

**CALLS FOR PROPOSALS FOR INDIRECT RTD ACTIONS UNDER THE SPECIFIC PROGRAMME FOR RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION: 'INTEGRATING AND STRENGTHENING THE EUROPEAN RESEARCH AREA'**

**Activity: thematic priority area: information society technologies**

**Call identifier: FP6-2003-IST-2**

(2003/C 141/11)

1. In accordance with Decision No 1513/2002/EC of the European Parliament and of the Council, of 27 June 2002, concerning the sixth framework programme of the European Community for research, technological development and demonstration activities contributing to the creation of the European Research Area and to innovation (2002 to 2006) <sup>(1)</sup>, the Council adopted on 30 September 2002 the specific programme for research, technological development and demonstration: 'Integrating and strengthening the European Research Area' (2002 to 2006) <sup>(2)</sup> (referred to as the specific programme).

In accordance with Article 5(1) of the specific programme, the Commission of the European Communities (referred to as the Commission) has adopted on 9 December 2002 a work programme <sup>(3)</sup> (referred to as the work programme) setting out in greater detail the objectives and scientific and technological priorities of the specific programme, and the timetable for implementation.

In accordance with Article 9(1) of the Regulation of the European Parliament and of the Council, of 5 November 2002, concerning the rules for the participation of undertakings, research centres and universities in, and for the dissemination of research results for, the implementation of the European Community sixth framework programme (2002 to 2006) <sup>(4)</sup> (referred to as the rules for participation), proposals for indirect RTD actions should be submitted under the terms of calls for proposals.

2. The present call for proposals for indirect RTD actions comprise the present general part and the specific conditions that are described in the annex. The annex indicates in particular, the date of closure for the submission of proposals for indirect RTD actions, an indicative date for the completion of the evaluations, the indicative budget, the instruments and the areas concerned, the evaluation criteria for the evaluation of proposals for indirect RTD actions, the minimum number of participants, and any applicable restrictions.

3. Natural or legal persons fulfilling the conditions stated in the rules for participation and that do not fall under any of the exclusion cases in the rules for participation or in Article 114(2) of the Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities <sup>(5)</sup> (referred to as the proposers) are invited to submit to the Commission proposals for indirect RTD actions subject to the conditions in the rules for participation and in the call concerned being fulfilled.

The European Community has adopted an equal opportunities policy and, on this basis, women are particularly encouraged to either submit proposals for indirect RTD actions or participate in the submission of proposals for indirect RTD actions.

4. The Commission makes available to proposers guides for proposers relating to the call which contain information on the preparation and the submission of a proposal for an indirect RTD action. These guides, as well as the work programme and other information relating to this call, can be obtained from the Commission via the following addresses:

European Commission  
The IST Information Desk  
Directorate General INFSO  
B-1049 Brussels  
E-mail address: [ist@cec.eu.int](mailto:ist@cec.eu.int)  
Internet address: [www.cordis.lu/ist](http://www.cordis.lu/ist)

5. Proposals for indirect RTD actions are submitted preferably in electronic format, via the electronic proposal submission system (EPSS). With this software tool, proposals for indirect RTD actions may be prepared off-line or on-line and submitted on-line. Proposals prepared with the EPSS off-line version can also be printed on paper and submitted together with an electronic version on CD-ROM or on diskette

The EPSS software tool is available via the Cordis website [www.cordis.lu](http://www.cordis.lu).

Proposals for indirect RTD actions may also be prepared and submitted using the forms in the guide for proposers (referred to as on paper).

<sup>(1)</sup> OJ L 232, 29.8.2002, p. 1.

<sup>(2)</sup> OJ L 294, 29.10.2002, p. 1.

<sup>(3)</sup> Commission Decision C(2002) 4789, unpublished.

<sup>(4)</sup> OJ L 355, 30.12.2002, p. 35.

<sup>(5)</sup> OJ L 248, 16.9.2002, p. 1.

6. Proposals for indirect RTD actions submitted on CD-ROM, diskette, or paper by post must be received by the Commission at the following address, and labelled as follows:

'FP6 — Research Proposal'  
Call identifier: FP6-2003-IST-2  
European Commission  
B-1049 Brussels.

For deliveries by hand or by representatives (including by private courier <sup>(6)</sup>), the delivery should be to the following address, and labelled as follows:

'FP6 — Research Proposal'  
Call identifier: FP6-2003-IST-2  
European Commission  
Rue de Genève/Genèvestraat 1  
B-1140 Brussels.

In both cases, proposals for indirect actions submitted on CD-ROM or on diskette must be accompanied, in the same envelope, by an identical paper version.

Proposals for indirect RTD actions submitted either on CD-ROM or on diskette which are incomplete <sup>(7)</sup>, illegible <sup>(8)</sup> or contain viruses will be excluded if a complete corresponding paper version has not been supplied.

Proposals for indirect RTD actions may be submitted on-line via the Cordis website [www.cordis.lu](http://www.cordis.lu).

Proposals for indirect RTD actions that are submitted on-line and which are incomplete <sup>(9)</sup>, illegible <sup>(10)</sup> or contain viruses will be excluded.

