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## Legislation

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<sup>(1)</sup> Text with EEA relevance.

# EN

Acts whose titles are printed in light type are those relating to day-to-day management of agricultural matters, and are generally valid for a limited period.

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<sup>(1)</sup> Text with EEA relevance.

## II

(Non-legislative acts)

## REGULATIONS

## COMMISSION REGULATION (EU) 2017/128

of 20 January 2017

amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein <sup>(1)</sup>, and in particular Article 19(5) thereof,

Whereas:

- (1) Regulation (EC) No 338/97 regulates trade in animal and plant species listed in the Annex to the Regulation. The species listed in the Annex include the species set out in the Appendices to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (the Convention) as well as species whose conservation status requires that trade from, into and within the Union be regulated or monitored.
- (2) At the 17th meeting of the Conference of the Parties to the Convention, held in Johannesburg, South Africa, from 24 September to 4 October 2016 (CoP 17), certain amendments were made to the Appendices to the Convention. These amendments should be reflected in the Annexes to Regulation (EC) No 338/97.
- (3) The following genera or species were included in Appendix I to the Convention and should be included in Annex A to Regulation (EC) No 338/97: *Abronia anzuetoi*, *Abronia campbelli*, *Abronia fimbriata*, *Abronia frosti*, *Abronia meledona*, *Cnemaspis psychedelica*, *Lygodactylus williamsi*, *Telmatobius culeus*, *Polymita* spp.
- (4) The following species were transferred from Appendix II to Appendix I to the Convention and should be removed from Annex B and included in Annex A to Regulation (EC) No 338/97: *Manis crassicaudata*, *Manis culionensis*, *Manis gigantea*, *Manis javanica*, *Manis pentadactyla*, *Manis temminckii*, *Manis tetradactyla*, *Manis tricuspis*, *Macaca sylvanus*, *Psittacus erithacus*, *Shinisaurus crocodilurus*, *Sclerocactus blainei*, *Sclerocactus cloverae*, *Sclerocactus sileri*.
- (5) The following taxa were transferred from Appendix I to Appendix II to the Convention and should be removed from Annex A and included in Annex B to Regulation (EC) No 338/97: *Puma concolor coryi*, *Puma concolor cougar*, *Equus zebra zebra*, *Lichenostomus melanops cassidix*, *Ninox novaeseelandiae undulata*, *Crocodylus acutus* (population of the Bay of Cispatá in Colombia, with annotation), *Crocodylus porosus* (populations of Malaysia, with annotation), *Dyscophus antongilii*.
- (6) The following family, genera or species were included in Appendix II to the Convention and should be included in Annex B to Regulation (EC) No 338/97: *Capra caucasica*, *Abronia* spp. (with an annotation for *Abronia aurita*,

<sup>(1)</sup> OJ L 61, 3.3.1997, p. 1.

*Abronia gaiophantasma*, *Abronia montecristoi*, *Abronia salvadorensis* and *Abronia vasconcelosii*, and except the species listed in Appendix I), *Rhampheleon* spp., *Rieppeleon* spp., *Paroedura masobe*, *Atheris desaixi*, *Bitis worthingtoni*, *Lanthanotidae* spp. (with annotation), *Cyclanorbis elegans*, *Cyclanorbis senegalensis*, *Cycloderma aubryi*, *Cycloderma frenatum*, *Rafetus euphraticus*, *Trionyx triunguis*, *Dyscophus guineti*, *Dyscophus insularis*, *Scaphiophryne boribory*, *Scaphiophryne marmorata*, *Scaphiophryne spinosa*, *Paramesotriton hongkongensis*, *Carcharhinus falciformis* (with annotation), *Alopias* spp. (with annotation), *Mobula* spp. (with annotation), *Holacanthus clarionensis*, *Nautilidae* spp., *Beaucarnea* spp., *Dalbergia* spp. (with annotation), *Guibourtia demeusei* (with annotation), *Guibourtia pellegriniana* (with annotation), *Guibourtia tessmannii* (with annotation), *Pterocarpus erinaceus*, *Adansonia grandidieri* (with annotation), *Siphonochilus aethiopicus* (with annotation).

- (7) The following species were deleted from Appendix II to the Convention and should be removed from Annex B to Regulation (EC) No 338/97: *Bison bison athabasca*, *Tillandsia mauryana*.
- (8) Species which were so far listed in Appendix III have been removed from that Appendix following their inclusion in Appendix II and should be removed from Annex C to Regulation (EC) No 338/97.
- (9) *Abronia graminea* and *Salamandra algira* which were so far listed in Annex D to Regulation (EC) No 338/97, should be removed from that Annex following their inclusion in Appendix II and Appendix III respectively to the Convention at CoP 17.
- (10) A number of annotations relating to species or genus included in the Appendices of the Convention were adopted or amended at CoP 17 and also need to be reflected in the Annexes to Regulation (EC) No 338/97 (annotations for the species *Vicugna vicugna*, *Panthera leo*, *Crocodylus moreletti*, *Bulnesia sarmientoi*, the genera *Aquilaria* spp. and *Gyrinops* spp., the genus *Dalbergia* spp., the species *Guibourtia demeusei*, *Guibourtia pellegriniana* and *Guibourtia tessmannii* and the species *Adansonia grandidieri*).
- (11) The Union has not entered a reservation in respect of any of those amendments.
- (12) At CoP 17, new nomenclatural references for animals and plants were adopted.
- (13) The following species were recently included in Appendix III to the Convention: *Salamandra algira* at the request of Algeria; *Chelydra serpentina*, *Apalone ferox*, *Apalone mutica* and *Apalone spinifera* at the request of the United States; *Potamotrygon* spp. (with annotation) and *Hypancistrus zebra* at the request of Brazil; *Potamotrygon constellata*, *Potamotrygon magdalenae*, *Potamotrygon motoro*, *Potamotrygon orbignyi*, *Potamotrygon schroederi*, *Potamotrygon scobina*, *Potamotrygon yepezi* and *Paratrygon aiereba* at the request of Colombia. These species should therefore be included in Annex C to Regulation (EC) No 338/97.
- (14) In view of the extent of the amendments it is appropriate, for reasons of clarity, to replace the Annex to Regulation (EC) No 338/97 in its entirety.
- (15) Regulation (EC) No 338/97 should therefore be amended accordingly.
- (16) Article XV.1(c) of the Convention states that 'amendments adopted at a meeting [of the Conference of the Parties] shall enter into force 90 days after that meeting for all Parties (...)'. In order to meet that deadline and ensure the timely entry into force of the changes to the Annex to this Regulation, the entry into force of this Regulation should be on the third day following that of its publication.
- (17) The measures provided for in this Regulation are in accordance with the opinion of the Committee on Trade in Wild Fauna and Flora established pursuant to Article 18(1) of Regulation (EC) No 338/97,

HAS ADOPTED THIS REGULATION:

#### Article 1

The Annex to Regulation (EC) No 338/97 is replaced by the text set out in the Annex to this Regulation.

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*Article 2*

This Regulation shall enter into force on the third day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 20 January 2017.

*For the Commission*  
*The President*  
Jean-Claude JUNCKER

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## ANNEX

**Notes on interpretation of Annexes A, B, C and D**

1. Species included in Annexes A, B, C and D are referred to:
  - (a) by the name of the species; or
  - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation 'spp.' is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Directive 2009/147/EC of the European Parliament and of the Council <sup>(1)</sup> or Council Directive 92/43/EEC <sup>(2)</sup>.
5. The following abbreviations are used for plant taxa below the level of species:
  - (a) 'ssp.' is used to denote subspecies;
  - (b) 'var(s)।' is used to denote variety (varieties); and
  - (c) 'fa.' is used to denote forma.
6. The symbols '(I)', '(II)' and '(III)' placed against the name of a species or higher taxon refer to the Appendices to the Convention in which the species concerned are listed as indicated in notes 7, 8 and 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.
10. 'Cultivar' means, following the definition of the 8th edition of the *International Code of Nomenclature for Cultivated Plants*, an assemblage of plants that (a) has been selected for a particular character or combination of characters, (b) is distinct, uniform, and stable in these characters, and (c) when propagated by appropriate means, retains those characters. No new taxon of a cultivar can be regarded as such until its category name and circumscription has been formally published in the latest edition of the *International Code of Nomenclature for Cultivated Plants*.
11. Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.
12. When a species is included in Annex A, B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t), the symbol '#' followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of this Regulation as follows:
  - #1 Designates all parts and derivatives, except:
    - (a) seeds, spores and pollen (including pollinia);

<sup>(1)</sup> Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds (OJ L 20, 26.1.2010, p. 7).

<sup>(2)</sup> Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7).

- (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
  - (c) cut flowers of artificially propagated plants; and
  - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
- #2 Designates all parts and derivatives, except:
- (a) seeds and pollen; and
  - (b) finished products packaged and ready for retail trade.
- #3 Designates whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas and confectionery.
- #4 Designates all parts and derivatives, except:
- (a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from *Beccariophoenix madagascariensis* and *Dypsis decaryi* exported from Madagascar;
  - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
  - (c) cut flowers of artificially propagated plants;
  - (d) fruits and parts and derivatives thereof of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae;
  - (e) stems, flowers, and parts and derivatives thereof of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
  - (f) finished products of *Euphorbia antisyphilitica* packaged and ready for retail trade.
- #5 Designates logs, sawn wood and veneer sheets.
- #6 Designates logs, sawn wood, veneer sheets and plywood.
- #7 Designates logs, wood-chips, powder and extracts.
- #8 Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered.
- #9 Designates all parts and derivatives, except those bearing a label 'Produced from *Hoodia* spp. material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxxx] [Namibia under agreement No. NA/xxxxxx] [South Africa under agreement No. ZA/xxxxxx]'.
- #10 Designates logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- #11 Designates logs, sawn wood, veneer sheets, plywood, powder and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- #12 Designates logs, sawn wood, veneer sheets, plywood and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- #13 Designates the kernel (also known as 'endosperm', 'pulp' or 'copra') and any derivative thereof.
- #14 Designates all parts and derivatives, except:
- (a) seeds and pollen;
  - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
  - (c) fruits;

- (d) leaves;
- (e) exhausted agarwood powder, including compressed powder in all shapes; and
- (f) finished products packaged and ready for retail trade, this exemption does not apply to wood chips, beads, prayer beads and carvings.

#15 Designates all parts and derivatives, except:

- (a) leaves, flowers, pollen, fruit, and seeds;
- (b) non-commercial trade of a maximum total weight of 10 kg per shipment;
- (c) parts and derivatives of *Dalbergia cochinchinensis* which are covered by Annotation #4;
- (d) parts and derivatives of *Dalbergia* spp. originating and exported from Mexico which are covered by Annotation #6.

#16 Designates seeds, fruits, oil and live plants.

13. The terms and expressions below, used in annotations in these Annexes, are defined as follows:

#### **Extract**

Any substance obtained directly from plant material by physical or chemical means regardless of the manufacturing process. An extract may be solid (e.g. crystals, resin, fine or coarse particles), semisolid (e.g. gums, waxes) or liquid (e.g. solutions, tinctures, oil and essential oils).

#### **Finished products packaged and ready for retail trade**

Products, shipped singly or in bulk, requiring no further processing, packaged, labelled for final use or the retail trade in a state fit for being sold to or used by the general public.

#### **Powder**

A dry, solid substance in the form of fine or coarse particles

#### **Woodchips**

Wood that has been reduced to small pieces

14. As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with Article 4(1), this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to this Regulation.
15. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to this Regulation.
16. In respect of fauna species listed in Annex D, this Regulation shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 1 Any whole, or substantially whole, skins, raw or tanned.
  - § 2 Any feathers or any skin or other part with feathers on it.
17. In respect of flora species listed in Annex D, this Regulation shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 3 Dried and fresh plants, including, where appropriate; leaves, roots/rootstock, stems, seeds/spores, bark and fruits.
  - § 4 Logs, sawn wood and veneer sheets.



	Annex A	Annex B	Annex C	Common name
<b>FAUNA</b>				
CHORDATA (CHORDATES)				
<b>MAMMALIA</b>				<b>Mammals</b>
ARTIODACTYLA				
<b>Antilocapridae</b>				<b>Pronghorn</b>
	<i>Antilocapra americana</i> (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)			Mexican pronghorn
<b>Bovidae</b>				<b>Antelopes, cattle, duikers, gazelles, goats, sheep etc.</b>
	<i>Addax nasomaculatus</i> (I)			Addax
		<i>Ammotragus lervia</i> (II)		Barbary sheep
	<i>Bos gaurus</i> (I) (Excludes the domesticated form referenced as <i>Bos frontalis</i> which is not subject to this Regulation)		<i>Antilope cervicapra</i> (III Nepal/Pakistan)	Blackbuck
	<i>Bos mutus</i> (I) (Excludes the domesticated form referenced as <i>Bos grunniens</i> which is not subject to this Regulation)			Gaur
	<i>Bos sauveli</i> (I)			Wild yak
			<i>Boselaphus tragocamelus</i> (III Pakistan)	Kouprey
			<i>Bubalus arnee</i> (III Nepal) (Excludes the domesticated form referenced as <i>Bubalus bubalis</i> , which is not subject to this Regulation)	Nilgai
	<i>Bubalus depressicornis</i> (I)			Wild Asiatic buffalo
	<i>Bubalus mindorensis</i> (I)			Lowland anoa
				Tamarau

	Annex A	Annex B	Annex C	Common name
	<i>Bubalus quarlesi</i> (I)			Mountain anoa
		<i>Budorcas taxicolor</i> (II)		Takin
	<i>Capra falconeri</i> (I)			Markhor
		<i>Capra caucasica</i> (II)		Caucasian Tur
			<i>Capra hircus aegagrus</i> (III Pakistan) (Specimens of the domesticated form are not subject to this Regulation)	Wild goat
			<i>Capra sibirica</i> (III Pakistan)	Siberian Ibex
	<i>Capricornis milneedwardsii</i> (I)			Chinese serow
	<i>Capricornis rubidus</i> (I)			Red serow
	<i>Capricornis sumatraensis</i> (I)			Sumatran serow
	<i>Capricornis thar</i> (I)			Himalayan serow
		<i>Cephalophus brookei</i> (II)		Brooke's duiker
		<i>Cephalophus dorsalis</i> (II)		Bay duiker
	<i>Cephalophus jentinki</i> (I)			Jentink's duiker
		<i>Cephalophus ogilbyi</i> (II)		Ogilby's duiker
		<i>Cephalophus silvicultor</i> (II)		Yellow-backed duiker
		<i>Cephalophus zebra</i> (II)		Zebra duiker
		<i>Damaliscus pygargus pygargus</i> (II)		Bontebok
			<i>Gazella bennettii</i> (III Pakistan)	Chinkara
	<i>Gazella cuvieri</i> (I)			Cuvier's gazelle
			<i>Gazella dorcas</i> (III Algeria/Tunisia)	Dorcas gazelle
	<i>Gazella leptoceros</i> (I)			Slender-horned gazelle

	Annex A	Annex B	Annex C	Common name
	<p><i>Hippotragus niger variani</i> (I)</p> <p><i>Naemorhedus baileyi</i> (I)</p> <p><i>Naemorhedus caudatus</i> (I)</p> <p><i>Naemorhedus goral</i> (I)</p> <p><i>Naemorhedus griseus</i> (I)</p> <p><i>Nanger dama</i> (I)</p> <p><i>Oryx dammah</i> (I)</p> <p><i>Oryx leucoryx</i> (I)</p> <p><i>Ovis ammon hodgsonii</i> (I)</p> <p><i>Ovis ammon nigrimontana</i> (I)</p> <p><i>Ovis aries ophion</i> (I)</p> <p><i>Ovis aries vignei</i> (I)</p> <p><i>Pantholops hodgsonii</i> (I)</p>	<p><i>Kobus leche</i> (II)</p> <p><i>Ovis ammon</i> (II) (Except for the subspecies included in Annex A)</p> <p><i>Ovis aries</i> (Except for the domesticated form <i>Ovis aries aries</i>, the subspecies included in Annex A and the subspecies <i>O. a. isphahanica</i>, <i>O. a. laristanica</i>, <i>O. a. musimon</i> and <i>O. a. orientalis</i> which are not included in the Annexes to this Regulation)</p> <p><i>Ovis canadensis</i> (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)</p> <p><i>Philantomba monticola</i> (II)</p>		<p>Giant sable antelope</p> <p>Lechwe</p> <p>Red goral</p> <p>Long-tailed goral</p> <p>Himalayan goral</p> <p>Chinese goral</p> <p>Dama gazelle</p> <p>Scimitar-horned oryx</p> <p>Arabian oryx</p> <p>Argali</p> <p>Tibetan argali</p> <p>Kara Tau argali</p> <p>Urial</p> <p>Cyprus mouflon</p> <p>Ladakh urial</p> <p>Mexican bighorn sheep</p> <p>Chiru</p> <p>Blue duiker</p>

	Annex A	Annex B	Annex C	Common name
	<p><i>Pseudoryx nghetinhensis</i> (I)</p> <p><b><i>Rupicapra pyrenaica ornata</i> (II)</b></p>	<p><i>Saiga borealis</i> (II)</p> <p><i>Saiga tatarica</i> (II)</p>	<p><i>Pseudois nayaur</i> (III Pakistan)</p> <p><i>Tetracerus quadricornis</i> (III Nepal)</p>	<p>Bharal</p> <p>Saola</p> <p>Abruzzo chamois</p> <p>Mongolian saiga</p> <p>Steppe saiga</p> <p>Four-horned antelope</p>
<b>Camelidae</b>	<p><i>Vicugna vicugna</i> (I) (Except for the populations of: Argentina [the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; Ecuador [the whole population] and Peru [the whole population]; which are included in Annex B)</p>	<p><i>Lama guanicoe</i> (II)</p> <p><i>Vicugna vicugna</i> (II) (Only the populations of <b>Argentina</b> [the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; <b>Bolivia</b> [the whole population]; <b>Chile</b> [population of the Primera Región]; <b>Ecuador</b> [the whole population] and <b>Peru</b> [the whole population]; all other populations are included in Annex A) <sup>(1)</sup></p>		<p><b>Camels, guanaco, vicuña</b></p> <p>Guanaco</p> <p>Vicuña</p>
<b>Cervidae</b>	<p><i>Axis calamianensis</i> (I)</p> <p><i>Axis kuhlii</i> (I)</p> <p><i>Axis porcinus annamiticus</i> (I)</p> <p><i>Blastocerus dichotomus</i> (I)</p>	<p><i>Cervus elaphus bactrianus</i> (II)</p>	<p><i>Axis porcinus</i> (III Pakistan (Except for the subspecies included in Annex A))</p>	<p><b>Deer, huemuls, muntjacs, pudus</b></p> <p>Calamian deer</p> <p>Bawean deer</p> <p>Hog deer</p> <p>Indochina hog deer</p> <p>Marsh deer</p> <p>Bactrian deer</p>

	Annex A	Annex B	Annex C	Common name
	<p><i>Cervus elaphus hanglu</i> (I)</p> <p><i>Dama dama mesopotamica</i> (I)</p> <p><i>Hippocamelus</i> spp. (I)</p> <p><i>Muntiacus crinifrons</i> (I)</p> <p><i>Muntiacus vuquangensis</i> (I)</p> <p><i>Ozotoceros bezoarticus</i> (I)</p> <p><i>Pudu puda</i> (I)</p> <p><i>Rucervus duvaucelii</i> (I)</p> <p><i>Rucervus eldii</i> (I)</p>	<p><i>Pudu mephistophiles</i> (II)</p>	<p><i>Cervus elaphus barbarus</i> (III Algeria/Tunisia)</p> <p><i>Mazama temama cerasina</i> (III Guatemala)</p> <p><i>Odocoileus virginianus mayensis</i> (III Guatemala)</p>	<p>Barbary deer</p> <p>Hangul</p> <p>Persian fallow deer</p> <p>Huemuls</p> <p>Central American red brocket</p> <p>Black muntjac</p> <p>Giant muntjac</p> <p>Guatemalan white-tailed deer</p> <p>Pampas deer</p> <p>Northern pudu</p> <p>Southern pudu</p> <p>Barasingha</p> <p>Eld's deer</p>
<b>Hippopotamidae</b>		<p><i>Hexaprotodon liberiensis</i> (II)</p> <p><i>Hippopotamus amphibius</i> (II)</p>		<p><b>Hippopotamuses</b></p> <p>Pygmy hippopotamus</p> <p>Common hippopotamus</p>
<b>Moschidae</b>	<p><i>Moschus</i> spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)</p>	<p><i>Moschus</i> spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)</p>		<p><b>Musk deer</b></p> <p>Musk deer</p>

	Annex A	Annex B	Annex C	Common name
<b>Suidae</b>	<p><i>Babyrousa babyrussa</i> (I)</p> <p><i>Babyrousa bolabatuensis</i> (I)</p> <p><i>Babyrousa celebensis</i> (I)</p> <p><i>Babyrousa togeanensis</i> (I)</p> <p><i>Sus salvanius</i> (I)</p>			<p><b>Babirusa, hogs, pigs</b></p> <p>Buru babirusa</p> <p>Bola Batu babirusa</p> <p>North Sulawesi babirusa</p> <p>Malenge babirusa</p> <p>Pygmy hog</p>
<b>Tayassuidae</b>	<p><i>Catagonus wagneri</i> (I)</p>	<p>Tayassuidae spp. (II) (Except for the species included in Annex A and excluding the populations of <i>Pecari tajacu</i> of Mexico and the United States, which are not included in the Annexes to this Regulation)</p>		<p><b>Peccaries</b></p> <p>Peccaries</p> <p>Chacoan peccary</p>
CARNIVORA				
<b>Ailuridae</b>	<p><i>Ailurus fulgens</i> (I)</p>			<p>Red panda</p>
<b>Canidae</b>	<p><b><i>Canis lupus</i> (I/II)</b></p> <p>(All populations except those of Spain north of the Duero and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i>)</p>	<p><i>Canis lupus</i> (II) (Populations of Spain north of the Duero and Greece north of the 39th parallel. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i>)</p>	<p><i>Canis aureus</i> (III India)</p>	<p><b>Dogs, foxes, wolves</b></p> <p>Golden jackal</p> <p>Grey wolf</p>

	Annex A	Annex B	Annex C	Common name
	<p><i>Canis simensis</i></p> <p><i>Speothos venaticus</i> (I)</p>	<p><i>Cerdocyon thous</i> (II)</p> <p><i>Chrysocyon brachyurus</i> (II)</p> <p><i>Cuon alpinus</i> (II)</p> <p><i>Lycalopex culpaeus</i> (II)</p> <p><i>Lycalopex fulvipes</i> (II)</p> <p><i>Lycalopex griseus</i> (II)</p> <p><i>Lycalopex gymnocercus</i> (II)</p> <p><i>Vulpes cana</i> (II)</p> <p><i>Vulpes zerda</i> (II)</p>	<p><i>Vulpes bengalensis</i> (III India)</p>	<p>Ethiopian wolf</p> <p>Crab-eating fox</p> <p>Maned wolf</p> <p>Dhole</p> <p>Culpeo</p> <p>Darwin's fox</p> <p>South American grey fox</p> <p>Pampas fox</p> <p>Bush dog</p> <p>Bengal fox</p> <p>Blanford's fox</p> <p>Fennec fox</p>
<b>Eupleridae</b>		<p><i>Cryptoprocta ferox</i> (II)</p> <p><i>Eupleres goudotii</i> (II)</p> <p><i>Fossa fossana</i> (II)</p>		<p>Fossa</p> <p>Falanouc</p> <p>Malagasy civet</p>
<b>Felidae</b>		<p>Felidae spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to this Regulation. For <b><i>Panthera leo</i></b> (African populations): A zero annual export quota is established for specimens of bones, bone pieces, bone products, claws, skeletons, skulls and teeth removed from the wild and traded for commercial purposes.</p>		<p><b>Cats, cheetahs, leopards, lions, tigers etc.</b></p> <p>Cats</p>

	Annex A	Annex B	Annex C	Common name
	<p><i>Acinonyx jubatus</i> (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to Article 4(1))</p> <p><i>Caracal caracal</i> (I) (Only the population of Asia; all other populations are included in Annex B)</p> <p><i>Catopuma temminckii</i> (I)</p> <p><i>Felis nigripes</i> (I)</p> <p><b><i>Felis silvestris</i> (II)</b></p> <p><i>Leopardus geoffroyi</i> (I)</p> <p><i>Leopardus jacobitus</i> (I)</p> <p><i>Leopardus pardalis</i> (I)</p> <p><i>Leopardus tigrinus</i> (I)</p> <p><i>Leopardus wiedii</i> (I)</p> <p><b><i>Lynx lynx</i> (II)</b></p> <p><i>Lynx pardinus</i> (I)</p> <p><i>Neofelis nebulosa</i> (I)</p> <p><i>Panthera leo persica</i> (I)</p> <p><i>Panthera onca</i> (I)</p> <p><i>Panthera pardus</i> (I)</p>	<p>Annual export quotas for trade in bones, bone pieces, bone products, claws, skeletons, skulls and teeth for commercial purposes, derived from captive breeding operations in South Africa, will be established and communicated annually to the CITES Secretariat.)</p>		<p>Cheetah</p> <p>Asian Caracal</p> <p>Asian golden cat</p> <p>Black-footed cat</p> <p>Wild cat</p> <p>Geoffroy's cat</p> <p>Andean mountain cat</p> <p>Ocelot</p> <p>Oncilla</p> <p>Margay</p> <p>Eurasian lynx</p> <p>Iberian lynx</p> <p>Clouded leopard</p> <p>Asiatic lion</p> <p>Jaguar</p> <p>Leopard</p>



