

# COMMISSION OF THE EUROPEAN COMMUNITIES

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Brussels, 6 December 1991

Proposal for a  
COUNCIL REGULATION (EEC)

laying down provisions with regard to possession of and trade  
in specimens of species of wild fauna and flora

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(presented by the Commission)

Explanatory Memorandum

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1. History

- 1.1. The Community's trade in wild fauna and flora is currently regulated by Council Regulation (EEC) No 3626/82 on the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)(1).
- 1.2. The Regulation entered into force on 1 January 1984 and its principle objective was the protection of wild fauna and flora from the possible negative effects of trade on their conservation status. Its provisions are therefore intended to ensure that the commercial policy instruments employed under CITES are uniformly applied at the Community level.
- 1.3. A secondary objective was to avoid the effects of disparate national CITES implementation measures on the free movement of goods within the Community and which led to a distortion of competition.
- 1.4. In addition to the measures to be implemented under the provisions of CITES, the unfavourable conservation status and/or the high level of trade in many species, made it necessary for the Community to adopt a number of stricter measures.

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(1) OJ No L 384, 31.12.1982, p. 1.

The species listed in Annex C, part 1, of the Regulation are considered as species listed in CITES Appendix I and the species in Annex C, part 2, are subject to stricter import conditions than those provided for in CITES. Where CITES only prescribes the issue of import permits for Appendix I species, the Regulation provides that such permits are required for the import of any specimen falling under its provisions.

- 1.5. An important aspect of the Regulation is that it does not only concern trade in wild fauna and flora with third countries, but that it also lays down a number of prohibitions with regard to commercial activities within the Community.

## 2. The need to replace Regulation 3626/82

- 2.1. At the time of adoption of Regulation 3626/82, in December 1982, only five Member States were a party to CITES and there was no practical common experience in the field of controlling the trade in wild fauna and flora. The Committee, established under Article 19 of the Regulation, soon identified and discussed an increasing number of shortcomings of the Regulation and of problems related to its implementation and enforcement.
- 2.2. In 1986, the Commission contracted the Wildlife Trade Monitoring Unit of IUCN's World Conservation Monitoring Centre to report on the implementation and enforcement of the Regulation. This resulted, in September 1988, in a comprehensive review of the issues involved and a great number of recommendations for improvements.

- 2.3. On 20 November 1986, the Committee on the Environment, Public Health and Consumer Protection of European Parliament organized a public hearing on the Implementation of CITES in the Community. On 12 October 1988, European Parliament adopted a Resolution containing 41 recommendations for improving the situation.
  - 2.4. The present proposal for a Regulation takes account of the recommendations referred to above and of the discussions thereof by the Committee on the Convention.
  - 2.5. Apart from the need to adapt Regulation 3626/82 to current standards of legislation on trade in wildlife, it is necessary to adapt its provisions to the establishment of the internal market as of 1 January 1993. On the basis of Article 15 of Regulation 3626/82 - and because of the Regulation's deficiencies - Member States have maintained and taken an increasing number of stricter measures with regard to their trade in a great many species - both covered and not covered by the Regulation - thereby creating trade barriers between themselves which are not compatible with the proper functioning of the internal market and can therefore not be maintained.
3. The main administrative and technical deficiencies of Regulation 3626/82 and how these are remedied by the proposed Regulation
- 3.1. The text of Regulation 3626/82 is not clear with respect to obligations deriving from the Convention which it does not specifically address. Although it provides that the Convention shall apply throughout the Community, it only contains the provisions which needed to be worded differently for the purposes of implementation by the Community.

This has led to serious differences in the interpretation of the provisions of the Convention, particularly in cases where Resolutions of the Conference of the Parties to CITES are concerned, most of which the Regulation does not address at all.

The present proposal deals with all aspects of the implementation of CITES and of the proposed stricter Community measures, either directly or indirectly, i.e. in the proposed Council Regulation itself or by providing for the adoption of further implementation measures by the Commission in accordance with a committee procedure.

- 3.2. A main flaw of Regulation 3626/82 is the fact that it is limited to species listed in the CITES Appendices. This limited scope has had a serious impact on the Community's ability to take measures with regard to the numerous animal and plant species which - although not covered by CITES - require that threats posed to their conservation status by trade are prevented or brought under effective control.

The proposed Regulation therefore provides for the possibility to list any species of wild fauna and flora in its Annexes in accordance with its conservation status and a matching level of protection.

- 3.3. In addition to the above limitation, the procedure for transferring species from one Annex to another is such that no adequate adaptation is possible.

The proposed Regulation therefore allows for amendments to the Annexes to be made through a Commission Regulation in accordance with a committee procedure and thereby guarantees that appropriate conservation action can be taken quickly.

#### 4. The legal basis of the proposed Regulation

- 4.1. The proposed Regulation contains provisions with regard to restrictions and the control of the possession of and trade in specimens of species of wild fauna and flora within the Community. This is necessary to safeguard an adequate protection of the species concerned as well as a proper functioning of the internal market.
- 4.2. The proposed Regulation further contains provisions for prohibiting, restricting or monitoring the import from and the export and re-export to third countries. The measures concerned provide the uniform principles for the common commercial policy in the domain covered by the Regulation.
- 4.3. In view of the above, the Regulation is to be based on Articles 100a and 113 of the Treaty.

5. The scope and main features of the proposed Regulation

- 5.1. The species of wild fauna and flora covered by the Regulation  
The functioning of the proposed Regulation is based on the different levels of protection and monitoring required by the conservation and trade status of wild animal and plant species. In view of these different requirements the Regulation contains five lists of species to which different sets of trade conditions apply:
  - 5.1.1. Annex A contains the species listed in Appendix I to the Convention and other species which are rare or threatened with extinction, as well as species whose listing in this Annex is essential for the effective protection of such rare or threatened species. It further contains species, mainly indigenous to the Community, for which other Community legislation prohibits the taking or trade.

5.1.2. Annex B contains species listed in CITES Appendix II (unless they are listed in Annex A or C) and other species which are subject to trade levels that might not be compatible with their survival or the survival of populations of them. It also lists species which are similar in appearance to species listed in Annex A or B and who therefore have to be listed to ensure effective trade controls. Annex B further contains species whose role in the ecosystems in which they occur is crucial for the maintenance of population levels of other species listed in Annex A or B. Species for which other Community legislation regulates, but not prohibits, the taking or the trade, are also listed in Annex B. Species can also be included where live specimens are unlikely to survive shipment or to survive in captivity for a considerable proportion of their potential lifespan, or who are known to form an ecological threat to indigenous species if they were introduced into nature in the Community.

5.1.3. Annex C contains species listed in CITES Appendix III, which are not listed in Annex A, B or D.

5.1.4. Annex D lists species of wild fauna and flora, trade in which could adversely affect their conservation status.

5.1.5. Annex E is to contain species which are indigenous to specified Member States and which are listed in support of protective measures in the Member States concerned.

5.2. The conditions for import and (re-)export of species listed in the Annexes

5.2.1. Specimens of Annex A species

5.2.1.1. Introduction into the Community

This requires the prior issue and presentation of an import permit.

Permitting the introduction should not result in a detrimental effect on the conservation status of the species in a country of origin and must be for non-detrimental and non-commercial purposes. There must be documentary evidence that the specimens have been obtained in accordance with conservation legislation. The intended accommodation at the place of destination of a living specimen must be adequate, i.e. suited to its biological and behavioural needs.

5.2.1.2. Export from the Community

This requires the prior issue and presentation of an export permit.

The capture or collection in the wild or the export of specimens must not have a harmful effect on the conservation status of the species and they must have been obtained in accordance with conservation legislation. Live specimens must be properly prepared and shipped. The import into a third country cannot be for commercial purposes and the recipient must be suitably equipped to house and care for living specimens. For CITES Appendix I specimens, the importing country must have issued an import permit.

5.2.1.3. Re-export from the Community

This requires the prior issue and presentation of a re-export certificate.

There must be documentary evidence that the specimens were legally introduced into the Community. Living specimens must be properly prepared and shipped. The import into a third country must not be for commercial purposes, the recipient of living specimens must be suitably equipped and for CITES Appendix I specimens an import permit must have been issued.

5.2.2. Specimens of Annex B species



5.2.2.1. Introduction into the Community

As for Annex A specimens, this requires the prior issue and presentation of an import permit. The conditions are less strict. There must be documentary evidence that the specimens have been obtained in accordance with conservation legislation. The conservation status of the species, or of its population concerned, must not be inconsistent with its role in the ecosystem in which it occurs, nor be harmfully affected by current and expected trade levels. Live specimens must be adequately housed and cared for at the place of destination.

5.2.2.2. Export from the Community

An export permit must be issued and presented prior to export. The capture or collection in the wild, or the export, must not have a harmful effect on the conservation status of the species and specimens must have been obtained in accordance with conservation legislation. Live specimens must be properly prepared and shipped.

5.2.2.3. Re-export from the Community

A re-export certificate must be issued and presented prior to re-export.

Specimens must have been introduced into the Community in accordance with the Regulation and live specimens must be properly prepared and shipped.

5.2.3. Specimens of Annex C species

5.2.3.1. Introduction into the Community

An import declaration must be presented to customs together with valid CITES documentation from the (re-)exporting country.

