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(Information)

INFORMATION FROM EUROPEAN UNION INSTITUTIONS, BODIES, OFFICES AND AGENCIES

EUROPEAN COMMISSION

Commission Notice on a guidance document on how to fill in the standard model form in the Annex to Commission Implementing Regulation (EU) 2019/723 laying down rules for the application of Regulation (EU) 2017/625 of the European Parliament and of the Council as regards the standard model form to be used in the annual reports submitted by Member States

(2021/C 71/01)

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1. **Purpose of the guidance document**

The purpose of this guidance document is to assist the Member States in submitting the information and data in their annual report on the implementation of their multi-annual national control plans (MANCP) in a standard model form.

This guidance document is intended to assist national authorities in the application of Article 113(1) of Regulation (EU) 2017/625 of the European Parliament and of the Council ([1]) and Commission Implementing Regulation (EU) 2019/723 ([2]). Only the Court of Justice of the European Union is competent to authoritatively interpret Union law.

2. **Purpose of the annual report**

The purpose of the Member States' annual report is to:

a) meet the legal obligations for reporting laid down in Article 113(1) of Regulation (EU) 2017/625 of the European Parliament and of the Council;

b) ensure uniform conditions for the implementation of Regulation (EU) 2017/625 and facilitate the collection and transmission of comparable data and the subsequent compilation of such data into Union-wide statistics;

c) be used in updating and reviewing the MANCP.

The process of compiling and analysing the data for the purpose of the annual report may facilitate Member States' review of the effectiveness, and contribute towards the development and continual improvement, of their control systems.

Under Article 11(1) of Regulation (EU) 2017/625, Member States' competent authorities are required to ensure the regular and timely publication of information on the following:

d) the type, number and outcome of official controls;

e) the type and number of cases of non-compliance detected;

f) the type and number of cases where measures were taken by the competent authorities in accordance with Article 138 of Regulation (EU) 2017/625; and

g) the type and number of cases where the penalties referred to in Article 139 of that Regulation were imposed.

This information may be provided, where appropriate, through the publication of the annual reports.

Under Article 114(1)(a) of Regulation (EU) 2017/625, the Commission is required to take the information provided in the annual reports into account in the preparation of the Commission's annual report on the operation of official controls in the Member States.

3. **Legal background**

Article 113(1) of Regulation (EU) 2017/625 provides:

"1. By 31 August every year, each Member State shall submit to the Commission a report setting out:

(a) any amendments made to its MANCP to take account of the factors referred to in Article 111(2);

(b) the outcome of official controls performed in the previous year under its MANCP;

([1]) Regulation (EU) 2017/625 of the European Parliament and of the Council of 15 March 2017 on official controls and other official activities performed to ensure the application of food and feed law, rules on animal health and welfare, plant health and plant protection products, amending Regulations (EC) No 999/2001, (EC) No 396/2005, (EC) No 1069/2009, (EC) No 1107/2009, (EU) No 1151/2012, (EU) No 652/2014, (EU) 2016/429 and (EU) 2016/2031 of the European Parliament and of the Council, Council Regulations (EC) No 1/2005 and (EC) No 1099/2009 and Council Directives 98/58/EC, 1999/74/EC, 2007/43/EC, 2008/119/EC and 2008/120/EC, and repealing Regulations (EC) No 854/2004 and (EC) No 882/2004 of the European Parliament and of the Council, Council Directives 89/608/EEC, 89/662/EEC, 90/425/EEC, 91/496/EEC, 96/23/EC, 96/93/EC and 97/78/EC and Council Decision 92/438/EEC (Official Controls Regulation) (OJ L 95, 7.4.2017, p. 1).

([2]) Commission Implementing Regulation (EU) 2019/723 of 2 May 2019 laying down rules for the application of Regulation (EU) 2017/625 of the European Parliament and of the Council as regards the standard model form to be used in the annual reports submitted by Member States (OJ L 124, 13.5.2019, p. 1).

(c) the type and number of cases of non-compliance with the rules referred to in Article 1(2), per area, detected in the previous year by the competent authorities;

(d) the measures taken to ensure the effective operation of its MANCP, including enforcement action and the results of such measures, and

(e) a link to the web page of the competent authority containing the public information on fees or charges referred to in Article 85(2)."

4. **Definitions**

In this guidance document, reference is made to the definitions laid down in the relevant Union legislation and, in particular, the definitions in Articles 2 and 3 of Regulation (EC) No 178/2002 of the European Parliament and of the Council ([3]), Article 2 of Regulation (EU) 2016/2031 of the European Parliament and of the Council ([4]), and Articles 2 and 3 of Regulation (EU) 2017/625. In particular, the following definitions set out in Article 2 of Regulation (EU) 2017/625 should be noted:

(a) "official controls" means activities performed by the competent authorities, or by the delegated bodies or the natural persons to which certain official control tasks have been delegated in accordance with this Regulation, in order to verify:

— compliance by the operators with this Regulation and with the rules referred to in Article 1(2);

— that animals or goods meet the requirements laid down in the rules referred to in Article 1(2), including for the issuance of an official certificate or official attestation;

(b) "other official activities" means activities, other than official controls, which are performed by the competent authorities, or by the delegated bodies or the natural persons to which certain other official activities have been delegated in accordance with this Regulation, and with the rules referred to in Article 1(2), including activities aimed at verifying the presence of animal diseases or pests of plants, preventing or containing the spread of such animal diseases or pests of plants, eradicating those animal diseases or pests of plants, granting authorisations or approvals, and issuing official certificates or official attestations.

In addition to the definitions referred to above, the following approaches should be taken into account for the purpose of this guidance document:

(a) *How to count official controls*

The approach to counting official controls is based on Article 13(1) of Regulation (EU) 2017/625, according to which for every official control that the competent authorities perform, they have to draw up written records, which may be on paper or in electronic form. Official controls should, for the purposes of the preparation of annual reports by Member States, be counted on the basis of those records.

During an official control competent authorities may use different methods or techniques and carry out activities in several of the areas mentioned in Article 1(2), including in accordance with different rules ([5]) within those areas. The written record of the official control should reflect those activities and the competent authorities should report them accordingly (e.g. one official sample leading to several analyses covering five different rules = five analyses results/laboratory reports = official controls in accordance with five different rules; one visit/inspection covering three different rules = official controls in accordance with three different rules).

([3]) Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety (OJ L 31, 1.2.2002, p. 1).

([4]) Regulation (EU) 2016/2031 of the European Parliament of the Council of 26 October 2016 on protective measures against pests of plants, amending Regulations (EU) No 228/2013, (EU) No 652/2014 and (EU) No 1143/2014 of the European Parliament and of the Council and repealing Council Directives 69/464/EEC, 74/647/EEC, 93/85/EEC, 98/57/EC, 2000/29/EC, 2006/91/EC and 2007/33/EC (OJ L 317, 23.11.2016, p. 4).

([5]) Non-exhaustive indicative lists of rules, meant as guidance with regard to the information on official controls to be reported, can be found in Annexes I to X of this guidance document.

If competent authorities carry out an official control on an operator/establishment with several activities (e.g. processing fishery products and cold store for goods) and if the competent authorities cover two or more of those activities, then the competent authorities should report the controls by activity, whenever possible.

(b) *How to count operators/establishments*

Registered operators and approved establishments may carry out different activities for which requirements are provided in different rules of the EU agri-food chain legislation. Competent authorities keep up-to-date lists of such operators and establishments, including of their activities. Therefore, when counting the number of operators and/or establishments, the competent authorities should count them bearing in mind the activities they carry out and include them in the corresponding categories (e.g. if an establishment has both wholesale and retail activities, such establishment should be counted on both the wholesale and retail categories).

(c) *How to count non-compliances*

For the purpose of this guidance document a non-compliance corresponds to a breach of the rules in the areas of Article 1(2) of Regulation (EU) 2017/625.

The approach to counting non-compliances is based on Article 13(2) of Regulation (EU) 2017/625, according to which the operator is to be promptly informed in writing by the competent authorities of any case of non-compliance identified through the official controls. Non-compliances should, for the purposes of the preparation of annual reports by Member States, be counted on the basis of an issued written communication from the competent authority to the operator.

During an official control, competent authorities may detect cases of non-compliances in the implementation of:

— different rules within one of the areas mentioned in Article 1(2) (e.g. three legal acts in the area of feed – Article 1(2)(c)), or

— the rules within different areas (e.g. one legal act in the area of animal health – Article 1(2)(d) – and two legal acts in the area of animal welfare – Article 1(2)(f)).

The written communication from the competent authority to the operator, identifying the non-compliances, should reflect those cases and the competent authorities should report them accordingly.

(d) *Administrative and judicial action/measures*

Under Article 138(1) of Regulation (EU) 2017/625, where a non-compliance is established, the competent authorities are required to take appropriate measures to ensure that the operators concerned remedy the non-compliance and prevent further occurrences of such non-compliance. The measures taken by the competent authorities should be reported under two categories: administrative and judicial.

Administrative actions/measures are those that fall under the competence of, and are initiated by, the competent authority.

The judicial actions/measures are those that fall under the competence of the judicial authorities and that are relevant for cases of non-compliances that the competent authority referred to the judicial authority.

The number of actions/measures initiated by the competent authorities, or falling under the competence of the judicial authority, may not correspond automatically to the number of non-compliances detected.

5. **Scope of the annual report**

The annual report should cover the scope of the MANCP prepared in accordance with Article 110(1) of Regulation (EU) 2017/625, taking into account the requirements of Article 113(1) of that regulation.

Member States already submit information and data on import controls and controls on intra-Union trade to the Commission when using the computerised information management system for official controls (IMSOC). Therefore, this information and data should not be part of the annual report.

Member States are not required to include information in their annual report about other official activities performed by the competent authorities or by the delegated bodies or natural persons to which certain other official activities have been delegated ([6]).

Likewise, data on control of the cross-compliance requirements in accordance with Article 9 of Commission Implementing Regulation (EU) No 809/2014 ([7]), are not required to be reported in the Annual Report.

Notwithstanding, Member States should submit information and data on official controls that, in accordance with Article 1(4)(a) of Regulation (EU) 2017/625, Member States are to perform pursuant to Article 89 of Regulation (EU) No 1306/2013 of the European Parliament and of the Council ([8]), where those controls identify possible fraudulent or deceptive practices in respect of the marketing standards referred to in Articles 73 to 91 of Regulation (EU) No 1308/2013 of the European Parliament and of the Council ([9]).

Non-exhaustive indicative lists of rules meant as a guidance with regard to the information to be provided in the annual report standard model form can be found in Annexes I to X.

6. **Reporting period and submission of annual reports**

Pursuant to Article 113(1) and (2) of Regulation (EU) 2017/625 Member States are to submit their annual reports to the Commission by 31 August of the year following the year to which the reports relate, using the standard model form to be adopted by the Commission. That standard model form is laid down in Commission Implementing Regulation (EU) 2019/723, which applies from 14 December 2019.Therefore, the first annual reports, using that standard model form, are to be submitted to the Commission by 31 August 2021.

7. **Relationship with other specific reports**

Regulation (EU) 2017/625 does not affect specific Union provisions concerning official controls. Therefore, the annual report required by Article 113(1) of Regulation (EU) 2017/625 does not replace the annual or other reports on other official controls provided for under other pieces of Union legislation.

Commission Implementing Regulation (EU) 2019/723 integrates, whenever possible, the standard model forms adopted by the Commission for the submission of other reports on official controls that the competent authorities are required to submit to the Commission in accordance with the rules referred to in Article 1(2) of Regulation (EU) 2017/625. Examples of this integration are the Member States' reports on the information and data on official controls on food contact materials, irradiation of food, registration and identification of animals and organic data.

The scopes of the reporting obligations in Article 68 of Regulation (EC) No 1107/2009 of the European Parliament and of the Council ([10]) as amended by Regulation (EU) 2017/625 and in Article 113(1) of Regulation (EU) 2017/625 are similar. Furthermore, the deadline in Article 68 of Regulation (EC) No 1107/2009 was aligned with that of Article 113(1) of Regulation (EU) 2017/625. Therefore, the Member States should include the issues to be reported under Article 68 of Regulation (EC) No 1107/2009 in their annual report (namely in Section 8 of Part II of the Annex to Implementing Regulation (EU) 2019/723).

([6]) According to Article 1(5) of Regulation (EU) 2017/625, Article 113 of that regulation does not apply to other official activities.

([7]) Commission Implementing Regulation (EU) No 809/2014 of 17 July 2014 laying down rules for the application of Regulation (EU) No 1306/2013 of the European Parliament and of the Council with regard to the integrated administration and control system, rural development measures and cross compliance (OJ L 227, 31.7.2014, p. 69).

([8]) Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

([9]) Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).

([10]) Regulation (EC) No 1107/2009 of the European Parliament and of the Council of 21 October 2009 concerning the placing of plant protection products on the market and repealing Council Directives 79/117/EEC and 91/414/EEC (OJ L 309, 24.11.2009, p. 1).

Official controls carried out for the purpose of coordinated control programmes (Article 112 of Regulation (EU) 2017/625) should be reported in the annual report. The controls performed under these programmes are considered official controls and, even if their results have to be reported in a manner defined by the programme, they should also be reported in the annual report. An explanation could be added in the relevant comment box to indicate that such a programme was implemented.

8. **General guidance**

Regulation (EU) 2017/625 provides for a systems-based approach to Member States' official controls. An essential part of such an approach is the compilation and analysis of the results of official controls and drawing conclusions thereon, with a view to the determination of appropriate system-based corrective actions and adjustment or amendment of the MANCP where necessary. The annual report should therefore be a synthesis of that activity.

A simple statistical account of the number of official controls should not satisfy that requirement. For compiling the annual report, Member States should provide an overview of the overall or aggregate national results on which the analysis of the results of official controls is based.

The issues to be covered in the overview and analysis of the results of official controls are outlined in Section 9 of this guidance document. The analysis of those results might identify trends and comment on their significance and possible future consequences for official controls.

For the purposes of compilation of the raw control data, where Union legislation prescribes the data to be collected for the purpose of specific reports, in relation to the areas of Article 1(2) of Regulation (EU) 2017/625, these data should form the basis of the analysis of the results of official controls for the area in question.

Where there are no such provisions, Member States should follow the standard model form for the annual report for compiling the data.

For the purposes of classifying non-compliances, where Union legislation provides for such classification, this classification should be followed for the purposes of the annual reports. In the absence of any specific provision Member States should follow the classification of non-compliances in the standard model form for the annual report.

The background data supporting the results and analysis of official controls supplied in the annual report may be required by the Commission for the purposes of Commission controls provided for in Article 116 of Regulation (EU) No 2017/625 and should therefore be retained and provided to the Commission on request (Article 119(b) of that Regulation).

9. **Guidance on filling in the standard model form of the annual report**

The standard model form of the annual report is provided in the Annex to Implementing Regulation (EU) 2019/723. Member States are required to submit the information and data using the electronic version of the standard model form provided in "Annual Reporting on Official Controls" (AROC) in the SANTE Data Collection Platform (under the umbrella of the IMSOC).

The title of the annual report is the following:

"Annual Report submitted by (introduce Member State) for the period 01/01/(introduce reporting year) to 31/12/(introduce reporting year)".

PART I

The following numbered headings correspond to the headings of the annual report standard model form in the Annex to Regulation (EU) 2019/723.

1. **Introduction**

This section is composed of a text box, numbered 1, for free text.

Member States may present their progress towards achievement of the strategic objectives and the challenges they faced during the reporting year when implementing their MANCP. Where the annual report is related to the first or the last year of the MANCP's implementation this should be taken into account.

A statement on the overall level of compliance with the rules of Regulation (EU) 2017/625 may be included along with an overall assessment of the effectiveness of the official controls carried out under the MANCP and their suitability to achieve the objectives of that Regulation. The statement on the overall performance should be based on an analysis and synthesis of results of the sections in Part II and provide descriptions of:

a) the performance indicators applied to those objectives, where appropriate; and

b) results for each objective, where appropriate ([11]).

In order to achieve a better uniformity when reporting on a) and b) above, Member States may use the following table:

Article 1(2) area	Strategic objective(s)	Operational objective(s)	Indicator(s)	Target (%)	Result (%)	Assessment

Member States may give a general description of the organisation of their official controls systems for the different areas mentioned in Article 1(2) of Regulation (EU) 2017/625, during the reporting year. A repetition of any detailed description given in the MANCP should be avoided. Instead, Member States should highlight any benefits in terms of effectiveness linked to coordination, cooperation and synergies between the competent authorities in charge of the different official control systems and issues encountered in the reporting year.

2. Measures taken to ensure the effective operation of the MANCP, including enforcement action and the results of such measures

This section is composed of a text box, numbered 2, for free text.

