Corrigendum to Regulation (EU) No 1073/2013 of the European Central Bank of 18 October 2013 concerning statistics on the assets and liabilities of investment funds (ECB/2013/38)

(Official Journal of the European Union L 297 of 7 November 2013)

On page 80, Annex I, Part 3 – Reporting tables, Table 1 'Stocks – Data required to be provided on a quarterly basis' is replaced as follows:

Table 1 Stocks Data required to be provided on a quarterly basis

			A. Domestic						В.	Euro area	other than do	omestic				C. Rest of	the World		D. Total
	MFIs		No	n-MFIs - Total				MFIs			Non-	//FIs - Total				of which non-euro	of which US	of which Japan	1
		General		Other re	esidents				General			Other r	esidents			area Member	US	Japan	
		gov't (S.13)	Total Non-MM investme funds	nt financial	Insurance corpor- ations + pension funds (S.128+ S.129)	financial corpor- ations (S.11)	Households + non- profit insti- tutions serving households (S.14+S.15)		gov't (S.13)	Total	Non-MMF investment funds	Other financial intermediaries + financial auxiliaries + captive financial institutions and money lenders (S.125+ S.126+S.127)	Insurance corpor- ations + pension funds (S.128+ S.129)	Non- financial corpor- ations (S.11)	Households + non- profit insti- tutions serving households (S.14+S.15)	Member States			
ASSETS 1 Deposit and loan claims up to 1 year over 1 year 2 Debt securities 2e. Euro up to 1 year and up to 2 years over 2 years 2x. Foreign currencies up to 1 year over 1 year and up to 2 years over 2 years 2t. Total currencies up to 1 year over 1 year and up to 2 years over 2 years 2t. Total currencies up to 1 year over 1 year and up to 2 years over 2 years 3t. Total currencies up to 1 year over 1 year and up to 2 years over 2 years 3 Equity and investment fund shares/units of which listed shares of which investment fund shares/units (2+3)a of which securities lent out or sold under repurchase agreements 4 Financial derivatives 5 Non-financial assets (including fixed assets) 6 Remaining assets LIABILITIES 7 Loans and deposits received up to 1 year over 1 year																			
10 Remaining liabilities															İ				

IFs report: (i) the black cells; (ii) the information requested in Table 2 for those securities that are collected on an s-b-s basis; and (iii) the grey cells for those securities that are not collected on an s-b-s basis.

(i) If the reporting agent is not in a position to directly identify the residency and sector of the holder, it reports the relevant data on the basis of available information. In the case of bearer shares, the information may be collected from MFIs or OFIs (as specified in Article 2(2) of this Regulation and paragraph 3 of Part 2 of this annex).

On pages 82 and 83, Annex I, Part 3 – Reporting tables, Table 3 'Revaluation adjustments or transactions – Data required to be provided on a quarterly basis' and Table 4, 'Data required to be provided on a monthly basis' are replaced as follows:

Table 3 Revaluation adjustments or transactions Data required to be provided on a quarterly basis

			A. Do	omestic							В. 1	Euro area o	ther than dom	estic					C. Rest of	the World		D. Total
	MFIs			Non-MFIs	s - Total				MFIs				Non-MF	Is - Total					of which non-euro	of which US	of which Japan	
		General			Other re	esidents					General			Other r	esidents			1	area	US	Japan	
		gov't (S.13)	Total	Non-MMF investment funds	Other financial inter- mediaries + financial ainstitutions and money lenders (S.125+	Insurance corpor- ations + pension funds (S.128+ S.129)	Non- financial corpor- ations (S.11)	Households + non- profit insti- tutions serving households (S.14+S.15)			gov't (S.13)	Total	Non-MMF investment funds	Other financial inter- mediaries + financial auxiliaries + captive financial institutions and money lenders (S.125+	Insurance corpor- ations + pension funds (S.128+ S.129)	Non- financial corpor- ations (S.11)	Households + non- profit insti- tutions serving households (S.14+S.15)		Member States			
ASSETS 1 Deposit and loan claims up to 1 year over 1 year 2 Debt securities 2e. Euro up to 1 year over 1 year and up to 2 years over 2 years 2x. Foreign currencies up to 1 year over 1 year and up to 2 years over 2 years 2t. Total currencies up to 1 year over 1 year and up to 2 years over 2 years 2t. Total currencies up to 1 year over 1 year and up to 2 years over 2 years 3 Equity and investment fund shares/units of which listed shares of which investment fund shares/units 4 Financial derivatives 5 Non-financial assets (including fixed assets) 1 ABILITIES 1 Loans and deposits received up to 1 year over 1 year 8 If shares/units (1)	MINI- MUM			MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI-	MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM MUM	MINI- MUM MINI- MUM MINI- MUM MINI- MUM	MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM		MINI- MUM	MINI- MUM	MINI- MUM MINI- MUM MINI- MUM		MINI- MUM MINI- MUM MINI- MUM	MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM MUM	MINI- MUM MINI- MUM MINI- MUM MINI- MUM MUM	MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM		MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM	MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM	MINI- MUM	MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM MINI- MUM MUM MINI- MUM	MINI- MUM MINI- MUM

IFs report: (i) the black cells marked 'MINIMUM'; (ii) the grey cells marked 'MINIMUM' for securities that are not collected on an s-b-s basis. The NCBs may extend these requirements to: (i) the black cells that do not contain the word 'MINIMUM'; and (ii) the grey cells that do not contain the word 'MINIMUM'; and (ii) the grey cells that do not contain the word 'MINIMUM' for those securities that are not collected on an s-b-s basis.

(b) If the reporting agent is not in a position to directly identify the residency and sector of the holder, it reports the relevant data on the basis of available information. In the case of bearer shares, the information may be collected from MFIs or OFIs (as specified in Article 2(2) of this Regulation and paragraph 3 in Part 2 of this annex).

⁽²⁾ The NCBs may exempt IFs from reporting this item, if the quarterly stocks listed in Table 1 represent less than 5% of IF shares/units issued.

 Table 4

 Data required to be provided on a monthly basis

			A. Domestic	tic						-	l. Euro area oti	B. Euro area other than domestic	į,			C. Rest of		D. Total
	MFIs			Non-MHs - Total	vtal				MFIs			Non-MHs - Total	- Total			- 3	orld	
		General			Other residents	ts				General			Other residents	lents				
		(S.13)	Total	Non-MMF finds free finds free finds arxi arxi arxi arxi arxi arxi arxi arxi	Other in financial corp intermediaries + + financial auxiliaries + (§ captive financial institutions and money lenders (\$1.12+	Insurance rooporations fin the pension corp finds (S.128+ S.129)	Non- House in financial + no financial ser \$5.11 house \$5.12	Households institutions serving households (X14+S,15)		(S.13)	Total	Non-MMF investment funds	Other financial estimates themediaries thrancial auxiliaries captive financial institutions and money lenders (\$1.25 + \$1.26 +	Insurance corporations f persion confunds funds (S.128+ S.129)	Non- Ho financial + n (S.11) ho (S.13)	Households in rou-publi in stitutions serving households (S.14+S.15)		
LIABILITIES 8 IF shares/units (!) Issuance of IF shares/units Redemption of IF shares/units																		
(1) Stocks; revaluation adjustments or transactions																		