



Council of the  
European Union

Brussels, 17 February 2021  
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**Interinstitutional File:**  
**2021/0038(NLE)**

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**PECHE 55**

**PROPOSAL**

From:	Secretary-General of the European Commission, signed by Ms Martine DEPREZ, Director
date of receipt:	16 February 2021
To:	Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union
No. Cion doc.:	COM(2021) 73 final
Subject:	Proposal for a COUNCIL DECISION on the signing, on behalf of the European Union, and provisional application of a Sustainable Fisheries Partnership Agreement between the European Union on the one hand, and the Government of Greenland and the Government of Denmark, on the other hand and the Implementation Protocol thereto

Delegations will find attached document COM(2021) 73 final.

Encl.: COM(2021) 73 final



Brussels, 16.2.2021  
COM(2021) 73 final

2021/0038 (NLE)

Proposal for a

## **COUNCIL DECISION**

**on the signing, on behalf of the European Union, and provisional application of a Sustainable Fisheries Partnership Agreement between the European Union on the one hand, and the Government of Greenland and the Government of Denmark, on the other hand and the Implementation Protocol thereto**

## **EXPLANATORY MEMORANDUM**

### **1. CONTEXT OF THE PROPOSAL**

#### **• Reasons for and objectives of the proposal**

The Council, on the basis of the recommendation from the European Commission,<sup>1</sup> authorised the European Commission to negotiate, on behalf of the European Union, a new Agreement between the European Union on the one hand, and the Government of Greenland and the Government of Denmark, on the other hand, and a Protocol setting out the fishing opportunities and financial contribution. At the end of the negotiations an Agreement and Protocol were initialled by the negotiators on 11 January 2021. The new Agreement repeals and replaces the existing Agreement which entered into force on 28 June 2007;<sup>2</sup> it covers a period of six years (2021-2026) from the date of its provisional application, renewable by tacit agreement. The new Protocol covers a period of four years (2021-2024) from the date of provisional application laid down in Article 15, that being the date on which it is signed by the parties, and may be extended by two years if the parties so agree.

The purpose of this proposal is for the Council to authorise the signing and provisional application of the Sustainable Fisheries Partnership Agreement and the Implementation Protocol thereto.

#### **• Consistency with existing policy provisions in the policy area**

The current Fisheries Partnership Agreement (FPA) between the European Union and the Governments of Denmark and Greenland<sup>3</sup> was signed on 28 June 2007 and entered into force on 2 November 2007 for a duration of six years. The agreement is tacitly renewed every 6 years, with the last prolongation having occurred on 1 January 2019 and is therefore still in force. On this basis, the current 5-year Protocol<sup>4</sup> to the FPA entered into application on 1 January 2016<sup>5</sup> (expired on 31 December 2020) and it establishes fishing opportunities for the Union fleet and the corresponding financial contribution to be paid by the Union and EU ship-owners.

The new SFPA and its Implementing Protocol pursue the priorities of the reformed Common Fisheries Policy<sup>6</sup> and its external dimension,<sup>7</sup> with a view of continuing and reinforcing the strategic partnership between the European Union and the Governments of Greenland and Denmark in the field of fisheries.

The aim of the Protocol is to grant fishing opportunities to Union vessels in the Greenlandic waters, on the basis of the best available scientific advice and respecting the recommendations of the International Council for the Exploration of the Sea (ICES), the Northwest Atlantic Fisheries Organization's (NAFO) and of the North East Atlantic Fisheries Commission (NEAFC). The Commission based its position in part on the results of an evaluation of the previous Agreement and Protocol and of a forward-looking assessment of whether a new Agreement and Protocol should be concluded. The Protocol also enables the European Union

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<sup>1</sup>

<sup>2</sup> <https://www.consilium.europa.eu/en/documents-publications/treaties-agreements/agreement/?id=2007046&DocLanguage=en>

<sup>3</sup> OJ L 172, 30.6.2007

<sup>4</sup> OJ L 305, 21.11.2015, p. 1

<sup>5</sup> <https://www.consilium.europa.eu/en/documents-publications/treaties-agreements/agreement/?id=2015055&DocLanguage=en>

<sup>6</sup> OJ L 354, 28.12.2013, p. 22.

