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(Acts adopted under the EC Treaty/Euratom Treaty whose publication is obligatory)

## REGULATIONS

## REGULATION (EC) No 689/2008 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

of 17 June 2008

## concerning the export and import of dangerous chemicals

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Articles 133 and 175(1) thereof,

Having regard to the proposal from the Commission,

Having regard to the Opinion of the European Economic and Social Committee <sup>(1)</sup>,

After consulting the Committee of the Regions,

Acting in accordance with the procedure laid down in Article 251 of the Treaty <sup>(2)</sup>,

Whereas:

(1) Regulation (EC) No 304/2003 of the European Parliament and of the Council of 28 January 2003 concerning the export and import of dangerous chemicals <sup>(3)</sup> implemented the Rotterdam Convention on the prior informed consent procedure for certain hazardous chemicals and pesticides in international trade <sup>(4)</sup>, hereinafter 'the Convention', which entered into force on 24 February 2004, and replaced Council Regulation (EEC) No 2455/92 of 23 July 1992 concerning the export and import of certain dangerous chemicals <sup>(5)</sup>.

<sup>(1)</sup> OJ C 175, 27.7.2007, p. 40.

<sup>(2)</sup> Opinion of the European Parliament of 15 January 2008 (not yet published in the Official Journal) and Council Decision of 5 June 2008.

<sup>(3)</sup> OJ L 63, 6.3.2003, p. 1. Regulation as last amended by Commission Regulation (EC) No 1376/2007 (OJ L 307, 24.11.2007, p. 14).

<sup>(4)</sup> OJ L 63, 6.3.2003, p. 29.

<sup>(5)</sup> OJ L 251, 29.8.1992, p. 13. Regulation as last amended by Commission Regulation (EC) No 300/2002 (OJ L 52, 22.2.2002, p. 1).

(2) In its judgment of 10 January 2006 in Case C-178/03 (*Commission v Parliament and Council*) <sup>(6)</sup>, the Court of Justice of the European Communities annulled Regulation (EC) No 304/2003 as it was based solely on Article 175(1) of the Treaty, ruling that both Articles 133 and 175(1) were the appropriate legal bases. However the Court also ruled that the effects of the Regulation were to be maintained until the adoption, within a reasonable period, of a new Regulation founded on appropriate legal bases. That also implies that obligations that were already fulfilled under Regulation (EC) No 304/2003 do not need to be accomplished again.

(3) In accordance with Regulation (EC) No 304/2003, the Commission has submitted a report to the European Parliament and the Council on the operation of Regulation (EC) No 304/2003 from 2003 to 2005. Overall the procedures have worked well. However, the report identifies a number of technical amendments that appear to be necessary. It is therefore appropriate to incorporate those elements in this Regulation.

(4) The Convention allows Parties the right to take action that is more stringently protective of human health and the environment than that called for in the Convention, provided that such action is consistent with the provisions of the Convention and is in accordance with international law. It is necessary and appropriate, in order not to weaken the level of protection afforded to the environment and to the general public of importing countries under Regulation (EEC) No 2455/92, to go further than the provisions of the Convention in certain respects.

(5) As regards the participation of the Community in the Convention, it is essential to have a single contact point for Community interaction with the Secretariat and other Parties to the Convention as well as with other countries. The Commission should act as that contact point.

<sup>(6)</sup> ECR [2006], I-107.

- (6) Exports of dangerous chemicals that are banned or severely restricted within the Community should continue to be subject to a common export notification procedure. Accordingly, dangerous chemicals, whether in the form of substances on their own or in preparations or in articles, which have been banned or severely restricted by the Community as plant protection products, as other forms of pesticides, or as industrial chemicals for use by professional users or by the public, should be subject to export notification rules similar to those applicable to such chemicals when they are banned or severely restricted within either or both of the use categories laid down in the Convention, namely as pesticides or chemicals for industrial use. In addition, chemicals subject to the international prior informed consent (PIC) procedure should also be subject to the same rules. This export notification procedure should apply to Community exports to all third countries, whether or not they are Parties to the Convention or participate in its procedures. Member States should be permitted to charge administrative fees, in order to cover their costs in carrying out this procedure.
- (7) Exporters and importers should be obliged to provide information concerning the quantities of chemicals in international trade covered by this Regulation so that the impact and effectiveness of the arrangements laid down therein can be monitored and assessed.
- (8) Notifications to the Secretariat of the Convention of Community or Member State final regulatory actions banning or severely restricting chemicals, with a view to their inclusion in the international PIC procedure, should be submitted by the Commission and should relate to those cases meeting the criteria laid down in the Convention in this regard. Additional information to support such notifications should be sought where necessary.
- (9) In cases where Community or Member State final regulatory actions do not qualify for notification because they do not meet the criteria, information concerning the actions should nevertheless be conveyed to the Convention Secretariat and other Parties to the Convention in the interests of information exchange.
- (10) It is also necessary to ensure that the Community take decisions with regard to the import into the Community of chemicals that are subject to the international PIC procedure. These decisions should be based on applicable Community legislation and take into account bans or severe restrictions imposed by Member States. Where justified, amendments to Community legislation should be proposed.
- (11) Arrangements are needed to ensure that Member States and exporters are aware of the decisions of importing countries as regards chemicals that are subject to the international PIC procedure, and that exporters comply with those decisions. Furthermore, in order to prevent undesired exports, no chemicals banned or severely restricted within the Community that meet the Convention criteria or that are covered under the international PIC procedure should be exported unless the explicit consent of the importing country concerned has been sought and obtained, whether or not that country is a Party to the Convention. At the same time, a waiver from this obligation is appropriate in relation to exports of certain chemicals to countries that are members of the Organisation for Economic Cooperation and Development (OECD) provided that certain conditions are met. Furthermore a procedure is needed to deal with cases in which, despite all reasonable efforts, no response is obtained from the importing country, so that exports of certain chemicals may proceed on a temporary basis under specified conditions. It is also necessary to provide for periodic review of all such cases as well as those in which explicit consent is obtained.
- (12) The database established by the Commission is an important tool which should underpin the application of this Regulation and its control.
- (13) It is also important that all chemicals exported have an adequate shelf-life so that they may be used effectively and safely. As regards pesticides, in particular and especially those exported to developing countries, it is essential that information about appropriate storage conditions be provided and that suitable packaging and sizes of containers are used to avoid creating obsolete stocks.
- (14) Articles containing chemicals do not fall within the scope of the Convention. Nevertheless, it seems appropriate that articles containing chemicals that could be released under certain conditions of use or disposal and that are banned or severely restricted in the Community within one or more of the use categories laid down in the Convention or are subject to the international PIC procedure should also be subject to the export notification rules. Furthermore, certain chemicals and articles containing specific chemicals falling outside the scope of the Convention but giving rise to particular concern should not be exported at all.
- (15) In accordance with the Convention, information on transit movements of chemicals subject to the international PIC procedure should be provided to Parties to the Convention who request such information.
- (16) Community rules on packaging and labelling and other safety information should apply to all dangerous chemicals when intended for export to Parties and other countries

unless those provisions would conflict with any specific requirements of those countries, taking into account relevant international standards.

- (17) In order to ensure effective control and enforcement of the rules, Member States should designate authorities such as customs authorities that should have the responsibility of controlling imports and exports of chemicals covered by this Regulation. The Commission and the Member States have a key role to play and should act in a targeted and coordinated way. Member States should provide for appropriate sanctions in the event of infringements. To facilitate customs control, and to reduce the administrative burden for both exporters and authorities, a system of codes confirming compliance with the rules to be used in export declarations should be established. In order to allow all parties time to become accustomed to this system before it becomes mandatory a short transitional period should be provided for.
- (18) Information exchange, shared responsibility and cooperative efforts between the Community and the Member States and third countries should be promoted with a view to ensuring sound management of chemicals, whether or not those third countries are Parties to the Convention. In particular, technical assistance to developing countries and countries with economies in transition should be provided directly by the Commission and the Member States, or indirectly via support for projects by non-governmental organisations, especially assistance seeking to enable those countries to implement the Convention.
- (19) There should be regular monitoring of the operation of the procedures if they are to be effective. To this end, Member States should regularly submit reports to the Commission, which should in turn regularly report to the European Parliament and the Council.
- (20) Technical notes for guidance should be drawn up to assist the competent authorities, including such authorities as customs controlling exports, in the application of this Regulation.
- (21) The measures necessary for the implementation of this Regulation should be adopted in accordance with Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission <sup>(1)</sup>.
- (22) In particular the Commission should be empowered to adopt measures to include a chemical in Parts 1 or 2 of Annex I following final regulatory action at Community level, measures to include a chemical that is subject to Regulation (EC) No 850/2004 of the European Parliament

and of the Council of 29 April 2004 on persistent organic pollutants <sup>(2)</sup> in Part 1 of Annex V, measures to amend Annex I, including modifications to existing entries, measures to include a chemical already subject to an export ban at Community level in Part 2 of Annex V, measures to amend Annexes II, III, IV and VI, and measures to modify existing entries in Annex V. Since those measures are of general scope and are designed to amend non-essential elements of this Regulation, they must be adopted in accordance with the regulatory procedure with scrutiny provided for in Article 5a of Decision 1999/468/EC,

HAVE ADOPTED THIS REGULATION:

#### Article 1

#### Objectives

1. The objectives of this Regulation are the following:
  - (a) to implement the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, hereinafter 'the Convention';
  - (b) to promote shared responsibility and cooperative efforts in the international movement of hazardous chemicals in order to protect human health and the environment from potential harm;
  - (c) to contribute to the environmentally sound use of hazardous chemicals.

The objectives referred to in the first subparagraph shall be achieved by facilitating the exchange of information concerning the characteristics of such chemicals, by providing for a decision-making process within the Community on their import and export and by disseminating decisions to Parties and other countries as appropriate.

2. In addition to the objectives referred to in paragraph 1, this Regulation shall ensure that the provisions of Council Directive 67/548/EEC <sup>(3)</sup> and of Directive 1999/45/EC of the European Parliament and of the Council <sup>(4)</sup> regarding the classification,

<sup>(2)</sup> OJ L 158, 30.4.2004, p. 7. Regulation as last amended by Commission Regulation (EC) No 323/2007 (OJ L 85, 27.3.2007, p. 3).

<sup>(3)</sup> Council Directive 67/548/EEC of 27 June 1967 on the approximation of laws, regulations and administrative provisions relating to the classification, packaging and labelling of dangerous substances (OJ 196, 16.8.1967, p. 1). Directive as last amended by Directive 2006/121/EC of the European Parliament and of the Council (OJ L 396, 30.12.2006, p. 850). Corrected version in OJ L 136, 29.5.2007, p. 281.