	Annex A	Annex B	Annex C	Common name
	<p><i>Panthera tigris</i> (I)</p> <p><i>Pardofelis marmorata</i> (I)</p> <p><i>Prionailurus bengalensis bengalensis</i> (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B)</p> <p><i>Prionailurus iriomotensis</i> (II)</p> <p><i>Prionailurus planiceps</i> (I)</p> <p><i>Prionailurus rubiginosus</i> (I) (Only the population of India; all other populations are included in Annex B)</p> <p><i>Puma concolor costaricensis</i> (I)</p> <p><i>Puma yagouaroundi</i> (I) (Only the populations of Central and North America; all other populations are included in Annex B)</p> <p><i>Uncia uncia</i> (I)</p>			<p>Tiger</p> <p>Marbled cat</p> <p>Bengal leopard cat</p> <p>Iriomote cat</p> <p>Flat-headed cat</p> <p>Rusty-spotted cat</p> <p>Costa Rican cougar</p> <p>Jaguarundi</p> <p>Snow leopard</p>
<b>Herpestidae</b>			<p><i>Herpestes edwardsi</i> (III India/Pakistan)</p> <p><i>Herpestes fuscus</i> (III India)</p> <p><i>Herpestes javanicus</i> (III Pakistan)</p> <p><i>Herpestes javanicus auropunctatus</i> (III India)</p> <p><i>Herpestes smithii</i> (III India)</p> <p><i>Herpestes urva</i> (III India)</p> <p><i>Herpestes vitticollis</i> (III India)</p>	<p><b>Mongoose</b></p> <p>Indian grey mongoose</p> <p>Indian brown mongoose</p> <p>Small Asian mongoose</p> <p>Small Indian mongoose</p> <p>Ruddy mongoose</p> <p>Crab-eating mongoose</p> <p>Stripe-necked mongoose</p>
<b>Hyaenidae</b>			<p><i>Hyaena hyaena</i> (III Pakistan)</p>	<p><b>Aardwolf, hyenas</b></p> <p>Striped hyena</p>

	Annex A	Annex B	Annex C	Common name
			<i>Proteles cristata</i> (III Botswana)	Aardwolf
<b>Mephitidae</b>		<i>Conepatus humboldtii</i> (II)		<b>Skunks</b> Humboldt's hog-nosed skunk
<b>Mustelidae</b> <b>Lutrinae</b>	<p><i>Aonyx capensis microdon</i> (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B)</p> <p><i>Enhydra lutris nereis</i> (I)</p> <p><i>Lontra felina</i> (I)</p> <p><i>Lontra longicaudis</i> (I)</p> <p><i>Lontra provocax</i> (I)</p> <p><i>Lutra lutra</i> (I)</p> <p><i>Lutra nippon</i> (I)</p> <p><i>Pteronura brasiliensis</i> (I)</p>	Lutrinae spp. (II) (Except for the species included in Annex A)		<p><b>Badgers, martens, weasels etc.</b></p> <p><b>Otters</b></p> <p>Otters</p> <p>Cameroon clawless otter</p> <p>Southern sea otter</p> <p>Marine otter</p> <p>Neotropical otter</p> <p>Southern river otter</p> <p>European otter</p> <p>Japanese otter</p> <p>Giant otter</p>
<b>Mustelinae</b>			<p><i>Eira barbara</i> (III Honduras)</p> <p><i>Galictis vittata</i> (III Costa Rica)</p> <p><i>Martes flavigula</i> (III India)</p> <p><i>Martes foina intermedia</i> (III India)</p> <p><i>Martes gwatkinsii</i> (III India)</p> <p><i>Mellivora capensis</i> (III Botswana)</p>	<p><b>Grisons, martens, tayra, weasels</b></p> <p>Tayra</p> <p>Greater grison</p> <p>Yellow-throated marten</p> <p>Stone marten</p> <p>Nilgiri marten</p> <p>Honey badger</p>

	Annex A	Annex B	Annex C	Common name
	<i>Mustela nigripes</i> (I)			Black-footed ferret
<b>Odobenidae</b>		<i>Odobenus rosmarus</i> (III Canada)		<b>Walrus</b> Walrus
<b>Otariidae</b>	<i>Arctocephalus philippii</i> (II) <i>Arctocephalus townsendi</i> (I)	<i>Arctocephalus</i> spp. (II) (Except for the species included in Annex A)		<b>Fur seals, sealions</b> Fur seals Juan Fernández fur seal Guadalupe fur seal
<b>Phocidae</b>	<i>Monachus</i> spp. (I)	<i>Mirounga leonina</i> (II)		<b>Seals</b> Southern elephant seal Monk seals
<b>Procyonidae</b>			<i>Bassaricyon gabbii</i> (III Costa Rica) <i>Bassariscus sumichrasti</i> (III Costa Rica) <i>Nasua narica</i> (III Honduras) <i>Nasua nasua solitaria</i> (III Uruguay) <i>Potos flavus</i> (III Honduras)	<b>Coatis, olingos</b> Olingo Cacomistle White-nosed coati South Brazilian coati Kinkajou
<b>Ursidae</b>	<i>Ailuropoda melanoleuca</i> (I) <i>Helarctos malayanus</i> (I) <i>Melursus ursinus</i> (I)	Ursidae spp. (II) (Except for the species included in Annex A)		<b>Bears</b> Bears Giant panda Sun bear Sloth bear

	Annex A	Annex B	Annex C	Common name
	<p><i>Tremarctos ornatus</i> (I)</p> <p><b><i>Ursus arctos</i> (I/II)</b>            (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II)</p> <p><i>Ursus thibetanus</i> (I)</p>			<p>Spectacled bear</p> <p>Brown bear</p> <p>Asian black bear</p>
<b>Viverridae</b>	<p><i>Prionodon pardicolor</i> (I)</p>	<p><i>Cynogale bennettii</i> (II)</p> <p><i>Hemigalus derbyanus</i> (II)</p> <p><i>Prionodon linsang</i> (II)</p>	<p><i>Arctictis binturong</i> (III India)</p> <p><i>Civettictis civetta</i> (III Botswana)</p> <p><i>Paguma larvata</i> (III India)</p> <p><i>Paradoxurus hermaphroditus</i> (III India)</p> <p><i>Paradoxurus jerdoni</i> (III India)</p> <p><i>Viverra civettina</i> (III India)</p> <p><i>Viverra zibetha</i> (III India)</p> <p><i>Viverricula indica</i> (III India)</p>	<p><b>Binturong, civets, linsangs, otter-civet, palm civet</b></p> <p>Binturong</p> <p>African civet</p> <p>Otter civet</p> <p>Banded palm civet</p> <p>Masked palm civet</p> <p>Asian palm civet</p> <p>Jerdon's palm civet</p> <p>Banded linsang</p> <p>Spotted linsang</p> <p>Malabar large-spotted civet</p> <p>Large Indian civet</p> <p>Small Indian civet</p>
CETACEA	<p><b>CETACEA spp. (I/II) (²)</b></p>			<p><b>Cetaceans (dolphins, porpoises, whales)</b></p> <p>Cetaceans</p>

	Annex A	Annex B	Annex C	Common name
CHIROPTERA				
<b>Phyllostomidae</b>			<i>Platyrrhinus lineatus</i> (III Uruguay)	<b>Broad-nosed bats</b> White-lined bat
<b>Pteropodidae</b>		<i>Acerodon</i> spp. (II) (Except for the species included in Annex A)  <i>Pteropus</i> spp. (II) (Except for the species included in Annex A and except for <i>Pteropus brunneus</i> .)		<b>Fruit bats, flying foxes</b>  Flying foxes  Golden-capped fruit bat  Flying foxes  Ruck flying fox  Comoro flying fox  Japanese flying fox  Marianas flying fox  Caroline flying fox  Pelew flying fox  Large Pelew flying fox  Rodrigues flying fox  Samoan flying fox  Pacific flying fox  Kosrae flying fox  Pemba flying fox  Yap flying fox
	<i>Acerodon jubatus</i> (I)			
	<i>Pteropus insularis</i> (I)			
	<i>Pteropus livingstonii</i> (II)			
	<i>Pteropus loochoensis</i> (I)			
	<i>Pteropus mariannus</i> (I)			
	<i>Pteropus molossinus</i> (I)			
	<i>Pteropus pelewensis</i> (I)			
	<i>Pteropus pilosus</i> (I)			
	<i>Pteropus rodricensis</i> (II)			
	<i>Pteropus samoensis</i> (I)			
	<i>Pteropus tonganus</i> (I)			
	<i>Pteropus ualanus</i> (I)			
	<i>Pteropus voeltzkowi</i> (II)			
	<i>Pteropus yapensis</i> (I)			

	Annex A	Annex B	Annex C	Common name
CINGULATA <b>Dasypodidae</b>	<i>Priodontes maximus</i> (I)	<i>Chaetophractus nationi</i> (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)	<i>Cabassous centralis</i> (III Costa Rica) <i>Cabassous tatouay</i> (III Uruguay)	<b>Armadillos</b> Northern naked-tailed armadillo Greater naked-tailed armadillo Andean hairy armadillo  Giant armadillo
DASYUROMORPHIA <b>Dasyuridae</b>	<i>Sminthopsis longicaudata</i> (I) <i>Sminthopsis psammophila</i> (I)			<b>Dunnarts, marsupial mice, planigales</b> Long-tailed dunnart Sandhill dunnart
DIPROTODONTIA <b>Macropodidae</b>	<i>Lagorchestes hirsutus</i> (I) <i>Lagostrophus fasciatus</i> (I) <i>Onychogalea fraenata</i> (I)	<i>Dendrolagus inustus</i> (II) <i>Dendrolagus ursinus</i> (II)		<b>Kangaroos, wallabies</b> Grizzled tree-kangaroo Ursine tree-kangaroo Rufous hare-wallaby Banded hare-wallaby Bridled nail-tail wallaby
<b>Phalangeridae</b>		<i>Phalanger intercastellanus</i> (II) <i>Phalanger mimicus</i> (II)		<b>Cuscus</b> Eastern common cuscus Southern common cuscus

	Annex A	Annex B	Annex C	Common name
		<i>Phalanger orientalis</i> (II) <i>Spilocuscus kraemeri</i> (II) <i>Spilocuscus maculatus</i> (II) <i>Spilocuscus papuensis</i> (II)		Northern common cuscus Admiralty Island cuscus Common spotted cuscus Waigeou cuscus
<b>Potoroidae</b>	<i>Bettongia</i> spp. (I)			<b>Rat-kangaroos</b> Bettongs
<b>Vombatidae</b>	<i>Lasiorhinus krefftii</i> (I)			<b>Wombats</b> Northern hairy-nosed wombat
LAGOMORPHA				
<b>Leporidae</b>	<i>Caprolagus hispidus</i> (I) <i>Romerolagus diazi</i> (I)			<b>Hares, rabbits</b> Hispid hare Volcano rabbit
MONOTREMATA				
<b>Tachyglossidae</b>		<i>Zaglossus</i> spp. (II)		<b>Echidnas, spiny anteaters</b> Long-beaked echidnas
PERAMELEMORPHIA				
<b>Peramelidae</b>	<i>Perameles bougainville</i> (I)			Western barred bandicoot
<b>Thylacomyidae</b>	<i>Macrotis lagotis</i> (I)			Greater bilby
PERISSODACTYLA				
<b>Equidae</b>	<i>Equus africanus</i> (I) (Excludes the domesticated form referenced as <i>Equus asinus</i> , which is not subject to this Regulation)			<b>Horses, wild asses, zebras</b> African ass

	Annex A	Annex B	Annex C	Common name
	<p><i>Equus grevyi</i> (I)</p> <p><i>Equus hemionus</i> (I/II) (The species is listed in Appendix II but subspecies <i>Equus hemionus hemionus</i> and <i>Equus hemionus khur</i> are listed in Appendix I)</p> <p><i>Equus kiang</i> (II)</p> <p><i>Equus przewalskii</i> (I)</p>	<p><i>Equus zebra hartmannae</i> (II)</p> <p><i>Equus zebra zebra</i> (II)</p>		<p>Grévy's zebra</p> <p>Asiatic wild ass</p> <p>Kiang</p> <p>Przewalski's horse</p> <p>Hartmann's mountain zebra</p> <p>Cape mountain zebra</p>
<b>Rhinocerotidae</b>	Rhinocerotidae spp. (I) (Except for the subspecies included in Annex B)	<p><i>Ceratotherium simum simum</i> (II) (Only the populations of South Africa and Swaziland; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)</p>		<p><b>Rhinoceroses</b></p> <p>Rhinoceroses</p> <p>Southern white rhinoceros</p>
<b>Tapiridae</b>	Tapiridae spp. (I) (Except for the species included in Annex B)	<p><i>Tapirus terrestris</i> (II)</p>		<p><b>Tapirs</b></p> <p>Tapirs</p> <p>South American tapir</p>
PHOLIDOTA <b>Manidae</b>		<p><i>Manis</i> spp. (II) (Except for the species included in Annex A)</p>		<p><b>Pangolins</b></p> <p>Pangolins</p>



	Annex A	Annex B	Annex C	Common name
	<i>Manis crassicaudata</i> (I) <i>Manis culionensis</i> (I) <i>Manis gigantea</i> (I) <i>Manis javanica</i> (I) <i>Manis pentadactyla</i> (I) <i>Manis temminckii</i> (I) <i>Manis tetradactyla</i> (I) <i>Manis tricuspis</i> (I)			Indian pangolin Philippine pangolin Giant pangolin Sunda pangolin Chinese pangolin Ground pangolin Long-tailed pangolin Tree pangolin
PILOSA <b>Bradypodidae</b>		<i>Bradypus pygmaeus</i> (II) <i>Bradypus variegatus</i> (II)		<b>Three-toed sloths</b> Pygmy tree-toed sloth Brown-throated sloth
<b>Megalonychidae</b>			<i>Choloepus hoffmanni</i> (III Costa Rica)	<b>Two-toed sloth</b> Hoffmann's two-toed sloth
<b>Myrmecophagidae</b>		<i>Myrmecophaga tridactyla</i> (II)	<i>Tamandua mexicana</i> (III Guatemala)	<b>American anteaters</b> Giant anteater Northern tamandua
PRIMATES		PRIMATES spp. (II) (Except for the species included in Annex A)		<b>Primates (apes and monkeys)</b> Primates
<b>Atelidae</b>	<i>Alouatta coibensis</i> (I) <i>Alouatta palliata</i> (I) <i>Alouatta pigra</i> (I) <i>Ateles geoffroyi frontatus</i> (I)			<b>Howlers, spider monkeys</b> Coiba Island howler Mantled howler Guatemalan black howler Black-browed spider monkey

	Annex A	Annex B	Annex C	Common name
	<i>Ateles geoffroyi ornatus</i> (I) <i>Brachyteles arachnoides</i> (I) <i>Brachyteles hypoxanthus</i> (I) <i>Oreonax flavicauda</i> (I)			Red spider monkey Southern muriqui Northern muriqui Yellow-tailed woolly monkey
<b>Cebidae</b>	<i>Callimico goeldii</i> (I) <i>Callithrix aurita</i> (I) <i>Callithrix flaviceps</i> (I) <i>Leontopithecus</i> spp. (I) <i>Saguinus bicolor</i> (I) <i>Saguinus geoffroyi</i> (I) <i>Saguinus leucopus</i> (I) <i>Saguinus martinsi</i> (I) <i>Saguinus oedipus</i> (I) <i>Saimiri oerstedii</i> (I)			<b>Marmosets, tamarins, New-world monkeys</b> Goeldi's marmoset Buffy-tufted marmoset Buffy-headed marmoset Lion tamarins Pied tamarin Geoffroy's tamarin White-footed tamarin Martins' bare-face tamarin Cottontop tamarin Central American squirrel monkey
<b>Cercopithecidae</b>	<i>Cercocebus galeritus</i> (I) <i>Cercopithecus diana</i> (I) <i>Cercopithecus roloway</i> (I) <i>Cercopithecus solatus</i> (II) <i>Colobus satanas</i> (II) <i>Macaca silenus</i> (I) <i>Macaca sylvanus</i> (I) <i>Mandrillus leucophaeus</i> (I)			<b>Old-world monkeys</b> Tana River mangabey Diana monkey Rolloway monkey Sun-tailed monkey Black colobus Lion-tailed macaque Barbary macaque Drill

	Annex A	Annex B	Annex C	Common name
	<i>Mandrillus sphinx</i> (I)			Mandrill
	<i>Nasalis larvatus</i> (I)			Proboscis monkey
	<i>Ptilocolobus foai</i> (II)			Central African red colobus
	<i>Ptilocolobus gordonorum</i> (II)			Uzungwa red colobus
	<i>Ptilocolobus kirkii</i> (I)			Zanzibar red colobus
	<i>Ptilocolobus pennantii</i> (II)			Pennant's red colobus
	<i>Ptilocolobus preussi</i> (II)			Preuss's red colobus
	<i>Ptilocolobus rufomitratu</i> s (I)			Tana River red colobus
	<i>Ptilocolobus tephrosceles</i> (II)			Ugandan red colobus
	<i>Ptilocolobus tholloni</i> (II)			Thollon's red colobus
	<i>Presbytis potenziani</i> (I)			Mentawai langur
	<i>Pygathrix</i> spp. (I)			Douc langurs
	<i>Rhinopithecus</i> spp. (I)			Snub-nosed monkeys
	<i>Semnopithecus ajax</i> (I)			Kashmir grey langur
	<i>Semnopithecus dussumieri</i> (I)			Southern Plains grey langur
	<i>Semnopithecus entellus</i> (I)			Northern Plains grey langur
	<i>Semnopithecus hector</i> (I)			Tarai grey langur
	<i>Semnopithecus hypoleucos</i> (I)			Black-footed grey langur
	<i>Semnopithecus priam</i> (I)			Tufted grey langur
	<i>Semnopithecus schistaceus</i> (I)			Nepal grey langur
	<i>Simias concolor</i> (I)			Simakobou
	<i>Trachypithecus delacouri</i> (II)			Delacour's langur
	<i>Trachypithecus francoisi</i> (II)			François's langur
	<i>Trachypithecus geei</i> (I)			Gee's golden langur

	Annex A	Annex B	Annex C	Common name
	<i>Trachypithecus hatinhensis</i> (II) <i>Trachypithecus johnii</i> (II) <i>Trachypithecus laotum</i> (II) <i>Trachypithecus pileatus</i> (I) <i>Trachypithecus poliocephalus</i> (II) <i>Trachypithecus shortridgei</i> (I)			Hatinh langur Nilgiri langur Laotian langur Capped langur White-headed langur Shortridge's langur
<b>Cheirogaleidae</b>	Cheirogaleidae spp. (I)			<b>Dwarf lemurs and mouse-lemurs</b> Dwarf lemurs and mouse lemurs
<b>Daubentoniidae</b>	<i>Daubentonia madagascariensis</i> (I)			<b>Aye-aye</b> Aye-aye
<b>Hominidae</b>	<i>Gorilla beringei</i> (I) <i>Gorilla gorilla</i> (I) <i>Pan</i> spp. (I) <i>Pongo abelii</i> (I) <i>Pongo pygmaeus</i> (I)			<b>Chimpanzees, gorillas, orang-utan</b> Eastern gorilla Western gorilla Chimpanzee and bonobo Sumatran orangutan Bornean orangutan
<b>Hylobatidae</b>	Hylobatidae spp. (I)			<b>Gibbons</b> Gibbons
<b>Indriidae</b>	Indriidae spp. (I)			<b>Indri, sifakas and woolly lemurs</b> Indri, sifakas and woolly lemurs
<b>Lemuridae</b>	Lemuridae spp. (I)			<b>Large lemurs</b> Large lemurs
<b>Lepilemuridae</b>	Lepilemuridae spp. (I)			<b>Sportive lemurs</b> Sportive lemurs

	Annex A	Annex B	Annex C	Common name
<b>Lorisiidae</b>	<i>Nycticebus</i> spp. (I)			<b>Lorises</b> Slow lorises
<b>Pitheciidae</b>	<i>Cacajao</i> spp. (I) <i>Callicebus barbarabrownae</i> (II) <i>Callicebus melanochir</i> (II) <i>Callicebus nigrifrons</i> (II) <i>Callicebus personatus</i> (II) <i>Chiropotes albinasus</i> (I)			<b>Uacaris, titis, sakis</b> Uacaris Barbara Brown's Titi Coastal Black-handed Titi Black-fronted Titi Atlantic titi White-nosed saki
<b>Tarsiidae</b>	<i>Tarsius</i> spp. (II)			<b>Tarsiers</b> Tarsiers
PROBOSCIDEA <b>Elephantidae</b>	<i>Elephas maximus</i> (I) <i>Loxodonta africana</i> (I) (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B)	<i>Loxodonta africana</i> (II) (Only the populations of Botswana, Namibia, South Africa and Zimbabwe (?); all other populations are included in Annex A)		<b>Elephants</b> Asian elephant African elephant
RODENTIA <b>Chinchillidae</b>	<i>Chinchilla</i> spp. (I) (Specimens of the domesticated form are not subject to this Regulation)			<b>Chinchillas</b> Chinchillas
<b>Cuniculidae</b>			<i>Cuniculus paca</i> (III Honduras)	<b>Pacas</b> Lowland paca

	Annex A	Annex B	Annex C	Common name
<b>Dasyproctidae</b>			<i>Dasyprocta punctata</i> (III Honduras)	<b>Agoutis</b> Central American agouti
<b>Erethizontidae</b>			<i>Sphiggurus mexicanus</i> (III Honduras) <i>Sphiggurus spinosus</i> (III Uruguay)	<b>New-world porcupines</b> Mexican hairy dwarf porcupine Paraguayan hairy dwarf porcupine
<b>Hystricidae</b>	<i>Hystrix cristata</i>			<b>Old-world porcupines</b> Crested porcupine
<b>Muridae</b>	<i>Leporillus conditor</i> (I) <i>Pseudomys fieldi praeconis</i> (I) <i>Xeromys myoides</i> (I) <i>Zyzomys pedunculatus</i> (I)			<b>Mice, rats</b> Greater stick-nest rat Shark Bay mouse False water rat Central Australian rock rat
<b>Sciuridae</b>	<i>Cynomys mexicanus</i> (I)	<i>Ratufa</i> spp. (II)	<i>Marmota caudata</i> (III India) <i>Marmota himalayana</i> (III India) <i>Sciurus deppei</i> (III Costa Rica)	<b>Ground squirrels, tree squirrels</b> Mexican prairie dog Long-tailed marmot Himalayan marmot Giant squirrels Deppe's squirrel
SCANDENTIA		SCANDENTIA spp. (II)		<b>Treeshrews</b>
SIRENIA				
<b>Dugongidae</b>	<i>Dugong dugon</i> (I)			<b>Dugong</b> Dugong
<b>Trichechidae</b>	<i>Trichechus inunguis</i> (I)			<b>Manatees</b>

	Annex A	Annex B	Annex C	Common name
	<i>Trichechus manatus</i> (I) <i>Trichechus senegalensis</i> (I)			
<b>AVES</b>				<b>Birds</b>
ANSERIFORMES				
<b>Anatidae</b>				<b>Ducks, geese, swans etc.</b>
	<i>Anas aucklandica</i> (I)			Auckland Islands teal
	<i>Anas chlorotis</i> (I)	<i>Anas bernieri</i> (II)		Madagascar teal
	<i>Anas laysanensis</i> (I)	<i>Anas formosa</i> (II)		Brown teal
	<i>Anas nesiotis</i> (I)			Baikal teal
	<b><i>Anas querquedula</i></b>			Laysan duck
	<i>Asarcornis scutulata</i> (I)			Campbell Island teal
	<i>Aythya innotata</i>			Garganey
	<b><i>Aythya nyroca</i></b>			White-winged duck
	<i>Branta canadensis leucopareia</i> (I)			Madagascar pochard
	<b><i>Branta ruficollis</i> (II)</b>			Ferruginous duck
	<i>Branta sandvicensis</i> (I)			Aleutian goose
		<i>Coscoroba coscoroba</i> (II)		Red-breasted goose
		<i>Cygnus melancoryphus</i> (II)		Nene
		<i>Dendrocygna arborea</i> (II)		Coscoroba swan
			<i>Dendrocygna autumnalis</i> (III Honduras)	Black-necked swan
			<i>Dendrocygna bicolor</i> (III Honduras)	West Indian whistling-duck
	<i>Mergus octosetaceus</i>			Black-bellied whistling-duck
				Fulvous whistling-duck
				Brazilian merganser

	Annex A	Annex B	Annex C	Common name
	<p><b><i>Oxyura leucocephala</i> (II)</b></p> <p><i>Rhodonessa caryophyllacea</i> (possibly extinct) (I)</p> <p><i>Tadorna cristata</i></p>	<p><i>Sarkidiornis melanotos</i> (II)</p>		<p>White-headed duck</p> <p>Pink-headed duck</p> <p>Comb duck</p> <p>Crested shelduck</p>
<p>APODIFORMES</p> <p><b>Trochilidae</b></p>	<p><i>Glaucis dohrnii</i> (I)</p>	<p>Trochilidae spp. (II) (Except for the species included in Annex A)</p>		<p><b>Hummingbirds</b></p> <p>Hummingbirds</p> <p>Hook-billed hermit</p>
<p>CHARADRIIFORMES</p> <p><b>Burhinidae</b></p>			<p><i>Burhinus bistriatus</i> (III Guatemala)</p>	<p><b>Thick-knees</b></p> <p>Double-striped thick-knee</p>
<p><b>Laridae</b></p>	<p><i>Larus relictus</i> (I)</p>			<p><b>Gulls, terns</b></p> <p>Relict gull</p>
<p><b>Scolopacidae</b></p>	<p><i>Numenius borealis</i> (I)</p> <p><i>Numenius tenuirostris</i> (I)</p> <p><i>Tringa guttifer</i> (I)</p>			<p><b>Curlews, greenshanks</b></p> <p>Eskimo curlew</p> <p>Slender-billed curlew</p> <p>Nordmann's greenshank</p>
<p>CICONIIFORMES</p> <p><b>Ardeidae</b></p>	<p><i>Ardea alba</i></p> <p><i>Bubulcus ibis</i></p> <p><i>Egretta garzetta</i></p>			<p><b>Egrets, herons</b></p> <p>Great egret</p> <p>Cattle egret</p> <p>Little egret</p>
<p><b>Balaenicipitidae</b></p>		<p><i>Balaeniceps rex</i> (II)</p>		<p><b>Shoebill, whale-headed stork</b></p> <p>Shoebill</p>



