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I

(Acts whose publication is obligatory)

REGULATION (EC) No 304/2003 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL
of 28 January 2003
concerning the export and import of dangerous chemicals
(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF
THE EUROPEAN UNION,

Having regard to the Treaty establishing the European
Community, and in particular Article 175(1), thereof,

Having regard to the proposal from the Commission ⁽¹⁾,

Having regard to the opinion of the European Economic and
Social Committee ⁽²⁾,

Having consulted the Committee of the Regions,

Acting in accordance with the procedure laid down in Article
251 of the Treaty ⁽³⁾,

Whereas:

(1) Council Regulation (EEC) No 2455/92 of 23 July 1992 concerning the export and import of certain dangerous chemicals ⁽⁴⁾ establishes, *inter alia*, a common system of notification and information for exports to third countries of chemicals which are banned or severely restricted in the Community on account of their effects on human health and the environment. The Regulation applies on a mandatory basis the international 'prior informed consent' (PIC) procedure under the non-binding provisions of the London Guidelines for the Exchange of Information on Chemicals in International Trade (London Guidelines) of the United Nations Environment Programme (UNEP), as amended in 1989, and under the International Code of Conduct on the Distribution and Use of Pesticides, as amended in 1990, of the Food and Agriculture Organisation (FAO).

(2) On 11 September 1998, the Community signed the Rotterdam Convention on the prior informed consent procedure for certain hazardous chemicals and pesticides in international trade (the PIC Convention). At the same time, a Resolution on interim arrangements laid down in the Final Act of the Diplomatic Conference was adopted, setting up an interim PIC procedure based on the Convention text.

(3) It is appropriate that the Community should act to implement the rules of the Convention, including, until such time as it enters into force, the interim PIC procedure, without in any way weakening the level of protection afforded to the environment and the general public of importing countries under Regulation (EEC) No 2455/92.

(4) With the same objective in mind, it is also necessary and appropriate to go further than the provisions of the Convention in certain respects. Article 15(4) of the Convention allows Parties the right to take action that is more stringently protective of human health and the environment than that called for in the Convention, provided that such action is consistent with the provisions of the Convention and is in accordance with international law.

(5) As regards the participation of the Community in the Convention, it is essential to have a single contact point for Community interaction with the Secretariat and other Parties to the Convention as well as with other countries. The Commission should act as this contact point.

(6) Exports of dangerous chemicals that are banned or severely restricted within the Community should continue to be subject to a common export notification procedure. Accordingly, dangerous chemicals, whether in the form of a substance by itself or in a preparation, which have been banned or severely restricted by the Community as plant protection products, as other forms of pesticides, or as industrial chemicals for use by professional users or by the public, should be subject to similar export notification rules to those applicable to such chemicals

⁽¹⁾ OJ C 126 E, 28.5.2002, p. 291.

⁽²⁾ OJ C 241, 7.10.2002, p. 50.

⁽³⁾ Opinion of the European Parliament of 24 October 2002 (not yet published in the Official Journal) and Council Decision of 19 December 2002.

⁽⁴⁾ OJ L 251, 29.8.1992, p. 13. Regulation as last amended by Commission Regulation (EC) No 300/2002 (OJ L 52, 22.2.2002, p. 1).

when they are banned or severely restricted within either or both of the use categories laid down in the Convention, namely as pesticides or chemicals for industrial use. In addition, chemicals subject to the international PIC procedure should also be subject to the same rules. This export notification procedure should apply to Community exports to all third countries, whether or not they are Parties to the Convention or participate in its procedures. Member States should be permitted to charge administrative fees, in order to cover their costs in carrying out this procedure.

(7) Exporters and importers should be obliged to provide information about the quantities of chemicals in international trade covered by this Regulation in order that the impact and effectiveness of the arrangements laid down therein can be monitored and assessed.

(8) Notifications to the Secretariat of the Convention of Community or Member State regulatory actions banning or severely restricting chemicals, with a view to their inclusion in the international PIC procedure, should be submitted by the Commission and should relate to those cases meeting the criteria laid down in the Convention in this regard. Additional information to support such notifications should be sought where necessary.

(9) In cases where Community or Member State regulatory actions do not qualify for notification because they do not meet the criteria, information about the actions should nevertheless be conveyed to the Convention Secretariat and other Parties to the Convention in the interests of information exchange.

(10) It is also necessary to ensure that the Community takes decisions with regard to the import into the Community of chemicals that are subject to the international PIC procedure. These decisions should be based on applicable Community legislation and take into account bans or severe restrictions by Member States. Where justified, modifications of Community legislation should be prepared.

(11) Arrangements are needed to ensure that Member States and exporters are aware of the decisions of importing countries as regards chemicals that are subject to the international PIC procedure, and that exporters comply with these decisions. Furthermore, in order to prevent undesired exports, for example because of failures by importing countries to provide such import decisions or to react to export notifications, no chemicals

banned or severely restricted within the Community that meet the Convention criteria or that are covered under the international PIC procedure should be exported without the explicit consent of the importing country concerned, whether or not that country is a Party to the Convention.

(12) It is also important that all exported chemicals have an adequate shelf-life so that they may be used effectively and safely. In particular as regards pesticides, and especially those exported to developing countries, it is essential that information about appropriate storage conditions is provided and that suitable packaging and sizes of containers are used to avoid creating obsolete stocks.

(13) Articles containing chemicals do not fall within the scope of the Convention. Nevertheless, it seems appropriate that articles containing chemicals that could be released under conditions of use or disposal and that are banned or severely restricted in the Community within one or more of the use categories laid down in the Convention or are subject to the international PIC procedure should also be subject to the export notification rules. Furthermore, certain chemicals and articles containing specific chemicals falling outside the scope of the Convention but giving rise to particular concern should not be exported at all. Decisions as to which chemicals should be subject to such a strict control should be adopted by the Council by a qualified majority.

(14) In accordance with the Convention, information on transit movements of chemicals subject to the international PIC procedure should be provided to Parties to the Convention requesting such information.

(15) Community rules on packaging and labelling and other safety information should apply to all dangerous chemicals when intended for export to Parties and other countries unless these provisions would conflict with any specific requirements of those countries taking into account relevant international standards.

(16) In order to ensure effective control and enforcement of the rules, the Member States should designate authorities such as customs authorities that should have the responsibility of controlling imports and exports of chemicals covered by this Regulation. The Commission and the Member States have a key role to play and should act in a targeted and coordinated way. Member States should provide for appropriate sanctions in the event of infringements.

- (17) Information exchange, shared responsibility and cooperative efforts between the Community and its Member States and third countries should be promoted with a view to ensuring sound management of chemicals, whether or not those third countries are Parties to the Convention. In particular, technical assistance to developing countries and countries with economies in transition should be provided directly by the Commission and the Member States, or indirectly via support for projects by non-governmental organisations (NGOs), especially assistance seeking to enable those countries to implement the Convention.
- (18) There should be regular monitoring of the operation of the procedures if they are to be effective. To this end, Member States should regularly submit reports to the Commission, which will in turn regularly report to the European Parliament and the Council.
- (19) The measures necessary for the implementation of this Regulation should be adopted in accordance with Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission ⁽¹⁾.
- (20) In the light of the above, Regulation (EEC) No 2455/92 should be repealed and replaced,

HAVE ADOPTED THIS REGULATION:

Article 1

Objectives

1. The objectives of this Regulation are:
 - (a) to implement the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade;
 - (b) to promote shared responsibility and cooperative efforts in the international movement of hazardous chemicals in order to protect human health and the environment from potential harm; and
 - (c) to contribute to their environmentally sound use.

They shall be achieved by facilitating information exchange about the characteristics of such chemicals, by providing for a decision-making process within the Community on their

⁽¹⁾ OJ L 184, 17.7.1999, p. 23.

import and export and by disseminating decisions to Parties and other countries as appropriate.

2. The objective of this Regulation is also to ensure that the provisions of Council Directive 67/548/EEC of 27 June 1967 on the approximation of laws, regulations and administrative provisions relating to the classification, packaging and labelling of dangerous substances ⁽²⁾, and of Directive 1999/45/EC of the European Parliament and of the Council of 31 May 1999 concerning the approximation of the laws, regulations and administrative provisions of the Member States relating to the classification, packaging and labelling of dangerous preparations ⁽³⁾, regarding the classification, packaging and labelling of chemicals dangerous to man or to the environment when they are placed on the market in the European Community shall also apply to all such chemicals when they are exported from the Member States to other Parties or other countries, unless these provisions would conflict with any specific requirements of those Parties or other countries.

Article 2

Scope

1. This Regulation applies to:
 - (a) certain hazardous chemicals that are subject to the prior informed consent (PIC) procedure under the Rotterdam Convention;
 - (b) certain hazardous chemicals that are banned or severely restricted within the Community or a Member State; and
 - (c) all chemicals when exported insofar as their classification, packaging and labelling are concerned.
2. This Regulation shall not apply to:
 - (a) narcotic drugs and psychotropic substances covered by Council Regulation (EEC) No 3677/90 of 13 December 1990 laying down measures to be taken to discourage the diversion of certain substances to the illicit manufacture of narcotic drugs and psychotropic substances ⁽⁴⁾;
 - (b) radioactive materials and substances covered by Council Directive 96/29/Euratom of 13 May 1996 laying down

⁽²⁾ OJ 196, 16.8.1967, p. 1. Directive as last amended by Commission Directive 2001/59/EC (OJ L 225, 21.8.2001, p. 1).

⁽³⁾ OJ L 200, 30.7.1999, p. 1. Directive as last amended by Commission Directive 2001/60/EC (OJ L 226, 22.8.2001, p. 5).

⁽⁴⁾ OJ L 357, 20.12.1990, p. 1. Regulation as last amended by Commission Regulation (EC) No 1232/2002 (OJ L 180, 10.7.2002, p. 5).

basic safety standards for the protection of the health of workers and the general public against the dangers arising from ionizing radiation ⁽¹⁾;

- (c) wastes covered by Council Directives 75/442/EEC of 15 July 1975 on waste ⁽²⁾ and 91/689/EEC of 12 December 1991 on hazardous waste ⁽³⁾;
- (d) chemical weapons covered by Council Regulation (EC) No 1334/2000 of 22 June 2000 setting up a Community regime for the control of exports of dual-use items and technology ⁽⁴⁾;
- (e) food and food additives covered by Council Directive 89/397/EEC of 14 June 1989 on the official control of foodstuffs ⁽⁵⁾;
- (f) feedingstuffs covered by Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Agency and laying down procedures in matters of food safety ⁽⁶⁾ including additives, whether processed, partially processed or unprocessed, intended to be used for oral feeding to animals;
- (g) genetically modified organisms as covered by Directive 2001/18/EC of the European Parliament and of the Council of 12 March 2001 on the deliberate release into the environment of genetically modified organisms and repealing Council Directive 90/220/EEC ⁽⁷⁾;
- (h) save to the extent covered by Article 3(4)(b), proprietary medicinal products and veterinary medicinal products covered by Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use ⁽⁸⁾ and Directive 2001/82/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to veterinary medicinal products ⁽⁹⁾;

- (i) chemicals in quantities not likely to affect health or the environment, and in any event not more than 10 kg, provided that they are imported for the purpose of research or analysis.

Article 3

Definitions

For the purposes of this Regulation, the following definitions shall apply:

1. 'chemical' means a substance as defined in Directive 67/548/EEC, whether by itself or in a preparation, or a preparation and whether manufactured or obtained from nature, but does not include living organisms. It consists of two categories: pesticides, including severely hazardous pesticide formulations; and industrial chemicals;
2. 'preparation' means a mixture or a solution composed of two or more substances, if the preparation, as defined in Directive 1999/45/EC, is subject to compulsory labelling under Community legislation, on account of the presence of any of those substances;
3. 'article' means a finished product containing or including a chemical, the use of which has been banned or severely restricted by Community legislation in that particular product;
4. 'pesticides' means chemicals in either of the following two subcategories:
 - (a) pesticides used as plant protection products as covered by Council Directive 91/414/EEC of 15 July 1991 concerning the placing of plant protection products on the market ⁽¹⁰⁾;
 - (b) other pesticides, such as biocidal products under Directive 98/8/EC of the European Parliament and of the Council of 16 February 1998 concerning the placing of biocidal products on the market ⁽¹¹⁾ and such as disinfectants, insecticides and parasiticides under Directive 2001/82/EC and Directive 2001/83/EC;
5. 'industrial chemicals' means chemicals in either of the following two subcategories:
 - (a) chemicals for use by professionals;
 - (b) chemicals for use by the public;

⁽¹⁾ OJ L 159, 29.6.1996, p. 1.

⁽²⁾ OJ L 194, 25.7.1975, p. 39. Directive as last amended by Commission Decision 96/350/EC (OJ L 135, 6.6.1996, p. 32).

⁽³⁾ OJ L 377, 31.12.1991, p. 20. Directive as last amended by Council Directive 94/31/EC (OJ L 168, 2.7.1994, p. 28).

⁽⁴⁾ OJ L 159, 30.6.2000, p. 1. Regulation as last amended by Council Regulation (EC) No 880/2002 (OJ L 139, 29.5.2002, p. 7).

⁽⁵⁾ OJ L 186, 30.6.1989, p. 23. Directive as last amended by Council Directive 93/99/EEC (OJ L 290, 24.11.1993, p. 14).