5.2.3.2. (Re-)export from the Community

The same provisions apply as for Annex B species.

**5.2.4. Specimens of Annex D species**

It is the purpose of the Regulation to monitor quantities of imported specimens of wild fauna and flora listed in this Annex. The introduction into the Community is not restricted but on entry an import declaration must be presented. The information to be provided in this document is to be laid down in a Commission regulation.

**5.2.5. Specimens of Annex E species**

The import, export and re-export of Annex E specimens will most likely take place in accordance with the conditions applying to Annex B species<sup>(2)</sup>, unless trade is with a Member State having listed the species in Annex E. In that case, the Member State concerned may refuse to issue any permits, decide to apply Annex A conditions or its own conditions on the basis of its legislation on the protection of the species concerned.

**5.3. Provisions with regard to Intra-Community trade**

- 5.3.1. The possession of Annex A specimens is prohibited unless they were acquired in accordance with conservation legislation; a certificate may be issued to confirm that. The purchase, acquisition for commercial purposes, the display to the public for commercial purposes and a number of other commercial activities involving Annex A specimens are also prohibited. For exemptions and derogations from these prohibitions, see point 5.4.

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(2) Species listed in Annex A need not be listed in Annex E and species required to be listed in Annex E are not likely to be listed in Annexes C and D or not listed at all.

5.3.2. The prohibitions referred to in the second paragraph of 5.3.1. also apply to specimens of Annexes B to D species unless it can be proven that they were obtained in accordance with conservation legislation. How that proof is to be provided shall be laid down in a Commission Regulation.

5.3.3. The possession of Annex E specimens in the Member State having listed the species concerned and the removal of such specimens from the territory of such a Member State are prohibited. Exemptions may be granted by the Member State concerned through the issue of a certificate.

5.3.4. The movement of live specimens within the Community

5.3.4.1. Any transport of live specimens within the Community shall be carried out in such a way as to minimize the risk of injury, damage to health or cruel treatment. In the case of live animals, the transport must be in accordance with Community legislation on the matter.

5.3.4.2. For live Annex A specimens, the Member States shall be required to establish and maintain a register of authorized housing locations. The movement from such locations requires prior authorization.

5.3.4.3. Live Annex B specimens are subject to retail trade. The importer must have adequate installations and may only relinquish specimens after ensuring that the recipient is informed about the care of the specimens.

5.3.4.4. Other restrictions may be established by the Commission where the introduction into nature of live specimens forms an ecological threat to indigenous species, where live specimens are unlikely to survive shipment or captivity and where necessary to safeguard human life and health.

5.4. Derogations and exemptions

The proposed Regulation provides for derogations and exemptions from its provisions such as:

- 5.4.1. Certain import conditions do not apply to specimens that have been legally imported into or acquired in the Community, have then been (re-)exported and are being re-introduced into the Community.
- 5.4.2. Worked specimens that were legally acquired, i.e. in accordance with conservation legislation, more than 50 years previously do not have to meet most of the conditions for the issue of an import permit and can be exempted from the prohibitions with regard to possession and intra-Community trade.
- 5.4.3. Specimens, except live specimens, legally acquired in the Community before the entry into force of trade regulations can be exported or re-exported without meeting most of the conditions for the issue of an export permit or re-export certificate and can be exempted from the prohibitions on possession and intra-Community trade.
- 5.4.4. Captive bred or artificially propagated specimens of Annex A species shall, for the purposes of import, export and re-export, be treated as specimens of Annex B species. They are further not subject to the prohibitions on possession and intra-Community trade as applied to Annex A specimens. For Annex A specimens that have been bred or propagated for non-commercial purposes as well as for captive bred or artificially propagated Annexes B and C specimens, a certificate to that effect may be used instead of an export permit or re-export certificate. For artificially propagated plants, the Commission shall specify provisions relating to the use of plant health certificates, trade by registered traders and the trade in hybrids.

- 5.4.5. Specimens in transit through the Community are not subject to the presentation of permits, certificates and declarations, but for CITES specimens there must be proof of the existence of valid CITES documentation.
- 5.4.6. The introduction and the export and re-export from the Community of Annexes B to D specimens that are personal or household effects shall be subject to derogations to be specified by the Commission.
- 5.4.7. Certain specimens moving between registered scientific institutions can be traded if they bear a label issued to that effect.
- 5.4.8. Annex A specimens can be purchased, acquired for commercial purposes, displayed to the public, sold, etc. if they are imported and used for purposes which are not detrimental to the survival of the species concerned, required under exceptional circumstances for advancement of science or for essential biomedical purposes, are intended for breeding or propagation purposes from which conservation benefits will accrue, are intended for research or education aimed at the preservation or conservation of the species, or if they were removed from nature in a Member State in compliance with conservation legislation in force.  
These exemptions can be granted on a case-by-case basis. General exemptions may be granted by the Commission in accordance with the committee procedure.

#### 5.5. Management and Scientific Authorities

- 5.5.1. The proposed Regulation prescribes the designation by Member States of a Management Authority, which primary responsibility shall be the implementation of the Regulation.

The Management Authorities issue permits and certificates when the conditions of the Regulation have been met, they shall communicate with the Commission, the Secretariat of the Convention and Management Authorities of third countries. The designation of a Management Authority is an obligation under the Convention and was also provided for in Regulation 3626/82.

- 5.5.2. The proposal also envisages the designation of a Scientific Authority. This is also an obligation under the Convention and was provided for in Regulation 3626/82. It is responsible for determining whether the scientific conditions for the issue of permits and certificates are met. In the absence of positive advice from the Scientific Authority, the Management Authority cannot issue such documents.

For species included in Annex B to the Regulation, the Scientific Authority shall monitor exports and advise the Management Authority of suitable measures to be taken to limit such exports when it determines that this is required to maintain the species throughout its range at appropriate levels.

5.6. The Scientific Review Group

Representatives of the Scientific Authorities shall form a scientific consultative group to be known as the Scientific Review Group. The need for such a group became evident in the context of the implementation of Regulation 3626/82; the committee soon established a Scientific Working Group. In view of the Community's future accession to CITES, the Group shall be the Community's Scientific Authority in accordance with Article IX of the Convention.

5.7. The Committee

The Commission shall be assisted by a Committee composed of representatives of the Member States. Its main task shall be to deliver its opinion on measures to be taken through Commission Regulations.

5.8. Places of entry and exit

Within 12 months of the date of entry into force of the Regulation, the Member States must designate places of entry and exit for the completion of procedures with regard to trade in specimens of species covered by the Regulation. For live specimens, holding facilities are to be provided to adequately house and care for them. Requirements for such facilities are to be laid down by the Commission.

5.9. Charges for permits and certificates

In order to avoid too important differences between the charges levied by Member States for the issue of permits and certificates, the Commission shall set charges for applications in accordance with the committee procedure.

5.10. Sanctions

The imposition of sanctions for infringements of nature conservation legislation is necessary. The proposed Regulation therefore provides for the imposition of sanctions which are appropriate in relation to the nature and gravity of infringements.

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The Commission proposes that the Council adopt the attaches proposal for a Regulation.

Proposal for a  
COUNCIL REGULATION (EEC)  
laying down provisions with regard to possession of and trade  
in specimens of species of wild fauna and flora

THE COUNCIL OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Economic Community,  
and in particular Articles 100a and 113 thereof,

Having regard to the proposal from the Commission<sup>(1)</sup>,

In cooperation with the European Parliament<sup>(2)</sup>,

Having regard to the opinion of the Economic and Social Committee<sup>(3)</sup>,

Whereas Council Regulation (EEC) No 3626/82<sup>(4)</sup>, as last amended by  
Commission Regulation (EEC) No 197/90<sup>(5)</sup>, applied the Convention on  
international trade in endangered species of wild fauna and flora in the  
Community with effect from 1 January 1984;

Whereas a great number of species of wild fauna and flora, including both  
species covered and species not covered by Regulation (EEC) No 3626/82,  
are subject to trade levels which are not or may not be compatible with  
their survival, with the survival of certain of their populations or with  
the maintenance of their populations at a level consistent with their role  
in the ecosystems in which they occur;

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(1)

(2)

(3)

(4) OJ No L 384, 31.12.1982, p. 1.

(5) OJ No L 29, 31.1.1990, p. 1.



Whereas it is necessary to provide more adequate protection to species of fauna and flora in trade and to replace Regulation (EEC) No 3626/82 by a Regulation which takes account of current nature conservation techniques, trade control mechanisms and trade patterns, and of technical and scientific knowledge acquired since its adoption;

Whereas a great number of species, which are native to the Community, are subject to Community legislation on their conservation, namely Council Directive 79/409/EEC of 2 April 1979 on the conservation of wild birds<sup>(6)</sup>, as last amended by Directive 91/244/EEC<sup>(7)</sup>, and Council Directive .../.../EEC on the conservation of natural habitats and of wild fauna and flora<sup>(8)</sup>; whereas it is necessary to supplement this legislation with measures relating to the possession of and trade in specimens of the species concerned;

Whereas it is, in view of the establishment and functioning of the internal market, necessary at Community level to ensure that the internal trade measures concerned are uniformly applied;

Whereas, in order to adequately protect species of wild fauna and flora and to avoid distortions of competition, it is necessary to ensure that external trade measures relating to them are uniformly applied throughout the Community;

Whereas this Regulation should, however, not affect the power of Member States to designate species of wild fauna and flora indigenous to their territories the possession of which shall be prohibited;

Whereas Article XXI of the Convention on international trade in endangered species of wild fauna and flora was amended to allow accession to it by the Community as such; whereas Article VIII of the Convention provides that the parties are to take appropriate measures to enforce the provisions of the Convention and to prohibit trade in specimens of species of wild fauna and flora in violation thereof;

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(6) OJ No L 103, 25.4.1979, p. 1.