	Annex A	Annex B	Annex C	Common name
<b>Ciconiidae</b>	<i>Ciconia boyciana</i> (I) <b><i>Ciconia nigra</i> (II)</b> <i>Ciconia stormi</i> <i>Jabiru mycteria</i> (I) <i>Leptoptilos dubius</i> <i>Mycteria cinerea</i> (I)			<b>Storks</b> Oriental stork Black stork Storm's stork Jabiru Greater adjutant stork Milky stork
<b>Phoenicopteridae</b>	<b><i>Phoenicopus ruber</i> (II)</b>	Phoenicopteridae spp. (II) (Except for the species included in Annex A)		<b>Flamingos</b> Flamingos Greater flamingo
<b>Threskiornithidae</b>	<i>Geronticus calvus</i> (II) <i>Geronticus eremita</i> (I) <i>Nipponia nippon</i> (I) <b><i>Platalea leucorodia</i> (II)</b> <i>Pseudibis gigantea</i>	<i>Eudocimus ruber</i> (II)		<b>Ibises, spoonbills</b> Scarlet ibis Bald ibis Waldrapp Crested ibis Eurasian spoonbill Giant ibis
COLUMBIFORMES				
<b>Columbidae</b>	<i>Caloenas nicobarica</i> (I) <i>Claravis godefrida</i> <b><i>Columba livia</i></b> <i>Ducula mindorensis</i> (I)	<i>Gallicolumba luzonica</i> (II)		<b>Doves, pigeons</b> Nicobar pigeon Purple-winged ground-dove Rock pigeon Mindoro zone-tailed pigeon Luzon bleeding-heart

	Annex A	Annex B	Annex C	Common name
	<i>Leptotila wellsi</i>  <b><i>Streptopelia turtur</i></b>	<i>Goura</i> spp. (II)	<i>Nesoenas mayeri</i> (III Mauritius)	Crowned-pigeons Grenada dove Pink pigeon European turtle-dove
CORACIIFORMES <b>Bucerotidae</b>	<i>Aceros nipalensis</i> (I)  <i>Buceros bicornis</i> (I)  <i>Rhinoplax vigil</i> (I)  <i>Rhyticeros subruficollis</i> (I)	<i>Aceros</i> spp. (II) (Except for the species included in Annex A)  <i>Anorrhinus</i> spp. (II) <i>Anthracoceros</i> spp. (II) <i>Berenicornis</i> spp. (II) <i>Buceros</i> spp. (II) (Except for the species included in Annex A)  <i>Penelopides</i> spp. (II)  <i>Rhyticeros</i> spp. (II) (Except for the species included in Annex A)		<b>Hornbills</b> Hornbills Rufous-necked hornbill Hornbills Hornbills Hornbills Hornbills Great hornbill Hornbills Helmeted hornbill Hornbills Plain-pouched hornbill
CUCULIFORMES <b>Musophagidae</b>	<i>Tauraco bannermani</i> (II)	<i>Tauraco</i> spp. (II) (Except for the species included in Annex A)		<b>Turacos</b> Turacos Bannerman's turaco

	Annex A	Annex B	Annex C	Common name
FALCONIFORMES		FALCONIFORMES spp. (II) (Except for the species included in Annex A; except for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation; and except for <i>Caracara lutosa</i> )		<b>Diurnal birds of prey (eagles, falcons, hawks, vultures)</b>  Diurnal birds of prey
<b>Accipitridae</b>				<b>Hawks, eagles</b>  Levant sparrowhawk Northern goshawk Eurasian sparrowhawk Cinereous vulture Adalbert's eagle Golden eagle Greater spotted eagle Imperial eagle Lesser spotted eagle Common buzzard Rough-legged buzzard Long-legged buzzard Cuban hook-billed kite Short-toed snake-eagle Western marsh-harrier <b>Northern harrier</b> Pallid harrier
	<i>Accipiter brevipes</i> (II) <i>Accipiter gentilis</i> (II) <i>Accipiter nisus</i> (II) <i>Aegyptius monachus</i> (II) <i>Aquila adalberti</i> (I) <i>Aquila chrysaetos</i> (II) <i>Aquila clanga</i> (II) <i>Aquila heliaca</i> (I) <i>Aquila pomarina</i> (II) <i>Buteo buteo</i> (II) <i>Buteo lagopus</i> (II) <i>Buteo rufinus</i> (II) <i>Chondrohierax uncinatus wilsonii</i> (I) <i>Circaetus gallicus</i> (II) <i>Circus aeruginosus</i> (II) <i>Circus cyaneus</i> (II) <i>Circus macrourus</i> (II)			

	Annex A	Annex B	Annex C	Common name
	<p><b>Circus pygargus (II)</b></p> <p><b>Elanus caeruleus (II)</b></p> <p><i>Eutriorchis astur</i> (II)</p> <p><b>Gypaetus barbatus (II)</b></p> <p><b>Gyps fulvus (II)</b></p> <p><i>Haliaeetus</i> spp. (I/II) (<i>Haliaeetus albicilla</i> is listed in Appendix I; the other species are listed in Appendix II)</p> <p><i>Harpia harpyja</i> (I)</p> <p><b>Hieraetus fasciatus (II)</b></p> <p><b>Hieraetus pennatus (II)</b></p> <p><i>Leucopternis occidentalis</i> (II)</p> <p><b>Milvus migrans (II)</b> (Except for <i>Milvus migrans lineatus</i> which is included in Annex B)</p> <p><b>Milvus milvus (II)</b></p> <p><b>Neophron percnopterus (II)</b></p> <p><b>Pernis apivorus (II)</b></p> <p><i>Pithecophaga jefferyi</i> (I)</p>			<p>Montagu's harrier</p> <p>Black-winged kite</p> <p>Madagascar serpent-eagle</p> <p>Lammergeier</p> <p>Eurasian griffon</p> <p>Sea-eagles</p> <p>Harpy eagle</p> <p>Bonelli's eagle</p> <p>Booted eagle</p> <p>Grey-backed hawk</p> <p>Black kite</p> <p>Red kite</p> <p>Egyptian vulture</p> <p>European honey-buzzard</p> <p>Great Philippine eagle</p>
<b>Cathartidae</b>	<p><i>Gymnogyps californianus</i> (I)</p> <p><i>Vultur gryphus</i> (I)</p>		<p><i>Sarcoramphus papa</i> (III Honduras)</p>	<p><b>New world vultures</b></p> <p>California condor</p> <p>King vulture</p> <p>Andean condor</p>
<b>Falconidae</b>	<p><i>Falco araeus</i> (I)</p> <p><b>Falco biarmicus (II)</b></p> <p><b>Falco cherrug (II)</b></p>			<p><b>Falcons</b></p> <p>Seychelles kestrel</p> <p>Lanner falcon</p> <p>Saker falcon</p>

	Annex A	Annex B	Annex C	Common name
	<p><b><i>Falco columbarius</i> (II)</b></p> <p><b><i>Falco eleonora</i> (II)</b></p> <p><i>Falco jugger</i> (I)</p> <p><b><i>Falco naumanni</i> (II)</b></p> <p><i>Falco newtoni</i> (I) (Only the population of the Seychelles)</p> <p><i>Falco pelegrinoides</i> (I)</p> <p><i>Falco peregrinus</i> (I)</p> <p><i>Falco punctatus</i> (I)</p> <p><i>Falco rusticolus</i> (I)</p> <p><b><i>Falco subbuteo</i> (II)</b></p> <p><b><i>Falco tinnunculus</i> (II)</b></p> <p><b><i>Falco vespertinus</i> (II)</b></p>			<p>Merlin</p> <p>Eleonora's falcon</p> <p>Laggar falcon</p> <p>Lesser kestrel</p> <p>Newton's kestrel</p> <p>Barbary falcon</p> <p>Peregrine falcon</p> <p>Mauritius kestrel</p> <p>Gyrfalcon</p> <p>Eurasian hobby</p> <p>Common kestrel</p> <p>Red-footed falcon</p>
<b>Pandionidae</b>	<p><b><i>Pandion haliaetus</i> (II)</b></p>			<p><b>Ospreys</b></p> <p>Osprey</p>
GALLIFORMES				
<b>Cracidae</b>	<p><i>Crax alberti</i> (III Colombia)</p> <p><i>Crax blumenbachii</i> (I)</p> <p>Mitu mitu (I)</p> <p><i>Oreophasis derbianus</i> (I)</p>	<p><i>Crax fasciolata</i></p>	<p><i>Crax daubentoni</i> (III Colombia)</p> <p><i>Crax globulosa</i> (III Colombia)</p> <p><i>Crax rubra</i> (III Colombia/Costa Rica/Guatemala/Honduras)</p> <p><i>Ortalis vetula</i> (III Guatemala/Honduras)</p>	<p>Blue-knobbed curassow</p> <p>Red-billed curassow</p> <p>Yellow-knobbed curassow</p> <p>Bare-faced Curassow</p> <p>Wattled curassow</p> <p>Great currasow</p> <p>Alagoas curassow</p> <p>Horned guan</p> <p>Plain chachalaca</p>

	Annex A	Annex B	Annex C	Common name
	<i>Penelope albipennis</i> (I)  <i>Pipile jacutinga</i> (I) <i>Pipile pipile</i> (I)		<i>Pauxi pauxi</i> (III Colombia)  <i>Penelope purpurascens</i> (III Honduras) <i>Penelopina nigra</i> (III Guatemala)	Helmeted curassow White-winged guan Crested guan Highland guan Black-fronted piping guan Trinidad piping guan
<b>Megapodiidae</b>	<i>Macrocephalon maleo</i> (I)			<b>Megapodes, scrubfowl</b> Maleo
<b>Phasianidae</b>	<i>Catreus wallichii</i> (I) <i>Colinus virginianus ridgwayi</i> (I) <i>Crossoptilon crossoptilon</i> (I) <i>Crossoptilon mantchuricum</i> (I)  <i>Lophophorus impejanus</i> (I) <i>Lophophorus lhuysii</i> (I) <i>Lophophorus sclateri</i> (I) <i>Lophura edwardsi</i> (I)  <i>Lophura swinhoii</i> (I)  <i>Odontophorus strophium</i>	<i>Argusianus argus</i> (II)    <i>Gallus sonneratii</i> (II) <i>Ithaginis cruentus</i> (II)    <i>Lophura hatinhensis</i>	<i>Lophura leucomelanos</i> (III Pakistan)  <i>Meleagris ocellata</i> (III Guatemala)	<b>Grouse, guineafowl, partridges, pheasants, tragopans</b> Great argus Cheer pheasant Masked bobwhite White eared-pheasant Brown eared-pheasant Grey junglefowl Blood pheasant Himalayan monal Chinese monal Sclater's monal Edwards' pheasant Vietnamese fireback Kalij pheasant Swinhoe's pheasant Ocellated turkey Gorgeted wood-quail

	Annex A	Annex B	Annex C	Common name
	<p><i>Ophrysia superciliosa</i></p> <p><i>Polyplectron napoleonis</i> (I)</p> <p><i>Rheinardia ocellata</i> (I)</p> <p><i>Syrmaticus ellioti</i> (I)</p> <p><i>Syrmaticus humiae</i> (I)</p> <p><i>Syrmaticus mikado</i> (I)</p> <p><i>Tetraogallus caspius</i> (I)</p> <p><i>Tetraogallus tibetanus</i> (I)</p> <p><i>Tragopan blythii</i> (I)</p> <p><i>Tragopan caboti</i> (I)</p> <p><i>Tragopan melanocephalus</i> (I)</p>	<p><i>Pavo muticus</i> (II)</p> <p><i>Polyplectron bicalcaratum</i> (II)</p> <p><i>Polyplectron germaini</i> (II)</p> <p><i>Polyplectron malacense</i> (II)</p> <p><i>Polyplectron schleiermacheri</i> (II)</p> <p><i>Tympanuchus cupido attwateri</i> (II)</p>	<p><i>Pavo cristatus</i> (III Pakistan)</p> <p><i>Pucrasia macrolopha</i> (III Pakistan)</p> <p><i>Tragopan satyra</i> (III Nepal)</p>	<p>Himalayan quail</p> <p>Indian peafowl</p> <p>Green peafowl</p> <p>Grey peacock-pheasant</p> <p>Germain's peacock-pheasant</p> <p>Malayan peacock-pheasant</p> <p>Palawan peacock-pheasant</p> <p>Bornean peacock-pheasant</p> <p>Koklass pheasant</p> <p>Crested argus</p> <p>Elliot's pheasant</p> <p>Hume's pheasant</p> <p>Mikado pheasant</p> <p>Caspian snowcock</p> <p>Tibetan snowcock</p> <p>Blyth's tragopan</p> <p>Cabot's tragopan</p> <p>Western tragopan</p> <p>Satyr tragopan</p> <p>Attwater's prairie-chicken</p>
GRUIFORMES				
<b>Gruidae</b>				<b>Cranes</b>
	<i>Grus americana</i> (I)	Gruidae spp. (II) (Except for the species included in Annex A)		Cranes
				Whooping crane

	Annex A	Annex B	Annex C	Common name
	<p><i>Grus canadensis</i> (I/II) (The species is listed in Appendix II but subspecies <i>Grus canadensis nesiotis</i> and <i>Grus canadensis pulla</i> are listed in Appendix I)</p> <p><b>Grus grus (II)</b></p> <p><i>Grus japonensis</i> (I)</p> <p><i>Grus leucogeranus</i> (I)</p> <p><i>Grus monacha</i> (I)</p> <p><i>Grus nigricollis</i> (I)</p> <p><i>Grus vipio</i> (I)</p>			<p>Sandhill crane</p> <p>Common crane</p> <p>Red-crowned crane</p> <p>Siberian crane</p> <p>Hooded crane</p> <p>Black-necked crane</p> <p>White-necked crane</p>
<b>Otididae</b>	<p><i>Ardeotis nigriceps</i> (I)</p> <p><i>Chlamydotis macqueenii</i> (I)</p> <p><i>Chlamydotis undulata</i> (I)</p> <p><i>Houbaropsis bengalensis</i> (I)</p> <p><b>Otis tarda (II)</b></p> <p><i>Sypheotides indicus</i> (II)</p> <p><b>Tetrax tetrax (II)</b></p>	Otididae spp. (II) (Except for the species included in Annex A)		<p><b>Bustards</b></p> <p>Bustards</p> <p>Indian bustard</p> <p>Macqueen's bustard</p> <p>Houbara bustard</p> <p>Bengal florican</p> <p>Great bustard</p> <p>Lesser florican</p> <p>Little bustard</p>
<b>Rallidae</b>	<p><i>Gallirallus sylvestris</i> (I)</p>			<p><b>Coots, rails</b></p> <p>Lord Howe rail</p>
<b>Rhynochetidae</b>	<p><i>Rhynochetos jubatus</i> (I)</p>			<p><b>Kagu</b></p> <p>Kagu</p>
PASSERIFORMES				
<b>Atrichornithidae</b>	<p><i>Atrichornis clamosus</i> (I)</p>			<p><b>Scrub-birds</b></p> <p>Noisy scrub-bird</p>



	Annex A	Annex B	Annex C	Common name
<b>Cotingidae</b>	<i>Cotinga maculata</i> (I) <i>Xipholena atropurpurea</i> (I)	<i>Rupicola</i> spp. (II)	<i>Cephalopterus ornatus</i> (III Colombia) <i>Cephalopterus penduliger</i> (III Colombia)	<b>Cotingas</b> Amazonian umbrella bird Long-wattled umbrella bird Banded cotinga Cocks-of-the-rock White-winged cotinga
<b>Emberizidae</b>		<i>Gubernatrix cristata</i> (II) <i>Paroaria capitata</i> (II) <i>Paroaria coronata</i> (II) <i>Tangara fastuosa</i> (II)		<b>Cardinals, tanagers</b> Yellow cardinal Yellow-billed cardinal Red-crested cardinal Seven-coloured tanager
<b>Estrildidae</b>		<i>Amandava formosa</i> (II) <i>Lonchura fuscata</i> <i>Lonchura oryzivora</i> (II) <i>Poephila cincta cincta</i> (II)		<b>Mannikins, waxbills</b> Green avadavat Timor sparrow Java sparrow Southern black-throated finch
<b>Fringillidae</b>	<i>Carduelis cucullata</i> (I)	<i>Carduelis yarrellii</i> (II)		<b>Finches</b> Red siskin Yellow-faced siskin
<b>Hirundinidae</b>	<i>Pseudochelidon sirintarae</i> (I)			<b>Martins</b> White-eyed river-martin
<b>Icteridae</b>	<i>Xanthopsar flavus</i> (I)			<b>New-world blackbirds</b> Saffron-cowled blackbird
<b>Meliphagidae</b>		<i>Lichenostomus melanops cassidix</i> (II)		<b>Honey-eaters</b> Helmeted honeyeater

	Annex A	Annex B	Annex C	Common name
<b>Muscicapidae</b>	<i>Acrocephalus rodericanus</i> (III Mauritius)  <i>Dasyornis broadbenti litoralis</i> (possibly extinct) (I)  <i>Dasyornis longirostris</i> (I)  <i>Picathartes gymnocephalus</i> (I)  <i>Picathartes oreas</i> (I)	<i>Cyornis ruckii</i> (II)  <i>Garrulax canorus</i> (II) <i>Garrulax taewanus</i> (II) <i>Leiothrix argenteauris</i> (II) <i>Leiothrix lutea</i> (II) <i>Liocichla omeiensis</i> (II)	<i>Terpsiphone bourbonnensis</i> (III Mauritius)	<b>Old-world flycatchers, babblers, etc.</b> Rodrigues brush-warbler Rueck's blue-flycatcher Western rufous bristlebird  Western bristlebird Chinese Hwamei Taiwan Hwamei Silver-eared mesia Red-billed leiothrix Omei Shan liocichla White-necked rockfowl Grey-necked rockfowl Mascarene paradise-flycatcher
<b>Paradisaeidae</b>		Paradisaeidae spp. (II)		<b>Birds of paradise</b> Birds of paradise
<b>Pittidae</b>	<i>Pitta gurneyi</i> (I) <i>Pitta kochi</i> (I)	<i>Pitta guajana</i> (II)  <i>Pitta nympha</i> (II)		<b>Pittas</b> Banded pitta Gurney's pitta Whiskered pitta Fairy pitta
<b>Pycnonotidae</b>		<i>Pycnonotus zeylanicus</i> (II)		<b>Bulbuls</b> Straw-headed bulbul
<b>Sturnidae</b>		<i>Gracula religiosa</i> (II)		<b>Mynas</b> Hill myna

	Annex A	Annex B	Annex C	Common name
	<i>Leucopsar rothschildi</i> (I)			Bali myna
<b>Zosteropidae</b>	<i>Zosterops albogularis</i> (I)			<b>White-eyes</b> White-chested white-eye
PELECANIFORMES				
<b>Fregatidae</b>	<i>Fregata andrewsi</i> (I)			<b>Frigatebirds</b> Christmas frigatebird
<b>Pelecanidae</b>	<i>Pelecanus crispus</i> (I)			<b>Pelicans</b> Dalmatian pelican
<b>Sulidae</b>	<i>Papasula abbotti</i> (I)			<b>Boobies</b> Abbott's booby
PICIFORMES				
<b>Capitonidae</b>			<i>Semnormis ramphastinus</i> (III Colombia)	<b>Barbets</b> Toucan barbet
<b>Picidae</b>	<i>Dryocopus javensis richardsi</i> (I)			<b>Woodpeckers</b> Tristram's woodpecker
<b>Ramphastidae</b>		<i>Pteroglossus aracari</i> (II)  <i>Pteroglossus viridis</i> (II)  <i>Ramphastos sulfuratus</i> (II) <i>Ramphastos toco</i> (II) <i>Ramphastos tucanus</i> (II) <i>Ramphastos vitellinus</i> (II)	<i>Bailloniuss bailloni</i> (III Argentina)  <i>Pteroglossus castanotis</i> (III Argentina)  <i>Ramphastos dicolorus</i> (III Argentina)    <i>Selenidera maculirostris</i> (III Argentina)	<b>Toucans</b> Saffron toucanet Black-necked aracari Chestnut-eared aracari Green aracari Red-breasted toucan Keel-billed toucan Toco toucan Red-billed toucan Channel-billed toucan Spot-billed toucanet

	Annex A	Annex B	Annex C	Common name
PODICIPEDIFORMES <b>Podicipedidae</b>	<i>Podilymbus gigas</i> (I)			<b>Grebes</b> Atitlan Grebe
PROCELLARIIFORMES <b>Diomedeidae</b>	<i>Phoebastria albatrus</i> (I)			<b>Albatrosses</b> Short-tailed albatross
PSITTACIFORMES		PSITTACIFORMES spp. (II) (Except for the species included in Annex A and excluding <i>Agapornis roseicollis</i> , <i>Melopsittacus undulatus</i> , <i>Nymphicus hollandicus</i> and <i>Psittacula krameri</i> , which are not included in the Annexes to this Regulation)		<b>Cockatoos, lories, macaws, parakeets, parrots etc.</b> <b>Parrots, etc.</b>
<b>Cacatuidae</b>	<i>Cacatua goffiniana</i> (I) <i>Cacatua haematuropygia</i> (I) <i>Cacatua moluccensis</i> (I) <i>Cacatua sulphurea</i> (I) <i>Probosciger aterrimus</i> (I)			<b>Cockatoos</b> Tanimbar cockatoo Philippine cockatoo Salmon-crested cockatoo Yellow-crested cockatoo Palm cockatoo
<b>Loriidae</b>	<i>Eos histrio</i> (I) <i>Vini</i> spp. (I/II) ( <i>Vini ultramarina</i> is listed in Appendix I, the other species are listed in Appendix II)			<b>Lories, lorikeets</b> Red and blue lory Blue lorikeets
<b>Psittacidae</b>	<i>Amazona arausiaca</i> (I)			<b>Amazons, macaws, parakeets, parrots</b> Red-necked parrot

	Annex A	Annex B	Annex C	Common name
	<i>Amazona auropalliata</i> (I)			Yellow-naped parrot
	<i>Amazona barbadensis</i> (I)			Yellow-shouldered parrot
	<i>Amazona brasiliensis</i> (I)			Red-tailed parrot
	<i>Amazona finschi</i> (I)			Lilac-crowned parrot
	<i>Amazona guildingii</i> (I)			St Vincent parrot
	<i>Amazona imperialis</i> (I)			Imperial parrot
	<i>Amazona leucocephala</i> (I)			Cuban parrot
	<i>Amazona oratrix</i> (I)			Yellow-headed parrot
	<i>Amazona pretrei</i> (I)			Red-spectacled parrot
	<i>Amazona rhodocorytha</i> (I)			Red-browed parrot
	<i>Amazona tucumana</i> (I)			Tucuman parrot
	<i>Amazona versicolor</i> (I)			Saint Lucia parrot
	<i>Amazona vinacea</i> (I)			Vinaceous parrot
	<i>Amazona viridigenalis</i> (I)			Green-cheeked parrot
	<i>Amazona vittata</i> (I)			Puerto Rican parrot
	<i>Anodorhynchus</i> spp. (I)			Blue macaws
	<i>Ara ambiguus</i> (I)			Great green macaw
	<i>Ara glaucogularis</i> (I)			Blue-throated macaw
	<i>Ara macao</i> (I)			Scarlet macaw
	<i>Ara militaris</i> (I)			Military macaw
	<i>Ara rubrogenys</i> (I)			Red-fronted macaw
	<i>Cyanopsitta spixii</i> (I)			Spix's macaw
	<i>Cyanoramphus cookii</i> (I)			Norfolk Island parakeet
	<i>Cyanoramphus forbesi</i> (I)			Chatham Island yellow-fronted parakeet
	<i>Cyanoramphus novaeseelandiae</i> (I)			Red-fronted parakeet

	Annex A	Annex B	Annex C	Common name
	<i>Cyanoramphus sailseti</i> (I) <i>Cyclopsitta diophthalma coxeni</i> (I) <i>Eunymphicus cornutus</i> (I) <i>Guarouba guarouba</i> (I) <i>Neophema chrysogaster</i> (I) <i>Ognorhynchus icterotis</i> (I) <i>Pezoporus occidentalis</i> (possibly extinct) (I) <i>Pezoporus wallicus</i> (I) <i>Pionopsitta pileata</i> (I) <i>Primolius couloni</i> (I) <i>Primolius maracana</i> (I) <i>Psephotus chrysopterygius</i> (I) <i>Psephotus dissimilis</i> (I) <i>Psephotus pulcherrimus</i> (possibly extinct) (I) <i>Psittacula echo</i> (I) <i>Psittacus erithacus</i> (I) <i>Pyrrhura cruentata</i> (I) <i>Rhynchopsitta</i> spp. (I) <i>Strigops habroptilus</i> (I)			Red-crowned parakeet Coxen's double-eyed fig parrot Horned parakeet Golden parakeet Orange-bellied parrot Yellow-eared parrot Night parrot Ground parrot Pileated parrot Blue-headed macaw Blue-winged macaw Golden-shouldered parrot Hooded parrot Paradise parrot Mauritius parakeet African grey parrot Blue-throated parakeet Thick-billed parrots Kakapo
RHEIFORMES  <b>Rheidae</b>	<i>Pterocnemia pennata</i> (I) (Except <i>Pterocnemia pennata pennata</i> which is included in Annex B)	<i>Pterocnemia pennata pennata</i> (II) <i>Rhea americana</i> (II)		<b>Rheas</b> Lesser rhea  Lesser rhea Greater rhea