⁽⁶⁾ OJ L 31, 1.2.2002, p. 1.

⁽⁷⁾ OJ L 106, 17.4.2001, p. 1. Directive as last amended by Council Decision 2002/811/EC (OJ L 280, 18.10.2002, p. 27).

⁽⁸⁾ OJ L 311, 28.11.2001, p. 67.

⁽⁹⁾ OJ L 311, 28.11.2001, p. 1.

⁽¹⁰⁾ OJ L 230, 19.8.1991, p. 1. Directive as last amended by Commission Directive 2002/81/EC (OJ L 276, 12.10.2002, p. 28).

⁽¹¹⁾ OJ L 123, 24.4.1998, p. 1.

6. 'chemical subject to export notification' means any chemical that is banned or severely restricted within the Community within one or more categories or subcategories, and any chemical that is subject to the PIC procedure listed in Part 1 of Annex I;
7. 'chemical qualifying for PIC notification' means any chemical that is banned or severely restricted within the Community or a Member State within one or more categories. Chemicals banned or severely restricted in the Community within one or more categories are listed in Part 2 of Annex I;
8. 'chemical subject to the PIC procedure' means any chemical listed in Annex III to the Convention or, before its entry into force, under the interim PIC procedure. These chemicals are listed in Part 3 of Annex I to this Regulation;
9. 'banned chemical' means:
 - (a) a chemical all uses of which within one or more categories or subcategories have been prohibited by final regulatory action by the Community, in order to protect human health or the environment; or
 - (b) a chemical that has been refused approval for first-time use or has been withdrawn by industry either from the Community market or from further consideration in a notification, registration or approval process and where there is evidence that the chemical raises concerns for human health or the environment;
10. 'severely restricted chemical' means:
 - (a) a chemical, virtually all use of which within one or more categories or subcategories has been prohibited by final regulatory action in order to protect human health or the environment, but for which certain specific uses remain allowed; or
 - (b) a chemical that has, for virtually all use, been refused for approval or been withdrawn by industry either from the Community market or from further consideration in a notification, registration or approval process, and where there is evidence that the chemical raises concern for human health or the environment;
11. 'chemical banned or severely restricted by a Member State' means any chemical that is banned or severely restricted by national regulatory action of a Member State;
12. 'final regulatory action' means a legislative act, the purpose of which is to ban or severely restrict a chemical;
13. 'Convention' means the Rotterdam Convention of 10 September 1998 on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade;
14. 'PIC procedure' means the Prior Informed Consent Procedure established by the Convention;
15. 'severely hazardous pesticide formulation' means a chemical formulated for pesticidal use that produces severe health or environmental effects observable within a short period of time after single or multiple exposure, under conditions of use;
16. 'export' means:
 - (a) the permanent or temporary export of a chemical meeting the conditions of Article 23(2) of the Treaty;
 - (b) the re-export of a chemical not meeting the conditions referred to in (a) which is placed under a customs procedure other than transit procedure;
17. 'import' means the physical introduction into the customs territory of the Community of a chemical which is placed under a customs procedure other than transit procedure;
18. 'exporter' means any natural or legal person on whose behalf an export declaration is made, that is to say the person who, at the time when the declaration is accepted, holds the contract with the consignee in a Party or other country and has the power for determining the sending of the chemical out of the customs territory of the Community. If no export contract has been concluded or if the holder of the contract does not act on its own behalf, the power for determining the sending of the chemical out of the customs territory of the Community shall be decisive;
19. 'importer' means any natural or legal person who at the time of import into the customs territory of the Community is the consignee for the chemical;
20. 'Party to the Convention' means a State or a regional economic integration organisation that has consented to be bound by the Convention and for which the Convention is in force;

21. 'Party' means:
- (a) a Party to the Convention;
 - (b) any country which has not ratified the Convention but which participates in the PIC procedure during a period to be established by the Conference of Parties;
 - (c) before the entry into force of the Convention, any country participating in the interim PIC procedure established by the Resolution on interim arrangements adopted in Rotterdam on 11 September 1998;
22. 'other country' means any country that is not a Party as defined in point 21;
23. 'the Conference of the Parties' means the body established by Article 18 of the Convention to perform certain functions linked to implementation of the Convention;
24. 'Chemical Review Committee' means the subsidiary body established by the Conference of the Parties in accordance with Article 18(6) of the Convention or, before its entry into force, the interim Chemical Review Committee established by the Resolution on interim arrangements;
25. 'the Secretariat' means the Secretariat of the Convention or, before its entry into force, the interim Secretariat established by the Resolution on interim arrangements;
26. 'decision guidance document' means the technical document prepared by the Chemical Review Committee for chemicals subject to the PIC procedure.

Article 4

Designated national authorities

Each Member State shall designate the authority or authorities, hereinafter referred to as the 'designated national authority' or 'designated national authorities', to act for the performance of the administrative functions required by this Regulation.

It shall inform the Commission of such designation at the latest three months after the entry into force of this Regulation.

Article 5

Participation of the Community in the Convention

The participation of the Community in the Convention shall be a joint responsibility of the Commission and the Member States in particular for technical assistance, information exchange and matters relating to dispute settlement, participation in subsidiary bodies and voting.

As far as the participation of the Community in the Convention is concerned, for the administrative functions of the Convention with reference to the PIC procedure and the export notification, the Commission shall act as a common designated authority on behalf of all the designated national authorities in close cooperation and consultation with the designated national authorities of the Member States.

In particular, it shall be responsible for the transmission of Community export notifications to Parties and other countries pursuant to Article 7, the submission to the Secretariat of notifications of relevant final regulatory actions pursuant to Article 10, the transmission of information about other final regulatory actions not qualifying for PIC notification in accordance with Article 11, as well as for receiving information from the Secretariat more generally. The Commission shall also provide the Secretariat with Community import responses for chemicals subject to the PIC procedure pursuant to Article 12.

In addition, the Commission shall coordinate the Community input on all technical issues related to the Convention, the preparation of the Conference of the Parties, the Chemical Review Committee and other subsidiary bodies. A network of Member State rapporteurs shall be established, as appropriate, to deal with the preparation of technical documents such as decision guidance documents.

The Commission and the Member States shall take the necessary initiatives to ensure appropriate representation of the Community in the various bodies implementing the Convention.

Article 6

Chemicals subject to export notification, qualifying for PIC notification, and subject to the PIC procedure

1. The chemicals covered by the provisions of this Regulation relating to export notification, PIC notification and the PIC procedure respectively shall be as listed in Annex I.

2. Chemicals in Annex I shall be assignable to one or more of three groups of chemicals, set out respectively as Parts 1, 2 and 3 of that Annex.

The chemicals listed in Part 1 shall be subject to export notification as laid down in Article 7, with detailed information being given on the identity of the substance, on the use category and/or subcategory subject to restriction, the type of restriction and, where appropriate, additional information, in particular on exemptions to requirements for export notification.

The chemicals listed in Part 2 shall, in addition to being subject to the export notification procedure under Article 7,

qualify for the PIC notification procedure laid down in Article 10, with detailed information being given on the identity of the substance and on the use category.

The chemicals listed in Part 3 shall be subject to the PIC procedure with the use category being given and, where appropriate, additional information, in particular on any requirements for export notification.

3. The lists shall be made available to the public by electronic means.

Article 7

Export Notifications forwarded to Parties and other countries

1. When an exporter is due to export a chemical listed in Part 1 of Annex I from the Community to a Party or other country for the first time following the date as from which it becomes subject to the provisions of this Regulation, the exporter shall notify the designated national authority of the Member State in which he is established, no later than 30 days before the export of the chemical is due to take place. Thereafter the exporter shall notify the first export of the chemical each calendar year to the designated national authority no later than 15 days before the export of the chemical takes place. The notification shall comply with the requirements set out in Annex III.

The designated national authority shall check compliance of the information with Annex III and promptly forward the notification received from the exporter to the Commission.

The Commission shall take the necessary measures to ensure that the appropriate authorities of the importing Party or other country receive notification no later than 15 days prior to the first intended export of the chemical and thereafter before the first export of the chemical in any subsequent calendar year. This shall apply regardless of the expected use of the chemical in the importing Party or other country.

Each export notification shall be registered in a database at the Commission and an updated list of the chemicals concerned and the importing Parties and other countries for each calendar year shall be kept available to the public, and distributed to the designated national authorities of the Member States as appropriate.

2. If the Commission does not receive from the importing Party or other country an acknowledgement of receipt of the first export notification made after the chemical is included in the Part 1 of Annex I within 30 days of the dispatch of the notification, it shall submit a second notification. The Commission shall make reasonable efforts to ensure that the

appropriate authority in the importing Party or other country receives the second notification.

3. A new export notification as provided for in paragraph 1 shall be given for exports which take place subsequent to changes to Community legislation concerning the marketing, use or labelling of substances in question or whenever the composition of the preparation in question changes so that the labelling of such preparation is altered. The new notification shall comply with the requirements set out in Annex III and shall indicate that it is a revision of a previous notification.

4. Where the export of a chemical relates to an emergency situation in which any delay may endanger public health or the environment in the importing Party or other country, the provisions referred to above may be waived wholly or partly at the discretion of the designated national authority of the exporting Member State, in consultation with the Commission.

5. The obligations set out in paragraphs 1, 2 and 3 shall cease when:

- (a) the chemical has become a chemical subject to the PIC procedure; and
- (b) the importing country being a Party to the Convention has provided a response in accordance with Article 10(2) of the Convention to the Secretariat whether to consent or not to consent to import of the chemical; and
- (c) the Commission has received this information from the Secretariat and has forwarded it to Member States.

That rule shall not apply where the importing country being Party to the Convention explicitly requires continued export notification by exporting Parties, for example through its import decision or otherwise.

The obligations set out in paragraphs 1, 2 and 3 shall also cease when:

- (i) the appropriate authority of the importing Party or other country has waived the requirement to be notified before the export of the chemical; and
- (ii) the Commission has received the information from the Secretariat or from the appropriate authority of the importing Party or other country and has forwarded it to Member States and made it available on the Internet.

6. The Commission, the relevant designated national authorities of the Member States and the exporters shall provide importing Parties and other countries with available additional information on the exported chemicals, when requested.

7. Member States may establish systems obliging the exporter to pay an administrative fee for each export notification made, corresponding to their costs in carrying out the procedures associated with this Article.

Article 8

Export notifications received from Parties and other countries

1. Export notifications received by the Commission from the designated national authority of a Party or another country concerning the export to the Community of a chemical the manufacture, use, handling, consumption, transport and/or sale of which is subject to prohibition or severe restriction under that Party's or other country's legislation shall be made available by electronic means through the database maintained by the Commission.

The Commission shall acknowledge receipt of the first export notification received for each chemical from each Party or other country.

The designated national authority of the Member State receiving that import shall receive a copy of any notification received together with all available information. Other Member States shall be entitled to receive copies on request.

2. Should the designated national authorities of the Member States receive any export notifications either directly or indirectly from the designated national authorities of Parties or relevant authorities of other countries, they shall immediately forward these notifications to the Commission together with all available information.

Article 9

Information on trade in chemicals

1. Each exporter of a chemical listed in Annex I shall, during the first quarter each year, inform the designated national authority of its Member State on the quantity of the chemical (as a substance and as contained in preparations) shipped to each Party or other country during the preceding year. This information shall be given together with a list of the names and addresses of each importer to which shipment took place during the same period.

Each importer within the Community shall provide the same information for the quantities imported into the Community.

2. Upon request from the Commission or the designated national authority, the exporter or importer shall provide any additional information relating to chemicals that is necessary to implement this Regulation.

3. Each Member State shall provide the Commission with aggregated information in accordance with Annex IV each year. The Commission shall summarise this information at Community level and shall make the non-confidential information publicly available on its database via the Internet.

Article 10

Participation in the notification of banned or severely restricted chemicals under the Convention

1. Unless it has already done so prior to the entry into force of this Regulation, the Commission shall notify the Secretariat in writing of the chemicals that qualify for PIC notification.

2. As and when further chemicals qualify for PIC notification and are added to Part 2 of Annex I, the Commission shall notify the Secretariat. The notification shall be submitted as soon as possible after adoption of the relevant final Community regulatory action banning or severely restricting the chemical, and no later than 90 days after the date on which the final regulatory action is required to be applied.

The notification shall provide all relevant information as required in Annex II.

3. In determining priorities for notifications, the Commission shall take into account whether the chemical is already listed in Part 3 of Annex I, the extent to which the information requirements laid down in Annex II can be met, and the severity of the risks presented by the chemical, in particular for developing countries.

When a chemical qualifies for PIC notification, but the information is insufficient to meet the requirements of Annex II, identified exporters and/or importers shall, upon request by the Commission, provide all relevant information available to them, including that from other national or international chemical control programmes.

4. The Commission shall notify the Secretariat in writing when a final regulatory action notified under paragraphs 1 or 2 is modified as soon as possible after adoption of the new final regulatory action, and no later than 60 days after the date on which the new final regulatory action is required to be applied.

It shall provide all relevant information that was not available at the time when the initial notification was made under paragraphs 1 or 2 respectively.

5. Upon request from any Party or from the Secretariat, the Commission shall provide additional information on the chemical or on the regulatory action, as far as practicable. The

Member States shall, upon request, assist the Commission as necessary in compiling the information.