<sup>7</sup> 19 March 2012 Council conclusions on the Commission's Communication on the *External dimension of the common fisheries policy*

and Greenland to work more closely together on promoting sound exploitation of fishery resources in Greenlandic waters and to support efforts by the Government of Greenland to develop its fisheries sector, in the interests of both parties.

The species covered by the new Protocol are Cod, Pelagic Redfish, Demersal Redfish, Greenland Halibut, Northern Prawn, Grenadier, Capelin and Mackerel. The new Protocol provides fishing opportunities for 12 vessels. Six EU Member States have a direct fishing interest in the new Protocol, namely Denmark, France, Germany, Poland, Lithuania and Sweden and, to a lesser degree, Spain and Portugal.

In return, the EU budget will provide an annual financial compensation to Greenland of € 16 521 754, out of which € 2 931 000 earmarked to support the fisheries policy of Greenland

- **Consistency with other Union policies**

The negotiation of a new Sustainable Fishery Partnership Agreement and its Implementing Protocol forms part of the EU's external action in relation to Overseas Countries and Territories (OCTs).

## **2. LEGAL BASIS, SUBSIDIARITY AND PROPORTIONALITY**

- **Legal basis**

The legal basis is Article 43(2) of the Treaty on the Functioning of the European Union which establishes the Common Fisheries Policy and Article 218(5) TFEU, which enables the signing and the possibility of provisional application of agreements between the EU and third countries

- **Subsidiarity (for non-exclusive competence)**

The proposal falls under the exclusive competence of the European Union.

- **Proportionality**

The proposal is proportionate to the objective of establishing a legal, environmental, economic and social governance framework for fishing activities carried out by EU vessels in third-country waters, as set out in Article 31 of the Regulation establishing the Common Fisheries Policy. It complies with those provisions as well as with those on financial assistance to third countries laid down in Article 32 of that Regulation.

## **3. RESULTS OF EX-POST EVALUATIONS, STAKEHOLDER CONSULTATIONS AND IMPACT ASSESSMENTS**

- **Ex-post evaluations/fitness checks of existing legislation**

The ex-post evaluation was conducted over the period April to August 2019. Stakeholders were consulted during the ex-post evaluation of the Protocol for the period 2016-20. Experts from the Member States were also consulted in technical meetings. These consultations led to the conclusion that it would be beneficial to renew the Protocol with the governments of Greenland and Denmark. The main reasons why it was considered beneficial to renew the protocol are, on the one hand, because the FPA and the protocol are very relevant to the needs of the EU in terms of providing access to the EU fleet and supporting scientific collaboration and sustainable exploitation and, on the other hand, because the agreement is relevant to the Greenland government in terms of cooperation with the EU.

- **Stakeholder consultations**

Member States, industry representatives, international civil society organisations and the fisheries administration and civil society representatives of Greenland were consulted as part of the evaluation. Consultations also took place in the framework of the Long Distance Advisory Council

- **Collection and use of expertise**

The Commission used an independent consultant for the ex-post and ex-ante evaluations, in accordance with Article 31(10) of the Regulation establishing the Common Fisheries Policy.

#### **4. BUDGETARY IMPLICATIONS**

The annual financial contribution from the European Union is EUR € 16 521 754, based on:

- (a) an annual amount for access to fishery resources for the categories provided for in the Protocol, set at EUR 13 590 754 for the duration of the Protocol;
- (b) support for the development of Greenland's sectoral fisheries policy amounting to EUR 2 931 000 for the duration of the Protocol. This support meets the objectives of Greenland's national policy on the sustainable management of their maritime fishery resources for the entire duration of the Protocol.

The annual amount for commitment and payment appropriations is established during the annual budgetary procedure, including for the reserve line for protocols not yet having entered into force at the beginning of the year<sup>8</sup>.

#### **5. OTHER ELEMENTS**

- **Implementation plans and monitoring, evaluation and reporting arrangements**

The monitoring arrangements are provided for in the Protocol implementing the new Partnership Agreement.

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<sup>8</sup> In accordance with the Interinstitutional Agreement on cooperation in budgetary matters (2013/C 373/01).