	Annex A	Annex B	Annex C	Common name
SPHENISCIFORMES				
<b>Spheniscidae</b>	<i>Spheniscus humboldti</i> (I)	<i>Spheniscus demersus</i> (II)		<b>Penguins</b> Jackass penguin Humboldt penguin
STRIGIFORMES		STRIGIFORMES spp. (II) (Except for the species included in Annex A and except for <i>Sceloglaux albifacies</i> )		<b>Owls</b> Owls
<b>Strigidae</b>	<p><i>Aegolius funereus</i> (II)</p> <p><i>Asio flammeus</i> (II)</p> <p><i>Asio otus</i> (II)</p> <p><i>Athene noctua</i> (II)</p> <p><i>Bubo bubo</i> (II) (Except for <i>Bubo bubo bengalensis</i> which is included in Annex B)</p> <p><i>Glaucidium passerinum</i> (II)</p> <p><i>Heteroglaux blewitti</i> (I)</p> <p><i>Mimizuku gurneyi</i> (I)</p> <p><i>Ninox natalis</i> (I)</p> <p><i>Nyctea scandiaca</i> (II)</p> <p><i>Otus ireneae</i> (II)</p> <p><i>Otus scops</i> (II)</p> <p><i>Strix aluco</i> (II)</p> <p><i>Strix nebulosa</i> (II)</p> <p><i>Strix uralensis</i> (II) (Except for <i>Strix uralensis davidi</i> which is included in Annex B)</p> <p><i>Surnia ulula</i> (II)</p>			<b>Owls</b> Boreal owl Short-eared owl Long-eared owl Little owl Eurasian eagle-owl Eurasian pygmy-owl Forest owlet Lesser eagle-owl Christmas hawk-owl Snowy owl Sokoke scops-owl Eurasian scops-owl Tawny owl Great grey owl Ural owl Northern hawk owl

	Annex A	Annex B	Annex C	Common name
<b>Tytonidae</b>	<i>Tyto alba</i> (II) <i>Tyto soumagnei</i> (I)			<b>Barn owls</b> Barn owl Soumagne's owl
STRUTHIONIFORMES <b>Struthionidae</b>	<i>Struthio camelus</i> (I) (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation)			<b>Ostrich</b> Ostrich
TINAMIFORMES <b>Tinamidae</b>	<i>Tinamus solitarius</i> (I)			<b>Tinamous</b> Solitary tinamou
TROGONIFORMES <b>Trogonidae</b>	<i>Pharomachrus mocinno</i> (I)			<b>Quetzals</b> Resplendent quetzal
<b>REPTILIA</b>				<b>Reptiles</b>
CROCODYLIA <b>Alligatoridae</b>	<i>Alligator sinensis</i> (I) <i>Caiman crocodilus apaporiensis</i> (I) <i>Caiman latirostris</i> (I) (Except for the population of Argentina, which is included in Annex B)	CROCODYLIA spp. (II) (Except for the species included in Annex A)		<b>Alligators, caimans, crocodiles</b> Alligators, caimans, crocodiles <b>Alligators, caimans</b> Chinese alligator Rio Apaporis spectacled caiman Broad-nosed caiman



	Annex A	Annex B	Annex C	Common name
	<i>Melanosuchus niger</i> (I) (Except for the population of Brazil, which is included in Annex B, and population of Ecuador, which is included in Annex B and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)			Black caiman
<b>Crocodylidae</b>	<p><i>Crocodylus acutus</i> (I) (Except for the population of the Integrated Management District of Mangroves of the Bay of Cispatá, Tinajones, La Balsa and Surrounding Areas, Department of Córdoba, Colombia, and the population of Cuba, which are included in Annex B)</p> <p><i>Crocodylus cataphractus</i> (I)</p> <p><i>Crocodylus intermedius</i> (I)</p> <p><i>Crocodylus mindorensis</i> (I)</p> <p><i>Crocodylus moreletii</i> (I) (Except for the population of Belize, which is included in Annex B with a zero quota for wild specimens traded for commercial purposes, and the population of Mexico, which is included in Annex B)</p> <p><i>Crocodylus niloticus</i> (I) (Except for the populations of Botswana, Egypt [subject to a zero quota for wild specimens traded for commercial purposes], Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1600 wild specimens including hunting trophies, in addition to ranched specimens], Zambia and Zimbabwe; these populations are included in Annex B)</p>			<p><b>Crocodyles</b></p> <p>American crocodile</p> <p>African slender-snouted crocodile</p> <p>Orinoco crocodile</p> <p>Philippine crocodile</p> <p>Morelet's crocodile</p> <p>Nile crocodile</p>

	Annex A	Annex B	Annex C	Common name
	<p><i>Crocodylus palustris</i> (I)</p> <p><i>Crocodylus porosus</i> (I) (Except for the populations of Australia, Indonesia, Malaysia [wild harvest restricted to the State of Sarawak and a zero quota for wild specimens for the other States of Malaysia (Sabah and Peninsular Malaysia), with no change in the zero quota unless approved by the CITES Parties] and Papua New Guinea, which are included in Annex B)</p> <p><i>Crocodylus rhombifer</i> (I)</p> <p><i>Crocodylus siamensis</i> (I)</p> <p><i>Osteolaemus tetraspis</i> (I)</p> <p><i>Tomistoma schlegelii</i> (I)</p>			<p>Mugger crocodile</p> <p>Estuarine crocodile</p> <p>Cuban crocodile</p> <p>Siamese crocodile</p> <p>West African dwarf crocodile</p> <p>False gharial</p>
<b>Gavialidae</b>	<p><i>Gavialis gangeticus</i> (I)</p>			<p><b>Gavial or gharial</b></p> <p>Gharial</p>
RHYNCHOCEPHALIA				
<b>Sphenodontidae</b>	<p><i>Sphenodon</i> spp. (I)</p>			<p><b>Tuataras</b></p> <p>Tuataras</p>
SAURIA				
<b>Agamidae</b>		<p><i>Saara</i> spp. (II)</p> <p><i>Uromastix</i> spp. (II)</p>		<p><b>Spiny-tailed lizards (Agamas, mas-tigures)</b></p> <p>Spiny-tailed lizards</p>
<b>Anguidae</b>		<p><i>Abronia</i> spp. (II) (except for the species included in Annex A. A zero export quota has been established for wild specimens for <i>Abronia aurita</i>, <i>A. gaiophasma</i>, <i>A. montecristoi</i>, <i>A. salvadorensis</i> and <i>A. vasconcelosii</i>)</p>		<p><b>Alligator lizards</b></p> <p>Alligator lizards</p>

	Annex A	Annex B	Annex C	Common name
	<p><i>Abronia anzuetoi</i> (I)</p> <p><i>Abronia campbelli</i> (I)</p> <p><i>Abronia fimbriata</i> (I)</p> <p><i>Abronia frosti</i> (I)</p> <p><i>Abronia meledona</i> (I)</p>			
<b>Chamaeleonidae</b>	<p><i>Brookesia perarmata</i> (I)</p> <p><b><i>Chamaeleo chamaeleon</i> (II)</b></p>	<p><i>Archaius</i> spp. (II)</p> <p><i>Bradypodion</i> spp. (II)</p> <p><i>Brookesia</i> spp. (II) (Except for the species included in Annex A)</p> <p><i>Calumma</i> spp. (II)</p> <p><i>Chamaeleo</i> spp. (II) (Except for the species included in Annex A)</p> <p><i>Furcifer</i> spp. (II)</p> <p><i>Kinyongia</i> spp. (II)</p> <p><i>Nadzikambia</i> spp. (II)</p> <p><i>Palleon</i> spp. (II)</p> <p><i>Rhampholeon</i> spp. (II)</p> <p><i>Rieppeleon</i> spp. (II)</p> <p><i>Trioceros</i> spp. (II)</p>		<p><b>Chameleons</b></p> <p>Dwarf chameleons</p> <p>Dwarf chameleons</p> <p>Dwarf spiny chameleon</p> <p>Madagascar chameleons</p> <p>Chameleons</p> <p>European chameleon</p> <p>Madagascar chameleons</p> <p>Dwarf chameleons</p> <p>Dwarf chameleons</p> <p>Pygmy chamaeleons</p> <p>Pygmy chamaeleons</p>
<b>Cordylidae</b>		<p><i>Cordylus</i> spp. (II)</p> <p><i>Hemicordylus</i> spp. (II)</p> <p><i>Karusaurus</i> spp. (II)</p> <p><i>Namazonurus</i> spp. (II)</p>		<p><b>Spiny-tailed lizards</b></p> <p>Girdled lizards</p>

	Annex A	Annex B	Annex C	Common name
		<i>Ninurta</i> spp. (II) <i>Ouroborus</i> spp. (II) <i>Pseudocordylus</i> spp. (II) <i>Smaug</i> spp. (II)		
<b>Gekkonidae</b>	<i>Cnemaspis psychedelica</i> (I)  <i>Lygodactylus williamsi</i> (I)  <i>Phelsuma guentheri</i> (II)	<i>Nactus serpensinsula</i> (II) <i>Naultinus</i> spp. (II) <i>Paroedura masobe</i> (II) <i>Phelsuma</i> spp. (II) (Except for the species included in Annex A)  <i>Rhoptropella</i> spp. (II)  <i>Uroplatus</i> spp. (II)	<i>Dactylocnemis</i> spp. (III New Zealand) <i>Hoplodactylus</i> spp. (III New Zealand)  <i>Mokopirirakau</i> spp. (III New Zealand)  <i>Toropuku</i> spp. (III New Zealand) <i>Tukutuku</i> spp. (III New Zealand)  <i>Woodworthia</i> spp. (III New Zealand)	<b>Geckos</b> Psychedellic rock gecko Sticky-toed geckos Turquoise dwarf gecko Serpent Island gecko New Zealand tree geckos Masobe gecko Day geckos Round Island day gecko  Flat-tailed geckos
<b>Helodermatidae</b>	<i>Heloderma horridum charlesbogerti</i> (I)	<i>Heloderma</i> spp. (II) (Except for the subspecies included in Annex A)		<b>Gila monster and beaded lizard</b> Gila monster and beaded lizard Guatemalan beaded lizard

	Annex A	Annex B	Annex C	Common name
<b>Iguanidae</b>	<p><i>Brachylophus</i> spp. (I)</p> <p><i>Cyclura</i> spp. (I)</p> <p><i>Sauromalus varius</i> (I)</p>	<p><i>Amblyrhynchus cristatus</i> (II)</p> <p><i>Conolophus</i> spp. (II)</p> <p><i>Ctenosaura bakeri</i> (II)</p> <p><i>Ctenosaura melanosterna</i> (II)</p> <p><i>Ctenosaura oedirhina</i> (II)</p> <p><i>Ctenosaura palearis</i> (II)</p> <p><i>Iguana</i> spp. (II)</p> <p><i>Phrynosoma blainvillii</i> (II)</p> <p><i>Phrynosoma cerroense</i> (II)</p> <p><i>Phrynosoma coronatum</i> (II)</p> <p><i>Phrynosoma wigginsi</i> (II)</p>		<p><b>Iguanas</b></p> <p>Galapagos marine iguana</p> <p>Fiji iguanas</p> <p>Galapagos land iguanas</p> <p>Utila Island spiny-tailed iguana</p> <p>Rio Aguan Valley spiny-tailed iguana</p> <p>Roatan spiny-tailed iguana</p> <p>Guatemalan Spiny-tailed iguana</p> <p>Ground iguanas</p> <p>Iguanas</p> <p>Blaineville's horned lizard</p> <p>Cedros Island horned lizard</p> <p>Coast horned lizard</p> <p>Gulf coast horned lizard</p> <p>San Esteban Island chuckwalla</p>
<b>Lacertidae</b>	<p><i>Gallotia simonyi</i> (I)</p> <p><b><i>Podarcis lilfordi</i> (II)</b></p> <p><b><i>Podarcis pityusensis</i> (II)</b></p>			<p><b>Lizards</b></p> <p>Hierro giant lizard</p> <p>Lilford's wall lizard</p> <p>Ibiza wall lizard</p>
<b>Lanthanotidae</b>		Lanthanotidae spp. (II) (A zero export quota has been established for wild specimens for commercial trade)		<b>Earless Monitor Lizard</b>
<b>Scincidae</b>		<i>Corucia zebrata</i> (II)		<p><b>Skinks</b></p> <p>Prehensile-tailed skink</p>

	Annex A	Annex B	Annex C	Common name
<b>Teiidae</b>		<i>Crocodilurus amazonicus</i> (II) <i>Dracaena</i> spp. (II) <i>Salvator</i> spp. (II) <i>Tupinambis</i> spp.(II)		<b>Caiman lizards, tegu lizards</b> Dragon lizard Caiman lizards Tegus
<b>Varanidae</b>	<i>Varanus bengalensis</i> (I) <i>Varanus flavescens</i> (I) <i>Varanus griseus</i> (I) <i>Varanus komodoensis</i> (I) <i>Varanus nebulosus</i> (I) <i>Varanus olivaceus</i> (II)	<i>Varanus</i> spp. (II) (Except for the species included in Annex A)		<b>Monitor lizards</b> Monitor lizards Indian monitor Yellow monitor Desert monitor Komodo dragon Clouded monitor Gray's monitor
<b>Xenosauridae</b>	<i>Shinisaurus crocodilurus</i> (I)			<b>Chinese crocodile lizard</b> Chinese crocodile lizard
SERPENTES				<b>Snakes</b>
<b>Boidae</b>	<i>Acrantophis</i> spp. (I) <i>Boa constrictor occidentalis</i> (I) <i>Epicrates inornatus</i> (I) <i>Epicrates monensis</i> (I) <i>Epicrates subflavus</i> (I) <b><i>Eryx jaculus</i> (II)</b>	Boidae spp. (II) (Except for the species included in Annex A)		<b>Boas</b> Boas Madagascar ground boas Argentine boa constrictor Puerto Rican boa Virgin Island tree boa Jamaican boa Spotted sand boa

	Annex A	Annex B	Annex C	Common name
	<i>Sanzinia madagascariensis</i> (I)			Madagascar tree boa
<b>Bolyeriidae</b>	<i>Bolyeria multocarinata</i> (I) <i>Casarea dussumieri</i> (I)	Bolyeriidae spp. (II) (Except for the species included in Annex A)		<b>Round Island boas</b> Round Island boas Round Island boa Round Island keel-scaled boa
<b>Colubridae</b>		<i>Clelia clelia</i> (II) <i>Cyclagras gigas</i> (II) <i>Elachistodon westermanni</i> (II) <i>Ptyas mucosus</i> (II)	<i>Atretium schistosum</i> (III India) <i>Cerberus rynchops</i> (III India)  <i>Xenochrophis piscator</i> (III India) <i>Xenochrophis schnurrenbergeri</i> (III India) <i>Xenochrophis tyleri</i> (III India)	<b>Typical snakes, water snakes, whip snakes</b> Olive keel-back Dog-faced water snake Mussurana False cobra Indian egg-eating snake Common rat snake Checkered keel-back
<b>Elapidae</b>		<i>Hoplocephalus bungaroides</i> (II)  <i>Naja atra</i> (II) <i>Naja kaouthia</i> (II) <i>Naja mandalayensis</i> (II) <i>Naja naja</i> (II)	<i>Micrurus diastema</i> (III Honduras) <i>Micrurus nigrocinctus</i> (III Honduras) <i>Micrurus ruatanus</i> (III Honduras)	<b>Cobras, coral snakes</b> Broad-headed snake Atlantic coral snake Central American coral snake  Chinese spitting cobra Monocellate cobra Burmese spitting cobra Indian cobra

	Annex A	Annex B	Annex C	Common name
		<i>Naja oxiana</i> (II) <i>Naja philippinensis</i> (II) <i>Naja sagittifera</i> (II) <i>Naja samarensis</i> (II) <i>Naja siamensis</i> (II) <i>Naja sputatrix</i> (II) <i>Naja sumatrana</i> (II) <i>Ophiophagus hannah</i> (II)		Central Asian cobra North Philippine spitting cobra Andaman cobra South-east Philippine spitting cobra Indochinese spitting cobra South Indonesian spitting cobra Golden spitting cobra King cobra
<b>Loxocemidae</b>		Loxocemidae spp. (II)		<b>Mexican dwarf boa</b> Mexican dwarf boa
<b>Pythonidae</b>	<i>Python molurus molurus</i> (I)	Pythonidae spp. (II) (Except for the subspecies included in Annex A)		<b>Pythons</b> Pythons Indian python
<b>Tropidophiidae</b>		Tropidophiidae spp. (II)		<b>Wood boas</b> Wood boas
<b>Viperidae</b>	<i>Vipera latifii</i>	<i>Atheris desaixi</i> (II) <i>Bitis worthingtoni</i> (II)  <i>Crotalus durissus unicolor</i>  <i>Trimeresurus mangshanensis</i> (II)	    <i>Crotalus durissus</i> (III Honduras)    <i>Daboia russelii</i> (III India)	<b>Vipers</b> Mt. Kenya bush viper Kenya horned viper Neotropical rattlesnake Aruba rattlesnake Russell's viper Mangshan pit-viper Latifi's viper



	Annex A	Annex B	Annex C	Common name
	<i>Vipera ursinii</i> (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation)			Orsini's viper
		<i>Vipera wagneri</i> (II)		Wagner's viper
TESTUDINES				
<b>Carettochelyidae</b>				<b>Pig-nosed turtles</b>
		<i>Carettochelys insculpta</i> (II)		Pig-nosed turtle
<b>Chelidae</b>				<b>Austro-American sideneck turtles</b>
		<i>Chelodina mccordi</i> (II) (A zero annual export quota has been established for specimens removed from the wild)		Roti snake-necked turtle
	<i>Pseudemys umbrina</i> (I)			Western swamp turtle
<b>Cheloniidae</b>				<b>Sea turtles</b>
	Cheloniidae spp. (I)			Sea turtles
<b>Chelydridae</b>				<b>Snapping turtles</b>
			<i>Chelydra serpentina</i> (III United States of America)	
			<i>Macrochelys temminckii</i> (III United States of America)	Alligator snapping turtle
<b>Dermatemydidae</b>				<b>Central American river turtle</b>
		<i>Dermatemys mawii</i> (II)		Central American river turtle
<b>Dermochelyidae</b>				<b>Leatherback turtle</b>
	<i>Dermochelys coriacea</i> (I)			Leatherback turtle
<b>Emydidae</b>				<b>Box turtles, freshwater turtles</b>
		<i>Chrysemys picta</i> (Only live specimens)		Painted turtle
		<i>Clemmys guttata</i> (II)		Spotted turtle

	Annex A	Annex B	Annex C	Common name
	<p><i>Glyptemys muhlenbergii</i> (I)</p> <p><i>Terrapene coahuila</i> (I)</p>	<p><i>Emydoidea blandingii</i> (II)</p> <p><i>Glyptemys insculpta</i> (II)</p> <p><i>Malaclemys terrapin</i> (II)</p> <p><i>Terrapene</i> spp. (II) (Except for the species included in Annex A)</p>	<p><i>Graptemys</i> spp. (III United States of America)</p>	<p>Blanding's turtle</p> <p>Wood turtle</p> <p>Bog turtle</p> <p>Map turtles</p> <p>Diamondback terrapin</p> <p>Box turtles</p> <p>Aquatic box turtle</p>
<b>Geoemydidae</b>	<p><i>Batagur affinis</i> (I)</p> <p><i>Batagur baska</i> (I)</p>	<p><i>Batagur borneoensis</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)</p> <p><i>Batagur dhongoka</i> (II)</p> <p><i>Batagur kachuga</i> (II)</p> <p><i>Batagur trivittata</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)</p> <p><i>Cuora</i> spp. (II) (A zero annual export quota has been established for <i>Cuora aurocapitata</i>, <i>C. bourreti</i>, <i>C. flavomarginata</i>, <i>C. galbinifrons</i>, <i>C. mccordi</i>, <i>C. mouhotii</i>, <i>C. pani</i>, <i>C. picturata</i>, <i>C. trifasciata</i>, <i>C. yunnanensis</i> and <i>C. zhoui</i> for specimens removed from the wild and traded for commercial purposes)</p> <p><i>Cyclemys</i> spp. (II)</p>		<p>Southern river terrapin</p> <p>Batagur</p> <p>Asian box turtles</p> <p>Asian leaf turtles</p>

	Annex A	Annex B	Annex C	Common name
	<i>Geoclemys hamiltonii</i> (I)	<p><i>Geoemyda japonica</i> (II)</p> <p><i>Geoemyda spengleri</i> (II)</p> <p><i>Hardella thurjii</i> (II)</p> <p><i>Heosemys annandalii</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)</p> <p><i>Heosemys depressa</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)</p> <p><i>Heosemys grandis</i> (II)</p> <p><i>Heosemys spinosa</i> (II)</p> <p><i>Leucocephalon yuwonoi</i> (II)</p> <p><i>Malayemys macrocephala</i> (II)</p> <p><i>Malayemys subtrijuga</i> (II)</p> <p><i>Mauremys annamensis</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)</p> <p><i>Mauremys japonica</i> (II)</p> <p><i>Mauremys mutica</i> (II)</p> <p><i>Mauremys nigricans</i> (II)</p>	<p><i>Mauremys iversoni</i> (III China)</p> <p><i>Mauremys megaloccephala</i> (III China)</p> <p><i>Mauremys pritchardi</i> (III China)</p> <p><i>Mauremys reevesii</i> (III China)</p>	<p>Black pond turtle</p> <p>Ryukyu black-breasted leaf turtle</p> <p>Black-breasted leaf turtle</p> <p>Crowned river turtle</p> <p>Yellow-headed temple turtle</p> <p>Arakan forest turtle</p> <p>Giant Asian turtle</p> <p>Spiny turtle</p> <p>Sulawesi forest turtle</p> <p>Snail-eating turtle</p> <p>Ricefield turtle</p> <p>Annam pond turtle</p> <p>Fujian pond turtle</p> <p>Japanese pond turtle</p> <p>Big-headed pond turtle</p> <p>Yellow pond turtle</p> <p>Red-necked pond turtle</p> <p>Pritchard's pond turtle</p> <p>Reeves's turtle</p>

	Annex A	Annex B	Annex C	Common name
	<p><i>Melanochelys tricarinata</i> (I)</p> <p><i>Morenia ocellata</i> (I)</p> <p><i>Pangshura tecta</i> (I)</p>	<p><i>Melanochelys trijuga</i> (II)</p> <p><i>Morenia petersi</i> (II)</p> <p><i>Notochelys platynota</i> (II)</p> <p><i>Orlitia borneensis</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)</p> <p><i>Pangshura</i> spp. (II) (Except for the species included in Annex A)</p> <p><i>Sacalia bealei</i> (II)</p> <p><i>Sacalia quadriocellata</i> (II)</p> <p><i>Siebenrockiella crassicollis</i> (II)</p> <p><i>Siebenrockiella leytensis</i> (II)</p> <p><i>Vijayachelys silvatica</i> (II)</p>	<p><i>Mauremys sinensis</i> (III China)</p> <p><i>Ocadia glyphistoma</i> (III China)</p> <p><i>Ocadia philippeni</i> (III China)</p> <p><i>Sacalia pseudocellata</i> (III China)</p>	<p>Chinese stripe-necked turtle</p> <p>Three-keeled land tortoise</p> <p>Indian black turtle</p> <p>Burmese swamp turtle</p> <p>Indian eyed turtle</p> <p>Malayan flat-shelled turtle</p> <p>Notch-mouthed stripe-necked turtle</p> <p>Philippen's stripe-necked turtle</p> <p>Malayan giant turtle</p> <p>Roofed turtles</p> <p>Indian roofed turtle</p> <p>Beal's eyed turtle</p> <p>Chinese false-eyed turtle</p> <p>Four-eyed turtle</p> <p>Black marsh turtle</p> <p>Philippine pond turtle</p> <p>Cochin forest cane turtle</p>
<b>Platysternidae</b>	Platysternidae spp. (I)			<p><b>Big-headed turtles</b></p> <p>Big-headed turtles</p>
<b>Podocnemididae</b>		<p><i>Erymnochelys madagascariensis</i> (II)</p> <p><i>Peltocephalus dumerilianus</i> (II)</p> <p><i>Podocnemis</i> spp. (II)</p>		<p><b>Afro-American sideneck turtles</b></p> <p>Madagascar sideneck turtle</p> <p>Big-headed sideneck turtle</p> <p>Sideneck turtles</p>

	Annex A	Annex B	Annex C	Common name
<b>Testudinidae</b>	<p><i>Astrochelys radiata</i> (I)</p> <p><i>Astrochelys yniphora</i> (I)</p> <p><i>Chelonoidis niger</i> (I)</p> <p><i>Geochelone platynota</i> (I)</p> <p><i>Gopherus flavomarginatus</i> (I)</p> <p><i>Malacochersus tornieri</i> (II)</p> <p><i>Psammobates geometricus</i> (I)</p> <p><i>Pyxis arachnoides</i> (I)</p> <p><i>Pyxis planicauda</i> (I)</p> <p><b><i>Testudo graeca</i> (II)</b></p> <p><b><i>Testudo hermanni</i> (II)</b></p> <p><i>Testudo kleinmanni</i> (I)</p> <p><b><i>Testudo marginata</i> (II)</b></p>	<p>Testudinidae spp. (II) (Except for the species included in Annex A; a zero annual export quota has been established for <i>Centrochelys sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes)</p>		<p><b>Tortoises</b></p> <p>Tortoises</p> <p>Radiated tortoise</p> <p>Angonoka</p> <p>Galapagos giant tortoise</p> <p>Burmese star tortoise</p> <p>Bolson tortoise</p> <p>Pancake tortoise</p> <p>Geometric tortoise</p> <p>Madagascar spider tortoise</p> <p>Madagascar flat-shelled tortoise</p> <p>Spur-thighed tortoise</p> <p>Hermann's tortoise</p> <p>Egyptian tortoise</p> <p>Marginated tortoise</p>
<b>Trionychidae</b>		<p><i>Amyda cartilaginea</i> (II)</p>	<p><i>Apalone ferox</i> (III United States of America)</p> <p><i>Apalone mutica</i> (III United States of America)</p> <p><i>Apalone spinifera</i> (III United States of America) (except for the subspecies included in Annex A)</p>	<p><b>Softshell turtles, terrapins</b></p> <p>Southeast Asian soft-shelled turtle</p>