6. The Commission shall forward immediately to the Member States information that it receives from the Secretariat regarding chemicals notified as banned or severely restricted by other Parties.

The Commission shall evaluate, in close cooperation with the Member States, the need to propose measures at Community level in order to prevent any unacceptable risks for human health and the environment within the Community.

7. In cases where a Member State takes national regulatory action in accordance with the relevant Community legislation to ban or severely restrict a chemical, it shall provide the Commission with relevant information. The Commission shall make this information available to the Member States. Within four weeks Member States may send comments on a possible PIC notification, including in particular relevant information about their national regulatory position in respect of the chemical, to the Commission and the Member State, which submitted a national regulatory action. After consideration of the comments the submitting Member State informs the Commission whether the latter shall:

- notify the Secretariat, pursuant to this Article, or
- provide the information to the Secretariat, pursuant to Article 11.

Article 11

Information to be transmitted to the Secretariat about banned or severely restricted chemicals not qualifying for PIC notification

When a chemical is listed only in Part 1 of Annex I or following receipt of information from a Member State for the purposes of Article 10(7), second indent, the Commission shall provide the Secretariat with information about the relevant regulatory actions, so that this information can be disseminated to other Parties to the Convention as appropriate.

Article 12

Obligations in relation to imports of chemicals

1. The Commission shall forward immediately to the Member States decision guidance documents which it receives from the Secretariat. The Commission shall take an import decision, in the form of a final or interim import response on behalf of the Community, concerning the future import into the Community of the chemical concerned: it shall do so in

accordance with existing Community legislation and the procedure referred to in Article 24(2). It shall then communicate the decision to the Secretariat as soon as possible, and no later than nine months after the date of dispatch of the decision guidance document by the Secretariat.

If any chemical is subject to additional or modified restrictions under Community legislation, the Commission shall revise the import decision in accordance with the same procedure and communicate it to the Secretariat.

2. In the case of a chemical banned or severely restricted by the legislation of one or more Member States, the Commission shall, at the written request of the Member State(s) concerned, take the information into account in its import decision.

3. An import decision under paragraph 1 shall relate to the category or categories specified for the chemical in the decision guidance document.

4. When communicating the import decision to the Secretariat, the Commission shall provide a description of the legislative or administrative measure upon which it is based.

5. Each designated national authority within the Community shall make the import decisions under paragraph 1 available to those concerned within its competence, in accordance with its legislative or administrative measures.

6. Where appropriate, the Commission shall evaluate, in close cooperation with the Member States, the need to propose measures at Community level in order to prevent any unacceptable risks for human health and the environment within the Community, taking into account the information contained in the decision guidance document.

Article 13

Obligations in relation to exports of chemicals other than export notification requirements

1. The Commission shall forward immediately to the Member States and European industry associations information which it receives, whether in the form of circulars or otherwise, from the Secretariat regarding chemicals subject to the PIC procedure and the decisions of importing Parties regarding import conditions on these chemicals. It shall also forward immediately to the Member States information on any cases of failure to transmit a response. The Commission shall keep all information regarding import decisions available in its database, which will be publicly available on the Internet, and provide anyone with the information upon request.

2. For each chemical listed in Annex I the Commission shall assign a classification in the European Community's Combined

Nomenclature. These classifications shall be revised as necessary in the light of any changes made by the World Customs Organisation to the Harmonised System nomenclature for the chemicals concerned.

3. Each Member State shall communicate the responses forwarded by the Commission under paragraph 1 to those concerned within its jurisdiction.

4. Exporters shall comply with decisions in each import response no later than six months after the Secretariat has first informed the Commission of such response under paragraph 1.

5. The Commission and the Member States shall advise and assist importing Parties, upon request and as appropriate, to obtain further information to help them to make a response to the Secretariat concerning import of a given chemical.

6. No chemicals listed in the Parts 2 or 3 of Annex I shall be exported unless:

- (a) explicit consent to the import has been sought and received by the exporter through his designated national authority and the designated national authority of the importing Party or an appropriate authority in an importing other country; or
- (b) in the case of chemicals listed in Part 3 of Annex I, the latest circular issued by the Secretariat pursuant to paragraph 1 indicates that the importing Party has given consent to import.

7. No chemical shall be exported later than six months before the expiry date, when such a date exists or can be inferred from the production date, unless the intrinsic properties of the chemical render this impracticable. In particular, in the case of pesticides exporters shall ensure that the size and packaging of pesticide containers is optimised so as to minimise the risks of creating obsolete stocks.

8. When exporting pesticides, exporters shall ensure that the label contains specific information about storage conditions and storage stability under the climatic conditions of the importing Party or other country. In addition, they shall ensure that the exported pesticides comply with the purity specification established by Community legislation.

Article 14

Controls on export of certain chemicals and articles containing chemicals

1. Articles containing chemicals listed in Parts 2 or 3 of Annex I in unreacted form shall be subject to the export notification procedure laid down in Article 7.

2. Chemicals and articles the use of which is prohibited in the Community for the protection of human health or the environment, as listed in Annex V, shall not be exported.

Article 15

Information on transit movements

1. Parties to the Convention requiring information on transit movements of chemicals subject to the PIC procedure, together with the information requested by each Party to the Convention through the Secretariat, shall be as listed in Annex VI.

2. When a chemical listed in Part 3 of Annex I is transported through the territory of a Party to the Convention listed in Annex VI, the exporter shall, as far as practicable, provide the designated national authority of the Member State in which he is established with the information required by the Party to the Convention in accordance with Annex VI no later than 30 days before the first transit takes place and no later than eight days before each subsequent transit movement.

3. The designated national authority of the Member State shall forward to the Commission the information received from the exporter under paragraph 2 together with any available additional information.

4. The Commission shall forward the information received under paragraph 3 to the designated national authorities of Parties to the Convention which requested such information, together with any available additional information, no later than 15 days before first transit movement and prior to any subsequent transit movement.

Article 16

Information to accompany exported chemicals

1. Chemicals that are intended for export shall be subject to the measures on packaging and labelling established in, or pursuant to, Directive 67/548/EEC, Directive 1999/45/EC, Directive 91/414/EEC and Directive 98/8/EC, or any other specific Community legislation. This obligation shall be without prejudice to any specific requirements of the importing Party or other country taking into account relevant international standards.

2. Where appropriate, the expiry date and the production date of chemicals referred to in paragraph 1 or listed in Annex I shall be indicated on the label, and if necessary such expiry dates shall be given for different climate zones.

3. A safety data sheet in accordance with Commission Directive 91/155/EEC ⁽¹⁾ shall accompany chemicals referred to in paragraph 1, when exported. The exporter shall send such a safety data sheet to each importer.

4. The information on the label and on the safety data sheet shall as far as practicable be given in the official language(s), or in one or more of the principal languages, of the country of destination or of the area of intended use.

Article 17

Obligations of the authorities of the Member States for controlling import and export

Each Member State shall designate authorities such as customs authorities that shall have the responsibility of controlling the import and export of chemicals listed in Annex I.

The Commission and the Member States shall act in a targeted and coordinated way in controlling compliance of exporters with this Regulation.

Each Member State shall, in its regular reports on the operation of procedures pursuant to Article 21(1), include details of the activities of its authorities in this regard.

Article 18

Penalties

Member States shall determine the penalties applicable to infringements of the provisions of this Regulation and take all necessary measures to ensure correct implementation of these provisions. The penalties must be effective, proportional and dissuasive. Member States shall notify these measures to the Commission not later than 12 months after the adoption of this Regulation and shall also notify any further modifications as soon as possible after their adoption.

Article 19

Information exchange

1. The Commission and the Member States shall, as appropriate, facilitate the provision of scientific, technical, economic and legal information concerning chemicals subject to this Regulation, including toxicological, ecotoxicological and safety information.

⁽¹⁾ Commission Directive 91/155/EEC of 5 March 1991 defining and laying down detailed arrangements for the system of specific information relating to dangerous preparations in implementation of Article 10 of Council Directive 88/379/EEC (OJ L 76, 22.3.1991, p. 35). Directive as last amended by Directive 2001/58/EC (OJ L 212, 7.8.2001, p. 24).

The Commission, with the support of the Member States as necessary, shall, as appropriate, ensure:

- (a) the provision of publicly available information on regulatory actions relevant to the objectives of the Convention; and
- (b) the provision of information to Parties and other countries directly or through the Secretariat on those actions which substantially restrict one or more uses of a chemical.

2. The Commission and the Member States shall protect any confidential information received from another Party or another country as mutually agreed.

3. As regards the transmission of information under this Regulation, and without prejudice to Council Directive 90/313/EEC of 7 June 1990 on the freedom of access to information on the environment ⁽²⁾, the following shall not be regarded as confidential:

- (a) the information specified in Annex II and Annex III;
- (b) the information contained in safety data sheets referred to in paragraph 3 of Article 16;
- (c) the expiry date of the chemical;
- (d) the production date of the chemical;
- (e) information on precautionary measures, including hazard classification, the nature of the risk and the relevant safety advice; and
- (f) the summary results of the toxicological and ecotoxicological tests.

A compilation of the information transmitted shall be prepared regularly by the Commission on the basis of the contributions of Member States.

Article 20

Technical assistance

The Commission and the designated national authorities of the Member States shall, taking into account in particular the needs of developing countries and countries with economies in transition, cooperate in promoting technical assistance, including training, for the development of the infrastructure, the capacity and the expertise necessary to manage chemicals properly throughout their life cycle.

⁽²⁾ OJ L 158, 23.6.1990, p. 56.

In particular, and with a view to enabling these countries to implement the Convention, technical assistance shall be promoted by providing technical information on chemicals, by promoting the exchange of experts, by giving support for the establishment or maintenance of designated national authorities and by providing technical expertise for the identification of hazardous pesticides formulations and for the preparation of notifications to the Secretariat.

The Commission and the Member States should actively participate in the Information Network on Capacity Building set up by the Intergovernmental Forum on Chemical Safety, by providing information on the projects they are supporting or financing to improve the management of chemicals in developing countries and countries with economies in transition.

The Commission and the Member States shall also consider giving support to non-governmental organisations.

Article 21

Monitoring and reporting

1. Member States shall regularly forward to the Commission information on the operation of the procedures provided for in this Regulation, including customs controls, infringements, penalties, and remedial action.

2. The Commission shall regularly compile a report on the performance of the functions provided for in this Regulation for which it is responsible and shall integrate it in a synthesis report compiling the information provided by the Member States under paragraph 1. A summary of the report, which will be published on the Internet, shall be forwarded to the European Parliament and the Council.

3. As regards the information supplied pursuant to paragraphs 1 and 2, the Member States and the Commission shall comply with relevant obligations to protect the confidentiality of data and ownership.

Article 22

Updating Annexes

1. The list of chemicals in Annex I shall be reviewed by the Commission at least every year, on the basis of developments under Community legislation and under the Convention.

2. In determining whether a final regulatory action under Community legislation constitutes a ban or a severe restriction, the effect of the action shall be assessed at the level of the subcategories within the categories 'pesticides' and 'industrial

chemicals'. If the regulatory action bans or severely restricts a chemical in any one of the subcategories it shall be included in the Part 1 of Annex I.

In determining whether a final regulatory action under Community legislation constitutes a ban or a severe restriction such that the chemical concerned qualifies for PIC notification under Article 10, the effect of the action shall be assessed at the level of the categories 'pesticides' and 'industrial chemicals'. If the regulatory action bans or severely restricts the use of a chemical within either of the categories it shall also be included in Part 2 of Annex I.

3. The Commission shall take a decision to include chemicals in Annex I, or to modify their entry where appropriate, without undue delay.

4. Inclusion of a chemical in the Parts 1 or 2 of Annex I pursuant to paragraph 2 following regulatory action under Community legislation shall be decided in accordance with the procedure referred to in Article 24(3).

5. All other amendments to Annex I, including modifications to existing entries, and amendments to Annexes II, III, IV and VI, shall be adopted by the procedure referred to in Article 24(2).

Article 23

Technical notes for guidance

The Commission, in accordance with the procedure referred to in Article 24(2), shall draw up technical notes for guidance to facilitate the day-to-day application of this Regulation.

These technical notes shall be published in the C series of the *Official Journal of the European Union*.

Article 24

Committee

1. The Commission shall be assisted by the Committee established by Article 29 of Directive 67/548/EEC.

2. Where reference is made to this paragraph, Articles 3 and 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

3. Where reference is made to this paragraph, Articles 5 and 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months.

4. The Committee shall adopt its rules of procedure.

*Article 25***Repeal**

Regulation (EEC) No 2455/92 is hereby repealed.

*Article 26***Entry into force**

This Regulation shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 28 January 2003.

For the European Parliament

The President

P. COX

For the Council

The President

G. PAPANDREOU

ANNEX I

PART 1 List of chemicals subject to export notification procedure

(Article 7 of this Regulation)

It should be noted that where chemicals listed in this part of the Annex are subject to the PIC procedure, the export notification obligations set out in Article 7(1) to (3) of the Regulation shall not apply provided that the conditions laid down in Article 7(5)(b) and (c) have been fulfilled. Such chemicals, which are identified by the symbol # in the list below, are listed again in Part 3 of this Annex for ease of reference.

It should also be noted that where the chemicals listed in this part of the Annex qualify for PIC notification because of the nature of the Community's final regulatory action, these chemicals are also listed in Part 2 of this Annex. Such chemicals are identified by the symbol + in the list below.

