OJ L 345, 31.12.2003, p. 1).

Decision No 2241/2004/EC of the European Parliament and of the Council of 15 December 2004 on a single Community framework for the transparency of qualifications and competences (Europass) (OJ L 390, 31.12.2004, p. 6).

Decision No 1720/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing an action programme in the field of lifelong learning (OJ L 327, 24.11.2006, p. 45).

Council Decision 2006/910/EC of 4 December 2006 concerning the conclusion of the Agreement between the European Community and the United States of America renewing the cooperation programme in higher education and vocational education and training (OJ L 346, 9.12.2006, p. 33).

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## CHAPTER 15 02 — ERASMUS+ (cont'd)

## 15 02 51 (cont'd)

Council Decision 2006/964/EC of 18 December 2006 on the conclusion of the Agreement between the European Community and the Government of Canada establishing a framework for cooperation in higher education, training and youth (OJ L 397, 30.12.2006, p. 14).

Decision No 1298/2008/EC of the European Parliament and of the Council of 16 December 2008 establishing the Erasmus Mundus 2009-2013 action programme for the enhancement of quality in higher education and the promotion of intercultural understanding through cooperation with third countries (OJ L 340, 19.12.2008, p. 83).

15 02 53 **Completion line for youth and sport**

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	500 000	—	1 061 755	0,—	34 400 801,28

## Remarks

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

## Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 15 02 — ERASMUS+ (cont'd)

15 02 53 (cont'd)

Decision No 1031/2000/EC of the European Parliament and of the Council of 13 April 2000 establishing the 'Youth' Community action programme (OJ L 117, 18.5.2000, p. 1).

Decision No 1719/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing the 'Youth in Action' programme for the period 2007 to 2013 (OJ L 327, 24.11.2006, p. 30).

15 02 77 **Pilot projects and preparatory actions**

15 02 77 05 Preparatory action to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair in the College of Europe (Natolin Campus)

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	697 907	0,—	437 116,68

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 06 Preparatory action — Amicus — Association of Member States Implementing a Community Universal Service

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

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## CHAPTER 15 02 — ERASMUS+ (cont'd)

15 02 77 (cont'd)

15 02 77 06 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 07 Preparatory action in the field of sport

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	39 681,06

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 08 Preparatory action — European partnerships on sport

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	1 308 576	0,—	2 847 010,58

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.



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CHAPTER 15 02 — ERASMUS+ (cont'd)

15 02 77 (cont'd)

15 02 77 08 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 09 Preparatory action — E-Platform for Neighbourhood

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	500 000	500 000	1 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The aim of the preparatory action is to develop an e-platform for European Neighbourhood Policy (ENP) countries' administrations, local authorities, academic centres and civil society, allowing for flexible yet targeted exchange of know-how and knowledge in Union affairs and Union.

Due to recent political events and association-related contractual developments with some of the ENP countries, especially those entering the association phase of their relationship with the Union, a large number of advanced training modules on the Union and Union-ENP-related matters need to be added to complement the e-platform and policy and legal advice needs to be provided on request. Given that education and training activities offered through the e-platform are to further the development of the ENP countries' human capital and the personal development of learners, high quality of e-learning needs to be guaranteed. In order to ensure that the e-platform helps bridge the gap between education supply and labour market demand in the ENP countries in connection with Union affairs, evaluation tools and indicators for learning process performance/results should be developed and introduced as well. This will ensure the sustainability of the e-platform and allow a map of priorities for providing further support to beneficiaries to be produced.

The implementation of the preparatory action will be entrusted to the College of Europe (Natolin Campus), which has thorough and comprehensive knowledge of complex democratic transition processes and EU-oriented structural transformations, especially as regards EU association agreements and their implementation, as well as extensive experience of ENP matters, which is of the utmost importance for the e-platform' success.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 15 02 — ERASMUS+ (cont'd)

## 15 02 77 (cont'd)

## 15 02 77 10 Pilot project — Promoting health-enhancing physical activity across Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
600 000	300 000				

*Remarks*

Unlike in the case of, for example, tobacco, alcohol and nutrition/obesity risk factors, there is no dedicated European policy or action plan to promote health-enhancing physical activity (HEPA) for all age groups..

This pilot project will deliver a pan-European physical activity campaign to:

- raise awareness of the many benefits of sport and physical activity among European citizens,
- increase opportunities for people to take part in sport and physical activities by supporting the upscaling of existing initiatives and delivering cross-border events,
- enable capacity-building for physical activity providers,
- draw the attention of stakeholders in all sectors to the need to address the inactivity pandemic.

The campaign should add value to, and further promote, existing European policy developments (such as the Council Recommendation on HEPA and the EU Work Plan for Sport) and initiatives (such as the European Week of Sport and the smaller scale Collaborative Partnerships). It should leverage existing initiatives in Member States and add value to them or build on them under a unified campaign identity. The campaign will be delivered by one or more physical activity promotion NGOs, as only they have the networks required for cost-effective delivery of the above aims.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 15 02 77 11 Pilot project — Improving learning outcomes by supporting novice teachers through online learning, coaching and mentoring

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

CHAPTER 15 02 — ERASMUS+ (*cont'd*)15 02 77 (*cont'd*)15 02 77 11 (*cont'd*)*Remarks*

This pilot project will create EU-wide tools to help teachers in the first years of their careers to become effective professionals in teaching, administration, etc. It will involve (a) the development of online modules for teacher learning, coaching and mentoring, so as to help novice teachers become more effective (EUR 375 000), and (b) a study to assess the feasibility of tailoring content provided through the School Education Gateway to the needs of teachers in other parts of the world, including by creating links with platforms developed under the auspices of international organisations such as the UN and the OECD (EUR 125 000).

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 12 Pilot project — A European framework for apprentice mobility: developing European citizenship and skills through youth integration in the labour market

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 000 000	1 000 000				

*Remarks*

## 1. Context

Despite considerable efforts by the EU with the introduction of the Youth Guarantee scheme and the EUR 6,4 billion for the Youth Employment Initiative, unemployment levels are still very high. In June 2015 youth unemployment stood at 4,7 million, with significant differences persisting among Member States. The number of young people aged 15 to 24 that are still not in employment, education or training (NEETs) is even higher, at more than 6 million. Without decisive action, this could lead to a 'lost' generation.

Although youth employment is mainly a responsibility of Member States, the persistently high levels of youth unemployment in Europe discredit the European Union project and bode ill for its future. Member States with low youth unemployment have well-functioning apprenticeship systems and high levels of mobility, which make for a smooth transition from education and training to the labour market. Apprenticeships are acknowledged as the 'highway' to employment, while mobility helps to enhance competence development and is probably the most efficient route to European citizenship. Therefore, efforts must be combined and there must be a better connection between these two policy areas so as to allow young European apprentices to gain professional experience in another Member State and further develop their skills.

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CHAPTER 15 02 — ERASMUS+ (*cont'd*)15 02 77 (*cont'd*)15 02 77 12 (*cont'd*)

The Commission defines apprenticeship programmes as vocational education and training (VET) that combines and alternates training in a company (periods of practical on-site experience acquisition) and school-based education (periods of practical and theoretical learning in a school or training centre). If completed, these programmes allow students to gain a nationally recognised VET qualification. Apprentices ideally benefit from a work contract giving them the status of apprentice employee and as such receive a wage.

Apprentices with a contract and hosted by a foreign company are in principle still employed by, and under the responsibility of, their original employer. However, this raises legal issues and may create obstacles to mobility: employers have to deal with a situation where they make their apprentices available to foreign companies (even if located in Europe) while they still have to meet their obligations under the original apprenticeship contract during the mobility period (pay, working hours, terms and conditions of employment, social security, health care, insurance, etc.).

In spite of the progress achieved by the preparatory action 'Erasmus for apprentices' and the Erasmus+ programme 'Key Action 1 Mobility for VET learners and staff', in the light of the ET 2020 benchmark for VET mobility (6 %), as well as the European Alliance for Apprenticeships (EAFA), the long-term mobility of apprentices remains limited.

There are many reasons for this, including the typical age of vocational learners (15 to 19 year olds). When under 18, they are still minors, which poses liability problems, and they often still find it difficult to be away from home for a lengthy period. This shows that support infrastructure and integration measures (including language learning, housing, etc.) are needed. It also explains why the Erasmus+ programme (where the average mobility period is less than 1 month) is not sufficient and needs to be complemented.

Although the Council's and Parliament's recommendations on credit (ECVET) and quality assurance (EQAVET) systems, as well as the Erasmus+ VET mobility charter, have helped to increase the transparency of and trust between national VET systems, there are still significant challenges because of the differences between those systems which make it difficult to validate what is learned during periods abroad.

## 2. *Project focus*

The overall goal of this pilot project is to facilitate apprentice mobility by testing various models for putting in place the necessary mobility infrastructure (e.g. services including accommodation, language courses, practical information, coaching and insurance arrangements) and stakeholder cooperation arrangements and by examining ways and means of making VET a more attractive option for young people.

Another project aim is to formally recognise and validate learning outcomes and to support the mutual recognition of diplomas as well as the phasing in of a 'European Framework for the Mobility of Apprentices', which is essential in order to remove the barriers to mobility and prepare the ground for more integrated European apprenticeship schemes.

This translates into two operational objectives:

- firstly, the project will set out to test how to implement cost-efficient cross-border apprentice mobility schemes between VET institutions, companies and/or other relevant organisations. The project will foster capacity building among stakeholders with a view to putting in place the infrastructure required for firms in Member States to send apprentices to, and take in apprentices from, other Member States for periods ranging from 6 to 12 months and to looking into the different forms of support required,

**CHAPTER 15 02 — ERASMUS+ (cont'd)****15 02 77 (cont'd)****15 02 77 12 (cont'd)**

- secondly, lessons will be learned from the implementation of the projects, as well as from the EP reviews and research (see below), so as to present viable options for introducing an integrated 'European Framework for the Mobility of Apprentices'.

Actions to be taken:

- a review of national legislation and regulations governing VET in each Member State. This will cover the recognition of skills, validation and equivalence of diplomas, accident insurance coverage, hosting arrangements in training establishments and the contractual relationships between the young people and the foreign companies or establishments. It will also seek to identify common ground and potential scope for a 'European Framework for the Mobility of Apprentices',
- a survey of companies (international groups, small and medium-sized enterprises, craft firms and farms, including those that are members of the EAFA) and public institutions (national, local and regional authorities), in order to understand their vision, their business ethics and their hosting capacities, in particular for young foreign apprentices; and an assessment of some of the most efficient apprenticeship schemes in place (e.g. Germany, Netherlands and Austria) and of the agreements existing in some border areas, such as those between Saarland and Lorraine, Alsace and Baden-Württemberg, and Denmark and Schleswig-Holstein,
- a call for proposals to finance a limited number of trans-European projects involving key VET stakeholders, with a view to developing sustainable systems and frameworks for cross-border VET apprenticeship mobility and to preparing and scaling up long-term quality mobility with a minimum duration of 6 months in at least two Member States. Learning outcomes of mobility schemes should be incorporated into curricula and result in the award of VET qualification credits. The aims of such projects should include identifying and assessing constraints before, during and after the mobility experience and looking into opportunities for learners, companies and VET providers, as well as the potential demand for long-term mobility. The Commission should issue the relevant call for proposals by the beginning of 2016, which should see the projects up and running by the third quarter of the year,
- a critical review of all current EU instruments for looking into synergies and optimum use of resources to facilitate apprenticeship mobility. Among those instruments and programmes, particular attention should be paid to: (i) EURES, for its potential as regards transparency and cross-border matching in this area; (ii) Your First EURES Job, for financial incentives for young people to go on an apprenticeship in another country; (iii) Erasmus+, for financial support for a mobility experience lasting up to 12 months as part of an apprenticeship programme; (iv) the Euro Apprenticeship platform; and (v) the EAFA, to raise awareness at political and operational levels,
- identification of ways and means of optimising the mobilisation of social partners and other relevant regional and local stakeholders. This work will be carried in partnership with the European Economic and Social Committee and the Committee of the Regions,
- identification of ways and means of raising awareness of the potential and the benefits of apprenticeships.

The initial annual budget will be around EUR 2 000 000 for all six actions identified above; Action 3 (Commission call for proposals) will have an annual budget of EUR 1 500 000.

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## CHAPTER 15 02 — ERASMUS+ (cont'd)

15 02 77 (cont'd)

15 02 77 12 (cont'd)

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 13 Pilot project — Youth mobility in vocational training - Better youth mobility

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
100 000	50 000				

*Remarks*

The objective is to help improve the mobility of young people in vocational training, i.e. increase the number of participants to 20% of beneficiaries and improve recognition of traineeships, including safety and quality issues.

This will help to:

- improve the visibility and accessibility of Erasmus+ for young professionals in training,
- make management more efficient and adapt it to the characteristics of VET systems.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 14 Preparatory action — EU-Russia child and youth exchange programme

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
300 000	150 000				

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CHAPTER 15 02 — ERASMUS+ (*cont'd*)

15 02 77 (*cont'd*)

15 02 77 14 (*cont'd*)

*Remarks*

The preparatory action will set up a structure modelled on the 'Deutsch-Französisches Jugendwerk' created after the Second World War, so that young people from EU Member States and Russia can meet one another. The necessary organisational set-up for child and youth exchanges between the EU and Russia should be established within 1 year.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 02 77 15 Preparatory action — EU-Ukraine child and youth exchange programme

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
300 000	150 000				

*Remarks*

The preparatory action will set up a structure modelled on the 'Deutsch-Französisches Jugendwerk' created after the Second World War, so that young people from EU Member States and Ukraine can meet one another. The necessary organisational set-up for child and youth exchanges between the EU and Ukraine should be established within 1 year.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## CHAPTER 15 03 — HORIZON 2020

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Paymen- ts 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
15 03	HORIZON 2020								
<b>15 03 01</b>	<b>Excellent science</b>								
15 03 01 01	Marie Skłodowska-Curie actions — generating, developing and transferring new skills, knowledge and innovation	1.1	768 895 200	508 490 935	737 668 408	494 178 606	844 703 932,11	111 304 958,59	21,89
	Article 15 03 01 — Subtotal		768 895 200	508 490 935	737 668 408	494 178 606	844 703 932,11	111 304 958,59	21,89
<b>15 03 05</b>	<b>European Institute of Innovation and Technology (EIT) — integrating the knowledge triangle of higher education, research and innovation</b>	1.1	224 938 881	251 833 221	253 782 375	227 988 790	233 229 156,—	102 958 755,—	40,88
<b>15 03 50</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>								
15 03 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	12 507 012,64	4 646 978,70	
15 03 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	71 236,45	52 647 710,86	
	Article 15 03 50 — Subtotal		p.m.	p.m.	p.m.	p.m.	12 578 249,09	57 294 689,56	
<b>15 03 51</b>	<b>Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013)</b>	1.1	p.m.	304 000 000	p.m.	270 878 417	215 326,35	518 232 498,68	170,47
<b>15 03 53</b>	<b>Completion line European Institute of Innovation and Technology</b>	1.1	p.m.	p.m.	p.m.	p.m.	0,—	61 891 295,—	
<b>15 03 77</b>	<b>Pilot projects and preparatory actions</b>								
15 03 77 01	Pilot project — Knowledge partnerships	1.1	p.m.	p.m.	p.m.	p.m.	0,—	448 020,79	
	Article 15 03 77 — Subtotal		p.m.	p.m.	p.m.	p.m.	0,—	448 020,79	
<b>Chapter 15 03 — Total</b>			<b>993 834 081</b>	<b>1 064 324 156</b>	<b>991 450 783</b>	<b>993 045 813</b>	<b>1 090 726 663,55</b>	<b>852 130 217,62</b>	<b>80,06</b>



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CHAPTER 15 03 — HORIZON 2020 (*cont'd*)*Remarks*

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) of the European Union, and for the completion of the previous research programmes (Seventh Framework Programme) and the completion of the European Institute of Innovation and Technology (EIT) from the previous financial period.

The Programme shall play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area. Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof. Particular account will be taken of the need to step up efforts to enhance the participation and role of women in science and research.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

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## TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 03 — HORIZON 2020 (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 15 03 50 01.

Administrative appropriations of this chapter will be provided under Article 15 01 05.

**15 03 01      *Excellent science****Remarks*

This priority of Horizon 2020 aims to reinforce and extend the excellence in the Union's science base and ensure a steady stream of world-class research to secure Europe's long-term competitiveness. It will support the best ideas, develop talent within Europe, provide researchers with access to priority research infrastructure, and make Europe an attractive location for the world's best researchers. Research actions to be funded will be determined according to the need and opportunities of science, without pre-determined thematic priorities. The research agenda will be set in close liaison with the scientific community and research will be funded on the basis of excellence.

15 03 01 01      Marie Skłodowska-Curie actions — generating, developing and transferring new skills, knowledge and innovation

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
768 895 200	508 490 935	737 668 408	494 178 606	844 703 932,11	111 304 958,59

*Remarks*

Europe needs a strong and creative human resource base, mobile across countries and sectors, and has to be attractive for the best researchers, European and non-European. This will be achieved by structuring and raising excellence in a substantial share of the high-quality initial training of early stage researchers and doctoral candidates and by supporting attractive career opportunities for experienced researchers in both public and private sectors worldwide. Researchers are encouraged to move between countries, sectors and disciplines to enhance their creative and innovative potential.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(1)(c) thereof.

## CHAPTER 15 03 — HORIZON 2020 (cont'd)

**15 03 05 European Institute of Innovation and Technology (EIT) — integrating the knowledge triangle of higher education, research and innovation***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
224 938 881	251 833 221	253 782 375	227 988 790	233 229 156,—	102 958 755,—

*Remarks*

This appropriation is intended to cover EIT's staff and administrative expenditure, and operational expenditure relating to the work programme, including the knowledge and innovation communities (KIC) designated by the EIT.

In the framework of the EIT's Strategic Innovation Agenda (SIA) and Regulation (EU) No 1292/2013 of the European Parliament and of the Council, the EIT will contribute to the general objective and priorities of 'Horizon 2020 — The Framework Programme for Research and Innovation', with the specific objective of integrating the knowledge triangle of higher education, research and innovation. The EIT aims to give Europe's innovation capacity a much needed boost and its overall goal is to create a new European way of delivering essential economic growth and societal benefits through innovation by helping transform innovative ideas into products and services that create sustainable growth and jobs.

Knowledge and innovation communities are the operational base of the EIT. They are excellence-driven partnerships, which bring together the whole innovation web in order to offer new opportunities for innovation in Europe and generate real impact in terms of new business creation and societal benefits. They address focused innovation topics and integrate public and private research organisations, innovative industries, higher education institutions, investors, start-ups and spin-offs. The first three KICs were designated in December 2009 and address the following societal challenges: climate change mitigation and adaptation (through Climate-KIC), sustainable energy (through KIC InnoEnergy) and future information and communication society (through EIT ICT Labs). Two additional KICs were designated in 2014 in the themes of Raw Materials and Innovation for Healthy Living and Active Ageing. In the future, the EIT will expand its portfolio of KICs to up to three additional ones addressing the topics Food for the Future, Added Value Manufacturing and Urban Mobility.

The EIT aims to generate tangible impact in the following areas:

- addressing key societal challenges: The KICs combine expertise from across disciplines to ensure innovative and global responses to complex societal challenges,
- setting a clear business-friendly framework: Turning new ideas into tangible new products, services or business opportunities will be the main benchmark of the EIT's and the KICs' success,
- enhancing the free flow of knowledge through co-location: The KICs are organised around co-location centres — geographical locations where most or the whole innovation chain is in close proximity. The emphasis is on people from diverse backgrounds (industry, SMEs, academia, nationality, gender, discipline, etc.) working together with face-to-face contact, thus leading to great mobility of knowledge,
- creating a new generation of entrepreneurs: people with an entrepreneurial mind-set are the drivers of innovation, thereby keeping our economies and societies moving. The EIT promotes entrepreneurship education as a key feature of KICs' Masters and doctoral programmes by shifting the emphasis from 'learning about' to 'learning by doing'. The Master and PhD programmes will provide students with the entrepreneurial skills they need to succeed in the knowledge economy, focusing on learning outcomes and the use of innovative teaching methods.

## COMMISSION

## TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 03 — HORIZON 2020 (*cont'd*)15 03 05 (*cont'd*)

The EIT's strategic objectives for this period are to consolidate and synergise its operations and to prepare for achieving the priorities set out in the Strategic Innovation Agenda (2014-2020): firstly, by incentivising growth, impact and sustainability by continuing to strengthen its partnership with the three existing KICs whilst creating new KICs. By following an incremental development path in establishing new KICs, the EIT will ensure leading up to a total portfolio of nine KICs in the period 2014-2020 (equalling the set-up of 40-50 co-location centres across the Union); secondly, by enhancing the EIT's impact by fostering entrepreneurship-driven innovation across the Union through wide dissemination of novel innovation models attracting and developing talent from across Europe; and thirdly, by introducing new means of achieving impact, alongside results-oriented monitoring.

The establishment plan of the EIT is set out in Annex 'Staff' to this section.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104), and in particular Article 5(5) thereof.

Regulation (EU) No 1292/2013 of the European Parliament and of the Council of 11 December 2013 amending Regulation (EC) No 294/2008 establishing the European Institute of Innovation and Technology (OJ L 347, 20.12.2013, p. 174).

Decision No 1312/2013/EU of the European Parliament and of the Council of 11 December 2013 on Strategic Innovation Agenda of the European Institute of Innovation and Technology (EIT): the contribution of the EIT to a more innovative Europe (OJ L 347, 20.12.2013, p. 892).

**15 03 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

**15 03 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	12 507 012,64	4 646 978,70

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the period 2014 to 2020.

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CHAPTER 15 03 — HORIZON 2020 (*cont'd*)

15 03 50 (*cont'd*)

15 03 50 01 (*cont'd*)

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

15 03 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	71 236,45	52 647 710,86

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

15 03 51 **Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	304 000 000	p.m.	270 878 417	215 326,35	518 232 498,68

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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CHAPTER 15 03 — HORIZON 2020 (*cont'd*)15 03 51 (*cont'd*)*Legal basis*

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/973/EC of 19 December 2006 concerning the Specific Programme 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 272).

15 03 53 ***Completion line European Institute of Innovation and Technology****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	61 891 295,—

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the EIT is set out in Annex 'Staff' to this section.

*Legal basis*

Regulation (EC) No 294/2008 of the European Parliament and of the Council of 11 March 2008 establishing the European Institute of Innovation and Technology (OJ L 97, 9.4.2008, p. 1).

## CHAPTER 15 03 — HORIZON 2020 (cont'd)

**15 03 77 Pilot projects and preparatory actions**

## 15 03 77 01 Pilot project — Knowledge partnerships

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	448 020,79

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 15 — EDUCATION AND CULTURE

## CHAPTER 15 04 — CREATIVE EUROPE

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
15 04	CREATIVE EUROPE								
<b>15 04 01</b>	<b><i>Strengthening the financial capacity of SMEs and small and very small organisations in the European cultural and creative sectors, and fostering policy development and new business models</i></b>	3	23 829 000	22 133 220	9 000 000	7 445 136	7 488 867,80	4 473 851,14	20,21
<b>15 04 02</b>	<b><i>Culture sub-programme — Supporting cross-border actions and promoting trans-national circulation and mobility</i></b>	3	52 827 000	45 000 000	52 759 000	36 585 518	57 595 591,99	30 016 225,98	66,70
<b>15 04 51</b>	<b><i>Completion of programmes/actions in the field of culture and language</i></b>	3	—	10 822 000	—	13 754 033	0,—	25 816 256,71	238,55
<b>15 04 77</b>	<b><i>Pilot projects and preparatory actions</i></b>								
15 04 77 01	Pilot project — Economy of cultural diversity	3	p.m.	p.m.	p.m.	242 980	0,—	417 792,—	
15 04 77 03	Preparatory action — Culture in external relations	3	p.m.	p.m.	p.m.	p.m.	0,—	339 972,50	
15 04 77 04	Pilot project — A European Platform for Festivals	3	p.m.	300 000	p.m.	174 475	0,—	250 000,—	83,33
15 04 77 05	Pilot project — New narrative on Europe	3	p.m.	p.m.	p.m.	499 434	999 999,74	832 843,61	
15 04 77 08	Pilot project — Kick-starting the cultural economy	3	p.m.	150 000	500 000	250 000			



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CHAPTER 15 04 — CREATIVE EUROPE (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>15 04 77</b>	( <i>cont'd</i> )								
15 04 77 09	Pilot project — Supporting networks of young creative entrepre- neurs: Union and third countries	3	p.m.	200 000	800 000	400 000			
15 04 77 11	Preparatory action — New narrative on Europe	3	500 000	500 000	1 000 000	500 000			
15 04 77 12	Preparatory action — Europe for Festivals, Festivals for Europe (EFFE)	3	350 000	175 000					
	<i>Article 15 04 77 — Subtotal</i>		850 000	1 325 000	2 300 000	2 066 889	999 999,74	1 840 608,11	138,91
	<b>Chapter 15 04 — Total</b>		<b>77 506 000</b>	<b>79 280 220</b>	<b>64 059 000</b>	<b>59 851 576</b>	<b>66 084 459,53</b>	<b>62 146 941,94</b>	<b>78,39</b>

**15 04 01** *Strengthening the financial capacity of SMEs and small and very small organisations in the European cultural and creative sectors, and fostering policy development and new business models*

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
23 829 000	22 133 220	9 000 000	7 445 136	7 488 867,80	4 473 851,14

*Remarks*

This appropriation is intended to cover the following measures relating to Cross-sectoral strand of the Creative Europe programme.

The cultural and creative sector facility will target the cultural and creative sectors and will have the following priorities: facilitate access to finance for small- and medium-sized enterprises and organisations in the European cultural and creative sectors; improve the capacity of financial institutions to assess cultural and creative projects, including technical assistance and networking measures.

This will be achieved through:

- providing guarantees to appropriate financial intermediaries from any country participating in the Creative Europe programme,
- providing financial intermediaries with additional expertise and capacity to evaluate risks associated with operators in the cultural and creative sectors.

## COMMISSION

## TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 04 — CREATIVE EUROPE (*cont'd*)15 04 01 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework Agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released, and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenues may give rise to the provision of additional appropriations in accordance with Article 21(3)(i) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020) and repealing Decisions No 1718/2006/EC, No 1855/2006/EC and No 1041/2009/EC (OJ L 347, 20.12.2013, p. 221).

15 04 02 **Culture sub-programme — Supporting cross-border actions and promoting transnational circulation and mobility***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
52 827 000	45 000 000	52 759 000	36 585 518	57 595 591,99	30 016 225,98

**CHAPTER 15 04 — CREATIVE EUROPE** (*cont'd*)**15 04 02** (*cont'd*)*Remarks*

This appropriation is intended to cover the following measures relating to the Culture sub-programme of the Creative Europe programme:

- supporting actions providing operators with skills and knowhow encouraging the adaption to digital technologies, including testing new approaches to audience building and business models,
- supporting actions enabling operators to internationalise their careers in Europe and beyond,
- providing support to strengthen European operators and international cultural networks in order to facilitate access to professional opportunities.

The priorities in the field of promoting transnational circulation shall be the following:

- supporting international touring, events and exhibitions,
- supporting the circulation of European literature,
- supporting audience building as a means of stimulating interest in European cultural works.

*Support measures of the Culture sub-programme*

The Culture sub-programme shall provide support for the following measures:

- transnational cooperation measures bringing together operators from different countries to undertake sectoral or cross-sectoral activities,
- activities by European networks of operators from different countries,
- activities by organisations providing a promotional European platform for the development of emerging talent and stimulating the circulation of artists and works, with a systemic and large scale effect,
- support for literary translation,
- special actions aiming to achieve greater visibility for the richness and diversity of European cultures, and stimulate intercultural dialogue and mutual understanding, including European cultural prizes, the European Heritage Label, and the European Capitals of Culture.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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## TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 04 — CREATIVE EUROPE (*cont'd*)15 04 02 (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contributions from the countries of the European neighbourhood area in accordance with the procedures defined with those countries following the framework agreements providing for their participation in Union programmes as entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020) and repealing Decisions No 1718/2006/EC, No 1855/2006/EC and No 1041/2009/EC (OJ L 347, 20.12.2013, p. 221).

15 04 51 **Completion of programmes/actions in the field of culture and language**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	10 822 000	—	13 754 033	0,—	25 816 256,71

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

CHAPTER 15 04 — CREATIVE EUROPE (*cont'd*)15 04 51 (*cont'd*)*Legal basis*

Decision No 508/2000/EC of the European Parliament and of the Council of 14 February 2000 establishing the Culture 2000 programme (OJ L 63, 10.3.2000, p. 1).

Decision No 792/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote bodies active at European level in the field of culture (OJ L 138, 30.4.2004, p. 40).

Decision No 1855/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing the Culture Programme (2007 to 2013) (OJ L 372, 27.12.2006, p. 1).

Decision No 1194/2011/EU of the European Parliament and of the Council of 16 November 2011 establishing a European Union action for the European Heritage Label (OJ L 303, 22.11.2011, p. 1).

15 04 77 **Pilot projects and preparatory actions**

## 15 04 77 01 Pilot project — Economy of cultural diversity

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	242 980	0,—	417 792,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 15 04 77 03 Preparatory action — Culture in external relations

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	339 972,50

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## TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 04 — CREATIVE EUROPE (*cont'd*)15 04 77 (*cont'd*)15 04 77 03 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 04 77 04 Pilot project — A European Platform for Festivals

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	p.m.	174 475	0,—	250 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 04 77 05 Pilot project — New narrative on Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	499 434	999 999,74	832 843,61

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CHAPTER 15 04 — CREATIVE EUROPE (*cont'd*)

15 04 77 (*cont'd*)

15 04 77 05 (*cont'd*)

*Remarks*

Former Item 16 02 77 01

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

15 04 77 08 Pilot project — Kickstarting the cultural economy

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	150 000	500 000	250 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Crowdfunding is proving to be a trusted vehicle to transfer money between potential customers and the cultural sector. Crowdfunding businesses are using a platform and network model — one brand will rule them all — something which has been seen in social network businesses. Europe's crowdfunding market is as fragmented, while US-based systems benefit from a homogenous internal market. In order to maintain an active language policy in the online world and link it to the cultural sector, an alternative strategy is needed. There are some interesting cases to learn from, including the hybridised funding structure CrowdCulture in Sweden, which links public funding with private donations.

The pilot project will, in a study, identify and analyse best practices in Europe's crowdfunding market in relation to cultural organisations.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 04 — CREATIVE EUROPE (*cont'd*)15 04 77 (*cont'd*)

## 15 04 77 09 Pilot project — Supporting networks of young creative entrepreneurs: Union and third countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	200 000	800 000	400 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project follows on from: Commission and European Parliament initiatives (the Agenda for Culture (2007) which, over the years, has shown the importance of a cultural dimension to Union's external relations), various own-initiative reports by Parliament's Committee on Culture (European Parliament resolutions of 12 May 2011 on the cultural dimensions of the EU's external actions (OJ C 377 E, 7.12.2012, p. 135) and on unlocking the potential of cultural and creative industries (OJ C 377 E, 7.12.2012, p. 142)) the Commission communication, and the preparatory action on culture in external relations.

The pilot project will support the establishment of networks of young creative professionals from the Union and partner countries with a view to encouraging exchanges, networking, professional development and long-term collaborative relations among young entrepreneurs and players in the cultural and creative sectors in the Union and partner countries. The Creative Europe programme illustrates the determination to engage at Union level with the creative and cultural sectors, making a substantial contribution to creativity and economic revitalisation in the Union and its relations with third countries.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 15 04 77 11 Preparatory action — New narrative on Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	500 000	1 000 000	500 000		



COMMISSION  
TITLE 15 — EDUCATION AND CULTURECHAPTER 15 04 — CREATIVE EUROPE (*cont'd*)15 04 77 (*cont'd*)15 04 77 11 (*cont'd*)*Remarks**Former Item 16 02 77 06*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The aim of this preparatory action is to enable the cultural committee behind the charter to continue its dialogue with citizens. The charter is to be seen as a beginning, with the arts community and citizens in Europe being invited to engage and participate in the ongoing discussion on the many narratives on Europe.

An overarching approach will be taken in the form of networks of citizens, cultural institutions and organisations spreading knowledge to the public and inviting citizens to play a role. Furthermore a corps of ambassadors will be established. The task of the ambassadors will be to interact with citizens in the ongoing debate about the new narrative and to engage with the European public.

Therefore, the preparatory action will continue to:

- conduct events to publicise the charter on the new narrative on Europe in as many Member States as possible, thereby fostering dialogue and debate,
- support public events with broad media coverage that are organised by cultural, scientific and citizens' organisations and focus on the debate on the new narrative on Europe,
- ensure maximum inclusion of citizens by supporting independent media platforms covering the debate on the new narrative on Europe.

This preparatory action is expected to meet the above three specific objectives.

To support these activities, the Commission works with contractors under framework contracts to deliver the following: organisation of high-level events, including exhibitions and cultural performances, covering travel and accommodation arrangements for participants and expert support for specific scheduling of events; logistical and advisory support for the cultural committee, covering travel and accommodation arrangements and organisation of attendance by members at dissemination events, as well as expert support for the committee's and others' tasks relating to specific scheduling of events; organisation of events by the Commission Representations in the Member States; communication campaigns, including through social media, across the Member States.

The financing decision for the specific contracts will be signed in 2016 and pre-financing payments will be made before the end of 2016. The actions supported will take place mainly in 2016 and early 2017.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 15 — EDUCATION AND CULTURE

CHAPTER 15 04 — CREATIVE EUROPE (*cont'd*)15 04 77 (*cont'd*)

## 15 04 77 12 Preparatory action — Europe for Festivals, Festivals for Europe (EFFE)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
350 000	175 000				

*Remarks*

The EFFE pilot project is currently being carried out by the European Festivals Association, together with 24 decentralised partner organisations across Europe and in close cooperation with the Commission's DG Education and Culture. The EFFE preparatory action will:

- create a labelling mechanism and exchange platform for festivals to capitalise on their potential to contribute to various EU policies, including those on innovation, social inclusion, education and intercultural dialogue. The activities carried out will contribute to the Europe 2020 strategy, building on spill-over effects that the creative and cultural sectors have on a number of other fields such as tourism and regional development,
- promote Europe's unique core values by giving them visibility and credibility through a strong branding and communication strategy capable of providing a platform that can reach out to a large number of citizens across Europe.

On the basis of the pilot project's success, it can be assumed that the preparatory action will continue to channel the energy of festivals into promotion of a smart, inclusive and sustainable Europe.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 16*

**COMMUNICATION**

COMMISSION

TITLE 16 — COMMUNICATION

**TITLE 16****COMMUNICATION****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
16 01	ADMINISTRATIVE EXPENDITURE OF THE 'COM- MUNICATION' POLICY AREA	124 190 396	124 190 396	125 792 227	125 792 227	128 136 191,33	128 136 191,33
16 03	COMMUNICATION ACTIONS	79 504 500	72 569 000	74 100 000	66 282 042	71 154 711,32	77 301 872,51
	<b>Title 16 — Total</b>	<b>203 694 896</b>	<b>196 759 396</b>	<b>199 892 227</b>	<b>192 074 269</b>	<b>199 290 902,65</b>	<b>205 438 063,84</b>

## TITLE 16

## COMMUNICATION

## CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
16 01	ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATION' POLICY AREA					
<b>16 01 01</b>	<b><i>Expenditure related to officials and temporary staff in the 'Communication' policy area</i></b>	5.2	65 590 888	66 063 971	65 068 904,28	99,20
<b>16 01 02</b>	<b><i>External personnel and other management expenditure in support of the 'Communication' policy area</i></b>					
16 01 02 01	External personnel — Headquarters	5.2	5 783 570	5 711 298	6 303 485,05	108,99
16 01 02 03	External personnel — Commission Representations	5.2	16 606 000	16 488 000	17 312 757,58	104,26
16 01 02 11	Other management expenditure	5.2	3 209 857	3 513 818	3 736 977,56	116,42
	<i>Article 16 01 02 — Subtotal</i>		25 599 427	25 713 116	27 353 220,19	106,85
<b>16 01 03</b>	<b><i>Expenditure related to information and communication technology equipment and services, buildings and other working expenditure of the 'Communication' policy area</i></b>					
16 01 03 01	Expenditure related to information and communication technology equipment and services	5.2	4 186 081	4 194 140	4 697 949,30	112,23
16 01 03 03	Buildings and related expenditure — Commission Representations	5.2	26 423 000	27 275 000	28 516 859,01	107,92
	<i>Article 16 01 03 — Subtotal</i>		30 609 081	31 469 140	33 214 808,31	108,51
<b>16 01 04</b>	<b><i>Support expenditure for operations and programmes in the 'Communication' policy area</i></b>					
16 01 04 02	Support expenditure for communication actions	3	1 034 000	1 229 000	1 184 962,30	114,60
	<i>Article 16 01 04 — Subtotal</i>		1 034 000	1 229 000	1 184 962,30	114,60
<b>16 01 60</b>	<b><i>Purchase of information</i></b>	5.2	1 357 000	1 317 000	1 314 296,25	96,85
	<b>Chapter 16 01 — Total</b>		<b>124 190 396</b>	<b>125 792 227</b>	<b>128 136 191,33</b>	<b>103,18</b>

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TITLE 16 — COMMUNICATION

CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATION’ POLICY AREA (*cont’d*)**16 01 01      Expenditure related to officials and temporary staff in the ‘Communication’ policy area***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
65 590 888	66 063 971	65 068 904,28

**16 01 02      External personnel and other management expenditure in support of the ‘Communication’ policy area**

## 16 01 02 01      External personnel — Headquarters

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
5 783 570	5 711 298	6 303 485,05

## 16 01 02 03      External personnel — Commission Representations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
16 606 000	16 488 000	17 312 757,58

*Remarks*

This appropriation is intended to cover remuneration, flat-rate overtime, and the institution’s social security contributions in respect of local staff, contract staff and agency staff employed in the Commission Representations in the Union.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 000.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

## 16 01 02 11      Other management expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 209 857	3 513 818	3 736 977,56

*Remarks*

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 000.

CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATION' POLICY AREA (*cont'd*)**16 01 03**      ***Expenditure related to information and communication technology equipment and services, buildings and other working expenditure of the 'Communication' policy area***

16 01 03 01      Expenditure related to information and communication technology equipment and services

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 186 081	4 194 140	4 697 949,30

16 01 03 03      Buildings and related expenditure — Commission Representations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
26 423 000	27 275 000	28 516 859,01

*Remarks*

This appropriation is intended to cover:

- the payment of rents and ground rent relating to buildings or parts of occupied buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance workshops,
- the fitting-out of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc.,
- the cost of the necessary equipment,
- expenses relating to the security of persons and buildings, both as regards the health and safety of individuals and the physical and material security of persons and property. These expenses include, for example contracts for guarding buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment, the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs as well as information sessions provided to staff on how to use the security equipment,
- expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major fitting-out operations for premises,

## COMMISSION

## TITLE 16 — COMMUNICATION

CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMUNICATION' POLICY AREA (*cont'd*)16 01 03 (*cont'd*)16 01 03 03 (*cont'd*)

- the cost of the purchase, hire, maintenance and repair of technical equipment and fittings, furniture and vehicles,
- the purchase of books, documents and other non-periodical publications, the updating of existing volumes, binding costs and the purchase of electronic identification equipment,
- expenditure on subscriptions to newspapers, specialist periodicals, official journals, parliamentary papers, foreign trade statistics, news agency reports and various other specialised publications,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs, etc.),
- the training and support required for accessing this information,
- the training relating to health and safety following Commission Decision of 10 April 2006 establishing a Harmonised Policy for Health and Safety at work for all Commission staff C(2006) 1623,
- copyright fees,
- the cost of stationery and office supplies,
- insurance,
- the cost of work materials,
- expenses relating to internal meetings,
- the cost of maintenance work and of departmental removals,
- medical expenses arising as a result of the provisions of the Staff Regulations,
- the cost of the installation, upkeep and operation of catering areas,
- other operating expenditure,
- postal and delivery charges,
- telecommunications subscriptions and charges,
- the cost of purchasing and installing telecommunications equipment,
- information technology (IT) expenditure for offices within the Union, in particular expenditure on information and management systems, office automation infrastructure, personal computers, servers and related infrastructure, peripherals (printers, scanners, etc.), office equipment (photocopiers, fax machines, typewriters, dictaphones, etc.) and general expenditure on networks, support, assistance to users, IT training and removals,



**CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATION’ POLICY AREA** (*cont'd*)**16 01 03** (*cont'd*)16 01 03 03 (*cont'd*)

— any expenditure to cover the cost of purchase or rental with purchase option of buildings.

This appropriation covers expenditure incurred within the territory of the Union, excluding Joint Research Centre sites, for which expenditure is entered in Article 01 05 of the titles concerned. Similar expenditure incurred outside the Union is entered in Item 01 03 02 of the titles concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 124 000.

**16 01 04** **Support expenditure for operations and programmes in the ‘Communication’ policy area**

## 16 01 04 02 Support expenditure for communication actions

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
1 034 000	1 229 000	1 184 962,30

*Remarks*

This appropriation is intended to cover horizontal expenditure on, inter alia, studies, meetings, *ex post* controls, expert technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, evaluation on horizontal or cross-cutting activities, as well as the repayment of travel and related expenses of persons invited to follow the work of the Commission.

*Legal basis*

See Items 16 03 01 02, 16 03 01 03, 16 03 02 03 and 16 03 02 05.

**16 01 60** **Purchase of information**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
1 357 000	1 317 000	1 314 296,25

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union:

— the cost of subscriptions and access to online information sources such as press agencies, online news, information providers and external databases,

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TITLE 16 — COMMUNICATION

**CHAPTER 16 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘COMMUNICATION’ POLICY AREA** *(cont’d)*

**16 01 60** *(cont’d)*

— the training and support required for accessing this information.

It covers the expenditure incurred within the territory of the Union with the exception of the Commission's Representations in the Union.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 16 03 — COMMUNICATION ACTIONS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
16 03	COMMUNICATION ACTIONS								
<b>16 03 01</b>	<b>Providing information to Union citizens</b>								
16 03 01 02	Information for the media and audiovisual productions	3	6 003 500	5 688 000	5 850 000	4 112 669	6 228 215,86	5 734 220,87	100,81
16 03 01 03	Information outlets	3	14 600 000	14 063 000	14 260 000	12 957 371	14 369 496,84	14 188 390,97	100,89
16 03 01 04	Communication of the Commission Representations, Citizens' Dialogues and 'Partnership' actions	3	14 745 000	13 154 000	11 794 000	13 720 676	10 928 471,22	15 239 489,74	115,85
16 03 01 05	European Public Spaces	5.2	1 246 000	1 246 000	1 246 000	1 194 639	1 241 189,83	1 281 259,75	102,83
	<i>Article 16 03 01 — Subtotal</i>		36 594 500	34 151 000	33 150 000	31 985 355	32 767 373,75	36 443 361,33	106,71
<b>16 03 02</b>	<b>Providing institutional communication and information analysis</b>								
16 03 02 01	Visits to the Commission	3	3 800 000	3 677 000	3 650 000	2 927 997	3 599 851,94	3 985 597,01	108,39
16 03 02 02	Operation of radio and television studios and audiovisual equipment	5.2	5 560 000	5 560 000	5 560 000	5 369 163	5 318 744,79	5 930 575,40	106,67
16 03 02 03	Online and written information and communication tools	3	23 450 000	19 195 000	21 340 000	16 690 656	19 469 840,54	19 148 822,81	99,76
16 03 02 04	General report and other publications	5.2	2 160 000	2 160 000	2 200 000	2 109 314	2 229 074,71	2 563 278,82	118,67
16 03 02 05	Public opinion analysis	3	6 640 000	6 526 000	6 400 000	5 286 146	6 299 975,59	5 959 835,98	91,32
	<i>Article 16 03 02 — Subtotal</i>		41 610 000	37 118 000	39 150 000	32 383 276	36 917 487,57	37 588 110,02	101,27

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TITLE 16 — COMMUNICATION

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>16 03 04</b>	<b>House of European History</b>	3	800 000	800 000	800 000	669 131	0,—	0,—	0
<b>16 03 06</b>	<b>European Year of Citizens 2013</b>	3	p.m.	p.m.	p.m.	p.m.	0,—	557 100,26	
<b>16 03 77</b>	<b>Pilot projects and preparatory actions</b>								
16 03 77 01	Preparatory action — European research grants for cross-border investigative journalism	5.2	p.m.	p.m.	p.m.	p.m.	0,—	98 880,—	
16 03 77 02	Pilot project — Share Europe Online	5.2	p.m.	p.m.	p.m.	90 000	0,—	1 393 463,15	
16 03 77 04	Completion of pilot project EuroGlobe	3	p.m.	p.m.	—	—	0,—	0,—	
16 03 77 05	Preparatory action — Share Europe Online	3	500 000	500 000	1 000 000	936 187	1 000 000,—	588 819,75	117,76
16 03 77 06	Pilot project — The promise of the European Union	3	p.m.	p.m.	p.m.	218 093	469 850,—	402 350,—	
16 03 77 07	Preparatory action — European Civil Society House	3	p.m.	p.m.	p.m.	p.m.	0,—	229 788,—	
	<i>Article 16 03 77 — Subtotal</i>		500 000	500 000	1 000 000	1 244 280	1 469 850,—	2 713 300,90	542,66
	<b>Chapter 16 03 — Total</b>		<b>79 504 500</b>	<b>72 569 000</b>	<b>74 100 000</b>	<b>66 282 042</b>	<b>71 154 711,32</b>	<b>77 301 872,51</b>	<b>106,52</b>

**16 03 01** **Providing information to Union citizens**

16 03 01 02 Information for the media and audiovisual productions

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 003 500	5 688 000	5 850 000	4 112 669	6 228 215,86	5 734 220,87

*Remarks**Former Items 16 03 01 02 and 16 03 01 01 (in part)*

**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)**16 03 01** (*cont'd*)16 03 01 02 (*cont'd*)

This appropriation is intended to cover the funding of general information for citizens on Union activities, so as to increase the visibility of the work of the Union institutions, the decisions taken and the stages in the building of Europe, focusing mainly on the media. The tools developed for better understanding and reporting of topical issues cover mainly:

- multimedia (photo, video, etc.) information material to feed the media and other platforms, including their publication/broadcasting and long-term preservation/dissemination,
- seminars and support for journalists.

This appropriation also covers evaluation expenditure.

The Commission, when implementing this item, should take duly into account the outcome of the meetings of the Interinstitutional Group on Information (IGI).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 16 03 01 03 Information outlets

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 600 000	14 063 000	14 260 000	12 957 371	14 369 496,84	14 188 390,97

*Remarks*

This appropriation is intended to fund general information to citizens and covers:

- the financing of the Europe Direct network throughout Europe (Europe Direct Information Centres, European documentation centres, Team Europe speakers, etc.); this network supplements the work of the Commission Representations and the European Parliament Information Offices in the Member States,
- support, training, coordination and assistance for Europe Direct network,
- the financing of production, storage and distribution of information material and communication products by these outlets as well as for them.

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**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)**16 03 01** (*cont'd*)16 03 01 03 (*cont'd*)

This appropriation also covers evaluation expenditure.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Commission Decision C(2012) 4158 of 21 June 2012 concerning the anticipated adoption of the Annual Work Programme in the field of communication for 2013 regarding grants for financing the host structures of the Europe Direct Information Centres across the European Union for the period 2013-2017.

## 16 03 01 04 Communication of the Commission Representations, Citizens' Dialogues and 'Partnership' actions

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 745 000	13 154 000	11 794 000	13 720 676	10 928 471,22	15 239 489,74

*Remarks*

This appropriation is intended to fund general information to citizens and covers the expenditure on centralised and decentralised communication as well as the expenditure on Citizens' Dialogues. The aim of local communication activities is in particular to provide target groups with the tools to gain a better understanding of burning topical issues. The aim of Citizens' Dialogues is in particular to provide citizens with first-hand information on major Union policy initiatives and to promote an open dialogue between citizens and the Members of the Commission with regular attendance of representatives of other Union institutions and the Member States, in order to improve citizens' knowledge of Union issues and to allow citizens to make their voice heard to policymakers.

These activities are implemented in the Member States through:

- communication operations linked to specific annual or multiannual communication priorities,
- ad hoc communication operations on a national or international scale that fit in with the communication priorities,
- open door events for citizens from all walks of life,

**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)**16 03 01** (*cont'd*)**16 03 01 04** (*cont'd*)

- dialogues with citizens online on the internet and in social media,
- seminars and conferences as well as workshops with more specific target groups, such as young people, and by applying participatory methods,
- organisation of or participation in European events, exhibitions and public-relations activities, organisation of individual visits, etc.,
- direct communication measures targeted at the general public (e.g. citizens' advice services),
- direct communication measures targeted at opinion multipliers, in particular stepped-up measures involving the regional daily press, which is a major information outlet for a large number of Union citizens,
- the management of information centres for the general public in the Commission Representations.

Communication operations can be organised in partnership with the European Parliament and/or the Member States in order to create synergies between the means of each partner and to coordinate their information and communication activities on the European Union.

This appropriation is also intended to cover expenditure on studies, evaluations, logistical services, technical assistance, in particular for IT including web maintenance and social media services, meetings of experts and expert technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts as well as the repayment of travel and related expenses of persons invited to follow the work of the Commission.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 55 000.

The Commission, when implementing this item, should take duly into account the outcome of the meetings of the Interinstitutional Group on Information (IGI).

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**16 03 01 05** European Public Spaces*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 246 000	1 246 000	1 246 000	1 194 639	1 241 189,83	1 281 259,75

COMMISSION

TITLE 16 — COMMUNICATION

**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)**16 03 01** (*cont'd*)16 03 01 05 (*cont'd*)*Remarks*

This appropriation is intended to fund general information to citizens and cover specifically the opening and management of 'European Public Spaces' (EPS) in the Europe Houses that are formally hosting the EPS. The Commission is to manage the logistical arrangements for the EPS for the benefit of both institutions (the European Parliament and the Commission), including operational costs and the organisation of contracted services. The EPS must be run jointly by the two institutions on the basis of an assessment report on the management and the functioning of the EPS, as well as of a working programme for the year to come. Both these documents, which are drafted jointly by the two institutions and constitute the fundamental elements for allocation of funds for the following year, are to be presented to the European Parliament and the Council in time to be taken into account in the budgetary procedure.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

**16 03 02** ***Providing institutional communication and information analysis***

## 16 03 02 01 Visits to the Commission

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 800 000	3 677 000	3 650 000	2 927 997	3 599 851,94	3 985 597,01

*Remarks*

This appropriation is intended to cover the cost of organising visits to the Commission, including administrative expenditure related to the visits.



**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)**16 03 02** (*cont'd*)16 03 02 01 (*cont'd*)*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 16 03 02 02 Operation of radio and television studios and audiovisual equipment

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 560 000	5 560 000	5 560 000	5 369 163	5 318 744,79	5 930 575,40

*Remarks*

This appropriation is intended to cover all the costs of operating the Commission's studios and other audiovisual information facilities: staff and the purchase, hire, maintenance and repair of the necessary equipment and material.

It also covers satellite rental to provide information on the Union's activities to television channels. This appropriation must be managed in compliance with the principles of interinstitutional cooperation in order to ensure the dissemination of all information concerning the Union.

This appropriation may also cover evaluation expenditure.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Commission Communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 3 October 2007 entitled 'Communicating Europe in Partnership' (COM(2007) 568 final).

Communication to the Commission of 24 April 2008: Communicating Europe through audiovisual media (SEC(2008) 506).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

## COMMISSION

## TITLE 16 — COMMUNICATION

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)16 03 02 (*cont'd*)

## 16 03 02 03 Online and written information and communication tools

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
23 450 000	19 195 000	21 340 000	16 690 656	19 469 840,54	19 148 822,81

*Remarks*

This appropriation is intended to cover online multimedia and written information and communication tools concerning the Union, for the purpose of providing all citizens with general information on the work of the Union institutions, the decisions taken and the stages in the building of Europe. Online tools make it possible to gather citizens' questions or reactions on European issues. This is a public service task. The information covers all the Union institutions. These tools must be made accessible to people with disabilities, in accordance with Web Accessibility Initiative (WAI) guidelines.

The main types of tool concerned are:

- the Europa site, which must constitute the main point of access to the existing information and websites concerning the administrative information which Union citizens might need in their daily lives and which therefore must be better structured, made more user-friendly and optimised for mobile devices,
- complementary online channels like social media, blogs and other web 2.0 technologies,
- the Europe Direct Contact Centre (00800-67891011),
- the internet sites, multimedia and written products of the Commission Representations in the Member States,
- online press releases, speeches, memos, etc. (Rapid).

This appropriation is also intended to:

- fund the restructuring of the Europa site in a more coherent way, to optimise the site for mobile devices, to focus it on user needs and to professionalise the use of other online channels like social media, blogs and web 2.0. This includes all types of training, coaching and consultancy services for various groups of stakeholders,
- cover the expenditure relating to hosting and licences costs associated with the Europa site,
- support the exchange of best practices, knowledge transfer and professionalisation by financing visits of digital communication experts and practitioners,
- cover information campaigns for facilitating access to these sources of information, especially for the operation of the Europe Direct Contact Centre, the general multilingual information service about Union matters,
- cover the expenditure relating to the production for various target groups of written publications concerning the Union's activities that are often distributed through a decentralised network, mainly:

**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)**16 03 02** (*cont'd*)16 03 02 03 (*cont'd*)

- the Representations' publications (paper newsletters and periodicals): each Representation produces one or more publications that are distributed among multipliers and cover various fields (social, economic and political),
- the dissemination (also through a decentralised network) of specific basic information on the European Union (in all the official Union languages) for the general public, coordinated from headquarters, and promotion of the publications.

Publishing costs cover, among other things, preparation and drafting (including authors' fees), freelance editing, use of documentation, reproduction of documents, data purchase or management, editing, translation, revision (including checking the concordance of texts), printing, posting on the internet or installation in any other electronic medium, distribution, storage, dissemination and promotion of the publications.

This appropriation may also cover evaluation expenditure.

The Commission, when implementing this item, should take duly into account the outcomes of the meetings of the Interinstitutional Group on Information (IGI).

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 16 03 02 04 General report and other publications

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 160 000	2 160 000	2 200 000	2 109 314	2 229 074,71	2 563 278,82

*Remarks*

This appropriation is intended to cover expenditure on issuing, in whatever medium, publications on matters of topical importance relating to Commission activities and the work of the Union, as well as the publications provided for in the Treaties and other institutional or reference publications, such as the general report. The publications may be targeted at specific groups such as the teaching profession, young people, opinion leaders or the general public.

Publishing costs cover, among other things, preparation and drafting (including authors' contracts), freelance editing, use of documentation, reproduction of documents, data purchase or management, editing, translation, revision (including checking the concordance of texts), printing, posting on the internet or installation in any other electronic medium, distribution, storage, dissemination and promotion of the publications, including in formats accessible to citizens with disabilities.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 000.

## COMMISSION

## TITLE 16 — COMMUNICATION

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)16 03 02 (*cont'd*)16 03 02 04 (*cont'd*)*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Treaty on the Functioning of the European Union, and in particular Article 249(2) thereof.

## 16 03 02 05 Public opinion analysis

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 640 000	6 526 000	6 400 000	5 286 146	6 299 975,59	5 959 835,98

*Remarks*

This appropriation is intended to cover the analysis of trends in public opinion, mainly by means of opinion polls (e.g. general-public 'Eurobarometer' surveys, 'flash' surveys, by telephone, of specific populations on particular subjects, at regional, national, or European level, or qualitative surveys), together with quality control of these surveys.

It also covers qualitative media monitoring analysis and evaluation expenditure.

The Commission, when implementing this item, should take duly into account the outcome of the meetings of the Interinstitutional Group on Information (IGI).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)**16 03 04**      **House of European History***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
800 000	800 000	800 000	669 131	0,—	0,—

*Remarks*

As specified in the Service Level Agreement between the European Parliament and the Commission, this appropriation is intended to cover the contribution towards expenditure incurred for exhibitions organised by the House of European History, which will increase knowledge, awaken curiosity, and create opportunities to reflect on European history by means of a modern exhibition and documentation centre.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Article 167 of the Treaty on the Functioning of the European Union.

**16 03 06**      **European Year of Citizens 2013***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	557 100,26

*Remarks*

*Former Article 16 02 03*

This appropriation is intended to cover initiatives linked to the objectives of the European Year of Citizens 2013 including payments for an assessment of the results of this initiative.

*Legal basis*

Decision No 1093/2012/EU of the European Parliament and of the Council of 21 November 2012 on the European Year of Citizens (2013) (OJ L 325, 23.11.2012, p. 1).

COMMISSION

TITLE 16 — COMMUNICATION

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)**16 03 77 Pilot projects and preparatory actions**

16 03 77 01 Preparatory action — European research grants for cross-border investigative journalism

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	98 880,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

16 03 77 02 Pilot project — Share Europe Online

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	90 000	0,—	1 393 463,15

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

16 03 77 04 Completion of pilot project EuroGlobe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	—	0,—	0,—

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)16 03 77 (*cont'd*)16 03 77 04 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

16 03 77 05 Preparatory action — Share Europe Online

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	500 000	1 000 000	936 187	1 000 000,—	588 819,75

*Remarks*

Social media and the internet have fundamentally changed access to information and communication between people. Union institutions should improve the way they use these ground-breaking tools. Union institutions can use social media networks and online platforms in more innovative ways. Union institutions have no joint communication policy on the use of these tools. Moreover, these tools are still too often used simply to spread a message, whereas they offer the opportunity for conversation between politicians and the people they serve and represent. In contrast to other media (television, radio, newspapers), the internet (and social media in particular) should be used to allow citizens to interact: to provide policy makers with ideas and to start discussion on policy proposals or projects.

The Union must adapt to online reality in its communication strategy. The Union must allow its citizens to interact and to contribute. Regrettably Union communication is currently still very much a top-down process which is neither interactive nor in tune with what the new media can do. Although the social network take-up rate (among Europe's internet users) is about 90 %, the Union's communication strategy does not yet embrace social networks, the internet and mobile services to improve access to information on Union policies or to involve Union citizens. Some Members of the European Parliament and Commissioners may be using social networks very actively, yet the main Union institutions can do a lot to open up, to include citizens better and to make information available, searchable and shareable.

'Share Europe Online' has successfully run as a pilot project since 2012, with positive responses from stakeholders at both the central institutional level and from the national offices implementing the project.

## COMMISSION

## TITLE 16 — COMMUNICATION

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)16 03 77 (*cont'd*)16 03 77 05 (*cont'd*)

The pilot project sought to improve the way in which Union institutions use these new media, focusing on their local offices in Member States. The project introduced the practice of social media community management in European Parliament Information Offices and Commission Representations in order improve the provision of information to citizens online and make EU-related information more accessible. An important objective was to build the offices' capacity for enhanced activity on social media by upgrading their staff's skill base through training and coaching by the community managers appointed.

The pilot project has helped to narrow the gap in Union institutional communication via social networks in local languages and on local platforms, thanks to increased and more coordinated activity by the offices involved. The provision of EU-related content which is appealing, relevant to the national community and optimised for social sharing is leading to increased levels of interaction. This is fostering dialogue not only between the Union and its citizens, but also between citizens themselves.

The reach and influence of the institutions' activities are increasing thanks to more targeted communication and partnerships with opinion leaders and local actors, including grassroots and civil society organisations, extending the debate on European issues to a much wider public and broadening the European public sphere.

Through better insight into the conversations taking place on social media, the institutions are also improving their ability to listen and take stock of the concerns of citizens, who are increasingly organising themselves on these networks. 'Share Europe Online' aims to facilitate interaction and access to information, and not to send out opinions about the Union in a top-down manner, which would risk being perceived as propaganda.

The budget of the 'Share Europe Online' preparatory action should serve to consolidate the positive practice started under the pilot project in order to complete the upgrading of skills and facilitate further organisational change. The aim is to bring the European Parliament Information Offices and Commission Representations up to the level of expertise required to take full advantage of the social media so as to foster interaction with citizens.

As in the pilot project, the preparatory action should involve people within the Union institutions as well as outside experts, civil society and grassroots organisations. Its implementation should be based on working methods jointly agreed between the Union institutions involved.

The rise of social networks has led businesses to create the job of 'community manager'. Community managers act as an interface between a company and its clients; they 'spread the good word about the company in a language that is specific to social networks, they manage virtual communities and understand and respond to their needs'. With this preparatory action, the 'EU community managers' introduced as part of the 'Share Europe Online' pilot project could continue to make information about the Union accessible and to represent the various Union institutions and provide independent information about the Union and its proceedings.

'Share Europe Online' should integrate parts of existing programmes such as Europe Direct, Euronews, the various web platforms, communication strategies and Union information archives. Furthermore, the preparatory action could continue the work already carried out under the 'Share Europe Online' pilot project.



**CHAPTER 16 03 — COMMUNICATION ACTIONS** (*cont'd*)**16 03 77** (*cont'd*)16 03 77 05 (*cont'd*)

Share Europe Online, implemented jointly by the European Parliament and the Commission, is a response to the need to bring Union institutions' communication policies into line with internet and social media realities. It aims to improve how the Union institutions engage with citizens on social media by stepping up the online presence of European Parliament Information Offices and Commission Representations in Member States, making their communication more interactive, targeted and tailored to local concerns as well as to the specificities of national social media landscapes. The action also aims to address the gap in institutional communication on social networks in local languages. Through the action, the institutions are seeking ways and means of sustainably improving their communication strategies on social media from a qualitative and cost-efficiency perspective. The appropriations will be used to provide the Information Offices and Representations with social-media-related expertise and strategic and technical support via calls for tenders.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

16 03 77 06 Pilot project — The promise of the European Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	218 093	469 850,—	402 350,—

*Remarks**Former Item 16 02 77 05*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

TITLE 16 — COMMUNICATION

CHAPTER 16 03 — COMMUNICATION ACTIONS (*cont'd*)16 03 77 (*cont'd*)

16 03 77 07 Preparatory action — European Civil Society House

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	229 788,—

*Remarks**Former Item 16 02 77 04*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 17*

**HEALTH AND FOOD SAFETY**

COMMISSION

TITLE 17 — HEALTH AND FOOD SAFETY

**TITLE 17****HEALTH AND FOOD SAFETY****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
17 01	ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA	101 836 432	101 836 432	102 214 617	102 214 617	104 490 046,68	104 490 046,68
17 03	PUBLIC HEALTH	214 853 000	226 241 000	221 995 000	220 408 196	235 972 757,39	217 861 334,16
17 04	FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH	253 935 628	243 249 628	249 610 125	215 279 394	254 909 337,80	224 170 318,11
	<b>Title 17 — Total</b>	<b>570 625 060</b>	<b>571 327 060</b>	<b>573 819 742</b>	<b>537 902 207</b>	<b>595 372 141,87</b>	<b>546 521 698,95</b>

## TITLE 17

## HEALTH AND FOOD SAFETY

## CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
17 01	ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA					
17 01 01	<i>Expenditure relating to officials and temporary staff in the 'Health and food safety' policy area</i>	5.2	69 805 129	68 185 178	70 190 179,25	100,55
17 01 02	<i>External personnel and other management expenditure in support of the 'Health and food safety' policy area</i>					
17 01 02 01	External personnel	5.2	6 308 782	7 479 436	7 732 380,93	122,57
17 01 02 11	Other management expenditure	5.2	7 996 482	8 958 196	8 380 837,79	104,81
	<i>Article 17 01 02 — Subtotal</i>		14 305 264	16 437 632	16 113 218,72	112,64
17 01 03	<i>Expenditure relating to information and communication technology equipment and services, buildings and related expenditure of the 'Health and food safety' policy area</i>					
17 01 03 01	Expenditure related to information and communication technology equipment and services	5.2	4 455 039	4 328 807	5 068 023,30	113,76
17 01 03 03	Buildings and related expenditure — Grange	5.2	4 892 000	4 884 000	4 562 392,86	93,26
	<i>Article 17 01 03 — Subtotal</i>		9 347 039	9 212 807	9 630 416,16	103,03
17 01 04	<i>Support expenditure for operations and programmes in the 'Health and food safety' policy area</i>					
17 01 04 02	Support expenditure for the 'Third programme for the Union's action in the field of health (2014-2020)'	3	1 500 000	1 500 000	1 550 158,77	103,34

COMMISSION

TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>17 01 04</b>	( <i>cont'd</i> )					
17 01 04 03	Support expenditure in the field of food and feed safety, animal health, animal welfare and plant health	3	1 500 000	1 500 000	1 500 803,78	100,05
	<i>Article 17 01 04 — Subtotal</i>		3 000 000	3 000 000	3 050 962,55	101,70
<b>17 01 06</b>	<b>Executive agencies</b>					
17 01 06 02	Consumers, Health, Agriculture and Food Executive Agency — Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)'	3	4 209 000	4 209 000	4 335 270,—	103,00
17 01 06 03	Consumers, Health, Agriculture and Food Executive Agency — Contribution in the field of food and feed safety, animal health, animal welfare and plant health	3	1 170 000	1 170 000	1 170 000,—	100,00
	<i>Article 17 01 06 — Subtotal</i>		5 379 000	5 379 000	5 505 270,—	102,35
	<b>Chapter 17 01 — Total</b>		<b>101 836 432</b>	<b>102 214 617</b>	<b>104 490 046,68</b>	<b>102,61</b>

**17 01 01** *Expenditure relating to officials and temporary staff in the 'Health and food safety' policy area**Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
69 805 129	68 185 178	70 190 179,25

**17 01 02** *External personnel and other management expenditure in support of the 'Health and food safety' policy area*

## 17 01 02 01 External personnel

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
6 308 782	7 479 436	7 732 380,93

COMMISSION  
TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HEALTH AND FOOD SAFETY’ POLICY AREA (cont’d)

17 01 02 (cont’d)

17 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
7 996 482	8 958 196	8 380 837,79

17 01 03 **Expenditure relating to information and communication technology equipment and services, buildings and related expenditure of the ‘Health and food safety’ policy area**

17 01 03 01 Expenditure related to information and communication technology equipment and services

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
4 455 039	4 328 807	5 068 023,30

17 01 03 03 Buildings and related expenditure — Grange

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
4 892 000	4 884 000	4 562 392,86

Remarks

This appropriation is intended to cover the following expenditure incurred within Union territory:

- the payment of rent, ground rent and municipal charges relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings,
- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HEALTH AND FOOD SAFETY’ POLICY AREA (*cont’d*)17 01 03 (*cont’d*)17 01 03 03 (*cont’d*)

- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment,
- expenses relating to the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance and upgrade of security installations and the purchase of items of equipment,
- expenses relating to the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs,
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major repair, fitting-out or remodelling operations for premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),
  - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpreting facilities, etc.),
  - kitchen fittings and restaurant equipment,
  - various tools for building-maintenance shops,
  - facilities required for officials with disabilities,
  - studies, documentation and training relating to such equipment,
- the purchase, hire, maintenance and repair of furniture, and in particular:
  - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
  - the replacement of worn-out and broken furniture,



**CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HEALTH AND FOOD SAFETY’ POLICY AREA** (*cont’d*)**17 01 03** (*cont’d*)17 01 03 03 (*cont’d*)

- supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
- fittings specific to canteens and restaurants,
- the hire of furniture,
- furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the purchase, hire, maintenance and repair of vehicles, and in particular:
  - new purchases of vehicles, including all associated costs,
  - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
  - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet,
  - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.), including annual national car tests,
  - various types of insurance (in particular third-party liability and insurance against theft) and national taxes where payable and insurance costs,
- expenditure on working equipment, and in particular:
  - purchase of uniforms for floor messengers and drivers,
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals, reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- other operating expenditure, such as:
  - expenditure on equipping buildings with telecommunications, notably the purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),

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CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA (*cont'd*)17 01 03 (*cont'd*)17 01 03 03 (*cont'd*)

- the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters, word processors or any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- expenditure on the operation of restaurants, cafeterias and canteens, in particular the costs of maintaining equipment and purchasing various supplies, expenditure on current conversions and the renewal of equipment, and substantial expenditure on conversion and renewal which should be clearly distinguished from current conversions, repairs and renewal of equipment and supplies,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs, etc.),
- the training and support required for accessing this information,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- licences, subscription charges and the cost of cable or radio communications (land and mobile telephones, telex, telegraph, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of inter-building telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistic support, training and associated costs and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

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CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HEALTH AND FOOD SAFETY’ POLICY AREA (*cont’d*)

17 01 03 (*cont’d*)

17 01 03 03 (*cont’d*)

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 01 04 **Support expenditure for operations and programmes in the ‘Health and food safety’ policy area**

17 01 04 02 Support expenditure for the ‘Third programme for the Union’s action in the field of health (2014-2020)’

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 500 000	1 500 000	1 550 158,77

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information measures and publications directly linked to achievement of the objective of the programme or measures covered by this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

See Chapter 17 03.

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## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA *(cont'd)***17 01 04** *(cont'd)*

17 01 04 03 Support expenditure in the field of food and feed safety, animal health, animal welfare and plant health

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 500 000	1 500 000	1 500 803,78

*Remarks*

This appropriation is intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, evaluating, auditing and control of programmes or projects in this field.

It is also intended to cover expenditure on technical and administrative assistance, studies, meetings of experts, information and communication measures and publications directly linked to the achievement of the objectives of the programme or measures covered by this appropriation.

This appropriation is also intended to cover expenditure on administrative assistance relating to the audit of claims presented by the Member States in accordance with the respective provisions provided for in the legal basis.

*Legal basis*

See Chapter 17 04.

**17 01 06** ***Executive agencies***

17 01 06 02 Consumers, Health, Agriculture and Food Executive Agency — Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)'

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 209 000	4 209 000	4 335 270,—

*Remarks*

This appropriation is intended to cover the contribution for expenditure on the Agency's staff and administration incurred as a result of the Agency's role in the management of measures forming part of the third 'Health' programme 2014-2020.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

**CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HEALTH AND FOOD SAFETY’ POLICY AREA** (*cont’d*)**17 01 06** (*cont’d*)17 01 06 02 (*cont’d*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 282/2014 of the European Parliament and of the Council of 11 March 2014 on the establishment of a third Programme for the Union’s action in the field of health (2014-2020) and repealing Decision No 1350/2007/EC (OJ L 86, 21.3.2014, p. 1).

*Reference acts*

Commission Implementing Decision 2013/770/EU of 17 December 2013 establishing the Consumers, Health and Food Executive Agency and repealing Decision 2004/858/EC (OJ L 341, 18.12.2013, p. 69).

Commission Decision C(2013) 9505 of 20 December 2013 delegating powers to the Consumers, Health and Food Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of consumers, health and food comprising, in particular, implementation of appropriations entered in the general budget of the Union.

17 01 06 03 Consumers, Health, Agriculture and Food Executive Agency — Contribution in the field of food and feed safety, animal health, animal welfare and plant health

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 170 000	1 170 000	1 170 000,—

*Remarks*

This appropriation is intended to cover the contribution from the Union training strategy in the areas of food law, feed law, animal health and animal welfare rules as well as plant rules for expenditure on the Agency’s staff and administration incurred as a result of the Agency’s role in the management of measures in the areas of food law, feed law, animal health and animal welfare rules as well as plant rules.

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CHAPTER 17 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HEALTH AND FOOD SAFETY' POLICY AREA (*cont'd*)17 01 06 (*cont'd*)17 01 06 03 (*cont'd*)

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

*Legal basis*

Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community (OJ L 169, 10.7.2000, p. 1).

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1), and in particular Article 51 thereof.

Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC (OJ L 189, 27.6.2014, p. 1).

See Chapter 17 04.

*Reference acts*

Commission Implementing Decision 2013/770/EU of 17 December 2013 establishing the Consumers, Health and Food Executive Agency and repealing Decision 2004/858/EC (OJ L 341, 18.12.2013, p. 69).

Commission Decision C(2013) 9505 of 20 December 2013 delegating powers to the Consumers, Health and Food Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of consumers, health and food comprising, in particular, implementation of appropriations entered in the general budget of the Union.

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CHAPTER 17 03 — PUBLIC HEALTH

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
17 03	PUBLIC HEALTH								
<b>17 03 01</b>	<b>Third programme for the Union's action in the field of health (2014-2020)</b>	3	56 451 000	48 500 000	54 041 000	26 366 428	54 672 931,67	1 778 155,80	3,67
<b>17 03 10</b>	<b>European Centre for Disease Prevention and Control</b>	3	53 683 000	53 683 000	56 766 000	56 403 470	60 498 985,08	58 480 000,—	108,94
<b>17 03 11</b>	<b>European Food Safety Authority</b>	3	76 244 000	76 075 000	76 412 000	74 912 000	79 629 457,88	79 629 000,—	104,67
<b>17 03 12</b>	<b>European Medicines Agency</b>								
17 03 12 01	Union contribution to the European Medicines Agency	3	14 503 000	14 503 000	24 716 000	24 716 000	24 632 250,—	24 632 250,—	169,84
17 03 12 02	Special contribution for orphan medicinal products	3	9 972 000	9 972 000	6 800 000	6 800 000	9 681 800,—	9 432 260,—	94,59
	Article 17 03 12 — Subtotal		24 475 000	24 475 000	31 516 000	31 516 000	34 314 050,—	34 064 510,—	139,18
<b>17 03 13</b>	<b>International agreements and membership of international organisations in the field of public health and tobacco control</b>	4	200 000	200 000	210 000	188 729	106 483,48	106 483,48	53,24
<b>17 03 51</b>	<b>Completion of public health programmes</b>	3	p.m.	16 000 000	p.m.	24 967 569	1 907,28	40 699 520,09	254,37
<b>17 03 77</b>	<b>Pilot projects and preparatory actions</b>								
17 03 77 01	Pilot project — New employment situation in the health sector: best practices for improving professional training and qualifications of healthcare workers and their remuneration	1.1	p.m.	p.m.	—	p.m.	0,—	48 085,10	
17 03 77 02	Pilot project — Complex research on health, environment, transport and climate change — Improvement of indoor and outdoor air quality	2	p.m.	p.m.	p.m.	p.m.	0,—	1 297 978,11	

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## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>17 03 77</b>	( <i>cont'd</i> )								
17 03 77 03	Pilot project — Fruit and vegetable consumption	2	p.m.	350 000	p.m.	250 000	0,—	506 119,86	144,61
17 03 77 04	Pilot project — Healthy diet: early years and ageing population	2	p.m.	300 000	p.m.	630 000	0,—	394 180,22	131,39
17 03 77 05	Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes	2	p.m.	400 000	p.m.	300 000	0,—	0,—	0
17 03 77 06	Preparatory action — Anti-microbial resistance (AMR): research on the causes of high and improper antibiotic usage	2	p.m.	320 000	p.m.	300 000	0,—	320 308,—	100,10
17 03 77 07	Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems	3	p.m.	400 000	p.m.	262 000	0,—	299 528,—	74,88
17 03 77 08	Pilot project — European prevalence protocol for early detection of autistic spectrum disorders in Europe	3	p.m.	630 000	p.m.	551 000	794 011,—	0,—	0
17 03 77 09	Pilot project — Promotion of self-care systems in the Union	3	p.m.	600 000	p.m.	525 000	1 000 000,—	0,—	0
17 03 77 10	Pilot project — Gender-specific mechanisms in coronary artery disease in Europe	3	p.m.	297 000	p.m.	262 000	0,—	0,—	0
17 03 77 11	Preparatory action — Fruit and vegetable consumption	2	p.m.	225 000	p.m.	225 000	750 000,—	0,—	0
17 03 77 12	Pilot project — Reducing health inequalities: building expertise and evaluation of actions.	2	p.m.	450 000	p.m.	450 000	1 500 000,—	0,—	0
17 03 77 13	Pilot project — Developing evidence-based strategies to improve the health of isolated and vulnerable persons	2	p.m.	300 000	p.m.	300 000	1 000 000,—	0,—	0



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CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
17 03 77 14	Preparatory action — Healthy diet: early years and ageing population	2	p.m.	100 000	p.m.	150 000	474 931,—	237 465,50	237,47
17 03 77 15	Preparatory action — European study on the burden and care of epilepsy	3	p.m.	246 000	p.m.	324 000	1 230 000,—	0,—	0
17 03 77 16	Pilot project — The effect of differing kidney disease treatment modalities and organ donation and transplantation practices on health expenditure and patient outcomes	3	p.m.	300 000	1 000 000	500 000			
17 03 77 17	Pilot project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016	3	p.m.	180 000	600 000	300 000			
17 03 77 18	Pilot project — Reducing health inequalities experienced by LGBTI people	3	p.m.	135 000	450 000	225 000			
17 03 77 19	Pilot project — Access to healthcare for people in rural areas	3	p.m.	300 000	1 000 000	500 000			
17 03 77 20	Pilot project — Establishing a registry of rare congenital malformations (as part of the Rare Diseases Registry), drawing on the structure, organisation and experience of the Polish Registry of Congenital Malformations (PRCM)	3	100 000	50 000					
17 03 77 21	Pilot project — Providing support to women struggling with an alcohol problem, in order to reduce risks, in particular during pregnancy	3	350 000	150 000					
17 03 77 22	Pilot project — MentALLY	3	400 000	200 000					
17 03 77 23	Pilot project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies	3	1 200 000	500 000					

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CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
17 03 77 24	Pilot project — Towards a fairer and more effective measurement of access to healthcare across the EU in order to enhance cooperation and know-how transfer	3	250 000	125 000					
17 03 77 25	Pilot project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases; the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage	3	500 000	250 000					
17 03 77 26	Pilot project — Primary prevention courses for girls living in areas with a higher risk of breast cancer	3	500 000	250 000					
17 03 77 27	Pilot project — Food redistribution	3	500 000	250 000					
	<i>Article 17 03 77 — Subtotal</i>		3 800 000	7 308 000	3 050 000	6 054 000	6 748 942,—	3 103 664,79	42,47
	<b>Chapter 17 03 — Total</b>		<b>214 853 000</b>	<b>226 241 000</b>	<b>221 995 000</b>	<b>220 408 196</b>	<b>235 972 757,39</b>	<b>217 861 334,16</b>	<b>96,30</b>

**17 03 01 Third programme for the Union's action in the field of health (2014-2020)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
56 451 000	48 500 000	54 041 000	26 366 428	54 672 931,67	1 778 155,80

*Remarks*

This appropriation is intended to cover expenditure for achieving the objectives established through the multiannual 'Health' programme for the 2014-2020 period.

The general objectives of the programme shall be to complement, support and add value to the policies of the Member States to improve the health of Union citizens and reduce health inequalities by promoting health, encouraging innovation in health, increasing the sustainability of health systems and protecting Union citizens from serious cross-border health threats.

The 'Health' programme 2014-2020 focuses on four specific objectives:

- supporting public health capacity building and contributing to innovative, efficient and sustainable health systems: identify and develop tools and mechanisms at Union level to address shortages of resources, both human and financial, and facilitate the voluntary uptake of innovation in public health intervention and prevention strategies,

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CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)

17 03 01 (*cont'd*)

- facilitating access to better and safer healthcare for Union citizens: increase access to medical expertise and information for specific conditions also beyond national borders, facilitate the application of the results of research and develop tools for the improvement of healthcare quality and patient safety through, inter alia, actions contributing to improve health literacy,
- promoting health, including mental health, in particular among adolescents, preventing diseases and fostering supportive environments for healthy lifestyles: identify, disseminate and promote the uptake of evidence-based and good practices for cost-effective disease prevention and health promotion measures by addressing in particular the key lifestyle-related risk factors with a focus on the Union added value,
- protecting citizens from serious cross-border health threats: identify and develop coherent approaches and promote their implementation for better preparedness and coordination in health emergencies.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 282/2014 of the European Parliament and of the Council of 11 March 2014 on the establishment of a third Programme for the Union's action in the field of health for the period 2014-2020 (OJ L 86, 21.3.2014, p. 1).

17 03 10 **European Centre for Disease Prevention and Control**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
53 683 000	53 683 000	56 766 000	56 403 470	60 498 985,08	58 480 000,—

*Remarks*

This appropriation is intended to cover the Centre's personnel and administrative expenditure. In particular, Title 1 covers the salaries of permanent staff and seconded experts, the costs relating to recruitment, interim services, staff training and mission expenses; Title 2 'Expenditure' relates to the renting of the Centre's office facilities, the fitting-out of premises, information and communication technology, technical installations, logistics and other administrative costs.

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**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)

**17 03 10** (*cont'd*)

This appropriation is also intended to cover operational expenditure relating to the following target areas:

- improving surveillance of communicable diseases in the Member States,
- strengthening the scientific support provided by the Member States and the Commission,
- enhancing the preparedness of the Union against emerging threats from communicable diseases, especially hepatitis B, including threats related to intentional release of biological agents, and diseases of unknown origin, and coordinating the response,
- strengthening the relevant capacity in the Member States through training,
- communicating information and building partnerships.

This appropriation is also intended to cover the maintenance of the emergency facility (Emergency Operations Centre) linking the Centre online with national communicable disease centres and reference laboratories in Member States in the event of major outbreaks of communicable diseases or other illnesses of unknown origin.

The Centre must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The Centre's establishment plan is set out in Annex 'Staff' to this section.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2016 amounts to a total of EUR 56 766 000. An amount of EUR 3 083 000 coming from the recovery of surplus is added to the amount of EUR 53 683 000 entered in the budget.

*Legal basis*

Regulation (EC) No 851/2004 of the European Parliament and of the Council of 21 April 2004 establishing a European Centre for disease prevention and control (OJ L 142, 30.4.2004, p. 1).

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 11 *European Food Safety Authority**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
76 244 000	76 075 000	76 412 000	74 912 000	79 629 457,88	79 629 000,—

*Remarks*

This appropriation is intended to cover the Authority's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3).

It covers in particular:

- costs associated with supporting and holding meetings of the scientific committee and the scientific groups, working groups, the advisory forum, the Management Board and meetings with the scientific partners or interested parties,
- costs associated with the establishment of scientific opinions using external resources (contracts and subsidies),
- costs associated with the creation of data collection networks and the integration of the existing information systems,
- costs associated with the scientific and technical assistance to the Commission (Article 31),
- costs associated with the identification of logistical support measures,
- costs associated with technical and scientific cooperation,
- costs associated with the dissemination of scientific opinions,
- costs associated with communication activities.

The Authority must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The Authority's establishment plan is set out in Annex 'Staff' to this section.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 11 (*cont'd*)

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Union contribution for 2016 amounts to a total of EUR 77 333 000. An amount of EUR 1 089 000 coming from the recovery of surplus is added to the amount of EUR 76 244 000 entered in the budget.

*Legal basis*

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1).

17 03 12 **European Medicines Agency**

## 17 03 12 01 Union contribution to the European Medicines Agency

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 503 000	14 503 000	24 716 000	24 716 000	24 632 250,—	24 632 250,—

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3), in order to carry out the tasks provided for in Article 57 of Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (Article 21(3)(c) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 26 424 000. An amount of EUR 1 949 000 coming from the recovery of surplus is added to the amount of EUR 24 475 000 entered in the budget.

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 12** (*cont'd*)17 03 12 01 (*cont'd*)*Legal basis*

Council Regulation (EC) No 297/95 of 10 February 1995 on fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 35, 15.2.1995, p. 1).

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1) (replacing Council Regulation (EEC) No 2309/93).

*Reference acts*

Directive 2001/82/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to veterinary medicinal products (OJ L 311, 28.11.2001, p. 1).

Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use (OJ L 311, 28.11.2001, p. 67).

Commission Regulation (EC) No 2049/2005 of 15 December 2005 laying down, pursuant to Regulation (EC) No 726/2004 of the European Parliament and of the Council, rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises (OJ L 329, 16.12.2005, p. 4).

Regulation (EC) No 1901/2006 of the European Parliament and of the Council of 12 December 2006 on medicinal products for paediatric use and amending Regulation (EEC) No 1768/92, Directive 2001/20/EC, Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 378, 27.12.2006, p. 1).

Regulation (EC) No 1394/2007 of the European Parliament and of the Council of 13 November 2007 on advanced therapy medicinal products and amending Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 324, 10.12.2007, p. 121).

Commission Regulation (EC) No 1234/2008 of 24 November 2008 concerning the examination of variations to the terms of marketing authorisations for medicinal products for human use and veterinary medicinal products (OJ L 334, 12.12.2008, p. 7).

Regulation (EC) No 470/2009 of the European Parliament and of the Council of 6 May 2009 laying down Community procedures for the establishment of residue limits of pharmacologically active substances in foodstuffs of animal origin, repealing Council Regulation (EEC) No 2377/90 and amending Directive 2001/82/EC of the European Parliament and of the Council and Regulation (EC) No 726/2004 of the European Parliament and of the Council (OJ L 152, 16.6.2009, p. 11).

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 12 (*cont'd*)17 03 12 01 (*cont'd*)

Regulation (EU) No 1235/2010 of the European Parliament and of the Council of 15 December 2010 amending, as regards pharmacovigilance of medicinal products for human use, Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency, and Regulation (EC) No 1394/2007 on advanced therapy medicinal products (OJ L 348, 31.12.2010, p. 1).

## 17 03 12 02 Special contribution for orphan medicinal products

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 972 000	9 972 000	6 800 000	6 800 000	9 681 800,—	9 432 260,—

*Remarks*

This appropriation is intended to cover the special contribution provided for in Article 7 of Regulation (EC) No 141/2000, as opposed to the one provided for in Article 67 of Regulation (EC) No 726/2004. It is used by the Agency exclusively to compensate for the total or partial non-recovery of payments due for an orphan medicinal product.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Regulation (EC) No 297/95 of 10 February 1995 on fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 35, 15.2.1995, p. 1).

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).



CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)**17 03 13      *International agreements and membership of international organisations in the field of public health and tobacco control****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
200 000	200 000	210 000	188 729	106 483,48	106 483,48

*Remarks*

This appropriation is intended to cover the Union contribution to the World Health Organisation Framework Convention on Tobacco Control (FCTC), which the Community ratified and to which the Union is a party.

*Legal basis*

Council Decision 2004/513/EC of 2 June 2004 concerning the conclusion of the WHO Framework Convention on Tobacco Control (OJ L 213, 15.6.2004, p. 8).

**17 03 51      *Completion of public health programmes****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	16 000 000	p.m.	24 967 569	1 907,28	40 699 520,09

*Remarks*

This payment appropriation is intended to cover commitments relating to previous years under Decisions No 1786/2002/EC and No 1350/2007/EC.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Decision No 1786/2002/EC of the European Parliament and of the Council of 23 September 2002 adopting a programme of Community action in the field of public health (2003-2008) (OJ L 271, 9.10.2002, p. 1).

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 51 (*cont'd*)

Decision No 1350/2007/EC of the European Parliament and of the Council of 23 October 2007 establishing a second programme of Community action in the field of health (2008-2013) (OJ L 301, 20.11.2007, p. 3).

17 03 77 **Pilot projects and preparatory actions**

17 03 77 01 Pilot project — New employment situation in the health sector: best practices for improving professional training and qualifications of healthcare workers and their remuneration

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	48 085,10

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 02 Pilot project — Complex research on health, environment, transport and climate change — Improvement of indoor and outdoor air quality

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 297 978,11

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 77** (*cont'd*)

## 17 03 77 03 Pilot project — Fruit and vegetable consumption

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	350 000	p.m.	250 000	0,—	506 119,86

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 17 03 77 04 Pilot project — Healthy diet: early years and ageing population

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	p.m.	630 000	0,—	394 180,22

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 17 03 77 05 Pilot project — Developing and implementing successful prevention strategies for type 2 diabetes

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	p.m.	300 000	0,—	0,—

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## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 05 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 06 Preparatory action — Antimicrobial resistance (AMR): research on the causes of high and improper antibiotic usage

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	320 000	p.m.	300 000	0,—	320 308,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 07 Preparatory action — Creation of a Union network of experts in the field of adapted care for adolescents with psychological problems

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	p.m.	262 000	0,—	299 528,—

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 77** (*cont'd*)17 03 77 07 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 08 Pilot project — European prevalence protocol for early detection of autistic spectrum disorders in Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	630 000	p.m.	551 000	794 011,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 09 Pilot project — Promotion of self-care systems in the Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	600 000	p.m.	525 000	1 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

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## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 09 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 10 Pilot project — Gender-specific mechanisms in coronary artery disease in Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	297 000	p.m.	262 000	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 11 Preparatory action — Fruit and vegetable consumption

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	225 000	p.m.	225 000	750 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)

17 03 77 (*cont'd*)

17 03 77 11 (*cont'd*)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 12 Pilot project — Reducing health inequalities: building expertise and evaluation of actions.

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	450 000	p.m.	450 000	1 500 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 13 Pilot project — Developing evidence-based strategies to improve the health of isolated and vulnerable persons

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	p.m.	300 000	1 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 13 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 14 Preparatory action — Healthy diet: early years and ageing population

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	100 000	p.m.	150 000	474 931,—	237 465,50

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action is intended to continue the project which aims at underlining the importance of a correct and healthy diet with a focus on the ageing population.

The ageing of the population of Europe is a demographic phenomenon that is due to a decrease in fertility and to an increase of life expectancy among European citizens.

The population of Europe is expected to age over the next 40 years and this phenomenon is closely related to nutrition issues.

As recognised in various studies, nutrition has a strong and important impact in the treatment and prevention of several diseases and in maintaining good health and quality of life in an ageing population.

With advancing age, there is a high incidence of chronic diseases. Evidence points to the important effect of nutrition and, in particular, of a balanced and healthy diet based on fruit and vegetables, and on one's susceptibility to such diseases and their development and outcome.

This project also seeks to provide parents and children with information on nutrition. It will focus on the early years of life, and could therefore cover prenatal nutrition, breastfeeding and childhood nutrition. The project has two main objectives, namely to educate parents on the importance of good nutrition for their children, and to educate children so they consciously make healthy lifestyle choices throughout their lives. The project will operate within the framework of the Health programme and will specifically address two of the programme's overarching objectives: that of promoting health and reducing health inequalities, and that of disseminating health information.



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This preparatory action will try to reach its target audiences through a range of channels, such as prenatal classes, hospitals, nurseries and preschool establishments, and schools. It should involve appropriate civil society organisations, such as health-orientated NGOs, as well as healthcare professionals such as, for instance, paediatric doctors and midwives, and national and regional health authorities. Cooperation between these different actors should aim to deliver targeted education about nutrition, independently of the food industry, to both parents and children. Information campaigns could be launched in the form of leaflets (to be given, for example, to pregnant mothers by midwives, or to parents by paediatric doctors) or presentations in schools.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 15 Preparatory action — European study on the burden and care of epilepsy

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	246 000	p.m.	324 000	1 230 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Epilepsy is a disorder of the brain characterised by an enduring predisposition to generate epileptic seizures, and by the neurobiological, cognitive, psychological, and social consequences of this condition. The latter include premature death, stigmatisation, social exclusion and reduced quality of life. While effective treatments are available, barriers exist in relation to the access to healthcare, resulting in a significant treatment gap. A European survey completed more than a decade ago indicated that there were insufficient resources and marked disparities in the availability of epilepsy care among Member States, but the situation at present is unknown. Adding to the difficulties in allocation of adequate resources, estimates of the prevalence and cost of epilepsy in Europe vary considerably. A recent review by the European Brain Council estimated that 2,6 million people in Europe have epilepsy whereas a WHO/ILAE/IBE report estimates that 6 million people in Europe suffer from epilepsy. The true prevalence rate of epilepsy can probably vary somewhat between countries and regions, and possibly also over time. It is unclear, however, if the reasons for the pronounced variability, both between countries and within countries, in reported prevalence rates in Europe is explained by true differences in prevalence, by differences in methodology and lack of reliable data, or by a combination.

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 15 (*cont'd*)

The burden of epilepsy in Europe is thus a field characterised by considerable knowledge gaps with major unresearched countries and regions, and by conflicting observations in countries where such research has been carried out. There is therefore an urgent need for a more definitive European-wide comprehensive study of the burden of epilepsy and of access to healthcare using appropriate and standardised methodology. Information derived from this study will be essential for action plans including decisions on allocation of resources for the provision of sustainable, adequate, equal, and cost-effective epilepsy care across Europe. A targeted approach to the delivery of care is especially important for a condition like epilepsy which reports a high burden of disease. Configuring the delivery of healthcare services to alleviating this burden throughout Europe requires accurate data not only on those needing treatment, on attitudes towards epilepsy in society and on existing barriers for access to healthcare, but in addition on the economic cost of care.

It is expected that a study on this scale will have a major impact on identifying if there are areas in Europe where epilepsy can be definitively assessed as being more prevalent than others. It is hoped that the study will also provide conclusive evidence of the prevalence rate of epilepsy in the Union which would have a major impact on how the disease is viewed in terms of policy decision-making. Similarly a cost study would allow for better planning to manage the disease. The study is expected to identify deficiencies in what is currently perceived as fragmented epilepsy care with pronounced differences in resources between Member States and furthermore the need for the education of public and healthcare workers to combat the stigma associated with epilepsy. The proposed unique European study will facilitate a coordinated pan-European action to ensure equal epilepsy care and quality of life for people with epilepsy. It will also demonstrate the need for individual Member States to improve accessibility of epilepsy care, ultimately improving the life of people with epilepsy in Europe and reducing the costs of the disease.

*Aim of the project*

The aim of the project is to assess the burden of epilepsy as well as the available epilepsy healthcare in the Union to build a coordinated information platform across Member States involving NGOs, stakeholders and government organisations with regard to the burden of epilepsy. Good practices will be identified and recommendations made for better epilepsy care throughout the Union.

*The strategic objective* is to provide the necessary data for allocation of adequate resources for high-quality epilepsy care equal in all Member States thus closing the treatment gap, preventing the devastating consequences of epilepsy, reducing unemployment, stigma, and isolation, and securing improved quality of life for people with epilepsy, all in line with the recommendations of the European Parliament's Written Declaration on Epilepsy.

*Description of the project*

This project would first define a standardised methodology appropriate for the study of the stigma and public attitudes relating to epilepsy and its incidence, prevalence, and cost, and access to and organisation of epilepsy care. The proposed action is a standardised, prospective population-based study carried out in representative regions in 8 to 12 Member States. These will be selected to represent all Union regions, East, West, North and South, and to include, in the main, countries where no data are available. Some countries where data are available from previous research will also be included to enable validation of the current methodology. Research teams will be established in the participating countries. These will utilise a multi-source methodology searching, in a defined geographical area, relevant hospitals, institutions, general practitioners, EEG laboratories to ascertain cases meeting the criteria for epilepsy as defined by the International League Against Epilepsy. The aim is to capture all age groups, disease duration, and severity. Direct

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 77** (*cont'd*)17 03 77 15 (*cont'd*)

healthcare cost and non-medical costs as well as indirect costs will be determined prospectively over a one-year period for each case with epilepsy as well as for matched controls without epilepsy. The analysis will include assessments of stigma, quality of life, employment and access to healthcare. The local research teams will use validated questionnaires to assess knowledge and attitudes towards epilepsy among the public and healthcare professionals in their regions as well as survey the regional health organisation for epilepsy care.

The results will be used to formulate recommendations to policymakers including methods for follow-up of their implementation and sustainability.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 16 Pilot project — The effect of differing kidney disease treatment modalities and organ donation and transplantation practices on health expenditure and patient outcomes

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	1 000 000	500 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

More than 10 % of Europeans suffer from chronic kidney disease (CKD), with a high risk of progression to total kidney failure requiring kidney replacement therapy — either dialysis or transplantation. The growing epidemic of diabetes and cardiovascular diseases is fuelling these numbers. Dialysis is resource-heavy, costing EUR 40 000 to EUR 80 000 per patient per year, depending on the country and method, while kidney transplantation costs nearly the same as dialysis in the first year, but less than 40 % of that amount in the following years. The prognosis, including life expectancy and quality of life, is much better after kidney transplantation than dialysis. Nearly 50 000 Europeans are on a waiting list for a kidney, and given the current shortage of donated organs, some of them will die waiting.

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 16 (*cont'd*)

This pilot project will compare, from a macro-economic perspective, the various treatment modalities for CKD in Member States by investigating the factors that influence the treatment choice (by patient or doctor) and the impact of that choice on healthcare budgets. In addition, the project will examine obstacles to improving kidney donation and transplantation rates. It will answer the question: 'Why is there such an enormous variability in practice in the overall management of CKD and access to transplantation in Europe, and how could these practices be harmonised in order to ensure equal and better quality of care while reducing costs?'

In times of economic crisis, it is crucial to find ways of improving the efficiency of the healthcare systems in the Member States. In the treatment of CKD, two main treatment modalities are currently available: dialysis and kidney transplantation.

With regard to dialysis, it is necessary to assess which type of dialysis would give a better outcome from two standpoints: first, for the patient (improved quality of life and better acceptance) and second, from a health economics point of view.

Transplantation is not used as frequently as dialysis, despite the fact that it makes for a far better quality of life and is more cost-efficient. Hence, an assessment of the different national organisational practices which play a role in facilitating transplantation (i.e. different organ donor policies, different consent systems, the existence of transplant coordinators, bilateral or multilateral agreements for cross-border exchange of organs between Member States, etc.) is essential in order to identify and support the practices which ultimately have a significant effect on transplantation waiting times.

These differences in donor policies greatly affect transplantation waiting times, and long waiting times on dialysis have major deleterious effects on kidney transplant candidates:

- the death rate on dialysis is higher when compared with the survival rate post-transplant,
- the longer the waiting time on dialysis, the lower the transplantation success and patient survival rate,
- finally, the costs of dialysis are much higher than those associated with a functioning kidney transplant, meaning that the longer the waiting time on dialysis is, the higher the total expenditure will be.

All these factors have a significant impact not only on access to transplantation but also on overall spending for the treatment of CKD. Therefore, it is critical to gather the necessary information about their impact on final treatment outcomes, in terms of both quality of care and cost-efficiency.

At present, some Member States are better organised than others with regard to dialysis treatment and kidney transplantation. This stems from the fact that some Member States have included the role of transplant donor coordination in their national structures and have established collaboration through specialised networks such as Eurotransplant and Scandiatransplant.

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 77** (*cont'd*)17 03 77 16 (*cont'd*)

This project will assess the situation in the Member States, sharing and building on the expertise and data from existing initiatives and registers. In addition, the project will take into account the work done in the mid-term review of the action plan on organ donation and transplantation, and other projects in the field, such as ETPOD, EULID, Elupsy and the Accord joint action, which focuses on living donation.

Given that advances in the implementation of health policies, including donation policies and waiting list policies, are the responsibility of the competent national authorities, the project will involve those authorities in cooperation with specialised organisations such as the European Society of Organ Transplantation (ESOT) and the European Transplant Coordinators Organisation (ETCO) and give them access to those organisations' expert input.

*Expected outcomes*

In summary, investigating the many factors that influence the choice of CKD treatment for kidney patients and the different organisational practices which play a role in facilitating kidney transplantation will help to bring about better and more cost-efficient kidney disease treatment practices in Member States.

The overall project goal is to provide an overview of the different treatment modalities and the factors that influence the selection of those modalities in Member States, with a view to harmonising end-stage kidney disease treatments and improving the availability of transplantation across Member States, while at the same time reducing healthcare costs and improving the quality of care.

It is expected that some of the Member States participating in the project will be able to use it as a stepping stone towards successful implementation of Directive 2010/53/EU of the European Parliament and of the Council of 7 July 2010 on standards of quality and safety of human organs intended for transplantation (OJ L 207, 6.8.2010, p. 14) and of the EU action plan on organ donation and transplantation.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 17 Pilot project — Platform for increasing organ donation in the European Union and neighbouring countries: Eudonorg 2015-2016

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	180 000	600 000	300 000		

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 17 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project will focus on training and social awareness to encourage public reflection on organ donation. It will include training for social activists who will pass on the main positive aspects to the rest of society.

The project is for society as a whole, with special emphasis on families, economic and social actors and health professionals as potential social activists to support organ donors and recipients.

Awareness-raising activities will take place in schools and vocational training establishments, helping to increase organ and tissue donation and improve distribution processes and transplantation rates.

Expressed and presumed consent will be encouraged in order to increase the number of donors.

Good practices, extending to telemedicine, will be shared. Recommendations will be made in collaboration with authorities and health professionals

An organ donation and transplantation database for the Union and neighbouring countries will be set up, with a view to:

- analysing the current situation in the Union and neighbouring countries,
- providing indicators for monitoring overall performance in the management of donated and transplanted organs.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 18 Pilot project — Reducing health inequalities experienced by LGBTI people

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	135 000	450 000	225 000		

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 77** (*cont'd*)17 03 77 18 (*cont'd*)*Remarks**Aims:*

- to better understand the specific health inequalities experienced by lesbian, gay, bisexual, transgender and intersex (LGBTI) people, focusing in particular on overlapping inequalities stemming from discrimination on other grounds (e.g. age, disability, race and ethnicity) and the barriers faced by health professionals when providing care to those groups,
- to provide health professionals with specific tools to ensure that they have the right skills and knowledge to overcome those barriers and contribute to the reduction of health inequalities.

*Description*

This project follows on from the Fundamental Rights Agency project 'Public authorities and fundamental rights of LGBT people', in which healthcare professionals were interviewed on specific health inequalities faced by LGBTI people. It will complement pilot projects 'Reducing health inequalities: building expertise and evaluation of actions' (Item 17 03 77 12) and 'Developing evidence-based strategies to improve the health of isolated and vulnerable persons' (Item 17 03 77 13), which address health inequalities, but not as experienced by LGBTI people. To bridge that gap will be the specific focus of this project.

*Outcomes:*

- information will be gathered on specific health inequalities experienced by LGBTI people; particular attention will be paid to those within this group who are at risk of inequality as a result of age, disability, race or ethnic background,
- health professionals will be aware of both those health inequalities and the barriers that they themselves face when providing care to those groups. They will be equipped to overcome these barriers through training modules,
- training modules will be made available to all health stakeholders across the Union.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)

## 17 03 77 19 Pilot project — Access to healthcare for people in rural areas

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	1 000 000	500 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The Commission communication of 4 April 2014 entitled 'On effective, accessible and resilient health systems' (COM(2014) 215 final) stressed that accessibility to healthcare was one of the three pillars of the Union agenda for effective, accessible and resilient health systems.

Access to healthcare in rural areas is a particular problem in various Member States. As populations move to urban areas, resulting in the decay of small and medium-sized cities, the issue of adequate healthcare provision in rural areas will grow in importance in other Member States as well.

The project will help to reduce disparities in healthcare accessibility and to ensure equitable access in rural areas within and among the Member States. Good practices on policies and tools used to improve access to healthcare in rural areas will be identified and exchanged, taking into account factors such as access to facilities and to medical professionals, as well as the cost and affordability of healthcare, the optimum size of healthcare services and the scope for cross-border collaboration between Member States. The specific activities to be carried out under this project will be laid down after a consultation process among Member States and stakeholders in the field of public health and healthcare policies.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 17 03 77 20 Pilot project — Establishing a registry of rare congenital malformations (as part of the Rare Diseases Registry), drawing on the structure, organisation and experience of the Polish Registry of Congenital Malformations (PRCM)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
100 000	50 000				



**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 77** (*cont'd*)17 03 77 20 (*cont'd*)*Remarks*

Rare diseases (85 % are genetic) are life-threatening or chronically debilitating diseases which are of low prevalence (less than 5 per 10 000). It is estimated that between 5 000 and 8 000 distinct rare diseases exist today, affecting between 6 % and 8 % of the population (between 27 and 36 million people in the EU).

About 20-25 % of rare diseases are rare congenital malformations (isolated or multiple, including malformation syndromes).

Eurocat has moved its databases and administrative structures to the Joint Research Centre at Ispra, where the rare diseases platform is sited. However combining malformation registries to form a rare diseases registry for rare malformations (isolated and multiple, including rare malformation syndromes) will require targeted action and the collection of additional information. That will need to be done at national level and additional resources will be necessary. Aim of the pilot project: to establish a Polish Registry of Rare Congenital Malformations (as part of the Rare Diseases Registry), drawing on the structure, organisation and experience of the Polish Registry of Congenital Malformations. This model, if successful, can be implemented also in other Member States.

The pilot project will complement the JRC-SANTE development of an EU platform on rare diseases registration to provide a common access point to data collections on rare diseases across Europe. The pilot project will help in updating policy-relevant and scientifically sound public health indicators and will provide information to other Member States on how to develop national rare disease registration systems based on existing congenital anomalies registries.

*Tasks:*

- to use the PRCM platform to produce public health indicators for a special group of rare diseases — rare malformations (isolated and rare malformation syndromes — about 20 to 25 % of all rare diseases),
- to produce prevalence data and surveillance reports (including trends and clusters where relevant) on a group of selected rare diseases in Poland.

*Methods:*

The PRCM is an excellent model for exploring whether a birth defects registry can be extended to form a rare diseases registry. The PRCM Central Working Group includes 10 experienced clinical geneticists and its expertise can be applied to the project. PRCM collaborates with all genetic clinics in Poland and over 1 500 clinics, wards and outpatient care units across Poland. In order to extend the PRCM to form a rare diseases registry, additional data will be collected and analysed. Collaboration with patients associations will be introduced.

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 20 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 21 Pilot project — Providing support to women struggling with an alcohol problem, in order to reduce risks, in particular during pregnancy

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
350 000	150 000				

*Remarks*

The purpose of this pilot project is to set up a support structure specifically for women, in particular during pregnancy. The aim is to reduce the number of children exposed to alcohol in the womb or suffering from foetal alcohol syndrome (FAS) by taking practical steps such as referring women with an alcohol problem to prevention specialists, coordinating support efforts, setting up discussion groups specifically for women, providing families with support during informal meetings between them and healthcare professionals, and encouraging voluntary participation by women in primary prevention efforts.

By reaching out to women in difficulties, bringing them together, providing them with medical and social support and involving their families, the support structure will seek to foster a strong commitment on the women's part to receiving treatment for their addiction and to offer a dedicated, comprehensive and coordinated care package overseen by professionals.

*Background*

Prenatal exposure to alcohol and the societal problems it causes are an extremely serious public health issue. FAS rates currently stand at 1 % to 2 % of live births in Europe as a whole, and more than 4 % in some communities (in Italy, Croatia and Réunion). It is estimated that 1 % of the children of the 20 % of women who have reported drinking during their pregnancy will suffer from problems that are not always detected at birth.

Female problem drinking and binge drinking among young people are currently increasing in all the Member States, thus heightening the risks associated with inappropriate alcohol consumption. In response to this situation, on 29 April 2015 Parliament adopted a resolution calling on the Commission to devise a new European alcohol strategy.

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 77** (*cont'd*)17 03 77 21 (*cont'd*)

In many places, there is still a taboo about women drinking, and those who do so are stigmatised. The blame is placed on the drinker, not the drink. A mother who suffers from the disease of alcoholism is doubly guilty, firstly because of her abusive habit and secondly because she is seen as a bad mother whose children could be placed in care. Experience shows that women who are struggling with an alcohol problem are extremely unlikely to turn to formal support and addiction treatment services. Thought therefore needs to be given to what is stopping such women from accessing treatment, and they need to be given the support and follow-up they require.

Identifying at-risk persons at the earliest possible age and offering them appropriate medical and paramedical care and social, educational and occupational support are of key importance, not only in order to ensure that everyone gets a proper chance in life but also because of the economic and social consequences of not doing so. Making sure that alcohol problems are identified at the earliest possible stage in a pregnancy — and ideally before its onset — so that the women concerned may be helped to stop drinking must be a priority.

Although high-quality addiction treatment is available in most parts of Europe, there is no special provision for the specific needs of women struggling with an alcohol problem. Such women are in need of someone who is willing to listen, to take them seriously, to reassure them and to offer them support without interfering with their personal lives.

*Aims*

The aim of this pilot project is to demonstrate the need for, and feasibility of, support structures in this area. It could subsequently be transformed into a preparatory action that could then form the basis for a European programme to address problems arising in connection with prenatal alcohol exposure. The pilot project must be implemented alongside, and must complement, the action being taken by the WHO in this area, and must draw on the outcomes and findings of the European Action Plan on Youth Drinking and on Heavy Episodic Drinking (Binge Drinking).

*Assessment*

The pilot project will be continuously assessed throughout its two-year term. That assessment will focus on a number of indicators (number of women taking part, number of applications, risk reduction, etc.) and on whether the project is producing the results expected of it.

*Budget*

The budget will cover the cost of materials (equipment and supplies) for the project itself, the remuneration of the healthcare professionals involved and the necessary advertising costs.

*Place of implementation*

The pilot project could be carried out in one region of the European Union and then extended to cover the other EU regions as part of a European programme to address problems arising in connection with prenatal alcohol exposure that will interlink the regional support structures and allow information and best practice to be pooled.

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## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 21 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 22 Pilot project — MentALLY

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
400 000	200 000				

*Remarks*

MentALLY will provide evidence- and practice-based knowledge to steer a process towards improved European mental health care by competent, reflective professionals who collaborate effectively within and between the lines of a well-organised mental health care system that has the capacity to treat everyone who needs it. Such a process is necessary. Mental health problems are highly prevalent in Europe and there is an apparent mismatch of care. There is a lack of treatment, as more than half of the people with mental health problems do not receive the professional care they need. There is a risk that their problems will worsen and require more intensive and costly treatment. There is also overtreatment of clients receiving unnecessary psychopharmacological treatment or remaining too long in mental health care. Psychotherapeutic treatments have been proven to be effective in reducing mental health problems and their burden, yet the use of psychotropic medication is often more common than psychotherapy. The mismatch of care is at least partly due to a lack of proper assessment and diagnosis of mental health problems, a lack of accessibility of mental health care, especially for vulnerable groups (e.g. women, people who are unemployed, who have a low income, or who are from an ethnic minority background), a lack of coordination and collaboration between primary and specialised mental health care, a lack of clear referral processes, a lack of a coherent vision and policy with regard to the role of different mental health care workers (general practitioners, clinical psychologists, psychiatrists), especially in primary care, a lack of a coherent vision and policy with regard to innovation, and a lack of good practices, especially for vulnerable and difficult-to-treat clients. Furthermore, mental health literacy (MHL — the knowledge and beliefs about mental disorders that aid recognition, management or prevention) can be increased by developing efficient mental health education tools and early intervention recommendations. The latter is of critical importance because current knowledge, capacity and provision are highly variable across Member States.

*Objectives:*

— to develop and test a multi-applicable framework across Member States and to increase access to mental health care,

**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 77** (*cont'd*)17 03 77 22 (*cont'd*)

- to increase primary care professionals' competencies, evaluate the organisation and capacity of care systems, and improve client outcomes,
- to enhance MHL within the general population as well as in relation to specific (vulnerable) populations (e.g. women, the elderly and ethnic minorities).

Firstly, developing a multi-applicable framework to increase access to mental health care requires close collaboration with relevant stakeholders within the field of mental health care. A thorough mapping of mental health care lines and facilities in Member States will generate different descriptions of mental health care trajectories, with their strengths and weaknesses. As different models of care lead to different patient outcomes, recommendations will be made to optimise referral processes relating to mental disorders. An inventory of mental health care facilities and patient trajectories will identify the improvements and actions that are necessary at the organisational and capacity level within Member States. Knowledge exchange relating to best practices as well as weak spots within mental health care facilities will inform the development of a European strategic plan. The results of this exercise will also be translated into (input for) policy advice and a dissemination strategy that involves agenda-setting and opening up space for public debate. Dissemination will be oriented towards clients in order to make sure that they are able to make well-informed decisions about how to cope with/seek help for mental health disorders (see also the third objective). This will avoid excessive treatment of individuals in mental distress who do not need (expensive) specialised help and also a lack of treatment of individuals who do need specialised help.

Secondly, a focus on change at the level of mental health care professionals will lead to the development of competence-based training modules for professionals, guides for enhancing feedback dynamics between professionals, and good practices for treatment of mental health disorders. Training tools, training protocols, and train-the-trainer guides will be developed, as will (online) monitoring instruments to evaluate whether or not implementation is successful. These instruments will also be ideally suited to follow-up activities, communication with other professionals and (international) benchmarking. Examples of deliverables in this category are an evidence-based referral tool (decision tree) for general practitioners, a competence-based training programme for brief interventions for clinical psychologists working in primary care, and collaboration guidelines for professionals within mental health care to enhance feedback and communication processes, and to enhance multidisciplinary diagnostics.

Thirdly, a focus on change at the level of (potential) clients will involve creating conditions in which clients are well-informed and independent. This calls for a focus on tools to enhance the analysis of linguistic framings relating to mental health discourse and public debate. Organising sources of MHL in a European database for 'corpus linguistics' (i.e. a database that includes a large body of 'real world' texts that allows the study of language) will allow for a better study of the (linguistic) framing of mental health and stigma-related issues. Also, evaluating the evidence-based potential of new technologies (e.g. social media, eHealth and mHealth psychotherapeutic tools) and the construction of a database with evidence-based electronic best practices will enhance MHL and self-help strategies in diverse populations. Finally, identifying correlates/predictors of low levels of MHL and low levels of help-seeking behaviour will aid in developing guidelines for evidence-based treatments that increase MHL in vulnerable and/or stigmatised groups.

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 22 (*cont'd*)*Network and added value*

The focus of this project requires an elaborate, balanced, and skilful network that has access to relevant scientific as well as public resources. It will cover the participation of at least 12 Member States in eastern, western, northern, and southern regions of Europe. Furthermore, the network will contain complementary academic expertise in the field of clinical psychology, mental health and stigma, educational studies and discourse analysis, and public health studies. Academic expertise within the field of clinical psychology will include knowledge on neurological and developmental disorders (e.g. ADHD and autism spectrum disorders), psychotherapeutic and psychiatric services, the promotion of positive mental health, stigma (related to mental health), mental health policy, and online psychotherapeutic interventions. Furthermore, specific expertise on ethics (integrity issues, moral reasoning, ethics in health care), public health issues; and health promotion will be included. Necessary expertise within educational studies will include knowledge on rhetorical criticism of psychiatry, agency in contemporary public debate, stylistics, metaphor theory and analysis, medical humanities/health communication, discourse analysis, and corpus methods.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 23 Pilot project — Severe mental disorders and the risk of violence: pathways through care and effective treatment strategies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 200 000	500 000				

*Remarks*

The risk of violence, and the perception of the risk of violence on the part of patients with severe mental disorders, is a pan-European public health problem, not only because of the potential for injury and death to patients, staff, relatives and strangers, but also because of the counter-therapeutic effects that both violence and the measures deployed to prevent violence entail. The stigma of mental illness is intimately linked to the public's perception of the fear of violence by the mentally ill. These pressures can in turn influence clinicians' and even policymakers' decision-making. Recent, dramatic events, such as the Germanwings disaster, have emphasised the importance of this problem in the eyes of the European public, highlighting the need to develop preventive measures and treatment strategies that are able to identify and reduce the risk of violent behaviour by people suffering from severe mental disorders, whether to themselves or to other people. Although these risks are small in absolute terms and make a small contribution to societal violence, clarification of rates and trends should help address public myths about the dangerousness of psychiatric patients. In contrast, it is estimated that more than half of all suicides in European countries are committed by people with underlying mental disorders. Thus, the pilot project will also examine suicide in forensic psychiatric patients on release, and also suicide attempts. The latter lead to repeated hospital admissions, and can have profound damaging consequences for the morale of medical staff.

CHAPTER 17 03 — PUBLIC HEALTH (cont'd)

17 03 77 (cont'd)

17 03 77 23 (cont'd)

The perceived threat of violence results in greater use of coercive measures such as involuntary hospitalisation, restraint, seclusion, and enforced medication, which patients and their carers almost unanimously perceive as traumatic and even counter-therapeutic and can, in turn, trigger aggressive responses from patients instead of engagement and cooperation. Furthermore involuntary admission to secure forensic units after rare but serious acts of violence linked to severe mental disorders can lead to prolonged hospital stays, and consume a disproportionately large proportion of limited mental health budgets.

The pilot project will focus on forensic psychiatric services, which first and foremost is care for those patients with a mental disorder who pose a risk to others, though who also have increased rates of suicide. New out- and in-patient services for the management of such patients are growing in many but not all European countries. New models of treatment for this often marginalised group of patients are being developed, including for example out-patient forensic assertive community treatment teams and specialised forensic clinics. However, across Europe, service design, intervention strategies and legal frameworks for patients at risk of violence, or who have acted violently, vary greatly. They have never been comparatively evaluated in terms of patient satisfaction, therapeutic effectiveness, risk reduction, recovery and cost, yet such services in some countries consume 20 % of resources in caring for less than 1 % of psychiatric patients. This lack of reliable comparative data has prevented many European countries from benefiting from the innovative strategies developed in those states which have been able to deploy greater resources in innovation and research, and have tested potentially more therapeutic and efficient models of service delivery.

A better understanding of the factors associated with risk of violence to self and others in patients with severe mental disorders, an assessment of the best tools capable of predicting risk, for decision-making, and an evaluation of effective treatments will assist clinicians, managers, lawmakers and governments in the planning and evolution of services and in the development of preventive and supportive measures. Moreover, comparison of national variations in pathways into and out of care, including specialised secure services, in different countries is critically needed at this time, and a European project involving different countries, with different health and legal systems, will provide valuable information in order to improve the quality of mental health care for this difficult, marginalised but also hugely costly population.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 24 Pilot project — Towards a fairer and more effective measurement of access to healthcare across the EU in order to enhance cooperation and know-how transfer

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
250 000	125 000				

## COMMISSION

## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 24 (*cont'd*)*Remarks**Overview*

Acknowledging that current healthcare access measurements have been proven to have some limitations with regard to providing adequate, accurate, reliable and timely information on access in the EU, the pilot project will develop and pilot a composite new set of healthcare access measurements and/or the improvement of existing measurements, in order to address the different needs of various healthcare stakeholders.

Its fundamental aim is to enable the development of policies that address health inequalities and barriers to access on the basis of objective and comparable information and help improve the sustainability and performance of healthcare systems in Member States.

*Objectives and expected outcome:*

- review of existing measurements and indicators as well as the different aspects of access across the EU,
- development, through consultation with key stakeholders, of possible new indicators and/or improvement of existing indicators, to ensure that groups vulnerable to exclusion are reached and a 'total picture' of access to healthcare in countries and regions is thus acquired,
- pilot testing and subsequent refinement of those indicators in geographically, economically and culturally diverse Member States,
- exploration and possible validation of a new set of indicators and/or of existing indicators improved upon with the relevant European and international organisations,
- strategy and roadmap for scale-up and implementation, focusing on three disease priorities: (a) non-communicable diseases; (b) communicable diseases; (c) rare diseases.

The main outcome of the pilot project will be a set of new and complementary indicators and/or the improvement of existing indicators, in order to assess patient access to healthcare. This will be a valuable resource to enable policymakers to monitor the population's access to healthcare at European, national and regional level. It will allow access monitoring and comparison at EU level to help policymakers develop programmes and policies to reduce inequities in health. Currently the definitions of access are not clear and consistent across Member States and do not fit into a coherent conceptual framework. The pilot project will use an intersectoral and multidisciplinary approach to create consensus among different stakeholders on the concept and dimensions of healthcare access and its measurement. Patient organisations need to be involved in this analysis to ensure that there is information about semi-informal access. The project will last two years. When implementing the project, the Commission should take into account the opinion on access to healthcare of the Expert Panel on Effective Ways of Investing in Health.



**CHAPTER 17 03 — PUBLIC HEALTH** (*cont'd*)**17 03 77** (*cont'd*)17 03 77 24 (*cont'd*)*Justification*

Despite major improvements in healthcare systems across the EU, marked differences and inequities in patient access to quality healthcare exist within and between Member States. Socio-economic status, place of residence, gender, ethnic group and level of education are the most common factors that affect access to healthcare. The recent economic crisis has contributed to a significant widening of the gap between people of different socio-economic status and, as a result, the social gradient in health has intensified. The ageing of the population and the exacerbation of inequalities in health by the economic crisis suggest that access should be addressed at EU level in a way that supports countries and enables them to identify and implement effective solutions. To achieve this goal, it is imperative to measure healthcare access in a common, evidence-based and effective manner.

The Commission, the World Health Organisation, the OECD and other international organisations have highlighted the importance of accurate and reliable measurements for healthcare access, in order to ensure that healthcare systems are transparent and accessible and meet the needs of the population. Moreover, research evidence suggests that the social determinants of health impacting the accessibility of the healthcare system are resulting in increased gaps between and within Member States.

A common disadvantage of the current indicators is that they measure self-perception of unmet needs for care, but do not allow an objective measurement of access. In many cases, the current self-reported measurements for healthcare access are designed to assess utilisation of health services and fail to evaluate access in difficult-to-reach (vulnerable) populations. In fact, they evaluate access in patients who can access (in financial and other terms) the healthcare system. This is a critical gap, because vulnerable populations are suffering health inequalities disproportionately and thus they face multiple barriers to healthcare access. As a result, a huge amount of resources are spent without creating the right knowledge base upon which policymakers can act.

In many cases, national surveys used to assess access do not allow comparability across countries, while the methods and approaches are subject to inherent limitations. Nationally collected data is affected by the policy priorities in each country concerning the indicators made available and the health issues prioritised. Furthermore, the self-reported measurements that are commonly used to assess access are vulnerable to bias, especially as regards questionnaire development and research design, inquiry wording and cultural and psychosocial factors. What are missing are all-encompassing indicators providing information not only on the availability, adequacy, appropriateness and affordability of healthcare services, but also on the quality of those services and the use that individuals make of them.

Evaluation of access remains general and, in some cases, superficial and contradictory. The current healthcare access measurements cannot provide adequate and appropriate information matching the needs, aims and role of each stakeholder. Moreover, there is duplication between the current tools assessing access as well as a quality deficit and significant gaps. Since healthcare access requires process and performance indicators simultaneously, a composite but feasible measurement system is needed.

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## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 24 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 25 Pilot project — Integrate: development of integrated strategies to monitor and treat chronic and rheumatic diseases: the role of quality indicators and patient-reported outcomes in addition to physician evaluation of disease activity and damage

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

It is generally acknowledged that the clinical picture observed in many chronic diseases (such as diabetes, cardiovascular diseases, neurological disorders and rheumatic diseases) is the result of the combination of different components: disease activity, damage consequent to the disease itself or the treatment, co-morbidities, drug toxicity, quality of life and disease burden on patients. Therefore, optimum treatment and management of chronic diseases means that these aspects have to be factored in.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 26 Pilot project — Primary prevention courses for girls living in areas with a higher risk of breast cancer

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)

17 03 77 (*cont'd*)

17 03 77 26 (*cont'd*)

*Remarks*

The key objective of this pilot project is the primary prevention of breast cancer in municipalities where there is a high incidence of polluting agents for exceptional reasons, such as soil and water contamination because of illegal disposal of toxic waste, extremely polluting factories or sites where waste treatment has a dangerous impact on the environment.

It has been proved that, in those areas, tumour risk is much higher than in 'normal' areas; and it is therefore vital not only to develop cures and boost research into cancer therapies, but also to raise awareness of the importance of prevention, especially among young women. At this stage, prevention of course be much more effective and can stop cancers evolving into dangerous stages.

The pilot project is targeted at girls in the final years of secondary school and university courses in areas, as described above, where it has been proven that tumour rates are statistically much higher than average.

The aim of the project is to increase awareness on all actions and factors that could prevent breast cancer. The focus will be on teaching about behaviours and lifestyles that could increase the chances of tumour development, such as alcohol or tobacco abuse or poor nutrition habits.

Most importantly, as part of the pilot project there will be arrangements for girls to contact doctors, who can explain what breast cancer is and how to detect it through breast self-examination. Self-examination is one of the best ways of detecting cancer, with a view to treating it at an early stage. The pilot project will therefore support frontal classes with doctors and experts in order explain these issues.

Prevention is not the cure; but in areas where there is a higher risk it can be very effective, obviating the need for aggressive treatments and saving lives.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 03 77 27 Pilot project — Food redistribution

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 03 — PUBLIC HEALTH (*cont'd*)17 03 77 (*cont'd*)17 03 77 27 (*cont'd*)*Remarks*

A study financed and published in 2010 estimated that every year in Europe approximately 89 million tonnes of food is wasted for various reasons, such as poor management of food stocks by big retailers and unsustainable shopping behaviour. With that trend continuing, it is expected that, without any clear prevention and management measures at EU level, the volume of food wasted will increase to more than 126 million tonnes. Member States have adopted a series of food waste prevention initiatives, including information tools and campaigns, logistics improvements and food redistribution programmes.

This pilot project will add to EU efforts to tackle food waste, in particular through effective promotion and implementation of guidelines to facilitate redistribution of food in the EU. The Commission will develop such guidelines within an existing legal framework, together with the relevant authorities in the Member States and stakeholders representing various actors in the food chain (farmers, food manufacturers, retailers, food service and the hospitality sector).

For each Member State, the project will therefore involve an overview of the policy/regulatory framework for food redistribution, an analysis of policies/legislation impacting on food redistribution, stakeholder research, meetings and consultations promoting dialogue with policymakers at national level, and lessons learned and best practices within the European Federation of Food Banks and various information campaigns..

Despite the fact that the EU has no competence to set up food banks in Member States, establishing a sound framework for food redistribution in the EU could help Member States adopt a series of food redistribution programmes, e.g. involving food banks. Evidence shows that such programmes have a positive socio-economic impact on local communities, especially on disadvantaged groups, by combating hunger and malnutrition, creating jobs and improving individuals' accountability.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
17 04	FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH								
17 04 01	<i>Ensuring a higher animal health status and high level of protection of animals in the Union</i>	3	171 925 000	144 840 000	178 500 000	138 351 838	172 356 231,01	4 059 231,01	2,80
17 04 02	<i>Ensuring timely detection of harmful organisms for plants and their eradication</i>	3	12 000 000	6 100 000	7 600 000	7 190 844	205 500,—	0,—	0
17 04 03	<i>Ensuring effective, efficient and reliable controls</i>	3	47 401 000	55 250 000	47 360 000	28 763 376	61 755 109,—	14 477 680,53	26,20
17 04 04	<i>Fund for emergency measures related to animal and plant health</i>	3	19 000 000	18 000 000	10 400 000	6 087 792	12 662 896,08	1 911 896,08	10,62
17 04 07	<i>European Chemicals Agency — Activities in the field of biocides legislation</i>	2	3 319 628	3 319 628	5 474 125	5 474 125	6 460 819,59	6 460 819,59	194,62
17 04 10	<i>Contributions to international agreements and membership of international organisations in the fields of food safety, animal health, animal welfare and plant health</i>	4	290 000	290 000	276 000	248 043	218 782,12	218 782,12	75,44
17 04 51	<i>Completion of previous measures in food and feed safety, animal health, animal welfare and plant health</i>	3	p.m.	15 000 000	p.m.	28 763 376	0,—	195 859 917,74	1 305,73
17 04 77	<i>Pilot projects and preparatory actions</i>								
17 04 77 01	Pilot project — Coordinated European Animal Welfare Network	2	p.m.	p.m.	p.m.	p.m.	0,—	159 032,57	
17 04 77 02	Preparatory action — Control posts (resting points) in relation to transport of animals	2	p.m.	p.m.	p.m.	p.m.	0,—	1 022 958,47	
17 04 77 03	Pilot project — Developing best practices in animal transport	2	p.m.	300 000	p.m.	300 000	1 000 000,—	0,—	0
17 04 77 04	Pilot project — Farmhouse and Artisan Cheesemakers' European Network — Project involving the production of European guidelines for proper hygienic practice	2	p.m.	150 000	p.m.	100 000	250 000,—	0,—	0
	Article 17 04 77 — Subtotal		p.m.	450 000	p.m.	400 000	1 250 000,—	1 181 991,04	262,66
	<b>Chapter 17 04 — Total</b>		<b>253 935 628</b>	<b>243 249 628</b>	<b>249 610 125</b>	<b>215 279 394</b>	<b>254 909 337,80</b>	<b>224 170 318,11</b>	<b>92,16</b>

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TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)**17 04 01 Ensuring a higher animal health status and high level of protection of animals in the Union***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
171 925 000	144 840 000	178 500 000	138 351 838	172 356 231,01	4 059 231,01

*Remarks*

The Union's financial assistance helps accelerate the eradication or control of animal diseases by providing funds to supplement national financial resources and contributes to harmonising measures at Union level. The bulk of these diseases or infections are zoonoses communicable to man (BSE, brucellosis, avian influenza, salmonellosis, tuberculosis, etc.). Moreover, the persistence of the diseases in question hampers the smooth operation of the internal market; fighting them helps to improve the level of public health and to boost food safety in the Union.

This appropriation is also intended to cover the Union's contribution to measures for eliminating obstacles to the free movement of goods in these sectors, for veterinary support and as back-up action.

It consists in providing financial assistance:

- for the purchase, storage and formulation of foot-and-mouth antigens and of different vaccines,
- for the development of marker vaccines or tests that can distinguish between sick and vaccinated animals.

*Legal basis*

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1), and in particular Article 50 thereof.

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (OJ L 155, 18.6.2009, p. 30).

Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC (OJ L 189, 27.6.2014, p. 1).

**17 04 02 Ensuring timely detection of harmful organisms for plants and their eradication***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 000 000	6 100 000	7 600 000	7 190 844	205 500,—	0,—

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)17 04 02 (*cont'd*)*Remarks*

This appropriation is intended to cover preventive actions to combat the pests and diseases threatening agricultural and horticultural crops, forests and landscape. It covers also Union contributions towards the specific measures for agriculture in the outermost regions of the Union.

*Legal basis*

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC (OJ L 189, 27.6.2014, p. 1).

17 04 03 ***Ensuring effective, efficient and reliable controls****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
47 401 000	55 250 000	47 360 000	28 763 376	61 755 109,—	14 477 680,53

*Remarks*

This appropriation is intended to cover the implementation of the initial measures resulting from Regulation (EC) No 882/2004, in particular:

- the activities of the Union laboratories,
- training on feed and food control,
- travel and subsistence expenses for national experts participating in Food and Veterinary Office missions,
- IT tools, communication and information on feed and food control, development of Union strategy for safer food,
- for an information policy in the field of animal protection, including information campaigns and programmes to inform the public about the harmlessness of consumption of meat from vaccinated animals, as well as information campaigns and programmes emphasising the humane aspects of vaccination strategies in the fight against contagious animal diseases,

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CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)17 04 03 (*cont'd*)

- for the monitoring of compliance with animal-protection provisions during the transport of animals for slaughter,
- for the establishment and maintenance of a rapid alert system, including a worldwide rapid alert system, for the notification of direct or indirect risks to human and animal health deriving from food or feed,
- for technical and scientific measures necessary to develop Union veterinary legislation and for the development of veterinary education or training,
- for information technology tools, including Traces and the Animal Disease Notification System,
- for measures to combat illegal imports of dog and cat furs.

This appropriation is also intended for measures to prevent the import of animal clones and their descendants and of products obtained from animal clones and their descendants.

This appropriation is also intended to cover the Union contribution to the implementation, by the Commission and/or the Member States, of the measures provided for in the legal basis below, in particular the provisions eliminating obstacles to the free movement of goods in these sectors.

*Legal basis*

Council Directive 66/401/EEC of 14 June 1966 on the marketing of fodder plant seed (OJ 125, 11.7.1966, p. 2298/66).

Council Directive 66/402/EEC of 14 June 1966 on the marketing of cereal seed (OJ 125, 11.7.1966, p. 2309/66).

Council Directive 68/193/EEC of 9 April 1968 on the marketing of material for the vegetative propagation of the vine (OJ L 93, 17.4.1968, p. 15).

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986-1994) (OJ L 336, 23.12.1994, p. 1), and in particular Article 5, Assessment of Risk and Determination of the Appropriate Level of Sanitary or Phytosanitary Protection, of the chapter 'Agreement on the application of sanitary and phytosanitary measures' thereof.

Council Directive 1999/105/EC of 22 December 1999 on the marketing of forest reproductive material (OJ L 11, 15.1.2000, p. 17), and in particular Article 11(1) thereof.

Council Directive 2002/53/EC of 13 June 2002 on the common catalogue of varieties of agricultural plant species (OJ L 193, 20.7.2002, p. 1), and in particular Article 17 thereof.

Council Directive 2002/54/EC of 13 June 2002 on the marketing of beet seed (OJ L 193, 20.7.2002 p. 12).

Council Directive 2002/55/EC of 13 June 2002 on the marketing of vegetable seed (OJ L 193, 20.7.2002, p. 33).



**CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH** (*cont'd*)**17 04 03** (*cont'd*)

Council Directive 2002/56/EC of 13 June 2002 on the marketing of seed potatoes (OJ L 193, 20.7.2002, p. 60).

Council Directive 2002/57/EC of 13 June 2002 on the marketing of seed of oil and fibre plants (OJ L 193, 20.7.2002, p. 74).

Council Directive 2008/72/EC of 15 July 2008 on the marketing of vegetable propagating and planting material, other than seed (OJ L 205, 1.8.2008, p. 28).

Regulation (EC) No 1332/2008 of the European Parliament and of the Council of 16 December 2008 on food enzymes and amending Council Directive 83/417/EEC, Council Regulation (EC) No 1493/1999, Directive 2000/13/EC, Council Directive 2001/112/EC and Regulation (EC) No 258/97 (OJ L 354, 31.12.2008, p. 7).

Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives (OJ L 354, 31.12.2008, p. 16).

Regulation (EC) No 1334/2008 of the European Parliament and of the Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods and amending Council Regulation (EEC) No 1601/91, Regulation (EC) No 2232/96 and (EC) No 110/2008 and Directive 2000/13/EC (OJ L 354, 31.12.2008, p. 34).

Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC (OJ L 189, 27.6.2014, p. 1).

**17 04 04** ***Fund for emergency measures related to animal and plant health***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
19 000 000	18 000 000	10 400 000	6 087 792	12 662 896,08	1 911 896,08

**Remarks**

The outbreak of some animal diseases in the Union could possibly have a major impact on the operation of the internal market and on the Union's commercial relations with third countries. Thus, it is important for the Union to make a financial contribution in order to allow the fastest eradication possible of any outbreak of a serious infectious disease in Member States by deploying Union resources to fight these diseases.

This appropriation is intended to cover curative actions to combat the pests and diseases threatening agricultural and horticultural crops, forests and landscape, among them the spread of exotic invasive species and diseases (such as pine nematode and others), which are becoming more frequent and are spreading.

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CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)17 04 04 (*cont'd*)*Legal basis*

Regulation (EU) No 652/2014 of the European Parliament and of the Council of 15 May 2014 laying down provisions for the management of expenditure relating to the food chain, animal health and animal welfare, and relating to plant health and plant reproductive material, amending Council Directives 98/56/EC, 2000/29/EC and 2008/90/EC, Regulations (EC) No 178/2002, (EC) No 882/2004 and (EC) No 396/2005 of the European Parliament and of the Council, Directive 2009/128/EC of the European Parliament and of the Council and Regulation (EC) No 1107/2009 of the European Parliament and of the Council and repealing Council Decisions 66/399/EEC, 76/894/EEC and 2009/470/EC (OJ L 189, 27.6.2014, p. 1).

17 04 07 *European Chemicals Agency — Activities in the field of biocides legislation**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 319 628	3 319 628	5 474 125	5 474 125	6 460 819,59	6 460 819,59

*Remarks**Former Item 07 02 05 01*

This appropriation is intended to cover the Agency's staff, administrative and operational expenditure for the activities related to the implementation of the biocides legislation.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA states pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA states entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The establishment plan of the European Chemicals Agency is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 3 650 000. An amount of EUR 330 372 coming from the recovery of surplus is added to the amount of EUR 3 319 628 entered in the budget.

*Legal basis*

Regulation (EU) No 334/2014 of the European Parliament and of the Council of 11 March 2014 amending Regulation (EU) No 528/2012 concerning the making available on the market and use of biocidal products, with regard to certain conditions for access to the market (OJ L 103, 5.4.2014, p. 22).

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)**17 04 10 Contributions to international agreements and membership of international organisations in the fields of food safety, animal health, animal welfare and plant health***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
290 000	290 000	276 000	248 043	218 782,12	218 782,12

*Remarks*

This appropriation is intended to cover the Union contribution to the International Union for the Protection of New Varieties of Plants (UPOV), established by the International Convention for the Protection of New Varieties of Plants as last amended on 19 March 1991, which provides for an exclusive property right for breeders of new plant varieties.

*Legal basis*

Council Decision No 2005/523/EC of 30 May 2005 approving the accession of the European Community to the International Convention for the Protection of New Varieties of Plants, as revised at Geneva on 19 March 1991 (OJ L 192, 22.7.2005, p. 63).

**17 04 51 Completion of previous measures in food and feed safety, animal health, animal welfare and plant health***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	15 000 000	p.m.	28 763 376	0,—	195 859 917,74

*Remarks*

This payment appropriation is intended to cover expenditure previously committed in the domain of the food and feed safety, animal health, animal welfare and plant health.

*Legal basis*

Council Directive 66/401/EEC of 14 June 1966 on the marketing of fodder plant seed (OJ 125, 11.7.1966, p. 2298/66).

Council Directive 66/402/EEC of 14 June 1966 on the marketing of cereal seed (OJ 125, 11.7.1966, p. 2309/66).

Council Directive 68/193/EEC of 9 April 1968 on the marketing of material for the vegetative propagation of the vine (OJ L 93, 17.4.1968, p. 15).

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CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)17 04 51 (*cont'd*)

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986-1994) (OJ L 336, 23.12.1994, p. 1), and in particular Article 5 (Assessment of Risk and Determination of the Appropriate Level of Sanitary or Phytosanitary Protection), of the chapter 'Agreement on the application of sanitary and phytosanitary measures' thereof.

Council Directive 98/56/EC of 20 July 1998 on the marketing of propagating material of ornamental plants (OJ L 226, 13.8.1998, p. 16).

Council Directive 1999/105/EC of 22 December 1999 on the marketing of forest reproductive material (OJ L 11, 15.1.2000, p. 17), and in particular Article 11(1) thereof.

Council Directive 2000/29/EC of 8 May 2000 on protective measures against the introduction into the Community of organisms harmful to plants or plant products and against their spread within the Community (OJ L 169, 10.7.2000, p. 1).

Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1), and in particular Article 50 thereof.

Council Directive 2002/53/EC of 13 June 2002 on the common catalogue of varieties of agricultural plant species (OJ L 193, 20.7.2002, p. 1), and in particular Article 17 thereof.

Council Directive 2002/54/EC of 13 June 2002 on the marketing of beet seed (OJ L 193, 20.7.2002 p. 12).

Council Directive 2002/55/EC of 13 June 2002 on the marketing of vegetable seed (OJ L 193, 20.7.2002, p. 33).

Council Directive 2002/56/EC of 13 June 2002 on the marketing of seed potatoes (OJ L 193, 20.7.2002, p. 60).

Council Directive 2002/57/EC of 13 June 2002 on the marketing of seed of oil and fibre plants (OJ L 193, 20.7.2002, p. 74).

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1).

Regulation (EC) No 396/2005 of the European Parliament and of the Council of 23 February 2005 on maximum residue levels of pesticides in or on food and feed of plant and animal origin (OJ L 70, 16.3.2005, p. 1).

Council Regulation (EC) No 247/2006 of 30 January 2006 laying down specific measures for agriculture in the outermost regions of the Union (OJ L 42, 14.2.2006, p. 1).

Council Directive 2008/72/EC of 15 July 2008 on the marketing of vegetable propagating and planting material, other than seed (OJ L 205, 1.8.2008, p. 28).

Council Directive 2008/90/EC of 29 September 2008 on the marketing of fruit plant propagating material and fruit plants intended for fruit production (OJ L 267, 8.10.2008, p. 8).

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)17 04 51 (*cont'd*)

Regulation (EC) No 1332/2008 of the European Parliament and of the Council of 16 December 2008 on food enzymes and amending Council Directive 83/417/EEC, Council Regulation (EC) No 1493/1999, Directive 2000/13/EC, Council Directive 2001/112/EC and Regulation (EC) No 258/97 (OJ L 354, 31.12.2008, p. 7).

Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives (OJ L 354, 31.12.2008, p. 16).

Regulation (EC) No 1334/2008 of the European Parliament and of the Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods and amending Council Regulation (EEC) No 1601/91, Regulation (EC) No 2232/96 and (EC) No 110/2008 and Directive 2000/13/EC (OJ L 354, 31.12.2008, p. 34).

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (OJ L 155, 18.6.2009, p. 30).

17 04 77 **Pilot projects and preparatory actions**

## 17 04 77 01 Pilot project — Coordinated European Animal Welfare Network

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	159 032,57

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 17 04 77 02 Preparatory action — Control posts (resting points) in relation to transport of animals

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 022 958,47

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## TITLE 17 — HEALTH AND FOOD SAFETY

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)17 04 77 (*cont'd*)17 04 77 02 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 04 77 03 Pilot project — Developing best practices in animal transport

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	p.m.	300 000	1 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

17 04 77 04 Pilot project — Farmhouse and Artisan Cheesemakers' European Network — Project involving the production of European guidelines for proper hygienic practice

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	150 000	p.m.	100 000	250 000,—	0,—

**CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH** (*cont'd*)**17 04 77** (*cont'd*)17 04 77 04 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 18*

**MIGRATION AND HOME AFFAIRS**



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**TITLE 18**

**MIGRATION AND HOME AFFAIRS**

**General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
18 01	ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA	52 847 598	52 847 598	43 795 905	43 795 905	42 083 275,97	42 083 275,97
18 02	INTERNAL SECURITY	1 089 208 867	849 121 556	870 899 920	595 021 311	410 155 929,80	539 355 732,37
18 03	ASYLUM AND MIGRATION	1 906 730 094	1 147 110 600	636 906 927	396 821 568	63 146 521,62	199 577 673,59
18 04	FOSTERING EUROPEAN CITIZENSHIP	22 977 000	21 450 000	21 894 000	15 971 440	23 393 356,95	24 024 183,75
18 05	HORIZON 2020 — RESEARCH RELATED TO SECURITY	136 092 171	235 589 343	145 735 857	133 067 766	152 294 410,19	266 859 166,39
18 06	ANTI-DRUGS POLICY	17 236 000	17 324 000	17 643 000	17 543 447	17 826 149,06	17 057 569,48
<b>Title 18 — Total</b>		<b>3 225 091 730</b>	<b>2 323 443 097</b>	<b>1 736 875 609</b>	<b>1 202 221 437</b>	<b>708 899 643,59</b>	<b>1 088 957 601,55</b>

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## TITLE 18

## MIGRATION AND HOME AFFAIRS

## CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
18 01	ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA					
<b>18 01 01</b>	<b><i>Expenditure relating to officials and temporary staff in the 'Migration and Home affairs' policy area</i></b>	5.2	35 334 794	29 096 562	28 711 381,51	81,26
<b>18 01 02</b>	<b><i>External personnel and other management expenditure in support of the 'Migration and home affairs' policy area</i></b>					
18 01 02 01	External personnel	5.2	2 352 155	1 599 902	1 945 651,83	82,72
18 01 02 11	Other management expenditure	5.2	2 502 512	1 573 838	1 513 912,10	60,50
	<i>Article 18 01 02 — Subtotal</i>		4 854 667	3 173 740	3 459 563,93	71,26
<b>18 01 03</b>	<b><i>Expenditure relating to information and communication technology equipment and services of the 'Migration and home affairs' policy area</i></b>	5.2	2 255 104	1 847 225	2 076 586,98	92,08
<b>18 01 04</b>	<b><i>Support expenditure for operations and programmes in the 'Migration and home affairs' policy area</i></b>					
18 01 04 01	Support expenditure for Internal Security Fund	3	2 325 000	2 150 000	1 080 764,29	46,48
18 01 04 02	Support expenditure for Asylum, Migration and Integration Fund	3	2 325 000	2 150 000	1 164 786,22	50,10
18 01 04 03	Support expenditure for the programme 'Europe for citizens'	3	160 000	153 000	215 323,64	134,58
18 01 04 04	Support expenditure for the Justice programme — Anti-drugs	3	100 000	74 920	53 881,72	53,88
	<i>Article 18 01 04 — Subtotal</i>		4 910 000	4 527 920	2 514 755,87	51,22

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CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>18 01 05</b>	<b>Support expenditure for research and innovative programmes in the 'Migration and home affairs' policy area</b>					
18 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	2 229 533	1 902 336	1 836 824,41	82,39
18 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	576 000	570 122	630 704,02	109,50
18 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	484 500	475 000	662 459,25	136,73
	<i>Article 18 01 05 — Subtotal</i>		3 290 033	2 947 458	3 129 987,68	95,14
<b>18 01 06</b>	<b>Executive agencies</b>					
18 01 06 01	Education, Audiovisual and Culture Executive Agency — Contribution from the programme 'Europe for citizens'	3	2 203 000	2 203 000	2 191 000,—	99,46
	<i>Article 18 01 06 — Subtotal</i>		2 203 000	2 203 000	2 191 000,—	99,46
	<b>Chapter 18 01 — Total</b>		<b>52 847 598</b>	<b>43 795 905</b>	<b>42 083 275,97</b>	<b>79,63</b>

**18 01 01** *Expenditure relating to officials and temporary staff in the 'Migration and Home affairs' policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
35 334 794	29 096 562	28 711 381,51

**18 01 02** *External personnel and other management expenditure in support of the 'Migration and home affairs' policy area*

**18 01 02 01** External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 352 155	1 599 902	1 945 651,83

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CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (*cont'd*)**18 01 02** (*cont'd*)

## 18 01 02 11 Other management expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 502 512	1 573 838	1 513 912,10

*Remarks*

Part of this appropriation should be used to ensure adequate support for the Article 29 Working Party.

Part of this appropriation should be used for anti-discrimination training for staff.

**18 01 03** *Expenditure relating to information and communication technology equipment and services of the 'Migration and home affairs' policy area**Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 255 104	1 847 225	2 076 586,98

**18 01 04** *Support expenditure for operations and programmes in the 'Migration and home affairs' policy area*

## 18 01 04 01 Support expenditure for Internal Security Fund

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 325 000	2 150 000	1 080 764,29

*Remarks*

This appropriation is intended to cover the Internal Security Fund technical assistance provided for in Article 9 of Regulation (EU) No 513/2014 and Article 16 of Regulation (EU) No 515/2014. It may cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this Item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It may, in particular, be used to cover:

- support expenditure (assistance for project preparation and appraisal, actions related to audit, actions related to fraud detection and prevention, conferences, seminars, workshops and other common information and training measures on the implementation of Regulation (EU) No 513/2014 and Regulation (EU) No 515/2014 as well as the related specific regulations for designated authorities and beneficiaries, translations),

**CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MIGRATION AND HOME AFFAIRS’ POLICY AREA** (*cont’d*)**18 01 04** (*cont’d*)18 01 04 01 (*cont’d*)

- actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries,
- the corporate communication of the political priorities of the Union provided that these are related to the general objectives of Regulation (EU) No 513/2014 and Regulation (EU) No 515/2014 as well as the related specific regulations,
- the installation, updating, operation and interconnection of computerised systems for management, monitoring, audit, control and evaluation,
- the design of a common framework for evaluation and monitoring, as well as a system of indicators, taking into account, where appropriate, national indicators,
- evaluations, including actions to improve evaluation methods and the exchange of information on evaluation practices, the design of a common framework for evaluation and monitoring, expert reports statistics and studies,
- support for institutional strengthening and administrative capacity building for the effective management of Regulations (EU) No 513/2014 and (EU) No 515/2014 as well as the related specific regulations,
- measures related to the analysis, management, monitoring, information exchange and implementation of Regulations (EU) No 513/2014 and (EU) No 515/2014 and the related specific regulations, as well as measures relating to the implementation of control systems and technical and administrative assistance.

In accordance with the Agreement to be concluded between the European Union and the Republic of Iceland, the Kingdom of Norway, the Swiss Confederation and the Principality of Liechtenstein on supplementary rules in relation to the Internal Security Fund — Borders — for the period 2014 to 2020, the Commission may use up to the amount to be set up in the Agreement of the payments made by the associated States each year to finance the administrative expenditure related to staff or external staff necessary for supporting the implementation by the associated States of the Fund and that Agreement.

*Legal basis*

See Article 18 02 01.

Regulation (EU) No 513/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for police cooperation, preventing and combating crime, and crisis management and repealing Council Decision 2007/125/JHA (OJ L 150, 20.5.2014, p. 93).

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

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CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (*cont'd*)18 01 04 (*cont'd*)18 01 04 01 (*cont'd*)

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

18 01 04 02 Support expenditure for Asylum, Migration and Integration Fund

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 325 000	2 150 000	1 164 786,22

*Remarks*

This appropriation is intended to cover the Asylum, Migration and Integration Fund technical assistance provided for in Article 9 of Regulation (EU) No 514/2014. It may cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It may, in particular, be used to cover:

- support expenditure (assistance for project preparation and appraisal, actions related to audit, actions related to fraud detection and prevention, conferences, seminars, workshops and other common information and training measures on the implementation of Regulation (EU) No 514/2014 and the related specific regulations for designated authorities and beneficiaries, translations),
- actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries,
- the corporate communication of the political priorities of the European Union provided that these are related to the general objectives of Regulation (EU) No 514/2014 and the related specific regulations,
- the installation, updating, operation and interconnection of computerised systems for management, monitoring, audit, control and evaluation,
- the design of a common framework for evaluation and monitoring, as well as a system of indicators, taking into account, where appropriate, national indicators,

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- evaluations, including actions to improve evaluation methods and the exchange of information on evaluation practices, the design of a common framework for evaluation and monitoring, expert reports statistics and studies,
- support for institutional strengthening and administrative capacity building for the effective management of Regulation (EU) No 514/2014 and the related specific regulations,
- measures related to the analysis, management, monitoring, information exchange and implementation of Regulation (EU) No 514/2014 and the related specific regulations, as well as measures relating to the implementation of control systems and technical and administrative assistance.

*Legal basis*

See Article 18 03 01.

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 516/2014 of the European Parliament and of the Council of 16 April 2014 establishing the Asylum, Migration and Integration Fund, amending Council Decision 2008/381/EC and repealing Decisions No 573/2007/EC and No 575/2007/EC of the European Parliament and of the Council and Council Decision 2007/435/EC (OJ L 150, 20.5.2014, p. 168).

## 18 01 04 03 Support expenditure for the programme 'Europe for citizens'

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
160 000	153 000	215 323,64

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CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (*cont'd*)**18 01 04** (*cont'd*)18 01 04 03 (*cont'd*)*Remarks**Former Item 16 01 04 01*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Article 16 02 01.

18 01 04 04 Support expenditure for the Justice programme — Anti-drugs

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	74 920	53 881,72

*Remarks**Former Item 33 01 04 02 (in part)*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the drugs-policy-related activities within the Justice programme (the 'Programme') and the achievement of its relevant objectives; in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union, as far as they are related to the general objectives of the Programme, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.



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CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘MIGRATION AND HOME AFFAIRS’ POLICY AREA (*cont’d*)

**18 01 04** (*cont’d*)

18 01 04 04 (*cont’d*)

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the Programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 18 06.

**18 01 05** *Support expenditure for research and innovative programmes in the ‘Migration and home affairs’ policy area*

18 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 229 533	1 902 336	1 836 824,41

*Remarks*

*Former Item 02 01 05 01 (in part)*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020 and occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 18 05.

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CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (*cont'd*)**18 01 05** (*cont'd*)

18 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
576 000	570 122	630 704,02

*Remarks**Former Item 02 01 05 02 (in part)*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 18 05.

18 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
484 500	475 000	662 459,25

*Remarks**Former Item 02 01 05 03 (in part)*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020 in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

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CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (*cont'd*)

18 01 05 (*cont'd*)

18 01 05 03 (*cont'd*)

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this Item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 18 05.

18 01 06 **Executive agencies**

18 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from the programme 'Europe for citizens'

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 203 000	2 203 000	2 191 000,—

*Remarks*

*Former Item 16 01 06 01*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency, incurred because of the Agency's participation in the completion of management of the programme 'Europe for citizens' under Heading 3b of the 2007 to 2013 multiannual financial framework as well as its participation in the management of the 'Europe for citizens' programme under Heading 3 of the 2014 to 2020 multiannual financial framework.

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CHAPTER 18 01 — ADMINISTRATIVE EXPENDITURE OF THE 'MIGRATION AND HOME AFFAIRS' POLICY AREA (*cont'd*)18 01 06 (*cont'd*)18 01 06 01 (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Decision 2004/100/EC of 26 January 2004 establishing a Community action programme to promote active European citizenship (civic participation) (OJ L 30, 4.2.2004, p. 6).

Decision No 1904/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing for the period 2007 to 2013 the programme Europe for Citizens to promote active European citizenship (OJ L 378, 27.12.2006, p. 32).

Council Regulation (EU) No 390/2014 of 14 April 2014 establishing the 'Europe for Citizens' programme for the period 2014-2020 (OJ L 115, 17.4.2014, p. 3), and in particular Article 2 thereof.

*Reference acts*

Commission Decision 2009/336/EC of 20 April 2009 setting up the Education, Audiovisual and Culture Executive Agency for the management of Community action in the fields of education, audiovisual and culture in application of Council Regulation (EC) No 58/2003 (OJ L 101, 21.4.2009, p. 26).

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the 'Education, Audiovisual and Culture Executive Agency' and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

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## CHAPTER 18 02 — INTERNAL SECURITY

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
18 02	INTERNAL SECURITY								
<b>18 02 01</b>	<b>Internal Security Fund</b>								
18 02 01 01	Support of border management and a common visa policy to facilitate legitimate travel	3	487 653 803	214 436 438	383 148 896	123 964 370	15 713 194,—	2 770,87	0,00
18 02 01 02	Prevention and fight against cross-border organised crime and better management of security-related risks and crisis	3	157 555 064	80 737 456	175 531 924	75 079 122	49 458 347,37	494 044,64	0,61
18 02 01 03	Setting up new IT systems to support the management of migration flows across the external borders of the Union	3	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<i>Article 18 02 01 — Subtotal</i>		645 208 867	295 173 894	558 680 820	199 043 492	65 171 541,37	496 815,51	0,17
<b>18 02 02</b>	<b>Schengen facility for Croatia</b>	3	p.m.	p.m.	—	p.m.	80 000 000,—	80 000 000,—	
<b>18 02 03</b>	<b>European Agency for the Management of Operational Cooperation at the External Borders (Frontex)</b>	3	238 686 000	238 686 000	120 291 000	126 571 000	86 810 000,—	79 500 000,—	33,31
<b>18 02 04</b>	<b>European Police Office (Europol)</b>	3	97 660 000	97 660 000	92 598 000	92 598 000	84 249 589,—	84 249 589,—	86,27
<b>18 02 05</b>	<b>European Police College (CEPOL)</b>	3	8 411 000	8 411 000	7 678 000	7 678 000	8 575 858,50	8 575 854,50	101,96
<b>18 02 07</b>	<b>European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA')</b>	3	80 022 000	80 022 000	72 809 100	72 809 100	59 380 000,—	42 567 331,93	53,19
<b>18 02 08</b>	<b>Schengen information system (SIS II)</b>	3	9 610 500	13 398 000	9 421 500	9 412 273	11 395 269,61	6 311 810,72	47,11
<b>18 02 09</b>	<b>Visa information system (VIS)</b>	3	9 610 500	16 285 000	9 421 500	12 553 358	11 352 544,04	25 193 785,64	154,71
<b>18 02 51</b>	<b>Completion of operations and programmes in the field of external borders, security and safeguarding liberties</b>	3	p.m.	99 485 662	p.m.	73 483 714	3 221 127,28	212 460 545,07	213,56

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>18 02 77</b>	<b><i>Pilot projects and preparatory actions</i></b>								
18 02 77 01	Pilot project — Completion of the fight against terrorism	3	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
18 02 77 02	Pilot project — New integrated mechanisms for cooperation between public and private actors to identify sports betting risks	3	p.m.	p.m.	p.m.	872 374	0,—	0,—	
	<i>Article 18 02 77 — Subtotal</i>		p.m.	p.m.	p.m.	872 374	0,—	0,—	
	<b>Chapter 18 02 — Total</b>		<b>1 089 208 867</b>	<b>849 121 556</b>	<b>870 899 920</b>	<b>595 021 311</b>	<b>410 155 929,80</b>	<b>539 355 732,37</b>	<b>63,52</b>

**18 02 01 Internal Security Fund**

18 02 01 01 Support of border management and a common visa policy to facilitate legitimate travel

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
487 653 803	214 436 438	383 148 896	123 964 370	15 713 194,—	2 770,87

*Remarks*

The Internal Security Fund shall contribute to the following specific objectives:

- supporting a common visa policy to facilitate legitimate travel, provide a high quality of service to visa applicants, ensure equal treatment of third country nationals and tackle illegal immigration,
- supporting integrated borders management, including promoting further harmonisation of border management-related measures in accordance with common Union standards and through sharing of information between Member States and between Member States and Frontex, to ensure, on one hand, a uniform and high level of control and protection of the external borders, including by the tackling of illegal immigration, and, on the other hand, the smooth crossing of the external borders in conformity with the Schengen *acquis*, while guaranteeing access to international protection for those needing it, in accordance with the obligations contracted by the Member States in the field of human rights, including the principle of non-refoulement and with due regard for the specific characteristics of the people concerned and for the gender perspective.

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This appropriation is intended to cover the expenditure related to actions in or by Member States and in particular the following:

- infrastructures, buildings and systems required at border crossing points and for surveillance between border crossing points to prevent and tackle unauthorised border crossings, illegal immigration and cross-border criminality as well as to guarantee smooth travel flows,
- operating equipment, means of transport and communication systems required for effective and secure border control and the detection of persons,
- IT and communication systems for efficient management of migration flows across borders, including investments in existing and future systems,
- infrastructures, buildings, communication and IT systems and operating equipment required for the processing of visa applications and consular cooperation, as well as other actions aimed at improving the quality of service for the visa applicants,
- training regarding the use of that equipment and those systems and the promotion of quality management standards and training of border guards, including where appropriate in third countries, regarding the execution of their surveillance, advisory and control tasks with respect to international human rights law, and taking into consideration a gender-sensitive approach, including the identification of victims of human trafficking and people smuggling,
- secondment of immigration liaison officers and document advisers in third countries and the exchange and secondment of border guards between Member States or between a Member State and a third country,
- studies, training, pilot projects and other actions gradually establishing an integrated management system for external borders as referred to in Article 3(3) of Regulation (EU) No 515/2014 including actions aiming to foster interagency cooperation either within Member States or between Member States and actions relating to the interoperability and harmonisation of border management systems,
- studies, pilot projects and actions aiming to implement the recommendations, operational standards and best practices resulting from the operational cooperation between Member States and Union agencies.

This appropriation is also intended to cover the expenditure related to actions in relation to and in third countries and in particular the following:

- information systems, tools or equipment for sharing information between Member States and third countries,
- actions relating to operational cooperation between Member States and third countries, including joint operations,

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 01 (*cont'd*)18 02 01 01 (*cont'd*)

- projects in third countries aimed at improving surveillance systems to ensure cooperation with the Eurosur,
- studies, seminars, workshops, conferences, training, equipment and pilot projects to provide ad hoc technical and operational expertise to third countries,
- studies, seminars, workshops, conferences, training, equipment and pilot projects implementing specific recommendations, operational standards and best practices, resulting from the operational cooperation between Member States and Union agencies in third countries.

This appropriation is also intended to cover foregone fees from visas issued for the purpose of transit and additional costs incurred in implementing the Facilitated Transit Document (FTD) and the Facilitated Rail Transit Document (FRTD) scheme in accordance with Council Regulation (EC) No 693/2003 of 14 April 2003 establishing a specific Facilitated Transit Document (FTD), a Facilitated Rail Transit Document (FRTD) and amending the Common Consular Instructions and the Common Manual (OJ L 99, 17.4.2003, p. 8) and Council Regulation (EC) No 694/2003 of 14 April 2003 on uniform formats for Facilitated Transit Documents (FTD) and Facilitated Rail Transit Documents (FRTD) provided for in Regulation (EC) No 693/2003 (OJ L 99, 17.4.2003, p. 15).

At the Commission's initiative, this appropriation may be used to finance transnational actions or actions of particular interest to the Union. To be eligible for funding, those actions shall in particular pursue the following objectives:

- to support preparatory, monitoring, administrative and technical activities, required to implement external borders and visa policies, including to strengthen the governance of the Schengen area by developing and implementing the evaluation mechanism as established by Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27), to verify the application of the Schengen *acquis* and the Schengen Borders Code in particular mission expenditure for experts of the Commission and the Member States participating in on site visits,
- to improve the knowledge and understanding of the situation prevailing in the Member States and third countries through analysis, evaluation and close monitoring of policies,
- to support the development of statistical tools, including common statistical tools, and methods and common indicators, with gender-disaggregated data,
- to support and monitor the implementation of Union law and Union policy objectives in the Member States, and assess their effectiveness and impact, including with regard to the respect of human rights and fundamental freedoms, as far as the scope of this instrument is concerned,
- to promote networking, mutual learning, identification and dissemination of best practices and innovative approaches amongst different stakeholders at European level,



**CHAPTER 18 02 — INTERNAL SECURITY** (*cont'd*)**18 02 01** (*cont'd*)18 02 01 01 (*cont'd*)

- to promote projects aiming at harmonisation and interoperability of border management-related measures in accordance with common Union standards with a view to developing an integrated European border management system,
- to enhance awareness of Union policies and objectives among stakeholders and the general public, including corporate communication on the political priorities of the Union,
- to boost the capacity of European networks to assess, promote, support and further develop Union policies and objectives,
- to support particularly innovative projects developing new methods and/or technologies with a potential for transferability to other Member States, especially projects aiming at testing and validating research projects,
- to support actions in relation to and in third countries as referred to in Article 4(2) of Regulation (EU) No 1053/2013,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover financial assistance to address urgent and specific needs in the event of an emergency situation which means a situation of urgent and exceptional pressure where a large or disproportionate number of third-country nationals cross or are expected to cross the external border of one or more Member States.

This appropriation will provide for reimbursement of the costs incurred by the Commission and Member States experts for the on-the-spot evaluation visits (travel cost and accommodation) regarding the application of the Schengen *acquis*. The cost of supplies and equipment needed for the on-the-spot evaluation visits and for their preparation and follow-up must be added to these costs.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (e) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 01 (*cont'd*)

18 02 01 02 Prevention and fight against cross-border organised crime and better management of security- related risks and crisis

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
157 555 064	80 737 456	175 531 924	75 079 122	49 458 347,37	494 044,64

*Remarks*

The Internal Security Fund shall contribute to the following specific objectives:

- crime prevention, combating cross-border, serious and organised crime including terrorism, and reinforcing coordination and cooperation between law enforcement authorities and other national authorities of Member States, including with Europol or other relevant Union bodies, and with relevant third-countries and international organisations,
- enhancing the capacity of Member States and the Union for managing effectively security-related risks and crisis, and preparing for and protecting people and critical infrastructure against terrorist attacks and other security related incidents.