(7) OJ No L 115, 8.5.1990, p. 41.

(8) OJ No L

Whereas it is necessary to lay down criteria for the specification of species of wild fauna and flora to be subject to the provisions of this Regulation;

Whereas the implementation of this Regulation necessitates that common conditions be applied for the issue, use and presentation of permits and certificates relating to the authorization of introduction into the Community from third countries or from the sea and to export and re-export from the Community; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;

Whereas, in order to guarantee an effective protection of species of wild fauna and flora, the Commission should be able to establish further restrictions on the introduction into and the export from the Community of specimens of certain species;

Whereas it is necessary to lay down specific provisions for the possession of and trade in captive-bred or artificially propagated specimens of wild fauna and flora;

Whereas it is further necessary to envisage specific provisions relating to specimens of wild fauna and flora which are personal or household effects and for non-commercial loans, donations and exchanges between registered scientists and scientific institutions;

Whereas, to ensure that the restrictions on introduction into the Community and on the acquisition of specimens within the Community are fully effective, rules must be drawn up concerning the conditions of internal trade in specimens;

Whereas, to ensure the effective implementation and enforcement of restrictions on the movement of live specimens of certain species listed, it is necessary to establish a registration system for such specimens;

Whereas the transport of live specimens into, from or within the Community as well as the housing of such specimens should take place in accordance with common rules;

Whereas, in order to prevent the introduction into nature of live specimens of species forming an ecological threat to indigenous wildlife, the introduction into the Community of such specimens and the holding or movement of them should be restricted;

Whereas the existence of different levels of charges for processing permit and certificate applications could lead to distortions of competition within the Community;

Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated for the completion of procedures for trade with third countries and holding facilities provided at such places to ensure that live specimens are adequately housed and cared for;

Whereas the implementation of this Regulation also necessitates the designation of management and scientific authorities in the Member States;

Whereas, to ensure an effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and to that effect closely cooperate between themselves and with the Commission; whereas this also necessitates the immediate communication of information on matters related to the implementation of this Regulation;

Whereas the monitoring of trade levels involving the species of wild fauna and flora covered by this Regulation is of crucial importance for the assessment of the effects of trade on the conservation status of species, and detailed annual reports should be drawn up in a common format;

Whereas, in order to ensure compliance with the provisions of this Regulation, it is necessary that Member States take measures to ensure the imposition of sanctions for infringements, which are of an appropriate level; whereas the existence of major differences in the level of such sanctions would undermine the proper enforcement of this Regulation and might further lead to a distortion of competition within the Community;

Whereas it is necessary to adopt common rules for the seizure and the disposal of confiscated specimens;

Whereas the submission by Member States or the Commission of proposals to amend the Convention and its Appendices in a way which would affect the implementation of this Regulation should be subject to the establishment of a common position on such proposals; whereas a common position is also required on such proposals submitted for approval by the conference of the parties to the Convention by third parties;

Whereas it is essential to ensure the uniform application of this Regulation and, to this end, to lay down a Community procedure enabling the necessary implementing provisions and amendments to the Annexes to be adopted within a suitable period; whereas a committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;

Whereas the multitude of biological and conservation elements to be considered in the implementation of this Regulation requires the availability of the best scientific information and the discussion thereof at an appropriate scientific level; whereas point (b) of Article IX(1), of the Convention on international trade in endangered species of wild fauna and flora provides that each party to it shall designate one or more scientific authorities; whereas the Community will accede to the Convention in the near future; whereas it is therefore necessary to set up a scientific review group which will also act as the Community's scientific authority after its accession,

HAS ADOPTED THIS REGULATION:

#### Article 1

The internal and external trade in and the possession of specimens of the species of wild fauna and flora listed in Annexes A to E shall be subject to the provisions laid down in the following Articles and to those of the Regulations adopted in accordance with the procedure laid down in Article 29.

Article 2

Definitions

For the purposes of this Regulation,

- (a) the Committee means the Committee on trade in wild fauna and flora, established under Article 29;
- (b) the Convention means the Convention on international trade in endangered species of wild fauna and flora (CITES);
- (c) country of origin means the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) critically endangered species means the species listed in Annex A which the Scientific Review Group has advised and the Committee has agreed are under severe threat of extinction and the survival of which cannot be ensured without the application of more stringent provisions than those normally applicable to specimens of species listed in Annex A;
- (e) dependent territories means the overseas countries and territories outside the territory of the Community to which the Convention applies and for whose external relations a Member State is responsible;
- (f) domicile means, in the case of a natural person, the principal place of permanent residence, and, in the case of a legal person, the place of its headquarters or that of a subsidiary;
- (g) export from the Community means the movement of any specimen originating in the territory of the Community to a point outside this territory;

- (h) import declaration means a declaration made by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annex C or D to this Regulation, on a form prescribed by the Commission in accordance with the procedure laid down in Article 29;
- (i) introduction from the sea means the introduction into the Community of any specimen which was taken in and is being introduced directly from the marine environment not under the jurisdiction of any State including the airspace above the sea and the sea-bed and subsoil beneath the sea;
- (j) introduction into the Community means the introduction of any specimen into the territory of the Community;
- (k) issuance means the completion of all procedures involved in preparing and validating a permit or certificate and, except in the case to which Article 4(1)(b)(ii) applies, its delivery to the applicant;
- (l) management authority means a national management authority designated, in the case of a Member State, in accordance with Article 22(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (m) offering for sale means the offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (n) personal or household effects means dead specimens, parts and derivatives that are the belongings of a private individual and that form or are intended to form part of his normal goods and chattels;
- (o) place of destination means the place at which, at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for purposes of sanitary checks and controls;

- (p) possession means possession, holding or having under control;
- (q) re-export from the Community means the export from the territory of the Community of any specimen that has previously been introduced into this territory;
- (r) re-introduction into the Community means the introduction into the territory of the Community of any specimen that has previously been exported or re-exported therefrom;
- (s) sale means any sale, hire, barter or exchange; cognate expressions shall be construed accordingly;
- (t) scientific authority means a scientific authority designated, in the case of a Member State or the Community in accordance with Article 22(1)(b) or, in a third country party to the Convention, in accordance with Article IX of the Convention;
- (u) the Scientific Review Group means the consultative body established under Article 28, which, on the entry into force of the Convention in the Community, shall be the community's scientific authority in accordance with Article IX of the Convention;
- (v) species means a species, subspecies or geographically or geopolitically separate population thereof;
- (w) specimen means any animal or plant, whether alive or dead, of the species listed in Annexes A to E, any part or derivative thereof, whether or not contained in other goods, as well as any goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of these species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to E if it is, or is part of or derived from, an animal or plant at least one of whose "parents" is of a species so listed. In cases where the "parents" of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the "parents" is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (x) territory of the Community means the territories of the Member States to which the Treaty establishing the European Economic Community applies;
- (y) trade means the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;
- (z) transit means the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- aa) worked specimens that were legally acquired more than fifty years previously means specimens that were significantly altered from their natural raw state, for jewellery, adornment, art, utility, or musical instruments more than fifty years before the application is made for their introduction into the Community or export therefrom or, as appropriate, for exemption from the provisions of Article 15(1) and that have been, to the satisfaction of the management authority of the Member State concerned, legally acquired. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose.



Article 3

Scope

1. Annex A shall contain:

- (a) the species listed in Appendix I to the Convention and agreed to by the Community;
- (b) any species which:
  - (i) is or may be in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species; or
  - (ii) is in a genus or which is a species in which most of the species or subspecies are listed in Annex A in accordance with the criteria in points (b)(i) or (c) and whose listing is essential for the effective protection of those taxa; and
- (c) species which under the provisions of other Community legislation on the conservation of wild fauna and flora benefit from a prohibition on trade or taking.

2. Annex B shall contain:

- (a) the species listed in Appendix II to the Convention and agreed to by the Community, other than those listed in Annex A;
- (b) the species listed in Appendix I to the Convention but not included in Annex A;
- (c) any other species not listed in Appendix I or II to the Convention:

- (i) which is subject to levels of international trade that might not be compatible with its survival or with the survival of populations in certain countries or with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs; or
  - (ii) whose non-listing would be likely to lead to a substantial reduction of the total population or to the extinction of other species listed in Annex A or B, because of its role in the ecosystem in which it occurs; or
  - (iii) whose listing is, for reasons of similarity in appearance to other species in Annex A or listed in Annex B in accordance with point 2(a), (b), (c) or (d), essential in order to ensure the effectiveness of controls on trade in specimens of such species; and
- (d) species for which the provisions of other Community legislation on the conservation of wild fauna and flora regulate but do not prohibit the trade or the taking; and

Annex B may also contain other species not listed in Appendix I or II to the Convention:

- (e) of which live specimens in trade are unlikely to survive shipment or are unlikely to survive in captivity for a considerable proportion of their potential lifespan; or
- (f) of which the introduction of live specimens into nature in the Community is known to form an ecological threat to species of wild fauna and flora indigenous to the Community.

