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COMMISSION OF THE EUROPEAN COMMUNITIES

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SEC(2009) 496 final

**PRELIMINARY DRAFT AMENDING BUDGET N° 4  
TO THE GENERAL BUDGET 2009**

**STATEMENT OF EXPENDITURE BY SECTION  
Section III - Commission**

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Having regard to:

- the Treaty establishing the European Community, and in particular Article 272 thereof,
- the Treaty establishing the European Atomic Energy Community, and in particular Article 177 thereof,
- the Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities<sup>1</sup>, as last amended by Council Regulation (EC) No 1525/2007<sup>2</sup>, and in particular Article 37 thereof,

The European Commission hereby presents to the budgetary authority the Preliminary Draft Amending Budget No 4 to the 2009 budget.

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<sup>1</sup> OJ L 248, 16.9.2002, p. 1.  
<sup>2</sup> OJ L 343, 27.12.2007, p. 9.

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### **CHANGES TO THE STATEMENT OF EXPENDITURE BY SECTION**

The changes to the statement of expenditure by section are forwarded separately via the SEI-BUD system. An English version of the changes to the statement of expenditure by section is attached for information as a budgetary annex.

## 1. INTRODUCTION

Preliminary Draft Amending Budget (PDAB) No 4 for the year 2009 concerns the translation into the Community budget of the political agreement reached on the financing of the European Economic Recovery Plan:

- For energy projects to aid economic recovery, commitment appropriations of EUR 2 billion and payment appropriations of EUR 76.2 million are proposed for budget 2009.
- For broadband internet infrastructure in rural areas and CAP Health Check "new challenges", commitment appropriations of EUR 0.6 billion are proposed for budget 2009.

In order to accommodate these increases, the Commission has submitted a proposal to revise the ceilings of the multi-annual financial framework for Headings 1a and 2.

## 2. ENERGY PROJECTS TO AID ECONOMIC RECOVERY

On 28 January 2009, the Commission presented its Communication "Investing today for tomorrow's Europe"<sup>3</sup>. This was accompanied by a proposal for a Regulation establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy<sup>4</sup>.

Following the political agreement reached on the financing of this initiative, it is proposed to create a new budget article 06 04 14 — Energy projects to aid economic recovery, and four new budget items, as follows:

| <b>Nomenclature</b> | <b>Heading</b>                                                                      | <b>Commitment Appropriations 2009 (in euro)</b> | <b>Payment Appropriations 2009 (in euro)</b> |
|---------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------|
|                     | <b>Energy projects to aid economic recovery</b>                                     | <b>2 000 000 000</b>                            | <b>76 200 000</b>                            |
| 06 01 04 13         | Energy projects to aid economic recovery — Expenditure on administrative management | 1 200 000                                       | 1 200 000                                    |
| 06 04 14 01         | Energy projects to aid economic recovery — Energy networks                          | 1 188 400 000                                   | 75 000 000                                   |
| 06 04 14 02         | Energy projects to aid economic recovery — Carbon Capture and Storage (CCS)         | 526 400 000                                     |                                              |
| 06 04 14 03         | Energy projects to aid economic recovery — European Offshore wind grid system       | 284 000 000                                     |                                              |

These amounts will be entered in the corresponding reserves, pending the adoption of the legal basis.

<sup>3</sup> COM(2009) 36 final.

<sup>4</sup> COM(2009) 35 final.

### **3. BROADBAND INFRASTRUCTURE AND CAP "HEALTH CHECK"**

The Commission has also proposed<sup>5</sup> to amend Regulation (EC) No 1698/2005 on support for rural development by the European Agricultural Fund for Rural Development (EARDF) and Decision 2006/493/EC laying down the amount of Community support for rural development for the period from 1 January 2007 to 31 December 2013, its annual breakdown and the minimum amount to be concentrated in regions eligible under the Convergence Objective.

Accordingly, it is now proposed to increase budget item 05 04 05 01 Rural Development Programmes by EUR 0.6 billion in commitment appropriations for 2009.

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<sup>5</sup> COM(2009) 38 final.