	Annex A	Annex B	Annex C	Common name
	<i>Apalone spinifera atra</i> (I)  <i>Chitra chitra</i> (I) <i>Chitra vandijki</i> (I)	<i>Chitra</i> spp. (II) (Except for the species included in Annex A)  <i>Cyclanorbis elegans</i> (II) <i>Cyclanorbis senegalensis</i> (II) <i>Cycloderma aubryi</i> (II) <i>Cycloderma frenatum</i> (II) <i>Dogania subplana</i> (II) <i>Lissemys ceylonensis</i> (II) <i>Lissemys punctata</i> (II) <i>Lissemys scutata</i> (II) <i>Nilssonina formosa</i> (II)  <i>Nilssonina gangetica</i> (I) <i>Nilssonina hurum</i> (I)  <i>Nilssonina leithii</i> (II)  <i>Palea steindachneri</i> (II) <i>Pelochelys</i> spp. (II) <i>Pelodiscus axenaria</i> (II) <i>Pelodiscus maackii</i> (II) <i>Pelodiscus parviformis</i> (II) <i>Rafetus euphraticus</i> (II)		Cuatro Cienagas soft-shell turtle Narrow-headed softshell turtles  Asian narrow-headed softshell Burmese narrow-headed softshell  Nubian flapshell turtle Senegal flapshell turtle Aubry's flapshell turtle Zambezi flapshell turtle Malayan soft-shelled turtle Sri Lankan flapshell turtle Indo-Gangetic flapshell turtle Burmese flapshell turtle Burmese peacock softshell Indian soft-shell turtle Peacock soft-shell turtle  Leith's softshell turtle  Black soft-shell turtle Wattle-necked softshell turtle Giant softshell turtles Hunan softshell turtle Amur softshell turtle Chinese softshell turtle Euphrates softshell turtle

	Annex A	Annex B	Annex C	Common name
		<i>Rafetus swinhoi</i> (II) <i>Trionyx triunguis</i> (II)		Yangtze softshell turtle Nile softshell turtle
<b>AMPHIBIA</b>				<b>Amphibians</b>
ANURA <b>Aromobatidae</b>		<i>Allobates femoralis</i> (II) <i>Allobates hodli</i> (II) <i>Allobates myersi</i> (II) <i>Allobates zaparo</i> (II) <i>Anomaloglossus rufulus</i> (II)		Frogs and toads <b>Cryptic forest frogs</b> Brilliant-thighed poison frog  Myers' poison frog Sanguine poison frog Chimanta poison frog
<b>Bufonidae</b>	<i>Altiphrynoides</i> spp. (I) <i>Amietophrynus channingi</i> (I) <i>Amietophrynus superciliaris</i> (I) <i>Atelopus zeteki</i> (I) <i>Incilius periglenes</i> (I) <i>Nectophrynoides</i> spp. (I) <i>Nimbaphrynoides</i> spp. (I)			<b>Toads</b> Malcolm's Ethiopian toad  Cameroon toad Golden frog Golden toad African viviparous toads Nimba toads
<b>Calyptocephalellidae</b>			<i>Calyptocephalella gayi</i> (III Chile)	Chilean helmeted water toad
<b>Conrauidae</b>		<i>Conraua goliath</i>		<b>Frogs</b> Goliath frog
<b>Dendrobatidae</b>		<i>Adelphobates</i> spp. (II) <i>Ameerega</i> spp. (II)		<b>Poison frogs</b>

	Annex A	Annex B	Annex C	Common name
		<i>Andinobates</i> spp. (II) <i>Dendrobates</i> spp. (II) <i>Epipedobates</i> spp. (II) <i>Excidobates</i> spp. (II) <i>Hyloxalus azureiventris</i> (II) <i>Minyobates</i> spp. (II) <i>Oophaga</i> spp. (II) <i>Phyllobates</i> spp. (II) <i>Ranitomeya</i> spp. (II)		Poison-arrow frogs Poison-arrow frogs Sky-blue poison frog Demonic poison frogs Poison-arrow frogs
<b>Dicroglossidae</b>		<i>Euphlyctis hexadactylus</i> (II) <i>Hoplobatrachus tigerinus</i> (II)		<b>Frogs</b> Six-fingered frog Tiger frog
<b>Hylidae</b>		<i>Agalychnis</i> spp. (II)		<b>Tree frogs</b>
<b>Mantellidae</b>		<i>Mantella</i> spp. (II)		<b>Mantella frogs</b> Mantella frogs
<b>Microhylidae</b>		<i>Dyscophus antongilii</i> (II) <i>Dyscophus guineti</i> (II) <i>Dyscophus insularis</i> (II) <i>Scaphiophryne boribory</i> (II) <i>Scaphiophryne gottlebei</i> (II) <i>Scaphiophryne marmorata</i> (II) <i>Scaphiophryne spinosa</i> (II)		<b>Tomato frogs</b> Tomato frog False tomato frog Antsouhy tomato frog Green marbled burrowing frog Red rain frog Green marbled burrowing frog Green marbled burrowing frog



	Annex A	Annex B	Annex C	Common name
<b>Myobatrachidae</b>		<i>Rheobatrachus</i> spp. (II) (Except for <i>Rheobatrachus silus</i> and <i>Rheobatrachus vitellinus</i> )		<b>Gastric brooding frogs</b> Gastric brooding frog
<b>Telmatobiidae</b>	<i>Telmatobius culeus</i> (I)			<b>Water frogs</b> Titicaca water frog
CAUDATA <b>Ambystomatidae</b>		<i>Ambystoma dumerilii</i> (II) <i>Ambystoma mexicanum</i> (II)		<b>Axolotls</b> Lake Patzcuaro salamander Axolotl
<b>Cryptobranchidae</b>	<i>Andrias</i> spp. (I)		<i>Cryptobranchus alleganiensis</i> (III United States of America)	<b>Giant salamanders</b> Giant salamanders Hellbender
<b>Hynobiidae</b>			<i>Hynobius amjiensis</i> (III China)	<b>Asiatic salamanders</b>
<b>Salamandridae</b>	<i>Neurergus kaiseri</i> (I)	<i>Paramesotriton hongkongensis</i> (II)	<i>Salamandra algira</i> (III Algeria)	<b>Salamanders and newts</b> Kaiser's spotted newt Hong Kong warty newt
<b>ELASMOBRANCHII</b>				<b>Sharks and rays</b>
CARCHARHINIFORMES <b>Carcharhinidae</b>		<i>Carcharhinus falciformis</i> (II) (This inclusion will enter into effect on 4 October 2017) <i>Carcharhinus longimanus</i> (II)		<b>Requiem sharks</b> Silky shark  Oceanic whitetip shark

	Annex A	Annex B	Annex C	Common name
<b>Sphyrnidae</b>		<i>Sphyrna lewini</i> (II) <i>Sphyrna mokarran</i> (II) <i>Sphyrna zygaena</i> (II)		<b>Hammerhead sharks</b> Scalloped hammerhead shark Great hammerhead shark Smooth hammerhead shark
LAMNIFORMES				
<b>Alopiidae</b>		<i>Alopias</i> spp. (II) (This inclusion will enter into effect on 4 October 2017)		<b>Thresher sharks</b> Thresher sharks
<b>Cetorhinidae</b>		<i>Cetorhinus maximus</i> (II)		<b>Basking sharks</b> Basking shark
<b>Lamnidae</b>		<i>Carcharodon carcharias</i> (II) <i>Lamna nasus</i> (II)		<b>White sharks</b> Great white shark Porbeagle
MYLIOBATIFORMES				
<b>Myliobatidae</b>		<i>Manta</i> spp. (II) <i>Mobula</i> spp. (II) (This inclusion will enter into effect on 4 April 2017)		Manta rays Devil rays
<b>Potamotrygonidae</b>			<i>Paratrygon aiereba</i> (III Colombia) <i>Potamotrygon</i> spp. (III Brazil) (population of Brazil) <i>Potamotrygon constellata</i> (III Colombia) <i>Potamotrygon magdalenae</i> (III Colombia) <i>Potamotrygon motoro</i> (III Colombia)	

	Annex A	Annex B	Annex C	Common name
			<i>Potamotrygon orbignyi</i> (III Colombia) <i>Potamotrygon schroederi</i> (III Colombia) <i>Potamotrygon scobina</i> (III Colombia) <i>Potamotrygon yepezi</i> (III Colombia)	
ORECTOLOBIFORMES <b>Rhincodontidae</b>		<i>Rhincodon typus</i> (II)		<b>Whale sharks</b> Whale shark
PRISTIFORMES <b>Pristidae</b>	Pristidae spp. (I)			<b>Sawfishes</b> Sawfishes
<b>ACTINOPTERI</b>				<b>Fish</b>
ACIPENSERIFORMES		ACIPENSERIFORMES spp. (II) (Except for the species included in Annex A)		Sturgeons and paddlefish
<b>Acipenseridae</b>	<i>Acipenser brevirostrum</i> (I) <i>Acipenser sturio</i> (I)			<b>Sturgeons</b> Shortnose sturgeon Common sturgeon
ANGUILLIFORMES <b>Anguillidae</b>		<i>Anguilla anguilla</i> (II)		<b>Freshwater eels</b> European eel
CYPRINIFORMES <b>Catostomidae</b>	<i>Chasmistes cujus</i> (I)			<b>Cui-ui</b> Cui-ui
<b>Cyprinidae</b>	<i>Probarbus jullieni</i> (I)	<i>Caecobarbus geertsii</i> (II)		<b>Blind carps, plaeesok</b> African blind barb fish Ikan temoleh

	Annex A	Annex B	Annex C	Common name
OSTEOGLOSSIFORMES <b>Arapaimidae</b>		<i>Arapaima gigas</i> (II)		Arapaima
<b>Osteoglossidae</b>	<i>Scleropages formosus</i> (I) <i>Scleropages inscriptus</i>			<b>Arapaimas, bonytongues</b> Asian arowana
PERCIFORMES <b>Labridae</b>		<i>Cheilinus undulatus</i> (II)		<b>Wrasses</b> Humphead wrasse
<b>Pomacanthidae</b>		<i>Holacanthus clarionensis</i> (II)		Clarion angelfish
<b>Sciaenidae</b>	<i>Totoaba macdonaldi</i> (I)			<b>Totoabas</b> Totoaba
SILURIFORMES <b>Pangasiidae</b>	<i>Pangasianodon gigas</i> (I)			<b>Pangasid catfish</b> Giant catfish
<b>Loricariidae</b>			<i>Hypancistrus zebra</i> (III Brazil)	
SYNGNATHIFORMES <b>Syngnathidae</b>		<i>Hippocampus</i> spp. (II)		<b>Pipefishes, seahorses</b> Seahorses
<b>DIPNEUSTI</b>				<b>Lungfishes</b>
CERATODONTIFORMES <b>Neoceratodontidae</b>		<i>Neoceratodus forsteri</i> (II)		<b>Australian lungfishes</b> Australian lungfish

	Annex A	Annex B	Annex C	Common name
<b>COELACANTHI</b>				<b>Coelacanth</b>
COELACANTHIFORMES <b>Latimeriidae</b>	<i>Latimeria</i> spp. (I)			<b>Coelacanth</b> Coelacanth
ECHINODERMATA (STARFISH, BRITTLE STARS, SEA URCHINS AND SEA CUCUMBERS)				
<b>HOLOTHUROIDEA</b>				<b>Sea cucumbers</b>
ASPIDOCHIROTIDA <b>Stichopodidae</b>			<i>Isostichopus fuscus</i> (III Ecuador)	<b>Sea cucumbers</b> <b>Brown sea cucumber</b>
ARTHROPODA (ARTHROPODS)				
<b>ARACHNIDA</b>				<b>Spiders and scorpions</b>
ARANEAE <b>Theraphosidae</b>		<i>Aphonopelma albiceps</i> (II) <i>Aphonopelma pallidum</i> (II) <i>Brachypelma</i> spp. (II)		<b>Red-kneed tarantulas, tarantulas</b>  Chihuahua rose-grey tarantula Central American tarantulas
SCORPIONES <b>Scorpionidae</b>		<i>Pandinus dictator</i> (II) <i>Pandinus gambiensis</i> (II) <i>Pandinus imperator</i> (II) <i>Pandinus roeseli</i> (II)		<b>Scorpions</b>  Giant Senegalese scorpion Emperor scorpion
<b>INSECTA</b>				<b>Insects</b>
COLEOPTERA <b>Lucanidae</b>			<i>Colophon</i> spp. (III South Africa)	Beetles <b>Stag beetles</b> Cape stag beetles

	Annex A	Annex B	Annex C	Common name
<b>Scarabaeidae</b>		<i>Dynastes satanas</i> (II)		<b>Scarab beetles</b> Satanas beetle
LEPIDOPTERA <b>Nymphalidae</b>			<i>Agrias amydon boliviensis</i> (III Bolivia) <i>Morpho godartii lachaumei</i> (III Bolivia) <i>Prepona praeneste buckleyana</i> (III Bolivia)	Butterflies
<b>Papilionidae</b>	<i>Ornithoptera alexandrae</i> (I)  <i>Papilio chikae</i> (I)  <i>Papilio homerus</i> (I) <b><i>Papilio hospiton</i></b> (II)	<i>Atrophaneura jophon</i> (II) <i>Atrophaneura palu</i> <i>Atrophaneura pandiyana</i> (II) <i>Bhutanitis</i> spp. (II) <i>Graphium sandawanum</i> <i>Graphium stresemanni</i> <i>Ornithoptera</i> spp. (II) (except for the species included in Annex A)  <i>Papilio benguetanus</i>  <i>Papilio esperanza</i>  <i>Papilio morondavana</i> <i>Papilio neumoegeni</i>		<b>Birdwing and swallowtail butterflies</b> Sri Lankan rose Palu swallowtail butterfly Malabar rose Swallowtail butterflies Apo swallowtail butterfly Seram swallowtail Birdwing butterflies  Queen Alexandra's birdwing  Luzon peacock swallowtail  Homerus swallowtail Corsican swallowtail Madagascan emperor swallowtail

	Annex A	Annex B	Annex C	Common name
	<b><i>Parnassius apollo</i> (II)</b>	<i>Parides ascanius</i> <i>Parides hahneli</i>  <i>Teinopalpus</i> spp. (II) <i>Trogonoptera</i> spp. (II) <i>Troides</i> spp. (II)		Fluminense swallowtail butterfly Hahnel's amazonian swallowtail butterfly Mountain apollo Kaiser-I-Hind butterflies Birdwing butterflies Birdwing butterflies
ANNELIDA (SEGMENTED WORMS AND LEECHES)				
<b>HIRUDINOIDEA</b>				<b>Leeches</b>
ARHYNCHOBDELLIDA <b>Hirudinidae</b>		<i>Hirudo medicinalis</i> (II) <i>Hirudo verbana</i> (II)		<b>Leeches</b> Northern medicinal leech Southern medicinal leech
MOLLUSCA (MOLLUSCS)				
<b>BIVALVIA</b>				<b>Bivalve molluscs (clams, mussels etc.)</b>
MYTILOIDA <b>Mytilidae</b>		<i>Lithophaga lithophaga</i> (II)		<b>Marine mussels</b> European date mussel
UNIONOIDA <b>Unionidae</b>	<i>Conradilla caelata</i> (I)  <i>Dromus dromas</i> (I) <i>Epioblasma curtisii</i> (I)	<i>Cyprogenia aberti</i> (II)		<b>Freshwater mussels, pearly mussels</b> Birdwing pearly mussel Western fanshell mussel Dromedary pearly mussel Curtis' pearly mussel





	Annex A	Annex B	Annex C	Common name
	<i>Villosa trabalis</i> (I)			Cumberland bean pearly mussel
VENEROIDA				
<b>Tridacnidae</b>		Tridacnidae spp. (II)		<b>Giant clams</b> Giant clams
CEPHALOPODA				
NAUTILIDA				
<b>Nautilidae</b>		Nautilidae spp. (II)		<b>Nautilus</b> Nautilus
GASTROPODA				<b>Slugs, snails and conches</b>
MESOGASTROPODA				
<b>Strombidae</b>		<i>Strombus gigas</i> (II)		<b>Conches</b> Queen conch
STYLOMMATOPHORA				
<b>Achatinellidae</b>	<i>Achatinella</i> spp. (I)			<b>Agate snails, oahu tree snails</b> Little agate shells
<b>Camaenidae</b>		<i>Papustyla pulcherrima</i> (II)		<b>Green tree snail</b> Manus green tree snail
<b>Cepolidae</b>	<i>Polymita</i> spp. (I)			Cuban landsnails
CNIDARIA (CORALS, FIRE CORALS, SEA ANEMONES)				
ANTHOZOA				<b>Corals, sea anemones</b>
ANTIPATHARIA		ANTIPATHARIA spp. (II)		Black corals
GORGONACEAE				
<b>Coralliidae</b>			<i>Corallium elatius</i> (III China)	<b>Red and pink corals</b>

	Annex A	Annex B	Annex C	Common name
			<i>Corallium japonicum</i> (III China) <i>Corallium konjoi</i> (III China) <i>Corallium secundum</i> (III China)	
HELIOPORACEA <b>Helioporidae</b>		Helioporidae spp. (II) (Includes only the species <i>Heliopora coerulea</i> ) (*)		<b>Blue coral</b> Blue coral
SCLERACTINIA		SCLERACTINIA spp. (II) (*)		Stony corals
STOLONIFERA <b>Tubiporidae</b>		Tubiporidae spp. (II) (*)		<b>Organpipe corals</b> Organpipe corals
HYDROZOA				<b>Sea ferns, fire corals, stinging medusas</b>
MILLEPORINA <b>Milleporidae</b>		Milleporidae spp. (II) (*)		<b>Wello fire corals</b> Wello fire corals
STYLASTERINA <b>Stylasteridae</b>		Stylasteridae spp. (II) (*)		<b>Lace corals</b> Lace corals
<b>FLORA</b>				
AGAVACEAE	<i>Agave parviflora</i> (I)	<i>Agave victoriae-reginae</i> (II) #4 <i>Nolina interrata</i> (II) <i>Yucca queretaroensis</i> (II)		<b>Agaves</b> Santa Cruz striped agave Queen Victoria agave Dehesa bear-grass Queretaro yucca

	Annex A	Annex B	Annex C	Common name
AMARYLLIDACEAE		<i>Galanthus</i> spp. (II) #4 <i>Sternbergia</i> spp. (II) #4		<b>Amaryllids</b> Snowdrops Sternbergias
ANACARDIACEAE		<i>Operculicarya decaryi</i> (II) <i>Operculicarya hyphaenoides</i> (II) <i>Operculicarya pachypus</i> (II)		Jabihy Jabihy Tabily
APOCYNACEAE	<i>Pachypodium ambongense</i> (I) <i>Pachypodium baronii</i> (I) <i>Pachypodium decaryi</i> (I)	<i>Hoodia</i> spp. (II) #9 <i>Pachypodium</i> spp. (II) (Except for the species included in Annex A) #4  <i>Rauvolfia serpentina</i> (II) #2		Hoodia Elephant trunks  Snake-root devil-pepper
ARALIACEAE		<i>Panax ginseng</i> (II) (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3 <i>Panax quinquefolius</i> (II) #3		<b>Aralias</b> Asian ginseng  American ginseng
ARAUCARIACEAE	<i>Araucaria araucana</i> (I)			<b>Araucarias</b> Monkey-puzzle tree
ASPARAGACEAE		<i>Beaucarnea</i> spp. (II)		Ponytail palm
BERBERIDACEAE		<i>Podophyllum hexandrum</i> (II) #2		<b>Barberries</b> Himalayan may-apple

	Annex A	Annex B	Annex C	Common name
BROMELIACEAE		<p><i>Tillandsia harrisii</i> (II) #4</p> <p><i>Tillandsia kammii</i> (II) #4</p> <p><i>Tillandsia xerographica</i> (II) (°) #4</p>		<p><b>Air plants, bromelias</b></p> <p>Harris' tillandsia</p> <p>Kamm's tillandsia</p> <p>Xerographic tillandsia</p>
CACTACEAE	<p><i>Ariocarpus</i> spp. (I)</p> <p><i>Astrophytum asterias</i> (I)</p> <p><i>Aztekium ritteri</i> (I)</p> <p><i>Coryphantha werdermannii</i> (I)</p> <p><i>Discocactus</i> spp. (I)</p> <p><i>Echinocereus ferreirianus</i> ssp. <i>lindsayi</i> (I)</p> <p><i>Echinocereus schmollii</i> (I)</p> <p><i>Escobaria minima</i> (I)</p> <p><i>Escobaria sneedii</i> (I)</p> <p><i>Mammillaria pectinifera</i> (I) (includes ssp. <i>solisoides</i>)</p> <p><i>Melocactus conoideus</i> (I)</p> <p><i>Melocactus deinacanthus</i> (I)</p> <p><i>Melocactus glaucescens</i> (I)</p> <p><i>Melocactus paucispinus</i> (I)</p> <p><i>Obregonia denegrii</i> (I)</p> <p><i>Pachycereus militaris</i> (I)</p>	<p>CACTACEAE spp. (II) (Except for the species included in Annex A and <i>Pereskia</i> spp., <i>Pereskopsis</i> spp. and <i>Quibentia</i> spp.) (°) #4</p>		<p><b>Cacti</b></p> <p>Cacti</p> <p>Living rock cacti</p> <p>Star cactus</p> <p>Aztec cactus</p> <p>Jobali pincushion cactus</p> <p>Discocacti</p> <p>Lindsay's hedgehog cacti</p> <p>Lamb's-tail cactus</p> <p>Nelle's cactus</p> <p>Sneed's pincushion cactus</p> <p>Conchilique</p> <p>Conelike Turk's-cap cactus</p> <p>Wonderfully-bristled Turk's cap cactus</p> <p>Woolly waxy-stemmed Turk's-cap cactus</p> <p>Few-spined Turk's-cap cactus</p> <p>Artichoke cactus</p> <p>Grenadier's cap</p>

	Annex A	Annex B	Annex C	Common name
	<i>Pediocactus bradyi</i> (I) <i>Pediocactus knowltonii</i> (I) <i>Pediocactus paradinei</i> (I) <i>Pediocactus peeblesianus</i> (I) <i>Pediocactus sileri</i> (I) <i>Pelecyphora</i> spp. (I) <i>Sclerocactus blainei</i> (I) <i>Sclerocactus brevihamatus</i> ssp. <i>tobuschii</i> (I) <i>Sclerocactus brevispinus</i> (I) <i>Sclerocactus cloverae</i> (I) <i>Sclerocactus erectocentrus</i> (I) <i>Sclerocactus glaucus</i> (I) <i>Sclerocactus mariposensis</i> (I) <i>Sclerocactus mesae-verdae</i> (I) <i>Sclerocactus nyensis</i> (I) <i>Sclerocactus papyracanthus</i> (I) <i>Sclerocactus pubispinus</i> (I) <i>Sclerocactus sileri</i> (I) <i>Sclerocactus wetlandicus</i> (I) <i>Sclerocactus wrightiae</i> (I) <i>Strombocactus</i> spp. (I) <i>Turbincarpus</i> spp. (I) <i>Uebelmannia</i> spp. (I)			Brady's pincushion cactus Knowlton's cactus Houserock valley cactus Peebles's Navajo cactus Siler's pincushion cactus Pine cane cactus Blaine's fishhook cactus Tobusch fishhook cactus Pariette cactus New Mexico fishhook cactus Needle-spined pineapple cactus Colorado hookless cactus Mariposa cactus Mesa Verde cactus Tonopah fishhook cactus Grama-grass cactus Great-Basin fishhook cactus Siler's fishhook cactus Unita Basin hookless cactus Wright's fishhook cactus Peyote Turbincarps Uebelmann cacti
CARYOCARACEAE		<i>Caryocar costaricense</i> (II) #4		<b>Ajos</b> Ajillo

	Annex A	Annex B	Annex C	Common name
COMPOSITAE (ASTERACEAE)				<b>Asters, daisies, costus</b>  Costus
CUCURBITACEAE		<i>Zygosicyos pubescens</i> (II) (also known as <i>Xerosicyos pubescens</i> )  <i>Zygosicyos tripartitus</i> (II)		Tobory  Betoboky
CUPRESSACEAE	<i>Fitzroya cupressoides</i> (I)  <i>Pilgerodendron uviferum</i> (I)			<b>Cypresses</b>  Alerce Pilgerodendron
CYATHEACEAE		<i>Cyathea</i> spp. (II) #4		<b>Tree ferns</b>  Tree ferns
CYCADACEAE	<i>Cycas beddomei</i> (I)	CYCADACEAE spp. (II) (Except for the species included in Annex A) #4		<b>Cycads</b>  Cycads  Beddome's cycad
DICKSONIACEAE		<i>Cibotium barometz</i> (II) #4  <i>Dicksonia</i> spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation. This includes the synonyms <i>Dicksonia berteriana</i> , <i>D. externa</i> , <i>D. sellowiana</i> and <i>D. stuebelii</i> ) #4		<b>Tree ferns</b>  Tree ferns
DIDIEREACEAE		DIDIEREACEAE spp. (II) #4		<b>Didiereas</b>  Alluaudias, didiereas
DIOSCOREACEAE		<i>Dioscorea deltoidea</i> (II) #4		<b>Yams</b>  Elephant's foot

	Annex A	Annex B	Annex C	Common name
DROSERACEAE		<i>Dionaea muscipula</i> (II) #4		<b>Sundews</b> Venus fly-trap
EBENACEAE		<i>Diospyros</i> spp. (II) (Only the populations of Madagascar; no other population is included in the Annexes to this Regulation) #5		<b>Ebonies</b>
EUPHORBIACEAE		<p><i>Euphorbia</i> spp. (II) #4</p> <p>(Succulent species only except for:</p> <p>(1) <i>Euphorbia misera</i>;</p> <p>(2) artificially propagated specimens of cultivars of <i>Euphorbia trigona</i>;</p> <p>(3) artificially propagated specimens of <i>Euphorbia lactea</i> grafted on artificially propagated root stock of <i>Euphorbia nerifolia</i>, when they are:</p> <ul style="list-style-type: none"> <li>— crested, or</li> <li>— fan-shaped, or</li> <li>— colour mutants;</li> </ul> <p>(4) artificially propagated specimens of cultivars of <i>Euphorbia 'Mili'</i> when they are:</p> <ul style="list-style-type: none"> <li>— readily recognisable as artificially propagated specimens, and</li> <li>— introduced into or (re-)exported from the Union in shipments of 100 or more plants;</li> </ul> <p>which are not subject to this Regulation, and</p> <p>(5) the species included in Annex A)</p>		<b>Spurges</b> Euphorbias