3. Annex C shall contain:

- (a) the species listed in Appendix III to the Convention and agreed to by the Community, other than those listed in Annexes A or B; and
- (b) the species listed in Appendix II to the Convention but not included in Annexes A or B.

4. Annex D shall contain:

- (a) any species which is not included in Annexes A to C and for which international trade in specimens of the species could adversely affect its conservation status or that of any species which is closely related or is similar in appearance; and
- (b) the species listed in Appendix III to the Convention but not included in Annex C.

5. Annex E shall contain species indigenous to a specified Member State and may be listed solely for the purpose of supporting measures for the conservation or protection of the species in the Member State concerned.

6. (a) The Community agreements referred to in paragraphs 1, point (a), 2, point (a) and 3, point (a) shall be made in accordance with the procedure laid down in Article 29.

- (b) Amendments to Annexes A to E shall be made in accordance with the procedure laid down in Article 29.

7. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Community shall contribute to the necessary amendments.

Article 4

Introduction into the Community

1. The introduction into the Community from a third country of specimens of the species listed in Annex A shall be subject to the prior presentation, at the customs office at which the customs formalities are completed, of an import permit issued by a management authority of the Member State responsible for the place of destination of the specimens.

This import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) The Scientific Review Group has advised that permitting the introduction into the Community would not result in a detrimental effect on the conservation status or the extent of the territory occupied by the species in the country of origin;
- (b)(i) The applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;
- (ii) However, the issuance of import permits for species listed in Annex A in accordance with point (a) of Article 3(1), shall not require such documentary evidence but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;

- (c) The competent scientific authority of the Member State is satisfied, and has advised the management authority of that State in writing, that the intended accommodation at the place of destination for a living specimen:
    - (i) is adequately equipped and suited to the biological needs of the species and that the specimen will be properly cared for; and
    - (ii) in the case of an animal, is suited to the behavioural needs of the species and is in conformity with Community legislation on the care and housing of animals;
  - (d) The Scientific Review Group has advised that the introduction into the Community will be:
    - (i) for one of the purposes referred to in points (v), (vi) and (vii) of Article 15(2)(a); or
    - (ii) for other purposes which are not detrimental to the survival of the species concerned;
  - (e) The management authority of the Member State is satisfied that the specimen is not to be used for primarily commercial purposes; and
  - (f) The management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of species which militate against the issue of the import permit.
- 2.(a) The introduction into the Community of specimens of the species listed in Annex B shall be subject to the prior presentation, at the customs office at which the customs formalities are completed, of an import permit issued:

- (i) in the case of live specimens, by a management authority of the Member State responsible for the place of destination of the specimens; or, otherwise, either
  - (ii) by a management authority of the Member State in which the applicant has his domicile, or
  - (iii) by a management authority of the Member State responsible for the place of destination of the specimens.
- (b) This import permit may be issued only when the conditions referred to in points (b)(i), (c) and (f) of paragraph 1 have been met and when:
- (i) the Scientific Review Group has advised that there is no indication that the conservation status of the species or the extent of the territory occupied by the species in the range State of origin have been reduced to levels inconsistent with the role of the species in the ecosystems in which it occurs or will be harmfully affected by the current and expected levels of trade; or
  - (ii) in the absence of such advice, either positive or negative, from the Scientific Review Group, the competent scientific authority of the Member State has advised in writing that the capture or collection in the wild of the specimens concerned will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the species in the range State of origin.
3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to the prior presentation, at the customs office at which the customs formalities are completed, of an import declaration and:

- (a) in the case of export from a country listed in relation to the species concerned in Annex C, the applicant provides proof by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
  - (b) in the case of export from a country other than one in relation to which the species is listed in Annex C or re-export from any country, the applicant presents an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.
4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to the prior presentation, at the customs office at which the customs formalities are completed, of an import declaration.
5. The conditions for the issuance of an import permit referred to in points (a), (d) and (e) of paragraph 1, and in points (i) and (ii) of paragraph 2(b) shall not apply to specimens for which the applicant provides documentary evidence:
- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being re-introduced into the Community; or
  - (b) that they are worked specimens that were legally acquired more than fifty years previously.
6. In consultation with the countries of origin concerned and in accordance with the procedure laid down in Article 29, the Commission may establish restrictions on the introduction into the Community:

- (a) on the basis of the conditions referred to in points (a), (c) or (f) of paragraph 1, of specimens of species included in Annex A; and
- (b) on the basis of the conditions referred to in points (c) or (f) of paragraph 1, or in point (i) of paragraph 2(b), of specimens of species included in Annex B; and
- (c) of live specimens of species included in Annex B for which the Scientific Review Group has advised that:
  - (i) such specimens are unlikely to survive shipment;
  - (ii) such specimens are unlikely to survive in captivity for a considerable proportion of their potential lifespan; or
  - (iii) the introduction to nature of such specimens, through escape or release is known to form an ecological threat to species of wild fauna and flora indigenous to the Community.

The Commission shall regularly publish a list of such restrictions in the Official Journal of the European Communities.

#### Article 5

##### Introduction from the sea

1. The introduction into the Community from the sea of specimens of species listed in Annex A shall be subject to the prior presentation, at the customs office at which the customs formalities are completed, of an import permit issued by a management authority of the Member State responsible for the place of destination.



This import permit may be issued only when the conditions referred to in points (c) to (f) of Article 4(1) have been met and when:

- (a) the Scientific Review Group has advised that the introduction into the Community of the specimens concerned would not result in a detrimental effect on the conservation status of the species; and
  - (b) the management authority is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
2. (a) The introduction into the Community from the sea of specimens of the species listed in Annex B shall be subject to the prior presentation, at the customs office at which the customs formalities are completed, of an import permit issued by a management authority of the Member State responsible for the place of destination.
- (b) This import permit may be issued only when the conditions referred to in points (c) and (f) of Article 4(1) have been met and when:
- (i) the Scientific Review Group has advised that there is no indication that the current or expected levels of trade will have a harmful effect on the conservation status of the species or, in the absence of any advice from the Scientific Review Group, the competent scientific authority of the Member State has advised in writing that the capture or collection of the specimens in the wild will not have a harmful effect on the conservation status of the species; and
  - (ii) the management authority is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
3. The introduction into the Community from the sea of specimens of the species listed in Annex C or D shall be subject to the prior presentation of an import declaration at the customs office at which the customs formalities are completed.

Article 6

Export from the Community

1. The export from the Community of specimens of the species listed in Annex A shall be subject to the prior presentation, at the customs office at which the customs formalities are completed, of an export permit issued by a management authority of the Member State in whose territory the specimens are located.

This export permit may be issued only when the following conditions have been met:

- (a) The Scientific Review Group has advised that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species or, in the absence of advice from the Scientific Review Group, the competent scientific authority of the Member State has advised in writing to this effect;
- (b) The applicant provides proof that the specimens have been obtained in accordance with all legal obligations on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such proof shall be furnished by means of the certificate referred to in point (d) of Article 18;
- (c) The management authority of the Member State is satisfied that:
  - (i) any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment;

- (ii) the specimens are not to be used for primarily commercial purposes; and
  - (iii) in the case of export to a State party to the Convention of specimens of the species referred to in point (a) of Article 3(1), an import permit has been issued;
- (d) In the case of export of specimens of Annex A species other than those referred to in point (a) of Article 3(1) or of export to a State not party to the Convention, the competent scientific authority of the Member State is satisfied that the recipient of any living specimen is suitably equipped to house and care for it; and
- (e) The management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issue of the export permit.
2. The export from the Community of specimens of the species listed in Annexes B and C shall be subject to the prior presentation, at the customs office at which the customs formalities are completed, of an export permit issued by a management authority of the Member State in whose territory the specimens are located.
- This export permit may be issued only when the conditions referred to in points (a), (b), (c)(i) and (e) of paragraph 1 have been met.
3. The conditions for the issuance of an export permit referred to in points (a), (c)(ii) and (c)(iii) of paragraph 1 shall not apply to:
- (i) worked specimens that were legally acquired more than fifty years previously; or

- (ii) dead specimens and parts and derivatives for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or Regulation (EEC) No 3626/82, or the Convention became applicable to them.
4. (a) The competent scientific authority in each Member State shall monitor the export permits issued by that Member State for specimens of species included in Annex B and the actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with points (a) or (b)(i) of Article 3(1), the scientific authority shall advise the appropriate management authority, in writing, of suitable measures to be taken to limit the grant of export permits for specimens of that species.
- (b) Whenever a management authority is advised of such measures, it shall inform the Commission which shall, if appropriate, establish restrictions on exports of the species concerned in accordance with the procedure laid down in Article 29.