This appropriation is intended to cover actions in Member States, and in particular the following:

- actions improving police cooperation and coordination between law enforcement authorities, including with and between relevant Union bodies, in particular Europol and Eurojust, joint investigation teams and any other form of cross-border joint operation, the access to and exchange of information and interoperable technologies,
- development of counter-terrorism initiatives with a view to ensuring appropriate responses to emerging threats, including threats related to home-grown radicalisation and foreign fighters who are either abroad or coming or returning to one or more Member States or candidate countries,
- projects promoting networking, public-private partnerships, mutual confidence, understanding and learning, the identification, exchange and dissemination of know-how, experience and good practices, information-sharing, shared situation awareness and foresight, contingency planning and interoperability,
- analytical, monitoring and evaluation activities, including studies and threat, risk and impact assessments, which are evidence-based and consistent with priorities and initiatives identified at Union level, in particular those that have been endorsed by the European Parliament and the Council,
- awareness-raising, dissemination and communication activities,
- acquisition, maintenance of Union and national IT systems contributing to the achievement of the objectives of Regulation (EU) No 513/2014, and/or further upgrading of IT systems and technical equipment, including testing compatibility of systems, secure facilities, infrastructures, related buildings and systems, especially information and communication technology (ICT) systems and their components, including for the purpose of European cooperation on cyber security and cybercrime, notably with the European Cybercrime Centre,

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- exchange, training and education of staff and experts of relevant authorities, including language training and joint exercises or programmes,
- measures deploying, transferring, testing and validating new methodology or technology, including pilot projects and follow-up measures to Union-funded security research projects.

This appropriation is also intended to cover actions in relation to and in third-countries, and in particular the following:

- actions improving police cooperation and coordination between law enforcement authorities, including joint investigation teams and any other form of cross-border joint operation, the access to and exchange of information and interoperable technologies,
- networking, mutual confidence, understanding and learning, the identification, exchange and dissemination of know-how, experience and good practices, information-sharing, shared situation awareness and foresight, contingency planning and interoperability,
- exchange, training and education of staff and experts of relevant authorities.

At the Commission's initiative, this appropriation may be used to finance transnational actions or actions of particular interest to the Union concerning the general, specific and operational objectives set out in Article 3 of Regulation (EU) No 513/2014. To be eligible for funding, Union actions shall be consistent with the priorities and initiatives identified at Union level, in particular those that have been endorsed by the European Parliament and the Council, in relevant Union strategies, policy cycles, programmes, threat and risk assessments, and support in particular:

- preparatory, monitoring, administrative and technical activities, and development of an evaluation mechanism required to implement the policies on police cooperation, preventing and combating crime, and crisis management,
- transnational projects involving two or more Member States or at least one Member State and one third-country,
- analytical, monitoring and evaluation activities, including threat, risk and impact assessments, which are evidence-based and consistent with priorities and initiatives identified at Union level, in particular those that have been endorsed by the European Parliament and the Council and projects monitoring the implementation of Union law and Union policy objectives in the Member States,
- projects promoting networking, public-private partnerships, mutual confidence, understanding and learning, identification and dissemination of good practices and innovative approaches at Union level, training and exchange programmes,
- projects supporting the development of methodological, notably statistical, tools and methods and common indicators,

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 01 (*cont'd*)18 02 01 02 (*cont'd*)

- the acquisition, maintenance and/or further upgrading of technical equipment, expertise, secure facilities, infrastructures, related buildings and systems, especially ICT systems and their components at the Union level, including for the purpose of European cooperation on cyber security and cybercrime, notably a European Cybercrime Centre,
- projects enhancing awareness of Union policies and objectives among stakeholders and the general public, including corporate communication on the political priorities of the Union,
- particularly innovative projects developing new methods and/or deploying new technologies with a potential for transferability to other Member States, especially projects aiming at testing and validating the outcome of Union funded security research projects,
- studies and pilot projects,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also support actions in relation to and in third countries, and in particular the following:

- actions improving police cooperation and coordination between law enforcement authorities, and, where applicable, international organisations, including joint investigation teams and any other form of cross-border joint operation, the access to and exchange of information and interoperable technologies,
- networking, mutual confidence, understanding and learning, the identification, exchange and dissemination of know-how, experience and good practices, information sharing, shared situation awareness and foresight, contingency planning and interoperability,
- acquisition, maintenance, and/or further upgrading of technical equipment, including ICT systems and their components,
- exchange, training and education of staff and experts of relevant authorities, including language training,
- awareness-raising, dissemination and communication activities,
- threat, risk and impact assessments,
- studies and pilot projects.

This appropriation shall be used to provide financial assistance to address urgent and specific needs in the event of an emergency situation which means any security-related incident or newly emerging threat which has or may have a significant adverse impact on the security of people in one or more Member States.

*Legal basis*

Regulation (EU) No 513/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for police cooperation, preventing and combating crime, and crisis management and repealing Council Decision 2007/125/JHA (OJ L 150, 20.5.2014, p. 93).

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18 02 01 (*cont'd*)

18 02 01 02 (*cont'd*)

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

18 02 01 03 Setting up new IT systems to support the management of migration flows across the external borders of the Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover the setting up and running of IT systems, their communication infrastructure and equipment supporting the management of migration flows across the external borders of the Union.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with point (e) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 515/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for external borders and visa and repealing Decision No 574/2007/EC (OJ L 150, 20.5.2014, p. 143).

18 02 02 *Schengen facility for Croatia*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	80 000 000,—	80 000 000,—

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)**18 02 02** (*cont'd*)*Remarks*

This appropriation is intended to cover the expenditure related to a temporary instrument to help Croatia between the date of accession and the end of 2014 to finance actions at the new external borders of the Union for the implementation of the Schengen *acquis* and external border control.

*Legal basis*

Tasks resulting from the specific powers assigned directly to the Commission by Article 31 of the Act of Accession of Croatia.

**18 02 03** ***European Agency for the Management of Operational Cooperation at the External Borders (Frontex)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
238 686 000	238 686 000	120 291 000	126 571 000	86 810 000,—	79 500 000,—

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 238 686 000.

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)

18 02 03 (*cont'd*)

*Legal basis*

Council Regulation (EC) No 2007/2004 of 26 October 2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 349, 25.11.2004, p. 1).

Regulation (EC) No 863/2007 of the European Parliament and of the Council of 11 July 2007 establishing a mechanism for the creation of Rapid Border Intervention Teams and amending Council Regulation (EC) No 2007/2004 as regards that mechanism and regulating the tasks and powers of guest officers (OJ L 199, 31.7.2007, p. 30).

Regulation (EU) No 1168/2011 of the European Parliament and of the Council of 25 October 2011 amending Council Regulation (EC) No 2007/2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 304, 22.11.2011, p. 1).

Regulation (EU) No 1052/2013 of the European Parliament and of the Council of 22 October 2013 establishing the European Border Surveillance System (Eurosir) (OJ L 295, 6.11.2013, p. 11).

Regulation (EU) No 656/2014 of the European Parliament and of the Council of 15 May 2014 establishing rules for the surveillance of the external sea borders in the context of the operational cooperation coordinated by European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (OJ L 189, 27.6.2014, p. 93).

18 02 04 **European Police Office (Europol)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
97 660 000	97 660 000	92 598 000	92 598 000	84 249 589,—	84 249 589,—

*Remarks*

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2), and operational expenditure (Title 3).

The Office must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Office's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 100 242 000. An amount of EUR 2 582 000 coming from the recovery of surplus is added to the amount of EUR 97 660 000 entered in the budget.

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Council Decision 2009/371/JHA of 6 April 2009 establishing the European Police Office (Europol) (OJ L 121, 15.5.2009, p. 37).

18 02 05 **European Police College (CEPOL)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 411 000	8 411 000	7 678 000	7 678 000	8 575 858,50	8 575 854,50

*Remarks*

This appropriation is intended to cover the College's staff and administrative expenditure (Titles 1 and 2), and operational expenditure (Title 3).

The College must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The College's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 8 641 000. An amount of EUR 230 000 coming from the recovery of surplus is added to the amount of EUR 8 411 000 entered in the budget.

*Legal basis*

Council Decision 2005/681/JHA of 20 September 2005 establishing the European Police College (CEPOL) and repealing Decision 2000/820/JHA (OJ L 256, 1.10.2005, p. 63).

18 02 07 **European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA')***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
80 022 000	80 022 000	72 809 100	72 809 100	59 380 000,—	42 567 331,93



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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)

18 02 07 (*cont'd*)

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 80 022 000.

*Legal basis*

Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 286, 1.11.2011, p. 1).

18 02 08 **Schengen information system (SIS II)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 610 500	13 398 000	9 421 500	9 412 273	11 395 269,61	6 311 810,72

*Remarks*

This appropriation is intended to finance the operating expenditure of the Schengen information system (SIS), in particular the cost of the network infrastructure and the cost of studies related to the system.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 08 (*cont'd*)*Legal basis*

Protocol No 19 on the Schengen *acquis* integrated into the framework of the European Union.

Council Decision 2001/886/JHA of 6 December 2001 on the development of the second generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 1).

Council Regulation (EC) No 2424/2001 of 6 December 2001 on the development of the second-generation Schengen Information System (SIS II) (OJ L 328, 13.12.2001, p. 4).

Regulation (EC) No 1987/2006 of the European Parliament and of the Council of 20 December 2006, on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 381, 28.12.2006, p. 4).

Regulation (EC) No 1986/2006 of the European Parliament and of the Council of 20 December 2006 regarding access to the Second Generation Schengen Information System (SIS II) by the services in the Member States responsible for issuing vehicle registration certificates (OJ L 381, 28.12.2006, p. 1).

Council Decision 2007/533/JHA of 12 June 2007 on the establishment, operation and use of the second generation Schengen Information System (SIS II) (OJ L 205, 7.8.2007, p. 63).

Council Regulation (EU) No 1272/2012 of 20 December 2012 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 359, 29.12.2012, p. 21).

Council Regulation (EU) No 1273/2012 of 20 December 2012 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 359, 29.12.2012, p. 32).

18 02 09 **Visa information system (VIS)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 610 500	16 285 000	9 421 500	12 553 358	11 352 544,04	25 193 785,64

*Remarks*

This appropriation is intended to cover expenditure related to the analysis development, delivery and installation of a Europe-wide large-scale information system 'VIS' (Visa information system), in particular the cost of the network infrastructure and the cost of studies related to the system.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)

18 02 09 (*cont'd*)

*Legal basis*

Council Decision 2004/512/EC of 8 June 2004 establishing the Visa Information System (VIS) (OJ L 213, 15.6.2004, p. 5).

Council Decision 2008/633/JHA of 23 June 2008 concerning access for consultation of the Visa Information System (VIS) by designated authorities of Member States and by Europol for the purposes of the prevention, detection and investigation of terrorist offences and of other serious criminal offences (OJ L 218, 13.8.2008, p. 129).

Regulation (EC) No 767/2008 of the European Parliament and of the Council of 9 July 2008 concerning the Visa Information System (VIS) and the exchange of data between Member States on short-stay visas (VIS Regulation) (OJ L 218, 13.8.2008, p. 60).

18 02 51 ***Completion of operations and programmes in the field of external borders, security and safeguarding liberties***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	99 485 662	p.m.	73 483 714	3 221 127,28	212 460 545,07

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Joint Action 98/245/JHA of 19 March 1998 adopted by the Council, on the basis of Article K.3 of the Treaty on European Union, establishing a programme of exchanges, training and cooperation for persons responsible for action to combat organised crime (Falcone programme) (OJ L 99, 31.3.1998, p. 8).

Council Decision 2001/512/JHA of 28 June 2001 establishing a second phase of the programme of incentives and exchanges, training and cooperation for legal practitioners (Grotius II — Criminal) (OJ L 186, 7.7.2001, p. 1).

Council Decision 2001/513/JHA of 28 June 2001 establishing a second phase of the programme of incentives, exchanges, training and cooperation for law enforcement authorities (Oisin II) (OJ L 186, 7.7.2001, p. 4).

Council Decision 2001/514/JHA of 28 June 2001 establishing a second phase of the programme of incentives, exchanges, training and cooperation for persons responsible for combating trade in human beings and the sexual exploitation of children (Stop II) (OJ L 186, 7.7.2001, p. 7).

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)18 02 51 (*cont'd*)

Council Decision 2001/515/JHA of 28 June 2001 establishing a programme of incentives and exchanges, training and cooperation for the prevention of crime (Hippokrates) (OJ L 186, 7.7.2001, p. 11).

Council Decision 2002/630/JHA of 22 July 2002 establishing a framework programme on police and judicial cooperation in criminal matters (AGIS) (OJ L 203, 1.8.2002, p. 5).

Council Decision 2007/124/EC, Euratom of 12 February 2007 establishing for the period 2007-2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme 'Prevention, Preparedness and Consequence Management of Terrorism and other Security related risks' (OJ L 58, 24.2.2007, p. 1).

Council Decision 2007/125/JHA of 12 February 2007 establishing for the period 2007 to 2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme 'Prevention of and Fight against Crime' (OJ L 58, 24.2.2007, p. 7).

Decision No 574/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the External Borders Fund for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 22).

Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Task resulting from the Commission's administrative autonomy, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing a framework programme on 'Security and Safeguarding Liberties' for the period 2007-2013 (COM(2005) 124 final).

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 123 final).

Commission Decision 2007/599/EC of 27 August 2007 implementing Decision No 574/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2007 to 2013 (OJ L 233, 5.9.2007, p. 3).

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CHAPTER 18 02 — INTERNAL SECURITY (*cont'd*)

18 02 51 (*cont'd*)

Commission Decision 2008/456/EC of 5 March 2008 laying down rules for the implementation of Decision No 574/2007/EC of the European Parliament and of the Council establishing the External Border Fund for the period 2007 to 2013 as part of the general programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 1).

Regulation (EC) No 810/2009 of the European Parliament and of the Council of 13 July 2009 establishing a Community Code on Visas (Visa Code) (OJ L 243, 15.9.2009, p. 1).

18 02 77 **Pilot projects and preparatory actions**

18 02 77 01 Pilot project — Completion of the fight against terrorism

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 02 77 02 Pilot project — New integrated mechanisms for cooperation between public and private actors to identify sports betting risks

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	872 374	0,—	0,—

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TITLE 18 — MIGRATION AND HOME AFFAIRS

**CHAPTER 18 02 — INTERNAL SECURITY** (*cont'd*)

**18 02 77** (*cont'd*)

18 02 77 02 (*cont'd*)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
18 03	ASYLUM AND MIGRATION								
<b>18 03 01</b>	<b><i>Asylum, Migration and Integration Fund</i></b>								
18 03 01 01	Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States	3	1 573 487 626	785 050 000	339 483 427	134 697 433	29 446 117,—	0,—	0
18 03 01 02	Supporting legal migration to the Union and promoting the effective integration of third-country nationals and enhancing fair and effective return strategies	3	314 476 868	216 910 000	281 375 140	128 191 655	14 194 765,42	5 263 091,50	2,43
	<i>Article 18 03 01 — Subtotal</i>		1 887 964 494	1 001 960 000	620 858 567	262 889 088	43 640 882,42	5 263 091,50	0,53
<b>18 03 02</b>	<b><i>European Asylum Support Office (EASO)</i></b>	3	18 665 600	18 665 600	15 448 360	15 448 360	14 518 000,—	12 100 000,—	64,83
<b>18 03 03</b>	<b><i>European fingerprint database (Eurodac)</i></b>	3	100 000	100 000	100 000	86 290	21 498,—	239 219,41	239,22
<b>18 03 51</b>	<b><i>Completion of operations and programmes in the field of return, refugees and migration flows</i></b>	3	p.m.	125 000 000	p.m.	117 144 601	1 966 141,20	179 639 234,85	143,71
<b>18 03 77</b>	<b><i>Pilot projects and preparatory actions</i></b>								
18 03 77 01	Preparatory action — Completion of return management in the area of migration	3	—	—	—	—	0,—	0,—	
18 03 77 03	Preparatory action — Completion of integration of third-country nationals	3	p.m.	p.m.	—	—	0,—	0,—	
18 03 77 04	Pilot project — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees	3	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
18 03 77 05	Pilot project — Funding for victims of torture	3	p.m.	560 000	p.m.	348 949	0,—	1 306 728,34	233,34

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## TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Paymen- ts 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>18 03 77</b>	( <i>cont'd</i> )								
18 03 77 06	Preparatory action — Enable the resettlement of refugees during emergency situations	3	p.m.	300 000	p.m.	436 187	0,—	0,—	0
18 03 77 07	Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union	3	p.m.	285 000	p.m.	218 093	0,—	513 417,41	180,15
18 03 77 08	Preparatory action — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees	3	p.m.	150 000	p.m.	p.m.	0,—	350 000,—	233,33
18 03 77 09	Preparatory action — Funding for the rehabilitation of victims of torture	3	p.m.	90 000	500 000	250 000	3 000 000,—	0,—	0
18 03 77 10	Pilot project — Completion of funding for victims of torture	4	p.m.	p.m.	p.m.	p.m.	0,—	165 982,08	
Article 18 03 77 — Subtotal			p.m.	1 385 000	500 000	1 253 229	3 000 000,—	2 336 127,83	168,67
<b>Chapter 18 03 — Total</b>			<b>1 906 730 094</b>	<b>1 147 110 600</b>	<b>636 906 927</b>	<b>396 821 568</b>	<b>63 146 521,62</b>	<b>199 577 673,59</b>	<b>17,40</b>

**18 03 01 Asylum, Migration and Integration Fund**

18 03 01 01 Strengthening and developing the common European asylum system and enhancing solidarity and responsibility-sharing between the Member States

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 573 487 626	785 050 000	339 483 427	134 697 433	29 446 117,—	0,—



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TITLE 18 — MIGRATION AND HOME AFFAIRSCHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 01 (*cont'd*)18 03 01 01 (*cont'd*)*Remarks*

This appropriation is intended to contribute to strengthen and develop all aspects of the common European asylum system, including its external dimension and to enhance the solidarity and responsibility sharing between the Member States, in particular towards those most affected by migration and asylum flows, including through practical cooperation.

In the case of the common European asylum system, this appropriation is intended to cover actions relating to reception and asylum systems and actions enhancing the capacity of Member States to develop, monitor and evaluate their asylum policies and procedures.

This appropriation is also intended to cover actions related to the resettlement, transfer of applicants for and beneficiaries of international protection and other ad hoc humanitarian admission

At the Commission's initiative, the appropriation may be used to finance transnational actions or actions of particular interest to the Union. These actions shall, in particular, support:

- the furthering of Union cooperation in implementing Union law and in sharing good practices in the field of asylum, notably on resettlement and transfer of applicants for and/or beneficiaries of international protection from one Member State to another including through networking and exchanging information, including arrival support and coordination activities to promote resettlement with the local communities that are to welcome resettled refugees,
- the setting-up of transnational cooperation networks and pilot projects, including innovative projects, based on transnational partnerships between bodies located in two or more Member States designed to stimulate innovation, and to facilitate exchanges of experience and good practice,
- studies and research on possible new forms of Union cooperation in the field of asylum, and relevant Union law, the dissemination and exchange of information on best practices and on all other aspects of asylum policies, including corporate communication on the political priorities of the Union,
- development and application by Member States of common statistical tools, methods and indicators for measuring policy developments in the field of asylum,
- preparatory, monitoring, administrative and technical support, development of an evaluation mechanism, required to implement the policies on asylum,
- cooperation with third countries on the basis of the Union's global approach to migration and mobility, in particular in the framework of the implementation mobility partnerships, regional protection programmes,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover urgent and specific needs in the event of an emergency situation.

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## TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 01 (*cont'd*)18 03 01 01 (*cont'd*)*Legal basis*

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 516/2014 of the European Parliament and of the Council of 16 April 2014 establishing the Asylum, Migration and Integration Fund, amending Council Decision 2008/381/EC and repealing Decisions No 573/2007/EC and No 575/2007/EC of the European Parliament and of the Council and Council Decision 2007/435/EC (OJ L 150, 20.5.2014, p. 168).

Council Decision (EU) 2015/1523 of 14 September 2015 establishing provisional measures in the area of international protection for the benefit of Italy and of Greece (OJ L 239, 15.9.2015, p. 146).

Council Decision (EU) 2015/1601 of 22 September 2015 establishing provisional measures in the area of international protection for the benefit of Italy and Greece (OJ L 248, 24.9.2015, p. 80).

18 03 01 02 Supporting legal migration to the Union and promoting the effective integration of third-country nationals and enhancing fair and effective return strategies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
314 476 868	216 910 000	281 375 140	128 191 655	14 194 765,42	5 263 091,50

*Remarks*

This appropriation is intended to support legal migration to the Member States in line with their economic and social needs such as labour market needs, while safeguarding the integrity of the immigration systems of Member States, to promote the effective integration of third-country nationals, and to enhance fair and effective return strategies in the Member States, which contribute to combating illegal immigration, with an emphasis on sustainability of return and effective readmission in the countries of origin and transit.

As regards legal migration and the integration of third-country nationals, this appropriation is intended to cover immigration and pre-departure measures, integration measures, and practical cooperation and capacity building measures of Member States.

As regards fair and effective return strategies, this appropriation is intended to cover measures accompanying return procedures, return measures, practical cooperation and capacity building measures of Member States.

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At the Commission's initiative, the appropriation may be used to finance transnational actions or actions of particular interest to the Union. These actions shall, in particular, support:

- the furthering of Union cooperation in implementing Union law and in sharing good practices in the field of legal migration, integration of third-country nationals, and return,
- the setting-up of transnational cooperation networks and pilot projects, including innovative projects, based on transnational partnerships between bodies located in two or more Member States designed to stimulate innovation, and to facilitate exchanges of experience and good practice,
- studies and research on possible new forms of Union cooperation in the field of immigration, integration and return and relevant Union law, the dissemination and exchange of information on best practices and on all other aspects of immigration, integration and return policies, including corporate communication on the political priorities of the Union,
- development and application by Member States of common statistical tools, methods and indicators for measuring policy developments in the field of legal migration and integration and return,
- preparatory, monitoring, administrative and technical support, development of an evaluation mechanism, required to implement the policies on immigration,
- cooperation with third countries on the basis of the Union's global approach to migration and mobility, in particular in the framework of the implementation of readmission agreements, mobility partnerships,
- information measures and campaigns in third countries aimed at raising awareness of appropriate legal channels for immigration and the risks of illegal immigration,
- awareness-raising, information and communication activities in relation to Union home affairs policies, priorities and achievements.

This appropriation shall also cover the European Migration Network for its activities and its future development.

*Legal basis*

Regulation (EU) No 514/2014 of the European Parliament and of the Council of 16 April 2014 laying down general provisions on the Asylum, Migration and Integration Fund and on the instrument for financial support for police cooperation, preventing and combating crime, and crisis management (OJ L 150, 20.5.2014, p. 112).

Regulation (EU) No 516/2014 of the European Parliament and of the Council of 16 April 2014 establishing the Asylum, Migration and Integration Fund, amending Council Decision 2008/381/EC and repealing Decisions No 573/2007/EC and No 575/2007/EC of the European Parliament and of the Council and Council Decision 2007/435/EC (OJ L 150, 20.5.2014, p. 168).

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TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)**18 03 02      *European Asylum Support Office (EASO)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 665 600	18 665 600	15 448 360	15 448 360	14 518 000,—	12 100 000,—

*Remarks*

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Office must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

The Office's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 19 439 600. An amount of EUR 774 000 coming from the recovery of surplus is added to the amount of EUR 18 665 600 entered in the budget.

All residual additional funding is to cover operational costs related to the hotspots in Italy and Greece and assistance the office is required to provide under the resettlement scheme.

*Legal basis*

Regulation (EU) No 439/2010 of the European Parliament and of the Council of 19 May 2010 establishing a European Asylum Support Office (OJ L 132, 29.5.2010, p. 11).

**18 03 03      *European fingerprint database (Eurodac)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
100 000	100 000	100 000	86 290	21 498,—	239 219,41

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This appropriation is intended to cover expenditure relating to the communication infrastructure of the Eurodac system referred to in Article 4(3) of Regulation (EU) No 603/2013. In addition, this appropriation is intended to cover the operation of DublinNet.

Any revenue from the contributions of Iceland, Norway, Switzerland and Liechtenstein entered in Item 6 3 1 2 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 2725/2000 of 11 December 2000 concerning the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of the Dublin Convention (OJ L 316, 15.12.2000, p. 1) (applicable until 19 July 2015).

Regulation (EU) No 603/2013 of the European Parliament and of the Council of 26 June 2013 on the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of Regulation (EU) No 604/2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person and on requests for the comparison with Eurodac data by Member States' law enforcement authorities and Europol for law enforcement purposes, and amending Regulation (EU) No 1077/2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (OJ L 180, 29.6.2013, p. 1).

Regulation (EU) No 604/2013 of the European Parliament and of the Council of 26 June 2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person (OJ L 180, 29.6.2013, p. 31).

*Reference acts*

Commission Regulation (EC) No 1560/2003 of 2 September 2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 222, 5.9.2003, p. 3).

Commission Implementing Regulation (EU) No 118/2014 of 30 January 2014 amending Regulation (EC) No 1560/2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 39, 8.2.2014, p. 1).

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CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)**18 03 51      *Completion of operations and programmes in the field of return, refugees and migration flows****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	125 000 000	p.m.	117 144 601	1 966 141,20	179 639 234,85

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Directive 2001/55/EC of 20 July 2001 on minimum standards for giving temporary protection in the event of a mass influx of displaced persons and on measures promoting a balance of effort between Member States in receiving such persons and bearing the consequences thereof (OJ L 212, 7.8.2001, p. 12).

Council Decision 2002/463/EC of 13 June 2002 adopting an action programme for administrative cooperation in the fields of external borders, visas, asylum and immigration (ARGO programme) (OJ L 161, 19.6.2002, p. 11).

Decision No 573/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' and repealing Council Decision 2004/904/EC (OJ L 144, 6.6.2007, p. 1).

Decision No 575/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the European Return Fund for the period 2008 to 2013 as part of the General Programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 45).

Council Decision 2007/435/EC of 25 June 2007 establishing the European Fund for the Integration of third-country nationals for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' (OJ L 168, 28.6.2007, p. 18).

Council Decision 2008/381/EC of 14 May 2008 establishing a European Migration Network (OJ L 131, 21.5.2008, p. 7).

Directive 2008/115/EC of the European Parliament and of the Council of 16 December 2008 on common standards and procedures in Member States for returning illegally staying third-country nationals (OJ L 348, 24.12.2008, p. 98).

Decision No 458/2010/EU of the European Parliament and of the Council of 19 May 2010 amending Decision No 573/2007/EC establishing the European Refugee Fund for the period 2008 to 2013 by removing funding for certain Community actions and altering the limit for funding such actions (OJ L 129, 28.5.2010, p. 1).

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CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)

18 03 51 (*cont'd*)

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005) 123 final).

Commission Decision 2007/815/EC of 29 November 2007 implementing Decision No 573/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines 2008 to 2013 (OJ L 326, 12.12.2007, p. 29).

Commission Decision 2007/837/EC of 30 November 2007 implementing Decision No 575/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2008 to 2013 (OJ L 330, 15.12.2007, p. 48).

Commission Decision 2008/22/EC of 19 December 2007 laying down rules for the implementation of Decision No 573/2007/EC of the European Parliament and of the Council establishing the European Refugee Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 7, 10.1.2008, p. 1).

Commission Decision 2008/457/EC of 5 March 2008 laying down rules for the implementation of Council Decision 2007/435/EC establishing the European Fund for the Integration of third-country nationals for the period 2007 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 69).

Commission Decision 2008/458/EC of 5 March 2008 laying down rules for the implementation of Decision No 575/2007/EC of the European Parliament and of the Council establishing the European Return Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 135).

18 03 77 *Pilot projects and preparatory actions*

18 03 77 01 Preparatory action — Completion of return management in the area of migration

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

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## TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 77 (*cont'd*)18 03 77 01 (*cont'd*)*Remarks*

This item is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 03 Preparatory action — Completion of integration of third-country nationals

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	—	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 04 Pilot project — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—



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TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)

18 03 77 (*cont'd*)

18 03 77 04 (*cont'd*)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 05 Pilot project — Funding for victims of torture

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	560 000	p.m.	348 949	0,—	1 306 728,34

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 06 Preparatory action — Enable the resettlement of refugees during emergency situations

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	p.m.	436 187	0,—	0,—

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## TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 77 (*cont'd*)18 03 77 06 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 07 Pilot project — Analysis of reception, protection and integration policies for unaccompanied minors in the Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	285 000	p.m.	218 093	0,—	513 417,41

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 08 Preparatory action — Network of contacts and discussion between targeted municipalities and local authorities on experiences and best practices in the resettlement and integration of refugees

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	150 000	p.m.	p.m.	0,—	350 000,—

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TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)

18 03 77 (*cont'd*)

18 03 77 08 (*cont'd*)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

18 03 77 09 Preparatory action — Funding for the rehabilitation of victims of torture

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	90 000	500 000	250 000	3 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

The main objective of this preparatory action is to create a line of funding for the final benefit of victims of torture whether Union citizens or migrants present in the Union. It will enable rehabilitation centres to offer victims of torture as full rehabilitation as possible thus empowering torture victims to function properly in the society and to integrate faster in the European economies.

The preparatory action will cover the following actions:

- to provide victims with access to holistic rehabilitation such as multi-disciplinary support and counselling, including physical and psychotherapeutic treatment, legal services, and socio-economic support, by providing funds for the costs of rehabilitation centres for victims of torture (new or existing ones),
- to support the capacity building of rehabilitation centres via networking activities (in and outside Europe), research, training, development of common tools, exchange of good practices, peer supervision as a prevention of vicarious trauma, etc.,

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## TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 03 — ASYLUM AND MIGRATION (*cont'd*)18 03 77 (*cont'd*)18 03 77 09 (*cont'd*)

- to support activities aiming at the empowerment of torture victims themselves and, in general, at supporting the integration capacities of torture victims in European countries,
- to support advocacy actions aiming at ensuring that Member States comply with the right to rehabilitation as defined in General Comment 3 to Article 14 of Convention Against Torture.

Since 2011, the implementation of the approved pilot project has been a success. The first call for proposals has been launched in October 2011 and resulted in 31 applications received from centres in 14 Member States. The total of requested grants in the Union amounted to EUR 6 000 000, showing the great need for financial support among rehabilitation centres. Out of the 31 proposals, only 4 projects have been financed.

The second call for proposal was launched in July 2012 with 25 applications submitted from various countries. As EUR 2 000 000 were available in 2012 (compared to only EUR 1 000 000 in 2011), 7 projects have been selected.

The funds needed to support all the projects which applied for funding amounted to approximately EUR 8 000 000, showing the relevance of the fund and the needs to be covered.

The number of applications received so far demonstrates the needs in the Union for supporting the rehabilitation of torture victims.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 18 03 77 10 Pilot project — Completion of funding for victims of torture

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	165 982,08

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

**CHAPTER 18 03 — ASYLUM AND MIGRATION** (*cont'd*)**18 03 77** (*cont'd*)18 03 77 10 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Council Directive 2003/9/EC of 27 January 2003 laying down minimum standards for the reception of asylum seekers (OJ L 31, 6.2.2003, p. 18).

Conclusions of the Council of the European Union, 2865th External Relations Council meeting, Luxembourg, 29 April 2009.

Charter of Fundamental Rights of the European Union (OJ C 83, 30.3.2010, p. 389).

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## TITLE 18 — MIGRATION AND HOME AFFAIRS

## CHAPTER 18 04 — FOSTERING EUROPEAN CITIZENSHIP

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
18 04	FOSTERING EUROPEAN CITIZENSHIP								
<b>18 04 01</b>	<b><i>Europe for citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level</i></b>								
18 04 01 01	Europe for citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level	3	22 977 000	18 650 000	21 894 000	11 355 206	23 393 356,95	12 610 600,—	67,62
18 04 01 02	European citizens' initiative	3	p.m.	p.m.			0,—	0,—	
	<i>Article 18 04 01 — Subtotal</i>		22 977 000	18 650 000	21 894 000	11 355 206	23 393 356,95	12 610 600,—	67,62
<b>18 04 51</b>	<b><i>Completion of Europe for citizens programme (2007 to 2013)</i></b>	3	p.m.	2 800 000	p.m.	4 616 234	0,—	11 413 583,75	407,63
	<b>Chapter 18 04 — Total</b>		<b>22 977 000</b>	<b>21 450 000</b>	<b>21 894 000</b>	<b>15 971 440</b>	<b>23 393 356,95</b>	<b>24 024 183,75</b>	<b>112,00</b>

**18 04 01** *Europe for citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level*

18 04 01 01 Europe for citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
22 977 000	18 650 000	21 894 000	11 355 206	23 393 356,95	12 610 600,—

*Remarks**Former Article 16 02 01*

In accordance with the programme 'Europe for citizens' and under the overall aim of bringing Europe closer to its citizens, the general objectives of the programme are to contribute to citizens' understanding of the Union and to foster European citizenship and to improve conditions for civic and democratic participation at Union level.

This appropriation is intended to cover actions such as partnerships, structural support, remembrance projects, Union history and its identity, citizens' meetings, networks of twinned towns, citizens' and civil societies projects, peer reviews, studies and communication services, support measures, events and support structures in the Member States, including projects carried out by civil society organisations promoting integration, linguistic diversity, cohesion, and non-discrimination, with particular focus on European minorities.

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TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 04 — FOSTERING EUROPEAN CITIZENSHIP (*cont'd*)

18 04 01 (*cont'd*)

18 04 01 01 (*cont'd*)

This appropriation is also intended to promote European citizenship by informing people, in all the official Union languages, about their rights as Union citizens, the opportunities for civic participation at Union level, and the impact of the Union on their daily lives.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Regulation (EU) No 390/2014 of 14 April 2014 establishing the 'Europe for Citizens' programme for the period 2014-2020 (OJ L 115, 17.4.2014, p. 3), and in particular Article 2 thereof.

18 04 01 02 European citizens' initiative

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.			0,—	0,—

*Remarks*

*Former Article 16 02 01*

The general aim of this programme is to reinforce the democratic functioning of the Union by providing European citizens with the right to participate in the democratic life of the Union by way of a European citizens' initiative (ECI).

Part of the appropriation will be used to establish a 'one-stop shop' in each Member State where citizens can be given the best professional guidance on ECI procedures.

This appropriation is also intended to cover communication, administration and support costs for the ECI. It will also cover activities to eliminate the hurdles to using the ECI and to harmonise further the procedure and requirements for the submission of ECIs across Europe.

*Legal basis*

Council Regulation (EU) No 390/2014 of 14 April 2014 establishing the 'Europe for citizens' programme for the period 2014-2020 (OJ L 115, 17.4.2014, p. 3), and in particular Article 2 thereof.

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CHAPTER 18 04 — FOSTERING EUROPEAN CITIZENSHIP (*cont'd*)**18 04 51**      ***Completion of Europe for citizens programme (2007 to 2013)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 800 000	p.m.	4 616 234	0,—	11 413 583,75

*Remarks**Former Article 16 02 51*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Decision No 1904/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing for the period 2007 to 2013 the programme Europe for Citizens to promote active European citizenship (OJ L 378, 27.12.2006, p. 32).



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CHAPTER 18 05 — HORIZON 2020 — RESEARCH RELATED TO SECURITY

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
18 05	HORIZON 2020 — RESEARCH RELATED TO SECURITY								
<b>18 05 03</b>	<b>Societal challenges</b>								
18 05 03 01	Fostering secure European societies	1.1	136 092 171	114 789 343	145 735 857	51 650 398	142 616 390,07	1 517 492,24	1,32
	Article 18 05 03 — Subtotal		136 092 171	114 789 343	145 735 857	51 650 398	142 616 390,07	1 517 492,24	1,32
<b>18 05 50</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>								
18 05 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	9 649 625,93	301 497,64	
18 05 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	11 586 111,10	
	Article 18 05 50 — Subtotal		p.m.	p.m.	p.m.	p.m.	9 649 625,93	11 887 608,74	
<b>18 05 51</b>	<b>Completion of previous research framework programmes — Seventh framework programme — EC (2007 to 2013)</b>								
		1.1	p.m.	120 800 000	p.m.	81 417 368	28 394,19	253 454 065,41	209,81
	<b>Chapter 18 05 — Total</b>		<b>136 092 171</b>	<b>235 589 343</b>	<b>145 735 857</b>	<b>133 067 766</b>	<b>152 294 410,19</b>	<b>266 859 166,39</b>	<b>113,27</b>

Remarks

These remarks are applicable to all the budget lines in this chapter.

These appropriations will be used for the Horizon 2020 — the framework programme for research and innovation which covers the 2014 to 2020 period, and for the completion of the previous research programmes (seventh framework programme).

Horizon 2020 will play a central role in the implementation of the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era', and 'A digital agenda for Europe', as well as in the development and functioning of the European research area. Horizon 2020 shall contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

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## TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 05 — HORIZON 2020 — RESEARCH RELATED TO SECURITY (*cont'd*)

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European research area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the framework programme for research and innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidate for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be provided under Item 18 05 50 01.

Administrative appropriations of this chapter will be provided under Article 18 01 05.

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CHAPTER 18 05 — HORIZON 2020 — RESEARCH RELATED TO SECURITY (*cont'd*)

**18 05 03 Societal challenges**

18 05 03 01 Fostering secure European societies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
136 092 171	114 789 343	145 735 857	51 650 398	142 616 390,07	1 517 492,24

*Remarks*

*Former Item 02 04 03 02*

This appropriation is intended to cover:

- support for Union policies for internal and external security, whilst at the same time improving the competitiveness and technology base of the Union's security industry and to stimulate cooperation between providers and users of security solutions. Activities will target the development of innovative technologies and solutions that address security gaps and lead to the prevention of security threats. The focus of activities shall be to fight crime and terrorism, including critical infrastructure protection; strengthen security through border management; increase Europe's resilience to crises and disasters whilst protecting personal data and fundamental human rights,
- support for the strengthening of the evidence base and support for the Innovation Union and European research area which are needed to foster the development of innovative societies and policies in Europe through the engagement of citizens, enterprises and users in research and innovation and the promotion of coordinated research and innovation policies in the context of globalisation.

*Legal basis*

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular point (g) of Article 3(3) thereof.

**18 05 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development**

18 05 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	9 649 625,93	301 497,64

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## TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 05 — HORIZON 2020 — RESEARCH RELATED TO SECURITY (*cont'd*)**18 05 50** (*cont'd*)18 05 50 01 (*cont'd*)*Remarks**Former Item 02 04 50 01 (in part)*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the period 2014 to 2020.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

18 05 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	11 586 111,10

*Remarks**Former Item 02 04 50 02 (in part)*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

**18 05 51** *Completion of previous research framework programmes — Seventh framework programme — EC (2007 to 2013)*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	120 800 000	p.m.	81 417 368	28 394,19	253 454 065,41

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TITLE 18 — MIGRATION AND HOME AFFAIRSCHAPTER 18 05 — HORIZON 2020 — RESEARCH RELATED TO SECURITY (*cont'd*)18 05 51 (*cont'd*)*Remarks*

*Former Article 02 04 51 (in part)*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

This appropriation is also intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

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## TITLE 18 — MIGRATION AND HOME AFFAIRS

## CHAPTER 18 06 — ANTI-DRUGS POLICY

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
18 06	ANTI-DRUGS POLICY								
18 06 01	<i>Supporting initiatives in the field of drugs policy</i>	3	2 512 000	2 100 000	3 000 000	1 700 447	3 004 000,—	0,—	0
18 06 02	<i>European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)</i>	3	14 724 000	14 724 000	14 643 000	14 643 000	14 793 959,—	14 793 959,—	100,48
18 06 51	<i>Completion of actions in the field of drugs prevention and information</i>	3	p.m.	500 000	p.m.	1 200 000	28 190,06	2 263 610,48	452,72
Chapter 18 06 — Total			17 236 000	17 324 000	17 643 000	17 543 447	17 826 149,06	17 057 569,48	98,46

18 06 01 *Supporting initiatives in the field of drugs policy*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 512 000	2 100 000	3 000 000	1 700 447	3 004 000,—	0,—

## Remarks

Former Article 33 03 03

This appropriation is intended to support initiatives in the field of drugs policy as regards judicial cooperation and crime prevention aspects closely linked to the general objective of the Justice programme (the 'Programme'), in so far as they are not covered by the Internal security fund for financial support for police cooperation, preventing and combating crime, and crisis management or by the 'Health for growth' programme.

In particular, this appropriation is intended to cover the following types of action:

- analytical activities, such as the collection of data and statistics; the development of common methodologies and, where appropriate, indicators or benchmarks; studies, research, analyses and surveys; evaluations; the elaboration and publication of guides, reports and educational material; workshops, seminars, experts meetings and conferences,
- training activities, such as staff exchanges, workshops, seminars, train-the-trainer events, including language training on legal terminology, and the development of online training tools or other training modules for members of the judiciary and judicial staff,

**CHAPTER 18 06 — ANTI-DRUGS POLICY** (*cont'd*)**18 06 01** (*cont'd*)

- mutual learning, cooperation, awareness-raising and dissemination activities, such as the identification of, and exchanges concerning, good practices, innovative approaches and experiences; the organisation of peer reviews and mutual learning; the organisation of conferences, seminars, information campaigns, including institutional communication on the political priorities of the Union as far as they relate to the objectives of the Programme; the compilation and publication of materials to disseminate information about the Programme and its results; the development, operation and maintenance of systems and tools, using information and communication technologies, including the further development of the European e-Justice portal as a tool to improve citizens' access to justice,
- support for main actors whose activities contribute to the implementation of the objectives of the Programme, such as support for Member States in the implementation of Union law and policies, support for key European actors and European-level networks, including in the field of judicial training; and support for networking activities at European level among specialised bodies and entities as well as national, regional and local authorities and non-governmental organisations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1382/2013 of the European Parliament and of the Council of 17 December 2013 establishing a Justice Programme for the period 2014 to 2020 (OJ L 354, 28.12.2013, p. 73), and in particular point (d) of Article 4(1) and Article 6(1) thereof.

**18 06 02** **European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 724 000	14 724 000	14 643 000	14 643 000	14 793 959,—	14 793 959,—

*Remarks*

*Former Article 18 02 06*

This appropriation is intended to cover the Centre's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

## COMMISSION

## TITLE 18 — MIGRATION AND HOME AFFAIRS

CHAPTER 18 06 — ANTI-DRUGS POLICY (*cont'd*)18 06 02 (*cont'd*)

The Centre must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The Centre's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 14 794 000. An amount of EUR 70 000 coming from the recovery of surplus is added to the amount of EUR 14 724 000 entered in the budget.

*Legal basis*

Regulation (EC) No 1920/2006 of the European Parliament and of the Council of 12 December 2006 on the European Monitoring Centre for Drugs and Drug Addiction (OJ L 376, 27.12.2006, p. 1).

18 06 51 ***Completion of actions in the field of drugs prevention and information***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	p.m.	1 200 000	28 190,06	2 263 610,48

*Remarks*

*Former Article 33 03 51 (in part)*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.



**CHAPTER 18 06 — ANTI-DRUGS POLICY** (*cont'd*)**18 06 51** (*cont'd*)*Legal basis*

Decision No 1150/2007/EC of the European Parliament and of the Council of 25 September 2007 establishing for the period 2007-2013 the Specific Programme 'Drugs prevention and information' as part of the General Programme 'Fundamental Rights and Justice' (OJ L 257, 3.10.2007, p. 23).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005) 122 final).

COMMISSION

*TITLE 19*

**FOREIGN POLICY INSTRUMENTS**

COMMISSION  
TITLE 19 — FOREIGN POLICY INSTRUMENTS

**TITLE 19**

**FOREIGN POLICY INSTRUMENTS**

**General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
19 01	ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA	24 818 316	24 818 316	22 966 858	22 966 858	23 920 864,18	23 920 864,18
19 02	INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE — CRISIS RESPONSE, CONFLICT PREVENTION, PEACE-BUILDING AND CRISIS PREPAREDNESS	253 506 177	222 857 200	247 566 000	177 697 519	188 055 702,58	200 937 577,68
19 03	COMMON FOREIGN AND SECURITY POLICY (CFSP)	326 770 000	298 135 000	320 516 000	267 656 623	304 691 105,34	263 449 481,21
19 04	ELECTION OBSERVATION MISSIONS (EU EOMS)	44 626 565	36 307 000	41 267 086	30 162 748	43 463 700,12	31 017 532,39
19 05	COOPERATION WITH THIRD COUNTRIES UNDER THE PARTNERSHIP INSTRUMENT (PI)	120 382 000	82 726 136	114 428 000	67 121 090	115 928 039,43	22 454 061,41
19 06	INFORMATION OUTREACH ON THE UNION'S EXTERNAL RELATIONS	12 500 000	12 500 000	12 500 000	12 236 901	11 308 578,83	12 733 033,55
<b>Title 19 — Total</b>		<b>782 603 058</b>	<b>677 343 652</b>	<b>759 243 944</b>	<b>577 841 739</b>	<b>687 367 990,48</b>	<b>554 512 550,42</b>

COMMISSION

TITLE 19 — FOREIGN POLICY INSTRUMENTS

## TITLE 19

## FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
19 01	ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA					
<b>19 01 01</b>	<b>Expenditure related to officials and temporary staff in the 'Foreign policy instruments' policy area</b>					
19 01 01 01	Expenditure related to officials and temporary staff — Headquarters	5.2	8 320 426	8 146 703	8 180 034,73	98,31
19 01 01 02	Expenditure related to officials and temporary staff — Union delegations	5.2	p.m.	p.m.	601,56	
	<i>Article 19 01 01 — Subtotal</i>		8 320 426	8 146 703	8 180 636,29	98,32
<b>19 01 02</b>	<b>External personnel and other management expenditure in support of the 'Foreign policy instruments' policy area</b>					
19 01 02 01	External personnel — Headquarters	5.2	2 001 400	1 944 250	1 840 715,19	91,97
19 01 02 02	External personnel — Union delegations	5.2	240 345	235 701	278 949,27	116,06
19 01 02 11	Other management expenditure — Headquarters	5.2	558 369	525 211	424 352,52	76,00
19 01 02 12	Other management expenditure — Union delegations	5.2	29 756	27 779	36 718,49	123,40
	<i>Article 19 01 02 — Subtotal</i>		2 829 870	2 732 941	2 580 735,47	91,20
<b>19 01 03</b>	<b>Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Foreign policy instruments' policy area</b>					

COMMISSION  
TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>19 01 03</b>	( <i>cont'd</i> )					
19 01 03 01	Expenditure related to information and communication technology equipment and services	5.2	531 019	517 202	590 018,71	111,11
19 01 03 02	Buildings and related expenditure — Union delegations	5.2	140 274	130 108	290 468,73	207,07
	Article 19 01 03 — Subtotal		671 293	647 310	880 487,44	131,16
<b>19 01 04</b>	<b>Support expenditure for operations and programmes in the 'Foreign policy instruments' policy area</b>					
19 01 04 01	Support expenditure for Instrument contributing to Stability and Peace	4	6 544 000	6 480 177	7 026 797,48	107,38
19 01 04 02	Support expenditure for the common foreign and security policy (CFSP)	4	500 000	250 000	347 381,—	69,48
19 01 04 03	Support expenditure for the European Instrument for Democracy and Human Rights (EIDHR) — Expenditure related to election observation Missions (EOMs)	4	686 727	586 727	697 644,—	101,59
19 01 04 04	Support expenditure for the Partnership Instrument	4	5 036 000	3 960 000	3 933 182,50	78,10
	Article 19 01 04 — Subtotal		12 766 727	11 276 904	12 005 004,98	94,03
<b>19 01 06</b>	<b>Executive agencies</b>					
19 01 06 01	Education, Audiovisual and Culture Executive Agency — Contribution from the Partnership Instrument	4	230 000	163 000	274 000,—	119,13
	Article 19 01 06 — Subtotal		230 000	163 000	274 000,—	119,13
	<b>Chapter 19 01 — Total</b>		<b>24 818 316</b>	<b>22 966 858</b>	<b>23 920 864,18</b>	<b>96,38</b>

**19 01 01**      **Expenditure related to officials and temporary staff in the 'Foreign policy instruments' policy area**

19 01 01 01      Expenditure related to officials and temporary staff — Headquarters

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
8 320 426	8 146 703	8 180 034,73

## COMMISSION

## TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘FOREIGN POLICY INSTRUMENTS’ POLICY AREA *(cont'd)***19 01 01** *(cont'd)*19 01 01 01 *(cont'd)**Remarks*

More Commission personnel will be assigned to crisis response management in order to allow for enough capacity for the follow-up of civil society organisation proposals for crisis response.

## 19 01 01 02 Expenditure related to officials and temporary staff — Union delegations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	601,56

*Remarks*

The Commission will recruit highly qualified, stable and specialised personnel for human rights questions.

Sufficient staff will be assigned to crisis response management for the follow-up of civil society organisation proposals for crisis response.

**19 01 02** *External personnel and other management expenditure in support of the ‘Foreign policy instruments’ policy area*

## 19 01 02 01 External personnel — Headquarters

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 001 400	1 944 250	1 840 715,19

## 19 01 02 02 External personnel — Union delegations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
240 345	235 701	278 949,27

COMMISSION  
TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘FOREIGN POLICY INSTRUMENTS’ POLICY AREA (cont’d)

19 01 02 (cont’d)

19 01 02 11 Other management expenditure — Headquarters

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
558 369	525 211	424 352,52

19 01 02 12 Other management expenditure — Union delegations

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
29 756	27 779	36 718,49

19 01 03 **Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘Foreign policy instruments’ policy area**

19 01 03 01 Expenditure related to information and communication technology equipment and services

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
531 019	517 202	590 018,71

19 01 03 02 Buildings and related expenditure — Union delegations

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
140 274	130 108	290 468,73

19 01 04 **Support expenditure for operations and programmes in the ‘Foreign policy instruments’ policy area**

19 01 04 01 Support expenditure for Instrument contributing to Stability and Peace

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
6 544 000	6 480 177	7 026 797,48

## COMMISSION

## TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA (*cont'd*)19 01 04 (*cont'd*)19 01 04 01 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 19 02.

## 19 01 04 02 Support expenditure for the common foreign and security policy (CFSP)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
500 000	250 000	347 381,—



**CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘FOREIGN POLICY INSTRUMENTS’ POLICY AREA** (*cont’d*)**19 01 04** (*cont’d*)19 01 04 02 (*cont’d*)*Remarks*

This appropriation is intended to cover support for implementation of CFSP measures for which the Commission lacks the required experience or needs additional support. It is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries. This includes the costs associated with the update and maintenance of the ‘electronic-Consolidated Targeted Financial Sanctions List (e-CTFSL)’ necessary for the application of financial sanctions applied in pursuit of the specific CFSP objectives set out in the Treaty on European Union,
- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Without prejudice to the final decision on the establishing of a Mission Support Platform for the CSDP missions, this appropriation is intended to cover administrative support expenditure for the Mission Support Platform.

Any revenue from the CSDP missions contributing to the cost of centralised support services entered in Article 5 5 0 of the statement of revenue may give rise to the provision of additional appropriations under this item in accordance with Article 21(3) of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 440 000.

This appropriation covers support expenditure under Chapter 19 03.

19 01 04 03 Support expenditure for the European Instrument for Democracy and Human Rights (EIDHR) — Expenditure related to election observation Missions (EOMs)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
686 727	586 727	697 644,—

## COMMISSION

## TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA (*cont'd*)19 01 04 (*cont'd*)19 01 04 03 (*cont'd*)

## Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external personnel at headquarters is limited to EUR 336 727. This estimate is based on a provisional annual unit cost per man-year, of which 95 % is accounted for by remuneration for the personnel concerned and 5 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to the external personnel financed from this appropriation,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 19 04.

## 19 01 04 04 Support expenditure for the Partnership Instrument

Figures (*Non-differentiated appropriations*)

Appropriations 2016	Appropriations 2015	Outturn 2014
5 036 000	3 960 000	3 933 182,50

**CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘FOREIGN POLICY INSTRUMENTS’ POLICY AREA** (*cont’d*)**19 01 04** (*cont’d*)19 01 04 04 (*cont’d*)*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 19 05.

**19 01 06** *Executive agencies*

## 19 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from the Partnership Instrument

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
230 000	163 000	274 000,—

## COMMISSION

## TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FOREIGN POLICY INSTRUMENTS' POLICY AREA (*cont'd*)**19 01 06** (*cont'd*)19 01 06 01 (*cont'd*)*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred as a result of the management of projects funded out of the appropriations under chapter 19 05 and entrusted to the Agency.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Regulation (EC) No 1934/2006 of 21 December 2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 405, 30.12.2006, p. 41).

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+'; the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the 'Education, Audiovisual and Culture Executive Agency' and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Regulation (EU) No 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries (OJ L 77, 15.3.2014, p. 77).

*Reference acts*

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

## COMMISSION

## TITLE 19 — FOREIGN POLICY INSTRUMENTS

**CHAPTER 19 02 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE — CRISIS RESPONSE, CONFLICT PREVENTION, PEACE-BUILDING AND CRISIS PREPAREDNESS**

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 02	INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE — CRISIS RESPONSE, CONFLICT PREVENTION, PEACE-BUILDING AND CRISIS PREPAREDNESS								
19 02 01	<i>Response to crisis and emerging crisis</i>	4	226 506 177	153 139 600	222 566 000	96 457 427	168 984 032,86	22 275 775,78	14,55
19 02 02	<i>Support to conflict prevention, peace-building and crisis preparedness</i>	4	27 000 000	10 904 000	25 000 000	8 735 494	19 000 000,—	1 339 757,46	12,29
19 02 51	<i>Completion of actions in the field of crisis response and preparedness (2007 to 2013)</i>	4	p.m.	58 813 600	p.m.	72 504 598	71 669,72	176 922 965,90	300,82
19 02 77	<i>Pilot projects and preparatory actions</i>								
19 02 77 01	Pilot project — Programme for NGO-led peace building activities	4	p.m.	p.m.	p.m.	p.m.	0,—	399 078,54	
	Article 19 02 77 — Subtotal		p.m.	p.m.	p.m.	p.m.	0,—	399 078,54	
	<b>Chapter 19 02 — Total</b>		<b>253 506 177</b>	<b>222 857 200</b>	<b>247 566 000</b>	<b>177 697 519</b>	<b>188 055 702,58</b>	<b>200 937 577,68</b>	<b>90,16</b>

**19 02 01      *Response to crisis and emerging crisis***

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
226 506 177	153 139 600	222 566 000	96 457 427	168 984 032,86	22 275 775,78

*Remarks*

This appropriation is intended to swiftly contribute to stability by providing an effective response to help preserve, establish or re-establish the conditions essential to the proper implementation of the Union's external policies and actions in accordance with Article 21 of the Treaty on European Union. The technical and financial assistance may be undertaken in response to a situation of urgency, crisis or emerging crisis, a situation posing a threat to democracy, law and order, the protection of human rights and fundamental freedoms, or the security and safety of individuals, in particular those exposed to gender-based violence in situations of instability, or a situation threatening to escalate into armed conflict or severely destabilise the third country or countries concerned.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

## COMMISSION

## TITLE 19 — FOREIGN POLICY INSTRUMENTS

**CHAPTER 19 02 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE — CRISIS RESPONSE, CONFLICT PREVENTION, PEACE-BUILDING AND CRISIS PREPAREDNESS** (*cont'd*)**19 02 01** (*cont'd*)*Legal basis*

Regulation (EU) No 230/2014 of the European Parliament and of the Council of 11 March 2014 establishing an instrument contributing to stability and peace (OJ L 77, 15.3.2014, p. 1).

**19 02 02** ***Support to conflict prevention, peace-building and crisis preparedness****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
27 000 000	10 904 000	25 000 000	8 735 494	19 000 000,—	1 339 757,46

*Remarks*

This appropriation is intended to contribute to the prevention of conflicts and to ensuring capacity and preparedness to address pre- and post-crisis situations and build peace. Such technical and financial assistance shall cover support for measures aimed at building and strengthening the capacity of the Union and its partners to prevent conflict, build peace and address pre- and post-crisis needs, with due regard for the importance of women's empowerment and gender mainstreaming and in close coordination with the United Nations and other international, regional and sub-regional organisations, and State and civil society actors.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and para-state agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 230/2014 of the European Parliament and of the Council of 11 March 2014 establishing an instrument contributing to stability and peace (OJ L 77, 15.3.2014, p. 1).

**19 02 51** ***Completion of actions in the field of crisis response and preparedness (2007 to 2013)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	58 813 600	p.m.	72 504 598	71 669,72	176 922 965,90

**CHAPTER 19 02 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE — CRISIS RESPONSE, CONFLICT PREVENTION, PEACE-BUILDING AND CRISIS PREPAREDNESS** (*cont'd*)**19 02 51** (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and para-state agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

**19 02 77** ***Pilot projects and preparatory actions***

## 19 02 77 01 Pilot project — Programme for NGO-led peace building activities

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	399 078,54

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 19 — FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 03	COMMON FOREIGN AND SECURITY POLICY (CFSP)								
<b>19 03 01</b>	<b>Support to preservation of stability through common foreign and security policy (CFSP) missions and European Union Special Representatives</b>								
19 03 01 01	Monitoring mission in Georgia	4	19 000 000	17 000 000	23 000 000	19 225 061	18 604 281,51	12 206 699,35	71,80
19 03 01 02	EULEX Kosovo	4	70 000 000	72 000 000	85 000 000	85 638 907	89 820 000,—	96 583 356,87	134,14
19 03 01 03	EUPOL Afghanistan	4	p.m.	38 000 000	65 000 000	61 170 648	75 383 790,14	60 357 479,—	158,84
19 03 01 04	Other crisis management measures and operations	4	148 770 000	116 835 000	85 250 000	66 370 152	89 806 000,—	60 235 163,48	51,56
19 03 01 05	Emergency measures	4	37 000 000	4 000 000	20 000 000	1 747 732	0,—	0,—	0
19 03 01 06	Preparatory and follow-up measures	4	8 000 000	4 000 000	5 266 000	275 268	328 776,63	263 077,20	6,58
19 03 01 07	European Union Special Representatives	4	25 000 000	26 500 000	20 000 000	9 153 836	15 670 000,—	19 696 322,60	74,33
	<i>Article 19 03 01 — Subtotal</i>		307 770 000	278 335 000	303 516 000	243 581 604	289 612 848,28	249 342 098,50	89,58
<b>19 03 02</b>	<b>Support to non-proliferation and disarmament</b>	4	19 000 000	19 800 000	17 000 000	24 075 019	15 078 257,06	14 107 382,71	71,25
	<b>Chapter 19 03 — Total</b>		<b>326 770 000</b>	<b>298 135 000</b>	<b>320 516 000</b>	<b>267 656 623</b>	<b>304 691 105,34</b>	<b>263 449 481,21</b>	<b>88,37</b>

## Remarks

The High Representative of the Union for Foreign Affairs and Security Policy must ensure that the European Parliament is closely involved in all stages of the decision-making process. The joint consultation meetings provided for under point 25 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1), which are to be further intensified on the basis of the Declaration by the High Representative on political accountability (OJ C 210, 3.8.2010, p. 1), must enhance a continuous dialogue between the High Representative and the European Parliament on the main choices for and aspects of the Union's CFSP, including consultations prior to the adoption of mandates and strategies. In order to enhance interinstitutional CFSP coherence and provide up-to-date expert advice to all institutions as a means of developing a more coherent and effective CFSP, expert policy-relevant research will be provided, when appropriate, by the European Union Institute for Security Studies and others.



## CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)

**19 03 01      *Support to preservation of stability through common foreign and security policy (CFSP) missions and European Union Special Representatives****Remarks*

Common foreign and security policy (CFSP) crisis management measures and operations in the area of monitoring and verification of peace processes, conflict resolution and other stabilisation activities, the rule of law and police missions fall under this article. Operations may be established to monitor border crossings, peace or cease-fire agreements or more generally political and security developments. As with all actions financed under the budget chapter, relevant measures must be civilian in nature.

**19 03 01 01      Monitoring mission in Georgia***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
19 000 000	17 000 000	23 000 000	19 225 061	18 604 281,51	12 206 699,35

*Remarks*

This appropriation is intended to cover the costs the European Union Monitoring Mission in Georgia, in line with the relevant legal basis adopted by the Council.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Decision 2010/452/CFSP of 12 August 2010 on the European Union Monitoring Mission in Georgia, EUMM Georgia (OJ L 213, 13.8.2010, p. 43).

**19 03 01 02      EULEX Kosovo***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
70 000 000	72 000 000	85 000 000	85 638 907	89 820 000,—	96 583 356,87

## COMMISSION

## TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (*cont'd*)19 03 01 (*cont'd*)19 03 01 02 (*cont'd*)*Remarks*

This appropriation is intended to cover the costs of the European Union Rule of Law Mission in Kosovo, in line with the relevant legal basis adopted by the Council.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Joint Action 2008/124/CFSP of 4 February 2008 on the European Union Rule of Law Mission in Kosovo, EULEX KOSOVO (OJ L 42, 16.2.2008, p. 92).

## 19 03 01 03 EUPOL Afghanistan

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	38 000 000	65 000 000	61 170 648	75 383 790,14	60 357 479,—

*Remarks*

This appropriation is intended to cover the costs of the European Union Police Mission in Afghanistan, in line with the relevant legal basis adopted by the Council.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Decision 2010/279/CFSP of 18 May 2010 on the European Union Police Mission in Afghanistan (EUPOL AFGHANISTAN) (OJ L 123, 19.5.2010, p. 4).

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CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)

19 03 01 (cont'd)

19 03 01 04 Other crisis management measures and operations

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
148 770 000	116 835 000	85 250 000	66 370 152	89 806 000,—	60 235 163,48

Remarks

This appropriation is intended to cover other crisis management measures and operations other than EULEX Kosovo, EUMM Georgia and EUPOL Afghanistan. It is also intended to provide for the running of the European Security and Defence College Secretariat and its Internet-based advanced distance learning system, as well as the costs of the warehouse for civilian CSDP missions.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

Legal basis

Council Joint Action 2005/889/CFSP of 25 November 2005 on establishing a European Union Border Assistance Mission for the Rafah Crossing Point (EU BAM Rafah) (OJ L 327, 14.12.2005, p. 28).

Council Decision 2010/565/CFSP of 21 September 2010 on the European Union mission to provide advice and assistance for security sector reform in the Democratic Republic of the Congo (EUSEC RD Congo) (OJ L 248, 22.9.2010, p. 59).

Council Decision 2010/576/CFSP of 23 September 2010 on the European Union police mission undertaken in the framework of reform of the security sector (SSR) and its interface with the system of justice in the Democratic Republic of Congo (EUPOL RD Congo) (OJ L 254, 29.9.2010, p. 33).

Council Decision 2012/389/CFSP of 16 July 2012 on the European Union Mission on Regional Maritime Capacity Building in the Horn of Africa (EUCAP NESTOR) (OJ L 187, 17.7.2012, p. 40).

Council Decision 2012/392/CFSP of 16 July 2012 on the European Union CSDP mission in Niger (EUCAP Sahel Niger) (OJ L 187, 17.7.2012, p. 48).

Council Decision 2012/698/CFSP of 13 November 2012 on the establishment of a warehouse for civilian crisis management missions (OJ L 314, 14.11.2012, p. 25).

## COMMISSION

## TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (*cont'd*)19 03 01 (*cont'd*)19 03 01 04 (*cont'd*)

Council Decision 2013/189/CFSP of 22 April 2013 establishing a European Security and Defence College (ESDC) and repealing Joint Action 2008/550/CFSP (OJ L 112, 24.4.2013, p. 22).

Council Decision 2013/233/CFSP of 22 May 2013 on the European Union Integrated Border Management Assistance Mission in Libya (EUBAM Libya) (OJ L 138, 24.5.2013, p. 15).

Council Decision 2013/354/CFSP of 3 July 2013 on the European Union Police Mission for the Palestinian Territories (EUPOL COPPS) (OJ L 185, 4.7.2013, p. 12).

Council Decision 2014/219/CFSP of 15 April 2014 on the European Union CSDP mission in Mali (EUCAP Sahel Mali) (OJ L 113, 16.4.2014, p. 21).

Council Decision 2014/486/CFSP of 22 July 2014 on the European Union Advisory Mission for Civilian Security Sector Reform Ukraine (EUAM Ukraine). (OJ L 217, 23.7.2014, p. 42).

## 19 03 01 05 Emergency measures

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
37 000 000	4 000 000	20 000 000	1 747 732	0,—	0,—

*Remarks*

This appropriation is intended to cover the financing of any unforeseen measures under Article 19 03 01 decided on in the course of the financial year, which have to be implemented urgently.

This item is also intended as an element of flexibility in the CFSP budget, as described in the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

**CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)****19 03 01** (cont'd)

## 19 03 01 06 Preparatory and follow-up measures

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 000 000	4 000 000	5 266 000	275 268	328 776,63	263 077,20

*Remarks*

This appropriation is intended to cover the financing of preparatory measures to establish the conditions for Union actions in the field of the CFSP and the adoption of the necessary legal instruments. It is intended to provide funding for further measures to increase coherence and cooperation between Member States in the area of the CFSP. It could cover initiatives to enhance equipment compatibility and establish a collective purchasing policy for equipment and supplies. Furthermore it covers evaluation and analysis measures (*ex ante* evaluation of means, specific studies, organisation of meetings, fact-finding on the ground). In the field of Union crisis management operations and for European Union Special Representatives (EUSRs), in particular, preparatory measures may, inter alia, serve to assess the operational requirements for an envisaged action, to provide for a rapid initial deployment of personnel and resources (e.g. mission expenses, purchase of equipment, pre-financing of running and insurance costs in the start-up phase), or to take the necessary measures on the ground to prepare for the launching of the operation. It may also cover experts supporting Union crisis management operations on specific technical issues (e.g. identification and assessment of procurement needs) or security training for staff to be deployed to a CFSP mission/EUSR team.

It also covers follow-up measures and audits of common foreign and security policy measures and the financing of any expenditure on the regularisation of previously closed measures.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the measures coming under Items 19 03 01 01, 19 03 01 02, 19 03 01 03, 19 03 01 04 and 19 03 01 07.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 19 — FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)

## 19 03 01 (cont'd)

## 19 03 01 07 European Union Special Representatives

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 000 000	26 500 000	20 000 000	9 153 836	15 670 000,—	19 696 322,60

*Remarks*

This appropriation is intended to cover all the expenditure connected with the appointment of European Union Special Representatives (EUSRs) in accordance with Article 33 of the Treaty on European Union.

EUSRs should be appointed with due regard for gender equality and gender mainstreaming policies, and the appointment of women EUSRs should therefore be promoted.

This appropriation covers expenditure on the salaries of EUSRs and on setting up their teams and/or support structures, including staff costs other than those relating to staff seconded by Member States or Union institutions. It also covers the costs of any projects implemented under the direct responsibility of an EUSR.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Decision (CFSP) 2015/260 of 17 February 2015 extending the mandate of the European Union Special Representative for Human Rights (OJ L 43, 18.2.2015, p. 29).

Council Decision (CFSP) 2015/331 of 2 March 2015 extending the mandate of the European Union Special Representative in Afghanistan (OJ L 58, 3.3.2015, p. 66).

Council Decision (CFSP) 2015/332 of 2 March 2015 extending the mandate of the European Union Special Representative for the South Caucasus and the crisis in Georgia (OJ L 58, 3.3.2015, p. 70).

Council Decision (CFSP) 2015/439 of 16 March 2015 extending the mandate of the European Union Special Representative for the Sahel (OJ L 72, 17.3.2015, p. 27).

Council Decision (CFSP) 2015/440 of 16 March 2015 extending the mandate of the European Union Special Representative for the Horn of Africa (OJ L 72, 17.3.2015, p. 32).

**CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (cont'd)****19 03 01** (cont'd)

## 19 03 01 07 (cont'd)

Council Decision (CFSP) 2015/485 of 20 March 2015 extending the mandate of the European Union Special Representative in Kosovo (OJ L 77, 21.3.2015, p. 12).

Council Decision (CFSP) 2015/598 of 15 April 2015 appointing the European Union Special Representative for Central Asia (OJ L 99, 16.4.2015, p. 25).

Council Decision (CFSP) 2015/599 of 15 April 2015 appointing the European Union Special Representative for the Middle East Peace Process (MEPP) (OJ L 99, 16.4.2015, p. 29).

Council Decision (CFSP) 2015/970 of 22 June 2015 extending the mandate of the European Union Special Representative in Bosnia and Herzegovina (OJ L 157, 23.6.2015, p. 45).

Council Decision (CFSP) 2015/2005 of 10 November 2015 extending the mandate of the European Union Special Representative in Afghanistan (OJ L 294, 11.11.2015, p. 53).

Council Decision (CFSP) 2015/2006 of 10 November 2015 extending the mandate of the European Union Special Representative for the Horn of Africa (OJ L 294, 11.11.2015, p. 58).

Council Decision (CFSP) 2015/2007 of 10 November 2015 extending the mandate of the European Union Special Representative in Bosnia and Herzegovina (OJ L 294, 11.11.2015, p. 64).

Council Decision (CFSP) 2015/2052 of 16 November 2015 extending the mandate of the European Union Special Representative in Kosovo (OJ L 300, 17.11.2015, p. 22).

Council Decision (CFSP) 2015/2118 of 23 November 2015 extending the mandate of the European Union Special Representative for the South Caucasus and the crisis in Georgia (OJ L 306, 24.11.2015, p. 26).

Council Decision (CFSP) 2015/2274 of 7 December 2015 appointing the European Union Special Representative for the Sahel (OJ L 322, 8.12.2015, p. 44).

**19 03 02** ***Support to non-proliferation and disarmament***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
19 000 000	19 800 000	17 000 000	24 075 019	15 078 257,06	14 107 382,71

## COMMISSION

## TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (*cont'd*)19 03 02 (*cont'd*)*Remarks*

This appropriation is intended to finance measures which contribute to the non-proliferation of weapons of mass destruction (nuclear, chemical and biological), primarily in the framework of the EU Strategy against the Proliferation of Weapons of Mass Destruction of December 2003. This includes support for measures implemented by international organisations in this field.

This appropriation is intended to finance measures which contribute to the non-proliferation of conventional weapons and operations to combat the destabilising accumulation and trafficking of small arms and light weapons. This includes support for measures implemented by international organisations in this field.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Decision 2010/461/CFSP of 26 July 2010 on support for activities of the Preparatory Commission of the Comprehensive Nuclear-Test-Ban Treaty Organisation (CTBTO) in order to strengthen its monitoring and verification capabilities and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 219, 20.8.2010, p. 7).

Council Decision 2010/430/CFSP of 26 July 2010 establishing a European network of independent non-proliferation think tanks in support of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 202, 4.8.2010, p. 5).

Council Decision 2010/585/CFSP of 27 September 2010 on support for IAEA activities in the areas of nuclear security and verification and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 259, 1.10.2010, p. 10).

Council Decision 2011/428/CFSP of 18 July 2011 in support of the United Nations Office for Disarmament Affairs activities to implement the United Nations Programme of Actions to prevent combat and eradicate the illicit trade in small arms and light weapons in all its aspects (OJ L 188, 19.7.2011, p. 37).

Council Decision 2012/166/CFSP of 23 March 2012 in support of activities of the Organisation for the Prohibition of Chemical Weapons (OPCW) in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 87, 24.3.2012, p. 49).

Council Decision 2012/281/CFSP of 29 May 2012 in the framework of the European Security Strategy in support of the Union proposal for an international Code of Conduct on outer-space activities (OJ L 140, 30.5.2012, p. 68).



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Council Decision 2012/421/CFSP of 23 July 2012 in support of the Biological and Toxin Weapons Convention (BTWC), in the framework of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 196, 24.7.2012, p. 61).

Council Decision 2012/423/CFSP of 23 July 2012 in support of ballistic missile non-proliferation in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction and of the Council Common Position 2003/805/CFSP (OJ L 196, 24.7.2012, p. 74).

Council Decision 2012/662/CFSP of 25 October 2012 in support of activities to reduce the risk of illicit trade in, and excessive accumulation of, Small Arms and Light Weapons in the region covered by the Organisation for Security and Cooperation in Europe (OSCE) (OJ L 297, 26.10.2012, p. 29).

Council Decision 2012/699/CFSP of 13 November 2012 on the Union support for the activities of the Preparatory Commission of the Comprehensive Nuclear-Test-Ban Treaty Organisation in order to strengthen its monitoring and verification capabilities and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 314, 14.11.2012, p. 27).

Council Decision 2012/700/CFSP of 13 November 2012 in the framework of the European Security Strategy in support of the implementation of the Cartagena Action Plan 2010-2014, adopted by the States Parties to the 1997 Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on Their Destruction (OJ L 314, 14.11.2012, p. 40).

Council Decision 2012/711/CFSP of 19 November 2012 on support for Union activities in order to promote, among third countries, the control of arms exports and the principles and criteria of Common Position 2008/944/CFSP (OJ L 321, 20.11.2012, p. 62).

Council Decision 2013/320/CFSP of 24 June 2013 in support of physical security and stockpile management activities to reduce the risk of the illicit trade in SALW and their ammunition in Libya and its region (OJ L 173, 26.6.2013, p. 54).

Council Decision 2013/391/CFSP of 22 July 2013 in support of the practical implementation of United Nations Security Council Resolution 1540 (2004) on non-proliferation of weapons of mass destruction and their means of delivery (OJ L 198, 23.7.2013, p. 40).

Council Decision 2013/517/CFSP of 21 October 2013 on the Union support for the activities of the International Atomic Energy Agency in the areas of nuclear security and verification and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 281, 23.10.2013, p. 6).

Council Decision 2013/668/CFSP of 18 November 2013 in support of World Health Organisation activities in the area of biosafety and biosecurity in the framework of the European Union Strategy against the proliferation of Weapons of Mass Destruction (OJ L 310, 20.11.2013, p. 13).

Council Decision 2013/698/CFSP of 25 November 2013 in support of a global reporting mechanism on illicit small arms and light weapons and other illicit conventional weapons and ammunition to reduce the risk of their illicit trade (OJ L 320, 30.11.2013, p. 34).

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## TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 03 — COMMON FOREIGN AND SECURITY POLICY (CFSP) (*cont'd*)19 03 02 (*cont'd*)

Council Decision 2013/726/CFSP of 9 December 2013 in support of the UNSCR 2118 (2013) and OPCW Executive Council EC-M-33/Dec 1, in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 329, 10.12.2013, p. 41).

Council Decision 2013/730/CFSP of 9 December 2013 in support of SEESAC disarmament and arms control activities in South East Europe in the framework of the EU Strategy to Combat the Illicit Accumulation and Trafficking of SALW and their Ammunition (OJ L 332, 11.12.2013, p. 19).

Council Decision 2013/768/CFSP of 16 December 2013 on EU activities in support of the implementation of the Arms Trade Treaty, in the framework of the European Security Strategy (OJ L 341, 18.12.2013, p. 56).

Council Decision 2014/129/CFSP of 10 March 2014 promoting the European network of independent non-proliferation think tanks in support of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 71, 12.3.2014, p. 3).

Council Decision 2014/912/CFSP of 15 December 2014 in support of physical security and stockpile management (PSSM) activities to reduce the risk of illicit trade in small arms and light weapons (SALW) and their ammunition in the Sahel region (OJ L 360, 17.12.2014, p. 30).

Council Decision 2014/913/CFSP of 15 December 2014 in support of the Hague Code of Conduct and ballistic missile non-proliferation in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 360, 17.12.2014, p. 44).

Council Decision (CFSP) 2015/203 of 9 February 2015 in support of the Union proposal for an international Code of Conduct for outer-space activities as a contribution to transparency and confidence-building measures in outer-space activities (OJ L 33, 10.2.2015, p. 38).

Council Decision (CFSP) 2015/259 of 17 February 2015 in support of activities of the Organisation for the Prohibition of Chemical Weapons (OPCW) in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 43, 18.2.2015, p. 14).

Council Decision (CFSP) 2015/1837 of 12 October 2015 on Union support for the activities of the Preparatory Commission of the Comprehensive Nuclear-Test-Ban Treaty Organisation (CTBTO) in order to strengthen its monitoring and verification capabilities and in the framework of the implementation of the EU Strategy against Proliferation of Weapons of Mass Destruction (OJ L 266, 13.10.2015, p. 83).

Council Decision (CFSP) 2015/1908 of 22 October 2015 in support of a global reporting mechanism on illicit small arms and light weapons and other illicit conventional weapons and ammunition to reduce the risk of their illicit trade ('iTrace II') (OJ L 278, 23.10.2015, p. 15).

Council Decision (CFSP) 2015/2215 of 30 November 2015 in support of UNSCR 2235 (2015), establishing an OPCW-UN joint investigative mechanism to identify the perpetrators of chemical attacks in the Syrian Arab Republic (OJ L 314, 1.12.2015, p. 51)

Council Decision (CFSP) 2015/2309 of 10 December 2015 on the promotion of effective arms export controls (OJ L 326, 11.12.2015, p. 56).

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TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 04 — ELECTION OBSERVATION MISSIONS (EU EOMS)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 04	ELECTION OBSERVATION MISSIONS (EU EOMS)								
19 04 01	<i>Improving the reliability of electoral processes, in particular by means of election observation missions</i>	4	44 626 565	35 507 000	41 267 086	27 698 700	43 444 730,52	11 247 608,47	31,68
19 04 51	<i>Completion of actions in the field of election observation missions (prior to 2014)</i>	4	p.m.	800 000	p.m.	2 464 048	18 969,60	19 769 923,92	2 471,24
Chapter 19 04 — Total			44 626 565	36 307 000	41 267 086	30 162 748	43 463 700,12	31 017 532,39	85,43

19 04 01 *Improving the reliability of electoral processes, in particular by means of election observation missions*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
44 626 565	35 507 000	41 267 086	27 698 700	43 444 730,52	11 247 608,47

Remarks

This appropriation covers financial support for building confidence in and enhancing the reliability and transparency of democratic electoral processes and institutions through deployment of European Union Election Observation Missions other measures of monitoring electoral processes as well as through support for observation capacity at regional and national level.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Legal basis

Regulation (EU) No 235/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for democracy and human rights worldwide (OJ L 77, 15.3.2014, p. 85).

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TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 04 — ELECTION OBSERVATION MISSIONS (EU EOMS) (*cont'd*)**19 04 51**      ***Completion of actions in the field of election observation missions (prior to 2014)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	800 000	p.m.	2 464 048	18 969,60	19 769 923,92

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

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TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 05 — COOPERATION WITH THIRD COUNTRIES UNDER THE PARTNERSHIP INSTRUMENT (PI)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 05	COOPERATION WITH THIRD COUNTRIES UNDER THE PARTNERSHIP INSTRUMENT (PI)								
19 05 01	<i>Cooperation with third countries to advance and promote Union and mutual interests</i>	4	105 652 000	59 400 000	102 720 000	41 392 773	107 120 157,43	221 427,43	0,37
19 05 20	<i>Erasmus+ — Contribution from the Partnership Instrument</i>	4	14 730 000	13 417 336	11 708 000	9 210 362	8 807 832,—	0,—	0
19 05 51	<i>Completion of actions in the field of relations and cooperation with indus- trialised third countries (2007 to 2013)</i>	4	p.m.	9 908 800	p.m.	16 517 955	50,—	22 232 633,98	224,37
Chapter 19 05 — Total			120 382 000	82 726 136	114 428 000	67 121 090	115 928 039,43	22 454 061,41	27,14

19 05 01 *Cooperation with third countries to advance and promote Union and mutual interests*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
105 652 000	59 400 000	102 720 000	41 392 773	107 120 157,43	221 427,43

Remarks

Former Article 19 05 01 and former Item 19 05 77 02

This appropriation is intended to cover cooperation with third countries to advance and promote Union and mutual interests under the Partnership Instrument, in particular with developed and developing countries which play an increasingly prominent role in the world affairs, including foreign policy, international economy and trade, multilateral forums, global governance and in addressing challenges of global concern or where the Union has significant interests. This cooperation includes measures supporting the Union's bilateral, regional or multilateral relationships in addressing challenges of global concern, the implementation of the international dimension of the 'Europe 2020' strategy, trade and investment opportunities and public diplomacy and outreach activities.

Part of these appropriations will also be used to implement the project 'Cooperation with Northern and Southern Transatlantic Dimension', which aims at increasing a broader transatlantic dialogue and cooperation involving Northern and Southern Atlantic countries in order to address common global challenges. The objective is to look into the feasibility of implementing common short and longer term goals in fields such as economic cooperation, global governance, development cooperation, climate change, security and energy. This preparatory action should serve to strengthen a triangular dialogue, or even an extended Atlantic dialogue, as well as to promote the idea of a wider Atlantic Community.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

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TITLE 19 — FOREIGN POLICY INSTRUMENTS

CHAPTER 19 05 — COOPERATION WITH THIRD COUNTRIES UNDER THE PARTNERSHIP INSTRUMENT (PI) (*cont'd*)19 05 01 (*cont'd*)*Legal basis*

Regulation (EU) No 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries (OJ L 77, 15.3.2014, p. 77).

19 05 20 *Erasmus+ — Contribution from the Partnership Instrument**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 730 000	13 417 336	11 708 000	9 210 362	8 807 832,—	0,—

*Remarks*

This appropriation is intended to cover the technical and financial assistance provided under this external instrument in order to implement the international dimension of higher education of the 'Erasmus+' programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries (OJ L 77, 15.3.2014, p. 77).

CHAPTER 19 05 — COOPERATION WITH THIRD COUNTRIES UNDER THE PARTNERSHIP INSTRUMENT (PI) (*cont'd*)**19 05 51**      ***Completion of actions in the field of relations and cooperation with industrialised third countries (2007 to 2013)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	9 908 800	p.m.	16 517 955	50,—	22 232 633,98

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and para-state agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (EC) No 1934/2006 of 21 December 2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 405, 30.12.2006, p. 41).

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## TITLE 19 — FOREIGN POLICY INSTRUMENTS

## CHAPTER 19 06 — INFORMATION OUTREACH ON THE UNION'S EXTERNAL RELATIONS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
19 06	INFORMATION OUTREACH ON THE UNION'S EXTERNAL RELATIONS								
<b>19 06 01</b>	<b>Information outreach on the Union's external relations</b>	4	12 500 000	12 500 000	12 500 000	12 236 901	11 308 578,83	12 733 033,55	101,86
	<b>Chapter 19 06 — Total</b>		<b>12 500 000</b>	<b>12 500 000</b>	<b>12 500 000</b>	<b>12 236 901</b>	<b>11 308 578,83</b>	<b>12 733 033,55</b>	<b>101,86</b>

**19 06 01 Information outreach on the Union's external relations**

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 500 000	12 500 000	12 500 000	12 236 901	11 308 578,83	12 733 033,55

## Remarks

This appropriation is intended to cover expenditure on information outreach on the Union's external relations. The information activities to be carried out under this article fall into two broad categories: horizontal activities and logistical support from headquarters, and activities carried out by Union delegations in third countries and vis-à-vis international organisations.

## Measures carried out from headquarters:

- the European Union visitors programme (EUVP), carried out jointly by the European Parliament and the Commission, provides some 170 participants a year, chosen by the Union delegations, with the opportunity of experiencing contact with the Union by visiting the European Parliament and the Commission as part of a made-to-measure individual programme of visits based on a theme,
- the production and distribution of publications on priority themes as part of an annual programme,
- the production and dissemination of audiovisual material,
- the development of information delivered via electronic media (the Internet and electronic message systems),
- the organisation of visits for groups of journalists,
- the support for the information activities of opinion leaders that are consistent with the Union's priorities.

The Commission will continue to fund news broadcasts in Farsi.

*Decentralised measures carried out by Union delegations in third countries and vis-à-vis international organisations*



**CHAPTER 19 06 — INFORMATION OUTREACH ON THE UNION'S EXTERNAL RELATIONS** (*cont'd*)**19 06 01** (*cont'd*)

In accordance with the communication goals laid down for each region and each country, the Union delegations propose an annual communication plan, which, once approved by headquarters, is assigned a budgetary allocation covering the following activities:

- websites,
- relations with the media (press conferences, seminars, radio programmes, etc.),
- information products (other publications, graphic material, etc.),
- organisation of events, including cultural activities,
- newsletters,
- information campaigns.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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*TITLE 20*

**TRADE**

## TITLE 20

## TRADE

## General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
20 01	ADMINISTRATIVE EXPENDITURE OF THE 'TRADE' POLICY AREA	90 416 392	90 416 392	99 317 115	99 317 115	106 016 405,13	106 016 405,13
20 02	TRADE POLICY	16 800 000	15 150 000	15 802 000	24 473 802	13 695 627,35	11 970 651,49
	<b>Title 20 — Total</b>	<b>107 216 392</b>	<b>105 566 392</b>	<b>115 119 115</b>	<b>123 790 917</b>	<b>119 712 032,48</b>	<b>117 987 056,62</b>

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TITLE 20 — TRADE

## TITLE 20

## TRADE

## CHAPTER 20 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TRADE' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
20 01	ADMINISTRATIVE EXPENDITURE OF THE 'TRADE' POLICY AREA					
20 01 01	<i>Expenditure related to officials and temporary staff in the 'Trade' policy area</i>					
20 01 01 01	Expenditure related to officials and temporary staff — Headquarters	5.2	50 787 013	48 467 723	49 638 135,82	97,74
20 01 01 02	Expenditure related to officials and temporary staff — Union delegations	5.2	13 507 444	22 159 178	20 959 963,49	155,17
	<i>Article 20 01 01 — Subtotal</i>		64 294 457	70 626 901	70 598 099,31	109,80
20 01 02	<i>External personnel and other management expenditure in support of the 'Trade' policy area</i>					
20 01 02 01	External personnel — Headquarters	5.2	3 040 471	2 910 438	2 968 249,—	97,62
20 01 02 02	External personnel — Union delegations	5.2	7 150 293	7 895 969	7 480 052,68	104,61
20 01 02 11	Other management expenditure — Headquarters	5.2	4 273 367	4 307 259	4 303 845,21	100,71
20 01 02 12	Other management expenditure — Union delegations	5.2	1 472 932	1 847 360	1 877 786,30	127,49
	<i>Article 20 01 02 — Subtotal</i>		15 937 063	16 961 026	16 629 933,19	104,35
20 01 03	<i>Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Trade' policy area</i>					
20 01 03 01	Expenditure related to information and communication technology equipment and services	5.2	3 241 282	3 077 024	3 567 810,48	110,07
20 01 03 02	Buildings and related expenditure — Union delegations	5.2	6 943 590	8 652 164	15 220 562,15	219,20
	<i>Article 20 01 03 — Subtotal</i>		10 184 872	11 729 188	18 788 372,63	184,47
	<b>Chapter 20 01 — Total</b>		<b>90 416 392</b>	<b>99 317 115</b>	<b>106 016 405,13</b>	<b>117,25</b>

**CHAPTER 20 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘TRADE’ POLICY AREA** (*cont’d*)**20 01 01**      ***Expenditure related to officials and temporary staff in the ‘Trade’ policy area***

20 01 01 01      Expenditure related to officials and temporary staff — Headquarters

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
50 787 013	48 467 723	49 638 135,82

20 01 01 02      Expenditure related to officials and temporary staff — Union delegations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
13 507 444	22 159 178	20 959 963,49

**20 01 02**      ***External personnel and other management expenditure in support of the ‘Trade’ policy area***

20 01 02 01      External personnel — Headquarters

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 040 471	2 910 438	2 968 249,—

20 01 02 02      External personnel — Union delegations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
7 150 293	7 895 969	7 480 052,68

20 01 02 11      Other management expenditure — Headquarters

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 273 367	4 307 259	4 303 845,21

20 01 02 12      Other management expenditure — Union delegations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 472 932	1 847 360	1 877 786,30

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TITLE 20 — TRADE

CHAPTER 20 01 — ADMINISTRATIVE EXPENDITURE OF THE 'TRADE' POLICY AREA (*cont'd*)**20 01 03**      ***Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Trade' policy area***

20 01 03 01      Expenditure related to information and communication technology equipment and services

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 241 282	3 077 024	3 567 810,48

20 01 03 02      Buildings and related expenditure — Union delegations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
6 943 590	8 652 164	15 220 562,15

## CHAPTER 20 02 — TRADE POLICY

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
20 02	TRADE POLICY								
20 02 01	<i>External trade relations, including access to the markets of third countries</i>	4	12 000 000	10 500 000	11 000 000	15 173 757	9 545 627,35	8 523 630,29	81,18
20 02 03	<i>Aid for trade — Multi-lateral initiatives</i>	4	4 500 000	4 500 000	4 802 000	9 300 045	4 150 000,—	3 447 021,20	76,60
20 02 77	<i>Pilot projects and preparatory actions</i>								
20 02 77 01	Pilot project — Strengthening involvement of stakeholders and access to information with regard to trade negotiations	4	300 000	150 000					
	Article 20 02 77 — Subtotal		300 000	150 000					
	<b>Chapter 20 02 — Total</b>		<b>16 800 000</b>	<b>15 150 000</b>	<b>15 802 000</b>	<b>24 473 802</b>	<b>13 695 627,35</b>	<b>11 970 651,49</b>	<b>79,01</b>

**20 02 01** *External trade relations, including access to the markets of third countries**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 000 000	10 500 000	11 000 000	15 173 757	9 545 627,35	8 523 630,29

*Remarks*

This appropriation is intended to cover the following actions:

*Activities supporting the conduct of ongoing and new multi and bilateral trade negotiations*

Actions aim to strengthen the Union's negotiating position in ongoing multilateral trade negotiations (in the context of the Doha Development Agenda) as well as ongoing and new bilateral and regional trade negotiations, to ensure that Union policy conception is based on comprehensive and up-to-date expert information and to build coalitions for their successful completion, including:

- meetings, conferences and seminars in connection with the preparation of policy and negotiating positions and with the conduct of ongoing as well as new trade negotiations,
- development and implementation of a consistent and comprehensive communication and information strategy, promoting the Union's trade policy and raising awareness of the detail and objectives of the Union's trade policy and ongoing negotiation positions, both within and outside the Union,
- information activities and seminars for state and non-state actors (including civil society and business actors) to explain the state of play of ongoing negotiations and of the implementation of existing agreements.

*Studies, evaluations and impact assessments in relation to trade agreements and policies*

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**CHAPTER 20 02 — TRADE POLICY** (*cont'd*)**20 02 01** (*cont'd*)

Actions to ensure that the Union's trade policy is underpinned by, and takes proper account of, *ex ante* and *ex post* evaluation results, including:

- impact assessments carried out in view of possible new legislative proposals and sustainable impact assessments carried out in support of ongoing negotiations, in order to analyse the potential economic, social and environmental benefits of trade agreements and, where necessary, to propose flanking measures to combat any negative outcomes for specific countries or sectors,
- evaluations of the policies and practices of the Directorate-General for Trade, to be carried out following its multiannual evaluation plan,
- expert, legal and economic studies related to ongoing negotiations and existing agreements, policy developments and trade disputes.

*Trade-related technical assistance, training and other capacity-building actions towards third countries*

Actions aiming to strengthen the capacity of third countries to participate in international, bilateral or bi-regional trade negotiations, to implement international trade agreements and to participate in the world trading system, including:

- projects involving training and capacity-building actions aimed at developing country officials and operators, mainly in the field of sanitary and phyto-sanitary measures,
- reimbursement of the expenses of participants in forums and conferences designed to build awareness and expertise in trade affairs among developing country nationals,
- management, operating, further development and promotion of the Export Helpdesk that provides business in partner countries with information on access to Union markets and facilitates efforts by business to take advantage of market access opportunities offered by the international trading system,
- trade-related technical assistance programmes arranged in the forum of the World Trade Organisation (WTO) and other multilateral organisations, in particular WTO Trust Funds, in the framework of the Doha Development Agenda.

*Market access activities supporting the implementation of the Union's market access strategy*

Actions in support of the Union's market access strategy, which aims at removing or lowering barriers to trade, identifying trade restrictions in third countries and, where appropriate, removing obstacles to trade. These actions may include:

- maintenance, operating and further development of the market access database available to economic operators via the Internet, listing trade barriers and other information affecting Union exports and Union exporters; purchase of the necessary information, data and documentation for this database,
- specific analysis of the various obstacles to trade in key markets, including analysis of the implementation by third countries of their obligations under international trade agreements, in connection with the preparation of negotiations,



**CHAPTER 20 02 — TRADE POLICY** (*cont'd*)**20 02 01** (*cont'd*)

- conferences, seminars and other information activities (e.g. production and distribution of studies, information packs, publications and leaflets) to inform businesses, Member States officials and other actors about trade barriers and trade policy instruments aimed at protecting the Union against unfair trading practices such as dumping or export subsidies,
- support to the European industry for the organisation of activities specifically geared towards market access issues.

*Activities supporting the implementation of existing rules and monitoring of trade obligations*

Actions to support the implementation of existing trade agreements and the enforcement of related systems that enable effective implementation of these agreements as well as the conduct of investigations and inspection visits to ensure third countries are respecting the rules, including:

- exchange of information, training, seminars and communication activities to support the implementation of existing Union legislation in the area of dual-use export controls,
- activities to facilitate investigations carried out in the context of the trade defence investigations with the aim of defending Union producers against unfair trade practices by third countries (anti-dumping, anti-subsidy and safeguards instruments) that can be harmful to the economy of the Union. In particular, activities will focus on the development, maintenance, operations and security of information technology systems supporting trade defence activities, the production of communications tools, the purchase of legal services in third countries and the conducting of expert studies,
- activities supporting the advisory groups monitoring the implementation of trade agreements entered into force. This includes financing of the members' and experts' travel expenses and accommodation,
- activities with a view to promoting the Union's external trade policy through a process of structured dialogue with key opinion makers of civil society and stakeholders, including small and medium-sized enterprises, on external trade issues,
- activities related to the promotion and communication on trade agreements, both within the Union and in partner countries. This will primarily be implemented by means of production and dissemination of audio-visual, electronic and graphical support and printed publications, subscriptions to trade relevant media and databases, translation of communication materials into non-Union languages, media-oriented actions, including new media products,
- development, maintenance and operating of information systems in support of the operational activities in the 'Trade' policy area such as: Integrated Statistical Database (ISDB), Dual-Use e-system, Market Access Database, Export Helpdesk, Export Credit Database, SIGL and SIGL Wood systems, Civil Society platform and tools for monitoring and supporting trade agreements.

*Legal and other expert assistance required in implementation of existing trade agreements*

Actions to ensure that the Union's trading partners effectively adhere to and comply with obligations arising under the WTO and other multilateral and bilateral agreements, including:

- expert studies, including inspection visits, as well as specific investigations and seminars on implementation by third countries of their obligations under international trade agreements,

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TITLE 20 — TRADE

CHAPTER 20 02 — TRADE POLICY (*cont'd*)20 02 01 (*cont'd*)

- legal expertise, especially on questions of foreign law, required to facilitate defence by the Union of its positions in WTO dispute-settlement cases, other expert studies necessary for the preparation, management and follow-up to WTO dispute settlement cases,
- arbitration costs, legal expertise and fees incurred by the Union as party to the disputes arising from the implementation of international agreements concluded under Article 207 of the Treaty on the Functioning of the European Union.

*Investor to State dispute settlement as established by international agreements*

The following expenditure is to support:

- arbitration costs, legal expertise and fees incurred by the Union as party to the disputes arising from the implementation of international agreements concluded under Article 207 of the Treaty on the Functioning of the European Union,
- payment of a final award or of an award settlement paid to an investor in the context of such international agreements.

*Activities supporting trade policy*

This appropriation is also intended to cover general expenditure on translations, press events, information and publications directly linked to the achievement of the objective of the programme or measures coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, such as the maintenance of the Directorate-General for Trade and Trade Commissioner's Internet site.

Any revenue in the context of the management by the Union of the financial responsibilities linked to investor-state dispute settlement may give rise to the provision of additional appropriations in accordance with Article 21(4) of the Financial Regulation.

Part of this appropriation is intended to cover a comprehensive approach to communications to engage the general public and all stakeholders and maximise transparency with regard to the activities of the Directorate-General for Trade, especially with regard to the Transatlantic Trade and Investment Partnership (TTIP). This will include engagement and dialogue events, such as press or stakeholder events, publishing of information, translations, consultations and follow-up and publications directly linked to the achievement of the objective of the activities coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, such as maintenance of DG TRADE's and the Trade Commissioner's websites. Activities to engage the public and all stakeholders are crucial to a transparent, successful and comprehensive trade policy.

*Legal basis*

Council and Commission Decision 98/181/EC, ECSC, Euratom of 23 September 1997 on the conclusion, by the European Communities, of the Energy Charter Treaty and the Energy Charter Protocol on energy efficiency and related environmental aspects (OJ L 69, 9.3.1998, p. 1).

Council Decision 98/552/EC of 24 September 1998 on the implementation by the Commission of activities relating to the Community market access strategy (OJ L 265, 30.9.1998, p. 31).

**CHAPTER 20 02 — TRADE POLICY** (*cont'd*)**20 02 01** (*cont'd*)

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**20 02 03** *Aid for trade — Multilateral initiatives*

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 500 000	4 500 000	4 802 000	9 300 045	4 150 000,—	3 447 021,20

*Remarks*

This appropriation is intended to support multilateral programmes and initiatives in the field of trade-related assistance to strengthen the capacity of developing countries to participate effectively in the multilateral trading system and regional trading arrangements and to improve their trade performance.

Initiatives and programmes to be funded under this appropriation will support the following actions:

*Assistance for trade policy, participation in negotiations and implementation of trade and trade-related agreements*

Actions to strengthen the capacity of developing countries to formulate their trade policy and to strengthen the institutions involved in trade policy, including comprehensive and updated trade reviews and assistance in integrating trade into their respective policies for economic growth and development.

Actions to strengthen the capacity of developing countries to participate effectively in trade and investment negotiations, to implement trade and investment agreements and to undertake follow-up actions, including on aspects of trade and sustainable development in its labour and environmental perspectives.

Research to advise policymakers how best to ensure that the specific interests of small producers and workers in developing countries are reflected across all policy areas and to promote an enabling environment for producers' access to world markets.

This assistance is primarily targeted at the public sector, but could also include initiatives in support of corporate social responsibility and fair trade.

*Trade development*

Actions to reduce supply-side constraints which impact directly on the ability of developing countries to exploit their international trading potential, including, in particular, private-sector development.

This appropriation complements the Union's geographical programmes and should only cover multilateral initiatives and programmes that offer real added value to the Union's geographical programmes.

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## TITLE 20 — TRADE

CHAPTER 20 02 — TRADE POLICY (*cont'd*)20 02 03 (*cont'd*)

The Commission will provide an annual report on implementation and results obtained and on the main outcomes and effects of aid for trade assistance. The Commission will provide information on a total figure for all aid for trade finance coming from within the general budget of the Union, and a total figure for aid for trade coming from within all 'trade-related assistance' provided.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

20 02 77 **Pilot projects and preparatory actions**

20 02 77 01 Pilot project — Strengthening involvement of stakeholders and access to information with regard to trade negotiations

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
300 000	150 000				

*Remarks*

This pilot project will boost and enhance the Commission's efforts to involve stakeholders and provide access to information with regard to trade negotiations. This is of most immediate concern in connection with the negotiations on the Transatlantic Trade and Investment Partnership (TTIP). The project will engage the general public and all stakeholders, with maximum transparency with regard to the activities of the Directorate-General for Trade, especially with regard to the TTIP. That includes translations, engagement and dialogue events, including press and stakeholder events, the publishing of information, consultations and follow-up publications.

The Directorate-General for Trade should also be able to engage stakeholders more actively outside Brussels, i.e. in the Member States. Information must be made accessible more effectively and brought to the attention of stakeholders, including Union citizens. There is a great deal of information, but it is not always easy to access or to find.

This project will cover both online and offline initiatives.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 21*

**INTERNATIONAL COOPERATION AND DEVELOPMENT**

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TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## TITLE 21

## INTERNATIONAL COOPERATION AND DEVELOPMENT

## General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
21 01	ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA	278 939 686	278 939 686	259 803 477	259 803 477	386 194 747,68	386 194 747,68
21 02	DEVELOPMENT COOPERATION INSTRUMENT (DCI)	2 552 086 343	2 664 054 161	2 378 571 901	2 077 785 314	2 268 134 855,41	1 699 350 375,33
21 04	EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS	130 293 231	133 614 523	130 166 185	113 853 650	132 782 368,05	127 991 318,56
21 05	INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — GLOBAL AND TRANS-REGIONAL AND EMERGING THREATS	64 393 076	84 811 328	64 000 000	47 852 049	82 260 565,61	48 161 919,91
21 06	INSTRUMENT FOR NUCLEAR SAFETY COOPERATION	70 369 456	95 554 028	59 740 640	58 767 211	29 346 872,—	46 847 849,41
21 07	THE EUROPEAN UNION-GREENLAND PARTNERSHIP	31 130 000	34 601 717	30 698 715	33 637 321	24 569 471,—	17 042 028,—
21 08	DEVELOPMENT AND COOPERATION WORLDWIDE	34 762 000	33 255 980	35 638 000	34 887 896	37 154 565,79	24 801 270,41
21 09	COMPLETION OF ACTIONS IMPLEMENTED UNDER INDUSTRIALISED COUNTRIES INSTRUMENT (ICI+) PROGRAMME	—	21 052 357	—	23 058 234	1 036,61	11 662 457,30
Title 21 — Total		3 161 973 792	3 345 883 780	2 958 618 918	2 649 645 152	2 960 444 482,15	2 362 051 966,60

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## TITLE 21

## INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
21 01	ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA					
<b>21 01 01</b>	<b>Expenditure related to officials and temporary staff in the 'International cooperation and development' policy area</b>					
21 01 01 01	Expenditure related to officials and temporary staff — Headquarters	5.2	65 266 714	63 541 256	63 213 259,86	96,85
21 01 01 02	Expenditure related to officials and temporary staff — Union delegations	5.2	78 479 959	70 232 286	69 997 705,08	89,19
	Article 21 01 01 — Subtotal		143 746 673	133 773 542	133 210 964,94	92,67
<b>21 01 02</b>	<b>External personnel and other management expenditure in support of the 'International cooperation and development' policy area</b>					
21 01 02 01	External personnel — Headquarters	5.2	2 527 766	2 900 417	3 013 928,—	119,23
21 01 02 02	External personnel — Union delegations	5.2	2 703 892	1 767 753	1 639 383,60	60,63
21 01 02 11	Other management expenditure — Headquarters	5.2	4 371 601	5 760 617	6 064 667,—	138,73
21 01 02 12	Other management expenditure — Union delegations	5.2	3 749 284	3 604 438	3 729 124,99	99,46
	Article 21 01 02 — Subtotal		13 352 543	14 033 225	14 447 103,59	108,20
<b>21 01 03</b>	<b>Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'International cooperation and development' policy area</b>					
21 01 03 01	Expenditure related to information and communication technology equipment and services	5.2	4 165 392	4 033 983	4 517 075,48	108,44

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA  
(cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>21 01 03</b>	(cont'd)					
21 01 03 02	Buildings and related expenditure — Union delegations	5.2	17 674 595	14 443 042	26 292 585,81	148,76
	Article 21 01 03 — Subtotal		21 839 987	18 477 025	30 809 661,29	141,07
<b>21 01 04</b>	<b>Support expenditure for operations and programmes in the 'International cooperation and development' policy area</b>					
21 01 04 01	Support expenditure for the Development Cooperation Instrument (DCI)	4	83 880 954	77 916 396	99 912 754,31	119,11
21 01 04 03	Support expenditure for the European Instrument for Democracy and Human Rights (EIDHR)	4	9 899 061	9 805 929	10 340 810,—	104,46
21 01 04 04	Support expenditure for the Instrument contributing to Stability and Peace (IcSP)	4	2 206 924	2 100 000	2 144 504,50	97,17
21 01 04 05	Support expenditure for the Instrument for Nuclear Safety Cooperation (INSC)	4	1 432 544	1 418 360	1 200 000,—	83,77
21 01 04 06	Support expenditure for the European Union-Greenland partnership	4	249 000	244 000	245 818,60	98,72
21 01 04 07	Support expenditure for the European Development Fund (EDF)	4	p.m.	p.m.	91 796 120,45	
21 01 04 08	Support expenditure for trust funds managed by the Commission	4	p.m.	p.m.		
	Article 21 01 04 — Subtotal		97 668 483	91 484 685	205 640 007,86	210,55
<b>21 01 06</b>	<b>Executive agencies</b>					
21 01 06 01	Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCIs)	4	2 332 000	2 035 000	2 087 010,—	89,49
	Article 21 01 06 — Subtotal		2 332 000	2 035 000	2 087 010,—	89,49
	<b>Chapter 21 01 — Total</b>		<b>278 939 686</b>	<b>259 803 477</b>	<b>386 194 747,68</b>	<b>138,45</b>



**CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNATIONAL COOPERATION AND DEVELOPMENT’ POLICY AREA**  
(cont'd)

**21 01 01 Expenditure related to officials and temporary staff in the ‘International cooperation and development’ policy area**

21 01 01 01 Expenditure related to officials and temporary staff — Headquarters

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
65 266 714	63 541 256	63 213 259,86

21 01 01 02 Expenditure related to officials and temporary staff — Union delegations

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
78 479 959	70 232 286	69 997 705,08

**21 01 02 External personnel and other management expenditure in support of the ‘International cooperation and development’ policy area**

21 01 02 01 External personnel — Headquarters

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 527 766	2 900 417	3 013 928,—

21 01 02 02 External personnel — Union delegations

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 703 892	1 767 753	1 639 383,60

21 01 02 11 Other management expenditure — Headquarters

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
4 371 601	5 760 617	6 064 667,—

21 01 02 12 Other management expenditure — Union delegations

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
3 749 284	3 604 438	3 729 124,99

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA  
(cont'd)**21 01 03 Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'International cooperation and development' policy area**

21 01 03 01 Expenditure related to information and communication technology equipment and services

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 165 392	4 033 983	4 517 075,48

21 01 03 02 Buildings and related expenditure — Union delegations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
17 674 595	14 443 042	26 292 585,81

**21 01 04 Support expenditure for operations and programmes in the 'International cooperation and development' policy area**

21 01 04 01 Support expenditure for the Development Cooperation Instrument (DCI)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
83 880 954	77 916 396	99 912 754,31

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices; expenditure on external personnel at headquarters is limited to EUR 7 549 714. This estimate is based on a provisional annual unit cost per man-year of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to the external personnel financed under this item,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered against this item,

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**CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA**  
(cont'd)**21 01 04** (cont'd)

## 21 01 04 01 (cont'd)

- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 21 02.

## 21 01 04 03 Support expenditure for the European Instrument for Democracy and Human Rights (EIDHR)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
9 899 061	9 805 929	10 340 810,—

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external personnel at headquarters is limited to EUR 1 613 273. This estimate is based on a provisional annual unit cost per man-year, of which 95 % is accounted for by remuneration for the staff concerned and 5 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to the external personnel financed under this item,

## COMMISSION

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CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA  
(cont'd)

## 21 01 04 (cont'd)

## 21 01 04 03 (cont'd)

- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 21 04.

## 21 01 04 04 Support expenditure for the Instrument contributing to Stability and Peace (IcSP)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 206 924	2 100 000	2 144 504,50

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,

**CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNATIONAL COOPERATION AND DEVELOPMENT’ POLICY AREA**  
(cont'd)

**21 01 04** (cont'd)

**21 01 04 04** (cont'd)

- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased out technical assistance offices, as well as the additional logistical and infrastructure cost, such as the cost of training, meetings, missions, information technology and telecommunications, and renting of accommodation directly resulting from the presence in delegations of the external personnel remunerated from the appropriations against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 21 05.

**21 01 04 05** Support expenditure for the Instrument for Nuclear Safety Cooperation (INSC)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 432 544	1 418 360	1 200 000,—

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA  
(cont'd)

## 21 01 04 (cont'd)

## 21 01 04 05 (cont'd)

— expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external personnel at headquarters is limited to EUR 968 300. This estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology and telecommunications relating to the external personnel financed under this item,

— expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers support expenditure under Chapter 21 06.

## 21 01 04 06 Support expenditure for the European Union-Greenland partnership

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
249 000	244 000	245 818,60

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

**CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNATIONAL COOPERATION AND DEVELOPMENT’ POLICY AREA**  
(*cont'd*)

**21 01 04** (*cont'd*)

21 01 04 06 (*cont'd*)

This appropriation is intended to cover support expenditure under Article 21 07 01.

21 01 04 07 Support expenditure for the European Development Fund (EDF)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	91 796 120,45

*Remarks*

This appropriation is intended to cover administrative support expenditure as decided under the European Development Funds.

Any revenue from the European Development Fund contributing to the cost of support measures entered in Article 6 3 2 of the statement of revenue may give rise to the provision of additional appropriations under this item in accordance with Article 21 of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 95 000 000.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 21(2) thereof.

21 01 04 08 Support expenditure for trust funds managed by the Commission

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

*New item*

This appropriation is intended to cover the management costs of the Commission, for a maximum of 5 % of the amounts pooled in the trust funds, from the years in which the contributions to each trust fund have started to be used as decided under the Article 187(7) of the Financial Regulation.

Any revenue from the Trust Funds contributing to the cost of support measures entered in Article 6 3 4 of the statement of revenue may give rise to the provision of additional appropriations under this item in accordance with Article 21 of the Financial Regulation.

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**CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE 'INTERNATIONAL COOPERATION AND DEVELOPMENT' POLICY AREA**  
(cont'd)**21 01 04** (cont'd)

## 21 01 04 08 (cont'd)

The amount of assigned revenue in accordance with Article 21(2) of the Financial Regulation is estimated at EUR 500 000.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21(2) and 187(7) thereof.

**21 01 06** **Executive agencies**

## 21 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCIs)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 332 000	2 035 000	2 087 010,—

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred as a result of the implementation of the international dimension of higher education of the 'Erasmus+' programme (Heading 4) entrusted to the Agency, under Chapter 21 02. The mandate of the agency includes managing the legacy under the 2007-2013 programming period for the Youth, Tempus and Erasmus Mundus programmes in which DCI beneficiaries are involved.

The Agency will also receive an annual contribution to be financed from Article 21 02 09 appropriations. This will allow the continuation of the programme Intra-ACP Mobility aiming to facilitate the student mobility in Africa, which was financed until 2013 through the 10th European Development Fund.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.



## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

**CHAPTER 21 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘INTERNATIONAL COOPERATION AND DEVELOPMENT’ POLICY AREA**  
(*cont’d*)**21 01 06** (*cont’d*)21 01 06 01 (*cont’d*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The establishment plan of the Agency is set out in Annex ‘Staff’ to this Section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing ‘Erasmus+’: the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

*Reference acts*

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the ‘Education, Audiovisual and Culture Executive Agency’ and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 02	DEVELOPMENT COOPERATION INSTRUMENT (DCI)								
<b>21 02 01</b>	<b>Cooperation with Latin America</b>	4	320 267 528	133 651 000	294 342 737	80 330 136	259 804 272,—	0,—	0
<b>21 02 02</b>	<b>Cooperation with Asia</b>	4	666 614 525	265 089 650	633 098 094	136 220 080	538 057 123,—	50 000,—	0,02
<b>21 02 03</b>	<b>Cooperation with Central Asia</b>	4	128 698 347	49 066 277	124 955 010	19 364 164	95 031 914,—	31 914,—	0,07
<b>21 02 04</b>	<b>Cooperation with the Middle East</b>	4	66 504 914	26 581 395	66 711 154	12 933 170	53 500 000,—	0,—	0
<b>21 02 05</b>	<b>Cooperation with Afghanistan</b>	4	199 417 199	83 419 366	199 417 199	48 762 723	182 500 000,—	0,—	0
<b>21 02 06</b>	<b>Cooperation with South Africa</b>	4	60 000 000	12 678 745	26 721 430	4 431 255	26 000 000,—	0,—	0
<b>21 02 07</b>	<b>Global public goods and challenges and poverty reduction, sustainable development and democracy</b>								
21 02 07 01	Environment and climate change	4	174 564 526	70 500 000	176 041 720	29 076 017	164 048 310,—	7 200 000,—	10,21
21 02 07 02	Sustainable energy	4	77 584 234	72 000 000	67 875 236	21 043 003	82 851 742,—	0,—	0
21 02 07 03	Human development	4	163 633 821	120 346 000	150 920 558	79 020 406	163 093 980,—	0,—	0
21 02 07 04	Food and nutrition security and sustainable agriculture	4	189 495 232	88 783 000	167 239 336	53 786 265	200 982 990,—	0,—	0
21 02 07 05	Migration and asylum	4	57 257 470	22 560 000	41 605 380	10 128 583	46 504 665,—	0,—	0
	Article 21 02 07 — Subtotal		662 535 283	374 189 000	603 682 230	193 054 274	657 481 687,—	7 200 000,—	1,92
<b>21 02 08</b>	<b>Financing initiatives in the area of development by or for civil society organisations and local authorities</b>								
21 02 08 01	Civil society in development	4	180 875 198	86 753 855	180 143 207	46 364 459	212 398 533,—	0,—	0
21 02 08 02	Local authorities in development	4	60 291 733	18 116 751	45 035 802	7 945 698	36 366 417,—	0,—	0
	Article 21 02 08 — Subtotal		241 166 931	104 870 606	225 179 009	54 310 157	248 764 950,—	0,—	0

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 02 09	<b>Pan-Africa programme to support the Joint Africa-European Union Strategy</b>	4	93 468 616	53 691 844	101 404 040	53 698 949	97 577 288,—	0,—	0
21 02 20	<b>Erasmus+ — Contribution from the development cooperation instrument (DCI)</b>	4	105 000 000	61 455 647	90 038 998	47 908 017	100 356 946,—	507 645,98	0,83
21 02 30	<b>Agreement with the Food and Agriculture Organisation (FAO) and other United Nations bodies</b>	4	338 000	371 630	332 000	298 370	331 537,—	331 537,—	89,21
21 02 40	<b>Commodities agreements</b>	4	5 375 000	5 749 783	3 700 000	3 325 217	3 035 000,—	2 841 587,69	49,42
21 02 51	<b>Completion of actions in the area of development cooperation (prior to 2014)</b>								
21 02 51 01	Cooperation with third countries in the areas of migration and asylum	4	—	21 470 540	—	35 415 225	0,—	52 785 804,20	245,85
21 02 51 02	Cooperation with developing countries in Latin America	4	—	237 700 000	—	205 062 655	14 631,89	268 952 094,24	113,15
21 02 51 03	Cooperation with developing countries in Asia, including Central Asia and the Middle East	4	—	570 761 524	—	502 119 834	448,72	589 691 720,06	103,32
21 02 51 04	Food security	4	—	103 400 000	—	140 324 836	45 877,25	181 025 325,97	175,07
21 02 51 05	Non-state actors in development	4	—	121 260 000	—	138 405 898	1 530,33	216 993 639,36	178,95
21 02 51 06	Environment and sustainable management of natural resources, including energy	4	—	108 570 000	—	84 183 056	1 018,22	118 024 011,56	108,71
21 02 51 07	Human and social development	4	—	47 696 646	—	65 148 307	380 632,—	110 861 720,95	232,43
21 02 51 08	Geographical cooperation with Africa, Caribbean and Pacific states	4	—	267 200 000	—	240 105 670	0,—	142 756 320,38	53,43
	<i>Article 21 02 51 — Subtotal</i>		—	1 478 058 710	—	1 410 765 481	444 138,41	1 681 090 636,72	113,74

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>21 02 77</b>	<b><i>Pilot projects and preparatory actions</i></b>								
21 02 77 01	Preparatory action — Cooperation with middle-income group countries in Latin America	4	p.m.	14 029	p.m.	626 849	0,—	480 841,10	3 427,48
21 02 77 02	Preparatory action — Business and scientific exchanges with India	4	p.m.	1 147 755	p.m.	1 198 112	0,—	574 907,40	50,09
21 02 77 03	Preparatory action — Business and scientific exchanges with China	4	p.m.	124 157	p.m.	486 748	0,—	1 981 019,72	1 595,58
21 02 77 04	Preparatory action — Cooperation with middle income group countries in Asia	4	p.m.	133 021	p.m.	48 910	0,—	0,—	0
21 02 77 05	Preparatory action — European Union-Asia — Integration of policy and practice	4	p.m.	83 670	p.m.	29 170	0,—	0,—	0
21 02 77 06	Pilot project — Finance for agricultural production	4	p.m.	p.m.	p.m.	p.m.	0,—	44 998,—	
21 02 77 07	Preparatory action — Regional African CSO Network for Millennium Development Goal 5	4	p.m.	334 002	p.m.	p.m.	0,—	951 867,81	284,99
21 02 77 08	Preparatory action — Water management in developing countries	4	p.m.	400 000	p.m.	671 576	0,—	1 180 879,74	295,22
21 02 77 10	Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries	4	p.m.	1 371 000	p.m.	671 576	0,—	217 588,25	15,87
21 02 77 11	Preparatory action — Research and development on poverty-related, tropical and neglected diseases	4	p.m.	1 400 000	p.m.	503 683	0,—	300 000,—	21,43
21 02 77 12	Pilot project — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC)	4	p.m.	p.m.	p.m.	p.m.	0,—	103 145,14	

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>21 02 77</b>	( <i>cont'd</i> )								
21 02 77 13	Preparatory action — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC)	4	p.m.	1 853 832	p.m.	755 524	0,—	1 461 806,78	78,85
21 02 77 14	Global Energy Efficiency and Renewable Energy Fund (GEEREF)	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
21 02 77 15	Pilot project — Strategic investment in sustainable peace and democratisation in the Horn of Africa	4	p.m.	400 883	p.m.	293 815	1 000 000,—	0,—	0
21 02 77 16	Pilot project — Strengthening veterinary services in developing countries	4	p.m.	1 550 000	1 500 000	1 421 576	2 000 000,—	0,—	0
21 02 77 17	Pilot project — Corporate social responsibility and access to voluntary family planning for factory workers in developing countries	4	p.m.	300 000	p.m.	251 841	750 000,—	0,—	0
21 02 77 18	Pilot project — Investing in sustainable peace and community rebuilding in the Cauca area — Colombia	4	p.m.	1 200 000	1 500 000	750 000	1 500 000,—	0,—	0
21 02 77 19	Preparatory action — Building resilience for better health of nomadic communities in post-crisis situations in the Sahel region	4	100 000	1 200 000	1 500 000	1 421 576	0,—	0,—	0
21 02 77 20	Preparatory action — Socio-economic reintegration of children and female sex workers living at mines in Luhwindja, South Kivu Province, eastern DRC	4	150 000	1 000 000	2 200 000	1 855 524	0,—	0,—	0

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 02 77 21	Preparatory action — Building and strengthening local partnerships to develop social economy and to establish social enterprises in Eastern Africa	4	p.m.	548 159	p.m.	251 841	0,—	0,—	0
21 02 77 22	Pilot project — Integrated approach to developing and rolling out health solutions to tackle neglected tropical diseases in endemic areas	4	p.m.	500 000	1 500 000	750 000			
21 02 77 23	Pilot project — Access to justice and reparation for victims of the most serious crimes committed in the Democratic Republic of Congo (DRC)	4	p.m.	395 000	790 000	395 000			
21 02 77 24	Pilot project — Mapping the global threat posed by anti-microbial resistance	4	750 000	375 000					
21 02 77 25	Pilot project — Enhance children's rights, protection and access to education for children and adolescents displaced in Guatemala, Honduras and El Salvador	4	700 000	350 000					
21 02 77 26	Pilot project — Education services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan	4	500 000	250 000					
21 02 77 27	Pilot project — Piloting the use of Participatory Rangeland Management (PRM) in Kenya and Tanzania	4	500 000	250 000					
	Article 21 02 77 — Subtotal		2 700 000	15 180 508	8 990 000	12 383 321	5 250 000,—	7 297 053,94	48,07
	Chapter 21 02 — Total		2 552 086 343	2 664 054 161	2 378 571 901	2 077 785 314	2 268 134 855,41	1 699 350 375,33	63,79

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)***Remarks*

The primary objective of the Union's development policy is poverty reduction, as laid down in the Treaty. The European Consensus on Development provides the general policy framework, guidance and the focus to direct implementation of Regulation (EU) No 233/2014.

These appropriations are intended to cover activities concerning the pursuit of poverty reduction, sustainable economic, social and environmental development and consolidating and supporting democracy, the rule of law, good governance, human rights and the relevant principles of international law, including those reflected in the Millennium Development Goals and in the emerging post-2015 international development framework. Synergies with other Union external instruments will be sought where appropriate, without any loss of focus on the above basic objectives.

In principle, 100 % of expenditure in the geographic programmes and at least 95 % of expenditure in the thematic programmes and 90 % of expenditure in the pan-African programme must conform to the OECD/DAC criteria for Official Development Assistance.

As a general rule, at least 20 % of appropriations should be used for basic social services and secondary education. Moreover, the DCI should contribute to the objective of addressing at least 20 % of the Union budget to a low carbon and climate-resilient society.

**21 02 01*****Cooperation with Latin America****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
320 267 528	133 651 000	294 342 737	80 330 136	259 804 272,—	0,—

*Remarks*

The purpose of development cooperation under this article is primarily its contribution to support the promotion of democracy, good governance, equality, respect for human rights and for the rule of law, and fostering sustainable development and economic integration, as well as to achieving the Millennium Development Goals and post-2015 global development commitments.

The Commission must continue to report annually on the benchmark used in the past for the assistance to developing countries that is to be allocated to social infrastructure and services, recognising that the Union contribution must be seen as part of overall donor support for the social sectors and that a degree of flexibility must be the norm. Moreover, the Commission will endeavour to ensure that a benchmark of 20 % of its assistance under the DCI will be dedicated to basic social services, with a focus on health and education, and to secondary education, this being an average across all geographical areas, and recognising that here too a degree of flexibility must be the norm, for example where exceptional assistance is involved. This appropriation is intended to cover cooperation schemes in developing countries, territories and regions in Latin America in order to:

— contribute to the achievement of MDGs targets in the region,

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 01 (*cont'd*)

- support trade union, non-governmental organisations and local initiatives to monitor the impact to the investments on the national economy, particularly respect for labour, environmental, social and human rights standards,
- support gender equality, through supporting actions to combat harmful traditional practices such as female genital mutilation and child marriage, and women empowerment,
- foster the development of civil society,
- combat poverty and social exclusion and promote social cohesion,
- contribute to improve social standards with focus on education, including education and vocational training for employment, and health, and to the improvement of social protection schemes,
- promote a more favourable climate for economic expansion and enhanced productive sector, encourage the transfer of know-how, promote contact and collaboration between business players bi-regionally,
- promote private sector development, incl. an SME-friendly business climate via i.e. legal property rights, reducing unnecessary administrative burden, improving access to credit, improving associations of small and medium-sized enterprises,
- support efforts towards food security and combat malnutrition,
- support regional integration; in Central America, foster the region's development via enhanced benefits derived from the EU-Central America association agreement,
- promote the sustainable use of natural resources, including water, and the combating of climate change (mitigation and adaptation),
- support efforts for improving good governance and help consolidate democracy, human rights and the rule of law,
- promote policy reform particularly in the area of justice and security and support related actions to enhance development of countries and regions.

Where assistance is delivered through budget support, the Commission will support efforts of partner countries to develop parliamentary oversight and audit capacities and transparency.

Appropriations under this article are subject to evaluations that will include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations will be used in the formulation of subsequent measures financed with these appropriations.



## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 01 (*cont'd*)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 02 ***Cooperation with Asia****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
666 614 525	265 089 650	633 098 094	136 220 080	538 057 123,—	50 000,—

*Remarks*

This appropriation is intended to cover the financing of development schemes in Asian developing countries, in particular in countries where the poorest live, aimed at improving human and social development as well as tackling macroeconomic and sectoral problems. Emphasis is placed on economic and social governance and development, improving the human rights situation, democratisation, education, vocational training, lifelong learning, academic and cultural exchange, scientific and technological exchange, the environment, regional cooperation, disaster prevention and reconstruction measures, as well as the promotion of sustainable energy and information and communications technology.

It is also intended to cover support for the development of civil society and, in particular, support for the activities of non-governmental organisations promoting and defending the rights of vulnerable groups, such as women, children, ethnic minorities and people with disabilities.

Utilisation of this appropriation is dependent on observance of the principles underpinning Union action. Appropriate consideration will be given to the areas described below, reflecting jointly-agreed strategies, partnerships, cooperation and trade agreements. Priorities will be established in accordance with the European Consensus for development and the Agenda for change and with the subsequent conclusions of the Council.

This appropriation is intended to include actions related to inclusive and sustainable growth for human development. Sectors that may be addressed include:

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 02 (*cont'd*)

- social protection and jobs, and universal access to health and education,
- business environment, regional integration and world markets,
- sustainable agriculture, nutrition and energy,
- climate change and environment,
- encouraging social cohesion, in particular social inclusion, fair income distribution, decent work and equity and gender equality,
- establishing inclusive partnerships around trade, investment, aid, migration, civil registration, research, innovation and technology,
- supporting an active and organised civil society for development and fostering public private partnerships,
- supporting climate change mitigation and adaptation, the promotion of sustainable consumption and production as well as investments in clean technologies, sustainable energies, transport, sustainable agriculture and fisheries, the protection of and enhancement of biodiversity and ecosystem services, including water, sanitation and forests, and decent job including creation for young people and women, in the green economy,
- encouraging greater regional integration and cooperation in a result-oriented way through support to different processes of regional integration and dialogue,
- contributing to preventing and responding to health risks, including those originating at the interface between animals, humans and their various environments,
- supporting disaster preparedness and post-disaster long-term recovery, including in the field of food and nutrition security and assistance to uprooted people,
- strengthening the capacity to provide universal access to basic social services particularly in health and education.

This appropriation will address actions related to:

- democracy, human rights including children's and women's rights and the rule of law,
- gender equality and the empowerment of women and girls,
- public sector management,
- tax policy and administration,
- corruption and transparency,

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 02** (cont'd)

- civil society and local authorities,
- building and strengthening legitimate, effective and accountable public institutions and bodies, through promotion of institutional reforms (including on good governance and anti-corruption, public financial management, taxation and public administration reform) and legislative, administrative and regulatory reforms in line with international standards, in particular in fragile States and countries in conflict and post-conflict situations,
- in the context of the security and development nexus, fighting against corruption and organised crime, production, consumption and trafficking of drugs and against other forms of trafficking, and supporting efficient border management and cross-border cooperation and improving civil registration.

The purpose of development cooperation under this heading is its contribution to achieving the Millennium Development Goals as well as the promotion of democracy, good governance, respect for human rights and for the rule of law, fostering sustainable development and economic integration and promoting conflict prevention, conflict resolution and reconciliation.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 03** ***Cooperation with Central Asia****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
128 698 347	49 066 277	124 955 010	19 364 164	95 031 914,—	31 914,—

*Remarks*

This appropriation is intended to cover help to eradicate poverty and contribute to the creation of conditions for sustainable and inclusive economic and social development, social cohesion, democratisation and the improvement of people's lives.

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TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 03 (*cont'd*)

Emphasis of bilateral programmes is put on: integrated rural development, promoting sustainable growth, income-generating opportunities in rural areas at the community level, and fostering food security; reforms in the area of rule of law, promoting democratisation and human rights, transparency and anti-corruption measures, and supporting public finance management; the establishment of an effective system of education focused on quality secondary and vocational education matching job market needs; and support to the health sector through improved access to equitable and quality health services.

Regional programmes aim at supporting a broad-based process of dialogue and collaboration between Central Asian countries, promoting an environment conducive to a non-confrontational approach within the region, notably in areas sensitive for overall political and social stability.

The programmes will be implemented with a steady focus on those most in need. Cross-cutting issues, such as environment and climate change, disaster risk reduction, local governance, anti-corruption, human rights, and gender, will be mainstreamed in all Union programmes.

When and where governance reform efforts of a meaningful nature and genuine democratisation processes exist, providing support for them will be a priority. Similarly, allocations to border management and anti-drugs programmes will depend on the outlook for achieving significant results. Cooperation with civil society will be a major element of cooperation. Increasingly, collaboration with Member States' agencies to implement these programmes will be chosen, to make use of Union knowledge transfer in all sectors.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 04 **Cooperation with the Middle East**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
66 504 914	26 581 395	66 711 154	12 933 170	53 500 000,—	0,—

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)****21 02 04** (*cont'd*)*Remarks*

Emphasis must be placed on operations influencing economic organisation and institutional development, improving the human rights situation, including freedom of expression, freedom of assembly, freedom of the press and media, freedom of religion and belief, including the right to relinquish beliefs, and promoting and protecting digital freedoms, the strengthening of civil society, including operations concerning democratisation, universal access for children of both sexes and women as well as children with disabilities to primary and secondary education, strengthening health systems, in particular with a view to eradicating polio following the latest outbreaks in Syria, Iraq and Israel, the environment, and the sustainable management of natural resources, including tropical forests, regional cooperation, disaster prevention and risk reduction, including climate-change-related hazards, and reconstruction measures, as well as the promotion of sustainable energy, the fight against climate change and the promotion of digital freedoms in connection with internet and information and communication technology use.

This appropriation is also intended to cover measures promoting conflict prevention, conflict resolution and reconciliation.

It is also intended to cover support for the development of civil society and, in particular, support for the activities of non-governmental organisations promoting and defending the rights of vulnerable groups, such as women, children, LGBTI people, ethnic and religious minorities, atheists and people with disabilities.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

This appropriation may include actions related to:

- democracy, human rights and the rule of law,
- gender equality and the empowerment of women and girls,
- public sector management,
- tax policy and administration,
- corruption and transparency,
- civil society and local authorities,
- security and development nexus,

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)**21 02 04** (*cont'd*)

- support for microcredit schemes,
- capacity building to assist agricultural producers in developing countries to meet Union hygiene and phytosanitary standards necessary for access to the Union market,
- support for refugees and displaced populations,
- promoting social development, social cohesion and fair income distribution.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 05** *Cooperation with Afghanistan**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
199 417 199	83 419 366	199 417 199	48 762 723	182 500 000,—	0,—

*Remarks*

This appropriation is intended to cover Union operations in the framework of the Afghanistan reconstruction process. It is supplemented by expenditure from other chapters and articles, to which different procedures apply.

The Commission will monitor compliance with the conditions for the Union contribution to this process, notably full implementation of the post-Bonn process. It will keep the European Parliament and the Council informed on its findings and conclusions.

This appropriation is intended to support basic social services and economic development in Afghanistan.

This appropriation is also intended to support the Afghanistan national drug control strategy, including halting the production of opium in Afghanistan, and the disruption and destruction of the opium networks and illegal export routes to European countries.

A relevant part of this appropriation must be exclusively devoted to fund the start-up of the 5-year plan for phasing out opium cultivation by replacing it with alternative production in order to obtain a verifiable outcome in this field, in line with the demands expressed in the European Parliament resolution of 16 December 2010 on a new strategy for Afghanistan (OJ C 169 E, 15.6.2012, p. 108).

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)****21 02 05** (*cont'd*)

This appropriation is also intended to support the Union contribution to the processes for the return of Afghan refugees and displaced persons to their country and regions of origin in accordance with the commitments entered into by the European Community at the Tokyo Conference in January 2002.

This appropriation is also intended to finance activities of women's organisations which have worked for a long time for Afghan women's rights.

The Union should increase its financial assistance in Afghanistan for areas such as health (construction and renovation of hospitals, prevention of child mortality, strengthening of health systems, eradicating polio in what is one of the last 'endemic' countries) and small and medium-sized infrastructure projects (repairing of road network, embankments, etc.), as well as effectively implementing job security and food security schemes.

Part of this appropriation is intended to be used for mainstreaming of disaster risk reduction, based on ownership and national strategies of disaster-prone countries.

Part of this appropriation is intended to be used, with due regard for the Financial Regulation, to improve the situation of women, with priority for actions in the fields of health and education, and to support their active involvement in all areas and at all levels of decision-making.

Particular attention will also be paid to the situation of women and girls in all other actions and projects supported by this appropriation.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 06** ***Cooperation with South Africa****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
60 000 000	12 678 745	26 721 430	4 431 255	26 000 000,—	0,—

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 06 (*cont'd*)*Remarks*

This appropriation is intended to cover assistance to the Government of South Africa in reducing unemployment from around 25 % (2013) to 14 % (2020) and assistance to South Africa in fulfilling its developmental and transformative role, including improving service delivery.

Employment creation has been identified as one of three priority areas in South Africa's recently developed National Development Plan 2030 (the other areas being education, training and innovation, and building a capable state) because unemployment is at the heart of South Africa's triple challenge of unemployment, poverty and inequality. The support is expected to contribute to improving employment creation policymaking and implementation, including in the area of 'green jobs' and green technology for low carbon development; reducing the cost of doing business, especially for small, medium, and micro-sized enterprises; and enhancing actions in the area of skills development and placement assistance.

The support is expected to contribute to strengthening systems of oversight; improving relations between national, provincial, and local government; enhancing public service staff with the authority, experience, competence and support they need to do their jobs; and promoting of an active citizenry in policy design, implementation, and monitoring and evaluation.

Also, the overall objective of this programme is to contribute to the implementation of South Africa's recently developed National Development Plan 2030 and the accompanying outcome-based approach, which aim to improve the conditions of life of South Africans including halving poverty and unemployment, while being aligned with the Millennium Development Goals. The programme purpose is to contribute to the overall objective in the areas of decent employment creation through inclusive economic growth and the establishment of an efficient, effective and development oriented public service and an empowered, fair and inclusive citizenship.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 07 ***Global public goods and challenges and poverty reduction, sustainable development and democracy****Remarks*

This programme is intended to benefit primarily the poorest and least developed countries and the most disadvantaged sections of the population in countries covered by Regulation (EU) No 233/2014.



CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 07 (*cont'd*)

This appropriation is intended to cover poverty reduction and fostering sustainable development as a component under the 'Global Public Goods and Challenges' programme. The objective of the programme is to support inclusive sustainable development by addressing the main global public goods and challenges in a flexible and cross-cutting manner. The main areas of action include environment and climate change, sustainable energy, human development (including health, education, gender, equality, identity, employment, skills, social protection, social inclusion, and economic-development-related aspects such as growth, jobs, trade and private-sector engagement), food and nutrition security, sustainable agriculture, and migration and asylum. This thematic programme will also enable a swift response to be made to unforeseen events and global crises affecting the poorest populations. By promoting synergies across the various sectors, the 'Global Public Goods and Challenges' programme will reduce fragmentation of Union development cooperation and increase coherence and complementarity with other Union programmes and instruments. At least 50 % of the funds, prior to the use of the markers based on OECD methodology (Rio markers), should serve for climate action and environment-related objectives.

## 21 02 07 01 Environment and climate change

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
174 564 526	70 500 000	176 041 720	29 076 017	164 048 310,—	7 200 000,—

*Remarks*

This appropriation is intended to provide financial support to actions under the 'Environment and climate change subtheme', of the 'Global Public Goods and Challenges' programme.

It will be used in particular to finance initiatives in the following areas: climate change adaptation and mitigation and transition to climate resilient low-carbon societies; protection, enhancement and sustainable management of natural capital (e.g. biodiversity, ecosystem services, forests, land, water resources); transformation towards an inclusive green economy; integration of environment, climate change and disaster risk reduction in the Union's development cooperation programmes; international governance of environment and climate. Attention will be paid to relevant governance issues and support be given to the pursuit of relevant global targets, such as sustainability goals set within a post-2015 development framework.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

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CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 07 (*cont'd*)21 02 07 01 (*cont'd*)*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 07 02 Sustainable energy

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
77 584 234	72 000 000	67 875 236	21 043 003	82 851 742,—	0,—

*Remarks*

This appropriation is intended to cover provision of financial support to actions under the 'Sustainable energy subtheme' of the 'Global Public Goods and Challenges' programme.

It will be used for promoting access to reliable, secure, affordable, climate-friendly and sustainable energy services as a key driver for poverty eradication and inclusive growth and development with a special emphasis on the use of local and regional renewable energy sources and on ensuring access for poor people in remote regions. Investments to improve energy efficiency in generation, transmission, distribution and smart use of energy including through supporting implementation of innovative projects in poor urban and semi-urban communities will be also supported. Likewise, actions for building strategic alliances to achieve sustainable energy goals through facilitating dialogue and coordination with key players and other donors are envisaged.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 07 (*cont'd*)

## 21 02 07 03 Human development

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
163 633 821	120 346 000	150 920 558	79 020 406	163 093 980,—	0,—

*Remarks*

This appropriation is intended to provide financial support to actions in developing countries under the 'Human development subtheme', covering health, education, gender issues and other aspects of human development, of the 'Global Public Goods and Challenges' programme. It should primarily benefit the poorest sections of the populations in the countries it covers.

The health component will support universal access to good quality essential health services. Priority areas should include child and maternal health, sexual and reproductive health and rights, access to family planning, eradicating polio, protection against and treatment of HIV/AIDS, tuberculosis, malaria and other poverty-related and neglected diseases, and access to psychological support for victims of violence.

Equal access and quality of education will be supported, including for migrants, women and girls, with an emphasis on countries furthest from achieving global targets.

In relation to gender equality, programmes to promote women's and girls' economic and social empowerment will be supported. Addressing sexual and gender-based violence and supporting victims will also be priorities. Helping to eradicate gender-biased sex selection practices will also be among the objectives.

The appropriation can also be used for activities in support of children and youth, especially those to ensure full enjoyment of rights and to empower youth more generally, focusing on young girls in particular; health and education; non-discrimination; employment, skills, social protection and social inclusion; growth, jobs and private sector engagement and culture.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

Where assistance is delivered via budget support, the Commission will support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

## 21 02 07 (cont'd)

## 21 02 07 04 Food and nutrition security and sustainable agriculture

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
189 495 232	88 783 000	167 239 336	53 786 265	200 982 990,—	0,—

## Remarks

This appropriation is intended to provide financial support to actions under the 'Food and nutrition security and sustainable agriculture subtheme', of the 'Global Public Goods and Challenges' programme.

In the area of food and nutrition security and sustainable agriculture, the overall objective is to improve food security for the poorest and more vulnerable, to help eradicate poverty and hunger for current and future generations and to better address under-nutrition thereby reducing child mortality. This objective will be pursued in line with the Union policy will focus on enhancing the incomes of smallholder farmers, the resilience of vulnerable communities and on helping partner countries reducing the number of stunted children by 7 million by 2025. As food security is a global challenge, the 'Global Public Goods and Challenges' programme will focus on activities and approaches to address global public goods and challenges that provide strong multiplier to the agricultural, livestock and fisheries sector, the food and nutrition security situation of households, the rural economy and food systems, and the resilience of the most vulnerable households to shocks and stresses. This will complement and add value to the support provided through geographical programmes.

The following three components are included in the theme:

- *Component 1: Generating and exchanging knowledge and fostering innovation* that will generate and apply new knowledge to the challenges of food and nutrition security mainly at international and continental levels. This component will work with existing global and regional initiatives, but also envisages new partnerships that are needed to ensure that knowledge generated by research is used by beneficiaries to improve their income and livelihoods,
- *Component 2: Strengthening and promoting governance and capacity at the global, continental, regional and national levels, for all relevant stakeholders.* This component will support international initiatives addressing food and nutrition security, including land, sustainable fisheries, and improve the effectiveness of dialogue on food and nutrition security issues. It will also foster a coordinated international effort to generate reliable, accessible and timely information and analytical capacity to support evidence-based policymaking and to strengthen and/or set up sustainable regional and national information systems for food security (ISFS) institutions. This component may also support capacity development initiatives of stakeholders such as civil society organisations, farmers' organisations and other inter-professional groups along the value chain,

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 07** (cont'd)

21 02 07 04 (cont'd)

— *Component 3: Supporting the poor and nutrition-insecure to react to crises and strengthen resilience.* This component will provide support to countries where there will not be bilateral programmes under geographical programming, to countries which suffer from the consequences from a major unforeseen crisis and/or shock, including natural and man-made disasters, epidemics and major food and nutrition crises in fragile and food crisis prone countries, this component will also support innovative approaches to strengthen prevention and to build resilience. Where relevant, interventions will be also designed in order to enhance synergies and complementarities between humanitarian and development interventions. Joint analysis of the situation performed by humanitarian and development stakeholders will be encouraged.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

21 02 07 05 Migration and asylum

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
57 257 470	22 560 000	41 605 380	10 128 583	46 504 665,—	0,—

*Remarks*

This appropriation is intended to cover implementation of initiatives under the 'migration and asylum' thematic area of the 'Global Public Goods and Challenges' programme with the aim of enhancing the governance and maximising the development impact of migration and mobility.

In particular, the 'Global Public Goods and Challenges' programme in the area of migration and asylum aims to enhance the governance of migration in and by developing countries, placing particular attention on maximising the positive impact and minimising the negative impact of migration and mobility on development in low- and middle-income countries of origin and destination. Protection and promotion of the human rights of migrants, including access to services such as health, and support for the Union commitments to ensure policy coherence for development on migration will be pursued as cross-cutting objectives.

The programme will focus on initiatives at global level as well as multi-regional level (e.g. to support cooperation along south-south or south-north migratory routes). A limited number of national projects to support new cooperation activities with priority countries for the Union's external migration policy may also be launched.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)**21 02 08      *Financing initiatives in the area of development by or for civil society organisations and local authorities****Remarks*

This appropriation is intended to support development initiatives in developing countries carried out by or for civil society organisations and local authorities originating in the Union and partner countries, as well as to strengthen their capacity to contribute to the policymaking process and to ensure accountability and transparency.

Particular attention will be paid to supporting civil society and local authorities and to promoting dialogue, an enabling environment for citizens' participation, with due regard for the gender perspective and women's empowerment, reconciliation and institution-building, including at local and regional level.

**21 02 08 01      Civil society in development***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
180 875 198	86 753 855	180 143 207	46 364 459	212 398 533,—	0,—

*Remarks*

This appropriation is intended to support initiatives to strengthen civil society organisations in partner countries and in the Union and beneficiaries eligible under Regulation (EU) No 231/2014 when referring to development education and awareness raising (DEAR) activities. The initiatives to be financed will be primarily carried out by civil society organisations. Where appropriate, in order to ensure their effectiveness, initiatives may be carried out by other actors for the benefit of the civil society organisations, so as to contribute to:

- an inclusive and empowered society, including from a gender equality perspective, in partner countries through strengthened civil society organisations,
- an increased capacity of European and southern civil society networks, platforms and alliances to ensure a substantive and continued policy dialogue in the field of development and to promote democratic governance and empowerment of women,
- an increased level of awareness of Union citizens regarding development issues and mobilising active public support for poverty reduction and sustainable development strategies in partner countries.

Possible activities to be supported by this programme:

- interventions in partner countries which support vulnerable and marginalised groups by providing basic services delivered through civil society organisations,
- capacity development of the targeted actors complementary to support granted in the framework of the national programme, actions aiming at:

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 08** (cont'd)**21 02 08 01** (cont'd)

- creating enabling environment for citizen participation and civil society action and the capacity of civil society organisations to participate effectively in policy formulation and in the monitoring of policy implementation processes,
- facilitating an improved dialogue and better interaction between civil society organisations, local authorities, the State and other development actors in the context of development,
- coordination, capacity development and institutional strengthening of civil society networks, within their organisations and between different types of stakeholders active in the European public debate on development as well as coordination, capacity development and institutional strengthening of southern networks of civil society organisations and umbrella organisations,
- raising public awareness of development issues, empowering people to become active and responsible citizens and promoting formal and informal education for development in the Union and in candidate countries and potential candidates, to anchor development policy in European societies, to mobilise greater public support for action against poverty and for more equitable relations between developed and developing countries, to raise awareness of the issues and difficulties facing developing countries and their peoples, and to promote the right to a process of development in which all human rights and fundamental freedoms can be fully realised and the social dimension of globalisation.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 08 02** Local authorities in development*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
60 291 733	18 116 751	45 035 802	7 945 698	36 366 417,—	0,—

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 08 (*cont'd*)21 02 08 02 (*cont'd*)*Remarks*

This appropriation is intended to cover support to initiatives to strengthen local authorities in partner countries and in the Union and beneficiaries eligible under Regulation (EU) No 231/2014 when referring to development education and awareness raising (DEAR) activities. The initiatives to be financed will be primarily carried out by local authorities or their associations. Where appropriate, in order to ensure their effectiveness, initiatives may be carried out by other actors for the benefit of the local authorities, so as to contribute to:

- an inclusive and empowered society in partner countries through strengthened local authorities,
- an increased capacity of European and Southern local authority networks, regional and global associations, platforms and alliances to ensure a substantive and continued policy dialogue in the field of development and to promote democratic governance,
- an increased level of awareness of Union citizens regarding development issues and mobilising active public support in the Union, candidate countries and potential candidates for poverty reduction and sustainable development strategies in partner countries.

Possible activities to be supported by this programme:

- interventions in partner countries which support vulnerable and marginalised groups by providing basic services delivered through local authorities,
- capacity development of the targeted actors complementary to support granted in the framework of the national programme, actions aiming at:
  - creating enabling environment for citizen participation and action and the capacity of local authorities to participate effectively in policy formulation and in the monitoring of policy implementation processes,
  - facilitating an improved dialogue and better interaction between local authorities, civil society organisations, the State and other development actors in the context of development,
  - strengthening the capacity of local authorities to participate effectively in the development process acknowledging their particular role and specificities,
- coordination, capacity development and institutional strengthening of local authority networks, within their organisations and between different types of stakeholders active in the European public debate on development as well as coordination, capacity development and institutional strengthening of southern networks of local authorities and umbrella organisations,



**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 08** (cont'd)**21 02 08 02** (cont'd)

- raising public awareness of development issues, empowering people to become active and responsible citizens and promoting formal and informal education for development in the Union, in candidate countries and potential candidates, to anchor development policy in European societies, to mobilise greater public support for action against poverty and for more equitable relations between developed and developing countries, to raise awareness of the issues and difficulties facing developing countries and their peoples, and to promote the right to a process of development in which all human rights and fundamental freedoms can be fully realised and the social dimension of globalisation.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 09** ***Pan-Africa programme to support the Joint Africa-European Union Strategy****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
93 468 616	53 691 844	101 404 040	53 698 949	97 577 288,—	0,—

*Remarks*

This appropriation is intended to cover support to the implementation of the Joint Africa-EU Strategy (JAES). In particular, the Pan-African programme will be used to provide specific support to development cooperation activities of cross-regional, continental and trans-continental nature, as well as relevant JAES initiatives in the global arena. The Pan-African programme will work in close cooperation with other instruments, notably the European Neighbourhood Instrument established by Regulation (EU) No 232/2014, the European Development Fund and the thematic programmes under the Development Cooperation Instrument established by Regulation (EU) No 233/2014, and will concentrate on specific initiatives agreed in the framework of JAES, ensuring the necessary coherence and synergy and preventing duplications and overlaps.

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CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 09 (*cont'd*)

This will be used in the following main priority development areas:

- development of peace, security, democratic governance and human rights, with a support to the African governance architecture through cooperation with the Commission of the African Union and other related institutions such as the Pan-African Parliament, the African Court for People and Human Rights; civil society organisations,
- support to regional integration at the continental level, including harmonisation of policies, standards and regulations, and capacity building to promote regional integration, trade and investments,
- on migration, mobility and employment, targeting improvements in the areas of remittances, mobility and labour migration, fight against trafficking in human beings, irregular migration and international protection,
- good stewardship of natural resources (this includes areas such environment and climate change, raw materials and agriculture) and development-oriented use of the wealth they can bring,
- development of a knowledge-based and skilled-based society, in order to develop competitiveness and sustain growth, through support to higher education and research at continental level, by providing support to the AU flagship initiatives in these areas, and supporting the improvement and availability of accurate statistical data.

Support will also be provided in order to develop the Africa-EU partnership, to tackle global issues in the worldwide arena, and to reinforce civil society for specific action at continental level.

*Legal basis*

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

*Reference acts*

The Africa-EU Strategic Partnership: A Joint Africa-EU Strategy, adopted at the Lisbon Summit, 8-9 December 2007.

21 02 20 *Erasmus+ — Contribution from the development cooperation instrument (DCI)*

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
105 000 000	61 455 647	90 038 998	47 908 017	100 356 946,—	507 645,98

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 20** (cont'd)*Remarks*

This appropriation is intended to cover the technical and the financial assistance provided under this external instrument in order to implement the international dimension of higher education of the 'Erasmus+' programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

**21 02 30 Agreement with the Food and Agriculture Organisation (FAO) and other United Nations bodies***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
338 000	371 630	332 000	298 370	331 537,—	331 537,—

*Remarks*

This appropriation is intended to cover the lump sum due by the Union to cover administrative and other expenditures arising out of its membership in the FAO, as well as to the International Treaty on Plant Generic Resources for Food and Agriculture, following its ratification.

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 30 (*cont'd*)*Legal basis*

Council Decision of 25 November 1991 on the accession of the Community to the United Nations Food and Agriculture Organisation (FAO) (OJ C 326, 16.12.1991, p. 238).

Council Decision 2004/869/EC of 24 February 2004 concerning the conclusion on behalf of the European Community, of the International Treaty on Plant Genetic Resources for Food and Agriculture (OJ L 378, 23.12.2004, p. 1).

21 02 40 **Commodities agreements***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 375 000	5 749 783	3 700 000	3 325 217	3 035 000,—	2 841 587,69

*Remarks*

This appropriation is intended to cover the annual membership fees which the Union must pay for its participation on the grounds of its exclusive competence in the field.

This appropriation currently covers the payment of:

- annual fee for membership of the International Coffee Organisation,
- annual fee for membership of the International Cocoa Organisation,
- annual fee for membership of the International Cotton Advisory Committee when approved.

Agreements on other tropical products are likely in the future depending on political and legal expediency.

*Legal basis*

Council Decision 2002/970/EC of 18 November 2002 concerning the conclusion on behalf of the European Community of the International Cocoa Agreement 2001 (OJ L 342, 17.12.2002, p. 1).

Council Decision 2008/76/EC of 21 January 2008 regarding the position to be taken by the Community within the International Cocoa Council on the extension of the International Cocoa Agreement, 2001 (OJ L 23, 26.1.2008, p. 27).

Council Decision 2008/579/EC of 16 June 2008 on the signing and conclusion on behalf of the European Community of the International Coffee Agreement 2007 (OJ L 186, 15.7.2008, p. 12).

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)****21 02 40** (*cont'd*)

Council Decision 2011/634/EU of 17 May 2011 on the signing, on behalf of the European Union, and provisional application of the International Cocoa Agreement 2010 (OJ L 259, 4.10.2011, p. 7).

Council Decision 2012/189/EU of 26 March 2012 on the conclusion of the International Cocoa Agreement 2010 (OJ L 102, 12.4.2012, p. 1).

Council Decision 13406/13 of 25 September 2013 authorising the Commission to negotiate the accession of the Union to the International Cotton Advisory Committee (ICAC).

*Reference acts*

Treaty establishing the European Community, and in particular Article 133 thereof.

Treaty on the Functioning of the European Union, and in particular Article 207 thereof.

International Coffee Agreement, renegotiated in 2007 and 2008, which entered into force on 2 February 2011 for an initial period of 10 years until 1 February 2021, with the possibility of extension for an additional period.

International Cocoa Agreement, renegotiated in 2001 and lastly in 2010, which entered into force on 1 October 2012 for an initial period of 10 years until 30 September 2022, with a review after 5 years and the possibility of extension for two additional periods not exceeding 2 years each.

Council Conclusions of 29 April 2004 (8972/04), Council Conclusions of 27 May 2008 (9986/08) and Council Conclusions of 30 April 2010 (8674/10) concerning International Cotton Advisory Committee.

**21 02 51** ***Completion of actions in the area of development cooperation (prior to 2014)****Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EEC) No 443/92 of 25 February 1992 on financial and technical assistance to, and economic cooperation with, the developing countries in Asia and Latin America (OJ L 52, 27.2.1992, p. 1).

Council Regulation (EC) No 1292/96 of 27 June 1996 on food aid policy and food aid management and special operations in support of food security (OJ L 166, 5.7.1996, p. 1).

Council Regulation (EC) No 856/1999 of 22 April 1999 establishing a special framework of assistance for traditional ACP suppliers of bananas (OJ L 108, 27.4.1999, p. 2).

Regulation (EC) No 955/2002 of the European Parliament and of the Council of 13 May 2002 extending and amending Council Regulation (EC) No 1659/98 on decentralised cooperation (OJ L 148, 6.6.2002, p. 1).

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 51 (*cont'd*)

Regulation (EC) No 491/2004 of the European Parliament and of the Council of 10 March 2004 establishing a programme for financial and technical assistance to third countries in the areas of migration and asylum (AENEAS) (OJ L 80, 18.3.2004, p. 1).

Regulation (EC) No 625/2004 of the European Parliament and of the Council of 31 March 2004 extending and amending Regulation (EC) No 1659/98 on decentralised cooperation (OJ L 99, 3.4.2004, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Regulation (EC) No 1337/2008 of the European Parliament and of the Council of 16 December 2008 establishing a facility for rapid response to soaring food prices in developing countries (OJ L 354, 31.12.2008, p. 62).

*Reference acts*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Pilot projects within the meaning of the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

European Parliament resolution of 12 April 2005 on the role of the European Union in the achievement of the Millennium Development Goals (OJ C 33 E, 9.2.2006, p. 311).

Communication from the Commission to the Council, the European Parliament and the European Economic and Social Committee of 12 April 2005: 'Policy Coherence for Development — Accelerating progress towards achieving the Millennium Development Goals' (COM(2005) 134 final).

Conclusions of the General Affairs and External Relations Council meeting of 23 and 24 May 2005 on the Millennium Goals.

Presidency conclusions of the European Council meeting of 16 and 17 June 2005 in Brussels.

Conclusions of the General Affairs and External Relations Council meeting of 18 July 2005 on the UN summit.

Communication from the Commission to the Council and the European Parliament of 3 August 2005: 'External Actions through Thematic Programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 51 (*cont'd*)

Communication from the Commission to the Council and the European Parliament of 25 January 2006: 'Investing in people — Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013' (COM(2006) 18 final).

Communication from the Commission to the Council and the European Parliament, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 25 January 2006: 'The thematic programme "Non-state Actors and Local Authorities in Development"' (COM(2006) 19 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006: 'External Action: Thematic Programme For Environment and Sustainable Management of Natural Resources including Energy'(COM(2006) 20 final).

Communication from the Commission to the Council and the European Parliament of 25 January 2006: 'External Actions: A thematic strategy for food security — Advancing the food security agenda to achieve the MDGs' (COM(2006) 21 final).

Communication from the Commission to the European Parliament and the Council of 25 January 2006: 'Thematic programme for the cooperation with third countries in the areas of migration and asylum' (COM(2006) 26 final).

European Parliament resolution of 1 June 2006 on small and medium-sized enterprises in developing countries (OJ C 298 E, 8.12.2006, p. 171).

Communication from the Commission to the Council, the European Parliament, and the European Economic and Social Committee and the Committee of the Regions of 6 October 2008: 'Local authorities: actors for development' (COM(2008) 626 final).

Communication from the Commission to the Council, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 9 March 2010: 'International climate policy post-Copenhagen: Acting now to reinvigorate global action on climate change' (COM(2010) 86 final).

Communication from the Commission to the Council and the European Parliament of 31 March 2010: 'An EU policy framework to assist developing countries in addressing food security challenges' (COM(2010) 127 final).

European Parliament Resolution of 10 March 2011 on the European Union's approach towards Iran (2010/2050(INI)).

## 21 02 51 01 Cooperation with third countries in the areas of migration and asylum

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	21 470 540	—	35 415 225	0,—	52 785 804,20

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 51 (*cont'd*)21 02 51 01 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 491/2004 of the European Parliament and of the Council of 10 March 2004 establishing a programme for financial and technical assistance to third countries in the areas of migration and asylum (AENEAS) (OJ L 80, 18.3.2004, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 3 August 2005: 'External Actions through Thematic Programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Communication from the Commission to the European Parliament and the Council of 25 January 2006: 'Thematic programme for the cooperation with third countries in the areas of migration and asylum' (COM(2006) 26 final).

Communication from the Commission to the European Parliament and the Council, the European Economic and Social Committee and the Committee of the Regions of 13 October 2011: 'Increasing the Impact of EU Development Policy: an Agenda for Change' (COM(2011) 637 final).

Communication from the Commission to the European Parliament and the Council, the European Economic and Social Committee and the Committee of the Regions of 18 November 2011: 'The Global Approach to Migration and Mobility' (COM(2011) 743 final).

## 21 02 51 02 Cooperation with developing countries in Latin America

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	237 700 000	—	205 062 655	14 631,89	268 952 094,24

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.



**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 51** (cont'd)

## 21 02 51 02 (cont'd)

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## 21 02 51 03 Cooperation with developing countries in Asia, including Central Asia and the Middle East

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	570 761 524	—	502 119 834	448,72	589 691 720,06

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## 21 02 51 04 Food security

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	103 400 000	—	140 324 836	45 877,25	181 025 325,97

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 51 (*cont'd*)

## 21 02 51 05 Non-state actors in development

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	121 260 000	—	138 405 898	1 530,33	216 993 639,36

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## 21 02 51 06 Environment and sustainable management of natural resources, including energy

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	108 570 000	—	84 183 056	1 018,22	118 024 011,56

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

## 21 02 51 07 Human and social development

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	47 696 646	—	65 148 307	380 632,—	110 861 720,95

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 51 (*cont'd*)21 02 51 07 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

21 02 51 08 Geographical cooperation with Africa, Caribbean and Pacific states

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	267 200 000	—	240 105 670	0,—	142 756 320,38

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EC) No 856/1999 of 22 April 1999 establishing a special framework of assistance for traditional ACP suppliers of bananas (OJ L 108, 27.4.1999, p. 2).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 347, 30.12.2011, p. 21).

21 02 77 ***Pilot projects and preparatory actions***

21 02 77 01 Preparatory action — Cooperation with middle-income group countries in Latin America

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	14 029	p.m.	626 849	0,—	480 841,10

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 01 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 02 Preparatory action — Business and scientific exchanges with India

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 147 755	p.m.	1 198 112	0,—	574 907,40

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 03 Preparatory action — Business and scientific exchanges with China

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	124 157	p.m.	486 748	0,—	1 981 019,72

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 03 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 04 Preparatory action — Cooperation with middle income group countries in Asia

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	133 021	p.m.	48 910	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 05 Preparatory action — European Union-Asia — Integration of policy and practice

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	83 670	p.m.	29 170	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 05 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 06 Pilot project — Finance for agricultural production

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	44 998,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 07 Preparatory action — Regional African CSO Network for Millennium Development Goal 5

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	334 002	p.m.	p.m.	0,—	951 867,81

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 77** (cont'd)

21 02 77 07 (cont'd)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 08 Preparatory action — Water management in developing countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 000	p.m.	671 576	0,—	1 180 879,74

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 12 March 2002: 'Water management in developing countries policy and priorities for EU development cooperation' (COM(2002) 132 final).

Council resolution of 30 May 2002 on Water management in developing countries: Policy and priorities for EU Development Cooperation (document DEVGEN 83 ENV 309, 9696/02).

21 02 77 10 Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 371 000	p.m.	671 576	0,—	217 588,25

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 10 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

European Parliament Resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 591).

21 02 77 11 Preparatory action — Research and development on poverty-related, tropical and neglected diseases

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 400 000	p.m.	503 683	0,—	300 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

European Parliament Resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 591).



## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

## 21 02 77 (cont'd)

21 02 77 12 Pilot project — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	103 145,14

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 13 Preparatory action — Enhanced healthcare for victims of sexual violence in the Democratic Republic of Congo (DRC)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 853 832	p.m.	755 524	0,—	1 461 806,78

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 14 Global Energy Efficiency and Renewable Energy Fund (GEEREF)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 14 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 15 Pilot project — Strategic investment in sustainable peace and democratisation in the Horn of Africa

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	400 883	p.m.	293 815	1 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 16 Pilot project — Strengthening veterinary services in developing countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 550 000	1 500 000	1 421 576	2 000 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 16 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 17 Pilot project — Corporate social responsibility and access to voluntary family planning for factory workers in developing countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	p.m.	251 841	750 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 18 Pilot project — Investing in sustainable peace and community rebuilding in the Cauca area — Colombia

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 200 000	1 500 000	750 000	1 500 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 18 (*cont'd*)*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 19 Preparatory action — Building resilience for better health of nomadic communities in post-crisis situations in the Sahel region

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
100 000	1 200 000	1 500 000	1 421 576	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Northern Mali and northern Niger are subject to recurrent health crises with some of the lowest health indicators especially on sexual and reproductive health. Although the post-crisis situations in these two countries are quite different, the root causes of low health indicators are similar: large rural areas, sociocultural barriers, inadequate health infrastructure, lack of health competencies and capacities notably on the number of medical professionals, and the low retention rate of skilled medical staff. While these challenges are common across sub-Saharan Africa, the largely nomadic population in the target area of this project have additional needs that are currently not met by the health system. Indeed the health system often fails to address the mobile nature of these pastoralist groups. This results in increased vulnerability of these groups which is already affected by a lack of adequate nutrition, gender inequalities, maternal and child mortality rates and low access to quality of healthcare services and information.

The parallel post-crisis situation in these two regions would help draw lessons learnt and best practices on resilience and linking relief, rehabilitation and development (LRRD) that would be highly relevant for the Union in the framework of the AGIR initiative, and best practices from this pilot project could be replicated within this and other initiatives.

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)****21 02 77** (*cont'd*)21 02 77 19 (*cont'd*)

Using the health sector as an example with particular emphasis on sexual and reproductive health, the preparatory action will aim to establish baselines, draw operational recommendations on resilience and LRRD and capitalise on them to impulse reinforcing health capacities of communities as regard the needs of nomadic groups in the context of post-crisis in Mali and Niger.

The situation in the Sahel and in Northern Mali deteriorated between 2014 and 2015. According to the first Commission interim report on the implementation of pilot projects and preparatory actions for 2015, security issues have been undermining the preparatory action's objectives and outreach to nomadic groups. It is crucial to develop long-term resilience-oriented mechanisms that take into consideration renewed threats of epidemics and that can better inform emergency response intervention at operational and programming levels. Therefore, an extension for the third year with additional appropriations is required so that, in addition to consolidating reliable data on health indicators, training to a critical mass of health workers can be conducted and best practices on resilience and linking relief, rehabilitation and development (LRRD) can be identified and translated into sustainable mechanisms for retention or transmission of the capacity that has been built up.

*Objectives*

The overall objective of the project is to build capacity of health workers to provide quality services targeted to the specific needs of nomadic groups (in a post-crisis situation), with a particular emphasis on sexual and reproductive health. Using the health sector as an example, the preparatory action will evaluate and compile best practices on resilience building and linking relief rehabilitation and development in the context of post-crisis in northern Mali and northern Niger. Finally, it would also develop innovative methods as well as improve health training curriculum addressing the needs of nomadic groups. Expected results from this project will be reliable and comprehensive health data collected at community and local levels, in order to improve health programming and increase the accountability of the national and local health systems; best practices with regards to building resilience and LRRD will be shared and disseminated and health training curriculum will be developed to enhance local capacity to address health needs of the nomadic groups.

The primary target group is the nomadic population in rural northern Mali and northern Niger, and in particular the most vulnerable and most at-risk groups of this population (women, girls and infants below five). The secondary target group includes professional and community health workers in the same regions.