Chemical	CAS No	Einecs No	CN	Sub-category (*)	Use limitation (**)	Countries for which no notification is required
1,1,1-Trichloroethane	71-55-6	200-756-3	2903 19 10	i(2)	b	
1,2-Dibromoethane (Ethylene dibromide)#	106-93-4	203-444-5	2903 30 36	P(1)	b	Please refer to PIC circular at www.pic.int/
1,2-Dichloroethane (ethylene dichloride)#	107-06-2	203-458-1	2903 15 00	P(1) i(2)	b b	Please refer to PIC circular at www.pic.int/
2-Naphthylamine and its salts+	91-59-8 and others	202-080-4 and others	2921 45 00	i(1) i(2)	b b	
2,4,5-T#	93-76-5	202-273-3	2918 90 90			Please refer to PIC circular at www.pic.int/
4-Aminobiphenyl and its salts+	92-67-1 and others	202-177-1 and others	2921 49 90	i(1) i(2)	b b	
4-Nitrobiphenyl+	92-92-3	202-204-7	2904 20 00	i(1) i(2)	b b	
Arsenic compounds				p(2)	sr	
Asbestos Fibres+:						
Crocidolite#	12001-28-4	310-127-6	2524 00	i(1) — i(2)	b — b	Please refer to PIC circular at www.pic.int/
Amosite	12172-73-5		2524 00	i(1) — i(2)	b — b	
Antophyllite	77536-67-5		2524 00	i(1) — i(2)	b — b	
Actinolite	77536-66-4		2524 00	i(1) — i(2)	b — b	
Tremolite	77536-68-6		2524 00	i(1) — i(2)	b — b	
Chrysotile	132207-32-0		2524 00	i(1) — i(2)	b — b	
Azinphos-ethyl	2642-71-9	220-147-6	2933 90 95	p(1)	b	
Benzene ⁽¹⁾	71-43-2	200-753-7	2902 20	i(2)	sr	
Benzidine and its salts+ Benzidine derivatives+	92-87-5 —	202-199-1 —	2921 59 90	i(1) — i(2) i(2)	sr — b b	
Binapacryl#	485-31-4	207-612-9	2916 19 80	p(1) i(2)	b b	Please refer to PIC circular at www.pic.int/

Chemical	CAS No	Einecs No	CN	Sub-category (*)	Use limitation (**)	Countries for which no notification is required
Cadmium and its Compounds	7440-43-9 and others	231-152-8 and others	8107 3206 30 00 and others	i(1)	sr	
Captafol#	2425-06-1	219-363-3	2930 90 70	p(1) – p(2)	b-b	Please refer to PIC circular at www.pic.int/
Carbon tetrachloride	56-23-5	200-262-8	2903 14 00	i(2)	b	
Chlordimeform#	6164-98-3	228-200-5	2925 20 00			Please refer to PIC circular at www.pic.int/
Chlorfenapyr+	122453-73-0		2933 99 90	p(1)	b	
Chlorobenzilate#	510-15-6	208-110-2	2918 19 80			Please refer to PIC circular at www.pic.int/
Chloroform	67-66-3	200-663-8	2903 13 00	i(2)	b	
Chlozolinate+	84332-86-5	282-714-4	2934 99 90	p(1)	b	
Creosote and Creosote related substances	8001-58-9 61789-28-4 84650-04-4 90640-84-9 65996-91-0 90640-80-5 65996-82-2 8021-39-4 122384-78-5	232-287-5 263-047-8 283-484-8 292-605-3 2266-026-1 292-602-7 266-019-3 232-419-1 310-191-5	2707 91 00	i(2)	b	
Cyhalothrine	68085-85-8	268-450-2	2926 90 95	p(1)	b	
DBB(Di-μ-oxo-di-n-butylstannio-hydroxyborane)	75113-37-0	401-040-5	2931 00 95	i(1)	b	
Dicofol containing < 78 % p,p'-Dicofol or 1 g/kg of DDT and DDT-related compounds+	115-32-2	204-082-0	2906 29 00	p(1)	b	
Dinoseb, its acetate and salts#	88-85-7 and others	201-861-7 and others	2908 90 00 2915 39 90	p(1) i(2)	b b	Please refer to PIC circular at www.pic.int/
Dinoterb+	1420-07-1	215-813-8	2908 90 00	p(1)	b	
DNOC+	534-52-1	208-601-1	2908 90 00	p(1)	b	
Ethylene oxide (Oxirane)#	75-21-8	200-849-9	2910 10 00	p(1)	b	Please refer to PIC circular at www.pic.int/

Chemical	CAS No	Einecs No	CN	Sub-category (*)	Use limitation (**)	Countries for which no notification is required
Fentin acetate	900-95-8	212-984-0	2931 00 95	p(1)	b	
Fentin hydroxide	76-87-9	200-990-0	2931 00 95	p(1)	b	
Fenvalerate	51630-58-1	257-326-3	2926 90 95	p(1)	b	
Ferbam	14484-64-1	238-484-2	2930 20 00	p(1)	b	
Fluoroacetamide#	640-19-7	211-363-1	2924 19 00			Please refer to PIC circular at www.pic.int/
HCH containing less than 99,0 % of the gamma isomer#	608-73-1	210-168-9	2903 51 00	p(1)	b	Please refer to PIC circular at www.pic.int/
Hexachloroethane	67-72-1	200-666-4	2903 19 90	i(1)	sr	
Lindane (γ -HCH)#	58-89-9	200-401-2	2903 51 10	p(1)	b	Please refer to PIC circular at www.pic.int/
(a) Maleic hydrazide, and its salts, other than choline, potassium and sodium salts;	123-33-1	204-619-9	2933 99 90	p(1)	b	
(b) Choline, potassium and sodium salts of maleic hydrazide containing more than 1 mg/kg of free hydrazine expressed on the basis of the acid equivalent	51542-52-0					
Mercury compounds#	10112-91-1, 21908-53-2 and others	—		p(1) – p(2)	b — sr	Please refer to PIC circular at www.pic.int/
Methamidophos (Soluble liquid formulations of the substance that exceed 600 g active ingredient/l)#	10265-92-6	233-606-0	3808 10 40			Please refer to PIC circular at www.pic.int/
Methyl-parathion (emulsifiable concentrates (EC) with 19,5 %, 40 %, 50 %, 60 % active ingredient and dusts containing 1,5 %, 2 % and 3 % active ingredient)#	298-00-0	206-050-1	3808 10 40			Please refer to PIC circular at www.pic.int/
Monocrotophos (Soluble liquid formulations of the substance that exceed 600 g active ingredient/l)#	6923-22-4	230-042-7	3808 10 40 3808 90 90			Please refer to PIC circular at www.pic.int/

Chemical	CAS No	Einecs No	CN	Sub-category (*)	Use limitation (**)	Countries for which no notification is required
Monolinuron	1746-81-2	217-129-5	2928 00 90	p(1)	b	
Monomethyl-dibromodiphenyl methane; Trade name: DBBT+	99688-47-8	401-210-1	2903 69 90	i(1)	b	
Monomethyl-Dichloro-Diphenyl methane; Trade name: Ugilec 121 or Ugilec 21 +	—	400-140-6	2903 69 90	i(1) — i(2)	b — b	
Monomethyl-Tetrachlorodiphenyl methane; Trade name: Ugilec 141 +	76253-60-6	278-404-3	2903 69 90	i(1) — i(2)	b — b	
Nitrofen+	1836-75-5	217-406-0	2909 30 90	p(1)	b	
Parathion#+	56-38-2	200-271-7	2920 10 00	p(1)	b	Please refer to PIC circular at www.pic.int/
Pentachlorophenol#	87-86-5	201-778-6	2908 10 00			Please refer to PIC circular at www.pic.int/
Permethrin	52645-53-1	258-067-9	2916 20 00	p(1)	b	
Phosphamidon (Soluble liquid formulations of the substance that exceed 1 000 g active ingredient/l)#	13171-21-6 (mixture, (E)&(Z) isomers) 23783-98-4 ((Z)-isomer) 297-99-4 ((E)-isomer)	236-116-5	3808 10 40 3808 90 90			Please refer to PIC circular at www.pic.int/
Polybrominated biphenyls (PBB)#	13654-09-06 36355-01-08 27858-07-7	—	2903 69 90	i(1)	sr	Please refer to PIC circular at www.pic.int/
Polychlorinated terphenyls (PCT)#	61788-33-8	262-968-2	2903 69 90	i(1)	b	Please refer to PIC circular at www.pic.int/
Propham	122-42-9	204-542-0	2924 29 95	p(1)	b	
Pyrazophos+	13457-18-6	236-656-1	2933 59 95	p(1)	b	
Quintozene+	82-68-8	201-435-0	2904 90 85	p(1)	b	
Tecnazene+	117-18-0	204-178-2	2904 90 85	p(1)	b	
Triorganostannic compounds	—	—	2931 00 95	p(2) i(2)	sr sr	

Chemical	CAS No	Einecs No	CN	Sub-category (*)	Use limitation (**)	Countries for which no notification is required
Tris (2,3-Dibromopropyl) Phosphate#	126-72-7	204-799-9	2919 00 90	i(1)	sr	Please refer to PIC circular at www.pic.int/
Tris-aziridinyl-phosphin oxide+	545-55-1	208-892-5	2933 90 90	i(1)	sr	
Zineb	12122-67-7	235-180-1	3824 90 99	p(1)	b	

(*) Subcategory: p(1) — pesticide in the group of plant protection products, p(2) — other pesticide including biocides. i(1) — industrial chemical for professional use and i(2) — industrial chemical for public use.

(**) Use limitation: sr — severe restriction, b — ban (for the subcategory or subcategories concerned) according to Community legislation.

(¹) Except motor fuels subject to Directive 98/70/EC of the European Parliament and of the Council (OJ L 350, 28.12.1998, p. 58).

CAS = Chemical Abstracts Service.

Chemical subject or partially subject to the PIC procedure.

+ Chemical qualifying for PIC notification.

PART 2 List of chemicals qualifying for PIC notification

(Article 10 of this Regulation)

This list comprises chemicals qualifying for PIC notification. It generally does not include chemicals that are already subject to the PIC procedure, which are listed in Part 3 of this Annex.

Chemical	CAS No	Einecs No	CN Code	Category (*)	Use limitation (**)
2-Naphthylamine and its salts	91-59-8 and others	202-080-4 and others	2921 45 00	I	b
4-Aminobiphenyl and its salts	92-67-1 and others	202-177-1 and others	2921 49 90	I	b
4-Nitrobiphenyl	92-92-3	202-204-7	2904 20 00	i	b
Asbestos Fibres:					
Crocidolite#	12001-28-4		2524 00	i	b
Amosite	12172-73-5		2524 00	i	b
Antophyllite	77536-67-5		2524 00	i	b
Actinolite	77536-66-4		2524 00	i	b
Tremolite	77536-68-6		2524 00	i	b
Chrysotile	132207-32-0		2524 00	i	b
Benzidine and its salts Benzidine derivatives	912-87-5 —	202-199-1 —	2921 59 90	i	sr
Chlorfenapyr	122453-73-0			p	sr
Chlozolinate	84332-86-5	282-714-4	2934 90 96	p	b
Dicofol containing < 78 % p,p'-Dicofol or 1 g/kg of DDT and DDT related compounds	115-32-3	204-082-0	2906 29 00	p	sr
Dinoterb	1420-07-1	215-813-8	2908 90 00	p	b
DNOC	534-52-1	208-601-1	2908 90 00	p	b
Endrin	72-20-8	200-775-7	2910 90 00	p	b

Chemical	CAS No	Einecs No	CN Code	Category (*)	Use limitation (**)
Fentin acetate	900-95-8	212-984-0	2931 00 95	p	b
Fentin hydroxide	76-87-9	200-990-0	2931 00 95	p	b
Monomethyl-dibromo-diphenyl methane; Trade name: DBBT	99688-47-8	401-210-1	2903 69 90	i	b
Monomethyl-Dichloro-Diphenyl methane; Trade name: Ugilec 121 or Ugilec 21	—	400-140-6	2903 69 90	i	b
Monomethyl-Tetrachlorodiphenyl methane; Trade name: Ugilec 141	76253-60-6	278-404-3	2903 69 90	i	b
Nitrofen	1836-75-5	217-406-0	2909 30 90	p	b
Parathion#	56-38-2	200-271-7	2920 10 00	p	sr
Pyrazophos	13457-18-6	236-656-1	2933 59 70	p	b
Quintozene	82-68-8	201-435-0	2904 90 85	p	b
Tecnazene	117-18-0	204-178-2	2904 90 85	p	sr

(*) Category: p — pesticides i — industrial chemical.

(**) Use limitation: sr — severe restriction, b — ban (for the category or categories concerned).

CAS = Chemical Abstracts Service.

Chemical subject or partially subject to the international PIC procedure.