#### Article 7

##### Re-export from the Community

1. The re-export from the Community of specimens of the species listed in Annex A shall be subject to the prior presentation, at the customs office at which the customs formalities are completed, of a re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

This re-export certificate may be issued only when the following conditions have been met:

(a) the applicant provides documentary evidence that the specimens:

- (i) were introduced into the Community in accordance with the provisions of this Regulation; or
- (ii) if introduced into the Community prior to 1 January 1993, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or
- (iii) if introduced into the Community prior to 1984, first entered international trade in accordance with the provisions of the Convention; or
- (iv) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in points (i) and (ii) or of the Convention became applicable to them, or became applicable in that Member State; and

(b) the management authority of the Member State is satisfied that:

- (i) any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment;
- (ii) the specimens are not to be used for primarily commercial purposes;
- (iii) in the case of re-export of a living specimen of a species referred to in point (a) of Article 3(1) of this Regulation to a State party to the Convention, an import permit has been issued; and

- (c) in the case of re-export of specimens of Annex A species other than those referred to in point (a) of Article 3(1) or of re-export to a State not party to the Convention, the competent scientific authority of the Member State is satisfied that the recipient of any living specimen is suitably equipped to house and care for it; and
  - (d) there are no other factors relating to the conservation of species which militate against the issuance of the re-export certificate.
2. The re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to the prior presentation, at the customs office at which the customs formalities are completed, of a re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

This re-export certificate may be issued only when the conditions referred to in points (a) and (b)(i) of paragraph 1 have been met.

### Article 8

#### Rejection of applications for permits and certificates referred to in Articles 4 to 7 and 18

1. When a Member State rejects an application for a permit or certificate, it shall immediately inform the Commission of the rejection and of the reasons for rejection if the decision to reject:
- (a) is based on information not already available to the other Member States; or

- (b) relates to conditions, criteria or provisions to be laid down in accordance with the procedure laid down in Article 29 but which have not yet been established.
2. The Commission shall, where necessary to ensure the harmonized application of this Regulation, communicate to the other Member States information received in accordance with paragraph 1.
  3. When an application for a permit or certificate is made relating to specimens for which such an application has previously been rejected, the applicant must inform the management authority to which the application is submitted of the previous rejection.
  4. (a) The Member States shall recognize the rejection of applications by the competent authorities of the other Member States.  
  
(b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof and of the reasons for issuance.

## Article 9

### Captive-bred and artificially propagated specimens

1. For the purposes of Articles 4, 6 and 7, specimens of species listed in Annex A that have been bred in captivity or artificially propagated for commercial purposes shall be treated in accordance with the provisions relating to specimens of species listed in Annex B provided that, in the case of an animal species, they derive from a captive-breeding operation approved by the Commission in accordance with the procedure laid down in Article 29.

2. With regard to specimens of species listed in Annex A that have been bred in captivity or artificially propagated not for commercial purposes and specimens of species listed in Annexes B and C that have been bred in captivity or artificially propagated:

(a) for the purposes of Article 4, and without prejudice to point (f) of Article 4(1), a certificate to that effect, issued by a management authority of the exporting or re-exporting State, if a party to the Convention, shall be accepted in place of an export permit or re-export certificate. In this case, the conditions laid down in points (a), (b), (d) and (e) of Article 4(1) and in points (b)(i) and (b)(ii) of Article 4(2) shall be waived; and

(b) for the purposes of Articles 6 and 7, one of the certificates referred to in points (e) and (f) of Article 18 may be issued by a management authority of the Member State in whose territory the specimens are located, on the advice of the competent scientific authority of that Member State, and shall be accepted at a customs office in place of an export permit or re-export certificate. In this case, the issuance of the certificate used shall be subject to the conditions laid down in point (c)(i) of Article 6(1).

(c) in the case of artificially propagated plants, the provisions of Articles 4, 6 and 7 may be waived under special provisions specified by the Commission, relating to:

(i) the use of phytosanitary certificates;

(ii) trade by registered commercial traders and by the scientific institutions referred to in Article 12(1); and

(iii) the trade in hybrids.



3. The criteria for determining whether a specimen has been bred in captivity or artificially propagated and whether for commercial purposes, as well as the special provisions referred to in point (c) of paragraph 2, shall be specified by the Commission in accordance with the procedure laid down in Article 29.
4. The Commission shall publish in the Official Journal of the European Communities the list of captive-breeding operations referred to in paragraph 1.

#### Derogations

#### Article 10

1. By way of derogation from Articles 4 and 5, where a specimen is in transit through the Community, presentation to the appropriate customs service of the permits, certificates and declarations prescribed therein shall not be required.
2. (a) In the case of species listed in the Annexes in accordance with point (a) of Article 3(1), points (a) and (b) of Article 3(2), Article 3(3) and point (b) of Article 3(4), the derogation referred to in paragraph 1 shall apply only where a valid export or re-export document provided for by the Convention, and which specifies the destination of the specimen, has been issued by the competent authorities of the exporting or re-exporting third country.  
  
(b) Member States may require presentation of such export or re-export document or satisfactory proof of its existence.  
  
(c) If this document has not been issued, the specimen shall be detained and may be confiscated unless:

- (i) the specimen is of a species listed in Annex B or C;
  - (ii) the export or re-export document is issued retrospectively;  
and
  - (iii) such retrospective issuance is carried out under conditions specified by the Commission in accordance with the procedure laid down in Article 29.
3. However, the conditions prescribed in paragraph 2 shall not apply where the specimen in transit has been exported or re-exported from and is consigned to a third country not party to the Convention.

#### Article 11

By way of derogation from Articles 4 to 7, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes B to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission in accordance with the procedure laid down in Article 29.

#### Article 12

1. By way of derogation from Articles 4, 6 and 7, presentation to the customs services of the documents referred to therein shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been determined in accordance with the procedure laid down in Article 29 or a similar label issued or approved by a management authority of a third country.

2. The procedures and criteria for registration of the scientists and scientific institutions referred to in paragraph 1 shall be determined by the Commission in accordance with the procedure laid down in Article 29.

### Article 13

#### Return of used permits and certificates

1. Customs offices shall, within one month of receipt, forward to a management authority of the Member State in which they are situated the permits and certificates which have been presented to them in accordance with Articles 4 to 7, as well as any supporting CITES documents issued by exporting or re-exporting third countries.
2. The management authorities of the Member States shall, within one month of receipt, return to the management authorities which have issued them the Community permits and certificates which have been sent to them by the customs offices in accordance with paragraph 1, together with any supporting CITES documents.

#### Prohibitions relating to internal trade and to specimens of endangered species

### Article 14

1. The possession of any specimen of a species listed in Annex A is prohibited except where it can be proved, to the satisfaction of the management authority of the Member State concerned, to have been acquired and, if it originated outside the Community, to have been introduced thereto, in accordance with the legislation in force for the conservation of wild fauna and flora.

2. A management authority of a Member State may, if it deems it appropriate, issue the certificate provided for in point (g) of Article 18 to confirm that possession of such a specimen is legal.
3. In accordance with the procedure laid down in Article 29, the Commission may establish restrictions on the possession of specimens of critically endangered species.

#### Article 15

1. The purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A are prohibited.
2. (a) Exemption from the prohibition referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis, account being taken of the objectives of the Convention and in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, where the specimens:
  - (i) were acquired in or were introduced into the Community before the provisions relating to species listed in Appendix I of the Convention or in Annex C1 to Regulation (EEC) 3626/82 or in Annex A to this Regulation became applicable to the specimens; or
  - (ii) are worked specimens that were legally acquired more than fifty years previously; or
  - (iii) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for one of the purposes referred to in point (d)(ii) of Article 4(1); or

- (iv) are captive-bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
  - (v) are required under exceptional circumstances for advancement of science or for essential biomedical purposes where the species in question proves to be the only one suitable for those purposes; or
  - (vi) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
  - (vii) are intended for research or education aimed at the preservation or conservation of the species; or
  - (viii) originate in a Member State and were removed from the natural State in compliance with the legislation in force in that Member State.
- (b) General exemptions from this prohibition, based on the conditions contained in subparagraph (a), as well as general exemptions with regard to species included in Annex A in accordance with points (b)(ii) and (c) of Article 3(1) may be granted by the Commission.
- (c) The Commission shall lay down the conditions and criteria for granting the exemptions referred to in subparagraph (a) and shall grant the exemptions referred to in subparagraph (b) in accordance with the procedure laid down in Article 29. The Scientific Review Group shall advise the Committee on the application of the conditions in points (v), (vi) and (vii) of paragraph 2(a).
3. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annexes B to D except where it can be proved to the satisfaction of the management authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced thereto, in accordance with the legislation in force for the conservation of wild fauna and flora.

4. For the purposes of Articles 4(5), 14(1) and 15(3), and without prejudice to other types of proof satisfactory to the management authority, the Commission may specify acceptable proof of legal acquisition and legal introduction into the Community, which may include the use of documents and marks, in accordance with the procedure laid down in Article 29.
  
5. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to E they have seized under this Regulation, provided that they are not thus returned directly to the person or entity from whom they were seized. Such specimens may then be treated for all purposes as if they had been legally acquired.

#### Article 16

##### Movement and registration of live specimens

1. (a) (i) A management authority of each Member State shall establish and maintain a register of the authorized locations of live specimens of species included in Annex A, specified in accordance with point (a) of paragraph 4 and located in the territory under its jurisdiction.  
  