The action will be implemented by local civil society organisations and humanitarian actors that have local staff present in the region, in alignment with national priorities and government plans (including reaching UN Millennium Development Goals 4 and 5, as both Mali and Niger are countdown countries <sup>(1)</sup>) and in close coordination with all actors present in the regions: the local and national authorities, local and national health facilities and training facilities, the communities, as well as the development actors (Union, donors, UN agencies, the private sector, NGOs, authorities and community health and medical workers), taking into account efforts under the AGIR initiative.

*Project activities*

The preparatory action will have three phases.

<sup>(1)</sup> Both Mali and Niger are so-called 'countdown countries' meaning that progress is needed to reach the MDGs by 2015, in particular on MDG 4 and 5 (infant mortality and maternal mortality).

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TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 77 (cont'd)

21 02 77 19 (cont'd)

*First phase: conduct operational research to determine health baselines of nomadic groups*

The action will aim to establish baselines on health indicators and study the determinants to access to quality healthcare (geographical, financial, socio cultural, etc.) of nomadic local populations, and in particular for the most vulnerable and most at-risk groups (women, girls and infants below five). It will also map out the existing health capacities and initiatives (including the AGIR and other international initiatives, government-run health facilities and training centres but also traditional and community health workers). Eventually, the research will evaluate the provision of basic healthcare based on nomadic groups' needs and prevention information and services (including maternal, and child care, vaccination, nutrition, reproductive health, gender-based violence, etc.) <sup>(1)</sup>.

*Second phase: provide operational recommendations and compile best practices for LRRD and resilience building for knowledge transfer to the AGIR and other related initiatives*

Based on the assessment, the action will analyse how the nomadic groups' needs have been addressed in the emergency response and the post-crisis phase (coordination and warning mechanisms) by all development actors (Union actors, donors, UN agencies, the private sector, NGOs, authorities and community health and medical workers). It will highlight best practices in both countries notably combining mobile and static health services and the provision of dual animal and human interventions, drawing on the similarities and different approaches taken in each country.

Finally it will provide operational recommendations for future Union involvement notably in the context of AGIR on how to maintain a long-term resilience-oriented perspective towards nomadic groups also during crisis and emergency response interventions without destabilising the local and national formal and informal structures.

*Third phase: build and retain capacity to address nomadic groups' health needs among professional and community health workers for resilient health systems*

The action will leverage some of the lessons learnt by considering health capacity to address health needs of nomadic groups. This phase will focus on developing a specialised training curriculum based on the needs of nomadic communities and documenting evidence-based intervention strategies which have demonstrated results and ownership among nomadic groups. This will be done in partnership with training facilities as well as local and national authorities. The training will include the full range of basic healthcare and prevention including the reference of pregnant women to health centres. It will also contain training on the collection and monitoring of data on health status of nomadic groups. The training will target health workers with a special focus on women community-based health workers and traditional birth attendants in order to increase access to health services for nomadic groups. Training will be aligned with national priorities and in support of existing institutions.

<sup>(1)</sup> By basic care we mean: maternal and child health, vaccination and nutrition (specifically acute undernourished children and lactating mothers), reproductive healthcare (emergency obstetric care, antenatal and post-partum care, access to family planning methods, prevention of unsafe abortion, etc.) surveillance for epidemics and treatment of diseases (including HIV/AIDS), medical care, early psychological and clinical management especially of gender-based violence (sexual aggression, early and forced marriage, female genital mutilation/cutting, etc.).

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 19 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 20 Preparatory action — Socio-economic reintegration of children and female sex workers living at mines in Luhwindja, South Kivu Province, eastern DRC

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
150 000	1 000 000	2 200 000	1 855 524	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

Owing to a long history of crisis in the region, extreme poverty is rife in South Kivu Province in the east of the Democratic Republic of Congo (DRC).

With 84,7 % of its inhabitants living below the poverty line, this is one of the DRC's three poorest provinces (the others are Équateur and Bandundu).

The situation is no better in Luhwindja than in any other of the province's 18 chiefdoms. In the past, more than 90% of the chiefdom's population lived on artisanal mining.

Today, almost all of the mines are run by the Banro Corporation, whose industrial-scale operations make it difficult for other activities which could be of greater benefit to the local communities to be carried out.

In order to survive, those communities are obliged to dig for gold at other sites that are deemed dangerous, often with disastrous consequences. The school non-attendance rate is alarmingly high, with large numbers of young girls and boys from poor families giving up their studies to go to work in the mines.

Article 53(f) of the Act of 10 January 2009 on the protection of children prohibits all forms of labour which, owing to their nature or the conditions under which they are carried out, are likely to be harmful to the health, growth, safety, development, dignity or morals of children.

Girls as young as 13 and women who have become heads of household are obliged to engage in prostitution in order to keep the local community on an even keel, given, in particular, that most of the men have no source of income.

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 20 (*cont'd*)

Prostitution and its attendant problems, including physical violence and drug-taking, are rife in the mines in operation in Luhwindja chiefdom.

Efforts need to be made by the government, civil society and the UN agencies to find ways of ensuring that Millennium Development Goal 1 (eradication of extreme poverty and hunger) is met in this area.

*Objectives*

The prevalence of child labour and of prostitution (involving both women and girls) in Luhwindja's mines is clearly indicative of the level of poverty in the area.

The overall aim of this project is to help improve living conditions for the inhabitants of Luhwindja chiefdom, with special emphasis being placed on providing the young people who have given up their studies to work in the mines with the guidance they require.

Special attention will be paid to the sex workers (women and girls) living at the mines, most of whom contract HIV/AIDS and other sexually transmitted diseases at an early age, and to the issue of unwanted pregnancies. Work in this area will be carried out in cooperation with Panzi Hospital, which is run by Dr Denis Mukwege.

There will also be close cooperation with local and national authorities, local communities and other development stakeholders (donors, UN agencies, private sector bodies and NGOs).

*Project activities*

There will be three main stages:

*Stage 1: opening a remedial education centre for children between the ages of 5 and 15 working at mines in Luhwindja (USD 800 000):*

- Raising awareness among local people of national and international child protection legislation and agreements.
- Building a remedial education centre for children rescued from the mines, some of whom have never been to school.
- Fitting the centre out with the necessary equipment. Once built, the centre will need to have the teaching and other materials required to give the children the best possible learning environment and offer them a chance to continue their studies afterwards.
- Recruiting the necessary staff. Staff with the specific qualifications and teaching skills required to handle 'outlaw' children will be recruited. The staff will also be responsible for enrolling children from the mines, with the assistance of their parents.



**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 77** (cont'd)

21 02 77 20 (cont'd)

- Providing psycho-social counselling. Given that they come from dangerous, atypical backgrounds, once enrolled the children will first spend 3 months at a psycho-social guidance centre. A psychologist will be recruited for this purpose.
- Streaming the children on the basis of their level of schooling. There will be three different levels: Level 1 will be for children who have never been to school and those who left school in the first and second years of primary school. These children will be taught to second-year primary level.
- The second level will be for children who went no further than the third or fourth year of primary school. These children will be taught to fourth-year primary level.
- The third level will be for children who went no further than the fifth year of primary school or failed the sixth year. These children will be taught to sixth-year primary level.

At the end of each school year, all the children at sixth-year level will take the Tenaſep (national primary school leaving test), in preparation for going on to secondary school. School meals will be provided to encourage children to attend classes and dissuade parents from sending them to work in the mines. The money which the children earned in the mines helped to cover household expenses. With a view to putting an end to that practice, provision has been made for the families of the children at the centre each to be given a food package (a bag of rice and a small can of oil) every month.

- Assessing the project's impact on the basis of the number of children enrolled from the mines, their progress to secondary school, whether local or situated elsewhere, the degree of involvement of the local community and local authorities in dealing with the problem of child labour in the mines, and the quality of the education provided to the children.

*Stage 2: setting up a vocational training centre for adolescents (16 to 18 year-olds) from the Luhwindja mines (USD 1 150 000):*

- Raising awareness among local people of national and international child protection legislation and agreements. The adolescents involved need to be apprised of their rights and obligations.
- Building the vocational training centre. In line with local circumstances, the following courses will be offered: clothes making, building, carpentry, improved cooking facilities, and fitting and welding.
- Fitting the centre out with the necessary equipment. The skills learned at the centre will be backed up by practical experience gained on traineeships with local NGOs, state-owned companies, etc.
- Recruiting the necessary staff. Staff qualified to teach the various courses will be recruited either locally or from outside the area.
- Providing psycho-social counselling. Experience shows that various forms of social deviancy, including drug-taking and prostitution, are common in this age group.

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 20 (*cont'd*)

- Helping the young people to choose a course. Following psycho-social counselling, the young people will be helped to choose a course. The aim is to raise their sense of self-worth and enable them to make a contribution to society.
- Assessing the project's impact on the beneficiaries and determining whether the training provided has helped to address local problems. Assessments and reports will be drafted and sent to donors.

*Stage 3: helping sex workers (women and girls) living at mines in Luhwindja to find other means of earning a living (USD 760 000):*

- Recruiting the necessary staff. Technicians and other staff specialised in dealing with 'marginalised' women and girls will be recruited for the project.
- Making the sex workers aware of their human rights. During the information sessions, screening for HIV/AIDS and similar diseases will be offered to project beneficiaries on a voluntary basis.

The tests will be performed by nurses at Panzi Hospital, run by Dr Denis Mukwege. If necessary, referrals for psycho-medical care will be made.

- Providing the sex workers with information on issues relating to the management of income-generating activities and birth control.

In view of the extreme socio-economic conditions in which they live, they will be taught various birth control strategies. The association could even sign agreements with the sex workers with a view to limiting the number of births and keeping the women and girls away from the mines.

- Setting up a team to monitor compliance with the agreements, including the undertaking not to go back to the mines. A sizeable proportion of the children born to sex workers live and work at the mines.
- Helping the young women to find income-generating activities (farming jobs, small retail jobs, etc.) that will enable them to support their households. The aim is to empower them.

Assessing the project; determining whether beneficiaries have honoured their undertaking not to return to prostitution in the mines, whether the income-generating activities are going well and whether the birth control advice is being put into practice.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)

21 02 77 21 Preparatory action —Building and strengthening local partnerships to develop social economy and to establish social enterprises in Eastern Africa

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	548 159	p.m.	251 841	0,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

This preparatory action is intended to contribute to the Union's strategy to support the welfare of the people of Eastern Africa and to help lift them from poverty into self-sustaining economic growth.

It aims at strengthening capacities, ownership and political commitment of local actors to initiate structural change to support the development of social enterprises which constitute an important pillar of the social economy and civil society. These enterprises will in particular produce and deliver goods and services in the fields of sustainable agricultural and livestock production, natural resource management, energy efficiency, culture and education, as well as health and care services

Social enterprises, together with cooperatives, are operators in the social economy that are trading goods and services to achieve a social impact <sup>(1)</sup> rather than make a profit for their owners or shareholders, and that are managed in an open and responsible manner and, in particular, involve employees, consumers and stakeholders affected by their commercial activities <sup>(2)</sup>. The ILO has made significant efforts to highlight the importance of their role for promoting economic sustainability, social justice, ecological balance, decent work political stability, conflict resolution and gender equality <sup>(3)</sup>, and the Preparatory Action will integrate the lessons learnt from ILO's work.

The process of starting, developing and replicating social enterprises and cooperatives will often need to be based on the innovative use and combination of resources to pursue opportunities to catalyse social change and/or address social needs, propelled by social entrepreneurs.

The innovative approach of this preparatory action is based on bringing together under-utilised resources and drivers for local development, and will develop and test new ways and means to develop sustainable communities in two Eastern African countries (Kenya and Ethiopia) with strong roots in the social economy, by:

<sup>(1)</sup> Social impact is generated through solidarity action with the disadvantaged and the excluded; with the young generation and with the elderly, solidarity with future generations (through, e.g. using natural resources efficiently, or reducing emissions and waste) Increasing the quality of life for all through producing services and products that meet collective needs and contribute to community and social capital development, through, e.g. producing and distributing healthy and affordable food, or facilitating access to and delivering education and lifelong learning.

<sup>(2)</sup> Eva Deraedt, *Social Enterprise: A Conceptual Framework*, study for ILO by Hoger instituut voor de arbeid, Leuven 2009.

Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions of 25 October 2011: Social Business Initiative — Creating a favourable climate for social enterprises, key stakeholders in the social economy and innovation (COM(2011) 682 final).

<sup>(3)</sup> E.g.: *The Reader 2010: 'Social and Solidarity Economy: Building a Common Understanding'*; International Training Centre of the International Labour Organization 2010.

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 21 (*cont'd*)

- mobilising and empowering social entrepreneurs to move from informal entrepreneurship to social businesses that allow the development of skills, self-esteem, mutual responsibility, income and welfare,
- establishing local development partnerships of key institutions, stakeholders and personalities that promote and support the creation and development of social enterprises, embedded in an ecosystem conducive for their development and sustainability, that are based on the principles of participation, co-ownership and democratic management,
- mobilising and involving diaspora communities living in Europe that play a significant economic role in the flow of capital into Eastern Africa, but also have socio-political links with the region,
- facilitating learning from peers by exploiting and utilising the experience and expertise of social economy actors in the new Member States of the Union that have managed to develop new social economy structures and new business models for social enterprises in the transformation period from State-controlled economies to social market economies.

To this end, the preparatory action will apply an experimental approach, and test the conditions for success under different configurations:

- it will involve partnership between local social economy initiatives in Eastern Africa with diaspora communities in Europe and social economy actors in the new Member States,
- it will identify and empower local development partnerships in different European countries and in at least two Eastern African countries that could collaborate in establishing social enterprises and developing a social economy ecosystem in the communities of the African partners,
- the transnational local partnerships will be established and developed between local social economy initiatives in big cities, medium-sized towns and rural villages.

The preparatory action will include analytical work (participative baseline assessment and strategy developments, feasibility assessments); organisational work (coordination cells to prepare and provide technical assistance to the activities of the partners), the establishment of learning spaces (through study visits, learning seminars, secondments, cooperation between social enterprises, etc.) and the development of an infrastructure and the activation of an ecosystem for social enterprises, community-based cooperatives, and producer groups. It will result in launching transnational partnerships between local social economy initiatives from Eastern Africa and Eastern Europe, assisted by diaspora communities and experienced social economy actors from the new Member States.

It is expected that the development of social economy initiatives will enhance local community development in Eastern Africa and strengthen the processes of poverty prevention, notably through the reduction of informal employment, access to co-ownership of the means of production and the development of an infrastructure for boosting the social economy.

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 21 (*cont'd*)*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 22 Pilot project — Integrated approach to developing and rolling out health solutions to tackle neglected tropical diseases in endemic areas

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	1 500 000	750 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Existing research and development (R & D) funding mechanisms dedicated to neglected tropical diseases (NTDs) tend to take a siloed approach: gaps persist between the different phases of the R & D cycle and linkages with fringe issues such as WASH and education programmes are not addressed as part of a multi-sector approach. Likewise, the need for equally important incremental research, which can help to significantly improve the acceptability of treatments and diagnostics to populations affected by NTDs, tends to be overlooked.

With the objective of addressing some of the R & D gaps for NTDs, this pilot project will contribute to or co-fund an alternative model that relies on an innovative and coordinated approach to address persistent R&D gaps stemming from market failures. The model will identify a specific part of the gap in R & D for NTDs that disproportionately affect developing countries, and will provide crucial elements for the development of high-quality, accessible, affordable and suitable health solutions.

This project will build on the work carried out under previous preparatory actions and pilot projects on global health research and innovation and support efforts to address identified and recognised gaps in line with the WHO process in connection with the report available at [http://www.who.int/phi/cewg\\_report/en/](http://www.who.int/phi/cewg_report/en/) and with the list of demonstration projects identified and preselected by the Global Technical Consultative Meeting on Health R & D Demonstration Projects.

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 22 (*cont'd*)

In doing so, while seeking to improve acceptability, the project will contribute to meeting at least one of the following objectives:

- recommending effective and efficient mechanisms for coordination with other current initiatives,
- proposing innovative ways of decoupling the price of the final product from the cost of the R & D,
- maximising public-public and public-private partnerships in knowledge sharing, including open knowledge innovation approaches,
- strengthening research, development and production capacity, including through technology transfer, in developing countries.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 23 Pilot project — Access to justice and reparation for victims of the most serious crimes committed in the Democratic Republic of Congo (DRC)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	395 000	790 000	395 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The pilot project will support NGOs, victims, and witnesses seeking justice for international crimes and also support international and DRC lawyers representing victims in strategic litigation at national, regional and international level;

*Expected results:*

- detailed information on international crimes, in particular crimes involving sexual and gender-based violence (SGBV), and progress with efforts to combat impunity,
- national lawyers properly trained and able to support victims of international crimes before national courts,
- national human rights organisations better able to support victims in combating impunity for the most serious crimes,

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 77** (cont'd)**21 02 77 23** (cont'd)

- better protection for those involved in combating impunity,
- cases brought before regional human rights bodies, development of case law supporting access by SGBV victims to justice and reparation,
- a stronger prosecution strategy for the Office of the Prosecutor at the International Criminal Court (ICC), in particular on sexual crimes, and better victim access to the ICC,
- intergovernmental organisations informed, so that they may take action against impunity for the most serious crimes,
- statements and tangible acts (laws and institutional reforms) by national authorities in support of action against impunity for the most serious crimes.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**21 02 77 24** Pilot project — Mapping the global threat posed by antimicrobial resistance*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
750 000	375 000				

*Remarks*

The pilot project will involve in-depth research into the administration and use of antibacterials in sub-Saharan Africa, where a shortage of data is hampering the formulation of national monitoring plans. The aim is to build up a body of data on antibiotic consumption patterns and the incidence of bacterial multi-resistance in developing countries and to understand behaviour patterns and healthcare needs in African communities, focusing in particular on demand for, access to and use of antibiotics and the extent to which courses of treatment are followed.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)

21 02 77 25 Pilot project — Enhance children's rights, protection and access to education for children and adolescents displaced in Guatemala, Honduras and El Salvador

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
700 000	350 000				

*Remarks*

The overall objective of the pilot project is to reinforce children's rights to access education and to strengthen protection policies at regional level and enhance the wellbeing of at least 2 000 displaced children and adolescents including separated and/or unaccompanied minors in Guatemala, Honduras and El Salvador.

In particular, the proposed project has the following specific objectives:

- strengthen asylum systems and international protection in countries of transit and asylum and ensure international protection screening for those displaced,
- enhance the protection of displaced persons, focusing on child protection systems and protection needs of deportees in countries of origin,
- support education and protection of 2 000 displaced children and adolescents including separated and/or unaccompanied minors.

This will be achieved through:

- reinforce and/or develop protection sensitive policies at regional level through the implementation of regional agreements,
- strengthen civil society and protection networks to monitor the situation in areas affected by displacement, both at regional and national level,
- strengthen reception centres and shelters in key border/transit areas, access to legal, health and psychosocial assistance to Central American children and adolescents with fear from violence and persecution,
- ensure access to non-formal and formal education in reception centres/shelters,
- promote and implement child-friendly spaces within reception centres and shelters), guaranteeing that girls and boys are safeguarded from all forms of violence, abuse, neglect and exploitation,
- support governments in their efforts to raise awareness and actions on the existence of forced displacement, both internally and internationally,



CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)

21 02 77 (cont'd)

21 02 77 25 (cont'd)

- support governments in their efforts to address the root causes of forced displacement, and to protect and assist persons who have already been displaced, with special focus on persons with specific protection concerns (children, women, LGBTI persons, persons with disabilities, etc.),
- provide capacity building and technical assistance to States and civil society organisations,
- support governments in their efforts to establish reception procedures, which include the identification of deported children with protection needs and the conduct of best interest assessments/determination,
- support civil society organisations that run children shelters/reception centres that can be used as temporary protection mechanisms for these deported children identified with specific protection needs,
- provide technical support to existing civil society violence prevention committees in order to prevent the forced recruitment of children/youth into gangs.

The three countries of the Northern Triangle of Central America (NTCA) – El Salvador, Guatemala and Honduras – have been increasingly affected by the action of Transnational Organized Crime (TOC) and other illegal armed groups, which is posing a major challenge to their democratic governance. One of the visible consequences of the spike in violence in the NTCA countries is the elevated rate of displacement of minors.

The humanitarian and long term impact of violence and the protection needs of the population affected have remained largely absent from the humanitarian and development agendas. The protection challenges faced by refugee and asylum-seeking children from the NTCA countries require a holistic approach to protection. While root causes need to be addressed, immediate attention should be paid to primary protection needs, in particular those of unaccompanied and separated children with a view to ensuring their safety and well-being. Displacement and separation increase children's vulnerability to violence, exploitation, torture, forced recruitment and other serious human rights abuses.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 26 Pilot project — Education services for children formerly associated with armed forces and groups in the Greater Pibor Administrative Area (GPAA) in South Sudan

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 26 (*cont'd*)*Remarks*

The overall objective of the project is to enhance the wellbeing of 3 000 children and adolescents (1 500 at risk and 1 500 formerly associated with the South Sudan Democratic Movement/Army (Cobra Faction) through access to psychosocial support, education and enhanced livelihood opportunities, and in particular to:

- facilitate the release, sustained return and reintegration of 2 000 children and adolescents associated with armed groups and provide socio-economic and protection services for an additional 2 000 children and adolescents at risk of recruitment and facing other risks in the GPAA,
- support measures for preventing recruitment and use of children and adolescents by armed forces and groups,
- support the education of 3 000 of the most vulnerable children (released children and at-risk children in the communities) and provide access to quality education services.

The education intervention in the GPAA seeks to ensure that at least 3 000 conflict-affected pre-primary and school-age girls, boys and adolescents have access to life-saving and quality education in a child-friendly, safe and protective environment. That will be achieved through:

- the establishment of safe and protective temporary learning and play spaces for two key children cohorts: early childhood development (ECD) for children aged 3-6 and basic education for school-age children aged 7-18,
- the procurement, pre-positioning and distribution of adequate and relevant learning, teaching and recreation materials (including recreation kits, school-in-a-box, and ECD kits),
- the training of teachers and other education personnel and community members in psychosocial support, life skills education, peacebuilding, emergency ECD, delivery of life-saving messages, and conflict-sensitive education, and
- the promotion of education-in-emergency services and girls' education in general.

UNICEF expects 2 000 children to be released who are to be referred for health care, interim care, immediate psychosocial support and registration for family tracing and reunification (FTR). That is to be followed by the provision of reintegration services for the released children, as well as targeted intervention for an additional 2 000 vulnerable children in the communities.

A community-based approach is to be adopted in line with international best practices and positive outcomes from earlier reintegration efforts in South Sudan. The project will include other community children in community-based support, and in direct support it will apply the one-plus-one targeting principle (in accordance with the Paris Principles), complementing each released and reunified child with one locally identified child at risk. In addition, the project will invest in infrastructure and services that will be of benefit to all community members.

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 77** (cont'd)

21 02 77 26 (cont'd)

There will be a number of components acted on simultaneously. FTR services for the children who are being released are to be provided along with provision of interim care services while awaiting family tracing. Psychosocial support activities, including peer support, creating arts and other group activities will be provided for the released children in the interim care centres (ICC). As part of the Back to Learning Initiative, education services are to be scaled up through rehabilitation of learning spaces, teacher training and provision of supplies including Accelerated Learning Programmes (ALP). Safe water is to be provided by drilling/rehabilitating boreholes. Historically, the availability of safe water is extremely poor, with community members drinking untreated water from the river. In addition to the provision of safe water, UNICEF and its partners are also to provide gender-sensitive latrines in ICCs and schools. Community-based approaches are also to be prioritised so as to improve sanitation and hygiene conditions so that communities are open-defecation-free.

A multi-sectoral community-based reintegration approach has been envisioned for the GPAA, with a number of components, including education and WASH as previously noted. Beyond the services provided during the release phase, the strategy also encompasses the need to mitigate the drivers of conflict by providing socio-economic reintegration, including through income-generating activities, with opportunities for training, entrepreneurship and job placement. Vocational orientation along with fishing gear will be provided as a first step for the released children. Additionally, small-scale livestock or agricultural set-up support will be provided for the released children and other adolescents at risk, and their families. For the released adolescents and young people at risk who are older than the minimum working age, the planned intervention will enable them to acquire marketable skills and access to non-hazardous business opportunities through vocational training, apprenticeships and support in starting up small businesses.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 02 77 27 Pilot project — Piloting the use of Participatory Rangeland Management (PRM) in Kenya and Tanzania

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

Timeline for the pilot project: a period of 2 years.

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (*cont'd*)21 02 77 (*cont'd*)21 02 77 27 (*cont'd*)

Coverage: five villages in one district in Tanzania (Kiteto District) and in three counties in Kenya (Laikipia, Samburu, Isiolo and Turkana).

The estimated appropriation to cover the pilot is based on previous intervention by Cordaid in other districts and counties.

*Stage 1: Investigating PRM (including analysing previous experience) and mapping livestock tracking routes*

Communities traditionally used communal resource areas. Shrinkage in seasonal grazing is perceived by communities as an immediate and real threat to their livelihoods; therefore this activity has also an extra awareness-raising component. Boundaries and land ownership are even bigger threats to sustainable livelihoods in resource-dependent communities in these villages, both in Kenya and Tanzania. This action will apply a combined technique of resource mapping and capacity development:

- mapping — using technically advanced geographic information technologies, such as aerial photography, remote sensing technology and geographic information systems for mapping land and natural resource rights, use and management,
- land and water rights — recognising and documenting small-scale farmers' land and water rights, pastoralists' common grazing areas and routes,
- group rights — recognising and documenting group rights, focusing on range and grazing lands, forests and artisanal fishing areas through a participatory process,
- women's access — strengthening women's access to land and other natural resources,
- inclusive business — documenting best practices in securing land and natural resource rights through business partnerships between small-scale farmers and investors,
- transboundary resources — the sharing of such resources with minimal conflict will be facilitated through proper development of resource maps; information gathering will be a multi-stakeholder process and will use a modern technique (GIS — Geographical Information System); this may also include the use of the Social Tenure Domain Model (STDM) tool developed by Global Tool Land Network (GLTN), which enhances effective participatory identification of natural resources, demarcation/boundaries and corridors.

The identification of resources and the documentation thereof involves producing maps that indicate the specific areas in which resources are located. Map-sketching is done in a participatory manner. The communities will be aware of what is on their lands, including opportunities for social economic advancement. It has been proved that this approach opens up dialogue between the various groups, with a view to identifying the problems and giving thought to possible solutions.

**CHAPTER 21 02 — DEVELOPMENT COOPERATION INSTRUMENT (DCI) (cont'd)****21 02 77** (cont'd)

21 02 77 27 (cont'd)

*Stage 2: Negotiating PRM and facilitating legal support for livestock tracking routes*

Tanzania has institutions such as Village Development Committees, which will assist in community mobilisation. District Councils (at local level) provide appropriate experts such as District Land Officers and District Livestock and Agricultural Officers. In Kenya, for effective rangelands and resources mapping processes, the County Land Management Boards, community land boards and community land assemblies, together with county governments, will be instrumental in the process. There will be close cooperation with the National Land Commission. It is expected that the process of mapping, documenting and authenticating such processes will be effective and inclusive by also including local NGOs involved in practical implementation, which will provide, if necessary, information on areas they are working on.

Other potential activities at this stage will consist in monitoring by local authorities, including the joint formulation of a resilience management strategy and identification of resilience-building requirements for the various groups. Use will be made at this stage of PRM tools and lessons learnt from previous experience. The PRM guidelines developed on the basis of previous intervention will be adapted if necessary.

*Stage 3: Implementation*

The pilot will not stand alone; it will be a component of similar initiatives in other regions of the countries; and, if necessary, there will be coordination with the Sustainable Rangelands Management Programme, the Joint Village Land Use Planning arrangement and the Rangeland Initiative. This will benefit from infrastructure developed through these initiatives; and synergies will be built, including possible scaling up through a strategic partnership with partners such as the International Fund for Agricultural Development and the International Land Coalition.

Information collection and documentation involves a capacity-building approach using audiovisual material accessible to community members. Sessions will be facilitated by community leaders and will be filmed (DVD) so that the various groups can look back at discussions and at the way decisions have been taken. In order to ensure that the process is properly and periodically updated, monthly briefings will be produced in a PRM newsletter drawing on Rangelands Initiative Bulletins. To inform policies, technical briefings will be produced for policymakers and partners.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 04 — EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 04	EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS								
21 04 01	<i>Enhancing the respect for and observance of human rights and fundamental freedoms and supporting democratic reforms</i>	4	130 293 231	75 025 044	130 166 185	35 737 439	132 782 020,—	7 946 252,20	10,59
21 04 51	<i>Completion of the European Instrument for Democracy and Human Rights (prior to 2014)</i>	4	—	58 589 479	—	77 948 317	348,05	119 752 721,70	204,39
21 04 77	<i>Pilot projects and preparatory actions</i>								
21 04 77 02	Pilot project — Civil Society Forum EU-Russia	4	p.m.	p.m.	p.m.	167 894	0,—	292 344,66	
	Article 21 04 77 — Subtotal		p.m.	p.m.	p.m.	167 894	0,—	292 344,66	
	Chapter 21 04 — Total		130 293 231	133 614 523	130 166 185	113 853 650	132 782 368,05	127 991 318,56	95,79

**21 04 01** *Enhancing the respect for and observance of human rights and fundamental freedoms and supporting democratic reforms*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
130 293 231	75 025 044	130 166 185	35 737 439	132 782 020,—	7 946 252,20

Remarks

The general objective will be to contribute to the development and consolidation of democracy and respect for human rights, in accordance with Union policies and guidelines and in close cooperation with civil society.

Key areas of activity will include:

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 04 — EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS (*cont'd*)21 04 01 (*cont'd*)

- enhancing respect for and observance of human rights and fundamental freedoms, including women's rights, as proclaimed in the Universal Declaration of Human Rights and other international and regional human rights instruments, with a focus on freedom of expression, freedom of assembly and digital freedoms, and strengthening their protection, promotion and monitoring, mainly through support to relevant civil society organisations, human rights defenders, and victims of repression and abuse,
- supporting and consolidating democratic reforms in third countries except European Union Electoral Observation Missions, by enhancing participatory and representative democracy, empowerment of women, strengthening the overall democratic cycle, and improving the reliability of electoral processes.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 235/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for democracy and human rights worldwide (OJ L 77, 15.3.2014, p. 85).

21 04 51 **Completion of the European Instrument for Democracy and Human Rights (prior to 2014)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	58 589 479	—	77 948 317	348,05	119 752 721,70

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled under the European Instrument for Democracy and Human Rights in the 2007-2013 period.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the transfer agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 04 — EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS (*cont'd*)21 04 51 (*cont'd*)*Legal basis*

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

21 04 77 **Pilot projects and preparatory actions**

## 21 04 77 02 Pilot project — Civil Society Forum EU-Russia

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	167 894	0,—	292 344,66

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



## COMMISSION

## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

**CHAPTER 21 05 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — GLOBAL AND TRANS-REGIONAL AND EMERGING THREATS**

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 05	INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — GLOBAL AND TRANS-REGIONAL AND EMERGING THREATS								
<b>21 05 01</b>	<b>Global, trans-regional and emerging threats</b>	4	64 393 076	50 166 619	64 000 000	18 067 424	82 255 223,—	0,—	0
<b>21 05 51</b>	<b>Completion of actions in the area of global threats to security (prior to 2014)</b>	4	—	34 644 709	—	29 700 678	0,—	47 451 231,02	136,97
<b>21 05 77</b>	<b>Pilot projects and preparatory actions</b>								
21 05 77 01	Pilot project — Support for surveillance and protection measures for Union vessels sailing through areas where piracy is a threat	4	p.m.	p.m.	p.m.	83 947	0,—	596 781,14	
21 05 77 02	Preparatory action — Emergency response to the financial and economic crisis in developing countries	4	p.m.	p.m.	p.m.	p.m.	5 342,61	113 907,75	
	Article 21 05 77 — Subtotal		p.m.	p.m.	p.m.	83 947	5 342,61	710 688,89	
	<b>Chapter 21 05 — Total</b>		<b>64 393 076</b>	<b>84 811 328</b>	<b>64 000 000</b>	<b>47 852 049</b>	<b>82 260 565,61</b>	<b>48 161 919,91</b>	<b>56,79</b>

**21 05 01 Global, trans-regional and emerging threats**

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
64 393 076	50 166 619	64 000 000	18 067 424	82 255 223,—	0,—

*Remarks*

This appropriation is intended to cover the assistance in addressing global and trans-regional threats and emerging threats, defined in Article 5 of Regulation (EU) No 230/2014 establishing an instrument contributing to stability and peace.

It is intended to cover actions aiming to help safeguard countries and populations against risks either of an intentional, accidental or natural origin. This may, inter alia, include:

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**CHAPTER 21 05 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — GLOBAL AND TRANS-REGIONAL AND EMERGING THREATS** (*cont'd*)**21 05 01** (*cont'd*)

- the promotion of civilian research activities as an alternative to defence-related research, and support for the retraining and alternative employment of scientists and engineers having formerly been employed in weapons-related areas,
- support for measures to enhance safety practice related to civilian facilities where sensitive chemical, biological, radiological or nuclear materials or agents are stored, or are handled in the context of civilian research programmes,
- support, within the framework of Union cooperation policies and their objectives, for the establishment of civil infrastructure and relevant civilian studies necessary for the dismantlement, remediation or conversion of weapons-related facilities and sites where these are declared as no longer belonging to a defence programme,
- strengthening the capacity of the competent civilian authorities involved in the development and enforcement of effective control of the trafficking in chemical, biological, radiological and nuclear materials or agents (including the equipment for their production, or delivery or effective border controls), including through the installation of modern logistical evaluation and control equipment. The actions relate to natural and industrial disasters as well as criminal activities,
- support to IAEA to upgrade their technical capacity to detect the illicit trafficking of radioactive materials,
- the development of the legal framework and institutional capacities for the establishment and enforcement of effective export controls on dual-use goods, including measures of regional cooperation,
- the development of effective civilian disaster preparedness, emergency planning, crisis response, and capabilities for clean-up measures in relation to possible major environmental incidents in this field.

Other measures in the field of global and trans-regional threats will cover:

- strengthening the capacity of law enforcement and judicial and civil authorities involved in the fight against terrorism,
- countering violent extremism and organised crime, including illicit trafficking of people, drugs, firearms and explosive materials, cybercrime, falsified medicine and in the effective control of illegal trade and transit. A new priority is to address global and trans-regional effects of climate change with a potentially destabilising impact,
- support for measures to address threats to international transport, and critical infrastructure, including passenger and freight traffic, energy operations and energy distribution, electronic information and communication networks,
- ensuring an adequate response to major threats to public health, such as pandemics with a potential transnational impact.

**CHAPTER 21 05 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — GLOBAL AND TRANS-REGIONAL AND EMERGING THREATS** (*cont'd*)**21 05 01** (*cont'd*)

Such measures may be adopted under this instrument in the context of stable conditions, where they aim to address specific global and trans-regional threats having a destabilising effect, and only to the extent that an adequate and effective response cannot be provided under related Union instruments for external assistance.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 230/2014 of the European Parliament and of the Council of 11 March 2014 establishing an instrument contributing to stability and peace (OJ L 77, 15.3.2014, p. 1).

**21 05 51** ***Completion of actions in the area of global threats to security (prior to 2014)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	34 644 709	—	29 700 678	0,—	47 451 231,02

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 1724/2001 of the European Parliament and of the Council of 23 July 2001 concerning action against anti-personnel landmines in developing countries (OJ L 234, 1.9.2001, p. 1).

Council Regulation (EC) No 1725/2001 of 23 July 2001 concerning action against anti-personnel landmines in third countries other than developing countries (OJ L 234, 1.9.2001, p. 6).

Regulation (EC) No 1717/2006 of the European Parliament and of the Council of 15 November 2006 establishing an Instrument for Stability (OJ L 327, 24.11.2006, p. 1).

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

CHAPTER 21 05 — INSTRUMENT CONTRIBUTING TO STABILITY AND PEACE (ICSP) — GLOBAL AND TRANS-REGIONAL AND EMERGING THREATS (*cont'd*)**21 05 77 Pilot projects and preparatory actions**

21 05 77 01 Pilot project — Support for surveillance and protection measures for Union vessels sailing through areas where piracy is a threat

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	83 947	0,—	596 781,14

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

21 05 77 02 Preparatory action — Emergency response to the financial and economic crisis in developing countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	5 342,61	113 907,75

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 06 — INSTRUMENT FOR NUCLEAR SAFETY COOPERATION

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 06	INSTRUMENT FOR NUCLEAR SAFETY COOPERATION								
21 06 01	<i>Promotion of a high level of nuclear safety, radiation protection and the application of efficient and effective safeguards relating to nuclear material in third countries</i>	4	30 369 456	22 127 600	29 740 640	13 689 696	29 346 872,—	0,—	0
21 06 02	<i>Additional contribution of the European Union to the European Bank for Reconstruction and Development (EBRD) for the Chernobyl Shelter Fund</i>	4	40 000 000	40 488 828	30 000 000	29 511 172			
21 06 51	<i>Completion of former actions (prior to 2014)</i>	4	—	32 937 600	—	15 566 343	0,—	46 847 849,41	142,23
Chapter 21 06 — Total			70 369 456	95 554 028	59 740 640	58 767 211	29 346 872,—	46 847 849,41	49,03

**21 06 01** *Promotion of a high level of nuclear safety, radiation protection and the application of efficient and effective safeguards relating to nuclear material in third countries*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
30 369 456	22 127 600	29 740 640	13 689 696	29 346 872,—	0,—

## Remarks

This appropriation is intended to cover the financing of the promotion of an effective nuclear safety and nuclear security culture at all levels, in particular through:

- continuous support for regulatory bodies, technical support organisations, and the reinforcement of the regulatory framework, notably concerning licensing activities in order to establish strong independent regulatory oversight,
- support for the safe transport, treatment and disposal of spent nuclear fuel and radioactive waste both from nuclear power plant and from other (orphan) sources, (medical applications, uranium mining),
- the development and implementation of strategies for decommissioning existing installations and the remediation of former nuclear sites,
- the promotion of effective regulatory frameworks, procedures and systems to ensure adequate protection against ionising radiations from radioactive materials, in particular from high activity radioactive sources, and their safe disposal,

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CHAPTER 21 06 — INSTRUMENT FOR NUCLEAR SAFETY COOPERATION (*cont'd*)21 06 01 (*cont'd*)

- the financing of stress tests based on the *acquis*,
- the establishment of the necessary regulatory framework and methodologies for the implementation of nuclear safeguards, including for the proper accounting and control of fissile materials at State and operators level,
- the establishment of effective arrangements for the prevention of accidents with radiological consequences as well as the mitigation of such consequences should they occur, and for emergency planning, preparedness and response, civil protection and rehabilitation measures,
- measures to promote international cooperation (including in the framework of relevant international organisations, notably IAEA) in the abovementioned fields, including the implementation and monitoring of international conventions and treaties, exchange of information and training and research,
- the enhancement of emergency preparedness in case of nuclear accidents, as well as training and tutoring *inter alia* to increase the capacities of the regulators.

This appropriation is intended also to fund health and environmental projects as regards the consequences of the Chernobyl accident, which affect human health and the environment, especially in Ukraine and Belarus.

Priority will be given to address the needs in the countries being part of the European Neighbourhood Policy.

Relevant work previously carried out under the Instrument for Pre-accession Assistance (IPA) will be taken over by the Instrument for Nuclear Safety Cooperation in order to ensure a comprehensive approach.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (Euratom) No 237/2014 of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109).

**21 06 02 *Additional contribution of the European Union to the European Bank for Reconstruction and Development (EBRD) for the Chernobyl Shelter Fund***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
40 000 000	40 488 828	30 000 000	29 511 172		

**CHAPTER 21 06 — INSTRUMENT FOR NUCLEAR SAFETY COOPERATION** (*cont'd*)**21 06 02** (*cont'd*)*Remarks*

This appropriation is intended to cover the Union's contribution to the completion of the Chernobyl shelter after the accident of 1986.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (Euratom) No 237/2014 of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109).

**21 06 51** **Completion of former actions (prior to 2014)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	32 937 600	—	15 566 343	0,—	46 847 849,41

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Decision 2006/908/EC, Euratom of 4 December 2006 on the first instalment of the third Community contribution to the European Bank for Reconstruction and Development for the Chernobyl Shelter Fund (OJ L 346, 9.12.2006, p. 28).

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

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## CHAPTER 21 07 — THE EUROPEAN UNION-GREENLAND PARTNERSHIP

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 07	THE EUROPEAN UNION-GREENLAND PARTNERSHIP								
21 07 01	<i>Cooperation with Greenland</i>	4	31 130 000	34 601 717	30 698 715	33 637 321	24 569 471,—	10 529 894,—	30,43
21 07 51	<i>Completion of former actions (prior to 2014)</i>	4	—	p.m.	—	p.m.	0,—	6 512 134,—	
	<b>Chapter 21 07 — Total</b>		<b>31 130 000</b>	<b>34 601 717</b>	<b>30 698 715</b>	<b>33 637 321</b>	<b>24 569 471,—</b>	<b>17 042 028,—</b>	<b>49,25</b>

**21 07 01      *Cooperation with Greenland****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
31 130 000	34 601 717	30 698 715	33 637 321	24 569 471,—	10 529 894,—

*Remarks*

This appropriation is intended to cover:

- assistance to Greenland in addressing its major challenges in particular the sustainable diversification of the economy, the need to increase the skills of its labour force, including scientists, and the need to improve the Greenlandic information systems in the field of information and communication technologies,
- reinforcement of the capacity of the Greenlandic administration to better formulate and implement national policies in particular in new areas of mutual interest.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Decision 2014/137/EU of 14 March 2014 on relations between the European Union on the one hand, and Greenland and the Kingdom of Denmark on the other (OJ L 76, 15.3.2014, p. 1).



**CHAPTER 21 07 — THE EUROPEAN UNION-GREENLAND PARTNERSHIP** (*cont'd*)**21 07 01** (*cont'd*)*Reference acts*

Council Decision 2013/755/EU of 25 November 2013 on the association of the overseas countries and territories with the European Union ('Overseas Association Decision') (OJ L 344, 19.12.2013, p. 1).

**21 07 51** **Completion of former actions (prior to 2014)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	6 512 134,—

*Remarks*

This appropriation is intended to cover the liquidation of commitments made prior to 2014.

*Legal basis*

Council Decision 2006/526/EC of 17 July 2006 on relations between the European Community on the one hand, and Greenland and the Kingdom of Denmark on the other (OJ L 208, 29.7.2006, p. 28).

*Reference acts*

Joint Declaration by the European Community, on the one hand, and the Home Rule Government of Greenland and the Government of Denmark, on the other, on partnership between the European Community and Greenland, signed in Luxembourg on 27 June 2006 (OJ L 208, 29.7.2006, p. 32).

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## TITLE 21 — INTERNATIONAL COOPERATION AND DEVELOPMENT

## CHAPTER 21 08 — DEVELOPMENT AND COOPERATION WORLDWIDE

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 08	DEVELOPMENT AND COOPERATION WORLDWIDE								
21 08 01	<i>Evaluation of the results of Union aid and follow-up and audit measures</i>	4	24 620 000	23 127 234	24 130 000	23 622 115	23 657 510,—	15 851 729,26	68,54
21 08 02	<i>Coordination and promotion of awareness on development issues</i>	4	10 142 000	10 128 746	11 508 000	11 265 781	13 497 055,79	8 949 541,15	88,36
	<b>Chapter 21 08 — Total</b>		<b>34 762 000</b>	<b>33 255 980</b>	<b>35 638 000</b>	<b>34 887 896</b>	<b>37 154 565,79</b>	<b>24 801 270,41</b>	<b>74,58</b>

**21 08 01**      *Evaluation of the results of Union aid and follow-up and audit measures*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
24 620 000	23 127 234	24 130 000	23 622 115	23 657 510,—	15 851 729,26

## Remarks

This appropriation is intended to cover the financing of *ex ante* and *ex post* evaluations, monitoring and support measures during the programming, preparation and implementation stages of development operations, strategies and policies, including the following:

- effectiveness, efficiency, relevance, impact and viability studies and the development of impact measurements and indicators for development cooperation,
- monitoring of operations in the course of implementation and after their completion,
- support measures to improve the quality of monitoring systems, methodologies and practices concerning ongoing operations and the preparation of future operations,
- feedback of information and information activities on findings, conclusions and recommendations of evaluations into the decision-making cycle,
- methodological developments to improve the quality and utility of evaluations, including research, feedback, information and training activities thereon.

This appropriation also covers the financing of audit activities concerning the management of programmes and projects implemented by the Commission in the external aid field, and the financing of training activities, based on the special rules governing Union external aid and organised for external auditors.

**CHAPTER 21 08 — DEVELOPMENT AND COOPERATION WORLDWIDE** (*cont'd*)**21 08 01** (*cont'd*)

This appropriation is moreover intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as well as capacity-building and training activities for the principal players involved in the design and implementation of external aid programmes.

Finally, this appropriation is intended to cover expenditure on studies and elaboration of methodological tools, meetings of experts, information and publications, as well as knowledge-sharing and training activities concerning the design and implementation of external assistance programmes, in particular on the project and programme cycle management and on capacity development.

*Legal basis.*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**21 08 02** ***Coordination and promotion of awareness on development issues***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 142 000	10 128 746	11 508 000	11 265 781	13 497 055,79	8 949 541,15

*Remarks*

Budget financing provides the Commission with the means of support it needs to prepare, formulate and follow up coordination measures under its development policy. Policy coordination is crucial to consistency, complementarity and aid and development effectiveness.

Coordination measures are essential in defining and shaping the Union development policy at a strategic and programming level. The specific nature of the Union development policy is directly enshrined in the Treaties (Articles 208 and 210 of the Treaty on the Functioning of the European Union). Union aid and the national policies of the Member States in the sphere of development cooperation need to complement and reinforce each other and this cannot work without coordination. Article 210 of the Treaty on the Functioning of the European Union calls on the Commission to act as coordinator of the Member States' and the Union's development policies and of action in development cooperation.

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CHAPTER 21 08 — DEVELOPMENT AND COOPERATION WORLDWIDE (*cont'd*)21 08 02 (*cont'd*)

Coordination is not only a major factor in the Commission's value added vis-à-vis the policies of the Member States but also a priority in the work schedule at the point where the agendas of the Union and the international community intersect. This appropriation covers various forms of action:

*Action A: Coordination at European and international levels:*

- effectiveness, efficiency, relevance, impact and viability studies in the area of coordination,
- support and coordination activities in the priority areas of aid and development effectiveness (including joint programming/joint implementation) and financing for development,
- meetings of experts and exchanges between the Commission, Member States, and other international actors (US, emerging donors, etc.) and preparation of and participation to international fora such as the Global Partnership for Effective Development Cooperation or those under the policy stream financing for development, means of implementation and post-2015 agenda,
- research, communication, consultation, and evaluation services, including for technical assistance,
- monitoring of policies and operations in the course of implementation,
- support measures to improve the quality of the monitoring of ongoing operations and the preparation of future operations, including capacity-building,
- measures to support external initiatives in the sphere of coordination,
- the preparation of common positions, statements and initiatives,
- the organisation of events relating to coordination,
- the Commission's membership fees to the international organisations and networks,
- the dissemination of information through the production of publications and the development of information systems.

This appropriation is also intended to cover the financing of activities in the area of research for policymaking in development, building on the experience of the initiative 'Mobilising European Research on Development Policies'. These activities include support to cutting edge research and structured debate to enhance synergies between researchers and policymakers, the objective being to refine the European perspective on key development challenges and enhance the Union's influence on the international development agenda on the basis of independent knowledge and excellence.

**CHAPTER 21 08 — DEVELOPMENT AND COOPERATION WORLDWIDE** (*cont'd*)**21 08 02** (*cont'd*)*Action B: Raising awareness*

This appropriation covers the financing of activities designed to draw attention to action by the Union and the Member States in the development field and to raise public awareness of development issues. Every activity financed pursuant to this action must include the following two components, which are complementary in the Commission's view:

- an 'information' component, designed to promote the various activities which the Union undertakes in the international cooperation and development aid fields and the activities which it conducts in partnership with the Member States and other international institutions,
- an 'awareness-raising' component, covering public opinion in the Union, and in partner countries.

These activities consist mainly, in financial support for audiovisual and online publications, seminars and events as applied to development, the production of information material, the development of information systems, and also the Lorenzo Natali prize for journalism in field of development.

These activities are directed at public and private sector partners, and at the Union's representations and delegations in the Member States.

This appropriation is moreover intended to cover the funding of priority information and communication activities directed towards the citizens of the Union and dealing with the Union's external policies as a whole.

The areas which will be covered by information activities include those below, but may embrace other aspects of the Union's external relations, particularly in relation to the future of the Union's external policy:

- addressing the public perception of external assistance, building on the results and the evaluation of the activities deployed by the Union institutions and Member States in the context of 2015 European Year for Development. The objective is to make clear that external assistance is an integral part of what the Union does and is one of the crucial policies that define the Union and its role in the world, and to raise awareness of the fact that the Union is delivering tangible results on behalf of Union citizens to fight poverty and to provide high-quality sustainable development worldwide,
- organising the annual edition of the European Development Days (EDD). This event has become one of the main events in the Commission agenda in terms of external relations. It brings together development advocates, decision-makers and practitioners. Each year, EDD serves as a platform for policy brainstorming and forward-looking recommendations ahead of key international summits. It highlights the importance of the Union's role not only as the world's largest development assistance provider, but also as a leader in the international development policy debates,

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CHAPTER 21 08 — DEVELOPMENT AND COOPERATION WORLDWIDE (*cont'd*)21 08 02 (*cont'd*)

- the organisation of visits for groups of journalists and other target groups.

The Commission has adopted two communications to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions on a new framework for cooperation in activities concerning the information and communication policy of the European Union (COM(2001) 354 final and COM(2002) 350 final). They propose an interinstitutional framework for cooperation between the institutions and with the Member States for the development of a communication and information policy strategy for the Union.

The Interinstitutional Group on Information (IGI), co-chaired by the European Parliament, the Council and the Commission, lays down common guidelines for interinstitutional cooperation in matters of Union information and communication policy. It coordinates the central and decentralised public information activities on European topics. Each year the IGI gives its opinion on the priorities for the following years on the basis of information provided by the Commission.

This appropriation is also intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on printing, translation, studies, meetings of experts, information and the purchase of information material directly linked to the achievement of the objective of the programme.

Finally, this appropriation is intended to cover the costs of publication, production, storage, distribution and dissemination of information material, in particular via the Publications Office of the European Union, and other administrative costs related to coordination.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Tasks resulting from specific powers directly conferred on the Commission pursuant to Article 210 of the Treaty on the Functioning of the European Union.

Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents (OJ L 145, 31.5.2001, p. 43).

Decision No 472/2014/EU of the European Parliament and of the Council of 16 April 2014 on the European Year for Development (2015) (OJ L 136, 9.5.2014, p. 1).

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**CHAPTER 21 09 — COMPLETION OF ACTIONS IMPLEMENTED UNDER INDUSTRIALISED COUNTRIES INSTRUMENT (ICI+) PROGRAMME**

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
21 09	COMPLETION OF ACTIONS IMPLEMENTED UNDER INDUSTRIALISED COUNTRIES INSTRUMENT (ICI+) PROGRAMME								
<b>21 09 51</b>	<b>Completion of former actions (prior to 2014)</b>								
21 09 51 01	Asia	4	—	9 212 441	—	13 540 855	1 036,61	6 591 538,35	71,55
21 09 51 02	Latin America	4	—	10 560 000	—	8 804 880	0,—	3 918 746,30	37,11
21 09 51 03	Africa	4	—	1 279 916	—	712 499	0,—	1 152 172,65	90,02
	Article 21 09 51 — Subtotal		—	21 052 357	—	23 058 234	1 036,61	11 662 457,30	55,40
	<b>Chapter 21 09 — Total</b>		—	<b>21 052 357</b>	—	<b>23 058 234</b>	<b>1 036,61</b>	<b>11 662 457,30</b>	<b>55,40</b>

**21 09 51 Completion of former actions (prior to 2014)**

21 09 51 01 Asia

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	9 212 441	—	13 540 855	1 036,61	6 591 538,35

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 347, 30.12.2011, p. 21).

21 09 51 02 Latin America

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	10 560 000	—	8 804 880	0,—	3 918 746,30

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**CHAPTER 21 09 — COMPLETION OF ACTIONS IMPLEMENTED UNDER INDUSTRIALISED COUNTRIES INSTRUMENT (ICI+) PROGRAMME** (*cont'd*)**21 09 51** (*cont'd*)21 09 51 02 (*cont'd*)*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 347, 30.12.2011, p. 21).

21 09 51 03 Africa

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 279 916	—	712 499	0,—	1 152 172,65

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EU) No 1338/2011 of the European Parliament and of the Council of 13 December 2011 amending Council Regulation (EC) No 1934/2006 establishing a financing instrument for cooperation with industrialised and other high-income countries and territories (OJ L 347, 30.12.2011, p. 21).



*TITLE 22*

**NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS**

COMMISSION

TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**TITLE 22****NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
22 01	ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA	154 964 435	154 964 435	151 516 123	151 516 123	179 754 537,96	179 754 537,96
22 02	ENLARGEMENT PROCESS AND STRATEGY	1 477 417 698	1 065 012 390	1 414 567 473	877 850 753	1 315 993 526,38	787 589 751,35
22 04	EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)	2 202 795 550	2 345 541 121	2 288 403 376	1 582 277 534	2 302 404 435,49	1 691 726 521,79
	<b>Title 22 — Total</b>	<b>3 835 177 683</b>	<b>3 565 517 946</b>	<b>3 854 486 972</b>	<b>2 611 644 410</b>	<b>3 798 152 499,83</b>	<b>2 659 070 811,10</b>

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## TITLE 22

## NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
22 01	ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA					
22 01 01	<i>Expenditure related to officials and temporary staff in the 'Neighbourhood and enlargement negotiations' policy area</i>					
22 01 01 01	Expenditure related to officials and temporary staff — Headquarters	5.2	32 849 473	33 019 527	33 670 937,27	102,50
22 01 01 02	Expenditure related to officials and temporary staff — Union delegations	5.2	20 859 597	19 579 536	19 510 648,62	93,53
	<i>Article 22 01 01 — Subtotal</i>		53 709 070	52 599 063	53 181 585,89	99,02
22 01 02	<i>External personnel and other management expenditure in support of the 'Neighbourhood and enlargement negotiations' policy area</i>					
22 01 02 01	External personnel — Headquarters	5.2	1 879 556	1 818 129	1 750 321,41	93,12
22 01 02 02	External personnel — Union delegations	5.2	1 021 470	1 119 577	1 173 167,19	114,85
22 01 02 11	Other management expenditure — Headquarters	5.2	2 218 855	1 098 544	1 061 353,51	47,83
22 01 02 12	Other management expenditure — Union delegations	5.2	1 034 028	451 423	482 370,22	46,65
	<i>Article 22 01 02 — Subtotal</i>		6 153 909	4 487 673	4 467 212,33	72,59
22 01 03	<i>Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the 'Neighbourhood and enlargement negotiations' policy area</i>					
22 01 03 01	Expenditure related to information and communication technology equipment and services	5.2	2 096 489	2 096 278	2 416 954,57	115,29
22 01 03 02	Buildings and related expenditure — Union delegations	5.2	4 874 541	4 552 686	8 389 383,31	172,11
	<i>Article 22 01 03 — Subtotal</i>		6 971 030	6 648 964	10 806 337,88	155,02

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## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>22 01 04</b>	<b>Support expenditure for operations and programmes in the 'Neighbourhood and enlargement negotiations' policy area</b>					
22 01 04 01	Support expenditure for the Instrument for Pre-accession Assistance (IPA)	4	39 401 419	39 301 418	50 244 026,18	127,52
22 01 04 02	Support expenditure for the European Neighbourhood Instrument (ENI)	4	45 359 007	44 638 005	57 195 855,68	126,10
22 01 04 03	Support expenditure for trust funds managed by the Commission	4	p.m.	p.m.		
	Article 22 01 04 — Subtotal		84 760 426	83 939 423	107 439 881,86	126,76
<b>22 01 06</b>	<b>Executive agencies</b>					
22 01 06 01	Education, Audiovisual and Culture Executive Agency — Contribution from the Instrument for Pre-accession Assistance	4	820 000	885 000	1 029 880,—	125,60
22 01 06 02	Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)	4	2 550 000	2 956 000	2 829 640,—	110,97
	Article 22 01 06 — Subtotal		3 370 000	3 841 000	3 859 520,—	114,53
	<b>Chapter 22 01 — Total</b>		<b>154 964 435</b>	<b>151 516 123</b>	<b>179 754 537,96</b>	<b>116,00</b>

**22 01 01 Expenditure related to officials and temporary staff in the 'Neighbourhood and enlargement negotiations' policy area**

## 22 01 01 01 Expenditure related to officials and temporary staff — Headquarters

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
32 849 473	33 019 527	33 670 937,27

## 22 01 01 02 Expenditure related to officials and temporary staff — Union delegations

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
20 859 597	19 579 536	19 510 648,62

**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** (*cont’d*)

**22 01 02** *External personnel and other management expenditure in support of the ‘Neighbourhood and enlargement negotiations’ policy area*

22 01 02 01 External personnel — Headquarters

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 879 556	1 818 129	1 750 321,41

22 01 02 02 External personnel — Union delegations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 021 470	1 119 577	1 173 167,19

22 01 02 11 Other management expenditure — Headquarters

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 218 855	1 098 544	1 061 353,51

22 01 02 12 Other management expenditure — Union delegations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 034 028	451 423	482 370,22

**22 01 03** *Expenditure related to information and communication technology equipment and services, buildings and related expenditure of the ‘Neighbourhood and enlargement negotiations’ policy area*

22 01 03 01 Expenditure related to information and communication technology equipment and services

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 096 489	2 096 278	2 416 954,57

22 01 03 02 Buildings and related expenditure — Union delegations

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 874 541	4 552 686	8 389 383,31

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA (*cont'd*)**22 01 04 Support expenditure for operations and programmes in the 'Neighbourhood and enlargement negotiations' policy area**

22 01 04 01 Support expenditure for the Instrument for Pre-accession Assistance (IPA)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
39 401 419	39 301 418	50 244 026,18

*Remarks**Former Item 22 01 04 01 (in part)*

This appropriation is intended to cover administrative costs directly linked to the implementation of the Instrument for Pre-Accession Assistance (IPA), the phasing out of pre-accession assistance and TAIEX, in particular:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff), limited to EUR 5 146 149. This estimate is based on a provisional annual unit cost per man-year, of which an estimated 95 % is accounted for by the remuneration of the personnel concerned and 5 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to the external personnel financed from this appropriation,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as in Commission Post-Accession Transitions Teams remaining in new Member States during the phasing-out period (contract staff, agency staff) working on tasks directly related to completion of accession programmes. In both cases, it also covers additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in Union delegation of external personnel remunerated from the appropriations entered against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** (*cont’d*)**22 01 04** (*cont’d*)22 01 04 01 (*cont’d*)

This appropriation covers expenditure on administrative management under Chapter 22 02.

## 22 01 04 02 Support expenditure for the European Neighbourhood Instrument (ENI)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
45 359 007	44 638 005	57 195 855,68

*Remarks*

*Former Item 21 01 04 02*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the Commission and beneficiaries,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external personnel at headquarters is limited to EUR 4 846 907. This estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to those staff members,
- expenditure on external personnel in Union delegations (contract staff, local staff or seconded national experts) for the purposes of devolved programme management in Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure costs, such as the cost of training, meetings, missions and renting of accommodation directly resulting from the presence in delegations of external personnel remunerated from the appropriations entered against this item,
- expenditure on studies, meetings of experts, information systems, awareness-raising, training, preparation and exchange of lessons learnt and best practices, as well as publications activities and any other administrative or technical assistance directly linked to the achievement of the objective of the programme,
- research activities on relevant issues and the dissemination thereof,
- expenditure related to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** *(cont'd)***22 01 04** *(cont'd)*22 01 04 02 *(cont'd)*

This appropriation covers support expenditure under Chapter 21 03.

## 22 01 04 03 Support expenditure for trust funds managed by the Commission

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

*New item*

This appropriation is intended to cover the management costs of the Commission, for a maximum of 5 % of the amounts pooled in the trust funds, from the years in which the contributions to each Trust Fund have started to be used as decided under the Article 187(7) of the Financial Regulation.

Any revenue from the trust funds contributing to the cost of support measures entered in Article 6 3 4 of the statement of revenue may give rise to the provision of additional appropriations under this item in accordance with Article 21 of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(2) of the Financial Regulation is estimated at EUR 500 000.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 21(2) and 187(7) thereof.

**22 01 06** ***Executive agencies***

## 22 01 06 01 Education, Audiovisual and Culture Executive Agency — Contribution from the Instrument for Pre-accession Assistance

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
820 000	885 000	1 029 880,—



**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** (*cont’d*)**22 01 06** (*cont’d*)22 01 06 01 (*cont’d*)*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency relating to the management of programmes in the ‘Neighbourhood and enlargement negotiations’ policy area. The mandate of the agency includes managing the legacy for the 2007-2013 programming period for the Youth, Tempus and Erasmus Mundus programmes in which IPA beneficiaries are involved. This appropriation is also intended to cover, under the ‘Erasmus+’ programme, the operating costs of certain actions of that programme in order to promote the international dimension of higher education and other actions.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing ‘Erasmus +’: the Union Programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

*Reference acts*

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the ‘Education, Audiovisual and Culture Executive Agency’ and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** (*cont’d*)**22 01 06** (*cont’d*)**22 01 06 01** (*cont’d*)

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

**22 01 06 02** Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 550 000	2 956 000	2 829 640,—

*Remarks*

*Former Item 21 01 06 02*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred as a result of the implementation of the international dimension of higher education of the ‘Erasmus+’ programme (Heading 4) entrusted to the Agency, under Chapter 22 04. The mandate of the agency includes managing the legacy for the 2007-2013 programming period for the Youth, Tempus and Erasmus Mundus programmes in which ENI beneficiaries are involved.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

The establishment plan of the Executive Agency is set out in Annex ‘Staff’ to this Section.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing ‘Erasmus+’: the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

**CHAPTER 22 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS’ POLICY AREA** (*cont’d*)**22 01 06** (*cont’d*)22 01 06 02 (*cont’d*)

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

*Reference acts*

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the ‘Education, Audiovisual and Culture Executive Agency’ and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
22 02	ENLARGEMENT PROCESS AND STRATEGY								
22 02 01	<b>Support to Albania, Bosnia and Herzegovina, Kosovo <sup>(1)</sup>, Montenegro, Serbia and the former Yugoslav Republic of Macedonia</b>								
22 02 01 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	190 000 000	54 301 667	203 000 000	44 582 508	244 813 034,25	0,—	0
22 02 01 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	327 960 000	57 819 000	316 000 000	15 348 077	256 080 300,—	0,—	0
	Article 22 02 01 — Subtotal		517 960 000	112 120 667	519 000 000	59 930 585	500 893 334,25	0,—	0
22 02 02	<b>Support to Iceland</b>								
22 02 02 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
22 02 02 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 22 02 02 — Subtotal		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
22 02 03	<b>Support to Turkey</b>								
22 02 03 01	Support for political reforms and related progressive alignment with the Union <i>acquis</i>	4	255 300 000	122 258 000	213 000 000	84 751 355	349 959 624,—	0,—	0
22 02 03 02	Support for economic, social and territorial development and related progressive alignment with the Union <i>acquis</i>	4	340 484 000	166 076 038	353 000 000	99 557 933	195 640 376,—	0,—	0
	Article 22 02 03 — Subtotal		595 784 000	288 334 038	566 000 000	184 309 288	545 600 000,—	0,—	0
22 02 04	<b>Regional integration and territorial cooperation and support to groups of countries (horizontal programmes)</b>								
22 02 04 01	Multi-country programmes, regional integration and territorial cooperation	4	326 700 614	130 967 662	294 934 687	51 535 709	235 132 478,—	2 825 982,—	2,16

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>22 02 04</b>	(cont'd)								
22 02 04 02	Contribution to Erasmus+	4	32 035 349	27 877 772	31 115 000	21 780 981	30 943 492,—	441 335,50	1,58
22 02 04 03	Contribution to the Energy Community for South-East Europe	4	4 937 735	4 937 735	3 517 786	3 428 016	3 274 300,21	3 274 300,21	66,31
	Article 22 02 04 — Subtotal		363 673 698	163 783 169	329 567 473	76 744 706	269 350 270,21	6 541 617,71	3,99
<b>22 02 51</b>	<b>Completion of former pre-accession assistance (prior to 2014)</b>	4	p.m.	499 872 531	p.m.	555 931 305	149 921,92	779 958 320,40	156,03
<b>22 02 77</b>	<b>Pilot projects and preparatory actions</b>								
22 02 77 01	Pilot project — Preserving and restoring cultural heritage in conflict areas	4	p.m.	p.m.	p.m.	p.m.	0,—	562 172,13	
22 02 77 02	Preparatory action — Preserving and restoring cultural heritage in conflict areas	4	p.m.	901 985	p.m.	934 869	0,—	527 641,11	58,50
	Article 22 02 77 — Subtotal		p.m.	901 985	p.m.	934 869	0,—	1 089 813,24	120,82
	<b>Chapter 22 02 — Total</b>		<b>1 477 417 698</b>	<b>1 065 012 390</b>	<b>1 414 567 473</b>	<b>877 850 753</b>	<b>1 315 993 526,38</b>	<b>787 589 751,35</b>	<b>73,95</b>

(<sup>1</sup>) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

**22 02 01 Support to Albania, Bosnia and Herzegovina, Kosovo (<sup>1</sup>), Montenegro, Serbia and the former Yugoslav Republic of Macedonia**22 02 01 01 Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
190 000 000	54 301 667	203 000 000	44 582 508	244 813 034,25	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

(<sup>1</sup>) This designation is without prejudice to positions on status, and is in line with the United Nations Security Council Resolution 1244(1999) and the International Court of Justice opinion on Kosovo's declaration of independence.

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (*cont'd*)22 02 01 (*cont'd*)22 02 01 01 (*cont'd*)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

22 02 01 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
327 960 000	57 819 000	316 000 000	15 348 077	256 080 300,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in the Western Balkans:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

**CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY** (*cont'd*)**22 02 01** (*cont'd*)22 02 01 02 (*cont'd*)*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(b) and (c) thereof.

**22 02 02** ***Support to Iceland***22 02 02 01 Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (*cont'd*)22 02 02 (*cont'd*)22 02 02 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Iceland:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(b) and (c) thereof.

22 02 03 **Support to Turkey**22 02 03 01 Support for political reforms and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
255 300 000	122 258 000	213 000 000	84 751 355	349 959 624,—	0,—



CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (*cont'd*)22 02 03 (*cont'd*)22 02 03 01 (*cont'd*)*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- support for political reforms,
- strengthening of the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of political reforms by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(a) and (c) thereof.

22 02 03 02 Support for economic, social and territorial development and related progressive alignment with the Union *acquis**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
340 484 000	166 076 038	353 000 000	99 557 933	195 640 376,—	0,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the following specific objectives in Turkey:

- providing support for economic, social and territorial development, with a view to achieving smart, sustainable and inclusive growth,
- strengthening the ability of the beneficiaries listed in Annex I to Regulation (EU) No 231/2014 at all levels to fulfil the obligations stemming from Union membership in the area of economic, social and territorial development by supporting progressive alignment with and adoption, implementation and enforcement of the Union *acquis*, including preparation for management of Union Structural Funds, the Cohesion Fund and the European Agricultural Fund for Rural Development.

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (*cont'd*)22 02 03 (*cont'd*)22 02 03 02 (*cont'd*)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(b) and (c) thereof.

22 02 04 ***Regional integration and territorial cooperation and support to groups of countries (horizontal programmes)***

## 22 02 04 01 Multi-country programmes, regional integration and territorial cooperation

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
326 700 614	130 967 662	294 934 687	51 535 709	235 132 478,—	2 825 982,—

*Remarks*

Under the Instrument for Pre-accession Assistance (IPA II), this appropriation will address the specific objective of regional integration and territorial cooperation involving the beneficiaries listed in Annex I to Regulation (EU) No 231/2014, Member States and, where appropriate, third countries within the scope of Regulation (EU) No 232/2014.

This appropriation is intended to cover the financing of pre-accession regional and multi-beneficiary programmes for the beneficiaries.

This appropriation is also intended to cover technical assistance for the beneficiaries in the field of approximation of legislation for the entire *acquis* of the Union, helping all bodies involved in the implementation and enforcement of the *acquis*, including non-governmental organisations, to achieve their objectives and to monitor their rate of achievement.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

**CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY** (*cont'd*)**22 02 04** (*cont'd*)**22 02 04 01** (*cont'd*)

In accordance with Article 3 of Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95), this appropriation also covers expenditure directly necessary for the implementation of IPA II related to the preparation, follow-up, monitoring, audit and evaluation activities as well as to the provision of information and communication actions, including the development of communication strategies and corporate communication of the political priorities of the Union.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 2(1)(d) thereof.

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**22 02 04 02** Contribution to Erasmus+*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
32 035 349	27 877 772	31 115 000	21 780 981	30 943 492,—	441 335,50

*Remarks*

This appropriation is intended to cover the technical and financial assistance provided under this external instrument in order to promote the international dimension of higher education for the implementation of the 'Erasmus+' programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (*cont'd*)22 02 04 (*cont'd*)22 02 04 02 (*cont'd*)*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus +': the Union Programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11), and in particular Article 15(3) thereof.

## 22 02 04 03 Contribution to the Energy Community for South-East Europe

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 937 735	4 937 735	3 517 786	3 428 016	3 274 300,21	3 274 300,21

*Remarks*

This appropriation is intended to cover the contribution of the Union to the Energy Community budget. This budget relates to administrative and operational expenditure.

*Legal basis*

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

22 02 51 **Completion of former pre-accession assistance (prior to 2014)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	499 872 531	p.m.	555 931 305	149 921,92	779 958 320,40

*Remarks*

This appropriation is intended to cover the liquidation of commitments made prior to 2014.

**CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY** (*cont'd*)**22 02 51** (*cont'd*)*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Tasks resulting from the specific powers assigned directly to the Commission by Article 34 of the Act of Accession of 16 April 2003 and Article 31 of Title III of the Act of Accession of 25 April 2005 (part of the Treaty concerning the accession of the Republic of Bulgaria and Romania to the European Union).

Tasks resulting from the specific powers assigned directly to the Commission by Article 30 of the Act of Accession of Croatia.

Council Regulation (EEC) No 3906/89 of 18 December 1989 on economic aid to the Republic of Hungary and the Polish People's Republic (OJ L 375, 23.12.1989, p. 11).

Council Regulation (EC) No 1488/96 of 23 July 1996 on financial and technical measures to accompany (MEDA) the reform of economic and social structures in the framework of the Euro-Mediterranean partnership (OJ L 189, 30.7.1996, p. 1).

Council Regulation (EC) No 555/2000 of 13 March 2000 on the implementation of operations in the framework of the pre-accession strategy for the Republic of Cyprus and the Republic of Malta (OJ L 68, 16.3.2000, p. 3).

Council Regulation (EC) No 764/2000 of 10 April 2000 regarding the implementation of measures to intensify the EC-Turkey customs union (OJ L 94, 14.4.2000, p. 6).

Council Regulation (EC) No 2666/2000 of 5 December 2000 on assistance for Albania, Bosnia and Herzegovina, Croatia, the Federal Republic of Yugoslavia and the Former Yugoslav Republic of Macedonia, repealing Regulation (EC) No 1628/96 and amending Regulations (EEC) No 3906/89 and (EEC) No 1360/90 and Decisions 97/256/EC and 1999/311/EC (OJ L 306, 7.12.2000, p. 1).

Council Regulation (EC) No 2500/2001 of 17 December 2001 concerning pre-accession financial assistance for Turkey (OJ L 342, 27.12.2001, p. 1).

Council Decision 2006/500/EC of 29 May 2006 on the conclusion by the European Community of the Energy Community Treaty (OJ L 198, 20.7.2006, p. 15).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 02 — ENLARGEMENT PROCESS AND STRATEGY (*cont'd*)**22 02 77 Pilot projects and preparatory actions****22 02 77 01** Pilot project — Preserving and restoring cultural heritage in conflict areas*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	562 172,13

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**22 02 77 02** Preparatory action — Preserving and restoring cultural heritage in conflict areas*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	901 985	p.m.	934 869	0,—	527 641,11

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
22 04	EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)								
<b>22 04 01</b>	<b>Supporting cooperation with Mediterranean countries</b>								
22 04 01 01	Mediterranean countries — Human rights and mobility	4	144 000 000	63 310 000	193 000 000	33 675 282	158 300 000,—	0,—	0
22 04 01 02	Mediterranean countries — Poverty reduction and sustainable development	4	640 900 000	289 000 000	553 545 237	96 576 523	831 241 702,—	0,—	0
22 04 01 03	Mediterranean countries — Confidence building, security and the prevention and settlement of conflicts	4	131 000 000	268 000 000	344 500 000	7 764 509	32 750 000,—	0,—	0
22 04 01 04	Support to the peace process and financial assistance to Palestine and to the United Nations Relief and Works Agency for Palestine Refugees (UNRWA)	4	290 100 000	310 000 000	286 500 000	218 104 163	309 500 000,—	250 750 000,—	80,89
	<i>Article 22 04 01 — Subtotal</i>		1 206 000 000	930 310 000	1 377 545 237	356 120 477	1 331 791 702,—	250 750 000,—	26,95
<b>22 04 02</b>	<b>Supporting cooperation with Eastern Partnership countries</b>								
22 04 02 01	Eastern Partnership — Human rights and mobility	4	194 700 000	82 830 000	207 296 000	36 205 291	323 500 000,—	95 000 000,—	114,69
22 04 02 02	Eastern Partnership — Poverty reduction and sustainable development	4	325 100 000	127 000 000	302 300 000	52 746 310	272 419 298,66	800 000,—	0,63
22 04 02 03	Eastern Partnership — Confidence building, security and the prevention and settlement of conflicts	4	9 300 000	4 000 000	8 000 000	1 395 866	12 966 060,—	0,—	0
	<i>Article 22 04 02 — Subtotal</i>		529 100 000	213 830 000	517 596 000	90 347 467	608 885 358,66	95 800 000,—	44,80
<b>22 04 03</b>	<b>Ensuring efficient cross-border cooperation (CBC) and support to other multi-country cooperation</b>								
22 04 03 01	Cross-border cooperation (CBC) — Contribution from Heading 4	4	83 485 550	21 780 000	82 806 886	14 447 219	6 910 223,—	0,—	0

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## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>22 04 03</b>	(cont'd)								
22 04 03 02	Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional Policy)	1.2	65 600 000	24 000 000	p.m.	p.m.	0,—	0,—	0
22 04 03 03	Support to other multi-country cooperation in the neighbourhood — Umbrella programme	4	193 500 000	85 000 000	184 000 000	38 044 996	200 000 000,67	48 000 000,—	56,47
22 04 03 04	Other multi-country cooperation in the neighbourhood — Supporting measures	4	29 700 000	4 000 000	35 801 253	5 000 000	43 709 999,33	0,—	0
	Article 22 04 03 — Subtotal		372 285 550	134 780 000	302 608 139	57 492 215	250 620 223,—	48 000 000,—	35,61
<b>22 04 20</b>	<b>Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)</b>	4	95 410 000	75 481 736	90 654 000	61 830 124	106 769 355,—	1 636 260,49	2,17
<b>22 04 51</b>	<b>Completion of actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2014)</b>	4	—	950 000 000	—	965 917 684	1 597 784,83	1 225 582 883,78	129,01
<b>22 04 52</b>	<b>Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional policy)</b>	1.2	—	40 000 000	—	48 773 098	0,—	68 000 000,—	170,00
<b>22 04 77</b>	<b>Pilot projects and preparatory actions</b>								
22 04 77 03	Preparatory action — New Euro-Mediterranean strategy for youth employment promotion	4	p.m.	590 619	p.m.	335 789	0,—	484 341,57	82,01
22 04 77 04	Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs	4	p.m.	56 523	p.m.	310 604	0,—	375 342,95	664,05
22 04 77 05	Preparatory action — Asset recovery to Arab Spring countries	4	p.m.	492 243	p.m.	1 150 076	2 740 012,—	1 097 693,—	223,00
	Article 22 04 77 — Subtotal		p.m.	1 139 385	p.m.	1 796 469	2 740 012,—	1 957 377,52	171,79
	<b>Chapter 22 04 — Total</b>		<b>2 202 795 550</b>	<b>2 345 541 121</b>	<b>2 288 403 376</b>	<b>1 582 277 534</b>	<b>2 302 404 435,49</b>	<b>1 691 726 521,79</b>	<b>72,13</b>

**22 04 01 Supporting cooperation with Mediterranean countries**

## 22 04 01 01 Mediterranean countries — Human rights and mobility

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
144 000 000	63 310 000	193 000 000	33 675 282	158 300 000,—	0,—



**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)****22 04 01** (cont'd)

22 04 01 01 (cont'd)

*Remarks**Former Item 21 03 01 01*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- human rights and fundamental freedoms,
- the rule of law,
- principles of equality,
- establishing deep and sustainable democracy,
- good governance,
- developing a thriving civil society including social partners,
- creating conditions for well managed mobility of people,
- promotion of people-to-people contacts.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter and may be complemented by contributions for Union trust funds.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

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TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (*cont'd*)22 04 01 (*cont'd*)

## 22 04 01 02 Mediterranean countries — Poverty reduction and sustainable development

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
640 900 000	289 000 000	553 545 237	96 576 523	831 241 702,—	0,—

*Remarks**Former Item 21 03 01 02*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- progressive integration into the Union internal market and enhanced sector and cross-sectoral cooperation including through:
  - legislative approximation and regulatory convergence towards Union and other relevant international standards,
  - institution building,
  - investments,
- sustainable and inclusive development in all aspects,
- poverty reduction, including through private-sector development,
- promotion of internal economic, social and territorial cohesion,
- rural development,
- climate action,
- disaster resilience.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)****22 04 01** (cont'd)**22 04 01 02** (cont'd)*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**22 04 01 03** Mediterranean countries — Confidence building, security and the prevention and settlement of conflicts*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
131 000 000	268 000 000	344 500 000	7 764 509	32 750 000,—	0,—

*Remarks**Former Item 21 03 01 03*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- confidence and peace building including amongst children,
- security and the prevention and settlement of conflicts,
- support to refugees and displaced population including children.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (*cont'd*)22 04 01 (*cont'd*)

22 04 01 04 Support to the peace process and financial assistance to Palestine and to the United Nations Relief and Works Agency for Palestine Refugees (UNRWA)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
290 100 000	310 000 000	286 500 000	218 104 163	309 500 000,—	250 750 000,—

*Remarks*

*Former Item 21 03 01 04*

This appropriation is intended to cover operations for the benefit of the Palestinian people and the occupied Palestinian territories of the West Bank and the Gaza Strip, in the context of the Middle East peace process.

The operations are primarily aimed at:

- supporting state-building and institutional development,
- promoting social and economic development,
- mitigating the effects on the Palestinian population of the deteriorating economic, fiscal and humanitarian conditions through the provision of essential services and other support,
- contributing to reconstruction efforts in Gaza,
- contributing to the financing of the operation of the United Nations Relief and Works Agency for Palestine Refugees (UNRWA) and in particular its health, education and social services programmes,
- financing preparatory operations aimed at promoting cooperation between Israel and its neighbours in the context of the peace process, notably with regard to institutions, economic matters, water, the environment and energy,
- financing activities designed to create a public opinion favourable to the peace process,
- financing information, including in Arabic and Hebrew, and disseminating information on Israeli-Palestinian cooperation,
- promoting respect for human rights and fundamental freedoms, promoting enhanced respect for minority rights, combating anti-Semitism and promoting gender equality and non-discrimination,
- fostering the development of civil society, inter alia, to promote social inclusion.

An adequate level of appropriations should be reserved for the support of civil society organisations.

**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)****22 04 01** (cont'd)**22 04 01 04** (cont'd)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**22 04 02** ***Supporting cooperation with Eastern Partnership countries*****22 04 02 01** Eastern Partnership — Human rights and mobility*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
194 700 000	82 830 000	207 296 000	36 205 291	323 500 000,—	95 000 000,—

*Remarks**Former Item 21 03 02 01*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- human rights and fundamental freedoms,
- the rule of law,
- principles of equality,
- establishing deep and sustainable democracy,
- good governance,
- developing a thriving civil society including social partners,

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

## 22 04 02 (cont'd)

## 22 04 02 01 (cont'd)

- creating conditions for well managed mobility of people,
- promotion of people-to-people contacts.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

## 22 04 02 02 Eastern Partnership — Poverty reduction and sustainable development

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
325 100 000	127 000 000	302 300 000	52 746 310	272 419 298,66	800 000,—

*Remarks**Former Item 21 03 02 02*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- progressive integration into the Union internal market and enhanced sector and cross-sectoral cooperation including through:
  - legislative approximation and regulatory convergence towards Union and other relevant international standards,
  - institution building,
  - investments,
- sustainable and inclusive development in all aspects,

**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)****22 04 02** (cont'd)**22 04 02 02** (cont'd)

- poverty reduction, including through private-sector development,
- promotion of internal economic, social and territorial cohesion,
- rural development,
- climate action,
- disaster resilience.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**22 04 02 03** Eastern Partnership — Confidence building, security and the prevention and settlement of conflicts*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 300 000	4 000 000	8 000 000	1 395 866	12 966 060,—	0,—

*Remarks**Former Item 21 03 02 03*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- confidence and peace building,

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (*cont'd*)22 04 02 (*cont'd*)22 04 02 03 (*cont'd*)

- security and the prevention and settlement of conflicts,
- support for refugees and displaced persons, including children

Part of this appropriation will be used for urgent medical care for, and long-term rehabilitation of, civilian and military victims of the ongoing armed conflict in the eastern part of Ukraine. Such activities are essential in order to minimise the risk of migrant flows from the conflict zone into the Union.

Part of this appropriation will be used for Union's strategic communication targeted at Eastern Partnership countries and other countries in the region, in order to counter any disinformation.

An adequate level of appropriations should be reserved for the support of civil society organisations.

Part of this appropriation will be used for measures focusing on the many frozen conflicts in the Eastern Neighbourhood and to support the search for political solutions to those conflicts.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

The action taken should be delivered in such a way as to ensure the highest possible visibility for the European Union as donor and financier.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**22 04 03 Ensuring efficient cross-border cooperation (CBC) and support to other multi-country cooperation**

## 22 04 03 01 Cross-border cooperation (CBC) — Contribution from Heading 4

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
83 485 550	21 780 000	82 806 886	14 447 219	6 910 223,—	0,—



**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)** (*cont'd*)**22 04 03** (*cont'd*)22 04 03 01 (*cont'd*)*Remarks**Former Item 21 03 03 01*

This appropriation is intended to cover cross-border cooperation programmes between, on the one hand, Member States and, on the other hand, partner countries and/or the Russian Federation along the external borders of the Union, in order to promote integrated and sustainable regional development and cooperation between neighbouring border areas and harmonious territorial integration across the Union and with neighbouring countries.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

22 04 03 02 Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional Policy)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
65 600 000	24 000 000	p.m.	p.m.	0,—	0,—

*Remarks**Former Item 21 03 03 02*

This appropriation is intended to cover the ERDF support under the European territorial cooperation goal in the 2014-2020 programming period to cross-border and sea-basin cooperation programmes under the European Neighbourhood Instrument (ENI).

It is intended in particular to finance cross-border cooperation programmes along the external borders of the Union between partner countries and Member States to promote integrated and sustainable regional development between neighbouring border regions, including the Baltic Sea and the Black Sea, and harmonious territorial integration across the Union and with neighbouring countries.

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

## CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

## 22 04 03 (cont'd)

## 22 04 03 02 (cont'd)

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 1299/2013 of the European Parliament and of the Council of 17 December 2013 on specific provisions for the support from the European Regional Development Fund to the European territorial cooperation goal (OJ L 347, 20.12.2013, p. 259).

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

## 22 04 03 03 Support to other multi-country cooperation in the neighbourhood — Umbrella programme

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
193 500 000	85 000 000	184 000 000	38 044 996	200 000 000,67	48 000 000,—

*Remarks**Former Item 21 03 03 03 (in part)*

This appropriation is intended mainly to finance the multi-country umbrella programmes that will supplement the country financial allocations. The purpose of the multi-country umbrella programmes — as stipulated in Regulation (EU) No 232/2014 — is to facilitate the implementation of the incentive-based approach.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)****22 04 03** (cont'd)**22 04 03 03** (cont'd)*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**22 04 03 04** Other multi-country cooperation in the neighbourhood — Supporting measures*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
29 700 000	4 000 000	35 801 253	5 000 000	43 709 999,33	0,—

*Remarks**Former Item 21 03 03 03 (in part)*

This appropriation is intended to cover actions which aim to:

- provide general support to the functioning of the Union for the Mediterranean,
- provide general support to the functioning of the Eastern Partnership initiative,
- provide general support to the functioning of the other regional cooperation frameworks, such as the Northern Dimension and Black Sea Synergy.

It is also intended to cover actions improving the level and capacity of implementation of Union assistance as well as for actions aiming to inform the general public and the potential beneficiaries of assistance and to increase visibility.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (*cont'd*)**22 04 20 Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
95 410 000	75 481 736	90 654 000	61 830 124	106 769 355,—	1 636 260,49

*Remarks**Former Article 21 03 20*

This appropriation is intended to cover the technical and financial assistance provided under this external instrument in order to promote the international dimension of higher education for the implementation of the 'Erasmus+' programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+: the Union programme for education, training, youth and sport' and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

**22 04 51 Completion of actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2014)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	950 000 000	—	965 917 684	1 597 784,83	1 225 582 883,78

**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)****22 04 51** (cont'd)*Remarks*

*Former Article 21 03 51*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

It is also intended to cover the completion of the financial protocols with Mediterranean countries, including, inter alia, support for the Euro-Mediterranean Investment Facility within the European Investment Bank and covers the execution of non-EIB financial aid provided for in the third and fourth generation of financial protocols with the southern Mediterranean countries. The protocols cover the period 1 November 1986 to 31 October 1991 for the third generation of financial protocols and the period 1 November 1991 to 31 October 1996 for the fourth generation of financial protocols.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 21(2)(b) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (EEC) No 2210/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 263, 27.9.1978, p. 1).

Council Regulation (EEC) No 2211/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Kingdom of Morocco (OJ L 264, 27.9.1978, p. 1).

Council Regulation (EEC) No 2212/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Republic of Tunisia (OJ L 265, 27.9.1978, p. 1).

Council Regulation (EEC) No 2213/78 of 26 September 1978 on the conclusion of the Cooperation Agreement between the European Economic Community and the Arab Republic of Egypt (OJ L 266, 27.9.1978, p. 1).

Council Regulation (EEC) No 2214/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Lebanese Republic (OJ L 267, 27.9.1978, p. 1).

## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) *(cont'd)*22 04 51 *(cont'd)*

Council Regulation (EEC) No 2215/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 268, 27.9.1978, p. 1).

Council Regulation (EEC) No 2216/78 of 26 September 1978 concerning the conclusion of the Cooperation Agreement between the European Economic Community and the Syrian Arab Republic (OJ L 269, 27.9.1978, p. 1).

Council Regulation (EEC) No 3177/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 337, 29.11.1982, p. 1).

Council Regulation (EEC) No 3178/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 337, 29.11.1982, p. 8).

Council Regulation (EEC) No 3179/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 337, 29.11.1982, p. 15).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

Council Regulation (EEC) No 3181/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 337, 29.11.1982, p. 29).

Council Regulation (EEC) No 3182/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 337, 29.11.1982, p. 36).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision 88/30/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 22, 27.1.1988, p. 1).

Council Decision 88/31/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 22, 27.1.1988, p. 9).

Council Decision 88/32/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 22, 27.1.1988, p. 17).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (*cont'd*)****22 04 51** (*cont'd*)

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/206/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the People's Democratic Republic of Algeria (OJ L 94, 8.4.1992, p. 13).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Regulation (EEC) No 1762/92 of 29 June 1992 on the implementation of the Protocols on financial and technical cooperation concluded by the Community with Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 1), repealed by Regulation (EC) No 1638/2006 (OJ L 310, 9.11.2006, p. 1).

Council Decision 92/548/EEC of 16 November 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Regulation (EC) No 1734/94 of 11 July 1994 on financial and technical cooperation with the West Bank and the Gaza Strip (OJ L 182, 16.7.1994, p. 4), repealed by Regulation (EC) No 1638/2006 (OJ L 310, 9.11.2006, p. 1).

Council Regulation (EC) No 213/96 of 29 January 1996 on the implementation of the European Communities investment partners financial instrument for the countries of Latin America, Asia, the Mediterranean region and South Africa (OJ L 28, 6.2.1996, p. 2).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).



## COMMISSION

## TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (*cont'd*)**22 04 52      *Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional policy)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	40 000 000	—	48 773 098	0,—	68 000 000,—

*Remarks**Former Article 21 03 52*

This appropriation is intended to cover commitments remaining to be settled from the European Regional Development Fund 2007-2013 contribution to cross-border cooperation under the European Neighbourhood and Partnership Instrument.

*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

**22 04 77      *Pilot projects and preparatory actions*****22 04 77 03      Preparatory action — New Euro-Mediterranean strategy for youth employment promotion***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	590 619	p.m.	335 789	0,—	484 341,57

*Remarks**Former Item 21 03 77 03*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)****22 04 77** (cont'd)

22 04 77 04 Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	56 523	p.m.	310 604	0,—	375 342,95

*Remarks**Former Item 21 03 77 04*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

22 04 77 05 Preparatory action — Asset recovery to Arab Spring countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	492 243	p.m.	1 150 076	2 740 012,—	1 097 693,—

*Remarks**Former Item 21 03 77 05*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

As diversity and complexity of national legislations in requested states and limited legal expertise and institutional capacities in requesting states remain major obstacles in the field of asset recovery to Arab Spring countries in transition, it is of crucial importance to offer substantial legal and technical assistance to southern Mediterranean partner countries concerned.

COMMISSION

TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

**CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) *(cont'd)***

**22 04 77** *(cont'd)*

22 04 77 05 *(cont'd)*

This preparatory action, based on existing Union initiatives supporting Egyptian and Tunisian authorities engaged in the recovery of misappropriated assets stolen by former dictators and their regimes, aims to strengthen Union action in this field through capacity-building as well as promoting cooperation and expertise and information sharing between requesting and requested states, and the revision of national legislations as appropriate.

This preparatory action covers start-up costs for and finances annual running costs of this support mechanism.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 23*

**HUMANITARIAN AID AND CIVIL PROTECTION**

COMMISSION

TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

**TITLE 23****HUMANITARIAN AID AND CIVIL PROTECTION****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
23 01	ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA	37 571 200	37 571 200	36 649 102	36 649 102	37 253 349,79	37 253 349,79
23 02	HUMANITARIAN AID, FOOD AID AND DISASTER PREPAREDNESS	1 099 721 941	1 462 105 205	919 742 000	965 478 000	1 093 839 051,08	1 358 425 069,68
23 03	THE UNION CIVIL PROTECTION MECHANISM	48 125 000	47 611 429	48 692 000	41 383 203	44 099 075,68	32 545 460,14
23 04	EU AID VOLUNTEERS INITIATIVE	16 885 000	13 200 000	13 868 000	10 767 178	12 148 000,—	719 279,09
	<b>Title 23 — Total</b>	<b>1 202 303 141</b>	<b>1 560 487 834</b>	<b>1 018 951 102</b>	<b>1 054 277 483</b>	<b>1 187 339 476,55</b>	<b>1 428 943 158,70</b>

COMMISSION

TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

## TITLE 23

## HUMANITARIAN AID AND CIVIL PROTECTION

## CHAPTER 23 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
23 01	ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA					
23 01 01	<i>Expenditure related to officials and temporary staff in the 'Humanitarian aid and civil protection' policy area</i>	5.2	22 367 897	21 552 668	21 394 314,28	95,65
23 01 02	<i>External personnel and other management expenditure in support of the 'Humanitarian aid and civil protection' policy area</i>					
23 01 02 01	External personnel	5.2	2 021 943	2 038 987	2 694 256,47	133,25
23 01 02 11	Other management expenditure	5.2	1 714 817	1 692 154	1 967 240,79	114,72
	<i>Article 23 01 02 — Subtotal</i>		3 736 760	3 731 141	4 661 497,26	124,75
23 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Humanitarian aid and civil protection' policy area</i>	5.2	1 427 543	1 368 293	1 544 965,07	108,23
23 01 04	<i>Support expenditure for operations and programmes in the 'Humanitarian aid and civil protection' policy area</i>					
23 01 04 01	Support expenditure for humanitarian aid, food aid and disaster preparedness	4	9 050 000	9 100 000	9 198 573,18	101,64
	<i>Article 23 01 04 — Subtotal</i>		9 050 000	9 100 000	9 198 573,18	101,64
23 01 06	<i>Executive agencies</i>					
23 01 06 01	Education, Audiovisual and Culture Executive Agency — Contribution from EU Aid Volunteers initiative	4	989 000	897 000	454 000,—	45,90
	<i>Article 23 01 06 — Subtotal</i>		989 000	897 000	454 000,—	45,90
	<b>Chapter 23 01 — Total</b>		<b>37 571 200</b>	<b>36 649 102</b>	<b>37 253 349,79</b>	<b>99,15</b>

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

## CHAPTER 23 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA (cont'd)

**23 01 01 Expenditure related to officials and temporary staff in the 'Humanitarian aid and civil protection' policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
22 367 897	21 552 668	21 394 314,28

**23 01 02 External personnel and other management expenditure in support of the 'Humanitarian aid and civil protection' policy area****23 01 02 01 External personnel**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 021 943	2 038 987	2 694 256,47

**23 01 02 11 Other management expenditure**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
1 714 817	1 692 154	1 967 240,79

**23 01 03 Expenditure related to information and communication technology equipment and services of the 'Humanitarian aid and civil protection' policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
1 427 543	1 368 293	1 544 965,07

**23 01 04 Support expenditure for operations and programmes in the 'Humanitarian aid and civil protection' policy area****23 01 04 01 Support expenditure for humanitarian aid, food aid and disaster preparedness**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
9 050 000	9 100 000	9 198 573,18

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 01 — ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA (*cont'd*)23 01 04 (*cont'd*)23 01 04 01 (*cont'd*)*Remarks*

This appropriation is intended to cover support expenditure directly linked to the achievement of the objectives of the humanitarian aid policy. This covers, *inter alia*:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts,
- fees and reimbursable expenses incurred through service contracts to undertake audits and evaluations of partners and actions of the Directorate-General for Humanitarian Aid and Civil Protection,
- expenditure on studies, information systems and publications, on public awareness and information campaigns, and on any other measure highlighting the Union character of the aid,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff) limited to EUR 1 800 000. This personnel is intended to take over the tasks that were entrusted to external contractors handling the administration of individual experts and to manage programmes in third countries. That amount, based on an estimated annual cost per person/year, is intended to cover the remuneration of the external personnel in question and training, meetings, missions, information technology (IT) expenditure and telecommunications expenditure related to their tasks,
- expenditure related to the purchase and maintenance of security, specialised IT and communication tools and technical services necessary for the establishment and functioning of the Emergency Response Centre. This centre ('crisis room') will be operational on a 24-hour basis and responsible for the coordination of the Union's civilian disaster response, in particular to ensure full consistency and efficient cooperation between humanitarian aid and civil protection,
- expenditure on the development, maintenance, operation and support of information systems, intended for internal use or to improve coordination between the Commission and other institutions, national administrations, agencies, non-governmental organisations, other partners in humanitarian aid, and Directorate-General for Humanitarian Aid and Civil Protection experts in the field.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons, to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Articles 23 02 01 and 23 02 02.

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

## CHAPTER 23 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘HUMANITARIAN AID AND CIVIL PROTECTION’ POLICY AREA (cont'd)

**23 01 06**      **Executive agencies**

23 01 06 01      Education, Audiovisual and Culture Executive Agency — Contribution from EU Aid Volunteers initiative

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
989 000	897 000	454 000,—

*Remarks*

This appropriation is intended to cover the operating costs of the Education, Audiovisual and Culture Executive Agency incurred as a result of the management of the EU Aid Volunteers initiative under Chapter 23 04 entrusted to the Agency.

*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Commission Implementing Decision 2013/776/EU of 18 December 2013 establishing the ‘Education, Audiovisual and Culture Executive Agency’ and repealing Decision 2009/336/EC (OJ L 343, 19.12.2013, p. 46).

Regulation (EU) No 375/2014 of the European Parliament and of the Council of 3 April 2014 establishing the European Voluntary Humanitarian Aid Corps (‘EU Aid Volunteers initiative’) (OJ L 122, 24.4.2014, p. 1).

*Reference acts*

Commission Decision C(2013) 9189 of 18 December 2013 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of education, audiovisual and culture comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations.



## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

## CHAPTER 23 02 — HUMANITARIAN AID, FOOD AID AND DISASTER PREPAREDNESS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
23 02	HUMANITARIAN AID, FOOD AID AND DISASTER PREPAREDNESS								
23 02 01	<i>Delivery of rapid, effective and needs-based humani- tarian aid and food aid</i>	4	1 061 821 941	1 428 753 205	882 446 000	928 182 000	1 056 497 175,31	1 316 543 549,07	92,15
23 02 02	<i>Disaster prevention, disaster risk reduction and preparedness</i>	4	37 900 000	33 352 000	37 296 000	37 296 000	37 341 875,77	41 881 520,61	125,57
Chapter 23 02 — Total			1 099 721 941	1 462 105 205	919 742 000	965 478 000	1 093 839 051,08	1 358 425 069,68	92,91

23 02 01 *Delivery of rapid, effective and needs-based humanitarian aid and food aid*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 061 821 941	1 428 753 205	882 446 000	928 182 000	1 056 497 175,31	1 316 543 549,07

## Remarks

This appropriation is intended to cover the financing of humanitarian and food aid operations of a humanitarian nature to help people in countries outside the Union who are the victims of conflicts or disasters, both natural and man-made (wars, outbreaks of fighting, etc.), or comparable emergencies, for as long as is necessary to meet the humanitarian needs that such situations give rise to. It will be carried out in accordance with the rules on humanitarian aid under Regulation (EC) No 1257/96.

The aid is granted to victims without discrimination or adverse distinction on the grounds of race, ethnic origin, religion, disability, sex, age, nationality or political affiliation. That assistance is provided in accordance with international humanitarian law and should not be subject to restrictions imposed by other partner donors, as long as it is necessary to meet the humanitarian needs to which such situations give rise.

This appropriation is also intended to cover the purchase and delivery of any product or equipment needed for the implementation of humanitarian aid operations, including the building of homes or shelters for affected groups of people, short-term rehabilitation and reconstruction work, particularly of infrastructure and facilities, the costs associated with external, expatriate or local staff, storage, international or national shipment, logistic support and distribution of relief and any other action aimed at facilitating freedom of access to the recipients of the aid.

This appropriation may be used to finance the purchase and delivery of food, seeds, livestock or any product or equipment needed for the implementation of the humanitarian and food aid operations.

This appropriation may also cover any other costs directly linked to the implementation of humanitarian aid operations and the cost of the measures that are essential for implementing food aid operations of a humanitarian nature within the requisite timescale and in a way which meets the needs of the recipients, satisfies the requirement to achieve the greatest possible degree of cost-effectiveness and provides greater transparency.

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 02 — HUMANITARIAN AID, FOOD AID AND DISASTER PREPAREDNESS (*cont'd*)23 02 01 (*cont'd*)

It covers, inter alia:

- measures to provide an enabling environment and access to quality education in humanitarian emergencies, including inter alia the basic rehabilitation and reconstruction of school buildings and facilities, psycho-social support, training of teachers and any product or equipment needed for the implementation of humanitarian aid operations related to access to education,
- feasibility studies on humanitarian operations, evaluations of humanitarian aid projects and plans, visibility operations and information campaigns linked to humanitarian operations,
- the monitoring of humanitarian aid projects and plans, the promotion and development of initiatives intended to increase coordination and cooperation so as to make aid more effective and improve the monitoring of projects and plans,
- the supervision and coordination of the implementation of aid operations forming part of the humanitarian and food aid concerned, in particular the terms for the supply, delivery, distribution and use of the products involved, including the use of counterpart funds,
- measures to strengthen Union coordination with the Member States, other donor countries, international organisations and institutions (in particular those forming part of the United Nations), non-governmental organisations and organisations representing the latter,
- the financing of technical assistance contracts to facilitate the exchange of technical know-how and expertise between Union humanitarian organisations and agencies or between such bodies and those of third countries,
- studies and training linked to the achievement of the objectives of the humanitarian and food aid policy area,
- action grants and running cost grants in favour of humanitarian networks,
- humanitarian mine-clearance operations including public awareness campaigns for local communities on anti-personnel mines,
- expenditure incurred by the network on humanitarian assistance (NOHA), pursuant to Article 4 of Regulation (EC) No 1257/96. This is a 1-year multidisciplinary postgraduate diploma in the humanitarian field designed to promote greater professionalism amongst humanitarian workers and involving several participating universities,
- the transport and distribution of aid, including any related operations such as insurance, loading, unloading, coordination, etc.,
- back-up measures that are essential for the programming, coordination and optimum implementation of the aid, the cost of which is not covered by other appropriations, e.g. exceptional transport and storage operations, processing or preparation of foodstuffs on the spot, disinfection, consultants' services, technical assistance and equipment directly involved in providing the aid (tools, utensils, fuel, etc.),
- pilot schemes concerning new forms of transport, packaging or storage, studies of food aid operations, visibility operations linked to the humanitarian operations, and information campaigns to increase public awareness,

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 02 — HUMANITARIAN AID, FOOD AID AND DISASTER PREPAREDNESS (*cont'd*)23 02 01 (*cont'd*)

- the storage of food (including administrative costs, futures operations, with or without options, training of technicians, purchase of packaging and mobile storage units, cost of maintaining and repairing stores, etc.),
- the technical assistance necessary for the preparation and implementation of humanitarian aid projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of the Directorate-General for Humanitarian Aid and Civil Protection units deployed throughout the world.

In order to ensure full financial transparency under Articles 58 to 61 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make every effort to commit to sending all their internal and external audits regarding the use of Union funds to the European Court of Auditors and to the Internal Auditor of the Commission.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

23 02 02 **Disaster prevention, disaster risk reduction and preparedness***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
37 900 000	33 352 000	37 296 000	37 296 000	37 341 875,77	41 881 520,61

*Remarks*

This appropriation is intended to cover the financing of operations to prepare for or prevent disasters or comparable emergencies and ensure the development of early warning systems for all types of natural disaster (floods, cyclones, volcanic eruptions, etc.), including the purchase and transport of any equipment required for that purpose.

This appropriation may also cover any other costs directly linked to the implementation of disaster preparedness operations, such as:

- the financing of scientific studies on the prevention of disasters,

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 02 — HUMANITARIAN AID, FOOD AID AND DISASTER PREPAREDNESS (*cont'd*)23 02 02 (*cont'd*)

- the constitution of emergency stocks of goods and equipment for use in connection with humanitarian aid operations,
- the technical assistance necessary for the preparation and implementation of disaster preparedness projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of the Directorate-General for Humanitarian Aid and Civil Protection units deployed throughout the world.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

## CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
23 03	THE UNION CIVIL PROTECTION MECHANISM								
<b>23 03 01</b>	<b>Disaster prevention and preparedness</b>								
23 03 01 01	Disaster prevention and preparedness within the Union	3	29 366 000	23 500 000	28 068 000	17 506 349	27 631 742,22	11 642 832,50	49,54
23 03 01 02	Disaster prevention and preparedness in third countries	4	5 551 000	3 861 429	5 434 000	4 365 769	3 984 163,46	1 820 503,—	47,15
	<i>Article 23 03 01 — Subtotal</i>		34 917 000	27 361 429	33 502 000	21 872 118	31 615 905,68	13 463 335,50	49,21
<b>23 03 02</b>	<b>Rapid and efficient emergency response interventions in the event of major disasters</b>								
23 03 02 01	Rapid and efficient emergency response interventions in the event of major disasters within the Union	3	1 208 000	1 000 000	1 190 000	958 779	1 080 000,—	13 750,22	1,38
23 03 02 02	Rapid and efficient emergency response interventions in the event of major disasters in third countries	4	12 000 000	15 000 000	11 500 000	8 731 537	11 403 170,—	2 369 644,44	15,80
	<i>Article 23 03 02 — Subtotal</i>		13 208 000	16 000 000	12 690 000	9 690 316	12 483 170,—	2 383 394,66	14,90
<b>23 03 51</b>	<b>Completion of programmes and actions in the field of civil protection within the Union (prior to 2014)</b>	3	p.m.	3 250 000	p.m.	8 820 769	0,—	16 549 772,86	509,22
<b>23 03 77</b>	<b>Pilot projects and preparatory actions</b>								
23 03 77 02	Preparatory action — Union rapid response capability	2	p.m.	p.m.	p.m.	p.m.	0,—	148 957,12	
23 03 77 03	Pilot project — Early-warning system for natural disasters	3	p.m.	1 000 000	2 500 000	1 000 000			
	<i>Article 23 03 77 — Subtotal</i>		p.m.	1 000 000	2 500 000	1 000 000	0,—	148 957,12	14,90
<b>Chapter 23 03 — Total</b>			<b>48 125 000</b>	<b>47 611 429</b>	<b>48 692 000</b>	<b>41 383 203</b>	<b>44 099 075,68</b>	<b>32 545 460,14</b>	<b>68,36</b>

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)**23 03 01      Disaster prevention and preparedness**

## 23 03 01 01      Disaster prevention and preparedness within the Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
29 366 000	23 500 000	28 068 000	17 506 349	27 631 742,22	11 642 832,50

*Remarks*

This appropriation is intended to cover expenditure on actions in the field of civil protection. It aims at supporting, coordinating and supplementing the actions of the Member States, EFTA States and candidate countries having signed an appropriate agreement with the Union in the field of preparedness and prevention with regard to natural and man-made disasters, including acts of terrorism and technological, radiological or environmental accidents, marine pollution and acute health emergencies, occurring inside the Union. It also aims to facilitate closer cooperation between the Member States in the field of civil protection.

It covers, in particular:

- actions in the field of prevention aiming at supporting and promoting Member States' risk assessment and mapping activity, such as the sharing of good practice, the compilation and dissemination of information from Member States on risk management activity including peer reviews,
- the creation of a 'European Emergency Response Capacity', a 'stand-by' capability for resources and equipment to be made available to a Member State in the event of an emergency,
- the development and management of a certification and registration process for the 'European Emergency Response Capacity'. This includes also the development of capacity goals and quality requirements,
- the identification of significant response capacity gaps in the 'European Emergency Response Capacity' and support to the development of required capacities,
- the identification of intervention experts, modules and other support available in Member States for assistance interventions in case of emergencies,
- the development and maintenance of a network of trained experts of Member States to assist at headquarters level in the monitoring, information and coordination tasks of the Emergency Response Coordination Centre (ERCC),
- a programme of lessons learnt from civil protection interventions and exercises in the framework of the Union Civil Protection Mechanism,
- a training programme for intervention teams, external personnel and experts, to provide the knowledge and tools needed to participate effectively in Union interventions and to develop a common European intervention culture,
- the management of a training network open to training centres for civil protection and emergency management personnel and other relevant actors to provide guidance on Union and international civil protection training,

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)23 03 01 (*cont'd*)23 03 01 01 (*cont'd*)

- the management of an exercises programme including command post exercises, full-scale exercises and exercises for civil protection modules to test interoperability, train civil protection officials and create a common intervention culture,
- exchanges of experts to enhance understanding of Union civil protection and to share information and experience,
- information and communication (ICT) systems, in particular CECIS (Common Emergency Communication and Information System), facilitating the exchange of information with Member States during emergencies, to improve efficiency and to enable the exchange of 'EU classified' information. This covers the costs of development, maintenance, operation and support (hardware, software and services) of the systems. It also covers the cost of project management, quality control, security, documentation and training linked to the implementation of such systems,
- the study and development of civil protection modules within the meaning of Article 4 of Decision No 1313/2013/EU including support to improve their interoperability,
- the study and development of disaster detection and early warning systems,
- the study and development of scenario-building, asset mapping and plans for the deployment of response capacities,
- workshops, seminars, projects, studies, surveys, modelling, scenario-building and contingency planning, capacity-building assistance, demonstration projects, technology transfer, awareness-raising, information, communication and monitoring, assessment and evaluation,
- other supporting and complementary actions necessary in the framework of the Union Civil Protection Mechanism to achieve a high level of protection against disasters and enhance the Union's state of preparedness to respond to disasters,
- expenditure on audits and evaluation as enshrined in the Union Civil Protection Mechanism.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)23 03 01 (*cont'd*)

## 23 03 01 02 Disaster prevention and preparedness in third countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 551 000	3 861 429	5 434 000	4 365 769	3 984 163,46	1 820 503,—

*Remarks*

This appropriation is intended to cover expenditure on actions in the field of civil protection. It aims at supporting, coordinating and supplementing the actions of the Member States, EFTA States, acceding countries, candidate countries and potential candidates having signed an appropriate agreement with the Union in the field of preparedness and prevention with regard to natural and man-made disasters, including acts of terrorism and technological, radiological or environmental accidents, marine pollution and acute health emergencies, occurring in third countries. It covers in particular the mobilisation of experts to assess prevention and preparedness needs in third countries in the event of disasters, and the basic logistical support for such experts.

It also aims at providing financial support for certain actions covered under Articles 21 and 22 of Decision No 1313/2013/EU to candidate countries not participating in the Union Civil Protection Mechanism and countries under the European Neighbourhood Policy to the extent that they complement funding available from the Instrument for Pre-Accession Assistance and the European Neighbourhood Instrument.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).



CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)23 03 02 **Rapid and efficient emergency response interventions in the event of major disasters**

23 03 02 01 Rapid and efficient emergency response interventions in the event of major disasters within the Union

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 208 000	1 000 000	1 190 000	958 779	1 080 000,—	13 750,22

*Remarks*

This appropriation is intended to cover expenditure related to civil protection intervention within the Union under the Union Civil Protection Mechanism:

- the provision of support to Member States in obtaining access to equipment and transport resources,
- the provision of additional transport resources and associated logistics, necessary for ensuring a rapid response to major emergencies and complementing the transport provided by the Member States,
- the mobilisation of experts to assess assistance needs and facilitate Union assistance in Member States in the event of disasters, and the basic logistical support for such experts,
- the deployment of trained experts of Member States to the Emergency Response Coordination Centre (ERCC) in order to assist in the monitoring, information and coordination tasks of the ERCC,
- any supporting and complementary action in order to facilitate the coordination of response in the most effective way.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)23 03 02 (*cont'd*)

## 23 03 02 02 Rapid and efficient emergency response interventions in the event of major disasters in third countries

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 000 000	15 000 000	11 500 000	8 731 537	11 403 170,—	2 369 644,44

*Remarks*

This appropriation is intended to cover expenditure related to civil protection intervention in third countries under the Union Civil Protection Mechanism:

- mobilisation of experts to assess assistance needs and facilitate European assistance in third countries in case of disasters,
- the provision of support to Member States in obtaining access to equipment and transport resources,
- the provision of European civil protection assistance, including the provision of relevant information on means of transport as well as associated logistics, in the event of disaster,
- support for consular assistance to the citizens of the Union in major emergencies in third countries regarding civil protection activities, upon request from the consular authorities of the Member States,
- any supporting and complementary action in order to facilitate the coordination of response in the most effective way.

Implementing partners may include authorities of the Member States or of beneficiary countries and their agencies, regional and international organisations and their agencies, non-governmental organisations, public and private operators and individual organisations or operators (including staff seconded from Member State administrations) with appropriate specialised expertise and experience.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).

CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)23 03 51 *Completion of programmes and actions in the field of civil protection within the Union (prior to 2014)**Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 250 000	p.m.	8 820 769	0,—	16 549 772,86

*Remarks*

This appropriation is intended to cover the clearance of commitments made for programmes and actions in the field of civil protection. It is also intended to cover payments in respect of commitments resulting from actions in the field of civil protection and from activities undertaken within the framework for the protection of the marine environment, coastlines and human health against the risks of accidental or deliberate marine pollution at sea.

This appropriation is also intended to cover part of the expenditure related to civil protection intervention in third countries under the Civil Protection Financial Instrument and the Community Civil Protection Mechanism.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contribution from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Council Decision 1999/847/EC of 9 December 1999 establishing a Community action programme in the field of civil protection (OJ L 327, 21.12.1999, p. 53).

Decision No 2850/2000/EC of the European Parliament and of the Council of 20 December 2000 setting up a Community framework for cooperation in the field of accidental or deliberate marine pollution (OJ L 332, 28.12.2000, p. 1).

Council Decision 2001/792/EC, Euratom of 23 October 2001 establishing a Community mechanism to facilitate reinforced cooperation in civil protection assistance interventions (OJ L 297, 15.11.2001, p. 7).

Council Decision 2007/162/EC, Euratom of 5 March 2007 establishing a Civil Protection Financial Instrument (OJ L 71, 10.3.2007, p. 9).

Council Decision 2007/779/EC, Euratom of 8 November 2007 establishing a Community Civil Protection Mechanism (OJ L 314, 1.12.2007, p. 9).

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM (*cont'd*)23 03 77 *Pilot projects and preparatory actions*

## 23 03 77 02 Preparatory action — Union rapid response capability

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	148 957,12

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 23 03 77 03 Pilot project — Early-warning system for natural disasters

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 000 000	2 500 000	1 000 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Natural disasters, including those resulting from climate change, are trans-boundary in nature. It is hence imperative that preparedness activities take place in a more coordinated way, cutting across national borders, transferring knowledge and raising the awareness of state, regional and local authorities and citizens alike. In Europe, there are several research centres and centres of excellence that have already developed a capacity to analyse and evaluate disaster risks and to warn their state authorities in order to prevent damage and casualties, prepare the relevant communities in advance of a disaster and take stock of the early-warning information in order for it to be used when making targeted investments co-financed by the European Structural and Investment Funds (ESIF) so that the relevant thematic objectives are achieved. In addition, there is the European Response Coordination Centre (ERCC) which is operated within the Directorate-General for Humanitarian Aid and Civil Protection and has been set up to support a coordinated and faster response to disasters both inside and outside Europe using resources from 32 countries participating in the Union Civil Protection Mechanism. The ERCC is currently relying on several key European detection and alert systems, which provide information that allows the ERCC to successfully react to disasters as well as on the scientific expertise of the Joint Research Centre (JRC) to analyse the impact of natural events (including their impact on infrastructure that may cause technological disasters).

**CHAPTER 23 03 — THE UNION CIVIL PROTECTION MECHANISM** (*cont'd*)**23 03 77** (*cont'd*)**23 03 77 03** (*cont'd*)

However, in the face of growing challenges, the above resources and expertise are no longer sufficient to coordinate the Union response at ERCC level and to manage the future European emergency capacity, which requires a stronger focus on forecasting, planning and coordination, instead of only responding to emergencies. Experience has also shown that preventing and preparing for disasters is more cost-effective than responding to them.

Furthermore, there is no coordinated mechanism in place for reporting either to the ERCC or to all Member States that might be affected by a potential disaster extending beyond national boundaries (e.g. storms). At present, each Member State largely relies upon its own capacity.

To address the above challenges and to achieve the goal of fully exploiting science and technology within the disaster risk management field in a cost-efficient manner, a European pilot project is necessary. The pilot project will build on the experience of the North-Eastern Atlantic, Mediterranean and Connected Seas Tsunami Warning and Mitigation System (NEAMTWS) network, which has brought together five partners to monitor on a round-the-clock basis tsunami incidents in the North-East Atlantic, Mediterranean and connected seas and to warn the Union's and the Member States' authorities. The pilot project will:

- identify relevant scientific centres across Europe that could provide expertise for disaster risk management policy-making and emergency operations;
- bring together those scientific centres and create enlarged scientific partnerships (European Union Centres of Excellence Network) for different hazards, associating them with scientific centres in the immediate Union neighbourhood, if necessary, in compliance with the Union Civil Protection Mechanism legislation;
- bridge the existing reporting and linkage gap between the European Union Centres of Excellence Network and the ERCC; this could be done by defining and implementing a governance structure linking the European Union Centres of Excellence Network, the JRC and the ERCC.

In this manner, relevant disaster information will be transmitted to all actors concerned in a coordinated and timely way, increasing both preparedness and response levels of the Member States and the Union through the ERCC. Setting up and expanding such a network will require the large-scale involvement of European scientific centres that are already using state-of-the-art monitoring technology or can be funded to upgrade accordingly, where this is necessary for satisfactory regional coverage. They could set up, implement and test a common, efficient and coordinated reporting methodology while extending it to cover a large geographical area in Europe (at least 10 countries). A large network is a prerequisite for the success of such an endeavour, as the geographical spread of disaster risks needs to be taken into account.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

## CHAPTER 23 04 — EU AID VOLUNTEERS INITIATIVE

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
23 04	EU AID VOLUNTEERS INITIATIVE								
23 04 01	<i>EU Aid Volunteers initiative — Strengthening the Union's capacity to respond to humanitarian crises</i>	4	16 885 000	13 200 000	13 868 000	10 078 812	12 148 000,—	0,—	0
23 04 77	<i>Pilot projects and preparatory actions</i>								
23 04 77 01	Preparatory action — European Voluntary Humanitarian Aid Corps	4	p.m.	p.m.	p.m.	688 366	0,—	719 279,09	
	Article 23 04 77 — Subtotal		p.m.	p.m.	p.m.	688 366	0,—	719 279,09	
	<b>Chapter 23 04 — Total</b>		<b>16 885 000</b>	<b>13 200 000</b>	<b>13 868 000</b>	<b>10 767 178</b>	<b>12 148 000,—</b>	<b>719 279,09</b>	<b>5,45</b>

**23 04 01** *EU Aid Volunteers initiative — Strengthening the Union's capacity to respond to humanitarian crises*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
16 885 000	13 200 000	13 868 000	10 078 812	12 148 000,—	0,—

## Remarks

This appropriation is intended to cover the implementation of the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative').

The objective of the EU Aid Volunteers initiative shall be to contribute to strengthening the Union's capacity to provide needs-based humanitarian aid aimed at preserving life, preventing and alleviating human suffering, and maintaining human dignity, and to strengthening the capacity and resilience of vulnerable or disaster-affected communities in third countries, particularly by means of disaster preparedness, disaster risk reduction and by enhancing the link between relief, rehabilitation and development. That objective shall be attained through the added value of joint contributions of EU Aid Volunteers, expressing the Union's values and solidarity with people in need and visibly promoting a sense of Union citizenship.

This appropriation is intended to cover the following measures and items of expenditure:

- the development and maintenance of standards and procedures regarding candidate and EU Aid Volunteers,
- the development and maintenance of a certification mechanism for sending and hosting organisations,
- the identification and selection of candidate volunteers,

**CHAPTER 23 04 — EU AID VOLUNTEERS INITIATIVE** (*cont'd*)**23 04 01** (*cont'd*)

- the establishment of a training programme and support for training of candidate volunteers and apprenticeship placements,
- the establishment, maintenance and updating of a database of EU Aid Volunteers,
- the deployment of EU Aid Volunteers in order to support and complement humanitarian aid in third countries,
- capacity-building of hosting organisations,
- the establishment and management of a network for the EU Aid Volunteers initiative,
- communication and awareness-raising,
- ancillary activity that furthers the accountability, transparency and effectiveness of the EU Aid Volunteers initiative.

Any income from financial contributions from Member States and third countries, including in both cases their public agencies, entities or natural persons to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under point (b) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 187(7) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EU) No 375/2014 of the European Parliament and of the Council of 3 April 2014 establishing the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative') (OJ L 122, 24.4.2014, p. 1).

Commission Implementing Regulation (EU) No 1244/2014 of 20 November 2014 laying down rules for the implementation of Regulation (EU) No 375/2014 of the European Parliament and of the Council establishing the European Voluntary Humanitarian Aid Corps ('EU Aid Volunteers initiative') (OJ L 334, 21.11.2014, p. 52).

Commission Delegated Regulation (EU) No 1398/2014 of 24 October 2014 laying down standards regarding candidate volunteers and EU Aid Volunteers (OJ L 373, 31.12.2014, p. 8).

**23 04 77** *Pilot projects and preparatory actions*

23 04 77 01 Preparatory action — European Voluntary Humanitarian Aid Corps

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	688 366	0,—	719 279,09

COMMISSION

TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

**CHAPTER 23 04 — EU AID VOLUNTEERS INITIATIVE** (*cont'd*)

**23 04 77** (*cont'd*)

23 04 77 01 (*cont'd*)

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



*TITLE 24*

**FIGHT AGAINST FRAUD**

COMMISSION

TITLE 24 — FIGHT AGAINST FRAUD

**TITLE 24****FIGHT AGAINST FRAUD****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
24 01	ADMINISTRATIVE EXPENDITURE OF THE 'FIGHT AGAINST FRAUD' POLICY AREA	59 055 000	59 055 000	57 746 000	57 746 000	55 695 715,65	55 695 715,65
24 02	PROMOTING ACTIVITIES IN THE FIELD OF THE PROTECTION OF THE EUROPEAN UNION'S FINANCIAL INTERESTS (HERCULE III)	14 542 300	20 100 000	14 067 100	12 385 254	13 677 700,—	11 935 042,35
24 04	ANTI-FRAUD INFORMATION SYSTEM (AFIS)	6 629 000	6 500 000	6 921 700	5 226 647	6 399 117,44	6 606 762,52
<b>Title 24 — Total</b>		<b>80 226 300</b>	<b>85 655 000</b>	<b>78 734 800</b>	<b>75 357 901</b>	<b>75 772 533,09</b>	<b>74 237 520,52</b>

## TITLE 24

## FIGHT AGAINST FRAUD

## CHAPTER 24 01 — ADMINISTRATIVE EXPENDITURE OF THE 'FIGHT AGAINST FRAUD' POLICY AREA

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
24 01	ADMINISTRATIVE EXPENDITURE OF THE 'FIGHT AGAINST FRAUD' POLICY AREA					
24 01 07	<i>European Anti-Fraud Office (OLAF)</i>	5.2	59 055 000	57 746 000	55 695 715,65	94,31
	<b>Chapter 24 01 — Total</b>		<b>59 055 000</b>	<b>57 746 000</b>	<b>55 695 715,65</b>	<b>94,31</b>

**24 01 07      *European Anti-Fraud Office (OLAF)****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
59 055 000	57 746 000	55 695 715,65

*Remarks*

This appropriation is intended to cover expenditure relating to the European Anti-Fraud Office (OLAF), including for OLAF staff posted in Union delegations, the objective of which is to combat fraud within an interinstitutional framework.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 20 000.

In accordance with Article 18 of Regulation (EU) No 883/2013, the appropriations for, and staff of, the Supervisory Committee and its secretariat are entered in the budget and establishment plan of OLAF. Nevertheless, for the sake of transparency, the resources made available to the Supervisory Committee in OLAF's budget can be identified. Based on a secretariat of seven permanent posts and an allocation for one member of contract staff, the appropriations for the operation of the Supervisory Committee in 2015 would come to around EUR 1 200 000. This amount covers expenditure on the Supervisory Committee's staff costs, training, missions, internal meetings, buildings and allowances.

*Legal basis*

Commission Decision 1999/352/EC, ECSC, Euratom of 28 April 1999 establishing the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 20), and in particular Article 4 and Article 6(3) thereof.

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

COMMISSION

TITLE 24 — FIGHT AGAINST FRAUD

**CHAPTER 24 02 — PROMOTING ACTIVITIES IN THE FIELD OF THE PROTECTION OF THE EUROPEAN UNION'S FINANCIAL INTERESTS (HERCULE III)**

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
24 02	PROMOTING ACTIVITIES IN THE FIELD OF THE PROTECTION OF THE EUROPEAN UNION'S FINANCIAL INTERESTS (HERCULE III)								
24 02 01	<i>Preventing and combating fraud, corruption and any other illegal activities affecting the Union's financial interests</i>	1.1	14 542 300	19 307 530	14 067 100	5 677 724	13 677 700,—	1 646 459,91	8,53
24 02 51	<i>Completion of actions in the field of fight against fraud</i>	1.1	p.m.	792 470	p.m.	6 707 530	0,—	10 288 582,44	1 298,29
<b>Chapter 24 02 — Total</b>			<b>14 542 300</b>	<b>20 100 000</b>	<b>14 067 100</b>	<b>12 385 254</b>	<b>13 677 700,—</b>	<b>11 935 042,35</b>	<b>59,38</b>

**24 02 01      Preventing and combating fraud, corruption and any other illegal activities affecting the Union's financial interests***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 542 300	19 307 530	14 067 100	5 677 724	13 677 700,—	1 646 459,91

*Remarks*

This appropriation is intended to cover the actions listed in Article 8 of Regulation (EU) No 250/2014.

Any revenue from the contributions from participating countries as listed in Article 7(2) of Regulation (EU) No 250/2014 for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 250/2014 of the European Parliament and of the Council of 26 February 2014 establishing a programme to promote activities in the field of the protection of the financial interests of the European Union (Hercule III programme) and repealing Decision No 804/2004/EC (OJ L 84, 20.3.2014, p. 6), and in particular Article 4 thereof.

**24 02 51      Completion of actions in the field of fight against fraud***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	792 470	p.m.	6 707 530	0,—	10 288 582,44

COMMISSION  
TITLE 24 — FIGHT AGAINST FRAUD**CHAPTER 24 02 — PROMOTING ACTIVITIES IN THE FIELD OF THE PROTECTION OF THE EUROPEAN UNION'S FINANCIAL INTERESTS (HERCULE III)** (*cont'd*)**24 02 51** (*cont'd*)*Remarks*

This appropriation is intended to cover the completion costs for actions or activities organised in the framework of the Hercule II programme in the field of the protection of the Union's financial interests, including in the field of the prevention of and the fight against cigarette smuggling and counterfeiting.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p. 2).

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 1).

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 8).

Decision No 804/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote activities in the field of the protection of the Community's financial interests (Hercule programme) (OJ L 143, 30.4.2004, p. 9).

COMMISSION

TITLE 24 — FIGHT AGAINST FRAUD

## CHAPTER 24 04 — ANTI-FRAUD INFORMATION SYSTEM (AFIS)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
24 04	ANTI-FRAUD INFORMATION SYSTEM (AFIS)								
24 04 01	<i>Supporting mutual assistance in customs matters and facilitating secure electronic communication tools for Member States to report irregularities</i>	1.1	6 629 000	6 500 000	6 921 700	4 965 315	6 399 117,44	3 084 730,36	47,46
24 04 51	<i>Completion of the previous Anti-fraud information system (AFIS)</i>	1.1	p.m.	p.m.	p.m.	261 332	0,—	3 522 032,16	
Chapter 24 04 — Total			6 629 000	6 500 000	6 921 700	5 226 647	6 399 117,44	6 606 762,52	101,64

**24 04 01** *Supporting mutual assistance in customs matters and facilitating secure electronic communication tools for Member States to report irregularities*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 629 000	6 500 000	6 921 700	4 965 315	6 399 117,44	3 084 730,36

Legal basis

Council Regulation (EC) No 515/97 of 13 March 1997 on mutual assistance between the administrative authorities of the Member States and cooperation between the latter and the Commission to ensure the correct application of the law on customs and agricultural matters (OJ L 82, 22.3.1997, p. 1), and in particular Article 23 thereof.

**24 04 51** *Completion of the previous Anti-fraud information system (AFIS)*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	261 332	0,—	3 522 032,16

Remarks

This appropriation is intended to cover the completion costs of the actions supported by the Anti-fraud information system (AFIS).

**CHAPTER 24 04 — ANTI-FRAUD INFORMATION SYSTEM (AFIS) (cont'd)****24 04 51** (cont'd)*Legal basis*

Council Regulation (EC) No 515/97 of 13 March 1997 on mutual assistance between the administrative authorities of the Member States and cooperation between the latter and the Commission to ensure the correct application of the law on customs and agricultural matters (OJ L 82, 22.3.1997, p. 1), and in particular Article 23 thereof.

COMMISSION

*TITLE 25*

**COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE**



COMMISSION

TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

## TITLE 25

## COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

## General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
25 01	ADMINISTRATIVE EXPENDITURE OF THE 'COM- MISSION'S POLICY COORD- INATION AND LEGAL ADVICE' POLICY AREA	206 099 587	205 749 587	191 983 721	191 983 721	198 336 843,34	198 525 361,10
25 02	RELATIONS WITH CIVIL SOCIETY, OPENNESS AND INFORMATION	—	—	—	—	0,—	763 822,88
	<b>Title 25 — Total</b>	<b>206 099 587</b>	<b>205 749 587</b>	<b>191 983 721</b>	<b>191 983 721</b>	<b>198 336 843,34</b>	<b>199 289 183,98</b>

COMMISSION

TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

## TITLE 25

## COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

## CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

[illegible]

## COMMISSION

## TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Com- mitments	Payments	Com- mitments	Payments	Commitments	Payments	
<b>25 01 03</b>	<b>Expenditure related to information and communication technology equipment and services of the 'Commission's policy coordination and legal advice' policy area</b>	5.2	9 861 773	9 861 773	8 949 557	8 949 557	10 514 653,74	10 514 653,74	106,62
<b>25 01 07</b>	<b>Quality of legislation — Codification of Union law</b>	5.2	300 000	300 000	500 000	500 000	100 000,—	100 000,—	33,33
<b>25 01 08</b>	<b>Legal advice, litigation and infringements — Legal expenses</b>	5.2	3 700 000	3 700 000	3 700 000	3 700 000	3 721 266,28	3 721 266,28	100,57
<b>25 01 10</b>	<b>Union contribution for operation of the historical archives of the Union</b>	5.2	1 405 000	1 405 000	1 556 000	1 556 000	2 304 000,—	2 304 000,—	163,99
<b>25 01 11</b>	<b>Registries and publications</b>	5.2	1 995 000	1 995 000	2 135 000	2 135 000	1 795 080,20	1 795 080,20	89,98
<b>25 01 77</b>	<b>Pilot projects and preparatory actions</b>								
25 01 77 02	Preparatory action — Interinstitutional system identifying long-term trends	5.2	—	—	p.m.	p.m.	0,—	188 517,76	
25 01 77 03	Pilot project — Funding and supporting European Citizens' Initiative (ECI) campaigns	5.2	700 000	350 000					
	Article 25 01 77 — Subtotal		700 000	350 000	p.m.	p.m.	0,—	188 517,76	53,86
	<b>Chapter 25 01 — Total</b>		<b>206 099 587</b>	<b>205 749 587</b>	<b>191 983 721</b>	<b>191 983 721</b>	<b>198 336 843,34</b>	<b>198 525 361,10</b>	<b>96,49</b>

**25 01 01 Expenditure related to officials and temporary staff in the 'Commission's policy coordination and legal advice' policy area**

25 01 01 01 Expenditure related to officials and temporary staff

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
154 522 190	140 968 887	145 632 060,03

25 01 01 03 Salaries, allowances and payments of Members of the institution

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
9 939 000	9 980 000	10 317 463,08

*Remarks*

This appropriation is intended to cover:

- the basic salaries of Members of the Commission,
- the residence allowances of Members of the Commission,

## COMMISSION

## TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (*cont'd*)**25 01 01** (*cont'd*)25 01 01 03 (*cont'd*)

- the family allowances of Members of the Commission, comprising:
  - household allowance,
  - dependent child allowance,
  - education allowance,
  - the representation allowances of Members of the Commission,
  - the employer's contribution towards insurance against occupational diseases and accidents for Members of the Commission,
  - the employer's contribution towards sickness insurance for former Members of the Commission,
  - birth grants,
  - in the event of the death of a Member of the Commission:
    - the deceased's full remuneration until the end of the third month following that in which the death occurred,
    - the costs of transporting the body to the deceased's place of origin,
    - the cost of weightings applied to the emoluments,
    - the cost of the weighting applied to the part of emoluments transferred to a country other than the country of employment,
    - the cost of any adjustments of remuneration during the financial year.

This appropriation is also intended to take into account any appropriation which may be required to cover:

- travel expenses due to Members of the Commission (including their families) on taking up duty or leaving the institution,
- installation and resettlement allowances due to Members of the Commission on taking up duty or leaving the institution,
- removal expenses due to Members of the Commission on taking up duty or leaving the institution.

**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (*cont'd*)**25 01 01** (*cont'd*)25 01 01 03 (*cont'd*)*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 2, 3, 4, 4a, 4b, 5, 11 and 14 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**25 01 02** ***External personnel and other management expenditure in support of the 'Commission's policy coordination and legal advice' policy area***

25 01 02 01 External personnel

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
6 365 994	6 368 382	5 768 507,86

25 01 02 03 Special advisers

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
869 000	1 165 000	1 090 000,—

*Remarks*

This appropriation is intended to cover the remuneration of special advisers, their mission expenses and the institution's accident insurance contributions.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

## COMMISSION

## TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA (*cont'd*)25 01 02 (*cont'd*)

## 25 01 02 11 Other management expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
12 491 630	12 710 895	13 340 943,92

## 25 01 02 13 Other management expenditure of Members of the institution

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 950 000	3 950 000	3 752 868,23

*Remarks*

This appropriation is intended to cover:

- travel expenses incurred, daily subsistence allowances and additional or exceptional expenditure incurred on mission,
- expenditure on the Commission's obligations in respect of entertainment and representation (this expenditure may be incurred individually by the Members of the Commission in the fulfilment of their duties and as part of the institution's activities).

Refunds of mission expenses paid on behalf of other Union institutions or bodies and for third parties will be available as assigned revenue.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 20 000.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 6 thereof.

Communication of the President of the Commission concerning the Code of conduct for Commissioners (SEC(2004) 1487).

Commission Decision C(2007) 3494 of 18 July 2007 concerning the Regulation on representation and entertainment expenses made by the Commission, the President or the Commissioners.

**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (*cont'd*)**25 01 03** *Expenditure related to information and communication technology equipment and services of the 'Commission's policy coordination and legal advice' policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
9 861 773	8 949 557	10 514 653,74

**25 01 07** *Quality of legislation — Codification of Union law*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
300 000	500 000	100 000,—

*Remarks*

This appropriation is intended to cover expenditure relating to the codification and recasting of Union instruments.

**25 01 08** *Legal advice, litigation and infringements — Legal expenses*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
3 700 000	3 700 000	3 721 266,28

*Remarks*

This appropriation is intended to cover pre-litigation and mediation costs, and the services of lawyers or other experts called in to advise the Commission.

It also covers costs awarded against the Commission by the Court of Justice of the European Union, or other courts.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 250 000.

**25 01 10** *Union contribution for operation of the historical archives of the Union*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
1 405 000	1 556 000	2 304 000,—

## COMMISSION

## TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (*cont'd*)**25 01 10** (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure of the European University Institute relating to the management (staff and operating costs) of the historical archives of the Union.

*Legal basis*

Council Regulation (EEC, Euratom) No 354/83 of 1 February 1983 concerning the opening to the public of the historical archives of the European Economic Community and the European Atomic Energy Community (OJ L 43, 15.2.1983, p. 1).

Commission Decision No 359/83/ECSC of 8 February 1983 concerning the opening to the public of the historical archives of the European Coal and Steel Community (OJ L 43, 15.2.1983, p. 14).

*Reference acts*

Contract between the Commission and the European University Institute, Florence, signed on 17 December 1984.

**25 01 11** **Registries and publications***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 995 000	2 135 000	1 795 080,20

*Remarks*

This appropriation is intended to cover expenditure relating to the Commission's registries and documentary databases on procedures and institutional, reference and other official documents, in particular expenditure relating to:

- collecting, analysing and preparing of documents, including authors' contracts, and freelance work,
- developing, maintaining and operating information systems in support of such an activity,
- collecting, including the purchase of data, documentation and rights to use,
- editing, including data entry and data management, reproduction and translation,
- disseminating through any medium, including printing, posting on internet distribution, and storage,
- promoting those texts and documents.



**CHAPTER 25 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE' POLICY AREA** (*cont'd*)**25 01 77 Pilot projects and preparatory actions**

25 01 77 02 Preparatory action — Interinstitutional system identifying long-term trends

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	188 517,76

*Remarks*

This item is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

25 01 77 03 Pilot project — Funding and supporting European Citizens' Initiative (ECI) campaigns

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
700 000	350 000				

*Remarks*

Three years after the entry into force of Regulation (EU) No 211/2011 of the European Parliament and of the Council of 16 February 2011 on the citizens' initiative (OJ L 65, 11.3.2011, p. 1), one of the major shortcomings identified was the lack of Union funds to develop communication campaigns so as to increase citizen participation in ECIs. This pilot project will fund communication campaigns to promote ECIs, with limited seed funding (up to EUR 2 000) to cover organisational expenses, for each ECI officially registered by the Commission, for the duration of the signature gathering campaign (currently 1 year).

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## COMMISSION

## TITLE 25 — COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE

## CHAPTER 25 02 — RELATIONS WITH CIVIL SOCIETY, OPENNESS AND INFORMATION

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
25 02	RELATIONS WITH CIVIL SOCIETY, OPENNESS AND INFORMATION								
<b>25 02 01</b>	<b>Completion of actions in the field of historical archives of the Union</b>	5.2	—	—	—	—	0,—	93 320,45	
<b>25 02 04</b>	<b>Information and publications</b>								
25 02 04 01	Completion of actions in the field of documentary databases	5.2	—	—	—	—	0,—	429 287,71	
25 02 04 02	Completion of actions in the field of digital publications	5.2	—	—	—	—	0,—	241 214,72	
	<i>Article 25 02 04 — Subtotal</i>		—	—	—	—	0,—	670 502,43	
	<b>Chapter 25 02 — Total</b>		—	—	—	—	<b>0,—</b>	<b>763 822,88</b>	

**25 02 01      Completion of actions in the field of historical archives of the Union**

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	93 320,45

*Remarks*

This article is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Regulation (EEC, Euratom) No 354/83 of 1 February 1983 concerning the opening to the public of the historical archives of the European Economic Community and the European Atomic Energy Community (OJ L 43, 15.2.1983, p. 1).

Commission Decision No 359/83/ECSC of 8 February 1983 concerning the opening to the public of the historical archives of the European Coal and Steel Community (OJ L 43, 15.2.1983, p. 14).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Contract between the Commission and the European University Institute, Florence, signed on 17 December 1984.

CHAPTER 25 02 — RELATIONS WITH CIVIL SOCIETY, OPENNESS AND INFORMATION (*cont'd*)**25 02 04 Information and publications**

## 25 02 04 01 Completion of actions in the field of documentary databases

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	429 287,71

*Remarks*

This item is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 25 02 04 02 Completion of actions in the field of digital publications

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	241 214,72

*Remarks*

This item is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 26*

**COMMISSION'S ADMINISTRATION**

COMMISSION  
TITLE 26 — COMMISSION'S ADMINISTRATION

**TITLE 26**  
**COMMISSION'S ADMINISTRATION**

**General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
26 01	ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINIS- TRATION' POLICY AREA	977 516 325	977 516 325	960 248 573	960 248 573	1 035 673 029,47	1 035 673 029,47
	<i>Reserves (40 01 40)</i>	3 426 739	3 426 739				
		980 943 064	980 943 064	960 248 573	960 248 573	1 035 673 029,47	1 035 673 029,47
26 02	MULTIMEDIA PRODUCTION	9 600 000	9 100 000	10 000 000	8 615 910	9 710 158,19	9 277 554,69
26 03	SERVICES TO PUBLIC ADMINIS- TRATIONS, BUSINESSES AND CITIZENS	26 198 000	26 153 380	26 800 000	22 926 611	25 098 681,02	24 697 824,28
	<b>Title 26 — Total</b>	<b>1 013 314 325</b>	<b>1 012 769 705</b>	<b>997 048 573</b>	<b>991 791 094</b>	<b>1 070 481 868,68</b>	<b>1 069 648 408,44</b>
	<b>Reserves (40 01 40)</b>	<b>3 426 739</b>	<b>3 426 739</b>				
		<b>1 016 741 064</b>	<b>1 016 196 444</b>	<b>997 048 573</b>	<b>991 791 094</b>	<b>1 070 481 868,68</b>	<b>1 069 648 408,44</b>

COMMISSION

TITLE 26 — COMMISSION'S ADMINISTRATION

## TITLE 26

## COMMISSION'S ADMINISTRATION

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
26 01	ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA					
26 01 01	<i>Expenditure related to officials and temporary staff in the 'Commission's administration' policy area</i>	5.2	113 028 119	110 547 656	106 933 313,27	94,61
26 01 02	<i>External personnel and other management expenditure in support of the 'Commission's administration' policy area</i>					
26 01 02 01	External personnel	5.2	6 393 407	5 761 143	7 245 856,17	113,33
26 01 02 11	Other management expenditure	5.2	20 341 282	18 024 576	23 957 904,01	117,78
	Article 26 01 02 — Subtotal		26 734 689	23 785 719	31 203 760,18	116,72
26 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Commission's administration' policy area</i>	5.2	7 213 576	7 018 233	8 390 973,70	116,32
26 01 04	<i>Support expenditure for operations and programmes in the 'Commission's administration' policy area</i>					
26 01 04 01	Support expenditure for interoperability solutions for European public administrations, businesses and citizens (ISA <sup>2</sup> )	1.1	400 000	400 000	616 891,88	154,22
	Article 26 01 04 — Subtotal		400 000	400 000	616 891,88	154,22
26 01 09	<i>Publications Office</i>	5.2	79 251 200	79 839 000	90 902 207,34	114,70
26 01 10	<i>Consolidation of Union law</i>	5.2	1 400 000	1 200 000	1 629 992,51	116,43
26 01 11	<i>Official Journal of the European Union (L and C)</i>	5.2	6 719 000	6 688 000	17 198 586,83	255,97
26 01 12	<i>Summaries of Union legislation</i>	5.2	334 000	334 000	1 321 195,80	395,57

COMMISSION  
TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>26 01 20</b>	<b>European Personnel Selection Office</b>	5.2	26 430 000	26 648 000	26 310 215,29	99,55
<b>26 01 21</b>	<b>Office for the Administration and Payment of Individual Entitlements</b>	5.2	37 520 000	37 025 000	43 351 867,05	115,54
<b>26 01 22</b>	<b>Infrastructure and logistics (Brussels)</b>					
26 01 22 01	Office for Infrastructure and Logistics in Brussels	5.2	68 440 000	68 528 000	75 531 209,13	110,36
26 01 22 02	Acquisition and renting of buildings in Brussels	5.2	214 138 000	213 368 000	178 258 795,52	83,24
26 01 22 03	Expenditure related to buildings in Brussels	5.2	75 825 000	70 893 000	88 812 918,96	117,13
26 01 22 04	Expenditure for equipment and furniture in Brussels	5.2	7 423 000	7 170 000	10 076 339,63	135,74
26 01 22 05	Services, supplies and other operating expenditure in Brussels	5.2	7 875 000	8 003 000	11 355 912,58	144,20
26 01 22 06	Guarding of buildings in Brussels	5.2	33 000 000	31 000 000	34 430 989,27	104,34
	<i>Article 26 01 22 — Subtotal</i>		406 701 000	398 962 000	398 466 165,09	97,98
<b>26 01 23</b>	<b>Infrastructure and logistics (Luxembourg)</b>					
26 01 23 01	Office for Infrastructure and Logistics in Luxembourg	5.2	23 658 000	24 083 000	24 433 812,18	103,28
26 01 23 02	Acquisition and renting of buildings in Luxembourg	5.2	35 138 000	34 234 000	39 124 986,27	111,35
26 01 23 03	Expenditure related to buildings in Luxembourg	5.2	11 489 000	16 934 000	15 978 416,76	139,08
26 01 23 04	Expenditure for equipment and furniture in Luxembourg	5.2	1 047 000	1 047 000	1 212 123,78	115,77
26 01 23 05	Services, supplies and other operating expenditure in Luxembourg	5.2	975 000	975 000	927 223,77	95,10
26 01 23 06	Guarding of buildings in Luxembourg	5.2	3 740 000	6 071 000	6 431 337,95	171,96
	<i>Article 26 01 23 — Subtotal</i>		76 047 000	83 344 000	88 107 900,71	115,86
<b>26 01 40</b>	<b>Security and monitoring</b>	5.2	10 574 000	7 924 000	8 752 971,65	82,78

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

## CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>26 01 60</b>	<b><i>Personnel policy and management</i></b>					
26 01 60 01	Medical service	5.2	4 800 000	4 840 000	6 602 382,44	137,55
26 01 60 02	Competitions, selection and recruitment expenditure	5.2	1 770 000	1 520 000	1 486 616,11	83,99
26 01 60 04	Interinstitutional cooperation in the social sphere	5.2	6 958 000	7 098 000	19 118 305,97	274,77
26 01 60 06	Institution officials temporarily assigned to national civil services, to international organisations or to public or private institutions or undertakings	5.2	250 000	250 000	264 000,—	105,60
26 01 60 07	Damages	5.2	150 000	150 000	11 701 000,—	7 800,67
26 01 60 08	Miscellaneous insurances	5.2	60 000	59 000	58 000,—	96,67
26 01 60 09	Language courses	5.2	3 013 000	3 168 000	3 731 546,36	123,85
	<i>Article 26 01 60 — Subtotal</i>		17 001 000	17 085 000	42 961 850,88	252,70
<b>26 01 70</b>	<b><i>European Schools</i></b>					
26 01 70 01	Office of the Secretary-General of the European Schools (Brussels)	5.1	9 754 550	8 991 917	10 666 962,—	109,35
26 01 70 02	Brussels I (Uccle)	5.1	26 317 449	21 696 942	23 926 984,75	90,92
26 01 70 03	Brussels II (Woluwe)	5.1	23 615 685	22 292 410	23 177 924,—	98,15
26 01 70 04	Brussels III (Ixelles)	5.1	23 161 915	21 981 951	21 082 363,—	91,02
26 01 70 05	Brussels IV (Laeken)	5.1	14 447 033	14 650 490	11 939 154,—	82,64
26 01 70 11	Luxembourg I	5.1	17 349 763	18 212 009	18 556 736,—	106,96
26 01 70 12	Luxembourg II	5.1	13 487 869	13 814 799	14 493 281,—	107,45
26 01 70 21	Mol (BE)	5.1	5 932 444	5 248 393	6 380 477,—	107,55
26 01 70 22	Frankfurt am Main (DE)	5.1	5 272 904	5 727 840	7 454 653,—	141,38
	<i>Reserves (40 01 40)</i>		3 426 739			
			8 699 643	5 727 840	7 454 653,—	
26 01 70 23	Karlsruhe (DE)	5.1	3 384 783	3 061 919	3 352 938,—	99,06
26 01 70 24	Munich (DE)	5.1	430 765	472 050	437 895,54	101,66
26 01 70 25	Alicante (ES)	5.1	3 834 021	4 043 390	6 541 581,—	170,62
26 01 70 26	Varese (IT)	5.1	10 503 399	10 021 616	9 396 475,—	89,46



COMMISSION  
TITLE 26 — COMMISSION'S ADMINISTRATION

**CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA** (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>26 01 70</b>	( <i>cont'd</i> )					
26 01 70 27	Bergen (NL)	5.1	4 729 748	4 455 940	4 295 411,—	90,82
26 01 70 28	Culham (UK)	5.1	5 193 778	4 210 571	5 502 522,—	105,94
26 01 70 31	Union contribution to the Type 2 European Schools	5.1	746 635	565 728	2 319 780,—	310,70
	<i>Article 26 01 70 — Subtotal</i>		168 162 741	159 447 965	169 525 137,29	100,81
	<i>Reserves (40 01 40)</i>		3 426 739			
			171 589 480	159 447 965	169 525 137,29	
	<b>Chapter 26 01 — Total</b>		<b>977 516 325</b>	<b>960 248 573</b>	<b>1 035 673 029,47</b>	<b>105,95</b>
	<i>Reserves (40 01 40)</i>		3 426 739			
			<b>980 943 064</b>	<b>960 248 573</b>	<b>1 035 673 029,47</b>	

**26 01 01** *Expenditure related to officials and temporary staff in the 'Commission's administration' policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
113 028 119	110 547 656	106 933 313,27

**26 01 02** *External personnel and other management expenditure in support of the 'Commission's administration' policy area*

26 01 02 01 External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
6 393 407	5 761 143	7 245 856,17

26 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
20 341 282	18 024 576	23 957 904,01

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)**26 01 03** *Expenditure related to information and communication technology equipment and services of the 'Commission's administration' policy area**Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
7 213 576	7 018 233	8 390 973,70

**26 01 04** *Support expenditure for operations and programmes in the 'Commission's administration' policy area***26 01 04 01** Support expenditure for interoperability solutions for European public administrations, businesses and citizens (ISA<sup>2</sup>)*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
400 000	400 000	616 891,88

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, as well as any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as the contracts of technical assistance offices expire during subsequent years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

See Chapter 26 03.

**26 01 09** *Publications Office**Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
79 251 200	79 839 000	90 902 207,34

COMMISSION  
TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)

26 01 09 (*cont'd*)

*Remarks*

The amount entered corresponds to the appropriations for the Publications Office set out in detail in the specific annex to this section.

On the basis of the Office's cost-accounting forecasts, the cost of the services it will perform for each institution is estimated as follows:

European Parliament	17 934 546	22,63 %
Council	5 143 403	6,49 %
Commission	44 238 020	55,82 %
Court of Justice	2 417 162	3,05 %
Court of Auditors	2 282 435	2,88 %
European Economic and Social Committee	586 459	0,74 %
Committee of the Regions	348 705	0,44 %
Agencies	4 509 393	5,69 %
Other	1 791 077	2,26 %
<b>Total</b>	<b>79 251 200</b>	<b>100,00 %</b>

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 8 915 000.

*Legal basis*

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Articles 195 to 200 thereof.

26 01 10 ***Consolidation of Union law***

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 400 000	1 200 000	1 629 992,51

*Remarks*

This appropriation is intended to cover expenditure on the consolidation of Union instruments and on making available to the public, in all forms and on all publishing media, consolidated Union instruments in all the official languages of the Union.

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)**26 01 10** (*cont'd*)

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100.

*Legal basis*

Conclusions of the Edinburgh European Council, December 1992 (SN/456/92, Annex 3 to Part A, p. 5).

Declaration on the quality of the drafting of Community legislation, annexed to the Final Act of the Treaty of Amsterdam.

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Communications from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions concerning the Smart Regulation initiative, of which consolidation is a part:

- Smart Regulation in the European Union (COM(2010) 543 final),
- EU Regulatory Fitness (COM(2012) 746 final),
- Regulatory Fitness and Performance (REFIT): Results and Next Steps (COM(2013) 685 final).

Conclusions of the European Council Summit of 14-15 March 2013, where Heads of States and Governments underlined that consolidation of EU law is one of the priorities for the Union (EUCO 23/13).

**26 01 11** ***Official Journal of the European Union (L and C)***

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
6 719 000	6 688 000	17 198 586,83

*Remarks*

This appropriation is intended to cover expenditure on the publication, in all forms — including distribution, cataloguing, indexation and archiving — of the *Official Journal of the European Union* L and C series.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 8 097 000.

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Article 297 thereof.

**CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA** (*cont'd*)**26 01 11** (*cont'd*)

Council Regulation No 1 of 15 April 1958 determining the languages to be used by the European Economic Community (OJ 17, 6.10.1958, p. 385/58).

Council Decision of 15 September 1958 creating the *Official Journal of the European Communities* (OJ 17, 6.10.1958, p. 419/58).

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Council Regulation (EU) No 216/2013 of 7 March 2013 on the electronic publication of the *Official Journal of the European Union* (OJ L 69, 13.3.2013, p. 1).

**26 01 12** **Summaries of Union legislation**

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
334 000	334 000	1 321 195,80

**Remarks**

This appropriation is intended to cover the production of online summaries of Union legislation, presenting the main aspects of Union legislation in a concise, easy-to-read way, and the development of related products.

Summaries of Union legislation being an interinstitutional project, both the European Parliament and the Council are expected to contribute from their respective sections of the general budget of the Union.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 666 000.

**Legal basis**

Decision 2009/496/EC, Euratom of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

**Reference acts**

Council Resolution of 20 June 1994 on the electronic dissemination of Community law and national implementing laws and on improved access conditions (OJ C 179, 1.7.1994, p. 3).

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Communication to the Commission of 21 December 2007, Communicating about Europe via the Internet — Engaging the citizens (SEC(2007) 1742).

Declaration of the European Parliament, the Council and the Commission of 22 October 2008 on 'Communicating Europe in Partnership' (OJ C 13, 20.1.2009, p. 3).

26 01 20 **European Personnel Selection Office**

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
26 430 000	26 648 000	26 310 215,29

*Remarks*

The amount entered corresponds to the appropriations for the European Personnel Selection Office set out in detail in the specific annex to this section.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 406 000.

*Legal basis*

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

26 01 21 **Office for the Administration and Payment of Individual Entitlements**

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
37 520 000	37 025 000	43 351 867,05

*Remarks*

The amount entered corresponds to the appropriations for the Office for the Administration and Payment of Individual Entitlements set out in detail in the specific annex to this section.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 8 119 000.

CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 21 (*cont'd*)*Legal basis*

Commission Decision 2003/522/EC of 6 November 2002 establishing an Office for the administration and payment of individual entitlements (OJ L 183, 22.7.2003, p. 30).

26 01 22 **Infrastructure and logistics (Brussels)**

## 26 01 22 01 Office for Infrastructure and Logistics in Brussels

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
68 440 000	68 528 000	75 531 209,13

*Remarks*

The amount entered corresponds to the appropriations for the Office for Infrastructure and Logistics in Brussels set out in detail in the specific annex to this section.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 8 485 000.

*Legal basis*

Commission Decision 2003/523/EC of 6 November 2002 establishing the Office for infrastructure and logistics in Brussels (OJ L 183, 22.7.2003, p. 35).

## 26 01 22 02 Acquisition and renting of buildings in Brussels

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
214 138 000	213 368 000	178 258 795,52

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- rent and ground rent relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings.

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CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 22 (*cont'd*)26 01 22 02 (*cont'd*)

Appropriations to cover the equivalent expenditure in respect of direct research are entered under various items in Article 10 01 05.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 453 610.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 13 326 000.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 26 01 22 03 Expenditure related to buildings in Brussels

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
75 825 000	70 893 000	88 812 918,96

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),



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- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses relating to conducting the audit of accessibility of buildings to persons with disabilities and/or reduced mobility and the introduction of necessary adaptations pursuant to such an audit so as to make buildings fully accessible to all visitors,
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major fitting-out operations for premises.

Appropriations to cover the equivalent expenditure in respect of direct research are entered under various items in Article 10 01 05.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 160 620.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 643 800.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

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CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA (*cont'd*)26 01 22 (*cont'd*)26 01 22 03 (*cont'd*)

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Decision of the European Ombudsman of 4 July 2007 on own-initiative inquiry OI/3/2003/JMA concerning the European Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 26 01 22 04 Expenditure for equipment and furniture in Brussels

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
7 423 000	7 170 000	10 076 339,63

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),
  - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpretation facilities, etc.),
  - kitchen fittings and restaurant equipment,
  - various tools for building-maintenance shops,
  - facilities required for officials with disabilities,
- studies, documentation and training relating to such equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

**CHAPTER 26 01 — ADMINISTRATIVE EXPENDITURE OF THE 'COMMISSION'S ADMINISTRATION' POLICY AREA** (*cont'd*)**26 01 22** (*cont'd*)**26 01 22 04** (*cont'd*)

- the purchase, hire, maintenance and repair of vehicles, and in particular:
  - new purchases of vehicles, including at least one vehicle adapted for transporting persons with reduced mobility,
  - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
  - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet, or when the vehicle fleet does not cater for needs of passengers with reduced mobility,
  - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),
  - various types of insurance (in particular third-party liability and insurance against theft) and the insurance costs referred to in Article 84 of the Financial Regulation,
- the purchase, hire, maintenance and repair of furniture, and in particular:
  - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
  - the replacement of worn-out and broken furniture,
  - supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
  - the hire of furniture,
  - furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on working equipment, and in particular:
  - purchase of uniforms for floor messengers and drivers,
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,

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- purchase of tickets (one-way ticket and business pass), free access to public transport routes to facilitate mobility between Commission buildings or between Commission buildings and public buildings (e.g. airport), service bicycles and any other means encouraging the use of public transporting and Commission staff mobility, with the exception of service vehicles,
- expenditure on inputs for protocol restaurant services.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 599 800.

The creation of a specific appropriation for reimbursing public transport season tickets is a modest but crucial measure to confirm the commitment of the institutions of the Union to reducing their CO<sub>2</sub> emissions in line with their Eco-Management and Audit Scheme (EMAS) policy and the agreed climate change objectives.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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26 01 22 05 Services, supplies and other operating expenditure in Brussels

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
7 875 000	8 003 000	11 355 912,58

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- expenditure relating to the provision of protocol restaurant services,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 401 900.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## 26 01 22 06 Guarding of buildings in Brussels

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
33 000 000	31 000 000	34 430 989,27

*Remarks*

This appropriation is intended to cover expenditure for guarding, surveillance, access control and other related services for buildings occupied by the Commission (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract).

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 500 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 01 23 **Infrastructure and logistics (Luxembourg)**

## 26 01 23 01 Office for Infrastructure and Logistics in Luxembourg

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
23 658 000	24 083 000	24 433 812,18

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26 01 23 *(cont'd)*

26 01 23 01 *(cont'd)*

*Remarks*

The amount entered corresponds to the appropriations for the Office for Infrastructure and Logistics in Luxembourg set out in detail in the specific annex to this section.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 066 000.

*Legal basis*

Commission Decision 2003/524/EC of 6 November 2002 establishing the Office for infrastructure and logistics in Luxembourg (OJ L 183, 22.7.2003, p. 40).

26 01 23 02 Acquisition and renting of buildings in Luxembourg

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
35 138 000	34 234 000	39 124 986,27

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- rent and ground rent relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- the costs of purchase or lease-purchase of buildings,
- the construction of buildings.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 74 433.

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The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 384 000.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 26 01 23 03 Expenditure related to buildings in Luxembourg

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
11 489 000	16 934 000	15 978 416,76

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- the payment of insurance premiums on the buildings or parts of buildings occupied by the institution,
- water, gas, electricity and heating charges,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),



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- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets, training courses and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses relating to conducting the audit of accessibility of buildings to persons with disabilities and/or reduced mobility and the introduction of necessary adaptations pursuant to such an audit so as to make buildings fully accessible to all visitors,
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major fitting-out operations for premises.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

Revenue from contributions from the EFTA States to the Union's general costs under Articles 76 and 82 of the Agreement on the European Economic Area gives rise to the provision of additional appropriations to be entered in the budget lines concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 24 337.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 235 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

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Decision of the European Ombudsman of 4 July 2007 on own-initiative inquiry OI/3/2003/JMA concerning the European Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 26 01 23 04 Expenditure for equipment and furniture in Luxembourg

Figures (*Non-differentiated appropriations*)

Appropriations 2016	Appropriations 2015	Outturn 2014
1 047 000	1 047 000	1 212 123,78

## Remarks

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media, etc.),
  - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpretation facilities, etc.),
  - kitchen fittings and restaurant equipment,
  - various tools for building-maintenance shops,
  - facilities required for officials with disabilities,
  - studies, documentation and training relating to such equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the purchase, hire, maintenance and repair of vehicles, and in particular:
  - new purchases of vehicles, including at least one vehicle adapted for transporting persons with reduced mobility,

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- the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
- the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet, or when the vehicle fleet does not cater for needs of passengers with reduced mobility,
- the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),
- various types of insurance (in particular third-party liability and insurance against theft) and the insurance costs referred to in Article 84 of the Financial Regulation,
- the purchase, hire, maintenance and repair of furniture, and in particular:
  - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
  - the replacement of worn-out and broken furniture,
  - supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
  - the hire of furniture,
  - furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on working equipment, and in particular:
  - purchase of uniforms for floor messengers and drivers,
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

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This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 128 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 26 01 23 05 Services, supplies and other operating expenditure in Luxembourg

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
975 000	975 000	927 223,77

*Remarks*

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,

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26 01 23 *(cont'd)*

26 01 23 05 *(cont'd)*

- expenditure on postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 80 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 01 23 06 Guarding of buildings in Luxembourg

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 740 000	6 071 000	6 431 337,95

*Remarks*

This appropriation is intended to cover the following expenditure incurred within Union territory:

- expenses concerned with the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations, training courses and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

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- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets, training courses and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract).

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 160 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**26 01 40      *Security and monitoring****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
10 574 000	7 924 000	8 752 971,65

*Remarks*

This appropriation is intended to cover expenses concerned with:

- the physical and material security of persons and property, in particular the purchase, hiring or leasing, maintenance, repair, installation and replacement of technical security equipment,

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26 01 40 (*cont'd*)

— the health and safety of individuals at work, in particular statutory inspection costs (inspection of technical installations in buildings, safety coordinator and health and hygiene inspections of foodstuffs), the purchase, hire and maintenance of firefighting equipment and expenditure on training and equipment for leading fire fighters (ECI) and fire pickets (EPI), whose presence in the buildings is required by law,

— the design, production and personalisation of the *laissez-passer* issued by the Union.

Before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 630 600.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Regulation (EU) No 1417/2013 of 17 December 2013 laying down the form of the *laissez-passer* issued by the European Union (OJ L 353, 28.12.2013, p. 26).

26 01 60 **Personnel policy and management**

26 01 60 01 Medical service

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 800 000	4 840 000	6 602 382,44

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This appropriation is intended to cover:

- the cost of annual check-ups and pre-recruitment medical examinations, equipment and pharmaceutical products, working tools and special furniture required on medical grounds and the administrative costs of the Invalidity Committee,
- the cost of medical, paramedical and psycho-social personnel employed under local law contracts or as occasional replacements, and the cost of external services by medical specialists considered necessary by the medical officers,
- the cost of pre-recruitment medical examinations for assistants at the childminding centres,
- the cost of health checks for staff exposed to radiation,
- the purchase or reimbursement of equipment in connection with the application of Directives 89/391/EEC and 90/270/EEC.

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 793 464.

*Legal basis*

Staff Regulations of Officials of the European Union.

Treaty establishing the European Atomic Energy Community, in particular Chapter III thereof.

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

National legislation on basic standards.



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## 26 01 60 02 Competitions, selection and recruitment expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 770 000	1 520 000	1 486 616,11

*Remarks*

This appropriation is intended to cover:

- costs linked to recruitment and selection for management posts,
- expenditure on inviting successful candidates to employment interviews,
- expenditure on inviting officials and other staff in delegations to take part in competitions and selection procedures,
- the cost of organising the competitions and selection procedures provided for in Article 3 of Decision 2002/620/EC.

In cases duly substantiated on grounds of functional requirements and after the European Personnel Selection Office has been consulted, this appropriation can be used for competitions organised by the institution itself.