	Annex A	Annex B	Annex C	Common name
	<p><i>Euphorbia ambovombensis</i> (I)</p> <p><i>Euphorbia capsaintemariensis</i> (I)</p> <p><i>Euphorbia cremersii</i> (I) (Includes the forma <i>viridifolia</i> and the var. <i>rakotozafyi</i>)</p> <p><i>Euphorbia cylindrifolia</i> (I) (Includes the ssp. <i>tuberifera</i>)</p> <p><i>Euphorbia decaryi</i> (I) (Includes the vars. <i>ampanihyensis</i>, <i>robinsonii</i> and <i>sprirosticha</i>)</p> <p><i>Euphorbia francoisii</i> (I)</p> <p><b><i>Euphorbia handiensis</i> (II)</b></p> <p><b><i>Euphorbia lambii</i> (II)</b></p> <p><i>Euphorbia moratii</i> (I) (Includes the vars. <i>antsingensis</i>, <i>bemarahensis</i> and <i>multiflora</i>)</p> <p><i>Euphorbia parvicyathophora</i> (I)</p> <p><i>Euphorbia quartziticola</i> (I)</p> <p><b><i>Euphorbia stygiana</i> (II)</b></p> <p><i>Euphorbia tulearensis</i> (I)</p>			
FAGACEAE			<i>Quercus mongolica</i> (III Russian Federation) #5	<b>Beeches, oaks</b> Mongolian oak
FOUQUIERIACEAE	<p><i>Fouquieria fasciculata</i> (I)</p> <p><i>Fouquieria purpusii</i> (I)</p>	<i>Fouquieria columnaris</i> (II) #4		<b>Ocotillos, boojums</b> Boojum tree Arbol del barril
GNETACEAE			<i>Gnetum montanum</i> (III Nepal) #1	<b>Joint firs</b>



	Annex A	Annex B	Annex C	Common name
JUGLANDACEAE		<i>Oreomunnea pterocarpa</i> (II) #4		<b>Walnuts, gavilan</b> Gavilàn
LAURACEAE		<i>Aniba rosaeodora</i> (II) (also known as <i>A. duckei</i> ) #12		Brazilian rosewood
LEGUMINOSAE (FABACEAE)	<i>Dalbergia nigra</i> (I)	<i>Caesalpinia echinata</i> (II) #10 <i>Dalbergia</i> spp. (II) (Except for the species included in Annex A) #15  <i>Guibourtia demeusei</i> (II) #15 <i>Guibourtia pellegriniana</i> (II) #15 <i>Guibourtia tessmannii</i> (II) #15 <i>Pericopsis elata</i> (II) #5 <i>Platymiscium pleiostachyum</i> (II) #4 <i>Pterocarpus erinaceus</i> (II)  <i>Pterocarpus santalinus</i> (II) #7 <i>Senna meridionalis</i> (II)	<i>Dipteryx panamensis</i> (III Costa Rica/Nicaragua)	<b>Legumes</b> Brazil wood  Brazilian rosewood Almendro Red bubinga Rose bubinga, kevazingo Rose bubinga, kevazingo Afromosia Quira macawood African rosewood, Senegalese rosewood, kosso Red sandalwood Taraby
LILIACEAE	<i>Aloe albida</i> (I)	<i>Aloe</i> spp. (II) (Except for the species included in Annex A and <i>Aloe vera</i> , also known as <i>Aloe barbadensis</i> , which is not included in the Annexes) #4		<b>Lilies</b> Aloes

	Annex A	Annex B	Annex C	Common name
	<i>Aloe albiflora</i> (I) <i>Aloe alfredii</i> (I) <i>Aloe bakeri</i> (I) <i>Aloe bellatula</i> (I) <i>Aloe calcairophila</i> (I) <i>Aloe compressa</i> (I) (Includes the vars. <i>paucituberculata</i> , <i>rugosquamosa</i> and <i>schistophila</i> ) <i>Aloe delphinensis</i> (I) <i>Aloe descoingsii</i> (I) <i>Aloe fragilis</i> (I) <i>Aloe haworthioides</i> (I) (Includes the var. <i>aurantiaca</i> ) <i>Aloe helenae</i> (I) <i>Aloe laeta</i> (I) (Includes the var. <i>maniaensis</i> ) <i>Aloe parallelifolia</i> (I) <i>Aloe parvula</i> (I) <i>Aloe pillansii</i> (I) <i>Aloe polyphylla</i> (I) <i>Aloe rauhii</i> (I) <i>Aloe suzannae</i> (I) <i>Aloe versicolor</i> (I) <i>Aloe vossii</i> (I)			
MAGNOLIACEAE			<i>Magnolia liliifera</i> var. <i>obovata</i> (III Nepal) #1	<b>Magnolias</b> Safan

	Annex A	Annex B	Annex C	Common name
MALVACEAE		<i>Adansonia grandidieri</i> (II) #16		Grandidier's baobab
MELIACEAE		<i>Swietenia humilis</i> (II) #4 <i>Swietenia macrophylla</i> (II) (Population of the Neotropics — includes Central and South America and the Caribbean) #6 <i>Swietenia mahagoni</i> (II) #5	<i>Cedrela fissilis</i> (III Bolivia, Brazil) #5 <i>Cedrela lilloi</i> (III Bolivia, Brazil) #5 <i>Cedrela odorata</i> (III Bolivia/Brazil. In addition, the following countries have listed their national populations: Colombia, Guatemala and Peru) #5	<b>Mahoganies, cedars</b>  Spanish cedar  Honduras mahogany  Big-leaf mahogany  Caribbean mahogany
NEPENTHACEAE	<i>Nepenthes khasiana</i> (I) <i>Nepenthes rajah</i> (I)	<i>Nepenthes</i> spp. (II) (Except for the species included in Annex A) #4		<b>Pitcher plants (old-world)</b>  Tropical pitcher plants  Indian pitcher plant  Giant tropical pitcher plant
OLEACEAE			<i>Fraxinus mandshurica</i> (III Russian Federation) #5	<b>Olives, ashes</b>  Manchurian ash
ORCHIDACEAE		ORCHIDACEAE spp. (II) (Except for the species included in Annex A) (?) #4		<b>Orchids</b>  Orchids

	Annex A	Annex B	Annex C	Common name
	<p>For all of the following Annex A orchid species, seedling or tissue cultures are not subject to this Regulation, when:</p> <ul style="list-style-type: none"> <li>— they are obtained <i>in vitro</i>, in solid or liquid media, and</li> <li>— meet the definition of ‘artificially propagated’ in accordance with Article 56 of Commission Regulation (EC) No 865/2006 <sup>(8)</sup>, and</li> <li>— when introduced into or (re-)exported from the Union are transported in sterile containers</li> </ul> <p><i>Aerangis ellisii</i> (I)</p> <p><b><i>Cephalanthera cucullata</i> (II)</b></p> <p><b><i>Cypripedium calceolus</i> (II)</b></p> <p><i>Dendrobium cruentum</i> (I)</p> <p><b><i>Goodyera macrophylla</i> (II)</b></p> <p><i>Laelia jongheana</i> (I)</p> <p><i>Laelia lobata</i> (I)</p> <p><b><i>Liparis loeselii</i> (II)</b></p> <p><b><i>Ophrys argolica</i> (II)</b></p> <p><b><i>Ophrys lunulata</i> (II)</b></p> <p><b><i>Orchis scopulorum</i> (II)</b></p> <p><i>Paphiopedilum</i> spp. (I)</p> <p><i>Peristeria elata</i> (I)</p> <p><i>Phragmipedium</i> spp. (I)</p> <p><i>Renanthera imschootiana</i> (I)</p> <p><b><i>Spiranthes aestivalis</i> (II)</b></p>			<p>Hooded helleborine</p> <p>Lady’s slipper orchid</p> <p>Madeiran lady’s-tresses</p> <p>Fen orchid</p> <p>Eyed bee orchid</p> <p>Crescent ophrys</p> <p>Madeiran orchid</p> <p>Asian slipper orchids</p> <p>Holy ghost orchid</p> <p>South American slipper orchids</p> <p>Red vanda</p> <p>Summer lady’s-tresses</p>

	Annex A	Annex B	Annex C	Common name
OROBANCHACEAE		<i>Cistanche deserticola</i> (II) #4		<b>Broomrapes</b> Desert cistanche
PALMAE (ARECACEAE)	<i>Dypsis decipiens</i> (I)	<i>Beccariophoenix madagascariensis</i> (II) #4 <i>Dypsis decaryi</i> (II) #4  <i>Lemurophoenix halleuxii</i> (II)  <i>Marojejya darianii</i> (II) <i>Ravenea louvelii</i> (II) <i>Ravenea rivularis</i> (II) <i>Satranala decussilvae</i> (II) <i>Voanioala gerardii</i> (II)	<i>Lodoicea maldivica</i> (III Seychelles) #13	<b>Palms</b> Manarano Triangle palm Butterfly palm Hovitra varimena Coco de Mer Ravimbe Lakamarefo Gora Satranabe Voanioala
PAPAVERACEAE			<i>Meconopsis regia</i> (III Nepal) #1	<b>Poppies</b> Himalayan poppy
PASSIFLORACEAE		<i>Adenia firingalavensis</i> (II) <i>Adenia olaboensis</i> (II) <i>Adenia subsessilifolia</i> (II)		Bottle liana Vahisasety Katakata
PEDALIACEAE		<i>Uncarina grandidieri</i> (II) <i>Uncarina stellulifera</i> (II)		<b>Pedaliium family</b> Uncarina Uncarina

	Annex A	Annex B	Annex C	Common name
PINACEAE	<i>Abies guatemalensis</i> (I)		<i>Pinus koraiensis</i> (III Russian Federation) #5	<b>Pine family</b> Guatemalan fir
PODOCARPACEAE	<i>Podocarpus parlatorei</i> (I)		<i>Podocarpus neriifolius</i> (III Nepal) #1	<b>Podocarps</b> Yellow wood Parlatore's podocarp
PORTULACACEAE		<i>Anacampseros</i> spp. (II) #4 <i>Avonia</i> spp. (II) #4 <i>Lewisia serrata</i> (II) #4		<b>Portulacas, purslanes</b> Purslanes Saw-toothed lewisia
PRIMULACEAE		<i>Cyclamen</i> spp. (II) (°) #4		<b>Primulas, cyclamens</b> Cyclamens
RANUNCULACEAE		<i>Adonis vernalis</i> (II) #2 <i>Hydrastis canadensis</i> (II) #8		<b>Buttercups</b> Yellow adonis Golden seal
ROSACEAE		<i>Prunus africana</i> (II) #4		<b>Roses, cherries</b> African cherry
RUBIACEAE	<i>Balmea stormiae</i> (I)			Ayugue Ayugue
SANTALACEAE		<i>Osyris lanceolata</i> (II) (Only the populations of Burundi, Ethiopia, Kenya, Rwanda, Uganda and the United Republic of Tanzania; no other population is included in the Annexes) #2		East African sandalwood

	Annex A	Annex B	Annex C	Common name
SARRACENIACEAE	<p><i>Sarracenia oreophila</i> (I)</p> <p><i>Sarracenia rubra</i> ssp. <i>alabamensis</i> (I)</p> <p><i>Sarracenia rubra</i> ssp. <i>jonesii</i> (I)</p>	<i>Sarracenia</i> spp. (II) (Except for the species included in Annex A) #4		<p><b>Pitcher plants (new world)</b></p> <p>Pitcher plants</p> <p>Green pitcher plant</p> <p>Alabama canebrake pitcher plant</p> <p>Mountain sweet pitcher plant</p>
SCROPHULARIACEAE		<i>Picrorhiza kurrooa</i> (II) (excludes <i>Picrorhiza scrophulariiflora</i> ) #2		<p><b>Figworts</b></p> <p>Indian gentian</p>
STANGERIACEAE	<p><i>Stangeria eriopus</i> (I)</p>	<i>Bowenia</i> spp. (II) #4		<p><b>Stangerias (cycads)</b></p> <p>Cycads</p> <p>Stangeria</p>
TAXACEAE		<p><i>Taxus chinensis</i> and infraspecific taxa of this species (II) #2</p> <p><i>Taxus cuspidata</i> and infraspecific taxa of this species (II) <sup>(10)</sup> #2</p> <p><i>Taxus fuana</i> and infraspecific taxa of this species (II) #2</p> <p><i>Taxus sumatrana</i> and infraspecific taxa of this species (II) #2</p> <p><i>Taxus wallichiana</i> (II) #2</p>		<p><b>Yews</b></p> <p>Chinese yew</p> <p>Japanese yew</p> <p>Tibetan yew</p> <p>Sumatran yew</p> <p>Himalayan yew</p>
THYMELAEACEAE (AQUILARIACEAE)		<p><i>Aquilaria</i> spp. (II) #14</p> <p><i>Gonystylus</i> spp. (II) #4</p>		<p><b>Agarwood, ramin</b></p> <p>Agarwood</p> <p>Ramin</p>

	Annex A	Annex B	Annex C	Common name
		<i>Gyrinops</i> spp. (II) #14		Agarwood
TROCHODENDRACEAE (TETRACENTRACEAE)			<i>Tetracentron sinense</i> (III Nepal) #1	<b>Tetracentrons</b>
VALERIANACEAE		<i>Nardostachys grandiflora</i> (II) #2		<b>Valerians</b>
VITACEAE		<i>Cyphostemma elephantopus</i> (II) <i>Cyphostemma laza</i> (II) <i>Cyphostemma montagnacii</i> (II)		Lazampasika Laza Lazambohitra
WELWITSCHIACEAE		<i>Welwitschia mirabilis</i> (II) #4		<b>Welwitschias</b> Welwitschia
ZAMIACEAE	<i>Ceratozamia</i> spp. (I) <i>Encephalartos</i> spp. (I) <i>Microcycas calocoma</i> (I) <i>Zamia restrepoi</i> (I)	ZAMIACEAE spp. (II) (Except for the species included in Annex A) #4		<b>Cycads</b> Cycads Horncones Bread palms Palm corcho
ZINGIBERACEAE		<i>Hedychium philippinense</i> (II) #4 <i>Siphonochilus aethiopicus</i> (II) (Populations of Mozambique, South Africa, Swaziland and Zimbabwe)		<b>Ginger lilies</b> Philippine garland-flower Natal ginger



	Annex A	Annex B	Annex C	Common name
ZYGOPHYLLACEAE		<i>Bulnesia sarmientoi</i> (II) #11  <i>Guaiaicum</i> spp. (II) #2		<b>Lignum-vitae</b>  Holy wood  Lignum-vitae

(<sup>1</sup>) For the exclusive purpose of allowing international trade in fibre from vicuñas (*Vicugna vicugna*) and their derivative products, only if the fibre comes from the shearing of live vicuñas. Trade in products derived from the fibre may only take place in accordance with the following provisions:

- a) Any person or entity processing vicuña fibre to manufacture cloth and garments must request authorization from the relevant authorities of the country of origin [Countries of origin: The countries where the species occurs, that is, Argentina, Bolivia, Chile, Ecuador and Peru] to use the 'vicuña country of origin' wording, mark or logo adopted by the range States of the species that are signatories to the Convention for the Conservation and Management of the Vicuña.
- b) Marketed cloth or garments must be marked or identified in accordance with the following provisions:
- i) For international trade in cloth made from live-sheared vicuña fibre, whether the cloth was produced within or outside of the range States of the species, the wording, mark or logo must be used so that the country of origin can be identified. The VICUÑA [COUNTRY OF ORIGIN] wording, mark or logo has the format as detailed below:



This wording, mark or logo must appear on the reverse side of the cloth. In addition, the selvages of the cloth must bear the words VICUÑA [COUNTRY OF ORIGIN].

- ii) For international trade in garments made from live-sheared vicuña fibre, whether the garments were produced within or outside of the range States of the species, the wording, mark or logo indicated in paragraph b) i) must be used. This wording, mark or logo must appear on a label in the garment itself. If the garments are produced outside of the country of origin, the name of the country where the garment was produced should also be indicated, in addition to the wording, mark or logo referred to in paragraph b) i).
- c) For international trade in handicraft products made from live-sheared vicuña fibre produced within the range States of the species, the VICUÑA [COUNTRY OF ORIGIN] — ARTESANÍA wording, mark or logo must be used as detailed below:



- d) If live-sheared vicuña fibre from various countries of origin is used for the production of cloth and garments, the wording, mark or logo of each of the countries of origin of the fibre must be indicated, as detailed in paragraphs b) i) and ii).
- e) All other specimens shall be deemed to be specimens of species listed in Appendix I and the trade in them shall be regulated accordingly.
- (<sup>2</sup>) All species are listed in Appendix II to the Convention except *Balaena mysticetus*, *Eubalaena* spp., *Balaenoptera acutorostrata* (except population of West Greenland), *Balaenoptera bonaerensis*, *Balaenoptera borealis*, *Balaenoptera edeni*, *Balaenoptera musculus*, *Balaenoptera omurai*, *Balaenoptera physalus*, *Megaptera novaeangliae*, *Orcaella brevirostris*, *Orcaella heinsohni*, *Sotalia* spp., *Sousa* spp., *Eschrichtius robustus*, *Lipotes vexillifer*, *Caperea marginata*, *Neophocaena asiaorientalis*, *Neophocaena phocaenoides*, *Phocoena sinus*, *Physeter macrocephalus*, *Platanista* spp., *Berardius* spp., *Hyperoodon* spp., which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes.

(3) Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Annex B):

For the exclusive purpose of allowing: (a) trade in hunting trophies for non-commercial purposes; (b) trade in live animals to appropriate and acceptable destinations as defined in Resolution Conf. 11.20 for Botswana and Zimbabwe and for *in situ* conservation programmes for Namibia and South Africa; (c) trade in hides; (d) trade in hair; (e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe; (f) trade in individually marked and certified Ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe; (g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: (i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); (ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP14) concerning domestic manufacturing and trade; (iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; (iv) raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at CoP12 which are 20 000 kg (Botswana), 10 000 kg (Namibia) and 30 000 kg (South Africa); (v) in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Namibia, South Africa and Zimbabwe registered by 31 January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in paragraph (g)(iv) above in a single sale per destination under strict supervision of the Secretariat; (vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and (vii) the additional quantities specified in paragraph (g)(v) above shall be traded only after the Standing Committee has agreed that the above conditions have been met; (h) no further proposals to allow trade in elephant ivory from populations already in Annex B shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraphs (g)(i), (g)(ii), (g)(iii), (g)(vi), and (g)(vii). In addition, such further proposals shall be dealt with in accordance with Decisions 14.77 and 14.78 (Rev. CoP15). On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

## (4) The following are not subject to the provisions of this Regulation:

Fossils;

Coral sand, that is to say, material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter, not identifiable to the level of genus, and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae;

Coral fragments (including gravel and rubble), that is to say, unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm measured in any direction, not identifiable to the level of genus.

## (5) Trade of specimens with source code A is allowed only if specimens traded possess cataphylls.

## (6) Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:

*Hatiora x graeseri*

*Schlumbergera x buckleyi*

*Schlumbergera russelliana x Schlumbergera truncata*

*Schlumbergera orssichiana x Schlumbergera truncata*

*Schlumbergera opuntiooides x Schlumbergera truncata*

*Schlumbergera truncata* (cultivars)

Cactaceae spp. colour mutants grafted on the following grafting stocks: *Harrisia 'Jusbertii'*, *Hylocereus trigonus* or *Hylocereus undatus*

*Opuntia microdasys* (cultivars)

- (7) Artificially propagated hybrids of *Cymbidium*, *Dendrobium*, *Phalaenopsis* and *Vanda* are not subject to the provisions of this Regulation, when specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and
- (a) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or
- (b) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification. Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.
- (8) Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein (OJ L 166, 19.6.2006, p. 1).
- (9) Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.
- (10) Artificially propagated hybrids and cultivars of *Taxus cuspidata*, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of this Regulation.

	Annex D	Common name
<b>FAUNA</b>		
CHORDATA (CHORDATES)		
<b>MAMMALIA</b>		<b>Mammals</b>
CARNIVORA		
<b>Canidae</b>		<b>Dogs, foxes, wolves</b>
	<i>Vulpes vulpes griffithi</i> (III India) §1	Red fox
	<i>Vulpes vulpes montana</i> (III India) §1	Red fox
	<i>Vulpes vulpes pusilla</i> (III India) §1	Red fox
<b>Mustelidae</b>		<b>Badgers, martens, weasels etc.</b>
	<i>Mustela altaica</i> (III India) §1	Mountain weasel
	<i>Mustela erminea ferghanae</i> (III India) §1	Stoat
	<i>Mustela kathiah</i> (III India) §1	Yellow-bellied weasel
	<i>Mustela sibirica</i> (III India) §1	Siberian weasel

	Annex D	Common name
DIPROTODONTIA		
<b>Macropodidae</b>		<b>Kangaroos, wallabies</b>
	<i>Dendrolagus dorianus</i>	Doria's tree-kangaroo
	<i>Dendrolagus goodfellowi</i>	Goodfellow's tree-kangaroo
	<i>Dendrolagus matschiei</i>	Huon tree-kangaroo
	<i>Dendrolagus pulcherrimus</i>	Golden-mantled tree-kangaroo
	<i>Dendrolagus stellarum</i>	Seri's tree-kangaroo
AVES		<b>Birds</b>
ANSERIFORMES		
<b>Anatidae</b>		<b>Ducks, geese, swans</b>
	<i>Anas melleri</i>	Meller's duck
COLUMBIFORMES		
<b>Columbidae</b>		<b>Doves, pigeons</b>
	<i>Columba oenops</i>	Peruvian pigeon
	<i>Didunculus strigirostris</i>	Tooth-billed pigeon
	<i>Ducula pickeringii</i>	Grey imperial-pigeon
	<i>Gallicolumba crinigera</i>	Mindanao bleeding-heart
	<i>Ptilinopus marchei</i>	Flame-breasted fruit-dove
	<i>Turacoena modesta</i>	Black cuckoo-dove
GALLIFORMES		
<b>Cracidae</b>		<b>Chachalacas, curassows, guans</b>
	<i>Crax alector</i>	Black curassow
	<i>Pauxi unicornis</i>	Horned curassow
	<i>Penelope pileata</i>	White-crested guan
<b>Megapodiidae</b>		<b>Megapodes, scrubfowl</b>
	<i>Eulipoa wallacei</i>	Moluccan scrubfowl

	Annex D	Common name
<b>Phasianidae</b>	<i>Arborophila gingica</i> <i>Lophura bulweri</i> <i>Lophura diardi</i> <i>Lophura inornata</i> <i>Syrmaticus reevesii</i> §2	<b>Grouse, guineafowl, partridges, pheasants, tragopans</b> White-necklaced partridge Bulwer's pheasant Siamese fireback Salvadori's pheasant Reeves's pheasant
PASSERIFORMES <b>Bombycillidae</b>	<i>Bombycilla japonica</i>	<b>Waxwings</b> Japanese waxwing
<b>Corvidae</b>	<i>Cyanocorax caeruleus</i> <i>Cyanocorax dickeyi</i>	<b>Crows, magpies, jays</b> Azure jay Tufted jay
<b>Cotingidae</b>	<i>Procnias nudicollis</i>	<b>Cotingas</b> Bare-throated bellbird
<b>Emberizidae</b>	<i>Dacnis nigripes</i> <i>Sporophila falcirostris</i> <i>Sporophila frontalis</i> <i>Sporophila hypochroma</i> <i>Sporophila palustris</i>	<b>Cardinals, seedeaters, tanagers</b> Black-legged dacnis Temminck's seedeater Buffy-throated seedeater Grey-and-chestnut seedeater Marsh seedeater
<b>Estrildidae</b>	<i>Amandava amandava</i> <i>Cryptospiza reichenovii</i> <i>Erythrura coloria</i> <i>Erythrura viridifacies</i> <i>Estrilda quartinia</i> (Frequently traded as <i>Estrilda melanotis</i> )	<b>Mannikins, waxbills</b> Red avadavat Red-faced crimson-wing Red-eared parrotfinch Green-faced parrotfinch Yellow-bellied waxbill

	Annex D	Common name
	<i>Hypargos niveoguttatus</i> <i>Lonchura griseicapilla</i> <i>Lonchura punctulata</i> <i>Lonchura stygia</i>	Peters's twinspace Grey-headed silverbill Scaly-breasted munia Black munia
<b>Fringillidae</b>	<i>Carduelis ambigua</i> <i>Carduelis atrata</i> <i>Kozlowia roborowskii</i> <i>Pyrrhula erythaca</i> <i>Serinus canicollis</i> <i>Serinus citrinelloides hypostictus</i> (Frequently traded as <i>Serinus citrinelloides</i> )	<b>Finches</b> Black-headed greenfinch Black siskin Tibetan rosefinch Grey-headed bullfinch Cape canary East African citril
<b>Icteridae</b>	<i>Sturnella militaris</i>	<b>New-world blackbirds</b> Pampas meadowlark
<b>Muscicapidae</b>	<i>Cochoa azurea</i> <i>Cochoa purpurea</i> <i>Garrulax formosus</i> <i>Garrulax galbanus</i> <i>Garrulax milnei</i> <i>Niltava davidi</i> <i>Stachyris whiteheadi</i> <i>Swynnertonia swynnertoni</i> (Also referenced as <i>Pogonicichla swynnertoni</i> ) <i>Turdus dissimilis</i>	<b>Old-world flycatchers, thrushes</b> Javan cochoa Purple cochoa Red-winged laughingthrush Yellow-throated laughingthrush Red-tailed laughing thrush Fujian niltava Chestnut-faced babbler Swynnerton's robin Black-breasted thrush
<b>Pittidae</b>	<i>Pitta nipalensis</i> <i>Pitta steerii</i>	<b>Pittas</b> Blue-naped pitta Azure-breasted pitta