<sup>(4)</sup> Directive 1999/45/EC of the European Parliament and of the Council of 31 May 1999 concerning the approximation of the laws, regulations and administrative provisions of the Member States relating to the classification, packaging and labelling of dangerous preparations (OJ L 200, 30.7.1999, p. 1). Directive as last amended by Regulation (EC) No 1907/2006 (OJ L 396, 30.12.2006, p. 1). Corrected version in OJ L 136, 29.5.2007, p. 3.

<sup>(1)</sup> OJ L 184, 17.7.1999, p. 23. Decision as amended by Decision 2006/512/EC (OJ L 200, 22.7.2006, p. 11).

packaging and labelling of chemicals dangerous to man or to the environment when they are placed on the market in the Community also apply to all such chemicals when they are exported from the Member States to other Parties or other countries, unless those provisions would conflict with any specific requirements of those Parties or other countries.

## Article 2

### Scope

1. This Regulation shall apply to the following:
  - (a) certain hazardous chemicals that are subject to the prior informed consent procedure under the Convention, hereinafter 'the PIC procedure';
  - (b) certain hazardous chemicals that are banned or severely restricted within the Community or a Member State;
  - (c) chemicals when exported in so far as their classification, packaging and labelling are concerned.
2. This Regulation shall not apply to any of the following:
  - (a) narcotic drugs and psychotropic substances covered by Council Regulation (EC) No 111/2005 of 22 December 2004 laying down rules for the monitoring of trade between the Community and third countries in drug precursors <sup>(1)</sup>;
  - (b) radioactive materials and substances covered by Council Directive 96/29/Euratom of 13 May 1996 laying down basic safety standards for the protection of the health of workers and the general public against the dangers arising from ionizing radiation <sup>(2)</sup>;
  - (c) wastes covered by Directive 2006/12/EC of the European Parliament and of the Council of 5 April 2006 on waste <sup>(3)</sup> and Council Directive 91/689/EEC of 12 December 1991 on hazardous waste <sup>(4)</sup>;
  - (d) chemical weapons covered by Council Regulation (EC) No 1334/2000 of 22 June 2000 setting up a Community regime for the control of exports of dual-use items and technology <sup>(5)</sup>;
  - (e) food and food additives covered by Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to

<sup>(1)</sup> OJ L 22, 26.1.2005, p. 1.

<sup>(2)</sup> OJ L 159, 29.6.1996, p. 1.

<sup>(3)</sup> OJ L 114, 27.4.2006, p. 9.

<sup>(4)</sup> OJ L 377, 31.12.1991, p. 20. Directive as last amended by Regulation (EC) No 166/2006 of the European Parliament and of the Council (OJ L 33, 4.2.2006, p. 1).

<sup>(5)</sup> OJ L 159, 30.6.2000, p. 1. Regulation as last amended by Regulation (EC) No 1183/2007 (OJ L 278, 22.10.2007, p. 1).

ensure the verification of compliance with feed and food law, animal health and animal welfare rules <sup>(6)</sup>;

- (f) feedingstuffs covered by Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety <sup>(7)</sup> including additives, whether processed, partially processed or unprocessed, intended to be used for oral feeding to animals;
- (g) genetically modified organisms covered by Directive 2001/18/EC of the European Parliament and of the Council of 12 March 2001 on the deliberate release into the environment of genetically modified organisms <sup>(8)</sup>;
- (h) save to the extent covered by Article 3(4)(b) of this Regulation, proprietary medicinal products and veterinary medicinal products covered by Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use <sup>(9)</sup> and Directive 2001/82/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to veterinary medicinal products <sup>(10)</sup>;
- (i) chemicals in quantities not likely to affect health or the environment, and in any event not more than 10 kg, provided that they are imported or exported for the purpose of research or analysis.

## Article 3

### Definitions

For the purposes of this Regulation, the following definitions shall apply:

1. 'chemical' means a substance as defined in Directive 67/548/EEC, whether by itself or in a preparation, or a preparation, whether manufactured or obtained from nature, but does not include living organisms, which belongs to either of the following categories:
  - (a) pesticides, including severely hazardous pesticide formulations;
  - (b) industrial chemicals;

<sup>(6)</sup> OJ L 165, 30.4.2004, p. 1. Corrected version in OJ L 191, 28.5.2004, p. 1. Regulation as last amended by Council Regulation (EC) No 301/2008 (OJ L 97, 9.4.2008, p. 85).

<sup>(7)</sup> OJ L 31, 1.2.2002, p. 1. Regulation as last amended by Commission Regulation (EC) No 575/2006 (OJ L 100, 8.4.2006, p. 3).

<sup>(8)</sup> OJ L 106, 17.4.2001, p. 1. Directive as last amended by Directive 2008/27/EC (OJ L 81, 20.3.2008, p. 45).

<sup>(9)</sup> OJ L 311, 28.11.2001, p. 67. Directive as last amended by Directive 2008/29/EC (OJ L 81, 20.3.2008, p. 51).

<sup>(10)</sup> OJ L 311, 28.11.2001, p. 1. Directive as last amended by Directive 2004/28/EC (OJ L 136, 30.4.2004, p. 58).

2. 'preparation' means a mixture or a solution composed of two or more substances;
3. 'article' means a finished product containing or including a chemical, the use of which has been banned or severely restricted by Community legislation in that particular product;
4. 'pesticides' means chemicals in either of the following subcategories:
- (a) pesticides used as plant protection products covered by Council Directive 91/414/EEC of 15 July 1991 concerning the placing of plant protection products on the market <sup>(1)</sup>;
  - (b) other pesticides, such as biocidal products under Directive 98/8/EC of the European Parliament and of the Council of 16 February 1998 concerning the placing of biocidal products on the market <sup>(2)</sup> and such as disinfectants, insecticides and parasiticides covered by Directive 2001/82/EC and Directive 2001/83/EC;
5. 'industrial chemicals' means chemicals in either of the following subcategories:
- (a) chemicals for use by professionals;
  - (b) chemicals for use by the public;
6. 'chemical subject to export notification' means any chemical that is banned or severely restricted within the Community within one or more categories or subcategories, and any chemical listed in Part 1 of Annex I that is subject to the PIC procedure;
7. 'chemical qualifying for PIC notification' means any chemical that is banned or severely restricted within the Community or a Member State within one or more categories. Chemicals banned or severely restricted in the Community within one or more categories are listed in Part 2 of Annex I;
8. 'chemical subject to the PIC procedure' means any chemical listed in Annex III to the Convention and in Part 3 of Annex I to this Regulation;
9. 'banned chemical' means either of the following:
- (a) a chemical all uses of which within one or more categories or subcategories have been prohibited by final regulatory action by the Community, in order to protect human health or the environment;
- (b) a chemical that has been refused approval for first-time use or has been withdrawn by industry either from the Community market or from further consideration in a notification, registration or approval process and where there is evidence that the chemical raises concern for human health or the environment;
10. 'severely restricted chemical' means either of the following:
- (a) a chemical, virtually all use of which within one or more categories or subcategories has been prohibited by final regulatory action by the Community in order to protect human health or the environment, but for which certain specific uses remain allowed;
  - (b) a chemical that has, for virtually all use, been refused for approval or been withdrawn by industry either from the Community market or from further consideration in a notification, registration or approval process, and where there is evidence that the chemical raises concern for human health or the environment;
11. 'chemical banned or severely restricted by a Member State' means any chemical that is banned or severely restricted by national final regulatory action of a Member State;
12. 'final regulatory action' means a legislative act the purpose of which is to ban or severely restrict a chemical;
13. 'severely hazardous pesticide formulation' means a chemical formulated for use as a pesticide that produces severe health or environmental effects observable within a short period of time after single or multiple exposure, under conditions of use;
14. 'export' means the following:
- (a) the permanent or temporary export of a chemical meeting the conditions of Article 23(2) of the Treaty;
  - (b) the re-export of a chemical not meeting the conditions of Article 23(2) of the Treaty which is placed under a customs procedure other than the external Community transit procedure for movement of goods through the customs territory of the Community;

<sup>(1)</sup> OJ L 230, 19.8.1991, p. 1. Directive as last amended by Commission Directive 2008/45/EC (OJ L 94, 5.4.2008, p. 21).

<sup>(2)</sup> OJ L 123, 24.4.1998, p. 1. Directive as last amended by Directive 2008/31/EC of the European Parliament and of the Council (OJ L 81, 20.3.2008, p. 57).

15. 'import' means the physical introduction into the customs territory of the Community of a chemical that is placed under a customs procedure other than the external Community transit procedure for movement of goods through the customs territory of the Community;
16. 'exporter' means any of the following persons, whether natural or legal:
- the person on whose behalf an export declaration is made, that is to say the person who, at the time when the declaration is accepted, holds the contract with the consignee in a Party or other country and has the power to determine that the chemical be sent out of the customs territory of the Community;
  - where no export contract has been concluded or where the holder of the contract does not act on its own behalf, the person who has the power to determine that the chemical be sent out of the customs territory of the Community;
  - where the benefit of a right to dispose of the chemical belongs to a person established outside the Community pursuant to the contract on which the export is based, the contracting party established in the Community;
17. 'importer' means any natural or legal person who at the time of import into the customs territory of the Community is the consignee for the chemical;
18. 'Party to the Convention' or 'Party' means a State or a regional economic integration organisation that has consented to be bound by the Convention and for which the Convention is in force;
19. 'other country' means any country that is not a Party.

#### Article 4

##### Designated national authorities

Each Member State shall designate the authority or authorities, hereinafter 'the designated national authority' or 'the designated national authorities', to carry out the administrative functions required by this Regulation, unless it has already done so before the entry into force of this Regulation.

It shall inform the Commission of such designation by 1 November 2008.

#### Article 5

##### Participation of the Community in the Convention

1. The participation of the Community in the Convention shall be a joint responsibility of the Commission and the Member

States, in particular as regards technical assistance, the exchange of information and matters relating to dispute settlement, participation in subsidiary bodies and voting.

2. With regard to the Community's participation in the Convention, for the administrative functions of the Convention with reference to the PIC procedure and the export notification, the Commission shall act as a common designated authority on behalf of and in close cooperation and consultation with all the designated national authorities of the Member States.