This appropriation does not cover expenditure on the personnel for whom appropriations are entered under Articles 01 04 and 01 05 of the titles concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 103 405.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

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## 26 01 60 04 Interinstitutional cooperation in the social sphere

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
6 958 000	7 098 000	19 118 305,97

## Remarks

This appropriation is intended to cover:

- expenditure on producing and developing the Commission's intranet site (*My IntraComm*) and the in-house monthly, *Commission en direct*,
- other expenditure on internal information and communication, including promotion campaigns,
- temporary staff working in the after-school childminding centres, holiday centres and open-air centres run by Commission departments,
- document reproduction work which cannot be handled in-house and has to be sent out,
- expenditure on private-law contracts with persons replacing the regular nurses and children's nurses at the crèches,
- some of the costs of the recreation centre, cultural activities, subsidies to staff clubs, and the management of, and extra equipment for, sports centres,
- projects to promote social contact between staff of different nationalities and the integration of staff and their families and preventative projects to meet the needs of staff in service and their families,
- a contribution towards the expenses incurred by members of staff for activities such as home help, legal advice, open-air centres for children, and courses in languages and the arts,
- the cost of reception facilities for new officials and other staff and their families and assistance in accommodation matters for staff,
- expenditure on assistance in kind which may be provided to an official, a former official or survivors of a deceased official who are in particularly difficult circumstances,
- certain expenditure on the early childhood centres and other crèches and childcare facilities; the revenue from the parental contribution will be available for reuse,
- expenditure on recognition events for officials, and in particular the cost of medals for 20 years' service and retirement gifts,

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26 01 60 *(cont'd)*

26 01 60 04 *(cont'd)*

- specific payments to persons in receipt of Union pensions and those entitled under them and to any surviving dependents who are in particularly difficult circumstances,
- financing preventative projects to meet the specific needs of former staff in the various Member States and contributions to associations of former staff.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

In respect of the policy to assist disabled persons in the following categories:

- officials and other staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union,

within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, this appropriation covers any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from their disability.

This appropriation is intended to cover some of the expenditure on schooling children who for unavoidable educational reasons are not or are no longer admitted to European Schools, or cannot attend a European School because of the place of work of either parent (external offices).

This appropriation covers expenditure incurred within the territory of the Union, excluding the Commission's Representations in the Union, for which expenditure is entered in Item 16 01 03 03.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 6 582 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

26 01 60 06 Institution officials temporarily assigned to national civil services, to international organisations or to public or private institutions or undertakings

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
250 000	250 000	264 000,—

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This appropriation is intended to cover supplementary expenses arising from the secondment of Union officials, i.e. to pay the allowances and reimburse the expenditure to which secondment entitles them.

It is also intended to cover costs relating to special training schemes with authorities and bodies in Member States and third countries.

*Legal basis*

Staff Regulations of Officials of the European Union.

26 01 60 07 Damages

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
150 000	150 000	11 701 000,—

*Remarks*

This appropriation is intended to cover:

- damages and the cost of settling claims against the Commission (third-party liability) in connection with the institution's own staff and administrative processes,
- expenditure relating to certain cases where, for reasons of equity, compensation has to be paid.

26 01 60 08 Miscellaneous insurances

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
60 000	59 000	58 000,—

*Remarks*

This appropriation is intended to cover expenditure on civil liability for operations and other contracts managed by the Office for the Administration and Payment of Individual Entitlements on behalf of the Commission, agencies, the Joint Research Committee, delegations of the Union and Commission Representations, and indirect research.

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26 01 60 *(cont'd)*

26 01 60 08 *(cont'd)*

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 01 60 09 Language courses

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 013 000	3 168 000	3 731 546,36

*Remarks*

This appropriation is intended to cover:

- the cost of organising language courses for officials and other staff,
- the cost of organising language courses for the spouses of officials and other staff, with due regard for integration policy,
- the purchase of material and documentation,
- the consultation of experts.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 500 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

26 01 70 **European Schools**

26 01 70 01 Office of the Secretary-General of the European Schools (Brussels)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
9 754 550	8 991 917	10 666 962,—

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This appropriation is intended to contribute to the financing of the Office of the Secretary-General of the European Schools (Brussels).

European Schools must comply with the principles of non-discrimination and equal opportunities.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 02 Brussels I (Uccle)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
26 317 449	21 696 942	23 926 984,75

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels-Uccle (Brussels I).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 03 Brussels II (Woluwe)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
23 615 685	22 292 410	23 177 924,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels-Woluwe (Brussels II).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

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## 26 01 70 04 Brussels III (Ixelles)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
23 161 915	21 981 951	21 082 363,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels-Ixelles (Brussels III).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## 26 01 70 05 Brussels IV (Laeken)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
14 447 033	14 650 490	11 939 154,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Brussels-Laeken (Brussels IV).

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## 26 01 70 11 Luxembourg I

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
17 349 763	18 212 009	18 556 736,—

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This appropriation is intended to contribute to the budget of the European School in Luxembourg I.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 807 973.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## 26 01 70 12 Luxembourg II

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
13 487 869	13 814 799	14 493 281,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Luxembourg II.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 818 384.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## 26 01 70 21 Mol (BE)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
5 932 444	5 248 393	6 380 477,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Mol.



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26 01 70 *(cont'd)*

26 01 70 21 *(cont'd)*

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 22 Frankfurt am Main (DE)

*Figures (Non-differentiated appropriations)*

	Appropriations 2016	Appropriations 2015	Outturn 2014
26 01 70 22	5 272 904	5 727 840	7 454 653,—
Reserves (40 01 40)	3 426 739		
Total	8 699 643	5 727 840	7 454 653,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Frankfurt am Main.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 8 273.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 23 Karlsruhe (DE)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 384 783	3 061 919	3 352 938,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Karlsruhe.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

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## 26 01 70 24 Munich (DE)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
430 765	472 050	437 895,54

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Munich.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## 26 01 70 25 Alicante (ES)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 834 021	4 043 390	6 541 581,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Alicante.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 800 000.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

## 26 01 70 26 Varese (IT)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
10 503 399	10 021 616	9 396 475,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Varese.

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Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 27 Bergen (NL)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 729 748	4 455 940	4 295 411,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Bergen.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 28 Culham (UK)

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
5 193 778	4 210 571	5 502 522,—

*Remarks*

This appropriation is intended to contribute to the budget of the European School in Culham.

*Reference acts*

Convention defining the Statute of the European Schools (OJ L 212, 17.8.1994, p. 3).

26 01 70 31 Union contribution to the Type 2 European Schools

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
746 635	565 728	2 319 780,—

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**26 01 70** *(cont'd)*

26 01 70 31 *(cont'd)*

*Remarks*

This appropriation is intended to cover the Commission contribution to the Type 2 European Schools accredited by the Board of Governors of the European Schools and who have signed the financial agreement with the Commission.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 984 000.

*Reference acts*

Commission Decision C(2013) 4886 of 1 August 2013.

COMMISSION  
TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 02 — MULTIMEDIA PRODUCTION

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
26 02	MULTIMEDIA PRODUCTION								
26 02 01	<i>Procedures for awarding and advertising public supply, works and service contracts</i>	1.1	9 600 000	9 100 000	10 000 000	8 615 910	9 710 158,19	9 277 554,69	101,95
	<b>Chapter 26 02 — Total</b>		<b>9 600 000</b>	<b>9 100 000</b>	<b>10 000 000</b>	<b>8 615 910</b>	<b>9 710 158,19</b>	<b>9 277 554,69</b>	<b>101,95</b>

26 02 01 *Procedures for awarding and advertising public supply, works and service contracts*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 600 000	9 100 000	10 000 000	8 615 910	9 710 158,19	9 277 554,69

Remarks

This appropriation is intended to cover the costs of:

- collecting, processing, publishing and disseminating notices of public contracts put up for tender in the Union and third countries on various media, and of incorporating them in the eProcurement services offered by the institutions to firms and contracting authorities. This includes the cost of translating the notices of public contracts published by the institutions,
- promoting the use of new techniques for collecting and disseminating notices of public contracts by electronic means,
- developing and exploiting eProcurement services for the various contract award stages.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 20 000.

Legal basis

Council Regulation No 1 of 15 April 1958 determining the languages to be used by the European Economic Community (OJ 17, 6.10.1958, p. 385/58).

Council Decision of 15 September 1958 creating the *Official Journal of the European Communities* (OJ 17, 6.10.1958, p. 390/58).

Council Regulation (EEC) No 2137/85 of 25 July 1985 on the European Economic Interest Grouping (EEIG) (OJ L 199, 31.7.1985, p. 1).

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 02 — MULTIMEDIA PRODUCTION (*cont'd*)26 02 01 (*cont'd*)

Council Directive 89/665/EEC of 21 December 1989 on the coordination of the laws, regulations and administrative provisions relating to the application of review procedures to the award of public supply and public works contracts (OJ L 395, 30.12.1989, p. 33).

Council Directive 92/13/EEC of 25 February 1992 coordinating the laws, regulations and administrative provisions relating to the application of Community rules on the procurement procedures of entities operating in the water, energy, transport and telecommunications sectors (OJ L 76, 23.3.1992, p. 14).

Decision 94/1/ECSC, EC of the Council and of the Commission of 13 December 1993 on the conclusion of the Agreement on the European Economic Area between the European Communities, their Member States and the Republic of Austria, the Republic of Finland, the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation (OJ L 1, 3.1.1994, p. 1).

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986-1994) (OJ L 336, 23.12.1994, p. 1); modified by Judgment of the Court of 10 March 1998, Case C-122/95 (ECR [1998] I-973).

Council Regulation (EC) No 2157/2001 of 8 October 2001 on the Statute for a European company (SE) (OJ L 294, 10.11.2001, p. 1).

Decision 2002/309/EC, Euratom of the Council and of the Commission as regards the Agreement on Scientific and Technological Cooperation of 4 April 2002 on the conclusion of seven Agreements with the Swiss Confederation (OJ L 114, 30.4.2002, p. 1), and in particular the agreement on public procurement.

Regulation (EC) No 2195/2002 of the European Parliament and of the Council of 5 November 2002 on the Common Procurement Vocabulary (CPV) (OJ L 340, 16.12.2002, p. 1).

Council Regulation (EC) No 1435/2003 of 22 July 2003 on the Statute for a European Cooperative Society (SCE) (OJ L 207, 18.8.2003, p. 1).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

Decision 2005/15/EC of the Commission of 7 January 2005 on the detailed rules for the application of the procedure provided for in Article 30 of Directive 2004/17/EC of the European Parliament and of the Council coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors [notified under document C(2004) 5769] (OJ L 7, 11.1.2005, p. 7).

Regulation (EC) No 1082/2006 of the European Parliament and of the Council of 5 July 2006 on a European grouping of territorial cooperation (EGTC) (OJ L 210, 31.7.2006, p. 19).

**CHAPTER 26 02 — MULTIMEDIA PRODUCTION** (*cont'd*)**26 02 01** (*cont'd*)

Commission Regulation (EC) No 718/2007 of 12 June 2007 implementing Council Regulation (EC) No 1085/2006 establishing an instrument for pre-accession assistance (IPA) (OJ L 170, 29.6.2007, p. 1).

Decision 2007/497/EC of the European Central Bank of 3 July 2007 laying down the Rules on Procurement (ECB/2007/5) (OJ L 184, 14.7.2007, p. 34).

Regulation (EC) No 1370/2007 of the European Parliament and of the Council of 23 October 2007 on public passenger transport services by rail and by road, and repealing Council Regulations (EEC) Nos 1191/69 and 1107/70 (OJ L 315, 3.12.2007, p. 1).

Regulation (EC) No 1008/2008 of the European Parliament and of the Council of 24 September 2008 on common rules for the operation of air services in the Community (OJ L 293, 31.10.2008, p. 3).

Decision 2009/496/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

Directive 2009/81/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of procedures for the award of certain works contracts, supply contracts and service contracts by contracting authorities or entities in the fields of defence and security, and amending Directives 2004/17/EC and 2004/18/EC (OJ L 216, 20.8.2009, p. 76).

Commission Implementing Regulation (EU) No 842/2011 of 19 August 2011 establishing standard forms for the publication of notices in the field of public procurement, and repealing Regulation (EC) No 1564/2005 (OJ L 222, 27.8.2011, p. 1).

Council Directive 2013/16/EU of 13 May 2013 adapting certain directives in the field of public procurement, by reason of the accession of the Republic of Croatia (OJ L 158, 10.6.2013, p. 184).

Commission Regulation (EU) No 1336/2013 of 13 December 2013 amending Directives 2004/17/EC, 2004/18/EC and 2009/81/EC of the European Parliament and of the Council in respect of their application thresholds for the procedures for the award of contracts (OJ L 335, 14.12.2013, p. 17).

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

## CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
26 03	SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS								
<b>26 03 01</b>	<b><i>Interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA<sup>2</sup>)</i></b>								
		1.1	24 448 000	2 400 000					
<b>26 03 51</b>	<b><i>Completion of ISA programme</i></b>								
		1.1	p.m.	21 753 380	23 800 000	21 396 611	25 098 681,02	24 401 953,80	112,18
<b>26 03 77</b>	<b><i>Pilot projects and preparatory actions</i></b>								
26 03 77 01	Preparatory action — Erasmus public administration programme	5.2	p.m.	p.m.	p.m.	30 000	0,—	295 870,48	
26 03 77 02	Pilot project — Governance and quality of software code — Auditing of free and open-source software	5.2	p.m.	500 000	1 000 000	500 000			
26 03 77 03	Pilot project — PublicAccess.eu: Online platform for the proactive publication of Union institutions' unclassified documents	5.2	500 000	500 000	1 000 000	500 000			
26 03 77 04	Pilot project — Union institutions' encrypted electronic communications	5.2	1 000 000	750 000	500 000	250 000			
26 03 77 05	Pilot project — Promoting linked open data, free software and civil society participation in law-making throughout the Union (Authoring Tool for Amendments (AT4AM)/ Legislation Editing Open Software (LEOS) Linked Open Data (LOD) and Free Software (FS) integration)	5.2	250 000	250 000	500 000	250 000			
	<i>Article 26 03 77 — Subtotal</i>		1 750 000	2 000 000	3 000 000	1 530 000	0,—	295 870,48	14,79
	<b>Chapter 26 03 — Total</b>		<b>26 198 000</b>	<b>26 153 380</b>	<b>26 800 000</b>	<b>22 926 611</b>	<b>25 098 681,02</b>	<b>24 697 824,28</b>	<b>94,43</b>



CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)**26 03 01**      ***Interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA<sup>2</sup>)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
24 448 000	2 400 000				

*Remarks**New article*

The programme on interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA<sup>2</sup> Programme) is the successor of the ISA programme (established by Decision No 922/2009/EC) that ended in December 2015.

ISA<sup>2</sup> Programme aims to implement a holistic approach on interoperability in the Union and to facilitate the efficient and effective electronic cross-border or cross-sector interaction between European public administrations as well as between them and citizens and businesses. It shall identify, develop and operate interoperability solutions (frameworks, common services and generic tools) implementing the Union's policies.

The programme will be implemented in close cooperation and coordination with Member States and concerned Commission services via projects and accompanying measures (awareness raising, promotion, community building, etc.).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Decision (EU) 2015/2240 of the European Parliament and of the Council of 25 November 2015 establishing a programme on interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA<sup>2</sup> programme) as a means for modernising the public sector (OJ L 318, 4.12.2015, p. 1).

## COMMISSION

## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)**26 03 51      *Completion of ISA programme****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	21 753 380	23 800 000	21 396 611	25 098 681,02	24 401 953,80

*Remarks**Former Item 26 03 01 01*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years under Decision No 922/2009/EC of the European Parliament and of the Council.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

*Legal basis*

Decision No 922/2009/EC of the European Parliament and of the Council of 16 September 2009 on interoperability solutions for European public administrations (ISA) (OJ L 260, 3.10.2009, p. 20).

**26 03 77      *Pilot projects and preparatory actions*****26 03 77 01      Preparatory action — Erasmus public administration programme***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	30 000	0,—	295 870,48

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

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CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)

26 03 77 (*cont'd*)

26 03 77 01 (*cont'd*)

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 03 77 02 Pilot project — Governance and quality of software code — Auditing of free and open-source software

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	1 000 000	500 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Recent discoveries of vulnerabilities in critical information infrastructure have drawn the broader public's attention to the need to understand how governance and quality of the underlying software code relates to basic safety and public trust in applications that are used on a day-to-day basis. As both the general public and the Union institutions regularly use free and open-source software — from end-user device applications to server systems — the need for coordinated efforts to ensure and maintain the integrity and security of that software has been highlighted by the European Parliament itself. This pilot project will offer a systematic approach to achieving a goal to which the Union institutions themselves can contribute, namely ensuring that widely used critical software can be trusted.

The pilot project has three parts:

- Part one comprises a comparative study and a feasibility study. The comparative study will analyse and compare the Debian Free Software Guidelines and social contract [0] compliance decisions in Debian [1] with current code sharing practices and compliance determinants within the activities of the Commission's vulnerability test centre and CITnet's Application Lifecycle management system relating to projects which are currently funded by ISA and published on JoinUp [2]. This study will also make a general assessment of the Commission's current code governance models and identify processes similar to processes within Debian. The aim is to develop best practices with regard to code review and code quality assessment for the purpose of mitigating security threats, in particular in activities relating to free software and open standards funded by the Union. The feasibility study will identify agents and stakeholders, estimate time frames and funding models, determine deliverables and long-term impacts in, of and for projects where such best practices could be applied.
- The second part of the pilot project will cover the development of a unified inventory methodology for the Commission and Parliament in particular and the compilation of a full inventory of free software and open standards in use within all the Union institutions. The inventory will provide a basis for determining where the results of the first part of the pilot project could be successfully applied.

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## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)26 03 77 (*cont'd*)26 03 77 02 (*cont'd*)

- The third part will involve an exemplary code review of software and software libraries that are in active use both by the general European public and by Union institutions. This part of the pilot project will identify and focus in particular on software or software components whose exploitation could lead to a severe disruption of public or Union services and unauthorised access to personal data, forming the basis for a public tender on this matter.

*References*

[0] [https://www.debian.org/social\\_contract](https://www.debian.org/social_contract)

[1] [http://cfnarede.com.br/sites/default/files/infographic\\_debian-v2.1.en.png](http://cfnarede.com.br/sites/default/files/infographic_debian-v2.1.en.png)

[2] <https://joinup.ec.europa.eu/>

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 03 77 03 Pilot project — PublicAccess.eu: Online platform for the proactive publication of Union institutions' unclassified documents

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	500 000	1 000 000	500 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

This pilot project will support the development of a single online platform for the proactive publication of all unclassified documents of Union institutions. The main steps and deliverables for the building of this web platform will be:

- identification, listing and type registration of all unclassified documents relevant to the Union institutions, based on a 'publically-available by default' approach,
- assessment of technical challenges to be addressed in order to ensure safe storage and transmission of all documents,

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CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)

26 03 77 (*cont'd*)

26 03 77 03 (*cont'd*)

- compatibility of existing Union registers of documents in order to facilitate a single online access point via the new online platform,
- design of a web platform architecture allowing optimum and user-friendly navigation,
- development of a built-in search engine for document searching, identification and downloading.

Technical development of the online platform will be based on the principles of open standard software, taking into account ongoing interinstitutional harmonisation and rationalisation efforts in terms of metadata and formats, information systems and document management.

The pilot project will focus primarily on documents relating to one of the Union institutions, thus providing a best-practice tool which could then be extended to all Union institutions and related bodies (including regulatory and executive agencies). The Publications Office could coordinate the project, given the number of online services it already offers the public.

This online platform will help to ensure genuine transparency, as repeatedly called for by the European Parliament, and prevent needless litigation that could generate unnecessary costs and burdens for both the institutions and the general public.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 03 77 04 Pilot project — Union institutions' encrypted electronic communications

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	750 000	500 000	250 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The project will support the implementation of secure electronic communications within Union institutions. One way of making electronic communications significantly more secure is to apply state-of-the-art encryption technology to the institutions' email services. By properly protecting its own communications, the Union can set an example for citizens, the private sector and national public sectors. The project will involve the development of Union encryption standards which are guaranteed not to be compromised or weakened by third-country governments.

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## TITLE 26 — COMMISSION'S ADMINISTRATION

CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)26 03 77 (*cont'd*)26 03 77 04 (*cont'd*)

The project will support the IT services of the European Parliament, Council, Council Presidency and Commission in implementing the systems needed for secure communications by MEPs, Commissioners and staff members of all institutions involved in Union decision-making.

The project will assemble and document the requirements reflecting the business needs of the Union institutions, analyse and assess the suitability of available solutions and standards and recommend the best method for safeguarding electronic email communications, also defining the prerequisites (technical, formal, organisational, budgetary) for the implementation and operation of the recommended solution and assessing the perspectives of further evolution (in terms of features, application scope, etc.). The results of this work will form the basis for defining and setting up a pilot system to assess the validity of the recommended solution and its compliance with the identified business needs, which could be the next step after the completion of the present project.

The project will take into account and build upon the results of the recent and ongoing work on secure email as well as voice communication solutions, whenever possible also contributing to the progress of the related projects.

The project, led by the Commission, will be supervised by a steering group set up within the Subgroup on Security of the CII (Comité Informatique Interinstitutionnel).

This pilot project is in line with Parliament's call for its Secretariat to carry out by December 2014, under the responsibility of the President of the European Parliament, a thorough review and assessment of the European Parliament's IT security dependability. This review and assessment covers budgetary resources, staff resources, technical capabilities, internal organisation and all other issues connected with achieving a high level of security for the European Parliament's IT systems.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

26 03 77 05 Pilot project — Promoting linked open data, free software and civil society participation in law-making throughout the Union (Authoring Tool for Amendments (AT4AM)/ Legislation Editing Open Software (LEOS) Linked Open Data (LOD) and Free Software (FS) integration)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
250 000	250 000	500 000	250 000		

CHAPTER 26 03 — SERVICES TO PUBLIC ADMINISTRATIONS, BUSINESSES AND CITIZENS (*cont'd*)26 03 77 (*cont'd*)26 03 77 05 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Engaged and empowered citizens all over Europe are developing and applying democratic values and working methods at all levels of society using old and new information and communications technologies. It is therefore essential for democracy that Union institutions commit to providing open knowledge and free software solutions implementing the most significant standards and tools emerging, as regards legislation, to enhance understanding of their impact in the different phases of the legislative process. Citizens' ability to use, and participate in the preparation of standard-compliant and process-compatible documents throughout the law-making process in the Union is of key importance for the legitimacy of the European Union project as a whole. Needless to say, such use and participation will benefit from integration with other projects involving multilingual technologies and localisation processes, as well as the removal of silos and unnecessary proprietary dependencies.

One tool in this area is LEOS, a web-based application for drafting legislation envisioned by the Commission. It is financed via the ISA programme, which means that it could eventually be integrated with more cost-efficient and maintenance-proofed distributions such as Debian, ensuring that the code is continuously tested to work together with other tools for drafting high-quality legislation.

A more visionary example is the open development of AT4AM — the European Parliament's automatic tool for amendments. However, its use outside the European Parliament is limited due to the lack of linked open data integration, minimal funding to help NGOs to install and run the AT4AM software on their own servers, and insufficient collaboration with free software projects that bridge XML and RDF formats (in particular the Akoma Ntoso schema).

The aims of the pilot project will cover:

- promotion of deployment, development, maintenance and use of Union law-making tools in and by civil society,
- integration of LEOS with linked open data projects,
- collaboration with the European Parliament to ensure LOD interoperability with AT4AM,
- development of Akoma Ntoso and RDF data formats for LEOS and AT4AM.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

*TITLE 27*

**BUDGET**



## TITLE 27

## BUDGET

## General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
27 01	ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA	72 184 538	72 184 538	70 488 939	70 488 939	60 037 392,08	60 037 392,08
27 02	BUDGET IMPLEMENTATION, CONTROL AND DISCHARGE	p.m.	p.m.	p.m.	p.m.	28 600 000,—	28 600 000,—
	<b>Title 27 — Total</b>	<b>72 184 538</b>	<b>72 184 538</b>	<b>70 488 939</b>	<b>70 488 939</b>	<b>88 637 392,08</b>	<b>88 637 392,08</b>

COMMISSION

TITLE 27 — BUDGET

**TITLE 27****BUDGET****CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA**

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
27 01	ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA					
27 01 01	<i>Expenditure related to officials and temporary staff in the 'Budget' policy area</i>	5.2	43 763 278	41 558 494	42 260 747,75	96,57
27 01 02	<i>External personnel and other management expenditure in support of the 'Budget' policy area</i>					
27 01 02 01	External personnel	5.2	4 265 668	4 160 262	5 862 350,62	137,43
27 01 02 09	External personnel — Non-decen- tralised management	5.2	4 621 420	5 542 521	0,—	0
27 01 02 11	Other management expenditure	5.2	7 715 145	7 427 228	8 253 791,86	106,98
27 01 02 19	Other management expenditure — Non-decentralised management	5.2	8 456 008	8 557 050	0,—	0
	<i>Article 27 01 02 — Subtotal</i>		25 058 241	25 687 061	14 116 142,48	56,33
27 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Budget' policy area</i>	5.2	2 793 019	2 638 384	3 051 584,68	109,26
27 01 07	<i>Support expenditure for oper- ations in the 'Budget' policy area</i>	5.2	150 000	145 000	149 774,81	99,85
27 01 11	<i>Exceptional crisis expenditure</i>	5.2	p.m.	p.m.	0,—	
27 01 12	<i>Accountancy</i>					
27 01 12 01	Financial charges	5.2	300 000	330 000	319 000,—	106,33
27 01 12 02	Coverage of expenditure incurred in connection with treasury management and financial assets	5.2	p.m.	p.m.	0,—	
27 01 12 03	Purchase of financial information on the solvency of beneficiaries of funds from the general budget of the Union and of Commission debtors	5.2	120 000	130 000	140 142,36	116,79
	<i>Article 27 01 12 — Subtotal</i>		420 000	460 000	459 142,36	109,32
	<b>Chapter 27 01 — Total</b>		<b>72 184 538</b>	<b>70 488 939</b>	<b>60 037 392,08</b>	<b>83,17</b>

CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA (*cont'd*)27 01 01 *Expenditure related to officials and temporary staff in the 'Budget' policy area**Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
43 763 278	41 558 494	42 260 747,75

27 01 02 *External personnel and other management expenditure in support of the 'Budget' policy area*

## 27 01 02 01 External personnel

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 265 668	4 160 262	5 862 350,62

## 27 01 02 09 External personnel — Non-decentralised management

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 621 420	5 542 521	0,—

*Remarks*

This appropriation is not allocated to a specific policy area at the start of the financial year and may be used to cover the requirements of all Commission departments. It will be transferred in the course of the year, in accordance with the Financial Regulation, to the corresponding budget lines of the policy areas for which it will be implemented.

## 27 01 02 11 Other management expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
7 715 145	7 427 228	8 253 791,86

## 27 01 02 19 Other management expenditure — Non-decentralised management

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
8 456 008	8 557 050	0,—

## COMMISSION

## TITLE 27 — BUDGET

CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘BUDGET’ POLICY AREA (*cont'd*)27 01 02 (*cont'd*)27 01 02 19 (*cont'd*)*Remarks*

This appropriation is not allocated to a specific policy area at the start of the financial year and may be used to cover the requirements of all Commission departments. It will not be used from this item but will be transferred in the course of the year, in accordance with the Financial Regulation, to the corresponding budget line of the policy areas for which it will be implemented.

**27 01 03** *Expenditure related to information and communication technology equipment and services of the ‘Budget’ policy area*

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 793 019	2 638 384	3 051 584,68

**27 01 07** *Support expenditure for operations in the ‘Budget’ policy area*

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
150 000	145 000	149 774,81

*Remarks*

This appropriation is intended to cover expenditure related to the publication of information in whatever form and on whatever medium on the financial programming and the general budget of the Union. It covers, in particular: preparation and drafting, use of documentation, design and graphics, reproduction of documents, data purchase or management, editing, translation, revision (including checking the consistency between texts), printing, posting on the internet, distribution, storage and dissemination.

**27 01 11** *Exceptional crisis expenditure*

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover any expenditure incurred during a declared crisis which has activated one or more business continuity plans, and the nature and/or the amount of which has not made it possible to post it on the other administrative budget lines of the Commission.

The European Parliament and the Council will be informed of the incurred expenditure at the latest three weeks after the crisis has ended.

## CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE 'BUDGET' POLICY AREA (cont'd)

27 01 12 *Accountancy*

## 27 01 12 01 Financial charges

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
300 000	330 000	319 000,—

*Remarks*

This appropriation is intended to cover bank charges (commission, agios and miscellaneous expenditure) and the cost of connection to the Society for Worldwide Interbank Financial Telecommunication (SWIFT) network.

## 27 01 12 02 Coverage of expenditure incurred in connection with treasury management and financial assets

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover budgetary adjustments:

- in situations where a claim is cancelled in full or in part after it has been booked to the accounts as revenue (in particular in the event of offsetting against a debt),
- in cases where VAT has not been recovered and where it is no longer possible to book the amount to the line that covered the principal expenditure,
- for any interest relating to the above cases, where it cannot be booked to another specific budget line.

This item is also intended to accommodate, if necessary, an appropriation to cover any losses resulting either from the liquidation or cessation of activities of financial institutions with which the Commission has accounts or from the management of financial assets.

## 27 01 12 03 Purchase of financial information on the solvency of beneficiaries of funds from the general budget of the Union and of Commission debtors

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
120 000	130 000	140 142,36

COMMISSION  
TITLE 27 — BUDGET

CHAPTER 27 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘BUDGET’ POLICY AREA (*cont’d*)

**27 01 12** (*cont’d*)

27 01 12 03 (*cont’d*)

*Remarks*

This appropriation is intended to cover the cost of subscriptions and access to electronic information services and external databases providing financial information on the solvency of beneficiaries of funds from the general budget of the Union and of Commission debtors, in order to protect the Commission’s financial interests at various levels of financial and accounting procedures.

It is also intended to ascertain information on the group structure, ownership and the management of beneficiaries of funds from the general budget of the Union and of Commission debtors.

## CHAPTER 27 02 — BUDGET IMPLEMENTATION, CONTROL AND DISCHARGE

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
27 02	BUDGET IMPLEMENTATION, CONTROL AND DISCHARGE								
27 02 01	<i>Deficit carried over from the previous financial year</i>	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
27 02 02	<i>Temporary and lump-sum compensation for the new Member States</i>	6	p.m.	p.m.	p.m.	p.m.	28 600 000,—	28 600 000,—	
	<b>Chapter 27 02 — Total</b>		<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>28 600 000,—</b>	<b>28 600 000,—</b>	

27 02 01 *Deficit carried over from the previous financial year*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

## Remarks

In accordance with Article 18 of the Financial Regulation, the balance from each financial year is to be entered in the budget for the following financial year as revenue in the case of a surplus or as a payment appropriation in the case of a deficit.

The estimates of such revenue or payment appropriations are entered in the budget during the budgetary procedure and in a letter of amendment submitted pursuant to Article 39 of the Financial Regulation. They are drawn up in accordance with Regulation (EC, Euratom) No 1150/2000.

After the close of accounts for each financial year, any discrepancy with the estimates is entered in the budget for the following financial year through an amending budget.

A surplus is entered in Article 3 0 0 of the statement of revenue.

## Legal basis

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION

TITLE 27 — BUDGET

CHAPTER 27 02 — BUDGET IMPLEMENTATION, CONTROL AND DISCHARGE (*cont'd*)**27 02 02      *Temporary and lump-sum compensation for the new Member States****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	28 600 000,—

*Remarks*

This appropriation is intended to cover compensation for the new Member States as from the date of entry into force of any Act of Accession that foresees it in the provisions of the act.

*Legal basis*

Act concerning the conditions of accession of the Republic of Croatia and the adjustments to the Treaty on European Union, the Treaty on the Functioning of the European Union and the Treaty establishing the European Atomic Energy Community (OJ L 112, 24.4.2012, p. 21), and in particular Article 32 thereof.



*TITLE 28*

**AUDIT**

COMMISSION

TITLE 28 — AUDIT

**TITLE 28****AUDIT****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
28 01	ADMINISTRATIVE EXPENDITURE OF THE 'AUDIT' POLICY AREA	18 774 034	11 936 916	12 000 789,60
	<b>Title 28 — Total</b>	<b>18 774 034</b>	<b>11 936 916</b>	<b>12 000 789,60</b>

## TITLE 28

## AUDIT

## CHAPTER 28 01 — ADMINISTRATIVE EXPENDITURE OF THE 'AUDIT' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
28 01	ADMINISTRATIVE EXPENDITURE OF THE 'AUDIT' POLICY AREA					
28 01 01	<i>Expenditure related to officials and temporary staff in the 'Audit' policy area</i>	5.2	16 316 679	10 106 037	10 276 038,31	62,98
28 01 02	<i>External personnel and other management expenditure in support of the 'Audit' policy area</i>					
28 01 02 01	External personnel	5.2	733 388	602 383	565 370,77	77,09
28 01 02 11	Other management expenditure	5.2	682 619	586 904	417 451,80	61,15
	Article 28 01 02 — Subtotal		1 416 007	1 189 287	982 822,57	69,41
28 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Audit' policy area</i>	5.2	1 041 348	641 592	741 928,72	71,25
	Chapter 28 01 — Total		18 774 034	11 936 916	12 000 789,60	63,92

28 01 01 *Expenditure related to officials and temporary staff in the 'Audit' policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
16 316 679	10 106 037	10 276 038,31

28 01 02 *External personnel and other management expenditure in support of the 'Audit' policy area*

## 28 01 02 01 External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
733 388	602 383	565 370,77

COMMISSION

TITLE 28 — AUDIT

**CHAPTER 28 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘AUDIT’ POLICY AREA** (*cont’d*)**28 01 02** (*cont’d*)

## 28 01 02 11 Other management expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
682 619	586 904	417 451,80

**28 01 03** *Expenditure related to information and communication technology equipment and services of the ‘Audit’ policy area**Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 041 348	641 592	741 928,72

*TITLE 29*

**STATISTICS**

COMMISSION

TITLE 29 — STATISTICS

**TITLE 29****STATISTICS****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
29 01	ADMINISTRATIVE EXPENDITURE OF THE 'STAT- ISTICS' POLICY AREA	82 707 570	82 707 570	79 471 726	79 471 726	81 840 387,98	81 840 387,98
29 02	THE EUROPEAN STATISTICAL PROGRAMME	56 443 000	44 800 000	54 922 000	36 726 403	57 897 658,39	56 903 341,85
	<b>Title 29 — Total</b>	<b>139 150 570</b>	<b>127 507 570</b>	<b>134 393 726</b>	<b>116 198 129</b>	<b>139 738 046,37</b>	<b>138 743 729,83</b>

## TITLE 29

## STATISTICS

## CHAPTER 29 01 — ADMINISTRATIVE EXPENDITURE OF THE 'STATISTICS' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
29 01	ADMINISTRATIVE EXPENDITURE OF THE 'STATISTICS' POLICY AREA					
29 01 01	<i>Expenditure related to officials and temporary staff in the 'Statistics' policy area</i>	5.2	66 995 635	64 039 267	65 392 967,41	97,61
29 01 02	<i>External personnel and other management expenditure in support of the 'Statistics' policy area</i>					
29 01 02 01	External personnel	5.2	5 163 482	5 042 625	5 107 406,09	98,91
29 01 02 11	Other management expenditure	5.2	3 322 719	3 424 234	3 676 376,92	110,64
	Article 29 01 02 — Subtotal		8 486 201	8 466 859	8 783 783,01	103,51
29 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Statistics' policy area</i>	5.2	4 275 734	4 065 600	4 721 350,86	110,42
29 01 04	<i>Support expenditure for operations and programmes in the 'Statistics' policy area</i>					
29 01 04 01	Support expenditure for the European statistical programme	1.1	2 950 000	2 900 000	2 942 286,70	99,74
	Article 29 01 04 — Subtotal		2 950 000	2 900 000	2 942 286,70	99,74
	Chapter 29 01 — Total		82 707 570	79 471 726	81 840 387,98	98,95

29 01 01 *Expenditure related to officials and temporary staff in the 'Statistics' policy area*

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
66 995 635	64 039 267	65 392 967,41

COMMISSION  
TITLE 29 — STATISTICS

CHAPTER 29 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘STATISTICS’ POLICY AREA (cont'd)

**29 01 02 External personnel and other management expenditure in support of the ‘Statistics’ policy area**

29 01 02 01 External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
5 163 482	5 042 625	5 107 406,09

29 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
3 322 719	3 424 234	3 676 376,92

**29 01 03 Expenditure related to information and communication technology equipment and services of the ‘Statistics’ policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
4 275 734	4 065 600	4 721 350,86

**29 01 04 Support expenditure for operations and programmes in the ‘Statistics’ policy area**

29 01 04 01 Support expenditure for the European statistical programme

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
2 950 000	2 900 000	2 942 286,70

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects,
- expenditure on external personnel at headquarters (contract staff, seconded national experts or agency staff), up to EUR 2 300 000. This amount is calculated on the basis of a unit annual cost per person/year, 97 % of the total comprising the remuneration for the staff in question and 3 % the costs of training, meetings, missions, information technology and telecommunications in connection with such staff,
- expenditure on studies, meetings of experts, missions, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.



**CHAPTER 29 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘STATISTICS’ POLICY AREA** (*cont’d*)**29 01 04** (*cont’d*)29 01 04 01 (*cont’d*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation’s contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 29 02.

COMMISSION

TITLE 29 — STATISTICS

## CHAPTER 29 02 — THE EUROPEAN STATISTICAL PROGRAMME

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
29 02	THE EUROPEAN STATISTICAL PROGRAMME								
29 02 01	<i>Providing quality statistical information, implementing new methods of production of European statistics and strengthening the partnership within the European Statistical System</i>	1.1	56 443 000	40 000 000	54 922 000	29 328 905	57 897 658,39	22 454 510,04	56,14
29 02 51	<i>Completion of statistical programmes (prior to 2013)</i>	1.1	p.m.	4 800 000	p.m.	6 527 204	0,—	25 030 062,45	521,46
29 02 52	<i>Completion of the programme for the modernisation of European enterprise and trade statistics (MEETS)</i>	1.1	p.m.	p.m.	p.m.	870 294	0,—	9 418 769,36	
Chapter 29 02 — Total			56 443 000	44 800 000	54 922 000	36 726 403	57 897 658,39	56 903 341,85	127,02

**29 02 01** *Providing quality statistical information, implementing new methods of production of European statistics and strengthening the partnership within the European Statistical System*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
56 443 000	40 000 000	54 922 000	29 328 905	57 897 658,39	22 454 510,04

Remarks

This appropriation is intended to cover the following:

- statistical data collection and surveys, studies and development of indicators and benchmarks,
- quality studies and activities designed to improve the quality of statistics,
- the processing, dissemination, promotion and marketing of statistical information,
- the development and maintenance of statistical infrastructure and statistical information systems,
- the development and maintenance of IT infrastructure supporting the reengineering of the statistical production process,
- risk-based control work at the sites of entities involved in the production of statistical information in Member States, especially to support the economic governance of the Union,

**CHAPTER 29 02 — THE EUROPEAN STATISTICAL PROGRAMME** (*cont'd*)**29 02 01** (*cont'd*)

- support to collaborative networks and support to organisations having as their primary objectives and activities the promotion and support for the implementation of the European Statistics Code of Practice and the implementation of new methods of production of European statistics,
- services rendered by external experts,
- statistical training courses for statisticians,
- the cost of purchasing documentation,
- subsidies and subscriptions to international statistical associations.

It is also intended to ensure the gathering of the information necessary to draw up an annual summary report on the economic and social state of the Union on the basis of economic data and structural indicators and benchmarks.

This appropriation is also intended to cover costs incurred in connection with the training of national statisticians and the policy of cooperation in the field of statistics with third countries; expenditure relating to exchanges of officials, the costs of information meetings, and expenditure on payment for services rendered in connection with the adjustment of the remuneration of officials and other staff.

Also charged to this article is expenditure on the purchase of data and access for Commission departments to outside databases.

In addition, funding should be used to develop new, modular techniques.

This appropriation is also intended to cover the provision of the necessary statistical information, at the request of the Commission or of the other institutions of the Union, for the assessment, monitoring and evaluation of the Union's expenditure. This will improve the implementation of financial and budgetary policy (drawing-up of the budget and periodic review of the multiannual financial framework) and make it possible to compile medium and long-term data for the financing of the Union.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation's contributions for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 99/2013 of the European Parliament and of the Council of 15 January 2013 on the European statistical programme 2013-17 (OJ L 39, 9.2.2013, p. 12).

COMMISSION

TITLE 29 — STATISTICS

CHAPTER 29 02 — THE EUROPEAN STATISTICAL PROGRAMME (*cont'd*)**29 02 51**      ***Completion of statistical programmes (prior to 2013)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	4 800 000	p.m.	6 527 204	0,—	25 030 062,45

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 322/97 of 17 February 1997 on Community Statistics (OJ L 52, 22.2.1997, p. 1).

Decision No 507/2001/EC of the European Parliament and of the Council of 12 March 2001 concerning a set of actions relating to the trans-European network for the collection, production and dissemination of statistics on the trading of goods within the Community and between the Community and non-member countries (Edicom) (OJ L 76, 16.3.2001, p. 1).

Decision No 2367/2002/EC of the European Parliament and of the Council of 16 December 2002 on the Community statistical programme 2003 to 2007 (OJ L 358, 31.12.2002, p. 1).

Decision No 1578/2007/EC of the European Parliament and of the Council of 11 December 2007 on the Community Statistical Programme 2008 to 2012 (OJ L 344, 28.12.2007 p. 15).

Regulation (EC) No 223/2009 of the European Parliament and of the Council of 11 March 2009 on European statistics (OJ L 87, 31.3.2009, p. 164).

CHAPTER 29 02 — THE EUROPEAN STATISTICAL PROGRAMME (*cont'd*)**29 02 52      *Completion of the programme for the modernisation of European enterprise and trade statistics (MEETS)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	870 294	0,—	9 418 769,36

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1297/2008/EC of the European Parliament and of the Council of 16 December 2008 on a Programme for the Modernisation of European Enterprise and Trade Statistics (MEETS) (OJ L 340, 19.12.2008, p. 76).

COMMISSION

*TITLE 30*

**PENSIONS AND RELATED EXPENDITURE**

COMMISSION

TITLE 30 — PENSIONS AND RELATED EXPENDITURE

**TITLE 30****PENSIONS AND RELATED EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
30 01	ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA	1 647 355 000	1 567 119 435	1 493 058 835,73
	<b>Title 30 — Total</b>	<b>1 647 355 000</b>	<b>1 567 119 435</b>	<b>1 493 058 835,73</b>

COMMISSION

TITLE 30 — PENSIONS AND RELATED EXPENDITURE

## TITLE 30

## PENSIONS AND RELATED EXPENDITURE

## CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
30 01	ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA					
<b>30 01 13</b>	<b><i>Allowances and pensions of former Members and surviving dependants</i></b>					
30 01 13 01	Temporary allowances	5.2	3 146 000	4 049 000	734 503,30	23,35
30 01 13 03	Weightings and adjustments to temporary allowances	5.2	288 000	215 000	0,—	0
	<i>Article 30 01 13 — Subtotal</i>		3 434 000	4 264 000	734 503,30	21,39
<b>30 01 14</b>	<b><i>Allowances for staff assigned non-active status, retired in the interests of the service or dismissed</i></b>					
30 01 14 01	Allowances for staff assigned non- active status, retired in the interests of the service or dismissed	5.2	3 252 000	3 269 000	1 226 398,33	37,71
30 01 14 02	Insurance against sickness	5.2	111 000	111 000	36 161,69	32,58
30 01 14 03	Weightings and adjustments to allowances	5.2	48 000	98 000	10 612,90	22,11
	<i>Article 30 01 14 — Subtotal</i>		3 411 000	3 478 000	1 273 172,92	37,33
<b>30 01 15</b>	<b><i>Pensions and allowances</i></b>					
30 01 15 01	Pensions, invalidity allowances and severance grants	5.1	1 516 912 000	1 447 541 000	1 400 348 410,98	92,32
30 01 15 02	Insurance against sickness	5.1	50 291 000	48 089 000	45 528 362,94	90,53
30 01 15 03	Weightings and adjustments to pensions and allowances	5.1	51 755 000	44 367 000	39 251 429,26	75,84
	<i>Article 30 01 15 — Subtotal</i>		1 618 958 000	1 539 997 000	1 485 128 203,18	91,73
<b>30 01 16</b>	<b><i>Pensions of former Members — Institutions</i></b>					
30 01 16 01	Pensions of former Members of the European Parliament	5.1	3 289 000	2 005 000	439 014,46	13,35



COMMISSION  
TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>30 01 16</b>	( <i>cont'd</i> )					
30 01 16 02	Pensions of former Members of the European Council	5.1	p.m.	p.m.		
30 01 16 03	Pensions of former Members of the European Commission	5.1	5 907 000	5 866 000	5 483 941,87	92,84
30 01 16 04	Pensions of former Members of the Court of Justice of the European Union	5.1	8 269 000	7 640 000		
30 01 16 05	Pensions of former Members of the European Court of Auditors	5.1	3 918 000	3 728 000		
30 01 16 06	Pensions of former European Ombudsmen	5.1	130 000	101 435		
30 01 16 07	Pensions of former European Data Protection Supervisors	5.1	39 000	40 000		
	<i>Article 30 01 16 — Subtotal</i>		21 552 000	19 380 435	5 922 956,33	27,48
	<b>Chapter 30 01 — Total</b>		<b>1 647 355 000</b>	<b>1 567 119 435</b>	<b>1 493 058 835,73</b>	<b>90,63</b>

**30 01 13** *Allowances and pensions of former Members and surviving dependants*

30 01 13 01 Temporary allowances

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 146 000	4 049 000	734 503,30

*Remarks*

This appropriation is intended to cover:

— transitional allowances,

— family allowances,

of Members of the Commission after termination of service.

## COMMISSION

## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)30 01 13 (*cont'd*)30 01 13 01 (*cont'd*)*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, and of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

30 01 13 03 Weightings and adjustments to temporary allowances

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
288 000	215 000	0,—

*Remarks*

This appropriation is intended to cover the cost of weightings applied to the temporary allowances for former Members of the Commission and other persons entitled to such payments.

Part of this appropriation is intended to cover the cost of any adjustments to temporary allowances during the financial year. It is purely provisional and can only be used after its transfer to other items in this chapter in accordance with the Financial Regulation.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

COMMISSION  
TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)

**30 01 14** *Allowances for staff assigned non-active status, retired in the interests of the service or dismissed*

30 01 14 01 Allowances for staff assigned non-active status, retired in the interests of the service or dismissed

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 252 000	3 269 000	1 226 398,33

*Remarks*

This appropriation is intended to cover allowances for officials:

- assigned non-active status following a reduction in the number of posts in the institution,
- holding an AD 16, AD 15 or AD 14 grade post who are retired in the interests of the service.

It also covers expenditure arising from the application of the Council Regulations on special and/or temporary measures to terminate the service of officials and/or temporary staff.

*Legal basis*

Staff Regulations of Officials of the European Union.

Council Regulation (EC, Euratom) No 1746/2002 of 30 September 2002 introducing, in the context of the reform of the Commission, special measures to terminate the service of officials of the European Communities appointed to an established post in the Commission of the European Communities (OJ L 264, 2.10.2002, p. 1).

30 01 14 02 Insurance against sickness

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
111 000	111 000	36 161,69

*Remarks*

This appropriation is intended to cover the employer's contribution towards sickness insurance for persons in receipt of allowances for assignment of non-active status, retirement in the interest of the service or dismissal.

## COMMISSION

## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)**30 01 14** (*cont'd*)30 01 14 02 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union.

## 30 01 14 03 Weightings and adjustments to allowances

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
48 000	98 000	10 612,90

*Remarks*

This appropriation is intended to cover the effect of weightings applicable to allowances in the event of assignment of non-active status, retirement in the interests of the service or dismissal.

Part of this appropriation is intended to cover the cost of any adjustments to allowances during the financial year. It is purely provisional and can only be used after its transfer to other items in this chapter in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**30 01 15** ***Pensions and allowances***

## 30 01 15 01 Pensions, invalidity allowances and severance grants

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 516 912 000	1 447 541 000	1 400 348 410,98

**CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘PENSIONS AND RELATED EXPENDITURE’ POLICY AREA** (*cont'd*)**30 01 15** (*cont'd*)30 01 15 01 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- retirement pensions of officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- invalidity pensions of officials and temporary staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- invalidity allowances of officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- survivors' pensions for surviving spouses and/or orphans of former officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- severance grants of officials, temporary and contractual staff of all the institutions and agencies of the Union, including those paid from research and technological development appropriations,
- payments of the actuarial equivalent of retirement pensions,
- payments (pension bonus) to former members of the Resistance (or to their surviving spouses and/or orphans) who were deported or interned,
- payments of financial aid to a surviving spouse who has a serious or protracted illness or who is disabled, for the duration of the illness or disability, on the basis of an examination of the social and medical circumstances of the person concerned.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

30 01 15 02 Insurance against sickness

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
50 291 000	48 089 000	45 528 362,94

## COMMISSION

## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA *(cont'd)***30 01 15** *(cont'd)*30 01 15 02 *(cont'd)**Remarks*

This appropriation is intended to cover the employer's contribution towards sickness insurance for pensioners.

It also covers supplementary payments for the reimbursement of medical expenses for former members of the Resistance who were deported or interned.

*Legal basis*

Staff Regulations of Officials of the European Union.

## 30 01 15 03 Weightings and adjustments to pensions and allowances

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
51 755 000	44 367 000	39 251 429,26

*Remarks*

This appropriation is intended to cover the effect of weightings applicable to pensions.

Part of this appropriation is intended to cover the cost of any adjustments to pensions during the financial year. It is purely provisional and may be used only after its transfer to other items in this chapter in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)**30 01 16      Pensions of former Members — Institutions**

## 30 01 16 01      Pensions of former Members of the European Parliament

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 289 000	2 005 000	439 014,46

*Remarks*

This appropriation is intended to cover the retirement pensions, invalidity pensions and survivor's pensions of former Members of the European Parliament.

*Legal basis*

Statute for Members of the European Parliament, and in particular Articles 14, 15, 17 and 28 thereof.

Implementing measures for the Statute for Members of the European Parliament (including Articles 49 to 60 thereof and relevant provisions to be adopted by the Bureau of the European Parliament).

## 30 01 16 02      Pensions of former Members of the European Council

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former Members of the European Council, together with the weightings applicable to their country of residence, and the survivor's pensions of surviving spouses and orphans of former Members of the European Council, together with the weightings applicable to their country of residence.

*Legal basis*

Council Decision 2009/909/EU of 1 December 2009 laying down the conditions of employment of the President of the European Council (OJ L 322, 9.12.2009, p. 35)

## COMMISSION

## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)30 01 16 (*cont'd*)

## 30 01 16 03 Pensions of former Members of the European Commission

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
5 907 000	5 866 000	5 483 941,87

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former Members of the Commission, together with the weightings applicable to their country of residence, and the survivors' pensions of surviving spouses and orphans of former Members of the Commission, together with the weightings applicable to their country of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

## 30 01 16 04 Pensions of former Members of the Court of Justice of the European Union

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
8 269 000	7 640 000	

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former Members of the Court of Justice of the European Union, together with the weightings applicable to their country of residence, and the survivors' pensions of surviving spouses and orphans of former Members of the Court of Justice of the European Union, together with the weightings applicable to their country of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.



CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)30 01 16 (*cont'd*)

## 30 01 16 05 Pensions of former Members of the European Court of Auditors

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 918 000	3 728 000	

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former Members of the European Court of Auditors, together with the weightings applicable to their country of residence, and the survivors' pensions for their surviving spouses and orphans of former Members of the European Court of Auditors, together with the weightings applicable to their country of residence.

*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the Members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Articles 9, 10, 11 and 16 thereof.

## 30 01 16 06 Pensions of former European Ombudsmen

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
130 000	101 435	

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former European Ombudsmen, together with the weightings applicable to their country of residence, and the survivors' pensions of surviving spouses and orphans, together with the weightings applicable to their country of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.

## COMMISSION

## TITLE 30 — PENSIONS AND RELATED EXPENDITURE

CHAPTER 30 01 — ADMINISTRATIVE EXPENDITURE OF THE 'PENSIONS AND RELATED EXPENDITURE' POLICY AREA (*cont'd*)30 01 16 (*cont'd*)

## 30 01 16 07 Pensions of former European Data Protection Supervisors

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
39 000	40 000	

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former European Data Protection Supervisors, together with the weightings applicable to their country of residence, as well as the survivors' pensions of surviving spouses and orphans of former European Data Protection Supervisors, together with the weightings applicable to their country of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

*TITLE 31*

**LANGUAGE SERVICES**

COMMISSION

TITLE 31 — LANGUAGE SERVICES

**TITLE 31****LANGUAGE SERVICES****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
31 01	ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA	398 824 459	389 488 765	443 756 554,45
	<b>Title 31 — Total</b>	<b>398 824 459</b>	<b>389 488 765</b>	<b>443 756 554,45</b>

## TITLE 31

## LANGUAGE SERVICES

## CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
31 01	ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA					
<b>31 01 01</b>	<b><i>Expenditure relating to officials and temporary staff in the 'Language services' policy area</i></b>	5.2	324 388 539	316 071 418	325 997 957,89	100,50
<b>31 01 02</b>	<b><i>External personnel and other management expenditure in support of the 'Language services' policy area</i></b>					
31 01 02 01	External personnel	5.2	10 057 341	9 639 551	10 248 904,09	101,90
31 01 02 11	Other management expenditure	5.2	4 727 753	4 703 668	5 513 697,19	116,62
	<i>Article 31 01 02 — Subtotal</i>		14 785 094	14 343 219	15 762 601,28	106,61
<b>31 01 03</b>	<b><i>Expenditure relating to information and communication technology equipment and services, and other working expenditure of the 'Language services' policy area</i></b>					
31 01 03 01	Expenditure relating to information and communication technology equipment and services	5.2	20 702 826	20 066 128	23 538 678,48	113,70
31 01 03 04	Technical equipment and services for the Commission conference rooms	5.2	2 300 000	2 300 000	2 982 729,44	129,68
	<i>Article 31 01 03 — Subtotal</i>		23 002 826	22 366 128	26 521 407,92	115,30
<b>31 01 07</b>	<b><i>Interpretation expenditure</i></b>					
31 01 07 01	Interpretation expenditure	5.2	18 262 000	18 262 000	52 084 265,55	285,21
31 01 07 02	Training and further training of conference interpreters	5.2	390 000	390 000	1 150 386,69	294,97
31 01 07 03	Information technology expenditure of the Directorate-General for Interpretation	5.2	1 268 000	1 268 000	3 200 000,—	252,37
	<i>Article 31 01 07 — Subtotal</i>		19 920 000	19 920 000	56 434 652,24	283,31
<b>31 01 08</b>	<b><i>Translation expenditure</i></b>					
31 01 08 01	Translation expenditure	5.2	14 500 000	14 500 000	16 099 898,89	111,03

## COMMISSION

## TITLE 31 — LANGUAGE SERVICES

## CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (cont'd)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>31 01 08</b>	(cont'd)					
31 01 08 02	Support expenditure for operations of the Directorate-General for Translation	5.2	1 579 000	1 648 000	1 850 091,80	117,17
	Article 31 01 08 — Subtotal		16 079 000	16 148 000	17 949 990,69	111,64
<b>31 01 09</b>	<b>Interinstitutional cooperation activities in the language field</b>	5.2	649 000	640 000	1 089 944,43	167,94
<b>31 01 10</b>	<b>Translation Centre for the Bodies of the European Union</b>	5.2	p.m.	p.m.	0,—	
	<b>Chapter 31 01 — Total</b>		<b>398 824 459</b>	<b>389 488 765</b>	<b>443 756 554,45</b>	<b>111,27</b>

**31 01 01 Expenditure relating to officials and temporary staff in the 'Language services' policy area**

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
324 388 539	316 071 418	325 997 957,89

**31 01 02 External personnel and other management expenditure in support of the 'Language services' policy area**

## 31 01 02 01 External personnel

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
10 057 341	9 639 551	10 248 904,09

## 31 01 02 11 Other management expenditure

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
4 727 753	4 703 668	5 513 697,19

**31 01 03 Expenditure relating to information and communication technology equipment and services, and other working expenditure of the 'Language services' policy area**

## 31 01 03 01 Expenditure relating to information and communication technology equipment and services

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
20 702 826	20 066 128	23 538 678,48

COMMISSION  
TITLE 31 — LANGUAGE SERVICESCHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (*cont'd*)31 01 03 (*cont'd*)

## 31 01 03 04 Technical equipment and services for the Commission conference rooms

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 300 000	2 300 000	2 982 729,44

*Remarks*

This appropriation is intended to cover expenditure for:

- equipment needed for the operation of the Commission's conference rooms with interpretation booths,
- technical services related to the operation of the Commission's meetings and conferences in Brussels.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation is intended to cover expenditure incurred within the territory of the Union, excluding the Commission Representations in the Union.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

31 01 07 ***Interpretation expenditure***

## 31 01 07 01 Interpretation expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
18 262 000	18 262 000	52 084 265,55

## COMMISSION

## TITLE 31 — LANGUAGE SERVICES

CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (*cont'd*)31 01 07 (*cont'd*)31 01 07 01 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- remuneration of freelance interpreters (auxiliary conference interpreters — ACIs) employed by the Directorate-General for Interpretation under Article 90 of the Conditions of Employment of Other Servants of the European Union, to allow the Directorate-General for Interpretation to make a sufficient number of qualified conference interpreters available to the institutions for which it provides interpreting services,
- remuneration comprising, in addition to fees, contributions to an old-age and life provident scheme, and to sickness and accident insurance, together with, for interpreters whose place of professional domicile is not the place of assignment, the reimbursement of travel expenses and the payment of flat-rate travel and subsistence allowances,
- services to the Commission provided by European Parliament interpreters (both officials and temporary staff),
- costs relating to services provided by interpreters in respect of the preparation of meetings and training,
- contracts for interpreting services concluded by the Directorate-General for Interpretation through the Commission's delegations in respect of meetings organised by the Commission in third countries.

The amount of assigned revenue pursuant to Article 21(3) of the Financial Regulation is estimated at EUR 30 638 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

31 01 07 02 Training and further training of conference interpreters

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
390 000	390 000	1 150 386,69



COMMISSION  
TITLE 31 — LANGUAGE SERVICES

CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (*cont'd*)

31 01 07 (*cont'd*)

31 01 07 02 (*cont'd*)

*Remarks*

This appropriation is intended to cover expenditure relating to activities intended to enable the Directorate-General for Interpretation to employ a sufficient number of qualified conference interpreters, particularly for certain language combinations, and to specific training for conference interpreters.

This includes, in particular, grants to universities, training for trainers and educational support programmes, together with student scholarships.

Under the agreement on working conditions for ACIs (conference interpreting agents), this category of interpreters has access to limited support for language training (i.e. language stay bursaries and training vouchers).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 810 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Agreement on working conditions and the pecuniary regime for conference interpreting agents (ACIs) recruited by the institutions of the European Union.

31 01 07 03 Information technology expenditure of the Directorate-General for Interpretation

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 268 000	1 268 000	3 200 000,—

*Remarks*

This appropriation is intended to cover all information technology expenditure of the Directorate-General for Interpretation, including:

## COMMISSION

## TITLE 31 — LANGUAGE SERVICES

CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (*cont'd*)31 01 07 (*cont'd*)31 01 07 03 (*cont'd*)

- the purchase or rental of personal computers, servers and microcomputers, the cost of back-up facilities, terminals, peripherals, connection devices, photocopiers, fax machines, all electronic equipment in use in the Directorate-General for Interpretation offices or interpreting booths, the software needed for the operation of such equipment, installation, configuration, maintenance, studies, documentation and associated supplies,
- the development and maintenance of information and message distribution systems for the Directorate-General for Interpretation, including documentation, specific training for these systems, studies and the acquisition of knowledge and expertise in the field of information technology: quality, security, technology, the Internet, development methodology, data management,
- technical and logistical support, training and documentation in connection with information technology equipment and software, general-interest information technology training and literature, external personnel for operating and administering databases, office services and subscriptions,
- the purchase or rental, maintenance of and support for transmission and communication equipment and software as well as the associated training and charges.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 963 000.

*Reference acts*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**31 01 08 Translation expenditure**

## 31 01 08 01 Translation expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
14 500 000	14 500 000	16 099 898,89

*Remarks*

This appropriation is intended to cover expenditure for external translation services and expenditure related to other linguistic services entrusted to external contractors.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 624 000.

**CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘LANGUAGE SERVICES’ POLICY AREA** (*cont’d*)**31 01 08** (*cont’d*)31 01 08 01 (*cont’d*)*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

31 01 08 02 Support expenditure for operations of the Directorate-General for Translation

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 579 000	1 648 000	1 850 091,80

*Remarks*

In respect of terminology and linguistic databases, translation tools and documentary and library expenditure for the Directorate-General for Translation, this appropriation is intended to cover:

- expenditure on the acquisition, development and adaptation of software, translation tools and other multilingual tools or aids to translation and the acquisition, consolidation and extension of the contents of linguistic and terminological databases, translation memories and automatic translation dictionaries, particularly with a view to the more efficient treatment of multilingualism and enhanced interinstitutional cooperation,
- documentation and library expenditure to meet the needs of translators, in particular:
  - to supply the libraries with monolingual books and subscriptions to selected newspapers and periodicals,
  - to allocate individual endowments for the acquisition of a stock of dictionaries and language guides for new translators,
  - to acquire dictionaries, encyclopaedias and vocabularies in electronic form or via web access to documentary databases,
  - to constitute and keep up the basic stock of multilingual libraries by purchasing reference books.

This appropriation covers expenditure incurred within the territory of the Union, excluding Joint Research Centre sites, for which expenditure is entered in Article 01 05 of the titles concerned.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 347 000.

COMMISSION

TITLE 31 — LANGUAGE SERVICES

CHAPTER 31 01 — ADMINISTRATIVE EXPENDITURE OF THE 'LANGUAGE SERVICES' POLICY AREA (*cont'd*)**31 01 09      *Interinstitutional cooperation activities in the language field****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
649 000	640 000	1 089 944,43

*Remarks*

This appropriation is intended to cover expenditure on cooperation activities organised by the Interinstitutional Committee for Translation and Interpretation to promote interinstitutional cooperation in the field of languages.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 580 000.

**31 01 10      *Translation Centre for the Bodies of the European Union****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover staff and administrative expenditure (Titles 1 and 2), and operational expenditure (Title 3) of the Translation Centre for the Bodies of the European Union (the Translation Centre).

The budgetary resources of the Translation Centre consist of financial contributions from the agencies for which it works and the institutions and bodies with which it cooperates, without prejudice to other revenue.

The amounts repaid in accordance with Article 23 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Translation Centre is set out in Annex 'Staff' to this section.

*Legal basis*

Council Regulation (EC) No 2965/94 of 28 November 1994 setting up a Translation Centre for bodies of the European Union (OJ L 314, 7.12.1994, p. 1).

*Reference acts*

Declaration of the representatives of the Governments of the Member States meeting in Brussels on 29 October 1993 at Head of State or Government level.

*TITLE 32*

**ENERGY**

COMMISSION

TITLE 32 — ENERGY

**TITLE 32****ENERGY****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
32 01	ADMINISTRATIVE EXPENDITURE IN THE 'ENERGY' POLICY AREA	82 340 477	82 340 477	73 257 942	73 257 942	73 579 547,46	73 579 547,46
32 02	CONVENTIONAL AND RENEWABLE ENERGY	641 188 400	374 741 196	412 456 000	498 668 603	426 292 176,42	264 890 139,55
32 03	NUCLEAR ENERGY	163 258 000	174 900 000	159 853 000	175 269 771	153 686 985,74	184 715 893,20
32 04	HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY	324 676 361	426 866 961	327 988 791	297 692 895	345 338 778,67	220 741 750,77
32 05	ITER	320 212 092	464 737 000	382 215 057	496 677 968	722 798 821,93	422 982 076,93
<b>Title 32 — Total</b>		<b>1 531 675 330</b>	<b>1 523 585 634</b>	<b>1 355 770 790</b>	<b>1 541 567 179</b>	<b>1 721 696 310,22</b>	<b>1 166 909 407,91</b>

## TITLE 32

## ENERGY

## CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘ENERGY’ POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
32 01	ADMINISTRATIVE EXPENDITURE IN THE ‘ENERGY’ POLICY AREA					
32 01 01	<i>Expenditure related to officials and temporary staff in the ‘Energy’ policy area</i>	5.2	58 891 325	49 911 442	49 511 632,21	84,07
32 01 02	<i>External personnel and other management expenditure in support of the ‘Energy’ policy area</i>					
32 01 02 01	External personnel	5.2	2 491 646	2 675 532	2 681 754,30	107,63
32 01 02 11	Other management expenditure	5.2	1 673 950	1 877 202	1 871 801,48	111,82
	<i>Article 32 01 02 — Subtotal</i>		4 165 596	4 552 734	4 553 555,78	109,31
32 01 03	<i>Expenditure related to information and communication technology equipment and services of the ‘Energy’ policy area</i>	5.2	3 758 508	3 168 680	3 579 936,61	95,25
32 01 04	<i>Support expenditure for oper- ations and programmes in the ‘Energy’ policy area</i>					
32 01 04 01	Support expenditure for Connecting Europe Facility — Energy	1.1	1 978 000	1 978 000	2 063 776,—	104,34
32 01 04 02	Support expenditure for nuclear decommissioning assistance programme	1.1	p.m.	p.m.	0,—	
	<i>Article 32 01 04 — Subtotal</i>		1 978 000	1 978 000	2 063 776,—	104,34
32 01 05	<i>Support expenditure for research and innovation programmes in the ‘Energy’ policy area</i>					
32 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1.1	1 700 000	1 686 288	1 806 884,—	106,29
32 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	1.1	712 140	775 597	890 467,—	125,04

COMMISSION

TITLE 32 — ENERGY

**CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘ENERGY’ POLICY AREA** (*cont’d*)

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>32 01 05</b>	( <i>cont’d</i> )					
32 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1.1	1 108 000	1 357 258	1 714 267,19	154,72
32 01 05 21	Expenditure related to officials and temporary staff implementing research and innovation programmes — ITER	1.1	7 181 658	7 033 943	6 781 276,—	94,42
32 01 05 22	External personnel implementing research and innovation programmes — ITER	1.1	227 250	225 000	232 035,—	102,11
32 01 05 23	Other management expenditure for research and innovation programmes — ITER	1.1	2 499 000	2 450 000	2 347 717,67	93,95
	<i>Article 32 01 05 — Subtotal</i>		13 428 048	13 528 086	13 772 646,86	102,57
<b>32 01 07</b>	<b>Euratom contribution for operation of the Supply Agency</b>	5.2	119 000	119 000	98 000,—	82,35
	<b>Chapter 32 01 — Total</b>		<b>82 340 477</b>	<b>73 257 942</b>	<b>73 579 547,46</b>	<b>89,36</b>

**32 01 01      Expenditure related to officials and temporary staff in the ‘Energy’ policy area***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
58 891 325	49 911 442	49 511 632,21

**32 01 02      External personnel and other management expenditure in support of the ‘Energy’ policy area**

32 01 02 01      External personnel

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 491 646	2 675 532	2 681 754,30

32 01 02 11      Other management expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 673 950	1 877 202	1 871 801,48



CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘ENERGY’ POLICY AREA (*cont’d*)**32 01 03**      ***Expenditure related to information and communication technology equipment and services of the ‘Energy’ policy area****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 758 508	3 168 680	3 579 936,61

**32 01 04**      ***Support expenditure for operations and programmes in the ‘Energy’ policy area*****32 01 04 01**      Support expenditure for Connecting Europe Facility — Energy*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 978 000	1 978 000	2 063 776,—

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Chapter 32 02.

**32 01 04 02**      Support expenditure for nuclear decommissioning assistance programme*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure on studies and meetings of experts directly linked to the achievement of the objective of the programme or measures coming under this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

See Chapter 32 03.

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TITLE 32 — ENERGY

CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE 'ENERGY' POLICY AREA (*cont'd*)**32 01 05 Support expenditure for research and innovation programmes in the 'Energy' policy area**

32 01 05 01 Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 700 000	1 686 288	1 806 884,—

*Remarks*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020, occupying posts on the authorised establishment plans engaged in indirect action under the non-nuclear programmes, including officials and temporary staff posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 32 04.

32 01 05 02 External personnel implementing research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
712 140	775 597	890 467,—

*Remarks*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including external personnel posted in Union delegations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 32 04.

CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘ENERGY’ POLICY AREA (*cont’d*)32 01 05 (*cont’d*)

## 32 01 05 03 Other management expenditure for research and innovation programmes — Horizon 2020

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 108 000	1 357 258	1 714 267,19

*Remarks*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — Horizon 2020, in the form of indirect action under the non-nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the ‘European Economic Area’ Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

See Chapter 32 04.

## 32 01 05 21 Expenditure related to officials and temporary staff implementing research and innovation programmes — ITER

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
7 181 658	7 033 943	6 781 276,—

*Remarks*

*Former Item 08 01 05 21*

This appropriation is intended to cover expenditure relating to officials and temporary staff implementing research and innovation programmes — the programme for the ITER project, occupying posts on the authorised establishment plans engaged in indirect action under the nuclear and non-nuclear programmes, including officials and temporary staff posted in Union delegations.

COMMISSION

TITLE 32 — ENERGY

**CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘ENERGY’ POLICY AREA** (*cont’d*)**32 01 05** (*cont’d*)32 01 05 21 (*cont’d*)*Legal basis*

See Chapter 32 05.

32 01 05 22 External personnel implementing research and innovation programmes — ITER

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
227 250	225 000	232 035,—

*Remarks**Former Item 08 01 05 22*

This appropriation is intended to cover expenditure on external personnel implementing research and innovation programmes — the programme for the ITER project, in the form of indirect action under the nuclear programmes, including external personnel posted in Union delegations.

*Legal basis*

See Chapter 32 05.

32 01 05 23 Other management expenditure for research and innovation programmes — ITER

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 499 000	2 450 000	2 347 717,67

*Remarks**Former Item 08 01 05 23*

This appropriation is intended to cover other administrative expenditure for all management of research and innovation programmes — the programme for the ITER project, in the form of indirect action under the nuclear programmes, including other administrative expenditure incurred by staff posted in Union delegations.

This appropriation is also intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives of the programme or measures coming under this item and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

**CHAPTER 32 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘ENERGY’ POLICY AREA** (*cont’d*)**32 01 05** (*cont’d*)**32 01 05 23** (*cont’d*)

It is also intended to cover expenditure on technical and administrative assistance relating to the identification, preparation, management, monitoring, audit and supervision of the programme or projects, such as conferences, workshops, seminars, development and maintenance of IT systems, missions, training and representation expenses.

*Legal basis*

See Chapter 32 05.

**32 01 07** ***Euratom contribution for operation of the Supply Agency***

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
119 000	119 000	98 000,—

*Remarks*

Since expenditure on staff and buildings is included in the appropriations entered in Items XX 01 01 01 and XX 01 03 01 and Article 26 01 23, the Commission contribution, together with the Agency's own revenue, is intended to cover expenditure incurred by the Agency in its activities.

At its 23rd session on 1 and 2 February 1960, the Euratom Council proposed unanimously that the Commission postpone not only the levying of the charge (intended to cover the operating expenditure of the Euratom Supply Agency) but also the actual introduction of that charge. Since then a subsidy has been included in the budget to balance the revenue side against the Agency expenditure figures shown in the statement of estimates.

*Legal basis*

Treaty establishing the European Atomic Energy Community, and in particular Articles 52, 53 and 54 thereof.

*Reference acts*

Council Decision 2008/114/EC, Euratom of 12 February 2008 establishing Statutes for the Euratom Supply Agency (OJ L 41, 15.2.2008, p. 15), and in particular Articles 4, 6 and 7 of the Annex thereto.

COMMISSION

TITLE 32 — ENERGY

## CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
32 02	CONVENTIONAL AND RENEWABLE ENERGY								
32 02 01	<b>Connecting Europe Facility</b>								
32 02 01 01	Further integration of the internal energy market and the interoperability of electricity and gas networks across borders	1.1	182 235 000	43 223 000	115 554 000	14 631 591	124 373 261,24	0,—	0
32 02 01 02	Enhancing Union security of energy supply	1.1	182 235 000	43 223 000	115 554 000	14 631 591	122 042 833,—	0,—	0
32 02 01 03	Contributing to sustainable development and protection of the environment	1.1	182 235 818	43 223 000	115 555 000	14 631 591	122 042 833,—	0,—	0
32 02 01 04	Creating an environment more conducive to private investment for energy projects	1.1	73 908 000	31 201 614	48 518 000	19 952 080	40 771 000,—	0,—	0
	<i>Article 32 02 01 — Subtotal</i>		620 613 818	160 870 614	395 181 000	63 846 853	409 229 927,24	0,—	0
32 02 02	<b>Support activities for the European energy policy and internal energy market</b>	1.1	5 098 000	5 000 000	4 998 000	3 481 176	4 140 840,67	3 656 017,65	73,12
32 02 03	<b>Security of energy installations and infrastructure</b>	1.1	312 000	436 000	306 000	261 088	266 816,83	159 481,18	36,58
32 02 10	<b>Agency for the Cooperation of Energy Regulators (ACER)</b>	1.1	15 164 582	15 164 582	10 851 000	10 851 000	10 880 605,99	10 880 000,—	71,75
32 02 51	<b>Completion of financial support for projects of common interest in the trans-European energy network</b>	1.1	p.m.	15 000 000	p.m.	12 569 810	0,—	10 847 727,13	72,32
32 02 52	<b>Completion of energy projects to aid economic recovery</b>	1.1	p.m.	176 000 000	p.m.	406 598 676	23 985,69	239 134 790,12	135,87
32 02 77	<b>Pilot projects and preparatory actions</b>								
32 02 77 01	Pilot project — Energy security — Shale gas	1.1	p.m.	p.m.	p.m.	p.m.	0,—	28 523,87	
32 02 77 02	Preparatory action — Cooperation mechanisms implementing the renewable energy sources (Directive 2009/28/EC)	2	p.m.	p.m.	p.m.	p.m.	0,—	183 599,60	

CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>32 02 77</b>	( <i>cont'd</i> )								
32 02 77 05	Preparatory action — European islands for a common energy policy	1.1	p.m.	p.m.	—	p.m.	0,—	0,—	
32 02 77 06	Pilot project — Techno-economic models for district heating networks with multiple inputs	2	p.m.	1 250 000	p.m.	500 000	1 750 000,—	0,—	0
32 02 77 07	Pilot project — Feasibility study on the financing of low-cost energy efficiency measures in low-income households	1.1	p.m.	20 000	120 000	60 000			
32 02 77 08	Pilot project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States	1.1	p.m.	1 000 000	1 000 000	500 000			
	<i>Article 32 02 77 — Subtotal</i>		p.m.	2 270 000	1 120 000	1 060 000	1 750 000,—	212 123,47	9,34
	<b>Chapter 32 02 — Total</b>		<b>641 188 400</b>	<b>374 741 196</b>	<b>412 456 000</b>	<b>498 668 603</b>	<b>426 292 176,42</b>	<b>264 890 139,55</b>	<b>70,69</b>

**32 02 01** *Connecting Europe Facility*

32 02 01 01 Further integration of the internal energy market and the interoperability of electricity and gas networks across borders

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
182 235 000	43 223 000	115 554 000	14 631 591	124 373 261,24	0,—

*Remarks*

This appropriation is intended to cover the cost of co-financing studies and works for projects of common interest which contribute primarily to the integration of the internal energy market and the interoperability of gas and electricity networks across borders.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 4(3)(a) thereof.

COMMISSION

TITLE 32 — ENERGY

CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (*cont'd*)32 02 01 (*cont'd*)

## 32 02 01 02 Enhancing Union security of energy supply

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
182 235 000	43 223 000	115 554 000	14 631 591	122 042 833,—	0,—

*Remarks*

This appropriation is intended to cover the cost of co-financing studies and works for projects of common interest which contribute primarily to enhancing Union security of energy supply, system resilience and security of system operations.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 4(3)(b) thereof.

## 32 02 01 03 Contributing to sustainable development and protection of the environment

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
182 235 818	43 223 000	115 555 000	14 631 591	122 042 833,—	0,—

*Remarks*

This appropriation is intended to cover the cost of co-financing studies and works for projects of common interest which contribute primarily to sustainable development and protection of the environment, including through the integration of energy from renewable sources into the transmission network, and through the development of smart energy networks and carbon dioxide networks.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129), and in particular Article 4(3)(c) thereof.



**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)**32 02 01** (*cont'd*)**32 02 01 04** Creating an environment more conducive to private investment for energy projects*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
73 908 000	31 201 614	48 518 000	19 952 080	40 771 000,—	0,—

*Remarks*

This appropriation is intended to cover the cost of the Union contribution to financial instruments established under the Connecting Europe Facility to enable or facilitate access to long-term financing or private investors' resources and thus to accelerate or to make possible the financing of projects of common interest eligible under Regulation (EU) No 347/2013 of the European Parliament and of the Council of 17 April 2013 on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC and amending Regulations (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009 (OJ L 115, 25.4.2013, p. 39). The financial instruments will be set up as 'debt' or 'equity' frameworks following an *ex ante* assessment as required by Article 224 of Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1). They are intended to be implemented under direct management mode by the entrusted entities, within the meaning of the Financial Regulation, or jointly with the entrusted entities.

Any repayment from financial instruments pursuant to Article 140(6) of the Financial Regulation, including capital repayments, guarantees released and repayment of the principal of loans, paid back to the Commission and entered in Item 6 3 4 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21(3)(i) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1316/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

**32 02 02** ***Support activities for the European energy policy and internal energy market****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 098 000	5 000 000	4 998 000	3 481 176	4 140 840,67	3 656 017,65

*Remarks*

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, financing, evaluation and implementation of a competitive, safe and sustainable European energy policy, of the internal energy market and its extension to third countries, of all aspects of energy supply security in a European and global perspective as well as the strengthening of the rights and protection of energy users by supplying quality services at transparent and comparable prices.

COMMISSION

TITLE 32 — ENERGY

**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)**32 02 02** (*cont'd*)

The principal endorsed objectives are to implement a progressive common European policy in line with the Energy Union strategy (COM(2015) 80 final) ensuring continuous energy supply security, good functioning of the internal energy market and access to transport networks of energy, observation of energy market, analysis of modelling including scenarios on the impact of considered policies and the strengthening of the rights and protection of energy users, based on general and particular knowledge of global and European energy markets for all energy types.

This appropriation is also intended to cover experts' expenditure directly linked to the collection, validation and analysis of necessary information on energy market observation, as well as expenditure on information and communication, conferences and events promoting activities in the energy sector, electronic and paper publications, audiovisual products as well as the development of different web-based and social media activities directly linked to the achievement of the objective of the energy policy. This appropriation will also support an enhanced energy dialogue with the Union's key energy partners and international agencies in the field of energy.

*Legal basis*

Council Regulation (EC) No 2964/95 of 20 December 1995 introducing registration for crude oil imports and deliveries in the Community (OJ L 310, 22.12.1995, p. 5).

Council Decision 1999/280/EC of 22 April 1999 regarding a Community procedure for information and consultation on crude oil supply costs and the consumer prices of petroleum products (OJ L 110, 28.4.1999, p. 8).

Directive 2005/89/EC of the European Parliament and of the Council of 18 January 2006 concerning measures to safeguard security of electricity supply and infrastructure investment (OJ L 33, 4.2.2006, p. 22).

Decision No 1673/2006/EC of the European Parliament and of the Council of 24 October 2006 on the financing of European standardisation (OJ L 315, 15.11.2006, p. 9).

Regulation (EC) No 714/2009 of the European Parliament and of the Council of 13 July 2009 on conditions for access to the network for cross-border exchanges in electricity (OJ L 211, 14.8.2009, p. 15).

Regulation (EC) No 715/2009 of the European Parliament and of the Council of 13 July 2009 on conditions for access to the natural gas transmission networks (OJ L 211, 14.8.2009, p. 36).

Directive 2009/72/EC of the European Parliament and of the Council of 13 July 2009 concerning common rules for the internal market in electricity and repealing Directive 2003/54/EC (OJ L 211, 14.8.2009, p. 55).

Directive 2009/73/EC of the European Parliament and of the Council of 13 July 2009 concerning common rules for the internal market in natural gas and repealing Directive 2003/55/EC (OJ L 211, 14.8.2009, p. 94).

Council Directive 2009/119/EC of 14 September 2009 imposing an obligation on Member States to maintain minimum stocks of crude oil and/or petroleum products (OJ L 265, 9.10.2009, p. 9).

Council Regulation (EU, Euratom) No 617/2010 of 24 June 2010 concerning the notification to the Commission of investment projects in energy infrastructure within the European Union and repealing Regulation (EC) No 736/96 (OJ L 180, 15.7.2010, p. 7).

**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)**32 02 02** (*cont'd*)

Commission Regulation (EU, Euratom) No 833/2010 of 21 September 2010 implementing Council Regulation (EU, Euratom) No 617/2010 concerning the notification to the Commission of investment projects in energy infrastructure within the European Union (OJ L 248, 22.9.2010, p. 36).

Regulation (EU) No 994/2010 of the European Parliament and of the Council of 20 October 2010 concerning measures to safeguard security of gas supply and repealing Council Directive 2004/67/EC (OJ L 295, 12.11.2010, p. 1).

Decision No 994/2012/EU of the European Parliament and of the Council of 25 October 2012 establishing an information exchange mechanism with regard to intergovernmental agreements between Member States and third countries in the field of energy (OJ L 299, 27.10.2012, p. 13).

Directive 2012/27/EU of the European Parliament and of the Council of 25 October 2012 on energy efficiency, amending Directives 2009/125/EC and 2010/30/EU and repealing Directives 2004/8/EC and 2006/32/EC (OJ L 315, 14.11.2012, p. 1).

Directive 2013/30/EU of the European Parliament and of the Council of 12 June 2013 on safety of offshore oil and gas operations and amending Directive 2004/35/EC (OJ L 178, 28.6.2013, p. 66).

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*Reference acts*

Commission Decision of 19 January 2012 on setting up European Union Offshore Oil and Gas Authority Group (OJ C 18, 21.1.2012, p. 8).

Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee, the Committee of the Regions and the European Investment Bank of 25 February 2015 on a framework strategy for a resilient Energy Union with a forward-looking climate change policy (COM(2015) 80 final).

**32 02 03** ***Security of energy installations and infrastructure****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
312 000	436 000	306 000	261 088	266 816,83	159 481,18

*Remarks*

This appropriation is intended to cover expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, communication, monitoring, evaluation and implementation of the rules and measures required to improve the security of the energy sector, technical assistance and specific training.

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**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)**32 02 03** (*cont'd*)

The main objectives are to develop and apply security rules in the field of energy and in particular:

- measures to prevent malicious acts in the energy sector, with particular reference to the installations and infrastructure of the European system of energy generation and transmission,
- approximation of legislation, technical standards and administrative monitoring practices relating to energy security,
- definition of common indicators, methods and security objectives for the energy sector and collection of the data necessary for such definition,
- monitoring of measures taken on energy security by national authorities, operators and other key actors in the field,
- international coordination on energy security, including neighbouring supplier and transit countries and other world partners,
- promoting technology development in energy security.

This appropriation may also cover expenditure on information and communication, as well as electronic and paper publications directly linked to the achievement of the objective of this article.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**32 02 10** ***Agency for the Cooperation of Energy Regulators (ACER)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
15 164 582	15 164 582	10 851 000	10 851 000	10 880 605,99	10 880 000,—

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2) and operational expenditure relating to the work programme (Title 3).

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)**32 02 10** (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of Commission Regulation (EC, Euratom) No 2343/2002 constitute assigned revenue under Article 21(3)(c) of the Financial Regulation to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Agency for the Cooperation of Energy Regulators is set out in the Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 15 872 582. An amount of EUR 708 000 coming from the recovery of surplus is added to the amount of EUR 15 164 582 entered in the budget.

*Legal basis*

Regulation (EC) No 713/2009 of the European Parliament and of the Council of 13 July 2009 establishing an Agency for the Cooperation of Energy Regulators (OJ L 211, 14.8.2009, p. 1).

Regulation (EU) No 1227/2011 of the European Parliament and of the Council of 25 October 2011 on wholesale energy market integrity and transparency (OJ L 326 8.12.2011, p. 1).

Regulation (EU) No 347/2013 of the European Parliament and of the Council of 17 April 2013 on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC and amending Regulations (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009 (OJ L 115, 25.4.2013, p. 39).

**32 02 51** ***Completion of financial support for projects of common interest in the trans-European energy network****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	15 000 000	p.m.	12 569 810	0,—	10 847 727,13

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

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**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)**32 02 51** (*cont'd*)*Legal basis*

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1).

Decision No 1364/2006/EC of the European Parliament and of the Council of 6 September 2006 laying down guidelines for trans-European energy networks (OJ L 262, 22.9.2006, p. 1).

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

Regulation (EU) No 347/2013 of the European Parliament and of the Council of 17 April 2013 on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC and amending Regulations (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009 (OJ L 115, 25.4.2013, p. 39).

**32 02 52** ***Completion of energy projects to aid economic recovery****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	176 000 000	p.m.	406 598 676	23 985,69	239 134 790,12

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 13 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

**32 02 77** ***Pilot projects and preparatory actions*****32 02 77 01** Pilot project — Energy security — Shale gas*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	28 523,87

**CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY** (*cont'd*)**32 02 77** (*cont'd*)32 02 77 01 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

32 02 77 02 Preparatory action — Cooperation mechanisms implementing the renewable energy sources (Directive 2009/28/EC)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	183 599,60

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

32 02 77 05 Preparatory action — European islands for a common energy policy

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	—	p.m.	0,—	0,—

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CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (*cont'd*)32 02 77 (*cont'd*)32 02 77 05 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

32 02 77 06 Pilot project — Techno-economic models for district heating networks with multiple inputs

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 250 000	p.m.	500 000	1 750 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

32 02 77 07 Pilot project — Feasibility study on the financing of low-cost energy efficiency measures in low-income households

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	20 000	120 000	60 000		



CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (*cont'd*)32 02 77 (*cont'd*)32 02 77 07 (*cont'd*)*Remarks*

In connection with emergency plans to ensure Union energy supply security and reduce dependence on energy imports, special protection should be given to vulnerable consumers hit by energy poverty. Energy used in buildings accounts for 40 % of energy use within the Union. Financing deep renovation of buildings was recently recognised as a key European and Structural Fund priority for improving energy efficiency and thereby energy security. However, optimum ways of mobilising financing to support specific low-cost energy efficiency measures that could be implemented relatively quickly in low-income households still need to be found. Such financing measures, using any available Union funds and budget lines, would help vulnerable consumers to reduce their energy needs without reducing their standard of living. A financing feasibility study will be carried out. The objectives of the study will include determining what is needed in practice to make Union funds available to this group of citizens for such short-term measures, properly taking into account social and economic impacts (creation of local jobs, reduction of consumers' bills, etc.), barriers represented by split incentives between tenants and building owners, complementarity with longer-term energy-efficiency measures and the financing already available at Union and national level.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

32 02 77 08 Pilot project — Fuel/energy poverty — Assessment of the impact of the crisis and review of existing and possible new measures in the Member States

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 000 000	1 000 000	500 000		

*Remarks*

The pilot project will assess the impact of the economic and financial crisis on energy poverty in the Union, focusing in particular on Member States where this issue has not yet been examined and/or addressed by policy measures.

It will also analyse existing data on those affected by fuel poverty, the measures put in place by Member States and definitions and indicators.

*Action to be funded*

A study looking at:

- the possibility of introducing a definition of energy poverty based on common parameters adjusted to take account of the specific circumstances in each Member State,

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CHAPTER 32 02 — CONVENTIONAL AND RENEWABLE ENERGY (*cont'd*)32 02 77 (*cont'd*)32 02 77 08 (*cont'd*)

- the possibility of setting up specific financial schemes regarding energy costs for the most vulnerable households (such as fair energy pricing, support on a one-off basis or as part of other social assistance, action to prevent non-payment of bills and protection against the cutting-off of supplies),
- the possibility of establishing specific regional or national funds to reduce energy poverty, which could be funded by means of a financial contribution from energy providers which reflects their obligation to reduce consumption pursuant to Directive 2012/27/EU of the European Parliament and of the Council of 25 October 2012 on energy efficiency, amending Directives 2009/125/EC and 2010/30/EU and repealing Directives 2004/8/EC and 2006/32/EC (OJ L 315, 14.11.2012, p. 1),
- incentives and instructive measures to help residents save energy,
- the use of effective, long-term financial leverage to make residential buildings more energy-efficient in both urban and rural areas, while ensuring that this does not lead to a significant increase in housing costs for tenants once energy savings have been taken into account; the Commission will coordinate these efforts and examine the possibility of introducing incentives,
- how energy efficiency measures to tackle energy poverty can also help prevent health problems (such as respiratory and cardiovascular conditions, allergies, asthma, food and carbon monoxide poisoning, and impacts on mental health),
- tariff compositions in Member States, including the recent introduction of higher taxes on energy,
- measures put in place in Member States.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 32 03 — NUCLEAR ENERGY

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
32 03	NUCLEAR ENERGY								
32 03 01	<i>Nuclear safeguards</i>	1.1	23 749 000	21 400 000	23 107 000	15 665 291	20 128 027,34	19 072 956,16	89,13
32 03 02	<i>Nuclear safety and protection against radiation</i>	1.1	3 865 000	3 500 000	3 762 000	2 436 823	3 181 958,40	1 085 053,32	31,00
32 03 03	<i>Nuclear decommissioning assistance programme in Lithuania</i>	1.1	63 090 000	9 000 000	61 853 000	p.m.	60 641 000,—	0,—	0
32 03 04	<i>Nuclear decommissioning assistance programme</i>								
32 03 04 01	Kozloduy programme	1.1	41 009 000	p.m.	40 205 000	p.m.	39 416 000,—	0,—	
32 03 04 02	Bohunice programme	1.1	31 545 000	15 000 000	30 926 000	p.m.	30 320 000,—	0,—	0
	Article 32 03 04 — Subtotal		72 554 000	15 000 000	71 131 000	p.m.	69 736 000,—	0,—	0
32 03 51	<i>Completion of nuclear decommissioning assistance (2007 to 2013)</i>	1.1	p.m.	126 000 000	p.m.	157 167 657	0,—	164 557 883,72	130,60
	Chapter 32 03 — Total		163 258 000	174 900 000	159 853 000	175 269 771	153 686 985,74	184 715 893,20	105,61

32 03 01 *Nuclear safeguards*

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
23 749 000	21 400 000	23 107 000	15 665 291	20 128 027,34	19 072 956,16

## Remarks

This appropriation is intended to cover, in particular:

- expenses for missions by inspectors carried out in accordance with pre-established six-monthly programmes, short-notice inspections (daily subsistence allowances and travel expenses),
- training of inspectors and meetings with Member States, international organisations, nuclear operators and other stakeholders,
- purchases of equipment for use in inspections, particularly purchases of monitoring equipment such as digital video systems, gamma, neutron and infrared measurement devices, electronic seals and seal-reader systems,
- procurement and renewal of information technology hardware for inspections,
- specific information technology projects for inspections (development and maintenance),

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32 03 01 (*cont'd*)

- replacement of monitoring and measurement devices which have reached the end of their service life,
- maintenance of equipment, including insurance of specific equipment at the Canberra, Ametek, Fork and GBNS sites,
- technical infrastructure measures, including waste management and sample transport,
- on-site analyses (labour costs and mission expenses for analysts),
- agreements on working premises on site (laboratories, offices, etc.),
- day-to-day management of on-site installations and central laboratories (repairs, maintenance, information technology hardware, purchases of supplies and consumables, etc.),
- information technology support and tests for applications for inspections.

The following will also give rise to the provision of additional appropriations in accordance with Article 21(3) of the Financial Regulation:

- paid insurance claims,
- repayment of sums unduly paid by the Commission for goods, work or services.

This appropriation may also cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objectives coming under this article, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Chapter 7 of Title II and Article 174.

Commission Regulation (Euratom) No 302/2005 of 8 February 2005 on the application of Euratom safeguards (OJ L 54, 28.2.2005, p. 1).

*Reference acts*

Multipartite Agreement between the Community, the non-nuclear-weapon Member States and the International Atomic Energy Agency.

Tripartite Agreement between the Community, the United Kingdom and the International Atomic Energy Agency.

Tripartite Agreement between the Community, France and the International Atomic Energy Agency.

**CHAPTER 32 03 — NUCLEAR ENERGY** (*cont'd*)**32 03 01** (*cont'd*)

Bilateral Cooperation Agreements between the Community and third countries such as the United States of America, Canada and Australia.

Commission communication of 24 March 1992 to the European Parliament and to the Council concerning a Commission decision on the implementation of on-site laboratories for verification analysis of safeguards samples (SEC(1992) 515).

**32 03 02** ***Nuclear safety and protection against radiation***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 865 000	3 500 000	3 762 000	2 436 823	3 181 958,40	1 085 053,32

*Remarks*

This appropriation is intended to cover:

- expenditure incurred by the Commission for collecting and processing information of all kinds needed for the analysis, definition, promotion, monitoring, evaluation and implementation of the common policy on nuclear safety and security, particularly in the new Member States and of the rules and measures in the field of radiation protection,
- expenditure on measures and actions relating to monitoring and protection against the effects of ionising radiation and aimed at ensuring the health protection of the population and the protection of the environment against the dangers of radiation and radioactive substances. These actions relate to specific tasks provided for under the Treaty establishing the European Atomic Energy Community,
- expenditure on the establishment and operation of a corps of inspectors to check protection against ionising radiation in the Member States. This expenditure includes, in addition to subsistence allowances and travel (mission) expenses, costs for training, for preparatory meetings and for purchases of equipment to be used for inspections,
- expenditure linked to the implementation of the Commission's tasks referred to in point 31 of the European Council conclusions of 24 and 25 March 2011.

This appropriation may also cover expenditure on information and publications directly linked to the achievement of the objectives coming under this article.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Chapter 3 of Title II and Article 174.

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CHAPTER 32 03 — NUCLEAR ENERGY (*cont'd*)32 03 02 (*cont'd*)

Treaty establishing the European Atomic Energy Community, in particular Article 31 (collection of information and preparation of new legislation complementing the basic safety standards), Article 33 (implementation of directives, including in particular in the medical field (field C)) and Article 35, second paragraph (verification of monitoring of environmental radioactivity), thereof.

Council Decision 87/600/Euratom of 14 December 1987 on Community arrangements for the early exchange of information in the event of a radiological emergency (OJ L 371, 30.12.1987, p. 76).

Council Regulation (Euratom) No 3954/87 of 22 December 1987 laying down maximum permitted levels of radioactive contamination of foodstuffs and of feedingstuffs following a nuclear accident or any other case of radiological emergency (OJ L 371, 30.12.1987, p. 11).

Council Regulation (EC) No 733/2008 of 15 July 2008 on the conditions governing imports of agricultural products originating in third countries following the accident at the Chernobyl nuclear power station (OJ L 201, 30.7.2008, p. 1).

Council Directive 2009/71/Euratom of 25 June 2009 establishing a Community framework for the nuclear safety of nuclear installations (OJ L 172, 2.7.2009, p. 18).

Council Directive 2011/70/Euratom of 19 July 2011 establishing a Community framework for the responsible and safe management of spent fuel and radioactive waste (OJ L 199, 2.8.2011, p. 48).

Council Directive 2013/51/Euratom of 22 October 2013 laying down requirements for the protection of the health of the general public with regard to radioactive substances in water intended for human consumption (OJ L 296, 7.11.2013, p. 12).

Council Directive 2013/59/Euratom of 5 December 2013 laying down basic safety standards for protection against the dangers arising from exposure to ionising radiation, and repealing Directives 86/618/Euratom, 90/641/Euratom, 96/29/Euratom, 97/43/Euratom and 2003/122/Euratom (OJ L 13, 17.1.2014, p. 1).

32 03 03 **Nuclear decommissioning assistance programme in Lithuania**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
63 090 000	9 000 000	61 853 000	p.m.	60 641 000,—	0,—

**Remarks**

This appropriation is intended to cover the financing of the Ignalina (Lithuania) nuclear power plant decommissioning fund, in accordance with the agreements signed with Lithuania.

This expenditure also relates to the collection and processing of information of all kinds needed for the analysis, definition, promotion, monitoring and evaluation of the rules and measures in the field of decommissioning.

The Commission must submit a report on the implementation of funds committed under this article, as well as updated estimates of the costs and time schedules for operations to decommission the nuclear reactors concerned.

**CHAPTER 32 03 — NUCLEAR ENERGY** (*cont'd*)**32 03 03** (*cont'd*)

The financial allocation for the Ignalina programme may also cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the programme and the achievement of its objectives, in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union as far as they are related to the general objectives of Regulation (Euratom) No 1369/2013, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the programme.

The financial allocation for the Ignalina programme may also cover the expenses of technical and administrative assistance necessary to ensure the transition between that programme and the measures adopted under Regulation (EC) No 1990/2006.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Article 203.

Council Regulation (EU) No 1369/2013 of 13 December 2013 on Union support for the nuclear decommissioning assistance programmes in Lithuania, and repealing Regulation (EC) No 1990/2006 (OJ L 346, 20.12.2013, p. 7).

**32 03 04** ***Nuclear decommissioning assistance programme*****32 03 04 01** Kozloduy programme*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
41 009 000	p.m.	40 205 000	p.m.	39 416 000,—	0,—

*Remarks*

This appropriation is intended to cover the financing of the Kozloduy (Bulgaria) nuclear power plant decommissioning fund, in accordance with the agreements signed with Bulgaria.

This expenditure also relates to the collection and processing of information of all kinds needed for the analysis, definition, promotion, monitoring and evaluation of the rules and measures in the field of decommissioning.

The Commission must submit a report on the implementation of funds committed under this item, as well as updated estimates of the costs and time schedules for operations to decommission the nuclear reactors concerned.

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## TITLE 32 — ENERGY

CHAPTER 32 03 — NUCLEAR ENERGY (*cont'd*)32 03 04 (*cont'd*)32 03 04 01 (*cont'd*)

The financial allocation for the Kozloduy programme may also cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the programme and the achievement of its objectives, in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union as far as they are related to the general objectives of Regulation (Euratom) No 1368/2013, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the programme.

The financial allocation may also cover the expenses of technical and administrative assistance necessary to ensure the transition between the programme and the measures adopted under Regulation (Euratom) No 647/2010.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Article 203.

Council Regulation (Euratom) No 1368/2013 of 13 December 2013 on Union support for the nuclear decommissioning assistance programmes in Bulgaria and Slovakia, and repealing Regulations (Euratom) No 549/2007 and (Euratom) No 647/2010 (OJ L 346, 20.12.2013, p. 1).

## 32 03 04 02 Bohunice programme

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
31 545 000	15 000 000	30 926 000	p.m.	30 320 000,—	0,—

*Remarks*

This appropriation is intended to cover the financing of the Bohunice (Slovakia) nuclear power plant decommissioning fund, in accordance with the agreements signed with Slovakia.

This expenditure also relates to the collection and processing of information of all kinds needed for the analysis, definition, promotion, monitoring and evaluation of the rules and measures in the field of decommissioning.

The Commission must submit a report on the implementation of funds committed under this item, as well as updated estimates of the costs and time schedules for operations to decommission the nuclear reactors concerned.



**CHAPTER 32 03 — NUCLEAR ENERGY** (*cont'd*)**32 03 04** (*cont'd*)**32 03 04 02** (*cont'd*)

The financial allocation for the Bohunice programme may also cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the programme and the achievement of its objectives, in particular, studies, meetings of experts, information and communication actions, including corporate communication of the political priorities of the Union as far as they are related to the general objectives of Regulation (Euratom) No 1368/2013, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the programme.

The financial allocation may also cover the expenses of technical and administrative assistance necessary to ensure the transition between this programme and the measures adopted under Regulation (Euratom) No 549/2007.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Article 203.

Council Regulation (Euratom) No 1368/2013 of 13 December 2013 on Union support for the nuclear decommissioning assistance programmes in Bulgaria and Slovakia, and repealing Regulations (Euratom) No 549/2007 and (Euratom) No 647/2010 (OJ L 346, 20.12.2013, p. 1).

**32 03 51** **Completion of nuclear decommissioning assistance (2007 to 2013)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	126 000 000	p.m.	157 167 657	0,—	164 557 883,72

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Task resulting from specific powers directly conferred on the Commission by the Accession Treaty of 2003 (Protocol No 4 on the Ignalina nuclear power plant in Lithuania and Protocol No 9 on Unit 1 and Unit 2 of the Bohunice V1 nuclear power plant in Slovakia, both annexed to the Accession Treaty of 2003).

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Atomic Energy Community under Article 203.

The task concerning the Kozloduy nuclear plant in Bulgaria is similarly directly conferred upon the Commission by Article 30 of the 2005 Act of Accession.

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**CHAPTER 32 03 — NUCLEAR ENERGY** (*cont'd*)**32 03 51** (*cont'd*)

Council Regulation (EC) No 1990/2006 of 21 December 2006 on the implementation of Protocol No 4 on the Ignalina nuclear power plant in Lithuania to the Act of accession of the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland, Slovenia and Slovakia 'Ignalina Programme' (OJ L 411, 30.12.2006, p. 10).

Council Regulation (Euratom) No 549/2007 of 14 May 2007 on the implementation of Protocol No 9 on Unit 1 and Unit 2 of the Bohunice V1 nuclear power plant in Slovakia to the Act concerning the conditions of accession to the European Union of the Czech Republic, Estonia, Cyprus, Latvia, Lithuania, Hungary, Malta, Poland, Slovenia and Slovakia (OJ L 131, 23.5.2007, p. 1).

Regulation (Euratom) No 647/2010 of the Council of 13 July 2010 on financial assistance of the Union with respect to the decommissioning of Units 1 to 4 of the Kozloduy Nuclear Power Plant in Bulgaria (Kozloduy Programme) (OJ L 189, 22.7.2010, p. 9).

Council Regulation (Euratom) No 1368/2013 of 13 December 2013 on Union support for the nuclear decommissioning assistance programmes in Bulgaria and Slovakia, and repealing Regulations (Euratom) No 549/2007 and (Euratom) No 647/2010 (OJ L 346, 20.12.2013, p. 1).

Council Regulation (EU) No 1369/2013 of 13 December 2013 on Union support for the nuclear decommissioning assistance programmes in Lithuania, and repealing Regulation (EC) No 1990/2006 (OJ L 346, 20.12.2013, p. 7).

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## CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
32 04	HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY								
32 04 03	<i>Societal challenges</i>								
32 04 03 01	Making the transition to a reliable, sustainable and competitive energy system	1.1	324 676 361	256 130 706	327 988 791	133 691 606	328 594 621,55	6 049 503,22	2,36
	<i>Article 32 04 03 — Subtotal</i>		324 676 361	256 130 706	327 988 791	133 691 606	328 594 621,55	6 049 503,22	2,36
32 04 50	<i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i>								
32 04 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	14 947 221,44	151 386,36	
32 04 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	217 842,10	3 538 799,47	
	<i>Article 32 04 50 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	15 165 063,54	3 690 185,83	
32 04 51	<i>Completion of the seventh framework programme (2007 to 2013)</i>	1.1	p.m.	73 304 849	p.m.	80 389 724	155 155,35	115 825 652,24	158,01
32 04 52	<i>Completion of previous research framework programmes (prior to 2007)</i>	1.1	p.m.	p.m.	p.m.	2 784 940	81 002,57	6 197 239,77	
32 04 53	<i>Completion of the 'Intelligent energy — Europe' programme (2007 to 2013)</i>	1.1	p.m.	97 431 406	p.m.	80 826 625	1 342 935,66	88 979 169,71	91,32
32 04 54	<i>Completion of the 'Intelligent energy — Europe' programme (2003 to 2006)</i>	1.1	—	p.m.	—	p.m.	0,—	0,—	
	<b>Chapter 32 04 — Total</b>		<b>324 676 361</b>	<b>426 866 961</b>	<b>327 988 791</b>	<b>297 692 895</b>	<b>345 338 778,67</b>	<b>220 741 750,77</b>	<b>51,71</b>

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TITLE 32 — ENERGY

**CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY** (*cont'd*)*Remarks*

These remarks are applicable to all the budget lines in this chapter.

This appropriation will be used for Horizon 2020 — the framework programme for research and innovation, which covers the 2014 to 2020 period, and for the completion of the previous research programmes (seventh framework programme and preceding framework programmes) and the completion of the 'Intelligent energy — Europe' programmes (prior to 2014).

Horizon 2020 activities, in particular those addressed under the societal challenge 'Secure, clean and efficient energy', but also relevant parts of other sections of the programme, including 'Access to finance', implemented in line with the Union's energy policy framework, as well as the European strategic energy technology plan (SET-Plan) and the communication on energy technologies and innovation, will contribute primarily to the Europe 2020 flagship initiative 'Innovation Union' and other flagship initiatives, notably 'Resource-efficient Europe', 'An industrial policy for the globalisation era' and 'A digital agenda for Europe', as well as in the development and functioning of the European Research Area. Horizon 2020 will contribute to building an economy based on knowledge and innovation across the whole Union by leveraging sufficient additional research, development and innovation funding.

Horizon 2020 will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively, and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

This appropriation will be used in accordance with Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for the participation and dissemination in 'Horizon 2020 — the Framework Programme For Research And Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this chapter. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

**CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY** (*cont'd*)

Any revenue from states taking part in the European cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 21(2)(e) to (g) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

Additional appropriations will be made available under Item 32 04 50 01.

Administrative appropriations of this chapter will be provided under Article 32 01 05.

**32 04 03 Societal challenges***Remarks*

This priority of Horizon 2020 responds directly to the policy priorities and societal challenges identified in the Europe 2020 strategy. Those activities will be implemented using a challenge-based approach which brings together resources and knowledge across different fields, technologies and disciplines. The activities will cover the full cycle from research to market, with a new focus on innovation-related activities such as piloting, demonstration, test beds, support for public procurement, design, end-user-driven innovation, social innovation and market take-up of innovations. The activities will support directly the corresponding sectoral policy competences at Union level.

**32 04 03 01 Making the transition to a reliable, sustainable and competitive energy system***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
324 676 361	256 130 706	327 988 791	133 691 606	328 594 621,55	6 049 503,22

*Remarks*

This appropriation is intended to support the implementation of the societal challenge 'Secure, clean and efficient energy' of the Horizon 2020 priorities, in line with the Union's energy policy, the European strategic energy technology plan (SET-Plan) and the communication on energy technologies and innovation. These initiatives are primarily addressing energy efficiency, wind, solar, bioenergy, carbon capture and storage (CCS), smart cities and electricity grids. In recognition of their important contribution to future sustainable energy systems, at least 85 % of the budget appropriations over the period 2014-2020 will be allocated to the renewable and end-use energy efficiency policy areas, including smart grids and energy storage.

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**CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY** (*cont'd*)**32 04 03** (*cont'd*)**32 04 03 01** (*cont'd*)

Support to market uptake measures will be part of the Horizon 2020 programme to build capacity, improve governance and overcome market barriers so that energy efficiency and renewable energy solutions can be rolled out, thus contributing to improving energy security in the Union. Part of the appropriations in the overall energy challenge budget will therefore be spent on market uptake activities for existing renewable and energy efficiency technologies within the programme, carried out by a dedicated management structure, and will include support for sustainable energy policy implementation, capacity building and mobilisation of financing for investment, in line with what has been done to date.

*Legal basis*

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), and in particular Article 3(3)(c) thereof.

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

**32 04 50 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development****32 04 50 01 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	14 947 221,44	151 386,36

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

**CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY** (*cont'd*)**32 04 50** (*cont'd*)

32 04 50 02 Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	217 842,10	3 538 799,47

*Remarks*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

**32 04 51** ***Completion of the seventh framework programme (2007 to 2013)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	73 304 849	p.m.	80 389 724	155 155,35	115 825 652,24

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

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TITLE 32 — ENERGY

CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY (*cont'd*)32 04 51 (*cont'd*)

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

32 04 52 **Completion of previous research framework programmes (prior to 2007)**

Figures (*Differentiated appropriations*)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	2 784 940	81 002,57	6 197 239,77

*Remarks*

This appropriation is intended to cover earlier commitments prior to 2007 under the previous research framework programmes.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the Framework Programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).



**CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY** (*cont'd*)**32 04 52** (*cont'd*)

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/834/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002-2006) (OJ L 294, 29.10.2002, p. 1).

**32 04 53** ***Completion of the 'Intelligent energy — Europe' programme (2007 to 2013)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	97 431 406	p.m.	80 826 625	1 342 935,66	88 979 169,71

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

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**CHAPTER 32 04 — HORIZON 2020 — RESEARCH AND INNOVATION RELATED TO ENERGY** (*cont'd*)**32 04 53** (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

**32 04 54** ***Completion of the 'Intelligent energy — Europe' programme (2003 to 2006)***

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

*Remarks*

This appropriation is intended to cover earlier commitments of the 'Intelligent energy — Europe' programme (2003 to 2006).

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 21(2)(e) to (g) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1230/2003/EC of the European Parliament and of the Council of 26 June 2003 adopting a multiannual programme for action in the field of energy: 'Intelligent Energy — Europe' (2003-2006) (OJ L 176, 15.7.2003, p. 29).

## CHAPTER 32 05 — ITER

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
32 05	ITER								
<b>32 05 01</b>	<b>Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E)</b>								
32 05 01 01	Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E) — Support expenditure	1.1	44 737 000	44 737 000	43 860 000	43 754 912	43 000 000,—	43 000 000,—	96,12
32 05 01 02	Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E)	1.1	275 475 092	131 000 000	338 355 057	116 361 457	679 798 821,93	12 516 124,93	9,55
	Article 32 05 01 — Subtotal		320 212 092	175 737 000	382 215 057	160 116 369	722 798 821,93	55 516 124,93	31,59
<b>32 05 50</b>	<b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b>								
32 05 50 01	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
32 05 50 02	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	Article 32 05 50 — Subtotal		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>32 05 51</b>	<b>Completion of the European Joint Undertaking for ITER — Fusion for Energy (F4E) (2007 to 2013)</b>								
		1.1	p.m.	289 000 000	p.m.	336 561 599	0,—	367 465 952,—	127,15
	Chapter 32 05 — Total		320 212 092	464 737 000	382 215 057	496 677 968	722 798 821,93	422 982 076,93	91,02

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CHAPTER 32 05 — ITER (*cont'd*)*Remarks*

The ITER project aims to demonstrate fusion as a viable and sustainable source of energy by building and operating an experimental fusion reactor as a major step towards the creation of prototype reactors for fusion power stations that are safe, sustainable, environmentally responsible and economically viable. It will contribute to the Europe 2020 strategy and in particular to its Innovation Union flagship initiative as the mobilisation of European high-tech industries, which are involved in the construction of ITER, should provide the Union with a global competitive advantage in this promising sector.

The project brings together seven parties: the Union, China, India, Japan, South Korea, Russia and the United States.

**32 05 01      *Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E)***

32 05 01 01      Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E) — Support expenditure

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
44 737 000	44 737 000	43 860 000	43 754 912	43 000 000,—	43 000 000,—

*Remarks*

*Former Item 08 04 01 01*

This appropriation is intended to cover the administrative and running costs of the Joint Undertaking for ITER-Fusion for Energy.

*Legal basis*

Council Decision 2007/198/Euratom of 27 March 2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90, 30.3.2007, p. 58).

32 05 01 02      Construction, operation and exploitation of the ITER facilities – European Joint Undertaking for ITER — Fusion for Energy (F4E)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
275 475 092	131 000 000	338 355 057	116 361 457	679 798 821,93	12 516 124,93

*Remarks*

*Former Item 08 04 01 02*

**CHAPTER 32 05 — ITER** (*cont'd*)**32 05 01** (*cont'd*)**32 05 01 02** (*cont'd*)

The European Joint Undertaking for ITER and the Development of Fusion for Energy provides the contribution of the European Atomic Energy Community (Euratom) to the joint implementation of the international ITER project. ITER, a major experimental facility which will demonstrate the scientific and technical feasibility of fusion power, will be followed by the construction of a demonstration fusion power plant (DEMO).

This joint undertaking has the following tasks:

- to provide the contribution of Euratom to the ITER International Fusion Energy Organisation,
- to provide the contribution of Euratom to broader approach activities with Japan for the rapid realisation of fusion energy,
- to prepare and coordinate a programme of activities in preparation for the construction of a demonstration fusion reactor and related facilities.

*Legal basis*

Council Decision 2007/198/Euratom of 27 March 2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90, 30.3.2007, p. 58).

**32 05 50** ***Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development*****32 05 50 01** Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks**Former Item 08 04 50 01*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, for the 2014-2020 period.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

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**CHAPTER 32 05 — ITER** (*cont'd*)**32 05 50** (*cont'd*)**32 05 50 01** (*cont'd*)

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

**32 05 50 02** Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

*Former Item 08 04 50 02*

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development, from the period prior to 2014.

In accordance with Article 21 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 21 of the Financial Regulation.

**32 05 51** **Completion of the European Joint Undertaking for ITER — Fusion for Energy (F4E) (2007 to 2013)**

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	289 000 000	p.m.	336 561 599	0,—	367 465 952,—

*Remarks*

*Former Article 08 04 51*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

CHAPTER 32 05 — ITER (*cont'd*)32 05 51 (*cont'd*)*Legal basis*

Council Decision of 25 September 2006 concerning the conclusion, by the Commission, of the Agreement on the Establishment of the ITER International Fusion Energy Organisation for the Joint Implementation of the ITER Project, of the Arrangement on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organisation for the Joint Implementation on the ITER Project and of the Agreement on the Privileges and Immunities of the ITER International Fusion Energy Organisation for the Joint Implementation of the ITER Project.

Commission Decision 2006/943/Euratom of 17 November 2006 on Provisional Application of the Agreement on the Establishment of the ITER International Fusion Energy Organisation for the Joint Implementation of the ITER Project and of the Agreement on Privileges and Immunities of the ITER International Fusion Energy Organisation for the Joint Implementation of the ITER Project (OJ L 358, 16.12.2006, p. 60).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60).

Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the Seventh Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the specific programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404).

Council Decision 2007/198/Euratom of 27 March 2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90, 30.3.2007, p. 58).

Council Decision 2012/93/Euratom of 19 December 2011 concerning the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 25).

Council Regulation (Euratom) No 139/2012 of 19 December 2011 laying down the rules for the participation of undertakings, research centres and universities in indirect actions under the Framework Programme of the European Atomic Energy Community and for the dissemination of research results (2012 to 2013) (OJ L 47, 18.2.2012, p. 1).

Council Decision 2012/94/Euratom of 19 December 2011 concerning the specific programme, to be carried out by means of indirect actions, implementing the Framework Programme of the European Atomic Energy Community for nuclear research and training activities (2012 to 2013) (OJ L 47, 18.2.2012, p. 33).

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*TITLE 33*

**JUSTICE AND CONSUMERS**



## TITLE 33

## JUSTICE AND CONSUMERS

## General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
33 01	ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA	52 031 240	52 031 240	52 731 459	52 731 459	53 902 634,11	53 902 634,11
33 02	RIGHTS, EQUALITY AND CITI- ZENSHIP	92 482 000	84 125 026	87 871 000	78 201 961	86 902 444,94	75 545 856,03
33 03	JUSTICE	90 686 737	84 153 839	77 635 000	72 090 287	77 541 207,85	72 575 444,98
33 04	CONSUMER PROGRAMME	23 427 000	18 850 000	22 866 000	18 725 720	22 341 036,50	17 581 481,14
<b>Title 33 — Total</b>		<b>258 626 977</b>	<b>239 160 105</b>	<b>241 103 459</b>	<b>221 749 427</b>	<b>240 687 323,40</b>	<b>219 605 416,26</b>

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## TITLE 33

## JUSTICE AND CONSUMERS

## CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
33 01	ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA					
33 01 01	<i>Expenditure related to officials and temporary staff in the 'Justice and consumers' policy area</i>	5.2	38 468 462	40 729 545	41 743 924,88	108,51
33 01 02	<i>External personnel and other management expenditure in support of the 'Justice and consumers' policy area</i>					
33 01 02 01	External personnel	5.2	4 257 337	3 135 222	3 417 875,65	80,28
33 01 02 11	Other management expenditure	5.2	1 859 342	1 264 855	1 353 384,29	72,79
	Article 33 01 02 — Subtotal		6 116 679	4 400 077	4 771 259,94	78,00
33 01 03	<i>Expenditure related to information and communication technology equipment and services of the 'Justice and consumers' policy area</i>	5.2	2 455 099	2 585 757	3 011 179,94	122,65
33 01 04	<i>Support expenditure for operations and programmes in the 'Justice and consumers' policy area</i>					
33 01 04 01	Support expenditure for the Rights, Equality and Citizenship programme	3	1 100 000	1 100 000	719 229,61	65,38
33 01 04 02	Support expenditure for the Justice programme	3	1 100 000	1 125 080	809 146,32	73,56
33 01 04 03	Support expenditure for the Consumer programme	3	1 100 000	1 100 000	1 106 163,42	100,56
	Article 33 01 04 — Subtotal		3 300 000	3 325 080	2 634 539,35	79,83
33 01 06	<i>Executive agencies</i>					
33 01 06 01	Consumers, Health, Agriculture and Food Executive Agency — Contribution from the Consumer programme	3	1 691 000	1 691 000	1 741 730,—	103,00
	Article 33 01 06 — Subtotal		1 691 000	1 691 000	1 741 730,—	103,00
	<b>Chapter 33 01 — Total</b>		<b>52 031 240</b>	<b>52 731 459</b>	<b>53 902 634,11</b>	<b>103,60</b>

CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA (*cont'd*)**33 01 01      *Expenditure related to officials and temporary staff in the 'Justice and consumers' policy area****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
38 468 462	40 729 545	41 743 924,88

**33 01 02      *External personnel and other management expenditure in support of the 'Justice and consumers' policy area*****33 01 02 01      External personnel***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
4 257 337	3 135 222	3 417 875,65

**33 01 02 11      Other management expenditure***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 859 342	1 264 855	1 353 384,29

**33 01 03      *Expenditure related to information and communication technology equipment and services of the 'Justice and consumers' policy area****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
2 455 099	2 585 757	3 011 179,94

**33 01 04      *Support expenditure for operations and programmes in the 'Justice and consumers' policy area*****33 01 04 01      Support expenditure for the Rights, Equality and Citizenship programme***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 100 000	1 100 000	719 229,61

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## TITLE 33 — JUSTICE AND CONSUMERS

CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA (*cont'd*)33 01 04 (*cont'd*)33 01 04 01 (*cont'd*)*Remarks*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the Rights, Equality and Citizenship programme (the 'Programme') and the assessment of the achievement of its objectives; in particular, studies, meetings of experts, information and communication actions, including institutional communication of the political priorities of the Union, as far as they are related to the general objectives of the programme, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the Programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the Programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 33 02.

33 01 04 02 Support expenditure for the Justice programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 100 000	1 125 080	809 146,32

*Remarks*

*Former Item 33 01 04 02 (in part)*

This appropriation is intended to cover expenses pertaining to preparatory, monitoring, control, audit and evaluation activities which are required for the management of the Justice programme (the 'Programme') and the assessment of the achievement of its objectives; in particular, studies, meetings of experts, information and communication actions, including institutional communication of the political priorities of the Union, as far as they are related to the general objectives of the Programme, expenses linked to IT networks focusing on information processing and exchange, together with all other technical and administrative assistance expenses incurred by the Commission for the management of the Programme.

CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA (*cont'd*)33 01 04 (*cont'd*)33 01 04 02 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the Programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 33 03.

## 33 01 04 03 Support expenditure for the Consumer programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 100 000	1 100 000	1 106 163,42

*Remarks**Former Item 17 01 04 01*

This appropriation is intended to cover expenditure on studies, meetings of experts, information measures and publications directly linked to the achievement of the objective of the programme or measures covered by this item, and any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA (*cont'd*)33 01 04 (*cont'd*)33 01 04 03 (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

See Chapter 33 04.

33 01 06 **Executive agencies**

## 33 01 06 01 Consumers, Health, Agriculture and Food Executive Agency — Contribution from the Consumer programme

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 691 000	1 691 000	1 741 730,—

*Remarks**Former Item 17 01 06 01*

This appropriation is intended to cover the contribution for expenditure on the Agency's staff and administration incurred as a result of the Agency's role in the management of measures forming part of the Consumer programme.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

The establishment plan of the Executive Agency is set out in Annex 'Staff' to this section.

**CHAPTER 33 01 — ADMINISTRATIVE EXPENDITURE OF THE 'JUSTICE AND CONSUMERS' POLICY AREA** (*cont'd*)**33 01 06** (*cont'd*)33 01 06 01 (*cont'd*)*Legal basis*

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Regulation (EU) No 254/2014 of the European Parliament and of the Council of 26 February 2014 on a multiannual consumer programme for the years 2014-20 and repealing Decision No 1926/2006/EC (OJ L 84, 20.3.2014, p. 42).

See Chapter 33 04.

*Reference acts*

Commission Implementing Decision 2013/770/EU of 17 December 2013 establishing the Consumers, Health and Food Executive Agency and repealing Decision 2004/858/EC (OJ L 341, 18.12.2013, p. 69).

Commission Decision C(2013) 9505 of 20 December 2013 delegating powers to the Consumers, Health and Food Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of consumers, health and food comprising, in particular, implementation of appropriations entered in the general budget of the Union.

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## CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
33 02	RIGHTS, EQUALITY AND CITIZENSHIP								
<b>33 02 01</b>	<b>Ensuring the protection of rights and empowering citizens</b>	3	25 306 000	17 600 000	24 196 000	11 035 208	23 007 000,—	561 470,53	3,19
<b>33 02 02</b>	<b>Promoting non-discrimi- nation and equality</b>	3	33 546 000	23 000 000	32 073 000	16 321 307	31 151 000,—	836 854,86	3,64
<b>33 02 03</b>	<b>Company law and other activities</b>								
33 02 03 01	Company law	1.1	1 400 000	730 000	1 483 000	761 485	1 721 981,67	673 569,83	92,27
33 02 03 02	Other activities in the area of fundamental rights	3	1 000 000	500 000	p.m.	p.m.	0,—	0,—	0
	<i>Article 33 02 03 — Subtotal</i>		2 400 000	1 230 000	1 483 000	761 485	1 721 981,67	673 569,83	54,76
<b>33 02 06</b>	<b>European Union Agency for Fundamental Rights (FRA)</b>	3	21 203 000	21 203 000	21 155 000	21 155 000	21 229 000,—	21 229 000,—	100,12
<b>33 02 07</b>	<b>European Institute for Gender Equality (EIGE)</b>	3	7 527 000	7 527 000	7 464 000	7 464 000	7 340 081,—	7 334 111,—	97,44
<b>33 02 51</b>	<b>Completion of actions in the field of rights, citi- zenship and equality</b>	3	p.m.	10 000 000	p.m.	19 175 584	553 382,27	44 230 037,71	442,30
<b>33 02 77</b>	<b>Pilot projects and preparatory actions</b>								
33 02 77 04	Pilot project — Europe- wide methodology for developing evidence- based policies for children's rights	3	p.m.	p.m.	p.m.	240 481	0,—	592 237,50	
33 02 77 05	Pilot project — Employment of people on the autistic spectrum	3	—	—	p.m.	p.m.	0,—	0,—	
33 02 77 06	Pilot project — Devel- opment of indicators to measure the implemen- tation of the European Charter for Equality of Women and Men in Local Life	3	p.m.	493 781	p.m.	470 141	0,—	0,—	0



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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
<b>33 02 77</b>	( <i>cont'd</i> )								
33 02 77 08	Pilot project — Knowledge platform for professionals dealing with female genital mutilation	3	p.m.	507 432	p.m.	392 568	900 000,—	0,—	0
33 02 77 09	Pilot project — Capacity-building for Roma civil society and strengthening its involvement in the monitoring of national Roma integration strategies	3	p.m.	938 813	750 000	811 187	1 000 000,—	0,—	0
33 02 77 10	Pilot project — Fundamental rights review of Union data-collection instruments and programmes	3	p.m.	375 000	750 000	375 000			
33 02 77 11	Pilot project — The promotion of employee ownership and participation	1.1	p.m.	p.m.	p.m.	p.m.	0,—	88 574,60	
33 02 77 12	Preparatory action — Capacity-building for Roma civil society and strengthening Roma involvement in the monitoring of national Roma integration strategies	3	500 000	250 000					
33 02 77 13	Pilot project — Europe of diversities	3	1 000 000	500 000					
33 02 77 14	Pilot project — E-voting: making the best use of modern technologies for more active and democratic voting procedures	3	1 000 000	500 000					
	<i>Article 33 02 77 — Subtotal</i>		2 500 000	3 565 026	1 500 000	2 289 377	1 900 000,—	680 812,10	19,10
	<b>Chapter 33 02 — Total</b>		<b>92 482 000</b>	<b>84 125 026</b>	<b>87 871 000</b>	<b>78 201 961</b>	<b>86 902 444,94</b>	<b>75 545 856,03</b>	<b>89,80</b>

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**CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP** (*cont'd*)*Remarks*

The new Rights, Equality and Citizenship programme is the successor to three previous programmes: Fundamental Rights and Citizenship, Daphne III, and the Antidiscrimination and Diversity and Gender Equality sections of the programme for employment and social solidarity (Progress). The general objective of the Rights, Equality and Citizenship programme is to contribute to the further development of an area where equality and the rights of persons as enshrined in the Treaty on European Union, in the Treaty on the Functioning of the European Union, in the Charter of Fundamental Rights of the European Union, and in the international human rights conventions to which the Union has acceded, are promoted, protected and effectively implemented.

**33 02 01 Ensuring the protection of rights and empowering citizens***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
25 306 000	17 600 000	24 196 000	11 035 208	23 007 000,—	561 470,53

*Remarks*

This appropriation is intended to contribute to preventing and combating all forms of violence against children, young people and women, as well as violence against other groups at risk, in particular groups at risk of violence in close relationships, and to protect victims of such violence (under the 'Daphne' specific objective); to promoting and protecting the rights of the child; to ensuring the highest level of protection of privacy and personal data; to promoting and enhancing the exercise of rights deriving from citizenship of the Union; and to enabling individuals in their capacity as consumers or entrepreneurs in the internal market to enforce their rights deriving from Union law, having regard to the projects funded under the Consumer programme.

In particular, this appropriation is intended to cover the following types of action:

- analytical activities, such as the collection of data and statistics, where appropriate disaggregated by gender; the development of common methodologies and, where appropriate, indicators or benchmarks; studies, research, analyses and surveys; evaluations; the elaboration and publication of guides, reports and educational material; workshops, seminars, experts' meetings and conferences,
- training activities such as staff exchanges, workshops, seminars, train-the-trainer events and the development of online training tools or other training modules,
- mutual learning, cooperation, awareness-raising and dissemination activities, such as the identification of, and exchanges concerning, good practices, innovative approaches and experiences; the organisation of peer reviews and mutual learning; the organisation of conferences, seminars, media campaigns, including in the online media, information campaigns, including institutional communication on the political priorities of the Union as far as they relate to the objectives of the Rights, Equality and Citizenship programme (the 'Programme'); the compilation and publication of materials to disseminate information about the Programme and its results; the development, operation and maintenance of systems and tools using information and communication technologies,
- support for main actors whose activities contribute to the implementation of the objectives of the Programme, such as support for NGOs in the implementation of actions with European added value, support for key Union actors, Union-level networks and harmonised services of social value; support for Member States in the implementation of Union law and policies; and support for networking activities at Union level among specialised bodies and entities as well as national, regional and local authorities and NGOs, including support by way of action grants or operating grants.

CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 01 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1381/2013 of the European Parliament and of the Council of 17 December 2013 establishing a Rights, Equality and Citizenship Programme for the period 2014 to 2020 (OJ L 354, 28.12.2013, p. 62), and in particular points (e) to (i) of Article 4(1) and Article 5(1) thereof.

33 02 02 **Promoting non-discrimination and equality***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
33 546 000	23 000 000	32 073 000	16 321 307	31 151 000,—	836 854,86

*Remarks*

This appropriation is intended to contribute to promoting the effective implementation of the principle of non-discrimination on grounds of sex, racial or ethnic origin, religion or belief, disability, age or sexual orientation, and to respecting the principle of non-discrimination on the grounds provided for in Article 21 of the Charter of Fundamental Rights of the European Union; to preventing and combating racism, xenophobia, homophobia and other forms of intolerance; to promoting and protecting the rights of persons with disabilities; and to promoting equality between women and men and to advancing gender mainstreaming.

In particular, this appropriation is intended to cover the following types of actions:

- analytical activities, such as the collection of data and statistics; the development of common methodologies and, where appropriate, indicators or benchmarks; studies, research, analyses and surveys; evaluations; the elaboration and publication of guides, reports and educational material; workshops, seminars, experts' meetings and conferences,
- training activities such as staff exchanges, workshops, seminars, train-the-trainer events and the development of online training tools or other training modules,

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- mutual learning, cooperation, awareness-raising and dissemination activities, such as the identification of, and exchanges concerning, good practices, innovative approaches and experiences; the organisation of peer reviews and mutual learning; the organisation of conferences, seminars, media campaigns, including in the online media, information campaigns, including institutional communication on the political priorities of the Union as far as they relate to the objectives of the Rights, Equality and Citizenship programme (the 'Programme'); the compilation and publication of materials to disseminate information about the Programme and its results; the development, operation and maintenance of systems and tools using information and communication technologies,
- support for main actors whose activities contribute to the implementation of the objectives of the Programme, such as support for NGOs in the implementation of actions with European added value, support for key Union actors, Union-level networks and harmonised services of social value; support for Member States in the implementation of Union law and policies; and support for networking activities at Union level among specialised bodies and entities as well as national, regional and local authorities and NGOs, including support by way of action grants or operating grants.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1381/2013 of the European Parliament and of the Council of 17 December 2013 establishing a Rights, Equality and Citizenship Programme for the period 2014 to 2020 (OJ L 354, 28.12.2013, p. 62), and in particular points (a) to (d) of Article 4(1) and Article 5(1) thereof.

33 02 03 ***Company law and other activities***

## 33 02 03 01 Company law

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 400 000	730 000	1 483 000	761 485	1 721 981,67	673 569,83

**CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP** (*cont'd*)**33 02 03** (*cont'd*)**33 02 03 01** (*cont'd*)*Remarks**Former Article 12 02 01 (in part)*

This appropriation is intended to cover expenditure arising in connection with measures in the context of company law, corporate governance and anti-money laundering, in view of contributing to the completion of the internal market and its operation and development, in particular:

- improve the legal environment for citizens, companies and businesses, for which promotion activities, awareness-raising and training actions could be envisaged; fostering cooperation, development and coordination of legislation in the field of company law and assistance with the creation of European limited companies and European economic interest groupings,
- interactive policymaking, in so far as it concerns the completion, development and operation of the internal market, makes up part of the Commission's governance and of the regulatory policy initiatives to better respond to the demands of citizens, consumers and businesses. The appropriations entered under this article are also intended to cover training, awareness-raising and network actions to the benefit of such participants with a view to making Union policymaking on the internal market more comprehensive and effective, and as part of the process of assessing the actual impact of internal market policies (or the lack of them) on the ground,
- a comprehensive review of regulations with a view to making necessary changes and producing an overall analysis of the effectiveness of the measures taken with a view to the sound operation of the internal market and the evaluation of the overall impact of the internal market on businesses and the economy, including the purchase of data and access by Commission departments to external databases, as well as targeted actions aimed at improving understanding of the functioning of the internal market and rewarding active participation in fostering the functioning of the internal market,
- broadening the strategy regarding the development of statistics on service sectors and statistical development projects in cooperation with Eurostat and the Organisation for Economic Cooperation and Development (OECD),
- developing and strengthening the external aspects of the directives applicable in respect of financial institutions, the mutual recognition of financial instruments vis-à-vis third countries, international negotiations and assistance for third countries in establishing a market economy,
- implementing the many measures put forward in the action plan on company law and corporate governance which could lead to studies on a number of targeted subjects, with a view to drawing up the necessary legislative proposals,
- implementing the law of the Union and international provisions in the field of money laundering, including participation in intergovernmental or ad hoc measures in this field; contributions relating to Commission participation as a member of the Financial Action Task Force (FATF) on money laundering created under the OECD,
- development of evaluations and impact studies on the various aspects of the policies covered by this chapter for the purpose of devising new measures or revising existing measures relating to them.

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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 03 (*cont'd*)33 02 03 01 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## 33 02 03 02 Other activities in the area of fundamental rights

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000	p.m.	p.m.	0,—	0,—

*Remarks**Former Article 12 02 01 (in part)*

This appropriation is intended to cover information, communication and evaluation activities linked to the Charter of Fundamental Rights, consular protection and the dialogue pursuant to Article 17 of the Treaty of the Functioning of the European Union. In particular this appropriation covers information and communication activities delivered through internal websites, public events, communication products, Eurobarometer surveys, etc., as well as the development of impact assessment and evaluation studies on various aspects of the area of fundamental rights and justice.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 06 **European Union Agency for Fundamental Rights (FRA)***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
21 203 000	21 203 000	21 155 000	21 155 000	21 229 000,—	21 229 000,—

*Remarks*

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

This appropriation is also intended to cover the operational expenditure (Title 3) of the Agency, which is responsible for providing the relevant Union institutions and authorities of the Member States when implementing Union law with assistance and expertise relating to fundamental rights in order to support them when they take measures or formulate courses of action within their respective spheres of competence to fully respect fundamental rights.

The Agency can be expected to achieve the following operational objectives/tasks:

- provision of assistance to Union institutions and the Member States,
- promotion of networking of stakeholders and dialogue at Union level,
- promotion and dissemination of information and awareness-raising activities to enhance visibility on fundamental rights,
- effective functioning of the management structure and implementation of operations.

The Agency must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

The Agency's establishment plan is set out in Annex 'Staff' to this section.

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**CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP** (*cont'd*)**33 02 06** (*cont'd*)

Regulation (EC) No 168/2007 entered into force on 1 March 2007. On that date, the Agency replaced the European Monitoring Centre on Racism and Xenophobia (EUMC) and legally succeeded it, assuming all the Centre's legal rights and obligations, financial commitments, liabilities, and employment contracts, as laid down in Article 23(4) of Regulation (EC) No 168/2007.

The Union contribution for 2016 amounts to a total of EUR 21 359 000. An amount of EUR 156 000 from the recovery of surplus is added to the amount of EUR 21 203 000 entered in the budget.

*Legal basis*

Council Regulation (EC) No 1035/97 of 2 June 1997 establishing a European Monitoring Centre on Racism and Xenophobia (OJ L 151, 10.6.1997, p. 1).

Council Regulation (EC) No 168/2007 of 15 February 2007 establishing a European Union Agency for Fundamental Rights (OJ L 53, 22.2.2007, p. 1).

**33 02 07** ***European Institute for Gender Equality (EIGE)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 527 000	7 527 000	7 464 000	7 464 000	7 340 081,—	7 334 111,—

*Remarks*

This appropriation is intended to cover the EIGE's staff and administrative expenditure (Titles 1 and 2) and operational expenditure (Title 3).

The EIGE must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

The EIGE's establishment plan is set out in Annex 'Staff' to this section.

The amounts repaid in accordance with Article 20 of the Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

In accordance with Decision 2006/996/EC taken by common agreement between the Representatives of the Governments of Member States of 11 December 2006 on the location of the seat of the European Institute for Gender Equality (OJ L 403, 30.12.2006, p. 61), the Institute has its seat in Vilnius.

The Union contribution for 2016 amounts to a total of EUR 7 628 000. An amount of EUR 101 000 coming from the recovery of surplus is added to the amount of EUR 7 527 000 entered in the budget.



**CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP** (*cont'd*)**33 02 07** (*cont'd*)*Legal basis*

Regulation (EC) No 1922/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a European Institute for Gender Equality (OJ L 403, 30.12.2006, p. 9).

**33 02 51** ***Completion of actions in the field of rights, citizenship and equality****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	10 000 000	p.m.	19 175 584	553 382,27	44 230 037,71

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

The candidate countries may make use of the Phare pre-accession instrument to cover the expenditure resulting from their participation in Union programmes.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 51 (*cont'd*)

Task resulting from the Commission's administrative autonomy, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Council Decision of 9 July 1957 concerning the terms of reference and rules of procedure of the Mines Safety and Health Commission (OJ 28, 31.8.1957, p. 487).

Council Decision 74/325/EEC of 27 June 1974 on the setting up of an Advisory Committee on Safety, Hygiene and Health Protection at Work (OJ L 185, 9.7.1974, p. 15).

Council Decision 74/326/EEC of 27 June 1974 on the extension of the responsibilities of the Mines Safety and Health Commission to all mineral-extracting industries (OJ L 185, 9.7.1974, p. 18).

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

Council Decision 98/171/EC of 23 February 1998 on Community activities concerning analysis, research and cooperation in the field of employment and the labour market (OJ L 63, 4.3.1998, p. 26).

Decision No 293/2000/EC of the European Parliament and of the Council of 24 January 2000 adopting a programme of Community action (the Daphne programme) (2000 to 2003) on preventive measures to fight violence against children, young persons and women (OJ L 34, 9.2.2000, p. 1).

Council Decision 2000/750/EC of 27 November 2000 establishing a Community action programme to combat discrimination (2001 to 2006) (OJ L 303, 2.12.2000, p. 23).

Decision No 50/2002/EC of the European Parliament and of the Council of 7 December 2001 establishing a programme of Community action to encourage cooperation between Member States to combat social exclusion (OJ L 10, 12.1.2002, p. 1).

Decision No 1145/2002/EC of the European Parliament and of the Council of 10 June 2002 on Community incentive measures in the field of employment (OJ L 170, 29.6.2002, p. 1).

Council Decision of 22 July 2003 setting up an Advisory Committee on Safety and Health at Work (OJ C 218, 13.9.2003, p. 1).

**CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP** (*cont'd*)**33 02 51** (*cont'd*)

Decision No 803/2004/EC of the European Parliament and of the Council of 21 April 2004 adopting a programme of Community action (2004 to 2008) to prevent and combat violence against children, young people and women and to protect victims and groups at risk (the Daphne II programme) (OJ L 143, 30.4. 2004, p. 1).

Decision No 1554/2005/EC of the European Parliament and of the Council of 7 September 2005 amending Council Decision 2001/51/EC establishing a programme relating to the Community framework strategy on gender equality and Decision No 848/2004/EC establishing a Community action programme to promote organisations active at European level in the field of equality between men and women (OJ L 255, 30.9.2005, p. 9).

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Council Decision 2007/252/EC of 19 April 2007 establishing for the period 2007-2013 the Specific Programme 'Fundamental rights and citizenship' as part of the General programme 'Fundamental Rights and Justice' (OJ L 110, 27.4.2007, p. 33).

Decision No 779/2007/EC of the European Parliament and of the Council of 20 June 2007 establishing for the period 2007-2013 a specific programme to prevent and combat violence against children, young people and women and to protect victims and groups at risk (Daphne III programme), as part of the General Programme 'Fundamental Rights and Justice' (OJ L 173, 3.7.2007, p. 19).

*Reference acts*

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Community under Articles 136, 137 and 140 (Treaty on the Functioning of the European Union under Articles 151, 153 and 156).

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005) 122 final).

**33 02 77** ***Pilot projects and preparatory actions***

33 02 77 04 Pilot project — Europe-wide methodology for developing evidence-based policies for children's rights

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	240 481	0,—	592 237,50

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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 77 (*cont'd*)33 02 77 04 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 05 Pilot project — Employment of people on the autistic spectrum

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

*Remarks*

This item is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 06 Pilot project — Development of indicators to measure the implementation of the European Charter for Equality of Women and Men in Local Life

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	493 781	p.m.	470 141	0,—	0,—

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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (cont'd)

33 02 77 (cont'd)

33 02 77 06 (cont'd)

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 08 Pilot project — Knowledge platform for professionals dealing with female genital mutilation

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	507 432	p.m.	392 568	900 000,—	0,—

Remarks

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 09 Pilot project — Capacity-building for Roma civil society and strengthening its involvement in the monitoring of national Roma integration strategies

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	938 813	750 000	811 187	1 000 000,—	0,—

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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 77 (*cont'd*)33 02 77 09 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 10 Pilot project — Fundamental rights review of Union data-collection instruments and programmes

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	375 000	750 000	375 000		

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The pilot project will establish and support an independent expert group to carry out a fundamental rights review of any existing Union legislation, instrument or agreement with third parties that involves the collection, retention, storage or transfer of personal data.

In the light of the main conclusion of the European Court of Justice judgment in Joined Cases C-293/12 and C-594/12 quashing the data retention directive, and taking into account current moves towards adopting Union data protection reform proposals presented by the Commission on 25 January 2012, the pilot project will support the activities of a group of independent experts responsible for reviewing the compliance of Union data collection instruments and mechanisms with the Charter of Fundamental Rights of the European Union, with particular attention being paid to the application of the proportionality principle and to an assessment of relevant safeguards for the fundamental rights to privacy and the protection of personal data.

The expert group's activities will include:

- cataloguing existing Union legislation (and any related national transposition laws), law-enforcement instruments and cooperation, and third-party agreements involving the collection, retention, storage or transfer of personal data,

**CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP** (*cont'd*)**33 02 77** (*cont'd*)**33 02 77 10** (*cont'd*)

- a legal analysis and fundamental rights review in the light of the most recent Union case law in the field of privacy and the protection of personal data,
- analysing and assessing compound effects of existing Union data collection programmes, with a view to identifying potential fundamental rights loopholes and interference with those rights,
- drawing up specific policy recommendations for each element identified and reviewed.

The independent expert group will include the data protection supervisory authorities, including the European Data Protection Supervisor, and draw on their expertise.

On the basis of the specific policy recommendations produced, the expert group will also draw up general guidelines for Union data collection instruments and mechanisms.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**33 02 77 11** Pilot project — The promotion of employee ownership and participation*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	88 574,60

*Remarks**Former Item 12 02 77 04*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP (*cont'd*)33 02 77 (*cont'd*)

33 02 77 12 Preparatory action — Capacity-building for Roma civil society and strengthening Roma involvement in the monitoring of national Roma integration strategies

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	250 000				

*Remarks*

The European Union framework for national Roma integration strategies calls on Member States to include robust monitoring mechanisms and involve civil society, including Roma organisations, in strategy implementation and monitoring. Capacity-building is needed to prepare local Roma civil society organisations for helping to plan and implement programmes.

The preparatory action will build on the pilot project supported by the European Parliament in the 2014 and 2015 budgets, which was designed to contribute to capacity creation and building for local Roma civil society and provide a mechanism to monitor Roma integration and inclusion, especially by producing and circulating 'shadow reports'. 'Shadow reports' should enable civil society coalitions to supplement the reports submitted by Member States on implementation of their strategies or present alternative information and figures. Such reports could add local knowledge to national and European policy processes and reflect on the real social impact of government measures. Monitoring will focus on the local implementation of strategies in the four priority anti-discrimination and gender equality areas — employment, education, housing and health and would also provide information on the level of civil society involvement, use of Union funds, and mainstreaming of Roma inclusion measures.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 02 77 13 Pilot project — Europe of diversities

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

*Remarks*

This pilot project will enlarge upon the motto of the European Union: 'United in diversity', especially at local and regional level. It will make it possible for Union citizens in regions with a multicultural and multilingual background, or where minority groups are particularly excluded or subject to discrimination, to gain an understanding of their respective cultures, languages and identities.



**CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP** (*cont'd*)**33 02 77** (*cont'd*)**33 02 77 13** (*cont'd*)

Mutual understanding, acceptance and tolerance are core factors for the productive coexistence of different ethnic, religious, national and linguistic groups, as well as different generations and minority groups more generally.

The pilot project will add to the work already carried out in the field of non-discrimination, education and language learning, but the objective is also to reach out to different groups in society and to foster multicultural dialogue between Union citizens at local and regional level and through concerted efforts at Union level.

One of the strengths of the Union, and part of its richness, is its diversity. Diversity should therefore be promoted appropriately, making it clear that it brings added value to the Union. The pilot project will fund training courses, seminars, workshops and conferences on how to maintain the uniqueness of a Union of diversities and how to promote unity in diversity.

It will also promote the exchange of good practices in combating discrimination and fostering diversity at local and regional level. It will also bring added value by helping to identify and target discriminatory practices at local and regional levels, raising awareness of Union citizenship and rights arising from it and building understanding between majorities and minorities in societies.

The target groups for this pilot project will be local and regional stakeholders, in particular public authorities (cities, regions and municipalities) NGOs, youth organisations, schools, civil society and businesses.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**33 02 77 14** Pilot project — E-voting: making the best use of modern technologies for more active and democratic voting procedures

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

*Remarks*

The Union has changed considerably over the last 25 years and its society has changed too: Union citizens enjoy their freedom of movement and, to an increasing extent, live and work in other Member States. Their political participation in their countries of origin can be affected by red tape and old voting procedures. At the same time, low turnout in elections, including European Parliament elections, is a challenge for democracies today. To address these issues, ways should be explored to stimulate participation in elections by all citizens abroad and make it easier to vote through streamlined procedures, including by making best use of modern technologies.

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**CHAPTER 33 02 — RIGHTS, EQUALITY AND CITIZENSHIP** (*cont'd*)**33 02 77** (*cont'd*)33 02 77 14 (*cont'd*)

This pilot project will look into the potential benefits of alternative arrangements with a view to televoting, focusing on the advantages of an e-voting system, and produce a televoting good practice guide on the basis of a detailed study. The guide could help make voting procedures more accessible, mobilising a broader group of voters and thus enhancing democratic participation at Union level.

On the basis of Member States' relevant experience and after consultation of relevant organisations, universities and industries, the study will assess the advantages and risks of televoting.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER 33 03 — JUSTICE

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
33 03	JUSTICE								
33 03 01	<b>Supporting and promoting judicial training and facilitating effective access to justice for all</b>	3	33 168 000	23 210 433	30 636 000	14 993 389	28 580 000,—	7 500 543,46	32,32
33 03 02	<b>Facilitating and supporting judicial cooperation in civil and criminal matters</b>	3	14 570 000	10 100 000	14 415 000	7 705 708	14 228 000,—	404 701,31	4,01
33 03 04	<b>The European Union's Judicial Cooperation Unit (Eurojust)</b>	3	42 948 737	42 948 737	32 584 000	32 584 000	33 667 239,—	33 667 239,—	78,39
33 03 51	<b>Completion of actions in the field of justice</b>	3	p.m.	7 500 000	p.m.	15 578 636	365 968,85	29 386 640,53	391,82
33 03 77	<b>Pilot projects and preparatory actions</b>								
33 03 77 01	Pilot project — Impact assessment of legislative measures in contract law	3	—	—	p.m.	p.m.	0,—	0,—	
33 03 77 02	Pilot project — European judicial training	3	p.m.	p.m.	p.m.	525 551	0,—	1 094 438,30	
33 03 77 03	Pilot project — Information instrument for bi-national couples	3	p.m.	p.m.	p.m.	397 672	0,—	521 882,38	
33 03 77 04	Pilot project — Raising awareness of children to be aware of their rights in judicial procedures	3	p.m.	394 669	p.m.	305 331	700 000,—	0,—	0
	Article 33 03 77 — Subtotal		p.m.	394 669	p.m.	1 228 554	700 000,—	1 616 320,68	409,54
	<b>Chapter 33 03 — Total</b>		<b>90 686 737</b>	<b>84 153 839</b>	<b>77 635 000</b>	<b>72 090 287</b>	<b>77 541 207,85</b>	<b>72 575 444,98</b>	<b>86,24</b>

**33 03 01**      **Supporting and promoting judicial training and facilitating effective access to justice for all**

Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
33 168 000	23 210 433	30 636 000	14 993 389	28 580 000,—	7 500 543,46

Remarks

This appropriation is intended to contribute to supporting and promoting judicial training, including language training on legal terminology, with a view to fostering a common legal and judicial culture and to facilitating effective access to justice for all, including to promoting and supporting the rights of victims of crime, while respecting the rights of the defence.

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TITLE 33 — JUSTICE AND CONSUMERS

CHAPTER 33 03 — JUSTICE (*cont'd*)

33 03 01 (*cont'd*)

In particular, this appropriation is intended to cover the following types of actions:

- analytical activities, such as the collection of data and statistics, where appropriate disaggregated by gender, the development of common methodologies and, where appropriate, indicators or benchmarks; studies, research, analyses and surveys; evaluations; the elaboration and publication of guides, reports and educational material; workshops, seminars, experts meetings and conferences,
- training activities including a gender-sensitive perspective, such as staff exchanges, workshops, seminars, train-the-trainer events, including language training on legal terminology, and the development of online training tools or other training modules for members of the judiciary and judicial staff,
- mutual learning, cooperation, awareness-raising and dissemination activities, such as the identification of, and exchanges concerning, good practices, innovative approaches and experiences; the organisation of peer reviews and mutual learning; the organisation of conferences, seminars, information campaigns, including institutional communication on the political priorities of the Union as far as they relate to the objectives of the Justice programme (the 'Programme'); the compilation and publication of materials to disseminate information about the Programme and its results; the development, operation and maintenance of systems and tools, using information and communication technologies, including the further development of the European e-Justice portal as a tool to improve citizens' access to justice,
- support for main actors whose activities contribute to the implementation of the objectives of the Programme, such as support for Member States in the implementation of Union law and policies, support for key Union actors and Union-level networks, including in the field of judicial training; and support for networking activities at Union level among specialised bodies and entities as well as national, regional and local authorities and non-governmental organisations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1382/2013 of the European Parliament and of the Council of 17 December 2013 establishing a Justice Programme for the period 2014 to 2020 (OJ L 354, 28.12.2013, p. 73), and in particular points (b) and (c) of Article 4(1) and Article 6 thereof.

CHAPTER 33 03 — JUSTICE (*cont'd*)33 03 02 **Facilitating and supporting judicial cooperation in civil and criminal matters***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
14 570 000	10 100 000	14 415 000	7 705 708	14 228 000,—	404 701,31

*Remarks*

This appropriation is intended to contribute to facilitating and supporting judicial cooperation in civil and criminal matters.

In particular, this appropriation is intended to cover the following types of actions:

- analytical activities, such as the collection of data and statistics; the development of common methodologies and, where appropriate, indicators or benchmarks; studies, research, analyses and surveys; evaluations; the elaboration and publication of guides, reports and educational material; workshops, seminars, experts meetings and conferences,
- training activities, such as staff exchanges, workshops, seminars, train-the-trainer events, including language training on legal terminology, and the development of online training tools or other training modules for members of the judiciary and judicial staff,
- mutual learning, cooperation, awareness-raising and dissemination activities, such as the identification of, and exchanges concerning, good practices, innovative approaches and experiences; the organisation of peer reviews and mutual learning; the organisation of conferences, seminars, information campaigns, including institutional communication on the political priorities of the Union as far as they relate to the objectives of the Justice programme (the 'Programme') ; the compilation and publication of materials to disseminate information about the Programme and its results; the development, operation and maintenance of systems and tools, using information and communication technologies, including the further development of the European e-Justice portal as a tool to improve citizens' access to justice,
- support for main actors whose activities contribute to the implementation of the objectives of the Programme, such as support for Member States in the implementation of Union law and policies, support for key European actors and European-level networks, including in the field of judicial training and the rights of the defence; and support for networking activities at European level among specialised bodies and entities as well as national, regional and local authorities and non-governmental organisations.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

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CHAPTER 33 03 — JUSTICE (*cont'd*)33 03 02 (*cont'd*)

Any revenue from the contributions from acceding countries, candidate countries and potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 1382/2013 of the European Parliament and of the Council of 17 December 2013 establishing a Justice Programme for the period 2014 to 2020 (OJ L 354, 28.12.2013, p. 73), and in particular point (a) of Article 4(1) and Article 6(1) thereof.

33 03 04 ***The European Union's Judicial Cooperation Unit (Eurojust)****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
42 948 737	42 948 737	32 584 000	32 584 000	33 667 239,—	33 667 239,—

*Remarks*

This appropriation is intended to cover Eurojust's staff and administrative expenditure (Titles 1 and 2), and operational expenditure relating to the work programme (Title 3).

Eurojust must inform the European Parliament and Council about transfers of appropriations between operational and administrative expenditure.

The amounts repaid in accordance with Article 20 of Commission Delegated Regulation (EU) No 1271/2013 constitute assigned revenue (point (c) of Article 21(3) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

Eurojust's establishment plan is set out in Annex 'Staff' to this section.

The Union contribution for 2016 amounts to a total of EUR 43 539 737. An amount of EUR 591 000 coming from the recovery of surplus is added to the amount of EUR 42 948 737 entered in the budget.

*Legal basis*

Council Decision 2002/187/JHA of 28 February 2002 setting up Eurojust with a view to reinforcing the fight against serious crime (OJ L 63, 6.3.2002, p. 1).

Council Decision 2009/426/JHA of 16 December 2008 on the strengthening of Eurojust and amending Decision 2002/187/JHA setting up Eurojust with a view to reinforcing the fight against serious crime (OJ L 138, 4.6.2009, p. 14).

CHAPTER 33 03 — JUSTICE (*cont'd*)33 03 51 **Completion of actions in the field of justice***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	7 500 000	p.m.	15 578 636	365 968,85	29 386 640,53

*Remarks**Former Article 33 03 51 (in part)*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years.

*Legal basis*

Council Decision 2001/470/EC of 28 May 2001 establishing a European Judicial Network in civil and commercial matters (OJ L 174, 27.6.2001, p. 25).

Council Regulation (EC) No 743/2002 of 25 April 2002 establishing a general Community framework of activities to facilitate the implementation of judicial cooperation in civil matters (OJ L 115, 1.5.2002, p. 1).

Council Decision 2004/100/EC of 26 January 2004 establishing a Community action programme to promote active European citizenship (civic participation) (OJ L 30, 4.2.2004, p. 6).

Council Decision 2007/126/JHA of 12 February 2007 establishing for the period 2007 to 2013, as part of the General Programme on Fundamental Rights and Justice, the Specific Programme 'Criminal Justice' (OJ L 58, 24.2.2007, p. 13).

Decision No 1149/2007/EC of the European Parliament and of the Council of 25 September 2007 establishing for the period 2007-2013 the Specific Programme 'Civil Justice' as part of the General Programme 'Fundamental Rights and Justice' (OJ L 257, 3.10.2007, p. 16).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 6 April 2005 establishing for the period 2007-2013 a framework programme on Fundamental Rights and Justice (COM(2005) 122 final).

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CHAPTER 33 03 — JUSTICE (*cont'd*)33 03 77 **Pilot projects and preparatory actions**

33 03 77 01 Pilot project — Impact assessment of legislative measures in contract law

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	p.m.	p.m.	0,—	0,—

*Remarks*

This item is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 03 77 02 Pilot project — European judicial training

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	525 551	0,—	1 094 438,30

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 03 77 03 Pilot project — Information instrument for bi-national couples

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	397 672	0,—	521 882,38



**CHAPTER 33 03 — JUSTICE** (*cont'd*)**33 03 77** (*cont'd*)33 03 77 03 (*cont'd*)*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 03 77 04 Pilot project — Raising awareness of children to be aware of their rights in judicial procedures

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	394 669	p.m.	305 331	700 000,—	0,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 33 — JUSTICE AND CONSUMERS

## CHAPTER 33 04 — CONSUMER PROGRAMME

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
33 04	CONSUMER PROGRAMME								
<b>33 04 01</b>	<b><i>Safeguarding consumers' interest and improving their safety and information</i></b>	3	23 102 000	16 500 000	21 866 000	12 373 045	21 841 036,50	1 316 442,74	7,98
<b>33 04 51</b>	<b><i>Completion line of Union activities in favour of consumers</i></b>	3	p.m.	1 700 000	p.m.	5 752 675	0,—	15 789 945,40	928,82
<b>33 04 77</b>	<b><i>Pilot projects and preparatory actions</i></b>								
33 04 77 01	Pilot project — Transparency and stability in the financial markets	1.1	p.m.	p.m.	p.m.	p.m.	0,—	104 973,—	
33 04 77 02	Preparatory action — Monitoring measures in the field of consumer policy	3	p.m.	p.m.	p.m.	p.m.	0,—	370 120,—	
33 04 77 03	Pilot project — Your Europe Travel application for mobile devices	2	p.m.	p.m.	p.m.	100 000	500 000,—	0,—	
33 04 77 04	Pilot project — Training for SMEs on consumer rights in the digital age	3	p.m.	500 000	1 000 000	500 000			
33 04 77 05	Pilot project — Consumer empowerment and education on the product safety and market surveillance in the digital single market	3		325 000					
	<i>Article 33 04 77 — Subtotal</i>		325 000	650 000	1 000 000	600 000	500 000,—	475 093,—	73,09
	<b>Chapter 33 04 — Total</b>		<b>23 427 000</b>	<b>18 850 000</b>	<b>22 866 000</b>	<b>18 725 720</b>	<b>22 341 036,50</b>	<b>17 581 481,14</b>	<b>93,27</b>

**33 04 01      *Safeguarding consumers' interest and improving their safety and information****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
23 102 000	16 500 000	21 866 000	12 373 045	21 841 036,50	1 316 442,74

Remarks

Former Article 17 02 01

**CHAPTER 33 04 — CONSUMER PROGRAMME** (*cont'd*)**33 04 01** (*cont'd*)

This appropriation is intended to cover expenditure for achieving the objectives established through the multiannual consumer programme for the years 2014-2020. The aim of the programme is to ensure a high level of consumer protection and to empower consumers in the heart of the internal market within the framework of an overall strategy for smart, sustainable and inclusive growth, by contributing to the protection of the health, safety, legal and economic interests of consumers, as well as to the promotion of their right to information, education and to organise themselves in order to safeguard their interests, supporting the integration of such consumer interests into other policy areas. The programme will complement, support and monitor the policies of Member States.

This general objective shall be pursued through the following four specific objectives:

- safety: to consolidate and enhance product safety through effective market surveillance throughout the Union,
- information and education and support to consumer organisations: to improve consumers' education, information and awareness of their rights, to develop the evidence base for consumer policy and to provide support to consumer organisations, also taking into account the specific needs of vulnerable consumers,
- rights and redress: to develop and reinforce consumer rights, in particular through smart regulatory action, and to improve access to simple, efficient, expedient and low-cost redress including alternative dispute resolution,
- enforcement: to support enforcement of consumer rights by strengthening cooperation between national enforcement bodies and by supporting consumers with advice.

The new programme also needs to take into account new societal challenges which have grown in importance in recent years. They include: the increased complexity of consumer decision-making, the need to move towards more sustainable patterns of consumption, the opportunities and threats that digitalisation brings, an increase in social exclusion and the number of vulnerable consumers, and an ageing population.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Regulation (EU) No 254/2014 of the European Parliament and of the Council of 26 February 2014 on a multiannual consumer programme for the years 2014-20 and repealing Decision No 1926/2006/EC (OJ L 84, 20.3.2014, p. 42).

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CHAPTER 33 04 — CONSUMER PROGRAMME (*cont'd*)**33 04 51**      **Completion line of Union activities in favour of consumers***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 700 000	p.m.	5 752 675	0,—	15 789 945,40

*Remarks**Former Article 17 02 51*

This appropriation is intended to cover commitments relating to previous years under Decision No 20/2004/EC. That Decision was repealed by Decision No 1926/2006/EC.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the Western Balkan potential candidates for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with points (e) to (g) of Article 21(2) of the Financial Regulation.

*Legal basis*

Decision No 20/2004/EC of the European Parliament and of the Council of 8 December 2003 establishing a general framework for financing Community actions in support of consumer policy for the years 2004 to 2007 (OJ L 5, 9.1.2004, p. 1).

Decision No 1926/2006/EC of the European Parliament and of the Council of 18 December 2006 establishing a programme of Community action in the field of consumer policy (2007-2013) (OJ L 404, 30.12.2006, p. 39).

**33 04 77**      **Pilot projects and preparatory actions**

33 04 77 01      Pilot project — Transparency and stability in the financial markets

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	104 973,—

## CHAPTER 33 04 — CONSUMER PROGRAMME (cont'd)

33 04 77 (cont'd)

33 04 77 01 (cont'd)

## Remarks

Former Item 17 02 77 01

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

## Legal basis

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 04 77 02 Preparatory action — Monitoring measures in the field of consumer policy

## Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	370 120,—

## Remarks

Former Item 17 02 77 02

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

## Legal basis

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 04 77 03 Pilot project — Your Europe Travel application for mobile devices

## Figures (Differentiated appropriations)

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	100 000	500 000,—	0,—

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CHAPTER 33 04 — CONSUMER PROGRAMME (*cont'd*)33 04 77 (*cont'd*)33 04 77 03 (*cont'd*)*Remarks**Former Item 17 02 77 03*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

33 04 77 04 Pilot project — Training for SMEs on consumer rights in the digital age

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	500 000	1 000 000	500 000		

*Remarks**Former Item 17 02 77 04*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

The pilot project will help small and medium-sized enterprises (SMEs) to comply with consumer protection law in the online environment. Better compliance with the rules would reduce problems for consumers and benefit traders by avoiding problems with the enforcement authorities:

- training modules for SMEs on Union consumer contract and marketing law for the online environment, including on Directive 2011/83/EU (Consumer Rights Directive), Directive 2000/31/EC (e-Commerce Directive) and the applicable national legislation in the Member States will be produced by a contractor for the Commission and will be made available free of charge to consumer and business associations,

**CHAPTER 33 04 — CONSUMER PROGRAMME** (*cont'd*)**33 04 77** (*cont'd*)**33 04 77 04** (*cont'd*)

- the modules will be produced as offline learning courses for all Member States (plus Norway and Iceland) in the languages of all the Member States. In a second phase the modules could be adapted for online use to facilitate cross-border trade,
- consumer and business associations will be encouraged to use these modules for offering training programmes to SMEs about their obligations,
- the project will have a start-up phase running from mid-2015 to mid-2016 for drafting training modules and organising the process, and an operational phase subsequently. The training modules will be improved and added to over time, as feedback allows corrections to be made, and changing legislation or markets will necessitate additions,
- a kick-off conference with the full stakeholder base (consumer and business associations, legal practitioners, authorities), followed by a conference every two years, will make it possible to assess progress, analyse uptake, assess effectiveness and give visibility to the work.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**33 04 77 05** Pilot project — Consumer empowerment and education on the product safety and market surveillance in the digital single market

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
325 000	150 000				

*Remarks*

This pilot project involves a dedicated fund for testing a strategy to be carried out under the auspices of the Commission.

The pilot project will be used to help:

- carry out a large-scale public education campaign on product safety and market surveillance so as to foster consumer and business understanding, and appreciation of the challenges of e-commerce,
- ensure additional coordinated advocacy at Union level.

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## TITLE 33 — JUSTICE AND CONSUMERS

CHAPTER 33 04 — CONSUMER PROGRAMME (*cont'd*)33 04 77 (*cont'd*)33 04 77 05 (*cont'd*)

In a truly connected digital single market, all consumers should be able to have confidence in the safety of products purchased online — wherever they are in the Union. That requires building consumer trust in economic operators selling online and in authorities carrying out their enforcement tasks while empowering and educating consumers to effectively perform their role as responsible buyers. An empowered, protected and educated digital consumer represents an investment in the future. That means protecting consumers and building up their confidence, while enhancing the accountability of tomorrow's online consumers. Consequently, national forums are the most suitable way of delivering consumer education and helping to educate the consumers of tomorrow. In an increasingly globalised world, awareness of consumer rights and of the precautions to be taken is crucial to ensure protection, confidence and social responsibility while consuming. Given the fast development of the Internet, social media and online networks, and also online offers of what are in many instances fashionable products from elsewhere in the world where safety standards may not be the same as in the Union, teenagers are most likely to lack awareness.