	Annex D	Common name
<b>Sittidae</b>	<i>Sitta magna</i> <i>Sitta yunnanensis</i>	<b>Nuthatches</b> Giant nuthatch Yunnan nuthatch
<b>Sturnidae</b>	<i>Lamprotornis regius</i> <i>Mino dumontii</i> <i>Sturnus erythropygius</i>	<b>Mynas, starlings</b> Golden-breasted starling Yellow-faced myna White-headed starling
<b>REPTILIA</b>		<b>Reptiles</b>
SAURIA		
<b>Agamidae</b>	<i>Physignathus cocincinus</i>	<b>Chinese water dragon</b>
<b>Gekkonidae</b>	<i>Rhacodactylus auriculatus</i> <i>Rhacodactylus ciliatus</i> <i>Rhacodactylus leachianus</i> <i>Teratoscincus microlepis</i> <i>Teratoscincus scincus</i>	<b>Geckos</b> New Caledonia bumpy gecko Guichenot's giant gecko New Caledonia giant gecko Small-scaled wonder gecko Common wonder gecko
<b>Gerrhosauridae</b>	<i>Zonosaurus karsteni</i> <i>Zonosaurus quadrilineatus</i>	<b>Spiny-tailed lizards</b> Karsten's girdled lizard Four-lined girdled lizard
<b>Iguanidae</b>	<i>Ctenosaura quinquecarinata</i>	Club-tail iguana
<b>Scincidae</b>	<i>Tribolonotus gracilis</i> <i>Tribolonotus novaeguineae</i>	<b>Skinks</b> Crocodile skink New Guinea helmet skink

	Annex D	Common name
SERPENTES		
<b>Colubridae</b>		<b>Typical snakes, water snakes, whip snakes</b>
	<i>Elaphe carinata</i> §1	Taiwan stink snake
	<i>Elaphe radiata</i> §1	Radiated rat snake
	<i>Elaphe taeniura</i> §1	Taiwan beauty snake
	<i>Enhydris bocourti</i> §1	Bocourt's water snake
	<i>Homalopsis buccata</i> §1	Masked water snake
	<i>Langaha nasuta</i>	Northern leafnose snake
	<i>Leioheterodon madagascariensis</i>	Madagascar menarana snake
	<i>Ptyas korros</i> §1	Indochinese rat snake
	<i>Rhabdophis subminiatus</i> §1	Redneck keelback
<b>Hydrophiidae</b>		<b>Sea snakes</b>
	<i>Lapemis curtus</i> (Includes <i>Lapemis hardwickii</i> ) §1	Shaw's sea snake
<b>Viperidae</b>		<b>Vipers</b>
	<i>Calloselasma rhodostoma</i> §1	Malayan pit viper
AMPHIBIA		
ANURA		Frogs and toads
<b>Dicroglossidae</b>		<b>Frogs</b>
	<i>Limnonectes macrodon</i>	Fanged River Frog or Javan Giant Frog
<b>Hylidae</b>		<b>Tree frogs</b>
	<i>Phyllomedusa sauvagii</i>	Waxy monkey tree frog
<b>Leptodactylidae</b>		<b>Neotropical frogs</b>
	<i>Leptodactylus laticeps</i>	Red spotted burrow frog
<b>Ranidae</b>		<b>Frogs</b>
	<i>Pelophylax shqiperica</i>	Albanian pool frog



	Annex D	Common name
CAUDATA		
<b>Hynobiidae</b>	<i>Ranodon sibiricus</i>	<b>Asiatic salamanders</b> Semirechensk salamander/Central Asian salamander/Siberian salamander
<b>Plethodontidae</b>	<i>Bolitoglossa dofleini</i>	<b>Lungless salamanders</b> Giant palm salamander
<b>Salamandridae</b>	<i>Cynops ensicauda</i> <i>Echinotriton andersoni</i> <i>Laotriton laoensis</i> <i>Liangshantriton taliangensis</i> <i>Paramesotriton</i> spp. (Except for the species included in Annex B) <i>Tylototriton</i> spp.	<b>Newts and salamanders</b> Sword-tailed newt Anderson's salamander Paddletail newt Warty newt Crocodile newts
ACTINOPTERYGII		<b>Fish</b>
PERCIFORMES		
<b>Apogonidae</b>	<i>Pterapogon kauderni</i>	Banggai cardinalfish
ARTHROPODA (ARTHROPODS)		
INSECTA		<b>Insects</b>
LEPIDOPTERA		Butterflies
<b>Papilionidae</b>	<i>Baronia brevicornis</i> <i>Papilio grose-smithi</i> <i>Papilio maraho</i>	<b>Birdwing and swallow-tail butterflies</b> Short-horned baronia Broad-tailed swallowtail

	Annex D	Common name
<b>MOLLUSCA (MOLLUSCS)</b>		
<b>GASTROPODA</b>		
<b>Haliotidae</b>		
	<i>Haliotis midae</i>	Midas ear abalone
<b>FLORA</b>		
<b>AGAVACEAE</b>		<b>Agaves</b>
	<i>Calibanus hookeri</i>	
	<i>Dasyilirion longissimum</i>	Beargrass
<b>ARACEAE</b>		<b>Arums</b>
	<i>Arisaema dracontium</i>	Green dragon
	<i>Arisaema erubescens</i>	
	<i>Arisaema galeatum</i>	
	<i>Arisaema nepenthoides</i>	
	<i>Arisaema sikokianum</i>	
	<i>Arisaema thunbergii</i> var. <i>urashima</i>	
	<i>Arisaema tortuosum</i>	
	<i>Biarum davisii</i> ssp. <i>marmarisense</i>	
	<i>Biarum ditschianum</i>	
<b>COMPOSITAE (ASTERACEAE)</b>		<b>Asters, daisies, costus</b>
	<i>Arnica montana</i> §3	Mountain tobacco
	<i>Othonna cacalioides</i>	
	<i>Othonna clavifolia</i>	
	<i>Othonna hallii</i>	
	<i>Othonna herrei</i>	
	<i>Othonna lepidocaulis</i>	
	<i>Othonna retrorsa</i>	

	Annex D	Common name
ERICACEAE	<i>Arctostaphylos uva-ursi</i> §3	<b>Heathers, rhododendrons</b> Bearberry
GENTIANACEAE	<i>Gentiana lutea</i> §3	<b>Gentians</b> Great yellow gentian
LILIACEAE	<i>Trillium pusillum</i> <i>Trillium rugelii</i> <i>Trillium sessile</i>	<b>Wakerobins</b> Dwarf wakerobin Ill-scented wakerobin Sessile-flowered wakerobin wood-lily
LYCOPODIACEAE	<i>Lycopodium clavatum</i> §3	<b>Clubmosses</b> Stagshorn clubmoss
MELIACEAE	<i>Cedrela montana</i> §4 <i>Cedrela oaxacensis</i> §4 <i>Cedrela salvadorensis</i> §4 <i>Cedrela tonduzii</i> §4	<b>Mahoganies, cedars</b>
MENYANTHACEAE	<i>Menyanthes trifoliata</i> §3	<b>Bogbeans</b> Bogbean
PARMELIACEAE	<i>Cetraria islandica</i> §3	<b>Parmelioid lichens</b> Icelandic moss
PASSIFLORACEAE	<i>Adenia glauca</i> <i>Adenia pechuelli</i>	<b>Desert roses</b> Desert rose Desert rose
PEDALIACEAE	<i>Harpagophytum</i> spp. §3	<b>Sesame, devil's claw</b> Devil's claw

	Annex D	Common name
PORTULACACEAE	<i>Ceraria carrissoana</i> <i>Ceraria fruticulosa</i>	<b>Portulas, purslanes</b>
SELAGINELLACEAE	<i>Selaginella lepidophylla</i>	<b>Clubmosses, spikemosses</b> Rose of Jericho

**COMMISSION IMPLEMENTING REGULATION (EU) 2017/129****of 25 January 2017****amending Implementing Regulation (EU) No 743/2013 introducing protective measures on imports of bivalve molluscs from Turkey intended for human consumption, as regards its period of application****(Text with EEA relevance)**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Directive 97/78/EC of 18 December 1997 laying down the principles governing the organisation of veterinary checks on products entering the Community from third countries <sup>(1)</sup>, and in particular Article 22(6) thereof,

Whereas:

- (1) Commission Implementing Regulation (EU) No 743/2013 <sup>(2)</sup>, was adopted as audits of the Commission's audit service identified deficiencies in Turkey in the implementation of official controls of the production of bivalve molluscs intended for export to the Union, and as Member States reported non-compliant consignments of bivalve molluscs originating in Turkey which were not fulfilling Union microbiological standards.
- (2) The last audit of the Commission's audit service, which took place in September 2015, found significant deficiencies in the control system for bivalve molluscs intended for export to the Union still existed. The Turkish competent authorities presented information regarding the corrective measures initiated to address those deficiencies. However, some of those deficiencies, notably in the performance of laboratories, still exist. Due to the nature of the products involved, before any lifting of measures can be considered, a follow-up audit is necessary.
- (3) The limit of application of Implementing Regulation (EU) No 743/2013 should therefore be amended accordingly.
- (4) The measures provided for in this Regulation are in accordance with the opinion of the Standing Committee on Plants, Animals, Food and Feed,

HAS ADOPTED THIS REGULATION:

*Article 1*

In the second paragraph of Article 5 of Implementing Regulation (EU) No 743/2013, the date '31 December 2016' is replaced by the date '31 December 2017'.

*Article 2*This Regulation shall enter into force on the third day following that of its publication in the *Official Journal of the European Union*.<sup>(1)</sup> OJ L OJ L 24, 30.1.1998, p. 9.<sup>(2)</sup> Commission Implementing Regulation (EU) No 743/2013 of 31 July 2013 on introducing protective measures on imports of bivalve molluscs from Turkey intended for human consumption (OJ L 205, 1.8.2013, p. 1).

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 25 January 2017.

*For the Commission*

*The President*

Jean-Claude JUNCKER

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**COMMISSION IMPLEMENTING REGULATION (EU) 2017/130****of 25 January 2017****establishing the standard import values for determining the entry price of certain fruit and vegetables**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 <sup>(1)</sup>,

Having regard to Commission Implementing Regulation (EU) No 543/2011 of 7 June 2011 laying down detailed rules for the application of Council Regulation (EC) No 1234/2007 in respect of the fruit and vegetables and processed fruit and vegetables sectors <sup>(2)</sup>, and in particular Article 136(1) thereof,

Whereas:

- (1) Implementing Regulation (EU) No 543/2011 lays down, pursuant to the outcome of the Uruguay Round multilateral trade negotiations, the criteria whereby the Commission fixes the standard values for imports from third countries, in respect of the products and periods stipulated in Annex XVI, Part A thereto.
- (2) The standard import value is calculated each working day, in accordance with Article 136(1) of Implementing Regulation (EU) No 543/2011, taking into account variable daily data. Therefore this Regulation should enter into force on the day of its publication in the *Official Journal of the European Union*,

HAS ADOPTED THIS REGULATION:

*Article 1*

The standard import values referred to in Article 136 of Implementing Regulation (EU) No 543/2011 are fixed in the Annex to this Regulation.

*Article 2*

This Regulation shall enter into force on the day of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 25 January 2017.

*For the Commission,  
On behalf of the President,*

Jerzy PLEWA

*Director-General*

*Directorate-General for Agriculture and Rural Development*

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<sup>(1)</sup> OJ L 347, 20.12.2013, p. 671.

<sup>(2)</sup> OJ L 157, 15.6.2011, p. 1.

## ANNEX

## Standard import values for determining the entry price of certain fruit and vegetables

(EUR/100 kg)		
CN code	Third country code <sup>(1)</sup>	Standard import value
0702 00 00	MA	135,1
	SN	268,2
	TR	175,2
	ZZ	192,8
0707 00 05	EG	250,3
	MA	79,2
	TR	209,9
0709 91 00	ZZ	179,8
	EG	168,8
	ZZ	168,8
0709 93 10	MA	277,7
	TR	239,8
	ZZ	258,8
0805 10 22, 0805 10 24, 0805 10 28	EG	54,2
	MA	48,6
	TN	59,5
	TR	73,1
	ZZ	58,9
0805 21 10, 0805 21 90, 0805 29 00	EG	83,8
	IL	134,6
	JM	109,0
	MA	80,7
	TR	86,3
	ZZ	98,9
	ZZ	98,9
0805 22 00	IL	139,7
	MA	79,0
	ZZ	109,4
0805 50 10	AR	92,5
	EG	85,5
	TR	93,7
	ZZ	90,6
0808 10 80	CN	145,5
	US	124,9
	ZZ	135,2
0808 30 90	CN	93,3
	TR	154,0
	ZA	84,4
	ZZ	110,6

<sup>(1)</sup> Nomenclature of countries laid down by Commission Regulation (EU) No 1106/2012 of 27 November 2012 implementing Regulation (EC) No 471/2009 of the European Parliament and of the Council on Community statistics relating to external trade with non-member countries, as regards the update of the nomenclature of countries and territories (OJ L 328, 28.11.2012, p. 7). Code 'ZZ' stands for 'of other origin'.



# DECISIONS

## COMMISSION IMPLEMENTING DECISION (EU) 2017/131

of 24 January 2017

**amending Implementing Decision 2014/184/EU exempting certain services in the postal sector in Austria from the application of Directive 2004/17/EC of the European Parliament and of the Council coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors**

*(notified under document C(2017) 259)*

**(Only the German text is authentic)**

**(Text with EEA relevance)**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors <sup>(1)</sup>, and in particular paragraphs 5 and 6 of Article 30 thereof,

After consulting the Advisory Committee for Public Contracts,

Whereas:

- (1) On 1 October 2013, Österreichische Post AG (hereinafter referred to as 'Austrian Post') transmitted a request pursuant to Article 30(5) of Directive 2004/17/EC to the Commission. The request concerns certain postal services as well as certain services other than postal services, both types of services provided by Austrian Post in the territory of Austria. The services concerned are described in the request as follows:
- (a) postal services for addressed letters between business customers (hereinafter referred to as 'B2B') and between business customers and private customers (hereinafter referred to as 'B2C') on a national level ('domestic' and 'inbound');
  - (b) postal services for addressed letters between private customers (hereinafter referred to as 'C2C') and between private customers and business customers (hereinafter referred to as 'C2B') on a national level ('domestic' and 'inbound');
  - (c) postal services for addressed international ('outbound') letters B2B and B2C (hereinafter referred to as 'B2X') as well as C2B and C2C (hereinafter referred to as 'C2X');
  - (d) postal services for addressed advertising letters on a national and international level;
  - (e) postal services for non-addressed advertising letters, on a national and international level;
  - (f) postal services for addressed and unaddressed newspapers;
  - (g) management services for mailrooms;
  - (h) value-added services linked with electronic media and provided entirely by such media;
  - (i) philately — special postage stamps;
  - (j) financial services.

<sup>(1)</sup> OJ L 134, 30.4.2004, p. 1.

- (2) On 2 April 2014, the Commission adopted Implementing Decision 2014/184/EU <sup>(1)</sup>. By that Decision the following postal services in Austria were exempted from the public procurement rules:
- (a) management services for mailroom;
  - (b) value-added services linked with electronic media and provided entirely by such media;
  - (c) philatelic services and payment services provided on its own behalf.
- (3) For the rest of the services specified in the Request the Commission found that the conditions for exemption were not met, hence Directive 2004/17/EC continued to apply.
- (4) On 24 June 2014, the Austrian Post filed <sup>(2)</sup>, to the General Court of the Court of Justice, an action for the partial annulment of the Implementing Decision 2014/184/EU.
- (5) On 27 April 2016, the General Court annulled Implementing Decision 2014/184/EU, in so far as it states that that Directive continues to apply to the market for postal services for B2B and B2C addressed letters on an international level in Austria. The General Court dismissed the Austrian Post action, as to its remainder.
- (6) Implementing Decision 2014/184/EU should therefore be amended accordingly,

HAS DECIDED AS FOLLOWS:

*Article 1*

In Article 1 of Implementing Decision 2014/184/EU the following point is added:

‘(e) postal services for addressed international letters B2B and B2C’;

*Article 2*

This Decision is addressed to the Republic of Austria.

Done at Brussels, 24 January 2017.

*For the Commission*  
Elżbieta BIENKOWSKA  
*Member of the Commission*

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<sup>(1)</sup> Commission Implementing Decision 2014/184/EU of 2 April 2014 exempting certain services in the postal sector in Austria from the application of Directive 2004/17/EC of the European Parliament and of the Council coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 101, 4.4.2014, p. 4).

<sup>(2)</sup> Österreichische Post AG v. Commission, T-463/14, EU:T:2016:243

**COMMISSION IMPLEMENTING DECISION (EU) 2017/132****of 24 January 2017****concerning the applicability of Article 34 of Directive 2014/25/EU of the European Parliament and of the Council to contracts awarded for provision of airport infrastructure for cargo in Austria***(notified under document C(2017) 260)***(Only the German text is authentic)****(Text with EEA relevance)**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors <sup>(1)</sup> and in particular Article 30(5) thereof,

Having regard to Directive 2014/25/EU of the European Parliament and of the Council of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors and repealing Directive 2004/17/EC <sup>(2)</sup> and in particular Article 35(3) thereof,

Having regard to the request submitted by Flughafen Wien,

Whereas:

## 1. FACTS

### 1.1. The Request

- (1) On 16 January 2015, the Commission received from Flughafen Wien (Vienna Airport, hereinafter referred to as 'the Applicant') a request pursuant to Article 30 of Directive 2004/17/EC (hereinafter referred to as 'the Request').
- (2) The Request concerned activities relating to the exploitation of a geographic area for the purpose of the provision of airport infrastructure on the territory of Austria <sup>(3)</sup>.
- (3) The Request is accompanied, by an opinion of the independent authority competent for airport services in Austria, the Federal Ministry for Transport, Innovation and Technology (hereinafter referred to as 'BMVIT'), dated 22 December 2014. That opinion (hereinafter referred to as 'the Opinion') addresses the question as to whether there is free access to the market and whether the activities subject to the Request are directly exposed to competition.
- (4) In 2010, the Applicant first approached the Commission in order to discuss, informally, the possibilities for exemption from public procurement rules. The first exchanges of views were based on a draft request which was discussed during a meeting on 17 March 2010. That meeting was followed by an informal written list of comments and questions sent by the Commission to the Applicant on 12 April 2010.
- (5) On 26 September 2013, the Applicant submitted an updated draft Request. Following that submission, the Commission commented in writing (by letter dated 15 November 2013). The Applicant responded to the Commission letter, on 2 April 2014, and a further informal exchange of views took place in a meeting of 26 June 2014.

<sup>(1)</sup> OJ L 134, 30.4.2004, p. 1.

<sup>(2)</sup> OJ L 94, 28.3.2014, p. 243.

<sup>(3)</sup> Although the Applicant is Vienna airport, the Request concerns the activity of provision of airports in Austria, therefore all Austrian airports are covered by the request.

- (6) On 16 January 2015, the Applicant submitted formally the request for exemption.
- (7) On 5 February 2016, the Applicant withdrew the Request in so far as retail was concerned <sup>(1)</sup>.
- (8) Austrian public procurement law <sup>(2)</sup> allows contracting entities to submit requests under Article 30 of Directive 2004/17/EC.
- (9) VIE is as a contracting entity in line with Article 4(1)(b) of Directive 2014/25/EU, as it carries out an activity relating to the exploitation of a geographical area for the purpose of the provision of airports under Article 12 of Directive 2014/25/EU and operates on the basis of a special right in the meaning of Article 4(3) of Directive 2014/25/EU.
- (10) Following a first assessment, the Commission considered that, the Request did not include the necessary information to enable a proper assessment of the relevant criteria for the purposes of an exemption from public procurement rules.
- (11) On 27 February 2015, the Commission requested the Applicant to supplement the Request with the missing information and to provide empirical evidence in order to demonstrate the choice market definition, together with more reliable data on the competitive situation for each of the markets. The replies were transmitted by the Applicant, by emails of 4 and 15 September 2015. On 21 September 2015 and 23 October 2015 the Commission requested the Applicant to provide further clarifications to the reply of 15 September 2015. The Applicant's replies were received on 14 October 2015, and 5 February 2016. Given the fact that the replies had not been received within the deadline fixed by the Commission, the time-limit for taking a decision was suspended during the period between the expiry of deadline set in the request for information (13 March 2015), and the receipt of complete information (5 February 2016). A further extension of time was mutually agreed between the Parties. The new deadline for adoption was set for 29 July 2016.
- (12) In parallel, on 20 April 2015 the Commission requested additional information from the Austrian authorities. The replies were transmitted by the Austrian authorities, by emails of 3 July 2015.
- (13) A further exchange of correspondence took place in respect of the legal status of the Applicant as contracting entity. On 22 April and 19 May 2016, the Commission requested additional information from the Applicant. The Applicant replied on 4 May and 1 July 2016 respectively. Moreover, the Commission requested additional information on this subject from the Austrian Authorities on 11 July and 23 September 2016. The Austrian Authorities replied on 31 August and 30 September 2016 respectively.
- (14) On 5 July 2016, given that the need to obtain and analyse additional information, the deadline for adoption of a Commission Decision on this case was extended with the agreement of the parties, until 31 October 2016.
- (15) Given the fact that the replies to the request for information had not been received within the deadline fixed by the Commission, the time-limit for taking a decision was suspended during the period between the expiry of deadline set in the request for information (9 August 2016), and the receipt of complete information (31 August 2016), therefore the new deadline for adoption a Commission Decision became 23 November 2016.
- (16) On 8 November 2016, the Applicant withdrew the Request in so far as it extends to the provision of airport infrastructure for passenger traffic, ground handling, and parking. The Request was therefore up-held only in respect of provision of airport infrastructure for cargo. A new deadline for adoption of a Commission decision was mutually agreed by the parties on 18 November 2016. This deadline was set for 31 January 2017.

<sup>(1)</sup> Applicant's letter of 5 February 2016, p. 1.

<sup>(2)</sup> Bundesvergabegesetz, Section 179(5).

- (17) On 23 September 2015, the International Air Transport Association — IATA, submitted a voluntary position paper on the assessment of the competition in the airport sector in Austria in relation to the request for exemption from the public procurement rules. The submission was based on the notice <sup>(1)</sup> of opening the procedure in this case. The position paper focuses on the assessment of the provision of the air passenger international origin-destination services.

## 1.2. The airport sector in Austria

- (18) There are currently 6 commercial airports in Austria, namely Vienna Airport (hereinafter referred to by its IATA code, as 'VIE'), Graz Airport (hereinafter referred to as 'GRZ'), Innsbruck Airport (hereinafter referred to as 'INN'), Klagenfurt Airport (hereinafter referred to as 'KLU'), Linz Airport (hereinafter referred to as 'LNZ') and Salzburg Airport (hereinafter referred to as 'SZG').
- (19) The operator of VIE is a public limited company <sup>(2)</sup> which is listed at the Vienna Stock Exchange since 1992, while the operators of the other Austrian airports are all public limited companies.
- (20) The six Austrian commercial airports served a total of 26,3 million passengers in 2013 <sup>(3)</sup> and around [...] <sup>(4)</sup> tonnes of cargo per year <sup>(5)</sup>.

## 2. LEGAL FRAMEWORK

- (21) Directive 2014/25/EU applies to the award of contracts for the pursuit of activities related to provision of airport infrastructure, unless this activity is exempted pursuant to Article 34 of that Directive.
- (22) Pursuant to Article 34 of Directive 2014/25/EU, contracts intended to enable the performance of an activity to which that Directive applies are not to be subject to that Directive if, in the Member State in which it is carried out, the activity is directly exposed to competition on markets to which access is not restricted. Direct exposure to competition is assessed on the basis of objective criteria, taking account of the specific characteristics of the sector concerned. Access is deemed to be unrestricted if the Member State has implemented and applied the relevant Union legislation opening a given sector or a part of it. That legislation is listed in Annex III to Directive 2014/25/EU. However for the provision of airport installations, that Annex does not list any relevant legislation liberalising this sector. Consequently, free access to the market cannot be presumed, and it has to be demonstrated *de facto* and *de jure*.
- (23) Direct exposure to competition should be evaluated on the basis of various indicators, none of which are, necessarily, *per se*, decisive. In respect of the markets concerned by this decision, the market shares constitute one criterion which should be taken into account. Given the characteristics of the markets concerned, further criteria could also be taken into account.
- (24) This Decision is without prejudice to the application of the rules on competition and other fields of Union law. In particular, the criteria and the methodology used to assess direct exposure to competition under Article 34 of Directive 2014/25/EU are not necessarily identical to those used to perform an assessment under Article 101 or 102 of the Treaty or Council Regulation (EC) No 139/2004 <sup>(6)</sup>. This point was upheld also by the Court in a recent judgement <sup>(7)</sup>.

<sup>(1)</sup> OJ C 93, 20.3.2015, p. 22.

<sup>(2)</sup> VIE is ultimately owned in proportion of 40 % by regional authorities within the meaning of Article 2(1) of Directive 2004/17/EC, but VIE's legal standing as public undertaking, within the meaning of Article 2(1)(b) of Directive 2004/17/EC, was confirmed by the Austrian Federal Procurement Office (Ref. Bundesvergabeamt, N/0117-BVA/02/2009-24 and Bundesvergabeamt, N/0117-BVA/02/2009-EV8).

<sup>(3)</sup> According to the study 'The Austrian Aviation sector in the context of business location Austria', June 2014, p. 3.

<sup>(4)</sup> Confidential information

<sup>(5)</sup> According to the information provided in Annex 7, the total cargo volumes were of 226 606 tonnes in 2010, 208 913 tonnes in 2011 and 188 261 in 2012.

<sup>(6)</sup> Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1).

<sup>(7)</sup> Judgment of 27 April 2016, *Österreichische Post AG v. Commission*, T-463/14, ECLI:EU:T:2016:243, point 28.