(ii) Each holder of such specimens shall:
  - within three months of the date on which such specimens become subject to the provisions of point (a) of paragraph 4, notify their location to a management authority of the Member State in which the specimens are located at that time; and

- notify to such management authority any subsequent change in holding by acquisition, captive breeding, artificial propagation, death or disposal, within one month of such change.

(b) (i) Any movement within the Community of a live specimen of a species listed in Annex A from the location specified in the register, the import permit or in any certificate issued in compliance with this Regulation, shall require prior authorization from a management authority of the Member State in which the specimen is located.

(ii) Such authorization shall:

- only be granted when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, has advised in writing that the intended accommodation is adequately equipped and suited to the biological and, in the case of an animal, behavioural needs of the species and that the specimen will be properly cared for;
- be confirmed by issuance of the certificate referred to in point (1) Article 18; and
- where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.

(iii) However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.

- (iv) As soon as any movement authorized in accordance with this subparagraph has taken place, the holder of the specimen shall inform the management authority competent for the new location that the specimen has been delivered to that location.
  - (c) (i) The provisions of subparagraph (b) shall not apply to specimens of Annex A species that are commonly bred in captivity or artificially propagated.
2. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed about the accommodation, equipment and practices required to ensure the specimen will be properly cared for.
  3. When any live specimens are transported into, from or within the Community or are held during any period of transit or transshipment, they shall be so prepared, moved and cared for as to minimize the risk of injury, damage to health or cruel treatment to such specimens and, in the case of animals, in conformity with Community legislation on the protection of animals during transport.
  4. Under the procedure laid down in Article 29, the Commission may:
    - (a) determine the criteria, conditions and procedures for application of the provisions and exemptions in paragraphs 1 and 2 and specify the live specimens and species referred to in subparagraph 1(a)(i); and
    - (b) establish restrictions on the holding or movement of live specimens of species included in Annex A or B for which the Scientific Review Group has advised that the introduction into nature of such specimens, through escape or release, forms an ecological threat to species of wild fauna and flora indigenous to the Community; and



- (c) establish restrictions on the holding or movement of live specimens of species in relation to which restrictions on the introduction into the Community have been established in accordance with points (a) or (c)(ii) of Article 4(6) and may establish restrictions of the same nature on specimens originating in the Community; and
- (d) establish restrictions on the holding or movement of live specimens in order to safeguard human life and health.

#### Article 17

##### Stricter measures for indigenous species

1. The possession of any specimen of a species listed in Annex E in the territory of the Member State with respect to which it is listed therein and the removal of any such specimen from the territory of such Member State are prohibited.
2. Exemption from the prohibition referred to in paragraph 1 may be granted by a management authority of the Member State concerned by issuance, where trade is with a third country, of an import permit, export permit or re-export certificate or, where trade is within the Community, of one of the certificates referred to in Article 18.

#### Article 18

##### Certificates to be issued

On receiving an application, together with all the requisite supporting documents from the person concerned, a management authority of a Member State may issue the appropriate one of the following certificates:

- (a) a certificate stating that a specimen was acquired in or was introduced into the Community before the provisions relating to Appendix I, II or III to the Convention, Annex C to Regulation (EEC) No 3626/82 or Annexes A, B, C or E to this Regulation became applicable to it;
- (b) a certificate stating that a worked specimen of a species listed in Annex A was legally acquired more than fifty years previously;
- (c) a certificate stating that a specimen was introduced into the Community in accordance with the provisions of Regulation (EEC) No 3626/82 or of this Regulation;
- (d) a certificate stating that a specimen was removed from the natural state in compliance with the legislation in force within its own territory;
- (e) a certificate stating that a specimen is or is a part of or derived from an animal bred in captivity;
- (f) a certificate stating that a specimen is or is a part of or derived from an artificially propagated plant;
- (g) a certificate stating that the possession of a specimen of a species listed in Annex A or Annex E is legal;
- (h) a certificate stating that the use has been authorized of a specimen of a species listed in Annex A, introduced into the Community in compliance with the provisions of this Regulation, for one of the purposes referred to in point (d)(ii) of Article 4(1);
- (i) a certificate stating that the use of a specimen of a species listed in Annex A essential for biomedical purposes or advancement of science in exceptional circumstances has been authorized;

- (j) a certificate stating that, in accordance with Article 15(2), the use has been authorized of a specimen of a species listed in Annex A for breeding or propagation purposes from which conservation benefits will accrue to the species concerned or for research or education aimed at the preservation or conservation of the species;
- (k) a certificate stating that a specimen was acquired in accordance with Article 15(5);
- (l) a certificate stating that the movement of a live specimen of a species listed in Annex A has been authorized.

#### Article 19

##### Validity of and special conditions on permits and certificates

1. Permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.
2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if the issuing authority or the Commission establishes that it was issued on the false premise that the conditions for its issue were met.  
  
(b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.
3. (a) Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof.

(b) When a Member State has recourse to subparagraph (a), it shall inform the Commission of the conditions and requirements imposed.

4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid only for the introduction of specimens into the Community when accompanied by the original valid export permit or re-export certificate.

#### Article 20

##### Charges for applications for permits and certificates

Member States shall levy a charge for processing any application for a permit or certificate required under this Regulation. The charge shall be set by the Commission in accordance with the procedure laid down in Article 29.

#### Article 21

##### Places of entry, exit and transit

1. The Member States shall, within twelve months of 1 January 1993:
  - (a) designate customs offices for the completion of procedures for the introduction to, export or re-export from or transit or transshipment through the Community of specimens of species covered by this Regulation; and

- (b) designate customs offices specifically for such procedures relating to shipments of live specimens, account being taken of the distances that such specimens may be transported, and shall provide holding facilities at or in close proximity to all such designated places to ensure that any live specimens held are adequately housed and cared for.
2. All places designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the Official Journal of the European Communities.
  3. In exceptional cases, where a shipment contains only one or a few accompanied live specimens, a management authority may permit the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with point (b) of paragraph 1.
  4. The minimum requirements for the holding facilities referred to in point (b) of paragraph 1 shall be determined by the Commission in accordance with the procedure laid down in Article 29.

#### Article 22

##### Management and scientific authorities and other competent authorities

1. Within six months of 1 January 1993:
  - (a) (i) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission;

- (ii) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing to the additional authorities all the information required for correct application of the Regulation;
  
  - (b) Each Member State shall designate one or more scientific authorities whose personnel shall be separate from those of any designated management authority and shall be appropriately qualified and experienced in connection with the conservation, management or biology of wild animals and plants so as to render it a suitable body, and shall consider only information that has a scientific bearing in giving their advice.
2. (a) Member States shall forward the names and addresses of the designated management authorities, scientific authorities and other authorities competent to grant permits or certificates to the Commission which shall publish this information in the Official Journal of the European Communities.
- (b) Each management authority referred to in point (a)(i) of paragraph 1 shall, if so requested by the Commission, communicate to it, within one month, the names and specimen signatures of people authorized to sign permits or certificates, impressions of stamps, seals or other devices used to authenticate permits or certificates.
  
  - (c) Member States shall communicate to the Commission any changes in the information already provided, not later than one month after the implementation of such change.

Article 23

Monitoring of compliance and investigation of infringements

1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation.
  - (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal actions.
  - (c) The Member States shall inform the Commission of any action taken by the competent authorities in relation to infringements of this Regulation, including the making of seizures and confiscations.
2. (a) The Commission can request the competent authorities of the Member States to undertake the investigations which it considers to be necessary under this Regulation.
  - (b) If agreed by the Commission and the competent authorities of the Member States in whose territory the investigation is to be made, the services of the Commission shall assist such authorities in carrying out their duties.

Communication of information

Article 24

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

2. The Commission shall communicate with the Secretariat of the Convention so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.
3. When the Scientific Review Group provides advice in accordance with points (a) or (d) of Article 4(1), point (i) of Article 4(2)(b), point (a) of Article 5(1), point (i) of Article 5(2)(b) or point (a) of Article 6(1), the Commission shall immediately communicate this advice to the management authorities of the Member States or to the management authority of the Member State concerned, as appropriate.
4. (a) The information to be passed to the Commission by the Member States in accordance with Articles 19(3)(b) and 23(1)(c) shall be provided on a monthly basis.  
  
(b) The Commission shall:
  - (i) specify the form and manner in which such information shall be provided; and
  - (ii) where necessary to ensure the harmonized implementation of this Regulation, communicate to the Member States the information received.
5. The Commission shall take the appropriate measures to protect the confidentiality of information obtained in confidence pursuant to the application of this Regulation.



Article 25

1. (a) The management authorities of the Member States shall communicate to the Commission, before 15 June each year, all the information relating to the preceding year required for drawing up the reports referred to in Article VIII(7) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. The information to be communicated and the format for its presentation shall be specified by the Commission.
- (b) On the basis of the information referred to in (a), the Commission shall publish annually a statistical report on the introduction into and the export and re-export from the Community of the specimens of the species to which this Regulation applies.
2. (a) With respect to the species to which this Regulation applies and species which may be eligible for inclusion in the Annexes, the competent authorities of the Member States shall forward to the Commission all the relevant information relating to:
  - the biological or trade status of such species;
  - the methods used for their transport;
  - the requirements for accommodating and caring for live specimens;
  - the uses to which specimens of such species are put; and
  - scientific, technical and other forms of progress in methods of controlling trade in live specimens, parts and derivatives, including methods for detection and investigation of illegal trade.
- (b) The Commission may, where necessary, take suitable steps to co-ordinate the work in these fields or to use the information to improve the application of this Regulation.