### SUMMARY TABLE BY HEADING OF THE FINANCIAL FRAMEWORK

| Financial framework<br>Heading/subheading                  | 2009 Financial framework |                        | Budget 2009<br>(including AB 1 and PDAB 2 to 3) |                                                | PDAB 4/2009          |                   | Budget 2009<br>(including AB 1 and PDAB 2 to 4) |                                                |
|------------------------------------------------------------|--------------------------|------------------------|-------------------------------------------------|------------------------------------------------|----------------------|-------------------|-------------------------------------------------|------------------------------------------------|
|                                                            | CA                       | PA                     | CA                                              | PA                                             | CA                   | PA                | CA                                              | PA                                             |
| <b>1. SUSTAINABLE GROWTH</b>                               |                          |                        |                                                 |                                                |                      |                   |                                                 |                                                |
| 1a. Competitiveness for growth and employment              | 13 272 000 000           |                        | 11 768 997 000                                  | 11 024 385 513                                 | 2 000 000 000        | 76 200 000        | 13 768 997 000                                  | 11 100 585 513                                 |
| 1b. Cohesion for growth and employment                     | 48 428 000 000           |                        | 48 426 884 669                                  | 34 963 348 789                                 |                      |                   | 48 426 884 669                                  | 34 963 348 789                                 |
| <b>Total Margin<sup>6</sup></b>                            | <b>61 700 000 000</b>    |                        | <b>60 195 881 669</b><br><i>2 004 118 331</i>   | <b>45 987 734 302</b>                          | <b>2 000 000 000</b> | <b>76 200 000</b> | <b>62 195 881 669</b><br><i>4 118 331</i>       | <b>46 063 934 302</b>                          |
| <b>2. PRESERVATION AND MANAGEMENT OF NATURAL RESOURCES</b> |                          |                        |                                                 |                                                |                      |                   |                                                 |                                                |
| Of which market related expenditure and direct payments    | 46 679 000 000           |                        | 41 131 356 325                                  | 41 083 823 325                                 |                      |                   | 41 131 356 325                                  | 41 083 823 325                                 |
| <b>Total Margin</b>                                        | <b>57 639 000 000</b>    |                        | <b>56 121 437 011</b><br><i>1 517 562 989</i>   | <b>52 566 129 680</b>                          | <b>600 000 000</b>   |                   | <b>56 721 437 011</b><br><i>917 562 989</i>     | <b>52 566 129 680</b>                          |
| <b>3. CITIZENSHIP, FREEDOM, SECURITY AND JUSTICE</b>       |                          |                        |                                                 |                                                |                      |                   |                                                 |                                                |
| 3a. Freedom, Security and Justice                          | 872 000 000              |                        | 863 925 000                                     | 617 440 000                                    |                      |                   | 863 925 000                                     | 617 440 000                                    |
| 3b. Citizenship                                            | 651 000 000              |                        | 662 748 377                                     | 690 745 377                                    |                      |                   | 662 748 377                                     | 690 745 377                                    |
| <b>Total Margin<sup>7</sup></b>                            | <b>1 523 000 000</b>     |                        | <b>1 526 673 377</b><br><i>8 112 000</i>        | <b>1 308 185 377</b>                           |                      |                   | <b>1 526 673 377</b><br><i>8 112 000</i>        | <b>1 308 185 377</b>                           |
| <b>4. EU AS A GLOBAL PLAYER<sup>8</sup></b>                | <b>7 440 000 000</b>     |                        | <b>8 103 930 360</b><br><i>-419 930 360</i>     | <b>8 324 169 158</b>                           |                      |                   | <b>8 103 930 360</b><br><i>-419 930 360</i>     | <b>8 324 169 158</b>                           |
| <b>5. ADMINISTRATION<sup>9</sup></b>                       | <b>7 699 000 000</b>     |                        | <b>7 700 730 900</b><br><i>76 269 100</i>       | <b>7 700 730 900</b>                           |                      |                   | <b>7 700 730 900</b><br><i>76 269 100</i>       | <b>7 700 730 900</b>                           |
| <b>6. COMPENSATION</b>                                     | <b>210 000 000</b>       |                        | <b>209 112 912</b><br><i>887 088</i>            | <b>209 112 912</b>                             |                      |                   | <b>209 112 912</b><br><i>887 088</i>            | <b>209 112 912</b>                             |
| <b>TOTAL Margin</b>                                        | <b>136 211 000 000</b>   | <b>121 901 000 000</b> | <b>133 857 766 229</b><br><i>3 187 019 148</i>  | <b>116 096 062 329</b><br><i>8 095 723 048</i> | <b>2 600 000 000</b> | <b>76 200 000</b> | <b>136 457 766 229</b><br><i>587 019 148</i>    | <b>116 172 262 329</b><br><i>8 019 523 048</i> |

<sup>6</sup> The European Globalisation adjustment Fund (EGF) is not included in the calculation of the margin under Heading 1a.

<sup>7</sup> The European Union Solidarity Fund (EUSF) amount is entered over and above the relevant headings as foreseen by the IIA of 17 May 2006 (OJ C 139 of 14.6.2006)

<sup>8</sup> The 2009 margin for heading 4 does not take into account the appropriations related to the Emergency Aid Reserve, or the mobilisation of the Flexibility Instrument.

<sup>9</sup> For calculating the margin under the ceiling for heading 5, account is taken of the footnote (1) of the financial framework 2007-2013 for an amount of EUR 78 million for the staff contributions to the pension scheme.