- (25) It should be kept in mind that the aim of this Decision is to establish whether the services concerned by the Request are exposed to such a level of competition (in markets to which access is not restricted within the meaning of Article 34 of Directive 2014/25/EU) which will ensure that, also in the absence of the discipline brought about by the detailed procurement rules set out in Directive 2014/25/EU, procurement for the pursuit of the activities concerned will be carried out in a transparent, non-discriminatory manner based on criteria allowing purchasers to identify the solution which overall is the economically most advantageous one.

### 3. ASSESSMENT

#### 3.1. Free access to the market

- (26) In the present case, the relevant activity is the provision of airport infrastructure for cargo.
- (27) As regards the provision of airport infrastructure, there is no relevant Union legislation on the basis of which free access to the market can be presumed, therefore it is necessary to make the relevant assessment based on the existing case law of the Court of Justice pertaining to freedom of establishment under Article 49 TFEU.
- (28) According to the Applicant, the access to the market is not de jure restricted. In Austria, Section 71(1) of the *Luftfahrtgesetz* (LFG, Aviation Act) provides that an operator applying for a civil airport licence is entitled to receive a 'civil airport licence' if the plan to establish an airport is appropriate from a technical viewpoint and safe management can be expected, the operator is reliable, suitable and has sufficient financial resources, and there is no conflicting 'other public interest'. In this respect, according to Section 71(2) LFG, a planned public airport is presumed not to be in the public interest, if the following three conditions are simultaneously met: (i) the airport is less than 100 km away from an existing public airport; (ii) the airport is likely to undermine the transport functions of an existing airport; and (iii) the existing airport is able and willing to take over within 6 months the prospective duties of the planned airport.
- (29) It is pertinent to remind that it is settled case-law that a system of prior authorisation cannot legitimise discretionary decisions taken by the national authorities which are liable to negate the effectiveness of provisions of Union law <sup>(1)</sup>. In order for a system of prior authorisation to be justified even though it derogates from the fundamental freedom to provide services, it must in any event be based on objective, non-discriminatory criteria which are known in advance, in such a way as to circumscribe the exercise of the national authorities' discretion, so that it is not used arbitrarily <sup>(2)</sup>.
- (30) In addition, if the system of authorisation imposes conditions relating to the minimum distance between undertakings, then such a system may be considered justified, if it is generally appropriate to attaining the objective of ensuring that the provision of service to the public, such as the provision of airport infrastructure and related services, is reliable and of good quality <sup>(3)</sup>. According to the case-law of the Court, the national legislation should be appropriate for ensuring attainment of the objective relied upon only if they genuinely reflect a concern to attain that objective in a consistent and systematic manner <sup>(4)</sup>.
- (31) In relation to the second criterion establishing a presumption of the absence of the public interest, namely the condition that the planned airport is likely to undermine the transport functions of an existing airport, the Applicant invokes a judgment in a case concerning the Austrian Code on Motor Transport Lines <sup>(5)</sup> (*Kraftfahrli-niengesetz*, KfLG) whereby the Supreme Austrian Administrative Court (VwGH) ruled that the second criterion should not be understood in a way that would shelter an existing undertaking from competition. However, according to the Supreme Austrian Administrative Court, even if the holder of a new concession may be obliged to tolerate competition to its business from other undertakings, this finds its limits where the existing concession

<sup>(1)</sup> Case C-157/99 *Smits and Peerbooms* [2001] ECLI:EU:C:2001:404, para. 90; Case C-385/99 *Müller-Fauré and van Riet* [2003] ECLI:EU:C:2003:270, para. 84; Case C-372/04 *Watts* [2006] ECLI:EU:C:2006:325, para. 115. Para 70 is from the Opinion of the Advocate General, para. 115 is from the judgment.

<sup>(2)</sup> Case C-205/99 *Analir and Others* [2001] ECLI:EU:C:2001:107, para. 38; Case C-372/04 *Watts* [2006] ECLI:EU:C:2006:325 para. 116.

<sup>(3)</sup> Joined Cases C-570/07 and C-571/07 *Blanco Pérez and Chao Gómez* [2010] ECLI:EU:C:2010:300, para. 94.

<sup>(4)</sup> See, for instance, Case C-169/07 *Hartlauer* [2009] ECLI:EU:C:2009:141, para. 55; Joined Cases C-338/04, C-359/04 and C-360/04 *Placanica and Others* [2007] ECLI:EU:C:2007:133 paras. 53 and 58.

<sup>(5)</sup> Judgment of 25 March 2009, 2008/03/0090.

holder would suffer a loss of earnings that would manifestly call into question the economic operation of an existing route. Furthermore, according to the same Court, deterioration in the revenue-to-cost ratio which is merely transient in nature, be it due to seasonal fluctuations, transient external factors or investments in a route which the concession holder intends to amortise over a certain period of time, cannot justify a refusal to grant a new concession.

- (32) On the basis of the aforementioned, it appears that the second criterion has been construed by the Supreme Austrian Administrative Court as not intended to limit competition as such. It limits any room for arbitrary decision of the concession granting authority. In addition, it may be inferred from the judgment of the Supreme Austrian Administrative Court that a system of authorisations which sets out specific criteria for establishing a presumption of the absence of public interest in a new airport was created on the assumption that the new airport would provide services which are reliable and of good quality. The relevant Austrian legislation and the interpretation of the aforementioned second criterion by the Supreme Austrian Administrative Court seem to genuinely reflect a concern to attain the legislation's objectives in a consistent and systematic manner. Therefore, for the purposes of this decision, it may be concluded that the conditions for granting a licence to establish an airport in Austria meet the criteria of objectivity, non-discrimination and transparency, and, accordingly that access to the market for the provision of airport infrastructure may be considered to be *de jure* free.
- (33) As far as the assessment of free access to the market *de facto* is concerned, the Applicant claims that access is not restricted as new commercial airports are being established. The Applicant cites the Berlin Brandenburg airport and the Lublin airport as examples. According to the Applicant the Berlin Brandenburg airport, is regarded both by the Lufthansa group and by airberlin group as a concrete alternative to the Vienna airport. Furthermore, military airports can be adapted to operate as commercial airports, e.g. GRZ and LNZ in Austria. In addition, the Applicant claims that existing commercial airports may expand, e.g. the Frankfurt Hahn and the Weeze airports in Germany.
- (34) On the basis of the information submitted by the applicant, it is difficult to conclusively establish whether access to the market for establishing new airports is *de facto* restricted. When setting up a new airport, the potential entrant will need to make high capital investments, and hence there will be a significant risk of sunk costs involved which may deter potential entrants. However, it seems that these costs are part of normal commercial risks that entrants need to bear when deciding to establish a new airport. There is no information of existing factual barriers to entry in the market concerned apart from the normal commercial risk inherent in undertaking a major investment which concerns the provision of airport infrastructure. It is, therefore considered, for the purposes of this decision, that the access to the market is *de facto* free.

### 3.2. Competitive assessment — Market analysis

- (35) This section will analyse whether or not the second condition for exemption is met, namely that the activity covered by the request, and which satisfies the condition of free access to the market *de jure* and *de facto*, is directly exposed to competition. To this end, the relevant product and respective geographic market is defined, and on that basis, a market analysis is performed.

#### 3.2.1. Product Market Definition

- (36) According to previous Commission decisions <sup>(1)</sup> the following product markets were considered as relevant product markets for the purposes of application of the competition law of the Union to airlines and airports: (i) provision of airport infrastructure services (including the development, maintenance, use and provision of the runway facilities, taxiways and other airport structure, as well as the coordination and control of the activities performed on these infrastructures); (ii) provision (or contracting) of ground-handling services; and (iii) provision (or contracting) of associated commercial services (e.g. food and beverages, sale of advertising space) <sup>(2)</sup>.

<sup>(1)</sup> M.7398 — *Mirael/Ferrovial/NDH1*, recital 19; M.786 — *Birmingham International Airport*, recital 15, M. 6732 — *Ferrovial/Qatar Holding/CDPQ/Baker Street/BAA*, recital 21; M. 5652 — *GIP/Gatwick Airport*, recital 21; M. 1035 — *Hochtief/Aer Rianta/Düsseldorf Airport* recital 11; M.2262 *Flughafen Berlin II* recital 13; and M.3823 — *MAG/Ferrovial Aeropuertos/Exeter Airport* recital 15.

<sup>(2)</sup> Airport security services are generally not considered to be an economic activity, thus, competition rules would normally not apply (e.g. Commission decision of 2 May 2005 in case COMP/D3/38469, complaint relating to charges levied by AIA SA and the Olympic Fiel Company SA.).

- (37) Airport infrastructure services can be divided by the object of transportation as passenger traffic and those relating to cargo transportation as, apart from runways, passenger traffic and cargo transportation require different infrastructure.
- (38) Cargo is usually transported by trans-modal means of transport 'behind' and 'beyond' the origin and destination points. Moreover, unlike passengers, cargo may be routed with a higher number of stopovers. In addition, according to previous Commission decisions <sup>(1)</sup> air cargo transport markets are inherently unidirectional due to differences in demand at each end of the route.
- (39) The Applicant agrees with the product market definition as established by Commission practice and structured the Request accordingly.
- (40) In view of the factors examined under recitals 36 to 39, for the purposes of assessing whether the conditions laid down in Article 34 of Directive 2014/25/EU are fulfilled, and without prejudice to the application of competition rules or other fields of Union law, the relevant product market is the provision of airport infrastructure for cargo.

### 3.2.2. Geographic Market Definition and Competitive Assessment

- (41) This section is looking at the relevant geographic market definition corresponding to the product market defined, lists the applicant's arguments and provides Commission observations and conclusions thereof, and assesses the competitive situation.
- (42) According to the Applicant <sup>(2)</sup> the exact market definition for cargo can be left open, for the purposes of the Request. Moreover, the Applicant argues that the geographic market appears to be Europe wide.
- (43) While, the Commission found in earlier decisions <sup>(3)</sup> that the relevant market in intra-European routes of air cargo transport can be defined as European-wide and should include alternative modes of transport, notably road and train transport, it should be recalled that the product market for the purpose of the present decision is not air cargo transport, but provision of airport infrastructure for cargo.
- (44) For the purposes of evaluating the conditions laid down in Article 34 of Directive 2014/25/EU, and without prejudice to competition law or any other field of Union law, the Commission considers that the exact geographical dimension of the relevant product market can be left open, as the Applicants' market share in the narrowest possible definition would still be low.
- (45) According to the information provided by the Applicant <sup>(4)</sup>, on the assumption that the relevant geographic market is Europe wide, the market shares of VIE <sup>(5)</sup> in respect of cargo were [...] % in 2010, [...] % in 2011 and [...] % in 2012, while the other airports have market shares lower than 1 % all 3 years. On a more conservative approach when considering the geographic market encompasses only Lufthansa hubs, the market shares of VIE were of [...] % in 2010; [...] % in 2011 and [...] in 2012, while the other Austrian airports had less than 1 % in all 3 years. These factors should therefore be taken as an indication of direct exposure to competition in respect of this activity.

<sup>(1)</sup> M.5141 — KLM/Martinair, recital 38; M.5440 -Lufthansa/Austrian Airlines, recital 31.

<sup>(2)</sup> Applicant's letter dated 4 September, page 19.

<sup>(3)</sup> Case M.3280, *Air France/KLM*, para 36 dated 11 February 2004; Case M.5440, *Lufthansa/Austrian Airlines*, para 29 dated 28 August 2009; Case M.5747, *Iberia/British Airways*, para 41, dated 8 September 2010.

<sup>(4)</sup> Annex 7 to the Request.

<sup>(5)</sup> The market shares were calculated taking into account not all airports in Europe, but a smaller number of airports, which are most likely to exert a competitive pressure on VIE. These airports are: Amsterdam Airport Schiphol (AMS); Charles de Gaulle Airport (CDG); Dusseldorf Airport (DUS); Fiumicino Airport (FCO); Frankfurt Airport (FRA); Munich Airport (MUC); Milan Malpensa Airport (MXP); SZG; Berlin Tegel Airport (TXL) and Zurich Airport (ZRH).



- (46) For the purposes of this Decision and without prejudice to competition law, the factors listed in recital 45 should be taken as an indication of exposure to competition, of this activity in Austria. Consequently, since the conditions set out in Article 34 of Directive 2014/25/EU are met, it should be established that Directive 2014/25/EU does not apply to contracts intended to enable the pursuit of this activity in Austria.

#### 4. CONCLUSIONS

- (47) In view of the factors examined in recitals 1 to 46, the condition of direct exposure to competition laid down in Article 34 of Directive 2014/25/EU is considered to be met in Austria in respect of provision of airport infrastructure for cargo.
- (48) Since the condition of unrestricted access to the market is deemed to be met, Directive 2014/25/EU should not apply when contracting entities award contracts intended to enable those services to be carried out in Austria, nor when design contests are organised for the pursuit of such an activity in that country.
- (49) This Decision is based on the legal and factual situation as of January 2015 to November 2016 as it appears from the information submitted by the Applicant and by the Austrian Authorities. It may be revised, should the conditions for the applicability of Article 34 of Directive 2014/25/EU be no longer met, following significant changes in the legal or factual situation.
- (50) Since some services respect to provision of airport infrastructure to airlines (such as, airport infrastructure for passenger traffic, groundhandling, non-aeronautical services) continue to be subject to Directive 2014/25/EU, it is recalled that procurement contracts covering several activities should be treated in accordance with Article 6 of that Directive. This means that, where a contracting entity is engaged in 'mixed' procurement, that is procurement used to support the performance of both activities exempted from the application of Directive 2014/25/EU and activities not exempted therefrom, regard must be had to the activities for which the contract is principally intended. In the event of such mixed procurement, where the purpose is principally to support activities which are not exempted, the provisions of Directive 2014/25/EU are to be applied. Where it is objectively impossible to determine for which activity the contract is principally intended, the contract is to be awarded in accordance with the rules referred to in Article 6(3) of Directive 2014/25/EU <sup>(1)</sup>.
- (51) It is recalled that Article 16 of Directive 2014/23/EU of the European Parliament and of the Council <sup>(2)</sup> provides for an exemption from the application of that Directive for concessions awarded by contracting entities where, for the Member State in which the concessions are to be performed, it has been established in accordance to Article 34 of Directive 2014/25/EU that the activity is directly exposed to competition. Since it was concluded that the activity of provision of airport infrastructure to airlines for cargo transportation is subject to competition, concession contracts intended to enable the performance of those activities in the Republic of Austria will be excluded from the field of application of Directive 2014/23/EU.
- (52) The measures provided for in this Decision are in accordance with the opinion of the Advisory Committee for Public contracts,

HAS ADOPTED THIS DECISION:

#### *Article 1*

Directive 2014/25/EU shall not apply to contracts awarded by contracting entities and intended to enable provision of airport infrastructure for cargo to be carried out in Austria.

<sup>(1)</sup> The same material outcome would also result from the application of Article 9 of Directive 2004/17/EC.

<sup>(2)</sup> Directive 2014/23/EU of the European Parliament and of the Council of 26 February 2014 on the award of concession contracts (OJ L 94, 28.3.2014, p. 1).

*Article 2*

This Decision is addressed to the Republic of Austria.

Done at Brussels, 24 January 2017.

*For the Commission*  
Elżbieta BIEŃKOWSKA  
*Member of the Commission*

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**COMMISSION DECISION (EU) 2017/133****of 25 January 2017****on the maintenance with a restriction in the *Official Journal of the European Union* of the reference of harmonised standard EN 14342:2013 ‘Wood flooring and parquet: Characteristics, evaluation of conformity and marking’ in accordance with Regulation (EU) No 305/2011 of the European Parliament and of the Council****(Text with EEA relevance)**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) No 305/2011 of the European Parliament and of the Council of 9 March 2011 laying down harmonised conditions for the marketing of construction products and repealing Council Directive 89/106/EEC <sup>(1)</sup>, and in particular Article 18(2) thereof,

Whereas:

- (1) Pursuant to Regulation (EU) No 305/2011, harmonised standards foreseen in Article 17 are to fulfil the requirements of the harmonised system set out in or by means of this Regulation.
- (2) In July 2013, the European Committee for Standardisation (CEN) adopted the harmonised standard EN 14342:2013 ‘Wood flooring and parquet: Characteristics, evaluation of conformity and marking’. The reference of the standard was subsequently published in the *Official Journal of the European Union* <sup>(2)</sup>.
- (3) On 21 August 2015 Germany launched a formal objection in respect of the harmonised standard EN 14342:2013. The formal objection referred to Clause 4.4 of that standard, concerning the assessment methods and criteria for certain dangerous substances, and demanded the withdrawal of the reference of the standard from the *Official Journal of the European Union* or, alternatively, a restriction excluding Clause 4.4 of that standard from the scope of that reference.
- (4) According to Germany, that standard does not contain any harmonised methods for assessing the performance of the construction products in question in relation to the essential characteristic release of other dangerous substances. In fact, Clause 4.4 of the standard states that verification and declaration on release or content of additional other dangerous substances than those dealt with in other clauses of the standard should be done taking into account national provisions in the place of use.
- (5) Germany considered this shortcoming to constitute a violation of Article 17(3) of Regulation (EU) No 305/2011, as the standard at hand did not entirely satisfy the requirements set out in the relevant mandate as foreseen in Article 18.
- (6) Moreover, Germany emphasised the importance of an appropriate treatment of releases of such other dangerous substances, in particular volatile organic compounds (VOCs), within the harmonised standards notably for the wood-based products in question.
- (7) For these reasons, Germany demanded to withdraw the reference of that standard, or alternatively to restrict it by excluding Clause 4.4 from its scope, so as to permit Member States to adopt national provisions for the assessment of the performance in relation to the essential characteristic at hand, as regards the release of other dangerous substances.

<sup>(1)</sup> OJ L 88, 4.4.2011, p. 5.

<sup>(2)</sup> Commission Communication in the framework of the implementation of Regulation (EU) No 305/2011 of the European Parliament and of the Council of 9 March 2011 laying down harmonised conditions for the marketing of construction products and repealing Directive 89/106/EEC (OJ C 259, 8.8.2014, p. 1). Most recent publication: Commission communication in the framework of the implementation of Regulation (EU) No 305/2011 of the European Parliament and of the Council laying down harmonised conditions for the marketing of construction products and repealing Council Directive 89/106/EEC (OJ C 398, 28.10.2016, p. 7).

- (8) When assessing the admissibility of the claims brought forward, it should be stated that if the alternative demand of Germany were to be understood as constituting a separate demand aiming to allow Member States to put in place national provisions setting additional requirements, such a claim would not focus on the contents of EN 14342:2013, and should therefore be considered inadmissible. However, as the wording of the demand clearly is directed to the restriction of the scope of reference to that standard, the linked statements of Germany about the consequences of such a restriction should be regarded only as parts of the argumentation offered within the formal objection and thus not considered separately.
- (9) According to Article 17(3) of Regulation (EU) No 305/2011, harmonised standards are to provide the methods and the criteria for assessing the performance of the products covered by them in relation to their essential characteristics. Quite as Germany has asserted, Clause 4.4 of EN 14342:2013 only presents a reference to national provisions in place. In this respect, EN 14342:2013 does not comply with the requirements set out in Article 17(3) of Regulation (EU) No 305/2011.
- (10) Furthermore, the jurisprudence of the Court of Justice <sup>(1)</sup> indicates that Member States are not entitled to put in place national provisions for the assessment of the performance in relation to any essential characteristics above and beyond what has been contained in the harmonised standards, when it comes to the marketing or the use of the construction products covered by them. The contents of EN 14342:2013 are thus in conflict also with these principles.
- (11) Therefore and due to the fact that Regulations are directly applicable, Clause 4.4 of EN 14342:2013 should not be applied, independently of the outcome of this formal objection procedure.
- (12) Nevertheless, since the jurisprudence of the Court of Justice <sup>(2)</sup> confirms the exhaustive nature of the harmonised system established in or by means of Regulation (EU) No 305/2011, the invalidity of Clause 4.4 of EN 14342:2013 does not imply that Member States could adopt national provisions for the assessment of the performance in relation to the essential characteristic release of other dangerous substances.
- (13) On the basis of the contents of EN 14342:2013 as well as the information submitted by Germany, by the other Member States, by CEN and by industry, and after consulting the committees established by Article 64 of Regulation (EU) No 305/2011 and by Article 22 of Regulation (EU) No 1025/2012 of the European Parliament and of the Council <sup>(3)</sup>, it should be noted that no substantial objections were expressed against the continued publication of the reference of that standard in the *Official Journal of the European Union*. The exclusion of Clause 4.4 from the scope of the reference published in the *Official Journal of the European Union* has been met with concerns based on an interpretation of the jurisprudence of the Court of Justice as allowing the Member States, if they take the view that the safety of a product is insufficiently ensured, to lay down requirements restricting the free circulation of those products. However, the Court of Justice itself has already stated that such an interpretation would put into question the effectiveness [(‘effet utile’)] of the harmonisation in this field <sup>(4)</sup>.
- (14) The alleged incompleteness of that standard should thus not be considered a sufficient reason for accepting the first demand of Germany, the complete withdrawal of the reference to the standard EN 14342:2013 from the *Official Journal of the European Union*. That demand should therefore be rejected.
- (15) For the alternative demand of restricting the reference by excluding Clause 4.4 from its scope, it should firstly be reminded that, as already demonstrated, that clause is not to be applied, independently of the outcome of this formal objection procedure. However, for reasons of clarity, it is necessary to explicitly exclude that invalid clause from the reference.
- (16) The reference of EN 14342:2013 should therefore be maintained, but it is necessary to introduce a restriction excluding Clause 4.4 of that standard from its scope,

<sup>(1)</sup> Cf. in particular the ECJ judgement on case C-100/13 (*Commission v. Germany*), para 55 ff.

<sup>(2)</sup> Cf. the ECJ judgement on case C-100/13 (*Commission v. Germany*), para 62.

<sup>(3)</sup> Regulation (EU) No 1025/2012 of the European Parliament and of the Council of 25 October 2012 on European standardisation, amending Council Directives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/23/EC and 2009/105/EC of the European Parliament and of the Council and repealing Council Decision 87/95/EEC and Decision No 1673/2006/EC of the European Parliament and of the Council (OJ L 316, 14.11.2012, p. 12).

<sup>(4)</sup> Cf. the ECJ judgement on case C-100/13 (*Commission v. Germany*), para 60.

HAS ADOPTED THIS DECISION:

*Article 1*

The reference of harmonised standard EN 14342:2013 'Wood flooring and parquet: Characteristics, evaluation of conformity and marking' shall be maintained with a restriction.

The Commission shall add the following restriction in the list of references of harmonised standards published in the *Official Journal of the European Union*: 'Clause 4.4 of standard EN 14342:2013 is excluded from the scope of the reference published'.

*Article 2*

This Decision shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

Done at Brussels, 25 January 2017.

*For the Commission*  
*The President*  
Jean-Claude JUNCKER

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## III

(Other acts)

## EUROPEAN ECONOMIC AREA

## EFTA SURVEILLANCE AUTHORITY DECISION

No 185/16/COL

of 12 October 2016

**approving the Network Performance Plan for the second reference period of the Single European Sky performance scheme (2015-2019) [2017/134]**

THE EFTA SURVEILLANCE AUTHORITY,

Having regard to the act referred to at point 66t of Annex XIII to the EEA Agreement (Regulation (EC) No 549/2004 of the European Parliament and of the Council of 10 March 2004 laying down the framework for the creation of the single European sky, as amended), as adapted to the EEA Agreement by Protocol 1 thereto, and in particular Article 11(1) thereof,

Having regard to the act referred to at point 66xf of Annex XIII to the EEA Agreement (Commission Implementing Regulation (EU) No 390/2013 of 3 May 2013 laying down a performance scheme for air navigation services and network functions), as adapted to the EEA Agreement by Protocol 1 thereto, and in particular Article 6(d) thereof,

Whereas:

- (1) In accordance with the act referred at point 66wn of Annex XIII to the EEA Agreement (Commission Regulation (EU) No 677/2011 of 7 July 2011 laying down detailed rules for the implementation of air traffic management (ATM) network functions and amending Regulation (EU) No 691/2010), the Network Manager is to contribute to the implementation of the performance scheme.
- (2) In accordance with the Implementing Regulation (EU) No 390/2013, the Network Manager drew up the Network Performance Plan for the second reference period of the Single European sky performance scheme (2015-2019).
- (3) The Network Performance Plan has been assessed against the Union-wide performance targets <sup>(1)</sup> and, mutatis mutandis, the criteria laid down in Annex IV to Implementing Regulation (EU) No 390/2013, as well as other requirements of that Regulation.
- (4) The assessment has shown that the Network Performance Plan is in accordance with those targets, criteria and requirements. In particular, as regards the key performance areas of safety, environment and capacity, the targets set out in the plan are equal to the respective Union-wide targets and they are consequently consistent with those Union-wide targets. As regards the key performance area of cost-efficiency, the targets set out in the plan are also consistent with the Union-wide targets, given that the trend of determined unit cost reduction is above the Union-wide target.
- (5) It is therefore appropriate for the EFTA Surveillance Authority to approve the final version of the Network Performance Plan, in its edition of June 2015, as drawn up by the Network Manager,

<sup>(1)</sup> The act referred to at point 66xe of Annex XIII to the EEA Agreement (Commission Implementing Decision 2014/132/EU of 11 March 2014 setting the Union-wide performance targets for the air traffic management network and alert thresholds for the second reference period 2015-19).

HAS ADOPTED THIS DECISION:

*Article 1*

The Network Performance Plan for the second reference period of the Single European Sky performance scheme (2015-2019), in its edition of June 2015, as submitted by the Network Manager, is approved.

*Article 2*

This Decision shall enter into force on the twentieth day following its publication in the EEA Supplement to the *Official Journal of the European Union*.

Done at Brussels, 12 October 2016.

*For the EFTA Surveillance Authority*

Sven Erik SVEDMAN

*President*

Helga JÓNSDÓTTIR

*College Member*

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