This should be an account of the actions taken to ensure effective operation of the MANCP. The annual report should address actions taken in the following areas:

a) Actions taken to ensure compliance by operators as provided for in Articles 138(2) and 139(1) of Regulation (EU) 2017/625.

b) Actions taken to ensure the effective operation of official control services as provided for in Article 5(1) and Article 12(2) and (3) of Regulation (EU) 2017/625. These should include the audits carried out in accordance with Article 6(1) and audits or inspections carried out in accordance with Article 33, and actions taken in response to their findings, where appropriate. In the case of actions taken in response to audit conclusions this may include corrective and preventive actions, or improvement actions based on the identification of good practice.

c) Substantial actions taken to ensure effective operation of official control services may require amendment of the MANCP and should in such case be included in Section 3 of Part I of the Annex to Implementing Regulation (EU) 2019/723. However, some significant actions might not require an amendment of the MANCP and, in such cases, should be included in this point in the annual report, in order to indicate positive actions taken by the Member State. This information should cover items such as:

   — new, updated or revised control procedures;

   — training initiatives;

   — resource issues;

   — provision of additional resources;

   — reallocation of existing resources following review of priorities;

   — special control initiatives;

   — changes to the organisation or management of competent authorities;

([11]) The work of the MANCP Network might be a useful source of information.

— provision of guidance or information to feed and food business operators;

— new legislation;

— new delegated bodies or natural persons

— suspension or withdrawal of delegation in the case of delegated bodies or natural persons.

Member States should also indicate relevant issues with negative impact on the operation of the official control systems.

3. **Amendments made to the MANCP**

This section is composed of a text box, numbered 3, for free text.

In accordance with Article 113(1)(a) of Regulation (EU) 2017/625, Member States shall include in the annual report any amendments to their MANCP during the year to which that report relates, or for the years ahead, to take account at least of the following factors:

— the emergence of new diseases, pests of plants or other risks to human, animal or plant health, animal welfare or, in the case of GMOs and plant protection products, also to the environment;

— significant changes to the structure, management or operation of the competent authorities in the Member State;

— the outcome of Member States' official controls;

— the outcome of Commission controls performed in the Member State in accordance with Article 116(1) of Regulation (EU) 2017/625;

— scientific findings; and

— the outcome of official controls performed by the competent authorities of a third country in the Member State.

Other factors that may be taken into account for such amendments are, where applicable:

— new legislation;

— significant changes to the agri-food production sector;

— the outcome of audits carried out in accordance with Article 6(1) of Regulation (EU) 2017/625;

— the outcome of root-cause analysis;

— the conclusions and recommendations contained in the Commission's report in accordance with Article 114(2) of Regulation (EU) 2017/625.

Particular attention should be paid to the need to ensure that amendments made in response to the factors referred to in Article 111(2) of Regulation (EU) 2017/625 are addressed and explained. In particular, relevant changes to the official control systems described in the MANCP and relevant changes to the risk categorisation of activities should be described.

The amendments should be consistent with the analysis and conclusions provided for in Sections 1 to 10 in Part II of the annual report and include cross-references to the relevant section(s), as appropriate.

4. **Fees or charges**

This section is composed of field, numbered 4, for the inclusion of a hyperlink(s).

Member States are required to include in the annual report the links to the web pages of the competent authorities containing the public information on fees or charges referred to in Article 85(2) of Regulation (EU) 2017/625.

Pursuant to Article 85(2) of Regulation (EU) 2017/625, each competent authority is required to make available to the public the information on the fees or charges provided for in point (a) of Article 79(1), Article 79(2) and Article 80 of Regulation (EU) 2017/625, namely on:

— the method and data used to establish these fees or charges;

— the amount of the fees or charges applied to each category of operators and for each category of official controls or other official activities;

— the breakdown of the costs, as referred to in Article 81;

— the identity of the authorities or bodies responsible for the collection of the fees or charges.

Article 79 of Regulation (EU) 2017/625 does not apply to official controls performed to verify compliance with the rules referred to in points (i) and (j) of Article 1(2). Therefore, in relation to these points, each competent authority is required to make available to the public only the information on the fees or charges provided for in Article 80.

PART II

A. **Common information**

A.1. ***Overall conclusion on the level of compliance achieved***

This information is presented in a text box, for free text, in each Section of Part II.

Member States should indicate the extent to which annual operational targets (where Member States have established such operational targets), and strategic objectives set out in the MANCP were achieved for each area of Article 1(2) of Regulation (EU) 2017/625.

A brief description of relevant performance indicators and/or operational targets applied may be included here, unless provided in the MANCP, in which case they should be referred to by means of cross-references.

Member States may include an assessment of how official controls (including unplanned) and, where applicable, specific control activities focused on a particular issue, have contributed to the level of compliance achieved.

Member States may mention for planned official controls the extent to which the frequency or intensity and nature of official controls set out in the MANCP were achieved. In the event that operational targets for planned official controls are not achieved, an analysis of relevant mitigating and/or contributing factors may be provided.

Member States may provide a brief explanation of the reasons for the unplanned official controls — particularly when they have diverted resources from planned official controls.

Member States should provide a description on how the overall compliance with the rules referred to in Article 1(2) of Regulation (EU) 2017/625, per area, was measured during the previous year as well as an overview of the results. A statement or conclusion on the overall level of compliance may provide an overview of results on the basis of competent authorities' performances.

A.2. ***Outcome of official controls performed in the previous year under the Member State's MANCP***

This information is presented in one, or more, tables in each Section of Part II depending on the particularities of each area in Article 1(2) of Regulation (EU) 2017/625.

In the table(s), Member States should indicate at least the number of official controls performed during the previous year. Examples of the methods and techniques used for these controls can be found in Article 14 of Regulation (EU) 2017/625 (e.g. inspection, sampling, analysis, diagnosis and tests).

Annexes I to X provide non-exhaustive indicative lists of rules, per area, meant as a guidance with regard to the information on official controls to be reported in the corresponding Sections of the annual report standard model form.

Optional text boxes, for free text, are provided after the tables for any comments deemed necessary on the performance of official controls, namely any specific context, background or constraints that merit to be highlighted. Member States can add information in these text boxes on the official controls performed for the verification of compliance with requirements laid down in the rules referred to Article 1(2) of Regulation (EU) 2017/625 where those requirements are applicable to products/goods to be exported from the Union (see also Article 9(6)(b) of the same Regulation).

A.3. ***Type and number of cases of non-compliance with the rules referred to in Article 1(2) of Regulation (EU) 2017/625, per area, detected in the previous year by the competent authorities***

This information is presented in the form of one, or more, tables in each Section of Part II depending on the particularities of each area in Article 1(2) of Regulation (EU) 2017/625.

In the table(s), Member States should indicate at least the type and number of cases of non-compliance detected during the previous year.

In the tables, depending on the particularities of each area in Article 1(2) of Regulation (EU) 2017/625, the non-compliances are divided per type (e.g. linked to an operator (structural or operational) or linked to an animal (by species) or good (e.g. by food categories)) and the last column of the tables indicates the actions/measures taken by the competent authorities to restore compliance under two categories: "Administrative" and "Judicial".

Where applicable, under the text boxes "Fraudulent and deceptive practices", Member States should provide a summary of the non-compliances identified during official controls performed to identify possible intentional violations of the rules referred to in Article 1(2) of Regulation (EU) 2017/625, perpetrated through fraudulent or deceptive practices, and taking into account information regarding such violations shared through the mechanisms of administrative assistance provided for in Articles 102 to 108 of Regulation (EU) 2017/625 and any other information pointing to the possibility of such violations.

When providing the summary above, the Member States should take into account the definition of "food fraud notification" in Article 2(21) of Commission Implementing Regulation (EU) 2019/1715 ([12]), which is a non-compliance notification concerning suspected intentional action by businesses or individuals for the purpose of deceiving purchasers and gaining undue advantage therefrom, in violation of the rules referred to in Article 1(2) of Regulation (EU) 2017/625.

Optional text boxes, for free text, are provided after the tables for any comments deemed necessary on the quantity and type of non-compliances detected and actions/measures initiated, namely any specific context, background or constraints that merit to be highlighted.

B. **Information per section**

Section 1. Food and food safety, integrity and wholesomeness at any stage of production, processing and distribution of food, including rules aimed at ensuring fair practices in trade and protecting consumer interests and information, and the manufacture and use of materials and articles intended to come into contact with food

Section 1 of Part II is composed of:

— a text box numbered 1.1, for free text, for the overall conclusion on the level of compliance achieved;

— three tables for the official controls performed, numbered 1.2, 1.3 and 1.4;

— an optional text box numbered 1.5, for free text, for comments;

— a table for the non-compliances and actions/measures numbered 1.6, and

— an optional text box numbered 1.7, for free text, for comments.

The text box 1.1 should be completed following the guidance in Section A.1.

For table 1.2 on official controls, Member States should take into account the guidance in Section A.2.

([12]) Commission Implementing Regulation (EU) 2019/1715 of 30 September 2019 laying down rules for the functioning of the information management system for official controls and its system components ('the IMSOC Regulation') (OJ L261, 14.10.2019, p. 37).

How to complete table 1.2	
For approved establishments	
Column "Number of establishments"	Total number of approved establishments, used for planning the official controls (most likely at the beginning of the year), for the different categories.
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in the different categories of approved establishments.
For registered operators/establishments	
Column "Number of operators/ establishments"	Total number of operators/establishments, used for planning the official controls (most likely at the beginning of the year), for the different categories.
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in the different categories of operators/establishments.
For establishments producing food contact materials	
Column "Number of establishments"	Total number of establishments, used for planning the official controls (most likely at the beginning of the year), for this category.
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in this category of establishments.

The categories of operators/establishments were divided in "approved" and "registered", following the rules of Article 6 of Regulation (EC) No 852/2004 of the European Parliament and of the Council ([13]) and Article 4 of Regulation (EC) No 853/2004 ([14]).

In the case of "approved" establishments, the categories in table 1.2 (and consequently in table 1.6) were drawn from the Annex II of the "Technical Specifications in Relation to the Master List and the Lists of EU Approved Food Establishments and Certain other Specified Food Establishments" ([15]).

In the case of "registered" operators/establishments, the categories in table 1.2 (and consequently in table 1.6) were agreed by the Commission Expert group during the development of Implementing Regulation (EU) 2019/723. In addition, to facilitate the categorisation of the operators/establishments, the Statistical classification of economic activities in the European Community (NACE) ([16]), by means of their codes, can optionally be used. The following table illustrates how the NACE codes relate to the defined categories:

Categories of registered operators/ establishments	NACE codes
Growing of crops	01.11 to 01.14 and 01.19 to 01.28
Animal production	01.41 to 01.49
Mixed farming	01.50
Hunting	01.70

([13]) Regulation (EC) No 852/2004 of the European Parliament and of the Council of 29 April 2004 on the hygiene of foodstuffs (OJ L 139, 30.4.2004, p. 1).

([14]) Regulation (EC) No 853/2004 of the European Parliament and of the Council of 29 April 2004 laying down specific hygiene rules for food of animal origin (OJ L 139, 30.4.2004, p. 55).

([15]) https://ec.europa.eu/food/sites/food/files/safety/docs/biosafety_fh_eu_food_establishments-techspecs_en.pdf, with modification introduced by https://webgate.ec.testa.eu/Ares/renditionDownload.do?itemId=090166e5a58a9c2d (point A.01 of the Summary Report)

([16]) http://ec.europa.eu/eurostat/ramon/nomenclatures/index.cfm?TargetUrl=LST_NOM_DTL&StrNom=NACE_REV2&StrLanguageCode=EN&IntPcKey=&StrLayoutCode=HIERARCHIC

Fishing	03.11 and 03.12
Aquaculture	03.21 and 03.22
Processing and preserving of fruit and vegetables	10.31, 10.32 and 10.39
Manufacture of vegetable oils and fats	10.41 and 10.42
Manufacture of grain mill products, starches and starch products	10.61 and 10.62
Manufacture of bakery and farinaceous products	10.71 to 10.73
Manufacture of other food products	10.81 to 10.86 and 10.89
Manufacture of beverages	11.01 to 11.07
Wholesale	46.31, 46.34, 46.36, 46.37 and 46.39
Retail	47.11, 47.21 to 47.25, 47.29, 47.76, 47.81, 47.91 and 47.99
Transport and storage	49.20, 50.20, 50.40, 51.21 and 52.10
Food and beverage service activities	55.10, 56.10, 56.21, 56.29 and 56.30
Others	No code associated

The category "Establishments producing food contact materials" is added to the end of table 1.2 to cover the official controls performed on manufacturers of materials and articles intended to come into contact with food.

The table 1.3 on official controls relates to those controls requiring continuous or regular presence of staff or representatives of the competent authorities on the operator's premises.

How to complete table 1.3	
Column "Number of establishments"	Total number of establishments, used for planning the official controls (most likely at the beginning of the year), for the different categories.
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in number of carcasses or weight per tonnes, in the different categories of establishments.
Column "Rejections"	Total number of immediate actions taken by the competent authority to prevent meat unfit for human consumption to be placed on the market subsequent to the official controls performed.

The approach to counting this type of official controls is based on Article 13(3) of Regulation (EU) 2017/625, where written records of these official controls, are to be produced with a frequency that enables the competent authorities and the operator to be:

— Regularly informed of the level of compliance; and

— Promptly informed of any case of non-compliance identified through the official controls.

The type of official controls mentioned above relate only to *ante-mortem* and *post-mortem* inspection in slaughterhouses. Official controls should be reported in relation to the number of carcasses inspected or in relation to the weight (in tonnes). Member States can add an explanation in the optional text box 1.5 on how they have counted the official controls performed. The categories of establishments are linked to the categories of "approved" establishments mentioned in table 1.2.

In table 1.4, Member States should report the total number of official controls performed only on products/goods during the reporting year, by food categories and by horizontal rule categories.

The food categories were divided using the food classification and description system "FoodEX2" of the European Food Safety Authority (namely its "Table A3: Facet descriptors for the groups defined in Regulation (EC) No 1333/2008 of the European Parliament and of the Council on food additives" ([17]) in Appendix A), while incorporating the food categories associated to the "approved" establishments in table 1.2, as optional categories to be filled. The latter are identified by a (*).

The category "food contact materials" is added to the end of table 1.4 to cover the manufacture, labelling and use of materials and articles intended to come into contact with food.

In the category "Others", Member States can also report the official controls performed for verifying food integrity and wholesomeness.

The horizontal rule categories derive from specific Union provisions concerning official controls in the area of Article 1(2)(a) of Regulation (EU) 2017/625. The intention of table 1.4 is to have an overview of the number of times the horizontal rules were controlled by the competent authorities, in relation with the food categories listed.

A non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(a) of Regulation (EU) 2017/625 is provided in Annex I.

More specifically, the following table indicates for the horizontal rules in tables 1.4 and 1.6:

— a non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls and non-compliances to be reported for the area in Article 1(2)(a) of Regulation (EU) 2017/625, and

— where the official controls and non-compliances provided for in Member States' national legislation relevant to Article 1(2)(a) of Regulation (EU) 2017/625 should be reported.