The Commission shall, in particular, be responsible for the following:

- the transmission of Community export notifications to Parties and other countries pursuant to Article 7;
- the submission to the Secretariat of the Convention, hereinafter 'the Secretariat', of notifications of relevant final regulatory actions concerning chemicals qualifying for PIC notification pursuant to Article 10;
- the transmission of information concerning other final regulatory actions involving chemicals not qualifying for PIC notification in accordance with Article 11;
- the receiving of information from the Secretariat more generally.

The Commission shall also provide the Secretariat with Community import responses for chemicals subject to the PIC procedure pursuant to Article 12.

In addition, the Commission shall coordinate the Community input on all technical issues relating to any of the following:

- the Convention;
- the preparation of the Conference of the Parties established by Article 18 of the Convention;
- the Chemical Review Committee established in accordance with Article 18(6) of the Convention;
- other subsidiary bodies.

A network of Member State rapporteurs shall be established, as appropriate, to deal with the preparation of technical documents such as decision guidance documents as referred to in Article 7(3) of the Convention.

3. The Commission and the Member States shall take the necessary initiatives to ensure appropriate representation of the Community in the various bodies implementing the Convention.

*Article 6***Chemicals subject to export notification, chemicals qualifying for PIC notification, and chemicals subject to the PIC procedure**

1. The chemicals covered by the provisions of this Regulation relating to export notification, PIC notification and the PIC procedure respectively shall be as listed in Annex I.

2. Chemicals in Annex I shall be assignable to one or more of three groups of chemicals, set out as Parts 1, 2 and 3 of Annex I.

The chemicals listed in Part 1 of Annex I shall be subject to the export notification procedure laid down in Article 7, with detailed information being given on the identity of the substance, on the use category and/or subcategory subject to restriction, the type of restriction and, where appropriate, additional information, in particular on exemptions to requirements for export notification.

The chemicals listed in Part 2 of Annex I shall, in addition to being subject to the export notification procedure laid down in Article 7, qualify for the PIC notification procedure set out in Article 10, with detailed information being given on the identity of the substance and on the use category.

The chemicals listed in Part 3 of Annex I shall be subject to the PIC procedure with the use category being given and, where appropriate, additional information, in particular on any requirements for export notification.

3. The lists referred to in paragraph 2 shall be made available to the public by electronic means.

*Article 7***Export notifications forwarded to Parties and other countries**

1. In the case of substances listed in Part 1 of Annex I or preparations containing such substances in a concentration that could trigger labelling obligations under Directive 1999/45/EC irrespective of the presence of any other substances, paragraphs 2 to 8 shall apply.

2. When an exporter is due to export a chemical referred to in paragraph 1 from the Community to a Party or other country for the first time on or after the date on which it becomes subject to this Regulation, the exporter shall notify the designated national authority of the Member State in which he is established, no later than 30 days before the export of the chemical is due to take place. Thereafter the exporter shall notify the designated national authority of the first export of such chemical each calendar year no later than 15 days before the export takes place. The notification shall comply with the requirements set out in Annex II.

The designated national authority shall check compliance of the information with Annex II and promptly forward the notification received from the exporter to the Commission.

The Commission shall take the measures necessary to ensure that the designated national authority of the importing Party or the appropriate authority of the importing other country receive notification no later than 15 days before the first intended export of the chemical and thereafter before the first export in any subsequent calendar year. This shall apply regardless of the expected use of the chemical in the importing Party or other country.

Each export notification shall be registered and assigned an export reference identification number in a database at the Commission, and an updated list of the chemicals concerned and the importing Parties and other countries for each calendar year shall be kept available to the public and distributed to the designated national authorities of the Member States as appropriate.

3. If the Commission does not receive from the importing Party or other country an acknowledgement of receipt of the first export notification given after the chemical is included in the Part 1 of Annex I within 30 days of the dispatch of such notification, it shall submit a second notification. The Commission shall make reasonable efforts to ensure that the designated national authority of the importing Party or the appropriate authority of the importing other country receives the second notification.

4. A new export notification as provided for in paragraph 2 shall be given for exports which take place subsequent to changes to Community legislation concerning the marketing, use or labelling of the substances in question or whenever the composition of the preparation in question changes so that the labelling of such preparation is altered. The new notification shall comply with the requirements set out in Annex II and shall indicate that it is a revision of a previous notification.

5. Where the export of a chemical relates to an emergency situation in which any delay may endanger public health or the environment in the importing Party or other country, the requirements of paragraphs 2, 3 and 4 may be waived wholly or partly at the discretion of the designated national authority of the exporting Member State, in consultation with the Commission.

6. The obligations set out in paragraphs 2, 3 and 4 shall cease when the following conditions are fulfilled:

- (a) the chemical has become a chemical subject to the PIC procedure;
- (b) the importing country being a Party to the Convention has provided the Secretariat with a response in accordance with Article 10(2) of the Convention indicating whether or not it consents to import of the chemical;

- (c) the Commission has been informed of that response by the Secretariat and has forwarded that information to Member States.

The first subparagraph shall not apply where the importing country being Party to the Convention explicitly requires continued export notification by exporting Parties, for example through its import decision or otherwise.

The obligations set out in paragraphs 2, 3 and 4 shall also cease when the following conditions are fulfilled:

- (a) the designated national authority of the importing Party or the appropriate authority of the importing other country has waived the requirement to be notified before the export of the chemical;
- (b) the Commission has received the information from the Secretariat or from the designated national authority of the importing Party or the appropriate authority of the importing other country and has forwarded it to Member States and made it available on the Internet.

7. The Commission, the relevant designated national authorities of the Member States and the exporters shall provide importing Parties and other countries with available additional information concerning the exported chemicals, when requested.

8. Member States may establish systems obliging exporters to pay an administrative fee for each export notification given and for each request for explicit consent made, corresponding to the costs to them of carrying out the procedures set out in paragraphs 2, 3 and 4 of this Article and in Article 13(3), (6) and (7).

#### Article 8

##### Export notifications received from Parties and other countries

1. Export notifications received by the Commission from the designated national authorities of Parties or the appropriate authorities of other countries concerning the export to the Community of a chemical the manufacture, use, handling, consumption, transport or sale of which is subject to prohibition or severe restriction under that Party's or other country's legislation shall be made available by electronic means through the database maintained by the Commission.

The Commission shall acknowledge receipt of the first export notification received for each chemical from each Party or other country.

The designated national authority of the Member State receiving that import shall receive a copy of any notification received together with all available information. Other Member States shall be entitled to receive copies on request.

2. Should the designated national authorities of the Member States receive any export notifications either directly or indirectly from the designated national authorities of Parties or the appropriate authorities of other countries, they shall immediately forward those notifications to the Commission together with all available information.

#### Article 9

##### Information on export and import of chemicals

1. Each exporter of:

- substances listed in Annex I,
- preparations containing such substances in a concentration that could trigger labelling obligations under Directive 1999/45/EC irrespective of the presence of any other substances, or
- articles containing substances listed in Parts 2 or 3 of Annex I in unreacted form or preparations containing such substances in a concentration that could trigger labelling obligations under Directive 1999/45/EC irrespective of the presence of any other substances,

shall, during the first quarter of each year, inform the designated national authority of its Member State regarding the quantity of the chemical, as a substance and as contained in preparations or in articles, shipped to each Party or other country during the preceding year. That information shall be given together with a list of the names and addresses of each importer to which shipment took place during the same period. That information shall list separately exports pursuant to Article 13(7).

Each importer within the Community shall provide the same information for the quantities imported into the Community.

2. Upon request from the Commission or the designated national authority of its Member State, the exporter or importer shall provide any additional information relating to chemicals that is necessary to implement this Regulation.

3. Each Member State shall provide the Commission each year with aggregated information in accordance with Annex III. The Commission shall summarise that information at Community level and shall make the non-confidential information publicly available on its database via the Internet.

#### Article 10

##### Notification of banned or severely restricted chemicals under the Convention

1. The Commission shall notify the Secretariat in writing of the chemicals that qualify for PIC notification.

2. Where further chemicals qualify for PIC notification and are added to Part 2 of Annex I, the Commission shall notify the

Secretariat. The notification shall be submitted as soon as possible after adoption of the relevant final Community regulatory action banning or severely restricting the chemical, and no later than 90 days after the date on which the final regulatory action must be applied.

3. The notification shall provide all relevant information as required in Annex IV.

4. In determining priorities for notifications, the Commission shall take into account whether the chemical is already listed in Part 3 of Annex I, the extent to which the information requirements laid down in Annex IV can be met, and the severity of the risks presented by the chemical, in particular for developing countries.

When a chemical qualifies for PIC notification, but the information is insufficient to meet the requirements of Annex IV, identified exporters or importers shall, upon request by the Commission, provide all relevant information available to them, including that from other national or international chemical control programmes, within 60 days of the request.

5. The Commission shall notify the Secretariat in writing when a final regulatory action notified under paragraphs 1 or 2 is amended as soon as possible after adoption of the new final regulatory action, and no later than 60 days after the date on which the new final regulatory action must be applied.

The Commission shall provide all relevant information that was not available at the time when the initial notification was given under paragraphs 1 or 2 respectively.

6. Upon request from any Party or from the Secretariat, the Commission shall provide additional information concerning the chemical or the final regulatory action, as far as practicable.

The Member States shall, upon request, assist the Commission as necessary in compiling that information.

7. The Commission shall forward immediately to the Member States information that it receives from the Secretariat regarding chemicals notified as banned or severely restricted by other Parties.

Where appropriate the Commission shall evaluate, in close cooperation with the Member States, the need to propose measures at Community level in order to prevent any unacceptable risks to human health or the environment within the Community.

8. Where a Member State takes national final regulatory action in accordance with the relevant Community legislation to ban or severely restrict a chemical, it shall provide the Commission with relevant information. The Commission shall make that information available to the Member States. Within four weeks of that information having been made available Member States may send comments on a possible PIC notification, including, in particular, relevant information about their national regulatory

position in respect of the chemical to the Commission and to the Member State which submitted the national final regulatory action. After consideration of the comments the submitting Member State shall inform the Commission whether the latter shall:

- notify the Secretariat, pursuant to this Article, or
- provide the information to the Secretariat, pursuant to Article 11.

#### Article 11

#### **Information to be transmitted to the Secretariat concerning banned or severely restricted chemicals not qualifying for PIC notification**

When a chemical is listed only in Part 1 of Annex I or following receipt of information from a Member State for the purposes of the second indent of Article 10(8), the Commission shall provide the Secretariat with information concerning the relevant final regulatory actions, so that that information can be disseminated to other Parties to the Convention as appropriate.

#### Article 12

#### **Obligations in relation to imports of chemicals**

1. The Commission shall immediately forward to the Member States any decision guidance documents which it receives from the Secretariat.