Article 26

Sanctions

1. The Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of the provisions of this Regulation:
  - (a) introduction into or export or re-export from the Community of specimens without the appropriate permit or certificate or with a false, falsified or non-valid permit or certificate or one altered without authorization by the issuing authority;
  - (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
  - (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
  - (d) using a false, falsified or non-valid permit or certificate or one altered without authorization, as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
  - (e) making no declaration or making a false declaration on import;
  - (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
  - (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
  - (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with point (c) of Article 9(2);

- (i) shipment of specimens into or out of or transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or satisfactory proof of its existence;
  - (j) possession of specimens in contravention of Articles 14 or 17;
  - (k) purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 15;
  - (l) failure to notify the holding, acquisition (whether or not by captive breeding or artificial propagation), death or disposal of live specimens of Annex A species or movement of live specimens contrary to the provisions of Article 16;
  - (m) removal of a specimen of a species listed in Annex E from the territory of a Member State with respect to which it is listed therein, contrary to the provisions of Article 17;
  - (n) use of a permit or certificate for any specimen other than one for which it was issued;
  - (o) falsification or alteration of any permit or certificate issued in accordance with this Regulation;
  - (p) failure to disclose rejection of an application for a permit or certificate, in accordance with Article 8(3).
2. The measures referred to in paragraph 1 shall be appropriate in relation to the nature and the gravity of the infringement and include provisions with regard to the seizure and confiscation of specimens.

3. Where a specimen is confiscated the specimen shall be entrusted to a management authority of the Member State of confiscation which:
  - (i) shall, following consultation with a scientific authority of that Member State, place or otherwise dispose of the specimen where it deems appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
  - (ii) in the case of a living specimen having been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.
  
4. Where a living specimen of a species listed in Annex B or C arrives from a third country at a port of entry into the Community without the appropriate valid permit or certificate, the specimen may be seized and confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the place of entry may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

#### Article 27

##### Common positions on proposals submitted to the conference of the parties to the Convention

1. A common position of the Member States on each proposal submitted to the Secretariat of the Convention for approval by the conference of the parties to the Convention and which is relevant to the implementation of this Regulation shall be established by the Commission in accordance with the procedure laid down in Article 29.

2. Proposals for approval by the conference of the parties to the Convention may be submitted by:

- a) the Member States; or
- b) the Commission on behalf of the Community when the latter is a party to the Convention;

only when they have been approved in accordance with the procedure laid down in Article 29.

3. (a) During meetings of the conference of the parties to the Convention, the representatives of the Member States may express and vote in favour of a position other than the common position established in accordance with paragraph 1 only in order to represent the interests of dependent territories.

(b) Any such divergent position may be expressed by a Member State and supported by its vote only after the Member State, in consultation with the Commission, has exhausted the possibilities to reconcile this with the common position and, in this case, shall make it clear that it was expressing its position or exercising its vote on behalf of an interest of a dependent territory.

#### Article 28

##### The Scientific Review Group

1. A scientific consultative group is hereby established, consisting of the representatives of each Member State's scientific authority and chaired by the representative of the Commission.

2. (a) The Scientific Review Group shall examine any scientific question relating to the implementation of this Regulation raised by the chairman, either on his own initiative or at the request of the members, the Commission or the Committee.
  
- (b) The Scientific Review Group shall endeavour to ensure that the best scientific information available is taken into consideration and shall convey its scientific findings and advice to the Commission and the Committee.

#### Article 29

The Commission shall be assisted by a committee composed of the representatives of the Member States and chaired by the representative of the Commission.

The representative of the Commission shall submit to the Committee a draft of the measures to be taken. The Committee shall deliver its opinion on the draft within a time limit which the chairman may lay down according to the urgency of the matter. The opinion shall be delivered by the majority laid down in Article 148(2) of the Treaty in the case of decisions which the Council is required to adopt on a proposal from the Commission. The votes of the representatives of the Member States within the Committee shall be weighted in the manner set out in that Article. The chairman shall not vote.

The Commission shall adopt measures which shall apply immediately. However, if these measures are not in accordance with the opinion of the committee, they shall be communicated by the Commission to the Council forthwith. In that event the Commission shall defer application of the measures which it has decided for a period of three months from the date of such communication.

The Council, acting by a qualified majority, may take a different decision within the time limit referred to in the previous paragraph.

Final provisions

Article 30

In accordance with the procedure laid down in Article 29, the Commission shall:

- (a) amend Annexes A to E on the basis of the criteria contained in Article 3;
- (b) lay down uniform conditions and criteria for:
  - (i) the issue, validity and use of the documents referred to in Articles 4 to 7 and 18 and determine the design thereof; and
  - (ii) the use of plant health certificates;
- (c) adopt the measures referred to in Article 4(6), Article 6(4), Article 9(1) and (3), Article 10(2), Article 11, Article 12(1) and (2), Article 14(3), Article 15(2) and (4), Article 16(4), Article 20, Article 21(4), Article 27(1) and (2); and
- (d) adopt any additional measures necessary to ensure effective and harmonized application of the provisions of this Regulation, including measures to implement resolutions of the conference of the parties to the Convention, where appropriate, and to establish procedures for marking specimens as an aid to identification and enforcement.

Article 31

Each Member State shall notify the Commission and the Secretariat of the Convention of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and action taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

Article 32

Regulation (EEC) No 3626/82 is hereby repealed.

Article 33

This Regulation shall enter into force on the date of its publication in the Official Journal of the European Communities.

It shall apply from 1 January 1993.

Articles 28, 29 and 30 shall apply from the date of entry into force.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the Council  
The President





ANNEXES A, B AND C

INTERPRETATION

1. Species included in these annexes are referred to:
  - a) by the name of the species; or
  - b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation "spp." is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Domestic forms of the species or higher taxa named in these annexes are excluded therefrom.
5. The abbreviation "p.e." is used to denote species that are possibly extinct.
6. An asterisk "\*" placed against the name of a species or higher taxon indicates that one or more geographically separate populations, subspecies or species of that species or taxon are included in Annex A and are excluded from Annex B.
7. Two asterisks "\*\*" placed against the name of a species or higher taxon indicate that one or more geographically separate populations, subspecies or species of that species or taxon are included in Annex B and are excluded from Annex A.

8. The symbols 'I', 'II' and 'III' and the symbol 'x' followed by a number placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed, as indicated in notes 9-12. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
9. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I of the Convention;
10. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II of the Convention;
11. (III) against the name of a species or higher taxon indicates that it is included in Appendix III of the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated by a two-letter code as follows : BW (Botswana), CA (Canada), CO (Colombia), CR (Costa Rica), GH (Ghana), GT (Guatemala), HN (Honduras), IN (India), MY (Malaysia), MU (Mauritius), NP (Nepal), TN (Tunisia) and UY (Uruguay).
12. The symbol "x" followed by a number placed against the name of a species or higher taxon in Annex A or B denotes that certain geographically separate populations, species, groups of species or families of that species or taxon are listed in Appendix I, II or III of the Convention, as follows :
- x701 *Dendrolagus bennettianus*, *Dendrolagus inustus*, *Dendrolagus lumholtzi* and *Dendrolagus ursinus* are listed in Appendix II.

- x702 All species are listed in Appendix II except *Ateles geoffroyi frontatus* and *Ateles geoffroyi panamensis* which are listed in Appendix I.
- x703 All species are listed in Appendix II except *Lagothrix flavicauda* which is listed in Appendix I.
- x704 The species is listed in Appendix II but subspecies *Cercocebus galeritus galeritus* is listed in Appendix I.
- x705 The species is listed in Appendix II but subspecies *Colobus pennantii kirki* is listed in Appendix I.
- x706 *Myrmecophaga tridactyla* and *Tamandua tetradactyla chapadensis* are listed in Appendix II. *Tamandua tetradactyla* is listed in Appendix III for Guatemala.
- x707 *Bradypus variegatus* is listed in Appendix II.
- x708 *Choloepus hoffmanni* is listed in Appendix III for Costa Rica.
- x709 *Cabassous centralis* is listed in Appendix III for Costa Rica and *Cabassous tatouay* is listed in Appendix III for Uruguay.
- x710 *Manis crassicaudata*, *Manis javanica* and *Manis pentadactyla* are listed in Appendix II. *Manis gigantea*, *Manis tetradactyla* and *Manis tricuspis* are listed in Appendix III for Ghana.
- x711 All species are listed in Appendix II except *Lipotes vexillifer*, *Platanista* spp., *Berardius* spp., *Hyperoodon* spp., *Physeter macrocephalus*, *Sotalia* spp., *Sousa* spp., *Neophocaena phocaenoides*, *Phocoena sinus*, *Eschrichtius robustus*, *Balaenoptera* spp. (except population of West Greenland of *Balaenoptera acutorostrata*), *Megaptera novaeangliae*, *Balaena* spp. and *Caperea marginata* which are listed in Appendix I.
- x712 The populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I and the population of the territory of the European Community is listed in Appendix II.
- x713 *Dusicyon culpaeus*, *Dusicyon griseus* and *Dusicyon gymnocercus* are listed in Appendix II.
- x714 The population of Mexico is listed in Appendix I and the population of the territory of the European Community is listed in Appendix II.