Horizontal rule in tables 1.4 and 1.6	Union legislation
Microbiological criteria	Regulation (EC) No 178/2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety, namely Article 14 on Food safety requirements
	Regulation (EC) No 2073/2005 on microbiological criteria for foodstuffs
Pesticides in food	Regulation (EC) No 396/2005 on maximum residue levels of pesticides in or on food and feed of plant or animal origin
Contaminants in food	Regulation (EEC) No 315/93 laying down Community procedures for contaminants in food
	Regulation (EC) No 1881/2006 setting maximum levels for certain contaminants in foodstuffs

([17]) https://efsa.onlinelibrary.wiley.com/doi/pdf/10.2903/sp.efsa.2015.EN-804

Residues of veterinary medicinal products in food	Directive 96/22/EC concerning the prohibition on the use in stockfarming of certain substances having a hormonal or thyrostatic action and of beta-agonists
	Directive 96/23/EC on measures to monitor certain substances and residues thereof in live animals and animal products
	Decision 97/747/EC fixing the levels and frequencies of sampling provided for by Council Directive 96/23/EC for the monitoring of certain substances and residues thereof in certain animal products
	Regulation (EU) No 37/2010 on pharmacologically active substances and their classification regarding maximum residue limits in foodstuffs of animal origin
Labelling, nutritional and health claims	Regulation (EC) No 1760/2000 establishing a system for the identification and registration of bovine animals and regarding the labelling of beef and beef products (Title II)
	Directive 2001/110/EC relating to honey
	Directive 2003/40/EC establishing the list, concentration limits and labelling requirements for the constituents of natural mineral waters and the conditions for using ozone-enriched air for the treatment of natural mineral waters and spring waters
	Regulation (EC) No 1924/2006 on nutrition and health claims made on foods
	Regulation (EC) No 543/2008 of 16 June 2008 laying down detailed rules for the application of Council Regulation (EC) No 1234/2007 as regards the marketing standards for poultry meat
	Regulation (EC) No 361/2008 amending Regulation (EC) No 1234/2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products
	Regulation (EC) No 617/2008 laying down detailed rules for implementing Regulation (EC) No 1234/2007 as regards marketing standards for eggs for hatching and farmyard poultry chicks (Articles 3 to 6)
	Regulation (EU) No 1169/2011 on the provision of food information to consumers
	Regulation (EU) No 29/2012 on marketing standards for olive oil
	Regulation (EU) No 1151/2012 on quality schemes for agricultural products and foodstuffs
	Regulation (EU) No 1308/2013 establishing a common organisation of the markets in agricultural products (articles 74 to 91)
	Regulation (EU) No 1337/2013 laying down rules for the application of Regulation (EU) No 1169/2011 as regards the indication of the country

	of origin or place of provenance for fresh, chilled and frozen meat of swine, sheep, goats and poultry
	Regulation (EU) No 1379/2013 on the common organisation of the markets in fishery and aquaculture products (Chapter IV)
	Regulation (EU) No 665/2014 supplementing Regulation (EU) No 1151/2012 with regard to conditions of use of the optional quality term ”mountain product”
Genetically Modified Organisms (GMOs) in food	Regulation (EC) No 1829/2003 on genetically modified food and feed
	Regulation (EC) No 1830/2003 concerning the traceability and labelling of genetically modified organisms and the traceability of food and feed products produced from genetically modified organisms
	Regulation (EC) No 641/2004 on detailed rules for the implementation of Regulation (EC) No 1829/2003 as regards the application for the authorisation of new genetically modified food and feed, the notification of existing products and adventitious or technically unavoidable presence of genetically modified material which has benefited from a favourable risk evaluation
Improvement agents (additives, enzymes, flavourings, processing aids)	Directive 2002/46/EC on the approximation of the laws of the Member States relating to food supplements
	Regulation (EC) No 1925/2006 on the addition of vitamins and minerals and of certain other substances to foods
	Regulation (EC) No 1332/2008 on food enzymes
	Regulation (EC) No 1333/2008 on food additives
	Regulation (EC) No 1334/2008 on flavourings and certain food ingredients with flavouring properties for use in and on food
	Directive 2009/32/EC on the approximation of the laws of the Member States on extraction solvents used in the production of foodstuffs and food ingredients
Irradiation	Directive 1999/2/EC on the approximation of the laws of the Member States concerning foods and food ingredients treated with ionising radiation
	Directive 1999/3/EC on the establishment of a Community list of foods and food ingredients treated with ionising radiation
Contamination by/migration of food contact materials	Regulation (EC) No 1935/2004 on materials and articles intended to come into contact with food
	Regulation (EC) No 2023/2006 on good manufacturing practice for materials and articles intended to come into contact with food
	Regulation (EU) No 10/2011 on plastic materials and articles intended to come into contact with food

Others	Directive 98/83/CE on the quality of water intended for human consumption (relevant rules for water put into bottles or containers)
	Regulation (EC) No 178/2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety, namely Article 18 on traceability
	Directive 2003/40/EC establishing the list, concentration limits and labelling requirements for the constituents of natural mineral waters and the conditions for using ozone-enriched air for the treatment of natural mineral waters and spring waters
	Regulation (EC) No 852/2004 on the hygiene of foodstuffs
	Regulation (EC) No 853/2004 laying down specific hygiene rules for food of animal origin
	Regulation (EC) No 2074/2005 laying down implementing measures for certain products under Regulation (EC) No 853/2004 and for the organisation of official controls under Regulation (EC) No 854/2004
	Directive 2009/39/EC on foodstuffs intended for particular nutritional uses
	Directive 2009/54/EC on the exploitation and marketing of natural mineral waters
	Regulation (EU) No 115/2010 laying down the conditions for use of activated alumina for the removal of fluoride from natural mineral waters and spring waters
	Commission Implementing Regulation (EU) No 931/2011 on the traceability requirements set by Regulation (EC) No 178/2002 for food of animal origin
	Regulation (EU) No 609/2013 on food intended for infants and young children, food for special medical purposes, and total diet replacement for weight control
	Regulation (EU) No 1379/2013 on the common organisation of the markets in fishery and aquaculture products
	Regulation (EU) 2015/1375 laying down specific rules on official controls for Trichinella in meat
	Regulation (EU) 2015/2283 on novel foods
	National legislation

Table 1.6 is divided in two main columns, one for "Non-compliances" and another for "Actions/measures". Member States should take into account the guidance in Section A.3 when completing this table.

How to complete the column "Non-compliances of operators/establishments" in table 1.6	
Column "Detected during official controls performed"	Total number of non-compliances detected during the reporting year, in the different categories of approved and registered operators/establishments.
Optional column "Total number of controlled operators/establishments"	Total number of operators/establishments for the different categories of operator/establishments where the competent authorities have carried out official controls during the reporting year.
Optional column "Number of controlled operators/establishments where non-compliances were detected"	Total number of operators/establishments for the different categories of operators/establishments where the competent authorities have carried out official controls and detected non-compliances (related only to the operator or establishment, either structural or operational) during the reporting year.

How to complete the column "Non-compliances of food" in table 1.6	
Column "Non-compliances detected during official controls performed" and seven sub-columns comprising horizontal rules	Total number of non-compliances in the different categories of food and horizontal rules that the competent authorities detected while performing official controls during the reporting year.

How to complete the column "Non-compliances related to horizontal rules" in table 1.6	
Column "Non-compliances detected during official controls performed"	Total number of non-compliances in the different categories of horizontal rules that the competent authorities detected while performing official controls during the reporting year.

How to complete the column "Actions/measures" in table 1.6	
Column "Administrative"	Total number of actions/measures initiated by the competent authorities during the reporting year, in the different categories of operators/establishments, food, and horizontal rules to restore compliance with the rules in the areas referred to in Article 1(2)(a) of Regulation (EU) 2017/625
Column "Judicial"	Total number of actions/measures that were sent by the competent authority to the judicial authority, during the reporting year, to restore compliance with the rules in the areas referred to in Article 1(2)(a) of Regulation (EU) 2017/625.

Section 2. Deliberate release into the environment of Genetically Modified Organisms (GMOs) for the purpose of food and feed production

Section 2 of Part II is composed of:

— a text box numbered 2.1, for free text, for the overall conclusion on the level of compliance achieved;

— a table for the official controls numbered 2.2;

— an optional text box numbered 2.3, for free text, for comments;

— a table for the non-compliances and actions/measures numbered 2.4, and

— an optional text box numbered 2.5, for free text, for comments.

The text box 2.1 should be completed following the guidance in Section A.1.

In this Section 2, Member States should report all relevant information on official controls performed in relation to the deliberate release into the environment of GMOs for the purpose of food and feed production, in particular in the following three categories:

— Commercial cultivation of GMOs for the purpose of food and feed production (Part C of Directive 2001/18/EC of the European Parliament and of the Council ([18]))

Commercial cultivation of GMOs requires an EU wide authorisation, which includes specific conditions, meaning obligations for the operators such as labelling requirements, risk management measures or monitoring of environmental effects. Commercial cultivation for the purpose of food and feed production concerns only GMOs that can be used as food, feed or raw material for the production of food and feed. Member States, where GMOs are cultivated, should report the official controls and the results of those controls in accordance with the guidance provided below. Member States without GMOs cultivation should report the absence of GMOs cultivation in this section of the Annual Report together with any legal reason for doing so (opt-out, safeguard measure, national law etc.).

— Experimental releases of GMOs related to food and feed production (Part B of Directive 2001/18/EC)

Experimental releases of GMOs are authorised at national level, in accordance with Directive 2001/18/EC. Experimental releases of GMOs related to food and feed production concern experimental releases of GMOs which, when commercialised, can be used as food, feed or raw material for the production of food and feed products. Member States that have granted consents for such experimental releases, should report the official controls and the results of those controls in accordance with the guidance provided below.

— Seeds and vegetative propagating material for the purpose of food and feed production

Seeds and vegetative propagating material for the purpose of food and feed production concern seeds for planting, which produce plants, and vegetative propagating material that can then be used for the production of food and feed products. Member States should report the official controls on authorised Genetically Modified (GM) seeds and vegetative propagating material as well as the official controls on conventional seeds and vegetative propagating material for the presence of GM material (authorised or unauthorised). Under Article 4(5) of Directive 2001/18/EC, the official controls must be carried out at any stage of placing on the market (such as internal market, exports).

For table 2.2 on official controls, Member States should take into account the guidance in Section A.2 and the description of the three categories above.

How to complete table 2.2	
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in the three categories described for deliberate release into the environment of GMOs for the purpose of food and feed production.

A non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(b) of Regulation (EU) 2017/625 is provided in Annex II.

The table for the non-compliances 2.4 is divided in two main columns, one for "Non-compliances" and another for "Actions/measures". Member States should take into account the guidance in Section A.3 when completing this table.

How to complete the column "non-compliances" in table 2.4	
Column "Detected during official controls performed"	Total number of non-compliances detected during the reporting year, in the five categories described for deliberate release into the environment of GMOs for the purpose of food and feed production.

([18]) Directive 2001/18/EC of the European Parliament and of the Council of 12 March 2001 on the deliberate release into the environment of genetically modified organisms and repealing Council Directive 90/220/EEC - Commission Declaration (OJ L 106, 17.4.2001, p. 1).

How to complete the column "non-compliances" in table 2.4	
Optional column "Total number of controlled operators"	Total number of operators for the five categories described for deliberate release into the environment of GMOs for the purpose of food and feed production, where the competent authorities performed official controls during the reporting year.
Optional column "Number of controlled operators where non-compliances were detected"	Total number of operators for the five categories described for deliberate release into the environment of GMOs for the purpose of food and feed production, where the competent authorities performed official controls and detected non-compliances during the reporting year.

How to complete the column "Actions/measures" in table 2.4	
Column "Administrative"	Total number of actions/measures initiated by the competent authorities during the reporting year, in the five categories described for deliberate release into the environment of GMOs for the purpose of food and feed production, to restore compliance with the rules in the areas referred to in Article 1(2)(b) of Regulation (EU) 2017/625.
Column "Judicial"	Total number of actions/measures that were sent by the competent authority to the judicial authority, during the reporting year, for deliberate release into the environment of GMOs for the purpose of food and feed production, to restore compliance with the rules in the areas referred to in Article 1(2)(b) of Regulation (EU) 2017/625.

Section 3. Feed and feed safety at any stage of production, processing and distribution of feed and the use of feed, including rules aimed at ensuring fair practices in trade and protecting consumer health, interests and information

Section 3 of Part II is composed of:

— a text box numbered 3.1, for free text, for the overall conclusion on the level of compliance achieved;

— one table for the official controls, numbered 3.2;

— an optional text box numbered 3.3, for free text, for comments;

— a table for the non-compliances and actions/measures numbered 3.4, and

— an optional text box numbered 3.5, for free text, for comments.

The text box 3.1 should be completed following the guidance in Section A.1.

For table 3.2 on official controls, Member States should take into account the guidance in Section A.2.

How to complete table 3.2	
Column "Number of establishments"	Total number of establishments, used for planning the official controls (most likely at the beginning of the year), for the different categories of establishments.
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in the different categories of establishments or in the different categories of horizontal rules.

The official controls performed on the different categories of establishments cover mainly feed hygiene requirements laid down in Regulation (EC) No 183/2005 of the European Parliament and of the Council ([19]) (e.g. registration/approval, structure, hygiene, maintenance, own-checks).

([19]) Regulation (EC) No 183/2005 of the European Parliament and of the Council of 12 January 2005 laying down requirements for feed hygiene (OJ L 35, 8.2.2005, p. 1).

For the establishments in the category of "Establishments approved in accordance with Article 10 of Regulation (EC) No 183/2005 of the European Parliament and of the Council", Member States can report official controls under the additional optional row "Primary producers approved according to Article 10 of Regulation (EC) No 183/2005", identified by a (*). This optional category includes on-farm mixers.

For the establishments in the category of "Establishments registered in accordance with Article 9 of Regulation (EC) No 183/2005, with the exclusion of primary production", Member States can report official controls under the additional optional row "Primary producers registered in accordance with Article 9 of Regulation (EC) No 183/2005 and complying with provisions in Annex I to that Regulation", identified by a (*).

The category "Operators (farmers) using feed" relates to farmers that keep production animals (animals that eventually will be destined for the food chain), that buy feed (all kinds) and then give that feed to their animals. Official controls on these operators focus on, for example, the feed used in the farms, the equipment used for distributing the feed and the storage of feed (taking into account Article 7 and Chapters I to IV of Annex IV to Regulation (EC) No 999/2001 ([20]) and Annex III of Regulation (EC) No 767/2009 ([21]) both of the European Parliament and of the Council).

The horizontal rule categories derive from specific Union provisions concerning official controls in this area of Article 1(2):

— Official controls on "Labelling of feed" covers requirements of Regulation (EC) No 767/2009 and points 8 and 9 of section "Production" in Annex II to Regulation (EC) No 183/2005 and of Regulation (EC) No 1831/2003 of the European Parliament and of the Council ([22]). Controls on the ingredients of feed should also cover identification of any undeclared ingredients.

— Official controls on "Traceability of feed" covers requirements of Article 18 of Regulation (EC) No 178/2002 and, in particular, those of point 4 of section "Quality Control", point 5 of section "Dioxin Monitoring for Oils, Fats and Derived Products" and point 2(b) of section "Record-Keeping", all of Annex II to Regulation (EC) No 183/2005.

— Official controls on medicated feedingstuffs covers the requirements of Article 13 of Council Directive 90/167/EEC ([23]) laying down the conditions governing the preparation, placing on the market and use of medicated feedingstuffs in the Community.

A non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(c) of Regulation (EU) 2017/625 is provided in Annex III.

The table 3.4 is divided in two main columns, one for "Non-compliances" and another for "Actions/measures". Member States should take into account the guidance in Section A.3 when completing this table.

How to complete table 3.4 Non-compliances	
Column "Detected during official controls performed"	Total number of non-compliances detected during the reporting year, for the different categories of establishments.
Optional column "Total number of controlled establishments"	Total number of establishments for the different categories of establishments where the competent authorities have carried out official controls during the reporting year.

([20]) Regulation (EC) No 999/2001 of the European Parliament and of the Council of 22 May 2001 laying down rules for the prevention, control and eradication of certain transmissible spongiform encephalopathies (OJ L 147, 31.5.2001, p. 1).

([21]) Regulation (EC) No 767/2009 of the European Parliament and of the Council of 13 July 2009 on the placing on the market and use of feed, amending European Parliament and Council Regulation (EC) No 1831/2003 and repealing Council Directive 79/373/EEC, Commission Directive 80/511/EEC, Council Directives 82/471/EEC, 83/228/EEC, 93/74/EEC, 93/113/EC and 96/25/EC and Commission Decision 2004/217/EC (OJ L 229, 1.9.2009, p. 1).

([22]) Regulation (EC) No 1831/2003 of the European Parliament and of the Council of 22 September 2003 on additives for use in animal nutrition (OJ L 268, 18.10.2003, p. 29).

([23]) Council Directive 90/167/EEC of 26 March 1990 laying down the conditions governing the preparation, placing on the market and use of medicated feedingstuffs in the Community (OJ L 92, 7.4.1990, p. 42).

How to complete table 3.4 Non-compliances	
Optional Column "Number of controlled establishments where non-compliances were detected"	Total number of establishments for the different categories of establishments where the competent authorities have carried out official controls and detected non-compliances (related only to the establishment, either structural or operational) during the reporting year.
Column "Number of non-compliances found"	Total number of non-compliances in the different categories of horizontal rules that the competent authorities detected while performing official controls during the reporting year.

The non-compliances in the horizontal rule categories derive from specific Union provisions concerning official controls in this area of Article 1(2). Product safety non-compliances relate to microbiological criteria applicable to feed (Chapter I, of Annex X to Commission Regulation (EU) No 142/2011 ([24]) for feed materials of animal origin and Regulation (EC) No 999/2001 for animal protein) and to the results of the analysis for the official control of feed carried out under the rules of Article 3 of Commission Regulation (EC) No 152/2009 ([25]), and Articles 4 and 5 of Commission Regulation (EU) No 619/2011 ([26]).

How to complete the column "Actions/measures" in table 3.4	
Column "Administrative"	Total number of actions/measures initiated by the competent authorities during the reporting year, in the different categories of establishments and horizontal rules to restore compliance with the rules in the areas referred to in Article 1(2)(c) of Regulation (EU) 2017/625
Column "Judicial"	Total number of actions/measures that were sent by the competent authority to the judicial authority, during the reporting year, in the different categories of establishments and horizontal rules, to restore compliance with the rules in the areas referred to in Article 1(2)(c) of Regulation (EU) 2017/625.