Proposal for a

## **COUNCIL DECISION**

**on the signing, on behalf of the European Union, and provisional application of a Sustainable Fisheries Partnership Agreement between the European Union on the one hand, and the Government of Greenland and the Government of Denmark, on the other hand and the Implementation Protocol thereto**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(2) thereof in conjunction with Article 218(5) thereof,

Having regard to the proposal from the European Commission

Whereas:

- (1) The Commission negotiated on behalf of the European Union a new Sustainable Fisheries Partnership Agreement between the European Union on the one hand, and the Government of Greenland and the Government of Denmark, on the other hand ("Partnership Agreement") as well as a new Implementation Protocol to the Partnership Agreement.
- (2) The Partnership Agreement and the Implementation Protocol were initialled at the end of those negotiations on 11 January 2021.
- (3) The Partnership Agreement repeals the previous Agreement that was concluded between the European Community on the one hand, and the Government of Denmark and the Home Rule Government of Greenland, on the other hand and that entered into force on 28 June 2007.
- (4) The objective of the Protocol is to enable the European Union, the Government of Denmark and the Government of Greenland to work more closely together to further promote the development of a sustainable fisheries policy, and a responsible exploitation of fisheries resources in Greenlandic waters.
- (5) Article 15 of the Partnership Agreement and Article 12 of the Implementation Protocol thereto provide for their respective provisional application from the date of their signature.
- (6) Accordingly, signature of the Partnership Agreement and the Implementation Protocol thereto shall be authorised.
- (7) In order to ensure an expeditious start to fishing activities of Union vessels, the Partnership Agreement and the Implementation Protocol should be applied provisionally upon their signature, pending their entry into force,

HAS ADOPTED THIS DECISION:

*Article 1*

The signature of the Sustainable Fisheries Partnership Agreement between the European Union on the one hand, and the Government of Greenland and the Government of Denmark, on the other hand (hereinafter referred to as the 'Partnership Agreement') and the Implementation Protocol thereto are hereby authorised on behalf of the Union, subject to the conclusion of those acts.

The texts of the Agreement and the Implementation Protocol are attached to this Decision.

*Article 2*

The Council Secretariat-General shall establish the instrument of full powers to sign the Fisheries Agreement and the Implementation Protocol, subject to their conclusion, for the person(s) indicated by the negotiator of the said Partnership Agreement.

*Article 3*

The Partnership Agreement shall be applied provisionally, in accordance with Article 15 thereof, as from the date of its signature, pending its entry into force.

*Article 4*

The Implementation Protocol shall be applied provisionally, in accordance with Article 12 thereof, as from the date of its signature, pending its entry into force.

*Article 5*

This Decision shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

Done at Brussels,

*For the Council*  
*The President*

## **LEGISLATIVE FINANCIAL STATEMENT**

### **1. FRAMEWORK OF THE PROPOSAL/INITIATIVE**

#### **1.1. Title of the proposal/initiative**

Proposal for a Council Decision on the signing, on behalf of the European Union, and provisional application of the Sustainable Fisheries Partnership Agreement and its Implementing Protocol between the European Union on the one hand, and the Government of Greenland and the Government of Denmark.

#### **1.2. Policy area(s) concerned in the ABM/ABB structure<sup>9</sup>**

11 – Maritime affairs and fisheries

11.03 – Compulsory contributions to regional fisheries management organisations (RFMOs) and other international organisations and sustainable fisheries agreements (SFAs)

11.03.01 – Establishing a governance framework for fishing activities carried out by Union fishing vessels in third-country waters

#### **1.3. Nature of the proposal/initiative**

☒ The proposal/initiative relates to **a new action**

☐ The proposal/initiative relates to **a new action following a pilot project/preparatory action<sup>10</sup>**

☐ The proposal/initiative relates to **the extension of an existing action**

☐ The proposal/initiative relates to **an action redirected towards a new action**

#### **1.4. Objective(s)**

##### *1.4.1. The Commission's multiannual strategic objective(s) targeted by the proposal/initiative*

The negotiation and conclusion of Sustainable Fisheries Partnership Agreements (SFPAs) with third countries meet the general objective of giving EU fishing vessels access to the fishing zones of third countries and developing a partnership with those countries with a view to strengthening the sustainable exploitation of fishery resources outside EU waters.

SFPAs also ensure consistency between the principles governing the common fisheries policy and commitments made under other European policies (sustainable use of third-country resources, combating illegal, unreported and unregulated (IUU) fishing, integration of partner countries into the global economy and better political and financial governance of fisheries).