This pilot project will enable stakeholders and citizens to learn about consumer education and to pass this invaluable information on to others through cross-curricular actions. In this connection, service providers will also gain information on how to pay tax resulting from transborder transactions, i.e. on how to use the Vatmoss system efficiently.

Members of the Commission's expert group on the safety of products sold online have established that the most effective way of protecting consumers against risks from products purchased online is to raise their awareness of the pitfalls of online buying and to empower them to make well-informed purchase decisions online.

The expert group is currently working on guidance for market surveillance authorities, which covers, inter alia, two important aspects with a view to educating and informing consumers about product safety online:

- the information that consumers should be aware of (e.g. there are product safety rules, and consumers should look for information about product compliance with them, and the fact that authorities are responsible for making checks on the Internet, but their resources and powers are limited, especially outside the Union, and that consumers should make use of complaints schemes or other arrangements to inform authorities about problems encountered online, etc.),
- the ways in which that information is conveyed to consumers (e.g. through websites, campaigns, social media, conventional media, consumer organisations, and complaints schemes).

When unsafe products are found somewhere in Europe, it is important to be able to stop them from being sold and make companies recall or withdraw them from the Union market. That will make consumers more confident about buying products on the Union market.

The pilot project could also test in practice the guidance recommendations (which were intended to be finalised by the end of 2015), including making messages available in all Union languages and collecting feedback. The project start-up phase will run from mid-2016 to mid-2017, for designing modules and organising the process, and there will subsequently be an operational phase. The modules should be developed on the basis of existing knowledge and guidelines, and improved and added to over time, as feedback will allow corrections to be made; and changing legislation or markets will necessitate additions.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



*TITLE 34*

**CLIMATE ACTION**

COMMISSION

TITLE 34 — CLIMATE ACTION

**TITLE 34****CLIMATE ACTION****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
34 01	ADMINISTRATIVE EXPENDITURE IN THE 'CLIMATE ACTION' POLICY AREA	24 908 278	24 908 278	22 083 645	22 083 645	22 387 775,99	22 387 775,99
34 02	CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL	112 606 000	57 036 000	105 364 250	62 163 365	99 144 094,17	28 947 668,97
	<b>Title 34 — Total</b>	<b>137 514 278</b>	<b>81 944 278</b>	<b>127 447 895</b>	<b>84 247 010</b>	<b>121 531 870,16</b>	<b>51 335 444,96</b>

## TITLE 34

## CLIMATE ACTION

## CHAPTER 34 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘CLIMATE ACTION’ POLICY AREA

The detail of articles 1, 2, 3 and 5 can be found in Chapter XX 01

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
34 01	ADMINISTRATIVE EXPENDITURE IN THE ‘CLIMATE ACTION’ POLICY AREA					
<b>34 01 01</b>	<b><i>Expenditure related to officials and temporary staff in the ‘Climate action’ policy area</i></b>	5.2	17 073 081	14 230 948	14 217 785,14	83,28
<b>34 01 02</b>	<b><i>External personnel and other management expenditure in support of the ‘Climate action’ policy area</i></b>					
34 01 02 01	External personnel	5.2	1 622 964	1 645 320	1 939 816,—	119,52
34 01 02 11	Other management expenditure	5.2	1 840 611	1 920 281	1 963 505,—	106,68
	Article 34 01 02 — Subtotal		3 463 575	3 565 601	3 903 321,—	112,70
<b>34 01 03</b>	<b><i>Expenditure related to information and communication technology equipment and services in the ‘Climate action’ policy area</i></b>	5.2	1 089 622	903 466	1 016 017,38	93,24
<b>34 01 04</b>	<b><i>Support expenditure for oper- ations and programmes in the ‘Climate action’ policy area</i></b>					
34 01 04 01	Support expenditure for the programme for the environment and climate action (LIFE) — Sub- programme for climate action	2	3 282 000	3 383 630	3 250 652,47	99,04
	Article 34 01 04 — Subtotal		3 282 000	3 383 630	3 250 652,47	99,04
	<b>Chapter 34 01 — Total</b>		<b>24 908 278</b>	<b>22 083 645</b>	<b>22 387 775,99</b>	<b>89,88</b>

**34 01 01** ***Expenditure related to officials and temporary staff in the ‘Climate action’ policy area***

Figures (Non-differentiated appropriations)

Appropriations 2016	Appropriations 2015	Outturn 2014
17 073 081	14 230 948	14 217 785,14

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TITLE 34 — CLIMATE ACTION

CHAPTER 34 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘CLIMATE ACTION’ POLICY AREA (*cont’d*)**34 01 02 External personnel and other management expenditure in support of the ‘Climate action’ policy area**

34 01 02 01 External personnel

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 622 964	1 645 320	1 939 816,—

34 01 02 11 Other management expenditure

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 840 611	1 920 281	1 963 505,—

**34 01 03 Expenditure related to information and communication technology equipment and services in the ‘Climate action’ policy area***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 089 622	903 466	1 016 017,38

**34 01 04 Support expenditure for operations and programmes in the ‘Climate action’ policy area**

34 01 04 01 Support expenditure for the programme for the environment and climate action (LIFE) — Sub-programme for climate action

*Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 282 000	3 383 630	3 250 652,47

*Remarks*

This appropriation is intended to cover:

- hosting, maintenance, security, quality assurance, operation and support (hardware, software and services) of information technology (IT) systems supporting the climate policy objectives, such as the EU Single Registry, EU Transaction Log and IT systems related to the implementation of legislation such as on the protection of the ozone layer and the use of fluorinated greenhouse gases,

**CHAPTER 34 01 — ADMINISTRATIVE EXPENDITURE IN THE ‘CLIMATE ACTION’ POLICY AREA** (*cont’d*)**34 01 04** (*cont’d*)34 01 04 01 (*cont’d*)

- development, maintenance, operation and support of adequate information technology (IT) systems for communication, selecting, evaluating, monitoring, reporting on projects and disseminating results of projects,
- the engagement of IT experts *intra muros* to support the development, quality assurance, testing and security of critical policy supporting IT systems.

It is also intended to provide support to the organisation of international climate events, to activities to which the Union is party and to preparatory work for future international agreements involving the Union.

*Legal basis*

See Chapter 34 02.

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185).

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TITLE 34 — CLIMATE ACTION

## CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
34 02	CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL								
<b>34 02 01</b>	<b>Reducing Union greenhouse gas emissions</b>	2	49 435 000	22 900 000	46 972 000	22 285 864	45 442 594,67	6 372 998,91	27,83
<b>34 02 02</b>	<b>Increasing the resilience of the Union to climate change</b>	2	48 785 000	22 850 000	46 032 000	27 692 419	42 151 650,—	3 694 047,70	16,17
<b>34 02 03</b>	<b>Better climate governance and information at all levels</b>	2	13 502 000	7 250 000	11 510 250	2 936 741	9 574 819,—	104 848,40	1,45
<b>34 02 04</b>	<b>Contribution to multi-lateral and international climate agreements</b>	4	884 000	884 000	850 000	763 901	775 030,50	775 030,50	87,67
<b>34 02 51</b>	<b>Completion of former climate action programmes</b>	2	—	1 900 000	—	5 874 440	0,—	13 448 743,46	707,83
<b>34 02 77</b>	<b>Pilot projects and preparatory actions</b>								
34 02 77 01	Preparatory action — Mainstreaming climate action, adaptation and innovation	2	p.m.	1 000 000	p.m.	2 250 000	0,—	4 300 000,—	430,00
34 02 77 02	Pilot project — Making efficient use of Union climate finance: using roads as an early performance indicator for REDD+ projects	2	p.m.	252 000	p.m.	360 000	1 200 000,—	252 000,—	100,00
	Article 34 02 77 — Subtotal		p.m.	1 252 000	p.m.	2 610 000	1 200 000,—	4 552 000,—	363,58
	<b>Chapter 34 02 — Total</b>		<b>112 606 000</b>	<b>57 036 000</b>	<b>105 364 250</b>	<b>62 163 365</b>	<b>99 144 094,17</b>	<b>28 947 668,97</b>	<b>50,75</b>

CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)34 02 01 **Reducing Union greenhouse gas emissions***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
49 435 000	22 900 000	46 972 000	22 285 864	45 442 594,67	6 372 998,91

*Remarks*

This appropriation is intended to finance measures to support the Union's role in the development, implementation and enforcement of policy and legislation in the area of climate change mitigation. It includes the monitoring of mainstreaming across policy areas by developing, testing and demonstrating policy or management approaches, best practices, solutions for the climate, improving the knowledge base of effective climate mitigation, enhancing its capacity to apply in practice, facilitating development and implementation of integrated approaches and action plans at local, regional or national level, as well as contributing to the development and demonstration of innovative low carbon technologies, systems, methods and instruments for being replicated, transferred or mainstreamed.

The following priorities will be taken into account:

- ensuring the implementation of the Union's commitments under the Kyoto Protocol to the United Nations Framework Convention on Climate Change (UNFCCC),
- developing new policies and further implementation of the existing 'Climate and Energy' package, the achievement of the 20/20/20 climate/energy targets of the Europe 2020 strategy and the '2030 Framework for climate and energy' supporting the transition towards a low carbon and climate resilient economy/society,

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22 of Regulation (EU) No 1293/2013) including:

- cooperation with Eurocontrol on implementation of European Union emissions trading system in aviation,
- the costs of development, maintenance, operation and support (hardware, software and services) of policy support systems, in particular but not exclusively the EU Single Registry, EU Transaction Log and the ozone depleting substances (ODS) and fluorinated gases monitoring system.

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by the way of action grants or, where appropriate, financial instruments (Article 17(4) of Regulation (EU) No 1293/2013).

Cooperation with relevant international organisations, their institutions and bodies, shall be possible where needed for the purpose of achieving the climate action objectives.

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185), and in particular Article 14 thereof.

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TITLE 34 — CLIMATE ACTION

CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)**34 02 02      *Increasing the resilience of the Union to climate change****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
48 785 000	22 850 000	46 032 000	27 692 419	42 151 650,—	3 694 047,70

*Remarks*

This appropriation is intended to finance measures to support the Union's role in the development, implementation and enforcement of policy and legislation in the area of climate change adaptation. This includes the monitoring of mainstreaming across policy areas by developing, testing and demonstrating policy or management approaches, best practices, solutions for climate change adaptation including, where appropriate, ecosystem-based approaches, improving the knowledge base of effective climate change adaptation, enhancing its capacity to apply in practice, facilitating the development and implementation of integrated approaches of strategies and action plans to adapt to the impact of climate change at local, regional or national level as well as contributing to the development and demonstration of innovative technologies, systems, methods and instruments for being replicated, transferred or mainstreamed, taking into account the following priorities:

- developing new policies and further implementation of the existing 'Climate and Energy' package, the achievement of the 20/20/20 climate/energy targets of the Europe 2020 strategy, the '2030 Framework for climate and energy' and the EU adaptation strategy supporting the transition towards a low carbon and climate resilient economy/society,
- harnessing the contribution of many Union policies (in particular cohesion, agricultural, rural development, research and innovation, transport and energy programmes, external action, etc.) to climate action, in particular through mainstreaming and adaptation measures,
- developing innovative support mechanisms to fully exploit the potential of new technologies to reduce losses caused by climate-change-related events, such as severe drought, flooding and extreme climate events, as well as to develop the Union's capacity for disaster prevention and response,
- supporting the development of 'climate proofing' tools, risk based assessments of programmes and measures to enhance adaptive capacity and resilience to climate change support and 'climate tracking' methodologies to monitor climate-related expenditure under the mainstreaming objective 'to increase the proportion of climate mainstreaming to at least 20 % of the future total Union budget in 2014-2020', with contribution from different policies.

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22 of Regulation (EU) No 1293/2013)).

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by way of action grants or, where appropriate, financial instruments (Article 17(4) of Regulation (EU) No 1293/2013).

Cooperation with relevant international organisations, their institutions and bodies, shall be possible where needed for the purpose of achieving the climate action objectives.



CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)34 02 02 (*cont'd*)*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185), and in particular Article 15 thereof.

34 02 03 **Better climate governance and information at all levels***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
13 502 000	7 250 000	11 510 250	2 936 741	9 574 819,—	104 848,40

*Remarks*

This appropriation is intended to finance measures to support the Union's role in enhancing climate governance by broadening stakeholder involvement, including non-profit-making organisations in the development and implementation of policy, building capacity, raising awareness, promoting policies/legislation in the area of climate action and knowledge on sustainable development, supporting communication, management and dissemination of information, facilitating knowledge-sharing of successful climate solutions and practices including developing platforms among stakeholders via cooperation platforms, contributing to more effective compliance with and enforcement of climate legislation, in particular by promoting the development and dissemination of best practices and policy approaches ('success stories').

The following priorities will be taken into account:

- developing new policies and further implementation of the existing 'Climate and Energy' package, the achievement of the 20/20/20 climate/energy targets of the Europe 2020 strategy, the '2030 Framework for climate and energy' and the EU adaptation strategy supporting the transition towards a low carbon and climate resilient economy/society,
- promotion of non-profit-making entities primarily active in the field of climate action at a European level and involved in the development and implementation of Union policy and legislation aiming to strengthen the participation of these NGOs in the dialogue process of climate action policymaking, in its implementation and in the European standardisation process to ensure balanced stakeholder representation and the systematic integration of climate-related aspects.

Costs of technical assistance for selecting projects and monitoring, evaluating and auditing projects under the LIFE programme (including non-profit-making organisations supported via operating grants) may also be financed by this appropriation.

The measures financed by LIFE may be implemented through action grants, operating grants, financial instruments, procurement procedures or any other interventions that are needed (Articles 17, 18, 21 and 22 of Regulation (EU) No 1293/2013).

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TITLE 34 — CLIMATE ACTION

**CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL** (*cont'd*)**34 02 03** (*cont'd*)

At least 81 % of the budgetary resources for the LIFE programme shall be allocated to projects supported by way of action grants or, where appropriate, financial instruments (Article 17(4) of Regulation (EU) No 1293/2013).

Cooperation with relevant international organisations, their institutions and bodies, shall be possible where needed for the purpose of achieving the climate action objectives.

*Legal basis*

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185), and in particular Article 16 thereof.

**34 02 04** ***Contribution to multilateral and international climate agreements****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
884 000	884 000	850 000	763 901	775 030,50	775 030,50

*Remarks*

This appropriation is intended to cover obligatory and voluntary contributions to a number of international conventions, protocols and agreements to which the Union is party and preparatory work for future international agreements involving the Union.

In some cases, contributions to subsequent protocols are included in contributions to their basic convention.

*Legal basis*

Council Decision 88/540/EEC of 14 October 1988 concerning the conclusion of the Vienna Convention for the protection of the ozone layer and the Montreal Protocol on substances that deplete the ozone layer (OJ L 297, 31.10.1988, p. 8).

Council Decision 94/69/EC of 15 December 1993 concerning the conclusion of the United Nations Framework Convention on Climate Change (OJ L 33, 7.2.1994, p. 11).

Council Decision 2002/358/EC of 25 April 2002 concerning the approval, on behalf of the European Community, of the Kyoto Protocol to the United Nations Framework Convention on Climate Change and the joint fulfilment of commitments thereunder (OJ L 130, 15.5.2002, p. 1).

CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)**34 02 51**      ***Completion of former climate action programmes****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 900 000	—	5 874 440	0,—	13 448 743,46

*Remarks*

This appropriation is intended to cover payments in respect of commitments remaining to be settled from previous years and resulting from the general objectives of the LIFE+ programme particularly concerning measures to support the Commission's role in initiating policy and legislation development and implementation in the area of climate action.

*Legal basis*

Regulation (EC) No 614/2007 of the European Parliament and of the Council of 23 May 2007 concerning the Financial Instrument for the Environment (LIFE+) (OJ L 149, 9.6.2007, p. 1).

**34 02 77**      ***Pilot projects and preparatory actions*****34 02 77 01**      Preparatory action — Mainstreaming climate action, adaptation and innovation*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 000 000	p.m.	2 250 000	0,—	4 300 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the preparatory action.

*Legal basis*

Preparatory action within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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TITLE 34 — CLIMATE ACTION

CHAPTER 34 02 — CLIMATE ACTION AT UNION AND INTERNATIONAL LEVEL (*cont'd*)34 02 77 (*cont'd*)

34 02 77 02 Pilot project — Making efficient use of Union climate finance: using roads as an early performance indicator for REDD+ projects

*Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	252 000	p.m.	360 000	1 200 000,—	252 000,—

*Remarks*

This appropriation is intended to cover commitments remaining to be settled from previous years under the pilot project.

*Legal basis*

Pilot project within the meaning of Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

*TITLE 40*

**RESERVES**

COMMISSION

TITLE 40 — RESERVES

**TITLE 40****RESERVES****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016		Appropriations 2015		Outturn 2014	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
40 01	RESERVES FOR ADMINISTRATIVE EXPENDITURE	3 426 739	3 426 739	p.m.	p.m.	0,—	0,—
40 02	RESERVES FOR FINANCIAL INTERVENTIONS	557 957 750	392 345 750	539 455 991	219 190 991	0,—	0,—
40 03	NEGATIVE RESERVE	p.m.	p.m.	p.m.	p.m.	0,—	0,—
	<b>Title 40 — Total</b>	<b>561 384 489</b>	<b>395 772 489</b>	<b>539 455 991</b>	<b>219 190 991</b>	<b>0,—</b>	<b>0,—</b>

## TITLE 40

## RESERVES

## CHAPTER 40 01 — RESERVES FOR ADMINISTRATIVE EXPENDITURE

Title Chapter Article Item	Heading	FF	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
40 01	RESERVES FOR ADMINIS- TRATIVE EXPENDITURE					
<b>40 01 40</b>	<b>Administrative reserve</b>		3 426 739	p.m.	0,—	0
<b>40 01 42</b>	<b>Contingency reserve</b>	5.2	p.m.	p.m.	0,—	
	<b>Chapter 40 01 — Total</b>		<b>3 426 739</b>	<b>p.m.</b>	<b>0,—</b>	<b>0</b>

**40 01 40**      **Administrative reserve***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
3 426 739	p.m.	0,—

*Remarks*

The appropriations entered in this article are purely provisional and may only be used after their transfer to another budget line in accordance with the Financial Regulation.

1.	Item	26 01 70 22	Frankfurt am Main (DE)	3 426 739
			<b>Total</b>	<b>3 426 739</b>

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**40 01 42**      **Contingency reserve***Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

COMMISSION

TITLE 40 — RESERVES

## CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/ 2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
40 02	RESERVES FOR FINANCIAL INTERVENTIONS								
<b>40 02 40</b>	<b><i>Non-differentiated appropriations</i></b>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
<b>40 02 41</b>	<b><i>Differentiated appropriations</i></b>		83 345 750	83 345 750	74 090 991	69 190 991	0,—	0,—	0
<b>40 02 42</b>	<b><i>Emergency aid reserve</i></b>	9	309 000 000	309 000 000	303 000 000	150 000 000	0,—	0,—	0
<b>40 02 43</b>	<b><i>Reserve for the European Globalisation Adjustment Fund</i></b>	9	165 612 000	p.m.	162 365 000	p.m.	0,—	0,—	
	<b>Chapter 40 02 — Total</b>		<b>557 957 750</b>	<b>392 345 750</b>	<b>539 455 991</b>	<b>219 190 991</b>	<b>0,—</b>	<b>0,—</b>	<b>0</b>

**40 02 40      *Non-differentiated appropriations****Figures (Non-differentiated appropriations)*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

The appropriations in the Title 'Reserves' are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consonant with sound financial management, the appropriations entered in the lines concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 27 of the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**40 02 41      *Differentiated appropriations****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
83 345 750	83 345 750	74 090 991	69 190 991	0,—	0,—



CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS (*cont'd*)40 02 41 (*cont'd*)*Remarks*

The appropriations in the Title 'Reserves' are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consistent with sound financial management, the appropriations entered in the lines concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 27 of the Financial Regulation.

The breakdown is as follows (commitments, payments):

1. Article	11 03 01	Establishing a governance framework for fishing activities carried out by Union fishing vessels in third country waters	83 345 750	83 345 750
<b>Total</b>			<b>83 345 750</b>	<b>83 345 750</b>

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

40 02 42 **Emergency aid reserve***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
309 000 000	309 000 000	303 000 000	150 000 000	0,—	0,—

*Remarks*

The emergency aid reserve (EAR) is intended to allow for a rapid response to specific aid requirements of third countries following events which could not be foreseen when the budget was established, first and foremost for humanitarian operations, but also for civil crisis management and protection, and situations of particular pressure resulting from migratory flows at the Union's external borders where circumstances so require.

The annual amount of the reserve is fixed at EUR 280 000 000 (2011 prices) and may be used up to year n+1 in accordance with the Financial Regulation. The reserve shall be entered in the general budget of the Union as a provision. The portion of the annual amount stemming from the previous year shall be drawn on first. That portion of the annual amount from year n which is not used in year n+1 shall lapse.

COMMISSION

TITLE 40 — RESERVES

**CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS** (*cont'd*)**40 02 42** (*cont'd*)*Legal basis*

Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 (OJ L 347, 20.12.2013, p. 884).

**40 02 43** ***Reserve for the European Globalisation Adjustment Fund****Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
165 612 000	p.m.	162 365 000	p.m.	0,—	0,—

*Remarks*

The aim of this reserve is to cover the European Globalisation Adjustment Fund so as to enable the Union to demonstrate solidarity towards, and to support workers made redundant and self-employed persons whose activity has ceased as a result of major structural changes in world patterns due to globalisation, as a result of the continuation of the global financial and economic crisis addressed in Regulation (EC) No 546/2009 of the European Parliament and of the Council of 18 June 2009 amending Regulation (EC) No 1927/2006 on establishing the European Globalisation Adjustment Fund (OJ L 167, 29.6.2009, p. 26), or as a result of a new global financial and economic crisis and to provide financial support for their rapid reintegration into sustainable employment.

The methods for entering the appropriations in this reserve and for mobilising the Fund are laid down in point 13 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management.

*Legal basis*

Regulation (EU) No 1309/2013 of the European Parliament and of the Council of 17 December 2013 on the European Globalisation Adjustment Fund (2014-2020) and repealing Regulation (EC) No 1927/2006 (OJ L 347, 20.12.2013, p. 855), and in particular Article 1 thereof.

*Reference acts*

Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management (OJ C 373, 20.12.2013, p. 1).

## CHAPTER 40 03 — NEGATIVE RESERVE

Title Chapter Article Item	Heading	FF	Appropriations 2016		Appropriations 2015		Outturn 2014		% Payments 2014/2016
			Commitments	Payments	Commitments	Payments	Commitments	Payments	
40 03	NEGATIVE RESERVE	8							
<b>40 03 01</b>	<b>Negative reserve</b>		p.m.	p.m.	p.m.	p.m.	0,—	0,—	
	<b>Chapter 40 03 — Total</b>		<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>p.m.</b>	<b>0,—</b>	<b>0,—</b>	

**40 03 01**      **Negative reserve***Figures (Differentiated appropriations)*

Appropriations 2016		Appropriations 2015		Outturn 2014	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

*Remarks*

The principle of a negative reserve is provided for in Article 47 of the Financial Regulation. This reserve must be drawn upon before the end of the financial year by means of transfer in accordance with the procedure laid down in Articles 26 and 27 of the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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## ANNEXES

## EUROPEAN ECONOMIC AREA

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Under the Agreement establishing the European Economic Area the EFTA States (with the exception of Switzerland) take part in a wide range of Union policies covered by subheading 1a and headings 2, 3, 4 and 5 of the multiannual financial framework in exchange for a financial contribution to operating appropriations calculated by applying a 'proportionality factor'. This proportionality factor is equal to the sum of the ratios obtained by dividing the gross domestic product at market prices of each EFTA State by the gross domestic product at market prices of all the Member States plus that of the EFTA State concerned.

For 2016 the proportionality factor is estimated at 2,76 % (on the basis of 2014 figures), i.e. 2,64 % for Norway, 0,09 % for Iceland and 0,03 % for Liechtenstein.

These financial contributions will not be formally entered into the budget; each budget heading relating to activities in which the EFTA States take part will refer to the EFTA contribution as a memorandum item. A summary table, listing the budget headings concerned and the amounts of the EFTA contribution for each budget heading, is published as an annex to the general budget of the Union. The total EFTA contribution for the operational part for 2016 is estimated at about EUR 382 730 556 in commitment appropriations. The EFTA States will also share in the administrative expenditure directly linked to the implementation of these policies. The figures and budget headings concerning the contributions by the EFTA States are still to be discussed with them and must therefore be regarded as provisional.

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Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments <sup>(1)</sup>	Payments <sup>(1)</sup>	Commitments	Payments
	XX 01 02 01	External personnel working with the institution	124 414 000	124 414 000	202 932	202 932
	XX 01 02 11	Other management expenditure of the institution	141 143 000	141 143 000	727 500	727 500
	26 01 22 02	Acquisition and renting of buildings in Brussels	214 138 000	214 138 000	453 610	453 610
	26 01 22 03	Expenditure related to buildings in Brussels	75 825 000	75 825 000	160 620	160 620
	26 01 23 02	Acquisition and renting of buildings in Luxembourg	35 138 000	35 138 000	74 433	74 433
	26 01 23 03	Expenditure related to buildings in Luxembourg	11 489 000	11 489 000	24 337	24 337
		<b>SUBTOTAL ADMINISTRATIVE PART</b>	<b>602 147 000</b>	<b>602 147 000</b>	<b>1 643 432</b>	<b>1 643 432</b>
2,76 %	01 04 51	Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014)	p.m.	96 000 000	p.m.	2 649 600
0,09 %	02 01 04 01	Support expenditure for Competitiveness of enterprises and small and medium-sized enterprises (COSME)	3 363 000	3 363 000	3 027	3 027
2,64 %	02 01 04 03	Support expenditure for European satellite navigation programmes	3 400 000	3 400 000	89 760	89 760
2,73 %	02 01 04 04	Support expenditure for European Earth observation programme (Copernicus)	2 600 000	2 600 000	70 980	70 980
2,73 %	02 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	8 517 385	8 517 385	232 525	232 525
2,73 %	02 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	2 405 948	2 405 948	65 682	65 682
2,73 %	02 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	3 179 000	3 179 000	86 787	86 787
0,09 %	02 01 06 01	Executive Agency for Small and Medium-sized Enterprises — Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME)	8 786 033	8 786 033	7 907	7 907
0,09 %	02 02 01	Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises	110 264 720	47 905 000	99 238	43 115
0,09 %	02 02 02	Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt	172 842 972	100 000 000	155 559	90 000
2,76 %	02 02 51	Completion of former activities in the competitiveness and entrepreneurship domain <sup>(2)</sup>	p.m.	6 094 600	p.m.	168 211
2,76 %	02 03 01	Operation and development of the internal market of goods and services <sup>(3)</sup>	22 693 000	18 500 000	p.m.	p.m.

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Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments (1)	Payments (1)	Commitments	Payments
2,76 %	02 03 03	European Chemicals Agency — Chemicals legislation	72 805 000	72 805 000	2 009 418	2 009 418
2,73 %	02 04 02 01	Leadership in space	159 792 893	147 533 544	4 362 346	4 027 666
2,73 %	02 04 02 02	Enhancing access to risk finance for investing in research and innovation	p.m.	p.m.	p.m.	p.m.
2,73 %	02 04 02 03	Increasing innovation in small and medium-sized enterprises (SMEs)	35 738 414	18 500 000	975 659	505 050
2,73 %	02 04 03 01	Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials	75 016 498	62 200 000	2 047 950	1 698 060
2,76 %	02 04 51	Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)	p.m.	85 800 000	p.m.	2 368 080
2,76 %	02 04 52	Completion of previous research framework programmes (prior to 2007)	p.m.	p.m.	p.m.	p.m.
2,76 %	02 04 53	Completion of Competitiveness and Innovation Framework Programme — Innovation part (2007-2013)	p.m.	27 600 000	p.m.	761 760
2,64 %	02 05 01	Developing and providing global satellite-based radio navigation infrastructures and services (Galileo) by 2020	588 169 000	297 000 000	15 527 662	7 840 800
2,64 %	02 05 02	Providing satellite-based services improving the performance of GPS to gradually cover the whole European Civil Aviation Conference (ECAC) region by 2020 (EGNOS)	260 000 000	207 000 000	6 864 000	5 464 800
2,64 %	02 05 11	European GNSS Agency	27 888 400	27 888 400	736 254	736 254
2,64 %	02 05 51	Completion of European satellite navigation programmes (EGNOS and Galileo)	p.m.	16 000 000	p.m.	422 400
2,73 %	02 06 01	Delivering operational services relying on space-borne observations and <i>in-situ</i> data (Copernicus)	122 353 000	121 000 000	3 340 237	3 303 300
2,73 %	02 06 02	Building an autonomous Union's Earth observation capacity (Copernicus)	461 214 000	459 000 000	12 591 142	12 530 700
2,73 %	02 06 51	Completion of European Earth monitoring programme (GMES)	p.m.	p.m.	p.m.	p.m.
2,73 %	04 01 04 02	Support expenditure for the programme Employment and Social Innovation	4 728 000	4 728 000	129 074	129 074
2,76 %	04 03 01 03	Free movement of workers, coordination of social security schemes and measures for migrants, including migrants from third countries (3)	8 589 000	6 200 000	p.m.	p.m.



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Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments <sup>(1)</sup>	Payments <sup>(1)</sup>	Commitments	Payments
2,76 %	04 03 01 07	European Year for Active Ageing and Solidarity between Generations (2012)	p.m.	p.m.	p.m.	p.m.
2,73 %	04 03 02 01	Progress — Supporting the development, implementation, monitoring and evaluation of Union employment and social policy and working conditions legislation <sup>(4)</sup>	74 681 600	38 850 000	2 038 808	1 060 605
2,73 %	04 03 02 02	EURES — Promoting workers' voluntary geographical mobility and boosting employment opportunities	22 061 000	14 300 000	602 265	390 390
0,09 %	04 03 02 03	Microfinance and Social Entrepreneurship — Increasing access to, and the availability of, financing for legal and physical persons, especially those furthest from the labour market, and social enterprises	25 624 200	17 000 000	23 062	15 300
2,76 %	04 03 12	European Agency for Safety and Health at Work	14 663 000	14 663 000	404 699	404 699
2,76 %	04 03 51	Completion of Progress	p.m.	11 400 000	p.m.	314 640
2,76 %	04 03 52	Completion of EURES	p.m.	2 000 000	p.m.	55 200
2,76 %	04 03 53	Completion of other activities <sup>(5)</sup>	p.m.	90 600	p.m.	2 501
2,73 %	05 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1 483 475	1 483 475	40 499	40 499
2,73 %	05 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	424 210	424 210	11 581	11 581
2,73 %	05 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	830 664	830 664	22 677	22 677
2,73 %	05 09 03 01	Securing sufficient supplies of safe and high quality food and other bio-based products	214 205 269	79 277 928	5 847 804	2 164 287
2,73 %	06 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	4 728 548	4 728 548	129 089	129 089
2,73 %	06 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	2 318 624	2 318 624	63 298	63 298
2,73 %	06 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	595 089	595 089	16 246	16 246
2,76 %	06 01 06 01	Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF) <sup>(6)</sup>	764 027	764 027	21 087	21 087
2,76 %	06 02 02	European Aviation Safety Agency	34 818 550	34 818 550	960 992	960 992
2,76 %	06 02 03 01	European Maritime Safety Agency	29 549 032	29 523 073	815 553	814 837
2,76 %	06 02 03 02	European Maritime Safety Agency — Anti-pollution measures	21 600 000	17 811 801	596 160	491 606

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Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments (¹)	Payments (¹)	Commitments	Payments
2,76 %	06 02 04	European Railway Agency	25 213 000	25 213 000	695 879	695 879
2,76 %	06 02 52	Completion of Marco Polo programme	p.m.	19 185 423	p.m.	529 518
2,76 %	06 02 53	Completion of anti-pollution measures	p.m.	4 688 199	p.m.	129 394
2,73 %	06 03 03 01	Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system	110 916 737	127 796 246	3 028 027	3 488 838
2,73 %	06 03 07 31	Single European Sky Air Traffic Management Research (SESAR) Joint Undertaking — Support expenditure	p.m.	p.m.	p.m.	p.m.
2,73 %	06 03 07 32	Single European Sky Air Traffic Management Research 2 (SESAR2) Joint Undertaking	60 000 000	55 000 000	1 638 000	1 501 500
2,73 %	06 03 07 33	Shift2Rail (S2R) Joint Undertaking — Support expenditure	1 577 618	1 577 618	43 069	43 069
2,73 %	06 03 07 34	Shift2Rail (S2R) Joint Undertaking	44 046 382	24 227 092	1 202 466	661 400
2,76 %	06 03 51	Completion of previous research framework programmes — seventh framework programme — EC (2007 to 2013)	p.m.	106 595 589	p.m.	2 942 038
2,76 %	06 03 52	Completion of previous research framework programmes (prior to 2007)	p.m.	p.m.	p.m.	p.m.
2,76 %	07 02 06	European Environment Agency	35 556 854	35 556 854	981 369	981 369
2,73 %	08 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	96 541 173	96 541 173	2 635 574	2 635 574
2,73 %	08 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	27 238 539	27 238 539	743 612	743 612
2,73 %	08 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	49 036 366	49 036 366	1 338 693	1 338 693
2,73 %	08 01 06 01	European Research Council Executive Agency — contribution from Horizon 2020	40 981 475	40 981 475	1 118 794	1 118 794
2,73 %	08 01 06 02	Research Executive Agency — Contribution from Horizon 2020	59 972 046	59 972 046	1 637 237	1 637 237
2,73 %	08 01 06 03	Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020	24 877 409	24 877 409	679 153	679 153
2,73 %	08 01 06 04	Innovation and Networks Executive Agency — Contribution from Horizon 2020	4 849 202	4 849 202	132 383	132 383
2,73 %	08 02 01 01	Strengthening frontier research in the European Research Council	1 622 722 376	591 884 144	44 300 321	16 158 437
2,73 %	08 02 01 02	Strengthening research in future and emerging technologies	p.m.	p.m.	p.m.	p.m.
2,73 %	08 02 01 03	Strengthening European research infrastructures, including e-infrastructures	183 905 321	83 564 914	5 020 615	2 281 322

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Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments <sup>(1)</sup>	Payments <sup>(1)</sup>	Commitments	Payments
2,73 %	08 02 02 01	Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing	504 175 361	407 929 917	13 763 987	11 136 487
2,73 %	08 02 02 02	Enhancing access to risk finance for investing in research and innovation	329 381 199	337 572 482	8 992 107	9 215 729
2,73 %	08 02 02 03	Increasing innovation in small and medium-sized enterprises (SMEs)	36 120 567	31 169 883	986 091	850 938
2,73 %	08 02 03 01	Improving lifelong health and well-being	524 745 272	299 890 040	14 325 546	8 186 998
2,73 %	08 02 03 02	Securing sufficient supplies of safe, healthy and high quality food and other bio-based products	142 233 804	89 735 746	3 882 983	2 449 786
2,73 %	08 02 03 03	Making the transition to a reliable, sustainable and competitive energy system	335 369 074	242 548 217	9 155 576	6 621 566
2,73 %	08 02 03 04	Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless	331 555 393	174 476 315	9 051 462	4 763 203
2,73 %	08 02 03 05	Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials	284 530 369	150 855 696	7 767 679	4 118 361
2,73 %	08 02 03 06	Fostering inclusive, innovative and reflective European societies	112 411 389	117 834 666	3 068 831	3 216 886
2,73 %	08 02 04	Spreading excellence and widening participation	105 470 711	47 808 292	2 879 350	1 305 166
2,73 %	08 02 05	Horizontal activities of Horizon 2020	109 162 522	79 820 088	2 980 137	2 179 088
2,73 %	08 02 06	Science with and for society	53 497 266	40 461 390	1 460 475	1 104 596
2,73 %	08 02 07 31	Innovative Medicines Initiative 2 (IMI2) Joint Undertaking — Support expenditure	1 200 000	1 200 000	32 760	32 760
2,73 %	08 02 07 32	Innovative Medicines Initiative 2 (IMI2) Joint Undertaking	197 787 000	68 973 824	5 399 585	1 882 985
2,73 %	08 02 07 33	Bio-Based Industries (BBI) Joint Undertaking — Support expenditure	1 946 263	1 946 263	53 133	53 133
2,73 %	08 02 07 34	Bio-Based Industries (BBI) Joint Undertaking	156 136 237	60 148 775	4 262 519	1 642 062
2,73 %	08 02 07 35	Clean Sky 2 Joint Undertaking — Support expenditure	2 625 785	2 625 785	71 684	71 684
2,73 %	08 02 07 36	Clean Sky 2 Joint Undertaking	194 773 655	177 301 922	5 317 321	4 840 342
2,73 %	08 02 07 37	Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking — Support expenditure	454 948	454 948	12 420	12 420
2,73 %	08 02 07 38	Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking	102 166 319	47 344 982	2 789 141	1 292 518

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Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments (1)	Payments (1)	Commitments	Payments
2,76 %	08 02 51	Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)	p.m.	1 867 645 867	p.m.	51 547 026
2,76 %	08 02 52	Completion of previous research framework programmes — Indirect action (prior to 2007)	p.m.	1 272 856	p.m.	35 131
2,73 %	09 01 04 01	Support expenditure for Connecting Europe Facility (CEF) — information and communication technologies (ICT)	497 000	497 000	13 568	13 568
2,73 %	09 01 04 02	Support expenditure for 'Creative Europe' programme — media sub-programme	1 414 980	1 414 980	38 629	38 629
2,73 %	09 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	43 255 948	43 255 948	1 180 887	1 180 887
2,73 %	09 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	11 242 239	11 242 239	306 913	306 913
2,73 %	09 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	10 483 333	10 483 333	286 195	286 195
2,76 %	09 02 03	European Union Agency for Network and Information Security (ENISA)	10 070 000	10 070 000	277 932	277 932
2,76 %	09 02 04	Body of European Regulators for Electronic Communications (BEREC) — Office (7)	4 072 000	4 072 000	p.m.	p.m.
2,73 %	09 03 01	Preparing broadband projects for public and/or private financing	p.m.	450 000	p.m.	12 285
2,73 %	09 03 02	Creating an environment more conducive to private investment for telecommunication infrastructure projects — CEF broadband	37 287 000	18 643 500	1 017 935	508 968
2,73 %	09 03 03	Promoting interoperability, sustainable deployment, operation and upgrading of trans-European digital service infrastructures, as well as coordination at European level	103 130 661	60 166 409	2 815 467	1 642 543
2,76 %	09 03 51 01	Completion of the 'Safer internetÉ' programme (2009 to 2013)	p.m.	285 000	p.m.	7 866
2,76 %	09 03 51 02	Completion of 'Safer internet plus' — Promoting safer use of the internet and new online technologies	p.m.	p.m.	p.m.	p.m.
2,73 %	09 04 01 01	Strengthening research in future and emerging technologies	215 400 890	244 098 326	5 880 444	6 663 884
2,73 %	09 04 01 02	Strengthening European research infrastructure, including e-infrastructure	97 889 261	95 000 000	2 672 377	2 593 500
2,73 %	09 04 02 01	Leadership in information and communications technology	723 681 812	799 548 750	19 756 513	21 827 681

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Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments <sup>(1)</sup>	Payments <sup>(1)</sup>	Commitments	Payments
2,73 %	09 04 03 01	Improving lifelong health and well-being	118 188 002	142 332 732	3 226 532	3 885 684
2,73 %	09 04 03 02	Fostering inclusive, innovative and reflective European societies	36 564 471	47 093 653	998 210	1 285 657
2,73 %	09 04 03 03	Fostering secure European societies	45 791 092	50 080 000	1 250 097	1 367 184
2,73 %	09 04 07 31	Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking — Support expenditure	1 019 130	1 019 130	27 822	27 822
2,73 %	09 04 07 32	Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking	153 127 070	120 651 306	4 180 369	3 293 781
2,76 %	09 04 51	Completion of the seventh framework programme (2007 to 2013)	p.m.	484 237 378	p.m.	13 364 952
2,76 %	09 04 52	Completion of previous research framework programmes (prior to 2007)	p.m.	p.m.	p.m.	p.m.
2,76 %	09 04 53 01	Completion of competitiveness and innovation framework programme — Information and communication technologies policy support programme (ICT PSP) (2007 to 2013)	p.m.	38 588 500	p.m.	1 065 043
2,76 %	09 04 53 02	Completion of previous information and communication technologies programmes (prior to 2007)	p.m.	p.m.	p.m.	p.m.
2,73 %	09 05 01	MEDIA subprogramme — Operating transnationally and internationally and promoting transnational circulation and mobility	100 712 000	98 115 407	2 749 438	2 678 551
2,76 %	09 05 51	Completion of former MEDIA programmes	—	5 471 576	p.m.	151 015
2,73 %	10 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	137 841 590	137 841 590	3 763 075	3 763 075
2,73 %	10 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	32 688 000	32 688 000	892 382	892 382
2,73 %	10 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	58 163 970	58 163 970	1 587 876	1 587 876
2,73 %	10 01 05 04	Other expenditure for new major research infrastructures — Horizon 2020	2 000 000	2 000 000	54 600	54 600
2,73 %	10 02 01	Horizon 2020 — Customer-driven scientific and technical support to Union policies	25 186 697	24 500 000	687 597	668 850
2,76 %	10 02 51	Completion of the Seventh Framework Programme — Direct actions (2007 to 2013)	p.m.	1 600 000	p.m.	44 160
2,76 %	10 02 52	Completion of previous research framework programmes — Direct actions (prior to 2007)	—	—	p.m.	p.m.
2,76 %	12 02 01	Implementation and development of the single market for financial services <sup>(8)</sup>	3 306 000	3 500 000	p.m.	p.m.

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Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments (1)	Payments (1)	Commitments	Payments
2,76 %	12 02 04	European Banking Authority (EBA) (7)	14 565 400	14 565 400	p.m.	p.m.
2,76 %	12 02 05	European Insurance and Occupational Pensions Authority (EIOPA) (7)	8 122 000	8 122 000	p.m.	p.m.
2,76 %	12 02 06	European Securities and Markets Authority (ESMA) (7)	10 203 000	10 203 000	p.m.	p.m.
2,76 %	15 01 04 01	Support expenditure for Erasmus+	10 444 400	10 444 400	288 265	288 265
2,73 %	15 01 04 02	Support expenditure for Creative Europe programme — Culture sub-programme	831 020	831 020	22 687	22 687
2,73 %	15 01 05 01	Expenditure relating to officials and temporary staff implementing research and innovation programmes — Horizon 2020	2 048 214	2 048 214	55 916	55 916
2,73 %	15 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	659 782	659 782	18 012	18 012
2,73 %	15 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1 139 250	1 139 250	31 102	31 102
2,76 %	15 01 06 01	Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus+	26 112 100	26 112 100	720 694	720 694
2,73 %	15 01 06 02	Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe	12 199 000	12 199 000	333 033	333 033
2,76 %	15 02 01 01	Promoting excellence and cooperation in the European education and training area and its relevance to the labour market	1 457 638 273	1 503 812 182	40 230 816	41 505 216
2,76 %	15 02 01 02	Promoting excellence and cooperation in the European youth area and the participation of young people in European democratic life	172 700 000	171 249 596	4 766 520	4 726 489
2,76 %	15 02 02	Promoting excellence in teaching and research activities in European integration through the Jean Monnet activities worldwide	37 074 900	33 676 900	1 023 267	929 482
2,76 %	15 02 03	Developing the European dimension in sport	30 000 000	28 000 000	828 000	772 800
2,76 %	15 02 51	Completion line for lifelong learning, including multilingualism	—	31 300 000	p.m.	863 880
2,76 %	15 02 53	Completion line for youth and sport	—	500 000	p.m.	13 800
2,73 %	15 03 01 01	Marie Skłodowska-Curie actions — generating, developing and transferring new skills, knowledge and innovation	768 895 200	508 490 935	20 990 839	13 881 803
2,73 %	15 03 05	European Institute of Innovation and Technology (EIT) — integrating the knowledge triangle of higher education, research and innovation	224 938 881	251 833 221	6 140 831	6 875 047
2,76 %	15 03 51	Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013)	p.m.	304 000 000	p.m.	8 390 400

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Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments <sup>(1)</sup>	Payments <sup>(1)</sup>	Commitments	Payments
2,76 %	15 03 53	Completion line European Institute of Innovation and Technology	p.m.	p.m.	p.m.	p.m.
2,73 %	15 04 01	Strengthening the financial capacity of SMEs and small and very small organisations in the European cultural and creative sectors, and fostering policy development and new business models	23 829 000	22 133 220	650 532	604 237
2,73 %	15 04 02	Culture sub-programme — Supporting cross-border actions and promoting transnational circulation and mobility	52 827 000	45 000 000	1 442 177	1 228 500
2,76 %	15 04 51	Completion of programmes/actions in the field of culture and language	—	10 822 000	p.m.	298 687
2,73 %	17 01 04 02	Support expenditure for the 'Third programme for the Union's action in the field of health (2014-2020)'	1 500 000	1 500 000	40 950	40 950
2,73 %	17 01 06 02	Consumers, Health, Agriculture and Food Executive Agency — Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)'	4 209 000	4 209 000	114 906	114 906
2,73 %	17 03 01	Third programme for the Union's action in the field of health (2014-2020)	56 451 000	48 500 000	1 541 112	1 324 050
2,76 %	17 03 10	European Centre for Disease Prevention and Control	53 683 000	53 683 000	1 481 651	1 481 651
2,73 %	17 03 11	European Food Safety Authority	76 244 000	76 075 000	2 081 461	2 076 848
2,76 %	17 03 12 01	Union contribution to the European Medicines Agency	14 503 000	14 503 000	400 283	400 283
2,76 %	17 03 12 02	Special contribution for orphan medicinal products	9 972 000	9 972 000	275 227	275 227
2,76 %	17 03 51	Completion of public health programmes	p.m.	16 000 000	p.m.	441 600
2,76 %	17 04 07	European Chemicals Agency — Activities in the field of biocides legislation	3 319 628	3 319 628	91 622	91 622
2,73 %	18 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	2 229 533	2 229 533	60 866	60 866
2,73 %	18 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	576 000	576 000	15 725	15 725
2,73 %	18 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	484 500	484 500	13 227	13 227
2,73 %	18 05 03 01	Fostering secure European societies	136 092 171	114 789 343	3 715 316	3 133 749
2,76 %	18 05 51	Completion of previous research framework programmes — Seventh framework programme — EC (2007 to 2013)	p.m.	120 800 000	p.m.	3 334 080

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EUROPEAN ECONOMIC AREA

Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments (1)	Payments (1)	Commitments	Payments
2,76 %	18 06 51	Completion of actions in the field of drugs prevention and information	p.m.	500 000	p.m.	13 800
2,76 %	19 05 20	Erasmus+ — Contribution from the Partnership Instrument	14 730 000	13 417 336	406 548	370 318
2,76 %	21 01 06 01	Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCIs)	2 332 000	2 332 000	64 363	64 363
2,76 %	21 02 20	Erasmus+ — Contribution from the development cooperation instrument (DCI)	105 000 000	61 455 647	2 898 000	1 696 176
2,76 %	22 01 06 01	Education, Audiovisual and Culture Executive Agency — Contribution from the Instrument for Pre-accession Assistance	820 000	820 000	22 632	22 632
2,76 %	22 01 06 02	Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)	2 550 000	2 550 000	70 380	70 380
2,76 %	22 02 04 02	Contribution to Erasmus+	32 035 349	27 877 772	884 176	769 427
2,76 %	22 04 20	Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)	95 410 000	75 481 736	2 633 316	2 083 296
2,73 %	23 03 01 01	Disaster prevention and preparedness within the Union	29 366 000	23 500 000	801 692	641 550
2,73 %	23 03 01 02	Disaster prevention and preparedness in third countries	5 551 000	3 861 429	151 542	105 417
2,73 %	23 03 02 01	Rapid and efficient emergency response interventions in the event of major disasters within the Union	1 208 000	1 000 000	32 978	27 300
2,73 %	23 03 02 02	Rapid and efficient emergency response interventions in the event of major disasters in third countries	12 000 000	15 000 000	327 600	409 500
2,76 %	23 03 51	Completion of programmes and actions in the field of civil protection within the Union (prior to 2014)	p.m.	3 250 000	p.m.	89 700
2,76 %	26 01 04 01	Support expenditure for interoperability solutions for European public administrations, businesses and citizens (ISA <sup>2</sup> ) (7)	400 000	400 000	p.m.	p.m.
2,76 %	26 03 01	Interoperability solutions and common frameworks for European public administrations, businesses and citizens (ISA <sup>2</sup> ) (7)	24 448 000	2 400 000	p.m.	p.m.
2,76 %	26 03 51	Completion of ISA programme	p.m.	21 753 380	p.m.	600 393
2,76 %	29 01 04 01	Support expenditure for the European statistical programme (9)	2 212 500	2 212 500	61 065	61 065



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EUROPEAN ECONOMIC AREA

Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments (1)	Payments (1)	Commitments	Payments
2,76 %	29 02 01	Providing quality statistical information, implementing new methods of production of European statistics and strengthening the partnership within the European Statistical System (9)	42 332 250	30 000 000	1 168 370	828 000
2,76 %	29 02 51	Completion of statistical programmes (prior to 2013) (9)	p.m.	3 600 000	p.m.	99 360
2,76 %	29 02 52	Completion of the programme for the modernisation of European enterprise and trade statistics (MEETS) (9)	p.m.	p.m.	p.m.	p.m.
2,73 %	32 01 05 01	Expenditure related to officials and temporary staff implementing research and innovation programmes — Horizon 2020	1 700 000	1 700 000	46 410	46 410
2,73 %	32 01 05 02	External personnel implementing research and innovation programmes — Horizon 2020	712 140	712 140	19 441	19 441
2,73 %	32 01 05 03	Other management expenditure for research and innovation programmes — Horizon 2020	1 108 000	1 108 000	30 248	30 248
2,76 %	32 02 10	Agency for the Cooperation of Energy Regulators (ACER) (7)	15 164 582	15 164 582	p.m.	p.m.
2,73 %	32 04 03 01	Making the transition to a reliable, sustainable and competitive energy system	324 676 361	256 130 706	8 863 665	6 992 368
2,76 %	32 04 51	Completion of the seventh framework programme (2007 to 2013)	p.m.	73 304 849	p.m.	2 023 214
2,76 %	32 04 52	Completion of previous research framework programmes (prior to 2007)	p.m.	p.m.	p.m.	p.m.
2,76 %	32 04 53	Completion of the 'Intelligent energy — Europe' programme (2007 to 2013)	p.m.	97 431 406	p.m.	2 689 107
2,76 %	32 04 54	Completion of the 'Intelligent energy — Europe' programme (2003 to 2006)	—	p.m.	p.m.	p.m.
0,12 %	33 01 04 01	Support expenditure for the Rights, Equality and Citizenship programme	1 100 000	1 100 000	1 320	1 320
2,73 %	33 01 04 03	Support expenditure for the Consumer programme	1 100 000	1 100 000	30 030	30 030
2,73 %	33 01 06 01	Consumers, Health, Agriculture and Food Executive Agency — Contribution from the Consumer programme	1 691 000	1 691 000	46 164	46 164
0,09 %	33 02 01	Ensuring the protection of rights and empowering citizens	25 306 000	17 600 000	22 775	15 840
0,12 %	33 02 02	Promoting non-discrimination and equality	33 546 000	23 000 000	40 255	27 600
2,76 %	33 02 03 01	Company law (8)	1 400 000	730 000	p.m.	p.m.

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EUROPEAN ECONOMIC AREA

Proportionality factor (*)	Budget line	Title	Budget 2016		EFTA contribution	
			Commitments <sup>(1)</sup>	Payments <sup>(1)</sup>	Commitments	Payments
2,76 %	33 02 51	Completion of actions in the field of rights, citizenship and equality <sup>(10)</sup>	p.m.	7 321 000	p.m.	202 060
2,76 %	33 03 51	Completion of actions in the field of justice	p.m.	7 500 000	p.m.	207 000
2,73 %	33 04 01	Safeguarding consumers' interest and improving their safety and information	23 102 000	16 500 000	630 685	450 450
2,76 %	33 04 51	Completion line of Union activities in favour of consumers	p.m.	1 700 000	p.m.	46 920
		<b>TOTAL</b>	<b>14 503 376 763</b>	<b>14 578 788 176</b>	<b>382 730 556</b>	<b>391 178 731</b>
		<b>SUBTOTAL ADMINISTRATIVE EXPENDITURE</b>	<b>602 147 000</b>	<b>602 147 000</b>	<b>1 643 432</b>	<b>1 643 432</b>
		<b>GRAND TOTAL</b>	<b>15 105 523 763</b>	<b>15 180 935 176</b>	<b>384 373 988</b>	<b>392 822 163</b>

<sup>(1)</sup> Including appropriations entered in the reserve.

<sup>(2)</sup> Based on 98,3 % of the appropriations due to the mixed EFTA/non-EFTA nature of the completion line.

<sup>(3)</sup> Annual action subject to agreement on the participation of EFTA States.

<sup>(4)</sup> Norway's participation started from 2015 onwards.

<sup>(5)</sup> Based on 4,53 % of the appropriations due to the mixed EFTA/non-EFTA nature of the completion line.

<sup>(6)</sup> Participation in CEF — ICT and legacy of Marco Polo II only (5,09 % of the appropriations).

<sup>(7)</sup> Subject to agreement on the participation of the EFTA States.

<sup>(8)</sup> Annual action subject to agreement on the participation of the EFTA States.

<sup>(9)</sup> Based on EFTA States' participation amounting to 75 % of the appropriations, in accordance with Protocol 30 to the EEA Agreement.

<sup>(10)</sup> Based on 73,21 % of the appropriations due to the mixed EFTA/non-EFTA nature of the completion line.

(\*) The proportionality factors applied to calculate the financial contribution are based on the following participation per EEA EFTA country and per EU programme:

Programme	Norway	Iceland	Liechtenstein	Proportionality factor
Horizon 2020	Yes	Yes	No	2,73 %
Erasmus+	Yes	Yes	Yes	2,76 %
COSME	No	Yes	No	0,09 %
Copernicus	Yes	Yes	No	2,73 %
Galileo	Yes	No	No	2,64 %
Third Health Programme	Yes	Yes	No	2,73 %
Rights, Equality and Citizenship — Ensuring the protection of rights and empowering citizens	No	Yes	No	0,09 %
Rights, Equality and Citizenship — Promoting non-discrimination and equality	No	Yes	Yes	0,12 %
Consumers	Yes	Yes	No	2,73 %
Creative Europe	Yes	Yes	No	2,73 %
Civil protection	Yes	Yes	No	2,73 %
Connecting Europe Facility — ICT strand	Yes	Yes	No	2,73 %
EaSI — EURES axis	Yes	Yes	No	2,73 %
EaSI — Progress axis	Yes	Yes	No	2,73 %

**LIST OF BUDGET HEADINGS OPEN TO CANDIDATE COUNTRIES AND, IF APPLICABLE, THE WESTERN  
BALKAN POTENTIAL CANDIDATES**

COMMISSION

LIST OF BUDGET HEADINGS OPEN TO CANDIDATE COUNTRIES AND, IF APPLICABLE, THE WESTERN BALKAN POTENTIAL CANDIDATES

(AL = Albania; BA = Bosnia and Herzegovina; MK = The former Yugoslav Republic of Macedonia (provisional code which does not prejudice in any way the definitive nomenclature for this country, which will be agreed following the conclusion of negotiations currently taking place on this subject at the United Nations); ME = Montenegro; RS = Republic of Serbia; TR = Turkey; Kosovo\* = Kosovo under UNSCR 1244. MD=Moldova)

### Total third-country contribution

(EUR million)

[illegible]

	Recipient States								
	MD	MK	TR	AL	BA	ME	RS	Kosovo*	Total
<b>32 04 53</b> Completion of competitiveness and innovation framework programme — 'Intelligent energy — Europe' programme	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.
<b>33 02 01, 33 02 02, 33 02 51 and 33 01 04 01</b> Rights and citizenship programme / Completion of fight against violence (Daphne)	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.
<b>33 02 06</b> European Union Agency for Fundamental Rights	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.
<b>33 04 01 and 33 04 51</b> The Consumer programme	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.
<b>Budget lines concerned <sup>(1)</sup></b> Horizon 2020 / Completion of the Seventh Framework Programme research — EC (non-nuclear)	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.
<b>Budget lines concerned <sup>(2)</sup></b> Erasmus+	p.m.	5,10	135	0,09	0,12	0,05	0,19	p.m.	140,55
<b>Budget lines concerned <sup>(3)</sup></b> Creative Europe Programme / Completion of the Culture programme (2007 to 2013)	p.m.	0,05	2,74	0,15	0,21	0,06	0,16	p.m.	3,37
<b>Budget lines concerned <sup>(4)</sup></b> Euratom Research and Training / Completion of the Seventh Framework Programme research — Euratom (nuclear)	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.

<sup>(1)</sup> Budget lines concerned: 02 04 02, 02 04 51, 06 03 03 01, 06 03 50, 08 01 06 03, 08 01 06 04, 08 02 01, 08 02 02, 08 02 03, 08 02 04, 08 02 50, 08 02 50 01, 08 03 50, 08 04 50, 09 01 05 01, 09 01 05 02, 09 01 05 03, 09 04 01 01, 09 04 01 02, 09 04 02 01, 09 04 03 01, 09 04 03 02, 09 04 03 03, 09 04 07 31, 09 04 07 32, 09 04 50, 10 02 01, 10 02 50, 15 03 01 01, 15 03 50, 32 04 03 01 and 32 04 50.

<sup>(2)</sup> Budget lines concerned: 15 02 53, 15 02 51, 15 01 02 11, 15 01 04 01, 15 01 06 01, 15 02 01 01, 15 02 01 02, 15 02 02, 15 02 03, 19 05 20, 21 01 06 01, 21 02 20, 22 01 06 02 and 22 04 20. Only Turkey and the Former Yugoslav Republic of Macedonia participate in the external strand of the Erasmus+ programme.

<sup>(3)</sup> Budget lines concerned: 09 01 04 02, 09 05 51, 09 05 01, 15 04 01, 15 04 02, 15 01 04 02, 15 01 06 02 and 15 01 02 11.

<sup>(4)</sup> Budget lines concerned: 08 03 01, 08 03 50 01, 08 04 01, 10 03 01 and 10 03 50.

COMMISSION

**BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED  
BY THE UNION BUDGET (BY WAY OF INDICATION)**

COMMISSION

*BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)*

## A. INTRODUCTION

This Annex is drawn up in accordance with Article 35(1) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

It provides information on the amounts of the borrowing-and-lending operations guaranteed by the Union budget: loans for balance-of-payments support, borrowing operations to provide macro-financial assistance to third countries, Euratom borrowings to contribute to the financing required for improving the degree of efficiency and safety of nuclear power stations in certain third countries and European Investment Bank loans in certain third countries.

At 31 December 2014, outstanding operations covered by the Union budget totalled EUR 84 122 605 438; of that total EUR 57 839 646 093 was inside the Union and EUR 26 282 959 345 outside (rounded figures and exchange euro rate applicable at 31 December 2014).

## COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

## B. BRIEF PRESENTATION OF THE VARIOUS CATEGORIES OF BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET

### I. SINGLE FACILITY PROVIDING MEDIUM-TERM FINANCIAL ASSISTANCE FOR MEMBER STATES' BALANCES OF PAYMENTS

#### 1. *Legal basis*

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payments (OJ L 53, 23.2.2002, p. 1).

Council Regulation (EC) No 1360/2008 of 2 December 2008 amending Regulation (EC) No 332/2002 (OJ L 352, 31.12.2008, p. 11).

Council Decision 2009/102/EC of 4 November 2008 providing Community medium-term financial assistance for Hungary (OJ L 37, 6.2.2009, p. 5).

Council Decision 2009/290/EC of 20 January 2009 providing Community medium-term financial assistance for Latvia (OJ L 79, 25.3.2009, p. 39).

Council Decision 2009/459/EC of 6 May 2009 providing Community medium-term financial assistance for Romania (OJ L 150, 13.6.2009, p. 8).

Council Regulation (EC) No 431/2009 of 18 May 2009 amending Regulation (EC) No 332/2002 (OJ L 128, 27.5.2009, p. 1).

Council Decision 2011/288/EU of 12 May 2011 providing precautionary EU medium-term financial assistance for Romania (OJ L 132, 19.5.2011, p. 15).

Council Decision 2013/531/EU of 22 October 2013 providing precautionary Union medium-term financial assistance to Romania (OJ L 286, 29.10.2013, p. 1).

#### 2. *Description*

In accordance with Regulation (EC) No 332/2002, the Union may grant loans to Member States which are experiencing, or are seriously threatened with, difficulties in their balance of current payments or capital movements. Only Member States which have not adopted the euro may benefit from this facility. The outstanding amount of loans was limited to EUR 12 000 000 000 in principal.

On 2 December 2008, the Council decided to extend the facility to EUR 25 000 000 000.

On 4 November 2008, the Council decided to provide Community medium-term financial assistance for Hungary in the form of a medium-term loan of a principal of up to EUR 6 500 000 000 for a maximum average maturity of 5 years.

On 20 January 2009, the Council decided to provide Community medium-term financial assistance for Latvia in the form of a medium-term loan of a principal of up to EUR 3 100 000 000 for a maximum average maturity of 7 years.

On 6 May 2009, the Council decided to provide Community medium-term financial assistance for Romania in the form of a medium-term loan of a principal of up to EUR 5 000 000 000 for a maximum average maturity of 5 years.

On 18 May 2009, the Council decided to extend the facility to EUR 50 000 000 000.

On 22 October 2013, the Council decided to make available to Romania precautionary medium-term financial assistance amounting to a maximum of EUR 2 000 000 000 in the form of a loan with a maximum average maturity of 8 years.

#### 3. *Effect on the budget*

Since both parts of these borrowing-and-lending operations are carried out on identical terms, they affect the budget only if the guarantee is activated in case of default. As at 31 December 2014 the outstanding amount under this instrument is EUR 8 400 000 000.



COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

## II. EUROPEAN UNION GUARANTEE FOR UNION BORROWINGS FOR FINANCIAL ASSISTANCE UNDER THE EUROPEAN FINANCIAL STABILISATION MECHANISM

### 1. *Legal basis*

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1).

Article 122(2) of the Treaty on the Functioning of the European Union.

Council Implementing Decision 2011/77/EU of 7 December 2010 on granting Union financial assistance to Ireland (OJ L 30, 4.2.2011, p. 34).

Council Implementing Decision 2011/344/EU of 30 May 2011 on granting Union financial assistance to Portugal (OJ L 159, 17.6.2011, p. 88).

Council Implementing Decision 2011/682/EU of 11 October 2011 amending Implementing Decision 2011/77/EU on granting Union financial assistance to Ireland (OJ L 269, 14.10.2011, p. 31).

Council Implementing Decision 2011/683/EU of 11 October 2011 amending Implementing Decision 2011/344/EU on granting Union financial assistance to Portugal (OJ L 269, 14.10.2011, p. 32).

Council Implementing Decision 2013/313/EU of 21 June 2013 amending Implementing Decision 2011/77/EU on granting Union financial assistance to Ireland (OJ L 173, 26.6.2013, p. 40).

Council Implementing Decision 2013/323/EU of 21 June 2013 amending Implementing Decision 2011/344/EU on granting Union financial assistance to Portugal (OJ L 175, 27.6.2013, p. 47).

Council Implementing Decision 2013/525/EU of 22 October 2013 amending Implementing Decision 2011/77/EU on granting Union financial assistance to Ireland (OJ L 282, 24.10.2013, p. 71).

### 2. *Description*

Article 122(2) of the Treaty on the Functioning of the European Union provides for the possibility of the Union granting financial assistance to a Member State which is in difficulties or is seriously threatened with severe difficulties caused, inter alia, by exceptional occurrences beyond its control.

The guarantee provided by the Union is for borrowings raised on the capital markets or from financial institutions.

In accordance with Article 2(2) of Council Regulation (EU) No 407/2010, the outstanding amount of loans and credit lines granted to Member States under the European financial stabilisation mechanism shall be limited to the margin available under the Union's own resources for payment appropriations.

This item constitutes the structure for the guarantee provided by the Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

On 7 December 2010, the Union decided to make available to Ireland a loan amounting to a maximum of EUR 22 500 000 000, with a maximum average maturity of 7,5 years (OJ L 30, 4.2.2011, p. 34).

On 30 May 2011, the Union decided to make available to Portugal a loan amounting to a maximum of EUR 26 000 000 000 (OJ L 159, 17.6.2011, p. 88).

## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

On 11 October 2011, the Council decided to amend Implementing Decisions 2011/77/EU and 2011/344/EU by extending the maturities and applying the reduction of the interest rate margin to all the tranches that have already been disbursed (OJ L 269 of 14.10.2011, p. 31 regarding Ireland (2011/682/EU) and p. 32 regarding Portugal (2011/683/EU)).

On 21 June 2013, the Council decided to amend Implementing Decision 2011/77/EU by extending the average loan maturity and providing the possibility to extend instalment maturities at the request of Ireland (OJ L 173, 26.6.2013, p. 40).

On 21 June 2013, the Council decided to amend Implementing Decision 2011/77/EU by extending the average loan maturity and providing the possibility to extend instalment maturities at the request of Portugal. In addition, measures to be adopted by the country in line with specification in the Memorandum of Understanding were specified (OJ L 175, 27.6.2013, p. 47).

On 22 October 2013, the Council decided to amend Implementing Decision 2011/77/EU by extending the availability of the financial assistance granted to Ireland (OJ L 282, 24.10.2013, p. 71).

### 3. *Effect on the budget*

Since both parts of these borrowing-and-lending operations are carried out on identical terms, they affect the budget only if the guarantee is activated in case of default. At 31 December 2014 the outstanding amount under this instrument is EUR 47 507 323 882.

COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

III. EUROPEAN UNION GUARANTEE FOR BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE MACRO-FINANCIAL ASSISTANCE FOR MEDITERRANEAN THIRD COUNTRIES

1. **Legal basis**

Council Decision 2007/860/EC of 10 December 2007 providing macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).

Decision No 1351/2013/EU of the European Parliament and of the Council of 11 December 2013 on providing macro-financial assistance to the Hashemite Kingdom of Jordan (OJ L 341, 18.12.2013, p. 4).

Decision No 534/2014/EU of the European Parliament and of the Council of 15 May 2014 providing macro-financial assistance to the Republic of Tunisia (OJ L 151, 21.5.2014, p. 9).

2. **Description**

On 10 December 2007, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Lebanon in the form of a long-term loan for a maximum amount of EUR 50 000 000 in principal for a maximum duration of 10 years. The first tranche of EUR 25 000 000 was disbursed in 2009.

On 11 December 2013, the European Parliament and the Council decided to make macro-financial assistance available to Jordan in the form of loans for a maximum amount of EUR 180 000 000 and with a maximum maturity of 15 years, to cover Jordan's balance of payments needs as identified in the IMF programme.

On 15 May 2014, the European Parliament and the Council decided to make macro-financial assistance available to Tunisia in the form of loans for a maximum amount of EUR 300 000 000 and with a maximum maturity of 15 years, to cover Tunisia's balance of payments needs as identified in the IMF programme.

3. **Effect on the budget**

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

## COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

IV. EUROPEAN UNION GUARANTEE FOR BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE MACRO-FINANCIAL ASSISTANCE FOR THIRD COUNTRIES IN CENTRAL AND EASTERN EUROPE

1. ***Legal basis***
2. ***Description***
3. ***Effect on the budget***

There are currently no outstanding loans under this section. Previous loans have been fully reimbursed.

COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

V. EUROPEAN UNION GUARANTEE FOR THE BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE MACRO-FINANCIAL ASSISTANCE TO THE COUNTRIES OF THE COMMONWEALTH OF INDEPENDENT STATES AND MONGOLIA

1. **Legal basis**

Council Decision 97/787/EC of 17 November 1997 providing exceptional financial assistance for Armenia and Georgia (OJ L 322, 25.11.1997, p. 37).

Council Decision 2000/244/EC of 20 March 2000 amending Decision 97/787/EC providing exceptional financial assistance for Armenia and Georgia in order to extend it to Tajikistan (OJ L 77, 28.3.2000, p. 11).

Council Decision 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22).

Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance for Armenia (OJ L 320, 5.12.2009, p. 3).

Decision No 388/2010/EU of the European Parliament and of the Council of 7 July 2010 providing macro-financial assistance to Ukraine (OJ L 179, 14.7.2010, p. 1).

Decision No 778/2013/EU of the European Parliament and the Council of 12 August 2013 providing further macro-financial assistance to Georgia (OJ L 218, 14.8.2013, p. 15).

Decision No 1025/2013/EU of the European Parliament and the of the Council of 22 October 2013 providing macro-financial assistance to the Kyrgyz Republic (OJ L 283, 25.10.2013, p. 1).

Council Decision 2014/215/EU of 14 April 2014 providing macro-financial assistance to Ukraine (OJ L 111, 15.4.2014, p. 85).

Decision (EU) 2015/601 of the European Parliament and of the Council of 15 April 2015 providing macro-financial assistance to Ukraine (OJ L 100, 17.4.2015, p. 1).

2. **Description**

On 17 November 1997, the Council decided to grant a European Union guarantee for an exceptional borrowing-and-lending operation for Georgia and Armenia (a loan to Georgia for a maximum amount of EUR 142 000 000 in principal and a loan to Armenia for EUR 28 000 000), with a maximum duration of 15 years.

The first tranche of EUR 110 000 000 was paid to Georgia on 24 July 1998. The second tranche is no longer to be made.

On 20 March 2000, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Tajikistan. The loan is for a maximum amount of EUR 75 000 000 principal with a maximum duration of 15 years. A loan of EUR 60 000 000 was paid in 2001. The second tranche is no longer to be made.

On 12 July 2002, the Council decided to make available to Ukraine a long-term loan facility of a maximum principal amount of EUR 110 000 000 with a maximum maturity of 15 years, with a view to ensuring a sustainable balance-of-payments situation, strengthening the country's reserve position and facilitating the implementation of the necessary structural reforms.

On 30 November 2009, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Armenia in the form of a long-term loan for a maximum amount of EUR 65 000 000 in principal for a maximum duration of 15 years. The first tranche of EUR 26 000 000 was disbursed in 2011, the second and final one in 2012.

On 7 July 2010, the European Parliament and the Council decided to make available to Ukraine a long-term loan facility of a maximum principal amount of EUR 500 000 000 with a maximum maturity of 15 years, with a view to ensuring a sustainable balance-of-payments situation.

On 12 August 2013, the European Parliament and the Council decided to make macro-financial assistance available to Georgia of a maximum amount of EUR 46 000 000 (up to EUR 23 000 000 in the form of grants and up to EUR 23 000 000 in the form of loans) for a maximum maturity of 15 years. No disbursements have been made.

## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

On 22 October 2013, the European Parliament and the Council decided to make macro-financial assistance available to the Kyrgyz Republic of a maximum amount of EUR 30 000 000 (up to EUR 15 000 000 in the form of grants and up to EUR 15 000 000 in the form of loans) for a maximum maturity of 15 years. No disbursements have been made.

On 14 April 2014 the Council decided to make macro-financial assistance available to Ukraine in the form of loans for a maximum amount of EUR 1 000 000 000 and with a maximum maturity of 15 years, to cover Ukraine's urgent balance of payments needs as identified in the IMF programme. The total amount of EUR 1 000 000 000 has been disbursed in 2014.

On 15 April 2015, the Council decided to make macro-financial assistance available to Ukraine of a maximum amount of EUR 1 800 000 000 and with a maximum maturity of 15 years, with a view to supporting Ukraine's economic stabilisation and a substantive reform agenda. The assistance is to contribute to covering Ukraine's balance of payments needs as identified in the IMF programme.

### 3. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

## VI. EUROPEAN UNION GUARANTEE FOR THE BORROWING PROGRAMMES CONTRACTED BY THE UNION TO PROVIDE MACRO-FINANCIAL ASSISTANCE FOR THE COUNTRIES OF THE WESTERN BALKANS

1. *Legal basis*

Council Decision 97/471/EC of 22 July 1997 providing macro-financial assistance for the former Yugoslav Republic of Macedonia (OJ L 200, 29.7.1997, p. 59).

Council Decision 1999/325/EC of 10 May 1999 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 123, 13.5.1999, p. 57).

Council Decision 1999/733/EC of 8 November 1999 providing supplementary macro-financial assistance to the former Yugoslav Republic of Macedonia (OJ L 294, 16.11.1999, p. 31).

Council Decision 2001/549/EC of 16 July 2001 providing macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 197, 21.7.2001, p. 38).

Council Decision 2002/882/EC of 5 November 2002 providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 308, 9.11.2002, p. 25).

Council Decision 2002/883/EC of 5 November 2002 providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 308, 9.11.2002, p. 28).

Council Decision 2004/580/EC of 29 April 2004 providing macro-financial assistance to Albania (OJ L 261, 6.8.2004, p. 116).

Council Decision 2008/784/EC of 2 October 2008 establishing a separate liability of Montenegro and reducing proportionally the liability of Serbia with regard to the long term loans granted by the Community to the State Union of Serbia and Montenegro (formerly the Federal Republic of Yugoslavia) pursuant to Decisions 2001/549/EC and 2002/882/EC (OJ L 269, 10.10.2008, p. 8).

Council Decision 2009/891/EC of 30 November 2009 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 320, 5.12.2009, p. 6).

Council Decision 2009/892/EC of 30 November 2009 providing macro-financial assistance to Serbia (OJ L 320, 5.12.2009, p. 9).

2. *Description*

On 22 July 1997, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for the former Yugoslav Republic of Macedonia (FYROM I).

The loan is for a maximum amount of EUR 40 000 000 in principal for a duration of 15 years.

The first tranche of EUR 25 000 000, for a maximum duration of 15 years, was paid to the former Yugoslav Republic of Macedonia on 30 September 1997. It will be repaid in five equal annual instalments from the 11th year.

The second tranche of EUR 15 000 000 was paid on 13 February 1998. It will be repaid in five equal annual payments from the 11th year.

On 10 May 1999, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Bosnia and Herzegovina in the form of a long-term loan for a maximum amount of EUR 20 000 000 in principal for a maximum duration of 15 years (Bosnia I).

The first tranche of EUR 10 000 000, for a maximum duration of 15 years, was paid to Bosnia and Herzegovina on 21 December 1999. The second tranche of EUR 10 000 000 was paid in 2001.

## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

On 8 November 1999, the Council again decided to grant a European Union guarantee for a borrowing-and-lending operation for the former Yugoslav Republic of Macedonia in the form of a long-term loan for a maximum amount of EUR 50 000 000 in principal for a maximum duration of 15 years (FYROM II).

The first tranche of EUR 10 000 000, for a maximum duration of 15 years, was paid to the former Yugoslav Republic of Macedonia in January 2001, the second tranche of EUR 12 000 000 in January 2002, the third tranche of EUR 10 000 000 in June 2003 and the fourth tranche of EUR 18 000 000 in December 2003.

On 16 July 2001, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for the Federal Republic of Yugoslavia (Serbia and Montenegro I) in the form of a long-term loan for a maximum amount of EUR 225 000 000 in principal for a maximum duration of 15 years. The loan was paid in a single tranche in October 2001.

On 5 November 2002, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Bosnia and Herzegovina in the form of a long-term loan for a maximum amount of EUR 20 000 000 in principal for a maximum duration of 15 years (Bosnia II).

The first tranche of EUR 10 000 000, for a maximum duration of 15 years, was paid to Bosnia and Herzegovina in 2004, and the second tranche of EUR 10 000 000 in 2006.

On 5 November 2002, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Serbia and Montenegro (Serbia and Montenegro II) in the form of a long-term loan for a maximum amount of EUR 55 000 000 in principal for a maximum duration of 15 years.

The first tranche of EUR 10 000 000 and the second tranche of EUR 30 000 000, for a maximum duration of 15 years, were paid to Serbia and Montenegro in 2003, and the third tranche of EUR 15 000 000 in 2005.

The loan to Albania IV of EUR 9 000 000, for a maximum duration of 15 years, was fully disbursed in 2006.

On 30 November 2009, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Serbia in the form of a long-term loan for a maximum amount of EUR 200 000 000 in principal for a maximum duration of 8 years. The first tranche of EUR 100 000 000 was paid in 2011.

On 30 November 2009, the Council decided to grant a European Union guarantee for a borrowing-and-lending operation for Serbia in the form of a long-term loan for a maximum amount of EUR 100 000 000 in principal for a maximum duration of 15 years. The two tranches of EUR 50 000 000 each were paid in 2013.

### 3. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.



COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

VII. EUROPEAN UNION GUARANTEE FOR EURATOM BORROWINGS TO IMPROVE THE DEGREE OF EFFICIENCY AND SAFETY OF NUCLEAR POWER STATIONS IN THE COUNTRIES OF CENTRAL AND EASTERN EUROPE AND THE COMMONWEALTH OF INDEPENDENT STATES

1. **Legal basis**

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

2. **Description**

Pursuant to Decision 94/179/Euratom (OJ L 84, 29.3.1994, p. 41), the European Union extends the scope of Euratom borrowings under Decision 77/270/Euratom to the improvement of the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States.

The maximum amount of Euratom borrowings for the Member States and third countries remains fixed at EUR 4 000 000 000.

In 2000 the Commission decided on a loan for Kozloduy in Bulgaria (EUR 212 500 000) and the last instalment was in 2006. In 2000 the Commission granted a loan to K2R4 in Ukraine but reduced the loan amount to the EUR equivalent of USD 83 000 000 in 2004. K2R4 was granted a loan of EUR 39 000 000 (first tranche) in 2007, USD 22 000 000 in 2008, and USD 10 335 000 in 2009 under the 2004 Commission Decision. In 2004 the Commission decided on a loan for Cernavodă in Romania (EUR 223 500 000). A first instalment of EUR 100 000 000 and a second one of EUR 90 000 000 were disbursed in 2005 and the last one of EUR 33 500 000 in 2006.

3. **Effect on the budget**

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

From 1 January 2007, loans with Bulgaria and Romania cease to be external actions (see Regulation (EC, Euratom) No 2273/2004 (OJ L 396, 31.12.2004, p. 28)) and are therefore covered directly by the Union budget and no longer by the Fund.

## COMMISSION

BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

## VIII. EUROPEAN GUARANTEE FOR EUROPEAN INVESTMENT BANK LOANS TO MEDITERRANEAN COUNTRIES

1. *Legal basis*

Some of the countries included in the legal bases below are now Member States or are considered to be pre-accession countries. Furthermore, the names of the countries may have changed since the relevant legal bases were adopted.

Council Decision of 8 March 1977 (Mediterranean Protocols).

Council Regulation (EEC) No 1273/80 of 23 May 1980 on the conclusion of the interim Protocol between the European Economic Community and the Socialist Federal Republic of Yugoslavia on the advance implementation of Protocol 2 to the Cooperation Agreement (OJ L 130, 27.5.1980, p. 98).

Council Decision of 19 July 1982 (further exceptional aid for the reconstruction of Lebanon).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision of 9 October 1984 (loan outside the Yugoslavia Protocol).

Council Decision 87/604/EEC of 21 December 1987 concerning the conclusion of the second Protocol on financial cooperation between the European Economic Community and the Socialist Federal Republic of Yugoslavia (OJ L 389, 31.12.1987, p. 65).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Decision 92/210/EEC of 16 March 1992 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the State of Israel (OJ L 94, 8.4.1992, p. 45).

Council Regulation (EEC) No 1763/92 of 29 June 1992 concerning financial cooperation in respect of all Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 5), repealed by Regulation (EC) No 1488/96 (OJ L 189, 30.7.1996, p. 1)

Council Decision 92/548/EEC of 16 November 1992 concerning the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 93/408/EEC of 19 July 1993 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the Republic of Slovenia (OJ L 189, 29.7.1993, p. 152).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

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**BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)**

Council Decision 95/484/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Malta (OJ L 278, 21.11.1995, p. 14).

Council Decision 95/485/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Cyprus (OJ L 278, 21.11.1995, p. 22).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 1999/786/EC of 29 November 1999 granting a Community guarantee to the European Investment Bank (EIB) against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey (OJ L 308, 3.12.1999, p. 35).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2000/788/EC of 4 December 2000 amending Decision 2000/24/EC so as to establish a European Investment Bank special action programme in support of the consolidation and intensification of the EC-Turkey customs union (OJ L 314, 14.12.2000, p. 27).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

## **2. Union budget guarantee**

Pursuant to the Council Decision of 8 March 1977, the Union guarantees loans to be granted by the European Investment Bank as part of the Union's financial commitments towards the Mediterranean countries.

This decision was the basis for the contract of guarantee signed by the European Economic Community and the European Investment Bank on 30 October 1978 in Brussels and 10 November 1978 in Luxembourg introducing a global guarantee of 75 % of all appropriations made available for loans in the following countries: Malta, Tunisia, Algeria, Morocco, Portugal (Financial Protocol, emergency aid), Turkey, Cyprus, Egypt, Jordan, Syria, Israel, Greece, former Yugoslavia and Lebanon.

The contract of guarantee is extended for each new Financial Protocol.

Decision 97/256/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997 setting up a guarantee restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums.

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

Decision 1999/786/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 18 April 2000 and in Luxembourg on 23 May 2000 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2000/24/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 November 2011 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 July 2014 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

### 3. *Description*

Under the Financial Protocols concluded with Mediterranean third countries, global amounts are laid down for loans to be granted by the European Investment Bank from its own funds. The European Investment Bank grants the loans for purposes which may contribute to the economic and social development of the countries in question (transport infrastructures, ports, water supply, production and distribution of energy, agricultural projects and promotion of small and medium-sized businesses).

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in the following Mediterranean countries: Algeria, Cyprus, Egypt, Israel, Jordan, Lebanon, Malta, Morocco, Syria, Tunisia, Turkey, Gaza and the West Bank. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 2 310 000 000 is for the abovementioned Mediterranean countries. It covered a period of three years beginning on 31 January 1997 (with a possible extension of six months).

The European Investment Bank is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 29 November 1999, the Council decided to grant a Community guarantee to the European Investment Bank against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 600 000 000 and covered a period of three years beginning on 29 November 1999 (with a possible extension of six months).

The European Investment Bank is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

On 22 December 1999, the Council, acting on the basis of a Commission proposal and after consulting the European Parliament, decided once again to grant the European Investment Bank the Community guarantee against losses resulting from loans for projects carried out in the following Mediterranean countries: Algeria, Cyprus, Egypt, Israel, Jordan, Lebanon, Malta, Morocco, Syria, Tunisia, Turkey, Gaza and the West Bank. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. The guarantee is limited to 65 % of the total value of the appropriations made available plus all the related amounts. It covers a period of seven years from 1 February 2000 to 31 January 2007. As at the end of that period the loans granted by the European Investment Bank had not reached the abovementioned total values, the period has automatically been extended by six months.

On 4 December 2000, the Council decided to establish a European Investment Bank special action programme in support of the consolidation and intensification of the EC-Turkey Customs Union. The value of those loans is limited to an overall ceiling of EUR 450 000 000.

Decision 2005/47/EC restructured the Mediterranean regional mandate to exclude Cyprus, Malta and Turkey which were included in the South Eastern Neighbours mandate.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following Mediterranean countries: Algeria, Egypt, West Bank and Gaza, Israel, Jordan, Lebanon, Libya (eligibility to be decided by the Council), Morocco, Syria, Tunisia. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. The Community guarantee is restricted to 65 %.

Decision 2006/1016/EC has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

Decision No 466/2014/EU grants an EU guarantee to the EIB against losses under financing operations supporting investment projects outside the Union (pre-accession countries, neighbourhood and partnership countries, Asia and Latin America, South Africa) throughout the period 2014-2020. The maximum ceiling of the EIB financing operations shall not exceed EUR 30 000 000 000 broken down into a fixed ceiling of a maximum amount of EUR 27 000 000 000 and an optional additional amount of EUR 3 000 000 000 to be decided in accordance with the ordinary legislative procedure following the mid-term review exercise. The EU guarantee is restricted to 65 % of the aggregated outstanding amount.

#### 4. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default,
- the granting, in a series of cases, of interest-rate subsidies of 2 % in non-repayable aid, subject to the overall amounts laid down by the Financial Protocols.

Loans with new Member States cease to be external actions (see Regulation (EC, Euratom) No 2273/2004 (OJ L 396, 31.12.2004, p. 28)) and are therefore covered directly by the Union budget and no longer by the Fund.

## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

## IX. EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY THE EUROPEAN INVESTMENT BANK IN THIRD COUNTRIES OF CENTRAL AND EASTERN EUROPE AND THE WESTERN BALKANS

1. **Legal basis**

Some of the countries included in the legal bases below are now Member States or are considered to be pre-accession countries. Furthermore, the names of the countries may have changed since the relevant legal bases were adopted.

Decision of 29 November 1989 by the Board of Governors of the European Investment Bank concerning Bank operations in Hungary and Poland.

Council Decision 90/62/EEC of 12 February 1990 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 42, 16.2.1990, p. 68).

Council Decision 91/252/EEC of 14 May 1991 extending to the Czechoslovakia, Bulgaria and Romania Decision 90/62/EEC granting the Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 123, 18.5.1991, p. 44).

Council Decision 93/166/EEC of 15 March 1993 granting a Community guarantee to the European Investment Bank against losses under loans for investment projects carried out in Estonia, Latvia and Lithuania (OJ L 69, 20.3.1993, p. 42).

Council Decision 93/696/EC of 13 December 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects in central and east European countries (Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania) (OJ L 321, 23.12.1993, p. 27).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 98/348/EC of 19 May 1998 granting a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia (OJ L 155, 29.5.1998, p. 53).

Council Decision 98/729/EC of 14 December 1998 amending Decision 97/256/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Bosnia and Herzegovina (OJ L 346, 22.12.1998, p. 54).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2000/688/EC of 7 November 2000 amending Council Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Croatia (OJ L 285, 10.11.2000, p. 20).

Council Decision 2001/778/EC of 6 November 2001 amending Decision 2000/24/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in the Federal Republic of Yugoslavia (OJ L 292, 9.11.2001, p. 43).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).



## COMMISSION

## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

## 2. *Union budget guarantee*

Decision 90/62/EEC is the basis for the contract of guarantee signed by the European Economic Community and the European Investment Bank on 24 April 1990 in Brussels and 14 May 1990 in Luxembourg concerning loans in Hungary and Poland, and the extension of this contract to loans in Czechoslovakia, Romania and Bulgaria signed on 31 July 1991 in Brussels and Luxembourg.

This contract of guarantee was the subject of an instrument signed in Brussels on 19 January 1993 and in Luxembourg on 4 February 1993 to replace the Czech and Slovak Federal Republic by the Czech Republic and the Slovak Republic from 1 January 1993.

Decision 93/696/EC is the basis for a contract of guarantee signed by the European Community and the European Investment Bank in Brussels on 22 July 1994 and in Luxembourg on 12 August 1994.

Decision 97/256/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 98/348/EC and Decision 98/729/EC are the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 2000/24/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000.

Decision 2005/47/EC is the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sum. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 November 2011 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 July 2014 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

## 3. *Description*

In response to a call made by the Council on 9 October 1989, the Board of Governors of the European Investment Bank decided on 29 November 1989 to authorise the Bank to provide loans from its own resources to finance investment projects in Hungary and Poland for a total amount not exceeding EUR 1 000 000 000. These loans are granted to finance investment projects which satisfy the Bank's usual requirements for loans from its own resources.

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On 14 May 1991 and 15 March 1993, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided that this guarantee should be extended to any loans that the European Investment Bank might make in the other countries of central and eastern Europe (Czechoslovakia, Bulgaria and Romania) over a period of 2 years up to a maximum of EUR 700 000 000.

On 13 December 1993, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew for 3 years the Community guarantee for EUR 3 000 000 000 in European Investment Bank loans for projects in Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania.

The budget guarantee covers all aspects of debt-servicing (repayment of capital, interest, related costs) in connection with these loans.

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in Albania, Bulgaria, Czech Republic, Estonia, Hungary, Latvia, Lithuania, Poland, Romania, Slovak Republic and Slovenia. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 3 520 000 000 is for the abovementioned countries of central and eastern Europe. It covers a period of 3 years beginning on 31 January 1997. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by six months.

On 19 May 1998, the Council decided to grant a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 150 000 000 for a period of 2 years beginning on 1 January 1998. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by six months.

On 14 December 1998, the Council decided to amend Decision 97/256/EC in order to extend the Community guarantee to the European Investment Bank to loans for projects in Bosnia and Herzegovina. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is EUR 100 000 000 for a period of two years beginning on 22 December 1998. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by six months.

The European Investment Bank is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 22 December 1999, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in Albania, the former Yugoslav Republic of Macedonia, Bosnia and Herzegovina, Bulgaria, Czech Republic, Estonia, Hungary, Latvia, Lithuania, Poland, Romania, Slovak Republic and Slovenia. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. It covers a period of seven years beginning on 1 February 2000 and ending on 31 January 2007. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by six months.

The European Investment Bank is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

On 7 November 2000, the Council decided to extend the guarantee granted by the Community to the European Investment Bank to loans for projects in Croatia.

On 6 November 2000, the Council decided to extend the guarantee granted by the Community to the European Investment Bank to loans for projects in the Federal Republic of Yugoslavia.



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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

Decision 2005/47/EC restructured the Mediterranean regional mandate to exclude Cyprus, Malta and Turkey which were included in the South Eastern Neighbours mandate.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following candidates: Croatia, Turkey, the former Yugoslav Republic of Macedonia and potential candidate countries: Albania, Bosnia and Herzegovina, Serbia, Kosovo. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of 6 months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

Decision No 466/2014/EU grants an EU guarantee to the EIB against losses under financing operations supporting investment projects outside the Union (pre-accession countries, neighbourhood and partnership countries, Asia and Latin America, South Africa) throughout the period 2014-2020. The maximum ceiling of the EIB financing operations shall not exceed EUR 30 000 000 000 broken down into a fixed ceiling of a maximum amount of EUR 27 000 000 000 and an optional additional amount of EUR 3 000 000 000 to be decided in accordance with the ordinary legislative procedure following the mid-term review exercise. The EU guarantee is restricted to 65 % of the aggregated outstanding amount.

#### 4. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

Loans with new Member States cease to be external actions (see Regulation (EC, Euratom) No 2273/2004 (OJ L 396, 31.12.2004, p. 28)) and are therefore covered directly by the Union budget and no longer by the Fund.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

## X. EUROPEAN UNION GUARANTEE TO THE EUROPEAN INVESTMENT BANK AGAINST LOSSES UNDER LOANS FOR PROJECTS IN CERTAIN ASIAN AND LATIN AMERICAN COUNTRIES

1. **Legal basis**

Council Decision 93/115/EEC of 15 February 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in certain third countries (OJ L 45, 23.2.1993, p. 27).

Council Decision 96/723/EC of 12 December 1996 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in Latin American and Asian countries with which the Community has concluded cooperation agreements (Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, El Salvador, Uruguay and Venezuela; Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam) (OJ L 329, 19.12.1996, p. 45).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

2. **Union budget guarantee**

Decision 93/115/EEC is the basis for a contract of guarantee signed by the European Community and the European Investment Bank in Brussels on 4 November 1993 and in Luxembourg on 17 November 1993.

Decision 96/723/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 18 March 1997 and in Luxembourg on 26 March 1997.

Decision 97/256/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 2000/24/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000.

Decision 2005/47/EC is the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB Financing Operations less amounts reimbursed, plus all related sum. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 November 2011 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 July 2014 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

### 3. *Description*

Pursuant to Decision 93/115/EEC the Union guarantees, on a case-by-case basis, loans made by the European Investment Bank in third countries with which the European Union has concluded cooperation agreements.

Decision 93/115/EEC set an overall limit of EUR 250 000 000 a year for a period of 3 years.

On 12 December 1996 the Council granted the EIB a 100 % Community guarantee for loans for projects of mutual interest in certain third countries (Asian and Latin American developing countries) with which the Community has concluded cooperation agreements. This guarantee was restricted to an overall ceiling of EUR 275 000 000 to be granted in 1996 (with a possible extension of six months).

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in the following countries of Asia and Latin America: Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Salvador, Uruguay, Venezuela, Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Mongolia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 900 000 000 is for the abovementioned countries of Asia and Latin America. It covered a period of 3 years beginning on 31 January 1997 (with a possible extension of six months).

The European Investment Bank is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 22 December 1999, the Council decided to renew the Community guarantee to the European Investment Bank for loans for projects in the following countries of Asia and Latin America: Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Salvador, Uruguay, Venezuela, Bangladesh, Brunei, China, India, Indonesia, Laos, Macao, Malaysia, Mongolia, Nepal, Pakistan, Philippines, Singapore, South Korea, Sri Lanka, Thailand, Vietnam and Yemen. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. It covers a period of seven years beginning on 1 February 2000 and ending on 31 January 2007. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by six months.

The European Investment Bank is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

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Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following Latin America countries: Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, El Salvador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Uruguay, Venezuela and in the following Asia countries: Afghanistan\*, Bangladesh, Bhutan\*, Brunei, Cambodia\*, China, India, Indonesia, Iraq\*, South Korea, Laos, Malaysia, Maldives, Mongolia, Nepal, Pakistan, the Philippines, Singapore, Sri Lanka, Taiwan\*, Thailand, Vietnam, Yemen and Central Asia countries: Kazakhstan\*, Kyrgyzstan\*, Turkmenistan\*, Uzbekistan\* (\*: eligibility to be decided by the Council). The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

Decision No 466/2014/EU grants an EU guarantee to the EIB against losses under financing operations supporting investment projects outside the Union (pre-accession countries, neighbourhood and partnership countries, Asia and Latin America, South Africa) throughout the period 2014-2020. The maximum ceiling of the EIB financing operations shall not exceed EUR 30 000 000 000 broken down into a fixed ceiling of a maximum amount of EUR 27 000 000 000 and an optional additional amount of EUR 3 000 000 000 to be decided in accordance with the ordinary legislative procedure following the mid-term review exercise. The EU guarantee is restricted to 65 % of the aggregated outstanding amount.

#### 4. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund to maintain its target amount of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

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BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

XI. EUROPEAN UNION GUARANTEE TO THE EUROPEAN INVESTMENT BANK AGAINST LOSSES UNDER LOANS FOR PROJECTS IN SOUTHERN CAUCASUS, RUSSIA, BELARUS, REPUBLIC OF MOLDOVA AND UKRAINE

1. **Legal basis**

Council Decision 2001/777/EC of 6 November 2001 granting a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension (OJ L 292, 9.11.2001, p. 41).

Council Decision 2005/48/EC of 22 December 2004 granting a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, the Republic of Moldova and Belarus (OJ L 21, 25.1.2005, p. 11). As of 31 December 2006 and in accordance with Commission Decision C(2005)1499, only Russia and Ukraine are eligible under Decision 2005/48/EC.

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

2. **Union budget guarantee**

Decision 2001/777/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 6 May 2002 and in Luxembourg on 7 May 2002.

Decision 2005/48/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 21 December 2005 and in Luxembourg on 9 December 2005.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sum. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 November 2011 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 July 2014 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

3. **Description**

On 6 November 2001, the Council decided to grant a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension. The overall ceiling of the credits is EUR 100 000 000. The EIB benefits from an exceptional Community guarantee of 100 %.

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

On 22 December 2004, the Council decided to grant a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus. The overall ceiling of the credits is EUR 500 000 000. The EIB benefits from an exceptional Community guarantee of 100 %.

Decision 2005/48/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 21 December 2005 and in Luxembourg on 9 December 2005 setting up a guarantee to 100 %.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans in the following Eastern Europe countries: the Republic of Moldova, Ukraine, Belarus (eligibility to be decided by the Council); in the Southern Caucasus countries: Armenia, Azerbaijan, Georgia and in Russia. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

Decision No 466/2014/EU grants an EU guarantee to the EIB against losses under financing operations supporting investment projects outside the Union (pre-accession countries, neighbourhood and partnership countries, Asia and Latin America, South Africa) throughout the period 2014-2020. The maximum ceiling of the EIB financing operations shall not exceed EUR 30 000 000 000 broken down into a fixed ceiling of a maximum amount of EUR 27 000 000 000 and an optional additional amount of EUR 3 000 000 000 to be decided in accordance with the ordinary legislative procedure following the mid-term review exercise. The EU guarantee is restricted to 65 % of the aggregated outstanding amount.

#### 4. *Effect on the budget*

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.

The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.



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BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

## XII. EUROPEAN UNION GUARANTEE FOR EUROPEAN INVESTMENT BANK LOANS TO SOUTH AFRICA

### 1. *Legal basis*

Council Decision 95/207/EC of 1 June 1995 granting a Community guarantee to the European Investment Bank against losses under loans for projects in South Africa (OJ L 131, 15.6.1995, p. 31).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries, South Africa, the former Yugoslav Republic of Macedonia and Bosnia and Herzegovina) (OJ L 102, 19.4.1997, p. 33).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood Policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2006/1016/EC of 19 December 2006 granting a Community guarantee to the European Investment Bank against losses under loans and loans guarantees for projects outside the Community (OJ L 414, 30.12.2006, p. 95).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Decision No 1080/2011/EU of the European Parliament and of the Council of 25 October 2011 granting an EU guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Union and repealing Decision No 633/2009/EC (OJ L 280, 27.10.2011, p. 1).

Decision No 466/2014/EU of the European Parliament and of the Council of 16 April 2014 granting an EU guarantee to the European Investment Bank against losses under financing operations supporting investment projects outside the Union (OJ L 135, 8.5.2014, p. 1).

### 2. *Union budget guarantee*

Decision 95/207/EC is the basis of a contract of guarantee signed by the European Community and the European Investment Bank in Brussels on 4 October 1995 and in Luxembourg on 16 October 1995.

Decision 97/256/EC is the basis for a contract of guarantee between the EC and the European Investment Bank signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997.

Decision 2000/24/EC is the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 19 July 2000 and in Luxembourg on 24 July 2000.

Decision 2006/1016/EC was the basis for a contract of guarantee between the European Community and the European Investment Bank signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sum. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 November 2011 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

Decision No 466/2014/EU was the basis for a contract of guarantee between the European Union and the European Investment Bank signed in Luxembourg and in Brussels on 22 July 2014 setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations less amounts reimbursed, plus all related sums.

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

**3. Description**

In accordance with the provisions of Decision 95/207/EC, the Union will guarantee European Investment Bank loans to South Africa up to a maximum total amount of EUR 300 000 000.

The budget guarantee covers all the debt-servicing (repayment of principal, interest and ancillary costs) in connection with these loans.

On 14 April 1997, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in the Republic of South Africa. The guarantee is restricted to 70 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 7 105 000 000, of which EUR 375 000 000 is for the Republic of South Africa. It covered a period of three years beginning on 1 July 1997 (with a possible 6-month extension).

The European Investment Bank is invited to aim to cover the commercial risk on 25 % of its lending from non-sovereign guarantees.

On 22 December 1999, the Council, acting on a proposal from the Commission and after consulting the European Parliament, decided to renew the Community guarantee to the European Investment Bank for loans for projects in the Republic of South Africa. The guarantee is restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums. The overall ceiling of the appropriations made available for all countries under Decision 2000/24/EC is equivalent to EUR 19 460 000 000. It covers a period beginning on 1 July 2000 and ending on 31 January 2007. As at the end of that period, the loans granted by the European Investment Bank had not attained the overall amounts referred to above, the period has been automatically extended by 6 months.

The European Investment Bank is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

Decision 2005/47/EC was the basis for a restated and amended contract of guarantee between the European Community and the European Investment Bank signed in Brussels on 30 August 2005 and in Luxembourg on 2 September 2005 setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations made available, plus all related sums.

Decision 2006/1016/EC grants a Community guarantee to the EIB against losses under loans and loan guarantees for projects outside the Community for loans. The overall ceiling of the appropriations made available for all countries under Decision 2006/1016/EC is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of 6 months. The Community guarantee is restricted to 65 %. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk operations).

Decision No 466/2014/EU grants an EU guarantee to the EIB against losses under financing operations supporting investment projects outside the Union (pre-accession countries, neighbourhood and partnership countries, Asia and Latin America, South Africa) throughout the period 2014-2020. The maximum ceiling of the EIB financing operations shall not exceed EUR 30 000 000 000 broken down into a fixed ceiling of a maximum amount of EUR 27 000 000 000 and an optional additional amount of EUR 3 000 000 000 to be decided in accordance with the ordinary legislative procedure following the mid-term review exercise. The EU guarantee is restricted to 65 % of the aggregated outstanding amount.

**4. Effect on the budget**

Since the entry into force of Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 establishing a Guarantee Fund for external actions (OJ L 293, 12.11.1994, p. 1), and subsequently of Council Regulation (EC, Euratom) No 480/2009 (OJ L 145, 10.6.2009, p. 10), any defaults are covered by this Fund within the limit of the amount available.



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The effect on the budget is thus limited to:

- one annual payment to or, exceptionally, from the Fund of 9 % of the guaranteed operations,
- activation of the budget guarantee should the debtor default.

Decision No 1080/2011/EU, which entered into force on 30 October 2011, increased the aggregate amount of credits disbursed and guarantees provided under EIB financing operations from EUR 25 800 000 000 to EUR 29 484 000 000 (EUR 2 000 000 000 for financing of climate change operations and EUR 1 684 000 000 for enhancing EIB risk-operations).

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### C. FORECASTS FOR NEW BORROWING-AND-LENDING OPERATIONS IN 2015 AND 2016

The following table gives a rough indication of possible new borrowings and the disbursement of new loans (guaranteed by the Union budget) in 2015 and 2016.

#### Borrowing-and-lending operations in 2015 and in 2016

(EUR million)

Instrument	2015	2016
<b>A. Union and Euratom borrowing-and-lending operations with a Union budget guarantee</b>		
1. Union macro-financial assistance for third countries (MFA)s		
Operations decided or scheduled:		
Georgia	10	13
Jordan	180	0
Kyrgyzstan	5	10
Tunisia	200	100
Ukraine	850	1 200
<i>Subtotal MFA</i>	<b>1 245</b>	<b>1 323</b>
2. Euratom loans	0	300
3. Balance of payments	0	0
4. European Financial Stabilisation Mechanism (EFSM)		
Ireland	0	0
Portugal	0	0
<i>Subtotal EFSM</i>	<b>0</b>	<b>0</b>
<b>Subtotal A</b>	<b>1 245</b>	<b>1 623</b>
<b>B. European Investment Bank loans with a Union budget guarantee</b>		
1. Pre-accession countries	893	1 100
2. Neighbourhood and partnership countries	1 906	2 215
3. Asia and Latin America	709	570
4. Republic of South Africa	123	120
<b>Subtotal B</b>	<b>3 631</b>	<b>4 005</b>
<b>Grand total</b>	<b>4 876</b>	<b>5 628</b>

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#### D. CAPITAL OPERATIONS AND DEBT MANAGEMENT

TABLE 1 — LOANS GRANTED

### Capital operations and management of funds lent

(EUR million)

[illegible]

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

(EUR million)

Instrument and year of disbursement	Equivalent at date of disbursement	Initial amount paid up to 31 December 2014	Amount outstanding at 31 December 2014	Repayments		Amount outstanding at 31 December		Interest		
				2015	2016	2015	2016	2015	2016	2017
2011										
2012										
2013										
2014										
<i>Total</i>	3 257,4	2 710,7	347,8	48,7	48,8	299,0	250,2	2,5	1,8	1,5
<i>2. Balance of payments</i>										
2009	7 200,0	7 200,0	4 200,0	2 700,0	1 500,0	1 500,0	0,0	138,75	54,38	0,0
2010	2 850,0	2 850,0	2 850,0	0,0	0,0	2 850,0	2 850,0	83,69	83,69	83,69
2011	1 350,0	1 350,0	1 350,0	0,0	0,0	1 350,0	1 350,0	43,69	43,69	43,69
2012										
2013										
2014										
<i>Total</i>	13 400,0	13 400,0	8 400,0	2 700,0	1 500,0	5 700,0	4 200,0	266,1	181,8	127,4
<i>3. Macro-financial assistance (MFA) to third countries and food aid to the former Soviet Union</i>										
1990	350,0	350,0								
1991	945,0	945,0								
1992	1 671,0	1 671,0								
1993	659,0	659,0								
1994	400,0	400,0								
1995	410,0	410,0								
1996	155,0	155,0								
1997	445,0	195,0								
1998	153,0	403,0								
1999	108,0	108,0								
2000	160,0	160,0								
2001	305,0	305,0	8,0	4,0	4,0	4,0	0,0	0,01	0,01	0,00
2002	12,0	12,0	7,2	2,4	2,4	4,8	2,4	0,01	0,01	0,01
2003	118,0	118,0	22,4	5,6	5,6	16,8	11,2	0,03	0,01	0,01
2004	10,0	10,0	10,0	2,0	2,0	8,0	6,0	0,02	0,01	0,01
2005	15,0	15,0								
2006	19,0	19,0	19,0	0,0	0,0	19,0	19,0	0,03	0,01	0,01
2007										
2008										
2009	25,0	25,0								
2010										
2011			263,0	53,0	56,0	210,0	154,0	6,14	5,76	5,35
2012	39,0	39,0	39,0	0,0	0,0	39,0	39,0	1,22	1,22	1,22
2013	100,0	100,0	100,0	0,0	0,0	100,0	100,0	2,00	2,00	2,00

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(EUR million)

Instrument and year of disbursement	Equivalent at date of disbursement	Initial amount paid up to 31 December 2014	Amount outstanding at 31 December 2014	Repayments		Amount outstanding at 31 December		Interest		
				2015	2016	2015	2016	2015	2016	2017
2014	1 360,0	1 360,0	1 360,0	0,0	0,0	1 360,0	1 360,0	20,89	21,7	21,7
Total	7 585,0	7 585,0	1 828,6	67,0	70,0	1 761,6	1 691,6	30,4	30,7	30,3
4. EFSM										
2011	28 000,0	28 000,0	28 000,0	5 000,0	4 750,0	23 000,0	18 250,0	816,00	691,00	560,38
2012	15 800,0	15 800,0	15 800,0	0,0	0,0	15 800,0	15 800,0	489,88	489,88	489,88
2013										
2014	3 000,0	3 000,0	3 000,0	0,0	0,0	3 000,0	3 000,0	54,98	54,25	54,25
Total	46 800,0	46 800,0	46 800,0	5 000,0	4 750,0	41 800,0	37 050,0	1 360,9	1 235,1	1 104,5

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BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

TABLE 2 — LOANS RAISED

### Capital operations and management of funds borrowed

(EUR million)

[illegible]

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

(EUR million)

Instrument and year of disbursement	Equivalent at date of disbursement	Initial amount received up to 31 December 2014	Amount outstanding at 31 December 2014	Repayments		Amount outstanding at 31 December		Interest		
				2015	2016	2015	2016	2015	2016	2017
2011										
2012										
2013										
2014										
Total	4 040,2	4 511,0	347,8	48,8	48,8	299,0	250,2	2,5	1,8	1,5
2. Balance of payments										
2009	7 200,0	7 200,0	4 200,0	2 700,0	1 500,0	1 500,0	0,0	138,75	54,38	0,0
2010	2 850,0	2 850,0	2 850,0	0,0	0,0	2 850,0	2 850,0	83,69	83,69	83,69
2011	1 350,0	1 350,0	1 350,0	0,0	0,0	1 350,0	1 350,0	43,69	43,69	43,69
2012										
2013										
2014										
Total	13 400,0	13 400,0	8 400,00	2 700,0	1 500,0	5 700,0	4 200,0	266,1	181,8	127,4
3. Macro-financial assistance (MFA) to third countries and food aid to the former Soviet Union										
1990	350,0	350,0								
1991	945,0	945,0								
1992	1 671,0	1 671,0								
1993	659,0	659,0								
1994	400,0	400,0								
1995	410,0	410,0								
1996	155,0	155,0								
1997	445,0	195,0								
1998	153,0	403,0								
1999	108,0	108,0								
2000	160,0	160,0								
2001	80,0	80,0	8,0	4,0	4,0	4,0	0,0	0,01	0,01	0,00
2002	12,0	12,0	7,2	2,4	2,4	4,8	2,4	0,01	0,01	0,01
2003	78,0	78,0	22,4	5,6	5,6	16,8	11,2	0,03	0,01	0,01
2004	10,0	10,0	10,0	2,0	2,0	8,0	6,0	0,02	0,01	0,01
2005										
2006	19,0	19,0	19,0	0,0	0,0	19,0	19,0	0,03	0,01	0,01
2007										
2008										
2009	25,0	25,0								
2010										
2011	126,0	126,0	263,0	53,0	56,0	210,0	154,0	6,14	5,76	5,35

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## BORROWING-AND-LENDING OPERATIONS — BORROWING-AND-LENDING OPERATIONS GUARANTEED BY THE UNION BUDGET (BY WAY OF INDICATION)

(EUR million)

Instrument and year of disbursement	Equivalent at date of disbursement	Initial amount received up to 31 December 2014	Amount outstanding at 31 December 2014	Repayments		Amount outstanding at 31 December		Interest		
				2015	2016	2015	2016	2015	2016	2017
2012	39,0	39,0	39,0	0,0	0,0	39,0	39,0	1,22	1,22	1,22
2013	100,0	100,0	100,0	0,0	0,0	100,0	100,0	2,00	2,00	2,00
2014	1 360,0	1 360,0	1 360,0	0,0	0,0	1 360,0	1 360,0	20,89	21,70	21,70
Total	7 585,0	7 585,0	1 828,6	67,0	70,0	1 761,6	1 691,6	30,4	30,7	30,3
4. EFSM										
2011	28 000,0	28 000,0	28 000,0	5 000,0	4 750,0	23 000,0	18 250,0	816,00	691,00	560,38
2012	15 800,0	15 800,0	15 800,0	0,0	0,0	15 800,0	15 800,0	489,88	489,88	489,88
2013										
2014	3 000,0	3 000,0	3 000,0	0,0	0,0	3 000,0	3 000,0	54,98	54,25	54,25
Total	46 800,0	46 800,0	46 800,0	5 000,0	4 750,0	41 800,0	37 050,0	1 360,9	1 235,1	1 104,5



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### Technical notes on tables

Exchange rate: the amounts in column 2 'Equivalent at date of signature' are converted at the rate applicable on the date of signature. As regards refinancing operations, Table 1 shows both the initial operation (e.g. in 1979) and the replacement operation (e.g. in 1986), the amount for the latter being converted at the rate of the initial operation. The resulting duplication affects the annual figures but is eliminated in the total.

All other amounts are converted at the rate applicable at 31 December 2014.

Column 3 'Initial amount received/paid up to 31 December 2014': with reference to 1986, for example, this column shows the cumulative total of all amounts received up to 31 December 2014 under loans signed in 1986 (Table 1), including refinancing (which produces some duplication).

Column 4 'Amount outstanding at 31 December 2014': these are net figures, with no duplication as a result of refinancing operations. They are obtained by subtracting from the column 3 figure the cumulative total of repayments made up to 31 December 2013, including repayments in respect of refinancing operations (total not given in the tables).

Column 7 = column 4 – column 5.

MFA 2011: following the Loan Agreement signed by Montenegro on 9 February 2010 pursuant to Council Decision 2008/784/EC of 2 October 2008 establishing a separate liability of Montenegro, the loans initially granted to Serbia and Montenegro in 2001, 2003 and 2005 have been re-initiated with a virtual starting date in 2011 in order to implement the splitting of the countries.

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INFORMATION ON FINANCIAL INSTRUMENTS IN ACCORDANCE WITH ARTICLE 49(1)(E) OF THE FINANCIAL REGULATION

### **Introduction**

This Annex is drawn up in accordance with Article 49(1)(e) of the Financial Regulation.

It provides information on both the financial instruments prior to 2014 managed by the Commission with a budgetary impact in terms of payment appropriations in 2014 onwards and the 2014-2020 financial instruments managed by the Commission with a budgetary impact starting in 2014 under the multiannual financial framework (MFF) 2014-2020 included in the proposals for basic act.

More quantitative information on the instruments can be found in the Working Document on financial instruments accompanying draft budget in accordance with Article 38(5) of the Financial Regulation.

### **List of financial instruments**

#### **Equity instruments**

- High Growth and Innovative SME Facility under ‘Competitiveness and innovation’ programme (CIP-GIF)
- Equity Facility for Growth under ‘Competitiveness of enterprises and small and medium-sized enterprises’ (COSME)
- Equity Facility (early-stage capital) for Research and Innovation of Horizon 2020
- ‘Connecting Europe Facility’ (CEF) Equity Instrument

#### **Guarantee instruments**

- SME Guarantee Facility under ‘Competitiveness and innovation’ programme (CIP — SMEG07)
- RSI Facility under the seventh research framework programme (2007-2013) (FP7 — RSI)
- European Progress Microfinance Guarantee Facility (PMF-G)
- Loan Guarantee Facility under ‘Competitiveness of enterprises and small and medium-sized enterprises’ (COSME)
- SME and Small Midcaps R&I Loans Service (Horizon 2020)
- Programme for Employment and Social Innovation (EaSI) — Microfinance and Social Entrepreneurship axis (successor to EPMF)
- Cultural and Creative Sector Guarantee Facility (‘Creative Europe programme’)
- Student Loan Guarantee Facility (‘Erasmus + programme’)
- Private Financing for Energy Efficiency (PF4EE) Guarantee Window

#### **Risk-sharing instruments**

- Risk-Sharing Finance Facility under the seventh research framework programme (2007-2013) (FP7 — RSFF)
- Loan Guarantee Instrument (LGTT)
- Project Bond Initiative (PBI)
- Loan and Guarantee Service for Research and Innovation under Horizon 2020
- CEF Risk-sharing Instrument (loan risk-sharing and project bonds)

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- Natural Capital Finance Facility (NCFF) Debt Window
- EU SME Initiative

**Dedicated investment vehicles**

- European Progress Microfinance FCP-FIS (PMF FCP-FIS)
- 2020 European Fund for Energy, Climate Change and Infrastructure (Marguerite)
- European Energy Efficiency Fund (EEEF)

**External facilities (not financed exclusively through the European Development Fund)****Regional facilities**

- Neighbourhood Investment Facility (NIF)
- Investment Facility for Central Asia (IFCA) and Asian Investment Facility (AIF)
- Latin America Investment Facility (LAIF)

**Additional facilities**

- Support to the Facility for Euro-Mediterranean Investment Partnership (FEMIP)
- Global Energy Efficiency and Renewable Energy Fund (GEEREF)

**Financial instruments in the enlargement countries****Western Balkans**

- Guarantee Facility under the Western Balkan Enterprise Development and Innovation Facility (EDIF)
- Enterprise Expansion Fund (ENEF) under the Western Balkan Enterprise Development and Innovation Facility
- Enterprise Innovation Fund (ENIF) under the Western Balkan Enterprise Development and Innovation Facility

**Other (mixed)**

- European Fund for Southeast Europe (EFSE)
- Green for Growth Fund (GGF)
- SME Recovery Support Loan for Turkey

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## Financial information on financial instruments

### Equity instruments

#### High Growth and Innovative SME Facility under ‘Competitiveness and innovation’ programme (CIP) (GIF) — Prior to 2014

(i) ***Reference to the basic act***

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

(ii) ***Budget lines corresponding to the relevant operations***

Article 01 04 51 — Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014) (in 2014 budget nomenclature) <sup>(1)</sup>.

(iii) ***General description of the financial instruments, including their duration and their impact on the budget***

Financial instruments are part of the entrepreneurship and innovation programme (EIP), one of the three specific programmes under the competitiveness and innovation framework programme (CIP) <sup>(2)</sup>.

The overall objective of the financial instruments under the CIP is the improvement of access to finance for the start-up and growth of small and medium-sized enterprises (SMEs) in order to support their investment in innovation activities, including eco-innovation. This is done by increasing investment volumes of risk capital funds and other investment vehicles.

The High Growth and Innovative SME Facility (GIF) is implemented by the European Investment Fund (EIF) on behalf of the Commission. It aims to increase the supply of equity for innovative SMEs in their early stages (GIF1) and in the expansion phase (GIF2). Investment proposals by financial intermediaries are selected on the basis of a notice of implementation (OJ C 302, 14.12.2007, p. 8).

In general, the CIP market-oriented instruments under GIF and SME Guarantee Facility (SMEG) have shown high efficiency and relevance to address the current market conditions, dominated in the recent years by a tightening of credit conditions and more difficult access to finance for SMEs. The GIF is a venture capital facility focused and targeted on a relatively limited number of companies that have the potential to achieve high growth, to bring innovation to the market and to create high-added-value jobs.

As regards the duration, the commitment period for GIF ended on 31 December 2013 but the instruments will exist until the winding up after 2026.

The total budgetary commitments for the CIP financial instruments for the whole period of 2007-2013 were foreseen to be EUR 1,13 billion, with an original indicative split of EUR 620 million for GIF (including eco-innovation) and EUR 510 million for the SME Guarantee Facility.

<sup>(1)</sup> Article 01 04 51 also includes the SME Guarantee Facility under CIP (SMEG07) and the multiannual programme for enterprise and entrepreneurship, in particular for small and medium-sized enterprises (SMEs) (2001-2005).

<sup>(2)</sup> Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007-2013) (OJ L 310, 9.11.2006, p. 15).

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

No further budgetary commitments have been made by the Commission since the end of the commitment period, i.e. 31 December 2013.

The aggregate budgetary commitments for 2007-2013 amounted to EUR 605,7 million. The leverage effect <sup>(1)</sup> on GIF is expected to be 5 which means that the impact of the aggregate budgetary commitments on the overall economy is expected to reach EUR 3 028,5 million of lending to beneficiary SMEs.

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<sup>(1)</sup> Calculated with EIF reports data as 'Actual intermediary size/GIF paid-in capital' (i.e.: total value of the underlying venture capital funds — in other words the total amount of money invested in/made available to Final Recipients — divided by the Union contribution to those underlying venture capital funds).

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**Equity Facility for Growth under the programme for the competitiveness of enterprises and small and medium-sized enterprises (COSME) —2014 to 2020**

(i) ***Reference to the basic act***

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33).

(ii) ***Budget lines corresponding to the relevant operation***

Article 02 02 02 — Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt.

(iii) ***General description of the financial instruments, including their duration and their impact on the budget***

The Equity Facility for Growth (EFG) focuses on funds that provide venture capital and mezzanine finance, such as subordinated and participating loans, to expansion and growth-stage enterprises, in particular those operating across borders, while having the possibility to make investments in early stage enterprises in conjunction with the Equity Facility for Research, Development and Innovation (RDI) under Horizon 2020.

In the latter case, the investment from EFG shall not exceed 20 % of the total EU investment except in cases of multi-stage funds, where funding from EFG and the Equity Facility for RDI will be provided on a pro rata basis, based on the funds' investment policy. The Commission may decide to amend the 20 % threshold in light of changing market conditions.

The EFG may include seed funding, angel funding and quasi-equity financing subject to market demand but exclude asset-stripping.

Support is given in the form of direct investments made by the entrusted entity in financial intermediaries that provide equity or quasi-equity financing to SMEs.

The overall policy objective is to provide enhanced access to risk capital for which significant market gaps exist in Europe and to support the development of a pan-European risk capital market. The latter will be achieved by focusing predominantly on those risk capital funds which invest cross-border.

The implementation of the EFG has been entrusted to the European Investment Fund (EIF) on the basis of a delegation agreement signed on 22 July 2014.

The delegation agreement ensures that the EFG is accessible for a broad range of financial intermediaries provided that these are professionally and independently managed and display the capacity to successfully support SMEs in their growth and expansion phase.

From a technical point of view, the EIF is instructed to invest on a *pari passu* basis with other private and public investors. Target final recipients are SMEs of all sizes without a specific sector focus.

The duration of the equity instrument is planned to last until 31 December 2034 (until the last operations are wound down).

The basic act provides that no less than 60 % of the total financial envelope for the implementation of the COSME programme shall be allocated to the financial instruments. Based on the distribution of the total amount allocated to the financial instruments between the equity instrument (48 %) and the debt instrument (52 %) as foreseen in the legislative financial statement, the initial overall budget envelope foreseen for the EFG for the total 2014-2020 programming period amounts to EUR 662 million.

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The estimated initial budget allocation foreseen for the total programming period amounts to EUR 662 <sup>(1)</sup> million.

The target leverage effect as indicated in the legal basis is between 4 and 6, with EUR 1 from the Union budget resulting in EUR 4-6 in equity investments over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total investment volumes mobilised would range from EUR 2,6 to 3,9 billion for the entire duration of the programme.

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<sup>(1)</sup> This amount is based on the assumption that the distribution between the COSME financial instruments is 52 % for the Loan Guarantee Facility (LGF) and 48 % for the Equity Facility for Growth (EFG) as foreseen in the legislative financial statement, and subject to change, in line with Article 17 of Regulation (EU) No 1287/2013.



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## **Equity Facility (early-stage capital) for Research and Innovation of Horizon 2020 — 2014 to 2020**

(i) **Reference to basic act**

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104)

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965).

(ii) **Relevant budget lines**

Item 08 02 02 02 — Enhancing access to risk finance for investing in research and innovation.

(iii) **General description of the Financial Instrument including the duration and the impact on budget**

A Union-level Equity Facility for Research and Innovation ('R&I') is needed to help improve the availability of equity finance for early and growth-stage investments and to boost the development of the Union venture capital market.

The goal is to contribute to overcoming the deficiencies of the European venture capital market and provide equity and quasi-equity to cover the development and financing needs of innovative enterprises from the seed stage through to growth and expansion.

The Equity Facility will focus on early-stage risk capital funds providing venture capital and quasi-equity (including mezzanine capital) to individual portfolio enterprises. The facility will also have the possibility to make expansion and growth-stage investments in conjunction with the Equity Facility for Growth under the programme for the competitiveness of enterprises and SMEs (COSME), to ensure a continued support during the start-up and development of companies.

In the latter case, the investment from the Equity Facility of Horizon 2020 shall not exceed 20 % of the total EU investment except in cases of multi-stage funds, where funding from EFG and the Equity Facility for RDI will be provided on a pro rata basis, based on the funds' investment policy. Like the EFG, the Equity Facility shall avoid buy-out or replacement capital intended for the dismantling of an acquired enterprise.

The overall policy objective is to provide enhanced access to risk capital for which significant market gaps exist in Europe and to support the development of a pan-European risk capital market.

In terms of Union added value, the Equity Facility for R&I will complement national schemes that cannot cater for cross-border investments in R&I. The early-stage deals will also have a demonstration effect that can benefit public and private investors across Europe. For the growth phase only at European level it is possible to achieve the necessary scale and the strong participation of private investors that are essential to the functioning of a self-sustaining venture capital market.

The implementation of the direct investments under this facility will be entrusted to the European Investment Fund (EIF) in Luxembourg.

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The delegation agreement to be signed with the entrusted entity will ensure that the early-stage equity facility under Horizon 2020 is accessible for a broad range of financial intermediaries provided that these are professionally and independently managed and display the capacity to successfully support portfolio companies in their early-stage development and growth.

From a technical point of view, the entrusted entity will be instructed to invest on a *pari passu* basis with other private and public investors. The target final recipients are early-stage companies (notably SMEs or small midcaps) without a specific sector focus.

The duration of the equity instrument will not exceed the year 2035 (until last operations are wound down).

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

Estimated budget allocation foreseen for the total programming period amounts to EUR 430 million.

The envisaged leverage effect of the Equity Facility of Horizon 2020 will be approximately 4-6 depending on the type of investments and the form of cooperation with venture capital funds and/ or knowledge transfer investors. Based on the leverage targets of the programme, it is estimated that the total amount of venture capital investments mobilised would range from EUR 1 720 million to EUR 2 580 million for the entire duration of the programme.

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**Connecting Europe Facility (CEF) Equity Instrument — 2014 to 2020**

(i) ***Reference to the basic act***

Regulation (EU) No 1316/2013 of the European Parliament and the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

(ii) ***Budget lines corresponding to the relevant operations***

NA; this CEF Instrument, although foreseen in the legal basis, has not been implemented yet.

(iii) ***General description of the financial instruments, including their duration and their impact on the budget***

NA; this CEF Instrument, although foreseen in the legal basis, has not been implemented yet.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

NA; this CEF Instrument, although foreseen in the legal basis, has not been implemented yet.

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## INFORMATION ON FINANCIAL INSTRUMENTS IN ACCORDANCE WITH ARTICLE 49(1)(E) OF THE FINANCIAL REGULATION

**Guarantee Instruments****SME Guarantee Facility under CIP (SMEG07) — Prior to 2014****(i) *Reference to the basic act***

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

**(ii) *Budget lines corresponding to the relevant operations***

Article 01 04 51 — Completion of programmes in the field of small and middle-sized enterprises (SMEs) (prior to 2014) (in 2014 budget nomenclature) <sup>(1)</sup>.

**(iii) *General description of the financial instruments, including their duration and their impact on the budget***

Financial instruments are part of the entrepreneurship and innovation programme (EIP), one of the three specific programmes under the competitiveness and innovation programme (CIP) <sup>(2)</sup>.

The overall objective of the financial instruments under the CIP is the improvement of access to finance for the start-up and growth of small and medium-sized enterprises (SMEs) in order to support their investment in innovation activities, including eco-innovation. This is done by providing leverage to SME debt financing instruments to increase the supply of debt finance to SMEs.

The SME Guarantee Facility (SMEG 07) is operated by the European Investment Fund (EIF) on behalf of the Commission. It provides counter- or co-guarantees to guarantee schemes and direct guarantees to financial intermediaries operating in eligible countries.

In general, the CIP market-oriented instruments under GIF and SMEG have shown high efficiency and relevance to address the current market conditions, dominated in the recent years by a tightening of credit conditions and more difficult access to finance for SMEs. The SME Guarantee Facility is a counter-cyclical instrument that helped final recipients to face the difficulties arising from the economic conditions since the crisis, namely to obtain or maintain access to finance and to create or maintain jobs over the period.

As regards the duration, the commitment period for the SME Guarantee facility ended on 31 December 2013 but the instrument will exist until the winding up after 2026.

The total budgetary commitments for the CIP financial instruments for the whole period of 2007-2013 were foreseen to be EUR 1,13 billion, with an original indicative split of EUR 620 million for GIF and EUR 510 million for the SMEG07.

**(iv) *Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

No further budgetary commitments have been made by the Commission since the end of the commitment period, i.e. 31 December 2013.

The aggregate budgetary commitments for 2007-2013 amounted to EUR 637,8 million. The leverage effect <sup>(3)</sup> on the SMEG07 is estimated around 31,6 for the entire duration of the programme, which means that the impact of the aggregate budgetary commitments on the overall economy is expected to reach approximately EUR 20 154,5 million of lending to beneficiary SMEs.

<sup>(1)</sup> Article 01 04 51 also includes: (a) completion actions related to the multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001-2005); (b) the former Article 01 04 04 (in 2013 budget nomenclature) — competitiveness and innovation framework programme — entrepreneurship and innovation programme.

<sup>(2)</sup> Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007-2013) (OJ L 310, 9.11.2006, p. 15).

<sup>(3)</sup> Calculated as total loan volume received by the beneficiary SMEs/EU guarantee cap amount.

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**RSI (pilot guarantee facility for R&I-driven SMEs and small midcaps) under the seventh framework programme — Prior to 2014**

**(i) Reference to the basic act**

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

**(ii) Budget lines corresponding to the relevant operations**

Article 08 02 51 (in part) — Completion of previous research framework programme — seventh framework programme — EC indirect action (2007 to 2013).

In the period 2007-2013, a total amount of EUR 1 230,73 million has been committed from the general budget of the Union (initial seventh framework programme budget plus additional EFTA and third country appropriations to that programme) and paid to the EIB to support both Risk-Sharing Finance Facility (RSFF) and Risk-Sharing Instrument (RSI).

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

The RSFF, co-developed by the Commission and the EIB, was established in June 2007. The EU and the EIB are risk-sharing partners for loans provided by the EIB directly or indirectly to beneficiaries. The European Union, through budget resources for the seventh framework programme, and the EIB have set aside a total amount of up to EUR 2 billion (up to EUR 1 billion each) for the period 2007-2013 to cover losses if RSFF loans are not repaid. Through these EU/EIB contributions for risk-sharing and loss coverage, the EIB is able to extend a loan volume of EUR 10 billion to companies and the research community for their investments in R & D and Innovation.

In early 2012, a new pilot guarantee facility, RSI (Risk-Sharing Instrument for SMEs and small midcaps, with maximum 499 employees) was launched to improve access loan finance for RDI investments. The RSI guarantee facility is part of the RSFF implementation and is carried out by the European Investment Fund (EIF). For this RSI pilot guarantee facility, the EU budget coming from the seventh framework programme (plus additional EFTA and third country appropriations to that programme) for the period 2012/2013 amounted to EUR 270 million (in addition to the abovementioned amount).

**(iv) Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

In the period 2007-2013, a total amount of EUR 270 million has been committed from the EU budget (initial seventh framework programme budget plus additional EFTA and third country appropriations to that programme) and paid to the EIB to support the pilot scheme RSI.

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The 'expiry date' (duration) of the programme is expected to be in 2020-2022.

Estimated budget allocation foreseen for the total programming period amounts to EUR 270 million for RSI.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 8 over the lifetime of the financial instrument RSI.

Based on the target leverage of the instrument RSI, it was estimated that the total amount of investments volumes mobilised would be around EUR 2 160 million, whereas it actually reached EUR 3 100 million for the entire duration of the programme.

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**European Progress Microfinance Guarantee Facility (EPMF-G) — Prior to 2014**

(i) **Reference to the basic act**

Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1).

(ii) **Budget lines corresponding to the relevant operations**

Article 04 03 53 (in part) — Completion of other activities (in 2014 budget nomenclature).

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The aim of the European Progress Microfinance Facility is twofold: on the one hand, it increases availability of microfinance for persons wishing to start up or develop their micro-enterprises, including for self-employment, in the sense that it enables microfinance providers in the EU to enlarge their lending volumes to these individuals. On the other hand, Progress Microfinance enhances access to microfinance by reducing microfinance providers' risk. This feature allows microfinance providers to reach out to groups who could normally not be served, for instance, because persons from these groups could not provide sufficient collateral or because the interest rates would have to be very high to justify their actual risk profile.

The Facility shall provide Union resources to increase access to, and availability of, microfinance for:

- persons who have lost or are at risk of losing their job, or who have difficulties entering or re-entering the labour market, as well as persons who are facing the threat of social exclusion or vulnerable persons who are in a disadvantaged position with regard to access to the conventional credit market and who want to start or further develop their own micro-enterprise, including self-employment,
- micro-enterprises, especially in the social economy, as well as micro-enterprises which employ persons referred to in point (a).

The financial contribution from the Union budget for the Facility for the period from 1 January 2010 to 31 December 2013 amounts to EUR 103,6 million, out of which EUR 23,6 million is foreseen for the EPMF Guarantee Facility (EPMF-G) and EUR 80 million for the EPMF *Fonds Commun de Placement — Fonds d'Investissement Spécialisé* (EPMF FCP-FIS).

The Facility is implemented by using the following types of actions, as appropriate:

- guarantees (EPMF-G),
- debt and equity instruments (FCP-FIS),
- support measures, such as communication activities, monitoring, control, audit and evaluation which are directly necessary for the effective and efficient implementation of Decision No 283/2010/EU and for the achievement of its objectives.

The micro-credit guarantee window shall remain in full force and effect until 31 December 2020.

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

No further budgetary commitments have been made by the Commission since the end of the commitment period.

Estimated budget allocation foreseen for the total programming period amounts to EUR 103,6 million (cumulative budget for EPMF-G and EPMF FCP-FIS).

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The target leverage effect of the EPMF facility is 4,83 over the lifetime of the financial instruments.

Based on the target leverage of the EPMF facility, it is estimated that the total amount of loan volumes mobilised would be around EUR 500 million.

The aggregate EPMF-G budgetary commitments for the period 2010-2013 amount to a total of EUR 23,6 million (Guarantee part only).

The target volumes of lending to beneficiary micro-enterprises are estimated at EUR 273,8 million for the EPMF-G financial instrument <sup>(1)</sup>.

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<sup>(1)</sup> Budgetary commitments of EUR 23,6 million multiplied by the target leverage effect of EUR 11,6 for EPMF-G.



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**Loan Guarantee Facility under the programme for the competitiveness of enterprises and small and medium-sized enterprises (COSME) —2014 to 2020**

**(i) *Reference to the basic act***

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33).

**(ii) *Budget lines corresponding to the relevant operations***

Article 02 02 02 — Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt.

**(iii) *General description of the financial instruments, including their duration and their impact on the budget***

The Loan Guarantee Facility (LGF) provides:

- counter-guarantees and other risk-sharing arrangements for guarantee schemes,
- direct guarantees and other risk-sharing arrangements for any other financial intermediaries meeting the eligibility criteria.

The LGF consists of:

- guarantees for debt financing via loans, including subordinated and participating loans, or leasing, which shall reduce the particular difficulties that viable SMEs face in accessing finance either due to their perceived high risk or their lack of sufficient available collateral,
- securitisation of SME debt finance portfolios, which shall mobilise additional debt financing for SMEs under appropriate risk-sharing arrangements with the targeted institutions. Support for those transactions is conditional upon an undertaking by the originating institutions to use a significant part of the resulting liquidity or the mobilised capital for new SME lending within a reasonable period of time. The amount of this new debt financing will be calculated in relation to the amount of the guaranteed portfolio risk and shall be negotiated, together with the period of time, individually with each originating institution.

The LGF covers, except for loans in the securitised portfolio, loans up to EUR 150 000 and with a minimum maturity of 12 months. The LGF may also cover loans above EUR 150 000 in cases where SMEs who meet the criteria to be eligible under the COSME programme do not meet the criteria to be eligible under the SME window in the Debt Facility of the Horizon 2020 programme (the so-called InnovFin SME Guarantee Facility), and with a minimum maturity of 12 months. The LGF is designed in such a way that it will be possible to report on SMEs supported, both in terms of number and volume of loans.

The implementation of the LGF has been entrusted to the European Investment Fund (EIF), on the basis of a delegation agreement signed on 22 July 2014.

The delegation agreement ensures that the LGF is accessible for a broad range of financial intermediaries (guarantee societies, national promotional institutes, commercial banks, cooperatives, etc.) which are experienced in financial transactions with SMEs or which have the capacity to enter into financial transactions with SMEs.

From a technical point of view, the EIF is instructed to provide capped portfolio guarantees to the financial intermediaries which will cover a portion of expected losses of a portfolio of newly generated SME transactions which have a higher risk profile.

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As far as securitisation transactions are concerned, the EIF is instructed to provide guarantee coverage on a part of the mezzanine tranche of a securitised SME lending portfolio coupled with an undertaking by the financial intermediary to build up a new SME loan portfolio.

Target final recipients under the capped portfolio guarantees and the securitisation transactions are SMEs of all sizes without a specific sector focus. The range of financial products which can be supported through the capped guarantees is very broad so as to not discriminate amongst the SME population which has very differing financing needs depending on a company's stage of development and the sector/industry in which it operates.

The guarantee instrument is planned to last until 31 December 2034 (until last operations are wound down). Individual guarantee agreements to be signed by the entrusted entity will have a maximum duration of 10 years.

The LGF may also contribute to the financial instruments to be deployed under the SME initiative, a joint instrument combining EU funds available under COSME and/or Horizon 2020 and ESIF resources in cooperation with EIB/EIF with a view to generate additional lending to SMEs in specific Member States.. This contribution may take the form of uncapped portfolio guarantees or securitisation operations and cover the mezzanine tranche of the portfolio.

The basic act provides that no less than 60 % of the total financial envelope for the implementation of the COSME programme shall be allocated to the financial instruments. Based on the distribution of the total amount allocated to the financial instruments between the equity instrument (48 %) and the debt instrument (52 %) as foreseen in the legislative financial statement, the initial overall budget envelope foreseen for the LGF for the total 2014-2020 programming period amounts to EUR 717 million.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The estimated initial budget allocation foreseen for the total programming period amounts to EUR 717 million <sup>(1)</sup>.

The target leverage effect as indicated in the legal basis is between 20 and 30, with EUR 1 from the Union budget resulting in EUR 20-30 in financing over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of loan volumes mobilised would range from EUR 14,3 to 21,5 billion for the entire duration of the programme.

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<sup>(1)</sup> This amount is based on the assumption that the overall distribution between the COSME financial instruments is 52 % for the Loan Guarantee Facility (LGF) and 48 % for the Equity Facility for Growth (EFG) as foreseen in the legislative financial statement, and subject to change, in line with Article 17 of Regulation (EU) No 1287/2013.

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**SMEs & Small Midcaps R&I Loans Service under Horizon 2020 — 2014 to 2020**

**(i) *Reference to the basic act***

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 347, 20.12.2013, p. 965).

**(ii) *Budget lines corresponding to the relevant operations***

Item 08 02 02 02 — Enhancing access to risk finance for investing in research and innovation.

**(iii) *General description of the financial instruments, including their duration and their impact on the budget***

This guarantee facility succeeds and refines the RSI pilot under the RSFF in the seventh framework programme, and is part of a single debt financial instrument supporting the growth of enterprises and their R&I activities. It targets R&I-driven SMEs and small midcaps (up to 499 employees) requiring loans of between EUR 25 000 and EUR 7,5 million. A loan of more than EUR 7,5 million will be considered on a case-by-case basis.

The European Investment Fund (EIF) implements this facility by providing direct guarantees to financial intermediaries such as banks, which will extend the actual loans to final recipients. The guarantee will cover up to 50 % of intermediaries' potential losses. EIF also offers counter-guarantees to financial intermediaries (such as guarantee institutions) providing risk protection to banks extending loans to R&I-driven SMEs and small midcaps.

R&I-driven SMEs or small midcaps wishing to apply for a loan should contact one of the financial intermediaries signing an agreement (see 'selection procedure') with EIF. This is a demand-driven facility, with no prior allocations between sectors, countries or regions. However, subject to the successful conclusion of negotiations, the Commission incentivises EIF to make a particular effort to ensure that a significant proportion of final recipients are eco-innovative SMEs and small midcaps.

Expected impact: R&I-driven SMEs and small midcaps able to carry out a greater amount of R&I. The indicators are the number of agreements signed with financial intermediaries and the number and volume of loans made.

Indicative timetable: This facility is available since 10 June 2014.

Selection procedure:

- (a) for financial intermediaries: EIF issues calls for expression of interest, with eligibility and selection criteria defined as part of each call after consultation with DG Research & Innovation;
- (b) for loans: according to the internal processes of the intermediary bank or other financial institution that the SME or small midcap applies to, using normal commercial criteria.

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(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The total budget foreseen for the programming period 2014-2020 to the SMEs and Small Midcaps R&I Loans Service coming from the 'Access to risk finance' part of Horizon 2020 is EUR 1 060 million.

The risk-sharing arrangement with the EIF will leverage the EU contribution to the SME & Small Midcaps R&I Loans Service by a factor of up to 9, thus resulting in loan finance for R&I-driven SMEs and small midcaps of approximately EUR 9 540 million for the entire duration of the programme.

The instrument will also contribute to the financial instruments that will be deployed under the joint SME initiative as endorsed by the October 2013 European Council. This contribution may take the form of uncapped portfolio guarantees or securitisation operations and cover, in combination with resources from ESIF, COSME and EIF, the mezzanine tranche of the portfolio.

Estimated budget allocation foreseen for the total programming period amounts to EUR 1 060 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 9 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of loan volumes mobilised would be around EUR 9 540 million for the entire duration of the programme.

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**Programme for employment and social innovation (EaSI) — Third axis — Microfinance and social entrepreneurship — 2014 to 2020**

(i) ***Reference to the basic act***

Regulation (EU) No 1296/2013 of the European Parliament and of the Council of 11 December 2013 on a European Union Programme for Employment and Social Innovation ('EaSI') and amending Decision No 283/2010/EU establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 347, 20.12.2013, p. 238).

(ii) ***Budget lines corresponding to the relevant operations***

Item 04 03 02 03 — Microfinance and social entrepreneurship — Facilitating access to finance for entrepreneurs, especially those furthest from the labour market, and social enterprises.

(iii) ***General description of the financial instruments, including their duration and their impact on the budget***

The objectives of these financial instruments have been described in Regulation (EU) No 1296/2013 and can be found in Article 4:

*General objective*

To promote employment and social inclusion by increasing the availability and accessibility of microfinance for vulnerable people who wish to start up a micro-enterprise as well as for existing micro-enterprises, and by increasing access to finance for social enterprises.

*Specific objectives under Article 26:*

- increase access to, and the availability of, microfinance for:
  - vulnerable persons who have lost or are at risk of losing their job, or have difficulty in entering or re-entering the labour market, or are at risk of social exclusion, or are socially excluded, and are in a disadvantaged position with regard to access to the conventional credit market and who wish to start up or develop their own micro- enterprises,
  - micro-enterprises in both start-up and development phase, especially micro-enterprises which employ persons as referred to in point (i),
- build up the institutional capacity of microcredit providers,
- support the development of the social investment market and facilitate access to finance for social enterprises by making equity, quasi-equity, loan instruments and grants of up to EUR 500 000 available to social enterprises with either an annual turnover not exceeding EUR 30 million, or an annual balance sheet total not exceeding EUR 30 million which are not themselves a collective investment undertaking.

The programme shall run from 1 January 2014 to 31 December 2020.

The budget of the microfinance and social enterprise financial instruments will consist of 21 % of the total EaSI budget for 2014-2020, which is EUR 919 469 000 in current prices.

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Its division shall respect the following minimum percentages:

- microfinance for vulnerable groups and micro-enterprises: 45 %,
- social entrepreneurship: 45 %.

The remainder will be split between these two.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

Indicative target results are 41 000 microloans given to final recipients and 1 350 loans given to social enterprises. The target for microfinance has been based on the past experience with the Progress Microfinance.

The target for social enterprises has been based on the total volume of the guarantees and funded instruments funds, multiplied by the expected leverage and divided by an average investment size of EUR 200 000 per social enterprise.

For the funded instruments, an expected co-investment of EUR 20 million was taken into account. These targets are subject to change as the design of the facility and potential co-investments are unknown at this stage.

Estimated budget allocation foreseen for the total programming period amounts to EUR 192 million.

The target estimated leverage effect is:

- 5,5 over the lifetime of the financial instrument for the guarantees part,
- not yet available for the funded instruments part <sup>(1)</sup>.

Based on the target leverage of the instrument,

- it is estimated that the total amount of 96 million used for the Guarantees part would represent around EUR 528 million for the final recipients,
- the estimation cannot be established yet for the funded instruments

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<sup>(1)</sup> It remains to be seen which will be the implementation mode for the funded instruments and how will this impact the design, expected leverage and co-investments.

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**Cultural and Creative Sector Guarantee Facility (Creative Europe programme) —2014 to 2020**

(i) **Reference to the basic act**

Regulation (EU) No 1295/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Creative Europe Programme (2014 to 2020) and repealing Decisions No 1718/2006/EC, No 1855/2006/EC and No 1041/2009/EC (OJ L 347, 20.12.2013, p. 221).

(ii) **Budget lines corresponding to the relevant operations**

Article 15 04 01 — Strengthening the financial capacity for SMEs and organisations, and fostering policy development and new business models.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The general objective of the Cultural and Creative Sectors Guarantee Facility is in line with those of the Creative Europe framework programme which is to: to foster the safeguarding and promotion of European cultural and linguistic diversity, and strengthen the competitiveness of the cultural and creative sector, with a view to promoting smart, sustainable and inclusive growth, in line with the Europe 2020 strategy.

The specific objective of the Cultural and Creative Sectors Guarantee Facility strand is to strengthen the financial capacity of the cultural and creative sectors.

The issues it will strive to address are:

- the difficulties for cultural and creative SMEs and projects in accessing bank credits,
- the limited spreading and dissemination of expertise among financial institutions in the area of financial analysis of cultural and creative SMEs and projects throughout the Union.

To respond to these issues, operational objectives will be:

- to provide guarantees to banks dealing with cultural and creative SMEs thereby enabling them easier access to bank credits,
- to provide expertise/capacity building to the financial institutions,
- to increase the number of financial institutions which are willing to work with cultural and creative SMEs,
- to maximise the European geographical diversification of financial institutions willing to work with cultural and creative SMEs.

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

The budgetary commitments foreseen for the total programming period amount to EUR 121 million, plus maximum EUR 2 million expected recoveries from the MEDIA Production Guarantee Fund, in line with Annex I of Regulation (EU) No 1295/2013. Estimated budget allocation foreseen for the total programming period amounts to EUR 123 million.

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The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 5,7 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of/loan volumes mobilised would be around EUR 690 million for the entire duration of the programme.



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**Erasmus+ Master Student Loan Guarantee Facility — 2014 to 2020**

(i) ***Reference to the basic act***

Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11 December 2013 establishing 'Erasmus+': the Union programme for education, training, youth and sport and repealing Decisions No 1719/2006/EC, No 1720/2006/EC and No 1298/2008/EC (OJ L 347, 20.12.2013, p. 50).

(ii) ***Budget lines corresponding to the relevant operations***

Article 15 02 01 01 (in part) — Promoting excellence and cooperation in European education and training and their relevance to the labour market.

(iii) ***General description of the financial instruments, including their duration and their impact on the budget***

The objective of the 'Erasmus+ Master' Student Loan Guarantee Facility is to foster and support full programme mobility at master's level (second cycle of higher education). In order to do this, the Facility provides partial guarantees to financial intermediaries which issue loans on favourable conditions to students from Erasmus+ participating countries undertaking a full (one or two-year) master's-level programme outside their country of residence, and outside the country where they obtained their bachelor-level qualification.

Guarantees issued through the Facility cover new eligible student loans up to a maximum of EUR 12 000 for a one-year master's programme and up to EUR 18 000 for a two-year master's programme.

The Facility thus represents a direct contribution to the EU and Bologna Process ministerial target to double the proportion of students completing a study or training period abroad to 20 % by 2020.

The management of the Facility at Union level has been entrusted to the European Investment Fund (EIF), which will conclude agreements with financial intermediaries such as banks, national/regional student lending institutions. These participating financial intermediaries will in turn pass on the benefit of the EU guarantee by making student loans available free of any requirement for student or family collateral and on favourable terms, e.g. lower than market rate interest, and safeguards against student hardship to include a 'grace period'. The grace period enables graduates to find employment before beginning loan repayments and a 'payment holiday' whereby they could freeze repayments if needed such as during a spell of unemployment or maternity.

Capital for the loans is leveraged from the participating financial intermediaries, with the Union acting as part-guarantor against possible final recipient's default on loans. Repayment of loans would be via 'normal' bank loan mechanisms, with all operational information and processing taking place at a local level.

The Erasmus+ programme 2014-2020 legal basis provides for a total EU contribution of EUR 517 million, which will enable approximately 200 000 students to benefit from loans backed by the Facility.

The duration of the instrument will extend to 2037 (i.e. programme in place until 2020 + 2 years commitment deadline + up to 15 years maturity on the student loans).

The Facility will provide partial guarantees at up to 90 % of the first losses resulting from final recipient's defaults with a guarantee cap of 18 % of the loan portfolio. The estimated leverage is 5,7 (i.e. EUR 3,2 billion to be provided as capital for student loans from participating financial intermediaries).

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

Estimated budget allocation for the total programming period is foreseen to be EUR 517 million.

The leverage effect indicated in the programme is 5,7 over the lifetime of the programme.

Based on the leverage targets of the programme, it is estimated that the total amount of financing mobilised would represent approximately EUR 2 947 million for the entire duration of the programme.

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**PF4EE Guarantee window — 2014 to 2020**

(i) ***Reference to the basic act***

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2014, p. 185), and in particular Article 17(1) thereof.

(ii) ***Budget lines corresponding to the relevant operations***

Article 34 02 01 — Reducing of Union greenhouse gas emissions.

Article 34 02 02 — Increasing resilience of the Union to climate change.

(iii) ***General description of the financial instruments, including their duration and their impact on the budget***

The purpose of the PF4EE Instrument (Private Financing for Energy Efficiency) is to provide access to adequate and affordable commercial financing for eligible energy efficiency (EE) investments targeted by schemes developed by participating countries to implement their national energy efficiency action plans (NEEAP) or other programmes in line with EU directives relating to energy efficiency.

The PF4EE instrument provides to financial intermediaries (FIs): (i) a portfolio-based credit risk protection (risk-sharing facility or RSF); combined with (ii) expert support services for the FIs in order to support the implementation of the PF4EE instrument; (iii) long-term financing from the EIB.

The RSF is designed to mitigate the credit risk faced by FIs when lending to final recipients undertaking eligible EE investments. By means of Union funds deposited on a collateral account, the RSF will cover part of the ones incurred under EE loans included in the portfolio to be built by the FIs for the financing of EE investments.

The RSF aims to increase lending activity and to improve financing conditions for final recipients through, among others, lower pricing, longer maturities and lighter securities requirements. The EIB loan for EE to the FIs may complement the RSF. Such EIB loans for EE will be provided by the EIB at competitive rates and with long maturities.

The PF4EE needs to be operational for as long as underlying loans covered by the RSF remain outstanding. Maximum tenor to be allowed under the RS Facility will be 20 years. Therefore the PF4EE will be in place for up to 20 years after the end of the implementation period (2042).

The final recipients include private individuals, home-owner associations, SMEs, corporates and/or public institutions or bodies, undertaking EE investments in line with the NEEAP of each Member States.

The size of the EE loans provided to beneficiaries range from EUR 40 000, which can be reduced to accommodate small investments within the residential sector, to EUR 5 million and in exceptional cases up to EUR 15 million.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The implementation of the PF4EE started on 8 December 2014 with the signature of the Delegation Agreement.

Under the PF4EE approximately 6-10 financing agreements (EIB Loans for EE and RSF/Expert Support Facility) could be signed with FIs in the period 2014-2017.

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The budget foreseen to be allocated to PF4EE between 2014 and 2017 is EUR 80 million. The anticipated EIB leverage effect will be six-fold. Taking into account that EIB is limited by its own internal policies to finance up to 75 % of the eligible EE investments; the target investment leverage effect is eight-fold.

It is expected that over the lifetime of the programme (2014-2017), assuming an average investment cost of EUR 300 000, up to some 1 800 final recipients and projects will receive a total loan financing of approximately EUR 430 million. The total investment in EE over this period could be at around EUR 540 million.

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### Risk-sharing instruments

#### **Risk-Sharing Finance Facility under the seventh framework programme (RSFF) including RSI (pilot guarantee facility for R&I-driven SMEs and small midcaps) — Prior to 2014**

(i) ***Reference to the basic act***

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme: 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299).

(ii) ***Budget lines corresponding to the relevant operations***

Article 08 02 51 (in part) — Completion of previous research framework programme — seventh framework programme — EC indirect action (2007 to 2013).

In the period 2007-2013, a total amount of EUR 1 230,73 million has been committed from the EU budget (initial seventh framework programme budget plus additional EFTA and third country appropriations to that programme) and paid to the EIB to support Risk-Sharing Finance Facility (RSFF), of which EUR 960,73 million correspond to RSFF and EUR 270 million to RSI.

(iii) ***General description of the financial instruments, including their duration and their impact on the budget***

A key objective of the RSFF is to improve access to debt finance for investments in research, development and innovation in the EU, notably by private promoters. It contributes to addressing the financing needs of innovative projects and companies of all size and ownership, including midcaps and SMEs.

The RSFF supports access to finance across the entire research, development, demonstration and innovation (RDI) spectrum, from fundamental research to technological development, demonstration and innovation. The RSFF addresses cross-sector policy goals and related investments needs in a demand-driven, 'first come, first served' way.

The RSFF, co-developed by the Commission and the EIB, was established in June 2007. The EU and the EIB are risk-sharing partners for loans provided by the EIB directly or indirectly to beneficiaries. The European Union, through budget resources for the seventh framework programme, and the EIB have set aside a total amount of up to EUR 2 billion (up to EUR 1 billion each) for the period 2007-2013 to cover losses if RSFF loans are not repaid. Through these EU/EIB contributions for risk-sharing and loss coverage, the EIB is able to extend a loan volume of EUR 10 billion to companies and the research community for their investments in RDI.

RSFF beneficiaries can be European research-intensive entities (companies, stand-alone-projects) as well as research infrastructures. RSFF loans support RDI investments made by promoters and beneficiaries located in the 28 Member States and in associated countries.

Risk-sharing between the EU and the EIB was initially made on a loan-by-loan basis (until 2010). Since 2011, following a recommendation by an independent expert group, risk-sharing is made on a portfolio basis, with first-loss-taking by the EU. The EIB is assuming further risks above a certain threshold in case EU risk absorption has been fully used.

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In early 2012, a new pilot guarantee facility, RSI (Risk-Sharing Instrument for SMEs and small midcaps, with maximum 499 employees) was launched to improve access loan finance for RDI investments. The RSI guarantee facility is part of the RSFF implementation and is carried out by the European Investment Fund (EIF). For this RSI pilot guarantee facility, the EU budget coming from the seventh framework programme (plus additional EFTA and third country appropriations to that programme) for the period 2012/2013 amounted to EUR 270 million.

The amount of EUR 375 million has been repaid from the RSFF to Equity Facility for Horizon 2020 instrument.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

Estimated budget allocation foreseen for the total programming period amounts to EUR 960,73 million for RSFF.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 5 over the lifetime of the financial instrument RSFF.

Based on the target leverage of the instrument RSFF, it was estimated that the total amount of loan volumes mobilised would be around EUR 5 000 million but it reached actually EUR 10 500 million for the entire duration of the programme.

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**Loan Guarantee Instrument (LGTT) — for trans-European transport projects in the framework of 2007-2013  
financial perspectives (LGTT) — Prior to 2014**

(i) **Reference to the basic act**

Regulation (EC) No 680/2007 of the European Parliament and the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.3.2007, p. 1).

Regulation (EU) No 670/2012 of the European Parliament and the Council of 11 July 2012 amending Decision No 1639/2006/EC establishing a Competitiveness and Innovation Framework Programme (2007-2013) and Regulation (EC) No 680/2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 204, 31.7.2012, p. 1).

(ii) **Budget lines corresponding to the relevant operations**

Article 06 02 51 (in part) — Completion of trans-European networks programme (in 2014 budget nomenclature).

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The LGTT is a risk-sharing debt instrument jointly established by the Union and the EIB in 2008, to accelerate and implement TEN-T infrastructure projects.

The 'LGTT facilities' are guarantee facilities provided by the EIB under LGTT to the private sector (project sponsors/promoters), to enhance the credit rating of the senior debt by reducing traffic risk. The EIB provides a guarantee in the form of a contingent credit line, which may be drawn upon by the project promoter during the first 5 to 7 years of operation, if the revenues generated by a project are not sufficient to ensure repayment of the senior debt, in case the actual revenues from the project fall below the forecasted level.

The LGTT instrument was designed in 2008, prior to the global financial crisis. Since then, revenue-based projects have become less common due to the reluctance of the private sector to take on traffic demand risk.

The EU contribution to LGTT for the period 2007-2013 was initially set at EUR 500 million, in accordance with Regulation (EU) No 670/2012, EUR 200 million have been reallocated to the 'Project Bond Initiative' and another EUR 50 million have been reallocated to TEN-T grant funding.

The total amount of the EU contribution currently available to support LGTT projects is EUR 250 million, out of which EUR 205 million were paid in. Also EUR 7 million of revenues were recommitted to the instrument bringing the total EU contribution provided to the EIB for LGTT at EUR 212 million as of 31 December 2014.

Following the adoption of the Regulation (EU) No 670/2012, the risk-sharing model moved from *pari passu* to portfolio-based risk-sharing between the Commission and the EIB. Under the 'portfolio approach', the risk is divided into two tranches. A portfolio first loss piece, to which the EU contributes 95 % and the EIB 5 % and a residual risk tranche which the EIB covers entirely. The maximal EU risk exposure cannot exceed the budget contribution to LGTT independent of the risk-sharing model chosen.

LGTT is available for transactions approved by the EIB by end of 2014 with the financial close until 2016. Guarantees can be called for the first 5 to 7 years' operation, the latest drawdown date of a project in the current portfolio is the end of 2021.

*Merger of LGTT into the CEF Debt Instrument (CEF DI)*

In application of Article 14(3) of Regulation (EU) No 1316/2013 establishing the Connecting Europe Facility (CEF), the LGTT instrument has been merged in 2015 with the new CEF financial instruments for the period 2014-2020.

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The Delegation Agreement for the CEF DI has been signed in July 2015. Portfolio merger (i.e. assets and liabilities) however occurred on 1 January 2016.

*Possible impact on a general budget*

If the EIB does not manage to bring the last approved transaction to financial close by 2016, the EUR 45 million committed but not paid may have to be returned to the general budget.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The current LGTT portfolio is composed of six TEN-T projects, representing a total amount of finance attracted of EUR 12,1 billion (debt, equity and grants). The EU budget contribution to the instrument is EUR 212 million (EUR 205 million of payments plus EUR 7 million of recommitted net revenues). This leads to a leverage of 57,1. No target leverage was established at the launch of the instrument.



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### **Project Bond Initiative (PBI) — Prior to 2014**

**(i) *Reference to the basic act***

Regulation (EU) No 670/2012 of the European Parliament and of the Council of 11 July 2012 amending Decision No 1639/2006/EC establishing a Competitiveness and Innovation Framework Programme (2007-2013) and Regulation (EC) No 680/2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 204, 31.7.2012, p. 1).

Regulation (EU) No 1316/2013 of the European Parliament and the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

**(ii) *Budget lines corresponding to the relevant operations***

Article 06 02 51 (in part) — Completion of trans-European networks programme (in 2014 budget nomenclature).

Article 06 03 03 — Financial support for projects of common interest in the trans-European transport network (in 2013 budget nomenclature).

Article 32 02 51 — Completion of financial support for projects of common interest in the trans-European energy network (in 2014 budget nomenclature).

Article 32 03 02 — Financial support for projects of common interest in the trans-European energy network (in 2013 budget nomenclature).

Item 09 04 53 01 — Completion of competitiveness and innovation framework programme — information and communication technologies policy support programme (ICT PSP) (2007 to 2013) (in 2014 budget nomenclature).

Article 09 03 01 — Competitiveness and innovation framework programme — Information and communication technologies policy support programme (ICT -PSP) (in 2013 budget nomenclature).

**(iii) *General description of the financial instruments, including their duration and their impact on the budget***

The Project Bond Initiative is a financial instrument developed, set up and supported jointly by the Commission and the European Investment Bank (EIB) <sup>(1)</sup>.

It aims to stimulate capital market financing for infrastructure projects in the areas of trans-European networks in transport and energy as well as broadband networks by improving the credit quality of the senior debt such that it can be financed by a bond issue which is attractive inter alia to institutional investors such as insurance companies and pension funds. In addition to financing provided for the benefit of individual projects, the objective is to pave the way for the creation of a new asset class for EU infrastructure which is investable for institutional investors. This way, the Project Bond Initiative intends to open up new sources for infrastructure financing to complement constrained public budgets and restricted bank lending for infrastructure projects with long term maturities.

The instrument can finance projects or part of projects eligible under the guidelines for TEN-T, TEN-E and the criteria for broadband projects defined in the amended competitiveness and innovation framework programme. Projects are usually structured as a special purpose vehicle (SPV) established to build, finance and operate an infrastructure project. The PBI facility provides a subordinated debt tranche to the financial structure of the project company. This facility may take the form of a contingent credit line ('unfunded facility') or a subordinated loan ('funded facility') and is capped at a maximum of 20 % of the total amount of senior debt. In case the unfunded facility is called upon, the EIB becomes a creditor to the project company and amounts due under the PBI would rank junior to the service of senior debt and senior to equity. The maximum available amount for credit enhancement under PBI is 20 % of the nominal of the senior debt.

<sup>(1)</sup> The instrument may be implemented also in cooperation with other entities in the future.

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The risk-sharing mechanism between the Commission and EIB operates on the basis of a first loss piece principle: the risk for the EU budget and the EIB is divided into two tranches, a portfolio first loss piece (PFLP), which is called upon first in the event of impairments on PBI operations, and a residual risk tranche (RRT) which is only used if PFLP has been exhausted. The EU and EIB contribute 95 % and 5 % to PFLP, respectively. The residual risk tranche is covered entirely by the EIB.

Individual PBI operations could be approved by the EIB Board of Directors no later than end of 2014 and their financial close must take place no later than end of 2016. The instrument will wind up when there is no more contingent exposure under any PBI operations (or where such exposure has been declared by the EIB as unrecoverable).

The pilot phase has a total financial envelope of EUR 230 million: EUR 200 million from the TEN-T budget, EUR 10 million from the TEN-E budget and EUR 20 million from the CIP ICT.

Article 14 of Regulation (EU) No 1316/2013 provides for the possible merging of the PBI with the new financial instruments under that Regulation. In the light of the *ex ante* assessment carried out by the Commission, the risk-sharing instrument for project bonds established under Decision No 1639/2006/EC has been merged with the CEF Financial Instruments in 2015. The independent evaluation of the PBI pilot phase was carried out and finalised in 2015.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The PBI pilot phase was launched in November 2012 to test the concept. By the end of 2014, five projects in the transport, energy and telecom sectors were signed with PBCE support, out of which one project bond transaction was carried out by the EIB without contribution from the Union budget. The total size of the project bond credit enhancement for these transactions was EUR 493 million (out of which EUR 293 million provided as credit enhancement using EU budget), which supported bonds in amount of EUR 2 961 million (out of which EUR 1 561 million bonds have been credit enhanced with support from the EU budget). In total, the amount of finance (debt + equity) raised by the four projects with PBCE support from EU budget was EUR 1 892 million.

No target leverage was indicated in the legal basis. The impact assessment of the PBI pilot estimated a multiplier effect of between 15-20, depending on the size of the subordinated debt of the project and the risk-sharing arrangements.

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## Loan and Guarantee Service for Research and Innovation under Horizon 2020 — 2014 to 2020

(i) **Reference to the basic act**

Council Decision 2013/743/EU of 3 December 2013 establishing the specific programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) (OJ L 347, 20.12.2013, p. 965).

Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in 'Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020)' and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p. 81).

Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104).

(ii) **Budget lines corresponding to the relevant operations**

Item 08 02 02 02 — Enhancing access to risk finance for investing in research and innovation.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

The goal is to improve access to debt financing — loans, guarantees, counter-guarantees and other forms of debt and risk finance — for public and private entities and public-private partnerships engaged in research and innovation activities requiring risky investments in order to come to fruition. The focus shall be on supporting research and innovation with a high potential for excellence.

The target final recipients are potentially legal entities of all sizes that can borrow and repay money and, in particular, SMEs with the potential to carry out innovation and grow rapidly; mid-caps and large firms; universities and research institutes; research infrastructures and innovation infrastructures; public-private partnerships; and special-purpose vehicles or projects.