PART 3 List of chemicals subject to the PIC procedure under the Rotterdam Convention

(Articles 12 and 13 of this Regulation)

(The categories shown are those referred to in the Convention)

Chemical	Relevant CAS number(s)	Category
2,4,5-T	93-76-5	Pesticide
Aldrin (*)	309-00-2	Pesticide
Binapacryl	485-31-4	Pesticide
Captafol	2425-06-1	Pesticide
Chlordane (*)	57-74-9	Pesticide
Chlordimeform	6164-98-3	Pesticide
Chlorobenzilate	510-15-6	Pesticide
DDT (*)	50-29-3	Pesticide
Dieldrin (*)	60-57-1	Pesticide
Dinoseb and dinoseb salts	88-85-7	Pesticide
1,2-dibromoethane (EDB)	106-93-4	Pesticide
Ethylene dichloride	107-06-2	Pesticide
Ethylene oxide	75-21-8	Pesticide
Fluoroacetamide	640-19-7	Pesticide

Chemical	Relevant CAS number(s)	Category
HCH (mixed isomers)	608-73-1	Pesticide
Heptachlor (*)	76-44-8	Pesticide
Hexachlorobenzene (*)	118-74-1	Pesticide
Lindane	58-89-9	Pesticide
Mercury compounds, including inorganic mercury compounds, alkyl mercury compounds and alkyloxyalkyl and aryl mercury compounds		Pesticide
Pentachlorophenol	87-86-5	Pesticide
Toxaphene (*)	8001-35-2	Pesticide
Methamidophos (Soluble liquid formulations of the substance that exceed 600 g active ingredient/l)	10265-92-6	Severely hazardous pesticide formulation
Methyl-parathion (emulsifiable concentrates (Einecs) with 19,5 %, 40 %, 50 %, 60 % active ingredient and dusts containing 1,5 %, 2 % and 3 % active ingredient)	298-00-0	Severely hazardous pesticide formulation
Monocrotophos (Soluble liquid formulations of the substance that exceed 600 g active ingredient/l)	6923-22-4	Severely hazardous pesticide formulation
Parathion (all formulations — aerosols, dustable powder (DP), emulsifiable concentrate (Einecs), granules (GR) and wettable powders (WP) — of this substance are included, except capsule suspensions (CS))	56-38-2	Severely hazardous pesticide formulation
Phosphamidon (Soluble liquid formulations of the substance that exceed 1 000 g active ingredient/l)	13171-21-6 (mixture, (E)&(Z) isomers) 23783-98-4 ((Z)-isomer) 297-99-4 ((E)-isomer)	Severely hazardous pesticide formulation
Crocidolite	12001-28-4	Industrial
Polybrominated biphenyls (PBB)	36355-01-8(hexa-) 27858-07-7(octa-) 13654-09-6 (deca-)	Industrial
Polychlorinated biphenyls (PCB) (*)	1336-36-3	Industrial
Polychlorinated terphenyls (PCT)	61788-33-8	Industrial
Tris (2,3-dibromopropyl) phosphate	126-72-7	Industrial

(*) These substances are subject to an export ban in accordance with the provisions of Article 14(2) of and Annex V to this Regulation.

ANNEX II

Notification of a banned or severely restricted chemical to the Secretariat of the Convention

Information requirements for notifications pursuant to Article 10 of this Regulation

Notifications shall include:

1. properties, identification and uses
 - (a) common name;
 - (b) chemical name according to an internationally recognised nomenclature (for example International Union of Pure and Applied Chemistry (IUPAC)), where such nomenclature exists;
 - (c) trade names and names of preparations;
 - (d) code numbers: Chemicals Abstract Service (CAS) number, Harmonised System Customs Code and other numbers;
 - (e) information on hazard classification, where the chemical is subject to classification requirements;
 - (f) use or uses of the chemical:

in the European Union

elsewhere (if known);
 - (g) the physico-chemical, toxicological and ecotoxicological properties;
2. final regulatory action
 - (a) information specific to the final regulatory action:
 - (i) summary of the final regulatory action;
 - (ii) reference to the regulatory document;
 - (iii) date of entry into force of the final regulatory action;
 - (iv) indication of whether the final regulatory action was taken on the basis of a risk or hazard evaluation and, if so, information on such an evaluation, covering a reference to the relevant documentation;
 - (v) reasons for the final regulatory action relevant to human health, including the health of consumers and workers, or the environment;
 - (vi) summary of the hazards and risks presented by the chemical to human health, including the health of consumers and workers, or the environment and the expected effect of the final regulatory action;
 - (b) category or categories where the final regulatory action has been taken, and for each category:
 - (i) use or uses prohibited by the final regulatory action;
 - (ii) use or uses that remain allowed;
 - (iii) estimation, where available, of quantities of the chemical produced, imported, exported and used;

- (c) an indication, to the extent possible, of the likely relevance of the final regulatory action to other States and regions;
 - (d) other relevant information that may cover:
 - (i) assessment of socio-economic effects of the final regulatory action;
 - (ii) information on alternatives and their relative risks, where available, such as:
 - integrated pest management strategies,
 - industrial practices and processes, including cleaner technology.
-

ANNEX III

Export notification

Information required pursuant to Article 7 of this Regulation

1. Identity of the substance to be exported:
 - (a) name in nomenclature of the International Union of Pure and Applied Chemistry;
 - (b) other names (usual names, trade names, and abbreviations);
 - (c) Einecs number and CAS number;
 - (d) CUS number and Combined Nomenclature Code;
 - (e) main impurities of the substance, when particularly relevant.
2. Identity of the preparation to be exported:
 - (a) trade name or designation of the preparation;
 - (b) for each substance listed in Annex I, percentage and details as specified under item 1.
3. Information on the export:
 - (a) country of destination;
 - (b) country of origin;
 - (c) expected date of first export this year;
 - (d) intended use in the country of destination, if known;
 - (e) name, address and other relevant particulars of the importer or importing company;
 - (f) name, address and other relevant particulars of the exporter or exporting company.
4. Designated National Authorities:
 - (a) name, address, telephone and telex, fax number or e-mail of the designated authority in the European Union from which further information may be obtained;
 - (b) name, address, telephone and telex, fax number or e-mail of the designated authority in the importing country.
5. Information on precautions to be taken, including category of danger and risk and safety advice.
6. Summary on physico-chemical, toxicological and ecotoxicological properties.
7. Use of the chemical in the European Union:
 - (a) uses, category(ies) under the Rotterdam Convention and Community subcategory(ies) subject to control measure (ban or severe restriction);
 - (b) uses for which the chemical is not severely restricted or banned (use categories and subcategories as defined in Annex I of the Regulation);
 - (c) estimation, where available, of quantities of the chemical produced, imported, exported and used.
8. Information on precautionary measures to reduce exposure to, and emission of, the chemical.
9. Summary of regulatory restrictions and reasons for them.

Summary of information given in Annex II under point 2(a), (c) and (d).

Additional information provided by the exporting Party because considered of concern or further information specified in Annex II when requested by the importing Party.

ANNEX IV

Information to be provided by the designated national authorities of the Member States to the Commission in accordance with Article 9 of this Regulation

1. Summary of quantities of chemicals (in the form of substances and preparations) subject to Annex I exported during the previous year.

(a) Year in which exports took place

(b) Table summarising quantities of exported chemicals (in the form of substances and preparations) as outlined below.

Chemical	Importing Country	Quantity
...		
...		
...		

2. List of importers

Chemical	Importing Country	Importer or importing company	Address and other relevant particulars of the importer or the importing company

ANNEX V

Chemicals and articles subject to export ban

(Article 14 of this Regulation)

Description of chemicals/article(s) subject to export ban	Additional details, where relevant (e.g. name of chemical, EC No, CAS No etc.)	
Cosmetic soaps containing mercury	CN Nos 3401 11 00, 3401 19 00, 3401 20 10, 3401 20 90, 3401 30 00	
Persistent organic pollutants as listed in Annexes A and B of the Stockholm Convention on Persistent Organic Pollutants according to the provisions therein	Aldrin	EC No 206-215-8, CAS No 309-00-2, CN No 2903 59 90
	Chlordane	EC No 200-349-0, CAS No 57-74-9, CN No 2903 59 90
	Dieldrin	EC No 200-484-5, CAS No 60-57-1, CN No 2910 90 00
	DDT (1,1,1-trichloro-2,2-bis (p-chlorophenyl) ethane	EC No 200-024-3, CAS No 50-29-3, CN No 2903 62 00
	Endrin	EC No 200-775-7, CAS No 72-20-8, CN No 2910 90 00
	Heptachlor	EC No 200-962-3, CAS No 76-44-8, CN No 2903 59 90
	Hexachlorobenzene	EC No 200-273-9, CAS No 118-74-1, CN No 2903 62 00
	Mirex	EC No 219-196-6, CAS No 2385-85-5, CN No 2903 59 90
	Toxaphene (camphechlor)	EC No 232-283-3, CAS No 8001-35-2, CN No 3808 10 20
	Polychlorinated biphenyls (PCBs)	EC No 215-648-1 and others, CAS No 1336-36-3 and others, CN No 2903 69 90

ANNEX VI

List of Parties to the Convention requiring information on transit movements of chemicals subject to the PIC procedure

(Article 15 of this Regulation)

Country	Required Information

II

(Acts whose publication is not obligatory)

COUNCIL

COUNCIL DECISION

of 19 December 2002

concerning the approval, on behalf of the European Community, of the Rotterdam Convention on the Prior Informed Consent Procedure for certain hazardous chemicals and pesticides in international trade

(2003/106/EC)

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 175(1), in conjunction with Article 300(2), first paragraph, first sentence, and Article 300(3), first subparagraph, thereof,

Having regard to the proposal from the Commission ⁽¹⁾,

Having regard to the opinion of the European Parliament ⁽²⁾,

Whereas:

(1) The Commission participated on behalf of the Community in the negotiation of the Rotterdam Convention on the Prior Informed Consent Procedure for certain hazardous chemicals and pesticides in international trade, in accordance with the negotiating mandate given by the Council.

(2) Upon the conclusion of the negotiations, the Convention was signed on behalf of the Community in Rotterdam on 11 September 1998.

(3) The Convention is an important step in improving the international regulation of trade in certain hazardous chemicals and pesticides in order to protect human health and the environment from potential harm and to promote the environmentally sound use of such substances.

(4) The Convention is open to ratification, acceptance or approval by States and by regional economic integration organisations.

(5) Under the terms of the Convention, a regional economic integration organisation must declare in its instrument of ratification, acceptance, approval or accession the extent of its competence in respect of the matters governed by the Convention.

(6) On 28 January 2003 Regulation (EC) No 304/2003 of the European Parliament and the Council concerning the export and import of dangerous chemicals ⁽³⁾ was adopted.

(7) In consequence thereof, the Community can approve the Convention,

HAS DECIDED AS FOLLOWS:

Article 1

The Rotterdam Convention on the Prior Informed Consent Procedure for certain hazardous chemicals and pesticides in international trade, signed on 11 September 1998, is hereby approved on behalf of the European Community.

The text of the Convention is set out in Annex A hereto.

Article 2

1. The President of the Council is hereby authorised to designate the person or persons empowered to deposit the instrument of approval on behalf of the Community with the Secretary-General of the United Nations, in accordance with Article 25(1) of the Convention.

⁽¹⁾ OJ C 126 E, 28.5.2002, p. 274.

⁽²⁾ Opinion of 24 October 2002 (not yet published in the Official Journal).

⁽³⁾ See p. 1 of this Journal.

2. The person or persons empowered to deposit the instrument of approval shall at the same time deposit the declaration of competence set out in Annex B to this Decision, as required by Article 25(3) of the Convention.

Done at Brussels, 19 December 2002.

For the Council
The President
L. ESPERSEN

ANNEX A

TRANSLATION

ROTTERDAM CONVENTION ON THE PRIOR INFORMED CONSENT PROCEDURE FOR CERTAIN HAZARDOUS CHEMICALS AND PESTICIDES IN INTERNATIONAL TRADE

The Parties to this Convention,

Aware of the harmful impact on human health and the environment from certain hazardous chemicals and pesticides in international trade,

Recalling the pertinent provisions of the Rio Declaration on Environment and Development and chapter 19 of Agenda 21 on 'Environmentally sound management of toxic chemicals, including prevention of illegal international traffic in toxic and dangerous products',

Mindful of the work undertaken by the United Nations Environment Programme (UNEP) and the Food and Agriculture Organisation of the United Nations (FAO) in the operation of the voluntary Prior Informed Consent procedure, as set out in the UNEP Amended London Guidelines for the Exchange of Information on Chemicals in International Trade (hereinafter referred to as the 'Amended London Guidelines') and the FAO International Code of Conduct on the Distribution and Use of Pesticides (hereinafter referred to as the 'International Code of Conduct'),

Taking into account the circumstances and particular requirements of developing countries and countries with economies in transition, in particular the need to strengthen national capabilities and capacities for the management of chemicals, including transfer of technology, providing financial and technical assistance and promoting cooperation among the Parties,

Noting the specific needs of some countries for information on transit movements,

Recognising that good management practices for chemicals should be promoted in all countries, taking into account, *inter alia*, the voluntary standards laid down in the International Code of Conduct and the UNEP Code of Ethics on the International Trade in Chemicals,

Desiring to ensure that hazardous chemicals that are exported from their territory are packaged and labelled in a manner that is adequately protective of human health and the environment, consistent with the principles of the Amended London Guidelines and the International Code of Conduct,

Recognising that trade and environmental policies should be mutually supportive with a view to achieving sustainable development,

Emphasising that nothing in this Convention shall be interpreted as implying in any way a change in the rights and obligations of a Party under any existing international agreement applying to chemicals in international trade or to environmental protection,

Understanding that the above recital is not intended to create a hierarchy between this Convention and other international agreements,

Determined to protect human health, including the health of consumers and workers, and the environment against potentially harmful impacts from certain hazardous chemicals and pesticides in international trade,

HAVE AGREED AS FOLLOWS:

*Article 1***Objective**

The objective of this Convention is to promote shared responsibility and cooperative efforts among Parties in the international trade of certain hazardous chemicals in order to protect human health and the environment from potential harm and to contribute to their environmentally sound use, by facilitating information exchange about their characteristics, by providing for a national decision-making process on their import and export and by disseminating these decisions to Parties.