##### *1.4.2. Specific objective(s) and ABM/ABB activity(ies) concerned*

###### Specific objective No 1

To contribute to sustainable fishing in non-EU waters, maintain a European presence in distant-water fisheries and protect the interests of the European fisheries sector

<sup>9</sup> ABM: activity-based management; ABB: activity-based budgeting.

<sup>10</sup> As referred to in Article 54(2)(a) or (b) of the Financial Regulation.



and consumers by negotiating and concluding SFPAs with coastal states, consistent with other European policies.

**1.4.3. Expected result(s) and impact**

*Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.*

The conclusion of the Agreement and its Implementing Protocol enables to continue and reinforce the strategic fisheries partnership between the European Union and Greenland. The conclusion of the Protocol will create fishing opportunities for Union vessels in the Greenlandic fishing zone.

The Agreement and the Protocol will also contribute to better management and conservation of fishery resources, through financial support (sectoral support) for the implementation of programmes adopted at national level by the partner country, in particular to reinforce monitoring, control and surveillance.

Lastly, the Agreement and the Protocol will contribute to Greenland's sustainable exploitation of its marine resources and to Greenland's fishing economy by promoting growth associated with fishing-related economic activities.

**1.4.4. Indicators of results and impact**

*Specify the indicators for monitoring implementation of the proposal/initiative.*

Fishing opportunity utilisation rates (annual uptake of fishing authorisations as a percentage of availability under the Protocol).

Catch data (gathering and analysis) and the commercial value of the Agreement.

Contribution to employment and to added value in the Union and to stabilising the Union market (in aggregate with other SFPAs).

Contribution to improving research, surveillance and control of fishing activity by the partner country and the development of its fisheries sector.

**1.5. Grounds for the proposal/initiative**

**1.5.1. Requirement(s) to be met in the short or long term**

It is intended that the new Agreement and Implementing Protocol will apply provisionally from the date of its signature, so as to minimise any interruption of fishing operations which are ongoing under the current Protocol.

The new Agreement and Protocol will provide a framework for the fishing activities of the Union fleet in Greenland fishing zone and will authorise EU vessel owners to apply for fishing authorisations to fish in that zone. In addition, the new Agreement and Protocol will enhance cooperation between the EU and Greenland with a view to promoting the development of a sustainable fisheries policy. It provides, in particular, for vessels to be monitored via VMS and for the electronic transmission of catch data. The sectoral support available under the Protocol will help Greenland with its national fisheries strategy.

**1.5.2. Added value of EU involvement**

Failure by the Union to agree on a new Agreement and Protocol would impede the fishing activity of Union vessels as the current Agreement contains a clause excluding fishing activities not taking place in the framework defined by a Protocol to the Agreement. Consequently, the added value for the EU's long-distance fleet is

very clear. The Protocol also offers a framework for enhanced cooperation between the Union and Greenland.

*1.5.3. Lessons learned from similar experiences in the past*

Funds provided as financial compensation for access under the SFPA constitute fungible revenue in the national budget of Greenland. However, funds intended for sectoral support are allocated (generally by introduction in the annual budget law) to the Ministry responsible for fisheries, as a condition for the conclusion and monitoring of SFPAs. These financial resources are compatible with other sources of funding from other providers of international funding for carrying out projects and/or programmes at national level in the fisheries sector.

*1.5.4. Compatibility and possible synergy with other appropriate instruments*

Not applicable

**1.6. Duration and financial impact**

Proposal of **limited duration**

- ☒ Proposal/initiative in effect from 2021 to 2026
- ☒ Financial impact from 2021 to 2025
- ☐ Proposal/initiative of **unlimited duration**
  - Implementation with a start-up period from YYYY to YYYY,
  - followed by full-scale operation.