The Loan and Guarantee Service for Research and Innovation is implemented towards a platform approach offering several products specifically designed to address particular needs. The key partner as entrusted entity for the implementation of the Loan and Guarantee Service for Research and Innovation will be the European Investment Bank (EIB).

The funding of the Loan and Guarantee Service for Research and Innovation has two main components:

- *demand-driven*, providing loans and guarantees on a first-come, first-served basis, with specific support for beneficiaries such as SMEs and midcaps. This component shall respond to the steady and continuing growth seen in the volume of RSFF lending, which is demand-led. This demand-driven component will be supported by the budget of the Horizon 2020 access to risk finance programme,
- *targeted*, focusing on policies and key sectors crucial for tackling societal challenges, enhancing competitiveness, supporting sustainable, low-carbon, inclusive growth, and providing environmental and other public goods. This component helps the Union address research and innovation aspects of sectorial policy objectives and will be supported by other parts of Horizon 2020, other frameworks, programmes and budget lines in the Union budget, particular regions and Member States that wish to contribute with their own resources (including through Structural Funds) and/or specific entities (such as Joint Technology Initiatives) or initiatives.

The expiry date of the instrument is expected to be in 2027-2030.

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The total budget (primary credits) foreseen for the programming period 2014-2020 for the demand-driven part of the Loan and Guarantee Service for Research and Innovation is EUR 1 060 million. This Horizon 2020 budget contribution will, at least, be matched by the EIB through its own resources, thus allowing increasing the total lending capacity and impact of the Loan and Guarantee Service for Research and Innovation by a factor of two.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

Estimated budget allocation foreseen for the total programming period amounts to EUR 1 435 million including EUR 375 million from the seventh framework programme RSFF.

The target leverage effect as indicated in the legal basis is 12,5 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of loan volumes mobilised would be around EUR 18 000 million.

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**CEF Risk-sharing Instrument (loan risk-sharing and project bonds) —2014 to 2020**

(i) **Reference to the basic act**

Regulation (EU) No 1316/2013 of the European Parliament and the Council of 11 December 2013 establishing the Connecting Europe Facility, amending Regulation (EU) No 913/2010 and repealing Regulations (EC) No 680/2007 and (EC) No 67/2010 (OJ L 348, 20.12.2013, p. 129).

(ii) **Budget lines corresponding to the relevant operations**

This instrument could be covered by the following budget lines:

Item 06 02 01 05 — Creating an environment more conducive to private investment for transport infrastructure projects,

Article 09 03 02 — Creating an environment more conducive to private investment for telecommunications infrastructure projects,

Item 32 02 01 04 — Creating an environment more conducive to private investment for energy projects.

(iii) **General description of the financial instruments, including their duration and their impact on the budget**

**Financial instruments**

Pursuant to Regulation (EU) No 1316/2013, the objective of the financial instruments under the CEF is to facilitate infrastructure projects' access to project and corporate financing by using Union funding as leverage. They shall help finance projects of common interest with a clear EU added value, and to facilitate greater private sector involvement in the long-term financing of such projects in the field of transport, energy and telecommunications, the latter limited to broadband networks.

They shall benefit projects with medium- to long-term financing needs and shall produce greater benefits in terms of market impact, administrative efficiency and resource utilisation.

In addition, these instruments shall provide to infrastructure stakeholders such as financiers, public authorities, infrastructure managers, construction companies and operators a coherent market oriented toolbox of EU financial support.

In 2014, the Commission, following the conclusion of the CEF *ex ante* assessment foreseen in Article 14(3) of Regulation (EU) No 1316/2013, decided to set-up and launch a Debt risk-sharing instrument for loans and guarantees which will also provide support to project bonds. The Commission's *ex ante* assessment indicated that an equity instrument could be created at a later stage based on the identification of corresponding needs. The *ex ante* assessment can be found at:

[http://www.europarl.europa.eu/meetdocs/2014\\_2019/documents/tran/dv/exanteassessmentcef\\_/exanteassessmentcef\\_en.pdf](http://www.europarl.europa.eu/meetdocs/2014_2019/documents/tran/dv/exanteassessmentcef_/exanteassessmentcef_en.pdf)

**Implementation of the CEF Debt Instrument and merger of all other financial instruments under Regulation No 680/2007**

The CEF Debt Instrument (CEF DI) will be implemented following the conclusion of the signed Delegation Agreement with the EIB. Moreover, the agreement includes the merger of existing instruments (LGTT and the PBI) with the new Debt instrument under the CEF as of 2015.

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**Impact on the budget**

As set out in Article 14(2) of Regulation (EU) No 1316/2013, the indicative EU contribution for the financial Instrument for the period 2014- 2020 shall be up to EUR 3 324 225 900 <sup>(1)</sup>. This amount may however change during the commitment period, in particular as a result of decisions taken by the budgetary authorities (European Parliament and Council) or by the Commission.

**Duration of the CEF DI**

The last tranche of the Union contribution to the CEF DI shall be committed by the Commission by 31 December 2020. The actual approval of debt financing by the entrusted entities or the dedicated investment vehicles shall be finalised by 31 December 2022.

**Risk-sharing instrument for loans and guarantees**

The support through the subordinated debt financing shall not exceed 30 % of the total amount of the senior debt issued. The senior debt financing to be provided under the CEF DI shall not exceed 50 % of the total amount of the overall senior debt financing to be provided by the entrusted entity or the dedicated investment vehicle.

**Project Bond Initiative**

The risk-sharing instrument for project bonds shall be designed as a subordinated debt financing in order to facilitate financing for project companies raising senior debt in the form of bonds, loans or a combination of loans and project bonds. This credit enhancement instrument shall aim at improving the credit rating of senior debt in order to attract private investors to the financing of the project. It shall rank behind the senior debt but ahead of equity and financing related to equity. The subordinated debt financing shall not exceed 30 % of the total amount of the senior debt issued.

**Administrative, performance and treasury management fees**

As established in the legal basis, the administrative and performance fees to be paid to the EIB shall not exceed 2 % and 3 % respectively of the Union contribution effectively used for individual operations.

The Treasury Management Fee (as under Article 13(5) of the Financial and Administrative Framework Agreement) shall not exceed 1 % of the Union contribution effectively used to cover Operations over the duration of the Financial Instrument.

**(iv) *Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

As regards the *energy sector*, the CEF DI should facilitate access of projects of common interest to long term debt financing both on corporate and project finance basis. The instrument should in particular assist the projects of common interest where the instrument can facilitate access to debt capital from commercial lending institutions, institutional investors or senior lending from the Bank. The draft Delegation Agreement foresees that other categories of energy assets (e.g. renewable generation, smart distribution grids) may benefit from the support of the CEF DI in case of ring-fenced contributions from other programmes or bodies.

As regards the *broadband sector*, the CEF DI shall facilitate the efficient flow of private and public investments to stimulate the deployment and modernisation of high speed broadband networks in line with the targets of the Digital Agenda for Europe. In order to ensure best value for money and given the limited resources allocated to CEF broadband, the CEF DI shall be available for projects which rely on the technology best suited for the specific project, can help spur innovative business models and show a high potential for replicability. The CEF DI shall in particular address the special needs of suburban, rural, and sparsely populated and less developed regions, which need to be served with high speed connections. This includes the deployment of broadband networks to link islands, landlocked, mountainous, remote and peripheral regions, including island Member States, with the central regions of the Union and/or actions to improve the reliability or performance of connections between such regions and central regions of the Union.

<sup>(1)</sup> This amount does not take into account the possible redeployment of EUR 3,3 billion to EFSI.

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As regards the *transport sector*, the CEF DI shall serve to increase the total level of investment in transport infrastructures in the EU in the period 2014-2020 in line with the policy objectives and investment volumes foreseen in the legal basis and in Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p. 1). The draft Delegation Agreement foresees that other categories of transport assets (e.g. urban mobility projects, transport infrastructure not located on the TEN-T) may benefit from the support of the CEF DI in case of ring-fenced contributions from other programmes or bodies.

### **Financial parameters and leverage**

Risk- and revenue-sharing parameters shall be set in such a way that specific policy objectives, including targeting of particular categories of projects, can be achieved while still preserving the market-oriented approach of the CEF DI.

The expected leverage of the CEF DI — defined as the total funding (i.e. Union contribution plus contributions from other financial sources) divided by the Union contribution — shall range from 6 to 15, depending on the type of operations involved (level of risk, target beneficiaries, and the debt financing concerned).

On the basis of the expected leverage of the CEF DI, it is estimated that the total volume of financing mobilized by the instrument could range from EUR 20 000 million to EUR 50 000 million for the total programming period, depending on the type of operations involved (level of risk, target beneficiaries, and the type of debt financing concerned).

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**Natural Capital Finance Facility — 2014 to 2020****(i) *Reference to the basic act***

Regulation (EU) No 1293/2013 of the European Parliament and of the Council of 11 December 2013 on the establishment of a Programme for the Environment and Climate Action (LIFE) and repealing Regulation (EC) No 614/2007 (OJ L 347, 20.12.2013, p. 185), and in particular Article 17(1) thereof.

**(ii) *Budget lines corresponding to the relevant operations***

Article 07 02 02 — Halting and reversing biodiversity loss.

Article 34 02 02 — Increasing resilience of the Union to climate change.

**(iii) *General description of the financial instruments, including their duration and their impact on the budget***

The Natural Capital Finance Facility (NCF) will finance upfront investment and operating costs for revenue-generating or cost-saving pilot projects which promote the conservation, restoration, management and enhancement of natural capital for biodiversity and adaptation benefits, including ecosystem-based solutions to challenges related to land, soil, forestry, agriculture, water and waste. The NCF combines direct and indirect financing of projects through debt and equity.

Given that the projects supported by the NCF will be projects that the European Investment Bank (EIB) normally does not invest in, either because they are too small or because their perceived high risk is too high, the facility includes a risk-sharing mechanism whereby the EU funds will absorb first losses in case of project failure. The implementation mechanism is established in a delegation agreement between the Commission and the EIB, which also defines exclusion and selection criteria for projects, ensuring that the correct priorities are built in the selection process and that there is sufficient geographical coverage and a balanced spread over project types.

An expert support facility is provided in order to ensure that projects reach a sufficient stage of maturity for financing. The implementation of the NCF has been entrusted to the EIB by means of indirect management.

The NCF pilot phase foresees a programming period of 2014 to 2017 with an implementation period of up to 2019. The EU contribution foreseen for this period is EUR 60 million including EUR 10 million for the Technical Support Facility.

NCF will be developed in two phases: a pilot phase will allow testing different financing options, in order to focus on the most suitable approaches in a second operational phase.

Projects will fall into four broad categories:

- payments for Ecosystem services (PES): projects involving payments for the flows of benefits resulting from natural capital, usually a small scale bilateral transaction with a well identified buyer and seller of an ecosystem service. They are based on the beneficiary pays principle, whereby payments take place to secure critical ecosystem services,
- green infrastructure (GI): GI is a strategically planned network of natural and semi-natural areas with other environmental features designed and managed to deliver a wide range of ecosystem services. It incorporates green spaces (or blue if aquatic ecosystems are concerned) and other physical features in terrestrial (including coastal) and marine areas. On land, GI is present in rural and urban settings. GI projects have the potential to generate revenues or save costs based on the provision of goods and services including water management, air quality, forestry, recreation, flood/erosion/fire control, pollination, increased resilience to the consequences of climate change,



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- biodiversity offsets: these are conservation actions intended to compensate for the residual, unavoidable harm to biodiversity caused by development projects. They are based on the polluter pays principle, whereby offsets are undertaken for compliance or to mitigate reputational risks. Projects aimed at compensating damages done to Natura 2000 sites according to Article 6(4) of Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7) are not eligible for financing under the NCFF,
- innovative pro biodiversity and adaptation investments: these are projects involving the supply of goods and services, mostly by SMEs, which aim to protect biodiversity or increase the resilience of communities and other business sectors.

The aim is to identify and finance projects with a sufficiently broad geographical and sector coverage, while testing various financial mechanisms, to ensure replicability across the EU during the operational phase.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

During the initial pilot phase, the NCFF is expected to execute 9-12 operations (including indirect operations), or 3-4 operations per year. The amount for each operation committed to any individual investments is expected to be between EUR 5 and 15 million.

The estimated EU budget allocation foreseen for the programming period (2014-2017) amounts to EUR 60 million (including EUR 10 million for the Technical Support Facility).

The target leverage effect as indicated in the Delegation Agreement is 2-4 over the lifetime of the financial instrument (31 December 2019).

Based on the target leverage of the instrument, it is estimated that the total amount of investments and loans mobilised would be of at least EUR 120 million for the entire duration of the programme.

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**EU SME Initiative — 2014 to 2020****(i) *Reference to the basic act***

Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33). The Commission has established financial instruments that aim to facilitate and improve access to finance for SMEs in their start-up, growth and transfer phases, complementary to the Member States' use of financial instruments for SMEs at national and regional level.

Horizon 2020: Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p. 104). Pursuant to Council Decision 2013/743/EU of 3 December 2013 establishing the Specific Programme implementing Horizon 2020 — the Framework Programme for Research and Innovation (2014-2020) and repealing Decisions 2006/971/EC, 2006/972/EC, 2006/973/EC, 2006/974/EC and 2006/975/EC (OJ L 347, 20.12.2013, p. 965), the Commission has established financial instruments that aim to ease access to the risk financing for final recipients carrying out research and innovation projects.

ERDF and EAFRD: Regulation (EU) No 1303/2013 of the European Parliament and the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

**(ii) *Budget lines corresponding to the relevant operations***

— Member States (ERDF-EAFRD) budget lines:

— for European Regional Development Fund (ERDF): Articles 13 03 60, 13 03 61 and 13 03 62,

— for European Agricultural Fund for Rural Development (EAFRD): Item 05 04 60 01,

— COSME: Article 02 02 02 — Improving access to finance for small and middle-sized enterprises (SMEs) in the form of equity and debt,

— Horizon 2020: Item 08 02 02 02 — Enhancing access to risk finance for investing in research and innovation.

**(iii) *General description of the financial instruments, including their duration and their impact on the budget***

The SME Initiative has been presented on 27-28 June 2013 in the Commission's and EIB's joint report to the European Council, to complement and utilise synergies between existing SME support programmes at national and EU level. More specifically, the SME Initiative is a joint-instrument, combining EU funds available under COSME and Horizon 2020 and ERDF-EAFRD resources in cooperation with EIB/EIF in view of generating additional lending to SMEs. The EIB is the entrusted entity for the implementation of this initiative. Three financial instruments could be implemented under the SME Initiative and they boil down in substance to two alternative ways of operating, namely:

(a) uncapped guarantees providing capital relief to financial intermediaries for new portfolio of debt finance to SMEs; and

(b) securitisation instruments (with two possibilities, i.e. option No 2 securitisation instrument with MS contribution used exclusively for the participating Member State and option No 3 securitisation instrument with several Member State contributions pooled and used to provide protection on the aggregate exposure, particularly to the mezzanine tranches guaranteed by EIF).

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The period of time during which the participating Member State may commit some funds to the EIF shall expire on 31 December 2016. As it will be defined in the funding agreement that shall be signed between the EIB and the participating MS, the selected financial intermediary will originate new debt finance no later than the end of the eligibility period (i.e. 31 December 2023).

In terms of budget, Regulation (EU) No 1303/2013 foresees a limit of EUR 8,5 billion of aggregate ERDF-EAFRD to be committed under the SME Initiative. In that scenario, the corresponding maximum COSME and Horizon 2020 contributions would amount to EUR 175 million each over the 2014-2016 period.

As of end 2014, two Member States confirmed their participation: Spain (ERDF contribution of EUR 800 million) and Malta (ERDF contribution of EUR 15 million).

(iv) **Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

Estimated budget allocation foreseen for the total programming period amounts to approximately EUR 850 million (ERDF and Horizon 2020) for Spain and Malta.

The minimum leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 4 over the lifetime of the financial instrument for the ERDF contribution in Spain and Malta.

Based on the minimum leverage of the instrument agreed in the single dedicated national programme, it is estimated that the total amount of investments/loan volumes mobilised would be around EUR 6 billion for Spain and Malta (based on all available funds, i.e. ERDF, Horizon 2020, EIB/EIF and private (bank) funds).

A portion of the new Debt Finance portfolio equal to at least 20 times the contribution under Regulations (EU) No 1287/2013 and (EU) No 1291/2013 should fulfil respectively the COSME and/or Horizon 2020 eligibility criteria. Therefore, the following table is summarising the overall leverage that should be reached for each option. The new debt finance originated by the selected financial intermediary should also include an amount equal to 20 times the COSME and/or Horizon 2020 contribution.

**Calculation of target leverage for the SME Initiative in Spain**

SIUGI risk cover	Risk taker	Maximum Risk Cover Size (EUR)	Target Rating (at least)
Senior risk cover	EIB	1 974 461 538,46	Aa3
Upper mezzanine risk cover	EIF	128 769 230,77	Baa3
Middle mezzanine risk cover	Horizon 2020	14 307 692,31	Ba1
Lower mezzanine risk cover	ESIF	85 846 153,85	Ba2
Junior risk cover	ESIF	658 153 846,15	Not rated
Guaranteed portfolio without originator (corresponds to 50 % because of a 50 % guarantee rate)		2 861 538 461,54	
Originator's risk (bank own risk)		50 %	
Total amount of the guaranteed portfolio (100 %)		5 723 076 923,08	
<b>Total ERDF/COSME/Horizon 2020</b>		<b>758 307 692,31</b>	
Leverage in relation to ERDF (but based on ERDF, Horizon 2020, EIB and EIF funds)		7,7	

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**Dedicated investment vehicles****European Progress Microfinance FCP-FIS (EPMF FCP-FIS) — Prior to 2014****(i) *Reference to the basic act***

Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1).

**(ii) *Budget lines corresponding to the relevant operations***

Article 04 03 53 (in part) — Completion of other activities (in 2014 budget nomenclature).

**(iii) *General description of the financial instruments, including their duration and their impact on the budget***

The aim of the European Progress Microfinance Facility is twofold: on the one hand, it increases availability of microfinance for persons wishing to start up or develop their micro-enterprises, including for self-employment, in the sense that it enables microfinance providers in the EU to enlarge their lending volumes to these individuals. On the other hand, Progress Microfinance enhances access to microfinance by reducing microfinance providers' risk. This feature allows microfinance providers to reach out to groups who could normally not be served, for instance, because persons from these groups could not provide sufficient collateral or because the interest rates would have to be very high to justify their actual risk profile.

The Facility provides Union resources to increase access to, and availability of, microfinance for:

- persons who have lost or are at risk of losing their job, or who have difficulties entering or re-entering the labour market, as well as persons who are facing the threat of social exclusion or vulnerable persons who are in a disadvantaged position with regard to access to the conventional credit market and who want to start or further develop their own micro-enterprise, including self-employment,
- micro-enterprises, especially in the social economy, as well as micro-enterprises which employ persons referred to in the preceding indent.

The financial contribution from the Union budget for the Facility for the period from 1 January 2010 to 31 December 2013 amounts to EUR 103,6 million, out of which EUR 23,6 million for the EPMF Guarantee Facility (EPMF-G) and EUR 80 million for the EPMF *Fonds Commun de Placement* — *Fonds d'Investissement Spécialisé* (EPMF FCP-FIS).

The Facility is implemented by using the following types of actions, as appropriate:

- guarantees (EPMF-G),
- debt and equity instruments (FCP-FIS),
- support measures, such as communication activities, monitoring, control, audit and evaluation which are directly necessary for the effective and efficient implementation of Decision No 283/2010/EU and for the achievement of its objectives.

The micro-credit guarantee window shall remain in full force and effect until 31 December 2020.

The FCP-FIS is expected to end on 30 April 2020.

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

No further budgetary commitments have been made by the Commission since the end of the commitment period.

Estimated budget allocation foreseen for the total programming period amounts to EUR 103,6 million (cumulative budget for EPMF Guarantee and EPMF FCP-FIS).

The target leverage effect of the EPMF facility is 4,83 over the lifetime of the financial instruments (EPMF Guarantee and EPMF FCP-FIS).

Based on the target leverage of the EPMF facility, it is estimated that the total amount of loan volumes mobilised would be around EUR 500 million.

The aggregate EPMF FCP-FIS budgetary commitments for the period 2010-2013 amount to a total of EUR 80 million. The target volumes of lending to beneficiary persons and micro-enterprises are estimated to EUR 226,4 million for the EPMF (FCP-FIS) debt and equity instruments <sup>(1)</sup>.

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<sup>(1)</sup> Budgetary commitments of EUR 80 million multiplied by the target leverage effect of EUR 2,83 for EPMF FCP-FIS.

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**2020 European Fund for Energy, Climate Change and Infrastructure (Marguerite) — Prior to 2014****(i) Reference to the basic act**

Regulation (EC) No 680/2007 of the European Parliament and of the Council of 20 June 2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 162, 22.6.2007, p. 1).

Commission Decision C(2010) 941 of 25 February 2010 on European Union participation in the 2020 European Fund for Energy, Climate Change and Infrastructure (the Marguerite Fund).

**(ii) Budget lines corresponding to the relevant operations**

Article 06 02 51 (in part) — Completion of trans-European networks programme (in 2014 budget nomenclature).

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

The Marguerite Fund is a pan-European equity fund developed in the context of the financial crisis and in recognition of the need for successful long-term infrastructure investment in Europe. It supports infrastructure investment within the transport (TEN-T), energy (TEN-E) and renewables sectors in Member States and will primarily invest in Greenfield Projects.

The core sponsors include public long term investors from France (CDC), Italy (CdP), Germany (KfW), Spain (ICO) and Poland (PKO) as well as the EIB and the Commission. The size of the Fund at final close is EUR 710 million.

EC aggregate budgetary commitments: EUR 80 million from the TEN-T budget

Expected results:

- 30 to 40 % of the total commitments invested in the transport sector,
- 25 to 35 % invested in the energy sector,
- 35 to 45 % invested in the renewable energies sector,
- at least 3,5 times the EC commitment to be invested into TEN-T eligible projects.

The investment period ends in December 2016 (with a possible extension of two more years) while the end-date/maturity of the fund has been set at a maximum term of 20 years from the start of the initial closing (December 2009) but may be extended for up to two additional one-year periods (up to December 2031).

**(iv) Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

Estimated budget allocation foreseen for the total programming period amounts to EUR 80 million (fully committed in 2010).

No target leverage effect was indicated in the legal basis and no *ex ante* evaluation was produced by Commission services before the Commission decided to invest in the dedicated investment vehicle. However consultants prepared a market analysis assessing the existence of an equity gap for European infrastructure for the founding investors of Marguerite in 2009 to which the Commission services had access.

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Using an estimated leverage of 125 it is estimated that the total amount of finance mobilised by the instrument (both equity and debt) would be around EUR 10 billion (of which circa EUR 4 billion are expected to be allocated to TEN-T transport projects) for the entire duration of the programme.

The leverage effect was estimated using the following method: equity provided by the Marguerite Fund is assumed to represent 7,1 % of the amount of finance provided to projects. The Commission contribution represents 11,27 % of Marguerite equity or in other terms 0,8 % of the amount of finance mobilised by projects. This means that the leverage is  $1/0,8 \% = 125$ .

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**European Energy Efficiency Fund (EEEF) — Prior to 2014****(i) Reference to the basic act**

Regulation (EU) No 1233/2010 of the European Parliament and the Council of 15 December 2010 amending Regulation (EC) No 663/2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 346, 30.12.2010, p. 5).

**(ii) Budget lines corresponding to the relevant operations**

Article 32 02 52 (in part) — Completion of energy projects to aid economic recovery (in 2014 budget nomenclature).

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

On 1 July 2011, EUR 146,3 million from the European Energy Programme for Recovery (EEPR) were allocated to a new European Energy Efficiency Fund — EEEF (in the form of a specialised investment fund (SICAV)). The EEEF invests in energy efficiency, renewable energy projects, and clean urban transport particularly in urban settings, achieving at least 20 % energy saving or GHG/CO<sub>2</sub> emission reduction.

The beneficiaries must be public authorities or public or private entities acting on their behalf, including ESCOs <sup>(1)</sup>.

The Fund was launched on 1 July 2011 with an initial volume of EUR 265 million: in addition to the EU contribution (EUR 125 million in junior 'C-shares'), the European Investment Bank (EIB) invested EUR 75 million (mainly senior 'A shares'), Cassa Depositi e Prestiti SpA (CDP) EUR 60 million (mainly senior 'A shares'); and the designated investment manager (Deutsche Bank) EUR 5 million (mezzanine 'B shares').

The Fund offers a range of non-standard financial products such as senior and junior loans, guarantees, equity participation or forfeiting schemes which can be combined in a flexible way with standard finance.

In addition, about EUR 20 million of the EU funding is available for technical assistance (in the form of grants) to help sponsors make projects bankable for the Fund. Finally, a EUR 1,3 million programme has been established to raise awareness of financing methods and options for EE and RE (renewable energy) among national and regional authorities managing the Cohesion Fund or the Structural Funds. EPEC <sup>(2)</sup> manages this programme.

In accordance with the amending Regulation, the deadline for allocating EU funds to investment projects and technical assistance (TA) is 31 March 2014. By this date, some 12 projects were approved for some EUR 200 million, thus fully allocating the EU contribution. Apart from this, there is no fixed deadline for proposals. The winding-down of the instrument will take place probably after 2024.

*Fund/Investment Manager*

Deutsche Bank (DB) is responsible i.e. for selecting projects and conducting a due diligence process before submitting the projects to the Fund's Investment Committee for advice and to the Management Board for approval. DB also manages the TA component, submitting TA propositions to DG ENER for approval.

<sup>(1)</sup> An energy service company (ESCO), via an energy performance contract (EPC), can enable a public authority to implement upfront investments (e.g. to upgrade the performance levels of public buildings or to install efficient street lighting) without taking the financial risk.

<sup>(2)</sup> The European PPP Expertise Centre (EPEC) is a joint initiative of the EIB, the Commission, Member States and candidate countries. EPEC helps strengthen the capacity of its public sector members to enter into Public Private Partnership (PPP) transactions.



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*Investment Committee*

The Investment Committee (IC) is responsible for assessing projects submitted to it by the fund manager and giving recommendations to the Management Board (MB). Two EIB members and one member from CDP were appointed to the IC.

*Management Board (MB)*

The MB has broad powers to administrate and manage the Fund; it decides on the investments upon recommendation by the IC. However, it cannot decide on major issues (such as change of the statutes and documentation) without approval by the Supervisory Board (SB). It reports quarterly to the SB. It is composed of representatives from the Commission (1), the EIB (1, the chair) and the CDP (1).

*Supervisory Board*

The SB's main duties include a permanent supervision of the management of the Fund, giving strategic advice to the MB, proposing the annual Fund business plan for Shareholder approval, and approving changes in the investment guidelines etc. It is composed of representatives from the Commission (2), the EIB (1) and the CDP (1).

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

Budget allocation foreseen for the total programming period amounts to EUR 146,3 million (fully committed in 2011, EUR 125 million to the Fund, EUR 20 million in the form of a technical assistance facility (grant) and EUR 1,3 million for awareness raising activities.

No target leverage effect was indicated in the legal basis and no full *ex ante* evaluation was produced before the Commission decided to invest in the dedicated investment vehicle, but in any case a business plan and risk scenarios were prepared. The foreseen leverage effect is 5,6 (based on a contribution of EUR 125 million to the fund and expected fund volume up to EUR 700 million over the lifetime of the financial instrument).

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**External instruments (not financed exclusively through the European Development Fund)****Regional facilities****Neighbourhood Investment Facility (NIF)****(i) Reference to the basic act**

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

One of the priorities of the European Neighbourhood and Partnership Instrument (ENPI) and of the new European Neighbourhood Instrument (ENI), is promoting investment projects in European Neighbourhood Policy partner countries, the scope of which covers the Neighbourhood Investment Facility (NIF).

The EU launched the NIF in 2007. The following eight Commission Decisions have been adopted for this instrument during the period 2007-2013 for a total envelope of EUR 777,4 million (EUR 467,2 million for ENPI South budget line and EUR 310,2 million from ENPI East budget line): C(2007) 6280, C(2008) 2698, C(2009) 3951, C(2009) 8985, C(2010) 4400, C(2010) 7989, C(2011) 5547, C(2012) 4533 and C(2013) 1276. In 2014 additional commitments of EUR 381,7 million were approved: EUR 369,4 million in Decision C(2014) 5750 and a top-up of EUR 12,3 million from Decision C(2013) 5300. This provides for aggregated figures for the 2007-2014 period of EUR 732 520 334,34 for the South and 426 585 901,58 for the East (in total EUR 1 159 106 235,92).

The NIF has included since the beginning of 2011 a Climate Change Window (CCW) under the Environment and the Sustainable Management of Natural Resources including Energy Thematic Programme (ENRTP) of the Development Cooperation Instrument to support the implementation of projects helping partner countries tackle climate change through mitigation and/or adaptation measures. The NIF CCW is managed in a streamlined way and has in general the same rules and the same financing and implementation modalities as the NIF.

**(ii) Budget lines corresponding to the relevant operations**

Item 21 03 01 02 — Mediterranean countries — Poverty reduction and sustainable development (in 2014 budget nomenclature).

Item 21 03 02 02 — Eastern Partnership — Poverty reduction and sustainable development (in 2014 budget nomenclature).

Item 21 04 01 00 — Environment and sustainable management of natural resources including energy (in 2013 budget nomenclature).

**(iii) General description of the financial instruments, including their duration and their impact on the budget***Policy objectives and scope*

The Financial Instrument of the European Union for the Neighbourhood Region contributes to achieving the objectives of the European Neighbourhood Policy (ENP) or related EU thematic policy priorities by leveraging additional financing for the region.

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The NIF's overarching objective is to mobilise additional investments to support the establishment of an area of prosperity and good neighbourliness involving the EU and neighbouring countries. In complementarity with other EU-funded programmes, the NIF can foster sustainable, inclusive growth and a favourable investment climate in our partner countries.

Within this framework, the NIF pursues three strategic objectives, namely:

- establishing better energy and transport infrastructure interconnections between the EU and neighbouring countries and among neighbouring countries themselves,
- addressing threats to our common environment including climate change,
- promoting smart, sustainable and inclusive growth, in particular through support for small and medium size enterprises.

The NIF operations will continue to support the implementation of the ENP Action Plans and focus on five main sectors: energy, environment with a particular focus on climate change mitigation and adaptation, transport, social and small and medium enterprise development.

*Geographical coverage and final recipients*

European Neighbourhood Policy partner countries directly eligible for the NIF are neighbourhood countries having signed an Action Plan with the EU except for those that do not qualify because of their level of development. On a case by case basis, other countries which are not directly eligible may benefit from NIF interventions taking into account regional or specific circumstances. Their eligibility will have to be decided unanimously by Member States and the Commission.

Other final recipients will be the private sector and in particular the SMEs. Both multilateral and national European development finance institutions might be direct beneficiaries and important stakeholders of the facility.

*Main technical characteristics*

Under the instrument, risk capital (equity and quasi-equity investments), risk-sharing instruments, guarantees, loans, other financing such as investment grants, interest rate subsidies and technical assistance can be used.

*Duration and impact on the budget*

The decisions relating to this instrument are valid for the multiannual financial frameworks 2007-2013 and 2014-2020 and may be extended further following decisions on the next multiannual financial framework.

The final date for contracting is 31 December 2015 relating to decisions from 2014. This is not the date of duration of the facility but the final date for contracting of the individual decisions establishing the facility. The duration of individual projects is established on a case-by-case basis with a maximum of 120 months from the date of entry into force of the financing agreement or, when none is concluded, from the adoption of the 2014 Action Document creating the NIF.

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The budgetary breakdown of EUR 1 159 106 235,92 between the two Neighbourhood sub-regions is as follows:

CRIS reference	Cumulated amount of global commitment (maximum envelope 2007-December 2014)	Budget line
	Neighbourhood South	
ENPI/2007/019548	158 000 000,00	19 08 01 01
ENPI/2011/023086	309 220 334,34	19 08 01 01
ENI/2014/037510	265 300 000,00	21 03 01 02 / 21 03 03 03
<b>Total</b>	<b>732 520 334,34</b>	
	Neighbourhood East	
ENPI/2007/019549	137 000 000,00	19 08 01 03
ENPI/2011/023087	173 200 000,00	19 08 01 03
ENI/2013/024746	12 300 000,00	19 08 01 03
ENI/2014/037515	104 085 901,58	21 03 02 02
<b>Total</b>	<b>426 585 901,58</b>	
<b>Total East and South</b>	<b>1 159 106 235,92</b>	

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

Commission Implementing Decision (2014) 5750 was adopted on 20 August 2014 for a maximum contribution East and South of EUR 369,4 million included in the above table.

These volumes have a solely indicative character as the calculation disregards the time as well as the differentiation effects.

Estimated budget allocation foreseen for the total programming period 2014-2020 amounts to EUR 150 million per year, for a total of EUR 1 050 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 4 to 5 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 1 159 million would mobilise investments/loan volumes of around EUR 5 800 million for the entire duration of the programme.

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### **Investment Facility for Central Asia (IFCA) and Asia Investment Facility (AIF)**

**(i) Reference to the basic act**

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

Based on the first results from the NIF, the Commission proposed to set up investment facilities targeting countries under the Development Cooperation Instrument (DCI) Regulation, initially in Central Asia, Asia and Latin America. Two facilities were set up for Asia: the Investment Facility for Central Asia (IFCA) in 2010 and the Asian Investment Facility (AIF) in 2011 for the period 2010-2013. These two facilities have been modelled on the NIF and have the same types of objectives and scope as those defined in the NIF general framework agreed in March 2008 (cf. section on NIF).

As of end 2013, there have been three decisions for the IFCA financed through the 2010, 2011, 2012 and 2013 budgets and three decisions for AIF financed through the 2011 and 2012 (jointly), 2013 and 2014 budgets.

AIF was recreated in 2014 in order to achieve the objectives of the Regulation (EU) No 233/2014 for the period 2014-2020 through a new financing decision of EUR 26 000 000 whereas IFCA will be recreated in 2015.

**(ii) Budget lines corresponding to the relevant operations**

Article 19 10 02 — Cooperation with developing countries in Central Asia (in 2013 budget nomenclature).

Item 19 10 01 01 — Cooperation with developing countries in Asia (in 2013 budget nomenclature).

Item 21 02 14 00 — Asia (in 2014 budget nomenclature).

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

*Policy objectives and scope*

The IFCA's main purpose is to promote additional investments and key infrastructures with a priority focus in the first implementation period on energy and environment. Based on the development of the Central Asia strategies, a later extension to transport, SMEs and social infrastructure sectors in the Central Asian countries was envisaged.

The AIF's main purpose is to promote additional investments and key infrastructure with a priority focus on climate change and 'green' investments in the areas of environment and energy as well as in SMEs and social infrastructure. A later extension to the transport sector could be envisaged.

*Geographical coverage and final recipients*

The final recipients of these two facilities are the countries of these two regions. Other final recipients will be the private sector and, in particular SMEs.

Eligible finance institutions will be the entrusted entities and important stakeholders of these two facilities.

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*Main technical characteristics*

The types of operations to be financed are the following:

- investment co-financing in public infrastructure projects;
- loan guarantee cost financing;
- interest rate subsidies;
- technical assistance;
- risk capital operations;
- any other risk-sharing mechanisms.

Possible management modes have been centralised (direct and indirect), joint and partially decentralised management (budget management modes in force until end 2014 for the use of 2014 funds).

*Duration and impact on the budget*

The IFCA and the AIF were initially established for the duration of the legal basis, i.e., until 31 December 2013. Whereas AIF has already been recreated in 2014 in order to achieve the objectives of the Regulation (EU) No 233/2014 for the period 2014-2020 IFCA has also been recreated in 2015.

The final dates for contracting are 23 December 2016 for IFCA and 31 December 2014 for AIF, both related to decisions from 2013. For the financing decision taken in 2014 related to AIF the deadline for contracting is 31 December 2015. The duration of individual projects is established on a case-by-case basis with a maximum of 120 months from the date of entry into force of the financing agreement or, when none is concluded, from the adoption of the 2014 Action Document creating the Facility. The estimated budget allocation foreseen for the total programming period amounts to EUR 350 000 000 for AIF and EUR 140 000 000 for IFCA.

The budgetary breakdown of EUR 171 567 000 between the two regions is as follows:

Decision reference	Cumulated amount of global commitment (maximum envelope)	Budget line
Investment Facility for Central Asia (IFCA)		
DCI-ASIE/2010/021-627	20 000 000	19 10 02
DCI-ASIE/2011/023-117	45 000 000	19 10 02
DCI-ASIE/2013/024-950	20 567 000	19 10 02
<b>Total</b>	<b>85 567 000</b>	
Asia Investment Facility (AIF)		
DCI-ASIE/2011/022-036	30 000 000	19 10 01 01
DCI-ASIE/2013/024-917	30 000 000	19 10 01 01
DCI-ASIE/2014/037-548	26 000 000	21 02 14
<b>Total</b>	<b>86 000 000</b>	

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

(1) *IFCA*

The budgetary impact understood as the total financial envelope in terms of budgetary commitments for the IFCA is of EUR 85,57 million. The target leverage effect based on historical leverage experience during the period 2010-2014 for the IFCA is estimated as follows:

- total project cost (*circa* EUR 465 million) / IFCA contributions (*circa* EUR 82 million): 5,6,
- eligible finance institutions resources (*circa* EUR 342 million) / IFCA contributions: 4,17.

These volumes have a solely indicative character as the calculation disregards the time as well as the differentiation effects.

Estimated budget allocation foreseen for the total programming period amounts to EUR 140 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 4 to 5 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 140 million would mobilise a maximum of EUR 700 million in financing (loans/investment volumes) for the entire duration of the programme.

(2) *AIF*

The budgetary impact understood as the total financial envelope in terms of budgetary commitments for the AIF is of EUR 62 million. The target leverage effect based on historical leverage experience during the period 2011-2014 for the AIF is estimated as follows:

- total project cost (*circa* EUR 2 046 billion) / AIF contributions (*circa* EUR 62 million): 32,98,
- eligible finance institutions resources (*circa* EUR 1 043 billion)/AIF contributions: 16,82.

These volumes have a solely indicative character as the calculation disregards the time as well as the differentiation effects.

Estimated budget allocation foreseen for the total programming period amounts to EUR 350 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 4 to 5 times over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 350 million would mobilise around EUR 1,75 billion in financing (loans/investment volumes) for the entire duration of the programme.

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**Latin American Investment Facility (LAIF)****(i) *Reference to the basic act***

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44).

The Facility was initially established in 2009 through the above-mentioned DCI Regulation (EU) No 1905/2006 for the period 2009-2013 with Commission contributions to be decided annually. The LAIF was recreated in 2014 in order to achieve the objectives of the Regulation (EU) No 233/2014 for the period 2014-2020 through a financing decision of EUR 30 million.

**(ii) *Budget lines corresponding to the relevant operations***

Article 19 09 01 — Cooperation with developing countries in Latin America (in 2013 budget nomenclature).

Article 21 04 01 — Environment and sustainable management of natural resources including energy (in 2013 budget nomenclature).

Article 21 02 12 — Supporting cooperation with the developing countries, territories and regions in Latin America (in 2014 budget nomenclature).

**(iii) *General description of the financial instruments, including their duration and their impact on the budget****Policy objectives and scope*

The LAIF's main purpose is to promote additional investments in infrastructures in the transport, energy, and environment sectors and to support social sector such as health and education, and private sector development in the Latin American countries. The Facility will support the growth of SMEs, by making available a range of financial instruments in Latin America.

The LAIF has also included, since the beginning of 2011, a climate change window to support the implementation of projects helping partner countries tackle climate change through mitigation and/or adaptation measures.

*Geographical coverage and final recipients*

The final recipients will be the Latin American countries foreseen in the DCI Regulation (EC) No 1905/2006 and those foreseen in Regulation (EU) 233/2014.

Other final recipients will be the private sector and in particular SMEs for categories of operations dedicated to private sector development. Eligible finance institutions will be the entrusted entities and important stakeholders of the operations of this financial instrument.

*Main technical characteristics*

The types of operations to be financed under the LAIF are the following:

- investment co-financing in public infrastructure projects,
- loan guarantee cost financing,
- interest rate subsidies,



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- technical assistance,
- risk capital operations,
- any other risk-sharing mechanisms.

Possible management modes have been centralised management (direct and indirect), joint management, and partially decentralised management (budget management modes in force until end 2014 for the use of 2013 funds).

*Duration and impact on the budget*

LAIF was established by DCI Regulation (EC) N 1905/2006 until 31 December 2013 and the budget envelope amounted to EUR 179,35 million. As mentioned above, the Facility has been recreated in 2014 in order to achieve the objectives of the Regulation (EU) No 233/2014 for the period 2014-2020).

The final date for contracting is 31 December 2015 relating to decisions from 2014. This is not the date of duration of the facilities but the final date for contracting of the individual decisions establishing the facility. The duration of individual projects is established on a case-by-case basis with a maximum of 120 months from the date of entry into force of the financing agreement or, when none is concluded, from the adoption of the 2014 Action Document creating the LAIF. The estimated budget allocation foreseen for the total programming period amounts to EUR 350 000 000.

CRIS Decision reference	Cumulated amount of global commitment (maximum envelope 2007-April 2013)	Budget line
DCI-ALA/2009/021-734	180 400 000	19 09 01
DCI-ALA/2014/037-570	30 000 000	21 02 12
DCI ENV/023-403	17 300 000	21 04 01

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

In 2014, one top-up for LAIF (decision 021-734) of EUR 1 050 000 million was carried out and a decision of EUR 30 000 000 was taken. The budgetary impact understood as the total financial envelope in terms of budgetary commitments for the LAIF is of EUR 227 700 000. The target leverage effect based on historical leverage experience during the period 2010-2014 for the LAIF is estimated as follows:

- total project costs (*circa* EUR.6,61 billion) / LAIF contributions (*circa* EUR 197,7 million): 33,4,
- eligible finance institutions resources (EUR 2,96 billion) / LAIF contributions : 14,95.

These volumes have a solely indicative character as the calculation disregards the time as well as the differentiation effects.

Estimated budget allocation foreseen for the total programming period amounts to EUR 350 million.

The target leverage effect as indicated in the legal basis in the *ex ante* evaluation is 4 to 5 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 350 million would mobilise a maximum EUR 1,75 billion financing (loans/investments volumes) for the entire duration of the programme.

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## **Additional facilities**

### **Support to the Facility for Euro-Mediterranean Investment and Partnership (FEMIP)**

#### **(i) *Reference to the basic act***

Previous acts were the *Mesures d'accompagnement financières et techniques* (MEDA I and MEDA II for 1996-2006).

The latest act for FEMIP is the European Neighbourhood and Partnership Instrument (ENPI for 2007-2013) for which the legal basis is Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

#### **(ii) *Budget lines corresponding to the relevant operations***

Item 19 08 01 01 — European Neighbourhood and Partnership financial cooperation with Mediterranean countries (in 2013 budget nomenclature).

#### **(iii) *General description of the financial instruments, including their duration and their impact on the budget***

##### *Policy objectives and scope*

The objective of the support to FEMIP is to provide capital to the private sector of Mediterranean partner countries on terms that are not available locally.

Risk capital is invested directly or indirectly in order to: (i) support the private sector, i.e. enable the creation, restructuring or growth of enterprises; (ii) strengthen the role of the local financial sector by supporting the creation of new institutions or the establishment of new activities for the benefit of the private sector.

Technical assistance is mobilised to strengthen FEMIP operations in the Mediterranean region, with a special focus on private sector development.

##### *Geographical coverage and final recipients*

FEMIP covers nine southern Mediterranean states. The beneficiaries of the Risk Capital Facility are the private sector in general and SMEs as well as financial intermediaries. The beneficiaries of technical assistance are private enterprises, public institutions and the financial intermediaries.

##### *Main technical characteristics*

This action with the objective of financing risk capital and technical assistance operations will be implemented in indirect centralised management with the European Investment Bank.

The European Investment Bank is entrusted to carry out the following operations:

- risk capital operations,
- technical assistance operations.

##### *Duration and impact on the budget*

FEMIP was established for the duration of the multiannual financial framework 2007-2013. There has been an annual budgetary commitment of EUR 32 million against budget line 19 08 01 01 for each of the 7 years of that period. Therefore, the total financial envelope for 2007-2013 is EUR 224 million.

The final date of implementation for the 2013 commitment is 31 December 2029.

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(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

No payments were foreseen in 2015 to the FEMIP, as no more commitments were foreseen after 2013.

Estimated budget allocation foreseen for the total programming period amounts to EUR 224 million.

The target leverage effect as expected according to the applications performed until 2014 is 20,5.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 224 million will mobilise investments/loan volumes around EUR 4,6 billion for the entire duration of the programme.

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**Global Energy Efficiency and Renewable Energy Fund (Geeref)****(i) Reference to the basic act**

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

The Geeref was approved in the annual action plans (AAPs) of the four-year thematic programme for environment and sustainable management of natural resources including energy (ENRTP2007-2010).

Legal basis for the Regional Fund Support Facility (RFSF): preparatory action within the meaning of Article 54 of the Financial Regulation.

**(ii) Budget lines corresponding to the relevant operations**

Article 21 04 01 — Environment and sustainable management of natural resources, including energy (in 2013 budget nomenclature).

**(iii) General description of the financial instruments, including their duration and their impact on the budget***Policy objectives and scope*

The Geeref is an innovative financing vehicle aiming to promote energy efficiency and renewable energy in developing countries and economies in transition. Structured as a fund-of-funds, GEEREF's strategy is to invest in — and thus help develop — regional private equity funds whose investments will target small and medium-sized energy efficiency and renewable energy projects.

The objectives are to contribute to the expansion of renewable energy, energy efficiency and other related clean energy technologies to markets and services in developing countries and economies in transition, with the objective to increase access to low carbon, secure and affordable energy, and to help improve the economic and social circumstances of underserved or disadvantaged populations, to encourage sustainable economic development, while promoting the protection of the environment.

*Geographical coverage and final recipients*

The scope of the Geeref is to support regional sub-funds for sub-Saharan Africa, Caribbean and Pacific Island states, the countries of the European Neighbourhood Policy including Russia, Latin America, and Asia (including Central Asia and the Middle East). There will be a special emphasis on serving the needs of the African, Caribbean and Pacific (ACP) countries.

*Duration and impact on the budget*

The end date of the activities under Geeref is 13 December 2022, as calculated from the date the last commitment was recorded.

Decision References	Cumulated amount of global commitment (maximum envelope)	Budget line
DCI-ENV/2007/147331 plus addenda with CRIS reference 168 899 and 282 314)	81 100 000	21 04 01

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In addition, EUR 5 million was allocated from Article 21 04 05 in order to support the establishment of an integrated support facility for GEEREF.

In 2014 a replenishment of 20 million to the GEEREF fund took place to feed into the 'Energy for all initiative' financed from the European Development Fund.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The planned budgetary commitments under the 2015 budget for the GEEREF were EUR 0.

There is no estimated budget allocation foreseen for the total programming period.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is 5 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 81 100 000 commitments would mobilise around EUR 405,5 million financing (loan/investment volumes) for the entire duration of the programme.

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**Financial instruments in the enlargement countries****Western Balkans****Guarantee Facility under the Western Balkans Enterprise Development and Innovation Facility****(i) *Reference to the basic act***

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82), and in particular Article 14(3) thereof.

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

**(ii) *Budget lines corresponding to the relevant operations***

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation (in 2014 budget nomenclature).

**(iii) *General description of the financial instruments, including their duration and their impact on the budget***

The Financial Instrument of the European Union for the Guarantee Facility contributes to achieving the objectives of enhancing socio-economic growth of the Western Balkans.

Its major objectives are the creation of preconditions for the emergence and growth of innovative and high-potential companies. The instrument will guarantee SME loan portfolios issued by commercial banks for new SME lending. This will entail improving SME access to lending and potentially lowering the cost of borrowing.

Under the instrument, guarantees of first loss of new loans to targeted SMEs with a guarantee rate of up to 70 % and a guarantee cap of up to 25 % in the overall loan portfolio can be used. Exact guarantee rate and cap is being determined on a case-by-case basis.

The Commission implements the instrument under indirect management in accordance with Article 139 of the Financial Regulation (through a fiduciary and management agreement). Under indirect management, the Commission may entrust implementation tasks to the European Investment Bank (EIB) Group, including the European Investment Fund (EIF). The Facility is to be implemented under indirect management, with the implementation tasks entrusted to the EIF.

The instrument started in 2013 and will guarantee loans with maturity until 2023. The geographical coverage will be the Western Balkans in line with Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95).

**(iv) *Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The financial envelope for the instrument under IPA I amounts to EUR 21,9 million (of which EUR 1,9 million is a provision for fees to the EIF as the manager and EUR 20 million is the guarantee capital) that was committed and paid out to the EIF.

Estimated budget allocation foreseen for the total programming period amounts to EUR 21,9 million.

The target leverage effect as indicated in the project application form for EDIF Guarantee Facility over the lifetime of the financial instrument was 7.

The guarantees under the facility had been fully allocated in the course of 2014, though not all of them signed as at 31 December 2014. It is expected that the amount of EUR 20 million shall leverage a total investment of around EUR 120 million, implying the leverage factor of minimum 6.

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## **Enterprise Expansion Fund (ENEF) under the Western Balkans Enterprise Development and Innovation Facility**

### **(i) *Reference to the basic act***

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82), and in particular Article 14(3) thereof.

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

### **(ii) *Budget lines corresponding to the relevant operations***

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation (in 2014 budget nomenclature).

### **(iii) *General description of the financial instruments, including their duration and their impact on the budget***

The Financial Instrument of the European Union for the Enterprise Expansion Fund (ENEF) contributes to achieving the objectives of enhancing socio-economic growth of the Western Balkans.

Its major objectives are the creation of preconditions for the emergence and growth of innovative and high-potential companies. The instrument will finance development and expansion capital in established SMEs with high-growth potential in their respective markets through equity participation. Under the instrument, equity and quasi-equity investment can be used.

The Commission will implement the instrument under indirect management in accordance with Article 139 of the Financial Regulation. Under indirect management, the Commission may entrust implementation tasks to the European Investment Bank (EIB) Group, including the European Investment Fund (EIF). The instrument is to be implemented under indirect management with the implementation tasks entrusted to the EIF.

The investments under the instrument had not started in 2014 but EBRD had built up a pipeline of deals which were undergoing due diligence as at 31 December 2014. Following an investment period of maximum 5 years, its portfolio will be wound up in a subsequent period of maximum 5 years. The geographical coverage will be the Western Balkans in line with Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95).

### **(iv) *Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The financial envelope envisaged for the instrument amounts to EUR 11,0 million (of which EUR 1,1 million is a provision for fees to the EIF as the trustee for the Commission, EUR 0,4 million is a provision for technical assistance and EUR 9,5 million is the equity part). EUR 10,4 million were paid out to the EIF in its function as a trustee in December 2012. This amount shall leverage a total investment in the fund of EUR 55 million approximately, implying the leverage factor of 5 combined with foreseen EBRD 'one for one' co-investments from the Local Enterprise Facility the total investment can reach EUR 110 million implying the leverage factor of 10.

The aggregate budgetary commitment of EUR 11,0 million from IPA I may be increased under the multi-beneficiary programme IPA II, subject to the assessment of the instrument performance and the adoption of the corresponding financing decisions.

Estimated budget allocation foreseen for the total programming period amounts to EUR 11 million.

The target leverage effect as anticipated in the project application form for EDIF is 10 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of EUR 110 million of investments would be made for the entire duration of the programme.

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**Enterprise Innovation Fund (ENIF) under the Western Balkans Enterprise Development and Innovation Facility****(i) *Reference to the basic act***

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82), and in particular Article 14(3) thereof.

**(ii) *Budget lines corresponding to the relevant operations***

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation (in 2014 budget nomenclature).

**(iii) *General description of the financial instruments, including their duration and their impact on the budget***

The Financial Instrument of the European Union for the Enterprise Innovation Fund (ENIF) contributes to achieving the objectives of enhancing socio-economic growth of the Western Balkans. Its major objectives are the creation of preconditions for the emergence and growth of early stage innovative companies through equity investments. The instrument will finance early to development and expansion stage capital in innovative SMEs. Under the instrument, equity and quasi-equity investment can be used.

The Commission will implement the instrument under indirect management in accordance with Article 139 of the Financial Regulation. Under indirect management, the Commission may entrust implementation tasks to the European Investment Bank (EIB) Group, including the European Investment Fund (EIF). The instrument will be implemented under indirect management, with the implementation tasks entrusted to the EIF.

The instrument has been in full operation since September 2015, when the limited partnership agreement was finalised and the fund became subscribed. On 2 September 2015 the first closing of ENIF took place with the fund legally incorporated in the Netherlands. This closing brought in investments from EU: EUR 12,5 million; EBRD: EUR 5 million; beneficiaries: EUR 2,374 million; private investors: EUR 5,25 million, i.e. total of EUR 25,124 million.

Following an investment period of maximum 5 years, its portfolio will be wound up in a subsequent period of maximum 5 years. The geographical coverage will be the Western Balkans in line with Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95).

**(iv) *Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The financial envelope envisaged for the instrument amounts to EUR 21,2 million (of which EUR 0,9 million is a provision for fees to the EIF as the Trustee for the Commission, EUR 6,2 million is a provision for technical assistance and EUR 14,1 million is the equity part) that was committed and paid out to the EIF in its function as a trustee. As at 31 December 2014 the amount is considered as cash equivalent (fiduciary account) on the Balance Sheet of DG NEAR and not yet a financial instrument. This amount shall leverage a total investment of approximately EUR 40 million, implying the leverage factor of 2.

The aggregate budgetary commitment of EUR 21,2 million from IPA I may be increased in the future under the multi-beneficiary programme IPA II, subject to the assessment of the instrument performance and adoption of the corresponding financing decisions.

Estimated budget allocation foreseen for the total programming period amounts to EUR 22,2 million.

The target leverage effect as anticipated in the project application form for EDIF is 2 over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of around EUR 40 million of investments would be made for the entire duration of the programme.



**Other (mixed)****European Fund for Southeast Europe (EFSE)****(i) Reference to the basic act**

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

European Fund for Southeast Europe (EFSE), Community Assistance for Reconstruction, Development and Stabilisation (CARDS) 2006/018-264, IPA 2007/019-344, IPA 2008/020-300 and IPA 2009/021-373.

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

**(ii) Budget lines corresponding to the relevant operations**

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation (in 2014 budget nomenclature).

**(iii) General description of the financial instruments, including their duration and their impact on the budget**

The European Fund for Southeast Europe (EFSE) is a form of public-private-partnership. Its objective is to attract capital from the private sector thereby leveraging public donor funds that will assist the development of the private sector in the region. EFSE extends loans to local commercial banks and micro-finance institutions in the Western Balkans for on-lending to micro and small enterprises and households. The European Investment Fund (EIF) manages the EFSE, the latter generating impacts at three different levels:

- supporting micro and small enterprises as the backbone of the local economies, thereby contributing to generating income and creating employment,
- satisfying the basic need of decent shelter,
- strengthening local financial markets.

The fund has a revolving nature and has an undetermined duration. Extension of the underlying delegation agreements establishing a trusteeship for the IPA funds in EFSE with EIF is planned under IPA II, multi-country programme 2015 (subject to decision).

The total amount of the EU contributions to EFSE, i.e. EUR 88 968 090 includes share and cash transfers from other instruments during the period 2006- 2011.

**(iv) Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments**

No commitment for additional financing from IPA II of the new shares subscriptions in EFSE was foreseen in 2015 (other than EUR 1,6 million of additional allocation towards the trusteeship fees of EIF until end 2023).

The estimated budget allocation foreseen for the total programming period as at 31/12/2014 equals the total allocation to date, i.e. EUR 88 968 090.

The achieved leverage effect of the EFSE at present is in the order of 40.

Based on the achieved leverage of the instrument, it is estimated that it will generate in excess of EUR 3,6 billion of loans to eligible final recipients.

## COMMISSION

## INFORMATION ON FINANCIAL INSTRUMENTS IN ACCORDANCE WITH ARTICLE 49(1)(E) OF THE FINANCIAL REGULATION

**Green for Growth Fund (GGF)****(i) *Reference to the basic act***

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Green for Growth Fund (GGF), IPA 2009 / 021-373.

Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (IPA II) (OJ L 77, 15.3.2014, p. 11).

**(ii) *Budget lines corresponding to the relevant operations***

Item 22 02 04 01 — Multi-country programmes, regional integration and territorial cooperation (in 2014 budget nomenclature).

**(iii) *General description of the financial instruments, including their duration and their impact on the budget***

The mission of the Green for Growth Fund, south-east Europe is to contribute, in the form of a public private partnership with a layered risk/return structure, to enhancing energy efficiency (EE) and fostering renewable energies (RE) in south-east Europe and Turkey, predominantly through the provision of dedicated financing to businesses and households via partnering with financial institutions and direct financing.

GGF's investments seek to achieve a 20 % reduction in energy consumption and/or a 20 % reduction in CO<sub>2</sub> emissions, by

- refinancing financial institutions (local commercial banks, non-bank financial institutions such as microfinance institutions and leasing companies and other selected financial institutions) providing loans to households, businesses, municipalities and public sector for energy efficiency measures or renewable energy projects. Investments through financial institutions will constitute the majority of GGF's investments,
- providing direct financing to non-financial institutions (energy service companies, renewable energy companies or projects, small scale renewable energy and energy efficiency service and supply companies) that meet GGF energy-saving and/or emissions targets, and comply with the technical criteria and GGF exclusion list.

The fund has a revolving nature and has an undetermined duration. Extension of the underlying delegation agreements establishing a trusteeship for the IPA funds in EFSE with EIF is planned under IPA II, multi-country programme 2015 (subject to decision).

The total amount of the EU contributions to the GGF, i.e. EUR 38 633 232, includes subscription to C-shares.

**(iv) *Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

No commitment for additional financing from IPA II of the new shares subscriptions in GGF was foreseen in 2015 (other than EUR 1,6 million of additional allocation towards the trusteeship fees of EIF until end 2023).

Estimated budget allocation foreseen for the total programming period as at 31 December 2014 equals the total allocation to date, i.e. EUR 38 633 232.

The leverage effect of GGF at present is in the order of 8,6 (total size of the fund, divided by EU contribution). It is estimated that the leverage will generate in excess of EUR 330 million of loans to eligible final recipients.

COMMISSION

INFORMATION ON FINANCIAL INSTRUMENTS IN ACCORDANCE WITH ARTICLE 49(1)(E) OF THE FINANCIAL REGULATION

### **SME Recovery Support Loan for Turkey**

(i) ***Reference to the basic act***

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Crisis Response Package, IPA 2009/021-373.

(ii) ***Budget lines corresponding to the relevant operations***

Item 22 02 51 — Completion of former pre-accession assistance (prior to 2014) (in 2014 budget nomenclature).

(iii) ***General description of the financial instruments, including their duration and their impact on the budget***

The overall objective of the SME Recovery Support Loan for Turkey is to mitigate the crisis impact for SMEs and contribute to the development of the Turkish economy and employment sector. The main objective is to support SMEs with concrete productive investments by providing access to attractive and longer-dated debt financing. The co-financing of loans is to be provided to local commercial banks operating in Turkey (the 'financial intermediaries') for the benefit of eligible investments carried out by SMEs. In the context of the action, the European Investment Bank (EIB) shall extend loans from its own resources together with the Union contribution loans.

Individual SMEs are eligible for financing from a financial intermediary using funding available through the action (each a 'sub-loan') up to a maximum amount of EUR 5,0 million and a minimum amount of EUR 200 000 and with a minimum maturity of 4 years.

The financial Commission commitment of the instrument amounts to EUR 30,0 million (of which EUR 360 000 are for management fees). It is a joint management action with the European Investment Bank (EIB) who contributes with EUR 120,0 million. The action will end in December 2016. The whole duration of the recovery support will extend probably to 2026.

(iv) ***Envisaged operations, including target volumes based on the leverage effect arising from the existing financial instruments***

The 2009 EU commitment of EUR 30 million has supported a lending volume of EUR 150 million to the two participating Turkish banks.

Estimated budget allocation foreseen for the total programming period amounts to EUR 30 million.

The target leverage effect as indicated in the legal basis and in the *ex ante* evaluation is '1 to 10' over the lifetime of the financial instrument.

Based on the target leverage of the instrument, it is estimated that the total amount of loan volumes mobilised would be around EUR 300 million for the entire duration of the programme.

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**PUBLICATIONS OFFICE**

## REVENUE

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 40 — DEDUCTIONS FROM REMUNERATION

## CHAPTER 4.1 — STAFF CONTRIBUTIONS TO THE PENSION SCHEME

[illegible]

COMMISSION  
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## TITLE 4

### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

#### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

##### **4 0 0** *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

Financial year 2016	Financial year 2015	Financial year 2014
3 398 000	3 245 000	3 419 066,59

##### *Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

##### *Reference acts*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

##### **4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 519,20

##### *Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION (*cont'd*)**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
687 000	677 000	1 033 766,26

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 4 1 — STAFF CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
4 340 000	4 276 000	3 480 715,89

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

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## TITLE 6

## CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

## CHAPTER 66 — OTHER CONTRIBUTIONS AND REFUNDS

[illegible]



**TITLE 6****CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0**      *Other contributions and refunds***6 6 6 0**      Other assigned contributions and refunds

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to receive, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

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## EXPENDITURE

### General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>A2</b>	<b>PUBLICATIONS OFFICE</b>			
A2 01	ADMINISTRATIVE EXPENDITURE	71 951 200	72 748 000	74 645 421,09
A2 02	SPECIFIC ACTIVITIES	7 300 000	7 091 000	16 256 786,25
A2 10	RESERVES	p.m.	p.m.	0,—
	<b>Title A2 — Total</b>	<b>79 251 200</b>	<b>79 839 000</b>	<b>90 902 207,34</b>

## TITLE A2

## PUBLICATIONS OFFICE

## CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE

## CHAPTER A2 02 — SPECIFIC ACTIVITIES

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER A2 01				
<b>A2 01 01</b>	<b>Expenditure related to officials and temporary staff</b>				
	Non-differentiated appropriations	54 858 000	54 361 000	55 371 340,75	100,94
<b>A2 01 02</b>	<b>External personnel and other management expenditure</b>				
A2 01 02 01	External personnel				
	Non-differentiated appropriations	2 376 000	2 413 000	2 773 712,62	116,74
A2 01 02 11	Other management expenditure				
	Non-differentiated appropriations	549 000	600 000	529 140,—	96,38
	<i>Article A2 01 02 — Total</i>	2 925 000	3 013 000	3 302 852,62	112,92
<b>A2 01 03</b>	<b>Buildings and related expenditure</b>				
	Non-differentiated appropriations	14 165 200	15 371 000	15 797 320,59	111,52
<b>A2 01 50</b>	<b>Personnel policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	151 907,13	
<b>A2 01 51</b>	<b>Infrastructure policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	19 000,—	
<b>A2 01 60</b>	<b>Documentation and library expenditure</b>				
	Non-differentiated appropriations	3 000	3 000	3 000,—	100,00
	<b>CHAPTER A2 01 — TOTAL</b>	71 951 200	72 748 000	74 645 421,09	103,74
	CHAPTER A2 02				
<b>A2 02 01</b>	<b>Production</b>				
	Non-differentiated appropriations	800 000	1 280 000	4 671 037,60	583,88
<b>A2 02 02</b>	<b>Cataloguing and archiving</b>				
	Non-differentiated appropriations	1 900 000	1 800 000	1 608 254,31	84,64
<b>A2 02 03</b>	<b>Physical distribution and promotion</b>				
	Non-differentiated appropriations	1 300 000	1 700 000	6 803 959,70	523,38
<b>A2 02 04</b>	<b>Public websites</b>				
	Non-differentiated appropriations	3 300 000	2 311 000	3 173 534,64	96,17
	<b>CHAPTER A2 02 — TOTAL</b>	7 300 000	7 091 000	16 256 786,25	222,70

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## CHAPTER A2 10 — RESERVES

[illegible]

## TITLE A2

## PUBLICATIONS OFFICE

## CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE

A2 01 01 *Expenditure related to officials and temporary staff*

Appropriations 2016	Appropriations 2015	Outturn 2014
54 858 000	54 361 000	55 371 340,75

*Remarks*

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments by the institution to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- the cost of any updates of remuneration during the financial year.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

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CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

**A2 01 02**      ***External personnel and other management expenditure***

A2 01 02 01    External personnel

Appropriations 2016	Appropriations 2015	Outturn 2014
2 376 000	2 413 000	2 773 712,62

*Remarks*

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- the cost of national civil servants or other experts on secondment or temporary assignments to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year,
- support services in the field of proofreading, expenditure connected with agency and freelance staff and related administrative expenditure.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules of appointment and payment and other financial terms laid down by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

A2 01 02 11    Other management expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
549 000	600 000	529 140,—

**CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A2 01 02** (*cont'd*)**A2 01 02 11** (*cont'd*)*Remarks*

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international seconded experts or officials,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- the cost of conferences, congresses and meetings in which the Office takes part or which it organises,
- expenditure on training for improving staff skills, performance and efficiency to meet the Office's specific needs,
- use of experts to identify training needs, design, develop and hold courses and evaluate and monitor results,
- use of consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
- attending external training and joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids,
- expenditure on studies and specialist consultancy contracted out to highly qualified experts (natural or legal persons) where the Office is not able, with the staff at its disposal, to carry this out directly, including the purchase of existing studies,
- the costs of the Office's participation in the Bridge Forum Dialogue.

*Legal basis*

Staff Regulations of Officials of the European Union.

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## CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

### A2 01 02 (*cont'd*)

#### A2 01 02 11 (*cont'd*)

Conditions of Employment of Other Servants of the European Union.

Rules of appointment and payment and other financial terms laid down by the Commission.

### A2 01 03 **Buildings and related expenditure**

Appropriations 2016	Appropriations 2015	Outturn 2014
14 165 200	15 371 000	15 797 320,59

#### Remarks

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the costs connected with the purchase or rental with purchase option of buildings or the construction of buildings,
- rent and ground rent, the various taxes, etc., in connection with purchase options on occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, archive rooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges in respect of the buildings or parts of buildings occupied by the Office,
- expenditure on the maintenance of rooms, lifts, etc., and central heating and air conditioning installations, etc.; expenditure on certain periodical cleaning and the purchase of maintenance, washing, bleaching and dry-cleaning products, etc.; repainting, repairs, and supplies for the maintenance workshops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the network equipment associated with the building by destination, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the Commission's Offices for Infrastructure and Logistics with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerned with the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the Commission's Offices for Infrastructure and Logistics with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),



**CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A2 01 03** (*cont'd*)

- expenses concerned with the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the Commission's Offices for Infrastructure and Logistics with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (street cleaning and maintenance, refuse collection, etc.),
- technical assistance fees relating to major refurbishment work on premises,
- purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- purchase, hire, maintenance and repair of furniture,
- purchase, hire, maintenance and repair of transport equipment,
- various types of insurance (in particular third-party liability and insurance against theft),
- expenditure on working equipment, etc., including in particular:
  - purchase of uniforms (mainly for messengers, drivers and catering staff),
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of cabling, telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, servers, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the reproduction and archiving of information in any form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,

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**CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A2 01 03** (*cont'd*)

- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies, etc.,
- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail, and the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, internet television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- establishing telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistical support and training and other general activities relating to computer hardware and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, office services, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology hardware and software, and utilisation, maintenance and software development costs, and undertaking of information technology projects,
- other operating expenditure not specifically provided for above.

This appropriation does not cover expenditure connected with the industrial activities of the distribution centre.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A2 01 50** *Personnel policy and management*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	151 907,13

*Remarks*

This appropriation is intended to cover the following expenditure:

- the contribution by the Office to the costs of the recreation centre and to other cultural and sports activities in Luxembourg, and any initiatives designed to encourage social contact between staff of different nationalities,

**CHAPTER A2 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A2 01 50** (*cont'd*)

- the Office's contribution to the cost of the Early Childhood Centre and other crèches and for transport of children,
- for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**A2 01 51** ***Infrastructure policy and management***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	19 000,—

*Remarks*

This appropriation is intended to cover the following expenditure:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim arising therefrom.

**A2 01 60** ***Documentation and library expenditure***

Appropriations 2016	Appropriations 2015	Outturn 2014
3 000	3 000	3 000,—

*Remarks*

This appropriation is intended to cover the following expenditure:

- subscriptions to view-data services, subscriptions to newspapers, specialist periodicals, purchase of publications and technical works connected with the Office's activities,
- the costs of subscriptions to press agencies (wire services and press and information bulletins).

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## CHAPTER A2 02 — SPECIFIC ACTIVITIES

### A2 02 01 *Production*

Appropriations 2016	Appropriations 2015	Outturn 2014
800 000	1 280 000	4 671 037,60

#### *Remarks*

This appropriation is intended to cover all expenditure relating to production activities, including:

- production of publications in all forms (paper, electronic media), including co-publishing,
- reprinting publications and correcting errors for which the Office bears responsibility,
- the purchase or rental of equipment and infrastructure for the reproduction of documents in all forms, including the cost of paper and other consumables.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 200 000.

#### *Legal basis*

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice of the European Union, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

### A2 02 02 *Cataloguing and archiving*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 900 000	1 800 000	1 608 254,31

#### *Remarks*

This appropriation is intended to cover all expenditure relating to cataloguing and archiving activities, in particular:

- cataloguing, comprising the costs of documentary and partly judicial analysis, indexing, specification and the drafting, record input and maintenance,
- annual subscriptions to international agencies in the field of cataloguing,
- electronic storage,
- long-term preservation of electronic documents and related services, digitisation.

#### *Legal basis*

Council Resolution of 26 November 1974 on the automation of legal documentation (OJ C 20, 28.1.1975, p. 2).

Council Resolution of 13 November 1991 on the reorganisation of operating structures of the CELEX system (automated documentation of Community law (OJ C 308, 28.11.1991, p. 2).

**CHAPTER A2 02 — SPECIFIC ACTIVITIES** (*cont'd*)**A2 02 02** (*cont'd*)

Council Resolution of 20 June 1994 on the electronic dissemination of Community law and national implementing laws and on improved access conditions (OJ C 179, 1.7.1994, p. 3).

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice of the European Union, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

**A2 02 03** ***Physical distribution and promotion***

Appropriations 2016	Appropriations 2015	Outturn 2014
1 300 000	1 700 000	6 803 959,70

*Remarks*

This appropriation is intended to cover all expenditure relating to physical distribution and promotion activities, in particular:

- storing activities for publications: placing in storage, entries/removals, etc.,
- packaging and addressing (machines, installations, consumables, handling, etc.),
- dispatch costs: mailing, transport, shuttles, etc.,
- acquisition and management of address lists: production, input/coding, updating, etc.,
- promotion and marketing: exhibitions, catalogues, prospectuses, advertising, market studies, etc.,
- information and assistance to the public,
- equipment for libraries: card indexes, shelving, furniture, catalogue units, etc.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000 000.

*Legal basis*

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice of the European Union, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

**A2 02 04** ***Public websites***

Appropriations 2016	Appropriations 2015	Outturn 2014
3 300 000	2 311 000	3 173 534,64

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## CHAPTER A2 02 — SPECIFIC ACTIVITIES *(cont'd)*

### A2 02 04 *(cont'd)*

#### *Remarks*

This appropriation is intended to cover all expenditure relating to public websites (mainly publishing of EU Bookshop , Who's Who websites and partly EUR-Lex website) activities, in particular:

- public websites maintenance and development,
- helpdesk for website users.

#### *Legal basis*

Decision 2009/496/EC, Euratom of the European Parliament, the European Council, the Council, the Commission, the Court of Justice of the European Union, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions of 26 June 2009 on the organisation and operation of the Publications Office of the European Union (OJ L 168, 30.6.2009, p. 41).

## CHAPTER A2 10 — RESERVES

### A2 10 01 *Provisional appropriations*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

#### *Remarks*

The appropriations entered in this article are purely provisional and may be used only after transfer to other budget heading in accordance with the Financial Regulation.

#### *Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

### A2 10 02 *Contingency reserve*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

**EUROPEAN ANTI-FRAUD OFFICE**

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## REVENUE

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 40 — DEDUCTIONS FROM REMUNERATION

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

[illegible]



## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

**4 0 0** *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

Financial year 2016	Financial year 2015	Financial year 2014
3 255 000	3 091 000	3 098 940,37

*Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 308,50

*Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
654 000	632 000	903 114,94

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

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#### CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

##### 4 1 0 *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
3 302 000	3 192 000	2 514 976,98

##### *Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

##### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

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## TITLE 6

## CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

## CHAPTER 66 — OTHER CONTRIBUTIONS AND REFUNDS

[illegible]

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## TITLE 6

### CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

#### CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

##### **6 6 0** *Other contributions and refunds*

##### **6 6 0 0** Other assigned contributions and refunds

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

#### *Remarks*

This item is intended to receive, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

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## EXPENDITURE

### General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>A3</b>	<b>EUROPEAN ANTI-FRAUD OFFICE</b>			
A3 01	ADMINISTRATIVE EXPENDITURE	57 005 000	55 596 000	52 757 728,84
A3 02	FINANCING ANTI-FRAUD MEASURES	1 850 000	1 950 000	2 737 986,81
A3 03	EXPENDITURE RESULTING FROM THE MANDATE OF THE MEMBERS OF THE SUPERVISORY COMMITTEE	200 000	200 000	200 000,—
A3 10	RESERVES	p.m.	p.m.	0,—
	<b>Title A3 — Total</b>	<b>59 055 000</b>	<b>57 746 000</b>	<b>55 695 715,65</b>

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### TITLE A3

#### EUROPEAN ANTI-FRAUD OFFICE

#### CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE

#### CHAPTER A3 02 — FINANCING ANTI-FRAUD MEASURES

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>A3 01 01</b>	<b>CHAPTER A3 01</b>				
	<b>Expenditure related to officials and temporary staff</b>				
	Non-differentiated appropriations	40 471 000	39 032 000	38 153 407,92	94,27
<b>A3 01 02</b>	<b>External personnel and other management expenditure</b>				
A3 01 02 01	External personnel				
	Non-differentiated appropriations	2 592 000	2 625 000	2 604 099,29	100,47
A3 01 02 11	Other management expenditure				
	Non-differentiated appropriations	2 179 000	2 289 000	2 457 972,16	112,80
	<i>Article A3 01 02 — Total</i>	4 771 000	4 914 000	5 062 071,45	106,10
<b>A3 01 03</b>	<b>Buildings and related expenditure</b>				
	Non-differentiated appropriations	11 750 000	11 632 000	9 531 643,95	81,12
<b>A3 01 50</b>	<b>Personnel policy and management</b>				
	Non-differentiated appropriations	3 000	3 000	0,—	0
<b>A3 01 51</b>	<b>Infrastructure policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A3 01 60</b>	<b>Documentation and library expenditure</b>				
	Non-differentiated appropriations	10 000	15 000	10 605,52	106,06
	<b>CHAPTER A3 01 — TOTAL</b>	57 005 000	55 596 000	52 757 728,84	92,55
<b>A3 02 01</b>	<b>CHAPTER A3 02</b>				
	<b>Controls, studies, analyses and activities specific to the European Anti-Fraud Office</b>				
	Non-differentiated appropriations	1 700 000	1 700 000	2 551 770,16	150,10
<b>A3 02 02</b>	<b>Measures to protect the euro against counterfeiting</b>				
	Non-differentiated appropriations	p.m.	50 000	37 954,89	
<b>A3 02 03</b>	<b>Information and communication measures</b>				
	Non-differentiated appropriations	150 000	200 000	148 261,76	98,84
	<b>CHAPTER A3 02 — TOTAL</b>	1 850 000	1 950 000	2 737 986,81	148,00

[illegible]

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EUROPEAN ANTI-FRAUD OFFICE

## TITLE A3

### EUROPEAN ANTI-FRAUD OFFICE

#### CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE

##### A3 01 01 *Expenditure related to officials and temporary staff*

Appropriations 2016	Appropriations 2015	Outturn 2014
40 471 000	39 032 000	38 153 407,92

##### *Remarks*

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments by the institution to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- the cost of any updates of remuneration during the financial year.

##### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)**A3 01 02**      ***External personnel and other management expenditure***

## A3 01 02 01      External personnel

Appropriations 2016	Appropriations 2015	Outturn 2014
2 592 000	2 625 000	2 604 099,29

*Remarks*

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), including the one made available to the secretariat of the Supervisory Committee, the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year.

## A3 01 02 11      Other management expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
2 179 000	2 289 000	2 457 972,16

*Remarks*

This appropriation is intended to cover the following expenditure:

- travel expenses, including ancillary costs relating to tickets and reservations, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by Commission staff covered by the Staff Regulations and by national or international experts or officials seconded to Commission departments,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,

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**CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A3 01 02** (*cont'd*)

**A3 01 02 11** (*cont'd*)

- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates or which it organises,
- the cost of attending external training and of joining the relevant professional organisations,
- general training for improving staff skills and the performance and efficiency of the Office:
  - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
  - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

**A3 01 03** ***Buildings and related expenditure***

Appropriations 2016	Appropriations 2015	Outturn 2014
11 750 000	11 632 000	9 531 643,95

*Remarks*

This appropriation is intended to cover expenditure on the buildings of the Office and other related expenditure, in particular:

- purchase or rental with purchase option of buildings or construction of buildings,
- the payment of rents and leasing charges, various taxes and the exercise of purchase options relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,

**CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A3 01 03** (*cont'd*)

- the payment of insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges relating to the buildings or parts of buildings occupied by the Office,
- maintenance costs for premises, lifts, central heating, air conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of firefighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major refurbishment work on premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - the purchase, hire, maintenance and repair of furniture,
  - the purchase, hire, maintenance and repair of vehicles,
- various types of insurance (in particular third-party and theft),

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**CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A3 01 03** (*cont'd*)

- expenditure on working equipment, and in particular:
  - purchase of uniforms for floor messengers and drivers,
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- postal and delivery charges for ordinary mail, on reports and publications, on postal and other packages sent by air, sea or rail, and on the Commission's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of interbuilding telephone and computer links and international transmission lines between sites of Union offices,

**CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A3 01 03** (*cont'd*)

— technical and logistical support and training and other general activities relating to computer equipment and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, service bureaux, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology equipment and software, and utilisation, maintenance and software development costs, and undertaking of information technology projects,

— other operating expenditure not specifically provided for above.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 20 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A3 01 50** **Personnel policy and management**

Appropriations 2016	Appropriations 2015	Outturn 2014
3 000	3 000	0,—

*Remarks*

This appropriation is intended to cover:

- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities in Brussels and initiatives to promote social contact between staff of different nationalities in the Office,
- the Office's contribution to the cost of crèches and for school transport and expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

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## CHAPTER A3 01 — ADMINISTRATIVE EXPENDITURE (cont'd)

### A3 01 50 (cont'd)

#### Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

### A3 01 51 *Infrastructure policy and management*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

#### Remarks

This appropriation is intended to cover routine running costs and the cost of conversion of equipment for restaurants, cafeterias and canteens.

### A3 01 60 *Documentation and library expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
10 000	15 000	10 605,52

#### Remarks

This appropriation is intended to cover expenditure on producing and developing the Commission's intranet site (My IntraComm); subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

## CHAPTER A3 02 — FINANCING ANTI-FRAUD MEASURES

### A3 02 01 *Controls, studies, analyses and activities specific to the European Anti-Fraud Office*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 700 000	1 700 000	2 551 770,16

#### Remarks

This appropriation is intended to cover all expenditure on measures to combat fraud which does not come under the Office's administrative operations.

It is intended, in particular, for:

- devising, developing, improving and managing information exchange systems and common infrastructures, while respecting confidentiality and security requirements,

**CHAPTER A3 02 — FINANCING ANTI-FRAUD MEASURES** (*cont'd*)**A3 02 01** (*cont'd*)

- searching for, gathering, examining, utilising and passing on to national investigation departments all information which is useful for the detection and pursuit of fraud (e.g. via databases),
- supporting efforts by Member States, in particular in the case of international fraud, when action has to be taken at Union level,
- financing measures to increase the effectiveness of preventive measures, inspections and investigations,
- strengthening cooperation with national administrations, in particular to combat cigarette smuggling,
- organising and participating in monitoring and on-the-spot inspections,
- financing travel expenses and subsistence allowances for inspectors and national magistrates working abroad in connection with control visits, on-the-spot inspections, coordination meetings and for the purposes of an inspection in general,
- covering travel expenses, subsistence allowances and ancillary costs of experts employed by the Office in the course of its investigations to give a professional opinion on a specific matter,
- covering the costs of conferences, congresses and meetings that the Office organises in its anti-fraud work.

*Legal basis*

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

*Reference acts*

Article 325 of the Treaty on the Functioning of the European Union.

**A3 02 02** **Measures to protect the euro against counterfeiting**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	50 000	37 954,89

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CHAPTER A3 02 — FINANCING ANTI-FRAUD MEASURES (*cont'd*)

A3 02 02 (*cont'd*)

*Remarks*

This appropriation is intended to cover the cost of initiatives and specific measures to protect the euro against counterfeiting.

*Legal basis*

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

A3 02 03 **Information and communication measures**

Appropriations 2016	Appropriations 2015	Outturn 2014
150 000	200 000	148 261,76

*Remarks*

This appropriation is intended to cover the cost of information and communication measures undertaken by the Office.

The Office's external information and communication strategy is central to its work. The Office was set up as an independent investigative body and must therefore have its own communications strategy. The Office's work is often too technical to be immediately comprehensible to the general public. The Office has to inform its interlocutors and the public as a whole of its role and tasks. Public perception of the Office's work is of paramount importance.

As a Commission service, the Office also needs to take account of the democratic deficit existing between the Union institutions and the citizens of Europe. The Commission has recognised this deficit and drawn up an action plan to address it.

The communications strategy that the Office has developed and continues to implement must demonstrate its independence.

*Legal basis*

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).



**CHAPTER A3 03 — EXPENDITURE RESULTING FROM THE MANDATE OF THE MEMBERS OF THE SUPERVISORY COMMITTEE****A3 03 01** *Expenditure resulting from the mandate of the members of the Supervisory Committee*

Appropriations 2016	Appropriations 2015	Outturn 2014
200 000	200 000	200 000,—

*Remarks*

This appropriation is intended to cover all expenditure resulting from the mandate of the members of the Supervisory Committee, namely:

- allowances granted to the members of the Supervisory Committee for the time dedicated to the fulfilment of their functions, as well as their travel expenses and ancillary costs,
- costs incurred by members of the Supervisory Committee officially representing the Supervisory Committee,
- all operating expenditure, such as the cost of purchase of equipment, stationery and office supplies and expenditure arising from communications and telecommunications (postal charges, telephone, fax and telegraph charges), documentation and library expenditure, the purchase of books and subscriptions to information media,
- travel, subsistence and incidental expenses of experts, invited by the members of the Supervisory Committee to participate in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure (in the headquarters of the institutions or external offices),
- expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) where the members of the Supervisory Committee are unable to entrust such studies to suitable staff of the Office.

*Legal basis*

Commission Decision 1999/352/EC, ECSC, Euratom of 28 April 1999 establishing the European Anti-Fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 20), and in particular Articles 4 and 6(3) thereof.

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p. 1).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

**CHAPTER A3 10 — RESERVES****A3 10 01** *Provisional appropriations*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

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**CHAPTER A3 10 — RESERVES** (*cont'd*)

**A3 10 01** (*cont'd*)

*Remarks*

The appropriations entered in this chapter are purely provisional and may be used only after transfer to other chapters in accordance with the procedure laid down for that purpose in the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A3 10 02** **Contingency reserve**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

**EUROPEAN PERSONNEL SELECTION OFFICE**

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## REVENUE

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 40 — DEDUCTIONS FROM REMUNERATION

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

[illegible]

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

4 0 0 *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

Financial year 2016	Financial year 2015	Financial year 2014
684 000	610 000	633 510,55

*Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

*Reference acts*

Protocol on the Privileges and Immunities of the European Union, and in particular Article 12 thereof.

4 0 3 *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	10,50

*Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted from the salaries of the staff of the Office.

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Communities, and in particular Article 20(3) thereof in the version in force until 30 April 2004.

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CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION (*cont'd*)

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
131 000	125 000	179 192,65

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union, and in particular Article 20(3) thereof.

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
907 000	868 000	670 350,34

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office, in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

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## TITLE 6

## CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

## CHAPTER 66 — OTHER CONTRIBUTIONS AND REFUNDS

[illegible]

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## TITLE 6

### CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

#### CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

##### 6 6 0 *Other contributions and refunds*

##### 6 6 0 0 Other assigned contributions and refunds

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

#### Remarks

This item is intended to receive, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.



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## EXPENDITURE

### General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>A4</b>	<b>EUROPEAN PERSONNEL SELECTION OFFICE</b>			
A4 01	ADMINISTRATIVE EXPENDITURE	17 269 000	16 747 000	17 059 353,66
A4 02	INTERINSTITUTIONAL COOPERATION, INTERINSTITUTIONAL SERVICES AND ACTIVITIES	6 214 000	6 814 000	5 949 712,46
A4 03	INTERINSTITUTIONAL COOPERATION FOR TRAINING	2 947 000	3 087 000	3 301 149,17
A4 10	RESERVES	p.m.	p.m.	0,—
	<b>Title A4 — Total</b>	<b>26 430 000</b>	<b>26 648 000</b>	<b>26 310 215,29</b>

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## TITLE A4

## EUROPEAN PERSONNEL SELECTION OFFICE

## CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE

## CHAPTER A4 02 — INTERINSTITUTIONAL COOPERATION, INTERINSTITUTIONAL SERVICES AND ACTIVITIES

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER A4 01				
<b>A4 01 01</b>	<b>Expenditure related to officials and temporary staff</b>				
	Non-differentiated appropriations	9 935 000	9 458 000	9 187 961,60	92,48
<b>A4 01 02</b>	<b>External personnel and other management expenditure</b>				
A4 01 02 01	External personnel				
	Non-differentiated appropriations	1 464 000	1 490 000	1 433 682,—	97,93
A4 01 02 11	Other management expenditure				
	Non-differentiated appropriations	657 000	666 000	783 398,20	119,24
	<i>Article A4 01 02 — Total</i>	2 121 000	2 156 000	2 217 080,20	104,53
<b>A4 01 03</b>	<b>Buildings and related expenditure</b>				
	Non-differentiated appropriations	5 208 000	5 128 000	5 648 111,86	108,45
<b>A4 01 50</b>	<b>Personnel policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A4 01 51</b>	<b>Infrastructure policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A4 01 60</b>	<b>Library stocks, purchase of books</b>				
	Non-differentiated appropriations	5 000	5 000	6 200,—	124,00
	<b>CHAPTER A4 01 — TOTAL</b>	17 269 000	16 747 000	17 059 353,66	98,79
	CHAPTER A4 02				
<b>A4 02 01</b>	<b>Interinstitutional cooperation, interinstitutional services and activities</b>				
A4 02 01 01	Interinstitutional competitions				
	Non-differentiated appropriations	6 200 000	6 800 000	5 935 712,46	95,74
A4 02 01 02	Limited consultations, studies and surveys				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
A4 02 01 03	Costs of internal meetings				
	Non-differentiated appropriations	14 000	14 000	14 000,—	100,00
	<i>Article A4 02 01 — Total</i>	6 214 000	6 814 000	5 949 712,46	95,75
	<b>CHAPTER A4 02 — TOTAL</b>	6 214 000	6 814 000	5 949 712,46	95,75

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CHAPTER A4 03 — INTERINSTITUTIONAL COOPERATION FOR TRAINING  
CHAPTER A4 10 — RESERVES

[illegible]

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## TITLE A4

### EUROPEAN PERSONNEL SELECTION OFFICE

#### CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE

##### A4 01 01 *Expenditure related to officials and temporary staff*

Appropriations 2016	Appropriations 2015	Outturn 2014
9 935 000	9 458 000	9 187 961,60

#### *Remarks*

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any updates of remuneration during the financial year,
- the flat-rate allowances and payments at hourly rates for overtime worked by officials in category AST and by local staff who could not be given compensatory leave in accordance with the procedures laid down,

**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A4 01 01** (*cont'd*)

- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- supplementary expenses arising from the secondment of Union officials, i.e. to pay the allowances and reimburse the expenditure to which secondment entitles them. It is also intended to cover costs relating to special training schemes with authorities and bodies in the Member States and third countries.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A4 01 02** *External personnel and other management expenditure***A4 01 02 01** External personnel

Appropriations 2016	Appropriations 2015	Outturn 2014
1 464 000	1 490 000	1 433 682,—

*Remarks*

This appropriation is intended to cover:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external staff and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting, supplementary assistance and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignments to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year,
- the services of freelance translators and linguists, and typing or other work outsourced by the translation service.

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CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

A4 01 02 (*cont'd*)

A4 01 02 01 (*cont'd*)

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

A4 01 02 11 Other management expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
657 000	666 000	783 398,20

*Remarks*

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
  - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
  - the cost of attending external training and of joining the relevant professional organisations,

**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A4 01 02** (*cont'd*)**A4 01 02 11** (*cont'd*)

- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants of residential courses,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids,
- the social security contributions, travel expenses and subsistence allowances of freelance and other non-permanent interpreters called in by the DG Interpretation to service meetings organised by the Office which cannot be serviced by Commission interpreters (officials or temporary staff).

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

**A4 01 03** ***Buildings and related expenditure***

Appropriations 2016	Appropriations 2015	Outturn 2014
5 208 000	5 128 000	5 648 111,86

*Remarks*

This appropriation is intended to cover the building of the Office and related expenditure, including:

- the payment of rents and leasing charges relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges in respect of the buildings or parts of buildings occupied by the Office,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,

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CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

A4 01 03 (*cont'd*)

- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection, etc.),
- technical assistance fees relating to major refurbishment work on premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations, and in particular:
  - equipment (including photocopiers) for producing, reproducing and archiving documents in any form (paper, electronic media),
  - audiovisual, library and interpreting equipment (booths, headsets and switching units for simultaneous interpreting facilities, etc.),
  - kitchen fittings and restaurant equipment,
  - various tools for building-maintenance shops,
  - facilities required for officials with disabilities,
  - as well as studies, documentation and training relating to such equipment,
- the purchase, hire, maintenance and repair of furniture, and in particular:
  - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,



**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A4 01 03** (*cont'd*)

- the replacement of worn-out and broken furniture,
- supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
- fittings specific to canteens and restaurants,
- the hire of furniture,
- furniture maintenance and repair costs,
- the purchase, hire, maintenance and repair of vehicles, and in particular:
  - new purchases of vehicles,
  - the replacement of vehicles which, during the year, reach a total mileage such as to justify replacement,
  - the cost of hiring cars for short or long periods when demand exceeds the capacity of the vehicle fleet,
  - the cost of maintaining, repairing and insuring official vehicles (fuel, lubricants, tyres, inner tubes, miscellaneous supplies, spare parts, tools, etc.),
  - various types of insurance (in particular third-party and theft),
- expenditure on working equipment, and in particular:
  - purchase of uniforms for floor messengers and drivers,
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the reproduction of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,

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**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A4 01 03** (*cont'd*)

- the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail, and the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- inter-building telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistical support and training and other general activities relating to computer hardware and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, office services, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology hardware and software, and utilisation, maintenance and software development costs, and undertaking of IT projects.

This appropriation also covers other operating expenditure not specifically provided for above, such as conference enrolment fees (excluding training expenses), subscriptions to trade and scientific associations, the cost of entries in telephone directories.

Before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A4 01 50** **Personnel policy and management**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

**CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A4 01 50** (*cont'd*)*Remarks*

This appropriation is intended to cover:

- assistance in kind which may be provided to an official, a former official or survivors of a deceased official who is/are in particularly difficult circumstances,
- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities and initiatives to promote social contact between staff of different nationalities,
- the Office's contribution to the cost of the Early Childhood Centre and other crèches and for school transport,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

*Legal basis*

Staff Regulations of Officials of the European Union.

**A4 01 51** ***Infrastructure policy and management***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim arising therefrom.

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CHAPTER A4 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

**A4 01 60** *Library stocks, purchase of books*

Appropriations 2016	Appropriations 2015	Outturn 2014
5 000	5 000	6 200,—

*Remarks*

This appropriation is intended to cover expenditure on producing and developing the Office's intranet site as part of the Commission's intranet site (My IntraComm), subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

CHAPTER A4 02 — INTERINSTITUTIONAL COOPERATION, INTERINSTITUTIONAL SERVICES AND ACTIVITIES

**A4 02 01** *Interinstitutional cooperation, interinstitutional services and activities*

*Remarks*

Within the framework of the EPSO Development Programme, the Office has modernised its selection methods with the aim of meeting the institutions' current and future needs in a more cost-effective and efficient way by:

- improving the planning of competitions to select the right staff at the right time and to optimise the use of reserve lists,
- reducing the length of the selection process,
- considerably improving the quality of the selection process so that the institutions can recruit the best staff for a life-long career by selecting candidates on the basis of the competencies needed to do the job, and by professionalising the work of the selection boards,
- establishing a positive and modern image for the institutions as employers so as to enable them to attract the best staff in the context of an increasingly competitive employment market,
- providing all the necessary facilities to enable the participation of applicants with disabilities.

**A4 02 01 01** *Interinstitutional competitions*

Appropriations 2016	Appropriations 2015	Outturn 2014
6 200 000	6 800 000	5 935 712,46

*Remarks*

This appropriation is intended to cover expenditure incurred by the procedures involved in organising various competitions.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 250 000.

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EUROPEAN PERSONNEL SELECTION OFFICECHAPTER A4 02 — INTERINSTITUTIONAL COOPERATION, INTERINSTITUTIONAL SERVICES AND ACTIVITIES (*cont'd*)A4 02 01 (*cont'd*)A4 02 01 01 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

## A4 02 01 02 Limited consultations, studies and surveys

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) if the Commission does not have suitable staff available to carry out such studies. It also covers the purchase of studies already carried out or subscriptions to specialist research institutions.

## A4 02 01 03 Costs of internal meetings

Appropriations 2016	Appropriations 2015	Outturn 2014
14 000	14 000	14 000,—

*Remarks*

This appropriation is intended to cover the cost of refreshments and food served on special occasions during internal meetings, in particular meetings of selection boards and translators.

## CHAPTER A4 03 — INTERINSTITUTIONAL COOPERATION FOR TRAINING

A4 03 01 *European School of Administration (EUSA)**Remarks*

This appropriation is intended to cover the costs of the general training organised by the European School of Administration (EUSA) for improving the staff skills and the performance and efficiency of the participating institutions, including:

- fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
- fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
- expenditure incurred in designing, coordinating and evaluating the training organised by the school in the form of courses, seminars and lectures (trainers/lecturers and their travel and subsistence costs together with teaching material),

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## CHAPTER A4 03 — INTERINSTITUTIONAL COOPERATION FOR TRAINING *(cont'd)*

### A4 03 01 *(cont'd)*

- the cost of participating in outside training, and membership fees of the relevant professional organisations,
- the costs of networking the EUSA, at European level, with national administration schools and university institutes active in the field, with a view to exchanging experiences, identifying examples of best practice and cooperating on the development of further training in European public administrations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- the financing of teaching material.

#### *Legal basis*

Decision 2005/119/EC of the Secretaries-General of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the European Economic and Social Committee and the Committee of the Regions and the Representative of the European Ombudsman of 26 January 2005 on the organisation and running of the European Administrative School (OJ L 37, 10.2.2005, p. 17).

#### A4 03 01 01 Management training

Appropriations 2016	Appropriations 2015	Outturn 2014
1 378 000	1 400 000	1 570 988,22

#### *Remarks*

This appropriation is intended to cover the costs of training for officials and other staff in management techniques (quality and personnel management, strategy).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 100 000.

#### A4 03 01 02 Induction courses

Appropriations 2016	Appropriations 2015	Outturn 2014
964 000	1 000 000	1 166 640,95

#### *Remarks*

This appropriation is intended to cover the costs of training for new officials and newly recruited staff in the working environment of the institutions.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 40 000.

**CHAPTER A4 03 — INTERINSTITUTIONAL COOPERATION FOR TRAINING** (*cont'd*)**A4 03 01** (*cont'd*)**A4 03 01 03** Training for certification

Appropriations 2016	Appropriations 2015	Outturn 2014
605 000	687 000	563 520,—

*Remarks*

This appropriation is intended to cover the costs of preparatory training for officials in obtaining certification attesting to their capacity to perform the duties of administrator with a view to their possible transfer to a higher function group.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 16 000.

**CHAPTER A4 10 — RESERVES****A4 10 01** *Provisional appropriations*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

The appropriations entered in this chapter are purely provisional and may be used only after their transfer to other chapters in accordance with the procedure laid down for that purpose in the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A4 10 02** *Contingency reserve*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

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**OFFICE FOR ADMINISTRATION AND PAYMENT OF INDIVIDUAL ENTITLEMENTS**



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## REVENUE

## TITLE 4

### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 40 — DEDUCTIONS FROM REMUNERATION

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

[illegible]

COMMISSION

OFFICE FOR ADMINISTRATION AND PAYMENT OF INDIVIDUAL ENTITLEMENTS

**TITLE 4****MISCELLANEOUS UNION TAXES, LEVIES AND DUES****CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION****4 0 0      *Proceeds from the tax on the salaries, wages and allowances of officials and other servants***

Financial year 2016	Financial year 2015	Financial year 2014
1 308 000	1 116 000	1 201 568,29

*Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

*Reference acts*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

**4 0 3      *Proceeds from the temporary contribution from the salaries of officials and other servants in active employment***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	241,48

*Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

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OFFICE FOR ADMINISTRATION AND PAYMENT OF INDIVIDUAL ENTITLEMENTSCHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION (*cont'd*)**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
246 000	245 000	334 968,08

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
2 396 000	2 389 000	1 931 332,60

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

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## TITLE 6

## CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

## CHAPTER 66 — OTHER CONTRIBUTIONS AND REFUNDS

[illegible]

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## TITLE 6

### CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

#### CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

#### 6 6 0 *Other contributions and refunds*

#### 6 6 0 0 Other assigned contributions and refunds

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

#### Remarks

This item is intended to receive, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

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**EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>A5</b>	<b>OFFICE FOR ADMINISTRATION AND PAYMENT OF INDIVIDUAL ENTITLEMENTS</b>			
A5 01	ADMINISTRATIVE EXPENDITURE	37 520 000	37 025 000	43 351 867,05
A5 10	RESERVES	p.m.	p.m.	0,—
	<b>Title A5 — Total</b>	<b>37 520 000</b>	<b>37 025 000</b>	<b>43 351 867,05</b>

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## TITLE A5

### OFFICE FOR ADMINISTRATION AND PAYMENT OF INDIVIDUAL ENTITLEMENTS

#### CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE

#### CHAPTER A5 10 — RESERVES

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER A5 01				
<b>A5 01 01</b>	<b>Expenditure related to officials and temporary staff</b>				
	Non-differentiated appropriations	15 835 000	16 242 000	14 547 612,85	91,87
<b>A5 01 02</b>	<b>External personnel and other management expenditure</b>				
A5 01 02 01	External personnel				
	Non-differentiated appropriations	11 031 000	10 039 000	17 101 749,60	155,03
A5 01 02 11	Other management expenditure				
	Non-differentiated appropriations	214 000	214 000	284 605,95	132,99
	<i>Article A5 01 02 — Total</i>	11 245 000	10 253 000	17 386 355,55	154,61
<b>A5 01 03</b>	<b>Buildings and related expenditure</b>				
	Non-differentiated appropriations	10 440 000	10 530 000	11 417 898,65	109,37
<b>A5 01 50</b>	<b>Personnel policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A5 01 51</b>	<b>Infrastructure policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A5 01 60</b>	<b>Documentation and library expenditure</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<b>CHAPTER A5 01 — TOTAL</b>	37 520 000	37 025 000	43 351 867,05	115,54
	CHAPTER A5 10				
<b>A5 10 01</b>	<b>Provisional appropriations</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A5 10 02</b>	<b>Contingency reserve</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<b>CHAPTER A5 10 — TOTAL</b>	p.m.	p.m.	0,—	
	<b>Title A5 — Total</b>	<b>37 520 000</b>	<b>37 025 000</b>	<b>43 351 867,05</b>	<b>115,54</b>

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**TITLE A5****OFFICE FOR ADMINISTRATION AND PAYMENT OF INDIVIDUAL ENTITLEMENTS****CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE****A5 01 01** *Expenditure related to officials and temporary staff*

Appropriations 2016	Appropriations 2015	Outturn 2014
15 835 000	16 242 000	14 547 612,85

*Remarks*

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any updates of remuneration during the financial year.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



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**CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A5 01 02      *External personnel and other management expenditure***

A5 01 02 01    External personnel

Appropriations 2016	Appropriations 2015	Outturn 2014
11 031 000	10 039 000	17 101 749,60

*Remarks*

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remuneration of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external personnel and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting, supplementary assistance and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 6 763 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

A5 01 02 11    Other management expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
214 000	214 000	284 605,95

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CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

A5 01 02 (*cont'd*)

A5 01 02 11 (*cont'd*)

Remarks

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates or which it organises,
- expenditure on specialised studies and consultations contracted out to highly qualified experts (natural or legal persons) if the Office does not have suitable staff available to carry out such studies, including the purchase of studies already conducted,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
  - fees for experts employed to identify training needs, design, develop and hold courses, and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
  - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

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**CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A5 01 02** (*cont'd*)

**A5 01 02 11** (*cont'd*)

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

**A5 01 03** ***Buildings and related expenditure***

Appropriations 2016	Appropriations 2015	Outturn 2014
10 440 000	10 530 000	11 417 898,65

*Remarks*

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the costs of purchase or lease-purchase of buildings or the construction of buildings,
- rent and ground rent, various taxes and the exercise of purchase options on buildings or parts of buildings occupied, as well as the hire of conference rooms, warehouses, storerooms, archives, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges for the buildings or parts of buildings occupied by the Office,
- maintenance costs for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

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**CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A5 01 03** (*cont'd*)

- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection, etc.),
- technical assistance fees relating to major fitting-out operations for premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- the purchase, hire, maintenance and repair of furniture,
- the purchase, hire, maintenance and repair of vehicles,
- various types of insurance (in particular third-party and theft),
- expenditure on working equipment, and in particular:
  - purchase of uniforms (mainly for floor messengers, drivers and restaurant and cafeteria staff),
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters, word processors and any other electronic office equipment,
- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,

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**CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A5 01 03** (*cont'd*)

- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- expenditure on postal and mail delivery charges, on postal and other packages sent by air, sea or rail, and on the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the costs of interbuilding telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistic support, training and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software, the cost of the use, maintenance, upkeep and development of software, and of carrying out computer projects,
- other operating expenditure not specially provided for above.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 356 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A5 01 50** ***Personnel policy and management***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities and initiatives to promote social contact between staff of different nationalities,
- the Office's contribution to the costs of the Early Childhood Centre and other childminding facilities,

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**CHAPTER A5 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A5 01 50** (*cont'd*)

- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**A5 01 51** ***Infrastructure policy and management***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim arising therefrom.

**A5 01 60** ***Documentation and library expenditure***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure on producing and developing the Commission's intranet site (My IntraComm), subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of reference works and periodicals, expenditure on subscriptions to newspapers and specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

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## CHAPTER A5 10 — RESERVES

A5 10 01 *Provisional appropriations*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

The appropriations in this chapter are purely provisional and can only be used after transfer to other chapters in accordance with the relevant procedure laid down in the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

A5 10 02 *Contingency reserve*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

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## REVENUE

## TITLE 4

**MISCELLANEOUS UNION TAXES, LEVIES AND DUES**

## CHAPTER 40 — DEDUCTIONS FROM REMUNERATION

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

[illegible]

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## TITLE 4

### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

#### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

##### 4 0 0 *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

Financial year 2016	Financial year 2015	Financial year 2014
2 604 000	2 417 000	2 463 467,64

##### *Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

##### *Reference acts*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

##### 4 0 3 *Proceeds from the temporary contribution from the salaries of officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	776,15

##### *Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION (*cont'd*)**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
443 000	440 000	669 692,85

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
4 724 000	4 694 000	3 645 958,05

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Reference acts*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

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## TITLE 6

## CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

## CHAPTER 66 — OTHER CONTRIBUTIONS AND REFUNDS

[illegible]

**TITLE 6****CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0**      *Other contributions and refunds***6 6 0 0**      Other assigned contributions and refunds

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to accommodate, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

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OFFICE FOR INFRASTRUCTURE AND LOGISTICS — BRUSSELS

**EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>A6</b>	<b>OFFICE FOR INFRASTRUCTURE AND LOGISTICS — BRUSSELS</b>			
A6 01	ADMINISTRATIVE EXPENDITURE	68 440 000	68 528 000	75 531 209,13
A6 10	RESERVES	p.m.	p.m.	0,—
	<b>Title A6 — Total</b>	<b>68 440 000</b>	<b>68 528 000</b>	<b>75 531 209,13</b>

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## TITLE A6

## OFFICE FOR INFRASTRUCTURE AND LOGISTICS — BRUSSELS

## CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE

## CHAPTER A6 10 — RESERVES

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER A6 01				
<b>A6 01 01</b>	<b>Expenditure related to officials and temporary staff</b>				
	Non-differentiated appropriations	31 723 000	32 225 000	30 954 630,02	97,58
<b>A6 01 02</b>	<b>External personnel and other management expenditure</b>				
A6 01 02 01	External personnel				
	Non-differentiated appropriations	24 065 000	23 463 000	30 702 421,12	127,58
A6 01 02 11	Other management expenditure				
	Non-differentiated appropriations	435 000	435 000	429 931,32	98,83
	Article A6 01 02 — Total	24 500 000	23 898 000	31 132 352,44	127,07
<b>A6 01 03</b>	<b>Buildings and related expenditure</b>				
	Non-differentiated appropriations	12 217 000	12 405 000	13 444 226,67	110,05
<b>A6 01 50</b>	<b>Personnel policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A6 01 51</b>	<b>Infrastructure policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A6 01 60</b>	<b>Documentation and library expenditure</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER A6 01 — TOTAL	68 440 000	68 528 000	75 531 209,13	110,36
	CHAPTER A6 10				
<b>A6 10 01</b>	<b>Provisional appropriations</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A6 10 02</b>	<b>Contingency reserve</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER A6 10 — TOTAL	p.m.	p.m.	0,—	
	<b>Title A6 — Total</b>	<b>68 440 000</b>	<b>68 528 000</b>	<b>75 531 209,13</b>	<b>110,36</b>

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**TITLE A6****OFFICE FOR INFRASTRUCTURE AND LOGISTICS — BRUSSELS****CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE****A6 01 01      *Expenditure related to officials and temporary staff***

Appropriations 2016	Appropriations 2015	Outturn 2014
31 723 000	32 225 000	30 954 630,02

*Remarks*

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any updates of remuneration during the financial year.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 800 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)**A6 01 02**      ***External personnel and other management expenditure***

## A6 01 02 01      External personnel

Appropriations 2016	Appropriations 2015	Outturn 2014
24 065 000	23 463 000	30 702 421,12

*Remarks*

This appropriation is intended to cover:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remunerations of such staff,
- expenditure incurred (remuneration, insurance, etc.) through the use of private-law contract external personnel and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 7 000 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## A6 01 02 11      Other management expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
435 000	435 000	429 931,32

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**CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A6 01 02** (*cont'd*)

**A6 01 02 11** (*cont'd*)

*Remarks*

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates,
- expenditure on specialised studies and consultations contracted out to highly qualified experts (natural or legal persons) if the Office does not have suitable staff available to carry out such studies,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
  - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
  - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

**CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A6 01 02** (*cont'd*)**A6 01 02 11** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

**A6 01 03** ***Buildings and related expenditure***

Appropriations 2016	Appropriations 2015	Outturn 2014
12 217 000	12 405 000	13 444 226,67

*Remarks*

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the costs of purchase or lease-purchase of buildings or the construction of buildings,
- rent and ground rent, and the hire of conference rooms, storerooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges for the buildings or parts of buildings occupied by the Office,
- maintenance costs for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure incurred by regular cleaning operations, including the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenses concerning the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

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**CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A6 01 03** (*cont'd*)

- expenses concerning the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major fitting-out operations for premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- the purchase, hire, maintenance and repair of furniture,
- the purchase, hire, maintenance and repair of vehicles,
- various types of insurance,
- expenditure on working equipment, and in particular:
  - purchase of uniforms (mainly for floor messengers, drivers and restaurant and cafeteria staff),
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters, word processors and any other electronic office equipment,

**CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A6 01 03** (*cont'd*)

- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- expenditure on postal and mail delivery charges, on postal and other packages sent by air, sea or rail, and on the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the costs of establishing telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistic support, training and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software, the cost of the use, maintenance, upkeep and development of software and of carrying out computer projects,
- other operating expenditure not specially provided for above.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 685 000.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A6 01 50** *Personnel policy and management*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- the Office's contribution to the costs of the recreation centre and to other cultural and sports activities and initiatives to promote social contact between staff of different nationalities,

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## CHAPTER A6 01 — ADMINISTRATIVE EXPENDITURE (cont'd)

### A6 01 50 (cont'd)

- the Office's contribution to the costs of the Early Childhood Centre and other child-minding facilities,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

#### Legal basis

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

### A6 01 51 *Infrastructure policy and management*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

#### Remarks

This appropriation is intended to cover the following expenditure:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim arising therefrom.

### A6 01 60 *Documentation and library expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

#### Remarks

This appropriation is intended to cover expenditure on producing and developing of the Commission's intranet site (My IntraComm), producing of the in-house weekly *Commission en direct*, subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, official journals, parliamentary papers, foreign trade statistics, news agency reports and various other specialised publications, and the purchase of publications and technical works connected with the Office's activities.

**CHAPTER A6 10 — RESERVES****A6 10 01      *Provisional appropriations***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

The appropriations in this article are purely provisional and can only be used after transfer to other budget headings in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A6 10 02      *Contingency reserve***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

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## REVENUE

## TITLE 4

**MISCELLANEOUS UNION TAXES, LEVIES AND DUES**

## CHAPTER 40 — DEDUCTIONS FROM REMUNERATION

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
4 0 0 4 0 3 4 0 4          4 1 0	CHAPTER 4 0				
	Proceeds from the tax on the salaries, wages and allowances of officials and other servants	873 000	843 000	842 878,29	96,55
	Proceeds from the temporary contribution from the salaries of officials and other servants in active employment	p.m.	p.m.	318,47	
	Proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment	153 000	153 000	218 610,43	142,88
	CHAPTER 4 0 — TOTAL	1 026 000	996 000	1 061 807,19	103,49
	CHAPTER 4 1				
	Staff contributions to the pension scheme	1 446 000	1 446 000	1 134 460,86	78,46
	CHAPTER 4 1 — TOTAL	1 446 000	1 446 000	1 134 460,86	78,46
	Title 4 — Total	2 472 000	2 442 000	2 196 268,05	88,85

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## TITLE 4

### MISCELLANEOUS UNION TAXES, LEVIES AND DUES

#### CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

##### 4 0 0 *Proceeds from the tax on the salaries, wages and allowances of officials and other servants*

Financial year 2016	Financial year 2015	Financial year 2014
873 000	843 000	842 878,29

##### *Remarks*

This revenue comprises all the proceeds from the tax on the salaries, wages and allowances of officials and other servants deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

##### *Reference acts*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

##### 4 0 3 *Proceeds from the temporary contribution from the salaries of officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	318,47

##### *Remarks*

This revenue comprises all the proceeds from the temporary contribution from the remuneration of officials and other servants in active employment deducted each month from the salaries of the staff of the Office.

##### *Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION (*cont'd*)**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
153 000	153 000	218 610,43

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
1 446 000	1 446 000	1 134 460,86

*Remarks*

This revenue comprises all the contributions deducted each month from the salaries of the staff of the Office, in accordance with Article 83(2) of the Staff Regulations and used to finance the pension scheme.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

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## TITLE 6

## CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE

## CHAPTER 66 — OTHER CONTRIBUTIONS AND REFUNDS

[illegible]

**TITLE 6****CONTRIBUTIONS TO UNION PROGRAMMES, REPAYMENT OF EXPENDITURE AND REVENUE****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0**      *Other contributions and refunds***6 6 0 0**      Other assigned contributions and refunds

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to receive, in accordance with Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

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**EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>A7</b>	<b>OFFICE FOR INFRASTRUCTURE AND LOGISTICS — LUXEMBOURG</b>			
A7 01	ADMINISTRATIVE EXPENDITURE	23 658 000	24 083 000	24 433 812,18
A7 10	RESERVES	p.m.	p.m.	0,—
	<b>Title A7 — Total</b>	<b>23 658 000</b>	<b>24 083 000</b>	<b>24 433 812,18</b>

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## TITLE A7

## OFFICE FOR INFRASTRUCTURE AND LOGISTICS — LUXEMBOURG

## CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE

## CHAPTER A7 10 — RESERVES

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER A7 01				
<b>A7 01 01</b>	<b>Expenditure related to officials and temporary staff</b>				
	Non-differentiated appropriations	12 063 000	12 326 000	11 466 278,75	95,05
<b>A7 01 02</b>	<b>External personnel and other management expenditure</b>				
A7 01 02 01	External personnel				
	Non-differentiated appropriations	7 012 000	7 095 000	7 157 435,21	102,07
A7 01 02 11	Other management expenditure				
	Non-differentiated appropriations	270 000	290 000	242 666,81	89,88
	Article A7 01 02 — Total	7 282 000	7 385 000	7 400 102,02	101,62
<b>A7 01 03</b>	<b>Buildings and related expenditure</b>				
	Non-differentiated appropriations	4 313 000	4 372 000	5 567 431,41	129,08
<b>A7 01 50</b>	<b>Personnel policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A7 01 51</b>	<b>Infrastructure policy and management</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A7 01 60</b>	<b>Documentation and library expenditure</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER A7 01 — TOTAL	23 658 000	24 083 000	24 433 812,18	103,28
	CHAPTER A7 10				
<b>A7 10 01</b>	<b>Provisional appropriations</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
<b>A7 10 02</b>	<b>Contingency reserve</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER A7 10 — TOTAL	p.m.	p.m.	0,—	
	<b>Title A7 — Total</b>	<b>23 658 000</b>	<b>24 083 000</b>	<b>24 433 812,18</b>	<b>103,28</b>

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OFFICE FOR INFRASTRUCTURE AND LOGISTICS — LUXEMBOURG

## TITLE A7

## OFFICE FOR INFRASTRUCTURE AND LOGISTICS — LUXEMBOURG

## CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE

A7 01 01 *Expenditure related to officials and temporary staff*

Appropriations 2016	Appropriations 2015	Outturn 2014
12 063 000	12 326 000	11 466 278,75

*Remarks*

This appropriation is intended to cover, for officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- removal expenses due to officials obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the service and resettling elsewhere,
- the cost of any updates of remuneration during the financial year.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).



CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)**A7 01 02      *External personnel and other management expenditure***

## A7 01 02 01      External personnel

Appropriations 2016	Appropriations 2015	Outturn 2014
7 012 000	7 095 000	7 157 435,21

*Remarks*

This appropriation is intended to cover the following expenditure:

- the remuneration of contract staff (within the meaning of Title IV of the Conditions of Employment), the institution's social insurance scheme covering contract staff, as described in Title IV, and the cost of weightings applicable to the remunerations of such staff,
- expenditure incurred (remuneration, insurance etc.) through the use of private law contract external personnel and agency staff,
- personnel costs included in service contracts for technical and administrative subcontracting, supplementary assistance and the supply of intellectual services,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Office and supplementary expenses arising from the secondment of officials to national civil services or international organisations,
- the cost of any updates of remuneration during the financial year.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 4 034 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## A7 01 02 11      Other management expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
270 000	290 000	242 666,81

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**CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A7 01 02** (*cont'd*)

**A7 01 02 11** (*cont'd*)

*Remarks*

This appropriation is intended to cover the following expenditure:

- travel expenses, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by staff covered by the Staff Regulations and by national or international experts or officials on secondment,
- reimbursement of costs incurred by persons officially representing the Office (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other Union institutions),
- travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- miscellaneous expenditure relating to conferences, congresses and meetings in which the Office participates,
- expenditure on specialised studies and consultation carried out by highly qualified experts (natural or legal persons) under contract, to the extent that the staff available to the Office does not enable it to carry out this work directly, including the purchase of studies already carried out,
- expenditure on training for improving staff skills and the performance and efficiency of the Office:
  - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
  - fees for consultants in various fields, in particular organisational methods, management, strategy, quality and personnel management,
  - the cost of attending external training and of joining the relevant professional organisations,
- expenditure related to the practical aspects of organising courses, the use of premises, transport, meals and accommodation for participants in residential courses,
- training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,
- financing of teaching aids.

**CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)**A7 01 02** (*cont'd*)**A7 01 02 11** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

**A7 01 03** ***Buildings and related expenditure***

Appropriations 2016	Appropriations 2015	Outturn 2014
4 313 000	4 372 000	5 567 431,41

*Remarks*

This appropriation is intended to cover the buildings of the Office and related expenditure, including in particular:

- the cost of purchase or rental with purchase option of buildings or the construction of buildings,
- the payment of rents and leasing charges relating to occupied buildings or parts of buildings, and the hire of conference rooms, storerooms, garages and parking facilities,
- insurance premiums on the buildings or parts of buildings occupied by the Office,
- water, gas, electricity and heating charges in respect of the buildings or parts of buildings occupied by the Office,
- maintenance costs, calculated on the basis of current contracts, for premises, lifts, central heating, air-conditioning equipment, etc.; the expenditure is occasioned by regular cleaning operations, the purchase of maintenance, washing, laundry and dry-cleaning products, etc., and by repainting, repairs and supplies used by the maintenance shops,
- expenditure on the selective treatment, storage and removal of waste,
- the refurbishment of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc., and the cost of changes to the cabling associated with fixtures, and the cost of the necessary equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure relating to the physical and material security of persons and property, in particular contracts for the guarding of buildings, contracts for the maintenance of security installations, training and the purchase of minor items of equipment (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),

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**CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A7 01 03** (*cont'd*)

- expenditure relating to the health and safety of individuals at work, in particular the purchase, hire and maintenance of fire-fighting equipment, the replacement of equipment for fire pickets, training and statutory inspection costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Office must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- the cost of legal, financial and technical consultancy fees prior to the acquisition, rental or construction of buildings,
- other expenditure on buildings, in particular management fees for multiple-tenanted buildings, costs of surveys of premises and charges for utilities (refuse collection etc.),
- technical assistance fees relating to major refurbishment work on premises,
- the purchase, hire or leasing, maintenance, repair, installation and renewal of technical equipment and installations,
- the purchase, hire, maintenance and repair of furniture,
- the purchase, hire, maintenance and repair of vehicles,
- various types of insurance (in particular third-party and theft),
- expenditure on working equipment, and in particular:
  - purchase of uniforms (mainly for messengers, drivers and catering staff),
  - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
  - purchase or reimbursement of any equipment which might be necessary pursuant to Directives 89/391/EEC and 90/270/EEC,
- departmental removals and reorganisations and handling (taking delivery, storing, delivering) in respect of equipment, furniture and office supplies,
- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing and maintenance of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the purchase, hire or leasing and maintenance of equipment relating to the reproduction of information in paper form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
- the purchase, hire or leasing of typewriters and word processors and any other electronic office equipment,

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**CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE** (*cont'd*)

**A7 01 03** (*cont'd*)

- installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail, and the Office's internal mail,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- establishing telephone and computer links and international transmission lines between sites of Union offices,
- technical and logistical support and training and other general activities relating to computer hardware and software, general information-technology training, subscriptions to technical documentation in printed or electronic form, etc., outside operating personnel, office services, subscriptions to international organisations, etc., studies covering security aspects and quality control relating to information technology (IT) hardware and software, and utilisation, maintenance and software development costs, and undertaking of IT projects,
- other operating expenditure not specifically provided for above.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A7 01 50** **Personnel policy and management**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- the contribution by the Office to the costs of the recreation centre and to other cultural and sports activities, and any initiatives designed to encourage social contact between staff of different nationalities,

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CHAPTER A7 01 — ADMINISTRATIVE EXPENDITURE (*cont'd*)

**A7 01 50** (*cont'd*)

- the Office's contribution to the cost of crèches and kindergartens,
- expenditure for the following categories of persons, as part of a policy to assist people with disabilities:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations.

It covers, within the limits of the amount entered in the budget and after any national entitlements granted in the country of residence or origin have been claimed, any duly substantiated non-medical expenditure which is acknowledged to be necessary and arises from disablement.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**A7 01 51** *Infrastructure policy and management*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- routine running and conversion costs for restaurants, cafeterias and canteens,
- damages to be borne by the Office and those arising from third-party liability, and expenditure relating to certain cases where, for reasons of equity, compensation has to be paid without any legal claim arising therefrom.

**A7 01 60** *Documentation and library expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure on producing and developing the Commission's intranet site (My IntraComm), subscriptions to view data services, the cost of binding and other expenditure necessary for the preservation of books and publications, expenditure on subscriptions to newspapers, specialist periodicals, and the purchase of publications and technical works connected with the Office's activities.

**CHAPTER A7 10 — RESERVES****A7 10 01      *Provisional appropriations***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

The appropriations entered in this article are purely provisional and may be used only after transfer to other budget headings in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**A7 10 02      *Contingency reserve***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

## **STAFF**



## Commission

## Administration

Function group and grade <sup>(1)</sup> <sup>(2)</sup>	Administration			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	24		24	
AD 15	190	22	190	22
AD 14	550	31	583	31
AD 13	1 819		1 925	
AD 12	1 202	44	1 130	44
AD 11	816	62	743	62
AD 10	959	21	931	21
AD 9	1 087	9	990	9
AD 8	1 336	26	1 261	26
AD 7	1 356	20	1 526	20
AD 6	1 123	10	1 174	10
AD 5	971	6	901	6
AD total	11 433	251	11 378	251
AST 11	178		193	
AST 10	152	10	171	10
AST 9	613		567	
AST 8	618	13	616	12
AST 7	1 142	18	1 116	18
AST 6	614	19	699	19
AST 5	1 020	16	1 015	16
AST 4	980		907	
AST 3	727		924	
AST 2	296	13	403	13
AST 1	274		291	
AST total	6 614	89	6 902	88
AST/SC 6				
AST/SC 5				
AST/SC 4	65	35	45	55
AST/SC 3				
AST/SC 2	70		70	
AST/SC 1	300		250	
AST/SC total	435	35	365	55
<b>Grand total</b>	<b>18 482</b>	<b>375</b>	<b>18 645</b>	<b>394</b>
<b>Total staff</b>	<b>18 857</b>		<b>19 039</b>	

<sup>(1)</sup> The establishment plan includes, pursuant to Article 53 of the Treaty establishing the European Atomic Energy Community, the following permanent posts for the Supply Agency: one *ad personam* AD 15 for the Director-General of the Agency, two AD 14 (of which one for the Deputy Director-General of the Agency), three AD 12, one AD 11, two AD 10, one AST 10, two AST 8, one AST 7, nine AST 6, one AST 5 and two AST 3.

<sup>(2)</sup> The establishment plan accepts the following *ad personam* appointments: up to 25 AD 15 may become AD 16; up to 21 AD 14 may become AD 15; up to 13 AD 11 may become AD 14 and one AST 8 may become AST 10.

### Research and innovation — Joint Research Centre

Function group and grade	Research and technological development — Joint Research Centre			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	2		2	
AD 15	10		10	
AD 14	72		76	
AD 13	225		225	
AD 12	174		174	
AD 11	44		44	
AD 10	51		47	
AD 9	74		70	
AD 8	78		79	
AD 7	76		76	
AD 6	62		74	
AD 5	9		15	
AD total	877		892	
AST 11	49		49	
AST 10	66		66	
AST 9	153		153	
AST 8	92		92	
AST 7	109		115	
AST 6	91		91	
AST 5	119		122	
AST 4	114		114	
AST 3	83		95	
AST 2	35		45	
AST 1	5		5	
AST total	916		947	
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1	9		10	
AST/SC total	9		10	
<b>Grand total</b>	<b>1 802</b>		<b>1 849</b>	
<b>Total staff</b>	<b>1 802</b>		<b>1 849</b>	

### Research and innovation — Indirect action

Function group and grade	Research and technological development — Indirect action			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	1		1	
AD 15	19		19	
AD 14	85		87	
AD 13	250		276	
AD 12	143		120	
AD 11	59		54	
AD 10	61		57	
AD 9	98		100	
AD 8	89		90	
AD 7	88		90	
AD 6	75		87	
AD 5	51		71	
AD total	1 019		1 052	
AST 11	17		17	
AST 10	9		11	
AST 9	49		45	
AST 8	54		48	
AST 7	80		71	
AST 6	80		95	
AST 5	102		105	
AST 4	76		91	
AST 3	73		85	
AST 2	20		35	
AST 1	33		50	
AST total	593		653	
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1	17		16	
AST/SC total	17		16	
<b>Grand total</b>	<b>1 629</b>		<b>1 721</b>	
<b>Total staff <sup>(1)</sup></b>	<b>1 629</b>		<b>1 721</b>	

<sup>(1)</sup> The establishment plan accepts the following *ad personam* appointments: two AD 15 become AD 16; one AD 14 becomes AD 15.

## Offices

## Publications Office (OP)

Function group and grade	Publications Office (OP)			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	1		1	
AD 15	3		3	
AD 14	8		8	
AD 13	9		10	
AD 12	14		15	
AD 11	9		11	
AD 10	13		9	
AD 9	20		18	
AD 8	13		13	
AD 7	13		13	
AD 6	11		11	
AD 5	17		16	
AD total	131		128	
AST 11	21		21	
AST 10	21		23	
AST 9	48		51	
AST 8	39		41	
AST 7	55		42	
AST 6	86		67	
AST 5	105		121	
AST 4	56		81	
AST 3	46		50	
AST 2			3	
AST 1				
AST total	477		500	
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1	5		5	
AST/SC total	5		5	
<b>Grand total</b>	<b>613</b>		<b>633</b>	
<b>Total staff</b>	<b>613</b>		<b>633</b>	

### European Anti-Fraud Office (OLAF)

Function group and grade	European Anti-Fraud Office (OLAF)			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	1		1	
AD 15	2	1	2	1
AD 14	9		8	
AD 13	19	6	19	3
AD 12	20	11	20	14
AD 11	18		19	
AD 10	20	1	21	1
AD 9	23	7	17	15
AD 8	17		17	
AD 7	17		13	
AD 6	13		15	
AD 5	17		18	
AD total	176	26	170	34
AST 11	6	9	5	8
AST 10	9	4	9	6
AST 9	16	2	16	2
AST 8	14	10	14	12
AST 7	13		13	
AST 6	9		10	
AST 5	20		19	
AST 4	23		23	
AST 3	17		19	
AST 2	6		9	
AST 1				
AST total	133	25	137	28
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1	8		8	
AST/SC total	8		8	
Grand total	317	51	315	62
Total staff	368 (*)		377	
(*) Of which 7 posts for the Secretariat of the Supervisory Committee				

### European Personnel Selection Office (EPSO)

Function group and grade	European Personnel Selection Office (EPSO)			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16				
AD 15		1		1
AD 14	1		1	
AD 13	7		6	1
AD 12	3		3	
AD 11	4		4	
AD 10	3		3	
AD 9	3		3	
AD 8	3		3	
AD 7	1		1	
AD 6	1		1	
AD 5	4		2	
AD total	30	1	27	2
AST 11	4		4	
AST 10	3		3	
AST 9	7		7	
AST 8	6		6	
AST 7	10		7	
AST 6	11		13	
AST 5	12		15	
AST 4	10		7	
AST 3	10		10	
AST 2	6		7	
AST 1	2		4	
AST total	81		83	
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC total				
<b>Grand total</b>	<b>111</b>	<b>1</b>	<b>110</b>	<b>2</b>
<b>Total staff <sup>(1)</sup></b>	<b>112</b>		<b>112</b>	

<sup>(1)</sup> Of which permanent posts in the European School of Administration (EUSA): three AD 12, one AD 11, two AD 8, one AST 10, two AST 9, one AST 8, one AST 7, one AST 5, one AST 4 and two AST 3.

### Office for the Administration and Payment of Individual Entitlements (PMO)

Function group and grade	Office for the Administration and Payment of Individual Entitlements (PMO)			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16				
AD 15	1		1	
AD 14	3		3	
AD 13	11		11	
AD 12	7		5	
AD 11	1		1	
AD 10	2		2	
AD 9	2		3	
AD 8	3		3	
AD 7	2		2	
AD 6	1		2	
AD 5	1		1	
AD total	34		34	
AST 11	8		7	
AST 10	10		10	
AST 9	12		12	
AST 8	18		18	
AST 7	42		41	
AST 6	29		29	
AST 5	16		23	
AST 4			5	
AST 3				
AST 2				
AST 1				
AST total	135		145	
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC total				
<b>Grand total</b>	<b>169</b>		<b>179</b>	
<b>Total staff</b>	<b>169</b>		<b>179</b>	

## Office for Infrastructure and Logistics in Brussels (OIB)

Function group and grade	Office for Infrastructure and Logistics in Brussels (OIB)			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16				
AD 15	1		1	
AD 14	7		8	
AD 13	13		14	
AD 12	7		7	
AD 11	5		4	
AD 10	8		6	
AD 9	7		10	
AD 8	7		7	
AD 7	8		3	
AD 6	9		9	
AD 5	10		12	
AD total	82		81	
AST 11	8		8	
AST 10	8		8	
AST 9	14		14	
AST 8	19		19	
AST 7	41		48	
AST 6	39		45	
AST 5	73		78	
AST 4	52		53	
AST 3	24		24	
AST 2				
AST 1				
AST total	278		297	
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC total				
<b>Grand total</b>	<b>360</b>		<b>378</b>	
<b>Total staff</b>	<b>360</b>		<b>378</b>	



## Office for Infrastructure and Logistics in Luxembourg (OIL)

Function group and grade	Office for Infrastructure and Logistics in Luxembourg (OIL)			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16				
AD 15	1		1	
AD 14	3		3	
AD 13	4		5	
AD 12	2		2	
AD 11	2		2	
AD 10	2		2	
AD 9	4		4	
AD 8	4		4	
AD 7	3		2	
AD 6	3		3	
AD 5	2		2	
AD total	30		30	
AST 11	2		2	
AST 10	2		2	
AST 9	6		6	
AST 8	7		7	
AST 7	14		14	
AST 6	17		18	
AST 5	24		25	
AST 4	15		19	
AST 3	12		12	
AST 2	1		1	
AST 1				
AST total	100		106	
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1	4		4	
AST/SC total	4		4	
<b>Grand total</b>	<b>134</b>		<b>140</b>	
<b>Total staff</b>	<b>134</b>		<b>140</b>	

## Bodies set up by the European Union and having legal personality

### Decentralised agencies

#### Decentralised agencies — Enterprise and industry

#### European Chemicals Agency (ECHA)

Function group and grade	European Chemicals Agency (ECHA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		1
AD 14		4		3		4
AD 13		15		6		15
AD 12		22		14		25
AD 11		34		17		32
AD 10		36		28		35
AD 9		54		44		55
AD 8		60		51		61
AD 7		57		53		54
AD 6		45		77		57
AD 5		8		45		9
AD total		336		339		348
AST 11						
AST 10						1
AST 9		6		3		7
AST 8		9		1		8
AST 7		15		6		15
AST 6		16		9		16
AST 5		34		22		32
AST 4		14		23		18
AST 3		23		52		24
AST 2		7		14		10
AST 1		5		10		5
AST total		129		140		136
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>465</b>		<b>479</b>		<b>484</b>
<b>Total staff</b>	<b>465</b>		<b>479</b>		<b>484</b>	

**European GNSS Agency (GSA)**

Function group and grade	European GNSS Agency (GSA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13		1				1
AD 12		5		2		4
AD 11		5		2		5
AD 10		12		6		11
AD 9		12		10		10
AD 8		30		19		25
AD 7		34		36		32
AD 6		8		12		6
AD 5				2		2
AD total		108		90		97
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6		1				1
AST 5		2		1		2
AST 4		1		1		1
AST 3				2		
AST 2		1				1
AST 1				1		
AST total		5		5		5
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>113</b>		<b>95</b>		<b>102</b>
<b>Total staff</b>		<b>113</b>		<b>95</b>		<b>102</b>

## Decentralised agencies — Employment, social affairs and inclusion

## European Foundation for the Improvement of Living and Working Conditions (Eurofound)

Function group and grade	European Foundation for the Improvement of Living and Working Conditions (Eurofound)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14		1		2		1
AD 13		4		3		4
AD 12	2	8	2	3	2	7
AD 11	1	5	1	5	1	5
AD 10	1	4		1	1	4
AD 9	1	3	1	1	1	3
AD 8	1	5	1	6	1	7
AD 7	2	5	2	4	2	5
AD 6		5		12		6
AD 5		1	1	4		
AD total	8	42	8	41	8	43
AST 11						
AST 10		2		2		2
AST 9		7		3		6
AST 8		8		3		7
AST 7	1	10		8		9
AST 6	3		1	5	2	2
AST 5	2	8	2	1	3	6
AST 4	1		1	6	2	1
AST 3			1	4	1	1
AST 2	1	1		4	1	1
AST 1		1	5	1	1	1
AST total	8	37	10	37	10	36
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
Grand total	16	79	18	78	18	79
Total staff	95		96		97	

**European Agency for Safety and Health at Work (EU-OSHA)**

Function group and grade	European Agency for Safety and Health at Work (EU-OSHA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13		1		1		1
AD 12		2		1		1
AD 11		1		1		2
AD 10		3		2		2
AD 9		1		1		2
AD 8		7		5		6
AD 7		5		6		5
AD 6		3		5		4
AD 5						
AD total		24		23		24
AST 11						
AST 10						
AST 9		1		1		1
AST 8						
AST 7		1				1
AST 6		3		1		2
AST 5		4		3		4
AST 4		4		8		5
AST 3		3		2		2
AST 2		1		2		3
AST 1						
AST total		17		17		18
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>41</b>		<b>40</b>		<b>42</b>
<b>Total staff</b>		<b>41</b>		<b>40</b>		<b>42</b>

## Decentralised Agencies — Mobility and transport

## European Aviation Safety Agency (EASA)

Function group and grade	European Aviation Safety Agency (EASA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		2
AD 14		25		6		23
AD 13		32		12		31
AD 12		53		16		48
AD 11		76		18		72
AD 10		98		74		95
AD 9		117		81		118
AD 8		77		94		81
AD 7		47		128		55
AD 6		20		74		24
AD 5		2		11		2
AD total		548		515		551
AST 11						
AST 10						
AST 9		1				1
AST 8		4				4
AST 7		13				12
AST 6		23		4		22
AST 5		33		10		32
AST 4		25		28		26
AST 3		17		52		18
AST 2		10		28		11
AST 1		2		13		2
AST total		128		135		128
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>676</b>		<b>650</b>		<b>679</b>
<b>Total staff</b>	<b>676</b>		<b>650</b>		<b>679</b>	

## European Maritime Safety Agency (EMSA)

Function group and grade	European Maritime Safety Agency (EMSA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14		1		2		1
AD 13	1	3		1	1	3
AD 12	1	10	1	5	1	9
AD 11		14		3		13
AD 10	1	18	1	17	1	17
AD 9		28		26		28
AD 8	1	24		29	1	24
AD 7		24		20		24
AD 6		12	1	20		18
AD 5				10		2
AD total	4	135	3	133	4	140
AST 11						
AST 10		1				1
AST 9				1		
AST 8		1				1
AST 7		4		1		2
AST 6		11		3		7
AST 5		18		17		17
AST 4		16		16		19
AST 3		12		19		16
AST 2				6		
AST 1						
AST total		63		63		63
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
Grand total	4	198	3	196	4	203
Total staff	202		199		207	

**European Railway Agency (ERA)**

Function group and grade	European Railway Agency (ERA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11		6		2		5
AD 10		14		10		11
AD 9		31		23		29
AD 8		20		22		21
AD 7		13		13		13
AD 6		14		25		24
AD 5						
AD total		99		96		104
AST 11						
AST 10						
AST 9		3		1		2
AST 8		4		2		3
AST 7		4				3
AST 6		3		5		2
AST 5		7		5		5
AST 4		6		6		6
AST 3		6		9		7
AST 2		3		10		5
AST 1				1		
AST total		36		39		33
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>135</b>		<b>135</b>		<b>137</b>
<b>Total staff</b>	<b>135</b>		<b>135</b>		<b>137</b>	



## Decentralised Agencies — Environment

## European Environment Agency (EEA)

Function group and grade	European Environment Agency (EEA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14		2		2		2
AD 13	1	3		1		2
AD 12		11	1	8	1	10
AD 11		10		9		10
AD 10		10		5		10
AD 9		10		8		10
AD 8		10		9		10
AD 7		7		11		7
AD 6				10		2
AD 5						
AD total	1	64	1	63	1	64
AST 11		3				3
AST 10		3		2		3
AST 9	3	8		2	2	8
AST 8		10	3	5	1	10
AST 7		10		9		10
AST 6		10		8		10
AST 5		10		9		10
AST 4		7		9		8
AST 3		1		13		2
AST 2				6		1
AST 1				1		
AST total	3	62	3	64	3	65
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>	<b>4</b>	<b>126</b>	<b>4</b>	<b>127</b>	<b>4</b>	<b>129</b>
<b>Total staff</b>	<b>130</b>		<b>131</b>		<b>133</b>	

### European Chemicals Agency (ECHA) — Activities in the field of biocides legislation

See establishment plan staff S 03 01 02 — European Chemicals Agency.

### Activities in the field of legislation on import and export of dangerous chemicals

See establishment plan staff S 03 01 02 — European Chemicals Agency.

### Decentralised agencies — Communications networks, content and technology

#### European Union Agency for Network and Information Security (ENISA)

Function group and grade	European Union Agency for Network and Information Security (ENISA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14				1		
AD 13						
AD 12		3		2		3
AD 11				1		
AD 10		5		3		5
AD 9		9		3		9
AD 8		9		3		7
AD 7		7		3		6
AD 6				13		
AD 5				1		1
AD total		34		30		32
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6		3		1		2
AST 5		5		3		6
AST 4		1		3		3
AST 3		3		6		3
AST 2		2		3		2
AST 1						
AST total		14		16		16
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
Grand total		48		46		48
Total staff		48		46		48

**Body of European Regulators for Electronic Communications (BEREC) — Office**

Function group and grade	Body of European Regulators for Electronic Communications (BEREC) — Office					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11						
AD 10		1				
AD 9		1		2		2
AD 8		2				
AD 7		1		3		3
AD 6		2				
AD 5		3		5		5
AD total		11		11		11
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5						
AST 4		2				
AST 3		2		4		4
AST 2						
AST 1				1		
AST total		4		5		4
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>15</b>		<b>16</b>		<b>15</b>
<b>Total staff</b>	<b>15</b>		<b>16</b>		<b>15</b>	

## Decentralised agencies — Maritime affairs and fisheries

## European Fisheries Control Agency (EFCA)

Function group and grade	European Fisheries Control Agency (EFCA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		1
AD 14						
AD 13		2		2		2
AD 12		2		2		2
AD 11						
AD 10		3		3		3
AD 9		6		6		6
AD 8		5		5		5
AD 7		2		1		1
AD 6		1		2		2
AD 5						
AD total		22		22		22
AST 11						
AST 10		7		7		7
AST 9		3		3		3
AST 8		3		3		3
AST 7		8		8		8
AST 6		2		2		2
AST 5		6		6		6
AST 4						
AST 3				1		1
AST 2						
AST 1						
AST total		29		30		30
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>51</b>		<b>52</b>		<b>52</b>
<b>Total staff</b>	<b>51</b>		<b>52</b>		<b>52</b>	

## Decentralised agencies — Internal market and services

## European Banking Authority (EBA)

Function group and grade	European Banking Authority (EBA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16		1				
AD 15		1		1		1
AD 14		2		1		1
AD 13		2		3		3
AD 12		7		6		6
AD 11		11		10		10
AD 10		11		10		10
AD 9		14		14		14
AD 8		19		19		19
AD 7		20		16		20
AD 6		14		12		14
AD 5		14		10		13
AD total		116		102		111
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6		1				
AST 5		4		4		1
AST 4		3		2		3
AST 3		2		2		2
AST 2		1		1		3
AST 1						
AST total		11		9		9
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>127</b>		<b>111</b>		<b>120</b>
<b>Total staff</b>	<b>127</b>		<b>111</b>		<b>120</b>	

### European Insurance and Occupational Pensions Authority (EIOPA)

Function group and grade	European Insurance and Occupational Pensions Authority (EIOPA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16		1				
AD 15		1		1		1
AD 14		1		1		1
AD 13		3		3		3
AD 12		6		5		5
AD 11		9		7		7
AD 10		9		8		8
AD 9		10		9		8
AD 8		11		11		11
AD 7		12		12		12
AD 6		10		8		9
AD 5		5		8		10
AD total		78		73		75
AST 11						
AST 10		1				
AST 9		1		1		1
AST 8		2		1		1
AST 7		2		1		1
AST 6		3		4		3
AST 5		2		1		1
AST 4		2		3		3
AST 3		2		3		3
AST 2						2
AST 1						
AST total		15		14		15
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>93</b>		<b>87</b>		<b>90</b>
<b>Total staff</b>		<b>93</b>		<b>87</b>		<b>90</b>

### European Securities and Markets Authority (ESMA)

Function group and grade	European Securities and Markets Authority (ESMA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16		1				
AD 15		1		1		1
AD 14				1		1
AD 13		2				
AD 12		4		2		3
AD 11		7		1		5
AD 10		10		3		6
AD 9		22		14		14
AD 8		29		22		27
AD 7		24		29		27
AD 6		17		23		22
AD 5		10		21		16
AD total		127		117		122
AST 11						
AST 10						
AST 9						1
AST 8						
AST 7		2				
AST 6		2				1
AST 5		4		2		2
AST 4		4		1		6
AST 3		1		6		2
AST 2				1		3
AST 1				3		
AST total		13		13		15
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>140</b>		<b>130</b>		<b>137</b>
<b>Total staff</b>	<b>140</b>		<b>130</b>		<b>137</b>	

## Decentralised agencies — Education and culture

## European Centre for the Development of Vocational Training (Cedefop)

Function group and grade	European Centre for the Development of Vocational Training (Cedefop)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14		1		1		1
AD 13		2				2
AD 12	5	4	2	3	5	3
AD 11		10	4	6		9
AD 10		9		4		8
AD 9		5		4		4
AD 8		5		3		5
AD 7		4		5		6
AD 6		2		5		5
AD 5				11		
AD total	5	43	6	42	5	44
AST 11		1				
AST 10	1	1		1	1	2
AST 9		2	1	1		2
AST 8	2	2	2		2	2
AST 7	3	7		5	1	6
AST 6	4	5	3		4	3
AST 5	2	6	5	4	4	6
AST 4		10	1	12		10
AST 3				9		4
AST 2				3		
AST 1						
AST total	12	34	12	35	12	35
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>	<b>17</b>	<b>77</b>	<b>18</b>	<b>77</b>	<b>17</b>	<b>79</b>
<b>Total staff</b>	<b>94</b>		<b>95</b>		<b>96</b>	



## European Training Foundation (ETF)

Function group and grade	European Training Foundation (ETF)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13		6				6
AD 12		13		10		12
AD 11		9		8		8
AD 10		6		5		4
AD 9		12		13		12
AD 8		7		5		8
AD 7		5		15		9
AD 6				1		
AD 5				1		
AD total		59		59		60
AST 11		2				
AST 10		4		2		4
AST 9		9		7		9
AST 8		6		5		6
AST 7		4		4		4
AST 6		4		4		4
AST 5		2		3		5
AST 4				3		
AST 3				5		
AST 2						
AST 1						
AST total		31		33		32
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
Grand total		90		92		92
Total staff	90		92		92	

## Decentralised agencies — Health and consumer protection

## European Centre for Disease Prevention and Control (ECDC)

Function group and grade	European Centre for Disease Prevention and Control (ECDC)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14		7		1		6
AD 13		6		1		5
AD 12		10		4		8
AD 11		16		4		14
AD 10		23		6		22
AD 9		25		10		25
AD 8		19		40		18
AD 7		16		1		18
AD 6		6		13		14
AD 5				42		
AD total		129		122		131
AST 11		2				3
AST 10		3				1
AST 9		3				2
AST 8		7				6
AST 7		11		2		10
AST 6		16		4		15
AST 5		14		11		17
AST 4		1		31		5
AST 3						
AST 2				4		
AST 1				9		
AST total		57		61		59
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>186</b>		<b>183</b>		<b>190</b>
<b>Total staff</b>	<b>186</b>		<b>183</b>		<b>190</b>	

## European Food Safety Authority (EFSA)

Function group and grade	European Food Safety Authority (EFSA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14		2		1		2
AD 13		2		1		2
AD 12	1	15		3	1	15
AD 11		11		5		11
AD 10	1	16		8	1	16
AD 9	1	42		30	1	41
AD 8		54		58		53
AD 7	1	57	3	44	1	59
AD 6	1	17	2	45	1	21
AD 5		8		15		11
AD total	5	225	5	210	5	232
AST 11						
AST 10						
AST 9						
AST 8		3				3
AST 7		4		2		4
AST 6		9				8
AST 5		30		14		29
AST 4		26		37		29
AST 3		25		18		25
AST 2		3		39		2
AST 1				5		
AST total		100		115		100
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
Grand total	5	325	5	325	5	332
Total staff	330		330		337	

## European Medicines Agency (EMA)

Function group and grade	European Medicines Agency (EMA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		4		4		4
AD 14		6		6		6
AD 13		9		7		9
AD 12		42		39		42
AD 11		38		36		37
AD 10		44		35		40
AD 9		37		34		36
AD 8		54		47		52
AD 7		54		51		52
AD 6		37		39		36
AD 5		18		29		26
AD total		343		327		340
AST 11		2		2		2
AST 10		5		5		5
AST 9		7		7		7
AST 8		16		14		16
AST 7		19		19		19
AST 6		39		34		39
AST 5		43		36		42
AST 4		49		55		49
AST 3		47		38		43
AST 2		32		33		37
AST 1				10		
AST total		259		253		259
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>602</b>		<b>580</b>		<b>599</b>
<b>Total staff</b>		<b>602</b>		<b>580</b>		<b>599</b>

## Decentralised agencies — Home affairs

## European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (Frontex)

Function group and grade	European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union (Frontex)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14		1		2		1
AD 13		4		4		4
AD 12		11		12		11
AD 11		8		6		8
AD 10		6		6		6
AD 9		8		17		8
AD 8		55		31		55
AD 7		29		13		29
AD 6		21		3		21
AD 5		13		1		13
AD total		157		95		157
AST 11						
AST 10						
AST 9						
AST 8		5		8		5
AST 7		11		11		11
AST 6		14		14		15
AST 5		20		14		20
AST 4		14		3		14
AST 3		4		4		5
AST 2						
AST 1						
AST total		68		54		70
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>225</b>		<b>149</b>		<b>227</b>
<b>Total staff</b>	<b>225</b>		<b>149</b>		<b>227</b>	

**European Police Office (Europol)**

Function group and grade	European Police Office (Europol)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		1
AD 14		1				
AD 13		3		4		3
AD 12		9		5		7
AD 11		15		14		15
AD 10		25		8		16
AD 9		52		46		69
AD 8		106		80		94
AD 7		105		103		108
AD 6		106		116		98
AD 5		17		26		24
AD total		440		403		435
AST 11						
AST 10						
AST 9						
AST 8		1				
AST 7		4		2		3
AST 6		8		7		8
AST 5		8		4		11
AST 4		14		17		20
AST 3		3		3		2
AST 2		2		4		
AST 1						
AST total		40		37		44
AST/SC 6						
AST/SC 5						
AST/SC 4						1
AST/SC 3						1
AST/SC 2						1
AST/SC 1						1
AST/SC total						4
<b>Grand total</b>		<b>480</b>		<b>440</b>		<b>483</b>
<b>Total staff</b>	<b>480</b>		<b>440</b>		<b>483</b>	

## European Police College (CEPOL)

Function group and grade	European Police College (CEPOL) <sup>(1)</sup>					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14						
AD 13		1		1		1
AD 12						
AD 11		1				
AD 10		2		2		2
AD 9		2		3		3
AD 8						
AD 7		2		1		1
AD 6		3				
AD 5		6		9		9
AD total		17		16		16
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6		1				
AST 5		2		2		2
AST 4		4		2		2
AST 3		4		7		7
AST 2						
AST 1						
AST total		11		11		11
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>28</b>		<b>27</b>		<b>27</b>
<b>Total staff</b>	<b>28</b>		<b>27</b>		<b>27</b>	

<sup>(1)</sup> As from 1 July 2016, European Union Agency for Law Enforcement Training (CEPOL).

## European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)

Function group and grade	European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		1
AD 14		1				1
AD 13	1	2		2	1	2
AD 12	4	10	5	7	4	10
AD 11	2	10		4	3	10
AD 10		14		5		14
AD 9		7	1	1		7
AD 8			1	5		
AD 7				11		
AD 6				6		
AD 5						
AD total	7	45	7	42	8	45
AST 11	1				1	
AST 10		2		1		2
AST 9	1	7		2	1	7
AST 8	2	7	1	1	2	7
AST 7	1	6	2	3	1	6
AST 6				2		
AST 5			1	9		
AST 4				3		
AST 3				1		
AST 2						
AST 1			1			
AST total	5	22	5	22	5	22
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>	<b>12</b>	<b>67</b>	<b>12</b>	<b>64</b>	<b>13</b>	<b>67</b>
<b>Total staff</b>	<b>79</b>		<b>76</b>		<b>80</b>	



**European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (eu-LISA)**

Function group and grade	European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (eu-LISA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13		2		2		2
AD 12		3		3		3
AD 11		3		2		1
AD 10		5		3		5
AD 9		9		8		6
AD 8		12		3		10
AD 7		13		22		16
AD 6		14		1		11
AD 5		12		29		20
AD total		74		74		75
AST 11						
AST 10						
AST 9		1				
AST 8		1				1
AST 7		2		2		1
AST 6		6		1		4
AST 5		12		14		12
AST 4		12		4		11
AST 3		10		23		15
AST 2				1		1
AST 1						
AST total		44		45		45
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>118</b>		<b>119</b>		<b>120</b>
<b>Total staff</b>	<b>118</b>		<b>119</b>		<b>120</b>	

## European Asylum Support Office (EASO)

Function group and grade	European Asylum Support Office (EASO)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				
AD 14				1		1
AD 13						
AD 12						
AD 11		1				1
AD 10		9		3		8
AD 9		8		4		9
AD 8		10		8		10
AD 7		28		9		28
AD 6		5		3		5
AD 5		11		6		9
AD total		73		34		71
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5						
AST 4		6		2		6
AST 3		6		6		6
AST 2		2		1		1
AST 1		4		5		5
AST total		18		14		18
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>91</b>		<b>48</b>		<b>89</b>
<b>Total staff</b>		<b>91</b>		<b>48</b>		<b>89</b>

## Decentralised agencies — Language services

## Translation Centre for the Bodies of the European Union

Function group and grade	Translation Centre for the Bodies of the European Union					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1	1		1	1
AD 13						
AD 12	12	7	4	4	11	6
AD 11	10	6	9	7	10	7
AD 10	7	4	7	6	7	5
AD 9	5	11	3	6	2	12
AD 8	7	14	10	5	9	10
AD 7	2	21	2	20	2	21
AD 6	2	25	5	17	3	25
AD 5			0	25		3
AD total	45	89	41	90	45	90
AST 11						
AST 10						
AST 9	3		1	1	2	1
AST 8	3	2	4		4	
AST 7	2	2	2	3	2	3
AST 6	1	2	2	2	1	3
AST 5	2	16	1	9	2	13
AST 4	2	15	3	14	3	16
AST 3		8		12		8
AST 2		5		5		7
AST 1				2		
AST total	13	50	13	48	14	51
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>	<b>58</b>	<b>139</b>	<b>54</b>	<b>138</b>	<b>59</b>	<b>141</b>
<b>Total staff</b>	<b>197</b>		<b>192</b>		<b>200</b>	

## Decentralised agencies — Energy

## Agency for the Cooperation of Energy Regulators (ACER)

Function group and grade	Agency for the Cooperation of Energy Regulators (ACER)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				
AD 14				1		1
AD 13						
AD 12				1		
AD 11		5		3		4
AD 10				1		
AD 9		2		4		2
AD 8		10		5		6
AD 7		10		4		6
AD 6		7		7		7
AD 5		19		11		13
AD total		54		37		39
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5		1		1		1
AST 4		1		3		1
AST 3		13		11		13
AST 2						
AST 1						
AST total		15		15		15
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>69</b>		<b>52</b>		<b>54</b>
<b>Total staff</b>	<b>69</b>		<b>52</b>		<b>54</b>	

## Decentralised agencies — Justice

## European Union Agency for Fundamental Rights (FRA)

Function group and grade	European Union Agency for Fundamental Rights (FRA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1		1		1
AD 14		1				1
AD 13		2		1		2
AD 12		10				10
AD 11				6		
AD 10		14		2		14
AD 9		11		5		11
AD 8		1		9		1
AD 7		5		15		4
AD 6		3		7		2
AD 5						
AD total		48		46		46
AST 11						
AST 10		1				1
AST 9		3				3
AST 8		3		3		3
AST 7		6		4		7
AST 6		12		2		12
AST 5				9		
AST 4		1		8		1
AST 3				2		
AST 2						
AST 1						
AST total		26		28		27
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>74</b>		<b>74</b>		<b>73</b>
<b>Total staff</b>	<b>74</b>		<b>74</b>		<b>73</b>	

## European Institute for Gender Equality (EIGE)

Function group and grade	European Institute for Gender Equality (EIGE)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14						
AD 13		1		1		1
AD 12						
AD 11		1				1
AD 10		1		2		1
AD 9		3				2
AD 8		5		4		5
AD 7		4		4		4
AD 6		4		3		3
AD 5		3		8		6
AD total		22		22		23
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		2				2
AST 6				2		
AST 5		4				3
AST 4				5		1
AST 3						
AST 2						
AST 1						
AST total		6		7		6
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>28</b>		<b>29</b>		<b>29</b>
<b>Total staff</b>	<b>28</b>		<b>29</b>		<b>29</b>	

### The European Union's Judicial Cooperation Unit (Eurojust)

Function group and grade	The European Union's Judicial Cooperation Unit (Eurojust)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13		1		1		1
AD 12						
AD 11						
AD 10		9		4		7
AD 9		8		7		9
AD 8		22		15		20
AD 7		27		11		20
AD 6		12		25		18
AD 5		3		8		4
AD total		83		72		80
AST 11						
AST 10						
AST 9		1		1		1
AST 8						
AST 7						
AST 6		2				1
AST 5		19		2		17
AST 4		62		20		48
AST 3		25		56		42
AST 2		11		45		16
AST 1				3		
AST total		120		127		125
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>203</b>		<b>199</b>		<b>205</b>
<b>Total staff</b>	<b>203</b>		<b>199</b>		<b>205</b>	

## European joint undertakings

### European Joint Undertaking for ITER — Fusion for Energy (F4E)

Function group and grade	European Joint Undertaking for ITER — Fusion for Energy (F4E)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15		1				1
AD 14	1			1		
AD 13	14	5	7	2	13	4
AD 12	16	8	11	2	17	8
AD 11	5	19	2		5	12
AD 10	3	27	5	28	3	30
AD 9	1	20	2	21	2	18
AD 8		34		10		20
AD 7		47	7	40		57
AD 6		40	2	66		30
AD 5			1			
AD total	40	201	37	170	40	180
AST 11	3				3	
AST 10	3		1		3	
AST 9	3		1		3	
AST 8	1		2		1	
AST 7	3		1		1	
AST 6	1	3	2		2	2
AST 5	1	13	3		3	9
AST 4		11	2	7		14
AST 3			2	18		1
AST 2			2			
AST 1			1			
AST total	15	27	17	25	16	26
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>	<b>55</b>	<b>228</b>	<b>54</b>	<b>195</b>	<b>56</b>	<b>206</b>
<b>Total staff</b>	<b>283</b>		<b>249</b>		<b>262</b>	



### Single European Sky Air Traffic Management Research Joint Undertaking (SESAR)

Function group and grade	Single European Sky Air Traffic Management Research Joint Undertaking (SESAR)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12		4		4		4
AD 11						
AD 10		5		4		5
AD 9						
AD 8		5		4		5
AD 7		4		4		4
AD 6		4		4		4
AD 5		10		9		10
AD total		33		30		33
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		1		1		1
AST 6						
AST 5		1		1		1
AST 4						
AST 3		2		2		2
AST 2						
AST 1		2		1		2
AST total		6		5		6
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>39</b>		<b>35</b>		<b>39</b>
<b>Total staff</b>	<b>39</b>		<b>35</b>		<b>39</b>	

### European Institute of Innovation and Technology (EIT)

Function group and grade	European Institute of Innovation and Technology (EIT)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13						
AD 12						
AD 11		1		1		1
AD 10						
AD 9		7		2		5
AD 8		6		8		8
AD 7		9		1		5
AD 6		10		13		14
AD 5				1		
AD total		34		27		34
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5		1				1
AST 4		3		2		2
AST 3		1		3		2
AST 2						
AST 1						
AST total		5		5		5
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>39</b>		<b>32</b>		<b>39</b>
<b>Total staff</b>	<b>39</b>		<b>32</b>		<b>39</b>	

## Executive agencies

## Executive Agency for Small and Medium-sized Enterprises (EASME)

Function group and grade	Executive Agency for Small and Medium-sized Enterprises (EASME)					
	Posts					
	2016 <sup>(1)</sup>		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		4		3		4
AD 13		7		4		11
AD 12		5		2		10
AD 11		6		3		5
AD 10		8		4		8
AD 9		12		7		10
AD 8		15		11		7
AD 7		10		5		8
AD 6		8		6		7
AD 5		14		6		12
AD total		89		51		82
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		2				2
AST 6						
AST 5		4		1		2
AST 4		10		6		8
AST 3		3		3		3
AST 2						
AST 1						
AST total		19		10		15
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>108</b>		<b>61</b>		<b>97</b>
<b>Total staff</b>		<b>108</b>		<b>61</b>		<b>97</b>

<sup>(1)</sup> The establishment plan accepts the following *ad personam* appointments: seconded officials may occupy a post in the establishment plan of the executive agency at a higher grade provided that such higher grade corresponds to their own grade at the Commission. This exception applies only to seconded officials.

**Education, Audiovisual and Culture Executive Agency (EACEA)**

Function group and grade	Education, Audiovisual and Culture Executive Agency (EACEA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		5		3		4
AD 13		6		5		8
AD 12		7		4		3
AD 11		10		2		8
AD 10		11		15		12
AD 9		16		17		19
AD 8		8		10		6
AD 7		6		5		6
AD 6		9		10		10
AD 5		3		3		5
AD total		81		74		81
AST 11		1				1
AST 10		1		1		1
AST 9		1		2		1
AST 8		1				1
AST 7		3		1		
AST 6		2				2
AST 5		10		9		10
AST 4		4		6		9
AST 3		6		8		4
AST 2						
AST 1						
AST total		29		27		29
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>110</b>		<b>101</b>		<b>110</b>
<b>Total staff</b>	<b>110</b>		<b>101</b>		<b>110</b>	

**Consumers, Health, Agriculture and Food Executive Agency (Chafea)**

Function group and grade	Consumers, Health, Agriculture and Food Executive Agency (Chafea)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		1		1		1
AD 13		1		1		1
AD 12		3		1		1
AD 11		2		1		3
AD 10				1		
AD 9						
AD 8		1				1
AD 7				1		1
AD 6		2		2		2
AD 5		3				1
AD total		13		8		11
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		1				1
AST 6				1		
AST 5						
AST 4		1		1		1
AST 3						
AST 2						
AST 1						
AST total		2		2		2
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>15</b>		<b>10</b>		<b>13</b>
<b>Total staff</b>	<b>15</b>		<b>10</b>		<b>13</b>	

## Innovation and Networks Executive Agency (INEA)

Function group and grade	Innovation and Networks Executive Agency (INEA)					
	Posts					
	2016		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		7		4		5 <sup>(1)</sup>
AD 13		9		8		9
AD 12		5		1		3
AD 11		4		3		4
AD 10		3		2		3
AD 9		4		2		4
AD 8		8		5		8
AD 7		10		8		10
AD 6		2		4		3
AD 5		1		1		2
AD total		53		38		51
AST 11						
AST 10						
AST 9						
AST 8						
AST 7		1		1		1
AST 6						
AST 5		2		2		2
AST 4		2		1		2
AST 3		5		2		3
AST 2						
AST 1						
AST total		10		6		8
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>63</b>		<b>44</b>		<b>59</b>
<b>Total staff</b>		<b>63</b>		<b>44</b>		<b>59</b>

<sup>(1)</sup> The establishment plan accepts the following *ad personam* appointments: one AD 14 official becomes AD 15.

## European Research Council Executive Agency (ERCEA)

Function group and grade	European Research Council Executive Agency (ERCEA)					
	Posts					
	2016 <sup>(1)</sup>		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		6		2		7
AD 13		7		9		6
AD 12		3		3		4
AD 11		4		3		4
AD 10		2				2
AD 9		27		17		24
AD 8		42		41		37
AD 7		11		16		16
AD 6		10		7		7
AD 5				1		1
AD total		112		99		108
AST 11						
AST 10						
AST 9						
AST 8						
AST 7						
AST 6						
AST 5						
AST 4						
AST 3						
AST 2						
AST 1						
AST total						
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>112</b>		<b>99</b>		<b>108</b>
<b>Total staff</b>	<b>112</b>		<b>99</b>		<b>108</b>	

<sup>(1)</sup> The establishment plan accepts the following *ad personam* appointments: seconded officials may occupy a post in the establishment plan of the executive agency at a higher grade provided that such higher grade corresponds to their own grade at the Commission. This exception applies only to seconded officials.

**Research Executive Agency (REA)**

Function group and grade	Research Executive Agency (REA)					
	Posts					
	2016 <sup>(1)</sup>		2015			
	Authorised under the Union budget		Actually filled as at 31 December 2014		Authorised under the Union budget	
	Permanent	Temporary	Permanent	Temporary	Permanent	Temporary
AD 16						
AD 15						
AD 14		5		3		5
AD 13		11		7		11
AD 12		6		5		6
AD 11		7		5		6
AD 10		9		6		6
AD 9		15		4		7
AD 8		23		17		20
AD 7		31		20		24
AD 6		31		27		28
AD 5		15		35		34
AD total		153		129		147
AST 11						
AST 10						
AST 9		1				1
AST 8		2		2		2
AST 7						
AST 6		4		1		4
AST 5		2		4		2
AST 4		1		2		1
AST 3				1		
AST 2						
AST 1						
AST total		10		10		10
AST/SC 6						
AST/SC 5						
AST/SC 4						
AST/SC 3						
AST/SC 2						
AST/SC 1						
AST/SC total						
<b>Grand total</b>		<b>163</b>		<b>139</b>		<b>157</b>
<b>Total staff</b>	<b>163</b>		<b>139</b>		<b>157</b>	

<sup>(1)</sup> The establishment plan accepts the following *ad personam* appointments: seconded officials may occupy a post in the establishment plan of the executive agency at a higher grade provided that such higher grade corresponds to their own grade at the Commission. This exception applies only to seconded officials.