The Commission shall, in accordance with the advisory procedure referred to in Article 24(2), take an import decision, in the form of a final or interim import response on behalf of the Community, concerning the future import of the chemical concerned. It shall then communicate the decision to the Secretariat as soon as possible, and no later than nine months after the date of dispatch of the decision guidance document by the Secretariat.

Where a chemical is subject to additional or amended restrictions under Community legislation, the Commission shall revise the import decision in accordance with the advisory procedure referred to in Article 24(2) and communicate the revised import decision to the Secretariat.

2. In the case of a chemical banned or severely restricted by one or more Member States, the Commission shall, at the written request of the Member States concerned, take the information into account in its import decision.

3. An import decision under paragraph 1 shall relate to the category or categories specified for the chemical in the decision guidance document.

4. When communicating the import decision to the Secretariat, the Commission shall provide a description of the legislative or administrative measure upon which it is based.

5. Each designated national authority within the Community shall make the import decisions under paragraph 1 available to those concerned within its competence, in accordance with its legislative or administrative measures.

6. Where appropriate, the Commission shall evaluate, in close cooperation with the Member States, the need to propose measures at Community level in order to prevent any unacceptable risks to human health or the environment within the Community, taking into account the information given in the decision guidance document.

#### Article 13

#### **Obligations in relation to exports of chemicals other than export notification requirements**

1. The Commission shall immediately forward to the Member States and European industry associations information which it receives, whether in the form of circulars or otherwise, from the Secretariat regarding chemicals subject to the PIC procedure and the decisions of importing Parties regarding import conditions applicable to those chemicals. It shall also immediately forward to the Member States information concerning any cases of failure to transmit a response in accordance with Article 10(2) of the Convention. The Commission shall keep all information regarding import decisions, which shall each be assigned an import decision reference identification number, available in its database, which shall be publicly available on the Internet, and provide anyone with that information upon request.

2. The Commission shall assign each chemical listed in Annex I a classification in the European Community's Combined Nomenclature. Those classifications shall be revised as necessary in the light of any changes made in the World Customs Organization's Harmonized System Nomenclature or in the European Community's Combined Nomenclature for the chemicals concerned.

3. Each Member State shall communicate the responses forwarded by the Commission under paragraph 1 to those concerned within its jurisdiction.

4. Exporters shall comply with decisions in each import response no later than six months after the Secretariat has first informed the Commission of that response under paragraph 1.

5. The Commission and the Member States shall advise and assist importing Parties, upon request and as appropriate, to obtain further information to help them to make a response to the Secretariat concerning import of a given chemical.

6. Substances listed in Parts 2 or 3 of Annex I or preparations containing such substances in a concentration that could trigger labelling obligations under Directive 1999/45/EC irrespective of the presence of any other substances shall not be exported unless either of the following conditions is fulfilled:

(a) explicit consent to import has been sought and received by the exporter through his designated national authority in

consultation with the Commission and the designated national authority of the importing Party or an appropriate authority in an importing other country;

(b) in the case of chemicals listed in Part 3 of Annex I, the latest circular issued by the Secretariat pursuant to paragraph 1 indicates that the importing Party has given consent to import.

In the case of chemicals listed in Part 2 of Annex I that are to be exported to OECD countries, the designated national authority of the exporter may, in consultation with the Commission and on a case-by-case basis, decide that no explicit consent is required if the chemical, at the time of importation into the OECD country concerned, is licensed, registered or authorised in that OECD country.

Where explicit consent has been sought pursuant to point (a), if the Commission or the designated national authority of the exporter has not received a response to its request within 30 days, the Commission shall send a reminder. Where appropriate, if there is still no response within a further 30 days, the Commission may send further reminders as necessary.

7. In the case of chemicals listed in Parts 2 or 3 of Annex I, the designated national authority of the exporter may, in consultation with the Commission and on a case-by-case basis, decide that the export may proceed if, after all reasonable efforts, no response to a request for explicit consent pursuant to paragraph 6(a) has been received within 60 days and there is evidence from official sources in the importing Party or other country that the chemical has been licensed, registered or authorised.

When deciding on the export of chemicals listed in Part 3 of Annex I, the designated national authority in consultation with the Commission shall consider the possible impact on human health or the environment of the use of the chemical in the importing Party or other country.

8. The validity of each explicit consent obtained pursuant to paragraph 6(a) or waiver granted pursuant to paragraph 7 shall be subject to periodic review by the Commission in consultation with the Member States concerned as follows:

(a) for each explicit consent obtained pursuant to paragraph 6(a) a new explicit consent shall be required by the end of the third calendar year after the consent was given, unless the terms of that consent require otherwise;

(b) unless a response to a request has been received in the meantime, each waiver granted pursuant to paragraph 7 shall be for a maximum period of 12 months, upon expiry of which explicit consent shall be required.

In the cases referred to in point (a) of this paragraph, exports may, however, continue after the end of the relevant period, pending a response to a new request for explicit consent, for an additional period of 12 months.

All new requests shall be channelled through the Commission.

9. The Commission shall register all requests for explicit consent, responses obtained and waivers granted in its database. Each explicit consent obtained or waived shall be assigned an explicit consent reference identification number and shall be listed with all relevant information concerning any conditions attached, validity dates, etc. The non-confidential information shall be made publicly available on the Internet.

10. No chemical shall be exported later than six months before its expiry date, when such a date exists or can be inferred from the production date, unless the intrinsic properties of the chemical render that impracticable. In particular, in the case of pesticides, exporters shall ensure that the size and packaging of containers is optimised so as to minimise the risks of creating obsolete stocks.

11. When exporting pesticides, exporters shall ensure that the label contains specific information about storage conditions and storage stability under the climatic conditions of the importing Party or other country. In addition, they shall ensure that the pesticides exported comply with the purity specification laid down in Community legislation.

#### Article 14

##### Export of certain chemicals and articles containing chemicals

1. Articles containing substances listed in Parts 2 or 3 of Annex I in unreacted form or preparations containing such substances in a concentration that could trigger labelling obligations under Directive 1999/45/EC irrespective of the presence of any other substances shall be subject to the export notification procedure laid down in Article 7.

2. Chemicals and articles the use of which is prohibited in the Community for the protection of human health or the environment, as listed in Annex V, shall not be exported.

#### Article 15

##### Information on transit movements

1. Parties to the Convention requiring information concerning transit movements of chemicals subject to the PIC procedure, together with the information requested by each Party to the Convention through the Secretariat, shall be as listed in Annex VI.

2. When a chemical listed in Part 3 of Annex I is transported through the territory of a Party to the Convention listed in Annex VI, the exporter shall, as far as practicable, provide the designated national authority of the Member State in which he is established with the information required by the Party to the Convention in accordance with Annex VI no later than 30 days

before the first transit movement takes place and no later than eight days before each subsequent transit movement.

3. The designated national authority of the Member State shall forward to the Commission the information received from the exporter under paragraph 2 together with any additional information available.

4. The Commission shall forward the information received under paragraph 3 to the designated national authorities of Parties to the Convention which requested that information, together with any additional information available, no later than 15 days before the first transit movement and prior to any subsequent transit movement.

#### Article 16

##### Information to accompany exported chemicals

1. Chemicals that are intended for export shall be subject to the measures on packaging and labelling established in, or pursuant to, Directive 67/548/EEC, Directive 1999/45/EC, Directive 91/414/EEC and Directive 98/8/EC, or any other specific Community legislation.

The first subparagraph shall be without prejudice to any specific requirements of the importing Party or other country taking into account relevant international standards.

2. Where appropriate, the expiry date and the production date of chemicals referred to in paragraph 1 or listed in Annex I shall be indicated on the label, and if necessary such expiry dates shall be given for different climate zones.

3. A safety data sheet in accordance with Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 on the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) and establishing a European Chemicals Agency<sup>(1)</sup> shall accompany chemicals referred to in paragraph 1 when exported. The exporter shall send such a safety data sheet to each importer.

4. The information on the label and on the safety data sheet shall as far as practicable be given in the official languages, or in one or more of the principal languages, of the country of destination or of the area of intended use.

#### Article 17

##### Obligations of the authorities of the Member States and exporters for controlling imports and exports

1. Each Member State shall designate authorities such as customs authorities that shall have the responsibility of controlling the import and export of chemicals listed in Annex I, unless it has already done so before the entry into force of this Regulation.

<sup>(1)</sup> OJ L 396, 30.12.2006, p. 1. Corrected version in OJ L 136, 29.5.2007, p. 3. Regulation as amended by Council Regulation (EC) No 1354/2007 (OJ L 304, 22.11.2007, p. 1).

The Commission and the Member States shall act in a targeted and coordinated way in monitoring exporters' compliance with this Regulation.

Each Member State shall, in its regular reports on the operation of procedures pursuant to Article 21(1), include details of the activities of its authorities in that regard.

2. Exporters shall provide in their export declaration (box 44 of the Single Administrative Documents or corresponding data element in an electronic export declaration) as referred to in Article 161(5) of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code <sup>(1)</sup> the applicable reference identification numbers referred to in Article 7(2) or Article 13(1) or (9) of this Regulation as appropriate confirming compliance with the obligations to which they relate.

#### Article 18

##### Penalties

Member States shall determine the penalties applicable to infringements of the provisions of this Regulation and take all measures necessary to ensure correct implementation of these provisions. The penalties shall be effective, proportionate and dissuasive. If they have not already done so before the entry into force of this Regulation, Member States shall notify the Commission of those measures by 1 August 2009. They shall also notify it of any further modifications as soon as possible after their adoption.

Member States shall make all information regarding penalties available upon request.

#### Article 19

##### Exchange of information

1. The Commission and the Member States shall, as appropriate, facilitate the provision of scientific, technical, economic and legal information concerning chemicals subject to this Regulation, including toxicological, ecotoxicological and safety information.

The Commission, with the support of the Member States as necessary, shall, as appropriate, ensure:

- (a) the provision of publicly available information concerning regulatory actions relevant to the objectives of the Convention; and
- (b) the provision of information for Parties and other countries directly or through the Secretariat concerning those actions which substantially restrict one or more uses of a chemical.

2. The Commission and the Member States shall protect any confidential information received from a Party or other country as mutually agreed.