**1.7. Management mode(s) planned<sup>11</sup>**

☒ **Direct management** by the Commission

- ☒ by its departments, including by its staff in the Union delegations;
- ☐ by the executive agencies
- ☐ **Shared management** with the Member States
- ☐ **Indirect management** by entrusting budget implementation tasks to:
  - ☐ third countries or the bodies they have designated;
  - ☐ international organisations and their agencies (to be specified);
  - ☐ the EIB and the European Investment Fund;
  - ☐ bodies referred to in Articles 208 and 209 of the Financial Regulation;
  - ☐ public law bodies;
  - ☐ bodies governed by private law with a public service mission to the extent that they provide adequate financial guarantees;
  - ☐ bodies governed by the private law of a Member State that are entrusted with the implementation of a public-private partnership and that provide adequate financial guarantees;

<sup>11</sup> Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: [http://www.cc.cec/budg/man/budgmanag/budgmanag\\_en.html](http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html)

- ☐ persons entrusted with the implementation of specific actions in the CFSP pursuant to Title V of the TEU, and identified in the relevant basic act.
- *If more than one management mode is indicated, please provide details in the 'Comments' section.*

Comments

## 2. MANAGEMENT MEASURES

### 2.1. Monitoring and reporting rules

*Specify frequency and conditions.*

The Commission (DG MARE) will ensure regular monitoring of the implementation of the Protocol as regards the use of fishing opportunities by operators, catch data and compliance with conditions for sectoral support.

Furthermore, the SFPA provides for at least one annual meeting of the Joint Committee, at which the Commission and Greenland will review the implementation of the Agreement and Protocol and, if necessary, adjust the programming and the financial contribution.

### 2.2. Management and control system

#### 2.2.1. Risk(s) identified

Payments will be implemented in a decoupled manner as regards the contribution for access and the contribution for sectoral support.

The payments for access will be made annually on the anniversary date of the Protocol, except in the first year, when the payment will be made in the three months after the start of provisional application. Vessel access will be controlled by the issuing of fishing authorisations.

The support will be paid for the first time within three months after the start of the provisional application, subject to the agreement on an annual and multiannual implementation programme; for the following years, it will be conditional to the results achieved. The results achieved and the implementation rate will be monitored in accordance with the Guidelines on the Implementation of Sectoral Support for Greenland Fisheries policy to be agreed by the Parties, on the basis of reports or documentary evidence provided by the partner country.

#### 2.2.2. Information concerning the internal control system set up

The identified risk is the under-use of fishing opportunities by EU vessel owners and the under-use or delayed use by Greenland of funds intended to finance the sectoral fisheries policy. Extensive dialogue is planned on the programming and implementation of the sectoral policy laid down in the Agreement and the Protocol. Joint analysis of results, as referred to in Article 4 of the Protocol, also forms part of these control methods. In addition, the Agreement and the Protocol contain specific clauses for their suspension, under certain conditions and in given circumstances.

#### 2.2.3. Estimate of the costs and benefits of the controls and assessment of the expected level of risk of error

Payments relating to access costs under Sustainable Fisheries Partnership Agreements (SFPAs) are subject to checks aimed at ensuring their compliance with

the provisions of international agreements. Checks relating to sectoral support are aimed at monitoring the implementation of the support. Monitoring is carried out by Commission staff in EU Delegations and during Joint Committees meetings. A multiannual programming matrix is used to evaluate progress. If progress is insufficient, the payment of the next tranche is suspended or possibly reduced. The overall cost of the checks on all SFPAs is estimated to be around 1.8% (of the contributions in 2018). The procedures for checks on SFPAs are based largely on essential regulatory requirements. If no shortcomings that could have a significant impact on the legality and regularity of the financial transactions are detected, the checks are considered effective. The average error rate is estimated at 0.0%.

### **2.3. Measures to prevent fraud and irregularities**

*Specify existing or envisaged prevention and protection measures.*

The Commission undertakes to establish political dialogue and regular coordination with Greenland with a view to improving the management of the Agreement and the Protocol, and strengthening the Union's contribution to sustainable resources management. Any payment which the Commission makes under an SFPA is subject to the Commission's standard rules and budgetary and financial procedures. In particular, the bank accounts of the third countries into which the financial contribution is paid are fully identified. Article 3(6) of the Protocol provides that the financial contribution for access and that intended for development of the sector are to be paid into a Public Treasury account.

### 3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

#### 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing budget lines

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number	Diff./Non-diff. <sup>12</sup>	from EFTA countries <sup>13</sup>	from candidate countries <sup>14</sup>	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
	11.03.01 Establishing a governance framework for fishing activities carried out by European Union fishing vessels in third-country waters (SFAs)	Diff.	NO	NO	NO	NO

- New budget lines requested

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number	Diff./Non-diff.	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
	[XX.YY.YY.YY]		YES/NO	YES/NO	YES/NO	YES/NO

<sup>12</sup> Diff. = Differentiated appropriations / Non-diff. = Non-differentiated appropriations.