*SECTION IV*

**COURT OF JUSTICE OF THE EUROPEAN UNION**

COURT OF JUSTICE OF THE EUROPEAN UNION

**REVENUE****Contribution of the European Union to the financing of the expenditure of the  
Court of Justice for the financial year 2016**

Heading	Amount
Expenditure	378 187 000
Own resources	– 51 505 000
<b>Contribution due</b>	<b>326 682 000</b>

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 40 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 41 — CONTRIBUTIONS TO THE PENSION SCHEME

[illegible]

COURT OF JUSTICE OF THE EUROPEAN UNION

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation on the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

Financial year 2016	Financial year 2015	Financial year 2014
27 907 000	23 694 000	24 715 852,65

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
5 147 000	4 513 000	4 043 655,17

**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 4** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME****4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
18 451 000	16 649 000	16 242 439,35

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or repayment of pension rights by staff*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	3 038 692,84

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 11(2) and Article 48 of Annex VIII thereto.

**4 1 2** *Contributions to the pension scheme by officials and temporary staff on leave on personal grounds*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

COURT OF JUSTICE OF THE EUROPEAN UNION

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTINGS

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 0				
<b>5 0 0</b>	<b><i>Proceeds from the sale of movable property</i></b>				
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.	130 150,09	
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue	p.m.	p.m.	0,—	
	Article 5 0 0 — Total	p.m.	p.m.	130 150,09	
<b>5 0 2</b>	<b><i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i></b>				
	CHAPTER 5 0 — TOTAL	p.m.	p.m.	248 090,44	
	CHAPTER 5 1				
<b>5 1 1</b>	<b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>				
5 1 1 0	Proceeds from letting and subletting immovable property — Assigned revenue	p.m.	p.m.	0,—	
5 1 1 1	Reimbursement of charges connected with lettings — Assigned revenue	p.m.	p.m.	0,—	
	Article 5 1 1 — Total	p.m.	p.m.	0,—	
	CHAPTER 5 1 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 5 2				
<b>5 2 0</b>	<b><i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i></b>				
5 2 2	Interest yielded by pre-financing	p.m.	p.m.	2 779,19	
	CHAPTER 5 2 — TOTAL	p.m.	p.m.	2 779,19	
	CHAPTER 5 5				
<b>5 5 0</b>	<b><i>Revenue from the proceeds of services supplied to or work carried out for other institutions or bodies, including the amount of mission allowances paid on behalf of other institutions or bodies and reimbursed by the latter — Assigned revenue</i></b>				
5 5 1	Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue	p.m.	p.m.	0,—	
	CHAPTER 5 5 — TOTAL	p.m.	p.m.	0,—	

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 7				
5 7 0	<i>Revenue arising from the repayment of sums paid though not due — Assigned revenue</i>	p.m.	p.m.	292 534,14	
5 7 1	<i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>	p.m.	p.m.	0,—	
5 7 3	<i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>	p.m.	p.m.	639 595,21	
	CHAPTER 5 7 — TOTAL	p.m.	p.m.	932 129,35	
	CHAPTER 5 8				
5 8 0	<i>Revenue from payments connected with lettings — Assigned revenue</i>	p.m.	p.m.	0,—	
5 8 1	<i>Revenue from insurance payments received — Assigned revenue</i>	p.m.	p.m.	282 748,11	
	CHAPTER 5 8 — TOTAL	p.m.	p.m.	282 748,11	
	CHAPTER 5 9				
5 9 0	<i>Other revenue from administrative operations</i>	p.m.	p.m.	0,—	
	CHAPTER 5 9 — TOTAL	p.m.	p.m.	0,—	
	Title 5 — Total	p.m.	p.m.	1 465 747,09	

COURT OF JUSTICE OF THE EUROPEAN UNION

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	130 150,09

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution. It records also the proceeds from the sale of vehicles that are being replaced or scrapped when the book value is fully depreciated.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 0 2 *Proceeds from the sale of publications, printed works and films — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	117 940,35

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.



**CHAPTER 5 1 — PROCEEDS FROM LETTINGS****5 1 1 *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*****5 1 1 0** Proceeds from letting and subletting immovable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the original expenditure giving rise to the corresponding revenue.

**5 1 1 1** Reimbursement of charges connected with lettings — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the original expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0 *Revenue from investments or loans granted, bank and other interest on the institution's accounts***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	2 779,19

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest.

**5 2 2 *Interest yielded by pre-financing***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

**CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT****5 5 0 *Revenue from the proceeds of services supplied to or work carried out for other institutions or bodies, including the amount of mission allowances paid on behalf of other institutions or bodies and reimbursed by the latter — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

## COURT OF JUSTICE OF THE EUROPEAN UNION

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT (cont'd)

## 5 5 0 (cont'd)

## Remarks

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 5 1 *Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

## Remarks

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

5 7 0 *Revenue arising from the repayment of sums paid though not due — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	292 534,14

## Remarks

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 7 1 *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

## Remarks

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (*cont'd*)

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	639 595,21

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**5 8 0** *Revenue from payments connected with lettings — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 8 1** *Revenue from insurance payments received — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	282 748,11

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

**5 9 0** *Other revenue from administrative operations*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record other revenue from administrative operations.

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## TITLE 9

## MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
9 0 0	CHAPTER 9 0				
	Miscellaneous revenue	p.m.	p.m.	230,66	
	CHAPTER 9 0 — TOTAL	p.m.	p.m.	230,66	
	Title 9 — Total	p.m.	p.m.	230,66	
	GRAND TOTAL	51 505 000	44 856 000	49 506 617,76	96,12

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0*****Miscellaneous revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	230,66

COURT OF JUSTICE OF THE EUROPEAN UNION

**EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>1</b>	<b>PERSONS WORKING WITH THE INSTITUTION</b>			
1 0	MEMBERS OF THE INSTITUTION	33 539 500	28 560 000	33 960 931,78
	<i>Reserves (10 0)</i>		2 000 000	
		33 539 500	30 560 000	33 960 931,78
1 2	OFFICIALS AND TEMPORARY STAFF	233 035 500	217 148 000	211 373 200,09
1 4	OTHER STAFF AND EXTERNAL SERVICES	19 390 000	18 354 000	16 850 474,12
1 6	OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION	6 121 500	5 200 500	5 272 753,76
	<b>Title 1 — Total</b>	<b>292 086 500</b>	<b>269 262 500</b>	<b>267 457 359,75</b>
	<i>Reserves (10 0)</i>		2 000 000	
		<b>292 086 500</b>	<b>271 262 500</b>	<b>267 457 359,75</b>
<b>2</b>	<b>BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b>			
2 0	BUILDINGS AND ASSOCIATED COSTS	61 068 000	62 092 000	60 492 157,98
2 1	DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE	20 294 000	18 882 500	19 068 130,23
2 3	CURRENT ADMINISTRATIVE EXPENDITURE	1 460 500	1 471 500	1 353 939,97
2 5	EXPENDITURE ON MEETINGS AND CONFERENCES	521 500	599 500	558 179,64
2 7	INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION	2 697 500	2 700 000	2 753 356,33
	<b>Title 2 — Total</b>	<b>86 041 500</b>	<b>85 745 500</b>	<b>84 225 764,15</b>
<b>3</b>	<b>EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION</b>			
3 7	EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES	59 000	54 000	17 059,64
	<b>Title 3 — Total</b>	<b>59 000</b>	<b>54 000</b>	<b>17 059,64</b>
<b>10</b>	<b>OTHER EXPENDITURE</b>			
10 0	PROVISIONAL APPROPRIATIONS	p.m.	2 000 000	0,—
10 1	CONTINGENCY RESERVE	p.m.	p.m.	0,—
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>2 000 000</b>	<b>0,—</b>
	<b>GRAND TOTAL</b>	<b>378 187 000</b>	<b>357 062 000</b>	<b>351 700 183,54</b>

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 0				
<b>1 0 0</b>	<b>Remunerations and other entitlements</b>				
1 0 0 0	Remunerations and allowances				
	Non-differentiated appropriations	28 345 000	23 916 500	23 649 843,73	83,44
	Reserves (10 0)		2 000 000		
		28 345 000	25 916 500	23 649 843,73	
1 0 0 2	Entitlements on entering the service, transfer and leaving the service				
	Non-differentiated appropriations	1 595 000	1 449 000	170 785,50	10,71
	Article 1 0 0 — Total	29 940 000	25 365 500	23 820 629,23	79,56
	Reserves (10 0)		2 000 000		
		29 940 000	27 365 500	23 820 629,23	
<b>1 0 2</b>	<b>Temporary allowances</b>				
	Non-differentiated appropriations	2 718 000	2 447 000	2 281 286,31	83,93
<b>1 0 3</b>	<b>Pensions</b>				
	Non-differentiated appropriations	p.m.	p.m.	7 249 383,56	
<b>1 0 4</b>	<b>Missions</b>				
	Non-differentiated appropriations	342 000	295 500	293 000,—	85,67
<b>1 0 6</b>	<b>Training</b>				
	Non-differentiated appropriations	539 500	452 000	316 632,68	58,69
<b>1 0 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 0 — TOTAL	33 539 500	28 560 000	33 960 931,78	101,26
	Reserves (10 0)		2 000 000		
		33 539 500	30 560 000	33 960 931,78	
	CHAPTER 1 2				
<b>1 2 0</b>	<b>Remunerations and other entitlements</b>				
1 2 0 0	Remunerations and allowances				
	Non-differentiated appropriations	229 849 000	213 814 000	208 553 105,83	90,73
1 2 0 2	Paid overtime				
	Non-differentiated appropriations	664 000	656 000	629 490,37	94,80

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**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES****CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 2 0</b>	( <i>cont'd</i> )				
1 2 0 4	Entitlements related to entering the service, transfer and leaving the service				
	Non-differentiated appropriations	2 292 500	2 448 000	2 190 603,89	95,56
	Article 1 2 0 — Total	232 805 500	216 918 000	211 373 200,09	90,79
<b>1 2 2</b>	<b>Allowances upon early termination of service</b>				
1 2 2 0	Allowances for staff retired in the interests of the service				
	Non-differentiated appropriations	230 000	230 000	0,—	
1 2 2 2	Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	Article 1 2 2 — Total	230 000	230 000	0,—	
<b>1 2 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 2 — TOTAL	233 035 500	217 148 000	211 373 200,09	90,70
	CHAPTER 1 4				
<b>1 4 0</b>	<b>Other staff and external persons</b>				
1 4 0 0	Other staff				
	Non-differentiated appropriations	6 271 500	5 955 000	5 767 688,48	91,97
1 4 0 4	In-service training and staff exchanges				
	Non-differentiated appropriations	697 000	688 000	500 500,—	71,81
1 4 0 5	Other external services				
	Non-differentiated appropriations	263 000	261 500	237 415,—	90,27
1 4 0 6	External services in the linguistic field				
	Non-differentiated appropriations	12 158 500	11 449 500	10 344 870,64	85,08
	Article 1 4 0 — Total	19 390 000	18 354 000	16 850 474,12	86,90
<b>1 4 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 4 — TOTAL	19 390 000	18 354 000	16 850 474,12	86,90
	CHAPTER 1 6				
<b>1 6 1</b>	<b>Expenditure relating to staff management</b>				
1 6 1 0	Miscellaneous expenditure for staff recruitment				
	Non-differentiated appropriations	216 000	207 000	147 370,86	68,23



Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 6 1</b>	( <i>cont'd</i> )				
1 6 1 2	Further training				
	Non-differentiated appropriations	1 764 500	1 540 500	1 493 264,48	84,63
	<i>Article 1 6 1 — Total</i>	1 980 500	1 747 500	1 640 635,34	82,84
<b>1 6 2</b>	<b>Missions</b>				
	Non-differentiated appropriations	361 500	349 000	348 000,—	96,27
<b>1 6 3</b>	<b>Expenditure on staff of the institution</b>				
1 6 3 0	Social welfare				
	Non-differentiated appropriations	21 000	21 000	5 250,—	25,00
1 6 3 2	Social contacts between members of staff and other welfare expenditure				
	Non-differentiated appropriations	284 500	271 000	240 959,98	84,70
	<i>Article 1 6 3 — Total</i>	305 500	292 000	246 209,98	80,59
<b>1 6 5</b>	<b>Activities relating to all persons working with the institution</b>				
1 6 5 0	Medical service				
	Non-differentiated appropriations	188 500	182 000	139 834,65	74,18
1 6 5 2	Restaurants and canteens				
	Non-differentiated appropriations	80 000	80 000	80 073,79	100,09
1 6 5 4	Early Childhood Centre				
	Non-differentiated appropriations	3 184 500	2 550 000	2 818 000,—	88,49
1 6 5 6	European Schools				
	Non-differentiated appropriations	21 000			
	<i>Article 1 6 5 — Total</i>	3 474 000	2 812 000	3 037 908,44	87,45
	CHAPTER 1 6 — TOTAL	6 121 500	5 200 500	5 272 753,76	86,13
	<b>Title 1 — Total</b>	<b>292 086 500</b>	<b>269 262 500</b>	<b>267 457 359,75</b>	<b>91,57</b>
	<b>Reserves (10 0)</b>		<b>2 000 000</b>		
		<b>292 086 500</b>	<b>271 262 500</b>	<b>267 457 359,75</b>	

COURT OF JUSTICE OF THE EUROPEAN UNION

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

1 0 0 *Remunerations and other entitlements*

## 1 0 0 0 Remunerations and allowances

	Appropriations 2016	Appropriations 2015	Outturn 2014
1 0 0 0	28 345 000	23 916 500	23 649 843,73
Reserves (10 0)		2 000 000	
Total	28 345 000	25 916 500	23 649 843,73

*Remarks*

This appropriation is intended to cover, for the Members of the institution:

- basic salaries,
- residence allowances,
- family allowances, namely household allowance, dependent child allowance and education allowance,
- entertainment and service allowances,
- employer's contribution (0,87 %) for insurance against accidents and occupational disease, employer's contribution (3,4 %) for sickness insurance,
- birth grants,
- allowances provided for in the event of death of a Member of the institution,
- weightings applicable to basic salaries, residence allowances, family allowances and transfers abroad of part of the remuneration of Members of the institution (application, by analogy, of Article 17 of Annex VII to the Staff Regulations of Officials of the European Union).

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 3, 4, 4a, 11 and 14 thereof.

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 0** (*cont'd*)**1 0 0 2** Entitlements on entering the service, transfer and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
1 595 000	1 449 000	170 785,50

*Remarks*

This appropriation is intended to cover:

- travel expenses of Members of the institution (including family members) on entering the service or leaving the institution,
- installation and resettlement allowances payable to Members of the institution on taking up or leaving their appointments,
- removal expenses payable to Members of the institution on taking up or leaving their appointments.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 5 thereof.

**1 0 2** ***Temporary allowances***

Appropriations 2016	Appropriations 2015	Outturn 2014
2 718 000	2 447 000	2 281 286,31

*Remarks*

This appropriation is intended to cover temporary allowances, family allowances and weightings applicable for the country of residence of former Members of the institution.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 7 thereof.

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)

## 1 0 3

**Pensions**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	7 249 383,56

*Remarks*

This appropriation is intended to cover:

- retirement pensions of former Members of the institution together with the weightings applicable for their country of residence,
- invalidity pensions,
- survivors' pensions for surviving spouses and/or orphans of former Members of the institution, together with the weightings applicable for their country of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.

## 1 0 4

**Missions**

Appropriations 2016	Appropriations 2015	Outturn 2014
342 000	295 500	293 000,—

*Remarks*

This appropriation is intended to cover travel expenses, daily subsistence allowances and ancillary or special costs incurred for missions.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 6 thereof.

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 6**      **Training**

Appropriations 2016	Appropriations 2015	Outturn 2014
539 500	452 000	316 632,68

*Remarks*

This appropriation is intended to cover the costs of language or other training courses for Members of the institution.

**1 0 9**      **Provisional appropriation**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the effect of any adjustments in remuneration in the course of the financial year.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF***Remarks*

A standard abatement of 3,2 % was applied to the appropriations entered in this chapter.

**1 2 0**      **Remunerations and other entitlements****1 2 0 0**      Remunerations and allowances

Appropriations 2016	Appropriations 2015	Outturn 2014
229 849 000	213 814 000	208 553 105,83

*Remarks*

This appropriation is intended to cover:

— basic salaries of officials and temporary staff,

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)1 2 0 0 (*cont'd*)

- family allowances, comprising household allowances, dependent child allowances, education allowances, for officials and temporary staff,
- expatriation and foreign residence allowances for officials and temporary staff,
- secretarial allowances for officials in grade AST employed as shorthand typists, telex operators, typists, executive secretaries or principal secretaries,
- employer's contribution to sickness insurance (3,4 % of basic salary); the employee's contribution is 1,7 % of the basic salary,
- employer's contribution to insurance against accidents and occupational diseases (0.5% of the basic salary) and supplementary expenses resulting from the application of the relevant provisions of the Staff Regulations,
- unemployment insurance for temporary staff,
- payments to be made by the institution for the benefit of temporary staff in order to constitute or maintain pension rights for them in their country of origin,
- birth grants and the payment on the death of an official of his or her full salary up to the end of the third month after death together with the cost of transporting the body to the deceased's place of origin,
- travel expenses for annual leave of officials or temporary staff, their spouses and their dependants, from their place of employment to their place of origin,
- dismissal compensation for probationary officials dismissed for manifest unsuitability, allowance on termination of contract by the institution for temporary staff, reimbursement of pension contributions for former auxiliary staff appointed as temporary agents or as officials,
- impact of the weightings applicable to the remuneration of officials and auxiliary staff and to overtime,
- accommodation and transport allowances,
- fixed duty allowances,
- fixed local travel allowances,
- allowances for round-the-clock or shift duties or for standby duty at work and/or at home.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 2 0** (*cont'd*)1 2 0 0 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 62, 64, 65, 66, 67 and 68 thereof and Section I of Annex VII thereto, Article 69 thereof and Article 4 of Annex VII thereto, Article 18 of Annex XIII thereto, Articles 72 and 73 thereof and Article 15 of Annex VIII thereto, Articles 70, 74 and 75 thereof and Article 8 of Annex VII thereto, and Article 34 thereof.

Conditions of Employment of Other Servants of the European Union, and in particular Articles 28a, 42, 47 and 48 thereof.

Joint rules on sickness insurance for officials of the European Communities, and in particular Article 23 thereof.

1 2 0 2 Paid overtime

Appropriations 2016	Appropriations 2015	Outturn 2014
664 000	656 000	629 490,37

*Remarks*

This appropriation is intended to cover flat-rate allowances and hourly rates for overtime worked by officials and auxiliary staff and by local staff, which could not be compensated for, as envisaged, by time off.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

1 2 0 4 Entitlements related to entering the service, transfer and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
2 292 500	2 448 000	2 190 603,89

*Remarks*

This appropriation is intended to cover:

- travel expenses for staff (and their families) on taking up or leaving the service of the institution,
- installation and reinstallation expenses incurred by staff obliged to move after entering the service and by staff who resettle on leaving it,

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)1 2 0 4 (*cont'd*)

- removal expenses incurred by staff obliged to move after entering the service and by staff who resettle on leaving it,
- daily subsistence allowances of staff who show that they have been obliged to move after entering the service.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 20 and 71 thereof and Articles 5, 6, 7, 9 and 10 of Annex VII thereto.

1 2 2 **Allowances upon early termination of service**

1 2 2 0 Allowances for staff retired in the interests of the service

Appropriations 2016	Appropriations 2015	Outturn 2014
230 000	230 000	0,—

*Remarks*

This appropriation is intended to cover allowances to officials assigned non-active status following a reduction in the number of posts in the institution, to officials holding a post in grades AD 16, AD 15 or AD 14 who are retired in the interests of the service, and to officials placed on leave in the interests of the service for organisational needs linked to the acquisition of new competences within the institutions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 42c and 50 thereof, and Annex IV thereto.

1 2 2 2 Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- allowances to be paid in accordance with the Staff Regulations or other Regulations,



**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 2 2** (*cont'd*)**1 2 2 2** (*cont'd*)

— employer's contribution to sickness insurance for persons in receipt of allowances,

— effect of weightings applicable to various allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

**1 2 9** ***Provisional appropriation***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the effect of any adjustments in remuneration in the course of the financial year.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES****1 4 0** ***Other staff and external persons*****1 4 0 0** Other staff

Appropriations 2016	Appropriations 2015	Outturn 2014
6 271 500	5 955 000	5 767 688,48

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 0 (*cont'd*)1 4 0 0 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- remuneration and employer's contribution to the social security scheme of auxiliary staff, auxiliary interpreters, local staff, and auxiliary translators,
- fees and expenses of the special advisers, including the fees of the medical officer,
- expenditure incurred in having recourse to contract agents.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union, and in particular Article 4 and Title V thereof, and Article 5 and Title VI thereof.

1 4 0 4 In-service training and staff exchanges

Appropriations 2016	Appropriations 2015	Outturn 2014
697 000	688 000	500 500,—

*Remarks*

This appropriation is intended to cover:

- expenditure incurred relating to secondment to the services of the Court of Justice of the European Union of officials of Member States or of other national experts,
- grants to students participating in in-service training in the services of the institution.

1 4 0 5 Other external services

Appropriations 2016	Appropriations 2015	Outturn 2014
263 000	261 500	237 415,—

*Remarks*

This appropriation is intended to cover expenses of agency staff where such work cannot be performed by the institution's staff.

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 0** (*cont'd*)**1 4 0 6** External services in the linguistic field

Appropriations 2016	Appropriations 2015	Outturn 2014
12 158 500	11 449 500	10 344 870,64

*Remarks*

This appropriation is intended to cover:

- expenditure related to actions decided on by the Interinstitutional Committee for Translation and Interpretation with a view to promoting interinstitutional cooperation in the sphere of languages,
- payment for freelance interpreters from the European Commission's Directorate-General for Interpretation,
- payment for conference interpreter agents,
- payment for the services of contractual and ad hoc conference staff,
- supplementary services in connection with proofreading, in particular fees and insurance, travel, subsistence and mission costs for freelance proofreaders and ancillary administrative costs,
- expenditure for independent or agency translators or typing and other work done outside the institution for the translation department.

The Court of Justice of the European Union will endeavour to cooperate with the other institutions, by means of an interinstitutional understanding, in order to avoid unnecessary duplication of efforts in translating procedural documents, thereby securing further savings for the general budget of the Union.

**1 4 9** ***Provisional appropriation***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the effect of any adjustments in remuneration in the course of the financial year.

This appropriation is purely provisional and may be used only after its transfer to other articles or items of this chapter in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 9 (*cont'd*)

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

1 6 1 *Expenditure relating to staff management*

## 1 6 1 0 Miscellaneous expenditure for staff recruitment

Appropriations 2016	Appropriations 2015	Outturn 2014
216 000	207 000	147 370,86

*Remarks*

This appropriation is intended to cover the costs of arranging the staff selection procedures arranged by the Court of Justice directly, and the expenditure connected with the candidates' travel and medical examination.

In cases duly justified by operational requirements and after consultation with the European Personnel Selection Office, the appropriation may be used for competitions organised by the institution itself.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 1 6 1 2 Further training

Appropriations 2016	Appropriations 2015	Outturn 2014
1 764 500	1 540 500	1 493 264,48

*Remarks*

This appropriation is intended to cover further professional training and retraining courses, including language courses, run on an interinstitutional basis.

It also covers expenditure on educational and technical equipment.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 2 Missions**

Appropriations 2016	Appropriations 2015	Outturn 2014
361 500	349 000	348 000,—

*Remarks*

This appropriation is intended to cover transport costs, payment of daily subsistence allowances on mission and ancillary or special costs connected with missions.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 11, 12 and 13 of Annex VII thereto.

**1 6 3 Expenditure on staff of the institution****1 6 3 0 Social welfare**

Appropriations 2016	Appropriations 2015	Outturn 2014
21 000	21 000	5 250,—

*Remarks*

This appropriation is intended to cover assistance to be given to staff in particularly difficult circumstances.

It is also intended for the following categories of persons as part of a policy to assist people with disabilities:

- officials and temporary staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It covers reimbursement, to the extent permitted by the budget and after national entitlements in the country of residence or the country of origin have been exhausted, of expenses (other than medical expenses) recognised as necessary, resulting from the disability and supported by documentary evidence.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 76 thereof.

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 3** (*cont'd*)

## 1 6 3 2 Social contacts between members of staff and other welfare expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
284 500	271 000	240 959,98

*Remarks*

This appropriation is intended to:

- give financial encouragement and support to any project aimed at encouraging social contacts between staff of different nationalities, such as subsidies to staff clubs, cultural associations and sports associations,
- cover other assistance and subsidies for staff and their families.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**1 6 5** *Activities relating to all persons working with the institution*

## 1 6 5 0 Medical service

Appropriations 2016	Appropriations 2015	Outturn 2014
188 500	182 000	139 834,65

*Remarks*

This appropriation is intended to cover the expenses of the annual medical examination of all officials, including tests and further medical examinations requested in connection therewith, together with the operating costs of the medical centre.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

## 1 6 5 2 Restaurants and canteens

Appropriations 2016	Appropriations 2015	Outturn 2014
80 000	80 000	80 073,79

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 5** (*cont'd*)1 6 5 2 (*cont'd*)*Remarks*

This appropriation is intended to cover the acquisition and maintenance of equipment in the restaurant and cafeteria, together with part of their operating costs.

It also covers the alteration and renewal costs for restaurant and canteen equipment.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

1 6 5 4 Early Childhood Centre

Appropriations 2016	Appropriations 2015	Outturn 2014
3 184 500	2 550 000	2 818 000,—

*Remarks*

This appropriation is intended to cover the Court's contribution to the Early Childhood Centre and study centre in Luxembourg.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

1 6 5 6 European Schools

Appropriations 2016	Appropriations 2015	Outturn 2014
21 000		

*Remarks*

This appropriation is intended to cover the contribution of the Court of Justice of the European Union to Type-II European Schools accredited by the Board of Governors of the European Schools or the reimbursement of the contribution paid by the Commission on behalf of the Court of Justice for Type-II European Schools accredited by the Board of Governors of the European Schools, in accordance with the service agreement concluded with the Commission. It covers costs relating to children of staff of the Court of Justice falling under the Staff Regulations who are enrolled in such schools.

COURT OF JUSTICE OF THE EUROPEAN UNION

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 2 0				
<b>2 0 0</b>	<b>Buildings</b>				
2 0 0 0	Rent				
	Non-differentiated appropriations	9 776 000	9 288 000	9 503 877,06	97,22
2 0 0 1	Lease/purchase				
	Non-differentiated appropriations	32 390 000	33 644 000	33 160 495,73	102,38
2 0 0 3	Acquisition of immovable property				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 5	Construction of buildings				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 7	Fitting-out of premises				
	Non-differentiated appropriations	500 000	1 025 000	667 283,37	133,46
2 0 0 8	Studies and technical assistance in connection with building projects				
	Non-differentiated appropriations	1 270 000	1 121 000	1 291 011,33	101,65
	<i>Article 2 0 0 — Total</i>	43 936 000	45 078 000	44 622 667,49	101,56
<b>2 0 2</b>	<b>Costs relating to buildings</b>				
2 0 2 2	Cleaning and maintenance				
	Non-differentiated appropriations	7 693 500	7 996 000	7 681 864,13	99,85
2 0 2 4	Energy consumption				
	Non-differentiated appropriations	2 585 500	2 812 000	2 166 141,65	83,78
2 0 2 6	Security and surveillance of buildings				
	Non-differentiated appropriations	6 535 000	5 797 000	5 694 205,03	87,13
2 0 2 8	Insurance				
	Non-differentiated appropriations	103 000	100 000	71 709,93	69,62
2 0 2 9	Other expenditure on buildings				
	Non-differentiated appropriations	215 000	309 000	255 569,75	118,87
	<i>Article 2 0 2 — Total</i>	17 132 000	17 014 000	15 869 490,49	92,63
	<b>CHAPTER 2 0 — TOTAL</b>	61 068 000	62 092 000	60 492 157,98	99,06
	CHAPTER 2 1				
<b>2 1 0</b>	<b>Equipment, operating costs and services related to data-processing and telecommunications</b>				
2 1 0 0	Purchase, servicing and maintenance of equipment and software				
	Non-differentiated appropriations	6 131 500	5 437 000	5 986 158,69	97,63



**CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE**  
(cont'd)

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE**

**CHAPTER 2 5 — EXPENDITURE ON MEETINGS AND CONFERENCES**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>2 1 0</b>	(cont'd)				
2 1 0 2	External services for the operation, creation and maintenance of software and systems				
	Non-differentiated appropriations	10 515 500	10 231 000	10 134 812,60	96,38
2 1 0 3	Telecommunications				
	Non-differentiated appropriations	826 000	804 500	738 478,67	89,40
	<i>Article 2 1 0 — Total</i>	17 473 000	16 472 500	16 859 449,96	96,49
<b>2 1 2</b>	<b>Furniture</b>				
	Non-differentiated appropriations	762 500	722 000	599 934,07	78,68
<b>2 1 4</b>	<b>Technical equipment and installations</b>				
	Non-differentiated appropriations	407 000	238 000	249 414,97	61,28
<b>2 1 6</b>	<b>Vehicles</b>				
	Non-differentiated appropriations	1 651 500	1 450 000	1 359 331,23	82,31
	<b>CHAPTER 2 1 — TOTAL</b>	20 294 000	18 882 500	19 068 130,23	93,96
	CHAPTER 2 3				
<b>2 3 0</b>	<b>Stationery, office supplies and miscellaneous consumables</b>				
	Non-differentiated appropriations	694 000	640 000	649 375,77	93,57
<b>2 3 1</b>	<b>Financial charges</b>				
	Non-differentiated appropriations	50 000	50 000	15 258,75	30,52
<b>2 3 2</b>	<b>Legal expenses and damages</b>				
	Non-differentiated appropriations	70 000	20 000	14 170,—	20,24
<b>2 3 6</b>	<b>Postal charges</b>				
	Non-differentiated appropriations	210 000	304 000	277 556,43	132,17
<b>2 3 8</b>	<b>Other administrative expenditure</b>				
	Non-differentiated appropriations	436 500	457 500	397 579,02	91,08
	<b>CHAPTER 2 3 — TOTAL</b>	1 460 500	1 471 500	1 353 939,97	92,70
	CHAPTER 2 5				
<b>2 5 2</b>	<b>Reception and representation expenses</b>				
	Non-differentiated appropriations	138 000	129 000	138 384,49	100,28
<b>2 5 4</b>	<b>Meetings, congresses, conferences and visits</b>				
	Non-differentiated appropriations	383 500	320 500	276 604,57	72,13

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
2 5 6	<b>Expenditure on information and on participation in public events</b>				
	Non-differentiated appropriations	p.m.	150 000	143 190,58	
2 5 7	<b>Legal information service</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 2 5 — TOTAL	521 500	599 500	558 179,64	107,03
	CHAPTER 2 7				
2 7 0	<b>Limited consultations, studies and surveys</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 7 2	<b>Documentation, library and archiving expenditure</b>				
	Non-differentiated appropriations	1 426 000	1 385 000	1 340 123,05	93,98
2 7 4	<b>Production and distribution of information</b>				
2 7 4 0	Official Journal				
	Non-differentiated appropriations	500 000	500 000	591 750,—	118,35
2 7 4 1	General publications				
	Non-differentiated appropriations	615 000	815 000	821 483,28	133,57
2 7 4 2	Other information expenditure				
	Non-differentiated appropriations	156 500			
	Article 2 7 4 — Total	1 271 500	1 315 000	1 413 233,28	111,15
	CHAPTER 2 7 — TOTAL	2 697 500	2 700 000	2 753 356,33	102,07
	Title 2 — Total	86 041 500	85 745 500	84 225 764,15	97,89

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

2 0 0 *Buildings*

## 2 0 0 0 Rent

Appropriations 2016	Appropriations 2015	Outturn 2014
9 776 000	9 288 000	9 503 877,06

*Remarks*

This appropriation is intended to cover rent for the buildings occupied by the institution.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 0 0 1 Lease/purchase

Appropriations 2016	Appropriations 2015	Outturn 2014
32 390 000	33 644 000	33 160 495,73

*Remarks*

This appropriation is intended to cover payments in respect of buildings under lease/purchase contracts.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 0 0 3 Acquisition of immovable property

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

## 2 0 0 5 Construction of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended for any entry of appropriations for the construction of buildings.

## 2 0 0 7 Fitting-out of premises

Appropriations 2016	Appropriations 2015	Outturn 2014
500 000	1 025 000	667 283,37

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS (*cont'd*)2 0 0 (*cont'd*)2 0 0 7 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- various kinds of fitting-out work, including in particular the installation of partitions, curtains, cables, painting, wall coverings, floor coverings, suspended ceilings and the related technical installations,
- expenditure relating to work resulting from studies and assistance.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 0 8 Studies and technical assistance in connection with building projects

Appropriations 2016	Appropriations 2015	Outturn 2014
1 270 000	1 121 000	1 291 011,33

*Remarks*

This appropriation is intended to cover the expenditure relating to studies and technical assistance in connection with large-scale building projects.

2 0 2 **Costs relating to buildings**

2 0 2 2 Cleaning and maintenance

Appropriations 2016	Appropriations 2015	Outturn 2014
7 693 500	7 996 000	7 681 864,13

*Remarks*

This appropriation is intended to cover maintenance and cleaning costs, in accordance with the current contracts, relating to the premises and technical installations together with expenditure on the work and equipment necessary for the general maintenance (repainting, repairs, etc.) of the buildings occupied by the institution.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 2 4 Energy consumption

Appropriations 2016	Appropriations 2015	Outturn 2014
2 585 500	2 812 000	2 166 141,65

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2** (*cont'd*)**2 0 2 4** (*cont'd*)*Remarks*

This appropriation is intended to cover consumption of water, gas, electricity and heating fuel.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 120 000.

**2 0 2 6** Security and surveillance of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
6 535 000	5 797 000	5 694 205,03

*Remarks*

This appropriation is intended to cover, in essence, security costs for the buildings occupied by the institution.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 0 2 8** Insurance

Appropriations 2016	Appropriations 2015	Outturn 2014
103 000	100 000	71 709,93

*Remarks*

This appropriation is intended to cover insurance premiums payable under the insurance policies taken out for the buildings occupied by the institution.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 0 2 9** Other expenditure on buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
215 000	309 000	255 569,75

*Remarks*

This appropriation is intended to cover other running costs not specially provided for in other articles of this chapter, in particular road charges, sanitation, refuse collection, road signs, etc.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## COURT OF JUSTICE OF THE EUROPEAN UNION

## CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE

**2 1 0** *Equipment, operating costs and services related to data-processing and telecommunications***2 1 0 0** Purchase, servicing and maintenance of equipment and software

Appropriations 2016	Appropriations 2015	Outturn 2014
6 131 500	5 437 000	5 986 158,69

*Remarks*

This appropriation is intended to cover the acquisition, replacement, hire, repair and maintenance of all equipment and installations connected with data-processing, electronic office systems and telephony (including fax machines, and video-conference and multimedia equipment), and also interpreting equipment and facilities, such as booths, headsets, and switching units for simultaneous interpreting facilities.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 1 0 2** External services for the operation, creation and maintenance of software and systems

Appropriations 2016	Appropriations 2015	Outturn 2014
10 515 500	10 231 000	10 134 812,60

*Remarks*

This appropriation is intended to cover computer analysis and programming.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 1 0 3** Telecommunications

Appropriations 2016	Appropriations 2015	Outturn 2014
826 000	804 500	738 478,67

*Remarks*

This appropriation is intended to cover all expenditure related to telecommunications, such as subscriptions and communication costs (fixed and mobile).

It also covers expenditure related to data-transmission networks.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

**2 1 2** *Furniture*

Appropriations 2016	Appropriations 2015	Outturn 2014
762 500	722 000	599 934,07

**CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE** (*cont'd*)**2 1 2** (*cont'd*)*Remarks*

This appropriation is intended to cover:

- purchase of extra furniture,
- replacement of some of the furniture which is at least 15 years old and of the furniture which is not repairable,
- hire of furniture,
- maintenance and repair of furniture.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 1 4** **Technical equipment and installations**

Appropriations 2016	Appropriations 2015	Outturn 2014
407 000	238 000	249 414,97

*Remarks*

This appropriation is intended to cover:

- purchase of technical equipment,
- replacement of technical equipment, in particular of audiovisual, archive and library equipment and various tools for maintenance workshops for buildings, and reproduction, dissemination and mailing equipment,
- hire of technical equipment and installations,
- maintenance and repair of the equipment referred to in this article.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 1 6** **Vehicles**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 651 500	1 450 000	1 359 331,23

*Remarks*

This appropriation is intended to cover:

- acquisition of vehicles,

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE (*cont'd*)2 1 6 (*cont'd*)

- replacement of vehicles which have the most mileage in excess of 120 000 kilometres,
- cost of renting and operating hired vehicles,
- maintenance, repair, garaging, parking fees, motorway charges and insurance for the service fleet.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 40 000.

## CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE

2 3 0 *Stationery, office supplies and miscellaneous consumables*

Appropriations 2016	Appropriations 2015	Outturn 2014
694 000	640 000	649 375,77

*Remarks*

This appropriation is intended to cover the purchase of the stationery and office supplies:

- xerox, photocopy and invoice paper,
- paper and office supplies,
- duplicating equipment supplies,
- supplies for the general circulation of information and for mailing,
- sound-recording supplies,
- printed matter and forms,
- computer and electronic office equipment supplies,
- other supplies and material not recorded in the inventory.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 000.

2 3 1 *Financial charges*

Appropriations 2016	Appropriations 2015	Outturn 2014
50 000	50 000	15 258,75



**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 1** (*cont'd*)*Remarks*

This appropriation is intended to cover banking charges (commission, agios, miscellaneous charges) and other financial charges.

Bank interest accruing on the institution's account is listed under revenue.

**2 3 2** *Legal expenses and damages*

Appropriations 2016	Appropriations 2015	Outturn 2014
70 000	20 000	14 170,—

*Remarks*

This appropriation is intended to cover, inter alia, the fees of lawyers which the institution must pay in consideration for professional services supplied to it or as reimbursement of costs which the institution must bear in implementation of a court order, and damages.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 3 6** *Postal charges*

Appropriations 2016	Appropriations 2015	Outturn 2014
210 000	304 000	277 556,43

*Remarks*

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 3 8** *Other administrative expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
436 500	457 500	397 579,02

*Remarks*

This appropriation is intended to cover:

- various kinds of insurance (in particular civil liability, theft, risks connected with word-processing equipment, electrical liability),
- purchase, maintenance and cleaning of, in particular, judicial robes, uniforms for ushers and drivers, working clothes for staff responsible for the reproduction of documents and maintenance staff,

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE (*cont'd*)2 3 8 (*cont'd*)

- miscellaneous expenditure for internal meetings,
- removal and handling costs for equipment, furniture and office supplies,
- operating expenditure incurred by service providers,
- other running costs not specially provided for in the preceding items.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## CHAPTER 2 5 — EXPENDITURE ON MEETINGS AND CONFERENCES

2 5 2 *Reception and representation expenses*

Appropriations 2016	Appropriations 2015	Outturn 2014
138 000	129 000	138 384,49

*Remarks*

This appropriation is intended to cover expenditure connected with the institution's duties as host and representative, and reception and representation expenses for members of staff.

2 5 4 *Meetings, congresses, conferences and visits*

Appropriations 2016	Appropriations 2015	Outturn 2014
383 500	320 500	276 604,57

*Remarks*

This appropriation is intended to cover principally the organisation, with the collaboration of the Ministries of Justice, of seminars and other training programmes at the seat of the institution for members of the judiciary and the legal profession from the Member States.

Meetings with members of the national higher courts and with specialists in Union law are necessary in order to facilitate the development of the case-law of the institution concurrently with that of the national courts in matters connected with Union law.

This appropriation is also intended to cover travel and subsistence expenses for participants and other organisation costs.

Finally, this appropriation is intended also to fund visits by groups of visitors who are not law professionals, and especially students.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

CHAPTER 2 5 — EXPENDITURE ON MEETINGS AND CONFERENCES (*cont'd*)**2 5 6** *Expenditure on information and on participation in public events*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	1 50 000	143 190,58

*Remarks*

This appropriation is intended to cover the purchase and publication of works of general interest on Union law, other expenditure on the dissemination of information and photographic costs, and contributions made for visits to the institution.

As of 2016, the appropriations are transferred to Article 2 5 4 as far as expenditure on visits is concerned and to Item 2 7 4 2 as far as expenditure on information is concerned.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 5 7** *Legal information service*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover contributions which the Commission may ask the institutions to make towards the costs of the legal information service (augmenting and distributing the interinstitutional database).

## CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION

**2 7 0** *Limited consultations, studies and surveys*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

**2 7 2** *Documentation, library and archiving expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 426 000	1 385 000	1 340 123,05

*Remarks*

This appropriation is intended to cover:

- acquisition of books, documents and other publications and updates for existing volumes,
- entry and purchase of computerised legal data,

## COURT OF JUSTICE OF THE EUROPEAN UNION

CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION (*cont'd*)2 7 2 (*cont'd*)

- special equipment for the library,
- subscriptions to newspapers, non-specialised periodicals and various bulletins,
- subscriptions to news agencies,
- binding and upkeep of library books,
- access charges for certain legal databases.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 7 4 ***Production and distribution of information***

## 2 7 4 0 Official Journal

Appropriations 2016	Appropriations 2015	Outturn 2014
500 000	500 000	591 750,—

*Remarks*

This appropriation is intended to cover the cost of publication of material in the *Official Journal of the European Union*.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 7 4 1 General publications

Appropriations 2016	Appropriations 2015	Outturn 2014
615 000	815 000	821 483,28

*Remarks*

This appropriation is intended to cover, in particular, printing and distribution costs for the reports of cases before the Court, including cases before the General Court, together with the source index of Union case-law.

This appropriation is also intended to cover publication costs for the annual report of the Court and other brochures produced by the Court for visitors.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION** (*cont'd*)**2 7 4** (*cont'd*)**2 7 4 2** Other information expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
1 56 500		

*Remarks*

This appropriation is intended to cover the purchase and publication of works of general interest on Union law, other expenditure on the dissemination of information, on communication and on photographic costs. It is also intended to be used to facilitate the organisation of meetings with journalists, editors of legal periodicals or researchers from third countries.

The corresponding appropriations were previously entered in Article 2 5 6.

COURT OF JUSTICE OF THE EUROPEAN UNION

### TITLE 3

### EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 37 — EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES

[illegible]

**TITLE 3****EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION****CHAPTER 3 7 — EXPENDITURE RELATING TO CERTAIN INSTITUTIONS AND BODIES****3 7 1      *Special expenditure of the Court of Justice of the European Union*****3 7 1 0      Court's expenses**

Appropriations 2016	Appropriations 2015	Outturn 2014
59 000	54 000	17 059,64

*Remarks*

This appropriation is to ensure the proper administration of justice in all cases of legal aid and for all expenses of witnesses and expert witnesses, of the cost of inspection of places or things involved in proceedings and of letters rogatory, legal representation and other costs which the institution may have to bear.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**3 7 1 1      Arbitration Committee provided for in Article 18 of the Euratom Treaty**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

COURT OF JUSTICE OF THE EUROPEAN UNION

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS****CHAPTER 10 1 — CONTINGENCY RESERVE**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 10 0	p.m.	2 000 000	0,—	
	CHAPTER 10 0 — TOTAL	p.m.	2 000 000	0,—	
	CHAPTER 10 1	p.m.	p.m.	0,—	
	CHAPTER 10 1 — TOTAL	p.m.	p.m.	0,—	
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>2 000 000</b>	<b>0,—</b>	
	<b>GRAND TOTAL</b>	<b>378 187 000</b>	<b>357 062 000</b>	<b>351 700 183,54</b>	<b>93,00</b>



**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	2 000 000	0,—

*Remarks*

Already in 2011, the Court of Justice of the European Union presented to the European Parliament and the Council a proposal for an increase in the number of Judges at the General Court. It renewed and strengthened that proposal in October 2014 with all the more gravity because several actions had been brought during 2014 against the excessive duration of proceedings before the General Court, on the basis of Article 47 of the Charter of Fundamental Rights, including very substantial claims for damages.

The Court's new proposal confirms the necessity for the immediate reinforcement of the General Court by the addition of 12 Judges, but also forms part of a longer perspective of structural change to the General Court and of simplification of the judicial architecture of the Union, that provides for the merging of the Civil Service Tribunal with its seven Judges, with the General Court and then, as of 2019, for a further addition of nine Judges.

In the financial year 2016, the following budgetary consequences must be allowed for:

- the appropriations required for a period of 12 months for the reinforcement of the General Court by 12 additional Judges, and
- the appropriations to be provided for the merging of the Civil Service Tribunal with the General Court from 1 September 2016.

Pending the decision of the European Parliament and the Council, the necessary budget appropriations are entered in the form of a reserve in Title 10 of the Court's budget; those appropriations can then be released only with the authorisation of the budgetary authority.

**CHAPTER 10 1 — CONTINGENCY RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

## COURT OF JUSTICE OF THE EUROPEAN UNION

## STAFF

## Section IV — Court of Justice of the European Union

Function group and grades	Court of Justice of the European Union			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	5	—	5	—
AD 15	10	1	10	1
AD 14	64 <sup>(1)</sup>	57 <sup>(1)</sup>	45 <sup>(1)</sup>	49 <sup>(1)</sup>
AD 13	101	—	120	—
AD 12	99 <sup>(2)</sup>	86	99 <sup>(2)</sup>	75
AD 11	50	97	50	82
AD 10	122	44	80	38
AD 9	182	2	222	2
AD 8	119	1	79	1
AD 7	139	—	160	—
AD 6	16	—	19	—
AD 5	43	28	48	28
Total	950	316	937	276
AST 11	10	—	10	—
AST 10	17	1	17	1
AST 9	32	—	32	—
AST 8	63	5	63	5
AST 7	48	31	48	31
AST 6	48	28	52	24
AST 5	105	47	83	51
AST 4	94	59	102	42
AST 3	126	27	131	13
AST 2	41	5	32	5
AST 1	3	—	—	—
Total	587	203	570	172
AST/SC 6	—	—	—	—
AST/SC 5	—	—	—	—
AST/SC 4	—	—	—	—
AST/SC 3	—	3	—	3
AST/SC 2	34	—	40	—
AST/SC 1	—	—	—	—
Total	34 <sup>(3)</sup>	3 <sup>(3)</sup>	40 <sup>(3)</sup>	3 <sup>(3)</sup>
<b>General total</b>	<b>1 571 <sup>(4)</sup></b>	<b>522</b>	<b>1 547 <sup>(4)</sup></b>	<b>451</b>
<b>Grand total</b>	<b>2 073 <sup>(5)</sup></b>		<b>1 998 <sup>(6)</sup></b>	

<sup>(1)</sup> Of which one AD 15 *ad personam*.

<sup>(2)</sup> Of which one AD 14 *ad personam*.

<sup>(3)</sup> At this stage, the institution is not able to accurately assess the number of posts required.

<sup>(4)</sup> Not including the contingency reserve, without allocation of appropriations, for officials seconded to Members of the Court of Justice, the General Court or the Civil Service Tribunal (6 AD 12, 12 AD 11, 20 AD 10, 15 AD 7, 11 AST 6, 17 AST 5, 21 AST 4 and 8 AST 3).

<sup>(5)</sup> Certain posts occupied part-time may be made up by the appointment of other staff within the limit of the remaining posts thus left unoccupied by function group.

<sup>(6)</sup> Posts occupied part-time may be made up by appointing other staff to fill the number of posts thus left unoccupied in each category.

*SECTION V*

**COURT OF AUDITORS**

COURT OF AUDITORS

**REVENUE****Contribution of the European Union to the financing of the expenditure of the  
Court of Auditors for the financial year 2016**

Heading	Amount
Expenditure	135 487 100
Own resources	-20 488 000
<b>Contribution due</b>	<b>114 999 100</b>

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 40 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO PENSION SCHEME

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 4 0				
<b>4 0 0</b>	<i>Proceeds from taxation of salaries, wages and allowances of Members of the institution, officials and other servants</i>	11 192 000	10 838 000	10 591 557,52	94,64
<b>4 0 3</b>	<i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>	p.m.	p.m.	0,—	
<b>4 0 4</b>	<i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>	1 800 000	1 750 000	1 782 113,01	99,01
	CHAPTER 4 0 — TOTAL	12 992 000	12 588 000	12 373 670,53	95,24
	CHAPTER 4 1				
<b>4 1 0</b>	<i>Staff contributions to the pension scheme</i>	7 496 000	7 404 000	7 003 322,22	93,43
<b>4 1 1</b>	<i>Transfer or repayment of pension rights by staff</i>	p.m.	p.m.	45 016,82	
<b>4 1 2</b>	<i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>	p.m.	p.m.	0,—	
	CHAPTER 4 1 — TOTAL	7 496 000	7 404 000	7 048 339,04	94,03
	Title 4 — Total	20 488 000	19 992 000	19 422 009,57	94,80

## COURT OF AUDITORS

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation of salaries, wages and allowances of Members of the institution, officials and other servants*

Financial year 2016	Financial year 2015	Financial year 2014
11 192 000	10 838 000	10 591 557,52

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the Members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

**CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS** (*cont'd*)**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
1 800 000	1 750 000	1 782 113,01

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the Members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1).

**CHAPTER 4 1 — CONTRIBUTIONS TO PENSION SCHEME****4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
7 496 000	7 404 000	7 003 322,22

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or repayment of pension rights by staff*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	45 016,82

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 4, Article 11(2) and (3) and Article 48 of Annex VIII thereto.

**4 1 2** *Contributions to the pension scheme by officials and temporary staff on leave on personal grounds*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## COURT OF AUDITORS

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK INTEREST AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORKS

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 0				
<b>5 0 0</b>	<b><i>Proceeds from the sale of movable property (supply of goods)</i></b>				
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.	0,—	
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue (ex Article 5 0 0)	p.m.	p.m.	0,—	
	Article 5 0 0 — Total	p.m.	p.m.	0,—	
<b>5 0 2</b>	<b><i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i></b>				
	CHAPTER 5 0 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 5 1				
<b>5 1 1</b>	<b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>				
5 1 1 0	Proceeds from letting and subletting immovable property — Assigned revenue	p.m.	p.m.	0,—	
5 1 1 1	Reimbursement of charges connected with lettings — Assigned revenue	p.m.	p.m.	0,—	
	Article 5 1 1 — Total	p.m.	p.m.	0,—	
	CHAPTER 5 1 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 5 2				
<b>5 2 0</b>	<b><i>Revenue from investments or loans granted, bank interest and other interest on the institution's accounts</i></b>	p.m.	p.m.	4 955,31	
<b>5 2 2</b>	<b><i>Interest yielded by prefinancing</i></b>	p.m.	p.m.	0,—	
	CHAPTER 5 2 — TOTAL	p.m.	p.m.	4 955,31	
	CHAPTER 5 5				
<b>5 5 0</b>	<b><i>Proceeds from the supply of services and works for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i></b>	p.m.	p.m.	0,—	
<b>5 5 1</b>	<b><i>Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue</i></b>	p.m.	p.m.	0,—	
	CHAPTER 5 5 — TOTAL	p.m.	p.m.	0,—	



**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION**

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 7				
5 7 0	<i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>	p.m.	p.m.	58 185,53	
5 7 1	<i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>	p.m.	p.m.	0,—	
5 7 3	<i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>	p.m.	p.m.	0,—	
	CHAPTER 5 7 — TOTAL	p.m.	p.m.	58 185,53	
	CHAPTER 5 8				
5 8 0	<i>Revenue accruing from rental allowances — Assigned revenue</i>	p.m.	p.m.	0,—	
5 8 1	<i>Revenue from insurance payments received — Assigned revenue</i>	p.m.	p.m.	0,—	
	CHAPTER 5 8 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 5 9				
5 9 0	<i>Other revenue from administrative operations</i>	p.m.	p.m.	0,—	
	CHAPTER 5 9 — TOTAL	p.m.	p.m.	0,—	
	Title 5 — Total	p.m.	p.m.	63 140,84	

## COURT OF AUDITORS

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution.

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue (ex Article 5 0 0)

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record revenue accruing from the sale or part-exchange of movable property other than vehicles belonging to the institution.

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 0 2 *Proceeds from the sale of publications, printed works and films — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article also includes revenue from the sale of such products in electronic format.

**CHAPTER 5 1 — PROCEEDS FROM LETTING****5 1 1 *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*****5 1 1 0** Proceeds from letting and subletting immovable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1 1** Reimbursement of charges connected with lettings — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK INTEREST AND OTHER INTEREST****5 2 0 *Revenue from investments or loans granted, bank interest and other interest on the institution's accounts***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	4 955,31

*Remarks*

This article is intended to record revenue from investments or loans granted, bank interest and other interest on the institution's accounts.

**5 2 2 *Interest yielded by prefinancing***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record revenue from the interest yielded by prefinancing.

## COURT OF AUDITORS

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORKS

**5 5 0** *Proceeds from the supply of services and works for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1** *Revenue from third parties in respect of services supplied or work carried out at their request — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

**5 7 0** *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	58 185,53

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(2) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (*cont'd*)

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**

**5 8 0** *Revenue accruing from rental allowances — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 8 1** *Revenue from insurance payments received — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21(3) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**

**5 9 0** *Other revenue from administrative operations*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record other revenue from administrative operations.

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## TITLE 9

### MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>9 0 0</b>	CHAPTER 9 0				
	Miscellaneous revenue	p.m.	200 000	2 654,55	
	CHAPTER 9 0 — TOTAL	p.m.	200 000	2 654,55	
	Title 9 — Total	p.m.	200 000	2 654,55	
	GRAND TOTAL	20 488 000	20 192 000	19 487 804,96	95,12

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0*****Miscellaneous revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	200 000	2 654,55

***Remarks***

This article is intended to record miscellaneous revenue.

## COURT OF AUDITORS

## EXPENDITURE

## General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>1</b>	<b>PERSONS WORKING WITH THE INSTITUTION</b>			
1 0	MEMBERS OF THE INSTITUTION	10 885 100	10 291 000	14 553 513,15
1 2	OFFICIALS AND TEMPORARY STAFF	98 881 000	97 420 000	92 774 324,61
1 4	OTHER STAFF AND EXTERNAL SERVICES	4 876 000	4 301 000	4 112 827,42
1 6	OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION	6 159 000	6 369 000	5 711 126,62
	<b>Title 1 — Total</b>	<b>120 801 100</b>	<b>118 381 000</b>	<b>117 151 791,80</b>
<b>2</b>	<b>BUILDINGS, MOVABLE PROPERTY, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b>			
2 0	BUILDINGS AND ASSOCIATED COSTS	2 911 000	3 080 000	2 540 734,18
2 1	DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE	8 229 000	7 937 000	8 739 929,13
2 3	CURRENT ADMINISTRATIVE EXPENDITURE	439 000	426 000	532 348,84
2 5	MEETINGS AND CONFERENCES	706 000	717 000	648 735,18
2 7	INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION	2 401 000	2 365 000	2 264 510,21
	<b>Title 2 — Total</b>	<b>14 686 000</b>	<b>14 525 000</b>	<b>14 726 257,54</b>
<b>10</b>	<b>OTHER EXPENDITURE</b>			
10 0	PROVISIONAL APPROPRIATIONS	p.m.	p.m.	0,—
10 1	CONTINGENCY RESERVE	p.m.	p.m.	0,—
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>p.m.</b>	<b>0,—</b>
	<b>GRAND TOTAL</b>	<b>135 487 100</b>	<b>132 906 000</b>	<b>131 878 049,34</b>



## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 0				
<b>1 0 0</b>	<b>Remuneration and other entitlements</b>				
1 0 0 0	Remuneration, allowances and pensions				
	Non-differentiated appropriations	8 741 100	8 567 000	8 824 024,32	100,95
1 0 0 2	Entitlements on entering and leaving the service				
	Non-differentiated appropriations	526 000	72 000	451 952,94	85,92
	<i>Article 1 0 0 — Total</i>	9 267 100	8 639 000	9 275 977,26	100,10
<b>1 0 2</b>	<b>Temporary allowances</b>				
	Non-differentiated appropriations	1 219 000	1 253 000	1 663 085,67	136,43
<b>1 0 3</b>	<b>Pensions</b>				
	Non-differentiated appropriations	p.m.	p.m.	3 319 209,21	
<b>1 0 4</b>	<b>Missions</b>				
	Non-differentiated appropriations	319 000	319 000	209 269,43	65,60
<b>1 0 6</b>	<b>Training</b>				
	Non-differentiated appropriations	80 000	80 000	85 971,58	107,46
<b>1 0 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<b>CHAPTER 1 0 — TOTAL</b>	10 885 100	10 291 000	14 553 513,15	133,70
	CHAPTER 1 2				
<b>1 2 0</b>	<b>Remuneration and other entitlements</b>				
1 2 0 0	Remuneration and allowances				
	Non-differentiated appropriations	97 510 000	96 113 000	91 518 624,75	93,86
1 2 0 2	Paid overtime				
	Non-differentiated appropriations	413 000	433 000	384 708,20	93,15
1 2 0 4	Entitlements on entering the service, transfer and leaving the service				
	Non-differentiated appropriations	958 000	874 000	870 991,66	90,92
	<i>Article 1 2 0 — Total</i>	98 881 000	97 420 000	92 774 324,61	93,82
<b>1 2 2</b>	<b>Allowances upon early termination of service</b>				
1 2 2 0	Allowances for staff retired in the interests of the service				
	Non-differentiated appropriations	p.m.	p.m.	0,—	

## COURT OF AUDITORS

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES****CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 2 2</b>	( <i>cont'd</i> )				
1 2 2 2	Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	Article 1 2 2 — Total	p.m.	p.m.	0,—	
<b>1 2 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 2 — TOTAL	98 881 000	97 420 000	92 774 324,61	93,82
	CHAPTER 1 4				
<b>1 4 0</b>	<b>Other staff and external persons</b>				
1 4 0 0	Other staff				
	Non-differentiated appropriations	3 128 000	2 947 000	2 822 433,72	90,23
1 4 0 4	In-service training and staff exchanges				
	Non-differentiated appropriations	1 374 000	987 000	890 216,31	64,79
1 4 0 5	Other external services				
	Non-differentiated appropriations	41 000	40 000	54 441,54	132,78
1 4 0 6	External services in the linguistic field				
	Non-differentiated appropriations	333 000	327 000	345 735,85	103,82
	Article 1 4 0 — Total	4 876 000	4 301 000	4 112 827,42	84,35
<b>1 4 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 4 — TOTAL	4 876 000	4 301 000	4 112 827,42	84,35
	CHAPTER 1 6				
<b>1 6 1</b>	<b>Expenditure relating to staff management</b>				
1 6 1 0	Miscellaneous expenditure on recruitment				
	Non-differentiated appropriations	48 000	48 000	57 700,—	120,21
1 6 1 2	Further training for staff				
	Non-differentiated appropriations	695 000	720 000	671 465,01	96,61
	Article 1 6 1 — Total	743 000	768 000	729 165,01	98,14
<b>1 6 2</b>	<b>Missions</b>				
	Non-differentiated appropriations	3 600 000	3 700 000	3 118 961,61	86,64
<b>1 6 3</b>	<b>Assistance for staff of the institution</b>				
1 6 3 0	Social welfare				
	Non-differentiated appropriations	35 000	35 000	25 000,—	71,43

[illegible]

## COURT OF AUDITORS

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

1 0 0 *Remuneration and other entitlements*

## 1 0 0 0 Remuneration, allowances and pensions

Appropriations 2016	Appropriations 2015	Outturn 2014
8 741 100	8 567 000	8 824 024,32

*Remarks*

This appropriation is intended to cover the salaries and allowances of Members of the Court of Auditors as well as the effect of the weightings applicable to remuneration and to transfers of part of the remuneration to a Member State other than that of the place of employment.

*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the Members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Article 2 thereof.

## 1 0 0 2 Entitlements on entering and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
526 000	72 000	451 952,94

*Remarks*

This appropriation is intended to cover:

- travel expenses due to Members of the Court of Auditors on entering or leaving the service,
- installation and resettlement allowances due to Members of the Court of Auditors on entering or leaving the service,
- removal expenses due to Members of the Court of Auditors on entering or leaving the service.

*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the Members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Article 6 thereof.

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 2** *Temporary allowances*

Appropriations 2016	Appropriations 2015	Outturn 2014
1 219 000	1 253 000	1 663 085,67

*Remarks*

This appropriation is intended to cover temporary allowances and family allowances for Members of the Court of Auditors after termination of service.

*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the Members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Article 8 thereof.

**1 0 3** *Pensions*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	3 319 209,21

*Remarks*

This appropriation is intended to cover the retirement pensions and invalidity pensions of former Members of the Court of Auditors and the survivors' pensions for their surviving spouses and orphans.

*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the Members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Articles 9, 10, 11 and 16 thereof.

**1 0 4** *Missions*

Appropriations 2016	Appropriations 2015	Outturn 2014
319 000	319 000	209 269,43

*Remarks*

This appropriation is intended to cover travel expenses, subsistence allowances and additional or exceptional expenditure incurred on mission.

The amount of assigned revenue in accordance with Article 21(3)(e) of the Financial Regulation is estimated at EUR 2 000.

## COURT OF AUDITORS

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 4 (*cont'd*)*Legal basis*

Council Regulation (EEC, Euratom, ECSC) No 2290/77 of 18 October 1977 determining the emoluments of the Members of the Court of Auditors (OJ L 268, 20.10.1977, p. 1), and in particular Article 7 thereof.

1 0 6 **Training**

Appropriations 2016	Appropriations 2015	Outturn 2014
80 000	80 000	85 971,58

*Remarks*

This appropriation is intended to cover the costs of participation in language courses and other vocational training courses by Members of the Court of Auditors.

1 0 9 **Provisional appropriation**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the effect of any salary and pension adjustments.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

*Remarks*

A standard abatement of 2,765 % has been applied to the appropriations entered in this chapter.

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)**1 2 0      *Remuneration and other entitlements*****1 2 0 0      Remuneration and allowances**

Appropriations 2016	Appropriations 2015	Outturn 2014
97 510 000	96 113 000	91 518 624,75

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- salaries and salary-related allowances,
- insurance against sickness, accident and occupational disease and other social security contributions,
- the institution's sickness insurance contributions,
- miscellaneous allowances and grants,
- the payment of travel costs of officials and temporary staff, and of their spouses and dependants, from the place of employment to the place of origin,
- the effect of the weightings applicable to remuneration and to transfers of part of the remuneration to a Member State other than that of the place of employment,
- the provision of unemployment benefit for temporary staff and payments by the institution to constitute or maintain pension rights for temporary staff in their country of origin,
- the allowance granted to probationer officials dismissed for reasons of manifest unsuitability,
- the compensation paid in the event of cancellation by the institution of the contract of a temporary member of staff,
- allowances for round-the-clock or shift duties or for standby duty at work and/or at home.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 2 0 2      Paid overtime**

Appropriations 2016	Appropriations 2015	Outturn 2014
413 000	433 000	384 708,20

## COURT OF AUDITORS

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)1 2 0 2 (*cont'd*)*Remarks*

This appropriation is intended to cover overtime worked in the conditions laid down by the abovementioned provisions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

1 2 0 4 Entitlements on entering the service, transfer and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
958 000	874 000	870 991,66

*Remarks*

This appropriation is intended to cover:

- the travel expenses due to officials and temporary staff (including their families) on taking up their duties or leaving the institution or on transfer, involving a change in place of employment,
- the installation/resettlement allowances and removal expenses due to officials and temporary staff obliged to change their place of residence on taking up their duties or on their assignment to a new place of employment and upon finally leaving the institution and resettling elsewhere,
- the daily subsistence allowances for officials and temporary staff who furnish evidence that they must change their place of residence on taking up their duties or on their assignment to a new place of employment.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.



CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)**1 2 2** *Allowances upon early termination of service***1 2 2 0** Allowances for staff retired in the interests of the service

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover allowances to officials assigned non-active status following a reduction in the number of posts in the institution, or to officials holding a senior executive post who are retired in the interests of the service.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41 and 50 thereof and Annex IV thereto.

**1 2 2 2** Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- the allowances to be paid in accordance with the Staff Regulations or other Regulations,
- the employer's contribution towards sickness insurance for recipients of allowances,
- the effect of weightings applicable to various allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

**1 2 9** *Provisional appropriation*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the cost of any adjustments to remuneration.

## COURT OF AUDITORS

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 9 (*cont'd*)

This appropriation is purely provisional and may be used only after its transfer to other articles or items of this chapter in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES

1 4 0 *Other staff and external persons*

## 1 4 0 0 Other staff

Appropriations 2016	Appropriations 2015	Outturn 2014
3 128 000	2 947 000	2 822 433,72

*Remarks*

This appropriation is mainly intended to cover the following expenditure:

- the remuneration of other staff, in particular contract workers, special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), the institution's social security contributions in respect of such staff and the effect of the weightings applicable to their remuneration,
- the fees of medical and paramedical staff paid under the performance of service scheme and, in special cases, the employment of temporary staff.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## 1 4 0 4 In-service training and staff exchanges

Appropriations 2016	Appropriations 2015	Outturn 2014
1 374 000	987 000	890 216,31

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 0** (*cont'd*)1 4 0 4 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- expenditure relating to the secondment or temporary assignment to the Court of Auditors of officials from Member States first and foremost, or from other States, and other experts or expenses relating to short-term consulting work,
- the reimbursement of additional expenses incurred by officials of the Union as a result of exchanges,
- the cost of periods of in-service training at the Court of Auditors.

1 4 0 5 Other external services

Appropriations 2016	Appropriations 2015	Outturn 2014
41 000	40 000	54 441,54

*Remarks*

This appropriation is intended to cover the hiring of temporary staff, excluding temporary translators.

1 4 0 6 External services in the linguistic field

Appropriations 2016	Appropriations 2015	Outturn 2014
333 000	327 000	345 735,85

*Remarks*

This appropriation is intended to cover:

- expenditure relating to the measures decided upon by the Interinstitutional Committee for Translation and Interpreting (ICTI) with a view to promoting interinstitutional cooperation in the linguistic field,
- the fees, social security contributions, travel expenses and subsistence allowances of freelance and other non-permanent interpreters,
- the costs relating to the work of freelance or temporary translators or typing and other work sent out by the Translation Service.

## COURT OF AUDITORS

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)**1 4 9** *Provisional appropriation*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the cost of any adjustments to remuneration.

This appropriation is purely provisional and may be used only after its transfer to other articles or items of this chapter in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

**1 6 1** *Expenditure relating to staff management***1 6 1 0** Miscellaneous expenditure on recruitment

Appropriations 2016	Appropriations 2015	Outturn 2014
48 000	48 000	57 700,—

*Remarks*

This appropriation is intended to cover the costs of advertising, inviting candidates, hiring rooms and equipment for competitions and other selection procedures organised directly by the Court of Auditors and expenses incurred by candidates for travel and medical check-ups.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**1 6 1 2** Further training for staff

Appropriations 2016	Appropriations 2015	Outturn 2014
695 000	720 000	671 465,01

*Remarks*

This appropriation is intended to cover the organisation of further training courses including language training courses and seminars in financial management and control on an interinstitutional basis and the registration fees for similar seminars organised in the Member States.

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 1** (*cont'd*)**1 6 1 2** (*cont'd*)

It also covers the membership fees of certain professional bodies whose work is of relevance to the Court of Auditors' activities.

This appropriation also covers the purchase of teaching and technical equipment for staff training.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 500.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

**1 6 2** **Missions**

Appropriations 2016	Appropriations 2015	Outturn 2014
3 600 000	3 700 000	3 118 961,61

*Remarks*

This appropriation is intended to cover expenditure on travel expenses, including ancillary costs relating to tickets and reservations, the payment of mission allowances and ancillary or exceptional expenses incurred as a result of missions by the Court of Auditors' officials and other staff and in respect of experts or national or international officials seconded to the Court and trainees.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 11, 12 and 13 of Annex VII thereto.

**1 6 3** **Assistance for staff of the institution****1 6 3 0** Social welfare

Appropriations 2016	Appropriations 2015	Outturn 2014
35 000	35 000	25 000,—

*Remarks*

This appropriation is intended to cover help for staff in particularly difficult circumstances.

## COURT OF AUDITORS

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 3** (*cont'd*)1 6 3 0 (*cont'd*)

This appropriation is also intended for the following categories of persons as part of a policy to assist people with disabilities:

- officials and temporary staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It covers reimbursement, to the extent permitted by the budget and after national entitlements in the country of residence or the country of origin have been exhausted, of expenses (other than medical expenses) recognised as necessary, resulting from the disability and supported by documentary evidence.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 76 thereof.

## 1 6 3 2 Social contacts between members of staff and other welfare expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
77 000	77 000	83 000,—

*Remarks*

This appropriation is intended to:

- give financial encouragement and support to any project aimed at encouraging social contacts between staff of different nationalities, such as subsidies to staff clubs, cultural associations and sports associations,
- cover other assistance and subsidies for staff and their families.

**1 6 5** *Activities relating to all persons working with the institution*

## 1 6 5 0 Medical service

Appropriations 2016	Appropriations 2015	Outturn 2014
105 000	104 000	66 000,—

*Remarks*

This appropriation is intended to cover the cost of the annual medical examination of all staff, including any ensuing medical examinations and tests requested.

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 5 (*cont'd*)1 6 5 0 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

1 6 5 2 Restaurants and canteens

Appropriations 2016	Appropriations 2015	Outturn 2014
60 000	55 000	107 000,—

*Remarks*

This appropriation is intended to cover the operating expenditure of the restaurants and cafeterias.

This appropriation is also intended to cover the conversion and renewal of the equipment in the restaurant and cafeterias in order to comply with national health and safety standards currently in force.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

1 6 5 4 Early Childhood Centre

Appropriations 2016	Appropriations 2015	Outturn 2014
1 389 000	1 450 000	1 512 000,—

*Remarks*

This appropriation is intended to cover the Court of Auditors' contribution to the Early Childhood Centre and study centre in Luxembourg.

1 6 5 5 PMO expenditure on the management of matters concerning Court of Auditors staff

Appropriations 2016	Appropriations 2015	Outturn 2014
150 000	180 000	70 000,—

*Remarks*

This appropriation is intended to cover the expenditure incurred under the service agreements between the Commission (PMO) and the Court of Auditors.

## COURT OF AUDITORS

## TITLE 2

## BUILDINGS, MOVABLE PROPERTY, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 2 0				
<b>2 0 0</b>	<b>Buildings</b>				
2 0 0 0	Rent				
	Non-differentiated appropriations	169 000	160 000	176 467,78	104,42
2 0 0 1	Lease/purchase				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 3	Acquisition of immovable property				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 5	Construction of buildings				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 7	Fitting-out of premises				
	Non-differentiated appropriations	210 000	410 000	130 088,34	61,95
2 0 0 8	Studies and technical assistance in connection with building projects				
	Non-differentiated appropriations	75 000	50 000	94 830,21	126,44
	<i>Article 2 0 0 — Total</i>	454 000	620 000	401 386,33	88,41
<b>2 0 2</b>	<b>Expenditure on buildings</b>				
2 0 2 2	Cleaning and maintenance				
	Non-differentiated appropriations	1 271 000	1 280 000	1 084 000,—	85,29
2 0 2 4	Energy consumption				
	Non-differentiated appropriations	905 000	889 000	819 000,—	90,50
2 0 2 6	Security and surveillance of buildings				
	Non-differentiated appropriations	140 000	143 000	161 830,07	115,59
2 0 2 8	Insurance				
	Non-differentiated appropriations	96 000	96 000	42 625,67	44,40
2 0 2 9	Other expenditure on buildings				
	Non-differentiated appropriations	45 000	52 000	31 892,11	70,87
	<i>Article 2 0 2 — Total</i>	2 457 000	2 460 000	2 139 347,85	87,07
	<b>CHAPTER 2 0 — TOTAL</b>	2 911 000	3 080 000	2 540 734,18	87,28
	CHAPTER 2 1				
<b>2 1 0</b>	<b>Equipment, operating costs and services relating to data processing and telecommunications</b>				
2 1 0 0	Purchase, servicing and maintenance of equipment and software				
	Non-differentiated appropriations	2 220 000	2 077 000	2 130 999,62	95,99



**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE**  
(cont'd)

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE**

**CHAPTER 2 5 — MEETINGS AND CONFERENCES**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>2 1 0</b>	(cont'd)				
2 1 0 2	External services for the operation, implementation and maintenance of software and systems				
	Non-differentiated appropriations	4 700 000	4 593 000	4 676 000,—	99,49
2 1 0 3	Telecommunications				
	Non-differentiated appropriations	427 000	482 000	391 999,83	91,80
	<i>Article 2 1 0 — Total</i>	7 347 000	7 152 000	7 198 999,45	97,99
<b>2 1 2</b>	<b>Furniture</b>				
	Non-differentiated appropriations	75 000	85 000	69 088,20	92,12
<b>2 1 4</b>	<b>Technical equipment and installations</b>				
	Non-differentiated appropriations	192 000	125 000	916 055,88	477,11
<b>2 1 6</b>	<b>Vehicles</b>				
	Non-differentiated appropriations	615 000	575 000	555 785,60	90,37
	<b>CHAPTER 2 1 — TOTAL</b>	8 229 000	7 937 000	8 739 929,13	106,21
	<b>CHAPTER 2 3</b>				
<b>2 3 0</b>	<b>Stationery, office supplies and miscellaneous consumables</b>				
	Non-differentiated appropriations	120 000	140 000	119 925,84	99,94
<b>2 3 1</b>	<b>Financial charges</b>				
	Non-differentiated appropriations	20 000	20 000	15 048,—	75,24
<b>2 3 2</b>	<b>Legal expenses and damages</b>				
	Non-differentiated appropriations	90 000	50 000	215 000,—	238,89
<b>2 3 6</b>	<b>Postage and delivery charges</b>				
	Non-differentiated appropriations	43 000	50 000	22 022,40	51,21
<b>2 3 8</b>	<b>Other administrative expenditure</b>				
	Non-differentiated appropriations	166 000	166 000	160 352,60	96,60
	<b>CHAPTER 2 3 — TOTAL</b>	439 000	426 000	532 348,84	121,26
	<b>CHAPTER 2 5</b>				
<b>2 5 2</b>	<b>Representation expenses</b>				
	Non-differentiated appropriations	233 000	233 000	215 173,85	92,35
<b>2 5 4</b>	<b>Meetings, congresses and conferences</b>				
	Non-differentiated appropriations	131 000	142 000	91 724,93	70,02
<b>2 5 6</b>	<b>Expenditure on the dissemination of information and on participation in public events</b>				
	Non-differentiated appropriations	17 000	17 000	16 836,40	99,04

## COURT OF AUDITORS

## CHAPTER 25 — MEETINGS AND CONFERENCES *(cont'd)*

**CHAPTER 27 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION**

[illegible]

## TITLE 2

## BUILDINGS, MOVABLE PROPERTY, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

2 0 0 *Buildings*

## 2 0 0 0 Rent

Appropriations 2016	Appropriations 2015	Outturn 2014
169 000	160 000	176 467,78

*Remarks*

This appropriation is intended to cover expenditure on rents in Luxembourg, Brussels and Strasbourg.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 7 000.

## 2 0 0 1 Lease/purchase

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover long-lease fees and other similar expenditure owed by the institution under lease/purchase contracts.

## 2 0 0 3 Acquisition of immovable property

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the financing, by annual instalments, of the extension work to the building of the Court of Auditors in Luxembourg (Kirchberg).

## 2 0 0 5 Construction of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended for any entry of appropriations for the construction of buildings.

## COURT OF AUDITORS

CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS (*cont'd*)2 0 0 (*cont'd*)

## 2 0 0 7 Fitting-out of premises

Appropriations 2016	Appropriations 2015	Outturn 2014
210 000	410 000	130 088,34

*Remarks*

This appropriation is intended to cover:

- various kinds of fitting-out work, including in particular the installation of partitions, curtains, cables, painting, wall coverings, floor coverings, suspended ceilings and the related technical installations,
- expenditure relating to work resulting from studies and technical assistance in respect of large-scale building projects.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## 2 0 0 8 Studies and technical assistance in connection with building projects

Appropriations 2016	Appropriations 2015	Outturn 2014
75 000	50 000	94 830,21

*Remarks*

This appropriation is intended to cover the expenditure relating to studies and technical assistance in connection with large-scale building projects.

2 0 2 **Expenditure on buildings**

## 2 0 2 2 Cleaning and maintenance

Appropriations 2016	Appropriations 2015	Outturn 2014
1 271 000	1 280 000	1 084 000,—

*Remarks*

This appropriation is intended to cover:

- maintenance and cleaning costs for premises, lifts, central heating, air-conditioning equipment, electrical installations and alterations and repairs to them,
- the purchase of maintenance, washing, laundry and dry-cleaning products, and any supplies required for maintenance.

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2** (*cont'd*)**2 0 2 2** (*cont'd*)

Before renewing or concluding contracts, the institution will consult the other institutions on the terms each of them has obtained (price, currency chosen, indexing, duration, other clauses), with due regard to Article 70 of the Financial Regulation.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 0 2 4** Energy consumption

Appropriations 2016	Appropriations 2015	Outturn 2014
905 000	889 000	819 000,—

*Remarks*

This appropriation is intended to cover water, gas and electricity consumption and heating costs.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 0 2 6** Security and surveillance of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
140 000	143 000	161 830,07

*Remarks*

This appropriation is intended to cover miscellaneous expenditure relating to the security of buildings, especially the contract for the surveillance of the buildings, the purchase and maintenance of fire-fighting equipment and equipment for security officers, etc.

Before renewing or concluding contracts, the institution will consult the other institutions on the terms each of them has obtained (price, currency chosen, indexing, duration, other clauses), with due regard to Article 70 of the Financial Regulation.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 0 2 8** Insurance

Appropriations 2016	Appropriations 2015	Outturn 2014
96 000	96 000	42 625,67

*Remarks*

This appropriation is intended to cover the premiums payable on the insurance policies relating to the buildings occupied by the institution, including cover for movable property and works of art.

## COURT OF AUDITORS

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2** (*cont'd*)2 0 2 8 (*cont'd*)

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 0 2 9 Other expenditure on buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
45 000	52 000	31 892,11

*Remarks*

This appropriation is intended to cover other current expenditure on buildings not specifically provided for in the other articles of this chapter, in particular sewerage, refuse collection, road taxes, signs, etc.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE****2 1 0** *Equipment, operating costs and services relating to data processing and telecommunications*

2 1 0 0 Purchase, servicing and maintenance of equipment and software

Appropriations 2016	Appropriations 2015	Outturn 2014
2 220 000	2 077 000	2 130 999,62

*Remarks*

This appropriation is intended to cover the following operating expenditure:

- purchase, leasing and maintenance of computer equipment and software and other supplies and documentation,
- computer cables.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 1 0 2 External services for the operation, implementation and maintenance of software and systems

Appropriations 2016	Appropriations 2015	Outturn 2014
4 700 000	4 593 000	4 676 000,—

*Remarks*

This appropriation is intended to cover expenditure on outside staff and work contracted out, including the 'helpdesk' services.

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE** (*cont'd*)**2 1 0** (*cont'd*)**2 1 0 2** (*cont'd*)

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 1 0 3** Telecommunications

Appropriations 2016	Appropriations 2015	Outturn 2014
427 000	482 000	391 999,83

*Remarks*

This appropriation is intended to cover all expenditure related to telecommunications such as subscriber charges, telephone lines, communications charges, maintenance fees and the purchase, renewal, repair and maintenance of telephone installations and equipment.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 45 000.

**2 1 2** **Furniture**

Appropriations 2016	Appropriations 2015	Outturn 2014
75 000	85 000	69 088,20

*Remarks*

This appropriation is intended to cover the purchase or hire of additional furniture, its maintenance or repair and the replacement of old or damaged furniture.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 1 4** **Technical equipment and installations**

Appropriations 2016	Appropriations 2015	Outturn 2014
192 000	125 000	916 055,88

*Remarks*

This appropriation is intended to cover expenditure on the purchase, replacement, hire, maintenance and repair of technical equipment and office machines.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## COURT OF AUDITORS

CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND MOVABLE PROPERTY: PURCHASE, HIRE AND MAINTENANCE (*cont'd*)**2 1 6 Vehicles**

Appropriations 2016	Appropriations 2015	Outturn 2014
615 000	575 000	555 785,60

*Remarks*

This appropriation is intended to cover the purchase or hire of vehicles, with or without drivers (including taxis), and the subsequent running costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

## CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE

**2 3 0 Stationery, office supplies and miscellaneous consumables**

Appropriations 2016	Appropriations 2015	Outturn 2014
120 000	140 000	119 925,84

*Remarks*

This appropriation is intended to cover expenditure on stationery and office supplies.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**2 3 1 Financial charges**

Appropriations 2016	Appropriations 2015	Outturn 2014
20 000	20 000	15 048,—

**2 3 2 Legal expenses and damages**

Appropriations 2016	Appropriations 2015	Outturn 2014
90 000	50 000	215 000,—

*Remarks*

This appropriation is intended to cover any expenditure and fees the Court of Auditors may have to bear.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: EUR 4 200.



**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 6** *Postage and delivery charges*

Appropriations 2016	Appropriations 2015	Outturn 2014
43 000	50 000	22 022,40

**2 3 8** *Other administrative expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
166 000	166 000	160 352,60

*Remarks*

This appropriation is intended to cover:

- the cost of luggage insurance for staff travelling on mission,
- the purchase of uniforms for messengers and drivers, and other work clothes,
- the cost of refreshments and occasional snacks served during internal meetings,
- the costs of the removal and handling of equipment, furniture and office supplies,
- other operating expenditure not specifically provided for in the preceding headings and costs relating to maintenance and repair of equipment,
- petty expenses.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

**CHAPTER 2 5 — MEETINGS AND CONFERENCES****2 5 2** *Representation expenses*

Appropriations 2016	Appropriations 2015	Outturn 2014
233 000	233 000	215 173,85

*Remarks*

This appropriation is intended to cover expenditure on the Court of Auditors' obligations in respect of representation.

**2 5 4** *Meetings, congresses and conferences*

Appropriations 2016	Appropriations 2015	Outturn 2014
131 000	142 000	91 724,93

## COURT OF AUDITORS

CHAPTER 2 5 — MEETINGS AND CONFERENCES (*cont'd*)2 5 4 (*cont'd*)*Remarks*

This appropriation is intended to cover travel, subsistence and incidental expenses of experts taking part in study groups and working parties, and the cost of organising such meetings in so far as they are not covered by existing infrastructure.

It is also intended to cover the cost of organisation of and participation in conferences, congresses and meetings.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

2 5 6 ***Expenditure on the dissemination of information and on participation in public events***

Appropriations 2016	Appropriations 2015	Outturn 2014
17 000	17 000	16 836,40

*Remarks*

This appropriation is intended to cover the cost of organising study days on the activities of the Court of Auditors, for the benefit of university teachers, editors of specialised journals or other specialist visitors from the Member States. This appropriation is also intended to cover miscellaneous expenditure relating to the Court's information and communication policy.

2 5 7 ***Joint Interpreting and Conference Service***

Appropriations 2016	Appropriations 2015	Outturn 2014
325 000	325 000	325 000,—

*Remarks*

This appropriation is intended to cover payment for services provided by the interpreting services of the European Parliament and the Commission.

## CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION

2 7 0 ***Limited consultations, studies and surveys***

Appropriations 2016	Appropriations 2015	Outturn 2014
636 000	443 000	721 894,46

*Remarks*

This appropriation is intended to enable studies to be contracted out to qualified experts in the fields of audit and also in those of an administrative nature.

**CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION** (*cont'd*)**2 7 0** (*cont'd*)

Within the framework of its audits, the Court of Auditors needs to contract out studies and technical analyses (for example chemical, physical, statistical analyses) to external experts. This appropriation also encompasses the cost of the auditing of the Court by an independent auditor whose report is published in the *Official Journal of the European Union*.

**2 7 2** **Documentation, library and archiving expenditure**

Appropriations 2016	Appropriations 2015	Outturn 2014
390 000	372 000	310 000,—

*Remarks*

This appropriation is intended to cover:

- the acquisition of books, documents and other non-periodic publications and updates for existing volumes,
- special equipment for the library,
- the cost of subscriptions to newspapers, periodicals and various bulletins,
- the cost of subscriptions to news agencies or external informative databases,
- access charges for certain external databases,
- the cost of the binding and upkeep of library books,
- the cost of archive services and the acquisition of archive resources on substitute media.

**2 7 4** **Production and distribution****2 7 4 0** Official Journal

Appropriations 2016	Appropriations 2015	Outturn 2014
350 000	550 000	397 500,—

*Remarks*

This appropriation is intended to cover the cost of publications by the Court of Auditors in the *Official Journal of the European Union*.

## COURT OF AUDITORS

CHAPTER 2 7 — INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION (*cont'd*)2 7 4 (*cont'd*)2 7 4 0 (*cont'd*)

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 70 000.

2 7 4 1 Publications of a general nature

Appropriations 2016	Appropriations 2015	Outturn 2014
1 025 000	1 000 000	835 115,75

*Remarks*

This appropriation is intended to cover:

- the costs of publishing and distributing the reports and opinions adopted by the Court of Auditors pursuant to the second subparagraph of Article 287(4), and to Article 325(4) of the Treaty on the Functioning of the European Union,
- the costs of communication activities concerning audit work and the activities of the Court of Auditors (in particular website, audiovisual material, documentation), including the costs of relations with the press and other stakeholders.

Amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation: p.m.

## TITLE 10

## OTHER EXPENDITURE

## CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS

## CHAPTER 10 1 — CONTINGENCY RESERVE

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 10 0	p.m.	p.m.	0,—	
	CHAPTER 10 0 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 10 1	p.m.	p.m.	0,—	
	CHAPTER 10 1 — TOTAL	p.m.	p.m.	0,—	
	Title 10 — Total	p.m.	p.m.	0,—	
	GRAND TOTAL	135 487 100	132 906 000	131 878 049,34	97,34

COURT OF AUDITORS

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

**CHAPTER 10 1 — CONTINGENCY RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

## STAFF

## Section V — Court of Auditors

Function group and grade	Court of Auditors			
	Permanent posts		Temporary posts <sup>(1)</sup>	
	2016	2015	2016	2015
Non-category			1	1
AD 16				
AD 15	11	11		
AD 14	35 <sup>(2)</sup> <sup>(4)</sup>	35 <sup>(2)</sup> <sup>(4)</sup>	30	30
AD 13	40 <sup>(4)</sup>	40 <sup>(4)</sup>	2	2
AD 12	50 <sup>(3)</sup> <sup>(4)</sup>	50 <sup>(3)</sup> <sup>(4)</sup>	5	5
AD 11	47 <sup>(4)</sup>	47 <sup>(4)</sup>	31	31
AD 10	60 <sup>(4)</sup> <sup>(7)</sup>	54 <sup>(4)</sup>	2	2
AD 9	60 <sup>(7)</sup>	66		
AD 8	52	52		
AD 7	95 <sup>(4)</sup> <sup>(7)</sup>	93 <sup>(4)</sup>		
AD 6	71 <sup>(4)</sup> <sup>(7)</sup>	73 <sup>(4)</sup>		
AD 5	16 <sup>(5)</sup> <sup>(8)</sup>	12 <sup>(5)</sup>		
AD total	537	533	71	71
AST 11	7 <sup>(10)</sup>	8		
AST 10	7	7	1 <sup>(7)</sup>	
AST 9	12 <sup>(4)</sup>	12 <sup>(4)</sup>	- <sup>(7)</sup>	
AST 8	20 <sup>(4)</sup> <sup>(7)</sup>	19 <sup>(4)</sup>	1 <sup>(7)</sup>	
AST 7	27 <sup>(4)</sup> <sup>(7)</sup>	27 <sup>(4)</sup>	27 <sup>(7)</sup>	29
AST 6	19 <sup>(4)</sup> <sup>(7)</sup> <sup>(8)</sup>	22 <sup>(4)</sup>		
AST 5	25 <sup>(6)</sup> <sup>(8)</sup> <sup>(9)</sup>	29 <sup>(6)</sup>	2 <sup>(7)</sup>	
AST 4	16 <sup>(4)</sup> <sup>(9)</sup>	21 <sup>(4)</sup>	25 <sup>(7)</sup> <sup>(8)</sup>	29
AST 3	43 <sup>(4)</sup> <sup>(7)</sup>	36 <sup>(4)</sup>	5 <sup>(9)</sup>	7
AST 2	3 <sup>(5)</sup> <sup>(7)</sup> <sup>(8)</sup>	12 <sup>(5)</sup>	2 <sup>(8)</sup>	3
AST 1	5	5		
AST total	184	198	63	68
AST/SC 6				
AST/SC 5			2 <sup>(8)</sup>	
AST/SC 4				
AST/SC 3			1 <sup>(8)</sup>	

## COURT OF AUDITORS

Function group and grade	Court of Auditors			
	Permanent posts		Temporary posts <sup>(1)</sup>	
	2016	2015	2016	2015
AST/SC 2	4 <sup>(8)</sup>	2		
AST/SC 1				
AST/SC total	4	2	3	—
<b>Grand total</b>	<b>725 <sup>(11)</sup></b>	<b>733 <sup>(11)</sup></b>	<b>137</b>	<b>139</b>
<sup>(1)</sup> The actual grade at which the posts assigned to the private offices are occupied will follow the same grading criteria as for officials recruited before 1 May 2004. <sup>(2)</sup> Of which one AD 15 <i>ad personam</i> . <sup>(3)</sup> Of which one AD 14 <i>ad personam</i> . <sup>(4)</sup> Upgradings for 2015. <sup>(5)</sup> Cancellation of nine posts (2015). <sup>(6)</sup> Transfer of one AST 5 to the Commission (PMO) (2015). <sup>(7)</sup> Upgradings for 2016. <sup>(8)</sup> Transformation of nine posts (2016). <sup>(9)</sup> Cancellation of nine posts (2016). <sup>(10)</sup> Transfer of one AST 11 to the Commission (PMO) (2016). <sup>(11)</sup> Not including the virtual reserve, without allocation of appropriations, for seconded officials in private offices.				



*SECTION VI*

**EUROPEAN ECONOMIC AND SOCIAL COMMITTEE**

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**REVENUE****Contribution of the European Union to the financing of the expenditure of the  
Economic and Social Committee for the financial year 2016**

Heading	Amount
Expenditure	130 171 475
Own resources	-10 826 368
<b>Contribution due</b>	<b>119 345 107</b>

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 40 — MISCELLANEOUS TAXES AND DEDUCTIONS

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

[illegible]

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

Financial year 2016	Financial year 2015	Financial year 2014
4 567 558	4 633 256	4 793 149,—

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
880 233	851 410	923 301,—

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
5 338 577	5 239 542	5 017 876,—

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (*cont'd*)**4 1 0** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** ***Transfer or purchase of pension rights by staff***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	276 616,—

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 4, Article 11(2) and (3) and Article 48 of Annex VIII thereto.

**4 1 2** ***Contributions to the pension scheme by officials and temporary staff on leave on personal grounds***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	3 216,—

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 0				
<b>5 0 0</b>	<b><i>Proceeds from the sale of movable property (supply of goods)</i></b>				
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.	0,—	
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue	p.m.	p.m.	1 081,—	
	Article 5 0 0 — Total	p.m.	p.m.	1 081,—	
<b>5 0 2</b>	<b><i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i></b>				
	CHAPTER 5 0 — TOTAL	p.m.	p.m.	1 081,—	
	CHAPTER 5 1				
<b>5 1 0</b>	<b><i>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</i></b>				
<b>5 1 1</b>	<b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>				
5 1 1 0	Proceeds from letting and subletting immovable property — Assigned revenue	p.m.	p.m.	1 460 637,—	
5 1 1 1	Reimbursement of charges connected with lettings — Assigned revenue	p.m.	p.m.	0,—	
	Article 5 1 1 — Total	p.m.	p.m.	1 460 637,—	
	CHAPTER 5 1 — TOTAL	p.m.	p.m.	1 460 637,—	
	CHAPTER 5 2				
<b>5 2 0</b>	<b><i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i></b>	40 000	40 000	5 331,—	13,33
	CHAPTER 5 2 — TOTAL	40 000	40 000	5 331,—	13,33
	CHAPTER 5 5				
<b>5 5 0</b>	<b><i>Revenue from the supply of services and work to other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i></b>				
		p.m.	p.m.	1 950 759,—	
<b>5 5 1</b>	<b><i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i></b>				
		p.m.	p.m.	0,—	
	CHAPTER 5 5 — TOTAL	p.m.	p.m.	1 950 759,—	

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 58 — MISCELLANEOUS COMPENSATION

## CHAPTER 59 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 7				
5 7 0	<i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>	p.m.	p.m.	394 721,—	
5 7 1	<i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>	p.m.	p.m.	888,—	
5 7 3	<i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>	p.m.	p.m.	100 906,—	
	CHAPTER 5 7 — TOTAL	p.m.	p.m.	496 515,—	
	CHAPTER 5 8				
5 8 0	<i>Revenue arising from indemnities connected with renting — Assigned revenue</i>	p.m.	p.m.	0,—	
5 8 1	<i>Revenue from insurance payments received — Assigned revenue</i>	p.m.	p.m.	49 343,—	
	CHAPTER 5 8 — TOTAL	p.m.	p.m.	49 343,—	
	CHAPTER 5 9				
5 9 0	<i>Other revenue from administrative operations</i>	p.m.	p.m.	0,—	
	CHAPTER 5 9 — TOTAL	p.m.	p.m.	0,—	
	Title 5 — Total	40 000	40 000	3 963 666,—	9 909,17

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property (supply of goods)*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 081,—

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

5 0 2 *Proceeds from the sale of publications, printed works and films — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.



**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING****5 1 0      *Proceeds from the hiring-out of furniture and equipment — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1      *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*****5 1 1 0      Proceeds from letting and subletting immovable property — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 460 637,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1 1      Reimbursement of charges connected with lettings — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0      *Revenue from investments or loans granted, bank and other interest on the institution's accounts***

Financial year 2016	Financial year 2015	Financial year 2014
40 000	40 000	5 331,—

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

**5 5 0** *Revenue from the supply of services and work to other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	1 950 759,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1** *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

**5 7 0** *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	394 721,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	888,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (*cont'd*)

**5 7 3**      *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	100 906,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**
**5 8 0**      *Revenue arising from indemnities connected with renting — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**5 8 1**      *Revenue from insurance payments received — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	49 343,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the lines which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS**
**5 9 0**      *Other revenue from administrative operations*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## TITLE 9

## MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 9 0	p.m.	p.m.	0,—	
	CHAPTER 9 0 — TOTAL	p.m.	p.m.	0,—	
	Title 9 — Total	p.m.	p.m.	0,—	
	GRAND TOTAL	10 826 368	10 764 208	14 977 824,—	138,35

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>1</b>	<b>PERSONS WORKING WITH THE INSTITUTION</b>			
1 0	MEMBERS OF THE INSTITUTION AND DELEGATES	20 193 937	20 083 937	18 872 024,—
	<i>Reserves (10 0)</i>		55 000	
		20 193 937	20 138 937	18 872 024,—
1 2	OFFICIALS AND TEMPORARY STAFF	64 788 534	63 504 043	63 336 765,—
	<i>Reserves (10 0)</i>		285 000	
		64 788 534	63 789 043	63 336 765,—
1 4	OTHER STAFF AND EXTERNAL SERVICES	5 398 839	5 242 926	3 601 246,—
	<i>Reserves (10 0)</i>		93 750	
		5 398 839	5 336 676	3 601 246,—
1 6	OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION	1 959 500	1 834 500	1 713 793,—
	<b>Title 1 — Total</b>	<b>92 340 810</b>	<b>90 665 406</b>	<b>87 523 828,—</b>
	<i>Reserves (10 0)</i>		433 750	
		<b>92 340 810</b>	<b>91 099 156</b>	<b>87 523 828,—</b>
<b>2</b>	<b>BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b>			
2 0	BUILDINGS AND ASSOCIATED COSTS	19 732 342	19 721 293	19 041 004,—
2 1	DATA-PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE	6 101 288	6 042 023	6 133 798,—
2 3	CURRENT ADMINISTRATIVE EXPENDITURE	517 277	551 890	487 945,—
2 5	OPERATIONAL ACTIVITIES	9 389 753	9 487 524	7 945 094,—
2 6	COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION	2 090 005	2 154 084	1 815 496,—
	<b>Title 2 — Total</b>	<b>37 830 665</b>	<b>37 956 814</b>	<b>35 423 337,—</b>
<b>10</b>	<b>OTHER EXPENDITURE</b>			
10 0	PROVISIONAL APPROPRIATIONS	p.m.	433 750	0,—
10 1	CONTINGENCY RESERVE	p.m.	p.m.	0,—
10 2	RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS	p.m.	p.m.	0,—
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>433 750</b>	<b>0,—</b>
	<b>GRAND TOTAL</b>	<b>130 171 475</b>	<b>129 055 970</b>	<b>122 947 165,—</b>

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION AND DELEGATES

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 0				
<b>1 0 0</b>	<b>Salaries, allowances and payments</b>				
1 0 0 0	Salaries, allowances and payments				
	Non-differentiated appropriations	96 080	96 080	76 000,—	79,10
1 0 0 4	Travel and subsistence allowances, attendance at meetings and associated expenditure				
	Non-differentiated appropriations	19 561 194	19 451 194	18 202 361,—	93,05
	Reserves (10 0)		55 000		
		19 561 194	19 506 194	18 202 361,—	
1 0 0 8	Travel and subsistence allowances, attendance at meetings and associated expenditure of delegates of the Consultative Commission on Industrial Change				
	Non-differentiated appropriations	472 382	472 382	539 382,—	114,18
	Article 1 0 0 — Total	20 129 656	20 019 656	18 817 743,—	93,48
	Reserves (10 0)		55 000		
		20 129 656	20 074 656	18 817 743,—	
<b>1 0 5</b>	<b>Further training, language courses and other training</b>				
	Non-differentiated appropriations	64 281	64 281	54 281,—	84,44
	CHAPTER 1 0 — TOTAL	20 193 937	20 083 937	18 872 024,—	93,45
	Reserves (10 0)		55 000		
		20 193 937	20 138 937	18 872 024,—	
	CHAPTER 1 2				
<b>1 2 0</b>	<b>Remuneration and other entitlements</b>				
1 2 0 0	Remuneration and allowances				
	Non-differentiated appropriations	64 337 034	63 008 443	62 947 254,—	97,84
	Reserves (10 0)		285 000		
		64 337 034	63 293 443	62 947 254,—	
1 2 0 2	Paid overtime				
	Non-differentiated appropriations	31 500	31 443	18 765,—	59,57

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (cont'd)**  
**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 2 0</b>	(cont'd)				
1 2 0 4	Entitlements on entering the service, transfer and leaving the service				
	Non-differentiated appropriations	420 000	464 157	370 746,—	88,27
	Article 1 2 0 — Total	64 788 534	63 504 043	63 336 765,—	97,76
	Reserves (10 0)		285 000		
		64 788 534	63 789 043	63 336 765,—	
<b>1 2 2</b>	<b>Allowances upon early termination of service</b>				
1 2 2 0	Allowances for staff retired or placed on leave in the interests of the service				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
1 2 2 2	Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	Article 1 2 2 — Total	p.m.	p.m.	0,—	
<b>1 2 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 2 — TOTAL	64 788 534	63 504 043	63 336 765,—	97,76
	Reserves (10 0)		285 000		
		64 788 534	63 789 043	63 336 765,—	
	CHAPTER 1 4				
<b>1 4 0</b>	<b>Other staff and external persons</b>				
1 4 0 0	Other staff				
	Non-differentiated appropriations	2 124 292	2 086 065	2 027 864,—	95,46
1 4 0 4	Graduate traineeships, grants and exchanges of officials				
	Non-differentiated appropriations	809 635	879 916	695 362,—	85,89
1 4 0 8	Entitlements on entering the service, transfer and leaving the service				
	Non-differentiated appropriations	67 251	66 784	43 359,—	64,47
	Article 1 4 0 — Total	3 001 178	3 032 765	2 766 585,—	92,18
<b>1 4 2</b>	<b>External services</b>				
1 4 2 0	Supplementary services for the translation service				
	Non-differentiated appropriations	1 624 810	1 437 310	274 810,—	16,91
	Reserves (10 0)		93 750		
		1 624 810	1 531 060	274 810,—	



**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 4 2</b>	( <i>cont'd</i> )				
1 4 2 2	Expert advice connected with consultative work				
	Non-differentiated appropriations	742 851	742 851	542 851,—	73,08
1 4 2 4	Interinstitutional cooperation and external services in the field of personnel management				
	Non-differentiated appropriations	30 000	30 000	17 000,—	56,67
	<i>Article 1 4 2 — Total</i>	2 397 661	2 210 161	834 661,—	34,81
	<i>Reserves (10 0)</i>		93 750		
		2 397 661	2 303 911	834 661,—	
<b>1 4 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 4 — TOTAL	5 398 839	5 242 926	3 601 246,—	66,70
	<i>Reserves (10 0)</i>		93 750		
		5 398 839	5 336 676	3 601 246,—	
	CHAPTER 1 6				
<b>1 6 1</b>	<b>Expenditure relating to staff management</b>				
1 6 1 0	Expenditure on recruitment				
	Non-differentiated appropriations	55 000	55 000	48 471,—	88,13
1 6 1 2	Further training				
	Non-differentiated appropriations	586 000	506 000	487 205,—	83,14
	<i>Article 1 6 1 — Total</i>	641 000	561 000	535 676,—	83,57
<b>1 6 2</b>	<b>Missions</b>				
	Non-differentiated appropriations	432 500	432 500	391 911,—	90,62
<b>1 6 3</b>	<b>Activities relating to all persons working with the institution</b>				
1 6 3 0	Social welfare				
	Non-differentiated appropriations	32 000	32 000	33 000,—	103,12
1 6 3 2	Social contacts between members of staff and other social measures				
	Non-differentiated appropriations	169 000	169 000	157 206,—	93,02
1 6 3 4	Medical service				
	Non-differentiated appropriations	115 000	80 000	66 000,—	57,39

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## CHAPTER 16 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION *(cont'd)*

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## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION AND DELEGATES

1 0 0 *Salaries, allowances and payments*

## 1 0 0 0 Salaries, allowances and payments

Appropriations 2016	Appropriations 2015	Outturn 2014
96 080	96 080	76 000,—

*Remarks*

This appropriation is intended to cover allowances and payments made to Members of the European Economic and Social Committee, including special duty allowances and other allowances, insurance premiums, including sickness, accident and travel assistance insurance, and specific measures for disabled Members.

## 1 0 0 4 Travel and subsistence allowances, attendance at meetings and associated expenditure

	Appropriations 2016	Appropriations 2015	Outturn 2014
1 0 0 4	19 561 194	19 451 194	18 202 361,—
Reserves (10 0)		55 000	
Total	19 561 194	19 506 194	18 202 361,—

*Remarks*

This appropriation is intended to cover payments to Members of the European Economic and Social Committee and their alternates under the current rules on reimbursement of transport costs and travel and meeting allowances.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

## 1 0 0 8 Travel and subsistence allowances, attendance at meetings and associated expenditure of delegates of the Consultative Commission on Industrial Change

Appropriations 2016	Appropriations 2015	Outturn 2014
472 382	472 382	539 382,—

*Remarks*

This appropriation is intended to cover payments to delegates of the Consultative Commission on Industrial Change (CCMI) and their alternates under the current rules on reimbursement of transport costs and travel and meeting allowances.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION AND DELEGATES (*cont'd*)**1 0 5 Further training, language courses and other training**

Appropriations 2016	Appropriations 2015	Outturn 2014
64 281	64 281	54 281,—

*Remarks*

This appropriation is intended to subsidise part of the registration fees for language courses or other vocational training seminars attended by Members of the European Economic and Social Committee and delegates of the Consultative Commission on Industrial Change (CCMI).

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

*Remarks*

A standard abatement of 5 % has been applied to the appropriations entered in this chapter.

**1 2 0 Remuneration and other entitlements****1 2 0 0 Remuneration and allowances**

	Appropriations 2016	Appropriations 2015	Outturn 2014
1 2 0 0	64 337 034	63 008 443	62 947 254,—
Reserves (10 0)		285 000	
Total	64 337 034	63 293 443	62 947 254,—

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- salaries, allowances and payments related to salaries,
- insurance against sickness, accidents and occupational disease and other social security charges,
- the institution's contribution to the Joint Sickness Insurance Scheme,
- flat-rate overtime allowances,
- other allowances and grants, including parental or family leave allowance,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 2 0** (*cont'd*)**1 2 0 0** (*cont'd*)

- the impact of salary weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment,
- unemployment insurance for temporary staff and payments by the institution to temporary staff in order to constitute or maintain their pension rights in their countries of origin,
- the severance payment of a probationer dismissed on grounds of manifest incompetence,
- the payment in respect of the termination by the institution of the contract of a temporary staff member,
- effects of adjustments to remuneration during the financial year.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 2 0 2** Paid overtime

Appropriations 2016	Appropriations 2015	Outturn 2014
31 500	31 443	18 765,—

*Remarks*

This appropriation is intended to cover the payment of overtime under the conditions set out in the abovementioned provisions.

It is also intended to cover the effects of adjustments to remuneration during the financial year.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

Conditions of Employment of Other Servants of the European Union.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)

## 1 2 0 4 Entitlements on entering the service, transfer and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
420 000	464 157	370 746,—

*Remarks*

This appropriation is intended to cover:

- travel expenses incurred by officials and temporary staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses incurred by officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- effects of adjustments to remuneration during the financial year.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 2 2 ***Allowances upon early termination of service***

## 1 2 2 0 Allowances for staff retired or placed on leave in the interests of the service

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 2 2** (*cont'd*)1 2 2 0 (*cont'd*)*Remarks*

This appropriation is intended to cover allowances for officials assigned non-active status following a reduction in the number of posts in the institution, for officials placed on leave in the interests of the service or for officials holding a senior executive post who are retired in the interests of the service.

It also covers the employer's contribution to sickness insurance and the impact of weightings applicable to those allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41, 42c and 50 thereof and Annex IV thereto.

1 2 2 2 Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- allowances payable under the conditions set out in the abovementioned provisions,
- the employer's contribution towards sickness insurance for persons in receipt of the allowances,
- the impact of the salary weightings applicable to the various allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

**1 2 9** ***Provisional appropriation***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

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CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 9 (*cont'd*)*Remarks*

This appropriation was intended to cover the effects of any salary adjustments decided by the Council during the financial year and has been included in Items 1 2 0 0, 1 2 0 2 and 1 2 0 4.

It is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof and Annex XI thereto.

## CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES

1 4 0 *Other staff and external persons*

## 1 4 0 0 Other staff

Appropriations 2016	Appropriations 2015	Outturn 2014
2 124 292	2 086 065	2 027 864,—

*Remarks*

This appropriation is principally intended to cover the following expenditure:

- the remuneration of other staff including auxiliary, contract and local staff and special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), the employer's contribution to the various social security schemes, and the impact of salary weightings applicable to the remuneration of these staff or the termination of contract allowance,
- fees of medical and paramedical staff paid under the arrangements for the provision of services and, in special cases, the employment of temporary agency staff,
- the remuneration and fees of conference operators and multimedia editors used during times of excessive workload or in special cases,
- flat-rate overtime allowances,
- the payment of overtime in accordance with Article 56 of the Staff Regulations and Annex VI thereto,
- other allowances and grants, including the parental or family leave allowance,



**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 0** (*cont'd*)1 4 0 0 (*cont'd*)

— the payment in respect of the termination by the institution of a staff member's contract,

— effects of adjustments to remuneration during the financial year.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 4 0 4 Graduate traineeships, grants and exchanges of officials

Appropriations 2016	Appropriations 2015	Outturn 2014
809 635	879 916	695 362,—

*Remarks*

This appropriation is intended to cover:

— allowances, travel and mission expenses for trainees, and accident and sickness insurance during courses,

— expenditure arising from movements between the European Economic and Social Committee and the public sector in the Member States or other countries specified in the rules,

— the contribution, on a limited scale, to the realisation of research projects in the fields of activity of the European Economic and Social Committee which are of particular interest for European integration,

— the cost of programmes to educate young people in the European spirit,

— effects of adjustments to remuneration during the financial year.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 0 (*cont'd*)

## 1 4 0 8 Entitlements on entering the service, transfer and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
67 251	66 784	43 359,—

*Remarks*

This appropriation is intended to cover:

- travel expenses due to staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- the difference between the contributions paid by staff to a Member State pension scheme and those paid to the Union scheme in the event of redefinition of a contract,
- effects of adjustments to remuneration during the financial year.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 4 2 *External services*

## 1 4 2 0 Supplementary services for the translation service

	Appropriations 2016	Appropriations 2015	Outturn 2014
1 4 2 0	1 624 810	1 437 310	274 810,—
Reserves (10 0)		93 750	
Total	1 624 810	1 531 060	274 810,—

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 2** (*cont'd*)1 4 2 0 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on work carried out by freelance or temporary translators, and typing and other work outsourced by the translation service. The European Economic and Social Committee always uses freelance translators whose names feature on the lists drawn up as a result of interinstitutional calls for tender.

Expenditure on any work entrusted to the Translation Centre for the bodies of the European Union and all interinstitutional cooperation activities in the language area is also covered by this item.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

1 4 2 2 Expert advice connected with consultative work

Appropriations 2016	Appropriations 2015	Outturn 2014
742 851	742 851	542 851,—

*Remarks*

This appropriation is intended to cover payments to experts of the European Economic and Social Committee under the current rules on reimbursement of transport costs and travel and meeting allowances.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

1 4 2 4 Interinstitutional cooperation and external services in the field of personnel management

Appropriations 2016	Appropriations 2015	Outturn 2014
30 000	30 000	17 000,—

*Remarks*

This appropriation is intended to cover all interinstitutional cooperation activities in the field of personnel management.

It is also intended to cover all external services in the same field.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

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CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)**1 4 9** *Provisional appropriation*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation was intended to cover the effects of any salary adjustments decided by the Council during the financial year and has been included in Items 1 2 0 0, 1 2 0 2 and 1 2 0 4.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 65 thereof and Annex XI thereto.

Conditions of Employment of Other Servants of the European Union.

## CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

**1 6 1** *Expenditure relating to staff management***1 6 1 0** Expenditure on recruitment

Appropriations 2016	Appropriations 2015	Outturn 2014
55 000	55 000	48 471,—

*Remarks*

This appropriation is intended to cover:

- expenditure on organising the competitions provided for in Article 3 of Decision 2002/621/EC and travel and subsistence expenses for applicants called for interviews and medical examinations,
- the costs of organising procedures for selecting temporary, contract and local staff.

In cases duly justified by operational needs and after consultation of the European Personnel Selection Office, the institution may use some of these appropriations to organise its own competitions.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 1** (*cont'd*)**1 6 1 0** (*cont'd*)

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

**1 6 1 2** Further training

Appropriations 2016	Appropriations 2015	Outturn 2014
586 000	506 000	487 205,—

*Remarks*

This appropriation is intended to cover:

- the organisation of training and retraining courses as well as language courses for staff, on an interinstitutional basis. Some of the appropriations may be used in duly justified cases to cover the organisation of courses within the institution,
- expenditure relating to the purchase or production of teaching materials and to the performance of specific studies by specialists for devising and implementing training programmes,
- professional training courses which raise awareness about matters concerning people with disabilities and training measures in connection with equal opportunities and career advice, with particular reference to skill profiles,
- mission costs.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

Conditions of Employment of Other Servants of the European Union.

**1 6 2** **Missions**

Appropriations 2016	Appropriations 2015	Outturn 2014
432 500	432 500	391 911,—

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 2** (*cont'd*)*Remarks*

This appropriation is intended to cover transport costs, the payment of daily subsistence allowances and incidental or exceptional expenses incurred on mission.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11, 12 and 13 of Annex VII thereto.

Conditions of Employment of Other Servants of the European Union.

**1 6 3** *Activities relating to all persons working with the institution***1 6 3 0** Social welfare

Appropriations 2016	Appropriations 2015	Outturn 2014
32 000	32 000	33 000,—

*Remarks*

This appropriation is intended to cover:

- as part of an interinstitutional policy to assist people with disabilities in the following categories:
  - officials and temporary staff in active employment,
  - spouses of officials and temporary staff in active employment,
  - all dependent children within the meaning of the Staff Regulations of Officials of the European Union,
- the reimbursement, to the extent permitted by the budget and after national entitlements in the country of residence or the country of origin have been exhausted, of expenses (other than medical expenses) recognised as necessary, resulting from the disability, supported by documentary evidence and not covered by the Joint Sickness Insurance Scheme,
- action taken in respect of officials and other servants in particularly difficult situations,

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 3** (*cont'd*)**1 6 3 0** (*cont'd*)

— the provision of services of a medical or social nature (such as home help, care for sick children, psychological counselling or mediation),

— incidental expenditure in the Welfare Service.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular the third subparagraph of Article 9(3) and Article 76 thereof.

**1 6 3 2** Social contacts between members of staff and other social measures

Appropriations 2016	Appropriations 2015	Outturn 2014
169 000	169 000	157 206,—

*Remarks*

This appropriation is intended to encourage and provide financial backing for schemes to promote social contact between staff of the institution and to develop a sense of well-being at work.

It also covers the provision of grants to the Staff Committee so that it can participate in the management and supervision of social bodies such as staff clubs, sports associations, cultural activities, hobbies, etc.

This appropriation is also intended to provide financial support for measures of a social nature adopted by the institution in close collaboration with the Staff Committee (Article 1e of the Staff Regulations).

It also covers the European Economic and Social Committee's contribution towards the social, sporting, educational and cultural activities of the European Interinstitutional Centre at Overijse in Belgium.

This appropriation also covers the implementation of a staff transport plan to encourage the use of public transport, cut private car use and reduce the carbon footprint.

**1 6 3 4** Medical service

Appropriations 2016	Appropriations 2015	Outturn 2014
115 000	80 000	66 000,—

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CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 3 (*cont'd*)1 6 3 4 (*cont'd*)*Remarks*

This appropriation is intended to cover the operating costs of the medical service at the three places of work, including the purchase of materials and pharmaceutical products, etc., expenditure on preventive medical check-ups, expenditure arising from the operation of the Invalidity Committee and expenditure on services provided by outside medical specialists deemed necessary by the medical officers.

It also covers expenditure involving the purchase of certain work tools deemed necessary on medical grounds.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 500.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

1 6 3 6 Restaurants and canteens

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover restaurant operating expenditure.

1 6 3 8 Early Childhood Centre and approved day nurseries

Appropriations 2016	Appropriations 2015	Outturn 2014
570 000	560 000	530 000,—

*Remarks*

This appropriation is intended to cover the contributions of the European Economic and Social Committee to the costs of the Union nursery centre and other day nurseries and after-school centres.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation from parental contributions is estimated at EUR 10 000.



CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 4      *Contribution to accredited European Schools***

## 1 6 4 0      Contribution to accredited Type II European Schools

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the EESC's contribution to the Type II European Schools accredited by the Board of Governors of the European Schools or the reimbursement to the Commission of the contribution to the Type II European Schools accredited by the Board of Governors of the European Schools paid by the Commission in the name and on behalf of the EESC and based on the Mandate and Service agreement signed with the Commission. It will cover the cost for children of the EESC's staff enrolled in a Type II European School.

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 2 0				
<b>2 0 0</b>	<b>Buildings</b>				
2 0 0 0	Rent				
	Non-differentiated appropriations	2 157 194	2 130 628	1 997 952,—	92,62
2 0 0 1	Annual lease payments and similar expenditure				
	Non-differentiated appropriations	11 877 440	11 755 909	11 499 917,—	96,82
2 0 0 3	Purchase of premises				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 5	Construction of buildings				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 7	Fitting-out of premises				
	Non-differentiated appropriations	197 114	320 328	816 468,—	414,21
2 0 0 8	Other expenditure on buildings				
	Non-differentiated appropriations	56 852	56 775	62 078,—	109,19
2 0 0 9	Provisional appropriation to cover the institution's property investments				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<i>Article 2 0 0 — Total</i>	14 288 600	14 263 640	14 376 415,—	100,61
<b>2 0 2</b>	<b>Other expenditure on buildings</b>				
2 0 2 2	Cleaning and maintenance				
	Non-differentiated appropriations	2 535 931	2 532 507	2 174 187,—	85,74
2 0 2 4	Energy consumption				
	Non-differentiated appropriations	792 631	792 675	460 160,—	58,05
2 0 2 6	Security and surveillance				
	Non-differentiated appropriations	2 035 451	2 052 711	1 998 457,—	98,18
2 0 2 8	Insurance				
	Non-differentiated appropriations	79 729	79 760	31 785,—	39,87
	<i>Article 2 0 2 — Total</i>	5 443 742	5 457 653	4 664 589,—	85,69
	<b>CHAPTER 2 0 — TOTAL</b>	19 732 342	19 721 293	19 041 004,—	96,50

**CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE**  
**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE**  
**CHAPTER 2 5 — OPERATIONAL ACTIVITIES**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>2 1 0</b>	<b>CHAPTER 2 1</b>				
	<b><i>Equipment, operating costs and services relating to data-processing and telecommunications</i></b>				
<b>2 1 0 0</b>	Purchase, servicing and maintenance of equipment and software, and related work				
	Non-differentiated appropriations	1 547 711	1 514 025	1 603 137,—	103,58
<b>2 1 0 2</b>	Outside assistance for the operation, development and maintenance of software systems				
	Non-differentiated appropriations	1 901 512	1 881 843	2 033 836,—	106,96
<b>2 1 0 3</b>	Telecommunications				
	Non-differentiated appropriations	1 368 304	1 376 959	1 324 454,—	96,80
	<i>Article 2 1 0 — Total</i>	4 817 527	4 772 827	4 961 427,—	102,99
<b>2 1 2</b>	<b><i>Furniture</i></b>				
	Non-differentiated appropriations	173 628	231 188	99 493,—	57,30
<b>2 1 4</b>	<b><i>Technical equipment and installations</i></b>				
	Non-differentiated appropriations	980 073	948 008	988 393,—	100,85
<b>2 1 6</b>	<b><i>Vehicles</i></b>				
	Non-differentiated appropriations	130 060	90 000	84 485,—	64,96
	<b>CHAPTER 2 1 — TOTAL</b>	6 101 288	6 042 023	6 133 798,—	100,53
	<b>CHAPTER 2 3</b>				
<b>2 3 0</b>	<b><i>Stationery, office supplies and miscellaneous consumables</i></b>				
	Non-differentiated appropriations	184 859	213 444	162 916,—	88,13
<b>2 3 1</b>	<b><i>Financial charges</i></b>				
	Non-differentiated appropriations	6 000	6 000	4 500,—	75,00
<b>2 3 2</b>	<b><i>Legal costs and damages</i></b>				
	Non-differentiated appropriations	95 000	85 000	105 270,—	110,81
<b>2 3 6</b>	<b><i>Postage on correspondence and delivery charges</i></b>				
	Non-differentiated appropriations	102 000	125 000	81 862,—	80,26
<b>2 3 8</b>	<b><i>Other administrative expenditure</i></b>				
	Non-differentiated appropriations	129 418	122 446	133 397,—	103,07
	<b>CHAPTER 2 3 — TOTAL</b>	517 277	551 890	487 945,—	94,33
	<b>CHAPTER 2 5</b>				
<b>2 5 4</b>	<b><i>Meetings, conferences, congresses, seminars and other events</i></b>				
<b>2 5 4 0</b>	Miscellaneous expenditure on internal meetings				
	Non-differentiated appropriations	227 430	227 430	256 892,—	112,95

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**CHAPTER 2 5 — OPERATIONAL ACTIVITIES** (*cont'd*)**CHAPTER 2 6 — COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>2 5 4</b>	( <i>cont'd</i> )				
2 5 4 2	Expenditure on the organisation of and participation in events				
	Non-differentiated appropriations	587 745	587 745	492 435,—	83,78
2 5 4 4	Costs of organising the work of the Consultative Commission on Industrial Change (CCMI)				
	Non-differentiated appropriations	75 000	74 000	30 767,—	41,02
2 5 4 6	Representation expenses				
	Non-differentiated appropriations	129 000	139 000	60 000,—	46,51
2 5 4 8	Interpreting				
	Non-differentiated appropriations	8 370 578	8 459 349	7 105 000,—	84,88
	<i>Article 2 5 4 — Total</i>	9 389 753	9 487 524	7 945 094,—	84,61
	<b>CHAPTER 2 5 — TOTAL</b>	9 389 753	9 487 524	7 945 094,—	84,61
	CHAPTER 2 6				
<b>2 6 0</b>	<b>Communication, information and publications</b>				
2 6 0 0	Communication				
	Non-differentiated appropriations	815 500	845 500	757 326,—	92,87
2 6 0 2	Publishing and promotion of publications				
	Non-differentiated appropriations	468 000	470 000	476 294,—	101,77
2 6 0 4	Official Journal				
	Non-differentiated appropriations	395 000	430 000	306 439,—	77,58
	<i>Article 2 6 0 — Total</i>	1 678 500	1 745 500	1 540 059,—	91,75
<b>2 6 2</b>	<b>Acquisition of information, documentation and archiving</b>				
2 6 2 0	Studies, research and hearings				
	Non-differentiated appropriations	155 000	155 000	87 862,—	56,69
2 6 2 2	Documentation and library expenditure				
	Non-differentiated appropriations	165 700	165 700	145 337,—	87,71
2 6 2 4	Archiving and related work				
	Non-differentiated appropriations	90 805	87 884	42 238,—	46,52
	<i>Article 2 6 2 — Total</i>	411 505	408 584	275 437,—	66,93
	<b>CHAPTER 2 6 — TOTAL</b>	2 090 005	2 154 084	1 815 496,—	86,87
	<b>Title 2 — Total</b>	<b>37 830 665</b>	<b>37 956 814</b>	<b>35 423 337,—</b>	<b>93,64</b>

**TITLE 2****BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE****CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS***Remarks*

Whenever the appropriation is intended to cover expenditure relating to the purchase, or the conclusion of a contract for the supply, of equipment, or the provision of services, the institution will consult the other institutions on the conditions which each has obtained.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 60 thereof.

**2 0 0 Buildings****2 0 0 0 Rent**

Appropriations 2016	Appropriations 2015	Outturn 2014
2 157 194	2 130 628	1 997 952,—

*Remarks*

This appropriation is intended to cover rent on buildings and rental charges for meetings held in buildings that are not occupied permanently.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 0 0 1 Annual lease payments and similar expenditure**

Appropriations 2016	Appropriations 2015	Outturn 2014
11 877 440	11 755 909	11 499 917,—

*Remarks*

This appropriation is intended to cover annual lease payments and other similar expenditure incurred by the institution in respect of its lease/purchase obligations.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS (*cont'd*)2 0 0 (*cont'd*)

## 2 0 0 3 Purchase of premises

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the purchase of premises. Subsidies for land and its servicing will be dealt with in accordance with the Financial Regulation.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## 2 0 0 5 Construction of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to accommodate a possible appropriation for the construction of buildings.

## 2 0 0 7 Fitting-out of premises

Appropriations 2016	Appropriations 2015	Outturn 2014
197 114	320 328	816 468,—

*Remarks*

This appropriation is intended to cover the performance of fitting-out work, including specific work such as cabling and security and restaurant-related work, etc., as well as other expenditure connected with that work, in particular architects' or engineers' fees, etc.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## 2 0 0 8 Other expenditure on buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
56 852	56 775	62 078,—

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 8** (*cont'd*)*Remarks*

This appropriation is intended to cover:

- expenditure on buildings not specifically provided for in the other articles in this chapter, in particular technical or architectural assistance in connection with studies and the preparation and monitoring of maintenance and other work in buildings,
- expenses for building adaptations for disabled employees and disabled visitors to the European Economic and Social Committee as identified by a disabled access audit which has already been agreed,
- public-utility service charges.

**2 0 0 9** Provisional appropriation to cover the institution's property investments

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover any property investments made by the institution.

It is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

**2 0 2** ***Other expenditure on buildings*****2 0 2 2** Cleaning and maintenance

Appropriations 2016	Appropriations 2015	Outturn 2014
2 535 931	2 532 507	2 174 187,—

*Remarks*

This appropriation is intended to cover the cost of cleaning and maintaining premises, lifts, heating and air-conditioning systems and fire doors, as well as rat extermination, repainting and repair work, and maintaining the external appearance of buildings and their environment, including the cost of studies, analyses, authorisations, compliance with Eco-Management and Audit Scheme (EMAS) standards, etc.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 2** (*cont'd*)**2 0 2 4** Energy consumption

Appropriations 2016	Appropriations 2015	Outturn 2014
792 631	792 675	460 160,—

*Remarks*

This appropriation is intended to cover, in particular, water, gas, electricity and heating costs.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 0 2 6** Security and surveillance

Appropriations 2016	Appropriations 2015	Outturn 2014
2 035 451	2 052 711	1 998 457,—

*Remarks*

This appropriation is intended to cover essentially the costs of staff carrying out security and surveillance tasks in respect of buildings.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 0 2 8** Insurance

Appropriations 2016	Appropriations 2015	Outturn 2014
79 729	79 760	31 785,—

*Remarks*

This appropriation is intended to cover payments in respect of insurance policy premiums.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

**CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE***Remarks*

Whenever the appropriation is intended to cover expenditure relating to the purchase, or the conclusion of a contract for the supply, of equipment, or the provision of services, the institution will consult the other institutions on the conditions which each has obtained.



CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE (*cont'd*)**2 1 0      *Equipment, operating costs and services relating to data-processing and telecommunications*****2 1 0 0      Purchase, servicing and maintenance of equipment and software, and related work**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 547 711	1 514 025	1 603 137,—

*Remarks*

This appropriation is intended to cover expenditure for the purchase, hire, servicing and maintenance of equipment and software for the institution, and related work.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 1 0 2      Outside assistance for the operation, development and maintenance of software systems**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 901 512	1 881 843	2 033 836,—

*Remarks*

This appropriation is intended to cover the cost of outside assistance from service bureaux and data-processing consultants in connection with the operation of the data-processing centre and the network, the production and maintenance of applications, support for users, including Members, the carrying out of studies, and the drawing-up and input of technical documentation.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 1 0 3      Telecommunications**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 368 304	1 376 959	1 324 454,—

*Remarks*

This appropriation is intended to cover wired and wireless telecommunication subscriptions and charges (fixed-line and mobile telephony, television), as well as costs incurred in connection with data transmission networks and telematic services. It also covers the co-financing of equipment for Members and delegates enabling them to receive the documents of the European Economic and Social Committee electronically.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 2 1 — DATA-PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE (*cont'd*)**2 1 2 Furniture**

Appropriations 2016	Appropriations 2015	Outturn 2014
173 628	231 188	99 493,—

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of furniture, including the purchase of ergonomic furniture, the replacement of worn-out and broken furniture and office machines.

In connection with works of art, this appropriation is intended to cover both the cost of acquiring and purchasing specific material and the current expenditure relating thereto, including framing, restoration, cleaning, insurance and ad hoc transport costs.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**2 1 4 Technical equipment and installations**

Appropriations 2016	Appropriations 2015	Outturn 2014
980 073	948 008	988 393,—

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of technical equipment and installations, and in particular of:

- miscellaneous fixed and mobile technical equipment and installations in connection with publishing, archiving, security, canteens and buildings, etc.,
- equipment in particular for the print shop, archives, telephone service, canteens, staff shops, security, conferences, the audiovisual sector, etc.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 35 000.

**2 1 6 Vehicles**

Appropriations 2016	Appropriations 2015	Outturn 2014
130 060	90 000	84 485,—

*Remarks*

This appropriation is intended to cover the purchase, maintenance, use and repair of vehicles (fleet of cars and bicycles) and the hire of cars, taxis, coaches and lorries, with or without drivers, including the necessary insurance cover.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 4 000.

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE****2 3 0 Stationery, office supplies and miscellaneous consumables**

Appropriations 2016	Appropriations 2015	Outturn 2014
184 859	213 444	162 916,—

*Remarks*

This appropriation is intended to cover the cost of purchasing paper, envelopes, office supplies, products required by the printing and duplicating shops and the cost of outside printing work.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

**2 3 1 Financial charges**

Appropriations 2016	Appropriations 2015	Outturn 2014
6 000	6 000	4 500,—

*Remarks*

This appropriation is intended to cover bank charges (commission, agios and miscellaneous charges) and other financial charges, including ancillary costs for the financing of buildings.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 100.

**2 3 2 Legal costs and damages**

Appropriations 2016	Appropriations 2015	Outturn 2014
95 000	85 000	105 270,—

*Remarks*

This appropriation is intended to cover:

— all costs deriving from the European Economic and Social Committee's involvement in cases before Union and national courts, the cost of legal services, the purchase of legal works and equipment, and any other legal, court or out-of-court expenses to which the Legal Service contributes,

— damages, interest and any related debts within the meaning of Article 11(3) of the Financial Regulation.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE (*cont'd*)2 3 6 *Postage on correspondence and delivery charges*

Appropriations 2016	Appropriations 2015	Outturn 2014
102 000	125 000	81 862,—

*Remarks*

This appropriation is intended to cover charges for postage, processing and delivery by the postal services or private delivery firms.

2 3 8 *Other administrative expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
129 418	122 446	133 397,—

*Remarks*

This appropriation is intended to cover:

- insurance not specifically provided for in another item,
- the purchase and maintenance of uniforms for ushers, drivers and removal personnel, medical services and various technical services,
- all removal and handling expenses and those incurred by using removal firms or by using the services of interim handling agents,
- miscellaneous operating expenses, such as for the purchase of railway and flight timetables, the publication of notices of the sale of second-hand equipment in newspapers, etc.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 500.

## CHAPTER 2 5 — OPERATIONAL ACTIVITIES

2 5 4 *Meetings, conferences, congresses, seminars and other events*

## 2 5 4 0 Miscellaneous expenditure on internal meetings

Appropriations 2016	Appropriations 2015	Outturn 2014
227 430	227 430	256 892,—

*Remarks*

This appropriation is intended to cover the cost of drinks and, occasionally, of snacks and working meals, at internal meetings.

**CHAPTER 2 5 — OPERATIONAL ACTIVITIES** (*cont'd*)**2 5 4** (*cont'd*)**2 5 4 0** (*cont'd*)

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 5 4 2** Expenditure on the organisation of and participation in events

Appropriations 2016	Appropriations 2015	Outturn 2014
587 745	587 745	492 435,—

*Remarks*

This appropriation is intended to cover expenses, including representation expenses as well as the cost of participation of external participants, related to (a) events organised by the European Economic and Social Committee, (b) overall contributions where the event is co-organised with third parties and (c) expenses connected with contracting out the organisation or part of the organisation of an event.

This appropriation also covers expenditure incurred as a result of (a) visits to the European Economic and Social Committee by delegations from socio-professional interest groups, (b) the participation of the European Economic and Social Committee in the activities of the International Association of Economic and Social Councils and Similar Institutions and (c) the activities of the Association of former Committee Members.

Amount of assigned revenue in accordance with Article 21 of the Financial Regulation: p.m.

**2 5 4 4** Costs of organising the work of the Consultative Commission on Industrial Change (CCMI)

Appropriations 2016	Appropriations 2015	Outturn 2014
75 000	74 000	30 767,—

*Remarks*

This appropriation is intended to cover the operating expenses of the Consultative Commission on Industrial Change (CCMI), excluding the travel expenses and allowances for Members of the European Economic and Social Committee and delegates of the CCMI.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 5 4 6** Representation expenses

Appropriations 2016	Appropriations 2015	Outturn 2014
129 000	139 000	60 000,—

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 2 5 — OPERATIONAL ACTIVITIES (*cont'd*)2 5 4 (*cont'd*)2 5 4 6 (*cont'd*)*Remarks*

This appropriation is intended to cover expenses related to the obligations of the institution regarding representation.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

2 5 4 8 Interpreting

Appropriations 2016	Appropriations 2015	Outturn 2014
8 370 578	8 459 349	7 105 000,—

*Remarks*

This appropriation is intended to cover expenditure for the services of interpreters (either freelance or made available by another institution) provided to the European Economic and Social Committee, including their fees, travel expenses and subsistence allowances.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

## CHAPTER 2 6 — COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION

2 6 0 *Communication, information and publications*

2 6 0 0 Communication

Appropriations 2016	Appropriations 2015	Outturn 2014
815 500	845 500	757 326,—

*Remarks*

This appropriation is intended to cover the European Economic and Social Committee's communication and information expenses, whether relating to the objectives and activities of the Committee, information activities aimed at the general public or socio-occupational organisations, media coverage of conferences, congresses and seminars, or the organisation and media coverage of major public events, cultural initiatives or any other of the Committee's various events, including the organised civil society prize. It also covers all materials, services, consumables and supplies connected with these events.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**CHAPTER 2 6 — COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION** (*cont'd*)**2 6 0** (*cont'd*)**2 6 0 2** Publishing and promotion of publications

Appropriations 2016	Appropriations 2015	Outturn 2014
468 000	470 000	476 294,—

*Remarks*

This appropriation is intended to cover the European Economic and Social Committee's publication costs on all media to promote publications and general information.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 50 000.

**2 6 0 4** Official Journal

Appropriations 2016	Appropriations 2015	Outturn 2014
395 000	430 000	306 439,—

*Remarks*

This appropriation is intended to cover printing expenses for publications in the *Official Journal of the European Union*, as well as postage and associated costs.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 125 000.

**2 6 2** ***Acquisition of information, documentation and archiving*****2 6 2 0** Studies, research and hearings

Appropriations 2016	Appropriations 2015	Outturn 2014
155 000	155 000	87 862,—

*Remarks*

This appropriation is intended to cover the cost of hearings of experts in specific fields and studies contracted out to experts and research institutes.

**2 6 2 2** Documentation and library expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
165 700	165 700	145 337,—

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

CHAPTER 2 6 — COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION (*cont'd*)2 6 2 (*cont'd*)2 6 2 2 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- the expansion and renewal of the general reference section and the updating of the library's collection,
- subscriptions to newspapers, periodicals, information agencies, their publications and online services, including copyright fees for the copying and distribution in print or electronic form of these publications, and service contracts for press reviews and cuttings,
- subscriptions or service contracts for the provision of summaries and analyses of the content of periodicals or the storage on optical media of articles extracted from these periodicals,
- the costs of using external documentary and statistical databases, excluding information technology equipment and telecommunication costs,
- costs arising from obligations undertaken by the European Economic and Social Committee in the framework of international and/or interinstitutional cooperation,
- the purchase or hire of special equipment, including electric, electronic and IT library, documentation and multimedia equipment and systems, as well as external services for the acquisition, development, installation, use and maintenance of this equipment and these systems,
- the cost of services connected with the activities of the library, including those provided for its users (searches, analyses), the quality management system, etc.,
- binding and conservation materials and work for the library, documentation service and multimedia resource centre,
- the cost, including materials, of internal publications (brochures, studies, etc.) and communication (newsletters, videos, CD-ROMs, etc.),
- the purchase of dictionaries, glossaries and other works for the language service.

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 500.

2 6 2 4 Archiving and related work

Appropriations 2016	Appropriations 2015	Outturn 2014
90 805	87 884	42 238,—



**CHAPTER 2 6 — COMMUNICATION, PUBLICATIONS AND ACQUISITION OF DOCUMENTATION** (*cont'd*)**2 6 2** (*cont'd*)**2 6 2 4** (*cont'd*)*Remarks*

This appropriation is intended to cover:

- the cost of binding the *Official Journal of the European Union* and various brochures,
- the cost of external archiving services, including sorting, filing and refiling, the cost of archiving services, the acquisition and use of archive materials on back-up media (microfilm, disk, cassette, etc.), as well as the purchase, hire and maintenance of special materials (electric, electronic, IT) and the cost of publishing on all media (brochures, CD-ROMs, etc.).

The amount of assigned revenue in accordance with points (a) to (h) of Article 21(3) of the Financial Regulation is estimated at EUR 500.

EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

**TITLE 10**  
**OTHER EXPENDITURE**

**CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS****CHAPTER 10 1 — CONTINGENCY RESERVE****CHAPTER 10 2 — RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 10 0	p.m.	433 750	0,—	
	CHAPTER 10 0 — TOTAL	p.m.	433 750	0,—	
	CHAPTER 10 1	p.m.	p.m.	0,—	
	CHAPTER 10 1 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 10 2	p.m.	p.m.	0,—	
	CHAPTER 10 2 — TOTAL	p.m.	p.m.	0,—	
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>433 750</b>	<b>0,—</b>	
	<b>GRAND TOTAL</b>	<b>130 171 475</b>	<b>129 055 970</b>	<b>122 947 165,—</b>	<b>94,45</b>

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	433 750	0,—

**CHAPTER 10 1 — CONTINGENCY RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

**CHAPTER 10 2 — RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

## EUROPEAN ECONOMIC AND SOCIAL COMMITTEE

## STAFF

## Section VI — European Economic and Social Committee

Function group and grade	European Economic and Social Committee			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
Non-category		1		1
AD 16	1		1	
AD 15	5		5	
AD 14	19	1	19	1
AD 13	37	3	37	3
AD 12	40		40	
AD 11	26		30	
AD 10	17	3	20	3
AD 9	28	7	23	7
AD 8	42		38	
AD 7	42	2	49	2
AD 6	37	1	48	1
AD 5	21	2	32	2
AD total	315	20	342	20
AST 11	4		4	
AST 10	10		10	
AST 9	11	1	12	1
AST 8	21		19	
AST 7	41	1	42	1
AST 6	50	4	54	4
AST 5	50	5	47	5
AST 4	44	1	42	1
AST 3	52	3	61	3
AST 2	17		34	
AST 1	0		6	
AST total	300	15	331	15
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3	13			
AST/SC 2	2			
AST/SC 1	5		5	
AST/SC total	20			
<b>Total</b>	<b>635</b>	<b>35</b>	<b>678</b>	<b>35</b>
<b>Grand total</b>	<b>670</b>		<b>713</b>	

*SECTION VII*

**COMMITTEE OF THE REGIONS**

COMMITTEE OF THE REGIONS

## REVENUE

**Contribution of the European Union to the financing of the expenditure of the  
Committee of the Regions for the financial year 2016**

Heading	Amount
Expenditure	90 248 203
Own resources	-8 120 673
<b>Contribution due</b>	<b>82 127 530</b>

## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 40 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 41 — CONTRIBUTIONS TO THE PENSION SCHEME

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>4 0 0</b>	CHAPTER 4 0 <i>Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension</i>	3 636 656	3 345 273	3 509 067,—	96,49
<b>4 0 3</b>	<i>Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment</i>	p.m.	p.m.	–31,—	
<b>4 0 4</b>	<i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>	706 771	596 477	681 974,—	96,49
	CHAPTER 4 0 — TOTAL	4 343 427	3 941 750	4 191 010,—	96,49
	CHAPTER 4 1				
<b>4 1 0</b>	<i>Staff contributions to the pension scheme</i>	3 772 117	3 755 729	3 639 775,—	96,49
<b>4 1 1</b>	<i>Transfer or purchase of pension rights by staff</i>	p.m.	p.m.	55 441,—	
<b>4 1 2</b>	<i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>	p.m.	p.m.	0,—	
	CHAPTER 4 1 — TOTAL	3 772 117	3 755 729	3 695 216,—	97,96
	<b>Title 4 — Total</b>	<b>8 115 544</b>	<b>7 697 479</b>	<b>7 886 226,—</b>	<b>97,17</b>

## COMMITTEE OF THE REGIONS

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

Financial year 2016	Financial year 2015	Financial year 2014
3 636 656	3 345 273	3 509 067,—

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	–31,—

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof, in the version in force until 15 December 2003.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
706 771	596 477	681 974,—

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
3 772 117	3 755 729	3 639 775,—



**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME** (*cont'd*)**4 1 0** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** ***Transfer or purchase of pension rights by staff***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	55 441,—

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 11(2) and Articles 17 and 48 of Annex VIII thereto.

**4 1 2** ***Contributions to the pension scheme by officials and temporary staff on leave on personal grounds***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 40(3) and 83(2) thereof.

Conditions of Employment of Other Servants of the European Union, and in particular Articles 41 and 43 thereof.

## COMMITTEE OF THE REGIONS

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 0				
5 0 0	<i>Proceeds from the sale of movable property</i>				
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.	0,—	
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue	p.m.	p.m.	0,—	
	Article 5 0 0 — Total	p.m.	p.m.	0,—	
5 0 2	<i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i>	p.m.	p.m.	0,—	
	CHAPTER 5 0 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 5 1				
5 1 0	<i>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</i>	p.m.	p.m.	0,—	
5 1 1	<i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i>				
5 1 1 0	Proceeds from letting and subletting immovable property — Assigned revenue	p.m.	p.m.	0,—	
5 1 1 1	Reimbursement of charges connected with lettings — Assigned revenue	p.m.	p.m.	0,—	
	Article 5 1 1 — Total	p.m.	p.m.	0,—	
	CHAPTER 5 1 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 5 2				
5 2 0	<i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i>	5 129	3 674	4 949,—	96,49
5 2 2	<i>Interest yielded by pre-financing</i>	p.m.	p.m.	0,—	
	CHAPTER 5 2 — TOTAL	5 129	3 674	4 949,—	96,49
	CHAPTER 5 5				
5 5 0	<i>Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i>	p.m.	p.m.	0,—	
5 5 1	<i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i>	p.m.	p.m.	0,—	
	CHAPTER 5 5 — TOTAL	p.m.	p.m.	0,—	



## COMMITTEE OF THE REGIONS

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institution.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institution.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 0 2 *Proceeds from the sale of publications, printed works and films — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

This article shall also include the proceeds of the sale of these products by electronic means.

**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING****5 1 0 *Proceeds from the hiring-out of furniture and equipment — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1 *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*****5 1 1 0 *Proceeds from letting and subletting immovable property — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1 1 *Reimbursement of charges connected with lettings — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0 *Revenue from investments or loans granted, bank and other interest on the institution's accounts***

Financial year 2016	Financial year 2015	Financial year 2014
5 129	3 674	4 949,—

*Remarks*

This article is intended to record revenue from investments or loans granted, bank and other interest on the institution's accounts.

## COMMITTEE OF THE REGIONS

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST *(cont'd)*5 2 2 *Interest yielded by pre-financing*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record revenue from interest on pre-financing.

## CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORK

5 5 0 *Proceeds from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 5 1 *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

5 7 0 *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (cont'd)

**5 7 0** (cont'd)

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to the institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 3** *Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION**
**5 8 0** *Revenue arising from indemnities connected with renting — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## COMMITTEE OF THE REGIONS

CHAPTER 5 8 — MISCELLANEOUS COMPENSATION (*cont'd*)5 8 1 *Revenue from insurance payments received — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

This article also includes reimbursement by insurance companies of the salaries of officials involved in accidents.

## CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS

5 9 0 *Other revenue from administrative operations*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	140,—

*Remarks*

This article is intended to record other revenue from administrative operations.



COMMITTEE OF THE REGIONS

## TITLE 9

## MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
9 0 0	CHAPTER 9 0				
	Miscellaneous revenue	p.m.	p.m.	0,—	
	CHAPTER 9 0 — TOTAL	p.m.	p.m.	0,—	
	Title 9 — Total	p.m.	p.m.	0,—	
	GRAND TOTAL	8 120 673	7 701 153	7 891 315,—	97,18

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**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0**      *Miscellaneous revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This article is intended to record miscellaneous revenue.

## EXPENDITURE

## General summary of appropriations (2016 and 2015) and outturn (2014)

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>1</b>	<b>PERSONS WORKING WITH THE INSTITUTION</b>			
1 0	MEMBERS OF THE INSTITUTION	9 172 955	8 960 603	8 413 084,—
	<i>Reserves (10 0)</i>		110 002	
		9 172 955	9 070 605	8 413 084,—
1 2	OFFICIALS AND TEMPORARY STAFF	47 591 026	46 688 057	46 312 290,—
	<i>Reserves (10 0)</i>		570 000	
		47 591 026	47 258 057	46 312 290,—
1 4	OTHER STAFF AND EXTERNAL SERVICES	8 980 251	8 533 733	7 577 816,—
	<i>Reserves (10 0)</i>		200 002	
		8 980 251	8 733 735	7 577 816,—
1 6	OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION	1 732 786	1 511 070	1 573 312,—
	<b>Title 1 — Total</b>	<b>67 477 018</b>	<b>65 693 463</b>	<b>63 876 502,—</b>
	<i>Reserves (10 0)</i>		880 004	
		<b>67 477 018</b>	<b>66 573 467</b>	<b>63 876 502,—</b>
<b>2</b>	<b>BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b>			
2 0	BUILDINGS AND ASSOCIATED COSTS	14 845 399	14 543 501	14 801 521,—
2 1	DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE	4 067 604	3 868 081	3 945 932,—
2 3	ADMINISTRATIVE EXPENDITURE	341 115	354 114	295 928,—
2 5	MEETINGS AND CONFERENCES	758 195	749 750	671 809,—
2 6	EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION	2 758 872	2 778 286	2 746 854,—
	<b>Title 2 — Total</b>	<b>22 771 185</b>	<b>22 293 732</b>	<b>22 462 044,—</b>
<b>10</b>	<b>OTHER EXPENDITURE</b>			
10 0	PROVISIONAL APPROPRIATIONS	p.m.	880 004	0,—
10 1	CONTINGENCY RESERVE	p.m.	p.m.	0,—
10 2	RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS	p.m.	p.m.	0,—
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>880 004</b>	<b>0,—</b>
	<b>GRAND TOTAL</b>	<b>90 248 203</b>	<b>88 867 199</b>	<b>86 338 546,—</b>

## COMMITTEE OF THE REGIONS

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 0				
<b>1 0 0</b>	<b>Salaries, allowances and payments</b>				
1 0 0 0	Salaries, allowances and payments				
	Non-differentiated appropriations	80 000	80 000	80 000,—	100,00
1 0 0 4	Travel and subsistence allowances, attendance at meetings and associated expenditure				
	Non-differentiated appropriations	9 077 955	8 865 603	8 313 084,—	91,57
	Reserves (10 0)		110 002		
		9 077 955	8 975 605	8 313 084,—	
	Article 1 0 0 — Total	9 157 955	8 945 603	8 393 084,—	91,65
	Reserves (10 0)		110 002		
		9 157 955	9 055 605	8 393 084,—	
<b>1 0 5</b>	<b>Courses for Members of the institution</b>				
	Non-differentiated appropriations	15 000	15 000	20 000,—	133,33
	CHAPTER 1 0 — TOTAL	9 172 955	8 960 603	8 413 084,—	91,72
	Reserves (10 0)		110 002		
		9 172 955	9 070 605	8 413 084,—	
	CHAPTER 1 2				
<b>1 2 0</b>	<b>Remuneration and other entitlements</b>				
1 2 0 0	Remuneration and allowances				
	Non-differentiated appropriations	47 206 026	45 874 465	45 956 396,—	97,35
	Reserves (10 0)		570 000		
		47 206 026	46 444 465	45 956 396,—	
1 2 0 2	Paid overtime				
	Non-differentiated appropriations	60 000	60 000	40 693,—	67,82
1 2 0 4	Entitlements on entering the service, transfer and leaving the service				
	Non-differentiated appropriations	325 000	350 000	315 201,—	96,98
	Article 1 2 0 — Total	47 591 026	46 284 465	46 312 290,—	97,31
	Reserves (10 0)		570 000		
		47 591 026	46 854 465	46 312 290,—	
<b>1 2 2</b>	<b>Allowances upon early termination of service</b>				
1 2 2 0	Allowances for staff retired in the interests of the service				
	Non-differentiated appropriations	p.m.	p.m.	0,—	

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)  
**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 2 2</b>	( <i>cont'd</i> )				
1 2 2 2	Allowances for staff whose service is terminated and special retirement scheme				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	Article 1 2 2 — Total	p.m.	p.m.	0,—	
<b>1 2 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	403 592	0,—	
	CHAPTER 1 2 — TOTAL	47 591 026	46 688 057	46 312 290,—	97,31
	Reserves (10 0)		570 000		
		47 591 026	47 258 057	46 312 290,—	
	CHAPTER 1 4				
<b>1 4 0</b>	<b>Other staff and external persons</b>				
1 4 0 0	Other staff				
	Non-differentiated appropriations	2 285 954	2 100 317	1 748 577,—	76,49
1 4 0 2	Interpreting services				
	Non-differentiated appropriations	4 271 694	4 430 760	4 490 700,—	105,13
1 4 0 4	Graduate traineeships, grants and exchanges of officials				
	Non-differentiated appropriations	817 858	760 460	631 820,—	77,25
1 4 0 8	Entitlements on entering the service, transfer and leaving the service and other expenditure for services to staff during their career				
	Non-differentiated appropriations	70 000	45 000	65 000,—	92,86
	Article 1 4 0 — Total	7 445 506	7 336 537	6 936 097,—	93,16
<b>1 4 2</b>	<b>External services</b>				
1 4 2 0	Supplementary services for the translation service				
	Non-differentiated appropriations	1 097 200	747 196	191 719,—	17,47
	Reserves (10 0)		200 002		
		1 097 200	947 198	191 719,—	
1 4 2 2	Expert assistance relating to consultative work				
	Non-differentiated appropriations	437 545	450 000	450 000,—	102,85
	Article 1 4 2 — Total	1 534 745	1 197 196	641 719,—	41,81
	Reserves (10 0)		200 002		
		1 534 745	1 397 198	641 719,—	

## COMMITTEE OF THE REGIONS

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 4 9</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 4 — TOTAL	8 980 251	8 533 733	7 577 816,—	84,38
	Reserves (10 0)		200 002		
		8 980 251	8 733 735	7 577 816,—	
	CHAPTER 1 6				
<b>1 6 1</b>	<b>Expenditure relating to staff management</b>				
1 6 1 0	Miscellaneous expenditure on recruitment				
	Non-differentiated appropriations	45 000	45 000	43 600,—	96,89
1 6 1 2	Further training, retraining and information for staff				
	Non-differentiated appropriations	435 136	425 070	425 062,—	97,68
	Article 1 6 1 — Total	480 136	470 070	468 662,—	97,61
<b>1 6 2</b>	<b>Missions</b>				
	Non-differentiated appropriations	382 500	382 500	432 500,—	113,07
<b>1 6 3</b>	<b>Activities relating to all persons working with the institution</b>				
1 6 3 0	Social welfare				
	Non-differentiated appropriations	20 000	20 000	12 500,—	62,50
1 6 3 2	Internal social policy				
	Non-differentiated appropriations	29 000	28 500	25 700,—	88,62
1 6 3 3	Mobility/Transport				
	Non-differentiated appropriations	50 000	50 000	60 000,—	120,00
1 6 3 4	Medical service				
	Non-differentiated appropriations	111 150	110 000	45 900,—	41,30
1 6 3 6	Restaurants and canteens				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
1 6 3 8	Early Childhood Centre and approved day nurseries				
	Non-differentiated appropriations	660 000	450 000	528 050,—	80,01
	Article 1 6 3 — Total	870 150	658 500	672 150,—	77,25

## CHAPTER 16 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (cont'd)

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## COMMITTEE OF THE REGIONS

## TITLE 1

## PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

**1 0 0      *Salaries, allowances and payments*****1 0 0 0      Salaries, allowances and payments**

Appropriations 2016	Appropriations 2015	Outturn 2014
80 000	80 000	80 000,—

*Remarks*

This appropriation is intended to cover the office expenses of Members called upon to perform duties and assume responsibilities within the Committee of the Regions or who have acted as rapporteurs. The second part of this appropriation is aimed at providing sickness and accident insurance premiums and specific assistance to disabled Members.

**1 0 0 4      Travel and subsistence allowances, attendance at meetings and associated expenditure**

	Appropriations 2016	Appropriations 2015	Outturn 2014
1 0 0 4	9 077 955	8 865 603	8 313 084,—
Reserves (10 0)		110 002	
Total	9 077 955	8 975 605	8 313 084,—

*Remarks*

This appropriation is intended to cover payments to Members of the Committee of the Regions and their alternates under the current rules on reimbursement of transport costs and travel and meeting allowances. It may as well cover transport costs and travel and meeting allowances of observers or their alternates of applicant countries who participate at the work of the Committee of the Regions.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**1 0 5      *Courses for Members of the institution***

Appropriations 2016	Appropriations 2015	Outturn 2014
15 000	15 000	20 000,—

*Remarks*

This appropriation is intended to subsidise part of the registration fees for language courses or other vocational training seminars attended by Members and alternate Members of the Committee of the Regions as well as the purchase of language self-tuition material in accordance with Regulation (Committee of the Regions) No 003/2005.



**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF***Remarks*

A standard abatement of 6,0 % has been applied to the appropriations entered in this chapter.

**1 2 0      *Remuneration and other entitlements*****1 2 0 0      Remuneration and allowances**

	Appropriations 2016	Appropriations 2015	Outturn 2014
1 2 0 0	47 206 026	45 874 465	45 956 396,—
Reserves (10 0)		570 000	
Total	47 206 026	46 444 465	45 956 396,—

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- salaries, family allowances, expatriation and foreign residence allowances and payments related to salaries,
- the institution's contribution to the Joint Sickness Insurance Scheme (insurance against sickness, accidents and occupational disease),
- flat-rate overtime allowances,
- other miscellaneous allowances and grants,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,
- the impact of salary weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment,
- unemployment insurance for temporary staff and payments by the institution to temporary staff in order to constitute or maintain their pension rights in their countries of origin,
- the severance payment of a probationer dismissed on grounds of manifest incompetence,
- the payment in respect of the termination by the institution of the contract of a temporary staff member.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 3 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## COMMITTEE OF THE REGIONS

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)

## 1 2 0 2 Paid overtime

Appropriations 2016	Appropriations 2015	Outturn 2014
60 000	60 000	40 693,—

*Remarks*

This appropriation is intended to cover the payment of overtime under the conditions set out in the abovementioned provisions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

Conditions of Employment of Other Servants of the European Union.

## 1 2 0 4 Entitlements on entering the service, transfer and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
325 000	350 000	315 201,—

*Remarks*

This appropriation is intended to cover:

- travel expenses due to officials and temporary staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)**1 2 2** *Allowances upon early termination of service***1 2 2 0** Allowances for staff retired in the interests of the service

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover allowances due to officials:

- assigned non-active status in connection with action to reduce the number of posts in the institution,
- holding an AD 16 or AD 15 grade post who are retired in the interests of the service.

It also covers the employer's contribution to sickness insurance and the impact of weightings applicable to those allowances.

**1 2 2 2** Allowances for staff whose service is terminated and special retirement scheme

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- allowances payable under the Staff Regulations or Regulation (ECSC, EEC, Euratom) No 3518/85,
- the employer's contribution towards sickness insurance for the persons in receipt of the allowances,
- the impact of the salary weightings applicable to the various allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

Council Regulation (ECSC, EEC, Euratom) No 3518/85 of 12 December 1985 introducing special measures to terminate the service of officials of the European Communities as a result of the accession of Spain and Portugal (OJ L 335, 13.12.1985, p. 56).

**1 2 9** *Provisional appropriation*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	403 592	0,—

## COMMITTEE OF THE REGIONS

CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 9 (*cont'd*)*Remarks*

This appropriation is intended to cover the effects of any salary adjustments decided by the Council during the financial year.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

## CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES

1 4 0 *Other staff and external persons*

## 1 4 0 0 Other staff

Appropriations 2016	Appropriations 2015	Outturn 2014
2 285 954	2 100 317	1 748 577,—

*Remarks*

This appropriation is intended to cover the following expenditure:

- the remuneration, including for overtime, of other staff including contract staff, interim agents and special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), the employer's contributions to the various social security schemes, family, expatriation and travelling allowances from the place of employment to the country of origin and the impact of salary weightings applicable to the remuneration of these staff or the termination of contract allowance,
- fees of medical and paramedical staff paid under the arrangements for the provision of services and, in special cases, the employment of temporary agency staff.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 13 000.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 0** (*cont'd*)**1 4 0 2** Interpreting services

Appropriations 2016	Appropriations 2015	Outturn 2014
4 271 694	4 430 760	4 490 700,—

*Remarks*

This appropriation is intended to cover expenditure on interpreting services.

It covers the fees, social security contributions, travel expenses and subsistence allowances of interpreters employed.

**1 4 0 4** Graduate traineeships, grants and exchanges of officials

Appropriations 2016	Appropriations 2015	Outturn 2014
817 858	760 460	631 820,—

*Remarks*

This appropriation is intended to cover:

- payment of traineeship grants, travel expenses for trainees and other expenditure arising from the institution's internship and trainee alumni programme (such as accident and sickness insurance during their stay),
- expenditure arising from movements of staff between the Committee of the Regions and the public sector in the Member States or other countries specified in the rules,
- the contribution, on a limited scale, to the realisation of research projects in the fields of activity of the Committee of the Regions which are of particular interest for European integration.

**1 4 0 8** Entitlements on entering the service, transfer and leaving the service and other expenditure for services to staff during their career

Appropriations 2016	Appropriations 2015	Outturn 2014
70 000	45 000	65 000,—

*Remarks*

This appropriation is intended to cover costs for services related to the establishment and payment of entitlements for officials, temporary and other staff of the Committee of the Regions. Since such services may include, amongst others, services offered by the European Commission's Office for the Administration and Payment of Individual Entitlements, interinstitutional cooperation will be enhanced and benefits will result from economies of scale, thus bringing about savings. Such services may include the following:

- the transfer of pension rights from and to the country of origin,
- the calculation of pension rights,

## COMMITTEE OF THE REGIONS

CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES (*cont'd*)1 4 0 (*cont'd*)1 4 0 8 (*cont'd*)

- the establishment and payment of resettlement allowances,
- the management of files relating to unemployment benefits and the payment of benefits to those who qualify.

It is also intended to cater for expenditure for the provision of other horizontal human resources related services to officials, temporary and other staff of the Committee of the Regions (and their family members) throughout their career such as opening up access for Committee of the Regions staff to the activities organised by the European Commission's Welcome Office and the handling of files related to Protocol No 7 on the privileges and immunities of the European Union. In order to generate further economies of scale, the provision of such services will, as a rule, be operated through enhanced interinstitutional cooperation.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 4 2 **External services**

1 4 2 0 Supplementary services for the translation service

	Appropriations 2016	Appropriations 2015	Outturn 2014
1 4 2 0	1 097 200	747 196	191 719,—
Reserves (10 0)		200 002	
Total	1 097 200	947 198	191 719,—

*Remarks*

This appropriation is intended to cover expenditure on work carried out by external translation contractors: freelance translation into 24 official Union languages and also into non-Union languages is performed by contractors under framework contracts, except in case of some non-Union languages where there are no similar procedures.

It also covers expenditure on any work entrusted to the Translation Centre in Luxembourg and all interinstitutional cooperation activities in the language area.

1 4 2 2 Expert assistance relating to consultative work

Appropriations 2016	Appropriations 2015	Outturn 2014
437 545	450 000	450 000,—

**CHAPTER 1 4 — OTHER STAFF AND EXTERNAL SERVICES** (*cont'd*)**1 4 2** (*cont'd*)1 4 2 2 (*cont'd*)*Remarks*

This appropriation is intended to cover payments to experts of rapporteurs and speakers in their specific fields who participate in the activities of the Committee of the Regions, in implementation of the rules governing these expenses.

**1 4 9** **Provisional appropriation**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the effects of any salary adjustments decided by the Council during the financial year.

This appropriation is purely provisional and may only be used after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION****1 6 1** **Expenditure relating to staff management**

1 6 1 0 Miscellaneous expenditure on recruitment

Appropriations 2016	Appropriations 2015	Outturn 2014
45 000	45 000	43 600,—

*Remarks*

This appropriation is intended to cover miscellaneous costs related to recruitment such as:

- expenditure related to the organisation of open and/or internal competitions, selection and/or recruitment procedures for all categories of staff (officials, temporary agents, contract agents, special advisors, seconded national experts), including travel and subsistence expenses for applicants called for oral or written tests, medical examinations, etc.,

## COMMITTEE OF THE REGIONS

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 1 (*cont'd*)1 6 1 0 (*cont'd*)

- expenditure related to the insurance for the abovementioned applicants,
- expenditure related to selection procedures for management positions, including assessment centres,
- publication of vacancy notices in the appropriate medias,
- etc.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

## 1 6 1 2 Further training, retraining and information for staff

Appropriations 2016	Appropriations 2015	Outturn 2014
435 136	425 070	425 062,—

*Remarks*

This appropriation is intended to cover:

- the organisation of training and retraining courses, including language courses, organised internally, offered on an interinstitutional basis or provided by external stakeholders,
- the organisation of staff or management seminars,
- acquisition of external expertise in the area of human resources management,
- the development and deployment of personal, professional or organisational development tools for officials, temporary and other staff of the Committee of the Regions,
- expenditure relating to the purchase or production of teaching materials,
- professional training courses which raise awareness about matters concerning disabled people and training measures in connection with equal opportunities or careers advice, with particular reference to skill profiles.



**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 1** (*cont'd*)**1 6 1 2** (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

**1 6 2** **Missions**

Appropriations 2016	Appropriations 2015	Outturn 2014
382 500	382 500	432 500,—

*Remarks*

This appropriation is intended to cover transport expenses, the payment of daily subsistence allowances and other expenses provided for in the Committee of the Regions missions guide incurred in carrying out a mission.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 2 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11 to 13 of Annex VII thereto.

Conditions of Employment of Other Servants of the European Union.

**1 6 3** **Activities relating to all persons working with the institution****1 6 3 0** Social welfare

Appropriations 2016	Appropriations 2015	Outturn 2014
20 000	20 000	12 500,—

*Remarks*

This appropriation is intended to cover:

- as part of an interinstitutional policy to assist persons with disabilities in the following categories:
  - officials and temporary or contract staff in active employment,
  - spouses of officials and temporary or contract staff in active employment,
  - dependent children within the meaning of the Staff Regulations of Officials of the European Union,

## COMMITTEE OF THE REGIONS

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 3 (*cont'd*)1 6 3 0 (*cont'd*)

- the reimbursement, subject to budgetary ceilings and once any national entitlements granted in the country of residence or of origin have been exhausted, of non-medical expenditure that is deemed necessary, results from the disability, is duly substantiated and is not refunded by the Joint Sickness Insurance Scheme,
- action taken in respect of individual officials and other servants in particularly difficult situations.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 76 thereof (including the corresponding provisions of Articles 30 and 98 of the Conditions of Employment of Other Servants of the European Union).