*Article 2***Definitions**

For the purposes of this Convention:

- (a) 'chemical' means a substance whether by itself or in a mixture or preparation and whether manufactured or obtained from nature, but does not include any living organism. It consists of the following categories: pesticide (including severely hazardous pesticide formulations) and industrial;
- (b) 'banned chemical' means a chemical all uses of which within one or more categories have been prohibited by final regulatory action, in order to protect human health or the environment. It includes a chemical that has been refused approval for first-time use or has been withdrawn by industry either from the domestic market or from further consideration in the domestic approval process and where there is clear evidence that such action has been taken in order to protect human health or the environment;
- (c) 'severely restricted chemical' means a chemical virtually all use of which within one or more categories has been prohibited by final regulatory action in order to protect human health or the environment, but for which certain specific uses remain allowed. It includes a chemical that has, for virtually all use, been refused for approval or been withdrawn by industry either from the domestic market or from further consideration in the domestic approval process, and where there is clear evidence that such action has been taken in order to protect human health or the environment;
- (d) 'severely hazardous pesticide formulation' means a chemical formulated for pesticidal use that produces severe health or environmental effects observable within a short period of time after single or multiple exposure, under conditions of use;
- (e) 'final regulatory action' means an action taken by a Party, that does not require subsequent regulatory action by that

Party, the purpose of which is to ban or severely restrict a chemical;

- (f) 'export' and 'import' mean, in their respective connotations, the movement of a chemical from one Party to another Party, but exclude mere transit operations;
- (g) 'Party' means a State or regional economic integration organisation that has consented to be bound by this Convention and for which the Convention is in force;
- (h) 'regional economic integration organisation' means an organisation constituted by sovereign States of a given region to which its Member States have transferred competence in respect of matters governed by this Convention and which has been duly authorised, in accordance with its internal procedures, to sign, ratify, accept, approve or accede to this Convention;
- (i) 'Chemical Review Committee' means the subsidiary body referred to in paragraph 6 of Article 18.

*Article 3***Scope of the Convention**

1. This Convention applies to:
 - (a) banned or severely restricted chemicals; and
 - (b) severely hazardous pesticide formulations.
2. This Convention does not apply to:
 - (a) narcotic drugs and psychotropic substances;
 - (b) radioactive materials;
 - (c) wastes;
 - (d) chemical weapons;
 - (e) pharmaceuticals, including human and veterinary drugs;
 - (f) chemicals used as food additives;
 - (g) food;
 - (h) chemicals in quantities not likely to affect human health or the environment provided they are imported;

- (i) for the purpose of research or analysis; or
- (ii) by an individual for his or her own personal use in quantities reasonable for such use.

Article 4

Designated national authorities

1. Each Party shall designate one or more national authorities that shall be authorised to act on its behalf in the performance of the administrative functions required by this Convention.
2. Each Party shall seek to ensure that such authority or authorities have sufficient resources to perform their tasks effectively.
3. Each Party shall, no later than the date of the entry into force of this Convention for it, notify the name and address of such authority or authorities to the Secretariat. It shall forthwith notify the Secretariat of any changes in the name and address of such authority or authorities.
4. The Secretariat shall forthwith inform the Parties of the notifications it receives under paragraph 3.

Article 5

Procedures for banned or severely restricted chemicals

1. Each Party that has adopted a final regulatory action shall notify the Secretariat in writing of such action. Such notification shall be made as soon as possible, and in any event no later than 90 days after the date on which the final regulatory action has taken effect, and shall contain the information required by Annex I, where available.
2. Each Party shall, at the date of entry into force of this Convention for it, notify the Secretariat in writing of its final regulatory actions in effect at that time, except that each Party that has submitted notifications of final regulatory actions under the Amended London Guidelines or the International Code of Conduct need not resubmit those notifications.
3. The Secretariat shall, as soon as possible, and in any event no later than six months after receipt of a notification under paragraphs 1 and 2, verify whether the notification contains the information required by Annex I. If the notification contains the information required, the Secretariat shall forthwith forward to all Parties a summary of the information received. If the notification does not contain the information required, it shall inform the notifying Party accordingly.

4. The Secretariat shall every six months communicate to the Parties a synopsis of the information received pursuant to paragraphs 1 and 2, including information regarding those notifications which do not contain all the information required by Annex I.

5. When the Secretariat has received at least one notification from each of two Prior Informed Consent regions regarding a particular chemical that it has verified meets the requirements of Annex I, it shall forward them to the Chemical Review Committee. The composition of the Prior Informed Consent regions shall be defined in a decision to be adopted by consensus at the first meeting of the Conference of the Parties.

6. The Chemical Review Committee shall review the information provided in such notifications and, in accordance with the criteria set out in Annex II, recommend to the Conference of the Parties whether the chemical in question should be made subject to the Prior Informed Consent procedure and, accordingly, be listed in Annex III.

Article 6

Procedures for severely hazardous pesticide formulations

1. Any Party that is a developing country or a country with an economy in transition and that is experiencing problems caused by a severely hazardous pesticide formulation under conditions of use in its territory, may propose to the Secretariat the listing of the severely hazardous pesticide formulation in Annex III. In developing a proposal, the Party may draw upon technical expertise from any relevant source. The proposal shall contain the information required by part 1 of Annex IV.
2. The Secretariat shall, as soon as possible, and in any event no later than six months after receipt of a proposal under paragraph 1, verify whether the proposal contains the information required by part 1 of Annex IV. If the proposal contains the information required, the Secretariat shall forthwith forward to all Parties a summary of the information received. If the proposal does not contain the information required, it shall inform the proposing Party accordingly.
3. The Secretariat shall collect the additional information set out in part 2 of Annex IV regarding the proposal forwarded under paragraph 2.
4. When the requirements of paragraphs 2 and 3 above have been fulfilled with regard to a particular severely hazardous pesticide formulation, the Secretariat shall forward the proposal and the related information to the Chemical Review Committee.
5. The Chemical Review Committee shall review the information provided in the proposal and the additional information collected and, in accordance with the criteria set out in part 3 of Annex IV, recommend to the Conference of

the Parties whether the severely hazardous pesticide formulation in question should be made subject to the Prior Informed Consent procedure and, accordingly, be listed in Annex III.

Article 7

Listing of chemicals in Annex III

1. For each chemical that the Chemical Review Committee has decided to recommend for listing in Annex III, it shall prepare a draft decision guidance document. The decision guidance document should, at a minimum, be based on the information specified in Annex I, or, as the case may be, Annex IV, and include information on uses of the chemical in a category other than the category for which the final regulatory action applies.

2. The recommendation referred to in paragraph 1 together with the draft decision guidance document shall be forwarded to the Conference of the Parties. The Conference of the Parties shall decide whether the chemical should be made subject to the Prior Informed Consent procedure and, accordingly, list the chemical in Annex III and approve the draft decision guidance document.

3. When a decision to list a chemical in Annex III has been taken and the related decision guidance document has been approved by the Conference of the Parties, the Secretariat shall forthwith communicate this information to all Parties.

Article 8

Chemicals in the voluntary Prior Informed Consent procedure

For any chemical, other than a chemical listed in Annex III, that has been included in the voluntary Prior Informed Consent procedure before the date of the first meeting of the Conference of the Parties, the Conference of the Parties shall decide at that meeting to list the chemical in Annex III, provided that it is satisfied that all the requirements for listing in that Annex have been fulfilled.

Article 9

Removal of chemicals from Annex III

1. If a Party submits to the Secretariat information that was not available at the time of the decision to list a chemical in Annex III and that information indicates that its listing may no longer be justified in accordance with the relevant criteria in Annex II or, as the case may be, Annex IV, the Secretariat shall forward the information to the Chemical Review Committee.

2. The Chemical Review Committee shall review the information it receives under paragraph 1. For each chemical that the Chemical Review Committee decides, in accordance with the relevant criteria in Annex II or, as the case may be, Annex IV, to recommend for removal from Annex III, it shall prepare a revised draft decision guidance document.

3. A recommendation referred to in paragraph 2 shall be forwarded to the Conference of the Parties and be accompanied by a revised draft decision guidance document. The Conference of the Parties shall decide whether the chemical should be removed from Annex III and whether to approve the revised draft decision guidance document.

4. When a decision to remove a chemical from Annex III has been taken and the revised decision guidance document has been approved by the Conference of the Parties, the Secretariat shall forthwith communicate this information to all Parties.

Article 10

Obligations in relation to imports of chemicals listed in Annex III

1. Each Party shall implement appropriate legislative or administrative measures to ensure timely decisions with respect to the import of chemicals listed in Annex III.

2. Each Party shall transmit to the Secretariat, as soon as possible, and in any event no later than nine months after the date of dispatch of the decision guidance document referred to in paragraph 3 of Article 7, a response concerning the future import of the chemical concerned. If a Party modifies this response, it shall forthwith submit the revised response to the Secretariat.

3. The Secretariat shall, at the expiration of the time period in paragraph 2, forthwith address to a Party that has not provided such a response, a written request to do so. Should the Party be unable to provide a response, the Secretariat shall, where appropriate, help it to provide a response within the time period specified in the last sentence of paragraph 2 of Article 11.

4. A response under paragraph 2 shall consist of either:

(a) a final decision, pursuant to legislative or administrative measures:

(i) to consent to import;

(ii) not to consent to import; or

(iii) to consent to import only subject to specified conditions; or

(b) an interim response, which may include:

Article 11

Obligations in relation to exports of chemicals listed in Annex III

- (i) an interim decision consenting to import with or without specified conditions, or not consenting to import during the interim period;
- (ii) a statement that a final decision is under active consideration;
- (iii) a request to the Secretariat, or to the Party that notified the final regulatory action, for further information;
- (iv) a request to the Secretariat for assistance in evaluating the chemical.

1. Each exporting Party shall:

- (a) implement appropriate legislative or administrative measures to communicate the responses forwarded by the Secretariat in accordance with paragraph 10 of Article 10 to those concerned within its jurisdiction;
- (b) take appropriate legislative or administrative measures to ensure that exporters within its jurisdiction comply with decisions in each response no later than six months after the date on which the Secretariat first informs the Parties of such response in accordance with paragraph 10 of Article 10;
- (c) advise and assist importing Parties, upon request and as appropriate:
 - (i) to obtain further information to help them to take action in accordance with paragraph 4 of Article 10 and paragraph 2(c) below; and
 - (ii) to strengthen their capacities and capabilities to manage chemicals safely during their life cycle.

5. A response under subparagraphs (a) or (b) of paragraph 4 shall relate to the category or categories specified for the chemical in Annex III.

6. A final decision should be accompanied by a description of any legislative or administrative measures upon which it is based.

7. Each Party shall, no later than the date of entry into force of this Convention for it, transmit to the Secretariat responses with respect to each chemical listed in Annex III. A Party that has provided such responses under the Amended London Guidelines or the International Code of Conduct need not resubmit those responses.

8. Each Party shall make its responses under this Article available to those concerned within its jurisdiction, in accordance with its legislative or administrative measures.

9. A Party that, pursuant to paragraphs 2 and 4 above and paragraph 2 of Article 11, takes a decision not to consent to import of a chemical or to consent to its import only under specified conditions shall, if it has not already done so, simultaneously prohibit or make subject to the same conditions:

- (a) import of the chemical from any source; and
- (b) domestic production of the chemical for domestic use.

10. Every six months the Secretariat shall inform all Parties of the responses it has received. Such information shall include a description of the legislative or administrative measures on which the decisions have been based, where available. The Secretariat shall, in addition, inform the Parties of any cases of failure to transmit a response.

2. Each Party shall ensure that a chemical listed in Annex III is not exported from its territory to any importing Party that, in exceptional circumstances, has failed to transmit a response or has transmitted an interim response that does not contain an interim decision, unless:

- (a) it is a chemical that, at the time of import, is registered as a chemical in the importing Party; or
- (b) it is a chemical for which evidence exists that it has previously been used in, or imported into, the importing Party and in relation to which no regulatory action to prohibit its use has been taken; or
- (c) explicit consent to the import has been sought and received by the exporter through a designated national authority of the importing Party. The importing Party shall respond to such a request within 60 days and shall promptly notify the Secretariat of its decision.

The obligations of exporting Parties under this paragraph shall apply with effect from the expiration of a period of six months from the date on which the Secretariat first informs the Parties, in accordance with paragraph 10 of Article 10, that a Party has failed to transmit a response or has transmitted an interim

response that does not contain an interim decision, and shall apply for one year.

Article 12

Export notification

1. Where a chemical that is banned or severely restricted by a Party is exported from its territory, that Party shall provide an export notification to the importing Party. The export notification shall include the information set out in Annex V.

2. The export notification shall be provided for that chemical prior to the first export following adoption of the corresponding final regulatory action. Thereafter, the export notification shall be provided before the first export in any calendar year. The requirement to notify before export may be waived by the designated national authority of the importing Party.