<sup>13</sup> EFTA: European Free Trade Association.

<sup>14</sup> Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

### 3.2. Estimated impact on expenditure

#### 3.2.1. Summary of estimated impact on expenditure

EUR million (to three decimal places)

<b>Heading of multiannual financial framework</b>	No 2	Sustainable growth: natural resources
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DG: MARE			2021	2022	2023	2024	2025	2026	TOTAL
• Operational appropriations									
Budget line <sup>15</sup> 11.03.01	Commitments	(1a)	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.52175 4	<b>99.13052 4</b>
	Payments	(2a)	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.52175 4	<b>99.13052 4</b>
Budget line	Commitments	(1b)							
	Payments	(2b)							
Appropriations of an administrative nature financed from the envelope of specific programmes <sup>16</sup>									
Budget line		(3)							
<b>TOTAL appropriations for DG MARE</b>	Commitments	=1a+1b +3	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.52175 4	<b>99.13052 4</b>
	Payments	=2a+2b	16.5217	16.5217	16.5217	16.5217	16.5217	16.52175	<b>99.13052</b>

<sup>15</sup> According to the official budget nomenclature.

<sup>16</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

		+3	54	54	54	54	54	4	<b>4</b>
	Commitments	(4)	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.52175 4	<b>99.13052 4</b>
	Payments	(5)	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.52175 4	<b>99.13052 4</b>

• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)							
<b>TOTAL appropriations under HEADING 2</b> of the multiannual financial framework	Commitments	=4+ 6	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	<b>99.1305 24</b>
	Payments	=5+ 6	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	<b>99.1305 24</b>

**If more than one heading is affected by the proposal / initiative:**

• TOTAL operational appropriations	Commitments	(4)	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	<b>99.1305 24</b>
	Payments	(5)	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	<b>99.1305 24</b>
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)							
<b>TOTAL appropriations under HEADINGS 1 to 4</b> of the multiannual financial framework (Reference amount)	Commitments	=4+ 6	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	<b>99.1305 24</b>
	Payments	=5+ 6	16.5217	16.5217	16.5217	16.5217	16.5217	16.5217	<b>99.1305 24</b>

			54	54	54	54	54	54	
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<b>Heading of multiannual financial framework</b>	<b>5</b>	‘Administrative expenditure’
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EUR million (to three decimal places)

		Year 2021	Year 2022	Year 2023	Year 2024	Year 2025	Year 2026	TOTAL
DG: <.....>								
• Human resources								
• Other administrative expenditure								
<b>TOTAL DG &lt;.....&gt;</b>	Appropriations							

<b>TOTAL appropriations under HEADING 5 of the multiannual financial framework</b>	(Total commitments = Total payments)								
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EUR million (to three decimal places)

		Year 2021 <sup>17</sup>	Year 2022	Year 2023	Year 2024	Year 2025	Year 2026	TOTAL
<b>TOTAL appropriations under HEADINGS 1 to 5 of the multiannual financial framework</b>	Commitments	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.52175 4	<b>99.130524</b>
	Payments	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.5217 54	16.52175 4	<b>99.130524</b>

<sup>17</sup> Year N is the year in which implementation of the proposal/initiative starts.

### 3.2.2. Estimated impact on operational appropriations

- ☐ The proposal/initiative does not require the use of operational appropriations
- ☐ The proposal/initiative requires the use of operational appropriations, as explained below:

Commitment appropriations in EUR million (to three decimal places)

Indicate objectives and outputs  ↓			2021		2022		2023		2024		2025		2026		TOTAL	
	Type <sup>18</sup>	Average cost	Number	Cost	Number	Cost	Number	Cost	Number	Cost	Number	Cost			Total number	Total cost
SPECIFIC OBJECTIVE No 1 <sup>19</sup> ...																
- Access	Annual			13.590754		13.590754		13.590754		13.590754		13.590754	13.590754			81.544524
- Sectoral	Annual			2.931		2.931		2.931		2.931		2.931	2.931			17.58600
- Output																
Subtotal for specific objective No 1																
TOTALS				16.521754		16.521754		16.521754		16.521754		16.521754	16.521754			99.130524

<sup>18</sup> Outputs are products and services to be supplied (e.g. number of student exchanges financed, number of km of roads built).