## 1 6 3 2 Internal social policy

Appropriations 2016	Appropriations 2015	Outturn 2014
29 000	28 500	25 700,—

*Remarks*

This appropriation is intended to develop collective social actions towards staff members (and their families) and to encourage, and provide financial backing for, schemes to promote social contact between staff of different nationalities (including external contractors' staff members regularly employed on Committee premises), for example subsidies to staff clubs, sports associations, cultural societies, etc.

It also covers the financing of a grant for the Staff Committee, incidental expenditure for social actions towards staff and the Committee of the Regions' contribution towards the social, sporting, educational and cultural activities of the European Interinstitutional Centre at Overijse.

This appropriation is also intended to finance actions undertaken in support of equal opportunities at the Committee of the Regions and to cover aid to members of staff other than aid chargeable to other articles in this chapter.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 9(3) and Articles 10b and 24b thereof.

## 1 6 3 3 Mobility/Transport

Appropriations 2016	Appropriations 2015	Outturn 2014
50 000	50 000	60 000,—

*Remarks*

This appropriation is intended to cover the measures foreseen in the mobility plan such as support to promote the use of public transport, service bicycles, etc.

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION** (*cont'd*)**1 6 3** (*cont'd*)**1 6 3 4** Medical service

Appropriations 2016	Appropriations 2015	Outturn 2014
111 150	110 000	45 900,—

*Remarks*

This appropriation is intended to cover the operating costs of the medical service at the six places of work, including the purchase of materials and pharmaceutical products, etc., expenditure on preventive medical check-ups (including the expenses for outsourced laboratory fees), expenditure arising from the operation of the Invalidity Committee and expenditure on services provided by outside medical specialists deemed necessary by the medical officers.

It also covers expenditure for the purchase of certain work tools deemed necessary on medical grounds and other expenditure made in the context of the institution's preventive health policy, including the organisation of staff awareness campaigns on socio-medical topics of general interest.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

**1 6 3 6** Restaurants and canteens

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover restaurant and cafeteria operating expenditure.

**1 6 3 8** Early Childhood Centre and approved day nurseries

Appropriations 2016	Appropriations 2015	Outturn 2014
660 000	450 000	528 050,—

*Remarks*

This appropriation is intended to cover the contributions of the Committee of the Regions to the costs of nursery centres and other day care and after-school centres operated by or approved by the institutions of the Union, and any other expenditure generated for child care facility purposes.

## COMMITTEE OF THE REGIONS

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 4      *Contribution to accredited European Schools*****1 6 4 0      Contribution to accredited Type II European Schools**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the Committee's contribution to the Type II European Schools accredited by the Board of Governors of the European Schools or the reimbursement to the Commission of the contribution to the Type II European Schools accredited by the Board of Governors of the European Schools paid by the Commission in the name and on behalf of the Committee and based on the Mandate and Service agreement signed with the Commission. It will cover the cost for children of the Committee's staff enrolled in a Type II European School.

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 2 0				
<b>2 0 0</b>	<b><i>Buildings and associated costs</i></b>				
2 0 0 0	Rent				
	Non-differentiated appropriations	1 601 113	1 581 779	1 509 537,—	94,28
2 0 0 1	Annual lease payments				
	Non-differentiated appropriations	8 778 978	8 672 838	8 856 626,—	100,88
2 0 0 3	Acquisition of immovable property				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 5	Construction of buildings				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
2 0 0 7	Fitting-out of premises				
	Non-differentiated appropriations	134 835	237 029	740 253,—	549,01
2 0 0 8	Other expenditure on buildings				
	Non-differentiated appropriations	42 021	42 011	94 805,—	225,61
2 0 0 9	Provisional appropriation to cover the institution's property investments				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<i>Article 2 0 0 — Total</i>	10 556 947	10 533 657	11 201 221,—	106,10
<b>2 0 2</b>	<b><i>Other expenditure on buildings</i></b>				
2 0 2 2	Cleaning and maintenance				
	Non-differentiated appropriations	1 874 383	1 873 942	1 743 402,—	93,01
2 0 2 4	Energy consumption				
	Non-differentiated appropriations	585 857	585 720	339 840,—	58,01
2 0 2 6	Security and surveillance of buildings				
	Non-differentiated appropriations	1 772 825	1 494 808	1 494 468,—	84,30
2 0 2 8	Insurance				
	Non-differentiated appropriations	55 387	55 374	22 590,—	40,79
	<i>Article 2 0 2 — Total</i>	4 288 452	4 009 844	3 600 300,—	83,95
	CHAPTER 2 0 — TOTAL	14 845 399	14 543 501	14 801 521,—	99,70

## COMMITTEE OF THE REGIONS

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE**  
**CHAPTER 2 3 — ADMINISTRATIVE EXPENDITURE**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 2 1				
<b>2 1 0</b>	<b><i>Equipment, operating costs and services relating to data processing and telecommunications</i></b>				
2 1 0 0	Purchase, servicing and maintenance of equipment and software, and related work				
	Non-differentiated appropriations	1 170 853	1 158 650	1 203 343,—	102,77
2 1 0 2	Outside assistance for the operation, development and maintenance of software systems				
	Non-differentiated appropriations	1 820 557	1 595 209	1 658 015,—	91,07
2 1 0 3	Telecommunications				
	Non-differentiated appropriations	189 147	189 102	163 589,—	86,49
	<i>Article 2 1 0 — Total</i>	3 180 557	2 942 961	3 024 947,—	95,11
<b>2 1 2</b>	<b><i>Furniture</i></b>				
	Non-differentiated appropriations	116 847	159 315	73 778,—	63,14
<b>2 1 4</b>	<b><i>Technical equipment and installations</i></b>				
	Non-differentiated appropriations	692 089	693 821	767 207,—	110,85
<b>2 1 6</b>	<b><i>Vehicles</i></b>				
	Non-differentiated appropriations	78 111	71 984	80 000,—	102,42
	<b>CHAPTER 2 1 — TOTAL</b>	4 067 604	3 868 081	3 945 932,—	97,01
	CHAPTER 2 3				
<b>2 3 0</b>	<b><i>Stationery, office supplies and miscellaneous consumables</i></b>				
	Non-differentiated appropriations	127 548	148 591	120 212,—	94,25
<b>2 3 1</b>	<b><i>Financial charges</i></b>				
	Non-differentiated appropriations	2 000	4 500	1 125,—	56,25
<b>2 3 2</b>	<b><i>Legal costs and damages</i></b>				
	Non-differentiated appropriations	30 000	30 000	30 000,—	100,00
<b>2 3 6</b>	<b><i>Postage on correspondence and delivery charges</i></b>				
	Non-differentiated appropriations	76 500	86 800	53 920,—	70,48
<b>2 3 8</b>	<b><i>Other administrative expenditure</i></b>				
	Non-differentiated appropriations	105 067	84 223	90 671,—	86,30
	<b>CHAPTER 2 3 — TOTAL</b>	341 115	354 114	295 928,—	86,75

**CHAPTER 2 5 — MEETINGS AND CONFERENCES****CHAPTER 2 6 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 2 5				
<b>2 5 4</b>	<b><i>Meetings, conferences, congresses, seminars and other events</i></b>				
2 5 4 0	Internal meetings				
	Non-differentiated appropriations	100 000	100 000	85 000,—	85,00
2 5 4 1	Third parties				
	Non-differentiated appropriations	76 990	77 000	37 595,—	48,83
2 5 4 2	Organisation of events (in Brussels or in decentralised locations) in partnership with local and regional authorities, with their associations and with the other Union institutions				
	Non-differentiated appropriations	431 205	422 750	399 214,—	92,58
2 5 4 6	Representation expenses				
	Non-differentiated appropriations	150 000	150 000	150 000,—	100,00
	<i>Article 2 5 4 — Total</i>	758 195	749 750	671 809,—	88,61
	CHAPTER 2 5 — TOTAL	758 195	749 750	671 809,—	88,61
	CHAPTER 2 6				
<b>2 6 0</b>	<b><i>Communication and publications</i></b>				
2 6 0 0	Relations with the press (European, national, regional, local or specialised) and conclusion of partnerships with audiovisual, written or radio media				
	Non-differentiated appropriations	668 834	655 720	735 557,—	109,98
2 6 0 2	Editing and distribution of paper, audiovisual, electronic or web-based (internet/intranet) information support				
	Non-differentiated appropriations	774 471	808 305	715 552,—	92,39
2 6 0 4	Official Journal				
	Non-differentiated appropriations	150 000	150 000	187 500,—	125,00
	<i>Article 2 6 0 — Total</i>	1 593 305	1 614 025	1 638 609,—	102,84
<b>2 6 2</b>	<b><i>Acquisition of documentation and archiving</i></b>				
2 6 2 0	External expertise and studies				
	Non-differentiated appropriations	449 409	449 409	432 808,—	96,31
2 6 2 2	Documentation and library expenditure				
	Non-differentiated appropriations	125 458	128 292	105 645,—	84,21
2 6 2 4	Expenditure on archive resources				
	Non-differentiated appropriations	121 500	126 560	144 892,—	119,25
	<i>Article 2 6 2 — Total</i>	696 367	704 261	683 345,—	98,13

COMMITTEE OF THE REGIONS

**CHAPTER 2 6 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION**  
(*cont'd*)

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>2 6 4</b>	<b><i>Expenditure on publications, information and on participation in public events: information and communication activities</i></b>				
	Non-differentiated appropriations	469 200	460 000	424 900,—	90,56
	CHAPTER 2 6 — TOTAL	<b>2 758 872</b>	<b>2 778 286</b>	<b>2 746 854,—</b>	<b>99,56</b>
	<b>Title 2 — Total</b>	<b>22 771 185</b>	<b>22 293 732</b>	<b>22 462 044,—</b>	<b>98,64</b>



**TITLE 2****BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE***Remarks*

In 2015, the joint services of the two committees, under Title 2, represented an amount of EUR 24 016 993 for the European Economic and Social Committee and EUR 17 695 576 for the Committee of the Regions.

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS****2 0 0      *Buildings and associated costs*****2 0 0 0      Rent**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 601 113	1 581 779	1 509 537,—

*Remarks*

This appropriation is intended to cover rent on buildings and rental charges for meetings held in buildings that are not occupied permanently.

**2 0 0 1      Annual lease payments**

Appropriations 2016	Appropriations 2015	Outturn 2014
8 778 978	8 672 838	8 856 626,—

*Remarks*

This appropriation is intended to cover annual lease payments and other similar expenditure owed by the institution in respect of its lease/purchase obligations.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 500.

**2 0 0 3      Acquisition of immovable property**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the purchase of premises. Subsidies for land and its servicing will be dealt with in accordance with the Financial Regulation.

## COMMITTEE OF THE REGIONS

CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS (*cont'd*)2 0 0 (*cont'd*)

## 2 0 0 5 Construction of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to accommodate a possible appropriation for the construction of buildings.

## 2 0 0 7 Fitting-out of premises

Appropriations 2016	Appropriations 2015	Outturn 2014
134 835	237 029	740 253,—

*Remarks*

This appropriation is intended to cover the carrying-out of works or the fitting-out of premises, including specific work such as security and restaurant-related work, etc. It also includes renovation projects within the Eco-Management and Audit Scheme (EMAS) framework aimed at reducing energy consumption.

## 2 0 0 8 Other expenditure on buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
42 021	42 011	94 805,—

*Remarks*

This appropriation is intended to cover expenditure on buildings not specifically provided for in the other articles in this chapter, in particular:

- engineering consultancy services in connection with projects on the fitting-out of premises and legal fees in connection with a possible 'option to buy' for buildings,
- EMAS consultancy services,
- other studies for various projects.

## 2 0 0 9 Provisional appropriation to cover the institution's property investments

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 9** (*cont'd*)*Remarks*

This appropriation is intended to cover any property investments made by the institution.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

**2 0 2** ***Other expenditure on buildings*****2 0 2 2** Cleaning and maintenance

Appropriations 2016	Appropriations 2015	Outturn 2014
1 874 383	1 873 942	1 743 402,—

*Remarks*

This appropriation is intended to cover the cost of cleaning and maintaining premises, lifts, heating and air-conditioning systems and fire doors, as well as rat extermination, repainting and repair work, and maintaining the external appearance of buildings and their environment, including the cost of studies, analyses, authorisations, compliance with EMAS standards, etc.

**2 0 2 4** Energy consumption

Appropriations 2016	Appropriations 2015	Outturn 2014
585 857	585 720	339 840,—

*Remarks*

This appropriation is intended to cover, in particular, water, gas and electricity costs.

**2 0 2 6** Security and surveillance of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
1 772 825	1 494 808	1 494 468,—

*Remarks*

This appropriation is intended to cover essentially the costs of staff carrying out security and surveillance tasks in respect of buildings.

## COMMITTEE OF THE REGIONS

CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS *(cont'd)*2 0 2 *(cont'd)*

## 2 0 2 8 Insurance

Appropriations 2016	Appropriations 2015	Outturn 2014
55 387	55 374	22 590,—

*Remarks*

This appropriation is intended to cover payments in respect of insurance policy premiums.

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE

2 1 0 *Equipment, operating costs and services relating to data processing and telecommunications*

## 2 1 0 0 Purchase, servicing and maintenance of equipment and software, and related work

Appropriations 2016	Appropriations 2015	Outturn 2014
1 170 853	1 158 650	1 203 343,—

*Remarks*

This appropriation is intended to cover expenditure for the purchase, hire, servicing and maintenance of equipment and software for the institution, and related work.

## 2 1 0 2 Outside assistance for the operation, development and maintenance of software systems

Appropriations 2016	Appropriations 2015	Outturn 2014
1 820 557	1 595 209	1 658 015,—

*Remarks*

This appropriation is intended to cover the cost of outside assistance from service bureaux and data-processing consultants in connection with the operation of the data-processing centre and the network, the production and maintenance of applications, support for users, including Members, the carrying out of studies, and the drawing-up and input of technical documentation.

## 2 1 0 3 Telecommunications

Appropriations 2016	Appropriations 2015	Outturn 2014
189 147	189 102	163 589,—

**CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE** (*cont'd*)**2 1 0** (*cont'd*)**2 1 0 3** (*cont'd*)*Remarks*

This appropriation is intended to cover wired and wireless telecommunication subscriptions and charges (fixed-line and mobile telephony, television), as well as costs incurred in connection with data transmission networks and telematic services.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

**2 1 2** ***Furniture***

Appropriations 2016	Appropriations 2015	Outturn 2014
116 847	159 315	73 778,—

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of furniture, including the purchase of ergonomic furniture and the replacement of worn-out and broken furniture.

In connection with works of art, this appropriation is intended to cover both the cost of acquiring and purchasing specific material and the current expenditure relating thereto, including framing, restoration, cleaning, insurance and ad hoc transport costs.

**2 1 4** ***Technical equipment and installations***

Appropriations 2016	Appropriations 2015	Outturn 2014
692 089	693 821	767 207,—

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of technical equipment and installations, and in particular of:

- miscellaneous fixed and mobile technical equipment and installations in connection with publishing, archiving, security, canteens and buildings, etc.,
- equipment in particular for the print shop, archives, telephone service, canteens, staff shops, security, conferences, the audiovisual sector, etc.,
- maintenance and repair of technical equipment and installations of internal meeting and conference rooms.

## COMMITTEE OF THE REGIONS

CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE (*cont'd*)**2 1 6 Vehicles**

Appropriations 2016	Appropriations 2015	Outturn 2014
78 111	71 984	80 000,—

*Remarks*

This appropriation is intended to cover the purchase, maintenance, use and repair of vehicles (fleet of cars and bicycles) and the hire of cars, taxis, coaches and lorries, with or without drivers, including the necessary insurance cover.

## CHAPTER 2 3 — ADMINISTRATIVE EXPENDITURE

**2 3 0 Stationery, office supplies and miscellaneous consumables**

Appropriations 2016	Appropriations 2015	Outturn 2014
127 548	148 591	120 212,—

*Remarks*

This appropriation is intended to cover the costs of purchasing paper, envelopes, office supplies, products required by the printing and duplicating shops and the cost of some outside printing work.

**2 3 1 Financial charges**

Appropriations 2016	Appropriations 2015	Outturn 2014
2 000	4 500	1 125,—

*Remarks*

This appropriation is intended to cover bank charges (commission, agios and miscellaneous charges) and other financial charges, including ancillary costs for the financing of buildings.

**2 3 2 Legal costs and damages**

Appropriations 2016	Appropriations 2015	Outturn 2014
30 000	30 000	30 000,—

*Remarks*

This appropriation is intended to cover:

- all costs deriving from the Committee of the Regions' involvement in cases before Union and national courts, the cost of legal services, the purchase of legal works and equipment, and any other legal, court or out-of-court expenses,
- damages, interest and any related debts within the meaning of Article 11(3) of the Financial Regulation.

CHAPTER 2 3 — ADMINISTRATIVE EXPENDITURE (*cont'd*)**2 3 6** *Postage on correspondence and delivery charges*

Appropriations 2016	Appropriations 2015	Outturn 2014
76 500	86 800	53 920,—

*Remarks*

This appropriation is intended to cover charges for postage, processing and delivery by the postal services or private delivery firms.

**2 3 8** *Other administrative expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
105 067	84 223	90 671,—

*Remarks*

This appropriation is intended to cover:

- insurance not specifically provided for in another item,
- the purchase and maintenance of uniforms for ushers, drivers and removal personnel, medical services and various technical services,
- all removal and handling expenses and those incurred by using removal firms or by using the services of interim handling agents,
- miscellaneous operating expenses, such as decorations, donations, etc.

## CHAPTER 2 5 — MEETINGS AND CONFERENCES

**2 5 4** *Meetings, conferences, congresses, seminars and other events***2 5 4 0** Internal meetings

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	100 000	85 000,—

*Remarks*

This appropriation is intended to cover the cost of drinks and, occasionally, of snacks and working meals at internal meetings.

## COMMITTEE OF THE REGIONS

CHAPTER 2 5 — MEETINGS AND CONFERENCES (*cont'd*)2 5 4 (*cont'd*)

## 2 5 4 1 Third parties

Appropriations 2016	Appropriations 2015	Outturn 2014
76 990	77 000	37 595,—

*Remarks*

This appropriation is intended to cover the payment of travel and accommodation expenses for third parties who participate at activities of the Committee of the Regions.

## 2 5 4 2 Organisation of events (in Brussels or in decentralised locations) in partnership with local and regional authorities, with their associations and with the other Union institutions

Appropriations 2016	Appropriations 2015	Outturn 2014
431 205	422 750	399 214,—

*Remarks*

This appropriation is intended to cover expenses, including representation and logistical expenses for:

- the organisation by the Committee of the Regions of events, of general or specific nature, designed to promote its political and consultative works; such events take place either in Brussels or in decentralised locations, usually in partnerships with local and regional authorities, with their associations and with the other Union institutions,
- the participation of the Committee of the Regions to congresses, conferences, colloquia, seminars or symposia organised by third parties (Union institutions, local or regional authorities, their associations etc.).

## 2 5 4 6 Representation expenses

Appropriations 2016	Appropriations 2015	Outturn 2014
150 000	150 000	150 000,—

*Remarks*

This appropriation is intended to cover expenses related to the obligations of the institution regarding representation.

It also covers representation expenses of certain officials acting on behalf of the institution.



**CHAPTER 2 6 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION****2 6 0      *Communication and publications***

**2 6 0 0**      Relations with the press (European, national, regional, local or specialised) and conclusion of partnerships with audiovisual, written or radio media

Appropriations 2016	Appropriations 2015	Outturn 2014
668 834	655 720	735 557,—

*Remarks*

This appropriation is intended to cover the costs of/for:

- hosting the local and regional journalists in Brussels during the meetings of, and during events organised by, the Committee of the Regions,
- public communications and information initiatives of the Committee of the Regions for the promotion of cultural events and any other type of event or action organised by the Committee of the Regions, including any related audiovisual services and materials,
- editorial partnerships and production support (editing of newspapers, audiovisual or radio productions).

**2 6 0 2**      Editing and distribution of paper, audiovisual, electronic or web-based (internet/intranet) information support

Appropriations 2016	Appropriations 2015	Outturn 2014
774 471	808 305	715 552,—

*Remarks*

This appropriation is intended to cover the costs of editing and publishing publications of the Committee of the Regions through any type of medias, in particular:

- the editing and publishing of paper booklets with a general or thematic content,
- the production of e-newsletters on the website of the Committee of the Regions with distribution to local and regional authorities and to regional and national media,
- the development of the official internet website of the Committee of the Regions in 24 language versions,
- the production of video and other audiovisual or radio documents.

**2 6 0 4**      Official Journal

Appropriations 2016	Appropriations 2015	Outturn 2014
150 000	150 000	187 500,—

*Remarks*

This appropriation is intended to cover printing expenses for publications in the *Official Journal of the European Union*, as well as postage and associated costs.

## COMMITTEE OF THE REGIONS

CHAPTER 2 6 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION (*cont'd*)**2 6 2      *Acquisition of documentation and archiving*****2 6 2 0      External expertise and studies**

Appropriations 2016	Appropriations 2015	Outturn 2014
449 409	449 409	432 808,—

*Remarks*

This appropriation is intended to cover studies contracted out to experts and research institutes.

**2 6 2 2      Documentation and library expenditure**

Appropriations 2016	Appropriations 2015	Outturn 2014
125 458	128 292	105 645,—

*Remarks*

This appropriation is intended to cover:

- the expansion and renewal of the general reference section and the updating of the library's collection,
- subscriptions to newspapers, periodicals, information agencies, their publications and online services, including copyright fees for the copying and distribution in print or electronic form of these publications,
- subscriptions or service contracts for the provision of summaries and analyses of the content of periodicals or the storage on optical media of articles extracted from these periodicals,
- the costs of using external documentary and statistical databases, excluding information technology equipment and telecommunication costs,
- costs arising from obligations undertaken by the Committee of the Regions in the framework of international and/or interinstitutional cooperation,
- the purchase or hire of special equipment, including electric, electronic and IT materials and/or systems for library (traditional or hybrid), as well as external services for the acquisition, development, installation, use and maintenance of this equipment and these systems,
- the cost of services connected with the activities of the library, including those provided for its users (searches, analyses), the quality management system, etc.,
- the costs of binding and conservation materials and work for the library, documentation service and multimedia resource centre,
- the purchase of dictionaries, glossaries and other reference works for the Directorate for Translation.

CHAPTER 2 6 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISTRIBUTION (*cont'd*)2 6 2 (*cont'd*)

2 6 2 4 Expenditure on archive resources

Appropriations 2016	Appropriations 2015	Outturn 2014
121 500	126 560	144 892,—

*Remarks*

This appropriation is intended to cover the cost of external archiving services, including sorting, filing and re-filing, the cost of archiving services, the acquisition and use of archive materials on back-up media (microfilm, disk, cassette, etc.), as well as the purchase, hire and maintenance of special materials (electric, electronic, IT) and the cost of publishing on all media (brochures, CD-ROMs, etc.).

**2 6 4** ***Expenditure on publications, information and on participation in public events: information and communication activities***

Appropriations 2016	Appropriations 2015	Outturn 2014
469 200	460 000	424 900,—

*Remarks*

This appropriation is intended to cover expenditure resulting from the political and information activities of Committee Members in the exercise of their European mandate:

- promoting and enhancing the role of the Members of the Committee of the Regions through their political groups' activities,
- informing citizens on the role of the Committee of the Regions as the institutional representative of the regional and local authorities in the Union.

*Legal basis*

Regulation (Committee of the Regions) No 0008/2010 on the financing of the political and information activities of Members of the Committee of the Regions.

COMMITTEE OF THE REGIONS

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS****CHAPTER 10 1 — CONTINGENCY RESERVE****CHAPTER 10 2 — RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 10 0	p.m.	880 004	0,—	
	CHAPTER 10 0 — TOTAL	p.m.	880 004	0,—	
	CHAPTER 10 1	p.m.	p.m.	0,—	
	CHAPTER 10 1 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 10 2	p.m.	p.m.	0,—	
	CHAPTER 10 2 — TOTAL	p.m.	p.m.	0,—	
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>880 004</b>	<b>0,—</b>	
	<b>GRAND TOTAL</b>	<b>90 248 203</b>	<b>88 867 199</b>	<b>86 338 546,—</b>	<b>95,67</b>

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	880 004	0,—

*Remarks*

This appropriation is purely provisional and may be used only after transfer to other budget chapters in accordance with the Financial Regulation.

**CHAPTER 10 1 — CONTINGENCY RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

**CHAPTER 10 2 — RESERVE TO PROVIDE FOR THE TAKEOVER OF BUILDINGS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

## COMMITTEE OF THE REGIONS

## STAFF

## Section VII — Committee of the Regions

Function group and grade	Committee of the Regions			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
Non-category		1		1
AD 16				
AD 15	6		6	
AD 14	24	3	23	1
AD 13	19	2	19	3
AD 12	25	2	25	3
AD 11	21	1	22	1
AD 10	20	3	19	3
AD 9	30	4	27	3
AD 8	56		58	1
AD 7	33	7	39	7
AD 6	30	11	48	11
AD 5				
AD total	264	34	286	34
AST 11	5		5	
AST 10	5		5	
AST 9	7		6	
AST 8	13		12	
AST 7	19	3	18	3
AST 6	29		28	
AST 5	50	7	49	7
AST 4	36	3	38	3
AST 3	6	2	9	1
AST 2	10	1	19	2
AST 1				
AST total	180	16	189	16
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2	2		2	
AST/SC 1				
AST/SC total	2		2	
<b>Grand total</b>	<b>446</b>	<b>50</b>	<b>477</b>	<b>50</b>
<b>Total staff</b>	<b>496 <sup>(1)</sup></b>		<b>527</b>	

<sup>(1)</sup> Figures are subject to change following the estimated result of the implementation of the Cooperation Agreement between the Committee of the Regions and the European Parliament. The final result will be known most probably in April 2016, when the secondments will finish.

*SECTION VIII*

**EUROPEAN OMBUDSMAN**

EUROPEAN OMBUDSMAN

**REVENUE****Contribution of the European Union to the financing of the expenditure of the  
European Ombudsman for the financial year 2016**

Heading	Amount
Expenditure	10 658 951
Own resources	– 1 232 850
<b>Contribution due</b>	<b>9 426 101</b>



## OWN RESOURCES

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 4 0				
4 0 0	<i>Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension</i>	644 005	633 691	659 473,—	102,40
4 0 4	<i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>	70 335	51 854	95 684,—	136,04
	CHAPTER 4 0 — TOTAL	714 340	685 545	755 157,—	105,71
	CHAPTER 4 1				
4 1 0	<i>Staff contributions to the pension scheme</i>	518 510	539 267	476 846,—	91,96
4 1 1	<i>Transfer or purchase of pension rights by staff</i>	p.m.	p.m.	0,—	
4 1 2	<i>Contributions to the pension scheme by officials and other agents on leave on personal grounds</i>	p.m.	p.m.	0,—	
	CHAPTER 4 1 — TOTAL	518 510	539 267	476 846,—	91,96
	Title 4 — Total	1 232 850	1 224 812	1 232 003,—	99,93

## TITLE 4

## REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

## CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

**4 0 0** *Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials, other servants and persons in receipt of a pension*

Financial year 2016	Financial year 2015	Financial year 2014
644 005	633 691	659 473,—

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15), and in particular Article 10(2) and (3) thereof.

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
70 335	51 854	95 684,—

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Staff Regulations of Officials of the European Union, in particular Article 66a thereof, and Conditions of Employment of Other Servants of the European Union.

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15), and in particular Article 10(2) and (3) thereof.

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME****4 1 0      *Staff contributions to the pension scheme***

Financial year 2016	Financial year 2015	Financial year 2014
518 510	539 267	476 846,—

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1      *Transfer or purchase of pension rights by staff***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 4, Article 11(2) and (3) thereof and Article 48 of Annex VIII thereto.

**4 1 2      *Contributions to the pension scheme by officials and other agents on leave on personal grounds***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Staff Regulations of Officials of the European Union, in particular Article 40(3) thereof, and Article 17 of the Conditions of Employment of Other Servants of the European Union.

EUROPEAN OMBUDSMAN

## TITLE 6

## CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 66 — OTHER CONTRIBUTIONS AND REFUNDS

[illegible]

**TITLE 6****CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES****CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0      *Other contributions and refunds*****6 6 0 0      Other assigned contributions and refunds — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

This item is intended to record, pursuant to Article 21 of the Financial Regulation, any revenue not provided for in other parts of Title 6 and which is used to provide additional appropriations to finance expenditure to which this revenue is assigned.

EUROPEAN OMBUDSMAN

## TITLE 9

## MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
<b>9 0 0</b>	CHAPTER 9 0				
	Miscellaneous revenue	p.m.	p.m.	9 184,—	
	CHAPTER 9 0 — TOTAL	p.m.	p.m.	9 184,—	
	Title 9 — Total	p.m.	p.m.	9 184,—	
	GRAND TOTAL	1 232 850	1 224 812	1 241 187,—	100,68

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0*****Miscellaneous revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	9 184,—

***Remarks***

This article is intended to record miscellaneous revenue.

EUROPEAN OMBUDSMAN

**EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>1</b>	<b>EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION</b>			
1 0	MEMBERS OF THE INSTITUTION	591 880	645 293	711 447,53
1 2	OFFICIALS AND TEMPORARY STAFF	6 999 269	6 727 510	6 719 115,41
1 4	OTHER STAFF AND OUTSIDE SERVICES	649 502	562 502	436 190,45
1 6	OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION	381 000	321 000	60 388,95
	<b>Title 1 — Total</b>	<b>8 621 651</b>	<b>8 256 305</b>	<b>7 927 142,34</b>
<b>2</b>	<b>BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE</b>			
2 0	BUILDINGS AND ASSOCIATED COSTS	860 000	749 000	715 000,—
2 1	DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE	234 000	167 000	109 457,58
2 3	CURRENT ADMINISTRATIVE EXPENDITURE	444 000	596 500	462 136,80
	<b>Title 2 — Total</b>	<b>1 538 000</b>	<b>1 512 500</b>	<b>1 286 594,38</b>
<b>3</b>	<b>EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION</b>			
3 0	MEETINGS AND CONFERENCES	238 000	238 000	197 205,01
3 2	EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION	242 000	335 000	209 150,24
3 3	STUDIES AND OTHER SUBSIDIES	17 800	2 800	25 320,10
3 4	EXPENSES RELATING TO THE OMBUDSMAN'S DUTIES	1 500	1 500	2 100,—
	<b>Title 3 — Total</b>	<b>499 300</b>	<b>577 300</b>	<b>433 775,35</b>
<b>10</b>	<b>OTHER EXPENDITURE</b>			
10 0	PROVISIONAL APPROPRIATIONS	p.m.	p.m.	0,—
10 1	CONTINGENCY RESERVE	p.m.	p.m.	0,—
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>p.m.</b>	<b>0,—</b>
	<b>GRAND TOTAL</b>	<b>10 658 951</b>	<b>10 346 105</b>	<b>9 647 512,07</b>



## TITLE 1

## EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 0				
<b>1 0 0</b>	<b><i>Salaries, allowances and payments related to salaries</i></b>				
	Non-differentiated appropriations	426 880	431 160	415 457,78	97,32
<b>1 0 2</b>	<b><i>Temporary allowances</i></b>				
	Non-differentiated appropriations	124 000	163 133	160 474,17	129,41
<b>1 0 3</b>	<b><i>Pensions</i></b>				
	Non-differentiated appropriations	4 000	p.m.	104 432,34	2 610,81
<b>1 0 4</b>	<b><i>Mission expenses</i></b>				
	Non-differentiated appropriations	35 000	50 000	30 983,24	88,52
<b>1 0 5</b>	<b><i>Language and data-processing courses</i></b>				
	Non-differentiated appropriations	2 000	1 000	100,—	5,00
<b>1 0 8</b>	<b><i>Allowances and expenses on entering and leaving the service</i></b>				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	CHAPTER 1 0 — TOTAL	591 880	645 293	711 447,53	120,20
	CHAPTER 1 2				
<b>1 2 0</b>	<b><i>Remuneration and other entitlements</i></b>				
1 2 0 0	Remuneration and allowances				
	Non-differentiated appropriations	6 916 269	6 699 510	6 639 226,52	95,99
1 2 0 2	Paid overtime				
	Non-differentiated appropriations	3 000	3 000	902,49	30,08
1 2 0 4	Entitlements on entering the service, transfer and leaving the service				
	Non-differentiated appropriations	80 000	25 000	78 986,40	98,73
	Article 1 2 0 — Total	6 999 269	6 727 510	6 719 115,41	96,00
<b>1 2 2</b>	<b><i>Allowances upon early termination of service</i></b>				
1 2 2 0	Allowances for staff retired in the interests of the service				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
1 2 2 2	Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	Article 1 2 2 — Total	p.m.	p.m.	0,—	
	CHAPTER 1 2 — TOTAL	6 999 269	6 727 510	6 719 115,41	96,00

## EUROPEAN OMBUDSMAN

**CHAPTER 1 4 — OTHER STAFF AND OUTSIDE SERVICES****CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 4				
<b>1 4 0</b>	<b>Other staff and external persons</b>				
1 4 0 0	Other staff				
	Non-differentiated appropriations	487 502	407 502	348 639,32	71,52
1 4 0 4	Graduate traineeships, grants and exchanges of officials				
	Non-differentiated appropriations	162 000	155 000	87 551,13	54,04
	<i>Article 1 4 0 — Total</i>	649 502	562 502	436 190,45	67,16
	CHAPTER 1 4 — TOTAL	649 502	562 502	436 190,45	67,16
	CHAPTER 1 6				
<b>1 6 1</b>	<b>Expenditure relating to staff management</b>				
1 6 1 0	Expenditure on recruitment				
	Non-differentiated appropriations	5 000	5 000	2 120,23	42,40
1 6 1 2	Further training				
	Non-differentiated appropriations	95 000	55 000	52 563,54	55,33
	<i>Article 1 6 1 — Total</i>	100 000	60 000	54 683,77	54,68
<b>1 6 3</b>	<b>Measures to assist the institution's staff</b>				
1 6 3 0	Social welfare				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
1 6 3 2	Social contacts between members of staff and other social measures				
	Non-differentiated appropriations	6 000	6 000	5 705,18	95,09
	<i>Article 1 6 3 — Total</i>	6 000	6 000	5 705,18	95,09
<b>1 6 5</b>	<b>Activities relating to all persons working with the institution</b>				
1 6 5 0	European Schools				
	Non-differentiated appropriations	275 000	255 000	0,—	0
	<i>Article 1 6 5 — Total</i>	275 000	255 000	0,—	0
	CHAPTER 1 6 — TOTAL	381 000	321 000	60 388,95	15,85
	<b>Title 1 — Total</b>	<b>8 621 651</b>	<b>8 256 305</b>	<b>7 927 142,34</b>	<b>91,94</b>

**TITLE 1****EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION****CHAPTER 1 0 — MEMBERS OF THE INSTITUTION****1 0 0      *Salaries, allowances and payments related to salaries***

Appropriations 2016	Appropriations 2015	Outturn 2014
426 880	431 160	415 457,78

*Remarks*

This appropriation is intended to cover salaries, allowances and other payments related to the salary of the Ombudsman, namely the institution's contributions towards insurance against accident and occupational disease, the institution's contributions towards sickness insurance, birth grants, allowances payable in the event of death, annual medical visits, etc.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 4a, 11 and 14 thereof.

Decision 94/262/ECSC, EC, Euratom of the European Parliament of 9 March 1994 on the regulations and general conditions governing the performance of the Ombudsman's duties (OJ L 113, 4.5.1994, p. 15).

**1 0 2      *Temporary allowances***

Appropriations 2016	Appropriations 2015	Outturn 2014
124 000	163 133	160 474,17

*Remarks*

This appropriation is intended to cover transitional allowances, family allowances and weighting in respect of countries of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 7 thereof.

## EUROPEAN OMBUDSMAN

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)

## 1 0 3

**Pensions**

Appropriations 2016	Appropriations 2015	Outturn 2014
4 000	p.m.	104 432,34

*Remarks*

This appropriation is intended to cover the retirement pensions and the weighting in respect of the countries of residence of former Ombudsmen as well as the survivors' pensions of surviving spouses and orphans and the weightings applied in respect of their countries of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.

## 1 0 4

**Mission expenses**

Appropriations 2016	Appropriations 2015	Outturn 2014
35 000	50 000	30 983,24

*Remarks*

This appropriation is intended to cover travel expenses, daily subsistence allowances while on missions and additional or exceptional expenditure incurred on mission.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 6 thereof.

## 1 0 5

**Language and data-processing courses**

Appropriations 2016	Appropriations 2015	Outturn 2014
2 000	1 000	100,—

*Remarks*

This appropriation is intended to cover expenses incurred in attending language courses or other professional training seminars.

**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 8** *Allowances and expenses on entering and leaving the service*

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover travel expenses due to the Ombudsman (including his or her family) on taking up duty or leaving the institution, installation and resettlement allowances due to the Ombudsman on taking up duty or leaving the institution and removal expenses due to the Ombudsman on taking up duty or leaving the institution.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 5 thereof.

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF****1 2 0** *Remuneration and other entitlements***1 2 0 0** Remuneration and allowances

Appropriations 2016	Appropriations 2015	Outturn 2014
6 916 269	6 699 510	6 639 226,52

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- salaries, allowances and payments related to salaries,
- insurance against sickness, accidents and occupational disease and other social security charges,
- flat-rate overtime allowances,
- miscellaneous allowances and grants,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,
- the impact of salary weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment,
- unemployment insurance for temporary staff and payments by the institution to temporary staff in order to constitute or maintain their pension rights in their countries of origin.

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CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF (*cont'd*)1 2 0 (*cont'd*)1 2 0 0 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

1 2 0 2 Paid overtime

Appropriations 2016	Appropriations 2015	Outturn 2014
3 000	3 000	902,49

*Remarks*

This appropriation is intended to cover the payment of overtime pursuant to the abovementioned provisions.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

Conditions of Employment of Other Servants of the European Union.

1 2 0 4 Entitlements on entering the service, transfer and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
80 000	25 000	78 986,40

*Remarks*

This appropriation is intended to cover:

- travel expenses due to officials and temporary staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 2 0** (*cont'd*)1 2 0 4 (*cont'd*)

— the compensation for a probationary official who is dismissed because his work is obviously inadequate,

— compensation for a member of the temporary staff whose contract is terminated by the institution.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 2 2** ***Allowances upon early termination of service***

1 2 2 0 Allowances for staff retired in the interests of the service

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the allowances payable to officials:

— assigned non-active status in connection with action to reduce the number of posts in the institution,

— holding an AD 16 or AD 15 grade post who are retired in the interests of the service.

It also covers the employer's contribution to sickness insurance and the impact of weightings applicable to these allowances.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41 and 50 thereof and Annex IV thereto.

1 2 2 2 Allowances for staff whose service is terminated and special retirement scheme for officials and temporary staff

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

## EUROPEAN OMBUDSMAN

**CHAPTER 1 2 — OFFICIALS AND TEMPORARY STAFF** (*cont'd*)**1 2 2** (*cont'd*)1 2 2 2 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- the allowances payable under the Staff Regulations, Regulation (ECSC, EEC, Euratom) No 3518/85 or Regulation (EC, Euratom, ECSC) No 2688/95,
- the employer's contributions towards sickness insurance for the persons in receipt of the allowances,
- the impact of the salary weightings applicable to the various allowances.

*Legal basis*

Council Regulation (ECSC, EEC, Euratom) No 3518/85 of 12 December 1985 introducing special measures to terminate the service of officials of the European Communities as a result of the accession of Spain and Portugal (OJ L 335, 13.12.1985, p. 56) and Council Regulation (EC, Euratom, ECSC) No 2688/95 of 17 November 1995 introducing special measures to terminate the service of officials of the European Communities as a result of the accession of Austria, Finland and Sweden (OJ L 280, 23.11.1995, p. 1).

Staff Regulations of Officials of the European Union, and in particular Articles 64 and 72 thereof.

**CHAPTER 1 4 — OTHER STAFF AND OUTSIDE SERVICES****1 4 0** ***Other staff and external persons***

1 4 0 0 Other staff

Appropriations 2016	Appropriations 2015	Outturn 2014
487 502	407 502	348 639,32

*Remarks*

This appropriation is mainly intended to cover:

- the remuneration of other staff including contract and local staff and special advisers (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to the various social security schemes and the impact of salary weightings applicable to the remuneration of these staff,
- fees of staff paid under the arrangements for the provision of services and, in special cases, the employment of temporary agency staff.



**CHAPTER 1 4 — OTHER STAFF AND OUTSIDE SERVICES** (*cont'd*)**1 4 0** (*cont'd*)1 4 0 0 (*cont'd*)*Legal basis*

Conditions of Employment of Other Servants of the European Union.

1 4 0 4 Graduate traineeships, grants and exchanges of officials

Appropriations 2016	Appropriations 2015	Outturn 2014
162 000	155 000	87 551,13

*Remarks*

This appropriation is intended to cover:

- allowances, travel and mission expenses for trainees, and accident and sickness insurance during courses,
- expenditure arising from movements of staff between the Ombudsman and the public sector in the Member States or other countries specified in the rules.

*Legal basis*

European Ombudsman's decision concerning traineeships and European Ombudsman's decision concerning seconded international, national and regional or local officials to the European Ombudsman's office.

**CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION****1 6 1** *Expenditure relating to staff management*

1 6 1 0 Expenditure on recruitment

Appropriations 2016	Appropriations 2015	Outturn 2014
5 000	5 000	2 120,23

*Remarks*

This appropriation is intended to cover:

- expenditure on organising the competitions provided for in Article 3 of Decision 2002/621/EC and travel and subsistence expenses for applicants called for interviews and medical examinations,

## EUROPEAN OMBUDSMAN

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)1 6 1 (*cont'd*)1 6 1 0 (*cont'd*)

— the costs of organising procedures for selecting officials and other staff.

In cases duly justified by operating requirements and after consulting the European Personnel Selection Office, this appropriation may be used for competitions organised by the institution itself.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

1 6 1 2 Further training

Appropriations 2016	Appropriations 2015	Outturn 2014
95 000	55 000	52 563,54

*Remarks*

This appropriation is intended to cover:

- expenditure on training for improving staff skills and the performance and efficiency of the institution,
- expenditure on transport costs, the payment of daily mission allowances and the ancillary or exceptional expenses incurred in the performance of a mission, including ancillary costs relating to tickets and reservations (other than that in Article 3 0 0).

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

Conditions of Employment of Other Servants of the European Union.

CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 3**      ***Measures to assist the institution's staff***

## 1 6 3 0      Social welfare

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

— as part of an interinstitutional policy to assist people with disabilities in the following categories:

- officials and temporary staff in active employment,
- spouses of officials and temporary staff in active employment,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union,

the reimbursement, subject to budgetary ceilings and once any national entitlements granted in the country of residence or of origin have been exhausted, of non-medical expenditure that is recognised as necessary, results from disability, is duly substantiated and is not covered by the Joint Sickness Insurance Scheme,

— action taken in respect of officials and other servants in particularly difficult situations.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular the third subparagraph of Article 9(3) and Article 76 thereof.

European Ombudsman's decision of 15 January 2004 adopting the rules on social assistance to officials and other staff of the European Ombudsman's office.

## 1 6 3 2      Social contacts between members of staff and other social measures

Appropriations 2016	Appropriations 2015	Outturn 2014
6 000	6 000	5 705,18

*Remarks*

This appropriation is intended to encourage and provide financial backing for schemes to promote social contacts between staff of different nationalities, for example subsidies to staff clubs, associations, cultural activities, etc. and to make a contribution to the cost of activities organised by the staff committee (cultural, leisure activities, meals, etc.).

It also covers financial participation in interinstitutional social activities.

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CHAPTER 1 6 — OTHER EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION (*cont'd*)**1 6 5      *Activities relating to all persons working with the institution*****1 6 5 0      European Schools**

Appropriations 2016	Appropriations 2015	Outturn 2014
275 000	255 000	0,—

*Remarks*

This appropriation is intended to cover:

- the European Ombudsman's contribution to the Type II European Schools accredited by the Board of Governors of the European Schools, or
- the reimbursement to the Commission of the contribution to the Type II European Schools accredited by the Board of Governors of the European Schools paid by the Commission in the name and on behalf of the European Ombudsman and based on a Mandate and Service agreement signed with the Commission.

It will cover the cost for children of the European Ombudsman's staff enrolled in a Type II European School.

*Legal basis*

Commission Decision C(2013) 4886 of 1 August 2013 (OJ C 222, 2.8.2013, p. 8).

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE

## CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>2 0 0</b>	<b>CHAPTER 2 0</b>				
	<b>Buildings</b>				
2 0 0 0	Rent				
	Non-differentiated appropriations	860 000	749 000	715 000,—	83,14
	Article 2 0 0 — Total	860 000	749 000	715 000,—	83,14
	CHAPTER 2 0 — TOTAL	860 000	749 000	715 000,—	83,14
	CHAPTER 2 1				
<b>2 1 0</b>	<b>Equipment, operating costs and services relating to data processing and telecommunications</b>				
2 1 0 0	Purchase, servicing and maintenance of equipment and software, and related work				
	Non-differentiated appropriations	200 000	133 000	75 457,58	37,73
2 1 0 1	Purchase, servicing and maintenance of equipment relating to telecommunications				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	Article 2 1 0 — Total	200 000	133 000	75 457,58	37,73
<b>2 1 2</b>	<b>Furniture</b>				
	Non-differentiated appropriations	15 000	15 000	15 000,—	100,00
<b>2 1 6</b>	<b>Vehicles</b>				
	Non-differentiated appropriations	19 000	19 000	19 000,—	100,00
	CHAPTER 2 1 — TOTAL	234 000	167 000	109 457,58	46,78
	CHAPTER 2 3				
<b>2 3 0</b>	<b>Administrative expenditure</b>				
2 3 0 0	Stationery, office supplies and miscellaneous consumables				
	Non-differentiated appropriations	12 000	18 000	10 752,30	89,60
2 3 0 1	Postage on correspondence and delivery charges				
	Non-differentiated appropriations	7 000	12 000	4 632,88	66,18

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## CHAPTER 23 — CURRENT ADMINISTRATIVE EXPENDITURE (cont'd)

[illegible]

## TITLE 2

## BUILDINGS, FURNITURE, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

2 0 0 *Buildings*

## 2 0 0 0 Rent

Appropriations 2016	Appropriations 2015	Outturn 2014
860 000	749 000	715 000,—

*Remarks*

This appropriation is intended as a lump-sum payment to the European Parliament in respect of the offices which the European Parliament provides to the Ombudsman within its premises in Strasbourg and Brussels. It covers rent and charges in respect of insurance, water, electricity, heating, cleaning and maintenance, security and surveillance as well as other miscellaneous buildings expenditure, including alteration, repair or refurbishment of the offices concerned.

*Legal basis*

Administrative arrangement between the Ombudsman and the European Parliament.

## CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE

*Remarks*

In connection with public procurement, the institution will consult the other institutions on the contractual terms each of them has obtained.

2 1 0 *Equipment, operating costs and services relating to data processing and telecommunications*

## 2 1 0 0 Purchase, servicing and maintenance of equipment and software, and related work

Appropriations 2016	Appropriations 2015	Outturn 2014
200 000	133 000	75 457,58

*Remarks*

This appropriation is intended to cover expenditure on:

- the purchase, hire, servicing and maintenance of equipment and development of software,
- assistance in connection with the operation and maintenance of data-processing systems,

## EUROPEAN OMBUDSMAN

CHAPTER 2 1 — DATA PROCESSING, EQUIPMENT AND FURNITURE: PURCHASE, HIRE AND MAINTENANCE (*cont'd*)**2 1 0** (*cont'd*)**2 1 0 0** (*cont'd*)

- data-processing operations entrusted to third parties and other data-processing service expenditure,
- purchase, hire, servicing and maintenance of telecommunications equipment and other expenditure in connection with telecommunications (transmission networks, telephone switchboards, handsets and similar equipment, fax machines, telex systems, installation costs, etc.).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 1 0 1** Purchase, servicing and maintenance of equipment relating to telecommunications

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure on the hiring, servicing and maintenance of telecommunications equipment and other telecommunications-related expenses (transmission networks, telephone switchboards, telephones and similar equipment, fax machines, telex systems, installation costs, etc.).

**2 1 2** **Furniture**

Appropriations 2016	Appropriations 2015	Outturn 2014
15 000	15 000	15 000,—

*Remarks*

This appropriation is intended to cover the purchase, hire, maintenance and repair of furniture, including the purchase of ergonomic furniture, the replacement of worn-out and broken furniture and office machines.

**2 1 6** **Vehicles**

Appropriations 2016	Appropriations 2015	Outturn 2014
19 000	19 000	19 000,—

*Remarks*

This appropriation is intended to cover the acquisition, maintenance, use and repair of vehicles (service cars) and the hire of cars, taxis, coaches and lorries, with or without drivers, including the necessary insurance cover and the payment of eventual fines.



**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE****2 3 0 Administrative expenditure***Remarks*

In connection with public procurement, the institution will consult the other institutions on the contractual terms each of them has obtained.

**2 3 0 0 Stationery, office supplies and miscellaneous consumables**

Appropriations 2016	Appropriations 2015	Outturn 2014
12 000	18 000	10 752,30

*Remarks*

This appropriation is intended to cover the purchase of paper, envelopes, office supplies, supplies for the print shop and reproduction workshops, etc.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 3 0 1 Postage on correspondence and delivery charges**

Appropriations 2016	Appropriations 2015	Outturn 2014
7 000	12 000	4 632,88

*Remarks*

This appropriation is intended to cover charges for postage, processing and delivery by the postal services or private delivery firms.

**2 3 0 2 Telecommunications**

Appropriations 2016	Appropriations 2015	Outturn 2014
6 000	7 000	4 524,01

*Remarks*

This appropriation is intended to cover subscriptions and charges for cable and radio communications (fixed and mobile telephony, and television) as well as expenditure on data transmission networks and telematic services.

**2 3 0 3 Financial charges**

Appropriations 2016	Appropriations 2015	Outturn 2014
500	500	30,70

*Remarks*

This appropriation is intended to cover bank charges (commission, agios and miscellaneous charges) and other financial charges, including ancillary costs for the financing of buildings.

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**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 0** (*cont'd*)2 3 0 3 (*cont'd*)

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 10 000.

2 3 0 4 Other expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
3 500	4 000	2 851,80

*Remarks*

This appropriation is intended to cover:

- insurance not specifically provided for in another item,
- miscellaneous operating expenses, such as for the purchase of railway and flight timetables, the publication of notices of sales of second-hand equipment in newspapers, etc.,
- imprest accounts in Brussels and Strasbourg.

2 3 0 5 Legal costs and damages

Appropriations 2016	Appropriations 2015	Outturn 2014
5 000	15 000	0,—

*Remarks*

This appropriation is intended to cover:

- all costs deriving from the Ombudsman's involvement in cases before Union and national courts, the cost of legal services, and any other legal, court or out-of-court expenses,
- damages, interest and any debts within the meaning of Article 11(3) of the Financial Regulation.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.

**2 3 1** ***Translation and interpretation***

Appropriations 2016	Appropriations 2015	Outturn 2014
315 000	445 000	389 500,—

**CHAPTER 2 3 — CURRENT ADMINISTRATIVE EXPENDITURE** (*cont'd*)**2 3 1** (*cont'd*)*Remarks*

This appropriation is intended to cover the cost of all supplementary services, in particular the translation and typing of the annual report and other documents, contracted and occasional interpreters and other associated costs.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

**2 3 2** ***Support for activities***

Appropriations 2016	Appropriations 2015	Outturn 2014
95 000	95 000	49 845,11

*Remarks*

This appropriation is intended to cover the global management costs payable to the European Parliament to cover the costs in staff time to the European Parliament of providing general services such as accounting, internal audit and a medical service, etc.

It is also intended to support the cost of providing the various interinstitutional services which are not already covered by another budget line.

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## TITLE 3

## EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 3 0 — MEETINGS AND CONFERENCES

## CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION

## CHAPTER 3 3 — STUDIES AND OTHER SUBSIDIES

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>3 0 0</b>	<b>CHAPTER 3 0</b>				
	<b>Staff mission expenses</b>				
	Non-differentiated appropriations	157 000	157 000	145 000,—	92,36
<b>3 0 2</b>	<b>Reception and representation expenses</b>				
	Non-differentiated appropriations	7 000	10 000	1 664,30	23,78
<b>3 0 3</b>	<b>Meetings in general</b>				
	Non-differentiated appropriations	47 000	36 000	24 650,91	52,45
<b>3 0 4</b>	<b>Internal meetings</b>				
	Non-differentiated appropriations	27 000	35 000	25 889,80	95,89
	<b>CHAPTER 3 0 — TOTAL</b>	<b>238 000</b>	<b>238 000</b>	<b>197 205,01</b>	<b>82,86</b>
	<b>CHAPTER 3 2</b>				
<b>3 2 0</b>	<b>Acquisition of information and expertise</b>				
<b>3 2 0 0</b>	Documentation and library expenditure				
	Non-differentiated appropriations	8 000	10 000	4 999,61	62,50
<b>3 2 0 1</b>	Expenditure on archive resources				
	Non-differentiated appropriations	15 000	15 000	15 000,—	100,00
	<b>Article 3 2 0 — Total</b>	<b>23 000</b>	<b>25 000</b>	<b>19 999,61</b>	<b>86,95</b>
<b>3 2 1</b>	<b>Production and dissemination</b>				
<b>3 2 1 0</b>	Communication and publications				
	Non-differentiated appropriations	219 000	310 000	189 150,63	86,37
	<b>Article 3 2 1 — Total</b>	<b>219 000</b>	<b>310 000</b>	<b>189 150,63</b>	<b>86,37</b>
	<b>CHAPTER 3 2 — TOTAL</b>	<b>242 000</b>	<b>335 000</b>	<b>209 150,24</b>	<b>86,43</b>
	<b>CHAPTER 3 3</b>				
<b>3 3 0</b>	<b>Studies and subsidies</b>				
<b>3 3 0 0</b>	Studies				
	Non-differentiated appropriations	17 800	2 800	2 700,—	15,17

**CHAPTER 33 — STUDIES AND OTHER SUBSIDIES** *(cont'd)*

## CHAPTER 34 — EXPENSES RELATING TO THE OMBUDSMAN'S DUTIES

[illegible]

## TITLE 3

## EXPENDITURE RESULTING FROM GENERAL FUNCTIONS CARRIED OUT BY THE INSTITUTION

## CHAPTER 3 0 — MEETINGS AND CONFERENCES

3 0 0 *Staff mission expenses*

Appropriations 2016	Appropriations 2015	Outturn 2014
157 000	157 000	145 000,—

*Remarks*

This appropriation is intended to cover expenditure on transport costs, the payment of daily mission allowances and the ancillary or exceptional expenses incurred in the performance of a mission, including ancillary costs relating to tickets and reservations.

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 5 000.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11, 12 and 13 of Annex VII thereto.

3 0 2 *Reception and representation expenses*

Appropriations 2016	Appropriations 2015	Outturn 2014
7 000	10 000	1 664,30

*Remarks*

This appropriation is intended to cover the costs related to the institution's obligations concerning receptions, representation costs and the purchase of representational items offered by the Ombudsman.

3 0 3 *Meetings in general*

Appropriations 2016	Appropriations 2015	Outturn 2014
47 000	36 000	24 650,91

*Remarks*

This appropriation is intended to cover the travel, subsistence and incidental expenses of experts and other persons invited to take part in committees, study groups or working meetings, as well as other connected expenditure (rental of rooms, interpretation needs, etc.).

**CHAPTER 3 0 — MEETINGS AND CONFERENCES** (*cont'd*)**3 0 4**      ***Internal meetings***

Appropriations 2016	Appropriations 2015	Outturn 2014
27 000	35 000	25 889,80

*Remarks*

This appropriation is intended to cover the costs related to the organisation of the internal meetings of the institution.

**CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION****3 2 0**      ***Acquisition of information and expertise*****3 2 0 0**      Documentation and library expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
8 000	10 000	4 999,61

*Remarks*

This appropriation is intended to cover:

- the extension and renewal of the general reference works section and keeping the library stock up to date,
- subscriptions to newspapers and periodicals and news agencies and to the publications thereof and online services, including copyright fees for the reproduction and dissemination of the above in written and/or electronic form and service contracts for press reviews and cuttings,
- subscriptions or service contracts for the supply of summaries and analyses of the content of periodicals or the storage on optical media of articles taken from such periodicals,
- utilising external documentary and statistical databases (computer hardware and telecommunications charges excepted),
- the purchase or rental of special library, documentation and media library materials, including electric, electronic and computer facilities and/or systems, as well as outside services for the acquisition, development, installation, use and maintenance of these facilities and systems,
- the cost of services relating to library activities, in particular in dealings with library customers (enquiries, analyses), and quality management systems, etc.,
- binding and preservation equipment and work for the library, documentation and media library,
- the purchase of dictionaries, glossaries and other works for the Ombudsman's services.

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CHAPTER 3 2 — EXPERTISE AND INFORMATION: ACQUISITION, ARCHIVING, PRODUCTION AND DISSEMINATION (*cont'd*)3 2 0 (*cont'd*)

## 3 2 0 1 Expenditure on archive resources

Appropriations 2016	Appropriations 2015	Outturn 2014
15 000	15 000	15 000,—

*Remarks*

This appropriation is intended to cover:

- the cost of outside services for archiving operations, including sorting, filing and refiling in the depositories, the cost of archive services, the acquisition and use of archive resources on substitute media (microfilms, discs, cassettes, etc.), the purchase, hire and maintenance of special facilities (electronic, computerised and electrical) and expenditure on publications on all media (brochures, CD-ROM, etc.),
- the cost of processing papers of the Ombudsman accumulated in the exercise of his office and handed over, in the form of legal donations or legacies, to the European Parliament, to the Historical Archives of the European Union (HAEU) or to an association or foundation according to established rules.

*Legal basis*

Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents (OJ L 145, 31.5.2001, p. 43), and the implementing measures adopted within the Ombudsman's office.

3 2 1 **Production and dissemination**

## 3 2 1 0 Communication and publications

Appropriations 2016	Appropriations 2015	Outturn 2014
219 000	310 000	189 150,63

*Remarks*

This appropriation is intended to cover expenditure on publishing and information, and in particular:

- printing expenses for publications in the *Official Journal of the European Union*,
- the cost of printing and reproducing, in the official languages, various publications (annual report, etc.),
- printed material (by traditional or electronic means) promoting information with regard to the Ombudsman (publicity and measures to promote the principle of the European Ombudsman to the public at large),
- any other expenses in connection with the institution's information policy (symposia, seminars, participation in public events, etc.).

The amount of assigned revenue in accordance with Article 21(3) of the Financial Regulation is estimated at EUR 1 000.



**CHAPTER 3 3 — STUDIES AND OTHER SUBSIDIES****3 3 0        *Studies and subsidies*****3 3 0 0        Studies**

Appropriations 2016	Appropriations 2015	Outturn 2014
17 800	2 800	2 700,—

*Remarks*

This appropriation is intended to cover the financing of studies and/or surveys contracted out to qualified experts and research institutes, as well as the publication costs of such studies and associated costs.

**3 3 0 1        Relations with national/regional ombudsmen and other similar bodies and support for activities of the European Network of Ombudsmen**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	22 620,10

*Remarks*

This appropriation is intended to cover expenditure committed to promoting relations and strengthening cooperation between the European Ombudsman and the national/regional ombudsmen and other similar bodies.

It can cover, in particular, financial contributions to projects in the fields of activity of the European Network of Ombudsmen (other than that in Item 3 2 1 0).

It is also intended to cover any contribution in relation to the Ombudsman's visitors groups.

**CHAPTER 3 4 — EXPENSES RELATING TO THE OMBUDSMAN'S DUTIES****3 4 0        *Expenses relating to the Ombudsman's duties*****3 4 0 0        Miscellaneous expenses**

Appropriations 2016	Appropriations 2015	Outturn 2014
1 500	1 500	2 100,—

*Remarks*

This appropriation is intended to cover expenditure incurred owing to the special nature of the Ombudsman's duties such as relations with the national ombudsmen and international organisations of ombudsmen and subscriptions to international organisations.

EUROPEAN OMBUDSMAN

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS****CHAPTER 10 1 — CONTINGENCY RESERVE**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 10 0	p.m.	p.m.	0,—	
	CHAPTER 10 0 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 10 1	p.m.	p.m.	0,—	
	CHAPTER 10 1 — TOTAL	p.m.	p.m.	0,—	
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>p.m.</b>	<b>0,—</b>	
	<b>GRAND TOTAL</b>	<b>10 658 951</b>	<b>10 346 105</b>	<b>9 647 512,07</b>	<b>90,51</b>

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 10 1 — CONTINGENCY RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure resulting from budgetary decisions taken in the course of the financial year (expenditure that cannot be estimated).

EUROPEAN OMBUDSMAN

## STAFF

## Section VIII — European Ombudsman

Function group and grade	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16		1	1	
AD 15	2		2	
AD 14	1		1	
AD 13	4		4	
AD 12		1		1
AD 11	1	1	1	1
AD 10	3	2	3	2
AD 9	3	1	3	
AD 8	2	1	2	2
AD 7	5	1	3	1
AD 6	7		8	
AD 5	2	1	2	1
AD total	30	9	30	8
AST 11				
AST 10				
AST 9				
AST 8		2		2
AST 7	1	1	1	1
AST 6	5		2	
AST 5	2	3	5	3
AST 4	3	3	3	3
AST 3	4	1	4	1
AST 2			1	1
AST 1	1		1	
AST total	16	10	17	11
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3	1			
AST/SC 2				
AST/SC 1				
AST/SC total	1			
<b>Grand total</b>	<b>47</b>	<b>19</b>	<b>47</b>	<b>19</b>
<b>Total Staff</b>	<b>66</b>		<b>66</b>	

*SECTION IX*

**EUROPEAN DATA PROTECTION SUPERVISOR**

EUROPEAN DATA PROTECTION SUPERVISOR

**REVENUE****Contribution of the European Union to the financing of the expenditure of the  
European Data Protection Supervisor for the financial year 2016**

Heading	Amount
Expenditure	9 288 043
Own resources	– 972 000
<b>Contribution due</b>	<b>8 316 043</b>

## OWN RESOURCES

## TITLE 4

**MISCELLANEOUS UNION TAXES, LEVIES AND CHARGES**

## CHAPTER 40 — DEDUCTIONS FROM REMUNERATION

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

[illegible]

EUROPEAN DATA PROTECTION SUPERVISOR

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND CHARGES

## CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION

**4 0 0** *Proceeds from taxation of the salaries, wages and allowances of Members of the institution, officials and other servants*

Financial year 2016	Financial year 2015	Financial year 2014
495 000	497 000	383 011,20

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Regulation (EEC, Euratom, ECSC) No 260/68 of the Council of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

**4 0 3** *Proceeds from the temporary contribution from the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,13

*Legal basis*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).



**CHAPTER 4 0 — DEDUCTIONS FROM REMUNERATION** (*cont'd*)**4 0 3** (*cont'd*)

Staff Regulations of Officials of the European Communities, and in particular Article 66a thereof in the version in force until 15 December 2003.

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
83 000	81 000	72 176,49

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

**CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME****4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
394 000	381 000	325 035,78

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or purchase of pension rights by staff*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 4, Article 11(2) and (3) and Article 48 of Annex VIII thereto.

EUROPEAN DATA PROTECTION SUPERVISOR

## TITLE 9

## MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
9 0 0	CHAPTER 9 0				
	Miscellaneous revenue	p.m.	p.m.	0,—	
	CHAPTER 9 0 — TOTAL	p.m.	p.m.	0,—	
	Title 9 — Total	p.m.	p.m.	0,—	
	GRAND TOTAL	972 000	959 000	780 223,60	80,27

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0*****Miscellaneous revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

***Remarks***

This article is intended to receive miscellaneous revenue.

EUROPEAN DATA PROTECTION SUPERVISOR

**EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>1</b>	<b>EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION</b>			
1 0	MEMBERS OF THE INSTITUTION	889 066	998 083	815 564,57
1 1	STAFF OF THE INSTITUTION	5 200 046	4 981 725	4 625 724,03
	<b>Title 1 — Total</b>	<b>6 089 112</b>	<b>5 979 808</b>	<b>5 441 288,60</b>
<b>2</b>	<b>BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION</b>			
2 0	BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION	2 445 750	2 314 436	2 321 000,—
	<b>Title 2 — Total</b>	<b>2 445 750</b>	<b>2 314 436</b>	<b>2 321 000,—</b>
<b>3</b>	<b>EUROPEAN DATA PROTECTION BOARD</b>			
3 0	EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD	753 181	511 173	0,—
	<b>Title 3 — Total</b>	<b>753 181</b>	<b>511 173</b>	<b>0,—</b>
<b>10</b>	<b>OTHER EXPENDITURE</b>			
10 0	PROVISIONAL APPROPRIATIONS	p.m.	p.m.	0,—
10 1	CONTINGENCY RESERVE	p.m.	p.m.	0,—
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>p.m.</b>	<b>0,—</b>
	<b>GRAND TOTAL</b>	<b>9 288 043</b>	<b>8 805 417</b>	<b>7 762 288,60</b>

## TITLE 1

## EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

## CHAPTER 1 0 — MEMBERS OF THE INSTITUTION

## CHAPTER 1 1 — STAFF OF THE INSTITUTION

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 0				
<b>1 0 0</b>	<b>Remuneration, allowances and other entitlements of Members</b>				
1 0 0 0	Remuneration and allowances				
	Non-differentiated appropriations	640 940	627 689	588 087,43	91,75
1 0 0 1	Entitlements on entering and leaving the service				
	Non-differentiated appropriations	p.m.	—	130 000,—	
1 0 0 2	Temporary allowances				
	Non-differentiated appropriations	163 732	296 000	0,—	0
1 0 0 3	Pensions				
	Non-differentiated appropriations	p.m.	p.m.	38 083,14	
1 0 0 4	Provisional appropriation				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<i>Article 1 0 0 — Total</i>	804 672	923 689	756 170,57	93,97
<b>1 0 1</b>	<b>Other expenditure in connection with Members</b>				
1 0 1 0	Further training				
	Non-differentiated appropriations	25 000	15 000	0,—	0
1 0 1 1	Mission expenses, travel expenses and other ancillary expenditure				
	Non-differentiated appropriations	59 394	59 394	59 394,—	100,00
	<i>Article 1 0 1 — Total</i>	84 394	74 394	59 394,—	70,38
	CHAPTER 1 0 — TOTAL	889 066	998 083	815 564,57	91,73
	CHAPTER 1 1				
<b>1 1 0</b>	<b>Remuneration, allowances and other entitlements of officials and temporary staff</b>				
1 1 0 0	Remuneration and allowances				
	Non-differentiated appropriations	4 328 815	4 105 808	3 507 731,96	81,03
1 1 0 1	Entitlements on entering the service, transfer and leaving the service				
	Non-differentiated appropriations	50 000	50 000	10 000,—	20,00
1 1 0 2	Paid overtime				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
1 1 0 3	Special assistance grants				
	Non-differentiated appropriations	p.m.	—	0,—	

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 1 1 — STAFF OF THE INSTITUTION (*cont'd*)

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 1 0</b>	( <i>cont'd</i> )				
1 1 0 4	Allowances and miscellaneous contributions upon early termination of service				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
1 1 0 5	Provisional appropriation				
	Non-differentiated appropriations	p.m.	—	0,—	
	<i>Article 1 1 0 — Total</i>	4 378 815	4 155 808	3 517 731,96	80,34
<b>1 1 1</b>	<b>Other staff</b>				
1 1 1 0	Contract staff				
	Non-differentiated appropriations	272 070	251 756	727 183,02	267,28
1 1 1 1	Cost of traineeships and staff exchanges				
	Non-differentiated appropriations	179 428	179 428	99 428,—	55,41
1 1 1 2	Services and work to be contracted out				
	Non-differentiated appropriations	51 202	51 202	2 703,80	5,28
	<i>Article 1 1 1 — Total</i>	502 700	482 386	829 314,82	164,97
<b>1 1 2</b>	<b>Other expenditure in connection with staff</b>				
1 1 2 0	Mission expenses, travel expenses and other ancillary expenditure				
	Non-differentiated appropriations	132 398	157 398	112 686,—	85,11
1 1 2 1	Recruitment costs				
	Non-differentiated appropriations	6 789	6 789	14 211,—	209,32
1 1 2 2	Further training				
	Non-differentiated appropriations	78 500	78 500	78 500,—	100,00
1 1 2 3	Social service				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
1 1 2 4	Medical service				
	Non-differentiated appropriations	14 844	14 844	7 422,—	50,00
1 1 2 5	Union nursery centre and other day nurseries and after-school centres				
	Non-differentiated appropriations	80 000	80 000	60 000,—	75,00
1 1 2 6	Relations between staff and other welfare expenditure				
	Non-differentiated appropriations	6 000	6 000	5 858,25	97,64
	<i>Article 1 1 2 — Total</i>	318 531	343 531	278 677,25	87,49
	CHAPTER 1 1 — TOTAL	5 200 046	4 981 725	4 625 724,03	88,96
	<b>Title 1 — Total</b>	<b>6 089 112</b>	<b>5 979 808</b>	<b>5 441 288,60</b>	<b>89,36</b>

**TITLE 1****EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION****CHAPTER 1 0 — MEMBERS OF THE INSTITUTION****1 0 0      *Remuneration, allowances and other entitlements of Members*****1 0 0 0      Remuneration and allowances**

Appropriations 2016	Appropriations 2015	Outturn 2014
640 940	627 689	588 087,43

*Remarks*

This appropriation is intended to cover:

- the financing of salaries and allowances of Members, and the impact of the corrective coefficient applicable to remuneration and to the share of emoluments transferred to a country other than that of employment,
- the institution's contributions (0,87 %) towards insurance against accident and occupational disease,
- the institution's contributions (3,4 %) towards sickness insurance,
- birth grants,
- allowances payable in the event of death.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Decision No 1247/2002/EC of the European Parliament, of the Council and of the Commission of 1 July 2002 on the regulations and general conditions governing the performance of the European Data-protection Supervisor's duties (OJ L 183, 12.7.2002, p. 1).

**1 0 0 1      Entitlements on entering and leaving the service**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	—	130 000,—

*Remarks*

This appropriation is intended to cover travel expenses due to Members (including their families) on taking up duty or leaving the institution, installation and resettlement allowances due to Members on taking up duty or leaving the institution and removal expenses due to Members on taking up duty or leaving the institution.

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 0 (*cont'd*)1 0 0 1 (*cont'd*)*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 5 thereof.

1 0 0 2 Temporary allowances

Appropriations 2016	Appropriations 2015	Outturn 2014
163 732	296 000	0,—

*Remarks*

This appropriation is intended to cover transitional allowances, family allowances and the corrective coefficient applied in respect of the countries of residence of former Members of the institution.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 7 thereof.

1 0 0 3 Pensions

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	38 083,14

*Remarks*

This appropriation is intended to cover the retirement pensions and the corrective coefficient applied in respect of the countries of residence of the Members of the institution as well as the survivors' pensions of surviving spouses and orphans and the corrective coefficient applied in respect of their countries of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.



**CHAPTER 1 0 — MEMBERS OF THE INSTITUTION** (*cont'd*)**1 0 0** (*cont'd*)**1 0 0 4** Provisional appropriation

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the effect of any adjustments in remuneration and pensions which may be made by the Council in the course of the financial year.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**1 0 1** ***Other expenditure in connection with Members*****1 0 1 0** Further training

Appropriations 2016	Appropriations 2015	Outturn 2014
25 000	15 000	0,—

*Remarks*

This appropriation is intended to cover expenses incurred in attending language courses, seminars and professional training courses.

**1 0 1 1** Mission expenses, travel expenses and other ancillary expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
59 394	59 394	59 394,—

*Remarks*

This appropriation is intended to cover travel expenses, daily subsistence allowances while on missions and additional or exceptional expenditure incurred on mission.

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 1 0 — MEMBERS OF THE INSTITUTION (*cont'd*)1 0 1 (*cont'd*)1 0 1 1 (*cont'd*)*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 6 thereof.

## CHAPTER 1 1 — STAFF OF THE INSTITUTION

1 1 0 **Remuneration, allowances and other entitlements of officials and temporary staff**

1 1 0 0 Remuneration and allowances

Appropriations 2016	Appropriations 2015	Outturn 2014
4 328 815	4 105 808	3 507 731,96

*Remarks*

This appropriation is intended to cover:

- the basic salaries of officials and temporary staff,
- family allowances, including head of household allowance, dependent child allowance and education allowance,
- expatriation and foreign residence allowances,
- the institution's contribution towards sickness insurance and towards insurance against accident and occupational disease,
- the institution's contribution towards setting up the Special Unemployment Fund,
- payments made by the institution to allow temporary staff to constitute or maintain pension rights in their country of origin,
- the impact of the corrective coefficient applicable to remuneration and the proportion of emoluments transferred to a country other than that of employment,
- birth grants,
- flat-rate travel expenses from the place of employment to the place of origin,

**CHAPTER 1 1 — STAFF OF THE INSTITUTION** (*cont'd*)**1 1 0** (*cont'd*)**1 1 0 0** (*cont'd*)

— rent and transport allowances and fixed-rate entertainment allowances,

— fixed travel allowances,

— the special allowance granted to accounting officers and administrators of imprest accounts.

*Legal basis*

Staff Regulations of Officials of the European Union and Conditions of Employment of Other Servants of the European Union.

**1 1 0 1** Entitlements on entering the service, transfer and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
50 000	50 000	10 000,—

*Remarks*

This appropriation is intended to cover expenditure related to travel expenses of officials and temporary staff (including members of their families) entering or leaving the service or on geographical redeployment (Articles 20 and 71 and Article 7 of Annex VII), allowances for staff obliged to change their place of residence on taking up their duties, terminating their service or being transferred to a new place of work (Articles 5 and 6 of Annex VII), removal expenses (Articles 20 and 71 and Article 9 of Annex VII), and temporary daily allowances for staff who provide justification that they have been obliged to change their place of residence after taking up their duties (Articles 20 and 71 and Article 10 of Annex VII).

*Legal basis*

Staff Regulations of Officials of the European Union.

**1 1 0 2** Paid overtime

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the payment of overtime under the conditions laid down in the abovementioned provisions.

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 1 1 — STAFF OF THE INSTITUTION (*cont'd*)1 1 0 (*cont'd*)1 1 0 2 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 56 thereof and Annex VI thereto.

1 1 0 3 Special assistance grants

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	—	0,—

*Remarks*

This appropriation is intended to cover action taken in respect of officials and other servants in particularly difficult situations.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 76 thereof.

1 1 0 4 Allowances and miscellaneous contributions upon early termination of service

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- the allowances payable to staff assigned non-active status or retired in the interests of the service,
- the employer's contributions towards sickness insurance for the recipients of the above allowances,
- the impact of the corrective coefficient applicable to the allowances referred to above and the impact of any remuneration adjustments agreed on by the Council during the financial year.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41, 50, 64, 65 and 72 thereof and Annex IV thereto.

**CHAPTER 1 1 — STAFF OF THE INSTITUTION** (*cont'd*)**1 1 0** (*cont'd*)**1 1 0 5** Provisional appropriation

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	—	0,—

*Remarks*

This appropriation is intended to cover the effect of any adjustments of remunerations and allowances which may be made by the Council in the course of the financial year.

This appropriation is purely provisional and may be used only after its transfer to other headings in accordance with the Financial Regulation.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 65 and 65a thereof and Annex XI thereto.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**1 1 1** ***Other staff*****1 1 1 0** Contract staff

Appropriations 2016	Appropriations 2015	Outturn 2014
272 070	251 756	727 183,02

*Remarks*

This appropriation is intended to cover expenditure related to the use of contract agents.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**1 1 1 1** Cost of traineeships and staff exchanges

Appropriations 2016	Appropriations 2015	Outturn 2014
179 428	179 428	99 428,—

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 1 1 — STAFF OF THE INSTITUTION (cont'd)

## 1 1 1 (cont'd)

## 1 1 1 1 (cont'd)

## Remarks

This appropriation is intended to cover allowances, travel and mission expenses for trainees, as well as accident and sickness insurance during training periods.

This appropriation is also intended to cover expenditure arising from staff exchanges between the European Data Protection Supervisor on the one hand and the public sector in Member States and EFTA countries belonging to the European Economic Area (EEA) and international organisations on the other.

## 1 1 1 2 Services and work to be contracted out

Appropriations 2016	Appropriations 2015	Outturn 2014
51 202	51 202	2 703,80

## Remarks

This appropriation is intended to cover all services performed by persons not linked to the institution and, in particular, temporary staff.

1 1 2 **Other expenditure in connection with staff**

## 1 1 2 0 Mission expenses, travel expenses and other ancillary expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
132 398	157 398	112 686,—

## Remarks

This appropriation is intended to cover expenditure on transport, the payment of daily mission allowances and the ancillary or exceptional expenses incurred in the performance of a mission.

## Legal basis

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11, 12 and 13 of Annex VII thereto.

## 1 1 2 1 Recruitment costs

Appropriations 2016	Appropriations 2015	Outturn 2014
6 789	6 789	14 211,—

**CHAPTER 1 1 — STAFF OF THE INSTITUTION** (*cont'd*)**1 1 2** (*cont'd*)1 1 2 1 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure arising from the organisation of the competitions referred to in Article 3 of Decision 2002/621/EC and the travel and subsistence expenses of candidates attending recruitment interviews and medical examinations.

This appropriation also covers the costs of organising procedures for the selection of temporary and contract staff.

In cases duly justified by functional requirements and after consultation with the European Personnel Selection Office, this appropriation may be used for competitions organised by the European Data Protection Supervisor itself.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

1 1 2 2 Further training

Appropriations 2016	Appropriations 2015	Outturn 2014
78 500	78 500	78 500,—

*Remarks*

This appropriation is intended to cover internal and external staff training and retraining courses, including language courses, organised on an interinstitutional, external or internal basis.

This appropriation also covers expenditure on educational and technical equipment.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

1 1 2 3 Social service

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

## EUROPEAN DATA PROTECTION SUPERVISOR

## CHAPTER 1 1 — STAFF OF THE INSTITUTION (cont'd)

1 1 2 (cont'd)

1 1 2 3 (cont'd)

## Remarks

This appropriation is intended, as part of an interinstitutional policy to assist people with disabilities (officials and temporary staff in active employment, their spouses and dependent children within the meaning of the Staff Regulations of Officials of the European Union), to cover the reimbursement, within the limits of the resources available under the budget and after any national entitlements in the country of residence or origin have been exhausted, of duly substantiated non-medical expenditure acknowledged to be necessary as a result of the disability.

1 1 2 4 Medical service

Appropriations 2016	Appropriations 2015	Outturn 2014
14 844	14 844	7 422,—

## Remarks

This appropriation is intended to cover expenses related to the annual medical check-up for officials and other servants entitled to it, including the analyses and medical examinations requested in connection with that check-up.

1 1 2 5 Union nursery centre and other day nurseries and after-school centres

Appropriations 2016	Appropriations 2015	Outturn 2014
80 000	80 000	60 000,—

## Remarks

This appropriation is intended to cover the European Data Protection Supervisor's share in expenditure relating to the Union nursery centre and other day nurseries and after-school centres.

1 1 2 6 Relations between staff and other welfare expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
6 000	6 000	5 858,25

## Remarks

This appropriation is intended:

- to encourage and provide financial backing for schemes to promote social contacts between staff of different nationalities, for example subsidies to staff clubs, sports associations, cultural activities, etc., and to make a contribution to the cost of a permanent leisure centre (cultural and sports activities, etc.), and
- to contribute to the cost of activities organised by the staff committee (cultural and sports activities, meals, etc.).

This appropriation also covers the implementation of a staff transport plan to encourage the use of public transport, cut private car use and reduce the carbon footprint.



## TITLE 2

## BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION

## CHAPTER 20 — BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION

[illegible]

EUROPEAN DATA PROTECTION SUPERVISOR

## TITLE 2

## BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION

## CHAPTER 2 0 — BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION

2 0 0 *Rents, charges and buildings expenditure*

Appropriations 2016	Appropriations 2015	Outturn 2014
922 000	885 000	885 000,—

*Remarks*

This appropriation is intended to cover, on a flat-rate or pro-rata basis, the rents and charges related to insurance, water, electricity, heating, cleaning and maintenance, security and surveillance and other miscellaneous buildings expenditure, including expenditure associated with the conversion, repair and renovation of the offices in question.

*Legal basis*

Agreement on administrative cooperation between the European Data Protection Supervisor and the other institution providing the offices.

2 0 1 *Expenditure in connection with the operation and activities of the institution*2 0 1 0 *Equipment*

Appropriations 2016	Appropriations 2015	Outturn 2014
367 500	367 500	370 000,—

*Remarks*

This appropriation is intended to cover:

- equipment (purchase and rental), operation and maintenance costs, information technology services, including assistance with the operation and maintenance of data-processing systems and the development of software,
- information technology operations entrusted to third parties and other expenditure in connection with information technology services, including the development and maintenance of the website,
- expenditure relating to the purchase, rental, upkeep and maintenance of telecommunications equipment and other telecommunications-related expenditure, including charges for communications by telephone, telegraph and telex and via electronic data transmission,
- the purchase, replacement and maintenance of installations and equipment, both technical (security and other) and administrative (office machines such as photocopiers and calculators, etc.),
- the purchase, maintenance and replacement of furniture,
- any other items relating to the fitting-out of premises and associated costs.

**CHAPTER 2 0 — BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION** (*cont'd*)**2 0 1** (*cont'd*)**2 0 1 1** Supplies

Appropriations 2016	Appropriations 2015	Outturn 2014
15 000	15 000	15 000,—

*Remarks*

This appropriation is intended to cover:

- the purchase of paper, envelopes and office supplies,
- mail, postal charges, charges for delivery by a courier service, packages and distribution to the public at large.

**2 0 1 2** Other operating expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
110 250	110 250	105 000,—

*Remarks*

This appropriation is intended to cover:

- the global management costs payable to the institution providing general services such as administration of contracts, salaries and allowances, etc., on behalf of the European Data Protection Supervisor,
- other current administrative expenditure (financial charges, legal costs, etc.).

**2 0 1 3** Translation and interpretation costs

Appropriations 2016	Appropriations 2015	Outturn 2014
775 000	707 686	680 000,—

*Remarks*

This appropriation is intended to cover all translation and interpretation costs and other associated costs.

*Legal basis*

Agreement on administrative cooperation between the European Data Protection Supervisor and the institution providing the service.

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 2 0 — BUILDINGS, EQUIPMENT AND EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE INSTITUTION (*cont'd*)2 0 1 (*cont'd*)

## 2 0 1 4 Expenditure on publishing and information

Appropriations 2016	Appropriations 2015	Outturn 2014
112 000	112 000	127 000,—

*Remarks*

This appropriation is intended to cover expenditure on publishing and information, and in particular:

- printing expenses for publications in the *Official Journal of the European Union*,
- the cost of printing and reproducing various publications in the official languages,
- information material relating to the European Data Protection Supervisor,
- any other expenses in connection with the institution's information policy (symposia, seminars, participation in public events, etc.),
- expenditure relating to publicity and information campaigns on the objectives, activities and role of the European Data Protection Supervisor,
- expenditure relating to group visits to the European Data Protection Supervisor.

## 2 0 1 5 Expenditure in connection with the activities of the institution

Appropriations 2016	Appropriations 2015	Outturn 2014
144 000	117 000	139 000,—

*Remarks*

This appropriation is intended to cover:

- the cost of receptions, representation costs and the purchase of representational items,
- meeting expenses,
- invitation costs, including travel and subsistence allowances and other related costs, for experts and other persons invited to take part in study groups or working meetings,
- the financing of studies and/or surveys contracted out to qualified experts or research institutes,
- expenditure related to the library of the European Data Protection Supervisor, including in particular the purchase of books and CD-ROMs, subscriptions to periodicals and press agencies and other associated costs.

## TITLE 3

## EUROPEAN DATA PROTECTION BOARD

## CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 3 0				
<b>3 0 0</b>	<b>Remuneration, allowances and other entitlements of the Chair</b>				
3 0 0 0	Remuneration and allowances				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
3 0 0 1	Entitlements on entering and leaving the service				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
3 0 0 2	Temporary allowances				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
3 0 0 3	Pensions				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<i>Article 3 0 0 — Total</i>	p.m.	p.m.	0,—	
<b>3 0 1</b>	<b>Remuneration, allowances and other entitlements of officials and temporary staff</b>				
3 0 1 0	Remuneration and allowances				
	Non-differentiated appropriations	358 000	99 493	0,—	0
3 0 1 1	Entitlements on entering, leaving the service and on transfer				
	Non-differentiated appropriations	25 000	25 000	0,—	0
3 0 1 2	Allowances and miscellaneous contributions in connection with early termination of service				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<i>Article 3 0 1 — Total</i>	383 000	124 493	0,—	0
<b>3 0 2</b>	<b>Other staff</b>				
3 0 2 0	Contract staff				
	Non-differentiated appropriations	76 800	45 579	0,—	0
3 0 2 1	Cost of traineeships and staff exchanges				
	Non-differentiated appropriations	140 000	p.m.	0,—	0
3 0 2 2	Services and work to be contracted out				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<i>Article 3 0 2 — Total</i>	216 800	45 579	0,—	0
<b>3 0 3</b>	<b>Other expenditure in connection with staff of the Board</b>				
3 0 3 0	Mission expenses, travel expenses and other ancillary expenditure				
	Non-differentiated appropriations	15 000	15 000	0,—	0

## EUROPEAN DATA PROTECTION SUPERVISOR

**CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD** (*cont'd*)

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>3 0 3</b>	( <i>cont'd</i> )				
3 0 3 1	Recruitment costs				
	Non-differentiated appropriations	10 500	4 500	0,—	0
3 0 3 2	Further training				
	Non-differentiated appropriations	10 990	4 710	0,—	0
3 0 3 3	Medical service				
	Non-differentiated appropriations	891	891	0,—	0
3 0 3 4	Union nursery centre and other day nurseries and after-school centres				
	Non-differentiated appropriations	16 000	16 000	0,—	0
	<i>Article 3 0 3 — Total</i>	53 381	41 101	0,—	0
<b>3 0 4</b>	<b><i>Expenditure in connection with the operation and activities of the Board</i></b>				
3 0 4 0	Meetings of the Board				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
3 0 4 1	Translation and interpretation costs				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
3 0 4 2	Expenditure on publishing and information				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
3 0 4 3	Information technology equipment and services				
	Non-differentiated appropriations	100 000	300 000	0,—	0
3 0 4 4	Travel expenses of external experts				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
3 0 4 5	External consultancy and studies				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
3 0 4 6	Expenditure in connection with the activities of the European Data Protection Board				
	Non-differentiated appropriations	p.m.	p.m.	0,—	
	<i>Article 3 0 4 — Total</i>	100 000	300 000	0,—	0
	<b>CHAPTER 3 0 — TOTAL</b>	753 181	511 173	0,—	0
	<b>Title 3 — Total</b>	753 181	511 173	0,—	0

## TITLE 3

## EUROPEAN DATA PROTECTION BOARD

## CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD

3 0 0 *Remuneration, allowances and other entitlements of the Chair*

## 3 0 0 0 Remuneration and allowances

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- the financing of salaries and allowances of members, and the impact of the corrective coefficient applicable to remuneration and to the share of emoluments transferred to a country other than that of employment,
- the institutions' contributions (0,87 %) towards insurance against accident and occupational disease,
- the institutions' contributions (3,4 %) towards sickness insurance,
- birth grants,
- allowances payable in the event of death.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

## 3 0 0 1 Entitlements on entering and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover travel expenses due to members (including their families) on taking up duty or leaving the Board, installation and resettlement allowances due to members on taking up duty or leaving the Board and removal expenses due to members on taking up duty or leaving the Board.

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (*cont'd*)3 0 0 (*cont'd*)3 0 0 1 (*cont'd*)*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 5 thereof.

3 0 0 2 Temporary allowances

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover transitional allowances, family allowances and corrective coefficient applied in respect of the countries of residence of former members of the Board.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Article 7 thereof.

3 0 0 3 Pensions

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the retirement pensions and the corrective coefficient applied in respect of the countries of residence of the members of the Board as well as the survivors' pensions of widows and orphans and the corrective coefficient applied in respect of their countries of residence.

*Legal basis*

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the General Court and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1), and in particular Articles 8, 9, 15 and 18 thereof.



CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (*cont'd*)**3 0 1      *Remuneration, allowances and other entitlements of officials and temporary staff*****3 0 1 0      Remuneration and allowances**

Appropriations 2016	Appropriations 2015	Outturn 2014
358 000	99 493	0,—

*Remarks*

This appropriation is intended to cover:

- the basic salaries of officials and temporary staff,
- family allowances, including the head of household allowance, the dependent child allowance and the education allowance,
- expatriation and foreign residence allowances,
- the institution's contribution towards sickness insurance and towards insurance against accident and occupational disease,
- the institution's contribution towards setting up the Special Unemployment Fund,
- payments made by the institution to allow temporary staff to constitute or maintain pension rights in their country of origin,
- the impact of the corrective coefficient applicable to remuneration and the proportion of emoluments transferred to a country other than that of employment,
- birth grants,
- flat-rate travel expenses from the place of employment to the place of origin,
- rent and transport allowances and fixed-rate entertainment allowances,
- fixed travel allowances,
- the special allowance granted to accounting officers and administrators of imprest accounts.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (*cont'd*)3 0 1 (*cont'd*)

## 3 0 1 1 Entitlements on entering, leaving the service and on transfer

Appropriations 2016	Appropriations 2015	Outturn 2014
25 000	25 000	0,—

*Remarks*

This appropriation is intended to cover expenditure related to travel expenses of officials and temporary staff (including members of their families) entering or leaving the service or on geographical redeployment (Articles 20 and 71 and Article 7 of Annex VII), allowances for staff obliged to change their place of residence on taking up their duties, terminating their service or being transferred to a new place of work (Articles 5 and 6 of Annex VII), removal expenses (Articles 20 and 71 and Article 9 of Annex VII), and temporary daily allowances for staff who provide justification that they have been obliged to change their place of residence after taking up their duties (Articles 20 and 71 and Article 10 of Annex VII).

*Legal basis*

Staff Regulations of Officials of the European Union.

## 3 0 1 2 Allowances and miscellaneous contributions in connection with early termination of service

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover:

- the allowances payable to staff assigned non-active status or retired in the interests of the service,
- the employer's contributions towards sickness insurance for the recipients of the above allowances,
- the impact of the corrective coefficient applicable to the allowances referred to above and the impact of any remuneration adjustments agreed on by the Council during the financial year.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 41, 50, 64, 65 and 72 thereof and Annex IV thereto.

**CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD** (*cont'd*)**3 0 2 Other staff****3 0 2 0** Contract staff

Appropriations 2016	Appropriations 2015	Outturn 2014
76 800	45 579	0,—

*Remarks*

This appropriation is intended to cover expenditure relating to the use of contract staff.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**3 0 2 1** Cost of traineeships and staff exchanges

Appropriations 2016	Appropriations 2015	Outturn 2014
140 000	p.m.	0,—

*Remarks*

This appropriation is intended to cover allowances, travel and mission expenses for trainees, as well as accident and sickness insurance during training periods. It is also intended to cover expenditure arising from staff exchanges between the European Data Protection Board on the one hand and Member States and EFTA countries belonging to the European Economic Area (EEA) or international organisations on the other.

**3 0 2 2** Services and work to be contracted out

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover all services performed by persons not linked to the institution, and in particular temporary staff.

**3 0 3 Other expenditure in connection with staff of the Board****3 0 3 0** Mission expenses, travel expenses and other ancillary expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
15 000	15 000	0,—

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (*cont'd*)3 0 3 (*cont'd*)3 0 3 0 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on transport costs, the payment of daily mission allowances and the ancillary or exceptional expenses incurred in the performance of a mission.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 71 thereof and Articles 11 to 13 of Annex VII thereto.

3 0 3 1 Recruitment costs

Appropriations 2016	Appropriations 2015	Outturn 2014
10 500	4 500	0,—

*Remarks*

This appropriation is intended to cover expenditure arising from the organisation of the competitions referred to in Article 3 of Decision 2002/621/EC and the travel and subsistence expenses of candidates attending recruitment interviews and medical examinations.

This appropriation also covers the costs of organising procedures for the selection of temporary and contract staff.

In cases duly motivated by functional requirements and after consultation with the European Personnel Selection Office, this appropriation may be used for competitions organised by the European Data Protection Board itself.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53).

Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

**CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD** (*cont'd*)**3 0 3** (*cont'd*)**3 0 3 2** Further training

Appropriations 2016	Appropriations 2015	Outturn 2014
10 990	4 710	0,—

*Remarks*

This appropriation is intended to cover internal and external staff training and retraining courses, including language courses, organised on an interinstitutional, external or internal basis.

This appropriation also covers expenditure on educational and technical equipment.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

**3 0 3 3** Medical service

Appropriations 2016	Appropriations 2015	Outturn 2014
891	891	0,—

*Remarks*

This appropriation is intended to cover expenses related to the annual medical check-up for officials and other servants entitled to it, including the analyses and medical examinations requested in connection with that check-up.

**3 0 3 4** Union nursery centre and other day nurseries and after-school centres

Appropriations 2016	Appropriations 2015	Outturn 2014
16 000	16 000	0,—

*Remarks*

This appropriation is intended to cover the European Data Protection Board's share in expenditure related to the Union nursery centre and other day nurseries and after-school centres.

**3 0 4** *Expenditure in connection with the operation and activities of the Board***3 0 4 0** Meetings of the Board

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (*cont'd*)3 0 4 (*cont'd*)3 0 4 0 (*cont'd*)*Remarks*

This appropriation is intended to cover the travel, subsistence and incidental expenses of experts and other persons invited to take part in committees, study groups or working meetings, as well as other connected expenditure (rental of rooms, interpretation needs, catering services, etc.).

3 0 4 1 Translation and interpretation costs

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover all translation and interpretation costs and other associated costs.

*Legal basis*

Agreement on administrative cooperation between the European Data Protection Board and the institution providing the service.

3 0 4 2 Expenditure on publishing and information

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure on publishing and information, and in particular:

- printing expenses for publications in the *Official Journal of the European Union*,
- the cost of printing and reproducing various publications in the official languages,
- information material related to the European Data Protection Board,
- any other expenses in connection with the institution's information policy (symposia, seminars, participation in public events, etc.),
- expenditure relating to publicity and information campaigns on the objectives, activities and role of the European Data Protection Board,
- expenditure related to group visits to the European Data Protection Board.

**CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD** (*cont'd*)**3 0 4** (*cont'd*)**3 0 4 3** Information technology equipment and services

Appropriations 2016	Appropriations 2015	Outturn 2014
100 000	300 000	0,—

*Remarks*

This appropriation is intended to cover:

- equipment (purchase and rental); operation and maintenance costs; information technology services including assistance with the operation and maintenance of data-processing systems and the development of software,
- information technology operations entrusted to third parties and other expenditure in connection with information technology services, including the development and maintenance of the website,
- expenditure relating to the purchase, rental, upkeep and maintenance of telecommunications equipment and other telecommunications-related expenditure, including charges for communications by telephone, telegraph and telex and via electronic data transmission.

**3 0 4 4** Travel expenses of external experts

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the invitation costs, including travel and subsistence allowances and other related costs, for experts and other persons invited to take part in study groups or working meetings.

**3 0 4 5** External consultancy and studies

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover the financing of studies, consultancy services and/or surveys contracted out to qualified experts and research institutes.

**3 0 4 6** Expenditure in connection with the activities of the European Data Protection Board

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

## EUROPEAN DATA PROTECTION SUPERVISOR

CHAPTER 3 0 — EXPENDITURE IN CONNECTION WITH THE OPERATION OF THE BOARD (*cont'd*)3 0 4 (*cont'd*)3 0 4 6 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- the costs of receptions, representation costs and the purchase of representational items,
- organisation of seminars, workshops or other common training programmes for members of the data protection authorities from the Member States, for members of the data protection authorities from third countries and for other relevant data protection experts invited by the European Data Protection Board,
- activities to promote the exchange of information and practices between data protection supervisory authorities,
- activities to promote data protection awareness,
- activities to promote the exchange of knowledge and documentation on data protection law and practice with data protection supervisory authorities worldwide,
- access charges for certain legal databases,
- expenditure related to the library of the European Data Protection Board, including in particular the purchase of books and CD-ROMs, subscriptions to periodicals and press agencies and other associated costs.



**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS****CHAPTER 10 1 — CONTINGENCY RESERVE**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 10 0	p.m.	p.m.	0,—	
	CHAPTER 10 0 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 10 1	p.m.	p.m.	0,—	
	CHAPTER 10 1 — TOTAL	p.m.	p.m.	0,—	
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>p.m.</b>	<b>0,—</b>	
	<b>GRAND TOTAL</b>	<b>9 288 043</b>	<b>8 805 417</b>	<b>7 762 288,60</b>	<b>83,57</b>

EUROPEAN DATA PROTECTION SUPERVISOR

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 10 1 — CONTINGENCY RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	0,—

*Remarks*

This appropriation is intended to cover expenditure resulting from budgetary decisions taken in the course of the financial year (expenditure that cannot be estimated).

## STAFF

## Section IX — European Data Protection Supervisor

Function group and grade	European Data Protection Supervisor			
	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
Non-category	—	—	—	—
AD 16	1	—	—	—
AD 15	—	—	1	—
AD 14	2	—	1	—
AD 13	2	—	3	—
AD 12	2	—	—	—
AD 11	2	—	2	—
AD 10	4	—	3	—
AD 9	6 + 1 <sup>(1)</sup>	—	8 + 1 <sup>(1)</sup>	—
AD 8	7	—	5	—
AD 7	5 + 1 <sup>(1)</sup>	—	7	—
AD 6	—	—	1	—
AD 5	—	—	—	—
AD total	31 + 2 <sup>(1)</sup>	—	31 + 1 <sup>(1)</sup>	—
AST 11	1	—	1	—
AST 10	—	—	—	—
AST 9	1	—	1	—
AST 8	1	—	1	—
AST 7	1	—	1	—
AST 6	2	—	1	—
AST 5	3 + 1 <sup>(1)</sup>	—	4 + 1 <sup>(1)</sup>	—
AST 4	2	—	2	—
AST 3	0 + 1 <sup>(1)</sup>	—	1	—
AST 2	—	—	—	—
AST 1	—	—	—	—
AST total	11 + 2 <sup>(1)</sup>	—	12 + 1 <sup>(1)</sup>	—
AST/SC 6	—	—	—	—
AST/SC 5	—	—	—	—
AST/SC 4	—	—	—	—
AST/SC 3	1	—	1	—
AST/SC 2	—	—	—	—
AST/SC 1	—	—	—	—
AST/SC total	1	—	1	—
<b>Grand total</b>	<b>47</b>	—	<b>46</b>	—

<sup>(1)</sup> Officials proposed to be integrated into the Task Force that are temporarily included in the European Data Protection Supervisor's establishment plan.

SECTION X

**EUROPEAN EXTERNAL ACTION SERVICE**

**REVENUE****Contribution of the European Union to the financing of the expenditure of the  
European External Action Service for the financial year 2016**

Heading	Amount
Expenditure	633 628 000
Own resources	– 40 727 000
<b>Contribution due</b>	<b>592 901 000</b>

EUROPEAN EXTERNAL ACTION SERVICE

## OWN RESOURCES

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 40 — DEDUCTIONS FROM STAFF REMUNERATION

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
4 0 0  4 0 4	CHAPTER 4 0				
	<i>Proceeds from taxation of the salaries, wages and allowances of officials and other servants</i>	19 861 000	22 070 000	19 208 741,16	96,72
	<i>Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment</i>	3 767 000	2 091 000	3 576 081,70	94,93
	CHAPTER 4 0 — TOTAL	23 628 000	24 161 000	22 784 822,86	96,43
	CHAPTER 4 1				
	<i>Staff contributions to the pension scheme</i>	17 099 000	13 635 000	15 740 216,83	92,05
	<i>Transfer or purchase of pension rights by staff</i>	p.m.	p.m.	0,—	
	<i>Contributions to the pension scheme by officials on leave on personal grounds</i>	p.m.	p.m.	0,—	
	CHAPTER 4 1 — TOTAL	17 099 000	13 635 000	15 740 216,83	92,05

## TITLE 4

## MISCELLANEOUS UNION TAXES, LEVIES AND DUES

## CHAPTER 4 0 — DEDUCTIONS FROM STAFF REMUNERATION

**4 0 0** *Proceeds from taxation of the salaries, wages and allowances of officials and other servants*

Financial year 2016	Financial year 2015	Financial year 2014
19 861 000	22 070 000	19 208 741,16

*Remarks*

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

**4 0 4** *Proceeds from the special levy and the solidarity levy on the salaries of Members of the institution, officials and other servants in active employment*

Financial year 2016	Financial year 2015	Financial year 2014
3 767 000	2 091 000	3 576 081,70

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 66a thereof.

## CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

**4 1 0** *Staff contributions to the pension scheme*

Financial year 2016	Financial year 2015	Financial year 2014
17 099 000	13 635 000	15 740 216,83

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 83(2) thereof.

**4 1 1** *Transfer or purchase of pension rights by staff*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Articles 4, 11 and 48 of Annex VIII thereto.

EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME (*cont'd*)

## 4 1 2

***Contributions to the pension scheme by officials on leave on personal grounds***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Staff Regulations of Officials of the European Union, and in particular Article 40(3) of Annex VIII thereto.



## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

## CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

## CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 0				
<b>5 0 0</b>	<b><i>Proceeds from the sale of movable property</i></b>				
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.	0,—	
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue	p.m.	p.m.	0,—	
5 0 0 2	Proceeds from the supply of goods to other institutions or bodies — Assigned revenue	p.m.	p.m.	0,—	
	Article 5 0 0 — Total	p.m.	p.m.	0,—	
<b>5 0 2</b>	<b><i>Proceeds from the sale of publications, printed works and films</i></b>	p.m.	p.m.	0,—	
	CHAPTER 5 0 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 5 1				
<b>5 1 0</b>	<b><i>Proceeds from the hiring-out of furniture and equipment</i></b>	p.m.	p.m.	0,—	
<b>5 1 1</b>	<b><i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i></b>	p.m.	p.m.	0,—	
	CHAPTER 5 1 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 5 2				
<b>5 2 0</b>	<b><i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i></b>	p.m.	p.m.	427 366,19	
	CHAPTER 5 2 — TOTAL	p.m.	p.m.	427 366,19	
	CHAPTER 5 5				
<b>5 5 0</b>	<b><i>Revenue from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i></b>	p.m.	p.m.	0,—	
<b>5 5 1</b>	<b><i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i></b>	p.m.	p.m.	0,—	
	CHAPTER 5 5 — TOTAL	p.m.	p.m.	0,—	

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****CHAPTER 5 9 — OTHER REVENUE ARISING FROM ADMINISTRATIVE MANAGEMENT**

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
	CHAPTER 5 7				
5 7 0	<i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>	p.m.	p.m.	9 765 794,02	
5 7 1	<i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>	p.m.	p.m.	0,—	
5 7 2	<i>Repayment of welfare expenditure incurred on behalf of another institution — Assigned revenue</i>	p.m.	p.m.	0,—	
5 7 3	<i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>	p.m.	p.m.	23 365,15	
5 7 4	<i>Revenue arising from the Commission contribution to the European External Action Service (EEAS) for Union delegations — Assigned revenue</i>	p.m.	p.m.	263 846 450,37	
	CHAPTER 5 7 — TOTAL	p.m.	p.m.	273 635 609,54	
	CHAPTER 5 8				
5 8 0	<i>Miscellaneous compensation — Assigned revenue</i>	p.m.	p.m.	0,—	
	CHAPTER 5 8 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 5 9				
5 9 0	<i>Other revenue arising from administrative management</i>	p.m.	p.m.	0,—	
	CHAPTER 5 9 — TOTAL	p.m.	p.m.	0,—	
	Title 5 — Total	p.m.	p.m.	274 062 975,73	

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY

5 0 0 *Proceeds from the sale of movable property*

## 5 0 0 0 Proceeds from the sale of vehicles — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 1 Proceeds from the sale of other movable property — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## 5 0 0 2 Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

5 0 2 *Proceeds from the sale of publications, printed works and films*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE AND IMMOVABLE PROPERTY** (*cont'd*)**5 0 2** (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING****5 1 0** *Proceeds from the hiring-out of furniture and equipment*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 1 1** *Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST****5 2 0** *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	427 366,19

**CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT****5 5 0** *Revenue from the supply of services and work for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

**CHAPTER 5 5 — REVENUE FROM THE PROCEEDS OF SERVICES SUPPLIED AND WORK CARRIED OUT** (*cont'd*)**5 5 0** (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 5 1** *Revenue from third parties in respect of services or work supplied at their request — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION****5 7 0** *Revenue arising from the repayment of amounts wrongly paid — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	9 765 794,02

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 1** *Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 2** *Repayment of welfare expenditure incurred on behalf of another institution — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION** (*cont'd*)**5 7 2** (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 3** ***Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	23 365,15

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**5 7 4** ***Revenue arising from the Commission contribution to the European External Action Service (EEAS) for Union delegations — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	263 846 450,37

*Remarks*

This revenue arises from a Commission contribution to the EEAS for covering locally managed expenses of Commission staff working in Union delegations, including Commission staff funded by the European Development Fund (EDF), and other expenditure relating to, inter alia, press and information activities.

In accordance with Article 21(2) and (3) of the Financial Regulation, any revenue will be used to provide additional appropriations for Item 3 0 0 5 in the statement of expenditure in this section.

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION****5 8 0** ***Miscellaneous compensation — Assigned revenue***

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

**CHAPTER 5 8 — MISCELLANEOUS COMPENSATION** (*cont'd*)**5 8 0** (*cont'd*)*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

**CHAPTER 5 9 — OTHER REVENUE ARISING FROM ADMINISTRATIVE MANAGEMENT****5 9 0** *Other revenue arising from administrative management*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

EUROPEAN EXTERNAL ACTION SERVICE

## TITLE 6

## CONTRIBUTIONS AND REPAYMENTS UNDER UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

## CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

## CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
6 1 2	CHAPTER 6 1				
	<i>Repayment of expenditure incurred specifically during the performance of work on request and for consideration — Assigned revenue</i>	p.m.	p.m.	0,—	
	CHAPTER 6 1 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 6 3				
	6 3 1 <i>Contributions within the framework of the Schengen acquis — Assigned revenue</i>				
	6 3 1 1 Contribution to the administrative costs arising from the framework agreement with Iceland and Norway — Assigned revenue	p.m.	p.m.	0,—	
	Article 6 3 1 — Total	p.m.	p.m.	0,—	
	CHAPTER 6 3 — TOTAL	p.m.	p.m.	0,—	
	CHAPTER 6 6				
	6 6 0 <i>Other contributions and refunds</i>				
6 6 0 0	Other assigned contributions and refunds — Assigned revenue	p.m.	p.m.	0,—	
	Article 6 6 0 — Total	p.m.	p.m.	0,—	
	CHAPTER 6 6 — TOTAL	p.m.	p.m.	0,—	
Title 6 — Total		p.m.	p.m.	0,—	



## TITLE 6

## CONTRIBUTIONS AND REPAYMENTS UNDER UNION AGREEMENTS AND PROGRAMMES

## CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

6 1 2 *Repayment of expenditure incurred specifically during the performance of work on request and for consideration — Assigned revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

6 3 1 *Contributions within the framework of the Schengen acquis — Assigned revenue*

## 6 3 1 1 Contribution to the administrative costs arising from the framework agreement with Iceland and Norway — Assigned revenue

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

Contribution to the administrative costs arising from the Agreement of 18 May 1999 concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 36), and in particular Article 12 thereof.

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS****6 6 0      *Other contributions and refunds*****6 6 0 0      Other assigned contributions and refunds — Assigned revenue**

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Remarks*

In accordance with Article 21 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations in the headings which bore the initial expenditure giving rise to the corresponding revenue.

## TITLE 7

## INTEREST ON LATE PAYMENTS

## CHAPTER 70 — INTEREST ON LATE PAYMENTS

[illegible]

EUROPEAN EXTERNAL ACTION SERVICE

## TITLE 7

## INTEREST ON LATE PAYMENTS

## CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

7 0 0 *Interest on late payments*

7 0 0 0 Interest due on late payments into the accounts held with the treasuries of the Member States

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

7 0 0 1 Other interest on late payments

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	0,—

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1), and in particular Article 78(4) thereof.

## TITLE 9

### MISCELLANEOUS REVENUE

## CHAPTER 90 — MISCELLANEOUS REVENUE

Article Item	Heading	Financial year 2016	Financial year 2015	Financial year 2014	% 2014/2016
9 0 0	CHAPTER 9 0				
	Miscellaneous revenue	p.m.	p.m.	586 011,66	
	CHAPTER 9 0 — TOTAL	p.m.	p.m.	586 011,66	
	Title 9 — Total	p.m.	p.m.	586 011,66	
	GRAND TOTAL	40 727 000	37 796 000	313 174 027,08	768,96

EUROPEAN EXTERNAL ACTION SERVICE

**TITLE 9****MISCELLANEOUS REVENUE****CHAPTER 9 0 — MISCELLANEOUS REVENUE****9 0 0**      *Miscellaneous revenue*

Financial year 2016	Financial year 2015	Financial year 2014
p.m.	p.m.	586 011,66

**EXPENDITURE****General summary of appropriations (2016 and 2015) and outturn (2014)**

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
<b>1</b>	<b>STAFF AT HEADQUARTERS</b>			
1 1	REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF	124 998 000	121 879 000	119 614 028,62
1 2	REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF	19 190 000	18 615 000	18 793 098,04
1 3	OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT	2 407 000	2 307 000	2 454 842,44
1 4	MISSIONS	8 123 000	8 123 000	7 723 305,—
1 5	MEASURES TO ASSIST STAFF	1 528 000	1 672 000	1 701 935,—
	<b>Title 1 — Total</b>	<b>156 246 000</b>	<b>152 596 000</b>	<b>150 287 209,10</b>
<b>2</b>	<b>BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE AT HEADQUARTERS</b>			
2 0	BUILDINGS AND ASSOCIATED COSTS	29 983 000	29 985 000	29 262 734,57
2 1	COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE	30 782 000	30 727 000	25 030 666,40
2 2	OTHER OPERATING EXPENDITURE	5 606 000	5 626 500	6 051 968,26
	<b>Title 2 — Total</b>	<b>66 371 000</b>	<b>66 338 500</b>	<b>60 345 369,23</b>
<b>3</b>	<b>DELEGATIONS</b>			
3 0	DELEGATIONS	411 011 000	383 902 386	301 802 570,91
	<b>Title 3 — Total</b>	<b>411 011 000</b>	<b>383 902 386</b>	<b>301 802 570,91</b>
<b>10</b>	<b>OTHER EXPENDITURE</b>			
10 0	PROVISIONAL APPROPRIATIONS	p.m.	p.m.	
10 1	CONTINGENCY RESERVE	p.m.	p.m.	
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>p.m.</b>	
	<b>GRAND TOTAL</b>	<b>633 628 000</b>	<b>602 836 886</b>	<b>512 435 149,24</b>

## EUROPEAN EXTERNAL ACTION SERVICE

## TITLE 1

## STAFF AT HEADQUARTERS

## CHAPTER 1 1 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF

## CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 1 1				
<b>1 1 0</b>	<b>Remuneration and other entitlements relating to statutory staff</b>				
1 1 0 0	Basic salaries				
	Non-differentiated appropriations	95 648 000	93 271 000	91 339 873,54	95,50
1 1 0 1	Entitlements under the Staff Regulations related to the post held				
	Non-differentiated appropriations	564 000	626 000	476 157,74	84,43
1 1 0 2	Entitlements under the Staff Regulations related to the personal circumstances of the staff member				
	Non-differentiated appropriations	24 959 000	24 284 000	24 217 554,70	97,03
1 1 0 3	Social security cover				
	Non-differentiated appropriations	3 827 000	3 698 000	3 580 442,64	93,56
1 1 0 4	Salary weightings and adjustments				
	Non-differentiated appropriations	p.m.	p.m.		
	Article 1 1 0 — Total	124 998 000	121 879 000	119 614 028,62	95,69
	CHAPTER 1 1 — TOTAL	124 998 000	121 879 000	119 614 028,62	95,69
	CHAPTER 1 2				
<b>1 2 0</b>	<b>Remuneration and other entitlements relating to external staff</b>				
1 2 0 0	Contract staff				
	Non-differentiated appropriations	7 288 000	7 198 000	6 980 214,40	95,78
1 2 0 1	Non-military seconded national experts				
	Non-differentiated appropriations	3 571 000	3 497 000	3 668 994,—	102,74
1 2 0 2	Traineeships				
	Non-differentiated appropriations	358 000	357 000	362 690,—	101,31
1 2 0 3	External services				
	Non-differentiated appropriations	p.m.	p.m.		
1 2 0 4	Agency staff and special advisers				
	Non-differentiated appropriations	200 000	103 000	206 271,64	103,14
1 2 0 5	Military seconded national experts				
	Non-differentiated appropriations	7 773 000	7 460 000	7 574 928,—	97,45
	Article 1 2 0 — Total	19 190 000	18 615 000	18 793 098,04	97,93



## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF** (*cont'd*)**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT****CHAPTER 1 4 — MISSIONS****CHAPTER 1 5 — MEASURES TO ASSIST STAFF**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>1 2 2</b>	<b>Provisional appropriation</b>				
	Non-differentiated appropriations	p.m.	p.m.		
	CHAPTER 1 2 — TOTAL	19 190 000	18 615 000	18 793 098,04	97,93
	CHAPTER 1 3				
<b>1 3 0</b>	<b>Expenditure relating to staff management</b>				
1 3 0 0	Recruitment				
	Non-differentiated appropriations	50 000	100 000	70 000,—	140,00
1 3 0 1	Training				
	Non-differentiated appropriations	947 000	947 000	974 842,44	102,94
1 3 0 2	Entitlements on entering the service, transfers and leaving the service				
	Non-differentiated appropriations	1 410 000	1 260 000	1 410 000,—	100,00
	Article 1 3 0 — Total	2 407 000	2 307 000	2 454 842,44	101,99
	CHAPTER 1 3 — TOTAL	2 407 000	2 307 000	2 454 842,44	101,99
	CHAPTER 1 4				
<b>1 4 0</b>	<b>Missions</b>				
	Non-differentiated appropriations	8 123 000	8 123 000	7 723 305,—	95,08
	CHAPTER 1 4 — TOTAL	8 123 000	8 123 000	7 723 305,—	95,08
	CHAPTER 1 5				
<b>1 5 0</b>	<b>Measures to assist staff</b>				
1 5 0 0	Social services and assistance to staff				
	Non-differentiated appropriations	191 000	199 000	187 935,—	98,40
1 5 0 1	Medical service				
	Non-differentiated appropriations	520 000	595 000	590 000,—	113,46
1 5 0 2	Restaurants and canteens				
	Non-differentiated appropriations	p.m.	p.m.		
1 5 0 3	Crèches and childcare facilities				
	Non-differentiated appropriations	817 000	878 000	924 000,—	113,10
	Article 1 5 0 — Total	1 528 000	1 672 000	1 701 935,—	111,38
	CHAPTER 1 5 — TOTAL	1 528 000	1 672 000	1 701 935,—	111,38
	<b>Title 1 — Total</b>	<b>156 246 000</b>	<b>152 596 000</b>	<b>150 287 209,10</b>	<b>96,19</b>

EUROPEAN EXTERNAL ACTION SERVICE

**TITLE 1****STAFF AT HEADQUARTERS****CHAPTER 1 1 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF***Remarks*

The appropriations entered in this chapter are assessed on the basis of the EEAS establishment plan for the financial year.

**1 1 0      *Remuneration and other entitlements relating to statutory staff*****1 1 0 0      Basic salaries**

Appropriations 2016	Appropriations 2015	Outturn 2014
95 648 000	93 271 000	91 339 873,54

*Remarks*

This appropriation is intended to cover basic salaries for officials and temporary staff holding a post provided for in the establishment plan and compensation provided for under Article 50 of the Staff Regulations.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 1 0 1      Entitlements under the Staff Regulations related to the post held**

Appropriations 2016	Appropriations 2015	Outturn 2014
564 000	626 000	476 157,74

*Remarks*

This appropriation is intended to cover primarily, for officials and temporary staff holding a post provided for in the establishment plan:

- secretarial allowances,
- accommodation and transport allowances,
- fixed local travel allowances,

**CHAPTER 1 1 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF** (*cont'd*)**1 1 0** (*cont'd*)**1 1 0 1** (*cont'd*)

- allowances for shift work or standby duty at the official's place of work or at home,
- other allowances and repayments,
- overtime.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**1 1 0 2** Entitlements under the Staff Regulations related to the personal circumstances of the staff member

Appropriations 2016	Appropriations 2015	Outturn 2014
24 959 000	24 284 000	24 217 554,70

*Remarks*

This appropriation is intended to cover primarily, for officials and temporary staff holding a post provided for in the establishment plan:

- expatriation and foreign residence allowances,
- household, dependent child and education allowances,
- allowances for parental or family leave,
- payment of travel expenses for officials or temporary staff, their spouses and dependants from their place of employment to their place of origin,
- miscellaneous allowances and grants.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## EUROPEAN EXTERNAL ACTION SERVICE

## CHAPTER 1 1 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO STATUTORY STAFF (cont'd)

## 1 1 0 (cont'd)

## 1 1 0 3 Social security cover

Appropriations 2016	Appropriations 2015	Outturn 2014
3 827 000	3 698 000	3 580 442,64

*Remarks*

This appropriation is mainly intended to cover, for officials and temporary staff holding a post provided for in the establishment plan:

- insurance against sickness, accidents and occupational disease and other social security charges,
- unemployment insurance for temporary staff and payments made by the institution to allow temporary staff to constitute or maintain pension rights in their country of origin.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

## 1 1 0 4 Salary weightings and adjustments

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

This appropriation is intended to cover, for officials and temporary staff holding a post provided for in the establishment plan, the impact of weightings applicable to remuneration and to the part of emoluments transferred to a country other than the country of employment.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF****1 2 0      *Remuneration and other entitlements relating to external staff*****1 2 0 0      Contract staff**

Appropriations 2016	Appropriations 2015	Outturn 2014
7 288 000	7 198 000	6 980 214,40

*Remarks*

This appropriation is intended to cover the remuneration of contract staff (within the meaning of the Conditions of Employment of Other Servants of the European Union), employer's contributions to the various social security schemes and the impact of salary weightings applicable to the remuneration of these staff.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**1 2 0 1      Non-military seconded national experts**

Appropriations 2016	Appropriations 2015	Outturn 2014
3 571 000	3 497 000	3 668 994,—

*Remarks*

This appropriation is intended to cover allowances and administrative expenses in respect of national experts on secondment other than those posted to serve as the European Union Military Staff.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Decision of the High Representative of the Union for Foreign Affairs and Security Policy of 4 February 2014 establishing the rules applicable to National Experts seconded to the European External Action Service.

**1 2 0 2      Traineeships**

Appropriations 2016	Appropriations 2015	Outturn 2014
358 000	357 000	362 690,—

*Remarks*

This appropriation is intended to cover the costs of in-service traineeships intended for graduates and is designed to provide them with an overview of the objectives set and the challenges faced by the Union, an insight into how its institutions work and an opportunity to enhance their knowledge through work experience at the EEAS.

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF (*cont'd*)1 2 0 (*cont'd*)1 2 0 2 (*cont'd*)

It covers the payment of grants and other related costs (supplement for dependent persons or for trainees, persons with disabilities, accident and sickness insurance, etc., reimbursement of travelling costs arising from the traineeship, particularly at the start and the close of the traineeship, as well as the costs of organising events stemming from the traineeship programme, e.g. visits, hosting and reception costs). It also covers the costs of evaluation in order to optimise the programme of traineeships and communication and information campaigns.

The selection of trainees is based on objective, transparent criteria and reflects a geographical balance.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 1 2 0 3 External services

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

This appropriation is intended to cover all services performed by persons not linked to the institution and in particular:

- temporary staff for miscellaneous services,
- supplementary staff for meetings,
- experts in the field of working conditions.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 1 2 0 4 Agency staff and special advisers

Appropriations 2016	Appropriations 2015	Outturn 2014
200 000	103 000	206 271,64

*Remarks*

This appropriation is mainly intended to cover the remuneration of agency staff, temporary staff and special advisers, including in the field of the CSDP/CFSP, employer's contributions to the various social security schemes and the impact of salary weightings applicable to the remuneration of these staff.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 2 — REMUNERATION AND OTHER ENTITLEMENTS RELATING TO EXTERNAL STAFF** (*cont'd*)**1 2 0** (*cont'd*)**1 2 0 5** Military seconded national experts

Appropriations 2016	Appropriations 2015	Outturn 2014
7 773 000	7 460 000	7 574 928,—

*Remarks*

This appropriation is intended to finance the emoluments of the national military experts posted to serve as the European Union Military Staff under the CSDP/CFSP.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Decision of the High Representative of the Union for Foreign Affairs and Security Policy of 4 February 2014 establishing the rules applicable to National Experts seconded to the European External Action Service.

**1 2 2** ***Provisional appropriation***

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

This appropriation is intended to cover the effect of any salary adjustments in the course of the financial year.

This appropriation is provisional and may be used only after its transfer to the appropriate headings of this chapter.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT****1 3 0** ***Expenditure relating to staff management*****1 3 0 0** Recruitment

Appropriations 2016	Appropriations 2015	Outturn 2014
50 000	100 000	70 000,—

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT (*cont'd*)1 3 0 (*cont'd*)1 3 0 0 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- expenditure on organising the competitions provided for in Article 3 of Decision 2002/621/EC and travel and subsistence expenses for applicants called for interviews and medical examinations,
- the costs of organising selection procedures for temporary, auxiliary and local staff.

In cases duly justified by operational requirements, and after consulting the European Personnel Selection Office, this appropriation may be used for competitions organised by the institution itself.

Assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 27 to 31 and 33 thereof and Annex III thereto.

Decision 2002/620/EC of the European Parliament, the Council, the Commission, the Court of Justice, the Court of Auditors, the Economic and Social Committee, the Committee of the Regions and the European Ombudsman of 25 July 2002 establishing a European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 53) and Decision 2002/621/EC of the Secretaries-General of the European Parliament, the Council and the Commission, the Registrar of the Court of Justice, the Secretaries-General of the Court of Auditors, the Economic and Social Committee and the Committee of the Regions, and the Representative of the European Ombudsman of 25 July 2002 on the organisation and operation of the European Communities Personnel Selection Office (OJ L 197, 26.7.2002, p. 56).

1 3 0 1 Training

Appropriations 2016	Appropriations 2015	Outturn 2014
947 000	947 000	974 842,44

*Remarks*

This appropriation is intended to cover:

- expenditure incurred for further training and retraining, including language courses run on an interinstitutional basis, course fees, the cost of trainers and logistical costs such as room and equipment hire as well as miscellaneous connected costs such as refreshments and food, the expenses of attendance at courses, conferences and congresses under the European Union Military Staff's terms of reference,
- enrolment fees for seminars and conferences.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.



**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT** (*cont'd*)**1 3 0** (*cont'd*)1 3 0 1 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 24a thereof.

Conditions of Employment of Other Servants of the European Union.

Council Decision 2001/80/CFSP of 22 January 2001 on the establishment of the Military Staff of the European Union (OJ L 27, 30.1.2001, p. 7).

Decision of the High Representative of the Union for Foreign Affairs and Security Policy of 4 February 2014 establishing the rules applicable to National Experts seconded to the European External Action Service.

1 3 0 2 Entitlements on entering the service, transfers and leaving the service

Appropriations 2016	Appropriations 2015	Outturn 2014
1 410 000	1 260 000	1 410 000,—

*Remarks*

This appropriation is intended to cover:

- travel expenses due to officials, temporary and contract staff (including their families) entering or leaving the service or being transferred to another place of employment,
- installation/resettlement allowances and removal expenses due to officials, temporary and contract staff obliged to change their place of residence on taking up their duties, on transfer to a new place of employment and on leaving the service and resettling elsewhere,
- daily subsistence allowance payable to officials, temporary and contract staff who provide proof that they have had to change their place of residence on taking up their duties or transferring to a new place of employment,
- severance grant for probationers dismissed for obvious inadequacy,
- compensation in the event of termination by the institution of the contract of a temporary or contract staff member.

This appropriation is also intended to cover allowances for officials:

- assigned non-active status in connection with a measure to reduce the number of posts in the institution,
- holding an AD 14 to AD 16-grade-post who are retired in the interests of the service.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 1 3 — OTHER EXPENDITURE RELATING TO STAFF MANAGEMENT** (*cont'd*)**1 3 0** (*cont'd*)1 3 0 2 (*cont'd*)*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

**CHAPTER 1 4 — MISSIONS****1 4 0** **Missions**

Appropriations 2016	Appropriations 2015	Outturn 2014
8 123 000	8 123 000	7 723 305,—

*Remarks*

This appropriation is intended to cover:

- mission expenses incurred by the High Representative,
- mission expenses and staff duty travel expenses of the officials, temporary and contract staff and special advisers of the EEAS, together with transport costs, payment of daily subsistence allowances on mission and ancillary or special costs connected with missions,
- mission expenses incurred under the European Union Military Staff's terms of reference,
- mission expenses of national experts on secondment to the EEAS,
- mission expenses of the High Representative's special advisers and special envoys,
- missions expenses of successful candidates called for training prior to taking up duty.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 11, 12 and 13 of Annex VII thereto.

Decision of the High Representative of the Union for Foreign Affairs and Security Policy on rules applicable to mission by EEAS staff.

Council Decision 2001/80/CFSP of 22 January 2001 on the establishment of the Military Staff of the European Union (OJ L 27, 30.1.2001, p. 7).

Decision of the High Representative of the Union for Foreign Affairs and Security Policy of 4 February 2014 establishing the rules applicable to National Experts seconded to the European External Action Service.

**CHAPTER 1 5 — MEASURES TO ASSIST STAFF****1 5 0        *Measures to assist staff*****1 5 0 0        Social services and assistance to staff**

Appropriations 2016	Appropriations 2015	Outturn 2014
191 000	199 000	187 935,—

*Remarks*

This appropriation is intended to cover:

- measures taken to assist officials and other staff in particularly difficult situations,
- costs for social contacts between members of staff,
- partial reimbursement to staff of the cost of using public transport to come to work. This measure is intended as an incentive to use public transport.

This appropriation is intended for the following categories of disabled persons as part of a policy to assist the disabled:

- officials in service,
- spouses of officials in service,
- all dependent children within the meaning of the Staff Regulations of Officials of the European Union.

It enables the reimbursement of non-medical costs that are deemed necessary, result from disablement and are duly substantiated, subject to budgetary ceilings, once any national entitlements granted in the country of residence or of origin have been exhausted.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Articles 24 and 76 thereof.

**1 5 0 1        Medical service**

Appropriations 2016	Appropriations 2015	Outturn 2014
520 000	595 000	590 000,—

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 1 5 — MEASURES TO ASSIST STAFF (*cont'd*)1 5 0 (*cont'd*)1 5 0 1 (*cont'd*)*Remarks*

This appropriation is intended to cover in particular:

- the operating costs of the sick bays, the cost of consumables, medical care and medicines at the crèche, expenditure on medical check-ups and expenditure relating to the Invalidity Committees and the cost of spectacles,
- expenditure on the purchase of certain work tools deemed necessary on medical grounds.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union, and in particular Article 59 thereof and Article 8 of Annex II thereto.

1 5 0 2 Restaurants and canteens

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

This appropriation is intended to cover remuneration for the services provided by the operator of the restaurants and canteens.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

1 5 0 3 Crèches and childcare facilities

Appropriations 2016	Appropriations 2015	Outturn 2014
817 000	878 000	924 000,—

*Remarks*

This appropriation is intended to cover the EEAS contribution to the cost of the Early Childhood Centre and other crèches and childcare facilities (to be paid to the Commission and/or the Council).

Revenue from the parental contribution and from contributions by organisations employing parents gives rise to assigned revenue.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## TITLE 2

## BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE AT HEADQUARTERS

## CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS

## CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 2 0				
<b>2 0 0</b>	<b>Buildings</b>				
2 0 0 0	Rent and annual lease payments				
	Non-differentiated appropriations	18 168 000	18 372 000	18 214 000,—	100,25
2 0 0 1	Acquisition of immovable property				
	Non-differentiated appropriations	p.m.	p.m.		
2 0 0 2	Fitting-out and security works				
	Non-differentiated appropriations	235 000	100 000	167 215,35	71,16
	<i>Article 2 0 0 — Total</i>	18 403 000	18 472 000	18 381 215,35	99,88
<b>2 0 1</b>	<b>Costs relating to buildings</b>				
2 0 1 0	Cleaning and maintenance				
	Non-differentiated appropriations	4 190 000	4 330 000	3 897 885,86	93,03
2 0 1 1	Water, gas, electricity and heating				
	Non-differentiated appropriations	1 120 000	1 293 000	1 047 600,—	93,54
2 0 1 2	Security and surveillance of buildings				
	Non-differentiated appropriations	6 090 000	5 700 000	5 746 825,36	94,36
2 0 1 3	Insurance				
	Non-differentiated appropriations	50 000	60 000	39 500,—	79,00
2 0 1 4	Other expenditure relating to buildings				
	Non-differentiated appropriations	130 000	130 000	149 708,—	115,16
	<i>Article 2 0 1 — Total</i>	11 580 000	11 513 000	10 881 519,22	93,97
	<b>CHAPTER 2 0 — TOTAL</b>	29 983 000	29 985 000	29 262 734,57	97,60
	CHAPTER 2 1				
<b>2 1 0</b>	<b>Computer systems and telecommunications</b>				
2 1 0 0	Information and communication technology				
	Non-differentiated appropriations	12 837 000	12 837 000	13 117 000,—	102,18
2 1 0 1	Cryptography and highly classified information and communications technology				
	Non-differentiated appropriations	13 745 000	13 745 000	11 571 082,38	84,18

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE** (*cont'd*)**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>2 1 0</b>	( <i>cont'd</i> )				
2 1 0 2	Security of information and communication technology up to the level 'EU restricted'				
	Non-differentiated appropriations	2 550 000	2 550 000		
2 1 0 3	Technical security countermeasures				
	Non-differentiated appropriations	1 250 000	1 250 000		
	<i>Article 2 1 0 — Total</i>	30 382 000	30 382 000	24 688 082,38	81,26
<b>2 1 1</b>	<b><i>Furniture, technical equipment and transport</i></b>				
2 1 1 0	Furniture				
	Non-differentiated appropriations	155 000	200 000	154 309,42	99,55
2 1 1 1	Technical equipment and installations				
	Non-differentiated appropriations	150 000	50 000	83 974,60	55,98
2 1 1 2	Transport				
	Non-differentiated appropriations	95 000	95 000	104 300,—	109,79
	<i>Article 2 1 1 — Total</i>	400 000	345 000	342 584,02	85,65
	<b>CHAPTER 2 1 — TOTAL</b>	30 782 000	30 727 000	25 030 666,40	81,32
	<b>CHAPTER 2 2</b>				
<b>2 2 0</b>	<b><i>Conferences, congresses and meetings</i></b>				
2 2 0 0	Organisation of meetings, conferences and congresses				
	Non-differentiated appropriations	485 000	485 000	735 100,—	151,57
2 2 0 1	Experts' travel expenses				
	Non-differentiated appropriations	50 000	50 000	50 000,—	100,00
	<i>Article 2 2 0 — Total</i>	535 000	535 000	785 100,—	146,75
<b>2 2 1</b>	<b><i>Information</i></b>				
2 2 1 0	Documentation and library expenditure				
	Non-differentiated appropriations	765 000	923 000	740 282,15	96,77
2 2 1 1	Satellite imagery				
	Non-differentiated appropriations	450 000	450 000	495 000,—	110,00
2 2 1 2	General publications				
	Non-differentiated appropriations	41 000	41 000	25 565,36	62,35
2 2 1 3	Public information and public events				
	Non-differentiated appropriations	295 000	295 000	187 808,50	63,66
	<i>Article 2 2 1 — Total</i>	1 551 000	1 709 000	1 448 656,01	93,40

**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE** (*cont'd*)

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
<b>2 2 2</b>	<b>Language services</b>				
2 2 2 0	Translation				
	Non-differentiated appropriations	p.m.	p.m.		
2 2 2 1	Interpretation				
	Non-differentiated appropriations	490 000	450 000	579 150,—	118,19
	<i>Article 2 2 2 — Total</i>	490 000	450 000	579 150,—	118,19
<b>2 2 3</b>	<b>Miscellaneous expenses</b>				
2 2 3 0	Office supplies				
	Non-differentiated appropriations	323 000	323 000	323 235,—	100,07
2 2 3 1	Postal charges				
	Non-differentiated appropriations	155 000	155 000	156 710,—	101,10
2 2 3 2	Expenditure on studies, surveys and consultations				
	Non-differentiated appropriations	49 000	49 500	29 387,—	59,97
2 2 3 3	Interinstitutional cooperation				
	Non-differentiated appropriations	1 893 000	1 795 000	2 037 146,—	107,61
2 2 3 4	Removals				
	Non-differentiated appropriations	120 000	120 000	124 100,—	103,42
2 2 3 5	Financial charges				
	Non-differentiated appropriations	5 000	5 000	5 000,—	100,00
2 2 3 6	Legal expenses and costs, damages and compensation				
	Non-differentiated appropriations	25 000	25 000	63 484,25	253,94
2 2 3 7	Other operating expenditure				
	Non-differentiated appropriations	10 000	10 000		
	<i>Article 2 2 3 — Total</i>	2 580 000	2 482 500	2 739 062,25	106,17
<b>2 2 4</b>	<b>Conflict Prevention and Mediation Support Services (continuation)</b>				
2 2 4 0	Conflict Prevention and Mediation Support Services (continuation)				
	Non-differentiated appropriations	450 000	450 000	500 000,—	111,11
	<i>Article 2 2 4 — Total</i>	450 000	450 000	500 000,—	111,11
	<b>CHAPTER 2 2 — TOTAL</b>	5 606 000	5 626 500	6 051 968,26	107,96
	<b>Title 2 — Total</b>	<b>66 371 000</b>	<b>66 338 500</b>	<b>60 345 369,23</b>	<b>90,92</b>

EUROPEAN EXTERNAL ACTION SERVICE

**TITLE 2****BUILDINGS, EQUIPMENT AND OPERATING EXPENDITURE AT HEADQUARTERS****CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS****2 0 0 Buildings****2 0 0 0 Rent and annual lease payments**

Appropriations 2016	Appropriations 2015	Outturn 2014
18 168 000	18 372 000	18 214 000,—

*Remarks*

This appropriation is intended to cover in Brussels the rent and taxes on buildings occupied by the EEAS and the rent for meeting rooms, a warehouse and parking spaces.

It is also intended to cover the annual lease payments for buildings or parts of buildings under existing leases or leases in preparation.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 0 0 1 Acquisition of immovable property**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

This appropriation is intended to cover the acquisition of immovable property.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 0 0 2 Fitting-out and security works**

Appropriations 2016	Appropriations 2015	Outturn 2014
235 000	100 000	167 215,35

*Remarks*

This appropriation is intended to cover fitting-out work, including:

- studies on adapting and extending the institution's buildings,
- works on the fitting-out of buildings for the purposes of the physical and material safety of persons and property,



**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 0** (*cont'd*)**2 0 0 2** (*cont'd*)

- fitting-out and transformation of premises in accordance with operational requirements,
- adaptation of premises and technical installations to meet the health and safety requirements and standards in force.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

**2 0 1** ***Costs relating to buildings*****2 0 1 0** Cleaning and maintenance

Appropriations 2016	Appropriations 2015	Outturn 2014
4 190 000	4 330 000	3 897 885,86

*Remarks*

This appropriation is intended to cover the following cleaning and maintenance costs:

- the cleaning of offices, workshops and stores (including curtains, net curtains, carpets, blinds, etc.),
- replacement of worn curtains, net curtains and carpets,
- painting,
- miscellaneous maintenance,
- repairs to technical installations,
- technical supplies,
- contracts for the maintenance of miscellaneous technical equipment (air conditioning, heating, waste disposal, lifts, security equipment and safe-speech rooms).

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 1** (*cont'd*)**2 0 1 1** Water, gas, electricity and heating

Appropriations 2016	Appropriations 2015	Outturn 2014
1 120 000	1 293 000	1 047 600,—

*Remarks*

This appropriation is intended to cover water, gas, electricity and heating costs.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 0 1 2** Security and surveillance of buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
6 090 000	5 700 000	5 746 825,36

*Remarks*

This appropriation is intended to cover the costs of caretaking and surveillance in respect of buildings occupied by the EEAS.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 0 1 3** Insurance

Appropriations 2016	Appropriations 2015	Outturn 2014
50 000	60 000	39 500,—

*Remarks*

This appropriation is intended to cover the premiums on contracts concluded with insurance companies for the buildings occupied by the EEAS and public liability insurance covering visitors to those buildings.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 0 1 4** Other expenditure relating to buildings

Appropriations 2016	Appropriations 2015	Outturn 2014
130 000	130 000	149 708,—

**CHAPTER 2 0 — BUILDINGS AND ASSOCIATED COSTS** (*cont'd*)**2 0 1** (*cont'd*)2 0 1 4 (*cont'd*)*Remarks*

This appropriation is intended to cover any other current expenditure relating to buildings (including the Cortenberg and ER buildings) not provided for in the other articles of this chapter, in particular the costs of waste removal, signalling equipment, surveys by specialised bodies, etc.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE****2 1 0** *Computer systems and telecommunications*

2 1 0 0 Information and communication technology

Appropriations 2016	Appropriations 2015	Outturn 2014
12 837 000	12 837 000	13 117 000,—

*Remarks*

This appropriation is intended to cover expenditure for non-classified information and communication technology, namely expenditure relating to:

- the purchase or rental of equipment or software for computer systems and applications,
- assistance and training provided by computer services and consultancy firms for the operation and development of computer systems and applications, including support for users,
- the servicing and maintenance of computer equipment and systems and applications software,
- communication service providers,
- the price of communications and data-transmission.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 1 0 1 Cryptography and highly classified information and communications technology

Appropriations 2016	Appropriations 2015	Outturn 2014
13 745 000	13 745 000	11 571 082,38

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE (*cont'd*)2 1 0 (*cont'd*)2 1 0 1 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure for cryptography and highly secure information and communication technology, namely expenditure relating to:

- the purchase or rental of equipment or software for secure computer systems and applications,
- assistance and training provided by computer services and consultancy firms for the operation and development of computer systems and applications, including support for users, the servicing and maintenance of secure computer equipment and systems and applications software,
- subscription to secure communication services,
- the price of secure communications and data transmissions.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 1 0 2 Security of information and communication technology up to the level 'EU restricted'

Appropriations 2016	Appropriations 2015	Outturn 2014
2 550 000	2 550 000	

*Remarks*

This appropriation is intended to cover expenditure to ensure the security of information up to the level 'EU restricted', namely expenditure for that purpose relating to:

- the purchase or rental of equipment or software,
- assistance and training provided by computer services and consultancy firms for the operation and development of secure computer systems and applications, including support for users,
- the maintenance and servicing of computer equipment and systems and applications software,
- subscriptions to communications services,
- the price of communications and data transmissions.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE** (*cont'd*)**2 1 0** (*cont'd*)**2 1 0 3** Technical security countermeasures

Appropriations 2016	Appropriations 2015	Outturn 2014
1 250 000	1 250 000	

*Remarks*

This appropriation is intended to cover expenditure to ensure the security of information through technical security countermeasures, namely expenditure for that purpose relating to:

- the purchase or rental of equipment or software for sweeping premises at headquarters, in delegations and in buildings used for conferences and meetings,
- assistance and training provided by specialised service companies, manufacturers and consultancy firms for the operation and development of such equipment or software, including support to users,
- the maintenance and servicing of such equipment, systems and applications software,
- the cost of transporting the equipment for sweeping premises,
- the acquisition, transport and installation of specific equipment necessary for safe-speech rooms,
- mission costs incurred by staff necessary for sweeping premises or equipping safe-speech rooms,
- the acquisition or rental of security systems for EEAS' buildings.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 1 1** ***Furniture, technical equipment and transport*****2 1 1 0** Furniture

Appropriations 2016	Appropriations 2015	Outturn 2014
155 000	200 000	154 309,42

*Remarks*

This appropriation is intended to cover:

- purchase or replacement of furniture and specialised furniture,

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 2 1 — COMPUTER SYSTEMS, EQUIPMENT AND FURNITURE (*cont'd*)2 1 1 (*cont'd*)2 1 1 0 (*cont'd*)

- rental of furniture during missions and meetings outside EEAS premises,
- maintenance and repair of furniture.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 2 1 1 1 Technical equipment and installations

Appropriations 2016	Appropriations 2015	Outturn 2014
1 50 000	50 000	83 974,60

*Remarks*

This appropriation is intended to cover:

- the purchase or replacement of miscellaneous fixed and mobile technical equipment and installations, particularly in connection with archiving, security, conferences, canteens and buildings,
- technical assistance and supervision, particularly in connection with conferences and canteens,
- rental of technical equipment and installations and the cost of servicing, maintaining and repairing such technical equipment and installations.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 2 1 1 2 Transport

Appropriations 2016	Appropriations 2015	Outturn 2014
95 000	95 000	104 300,—

*Remarks*

This appropriation is intended to cover, inter alia:

- the leasing or acquisition of service vehicles,
- the cost of hiring cars when it is impossible to make use of the means of transport available to the EEAS, particularly in the course of missions,
- the running and maintenance costs of service cars (purchase of fuel, tyres, etc.).

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE****2 2 0 Conferences, congresses and meetings****2 2 0 0** Organisation of meetings, conferences and congresses

Appropriations 2016	Appropriations 2015	Outturn 2014
485 000	485 000	735 100,—

*Remarks*

This appropriation is intended to cover expenses for:

- organising informal Foreign Affairs Council meetings and other informal meetings,
- organising political dialogue meetings at ministerial and senior official levels,
- organising conferences and congresses,
- internal meetings, including where necessary the cost of food and refreshments served in special occasions,
- discharging the obligations incumbent upon the institution in the form of reception and representation expenses,
- protocol-related activities.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 0 1** Experts' travel expenses

Appropriations 2016	Appropriations 2015	Outturn 2014
50 000	50 000	50 000,—

*Remarks*

This appropriation is intended to cover travel and subsistence allowances for experts invited to meetings or sent on mission by the EEAS.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 1 Information****2 2 1 0** Documentation and library expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
765 000	923 000	740 282,15

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 2 2 — OTHER OPERATING EXPENDITURE (*cont'd*)2 2 1 (*cont'd*)2 2 1 0 (*cont'd*)*Remarks*

This appropriation is intended to cover:

- the costs of access to external documentary and statistical databases including for geographical data,
- subscriptions to newspapers, periodicals, services supplying analyses of their content and other online publications; this appropriation also covers any copyright costs arising out of the reproduction and circulation of such publications in hard copy and/or electronically,
- the purchase of books and other works in hard copy and/or in electronic form for the library,
- the cost of subscriptions to teleprinter news agencies,
- bookbinding and other costs essential for preserving books and periodicals.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Council Decision 2001/80/CFSP of 22 January 2001 on the establishment of the Military Staff of the European Union (OJ L 27, 30.1.2001, p. 7).

2 2 1 1 Satellite imagery

Appropriations 2016	Appropriations 2015	Outturn 2014
450 000	450 000	495 000,—

*Remarks*

This appropriation is intended to cover the cost of acquiring satellite imagery for the EEAS for the purposes of, in particular, crisis prevention and crisis management.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 2 1 2 General publications

Appropriations 2016	Appropriations 2015	Outturn 2014
41 000	41 000	25 565,36



**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE** (*cont'd*)**2 2 1** (*cont'd*)**2 2 1 2** (*cont'd*)*Remarks*

This appropriation is intended to cover the costs of preparing, publishing in the official languages of the Member States, by traditional means (paper or microfilm) or electronic methods, and disseminating publications by the EEAS, including those published in the *Official Journal of the European Union*.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 1 3** Public information and public events

Appropriations 2016	Appropriations 2015	Outturn 2014
295 000	295 000	187 808,50

*Remarks*

This appropriation is intended to cover:

- audiovisual services to inform the public about the Union's foreign affairs policy and the High Representative's actions,
- the development and functioning of the EEAS website,
- expenditure on publicity and the promotion of publications and public events relating to the institution's activities, including ancillary management and infrastructure costs,
- expenditure on information under the CSDP/CFSP,
- the cost of miscellaneous information, public relations activities including promotional items.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 2** ***Language services*****2 2 2 0** Translation

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 2 2 — OTHER OPERATING EXPENDITURE (*cont'd*)2 2 2 (*cont'd*)2 2 2 0 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure relating to the translation services provided to the EEAS by the General Secretariat of the Council and by the Commission.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

2 2 2 1 Interpretation

Appropriations 2016	Appropriations 2015	Outturn 2014
490 000	450 000	579 150,—

*Remarks*

This appropriation is intended to cover the services provided to the EEAS by the Commission's interpreters.

It is also intended to cover the services provided to the EEAS by the Commission interpreters on the occasion of meetings of the Political and Security Committee and the Military Committee and of other meetings held specifically within the framework of the CSDP/CFSP.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Decision No 111/2007 of the Secretary-General of the Council/High Representative for the Common Foreign and Security Policy concerning interpreting for the European Council, the Council and its preparatory bodies.

2 2 3 **Miscellaneous expenses**

2 2 3 0 Office supplies

Appropriations 2016	Appropriations 2015	Outturn 2014
323 000	323 000	323 235,—

*Remarks*

This appropriation is intended to cover:

- the purchase of paper,
- photocopies and fees,

**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE** (*cont'd*)**2 2 3** (*cont'd*)**2 2 3 0** (*cont'd*)

- paper and office supplies (day-to-day supplies),
- printed material,
- supplies for dispatching mail (envelopes, wrapping paper, plates for the franking machine),
- supplies for the document reproduction shop (printer inks, offset plates, films and chemicals for preparing plates).

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 3 1** Postal charges

Appropriations 2016	Appropriations 2015	Outturn 2014
155 000	155 000	156 710,—

*Remarks*

This appropriation is intended to cover postal charges.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 3 2** Expenditure on studies, surveys and consultations

Appropriations 2016	Appropriations 2015	Outturn 2014
49 000	49 500	29 387,—

*Remarks*

This appropriation is intended to cover the cost of studies and consultations contracted out to highly qualified experts.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 3 3** Interinstitutional cooperation

Appropriations 2016	Appropriations 2015	Outturn 2014
1 893 000	1 795 000	2 037 146,—

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 2 2 — OTHER OPERATING EXPENDITURE (*cont'd*)2 2 3 (*cont'd*)2 2 3 3 (*cont'd*)*Remarks*

This appropriation is intended to cover expenditure on interinstitutional activities, notably the cost of Commission, Offices and Council administrative staff managing EEAS staff, buildings and archives.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 2 2 3 4 Removals

Appropriations 2016	Appropriations 2015	Outturn 2014
120 000	120 000	124 100,—

*Remarks*

This appropriation is intended to cover the cost of the removal and transport of equipment.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 2 2 3 5 Financial charges

Appropriations 2016	Appropriations 2015	Outturn 2014
5 000	5 000	5 000,—

*Remarks*

This appropriation is intended to cover all financial charges, including bank charges.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

## 2 2 3 6 Legal expenses and costs, damages and compensation

Appropriations 2016	Appropriations 2015	Outturn 2014
25 000	25 000	63 484,25

*Remarks*

This appropriation is intended to cover:

- costs which may be awarded against the EEAS by the Court of Justice, the General Court and the Civil Service Tribunal and the cost of employing outside lawyers to represent the EEAS in court,

**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE** (*cont'd*)**2 2 3** (*cont'd*)**2 2 3 6** (*cont'd*)

— costs of consulting outside lawyers,

— damages and compensation for which the EEAS may be liable.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 3 7** Other operating expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
10 000	10 000	

*Remarks*

This appropriation is intended to cover:

- the cost of purchasing working clothes for conference service staff and security service staff, working equipment for workshop staff and internal services staff and the repair and maintenance of working clothes,
- the EEAS contribution towards the expenditure of some associations whose activities are directly related to those of the Union institutions,
- other operating expenditure not specially provided for under the preceding headings,
- the purchase of uniforms and accessories, in particular for the security personnel responsible for Cortenberg and ER buildings.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**2 2 4** ***Conflict Prevention and Mediation Support Services (continuation)*****2 2 4 0** Conflict Prevention and Mediation Support Services (continuation)

Appropriations 2016	Appropriations 2015	Outturn 2014
450 000	450 000	500 000,—

*Remarks*

This appropriation is intended to cover:

- the deployment of Union staff in support of mediation and dialogue processes,
- contracting internal mediation and dialogue experts as well as accessing external mediation support services, taking into consideration the ongoing work of the United Nations and other organisations in setting up rosters,

EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 2 2 — OTHER OPERATING EXPENDITURE** (*cont'd*)

**2 2 4** (*cont'd*)

**2 2 4 0** (*cont'd*)

- engaging in knowledge management, including organising workshops and conflict analyses, and developing and publishing lessons learned studies, best practices and guidelines,
- training and internal capacity-building in connection with early warning, conflict analyses and mediation and dialogue for Union staff at headquarters, Union personnel deployed in missions, EUSRs, heads of delegation and their staff.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

[illegible]

EUROPEAN EXTERNAL ACTION SERVICE

**TITLE 3****DELEGATIONS****CHAPTER 3 0 — DELEGATIONS****3 0 0      *Delegations*****3 0 0 0      Remuneration and entitlements of statutory staff**

Appropriations 2016	Appropriations 2015	Outturn 2014
109 127 000	105 510 000	105 827 291,27

*Remarks*

This appropriation is intended to cover the following expenditure incurred within delegations of the European Union outside the Union and delegations to international organisations situated inside the Union in respect of officials and temporary staff holding a post provided for in the establishment plan:

- basic salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- overtime,
- the cost of weightings applied to the remuneration,
- the cost of any adjustments to remuneration during the financial year.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Staff Regulations of Officials of the European Union.

Conditions of Employment of Other Servants of the European Union.

Rules governing designation and remuneration and other financial conditions adopted by the EEAS.

**3 0 0 1      External staff and outside services**

Appropriations 2016	Appropriations 2015	Outturn 2014
64 169 000	60 068 000	56 274 243,20



**CHAPTER 3 0 — DELEGATIONS** (*cont'd*)**3 0 0** (*cont'd*)**3 0 0 1** (*cont'd*)*Remarks*

This appropriation is intended to cover the following expenditure incurred in relation to delegations of the European Union outside the Union and delegations to international organisations situated inside the Union:

- the remuneration of local and/or contract staff, and the social security charges and benefits to be met by the employer,
- employer's contributions towards supplementary social security cover for local staff,
- services of agency and freelance staff.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Conditions of Employment of Other Servants of the European Union.

**3 0 0 2** Other expenditure related to staff

Appropriations 2016	Appropriations 2015	Outturn 2014
24 791 000	20 587 285	22 403 382,74

*Remarks*

This appropriation is intended to cover the following expenditure incurred within delegations of the European Union outside the Union and delegations to international organisations situated inside the Union:

- expenditure related to the posting of Junior Experts (university graduates) to the delegations of the European Union,
- the cost of seminars organised for young diplomats from the Member States and third countries,
- expenditure relating to the secondment or temporary assignment of officials from the Member States to delegations,
- installation and resettlement allowances due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- travel expenses due to staff (including members of their family) on taking up duty, on transfer to another place of employment, or on leaving the institution,
- removal expenses due to staff obliged to change their place of residence on taking up duty, on transfer to another place of employment and on finally leaving the institution and resettling elsewhere,

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 3 0 — DELEGATIONS (*cont'd*)3 0 0 (*cont'd*)3 0 0 2 (*cont'd*)

- in the event of the death of a member of the EEAS staff or a dependent person, the costs incurred pursuant to Article 75 of the Staff Regulations for transporting the body,
- miscellaneous costs and allowances concerning other staff, including legal consultations,
- expenditure arising from recruitment procedures of officials, temporary agents, contract staff and local staff, including publication costs, travel and subsistence costs and accident insurance for candidates called for examinations and interviews, costs connected with the organisation of group recruitment tests and pre-recruitment medical examinations,
- the acquisition, replacement, conversion and maintenance of equipment of a medical nature installed in delegations,
- expenses related to the cost of annual medical examinations of officials, contract staff and local staff, including analyses and tests carried out as part of such examinations, the cost of medical and dental advisers and the costs concerning the policy regarding AIDS at the workplace,
- cultural activities and initiatives for encouraging social contacts between expatriate and local staff,
- the fixed allowance granted to officials who regularly incur representation expenses by reason of their duties, and the reimbursement of costs incurred by authorised officials to represent the Commission and/or the EEAS in the interests of the service and by reason of their duties (in the case of delegations within the territory of the Union, part of the accommodation expenses will be covered by the fixed representation allowance),
- expenditure on travel expenses, daily subsistence allowances for missions and incidental or exceptional expenses incurred in connection with missions by officials and other staff,
- expenditure on travel expenses and daily subsistence allowances for successful candidates called for training prior to taking up duty,
- expenditure on travel expenses, daily subsistence allowances and insurance in connection with medical evacuations,
- expenditure arising from crisis situations, including travel, accommodation and daily subsistence allowances,
- expenditure on general and language training designed to improve the skills of the staff and the performance of the institution,
- fees for experts employed to identify training needs, design, develop and hold courses and to evaluate and monitor results,
- fees for consultants in various fields, in particular organisational methods, planning, management, strategy, quality assurance and personnel management,
- expenditure incurred in designing, holding and evaluating training organised by the institution in the form of courses, seminars and conferences (course instructors/speakers and their travel and subsistence expenses and teaching materials),

**CHAPTER 3 0 — DELEGATIONS** (*cont'd*)**3 0 0** (*cont'd*)**3 0 0 2** (*cont'd*)

- expenditure related to the practical and logistical aspects of organising courses including premises, transport and equipment hire for training and local and regional seminars as well as miscellaneous connected costs such as refreshments and food,
- the cost of participation in conferences and symposiums, and subscriptions to professional and scientific associations,
- training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licenses for distance teaching, books, press and multimedia products,
- the costs associated with the diplomatic exchange programme, such as travel and installation costs in accordance with the Staff Regulations.

**3 0 0 3** Buildings and associated costs

Appropriations 2016	Appropriations 2015	Outturn 2014
168 948 000	155 799 301	98 110 900,31

*Remarks*

This appropriation is intended to cover the following expenditure incurred within delegations of the European Union outside the Union and delegations to international organisations situated inside the Union:

- temporary accommodation allowances and daily allowances for officials, temporary agents and contract agents,
- as regards rent and other charges on buildings for delegations outside the Union:
  - for all the buildings or parts of buildings occupied by the offices of delegations outside the Union or by officials posted outside the Union: rent (including temporary accommodation) and taxes, insurance premiums, refurbishments and major repairs, routine expenditure relating to the security of persons and goods (cipher machines, safes, window bars, etc.),
  - for all the buildings or parts of buildings occupied by the offices of delegations outside the Union and delegates' residences: water, gas, electricity and fuel charges, maintenance and repairs, handling, refurbishment and other routine expenses (local taxes for street maintenance and refuse collection and the purchase of signs and signposts),
- as regards rent and other charges on buildings within Union territory:
  - for all the buildings or parts of buildings occupied by the offices of delegations: rent; water, gas, electricity and heating energy charges; insurance premiums; maintenance and repairs; refurbishment and major repairs; expenditure relating to security, particularly contracts for surveillance and the hiring and refilling of extinguishers; the purchase and maintenance of fire-fighting equipment and the replacement of equipment of voluntary fire-fighting officials; the cost of statutory inspections, etc.,

## EUROPEAN EXTERNAL ACTION SERVICE

**CHAPTER 3 0 — DELEGATIONS** (*cont'd*)**3 0 0** (*cont'd*)**3 0 0 3** (*cont'd*)

- for the buildings or parts of buildings occupied by officials: reimbursement of expenditure relating to the security of housing,
- expenditure incurred in the acquisition of building land and buildings (purchase or lease-purchase option) or construction of offices or other accommodation, including the costs of preliminary studies and various fees.

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1) introduced in its Article 203 the possibility for institutions to finance the acquisition of property through loans. This item will cover charges generated by such loans (principal and interest) for the acquisition of property for delegations.

The amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation is estimated at EUR 275 000.

**3 0 0 4** Other administrative expenditure

Appropriations 2016	Appropriations 2015	Outturn 2014
43 976 000	41 937 800	19 186 753,39

*Remarks*

This appropriation is intended to cover the following expenditure incurred within delegations of the European Union outside the Union and delegations to international organisations situated inside the Union:

- the purchase, hire, leasing, maintenance and repair of furniture and equipment, in particular audiovisual, archive, printing, library, interpretation and specialised office equipment (photocopiers, reader-printers, fax machines, etc.) as well as the acquisition of documentation and supplies related to this equipment,
- the purchase, maintenance and repair of technical equipment such as generators, air conditioners, etc., and the installation of equipment for welfare facilities in the delegations,
- the purchase, replacement, hire, leasing, maintenance and repair of vehicles, including tools,
- insurance premiums for vehicles,
- the purchase of books, documents and other non-periodical publications, including updates, and subscriptions to newspapers, periodicals and various publications, and the cost of binding and other costs for the preservation of periodicals,
- subscriptions to news agencies,
- the purchase of paper, envelopes, office supplies and supplies for reproduction, and some printing contracted to outside service providers,

**CHAPTER 3 0 — DELEGATIONS** (*cont'd*)**3 0 0** (*cont'd*)**3 0 0 4** (*cont'd*)

- the transport and customs clearance of equipment, the purchase and cleaning of uniforms for floor messengers, drivers, etc., various types of insurance (in particular third-party liability and theft), expenditure on internal meetings (drinks, food served on special occasions),
- the cost of studies, surveys and consultations connected with the administrative operation of delegations and any other operating expenses not specifically covered by the other items in this article,
- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail,
- the cost of the diplomatic bag,
- all expenditure on furniture and fittings for residential accommodation made available to officials,
- the purchase, hire or leasing of data-processing equipment (computers, terminals, mini-computers, peripherals, connection devices) with the requisite software,
- outsourced services, in particular for the development, maintenance and support of information technology systems in the delegations,
- the purchase, hire or leasing of equipment for the reproduction of information on paper, such as printers and scanners,
- the purchase, hire or leasing of telephone exchanges and switchboards and equipment for data transmission with the requisite software,
- subscription charges and fixed costs for cable or radio communications (telephone, telegraph, telex, fax), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of installation, configuration, maintenance, support, assistance, documentation and supplies related to this equipment,
- any expenditure on active security operations in delegations in emergencies,
- all financial charges, including bank charges,
- for imprest accounts, adjustments where all appropriate measures have been taken by the authorising officer to deal with the situation and where the adjustment expenditure cannot be booked to another specific budget line,
- adjustments in situations where a claim is cancelled in full or in part after it has been booked to the accounts as revenue (in particular in the event of offsetting against a debt),
- adjustments in cases where VAT has not been recovered and where it is no longer possible to book the amount to the line that covered the principal expenditure,
- for any interest relating to the above cases, where it cannot be booked to another specific budget line.

## EUROPEAN EXTERNAL ACTION SERVICE

CHAPTER 3 0 — DELEGATIONS (*cont'd*)3 0 0 (*cont'd*)3 0 0 4 (*cont'd*)

This item is also intended to accommodate, if necessary, an appropriation to cover any losses resulting from the liquidation or cessation of activities of a bank with which the Commission has accounts for the purposes of imprests.

It may finance costs incurred by delegations in the framework of local cooperation with Member States, notably in the context of a crisis.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

*Legal basis*

Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30), and in particular Article 5(10) thereof.

3 0 0 5 Commission contribution for delegations

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

Any revenue from the Commission or from the European Development Fund (EDF) contributing to the cost in delegations resulting from the presence of Commission staff in the delegations may lead to additional appropriations being made available in accordance with Article 21 of the Financial Regulation.

This appropriation is intended to cover the following costs for Commission staff, including Commission staff financed by the EDF posted in the delegations of the European Union outside the Union and delegations to international organisations situated inside the Union:

- salaries and salary related expenditure of local agents (and agency staff),
- the share in the expenditure covered by Items 3 0 0 0 (Remuneration and entitlements of statutory staff), 3 0 0 1 (External staff and outside services), 3 0 0 2 (Other expenditure related to staff), 3 0 0 3 (Buildings and associated costs) and 3 0 0 4 (Other administrative expenditure) for such staff.

In addition, this appropriation may cover other expenditure, such as press and information activities, carried out on the basis of service level agreements concluded with other institutions.

Amount of assigned revenue in accordance with Article 21(2) and (3) of the Financial Regulation: p.m.

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS****CHAPTER 10 1 — CONTINGENCY RESERVE**

Article Item	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014	% 2014/2016
	CHAPTER 10 0	p.m.	p.m.		
	CHAPTER 10 0 — TOTAL	p.m.	p.m.		
	CHAPTER 10 1	p.m.	p.m.		
	CHAPTER 10 1 — TOTAL	p.m.	p.m.		
	<b>Title 10 — Total</b>	<b>p.m.</b>	<b>p.m.</b>		
	<b>GRAND TOTAL</b>	<b>633 628 000</b>	<b>602 836 886</b>	<b>512 435 149,24</b>	<b>80,87</b>

EUROPEAN EXTERNAL ACTION SERVICE

**TITLE 10****OTHER EXPENDITURE****CHAPTER 10 0 — PROVISIONAL APPROPRIATIONS**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

The appropriations entered in this chapter are provisional and may be used only after their transfer to other chapters in accordance with the Financial Regulation.

*Legal basis*

Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

**CHAPTER 10 1 — CONTINGENCY RESERVE**

Appropriations 2016	Appropriations 2015	Outturn 2014
p.m.	p.m.	

*Remarks*

This appropriation is intended to cover expenditure resulting from budgetary decisions taken in the course of the financial year (expenditure that cannot be estimated).



## EUROPEAN EXTERNAL ACTION SERVICE

## STAFF

## Section X — European External Action Service

Function group and grade	2016		2015	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	7		7	
AD 15	27		29	
AD 14	119		119	
AD 13	198		198	
AD 12	183		183	
AD 11	69		69	
AD 10	70		70	
AD 9	85		83	
AD 8	68		44	
AD 7	73		76	
AD 6	34		42	
AD 5	25		44	
AD total	958		964	
AST 11	31		31	
AST 10	27		27	
AST 9	60		62	
AST 8	57	1	57	1
AST 7	96		92	
AST 6	84		84	
AST 5	102		102	
AST 4	66		59	
AST 3	58		58	
AST 2	47		58	
AST 1	21		30	
AST total	649	1	660	1
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2	10		10	
AST/SC 1	10		10	
AST/SC total	20			
<b>Grand total</b>	<b>1 627</b>	<b>1</b>	<b>1 644</b>	<b>1</b>
<b>Total staff</b>	<b>1 628</b>		<b>1 645</b>	





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