3. As regards the transmission of information under this Regulation, and without prejudice to Directive 2003/4/EC of the European Parliament and of the Council of 28 January 2003 on public access to environmental information <sup>(2)</sup>, the following information at least shall not be regarded as confidential:

- (a) the information specified in Annex II and Annex IV;
- (b) the information contained in safety data sheets referred to in Article 16(3);
- (c) the expiry date of a chemical;
- (d) the production date of a chemical;
- (e) information concerning precautionary measures, including hazard classification, the nature of the risk and the relevant safety advice;
- (f) the summary results of toxicological and ecotoxicological tests;
- (g) information concerning handling packaging after chemicals have been removed.

A compilation of the information transmitted shall be prepared regularly by the Commission on the basis of the contributions by Member States.

#### Article 20

##### Technical assistance

The Commission and the designated national authorities of the Member States shall, taking into account in particular the needs of developing countries and countries with economies in transition, cooperate in promoting technical assistance, including training, for the development of the infrastructure, the capacity and the expertise necessary to manage chemicals properly throughout their lifecycles.

In particular, and with a view to enabling those countries to implement the Convention, technical assistance shall be promoted by means of the provision of technical information concerning chemicals, the promotion of the exchange of experts, support for the establishment or maintenance of designated national authorities and the provision of technical expertise for the identification of hazardous pesticide formulations and for the preparation of notifications to the Secretariat.

<sup>(1)</sup> OJ L 302, 19.10.1992, p. 1. Regulation as last amended by Regulation (EC) No 1791/2006 (OJ L 363, 20.12.2006, p. 1).

<sup>(2)</sup> OJ L 41, 14.2.2003, p. 26.

The Commission and the Member States shall actively participate in the Information Network on Capacity Building set up by the Intergovernmental Forum on Chemical Safety, by providing information concerning the projects they are supporting or financing to improve the management of chemicals in developing countries and countries with economies in transition.

The Commission and the Member States shall also consider giving support to non-governmental organisations.

#### Article 21

##### Monitoring and reporting

1. Member States shall regularly forward to the Commission information concerning the operation of the procedures provided for in this Regulation, including customs controls, infringements, penalties and remedial action.

2. The Commission shall regularly compile a report on the performance of the functions provided for in this Regulation for which it is responsible and shall incorporate it in a synthesis report integrating the information provided by the Member States under paragraph 1. A summary of that report, which shall be published on the Internet, shall be forwarded to the European Parliament and to the Council.

3. As regards the information supplied pursuant to paragraphs 1 and 2, the Member States and the Commission shall comply with relevant obligations to protect the confidentiality of data and ownership.

#### Article 22

##### Updating annexes

1. The list of chemicals in Annex I shall be reviewed by the Commission at least every year, on the basis of developments in Community law and under the Convention.

2. When determining whether a final regulatory action at Community level constitutes a ban or a severe restriction, the effect of that action shall be assessed at the level of the subcategories within the categories 'pesticides' and 'industrial chemicals'. If the final regulatory action bans or severely restricts a chemical within any one of the subcategories it shall be included in Part 1 of Annex I.

When determining whether a final regulatory action at Community level constitutes a ban or a severe restriction such that the chemical concerned qualifies for PIC notification under Article 10, the effect of that action shall be assessed at the level of the categories 'pesticides' and 'industrial chemicals'. If the final regulatory action bans or severely restricts a chemical within

either of the categories it shall also be included in Part 2 of Annex I.

3. The decision to include chemicals in Annex I, or to amend their entry where appropriate, shall be taken without undue delay.

4. The following measures, designed to amend non-essential elements of this Regulation, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 24(3):

- (a) measures to include a chemical in Parts 1 or 2 of Annex I pursuant to paragraph 2 following final regulatory action at Community level;
- (b) measures to include a chemical that is subject to Regulation (EC) No 850/2004 in Part 1 of Annex V;
- (c) other measures to amend Annex I, including modifications to existing entries;
- (d) measures to include a chemical already subject to an export ban at Community level in Part 2 of Annex V;
- (e) measures to amend Annexes II, III, IV and VI;
- (f) measures to modify existing entries in Annex V.

#### Article 23

##### Technical notes for guidance

The Commission, in accordance with the advisory procedure referred to in Article 24(2), shall draw up technical notes for guidance to facilitate the day-to-day application of this Regulation.

The technical notes shall be published in the 'C' series of the *Official Journal of the European Union*.

#### Article 24

##### Committee

1. The Commission shall be assisted by the committee established by Article 133 of Regulation (EC) No 1907/2006.

2. Where reference is made to this paragraph, Article 3 and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

3. Where reference is made to this paragraph, Article 5(a)(1) to (4) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

*Article 25***References to Regulation (EC) No 304/2003**

References to Regulation (EC) No 304/2003 shall be construed as references to this Regulation.

*Article 26***Entry into force**

This Regulation shall enter into force on the day following its publication in the *Official Journal of the European Union*.

Article 17(2), however, shall apply as from 1 November 2008.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Strasbourg, 17 June 2008.

*For the European Parliament*

*The President*

H.-G. PÖTTERING

*For the Council*

*The President*

J. LENARČIČ

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## ANNEX I

## LIST OF CHEMICALS

(referred to in Article 6)

## PART 1

## List of chemicals subject to export notification procedure

(referred to in Article 7)

It should be noted that where chemicals listed in this part of the Annex are subject to the PIC procedure, the export notification obligations set out in Article 7(2), (3) and (4) shall not apply provided that the conditions laid down in Article 7(6)(b) and (c) have been fulfilled. Such chemicals, which are identified by the symbol '#' in the list below, are listed again in Part 3 of this Annex for ease of reference.

It should also be noted that where the chemicals listed in this part of the Annex qualify for PIC notification because of the nature of the Community's final regulatory action, those chemicals are also listed in Part 2 of this Annex. Such chemicals are identified by the symbol '+' in the list below.

Chemical	CAS No	Einecs No	CN code	Subcategory (*)	Use limitation (**)	Countries for which no notification is required
1,1,1-Trichloroethane	71-55-6	200-756-3	2903 19 10	i(2)	b	
1,2-Dibromoethane (Ethylene dibromide) #	106-93-4	203-444-5	2903 31 00	p(1)-p(2)	b-b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
1,2-Dichloroethane (ethylene dichloride) #	107-06-2	203-458-1	2903 15 00	p(1)-p(2) i(2)	b-b b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Cis- 1,3-dichloropropene ((1Z)-1,3-dichloroprop-1-ene)	10061-01-5	233-195-8	2903 29 00	p(1)-p(2)	b-b	
2-aminobutane	13952-84-6	237-732-7	2921 19 80	p(1)-p(2)	b-b	
2-Naphthylamine (naphthalen-2-amine) and its salts +	91-59-8, 553-00-4, 612-52-2 and others	202-080-4, 209- 030-0, 210-313-6 and others	2921 45 00	i(1) i(2)	b b	
2,4,5-T and its salts and esters #	93-76-5 and others	202-273-3 and others	2918 91 00	p(1)-p(2)	b-b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
4-Aminobiphenyl (biphenyl-4-amine) and its salts +	92-67-1, 2113-61-3 and others	202-177-1 and others	2921 49 80	i(1) i(2)	b b	
4-Nitrobiphenyl +	92-93-3	202-204-7	2904 20 00	i(1) i(2)	b b	
Acephate +	30560-19-1	250-241-2	2930 90 85	p(1)-p(2)	b-b	
Acifluorfen	50594-66-6	256-634-5	2916 39 00	p(1)-p(2)	b-b	

Chemical	CAS No	Einecs No	CN code	Subcategory (*)	Use limitation (**)	Countries for which no notification is required
Alachlor +	15972-60-8	240-110-8	2924 29 95	p(1)	b	
Aldicarb +	116-06-3	204-123-2	2930 90 85	p(1)-p(2)	sr-b	
Ametryn	834-12-8	212-634-7	2933 69 80	p(1)-p(2)	b-b	
Amitraz +	33089-61-1	251-375-4	2925 29 00	p(1)	sr	
Arsenic compounds				p(2)	sr	
Asbestos Fibres +:	1332-21-4 and others					Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Crocidolite #	12001-28-4		2524 10 00	i	b	
Amosite #	12172-73-5		2524 90 00	i	b	
Antophyllite #	77536-67-5		2524 90 00	i	b	
Actinolite #	77536-66-4		2524 90 00	i	b	
Tremolite #	77536-68-6		2524 90 00	i	b	
Chrysotile +	12001-29-5 or 132207-32-0		2524 90 00	i	b	
Atrazine +	1912-24-9	217-617-8	2933 69 10	p(1)-p(2)	sr-b	
Azinphos-ethyl	2642-71-9	220-147-6	2933 99 90	p(1)-p(2)	b-b	
Azinphos-methyl	86-50-0	201-676-1	2933 99 90	p(1)	b	
Bensultap	17606-31-4		2930 90 85	p(1)-p(2)	b-b	
Benzene (1)	71-43-2	200-753-7	2902 20 00	i(2)	sr	
Benzidine and its salts + Benzidine derivatives +	92-87-5, 36341-27-2 and others —	202-199-1, 252- 984-8 and others —	2921 59 90	i(1)-i(2) i(2)	sr-b b	
Binapacryl #	485-31-4	207-612-9	2916 19 50	p(1)-p(2) i(2)	b-b b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Cadmium and its compounds	7440-43-9 and others	231-152-8 and others	8107 3206 49 30 and others	i(1)	sr	
Cadusafos +	95465-99-9	n.a.	2930 90 85	p(1)	b	
Calciferol	50-14-6	200-014-9	2936 29 90	p(1)	b	
Captafol #	2425-06-1	219-363-3	2930 50 00	p(1)-p(2)	b-b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Carbaryl +	63-25-2	200-555-0	2924 29 95	p(1)-p(2)	b-b	