<sup>19</sup> As described in point 1.4.2. 'Specific objective(s)...'

### 3.2.3. Estimated impact on appropriations of an administrative nature

#### 3.2.3.1. Summary

- ☐ The proposal/initiative does not require the use of appropriations of an administrative nature
- ☐ The proposal/initiative requires the use of appropriations of an administrative nature, as explained below:

EUR million (to three decimal places)

	Year N <sup>20</sup>	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)			TOTAL
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<b>HEADING 5 of the multiannual financial framework</b>								
Human resources								
Other administrative expenditure								
<b>Subtotal HEADING 5 of the multiannual financial framework</b>								

<b>Outside HEADING 5<sup>21</sup> of the multiannual financial framework</b>								
Human resources								
Other expenditure of an administrative nature								
<b>Subtotal outside HEADING 5 of the multiannual financial framework</b>								

<b>TOTAL</b>								
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The appropriations required for human resources and other expenditure of an administrative nature will be met by appropriations from the DG that are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

<sup>20</sup> Year N is the year in which implementation of the proposal/initiative starts.

<sup>21</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

### 3.2.3.2. Estimated requirements of human resources

- ☒ X The proposal/initiative does not require the use of human resources.
- ☐ The proposal/initiative requires the use of human resources, as explained below:

*Estimate to be expressed in full time equivalent units*

	Year N	Year N+1	Year N+2	Ye ar N+ 3	Enter as many years as necessa ry to show the duratio n of the impact (see point 1.6)
<b>• Establishment plan posts (officials and temporary staff)</b>					
XX 01 01 01 (Headquarters and Commission's Representation Offices)					
XX 01 01 02 (Delegations)					
XX 01 05 01 (Indirect research)					
10 01 05 01 (Direct research)					
<b>• External staff (in Full Time Equivalent unit: FTE)<sup>22</sup></b>					
XX 01 02 01 (AC, END, INT from the 'global envelope')					
XX 01 02 02 (AC, AL, END, INT and JED in the delegations)					
XX 01 04 yy <sup>23</sup>	- at Headquarters				
	- in Delegations				
XX 01 05 02 (AC, END, INT - Indirect research)					
10 01 05 02 (AC, END, INT - Direct research)					
Other budget lines (specify)					
<b>TOTAL</b>					

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary staff	
External staff	

<sup>22</sup> AC= Contract Staff; AL = Local Staff; END= Seconded National Expert; INT = agency staff; JED= Junior Experts in Delegations.

<sup>23</sup> Sub-ceiling for external staff covered by operational appropriations (former 'BA' lines).

### 3.2.4. *Compatibility with the current multiannual financial framework*

- ☒ X The proposal/initiative is compatible the current multiannual financial framework.
- ☐ The proposal/initiative will entail reprogramming of the relevant heading in the multiannual financial framework.

This concerns the use of the reserve line (Chapter 40).

- ☐ The proposal/initiative requires application of the flexibility instrument or revision of the multiannual financial framework.

Explain what is required, specifying the headings and budget lines concerned and the corresponding amounts.

### 3.2.5. *Third-party contributions*

- ☒ X The proposal/initiative does not provide for co-financing by third parties.
- ☐ The proposal/initiative provides for the co-financing estimated below:

Appropriations in EUR million (to three decimal places)

	Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)			Total
Specify the co-financing body								
TOTAL appropriations co-financed								

### 3.3. Estimated impact on revenue

- ☐ The proposal/initiative has no financial impact on revenue.
- ☐ The proposal/initiative has the following financial impact:
  - ☐ on own resources
  - ☐ on miscellaneous revenue

EUR million (to three decimal places)

Budget revenue line:	Appropriations available for the current financial year	Impact of the proposal/initiative <sup>24</sup>					
		Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)	
Article .....							

For miscellaneous ‘assigned’ revenue, specify the budget expenditure line(s) affected.

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Specify the method for calculating the impact on revenue.

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<sup>24</sup> As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 25 % for collection costs.