3. An exporting Party shall provide an updated export notification after it has adopted a final regulatory action that results in a major change concerning the ban or severe restriction of that chemical.

4. The importing Party shall acknowledge receipt of the first export notification received after the adoption of the final regulatory action. If the exporting Party does not receive the acknowledgement within 30 days of the dispatch of the export notification, it shall submit a second notification. The exporting Party shall make reasonable efforts to ensure that the importing Party receives the second notification.

5. The obligations of a Party set out in paragraph 1 shall cease when:

- (a) the chemical has been listed in Annex III;
- (b) the importing Party has provided a response for the chemical to the Secretariat in accordance with paragraph 2 of Article 10; and
- (c) the Secretariat has distributed the response to the Parties in accordance with paragraph 10 of Article 10.

Article 13

Information to accompany exported chemicals

1. The Conference of the Parties shall encourage the World Customs Organisation to assign specific Harmonised System customs codes to the individual chemicals or groups of chemicals listed in Annex III, as appropriate. Each Party shall require that, whenever a code has been assigned to such a

chemical, the shipping document for that chemical bears the code when exported.

2. Without prejudice to any requirements of the importing Party, each Party shall require that both chemicals listed in Annex III and chemicals banned or severely restricted in its territory are, when exported, subject to labelling requirements that ensure adequate availability of information with regard to risks and/or hazards to human health or the environment, taking into account relevant international standards.

3. Without prejudice to any requirements of the importing Party, each Party may require that chemicals subject to environmental or health labelling requirements in its territory are, when exported, subject to labelling requirements that ensure adequate availability of information with regard to risks and/or hazards to human health or the environment, taking into account relevant international standards.

4. With respect to the chemicals referred to in paragraph 2 that are to be used for occupational purposes, each exporting Party shall require that a safety data sheet that follows an internationally recognised format, setting out the most up-to-date information available, is sent to each importer.

5. The information on the label and on the safety data sheet should, as far as practicable, be given in one or more of the official languages of the importing Party.

Article 14

Information exchange

1. Each Party shall, as appropriate and in accordance with the objective of this Convention, facilitate:

- (a) the exchange of scientific, technical, economic and legal information concerning the chemicals within the scope of this Convention, including toxicological, ecotoxicological and safety information;
- (b) the provision of publicly available information on domestic regulatory actions relevant to the objectives of this Convention; and
- (c) the provision of information to other Parties, directly or through the Secretariat, on domestic regulatory actions that substantially restrict one or more uses of the chemical, as appropriate.

2. Parties that exchange information pursuant to this Convention shall protect any confidential information as mutually agreed.

3. The following information shall not be regarded as confidential for the purposes of this Convention:

- (a) the information referred to in Annexes I and IV, submitted pursuant to Articles 5 and 6 respectively;
- (b) the information contained in the safety data sheet referred to in paragraph 4 of Article 13;
- (c) the expiry date of the chemical;
- (d) information on precautionary measures, including hazard classification, the nature of the risk and the relevant safety advice; and
- (e) the summary results of the toxicological and ecotoxicological tests.

4. The production date of the chemical shall generally not be considered confidential for the purposes of this Convention.

5. Any Party requiring information on transit movements through its territory of chemicals listed in Annex III may report its need to the Secretariat, which shall inform all Parties accordingly.

Article 15

Implementation of the Convention

1. Each Party shall take such measures as may be necessary to establish and strengthen its national infrastructures and institutions for the effective implementation of this Convention. These measures may include, as required, the adoption or amendment of national legislative or administrative measures and may also include:

- (a) the establishment of national registers and databases including safety information for chemicals;
- (b) the encouragement of initiatives by industry to promote chemical safety; and
- (c) the promotion of voluntary agreements, taking into consideration the provisions of Article 16.

2. Each Party shall ensure, to the extent practicable, that the public has appropriate access to information on chemical handling and accident management and on alternatives that are safer for human health or the environment than the chemicals listed in Annex III.

3. The Parties agree to cooperate, directly or, where appropriate, through competent international organisations, in

the implementation of this Convention at the subregional, regional and global levels.

4. Nothing in this Convention shall be interpreted as restricting the right of the Parties to take action that is more stringently protective of human health and the environment than that called for in this Convention, provided that such action is consistent with the provisions of this Convention and is in accordance with international law.

Article 16

Technical assistance

The Parties shall, taking into account in particular the needs of developing countries and countries with economies in transition, cooperate in promoting technical assistance for the development of the infrastructure and the capacity necessary to manage chemicals to enable implementation of this Convention. Parties with more advanced programmes for regulating chemicals should provide technical assistance, including training, to other Parties in developing their infrastructure and capacity to manage chemicals throughout their life cycle.

Article 17

Non-Compliance

The Conference of the Parties shall, as soon as practicable, develop and approve procedures and institutional mechanisms for determining non-compliance with the provisions of this Convention and for treatment of Parties found to be in non-compliance.

Article 18

Conference of the Parties

1. A Conference of the Parties is hereby established.

2. The first meeting of the Conference of the Parties shall be convened by the Executive Director of UNEP and the Director-General of FAO, acting jointly, no later than one year after the entry into force of this Convention. Thereafter, ordinary meetings of the Conference of the Parties shall be held at regular intervals to be determined by the Conference.

3. Extraordinary meetings of the Conference of the Parties shall be held at such other times as may be deemed necessary by the Conference, or at the written request of any Party provided that it is supported by at least one third of the Parties.

4. The Conference of the Parties shall by consensus agree upon and adopt at its first meeting rules of procedure and

financial rules for itself and any subsidiary bodies, as well as financial provisions governing the functioning of the Secretariat.

5. The Conference of the Parties shall keep under continuous review and evaluation the implementation of this Convention. It shall perform the functions assigned to it by the Convention and, to this end, shall:

- (a) establish, further to the requirements of paragraph 6 below, such subsidiary bodies as it considers necessary for the implementation of the Convention;
- (b) cooperate, where appropriate, with competent international organisations and intergovernmental and non-governmental bodies; and
- (c) consider and undertake any additional action that may be required for the achievement of the objectives of the Convention.

6. The Conference of the Parties shall, at its first meeting, establish a subsidiary body, to be called the Chemical Review Committee, for the purposes of performing the functions assigned to that Committee by this Convention. In this regard:

- (a) the members of the Chemical Review Committee shall be appointed by the Conference of the Parties. Membership of the Committee shall consist of a limited number of government-designated experts in chemicals management. The members of the Committee shall be appointed on the basis of equitable geographical distribution, including ensuring a balance between developed and developing Parties;
- (b) the Conference of the Parties shall decide on the terms of reference, organisation and operation of the Committee;
- (c) the Committee shall make every effort to make its recommendations by consensus. If all efforts at consensus have been exhausted, and no consensus reached, such recommendation shall as a last resort be adopted by a two-thirds majority vote of the members present and voting.

7. The United Nations, its specialised agencies and the International Atomic Energy Agency, as well as any State not Party to this Convention, may be represented at meetings of the Conference of the Parties as observers. Any body or agency, whether national or international, governmental or non-governmental, qualified in matters covered by the Convention, and which has informed the Secretariat of its wish to be represented at a meeting of the Conference of the Parties as an observer may be admitted unless at least one third of the Parties present object. The admission and participation of observers shall be subject to the rules of procedure adopted by the Conference of the Parties.

Article 19

Secretariat

1. A Secretariat is hereby established.
2. The functions of the Secretariat shall be:
 - (a) to make arrangements for meetings of the Conference of the Parties and its subsidiary bodies and to provide them with services as required;
 - (b) to facilitate assistance to the Parties, particularly developing Parties and Parties with economies in transition, on request, in the implementation of this Convention;
 - (c) to ensure the necessary coordination with the secretariats of other relevant international bodies;
 - (d) to enter, under the overall guidance of the Conference of the Parties, into such administrative and contractual arrangements as may be required for the effective discharge of its functions; and
 - (e) to perform the other Secretariat functions specified in this Convention and such other functions as may be determined by the Conference of the Parties.
3. The Secretariat functions for this Convention shall be performed jointly by the Executive Director of UNEP and the Director-General of FAO, subject to such arrangements as shall be agreed between them and approved by the Conference of the Parties.
4. The Conference of the Parties may decide, by a three-fourths majority of the Parties present and voting, to entrust the Secretariat functions to one or more other competent international organisations, should it find that the Secretariat is not functioning as intended.

Article 20

Settlement of disputes

1. Parties shall settle any dispute between them concerning the interpretation or application of this Convention through negotiation or other peaceful means of their own choice.
2. When ratifying, accepting, approving or acceding to this Convention, or at any time thereafter, a Party that is not a regional economic integration organisation may declare in a written instrument submitted to the Depository that, with respect to any dispute concerning the interpretation or application of the Convention, it recognises one or both of the following means of dispute settlement as compulsory in relation to any Party accepting the same obligation:

(a) arbitration in accordance with procedures to be adopted by the Conference of the Parties in an Annex as soon as practicable; and

(b) submission of the dispute to the International Court of Justice.

3. A Party that is a regional economic integration organisation may make a declaration with like effect in relation to arbitration in accordance with the procedure referred to in paragraph 2(a).

4. A declaration made pursuant to paragraph 2 shall remain in force until it expires in accordance with its terms or until three months after written notice of its revocation has been deposited with the Depositary.

5. The expiry of a declaration, a notice of revocation or a new declaration shall not in any way affect proceedings pending before an arbitral tribunal or the International Court of Justice unless the parties to the dispute otherwise agree.

6. If the parties to a dispute have not accepted the same or any procedure pursuant to paragraph 2, and if they have not been able to settle their dispute within 12 months following notification by one party to another that a dispute exists between them, the dispute shall be submitted to a conciliation commission at the request of any party to the dispute. The conciliation commission shall render a report with recommendations. Additional procedures relating to the conciliation commission shall be included in an Annex to be adopted by the Conference of the Parties no later than the second meeting of the Conference.

Article 21

Amendments to the Convention

1. Amendments to this Convention may be proposed by any Party.

2. Amendments to this Convention shall be adopted at a meeting of the Conference of the Parties. The text of any proposed amendment shall be communicated to the Parties by the Secretariat at least six months before the meeting at which it is proposed for adoption. The Secretariat shall also communicate the proposed amendment to the signatories to this Convention and, for information, to the Depositary.

3. The Parties shall make every effort to reach agreement on any proposed amendment to this Convention by consensus. If all efforts at consensus have been exhausted, and no agreement reached, the amendment shall as a last resort be adopted by a three-fourths majority vote of the Parties present and voting at the meeting.

4. The amendment shall be communicated by the Depositary to all Parties for ratification, acceptance or approval.

5. Ratification, acceptance or approval of an amendment shall be notified to the Depositary in writing. An amendment adopted in accordance with paragraph 3 shall enter into force for the Parties having accepted it on the 90th day after the date of deposit of instruments of ratification, acceptance or approval by at least three fourths of the Parties. Thereafter, the amendment shall enter into force for any other Party on the 90th day after the date on which that Party deposits its instrument of ratification, acceptance or approval of the amendment.

Article 22

Adoption and amendment of Annexes

1. Annexes to this Convention shall form an integral part thereof and, unless expressly provided otherwise, a reference to this Convention constitutes at the same time a reference to any Annexes thereto.

2. Annexes shall be restricted to procedural, scientific, technical or administrative matters.

3. The following procedure shall apply to the proposal, adoption and entry into force of additional Annexes to this Convention:

(a) additional Annexes shall be proposed and adopted according to the procedure laid down in paragraphs 1, 2 and 3 of Article 21;

(b) any Party that is unable to accept an additional Annex shall so notify the Depositary, in writing, within one year from the date of communication of the adoption of the additional Annex by the Depositary. The Depositary shall without delay notify all Parties of any such notification received. A Party may at any time withdraw a previous notification of non-acceptance in respect of an additional Annex and the Annex shall thereupon enter into force for that Party subject to subparagraph (c) below; and

(c) on the expiry of one year from the date of the communication by the Depositary of the adoption of an additional Annex, the Annex shall enter into force for all Parties that have not submitted a notification in accordance with the provisions of subparagraph (b) above.

4. Except in the case of Annex III, the proposal, adoption and entry into force of amendments to annexes to this Convention shall be subject to the same procedures as for the proposal, adoption and entry into force of additional Annexes to the Convention.

5. The following procedure shall apply to the proposal, adoption and entry into force of amendments to Annex III:

- (a) amendments to Annex III shall be proposed and adopted according to the procedure laid down in Articles 5 to 9 and paragraph 2 of Article 21;
- (b) the Conference of the Parties shall take its decisions on adoption by consensus;
- (c) a decision to amend Annex III shall forthwith be communicated to the Parties by the Depositary. The amendment shall enter into force for all Parties on a date to be specified in the decision.
6. If an additional Annex or an amendment to an Annex is related to an amendment to this Convention, the additional Annex or amendment shall not enter into force until such time as the amendment to the Convention enters into force.

Article 23

Voting

1. Each Party to this Convention shall have one vote, except as provided for in paragraph 2 below.
2. A regional economic integration organisation, on matters within its competence, shall exercise its right to vote with a number of votes equal to the number of its Member States that are Parties to this Convention. Such an organisation shall not exercise its right to vote if any of its Member States exercises its right to vote, and vice versa.
3. For the purposes of this Convention, 'Parties present and voting' means Parties present and casting an affirmative or negative vote.