Chemical	CAS No	Einecs No	CN code	Subcategory (*)	Use limitation (**)	Countries for which no notification is required
Carbofuran +	1563-66-2	216-353-0	2932 99 85	p(1)	b	
Carbon tetrachloride	56-23-5	200-262-8	2903 14 00	i(2)	b	
Carbosulfan +	55285-14-8	259-565-9	2932 99 85	p(1)	b	
Cartap	15263-53-3		2930 20 00	p(1)-p(2)	b-b	
Chinomethionat	2439-01-2	219-455-3	2934 99 90	p(1)-p(2)	b-b	
Chlordecone	143-50-0	205-601-3	2914 70 00	p(2)	sr	
Chlordimeform #	6164-98-3	228-200-5	2925 21 00	p(1)-p(2)	b-b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Chlorfenapyr +	122453-73-0		2933 99 90	p(1)	b	
Chlorfenvinphos	470-90-6	207-432-0	2919 90 90	p(1)-p(2)	b-b	
Chlormephos	24934-91-6	246-538-1	2930 90 85	p(1)-p(2)	b-b	
Chlorobenzilate #	510-15-6	208-110-2	2918 18 00	p(1)-p(2)	b-b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Chloroform	67-66-3	200-663-8	2903 13 00	i(2)	b	
Chlozolinate +	84332-86-5	282-714-4	2934 99 90	p(1)-p(2)	b-b	
Cholecalciferol	67-97-0	200-673-2	2936 29 90	p(1)	b	
Coumafuryl	117-52-2	204-195-5	2932 29 85	p(1)-p(2)	b-b	
Creosote and creosote related substances	8001-58-9 61789-28-4 84650-04-4 90640-84-9 65996-91-0 90640-80-5 65996-85-2 8021-39-4 122384-78-5	232-287-5 263-047-8 283-484-8 292-605-3 266-026-1 292-602-7 266-019-3 232-419-1 310-191-5	2707 91 00  3807 00 90	          i(2)	          b	
Crimidine	535-89-7	208-622-6	2933 59 95	p(1)	b	
Cyanazine	21725-46-2	244-544-9	2933 69 80	p(1)-p(2)	b-b	
Cyhalothrine	68085-85-8	268-450-2	2926 90 95	p(1)	b	

Chemical	CAS No	Einecs No	CN code	Subcategory (*)	Use limitation (**)	Countries for which no notification is required
DBB (Di- $\mu$ -oxo-di-n-butylstannio-hydroxyborane/dioxastannoboretan-4-ol)	75113-37-0	401-040-5	2931 00 95	i(1)	b	
Diazinon	333-41-5	206-373-8	2933 59 10	p(1)	b	
Dichlorvos	62-73-7	200-547-7	2919 90 90	p(1)	b	
Dicofol containing < 78 % p, p'-Dicofol or 1 g/kg of DDT and DDT related compounds +	115-32-2	204-082-0	2906 29 00	p(1)-p(2)	b-b	
Dimethenamid +	87674-68-8	n.a.	2934 99 90	p(1)	b	
Dinitro-ortho-cresol (DNOC) and its salts (such as ammonium salt, potassium salt and sodium salt) #	534-52-1 2980-64-5 5787-96-2 2312-76-7	208-601-1 221-037-0 — 219-007-7	2908 99 90	p(1)-p(2)	b-b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Dinobuton	973-21-7	213-546-1	2920 90 10	p(1)-p(2)	b-b	
Dinoseb and its salts and esters #	88-85-7 and others	201-861-7 and others	2908 91 00 2915 36 00	p(1)-p(2) i(2)	b-b b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Dinoterb +	1420-07-1	215-813-8	2908 99 90	p(1)-p(2)	b-b	
Diuron	330-54-1	006-015-00	2924 21 90	p(1)	b	
Dustable powder formulations containing a combination of:			3808 99 90			Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Benomyl at or above 7 %	17804-35-2	241-775-7	2933 99 90	p(1)	b	
Carbofuran at or above 10 %	1563-66-2	216-353-0	2932 99 85	p(2)	b	
and Thiram at or above 15 % #	137-26-8	205-286-2	2930 30 00			
Endosulfan +	115-29-7	204-079-4	2920 90 85	p(1)	b	
Ethion	563-12-2	209-242-3	2930 90 85	p(1)-p(2)	b-b	
Ethylene oxide (Oxirane) #	75-21-8	200-849-9	2910 10 00	p(1)	b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Fenitrothion	122-14-5	204-524-2	2920 19 00	p(1)	b	
Fenpropathrin	39515-41-8	254-485-0	2926 90 95	p(1)-p(2)	b-b	
Fenthion +	55-38-9	200-231-9	2930 90 85	p(1)	sr	

Chemical	CAS No	Einecs No	CN code	Subcategory (*)	Use limitation (**)	Countries for which no notification is required
Fentin acetate +	900-95-8	212-984-0	2931 00 95	p(1)-p(2)	b-b	
Fentin hydroxide +	76-87-9	200-990-6	2931 00 95	p(1)-p(2)	b-b	
Fenvalerate	51630-58-1	257-326-3	2926 90 95	p(1)	b	
Ferbam	14484-64-1	238-484-2	2930 20 00	p(1)-p(2)	b-b	
Fluoroacetamide #	640-19-7	211-363-1	2924 12 00	p(1)	b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Flurenol	467-69-6	207-397-1	2918 19 85	p(1)-p(2)	b-b	
Furathiocarb	65907-30-4	265-974-3	2932 99 85	p(1)-p(2)	b-b	
Haloxyp-R + (Haloxyp-P-methyl ester)	95977-29-0 (72619-32-0)	n.a. (406-250-0)	2933 39 99 (2933 39 99)	p(1)	b	
HCH/Hexachlorocyclohexane (mixed isomers) #	608-73-1	210-168-9	2903 51 00	p(1)-p(2)	b-sr	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Hexachloroethane	67-72-1	200-666-4	2903 19 80	i(1)	sr	
Hexazinone	51235-04-2	257-074-4	2933 69 80	p(1)-p(2)	b-b	
Iminoctadine	13516-27-3	236-855-3	2925 29 00	p(1)-p(2)	b-b	
Isoxathion	18854-01-8	242-624-8	2934 99 90	p(1)	b	
Lindane ( $\gamma$ -HCH) #	58-89-9	200-401-2	2903 51 00	p(1)-p(2)	b-sr	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Malathion	121-75-5	204-497-7	2930 90 85	p(1)	b	
(a) Maleic hydrazide, and its salts, other than choline, potassium and sodium salts	123-33-1	204-619-9	2933 99 90	p(1)	b	
(b) Choline, potassium and sodium salts of maleic hydrazide containing more than 1 mg/kg of free hydrazine expressed on the basis of the acid equivalent	61167-10-0, 51542-52-0, 28330-26-9	257-261-0, 248-972-7	2933 99 90			
Mercury compounds, including inorganic mercury compounds, alkyl mercury compounds and alkyloxyalkyl and aryl mercury compounds #	10112-91-1, 21908-53-2 and others	233-307-5, 244-654-7 and others	2852 00 00	p(1)-p(2)	b-sr	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Methamidophos (Soluble liquid formulations of the substance that exceed 600 g active ingredient/l) #	10265-92-6	233-606-0	2930 50 00 3808 50 00	p(2)	b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Methidathion	950-37-8	213-449-4	2934 99 90	p(1)-p(2)	b-b	
Methyl-parathion + #	298-00-0	206-050-1	2920 11 00	p(1)-p(2)	b-b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>

Chemical	CAS No	Einecs No	CN code	Subcategory (*)	Use limitation (**)	Countries for which no notification is required
Metoxuron	19937-59-8	243-433-2	2924 21 90	p(1)-p(2)	b-b	
Monocrotophos #	6923-22-4	230-042-7	2924 12 00	p(1)-p(2)	b-b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Monolinuron	1746-81-2	217-129-5	2928 00 90	p(1)	b	
Monomethyl-dibromo-diphenyl methane Tradename: DBBT +	99688-47-8	402-210-1	2903 69 90	i(1)	b	
Monomethyl-Dichloro-Diphenyl methane; Tradename: Ugilec 121 or Ugilec 21 +	—	400-140-6	2903 69 90	i(1)-i(2)	b-b	
Monomethyl-Tetrachlorodiphenyl methane; Tradename: Ugilec 141 +	76253-60-6	278-404-3	2903 69 90	i(1)-i(2)	b-b	
Monuron	150-68-5	205-766-1	2924 21 90	p(1)	b	
Nitrofen +	1836-75-5	217-406-0	2909 30 90	p(1)-p(2)	b-b	
Nonylphenols C <sub>6</sub> H <sub>4</sub> (OH)C <sub>9</sub> H <sub>19</sub> +	25154-52-3 (phenol, nonyl-), 84852-15-3 (phenol, 4-nonyl-, branched) 11066-49-2 (isononyl-phenol), 90481-04-2, (phenol, nonyl-, branched), 104-40-5(p-nonylphenol) and others	246-672-0 284-325-5 234-284-4 291-844-0 203-199-4 and others	2907 13 00	i(1)	sr	
Nonylphenol ethoxylates (C <sub>2</sub> H <sub>4</sub> O) <sub>n</sub> C <sub>15</sub> H <sub>24</sub> O +	9016-45-9, 26027-38-3, 68412-54-4, 37205-87-1, 127087-87-0 and others		3402 13 00	i(1) p(1)-p(2)	sr b-b	
Octabromodiphenyl ether +	32536-52-0	251-087-9	2909 30 38	i(1)	sr	
Omethoate	1113-02-6	214-197-8	2930 90 85	p(1)-p(2)	b-b	
Oxydemeton-methyl +	301-12-2	206-110-7	2930 90 85	p(1)	b	
Parathion #	56-38-2	200-271-7	2920 11 00	p(1)-p(2)	b-b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>

Chemical	CAS No	Einecs No	CN code	Subcategory (*)	Use limitation (**)	Countries for which no notification is required
Pebulate	1114-71-2	214-215-4	2930 20 00	p(1)-p(2)	b-b	
Pentabromodiphenyl ether +	32534-81-9	251-084-2	2909 30 31	i(1)	sr	
Pentachlorophenol and its salts and esters #	87-86-5 and others	201-778-6 and others	2908 11 00 2908 19 00 and others	p(1)-p(2)	b-sr	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Perfluorooctane sulfonates (PFOS) C8F17SO2X (X = OH, Metal salt (O-M+), halide, amide, and other derivatives including polymers) + <sup>(a)</sup>	1763-23-1 2795-39-3 and others	n.a.	2904 90 20 2904 90 20 and others	i(1)	sr	
Permethrin	52645-53-1	258-067-9	2916 20 00	p(1)	b	
Phosalone +	2310-17-0	218-996-2	2934 99 90	p(1)	b	
Phosphamidon (soluble liquid formulations of the substance that exceed 1 000 g active ingredient/l) #	13171-21-6 (mixture, (E) & (Z) isomers) 23783-98-4 ((Z)-isomer) 297-99-4 ((E)-isomer)	236-116-5	2924 12 00 3808 50 00	p(1)-p(2)	b-b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Polybrominated biphenyls (PBB) #	13654-09-6 36355-01-8 27858-07-7 and others	237-137-2 252-994-2 248- 696-7	2903 69 90 and others	i(1)	sr	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Polychlorinated terphenyls (PCT) #	61788-33-8	262-968-2	2903 69 90	i(1)	b	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Propham	122-42-9	204-542-0	2924 29 95	p(1)	b	
Pyrazophos +	13457-18-6	236-656-1	2933 59 95	p(1)-p(2)	b-b	
Quintozene +	82-68-8	201-435-0	2904 90 85	p(1)-p(2)	b-b	
Scilliroside	507-60-8	208-077-4	2938 90 90	p(1)	b	
Simazine +	122-34-9	204-535-2	2933 69 10	p(1)	sr	
Strychnine	57-24-9	200-319-7	2939 99 00	p(1)	b	
Tecnazene +	117-18-0	204-178-2	2904 90 85	p(1)-p(2)	b-b	