For the establishments in the category of "Establishments approved in accordance with Article 10 of Regulation (EC) No 183/2005 of the European Parliament and of the Council", Member States can report non-compliances and actions/measures under the additional optional row "Primary producers approved according to Article 10 of Regulation (EC) No 183/2005", identified by a (*). This optional category includes on-farm mixers.

For the establishments in the category of "Establishments registered in accordance with Article 9 of Regulation (EC) No 183/2005, with the exclusion of primary production", Member States can report non-compliances and actions/measures under the additional optional row "Primary producers registered in accordance with Article 9 of Regulation (EC) No 183/2005 and complying with provisions in Annex I to that Regulation", identified by a (*).

Section 4. Animal health requirements

This is Section 4 of Part II and is composed of:

— a text box numbered 4.1, for free text, for the overall conclusion on the level of compliance achieved;

— a table for the official controls numbered 4.2;

([24]) Commission Regulation (EU) No 142/2011 of 25 February 2011 implementing Regulation (EC) No 1069/2009 of the European Parliament and of the Council laying down health rules as regards animal by-products and derived products not intended for human consumption and implementing Council Directive 97/78/EC as regards certain samples and items exempt from veterinary checks at the border under that Directive (OJ L 54, 26.2.2011, p. 1).

([25]) Commission Regulation (EC) No 152/2009 of 27 January 2009 laying down the methods of sampling and analysis for the official control of feed (OJ L 54, 26.2.2009, p. 1).

([26]) Commission Regulation (EU) No 619/2011 of 24 June 2011 laying down the methods of sampling and analysis for the official control of feed as regards presence of genetically modified material for which an authorisation procedure is pending or the authorisation of which has expired (OJ L 166, 25.6.2011, p. 9).

— an optional text box numbered 4.3, for free text, for comments;

— a table for the non-compliances and actions/measures numbered 4.4, and

— an optional text box numbered 4.5, for free text, for comments.

The text box 4.1 should be completed following the guidance in Section A.1.

In the area of Animal Health, namely in relation to identification and registration of certain animals, there are existing reporting requirements and defined models for the reports ([27]) ([28]). Those models have been integrated in one single table in this Section of the Annual Report, which covers more than reporting requirements for identification and registration of certain animals. Therefore, for table 4.2 on official controls, Member States should take into account the guidance in Section A.2.

How to complete table 4.2	
Column "Number of holdings/ establishments"	Total number of holdings for the two categories in the first two rows, at the beginning of the reporting year. Total number of establishments for the rest of the categories, used for planning the official controls (most likely at the beginning of the year).
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in the different categories of holdings/establishments.
Column "Number of animals registered (at the beginning of the reporting period or other national reference date for animal statistics)"	Total number of animals registered for the categories related to identification and registration of bovine animals at the beginning of the reporting period or other national reference date for animal statistics.
Column "Number of animals registered (at the beginning of the reporting period or other national reference date for animal statistics)"	Total number of animals registered for the categories related to identification and registration of ovine and caprine animals at the beginning of the reporting period or other national reference date for animal statistics.
Column "Number of animals checked"	Total number of animals checked for the categories related to identification and registration of bovine, ovine and caprine animals, during the reporting year.

For the establishments in the categories of "Approved aquaculture establishments", "Semen collection centres", "Semen storage centres" and "Embryo collection/production teams", Member States can report official controls under the additional optional rows provided (related to animal species for which specific Union provisions exist), identified by a (*).

A non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(d) of Regulation (EU) 2017/625 is provided in Annex IV.

The table for the non-compliances 4.4 is divided in two main columns, one for "Non-compliances" and another for "Actions/measures". Member States should take into account the guidance in Section A.3 when completing this table.

([27]) Article 7 and Annex to Regulation (EC) No 1505/2006 implementing Council Regulation (EC) No 21/2004 as regards the minimum level of checks to be carried out in relation to the identification and registration of ovine and caprine animals (OJ L 280, 12.10.2006, p. 3).

([28]) Article 5(1) and Annex I to Regulation (EC) No 1082/2003 laying down detailed rules for the implementation of Regulation (EC) No 1760/2000 of the European Parliament and of the Council as regards the minimum level of controls to be carried out in the framework of the system for the identification and registration of bovine animals (OJ L 156, 25.6.2003, p. 9).

Under the column "Non-compliances", Member States should complete the table as follows:

How to complete the column "Non-compliances" in table 4.4	
Column "Number of holdings/ establishments with non-compliances"	Total number of holdings/establishments where non-compliances were detected during the reporting year, in the different categories.

For the establishments in the categories of "Approved aquaculture establishments", "Semen collection centres", "Semen storage centres" and "Embryo collection/production teams", Member States can report non-compliances under the additional optional rows provided (related to animal species for which specific Union provisions exist), identified by a (*).

Under the column "Actions/measures", Member States should take into account that the actions/measures taken are a consequence of the non-compliances detected (and not of suspicion or confirmation of disease, or cessation of activities).

How to complete the column "Actions/measures" in table 4.4	
Column "Administrative"	Total number of actions/measures initiated by the competent authorities during the reporting year, in the different categories of establishments and horizontal rules to restore compliance with the rules in the areas referred to in Article 1(2)(d) of Regulation (EU) 2017/625
Column "Judicial"	Total number of actions/measures that were sent by the competent authority to the judicial authority, during the reporting year, to restore compliance with the rules in the areas referred to in Article 1(2)(d) of Regulation (EU) 2017/625.

Column "Restriction of movements of individual animals":

Column "Affected animals"	Total number of individual bovine animals that had their movements restricted, by the competent authorities, during the reporting year.
Column "Affected holdings"	Total number of bovine holdings that were affected by movement restrictions of individual bovine animals imposed by the competent authorities, during the reporting year.

Column "Restriction of movements of all animals":

Column "Affected animals"	Total number of individual bovine animals that had their movements restricted because of an imposed restriction of movements of all animals in the holding, by the competent authorities, during the reporting year.
Column "Affected holdings"	Total number of bovine holdings that were affected by movement restrictions of all bovine animals of the holding, imposed by the competent authorities, during the reporting year.

Column "Destruction of animals":

Column "Affected animals"	Total number of individual bovine animals that were slaughtered or killed, by order of the competent authorities, during the reporting year.
Column "Affected holdings"	Total number of bovine holdings that were affected by the slaughter or killing of bovine animals of the holding, by order of the competent authorities, during the reporting year.

For the establishments in the categories of "Approved aquaculture establishments", "Semen collection centres", "Semen storage centres" and "Embryo collection/production teams", Member States can report actions/measures under the additional optional rows provided (related to animal species for which specific Union provisions exist), identified by a (*).

Section 5. Prevention and minimisation of risks to human and animal health arising from animal by-products and derived products

Section 5 of Part II is composed of:

— a text box numbered 5.1, for free text, for the overall conclusion on the level of compliance achieved;

— one table for the official controls, numbered 5.2;

— an optional text box numbered 5.3, for free text, for comments;

— a table for the non-compliances and actions/measures numbered 5.4, and

— an optional text box numbered 5.5, for free text, for comments.

The text box 5.1 should be completed following the guidance in Section A.1.

For table 5.2 on official controls, Member States should take into account the guidance in Section A.2.

How to complete table 5.2	
Column "Number of establishments/ plants"	Total number of establishments/plants, used for planning the official controls (most likely at the beginning of the year), for the different categories of establishments/plants.
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in the different categories of establishments/plants or in the different categories of horizontal rules.

The official controls performed on the different categories of establishments/plants cover mainly hygiene requirements laid down in Regulation (EC) No 1069/2009 of the European Parliament and of the Council ([29]) (e. g. registration/approval, structure, hygiene, maintenance, own-checks).

The official controls to be performed in accordance with the horizontal rule derive from specific Union provisions concerning official controls in this area of Article 1(2), namely labelling and traceability of animal by-products/ derived products under Regulation (EC) 1069/2009.

For clarity purposes, in order to concentrate the reporting of official controls on animal by-products/derived products in one section, the following guidance should be followed:

— Official controls on animal by-products/derived products (such as identification and collection), when verified at operators that handle (but do not process or transport) animal by-products/derived products, should be reported under the "By horizontal rule" column in table 5.2. Consequently, non-compliances detected during these official controls should be reported under the "By horizontal rule" column in table 5.4.

— Official controls on animal by-products/derived products (such as transport, treatment, use and disposal), when verified at establishments or plants that process animal by-products/derived products, should be reported under the "By establishment/plant" column in table 5.2. Consequently, non-compliances detected during these official controls should be reported under the "By establishments/plants" column in table 5.4.

([29]) Regulation (EC) No 1069/2009 of the European Parliament and of the Council of 21 October 2009 laying down health rules as regards animal by-products and derived products not intended for human consumption and repealing Regulation (EC) No 1774/2002 (Animal by-products Regulation) (OJ L 300, 14.11.2009, p. 1).

A non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(e) of Regulation (EU) 2017/625 is provided in Annex V.

The table for the non-compliances and actions/measures 5.4 is divided in two main columns, one for "Non-compliances" and another for "Actions/measures". Member States should take into account the guidance in Section A.3 when completing this table.

How to complete table 5.4 Non-compliances	
Column "Detected during official controls performed"	Total number of non-compliances detected during the reporting year, for the different categories of establishments/plants.
Optional column "Total number of controlled establishments/plants"	Total number of establishments/plants for the different categories of establishments/plants where the competent authorities have carried out official controls during the reporting year.
Optional column "Number of controlled establishments/plants where non-compliances were detected"	Total number of establishments/plants for the different categories of establishments/plants where the competent authorities have carried out official controls and detected non-compliances (related only to the establishment or plant, either structural or operational) during the reporting year.
Column "Number of non-compliances found"	Total number of non-compliances in the different categories of horizontal rules that the competent authorities detected while performing official controls during the reporting year.

The non-compliances in the horizontal rule categories derive from specific Union provisions concerning official controls in this area of Article 1(2)(e). The non-compliances with the rules on safety of animal by-products/derived products relate to the results of official controls carried out under the rules of Chapter VII of Regulation (EU) No 142/2011.

For the category of "Product non-compliance", Member States can report non-compliances under the additional optional rows provided (related to the categories of animal by-products for which specific Union provisions exist), identified by a (*).

How to complete the column "Actions/measures" in table 5.4	
Column "Administrative"	Total number of actions/measures initiated by the competent authorities during the reporting year, in the different categories of establishments/plants and horizontal rules, to restore compliance with the rules in the areas referred to in Article 1(2)(e) of Regulation (EU) 2017/625.
Column "Judicial"	Total number of actions/measures that were sent by the competent authority to the judicial authority, during the reporting year, to restore compliance with the rules in the areas referred to in Article 1(2)(e) of Regulation (EU) 2017/625.

For the category of "Product non-compliance", Member States can report actions/measures under the additional optional rows provided (related to the categories of animal by-products for which specific Union provisions exist), identified by a (*).

Section 6. Welfare requirements for animals

Section 6 of Part II is composed of:

— a text box numbered 6.1, for free text, for the overall conclusion on the level of compliance achieved;

— a table for the official controls, non-compliances and measures taken by the authorities on animal welfare on farms, numbered 6.2;

— a text box numbered 6.3, for free text, for the analysis and action plan for animal welfare on farms;

— a table for the official controls, non-compliances and measures taken by the authorities on animal welfare during transport, numbered 6.4;

— a text box numbered 6.5, for free text, for the analysis and action plan for animal welfare during transport;

— a text box numbered 6.6, for free text, for the animal welfare at the time of killing, and

— an optional text box numbered 6.7, for free text, for comments.

The text box 6.1 should be completed following the guidance in Section A.1.

In the area of animal welfare on farms, and in order to fulfil the obligations under Articles 6(2) of Council Directive 98/58/EC ([30]), 8(2) of Council Directive 1999/74/EC ([31]), 7(2) of Council Directive 2007/43/EC ([32]), 7(3) of Council Directive 2008/119/EC ([33]) and 8(3) of Council Directive 2008/120/EC ([34]), Member States should submit the information included in table 6.2. This table's purpose is to present the official controls performed, the types and number of non-compliances and measures taken by competent authorities, which are considered most useful for indicating the level of compliance with animal welfare rules on farms.

Official controls on chickens kept for meat production are included as a separate category in table 6.2 because Directive 2007/43/EC also requires annual reporting of controls on these animals.

How to complete table 6.2	
Column "Number of production sites"	Total number of production sites, used for planning the official controls (most likely at the beginning of the year), for the different categories of animals.
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in the different categories of animals.
Column "Non-compliances":	
Optional column "Total number of controlled production sites*"	Total number of production sites for the different categories of animals where the competent authorities have carried out official controls during the reporting year.
Column "Number of controlled production sites where non-compliances were detected"	Total number of production sites for the different categories of animals where the competent authorities have carried out official controls and detected non-compliances during the reporting year.

([30]) Council Directive 98/58/EC of 20 July 1998 concerning the protection of animals kept for farming purposes (OJ L 221, 8.8.1998, p. 23).

([31]) Council Directive 1999/74/EC of 19 July 1999 laying down minimum standards for the protection of laying hens (OJ L 203, 3.8.1999, p. 53).

([32]) Council Directive 2007/43/EC of 28 June 2007 laying down minimum rules for the protection of chickens kept for meat production (OJ L 182, 12.7.2007, p. 19).

([33]) Council Directive 2008/119/EC of 18 December 2008 laying down minimum standards for the protection of calves (OJ L 10, 15.1.2009, p. 7).

([34]) Council Directive 2008/120/EC of 18 December 2008 laying down minimum standards for the protection of pigs (OJ L 47, 18.2.2009, p. 5).

Column "Actions/measures":

Column "Administrative"	Total number of actions/measures initiated by the competent authorities during the reporting year, in the different categories of animals to restore compliance with the rules in the areas referred to in Article 1(2)(f) of Regulation (EU) 2017/625
Column "Judicial"	Total number of actions/measures that were sent by the competent authority to the judicial authority, during the reporting year, to restore compliance with the rules in the areas referred to in Article 1(2)(f) of Regulation (EU) 2017/625.

In the row "Other (specify)" Member States should identify one or more of the species listed in the drop down menu which have not been specified in the previous rows. Any official controls of other species carried out to fulfil the objective specified in the MANCP should also be listed in the table, under this row.

Member States should use the data submitted in table 6.2 as part of the analysis of the most serious findings of non–compliance. These analyses should be the basis for a national action plan that addresses these findings to prevent or decrease their occurrence for the forthcoming years. In accordance with Articles 6(2) of Directive 98/58/EC, 8(2) of Directive 1999/74/EC, 7(2) of Directive 2007/43/EC, 7(3) of Directive 2008/119/EC and 8(3) of Directive 2008/120/EC, as amended respectively by Articles 151(2)(b), 152(1)(b), 156(2)(b), 157(2)(b) and 158(2)(b) of Regulation (EU) 2017/625, Member States should provide the analyses and the national action plans in text box 6.3.

In the area of animal welfare during transport, and in order to fulfil the obligations under Article 27(2) of Council Regulation (EC) No 1/2005 ([35]), Member States should submit the information included in table 6.4. This table's purpose is to present the official controls performed, the types and number of non-compliances and measures taken by competent authorities, which are considered most useful for indicating the level of compliance with animal welfare rules during transport. The scope of official controls on animal welfare during transport includes place of departure, during transport (including at control posts and exit points), place of destination and controls after the transport has been completed.

How to complete table 6.4	
Column "Number of official controls performed"	Total number of official controls on the protection of animals during transport, carried out during the reporting year, by animal species.

Column "Number and category of non-compliances":

Column "1. Fitness of animals"	Total number of non-compliances detected by the competent authorities, by animal species, related to fitness of animals for transport (Article 3(b), Chapter I and paragraph 1.9 of Chapter VI of Annex I to Regulation (EC) No 1/2005).
Column "2. Transport practices, space allowance, height"	Total number of non-compliances detected by the competent authorities, by animal species, related to transport practices, space allowances and internal height (Article 3(d), (e) and (g), paragraph 1.2 of Chapter II and Chapters III and VII of Annex I to Regulation (EC) No 1/2005).
Column "3. Means of transport"	Total number of non-compliances detected by the competent authorities, by animal species, related to means of transport and additional provisions for livestock vessels or vessels transporting sea containers and for long journeys (Article 3(c) and (h), and Chapters II, IV and VI of Annex I to Regulation (EC) No 1/2005).

([35]) Council Regulation (EC) No 1/2005 of 22 December 2004 on the protection of animals during transport and related operations and amending Directives 64/432/EEC and 93/119/EC and Regulation (EC) No 1255/97 (OJ L 3, 5.1.2005, p. 1).