Proposals for indirect RTD actions that are submitted on paper and which are incomplete <sup>(11)</sup> will be excluded.

Proposals for indirect RTD actions submitted by e-mail <sup>(12)</sup> or by fax will be excluded.

7. Proposals for indirect RTD actions have to reach the Commission at the latest on the closure date and at the time specified in the call concerned. Proposals for indirect RTD actions arriving after this date and time will be excluded.

If foreseen in the relevant call, they will be taken up in the framework of a future evaluation.

8. In the case of successive submissions of a proposal in the same format, the Commission will examine the last version received before the closure date and time specified in the call concerned.

In the case where the same proposal for an indirect RTD action is submitted on paper and electronic format (CD-ROM, diskette, on-line), the Commission will only examine the text submitted under the electronic formats.

9. In all correspondence relating to the concerned call (eg, when requesting information, or submitting a proposal for an indirect RTD action), proposers are invited to cite the relevant call identifier.

<sup>(6)</sup> Users of courier services that ask for the recipient's telephone number should give the following number: (32-2) 295 58 75 (Mr J-C Debouvere).

<sup>(7)</sup> All proposals for indirect RTD actions must contain two parts: the forms (part A) and the content (part B).

<sup>(8)</sup> Proposals for indirect RTD actions should be submitted in PDF (portable document format, version 3 or higher with embedded fonts) or RTF (rich text format).

<sup>(9)</sup> See footnote 7.

<sup>(10)</sup> See footnote 8.

<sup>(11)</sup> See footnote 7.

<sup>(12)</sup> This does not include proposals for indirect RTD actions that have been submitted on-line.

## ANNEX

1. **Specific Programme:** integrating and strengthening the European Research Area
2. **Activity:** thematic priority area: information society technologies
3. **Call title:** Call 2 of the IST priority
4. **Call identifier:** FP6-2003-IST-2
5. **Date of publication:** 17.6.2003.
6. **Closure dates:** 15.10.2003 at 17.00 (Brussels local time)
7. **Total indicative budget:** EUR 525 million
8. **Distribution of budget per area:** 80 % of the budget of this call is pre-distributed on the different areas covered including each of the strategic objectives, FET, research networking test beds and general accompanying actions. This provides an indication of the effort that will be devoted to each of these areas. The remaining 20 % are not pre-allocated to a specific area. They will be allocated after the call based on the quality of proposals and the relevance of the suggested work. The table in point 10 shows the distribution of the pre-allocated budgets per area.
9. **Distribution per instrument:** the overall indicative budget distribution between instruments is:  $\frac{2}{3}$  of the budget for the new instruments (NoEs and IPs) and  $\frac{1}{3}$  for the traditional instruments.
10. **Areas addressed in the call:** The table below indicates the areas open and the distribution of the pre-allocated budget on these areas:

	Instruments <sup>(1)</sup>	Indicative pre-allocated budget <sup>(2)</sup>
<b>1. Strategic Objectives</b>		
2.3.2.1. Advanced displays	All	25
2.3.2.2. Optical, opto-electronic, photonic functional components	All	45
2.3.2.3. Open development platforms for software and services	All	55
2.3.2.4. Cognitive systems	All	25
2.3.2.5. Embedded systems	All	50
2.3.2.6. Applications and Services for the Mobile User and worker	All	60
2.3.2.7. Cross-media content for leisure and entertainment	All	55
2.3.2.8. GRID-based Systems and solving complex problems	All	45
2.3.2.9. Improving Risk management	All	30
2.3.2.10. Inclusion	All	30

	Instruments <sup>(1)</sup>	Indicative pre-allocated budget <sup>(2)</sup>
<b>2. Research Networking</b>		
2.3.5. Research Networking test beds	All	25
<b>3. General accompanying actions</b>		
2.3.6. General Accompanying actions	SSA; CAS	8

<sup>(1)</sup> IP = integrated project; NoE = network of excellence; STREP = specific targeted research project; CA = coordination action; SSA = specific support action.

<sup>(2)</sup> The amounts correspond to the 80 % of the budget which is pre-allocated.

11. **Minimum number of participants <sup>(1)</sup>:**

Instrument	Minimum number
IPs, NoEs, STREPs, CAs	Three independent legal entities from three different MS or AS, with at least two MS or ACC
Specific support actions	One legal entity

12. **Restriction to participation:** none.

13. **Consortium agreement:** participants in RTD actions resulting from this call are required to conclude a consortium agreement.

14. **Evaluation procedure:**

- the evaluation shall follow a one stage procedure,
- proposals will not be evaluated anonymously.

15. **Evaluation criteria:** see the section on evaluation criteria in paragraph 2.5 of this workprogramme and the weights and thresholds below.

16. **Indicative evaluation and selection delays:** evaluation results: 2 months after the relevant closure date.

<sup>(1)</sup> MS = Member States of the EU; AS (including ACC) = Associated States; ACC: associated candidate countries. Any legal entity established in a Member State or associated State and which is made up of the requested number of participant may be the sole participant in an indirect action.