Article 24

Signature

This Convention shall be open for signature at Rotterdam by all States and regional economic integration organisations on 11 September 1998, and at United Nations Headquarters in New York from 12 September 1998 to 10 September 1999.

Article 25

Ratification, acceptance, approval or accession

1. This Convention shall be subject to ratification, acceptance or approval by States and by regional economic integration organisations. It shall be open for accession by States and by regional economic integration organisations from the day after the date on which the Convention is closed for signature. Instruments of ratification, acceptance, approval or accession shall be deposited with the Depositary.
2. Any regional economic integration organisation that becomes a Party to this Convention without any of its Member States being a Party shall be bound by all the obligations under the Convention. In the case of such organisations, one or more

of whose Member States is a Party to this Convention, the organisation and its Member States shall decide on their respective responsibilities for the performance of their obligations under the Convention. In such cases, the organisation and the Member States shall not be entitled to exercise rights under the Convention concurrently.

3. In its instrument of ratification, acceptance, approval or accession, a regional economic integration organisation shall declare the extent of its competence in respect of the matters governed by this Convention. Any such organisation shall also inform the Depositary, who shall in turn inform the Parties, of any relevant modification in the extent of its competence.

Article 26

Entry into force

1. This Convention shall enter into force on the 90th day after the date of deposit of the 50th instrument of ratification, acceptance, approval or accession.
2. For each State or regional economic integration organisation that ratifies, accepts or approves this Convention or accedes thereto after the deposit of the 50th instrument of ratification, acceptance, approval or accession, the Convention shall enter into force on the 90th day after the date of deposit by such State or regional economic integration organisation of its instrument of ratification, acceptance, approval or accession.
3. For the purpose of paragraphs 1 and 2, any instrument deposited by a regional economic integration organisation shall not be counted as additional to those deposited by Member States of that organisation.

Article 27

Reservations

No reservations may be made to this Convention.

Article 28

Withdrawal

1. At any time after three years from the date on which this Convention has entered into force for a Party, that Party may withdraw from the Convention by giving written notification to the Depositary.
2. Any such withdrawal shall take effect upon expiry of one year from the date of receipt by the Depositary of the notification of withdrawal, or on such later date as may be specified in the notification of withdrawal.

*Article 29***Depositary**

The Secretary-General of the United Nations shall be the Depositary of this Convention.

*Article 30***Authentic texts**

The original of this Convention, of which the Arabic, Chinese, English, French, Russian and Spanish texts are equally authentic, shall be deposited with the Secretary-General of the United Nations.

IN WITNESS WHEREOF the undersigned, being duly authorised to that effect, have signed this Convention.

Done at Rotterdam on this tenth day of September, one thousand nine hundred and ninety-eight.

*Annex I to Annex A***INFORMATION REQUIREMENTS FOR NOTIFICATIONS MADE PURSUANT TO ARTICLE 5**

Notifications shall include:

1. Properties, identification and uses

- (a) common name;
- (b) chemical name according to an internationally recognised nomenclature (for example, International Union of Pure and Applied Chemistry (IUPAC)), where such nomenclature exists;
- (c) trade names and names of preparations;
- (d) code numbers: Chemicals Abstract Service (CAS) number, Harmonised System customs code and other numbers;
- (e) information on hazard classification, where the chemical is subject to classification requirements;
- (f) use or uses of the chemical;
- (g) physico-chemical, toxicological and ecotoxicological properties.

2. Final regulatory action

- (a) Information specific to the final regulatory action:
 - (i) summary of the final regulatory action;
 - (ii) reference to the regulatory document;
 - (iii) date of entry into force of the final regulatory action;
 - (iv) indication of whether the final regulatory action was taken on the basis of a risk or hazard evaluation and, if so, information on such evaluation, covering a reference to the relevant documentation;
 - (v) reasons for the final regulatory action relevant to human health, including the health of consumers and workers, or the environment;
 - (vi) summary of the hazards and risks presented by the chemical to human health, including the health of consumers and workers, or the environment and the expected effect of the final regulatory action.
 - (b) Category or categories where the final regulatory action has been taken, and for each category:
 - (i) use or uses prohibited by the final regulatory action;
 - (ii) use or uses that remain allowed;
 - (iii) estimation, where available, of quantities of the chemical produced, imported, exported and used.
 - (c) An indication, to the extent possible, of the likely relevance of the final regulatory action to other States and regions.
 - (d) Other relevant information that may cover:
 - (i) assessment of socioeconomic effects of the final regulatory action;
 - (ii) information on alternatives and their relative risks, where available, such as:
 - integrated pest management strategies,
 - industrial practices and processes, including cleaner technology.
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*Annex II to Annex A***CRITERIA FOR LISTING BANNED OR SEVERELY RESTRICTED CHEMICALS IN ANNEX III**

In reviewing the notifications forwarded by the Secretariat pursuant to paragraph 5 of Article 5, the Chemical Review Committee shall:

- (a) confirm that the final regulatory action has been taken in order to protect human health or the environment;
 - (b) establish that the final regulatory action has been taken as a consequence of a risk evaluation. This evaluation shall be based on a review of scientific data in the context of the conditions prevailing in the Party in question. For this purpose, the documentation provided shall demonstrate that:
 - (i) data have been generated according to scientifically recognised methods;
 - (ii) data reviews have been performed and documented according to generally recognised scientific principles and procedures;
 - (iii) the final regulatory action was based on a risk evaluation involving prevailing conditions within the Party taking the action;
 - (c) consider whether the final regulatory action provides a sufficiently broad basis to merit listing of the chemical in Annex III, by taking into account:
 - (i) whether the final regulatory action led, or would be expected to lead, to a significant decrease in the quantity of the chemical used or the number of its uses;
 - (ii) whether the final regulatory action led to an actual reduction of risk or would be expected to result in a significant reduction of risk for human health or the environment of the Party that submitted the notification;
 - (iii) whether the considerations that led to the final regulatory action being taken are applicable only in a limited geographical area or in other limited circumstances;
 - (iv) whether there is evidence of ongoing international trade in the chemical;
 - (d) take into account that intentional misuse is not in itself an adequate reason to list a chemical in Annex III.
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Annex III to Annex A

CHEMICALS SUBJECT TO THE PRIOR INFORMED CONSENT PROCEDURE

Chemical	Relevant CAS number(s)	Category
2,4,5-T	93-76-5	Pesticide
Aldrin	309-00-2	Pesticide
Captafol	2425-06-1	Pesticide
Chlordane	57-74-9	Pesticide
Chlordimeform	6164-98-3	Pesticide
Chlorobenzilate	510-15-6	Pesticide
DDT	50-29-3	Pesticide
Dieldrin	60-57-1	Pesticide
Dinoseb and dinoseb salts	88-85-7	Pesticide
1,2-dibromoethane (EDB)	106-93-4	Pesticide
Fluoroacetamide	640-19-7	Pesticide
HCH (mixed isomers)	608-73-1	Pesticide
Heptachlor	76-44-8	Pesticide
Hexachlorobenzene	118-74-1	Pesticide
Lindane	58-89-9	Pesticide
Mercury compounds, including inorganic mercury compounds, alkyl mercury compounds and alkyloxyalkyl and aryl mercury compounds		Pesticide
Pentachlorophenol	87-86-5	Pesticide
Monocrotophos (Soluble liquid formulations of the substance that exceed 600 g active ingredient/l)	6923-22-4	Severely hazardous pesticide formulation
Methamidophos (Soluble liquid formulations of the substance that exceed 600 g active ingredient/l)	10265-92-6	Severely hazardous pesticide formulation
Phosphamidon (Soluble liquid formulations of the substance that exceed 1 000 g active ingredient/l)	13171-21-6 (mixture, (E) & (Z) isomers) 23783-98-4 ((Z)-isomer) 297-99-4 ((E)-isomer)	Severely hazardous pesticide formulation
Methyl-parathion (emulsifiable concentrates (EC) with 19,5 %, 40 %, 50 %, 60 % active ingredient and dusts containing 1,5 %, 2 % and 3 % active ingredient)	298-00-0	Severely hazardous pesticide formulation

Chemical	Relevant CAS number(s)	Category
Parathion (all formulations — aerosols, dustable powder (DP), emulsifiable concentrate (EC), granules (GR) and wettable powders (WP) of this substance are included, except capsule suspensions (CS))	56-38-2	Severely hazardous pesticide formulation
Crocidolite	12001-28-4	Industrial
Polybrominated biphenyls (PBB)	36355-01-8(hexa-) 27858-07-7(octa-) 13654-09-6(deca-)	Industrial
Polychlorinated biphenyls (PCB)	1336-36-3	Industrial
Polychlorinated terphenyls (PCT)	61788-33-8	Industrial
Tris (2,3-dibromopropyl) phosphate	126-72-7	Industrial

*Annex IV to Annex A***INFORMATION AND CRITERIA FOR LISTING SEVERELY HAZARDOUS PESTICIDE FORMULATIONS IN ANNEX III****Part 1: Documentation required from a proposing Party**

Proposals submitted pursuant to paragraph 1 of Article 6 shall include adequate documentation containing the following information:

- (a) name of the hazardous pesticide formulation;
- (b) name of the active ingredient or ingredients in the formulation;
- (c) relative amount of each active ingredient in the formulation;
- (d) type of formulation;
- (e) trade names and names of the producers, if available;
- (f) common and recognised patterns of use of the formulation within the proposing Party;
- (g) a clear description of incidents related to the problem, including the adverse effects and the way in which the formulation was used;
- (h) any regulatory, administrative or other measure taken, or intended to be taken, by the proposing Party in response to such incidents.

Part 2: Information to be collected by the Secretariat

Pursuant to paragraph 3 of Article 6, the Secretariat shall collect relevant information relating to the formulation, including:

- (a) the physico-chemical, toxicological and ecotoxicological properties of the formulation;
- (b) the existence of handling or applicator restrictions in other States;
- (c) information on incidents related to the formulation in other States;
- (d) information submitted by other Parties, international organisations, non-governmental organisations or other relevant sources, whether national or international;
- (e) risk and/or hazard evaluations, where available;
- (f) indications, if available, of the extent of use of the formulation, such as the number of registrations or production or sales quantity;
- (g) other formulations of the pesticide in question, and incidents, if any, relating to these formulations;
- (h) alternative pest-control practices;
- (i) other information which the Chemical Review Committee may identify as relevant.

Part 3: Criteria for listing severely hazardous pesticide formulations in Annex III

In reviewing the proposals forwarded by the Secretariat pursuant to paragraph 5 of Article 6, the Chemical Review Committee shall take into account:

- (a) the reliability of the evidence indicating that use of the formulation, in accordance with common or recognised practices within the proposing Party, resulted in the reported incidents;

- (b) the relevance of such incidents to other States with similar climate, conditions and patterns of use of the formulation;
 - (c) the existence of handling or applicator restrictions involving technology or techniques that may not be reasonably or widely applied in States lacking the necessary infrastructure;
 - (d) the significance of reported effects in relation to the quantity of the formulation used;
 - (e) that intentional misuse is not in itself an adequate reason to list a formulation in Annex III.
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*Annex V to Annex A***INFORMATION REQUIREMENTS FOR EXPORT NOTIFICATION**

1. Export notifications shall contain the following information:
 - (a) name and address of the relevant designated national authorities of the exporting Party and the importing Party;
 - (b) expected date of export to the importing Party;
 - (c) name of the banned or severely restricted chemical and a summary of the information specified in Annex I that is to be provided to the Secretariat in accordance with Article 5. Where more than one such chemical is included in a mixture or preparation, such information shall be provided for each chemical;
 - (d) a statement indicating, if known, the foreseen category of the chemical and its foreseen use within that category in the importing Party;
 - (e) information on precautionary measures to reduce exposure to, and emission of, the chemical;
 - (f) in the case of a mixture or a preparation, the concentration of the banned or severely restricted chemical or chemicals in question;
 - (g) name and address of the importer;
 - (h) any additional information that is readily available to the relevant designated national authority of the exporting Party that would be of assistance to the designated national authority of the importing Party.

 2. In addition to the information referred to in paragraph 1, the exporting Party shall provide such further information specified in Annex I as may be requested by the importing Party.
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ANNEX B

**DECLARATION BY THE EUROPEAN COMMUNITY IN ACCORDANCE WITH ARTICLE 25 (PARAGRAPH 3)
OF THE ROTTERDAM CONVENTION**

The European Community declares that, in accordance with the Treaty establishing the European Community, and in particular Article 175(1) thereof, it is competent to enter into international agreements, and to implement the obligations resulting therefrom, which contribute to the pursuit of the following objectives:

- preserving, protecting and improving the quality of the environment,
- protecting human health,
- prudent and rational utilisation of natural resources,
- promoting measures at international level to deal with regional or worldwide environmental problems.

Moreover, the European Community declares that it has already adopted legal instruments, including Regulation (EC) No 304/2003 of the European Parliament and the Council concerning the export and import of dangerous chemicals, binding on its Member States, covering matters governed by this Convention, and will submit and update, as appropriate, a list of those legal instruments to the Secretariat of the Convention.

The European Community is responsible for the performance of those obligations resulting from the Convention which are covered by Community law in force.

The exercise of Community competence is, by its nature, subject to continuous development.'