Column "4. Water, feed, journey and resting times"	Total number of non-compliances detected by the competent authorities, by animal species, related to watering and feeding, journey times and resting periods (Articles 3(a), (f) and (h), and Chapter V of Annex I to Regulation (EC) No 1/2005).
Column "5. Documents"	Total number of non-compliances detected by the competent authorities, by animal species, related to transport documentation, transporters' authorisations, driver's certificates of competence and approval of means of transport and journey logs, other than non-compliances referred to under category 4 (Articles 4, 5(4), 6(1), (5) and (8), and 17(2), and Annex II to Regulation (EC) No 1/2005).
Column "6. Other"	Total number of non-compliances detected by the competent authorities, by animal species, not included in the previous categories.

Column "Actions/measures" :

Column "Administrative"	Total number of actions/measures initiated by the competent authorities during the reporting year, in the different categories of establishments and horizontal rules to restore compliance with the rules in the areas referred to in Article 1(2)(f) of Regulation (EU) 2017/625
Column "Judicial"	Total number of actions/measures that were sent by the competent authority to the judicial authority, during the reporting year, to restore compliance with the rules in the areas referred to in Article 1(2)(f) of Regulation (EU) 2017/625.

Member States should use the data submitted in table 6.4 to analyse the major deficiencies detected. Member States should provide in text box 6.5 the analysis and, where appropriate, an action plan, to address these deficiencies. The animal welfare controls and deficiencies reported in IMSOC should also be covered by the analysis and action plan submitted in text box 6.5. Member States can explain further the number of penalties imposed by competent authorities, by animal species, in accordance with rules laid down in national legislation pursuant to Article 25 of Regulation (EC) No 1/2005 and the number of emergency measures, infringements and notifications of infringements taken by the competent authorities, by animal species, in accordance with Articles 23 and 26 (until 14 December 2022) of Regulation (EC) No 1/2005.

In the area of animal welfare at the time of killing, Council Regulation (EC) No 1099/2009 ([36]) does not contain a specific requirement for reporting official controls of animal welfare at slaughter (i.e. killing animals for human consumption). However, if official controls to verify compliance with this regulation are made under the provisions of Member States' MANCPs, they should be reported here as required by Article 113(1)(b) of Regulation (EU) 2017/625.

Therefore, in the text box 6.6, Member States should provide an overview of the results of official controls carried out by the competent authorities and the type and number of cases of non-compliance detected during the reporting year.

A non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(f) of Regulation (EU) 2017/625 is provided in Annex VI.

Section 7. Protective measures against pests of plants

Section 7 of Part II is composed of:

— a text box numbered 7.1, for free text, for the overall conclusion on the level of compliance achieved;

([36]) Council Regulation (EC) No 1099/2009 of 24 September 2009 on the protection of animals at the time of killing (OJ L 303, 18.11.2009, p. 1).

— a table for the official controls numbered 7.2;

— an optional text box numbered 7.3, for free text, for comments;

— a table for the non-compliances and actions/measures numbered 7.4, and

— an optional text box numbered 7.5, for free text, for comments.

The text box 7.1 should be completed following the guidance in Section A.1.

For the table 7.2 on official controls, Member States should take into account the guidance in Section A.2.

How to complete table 7.2	
Column "Number of operators"	Total number of operators, used for planning the official controls (most likely at the beginning of the year), for the different categories of operators.
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in the different categories of operators.

The category "Operators authorised to issue plant passports" includes professional operators that have implemented a pest risk management plan approved in accordance with Article 91 of Regulation (EU) 2016/2031 of the European Parliament and of the Council ([37]) and professional operators with premises located in a demarcated area established in accordance with Article 18(1) of Regulation (EU) 2016/2031.

A non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(g) of Regulation (EU) 2017/625 is provided in Annex VII.

The table 7.4 is divided in two main columns, one for "Non-compliances" and another for "Actions/measures". Member States should take into account the guidance in Section A.3 when completing this table.

How to complete the column "Non-compliances" in table 7.4	
Column "Detected during official controls performed"	Total number of non-compliances detected during the reporting year, in the different categories of operators.
Optional column "Total number of controlled operators"	Total number of operators for the different categories of operators where the competent authorities have carried out official controls, during the reporting year.
Optional column "Number of controlled operators where non-compliances were detected"	Total number of operators for the different categories of operators where the competent authorities have carried out official controls, and detected non-compliances during the reporting year.

Non-compliances, in this section, are any deviation from the phytosanitary requirements of Regulation (EU) 2016/2031, namely the ones in Articles 90 and 98.

How to complete the column "Actions/measures" in table 7.4	
Column "Administrative"	Total number of actions/measures initiated by the competent authorities during the reporting year, in the different categories of operators to restore compliance with the rules in the areas referred to in Article 1(2)(g) of Regulation (EU) 2017/625.

([37]) Regulation (EU) 2016/2031 of the European Parliament of the Council of 26 October 2016 on protective measures against pests of plants, amending Regulations (EU) No 228/2013, (EU) No 652/2014 and (EU) No 1143/2014 of the European Parliament and of the Council and repealing Council Directives 69/464/EEC, 74/647/EEC, 93/85/EEC, 98/57/EC, 2000/29/EC, 2006/91/EC and 2007/33/EC (OJ L 317, 23.11.2016, p. 4).

Column "Judicial"	Total number of actions/measures that were sent by the competent authority to the judicial authority, during the reporting year, in the different categories of operators, to restore compliance with the rules in the areas referred to in Article 1(2)(g) of Regulation (EU) 2017/625.

Section 8. Requirements for the placing on the market and use of plant protection products and the sustainable use of pesticides, with the exception of pesticides application equipment

Section 8 of Part II is composed of:

— a text box numbered 8.1, for free text, for the overall conclusion on the level of compliance achieved;

— a table for the official controls numbered 8.2;

— an optional text box numbered 8.3, for free text, for comments;

— a table for the non-compliances and actions/measures numbered 8.4, and

— an optional text box numbered 8.5, for free text, for comments.

The text box 8.1 should be completed following the guidance in Section A.1.

For the table 8.2 on official controls, Member States should take into account the guidance in Section A.2.

How to complete table 8.2	
Column "Number of operators"	Total number of operators (an estimation in case no national obligation to register operators exist), used for planning the official controls (most likely at the beginning of the year), for the different categories of operators marketing and using plant protection products.
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in the different categories of operators marketing and using plant protection products.

The category "Entry points" means the points of entry into the Union, under Article 44(3)(a) of Regulation (EU) 2017/625, which have access to the appropriate control facilities for different types of products/goods. The official controls performed in these points of entry that should be reported are the ones provided for in Article 44(1) of Regulation (EU) 2017/625.

For the category of "On use of PPPs and sustainable use of pesticides", Member States can report the number of operators and the number of official controls performed under the additional optional rows provided (related to the categories of "Agricultural users" or "Other professional users"), identified by a (*).

A non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(h) of Regulation (EU) 2017/625 is provided in Annex VIII.

The table 8.4 is divided in two main columns, one for "Non-compliances" and another for "Actions/measures". Member States should take into account the guidance in Section A.3 when completing this table.

How to complete the column "Non-compliances" in table 8.4	
Column "Detected during official controls performed"	Total number of non-compliances detected during the reporting year, in the different categories of operators marketing and using plant protection products.
Optional column "Total number of controlled operators"	Total number of operators for the different categories of operators marketing and using plant protection products where the competent authorities have carried out official controls, during the reporting year.
Optional column "Number of controlled operators where non-compliances were detected"	Total number of operators for the different categories of operators marketing and using plant protection products where the competent authorities have carried out official controls and detected non-compliances during the reporting year.

How to complete the column "Actions/measures" in table 8.4	
Column "Administrative"	Total number of actions/measures initiated by the competent authorities during the reporting year, in the different categories of operators marketing and using plant protection products to restore compliance with the rules in the areas referred to in Article 1(2)(h) of Regulation (EU) 2017/625.
Column "Judicial"	Total number of actions/measures that were sent by the competent authority to the judicial authority, during the reporting year, in the different categories of operators marketing and using plant protection products to restore compliance with the rules in the areas referred to in Article 1(2)(h) of Regulation (EU) 2017/625.

For the category of "On use of PPPs and sustainable use of pesticides", Member States can report the non-compliances and actions/measures initiated under the additional optional rows provided (related to the categories of "Agricultural users" or "Other professional users"), identified by a (*).

Section 9. Organic production and labelling of organic products

Section 9 of Part II is composed of:

— a text box numbered 9.1, for free text, for the overall conclusion on the level of compliance achieved;

— templates provided for in Annexes XIIIb and XIIIc to Regulation (EC) No 889/2008 ([38]), and

— an optional text box numbered 9.3, for free text, for comments.

The text box 9.1 should be completed following the guidance in Section A.1.

A non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(i) of Regulation (EU) 2017/625 is provided in Annex IX.

Section 10. Use and labelling of protected designations of origin, protected geographical indications and traditional specialities guaranteed

Section 10 of Part II is composed of:

— a text box numbered 10.1, for free text, for the overall conclusion on the level of compliance achieved;

— a table for the official controls numbered 10.2;

([38]) Commission Regulation (EC) No 889/2008 laying down detailed rules for the implementation of Council Regulation (EC) No 834/2007 on organic production and labelling of organic products with regard to organic production, labelling and control (OJ L 250, 18.9.2008, p. 1).

— an optional text box numbered 10.3, for free text, for comments;

— a table for the non-compliances and actions/measures numbered 10.4, and

— an optional text box numbered 10.5, for free text, for comments.

The text box 10.1 should be completed following the guidance in Section A.1.

For the table 10.2 on official controls, Member States should take into account the guidance in Section A.2.

How to complete table 10.2	
Column "Number of official controls performed"	Total number of official controls carried out during the reporting year, in the categories "Pre-market", "Conventional market" and "Electronic commerce".

A non-exhaustive indicative list of rules, meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(j) of Regulation (EU) 2017/625 is provided in Annex X.

The table 10.4 is divided in two main columns, one for "Non-compliances" and another for "Actions/measures". Member States should take into account the guidance in Section A.3 when completing this table.

How to complete the column "Non-compliances" in table 10.4	
Column "Detected during official controls performed"	Total number of non-compliances detected during the reporting year, in the categories "Pre-market", "Conventional market" and "Electronic commerce".
Column "Total number of controlled operators"	Total number of operators in the categories "Pre-market", "Conventional market" and "Electronic commerce" where the competent authorities have carried out official controls during the reporting year.
Column "Number of controlled operators where non-compliances were detected"	Total number of operators in the categories "Pre-market", "Conventional market" and "Electronic commerce" where the competent authorities have carried out official controls and detected non-compliances during the reporting year.

How to complete the column "Actions/measures" in table 10.4	
Column "Administrative"	Total number of actions/measures initiated by the competent authorities during the reporting year, in the categories "Pre-market", "Conventional market" and "Electronic commerce", to restore compliance with the rules in the areas referred to in Article 1(2)(j) of Regulation (EU) 2017/625.
Column "Judicial"	Total number of actions/measures that were sent by the competent authority to the judicial authority, during the reporting year, to restore compliance with the rules in the areas referred to in Article 1(2)(j) of Regulation (EU) 2017/625.

ANNEX I

Non-exhaustive indicative list of rules meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(a) of Regulation (EU) 2017/625

Regulation (EEC) No 315/93 laying down Community procedures for contaminants in food	Tables 1.4 and 1.6
Directive 96/22/EC concerning the prohibition on the use in stockfarming of certain substances having a hormonal or thyrostatic action and of beta-agonists	Tables 1.4 and 1.6
Directive 96/23/EC on measures to monitor certain substances and residues thereof in live animals and animal products – provisions which remain applicable in accordance with the transitional provision in Article 150 of Regulation (EU) 2017/625	Tables 1.4 and 1.6
Decision 97/747/EC fixing the levels and frequencies of sampling provided for by Council Directive 96/23/EC for the monitoring of certain substances and residues thereof in certain animal products	Tables 1.4 and 1.6
Directive 98/83/EC on the quality of water intended for human consumption (relevant rules for water put into bottles or containers)	Tables 1.4 and 1.6
Directive 1999/2/EC on the approximation of the laws of the Member States concerning foods and food ingredients treated with ionising radiation	Tables 1.4 and 1.6
Directive 1999/3/EC on the establishment of a Community list of foods and food ingredients treated with ionising radiation	Tables 1.4 and 1.6
Regulation (EC) No 1760/2000 establishing a system for the identification and registration of bovine animals and regarding the labelling of beef and beef products (Title II)	Tables 1.4 and 1.6
Directive 2001/110/EC relating to honey	Tables 1.4 and 1.6
Regulation (EC) No 178/2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety	Tables 1.2 and 1.6
Directive 2002/4/EC on the registration of establishments keeping laying hens, covered by Council Directive 1999/74/EC	Tables 1.4 and 1.6
Directive 2002/46/EC on the approximation of the laws of the Member States relating to food supplements	Tables 1.4 and 1.6
Directive 2002/99/EC laying down the animal health rules governing the production, processing, distribution and introduction of products of animal origin for human consumption	Tables 1.3, 1.4 and 1.6
Directive 2003/40/EC establishing the list, concentration limits and labelling requirements for the constituents of natural mineral waters and the conditions for using ozone-enriched air for the treatment of natural mineral waters and spring waters	Tables 1.4 and 1.6
Regulation (EC) No 1829/2003 on genetically modified food and feed	Tables 1.4 and 1.6
Regulation (EC) No 1830/2003 concerning the traceability and labelling of genetically modified organisms and the traceability of food and feed products produced from genetically modified organisms	Tables 1.4 and 1.6
Regulation (EC) No 852/2004 on the hygiene of foodstuffs	Tables 1.2, 1.3, 1.4 and 1.6

Regulation (EC) No 853/2004 laying down specific hygiene rules for food of animal origin	Tables 1.2, 1.3, 1.4 and 1.6
Regulation (EC) No 641/2004 on detailed rules for the implementation of Regulation (EC) No 1829/2003 as regards the application for the authorisation of new genetically modified food and feed, the notification of existing products and adventitious or technically unavoidable presence of genetically modified material which has benefited from a favourable risk evaluation	Tables 1.4 and 1.6
Regulation (EC) No 1935/2004 on materials and articles intended to come into contact with food	Tables 1.2, 1.4 and 1.6
Regulation (EC) No 396/2005 on maximum residue levels of pesticides in or on food and feed of plant or animal origin	Tables 1.4 and 1.6
Regulation (EC) No 2073/2005 on microbiological criteria for foodstuffs	Tables 1.4 and 1.6
Regulation (EC) No 2074/2005 laying down implementing measures for certain products under Regulation (EC) No 853/2004 and for the organisation of official controls under Regulation (EC) No 854/2004	Tables 1.4 and 1.6
Regulation (EC) No 1881/2006 setting maximum levels for certain contaminants in foodstuffs	Tables 1.4 and 1.6
Regulation (EC) No 1924/2006 on nutrition and health claims made on foods	Tables 1.4 and 1.6
Regulation (EC) No 1925/2006 on the addition of vitamins and minerals and of certain other substances to foods	Tables 1.4 and 1.6
Regulation (EC) No 2023/2006 on good manufacturing practice for materials and articles intended to come into contact with food	Tables 1.2, 1.4 and 1.6
Regulation (EC) No 543/2008 laying down detailed rules for the application of Council Regulation (EC) No 1234/2007 as regards the marketing standards for poultry meat	Tables 1.4 and 1.6
Regulation (EC) No 361/2008 amending Regulation (EC) No 1234/2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products	Tables 1.4 and 1.6
Regulation (EC) No 617/2008 laying down detailed rules for implementing Regulation (EC) No 1234/2007 as regards marketing standards for eggs for hatching and farmyard poultry chicks	Tables 1.2., 1.4 and 1.6
Regulation (EC) No 1332/2008 on food enzymes	Tables 1.4 and 1.6
Regulation (EC) No 1333/2008 on food additives	Tables 1.4 and 1.6
Regulation (EC) No 1334/2008 on flavourings and certain food ingredients with flavouring properties for use in and on food	Tables 1.4 and 1.6
Directive 2009/32/EC on the approximation of the laws of the Member States on extraction solvents used in the production of foodstuffs and food ingredients	Tables 1.4 and 1.6
Directive 2009/39/EC on foodstuffs intended for particular nutritional uses	Tables 1.4 and 1.6
Directive 2009/54/EC on the exploitation and marketing of natural mineral waters	Tables 1.4 and 1.6