Chemical	CAS No	Einecs No	CN code	Subcategory (*)	Use limitation (**)	Countries for which no notification is required
Terbufos	13071-79-9	235-963-8	2930 90 85	p(1)-p(2)	b-b	
Tetraethyl lead #	78-00-2	201-075-4	2931 00 95	i(1)	sr	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Tetramethyl lead #	75-74-1	200-897-0	2931 00 95	i(1)	sr	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Thallium sulphate	7446-18-6	231-201-3	2833 29 90	p(1)	b	
Thiocyclam	31895-22-4	250-859-2	2934 99 90	p(1)-p(2)	b-b	
Thiodicarb +	59669-26-0	261-848-7	2930 90 85	p(1)	b	
Triazophos	24017-47-8	245-986-5	2933 99 90	p(1)-p(2)	b-b	
Trichlorfon +	52-68-6	200-149-3	2931 00 95	p(1)-p(2)	b-b	
Tridemorph	24602-86-6	246-347-3	2934 99 90	p(1)-p(2)	b-b	
Triorganostannic compounds +	—	—	2931 00 95 and others	p(2) i(2)	Sr sr	
Tris (2,3-Dibromopropyl) phosphate #	126-72-7	204-799-9	2919 10 00	i(1)	sr	Please refer to PIC circular at <a href="http://www.pic.int/">www.pic.int/</a>
Tris-aziridinyl-phosphin oxide (1,1',1'-phosphoryl triaziridine) +	545-55-1	208-892-5	2933 99 90	i(1)	sr	
Vamidotion	2275-23-2	218-894-8	2930 90 85	p(1)-p(2)	b-b	
Vinclozolin	50471-44-8	256-599-6	2934 99 90	p(1)	b	
Zineb	12122-67-7	235-180-1	2930 20 00 or 3824 90 97	p(1)	b	

(\*) Subcategory: p(1) — pesticide in the group of plant protection products, p(2) — other pesticide including biocides; i(1) — industrial chemical for professional use and i(2) — industrial chemical for public use.

(\*\*) Use limitation: sr — severe restriction, b — ban (for the subcategory or subcategories concerned) according to Community legislation.

(1) Except motor fuels subject to Directive 98/70/EC of the European Parliament and of the Council of 13 October 1998 relating to the quality of petrol and diesel fuels (OJ L 350, 28.12.1998, p. 58). Directive as last amended by Regulation (EC) No 1882/2003 (OJ L 284, 31.10.2003, p. 1).

CAS No = Chemical Abstracts Service Registry Number.

# Chemical subject or partially subject to the PIC procedure.

+ Chemical qualifying for PIC notification.

(a) The entry shall apply from 27 June 2008.

## PART 2

**List of chemicals qualifying for PIC notification**

(referred to in Article 10)

This list comprises chemicals qualifying for PIC notification. It generally does not include chemicals that are already subject to the PIC procedure, which are listed in Part 3 of this Annex.

Chemical	CAS RN	Einecs No	CN code	Category (*)	Use limitation (**)
2-Naphthylamine (naphthalen-2-amine) and its salts	91-59-8, 553-00-4, 612-52-2 and others	202-080-4, 209-030-0, 210-313-6 and others	2921 45 00	i	b
4-Aminobiphenyl (biphenyl-4-amine) and its salts	92-67-1, 2113-61-3 and others	202-177-1 and others	2921 49 80	i	b
4-Nitrobiphenyl	92-92-3	202-204-7	2904 20 00	i	b
Acephate	30560-19-1	250-241-2	2930 90 85	p	b
Alachlor	15972-60-8	240-110-8	2924 29 95	p	b
Aldicarb	116-06-3	204-123-2	2930 90 85	p	sr
Amitraz	33089-61-1	251-375-4	2925 29 00	p	sr
Asbestos fibres: Chrysotile	12001-29-5 or 132207-32-0		2524 90 00	i	b
Atrazine	1912-24-9	217-617-8	2933 69 10	p	sr
Benzidine and its salts	92-87-5, 36341-27-2 and others	202-199-1, 252-984-8 and others	2921 59 90	i	sr
Benzidine derivatives	—	—			
Cadusafos	95465-99-9	n.a.	2930 90 85	p	b
Carbaryl	63-25-2	200-555-0	2924 29 95	p	b
Carbofuran	1563-66-2	216-353-0	2932 99 85	p	b
Carbosulfan	55285-14-8	259-565-9	2932 99 85	p	b
Chlorfenapyr	122453-73-0		2933 99 90	p	sr
Chlozolinate	84332-86-5	282-714-4	2934 99 90	p	b

Chemical	CAS RN	Einecs No	CN code	Category (*)	Use limitation (**)
Dicofol containing < 78 % p, p'-Dicofol or 1 g/kg of DDT and DDT related compounds	115-32-3	204-082-0	2906 29 00	p	b
Dimethenamid	87674-68-8	n.a.	2934 99 90	p	b
Dinoterb	1420-07-1	215-813-8	2908 99 90	p	b
Endosulfan	115-29-7	204-079-4	2920 90 85	p	b
Fenthion	55-38-9	200-231-9	2930 90 85	p	sr
Fentin acetate	900-95-8	212-984-0	2931 00 95	p	b
Fentin hydroxide	76-87-9	200-990-6	2931 00 95	p	b
Haloxypop-R (Haloxypop-P-methyl ester)	95977-29-0 (72619-32-0)	n.a. (406-250-0)	2933 39 99 (2933 39 99)	p	b
Methyl parathion #	298-00-0	206-050-1	2920 11 00	p	b
Monomethyl-dibromo-diphenyl methane Tradename: DBBT	99688-47-8	401-210-1	2903 69 90	i	b
Monomethyl-Dichloro-Diphenyl methane; Tradename: Ugilec 121 or Ugilec 21	—	400-140-6	2903 69 90	i	b
Monomethyl-Tetrachlorodiphenyl methane; Tradename: Ugilec 141	76253-60-6	278-404-3	2903 69 90	i	b
Nitrofen	1836-75-5	217-406-0	2909 30 90	p	b
Nonylphenols C <sub>6</sub> H <sub>4</sub> (OH)C <sub>9</sub> H <sub>19</sub>	25154-52-3 (phenol, nonyl-), 84852-15-3 (phenol, 4-nonyl-, branched), 11066-49-2 (isononylphenol), 90481-04-2, (phenol, nonyl-, branched), 104-40-5 (P-nonylphenol) and others	246-672-0 284-325-5 234-284-4 291-844-0 203-199-4 and others	2907 13 00	i	sr
Nonylphenol ethoxylates (C <sub>2</sub> H <sub>4</sub> O) <sub>n</sub> C <sub>15</sub> H <sub>24</sub> O	9016-45-9, 26027-38-3, 68412-54-4, 37205-87-1, 127087-87-0 and others		3402 13 00	i p	sr b
Octabromodiphenyl ether	32536-52-0	251-087-9	2909 30 38	i	sr

Chemical	CAS RN	Einecs No	CN code	Category (*)	Use limitation (**)
Oxydemeton-methyl	301-12-2	206-110-7	2930 90 85	p	b
Pentabromodiphenyl ether	32534-81-9	251-084-2	2909 30 31	i	sr
Perfluorooctane sulfonates (PFOS) C <sub>8</sub> F <sub>17</sub> SO <sub>2</sub> X (X = OH, Metal salt (O-M+), halide, amide, and other derivatives including polymers)	1763-23-1 2795-39-3 and others	n.a.	2904 90 20 2904 90 20 and others	i	sr
Phosalone	2310-17-0	218-996-2	2934 99 90	p	b
Pyrazophos	13457-18-6	236-656-1	2933 59 95	p	b
Quintozene	82-68-8	201-435-0	2904 90 85	p	b
Simazine	122-34-9	204-535-2	2933 69 10	p	sr
Tecnazene	117-18-0	204-178-2	2904 90 85	p	b
Thiodicarb	59669-26-0	261-848-7	2930 90 85	p	b
Trichlorfon	52-68-6	200-149-3	2931 00 95	p	b
Triorganostannic compounds, in particular tributyltin compounds, including bis (tributyltin) oxide	56-35-9 and others	200-268-0 and others	2931 00 95 and others	p	sr

(\*) Category: p — pesticides; i — industrial chemical.

(\*\*) Use limitation: sr — severe restriction, b — ban (for the category or categories concerned).

CAS No = Chemical Abstracts Service Registry Number.

# Chemical subject or partially subject to the international PIC procedure.

## PART 3

## List of chemicals subject to the PIC procedure under the Rotterdam Convention

(referred to in Articles 12 and 13)

(The categories shown are those referred to in the Convention)

Chemical	Relevant CAS number(s)	HS code Pure substance	HS code Mixtures, preparations containing substance	Category
2,4,5-T and its salts and esters	93-76-5 #	2918.91	3808.50	Pesticide
Aldrin (*)	309-00-2	2903.52	3808.50	Pesticide
Binapacryl	485-31-4	2916.19	3808.50	Pesticide
Captafol	2425-06-1	2930.50	3808.50	Pesticide
Chlordane (*)	57-74-9	2903.52	3808.50	Pesticide
Chlordimeform	6164-98-3	2925.21	3808.50	Pesticide
Chlorobenzilate	510-15-6	2918.18	3808.50	Pesticide
DDT (*)	50-29-3	2903.62	3808.50	Pesticide
Dieldrin (*)	60-57-1	2910.40	3808.50	Pesticide
Dinitro-ortho-cresol (DNOC) and its salts (such as ammonium salt, potassium salt and sodium salt)	534-52-1, 2980-64-5, 5787-96-2, 2312-76-7	2908.99	3808.91 3808.92 3808.93	Pesticide
Dinoseb and its salts and esters	88-85-7 #	2908.91	3808.50	Pesticide
1,2-dibromoethane (EDB)	106-93-4	2903.31	3808.50	Pesticide
Ethylene dichloride (1,2-dichloroethane)	107-06-2	2903.15	3808.50	Pesticide
Ethylene oxide	75-21-8	2910.10	3808.50 3824.81	Pesticide
Fluoroacetamide	640-19-7	2924.12	3808.50	Pesticide
HCH (mixed isomers)	608-73-1	2903.51	3808.50	Pesticide
Heptachlor (*)	76-44-8	2903.52	3808.50	Pesticide
Hexachlorobenzene (*)	118-74-1	2903.62	3808.50	Pesticide