Regulation (EU) No 37/2010 on pharmacologically active substances and their classification regarding maximum residue limits in foodstuffs of animal origin	Tables 1.4 and 1.6
Regulation (EU) No 115/2010 laying down the conditions for use of activated alumina for the removal of fluoride from natural mineral waters and spring waters	Tables 1.4 and 1.6
Regulation (EU) No 10/2011 on plastic materials and articles intended to come into contact with food	Tables 1.4 and 1.6
Regulation (EU) No 931/2011 on the traceability requirements set by Regulation (EC) No 178/2002 for food of animal origin	Tables 1.4 and 1.6
Regulation (EU) No 1169/2011 on the provision of food information to consumers	Tables 1.4 and 1.6
Regulation (EU) No 29/2012 on marketing standards for olive oil	Tables 1.4 and 1.6
Regulation (EU) No 1151/2012 on quality schemes for agricultural products and foodstuffs (Title IV: optional quality terms)	Tables 1.4 and 1.6
Regulation (EU) No 228/2013 laying down specific measures for agriculture in the outermost regions of the Union	Tables 1.4 and 1.6
Regulation (EU) No 609/2013 on food intended for infants and young children, food for special medical purposes, and total diet replacement for weight control	Tables 1.4 and 1.6
Regulation (EU) No 1306/2013 on the financing, management and monitoring of the common agricultural policy	Table 1.6
Regulation (EU) No 1308/2013 establishing a common organisation of the markets in agricultural products (articles 74 to 91)	Table 1.6
Regulation (EU) No 1337/2013 laying down rules for the application of Regulation (EU) No 1169/2011 as regards the indication of the country of origin or place of provenance for fresh, chilled and frozen meat of swine, sheep, goats and poultry	Tables 1.4 and 1.6
Regulation (EU) No 1379/2013 on the common organisation of the markets in fishery and aquaculture products (Chapter IV)	Tables 1.4 and 1.6
Regulation (EU) No 179/2014 supplementing Regulation (EU) No 228/2013 with regard to the register of operators, the amount of aid for the marketing of products outside the region, the logo, the exemption from import duties for certain bovine animals and the financing of certain measures relating to specific measures for agriculture in the outermost regions of the Union	Tables 1.4 and 1.6
Regulation (EU) No 665/2014 supplementing Regulation (EU) No 1151/2012 of the European Parliament and of the Council with regard to conditions of use of the optional quality term ”mountain product”	Tables 1.4 and 1.6
Regulation (EU) 2015/1375 laying down specific rules on official controls for Trichinella in meat	Tables 1.4 and 1.6
Regulation (EU) 2015/2283 on novel foods	Tables 1.4 and 1.6
Regulation (EU) 2019/624 concerning specific rules for the performance of official controls on the production of meat and for production and relaying areas of live bivalve molluscs in accordance with Regulation (EU) 2017/625	Tables 1.4 and 1.6
Regulation (EU) 2019/627 laying down uniform practical arrangements for the performance of official controls on products of animal origin intended for human consumption in accordance with Regulation (EU) 2017/625 and amending Regulation (EC) No 2074/2005 as regards official controls	Tables 1.4 and 1.6

Regulation (EU) 2019/1139 amending Regulation (EC) No 2074/2005 as regards official controls on food of animal origin in relation to requirements concerning food chain information and fishery products and to the reference to recognised testing methods for marine biotoxins and to testing methods for raw milk and heat-treated cow's milk	Tables 1.4 and 1.6
Regulation (EU) 2019/2090 supplementing Regulation (EU) 2017/625 regarding cases of suspected or established non-compliance with Union rules applicable to the use or residues of pharmacologically active substances authorised in veterinary medicinal products or as feed additives or with Union rules applicable to the use or residues of prohibited or unauthorised pharmacologically active substances	Tables 1.4 and 1.6

ANNEX II

Non-exhaustive indicative list of rules meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(b) of Regulation (EU) 2017/625

Directive 2001/18/EC on the deliberate release into the environment of genetically modified organisms	Tables 2.2 and 2.4
Regulation (EC) 1829/2003 on genetically modified food and feed	Tables 2.2 and 2.4
Regulation (EC) No 1830/2003 concerning the traceability and labelling of genetically modified organisms and the traceability of food and feed products produced from genetically modified organisms	Tables 2.2 and 2.4
Regulation (EC) 1946/2003 on transboundary movements of genetically modified organisms	Tables 2.2 and 2.4
Recommendation 2004/787/EC on technical guidance for sampling and detection of genetically modified organisms and material produced from genetically modified organisms as or in products in the context of Regulation (EC) No 1830/2003	Tables 2.2 and 2.4
Regulation (EC) No 65/2004 establishing a system for the development and assignment of unique identifiers for genetically modified organisms	Tables 2.2 and 2.4
Regulation (EC) No 641/2004 on detailed rules for the implementation of Regulation (EC) No 1829/2003 as regards the application for the authorisation of new genetically modified food and feed, the notification of existing products and adventitious or technically unavoidable presence of genetically modified material which has benefited from a favourable risk evaluation	Tables 2.2 and 2.4
Regulation (EU) 619/2011 laying down the methods of sampling and analysis for the official control of feed as regards presence of genetically modified material for which an authorisation procedure is pending or the authorisation of which has expired	Tables 2.2 and 2.4
Directive (EU) 2015/412 amending Directive 2001/18/EC as regards the possibility for the Member States to restrict or prohibit the cultivation of genetically modified organisms (GMOs) in their territory	Tables 2.2 and 2.4
All legal acts on authorisation for individual products, as can be found in the EU GMO Register: Genetically Modified Organisms - European Commission (http://ec.europa.eu/food/dyna/gm_register/index_en.cfm)	Tables 2.2 and 2.4

ANNEX III

Non-exhaustive indicative list of rules meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(c) of Regulation (EU) 2017/625

Directive 90/167/EEC laying down the conditions governing the preparation, placing on the market and use of medicated feedingstuffs in the Community (until 27 January 2022)	Tables 3.2 and 3.4
Regulation (EC) No 178/2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety	Table 3.2
Directive 2002/32/EC on undesirable substances in animal feed	Tables 3.2 and 3.4
Regulation (EC) 1829/2003 on genetically modified food and feed	Tables 3.2 and 3.4
Regulation (EC) No 1830/2003 concerning the traceability and labelling of genetically modified organisms and the traceability of food and feed products produced from genetically modified organisms	Tables 3.2 and 3.4
Regulation (EC) No 1831/2003 on additives for use in animal nutrition	Tables 3.2 and 3.4
Regulation (EC) No 641/2004 on detailed rules for the implementation of Regulation (EC) No 1829/2003 as regards the application for the authorisation of new genetically modified food and feed, the notification of existing products and adventitious or technically unavoidable presence of genetically modified material which has benefited from a favourable risk evaluation	Tables 3.2 and 3.4
Regulation (EC) No 183/2005 laying down requirements for feed hygiene	Tables 3.2 and 3.4
Regulation (EC) No 396/2005 on maximum residue levels of pesticides in or on food and feed of plant or animal origin	Tables 3.2 and 3.4
Directive 2008/38/EC establishing a list of intended uses of animal feedingstuffs for particular nutritional purposes	Tables 3.2 and 3.4
Regulation (EC) No 152/2009 laying down the methods of sampling and analysis for the official control of feed	Tables 3.2 and 3.4
Regulation (EC) No 767/2009 on the placing on the market and use of feed	Tables 3.2 and 3.4
Regulation (EU) No 619/2011 laying down the methods of sampling and analysis for the official control of feed as regards presence of genetically modified material for which an authorisation procedure is pending or the authorisation of which has expired	Tables 3.2 and 3.4
Regulation (EU) No 68/2013 on the Catalogue of feed materials	Tables 3.2 and 3.4
Regulation (EU) 2019/4 on the manufacture, placing on the market and use of medicated feed (from 28 January 2022)	Tables 3.2 and 3.4
Regulation (EU) 2019/2090 supplementing Regulation (EU) 2017/625 regarding cases of suspected or established non-compliance with Union rules applicable to the use or residues of pharmacologically active substances authorised in veterinary medicinal products or as feed additives or with Union rules applicable to the use or residues of prohibited or unauthorised pharmacologically active substances	Tables 3.2 and 3.4

ANNEX IV

Non-exhaustive indicative list of rules meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(d) of Regulation (EU) 2017/625

List of acts in Article 270(2) of Regulation (EU) 2016/429 (until 20 April 2021), in particular: — Directive 88/407/EEC laying down the animal health requirements applicable to intra-Community trade in and imports of deep-frozen semen of domestic animals of the bovine species — Directive 89/556/EEC on animal health conditions governing intra-Community trade in and importation from third countries of embryos of domestic animals of the bovine species — Directive 90/429/EEC laying down the animal health requirements applicable to intra-Community trade in and imports of semen of domestic animals of the porcine species — Directive 92/65/EEC laying down animal health requirements governing trade in and imports into the Community of animals, semen, ova and embryos not subject to animal health requirements laid down in specific Community rules referred to in Annex A (I) to Directive 90/425/EEC — Regulation (EC) No 21/2004 establishing a system for the identification and registration of ovine and caprine animals — Directive 2006/88/EC on animal health requirements for aquaculture animals and products thereof, and on the prevention and control of certain diseases in aquatic animals	Tables 4.2 and 4.4
Regulation (EC) No 1255/97 concerning Community criteria for control posts and amending the route plan referred to in the Annex to Directive 91/628/EEC	Tables 4.2 and 4.4
Regulation (EC) No 494/98 laying down detailed rules for the implementation of Regulation (EC) No 820/97 as regards the application of minimum administrative sanctions in the framework of the system for the identification and registration of bovine animals	Tables 4.2 and 4.4
Regulation (EC) No 1760/2000 establishing a system for the identification and registration of bovine animals and regarding the labelling of beef and beef products	Tables 4.2 and 4.4
Regulation (EC) No 999/2001 laying down rules for the prevention, control and eradication of certain transmissible spongiform encephalopathies	Tables 4.2 and 4.4
Regulation (EC) No 1082/2003 laying down detailed rules for the implementation of Regulation (EC) No 1760/2000 as regards the minimum level of controls to be carried out in the framework of the system for the identification and registration of bovine animals	Tables 4.2 and 4.4
Regulation (EC) No 1505/2006 implementing Regulation (EC) No 21/2004 as regards the minimum level of checks to be carried out in relation to the identification and registration of ovine and caprine animals	Tables 4.2 and 4.4
Regulation (EU) 2016/429 on transmissible animal diseases and amending and repealing certain acts in the area of animal health ("Animal Health Law") (from 21 April 2021)	Tables 4.2 and 4.4

ANNEX V

Non-exhaustive indicative list of rules meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(e) of Regulation (EU) 2017/625

Regulation (EC) No 1069/2009 laying down health rules as regards animal by-products and derived products not intended for human consumption	Tables 5.2 and 5.4
Regulation (EU) No 142/2011 implementing Regulation (EC) No 1069/2009 and implementing Directive 97/78/EC as regards certain samples and items exempt from veterinary checks at the border under that Directive	Tables 5.2 and 5.4

ANNEX VI

Non-exhaustive indicative list of rules meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(f) of Regulation (EU) 2017/625

Regulation (EC) No 1255/97 concerning Community criteria for control posts and amending the route plan referred to in the Annex to Directive 91/628/EEC (namely Articles 6(1) and 6b)	Table 6.4 and text box 6.7
Directive 98/58/EC concerning the protection of animals kept for farming purposes	Table 6.2 and text box 6.3
Directive 1999/74/EC laying down minimum standards for the protection of laying hens	Table 6.2 and text box 6.3
Regulation (EC) No 1/2005 on the protection of animals during transport and related operations	Table 6.4 and text box 6.5
Directive 2007/43/EC laying down minimum rules for the protection of chickens kept for meat production	Table 6.2 and text box 6.3
Directive 2008/119/EC laying down minimum standards for the protection of calves	Table 6.2 and text box 6.3
Directive 2008/120/EC laying down minimum standards for the protection of pigs	Table 6.2 and text box 6.3
Regulation (EC) No 1099/2009 on the protection of animals at the time of killing	Text box 6.6

ANNEX VII

Non-exhaustive indicative list of rules meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(g) of Regulation (EU) 2017/625

Regulation (EU) 2016/2031 on protective measures against pests of plants	Tables 7.2 and 7.4
Regulation (EU) 2019/66 on rules on uniform practical arrangements for the performance of official controls on plants, plant products and other objects in order to verify compliance with Union rules on protective measures against pests of plants applicable to those goods	Tables 7.2 and 7.4

ANNEX VIII

Non-exhaustive indicative list of rules meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(h) of Regulation (EU) 2017/625

Regulation (EC) No 1107/2009 concerning the placing of plant protection products on the market	Tables 8.2 and 8.4
Directive 2009/128/EC establishing a framework for Community action to achieve a sustainable use of pesticides	Tables 8.2 and 8.4

ANNEX IX

Non-exhaustive indicative list of rules meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(i) of Regulation (EU) 2017/625

Regulation (EC) No 834/2007 on organic production and labelling of organic products (until 31 December 2020)	Annex XIIIc of Regulation (EC) No 889/2008
Regulation (EC) No 889/2008 laying down detailed rules for the implementation of Regulation (EC) No 834/2007 on organic production and labelling of organic products with regard to organic production, labelling and control	Annex XIIIc of Regulation (EC) No 889/2008
Regulation (EC) No 1235/2008 laying down detailed rules for implementation of Regulation (EC) No 834/2007 as regards the arrangements for imports of organic products from third countries	Annex XIIIc of Regulation (EC) No 889/2008
Regulation (EU) No 392/2013 amending Regulation (EC) No 889/2008 as regards the control system for organic production	Annex XIIIc of Regulation (EC) No 889/2008
Regulation (EU) No 1308/2013 establishing a common organisation of the markets in agricultural products	
Regulation (EU) 2018/848 on organic production and labelling of organic products and repealing Council Regulation (EC) No 834/2007 (from 1 January 2021)	

ANNEX X

Non-exhaustive indicative list of rules meant as a guidance with regard to the information on official controls to be reported for the area referred to in Article 1(2)(j) of Regulation (EU) 2017/625

Regulation (EC) No 178/2002 (Article 53)	Tables 10.2 and 10.4
Regulation (EC) No 110/2008 on the definition, description, presentation, labelling and the protection of geographical indications of spirit drinks	Tables 10.2 and 10.4
Regulation (EU) No 1151/2012 on quality schemes for agricultural products and foodstuffs	Tables 10.2 and 10.4
Regulation (EU) No 251/2014 on the definition, description, presentation, labelling and the protection of geographical indications of aromatised wine products	Tables 10.2 and 10.4
Regulation (EU) No 664/2014 supplementing Regulation (EU) No 1151/2012 with regard to the establishment of the Union symbols for protected designations of origin, protected geographical indications and traditional specialities guaranteed and with regard to certain rules on sourcing, certain procedural rules and certain additional transitional rules	Tables 10.2 and 10.4
Regulation (EU) No 668/2014 laying down rules for the application of Regulation (EU) No 1151/2012	Tables 10.2 and 10.4

Commission Notice

Guidelines for the implementation of the single digital gateway Regulation 2021-2022 work programme

(Text with EEA relevance)

(2021/C 71/02)

Introduction

Regulation (EU) 2018/1724 establishing a single digital gateway aims at facilitating online access to the information, administrative procedures and assistance services that citizens and businesses need to move within the Union and to trade, establish themselves and expand their businesses in another Member State.

Article 31(1) of the Regulation foresees the adoption of an annual work programme that shall specify actions to facilitate implementation of the Regulation. A first work programme covering the period from July 2019 to December 2020 was published on 31 July 2019 in the Official Journal (OJ C 257).

A close cooperation with Member States throughout these 2 years enabled to implement most of the tasks listed in the first work programme, and especially to ensure the timely launch of the gateway in December 2020, in spite of the Covid crisis. This crisis also highlighted even more the need for more digitalised and user-friendly public administrations.

This second work programme sets out the timing of further actions aimed at implementing the SDG requirements with legal deadlines in 2022 and 2023 and at maintaining and improving the SDG services already launched. In view of the convergence of a number of actions towards the December 2022 deadline, this second work programme covers the period from January 2021 until December 2022. Actions will focus as of 2021 on:

— monitoring and quality enhancement of the gateway,

— promotion,

— preparations for implementation by municipalities by 2022,

— preparations for meeting the 2023 deadline regarding the digitalisation and cross-border accessibility of procedures and the once-only system.

On 6 October 2020, this work programme has been discussed with the gateway coordination group, as foreseen by Article 31(2) of the Regulation. Implementation of the work programme will be monitored both via the gateway coordination group online collaboration platform and during meetings of the gateway coordination group.

National coordinators are invited to produce a national work programme assessing progress made so far and outlining actions to address the remaining gaps. They are invited to review this national work programme once a year and to share it with the Commission and the coordination group.

For the purposes of this Commission notice, the following definitions apply:

— 'competent authority' means any Member State authority or body established at national, regional or local level with specific responsibilities relating to the information, procedures, assistance and problem-solving services covered by the gateway Regulation;

— 'national coordinators' are the representatives appointed by Member States as foreseen by Article 28 of the gateway Regulation.

1. Information and service quality

Objective 1.1: Ensuring completeness and quality of information

Reference: Articles 4 and 5 of the Regulation on access to information, Article 9 on quality of information on rights, obligations and rules, Article 10 on quality of information on procedures, Article 12 on translation, Article 19

Background

The gateway will provide citizens and businesses with information that is comprehensive enough to allow them to exercise their rights derived from Union and national law in full compliance with applicable rules and obligations.

The Regulation provides in Annex I a list of areas where the Commission and Member States had to ensure that all information relevant for citizens and businesses is provided online by 12 December 2020, except information provided by municipalities who have until 2022. EU-wide rights and obligations are covered on the Your Europe portal. The Your Europe portal also includes information on national implementation and rules provided by Member State authorities for some of the topics identified in Annex I. Such information is gradually being removed from Your Europe and replaced by (1) links to pages on national websites notified by Member States and (2) the gateway search facility.

The Commission also offered translation services to Member States in 2020. In view of budget limitations, priority for the use of this service was given until end 2020 to the basic information in all areas listed in Annex I.

How and when?

When	What	Who
Q1 2021-Q4 2022	Check completeness and quality of information covered by Annex I and address problems	Commission National coordinators
Q1 and 3 of every year	Bi-annual review of Commission guidance on implementation of Annex I	Commission National coordinators
Q4 2022	Ensure information at municipal level is available online and of the right quality, and that the websites are notified to EC	Competent authorities National coordinators
Q1-Q2 2021	Pilot an optional approach to facilitate identification of specific national product requirements with a selection of willing Member States. Implement measures to improve the results in the area of product requirements (including necessary IT developments)	Commission National coordinators Competent authorities
Q4 2021	Implement measures to improve the results in the area of taxation (including necessary IT developments)	Commission National coordinators Competent authorities

Objective 1.2: Avoiding duplication

Reference: Recitals 17 and 55, Articles 19.6 and 30 of the Regulation

Background

The Regulation calls on Member States and the Commission to provide single sources for each information item required for the gateway, and to avoid partial or full duplication whenever possible. This is to avoid confusion among users confronted with different portals containing similar – but not completely – identical information on the same topic. Aiming for single information sources also makes updates easier and reduces the risk of presenting contradictory information.

Only information exclusively targeting citizens and businesses and explaining their applicable rights and obligations is eligible for the gateway. It should not be mixed with other content, such as information on policy in the making that is aimed at audiences such as experts and civil servants.

The Commission is applying this principle to its own web presence, and is working to integrate and host all EU-level information informing citizens and businesses about their Single Market rights and obligations on Your Europe. The only exceptions will be the cases where separate EU law mandates the creation of a particular website. Content describing policies and processes, on the other hand, is to be hosted on the Commission's corporate website and the individual websites of the responsible Directorates-General.

How and when?

When	What	Who
Q4 2021	Integrate all EU-level information on Your Europe exclusively, except where legislation doesn't allow it. Develop an integrated approach between Your Europe and other EU portals that are part of the gateway to ensure smooth navigation and to avoid duplication	Commission
Q1 2021-Q4 2022	Make a clear separation between information on applicable rules for citizens and businesses, presented on Your Europe, and information on policy in the making, covered on the Commission's corporate website and separate DG websites	Commission
Q1 2021 – Q4 2022	Work to reduce duplications in specific areas, including GDPR, digitalisation of businesses, finance for business, product requirements, taxation, import/export	Commission
Q1 2021-Q4 2021	Develop an integrated approach at national level to avoid duplication of information on specific topics between various national portals	National coordinators Competent authorities
Q1 2021-Q4 2022	Monitor duplications across Commission and Member State websites and investigate possible solutions for a better distribution of content	Commission National coordinators Competent authorities

2. **IT development, digitalisation of procedures, data collection**

Objective 2.1: Digitalising procedures

Reference: Article 6 of the Regulation on procedures to be offered fully online.

Background

The gateway will offer users easy access to national administrative procedures. For this purpose, the Regulation requires all Member States to ensure that users can access and complete any of the procedures listed in Annex II fully online. This means that the user should be able to take all steps electronically, at a distance and through an online service. The Regulation also gives a non-exhaustive list of specific criteria which need to be met.

While the ultimate deadline for digitalising procedures is in December 2023, Member States should intensify their work on this project and look for opportunities to implement the requirements well before the deadline as part of their ongoing e-government programmes, like some have started to do during the Covid crisis.

EU programmes will help Member States achieve this goal, for instance in the Recovery and Resilience Facility, Horizon Europe, the Digital Europe Program and the ERDF. Competent authorities are invited to contact their national coordinators responsible for the financial programmes in their Member State.

In 2020, the Commission provided an explanatory note on Annex II procedures and the topic will continue to be addressed during coordination group meetings.

How and when?

When	What	Who
Q1-Q2 2021	Share information on progress towards the digitalisation of annex II procedures with the coordination group	Competent authorities National coordinators

Q2 2021–Q4 2022	Address gaps identified through the above task	Competent authorities National coordinators
p. m. Q4 2023	*All Annex II procedures to be fully online*	*National coordinators* *Competent authorities*

Objective 2.2: Ensuring access of cross-border users to online procedures

Reference: Article 13 of the Regulation on cross-border access to online procedures.

Background

The Regulation foresees that procedures which are already online, are (made) fully accessible for cross-border users. That means that if a procedure is available for a national of a specific Member State, it also has to be accessible in all its steps to users from other Member States or to users from the same Member State living in another Member State or who have previously lived, worked, studied or done business in another Member State.

Member States may use an alternative, technically separate technical solution for cross-border users where necessary, but in those situations, extra care should be taken to ensure that the procedure would lead to the same outcome and is not more burdensome than the procedure offered to national users.

Special attention should be paid to obstacles for cross-border users, such as form fields that require national phone numbers, national prefixes for phone numbers or national postal codes, payment of fees that can only be done through systems which are not (widely) available for cross-border users, the lack of detailed explanations in a language understood by cross-border users, the lack of possibilities for the user to submit electronic evidence and the lack of acceptance of electronic means of identification issued in other Member States.

In certain areas (e.g. Services Directive, Professional Qualifications Directive, Public Procurement Directives), non-discriminatory access to procedures for cross-border users is already a legal requirement in addition to the principle of non-discrimination enshrined in the Treaty on the Functioning of the European Union.

In 2020, the Commission provided an explanatory note on cross-border accessibility of procedures and the topic will continue to be addressed during coordination group meetings.

How and when?

When	What	Who
Q1-Q2 2021	Share information on progress towards full cross-border accessibility of online procedures with the coordination group	Competent authorities National coordinators
Q2 2021–Q4 2022	Address remaining barriers	Competent authorities National coordinators
p.m. Q4 2023	*Online procedures accessible for cross-border users in a non-discriminatory way*	

Objective 2.3: Contributing to the development of the EU level IT tools and ensuring interoperability between EC and national IT tools

Reference: Articles 8, 15, 18, 19, 21 of the Regulation on responsibilities for the ICT applications supporting the gateway

Background

As foreseen by the Regulation, the functioning of the gateway is enabled by technical tools that include: a search facility and a common assistance finder guiding end-users towards information, procedures and assistance services; a user feedback tool on quality of services; a user feedback tool on obstacles in the Single Market; a tool to collect statistics of use; and a dashboard as the interface for public authorities.

The Commission adopted in July 2020 an implementing act on user feedback and statistics (Commission Implementing Regulation (EU) 2020/1121) and ensured availability of all these tools in 2020. National authorities had to ensure compliance with the implementing act, to provide information necessary for the functioning of the tools, and to link to some of them from their national websites. Guidelines were provided on the insertion of the links on national websites.

How and when?

When	What	Who
Q1-Q2 2021	Monitor the collection of feedback and statistics Monitor the addition, on national pages that are part of the gateway, of links to: — the common user feedback tool on pages which do not have a national feedback tool — the assistance service finder — the tool on single market obstacles	Commission National coordinators
Q1 2021-Q4 2022	Maintain and further improve the SDG IT tools on the basis of the user feedback and statistics collected	Commission
Q1-Q3 2021	Explore the demand for and the feasibility to develop common IT tools for the automatic collection and transmission of statistics by municipalities and to support digitalisation of procedures	Commission National coordinators
Q2 2022	Depending on this analysis, develop the tools	Commission
p.m. Q4 2023	*Implement workflow in IMI for administrative cooperation (art. 15)*	

Objective 2.4: Once-only

Reference: Article 14 of the Regulation

Background

The Commission will, in cooperation with Member States, establish a technical system for exchanging evidence for the online procedures listed in Annex II to the Regulation and for the procedures provided for in the Services Directive ([1]), the Professional Qualifications Directive ([2]) and two public procurements Directives (2014/24/EU and 2014/25/EU).

In 2019 and 2020, the Commission developed an architecture for the system, and worked with Member States through a number of work packages on technical and operational solutions. The Commission also conducted studies and pilots to support this work.

How and when?

When	What	Who
Q1 2021	Final review of the deliverables produced by the work packages	Coordination group
Q1 2021	Propose a draft implementing act setting out the technical and operational specifications of the technical system	Commission
Q1 2021	Preliminary work on the implementing act	Coordination group
Q2 2021	Provide opinion on the draft implementing act and on the core and generic services under development	Committee

([1]) Directive 2005/36/EC.
([2]) Directive 2006/123/EC.

Q2 2021	Adopt the implementing act	Commission
Q1 2022	Publish more detailed technical specifications and the governance framework, to complement the implementing act, on the basis of the input provided by specific work packages and after discussion with the coordination group	Commission
Q1 2022	Implement the governance framework for the technical system	Commission National coordinators Competent authorities
Starting Q3 2021	Develop the core components and technical enablers of the technical system and put in place the necessary operational arrangements at EU level	Commission
Starting Q3 2021	Develop and adapt the national-side of the technical system including authentic sources of information, eGovernment brokers / intermediation platforms and eGovernment portals, as well as the necessary operational arrangements at national level	National coordinators Competent authorities
p.m. Q1 2023	*Testing and on-boarding*	*Commission* *National coordinators* *Competent authorities*
p.m. Q4 2023	*Once-only technical system in place and ready for use*	

Objective 2.5: Reporting on the functioning of the gateway and the single market

Reference: Articles 19, 24, 25, 26, 27 and 36 of the Regulation

Background

The Regulation foresees that Member States and the Commission analyse and investigate the problems raised by users through the Single Market obstacles (SMO) tool and address them, wherever possible, by appropriate means.

The Regulation also foresees that the Commission publishes online summary overviews of the problems which have emerged from reports provided by users of the gateway through the SMO tool as well as highlighted by collected user feedback and statistics.

In addition, the Regulation requires the Commission to review the application of the Regulation by 12 December 2022 and draft a report assessing the functioning of the gateway and the internal market on the basis of the collected statistics, user feedback and reports on the Single Market obstacles.

The Regulation foresees several tools which will help the Commission collect relevant information related to the digitalization of public services in the EU. The summary overviews of problems and the bi-annual reports will support the Commission in taking informed decisions in the field of the internal market, together with other tools (i.e. statistical report based on selected indicators published on an upgraded Single Market Scoreboard; the Annual Performance Report on the Single Market; etc.). They will also help Member States identify and address in the appropriate way the problems reported.

How and when?

When	What	Who
	Follow-up on statistics and user feedback	
Q1 2021-Q4 2022	Follow-up on the feedback and statistics	National coordinators Competent authorities Commission

Follow-up on the SMO tool feedback		
Q3-Q4 2021	Integrate the feedback collected via Assistance Services in the SMO tool	Commission
Publication of gateway datasets as open data		
Q4 2021	Publication of data on statistics	Commission
Q4 2021	Publication of data from the links repository	Commission
Online summary overviews		
Q4 2021	Publish summary overviews, after discussion with the coordination group	Commission
Report on the gateway and the single market		
Q2 2022	Discuss input for the draft report provided by the Commission	Coordination group
Q4 2022	Submit it to Parliament and Council	Commission
Indicators for the Single Market Scoreboard		
Q1 2021	Provide SDG indicators for publication in the 2021 Single Market Scoreboard	Commission Coordination group
Q1 2021	Define a set of SDG indicators for the Single Market Scoreboard in 2022 and following years	Commission Coordination group

3. **Assistance services**

Objective 3.1: Ensuring availability of information about assistance services and their quality

Reference: Articles 7, 11 and 16 of the Regulation

Background

The gateway, via the assistance service finder launched in 2020, offers users easy access to a broad range of assistance services, informs them about what they can expect from the service and guides them towards the most appropriate one.

In addition to the services listed in annex III, other assistance services opted in to also join the gateway as of its launch: Europe Direct, the European Consumer Centres and the IPR Help Desk.

The Commission supported assistance services in this process by providing a check-list and an assessment of the state of play in 2019.

How and when?

When	What	Who
Q1 2021-Q4 2022	Monitor the information provided on assistance services and their quality with the help of collected user feedback and statistics, and follow-up	Commission National coordinators Competent authorities

Objective 3.2: Widening the network

Reference: Article 7 of the Regulation

Background

In addition to the assistance services listed in annex III, the Commission and national coordinators may opt-in other assistance services if those fulfil the conditions foreseen in the Regulation.

Where necessary to meet the needs of the users, national coordinators may also propose to the Commission to opt-in private or semi-private assistance services if they fulfil the quality requirements of the gateway.

In 2019 and 2020, priority was given to the inclusion of assistance services that are funded or co-funded and/or managed by the Commission. This resulted in Europe Direct, the European Consumer Centres and the IPR Help Desk to be opted-in.

In 2021, priority will continue to be given to preparing for the inclusion of further services that are funded or co-funded and/or managed by the Commission. In parallel, national coordinators will have the possibility to opt-in national-level assistance services after checking whether these fulfil the quality requirements of the gateway and whether they are complementary to the services already part of the gateway.

As of 2022, national coordinators will have the possibility to propose to the Commission to opt-in private or semi-private assistance services.

How and when?

When	What	Who
Q1 2021	Provide the practical details of an opt-in procedure for assistance services	Commission

4. **Promotion**

Objective 4.1: Promoting the gateway

Reference: Articles 22 and 23 of the Regulation on promotion, name, logo and quality label

Background

The gateway was launched on 12 December 2020.

In 2020, a communication plan to advertise the gateway was prepared together with Member States. It foresees the roll-out of a campaign at both EU and national levels in 2021, and the coordination of activities to promote the gateway and the websites that are part of it. The campaign includes a digital roadshow with online information sessions across Europe in national languages. The aims are to:

— Establish Your Europe as a brand among citizens and businesses

— Increase findability of the gateway

— Inform Europeans about EU and national rights and rules within the single market

— Get them actively involved in claiming those rights and reporting problems they encounter

— Encourage users to send feedback on public services

How and when?

When	What	Who
Q1 2021	Run launch events	Commission National Coordinators Competent authorities
Q1-Q2 2021	Run further promotion activities	Commission National coordinators Competent authorities
Q4 2021	Evaluate the success of the campaign	Commission
Q4 2021-Q1 2022	Review the communication plan	Commission National coordinators

Q1-Q4 2022	Implement the revised communication plan	Commission National coordinators Competent authorities
Q1 2021-Q4 2022	Further search engine optimisation of Your Europe and of national websites in search engines accessible to the wider public	Commission National coordinators Competent authorities
Q1 2021-Q4 2022	Further promote the gateway to national and local competent authorities	National coordinators Commission

Non-opposition to a notified concentration

(Case M.9802 — Liberty Global/DPG Media/JV)

(Text with EEA relevance)

(2021/C 71/03)

On 12 August 2020, the Commission decided not to oppose the above notified concentration and to declare it compatible with the internal market. This decision is based on Article 6(1)(b) of Council Regulation (EC) No 139/2004 [1]. The full text of the decision is available only in English and will be made public after it is cleared of any business secrets it may contain. It will be available:

— in the merger section of the Competition website of the Commission (http://ec.europa.eu/competition/mergers/cases/). This website provides various facilities to help locate individual merger decisions, including company, case number, date and sectoral indexes,

— in electronic form on the EUR-Lex website (http://eur-lex.europa.eu/homepage.html?locale=en) under document number 32020M9802. EUR-Lex is the online access to European law.

[1] OJ L 24, 29.1.2004, p. 1.

Non-opposition to a notified concentration

(Case M.10132 — Blackstone/B&J/Applegreen)

(Text with EEA relevance)

(2021/C 71/04)

On 22 February 2021, the Commission decided not to oppose the above notified concentration and to declare it compatible with the internal market. This decision is based on Article 6(1)(b) of Council Regulation (EC) No 139/2004 ([1]). The full text of the decision is available only in English and will be made public after it is cleared of any business secrets it may contain. It will be available:

— in the merger section of the Competition website of the Commission (http://ec.europa.eu/competition/mergers/cases/). This website provides various facilities to help locate individual merger decisions, including company, case number, date and sectoral indexes,

— in electronic form on the EUR-Lex website (http://eur-lex.europa.eu/homepage.html?locale=en) under document number 32021M10132. EUR-Lex is the online access to European law.