Chemical	Relevant CAS number(s)	HS code Pure substance	HS code Mixtures, preparations containing substance	Category
Lindane	58-89-9	2903.51	3808.50	Pesticide
Mercury compounds, including inorganic mercury compounds, alkyl mercury compounds and alkyloxyalkyl and aryl mercury compounds	10112-91-1, 21908-53-2 and others See also: <a href="http://www.pic.int/">www.pic.int/</a>	2852.00	3808.50	Pesticide
Monocrotophos	6923-22-4	2924.12	3808.50	Pesticide
Parathion	56-38-2	2920.11	3808.50	Pesticide
Pentachlorophenol and its salts and esters	87-86-5 #	2908.11 2908.19	3808.50 3808.91 3808.92 3808.93 3808.94 3808.99	Pesticide
Toxaphene (*)	8001-35-2	—	3808.50	Pesticide
Dustable powder formulations containing a combination of: Benomyl at or above 7 %, Carbofuran at or above 10 % and Thiram at or above 15 %	17804-35-2 1563-66-2 137-26-8	—	3808.92	Severely hazardous pesticide formulation
Methamidophos (soluble liquid formulations of the substance that exceed 600 g active ingredient/l)	10265-92-6	2930.50	3808.50	Severely hazardous pesticide formulation
Methyl-parathion (emulsifiable concentrates (EC) at or above 19,5 % active ingredient and dusts at or above 1,5 % active ingredient)	298-00-0	2920.11	3808.50	Severely hazardous pesticide formulation
Phosphamidon (soluble liquid formulations of the substance that exceed 1 000 g active ingredient/l)		2924.12	3808.50	Severely hazardous pesticide formulation
Mixture (E) & (Z) isomers	13171-21-6			
(Z)-isomer	23783-98-4			
(E)-isomer	297-99-4			

Chemical	Relevant CAS number(s)	HS code Pure substance	HS code Mixtures, preparations containing substance	Category
Asbestos fibres:		2524.10 2524.90	6811.40 6812.80 6812.91 6812.92 6812.93 6812.99 6813.20	Industrial
Crocidolite	12001-28-4	2524.10		
Actinolite	77536-66-4	2524.90		
Anthophyllite	77536-67-5	2524.90		
Amosite	12172-73-5	2524.90		
Tremolite	77536-68-6	2524.90		
Polybrominated biphenyls (PBB)				
— (hexa-)	36355-01-8	—	3824.82	Industrial
— (octa-)	27858-07-7			
— (deca-)	13654-09-6			
Polychlorinated biphenyls (PCB) (*)	1336-36-3	—	3824.82	Industrial
Polychlorinated terphenyls (PCT)	61788-33-8	—	3824.82	Industrial
Tetraethyl lead	78-00-2	2931.00	3811.11	Industrial
Tetramethyl lead	75-74-1	2931.00	3811.11	Industrial
Tris (2,3-dibromopropyl) phosphate	126-72-7	2919.10	3824.83	Industrial

(\*) These substances are subject to an export ban in accordance with the provisions of Article 14(2) of and Annex V to this Regulation.

# Only the CAS numbers of parent compounds are listed.

## ANNEX II

## EXPORT NOTIFICATION

Information required pursuant to Article 7

1. Identity of the substance to be exported:
  - (a) name in nomenclature of the International Union of Pure and Applied Chemistry;
  - (b) other names (e.g. ISO name, usual names, trade names, and abbreviations);
  - (c) European Inventory of Existing Chemical Substances (Einecs) number and Chemical Abstracts Services (CAS) number;
  - (d) CUS number (European Customs Inventory of Chemical Substances) and Combined Nomenclature code;
  - (e) main impurities of the substance, when particularly relevant.
2. Identity of the preparation to be exported:
  - (a) trade name and/or designation of the preparation;
  - (b) for each substance listed in Annex I, percentage and details as specified under item 1;
  - (c) CUS number (European Customs Inventory of Chemical Substances) and Combined Nomenclature code.
3. Identity of the article to be exported:
  - (a) trade name and/or designation of the article;
  - (b) for each substance listed in Annex I, percentage and details as specified under item 1.
4. Information on the export:
  - (a) country of destination;
  - (b) country of origin;
  - (c) expected date of first export this year;
  - (d) estimated amount of the chemical to be exported to the country concerned this year;
  - (e) intended use in the country of destination, if known, including information on the category(ies) under the Rotterdam Convention under which the use falls;
  - (f) name, address and other relevant particulars of the importer or importing company;
  - (g) name, address and other relevant particulars of the exporter or exporting company.
5. Designated national authorities:
  - (a) the name, address, telephone and telex, fax number or e-mail of the designated authority in the European Union from which further information may be obtained;
  - (b) the name, address, telephone and telex, fax number or e-mail of the designated authority in the importing country.
6. Information on precautions to be taken, including category of danger and risk and safety advice.
7. A summary on physicochemical, toxicological and ecotoxicological properties.

8. Use of the chemical in the European Union:
    - (a) uses, category(ies) under the Rotterdam Convention and Community subcategory(ies) subject to control measure (ban or severe restriction);
    - (b) uses for which the chemical is not severely restricted or banned  
(use categories and subcategories as defined in Annex I of the Regulation);
    - (c) estimation, where available, of quantities of the chemical produced, imported, exported and used.
  9. Information on precautionary measures to reduce exposure to, and emission of, the chemical.
  10. Summary of regulatory restrictions and reasons for them.
  11. Summary of information given in Annex IV under point 2(a), (c) and (d).
  12. Additional information provided by the exporting Party because considered of concern or further information specified in Annex IV when requested by the importing Party.
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## ANNEX III

**Information to be supplied to the Commission by the designated national authorities of the Member States in accordance with Article 9**

1. Summary of quantities of chemicals (in the form of substances, preparations and articles) subject to Annex I exported during the previous year.
  - (a) Year in which exports took place.
  - (b) Table summarising quantities of exported chemicals (in the form of substances, preparations and articles) as outlined below.

Chemical	Importing country	Quantity of substance
...		
...		
...		

2. List of importers

Chemical	Importing country	Importer or importing company	Address and other relevant particulars of the importer or the importing company

## ANNEX IV

**Notification of the Secretariat of the Convention of a banned or severely restricted chemical****Information requirements for notifications pursuant to Article 10**

Notifications shall include:

1. properties, identification and uses
  - (a) common name;
  - (b) chemical name according to an internationally recognised nomenclature (for example International Union of Pure and Applied Chemistry (IUPAC)), where such nomenclature exists;
  - (c) trade names and names of preparations;
  - (d) code numbers: Chemical Abstracts Service (CAS) number, Harmonised System Customs Code and other numbers;
  - (e) information on hazard classification, where the chemical is subject to classification requirements;
  - (f) use or uses of the chemical:
    - in the European Union,
    - elsewhere (if known);
  - (g) the physicochemical, toxicological and ecotoxicological properties;
2. final regulatory action
  - (a) information specific to the final regulatory action:
    - (i) summary of the final regulatory action;
    - (ii) reference to the regulatory document;
    - (iii) date of entry into force of the final regulatory action;
    - (iv) indication of whether the final regulatory action was taken on the basis of a risk or hazard evaluation and, if so, information on such an evaluation, covering a reference to the relevant documentation;
    - (v) reasons for the final regulatory action relevant to human health, including the health of consumers and workers, or the environment;
    - (vi) summary of the hazards and risks presented by the chemical to human health, including the health of consumers and workers, or the environment and the expected effect of the final regulatory action;
  - (b) category or categories where the final regulatory action has been taken, and for each category:
    - (i) use or uses prohibited by the final regulatory action;
    - (ii) use or uses that remain allowed;
    - (iii) estimation, where available, of quantities of the chemical produced, imported, exported and used;
  - (c) an indication, to the extent possible, of the likely relevance of the final regulatory action to other States and regions;

- (d) other relevant information that may cover:
    - (i) assessment of socioeconomic effects of the final regulatory action;
    - (ii) information on alternatives and their relative risks, where available, such as:
      - integrated pest management strategies,
      - industrial practices and processes, including cleaner technology.
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## ANNEX V

**Chemicals and articles subject to export ban**

(referred to in Article 14)

**Part 1**

Persistent organic pollutants as listed in Annexes A and B of the Stockholm Convention on Persistent Organic Pollutants according to the provisions thereof.

Description of chemicals/article(s) subject to export ban	Additional details, where relevant (e.g. name of chemical, EC No, CAS No, etc.)	
	Aldrin	EC No 206-215-8, CAS No 309-00-2, CN code 2903 52 00
	Chlordane	EC No 200-349-0, CAS No 57-74-9, CN code 2903 52 00
	Dieldrin	EC No 200-484-5, CAS No 60-57-1, CN code 2910 40 00
	DDT (1,1,1-trichloro-2,2-bis (p-chlorophenyl) ethane	EC No 200-024-3, CAS No 50-29-3, CN code 2903 62 00
	Endrin	EC No 200-775-7, CAS No 72-20-8, CN code 2910 90 00
	Heptachlor	EC No 200-962-3, CAS No 76-44-8, CN code 2903 52 00
	Hexachlorobenzene	EC No 200-273-9, CAS No 118-74-1, CN code 2903 62 00
	Mirex	EC No 219-196-6, CAS No 2385-85-5, CN code 2903 59 80
	Toxaphene (camphechlor)	EC No 232-283-3, CAS No 8001-35-2, CN code 3808 50 00
	Polychlorinated biphenyls (PCBs)	EC No 215-648-1 and others, CAS No 1336-36-3 and others, CN code 2903 69 90

**Part 2**

Chemicals other than persistent organic pollutants as listed in Annexes A and B of the Stockholm Convention on Persistent Organic Pollutants according to the provisions thereof.

Description of chemicals/article(s) subject to export ban	Additional details, where relevant (e.g. name of chemical, EC No, CAS No, etc.)	
Cosmetic soaps containing mercury	CN codes 3401 11 00, 3401 19 00, 3401 20 10, 3401 20 90, 3401 30 00	

## ANNEX VI

**List of Parties to the Convention requiring information concerning transit movements of chemicals subject to the PIC procedure**

(referred to in Article 15)

Country	Required information