([1]) OJ L 24, 29.1.2004, p. 1.

Non-opposition to a notified concentration

(Case M.10150 — Ares/OTPP/TricorBraun)

(Text with EEA relevance)

(2021/C 71/05)

On 23 February 2021, the Commission decided not to oppose the above notified concentration and to declare it compatible with the internal market. This decision is based on Article 6(1)(b) of Council Regulation (EC) No 139/2004 ([1]). The full text of the decision is available only in English and will be made public after it is cleared of any business secrets it may contain. It will be available:

— in the merger section of the Competition website of the Commission (http://ec.europa.eu/competition/mergers/cases/). This website provides various facilities to help locate individual merger decisions, including company, case number, date and sectoral indexes,

— in electronic form on the EUR-Lex website (http://eur-lex.europa.eu/homepage.html?locale=en) under document number 32021M10150. EUR-Lex is the online access to European law.

([1]) OJ L 24, 29.1.2004, p. 1.

Non-opposition to a notified concentration

(Case M.10155 — OTPP/SL GIO II/SGI)

(Text with EEA relevance)

(2021/C 71/06)

On 23 February 2021, the Commission decided not to oppose the above notified concentration and to declare it compatible with the internal market. This decision is based on Article 6(1)(b) of Council Regulation (EC) No 139/2004 ([1]). The full text of the decision is available only in English and will be made public after it is cleared of any business secrets it may contain. It will be available:

— in the merger section of the Competition website of the Commission (http://ec.europa.eu/competition/mergers/cases/). This website provides various facilities to help locate individual merger decisions, including company, case number, date and sectoral indexes,

— in electronic form on the EUR-Lex website (http://eur-lex.europa.eu/homepage.html?locale=en) under document number 32021M10155. EUR-Lex is the online access to European law.

([1]) OJ L 24, 29.1.2004, p. 1.

Non-opposition to a notified concentration

(Case M.10140 — EFMS/VFMF/FocusVision/Confirmit/Dapresy)

(Text with EEA relevance)

(2021/C 71/07)

On 23 February 2021, the Commission decided not to oppose the above notified concentration and to declare it compatible with the internal market. This decision is based on Article 6(1)(b) of Council Regulation (EC) No 139/2004 ([1]). The full text of the decision is available only in English and will be made public after it is cleared of any business secrets it may contain. It will be available:

— in the merger section of the Competition website of the Commission (http://ec.europa.eu/competition/mergers/cases/). This website provides various facilities to help locate individual merger decisions, including company, case number, date and sectoral indexes,

— in electronic form on the EUR-Lex website (http://eur-lex.europa.eu/homepage.html?locale=en) under document number 32021M10140. EUR-Lex is the online access to European law.

([1]) OJ L 24, 29.1.2004, p. 1.

IV

(Notices)

NOTICES FROM EUROPEAN UNION INSTITUTIONS, BODIES, OFFICES AND AGENCIES

EUROPEAN COMMISSION

Euro exchange rates [1]

26 February 2021

(2021/C 71/08)

1 euro =

	Currency	Exchange rate		Currency	Exchange rate
USD	US dollar	1,2121	CAD	Canadian dollar	1,5331
JPY	Japanese yen	128,83	HKD	Hong Kong dollar	9,4010
DKK	Danish krone	7,4361	NZD	New Zealand dollar	1,6622
GBP	Pound sterling	0,87053	SGD	Singapore dollar	1,6106
SEK	Swedish krona	10,1388	KRW	South Korean won	1 367,10
CHF	Swiss franc	1,0986	ZAR	South African rand	18,1025
ISK	Iceland króna	152,90	CNY	Chinese yuan renminbi	7,8385
NOK	Norwegian krone	10,4012	HRK	Croatian kuna	7,5830
BGN	Bulgarian lev	1,9558	IDR	Indonesian rupiah	17 353,51
CZK	Czech koruna	26,195	MYR	Malaysian ringgit	4,9096
HUF	Hungarian forint	361,43	PHP	Philippine peso	59,090
PLN	Polish zloty	4,5186	RUB	Russian rouble	90,6697
RON	Romanian leu	4,8750	THB	Thai baht	36,799
TRY	Turkish lira	9,0168	BRL	Brazilian real	6,6644
AUD	Australian dollar	1,5605	MXN	Mexican peso	25,2879
			INR	Indian rupee	89,5766

[1] *Source*: reference exchange rate published by the ECB.

V

(Announcements)

PROCEDURES RELATING TO THE IMPLEMENTATION OF COMPETITION POLICY

EUROPEAN COMMISSION

Prior notification of a concentration

(Case M.9970 — Cordes & Graefe/FACQ)

Candidate case for simplified procedure

(Text with EEA relevance)

(2021/C 71/09)

1. On 18 January 2021, the Commission received notification of a proposed concentration pursuant to Article 4 of Council Regulation (EC) No 139/2004 ([1]).

This notification concerns the following undertakings:

— Cordes & Graefe KG ('Cordes & Graefe', Germany); and

— The FACQ Group ('FACQ', Belgium). FACQ includes:

(a) FACQ SA;

(b) Immobilière les blés d'or SA;

(c) Immobilière Weideveld SA; and

(d) Renoma SRL.

Cordes & Graefe acquires, within the meaning of Article 3(1)(b) of the Merger Regulation, sole control over FACQ.

The concentration is accomplished by way of purchase of shares.

2. The business activities of the undertakings concerned are:

— for Cordes & Graefe: wholesale and retail supply of sanitary, plumbing, ventilation, heating and air conditioning products, electrical equipment, roofing, excavation and industrial technologies, mainly to professional customers; and

— for FACQ: retail supply of sanitary, plumbing, ventilation, heating and air conditioning products to professional and non-professional customers.

3. On preliminary examination, the Commission finds that the notified transaction could fall within the scope of the Merger Regulation. However, the final decision on this point is reserved.

Pursuant to the Commission Notice on a simplified procedure for treatment of certain concentrations under the Council Regulation (EC) No 139/2004 ([2]) it should be noted that this case is a candidate for treatment under the procedure set out in the Notice.

([1]) OJ L 24, 29.1.2004, p. 1 (the 'Merger Regulation').
([2]) OJ C 366, 14.12.2013, p. 5.

4. The Commission invites interested third parties to submit their possible observations on the proposed operation to the Commission.

Observations must reach the Commission not later than 10 days following the date of this publication. The following reference should always be specified:

M.9970 — Cordes & Graefe/FACQ

Observations can be sent to the Commission by email, by fax, or by post. Please use the contact details below:

Email: COMP-MERGER-REGISTRY@ec.europa.eu

Fax +32 22964301

Postal address:

European Commission
Directorate-General for Competition
Merger Registry
1049 Bruxelles/Brussel
BELGIQUE/BELGIË

Prior notification of a concentration

(Case M.10183 — AustralianSuper/CPPIB/Transurban/Transurban Chesapeake)

Candidate case for simplified procedure

(Text with EEA relevance)

(2021/C 71/10)

1. On 22 February 2021, the Commission received notification of a proposed concentration pursuant to Article 4 of Council Regulation (EC) No 139/2004 ([1]).

This notification concerns the following undertakings:

— AustralianSuper Pty Ltd as trustee of AustralianSuper ('AustralianSuper', Australia),

— Canada Pension Plan Investment Board ('CPPIB', Canada),

— Transurban International Ltd ('Transurban', Australia),

— Transurban Chesapeake LLC ('Transurban Chesapeake', USA), controlled by Transurban.

AustralianSuper, CPPIB and Transurban acquire within the meaning of Article 3(1)(b) and 3(4) of the Merger Regulation joint control of the whole of Transurban Chesapeake.

The concentration is accomplished by way of purchase of shares.

2. The business activities of the undertakings concerned are:

— for AustralianSuper: Australia's largest industry superannuation and pension fund. Headquartered in Melbourne, it has primarily invested in Australia but has in recent years expanded its global investment activities,

— for CPPIB: an investment management organization that invests the funds transferred to it by the Canada Pension Plan. CPPIB principally invests in public equities, private equities, real estate, infrastructure and fixed income investments at global level,

— for Transurban: a toll road operator company that manages and develops urban toll road networks in Australia, Canada and the United States. It is listed on the Australian Securities Exchange,

— for Transurban Chesapeake: company engaged in the development, maintenance, operation and marketing of toll roads in the greater Washington, D.C., area of the USA.

3. On preliminary examination, the Commission finds that the notified transaction could fall within the scope of the Merger Regulation. However, the final decision on this point is reserved.

Pursuant to the Commission Notice on a simplified procedure for treatment of certain concentrations under the Council Regulation (EC) No 139/2004 ([2]) it should be noted that this case is a candidate for treatment under the procedure set out in the Notice.

4. The Commission invites interested third parties to submit their possible observations on the proposed operation to the Commission.

Observations must reach the Commission not later than 10 days following the date of this publication. The following reference should always be specified:

M.10183 — AustralianSuper/CPPIB/Transurban/Transurban Chesapeake

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Email: COMP-MERGER-REGISTRY@ec.europa.eu

([1]) OJ L 24, 29.1.2004, p. 1 (the 'Merger Regulation').
([2]) OJ C 366, 14.12.2013, p. 5.

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Prior notification of a concentration

(Case M.10156—TIAA/AP1/AP2/GPIF/Target)

Candidate case for simplified procedure

(Text with EEA relevance)

(2021/C 71/11)

1. On 23 February 2021, the Commission received notification of a proposed concentration pursuant to Article 4 of Council Regulation (EC) No 139/2004 ([1]).

This notification concerns the following undertakings:

— Teachers Insurance and Annuity Association of America ('TIAA', United States of America),

— Första AP-fonden ('AP1', Sweden),

— Andra AP-fonden ('AP2', Sweden),

— Government Pension Investment Fund ('GPIF', Japan),

— Real estate asset located in Paris ('the Target', France), currently owned by Duval Invest SAS, (France) and FTIMMO H, a subsidiary of Orange SA, (France).

TIAA, AP1, AP2 and GPIF acquire within the meaning of Article 3(1)(b) of the Merger Regulation joint control indirectly, through Cityhold Office Partnership S.à r.l, of the Target.

The concentration is accomplished by way of purchase of assets.

2. The business activities of the undertakings concerned are:

— for TIAA: financial services organisation that primarily provides investment products in the academic, research, medical and cultural fields in the United States of America,

— for AP1 and AP2: government agencies that manage buffer capital in the Swedish national income pension system. Their global portfolios consist of equities, fixed income securities, real estate and private equity funds. AP1 and AP2 are entirely independent of each other,

— for GPIF: incorporated administrative agency, established by the government of Japan to manage and invest the pension reserve funds of the government pension plans. Its global investment portfolio includes interests in Japanese domestic and international equities and bonds, infrastructure (including renewable energy, airports and port assets), real estate assets and private equity funds,

— for the Target: commercial real estate asset (dedicated to office use) located at 10 rue Jobbé-Duval, 75015 Paris, France.

3. On preliminary examination, the Commission finds that the notified transaction could fall within the scope of the Merger Regulation. However, the final decision on this point is reserved.

Pursuant to the Commission Notice on a simplified procedure for treatment of certain concentrations under the Council Regulation (EC) No 139/2004 ([2]) it should be noted that this case is a candidate for treatment under the procedure set out in the Notice.

4. The Commission invites interested third parties to submit their possible observations on the proposed operation to the Commission.

Observations must reach the Commission not later than 10 days following the date of this publication. The following reference should always be specified:

M.10156—TIAA/AP1/AP2/GPIF/Target

([1]) OJ L 24, 29.1.2004, p. 1 (the 'Merger Regulation').
([2]) OJ C 366, 14.12.2013, p. 5.

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1049 Bruxelles/Brussel
BELGIQUE/BELGIË

Prior notification of a concentration

(Case M.10099 – Arch/Kelso/Warburg/Watford)

Candidate case for simplified procedure

(Text with EEA relevance)

(2021/C 71/12)

1. On 23 February 2021, the Commission received notification of a proposed concentration pursuant to Article 4 of Council Regulation (EC) No 139/2004 ([1]).

This notification concerns the following undertakings:

— Arch Capital Group Ltd ('Arch', Bermuda),

— Kelso & Company L.P. ('Kelso', United States),

— Warburg Pincus LLC ('Warburg', United States), and

— Watford Holdings Ltd ('Watford', Bermuda).

Arch, Kelso, and Warburg acquire within the meaning of Article 3(1)(b) of the Merger Regulation joint control of Watford.

The concentration is accomplished by way of purchase of shares.

2. The business activities of the undertakings concerned are:

— for Arch: insurance, reinsurance and mortgage insurance company active worldwide;

— for Kelso: private equity investing in a range of industries, including consumer, energy, financial services, healthcare, industrial and services;

— for Warburg: global private equity firm headquartered in New York whose portfolio companies are active in a variety of sectors, including consumer, industrial and business services, energy, financial services, healthcare, real estate, and technology, media and telecommunications; and

— for Watford: global property and casualty insurance and reinsurance company with operations in Bermuda, the United States and Europe.

3. On preliminary examination, the Commission finds that the notified transaction could fall within the scope of the Merger Regulation. However, the final decision on this point is reserved.

Pursuant to the Commission Notice on a simplified procedure for treatment of certain concentrations under the Council Regulation (EC) No 139/2004 ([2]) it should be noted that this case is a candidate for treatment under the procedure set out in the Notice.

4. The Commission invites interested third parties to submit their possible observations on the proposed operation to the Commission.

Observations must reach the Commission not later than 10 days following the date of this publication. The following reference should always be specified:

M.10099 – Arch/Kelso/Warburg/Watford

Observations can be sent to the Commission by email, by fax, or by post. Please use the contact details below:

Email: COMP-MERGER-REGISTRY@ec.europa.eu

([1]) OJ L 24, 29.1.2004, p. 1 (the 'Merger Regulation').
([2]) OJ C 366, 14.12.2013, p. 5.

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Postal address:

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BELGIQUE/BELGIË

Prior notification of a concentration

(Case M.10047 — Schwarz Group/SUEZ Waste Management Companies)

(Text with EEA relevance)

(2021/C 71/13)

1. On 19 February 2021, the Commission received notification of a proposed concentration pursuant to Article 4 of Council Regulation (EC) No 139/2004 ([1]).

This notification concerns the following undertakings:

— Schwarz Group (Germany), including its subsidiairies SB PreZero GmbH & Co. KG, PreZero International GmbH and SB Dienstleistung KG (Germany), belonging to Schwarz Group (Germany),

— Suez Group (France), including its subsidiaries Brahms Abfallentsorgung Deutschland GmbH (Germany), SUEZ Polska sp. z o.o. (Poland), Recycling & Recovery Netherlands B.V. (Netherlands), Jean Lamesch Exploitation S.A., (Luxembourg), SUEZ Immobilia GmbH & CO. KG and SUEZ Immobilien GmbH (both of Germany).

SB PreZero GmbH & Co. KG, PreZero International GmbH and SB Dienstleistung KG (together 'Schwarz Group') acquire within the meaning of Article 3(1)(b) of the Merger Regulation sole control of the whole of Brahms Abfallentsorgung Deutschland GmbH, SUEZ Polska sp. z o.o., Recycling & Recovery Netherlands B.V., Jean Lamesch Exploitation S.A., SUEZ Immobilia GmbH & CO. KG and SUEZ Immobilien GmbH (together 'SUEZ Waste Management Companies').

The concentration is accomplished by way of purchase of shares and assets.

2. The business activities of the undertakings concerned are:

— for Schwarz Group: food retailing in over 30 countries through its retail chains Lidl and Kaufland, as well as the collection, sorting, processing and recycling of waste as an integrated service provider in the field of waste management under its Prezero brand;

— for SUEZ Waste Management Companies: the collection, pretreatment, sorting, recycling, disposal of waste and trading of waste and commodities in each of Germany, Poland, Luxembourg and the Netherlands.

3. On preliminary examination, the Commission finds that the notified transaction could fall within the scope of the Merger Regulation. However, the final decision on this point is reserved.

4. The Commission invites interested third parties to submit their possible observations on the proposed operation to the Commission.

Observations must reach the Commission not later than 10 days following the date of this publication. The following reference should always be specified:

M.10047 — Schwarz Group/SUEZ Waste Management Companies

Observations can be sent to the Commission by email, by fax, or by post. Please use the contact details below:

Email: COMP-MERGER-REGISTRY@ec.europa.eu

([1]) OJ L 24, 29.1.2004, p. 1 (the 'Merger Regulation').

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