- x715 The species is listed in Appendix I except the population of Australia which is listed in Appendix II.
- x716 *Trichechus inunguis* and *Trichechus manatus* are listed in Appendix I. *Trichechus senegalensis* is listed in Appendix II.
- x717 The species is listed in Appendix II but subspecies *Equus hemionus hemionus* and *Equus hemionus khur* are listed in Appendix I.
- x718 All species are listed in Appendix II except the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan which are listed in Appendix I.
- x719 The species is listed in Appendix II but subspecies *Capra falconeri chialtanensis*, *Capra falconeri jerdoni* and *Capra falconeri megaceros* are listed in Appendix I.
- x720 *Cephalophus dorsalis*, *Cephalophus monticola*, *Cephalophus ogilbyi*, *Cephalophus sylvicultor* and *Cephalophus zebra* are listed in Appendix II.
- x721 *Gazella cuvieri*, *Gazella dorcas* and *Gazella leptoceros* are listed in Appendix III for Tunisia.
- x722 The species is listed in Appendix III for Uruguay but subspecies *Rhea americana albescens* is listed in Appendix II.
- x723 *Rhynchotus rufescens maculicollis*, *Rhynchotus rufescens pallidus* and *Rhynchotus rufescens rufescens* are listed in Appendix II.
- x724 *Ephippiorhynchus senegalensis* and *Leptoptilos crumeniferus* are listed in Appendix III for Ghana.
- x725 *Ciconia ciconia boyciana* is listed in Appendix I.
- x726 *Eudocimus ruber* is listed in Appendix II. *Hagedashia hagedash*, *Lamprolaima rara* and *Threskiornis aethiopicus* are listed in Appendix III for Ghana.
- x727 *Anas aucklandica aucklandica* and *Anas aucklandica chlorotis* are listed in Appendix II.
- x728 *Cygnus columbianus jankowskii* is listed in Appendix II.
- x729 *Sarcoramphus papa* is listed in Appendix III for Honduras.

- x730 The following species are listed in Appendix III : *Crax daubentoni* and *Crax pauxi* for Colombia, *Crax rubra* for Colombia, Costa Rica, Guatemala and Honduras, *Ortalis vetula* for Guatemala and Honduras and *Penelope purpurascens* for Honduras.
- x731 *Arborophila brunneopectus* and *Arborophila charltonii* are listed in Appendix III for Malaysia.
- x732 *Cyrtonyx montezumae mearnsi* (except the population of the USA) and *Cyrtonyx montezumae montezumae* are in Appendix II.
- x733 *Lophura erythrophthalma* and *Lophura ignita* are listed in Appendix III for Malaysia.
- x734 The species is listed in Appendix II but subspecies *Grus canadensis nesiotis* and *Grus canadensis pulla* are listed in Appendix I.
- x735 *Gallinolumba luzonica* is listed in Appendix II.
- x736 All species are listed in Appendix II except *Psittacula krameri* which is listed in Appendix III for Ghana and *Melopsittacus undulatus* and *Nymphicus hollandicus* which are not listed in the Convention.
- x737 *Tauraco corythaix* is listed in Appendix II. *Corythaecola cristata*, *Crinifer piscator*, *Musophaga violacea* and *Tauraco macrorhynchus* are listed in Appendix III for Ghana.
- x738 *Aceros narcondami*, *Buceros bicornis*, *Buceros hydrocorax* and *Buceros rhinoceros* are listed in Appendix II.
- x739 *Ramphastos sulfuratus* is listed in Appendix III for Guatemala.
- x740 *Rupicola* spp. is listed in Appendix II. *Cephalopterus ornatus* and *Cephalopterus penduliger* are listed in Appendix III for Colombia.
- x741 *Pitta brachyura nympha* and *Pitta quajana* are listed in Appendix II.
- x742 *Paroaria capitata* and *Paroaria coronata* are listed in Appendix II.
- x743 *Sphenodon punctatus* is listed in Appendix I.
- x744 All European populations except that of the Union of Soviet Socialist Republics are listed in Appendix I.
- x745 All species are listed in Appendix II but *Arlocarpus agavoides*, *Arlocarpus scaphorostrus* and *Arlocarpus trigonus* are listed in Appendix I.

13. The symbol "--" followed by a number placed against the name of a species or higher taxon denotes that designated geographically separate populations, species, groups of species or families of that species or taxon are excluded from the annex concerned as follows:

- 102 Populations of Bhutan, India, Nepal, Pakistan and of the territory of the European Community
- 103 Population of the Union of Soviet Socialist Republics
- 104 Population of China
- 106 Population of the United States of America
- 107 - Chile: part of the population of Parinacota Province, la. Region of Tarapacá;
  - Peru: populations of Pampa Galeras National Reserve and Nuclear Zone, Pedregal, Osconta and Sawacocho (Province of Lucanas), Sals Picotani (Province of Azangaro), Sals Tupac Amaru (Province of Janin), and of Salinas Aguada Blanca National Reserve (Provinces of Arequipa and Callima)
- 111 *Melospittacus undulatus* and *Nymphicus hollandicus*
- 112 Population of the Congo subject to an annual export quota of 600 (In 1990, 1991 and 1992)
- 113 Populations of Botswana, Malawi, Mozambique, Zambia and Zimbabwe and populations of the following countries subject to the specified annual export quotas:

	<u>1990</u>	<u>1991</u>	<u>1992</u>	
Cameroon	0	0	0	
Congo	0	0	0	
Ethiopia	9370	8870	8870	
(ranched skins:	6500	6000	6000	
live hatchlings:	2500	2500	2500	
hunting trophies:	50	50	50	
live adults:	20	20	20	
curios from ranching:	300	300	300)	
Kenya	5000	6000	8000	(skins and derivatives only)
Madagascar	0	2000	4000	(ranched specimens only)
Somalia	500	500	500	
Sudan	5040	0	0	
United Republic of Tanzania	1100	5100	6100	
(ranched specimens:	0	4000	6000	
wild-taken specimens:	1000	1000	0	
hunting trophies:	100	100	100)	

-114 Populations of Australia and Papua New Guinea and population of Indonesia subject to specified annual export quotas as follows:

	<u>1990</u>	<u>1991</u>	<u>1992</u>
Total	5000	6000	7500
Ranched specimens	2000	3000	5000
Wild skins with belly			
-width of 10-18 inches	3000	3000	2500

-115 Population of the Congo but with a zero annual export quota

-116 Population of Indonesia subject to specified annual export quotas (1990: 1250; 1991: 1500; 1992: 2500 including 50% ranched specimens)



- 117 Population of Chile
- 118 All species that are not succulent
- 190 Species of the subfamily in Annex A, namely *Nemosia rourei* and *Tangara fastuosa*
- 191 Except *Limonium bellidifolium*

14. The symbol "+" followed by a number placed against the name of a species or higher taxon denotes that only designated geographically separate populations, subspecies or species of that species or taxon are included in the annex concerned, as follows:

- +201 Population of South America (populations outside South America are not included in the annexes)
- +202 Populations of Bhutan, India, Nepal and Pakistan and the territory of the European Community
- +203 Populations of Mexico and of the territory of the European Community
- +204 Populations of Cameroon and Nigeria
- +205 Population of Asia
- +206 Population of India
- +207 Populations of North and Central America
- +209 - Chile: part of the population of Parinacota Province, Ica Region of Tarapacá;  
- Peru: populations of Pampa Galeras National Reserve and Nuclear Zone, Pedregal, Osconta and Sawacocho (Province of Lucanas), Sals Picotani (Province of Azangaro), Sals Tupac Amaru (Province of Janin), and of Salinas Aguada Blanca National Reserve (Provinces of Arequipa and Callima)
- +211 Population of Mexico
- +212 Populations of Algeria, Burkina Faso, Cameroon, Central African Republic, Chad, Mali, Mauritania, Morocco, Niger, Nigeria, Senegal and the Sudan
- +214 Population of Indonesia subject to specified annual export quotas (1990: 1250; 1991: 1500; 1992: 2500 including 50% ranched specimens)

- +215 All species of New Zealand
- +216 Population of Chile
- +250 Population of the territory of the European Community

15. The symbol "-" followed by a number placed against the name of a species or higher taxon denotes that the name of that species or taxon shall be interpreted as follows:

- 301 Includes family Tupaiidae
- 302 Includes generic synonym *Leontideus*
- 303 Includes synonym *Saguinus geoffroyi*
- 304 Includes synonym *Cercopithecus roloway*
- 306 Includes synonym *Colobus badius rufomitratus*
- 307 Includes generic synonym *Simias*
- 308 Includes generic synonym *Mandrillus*
- 309 Includes generic synonym *Rhinopithecus*
- 311 Includes synonym *Priodontes giganteus*
- 316 Includes generic synonym *Fennecus*
- 317 Also referenced as *Ursus thibetanus*
- 318 Includes generic synonym *Thalarchos*
- 319 Also referenced as *Aonyx microdon* or as *Paraonyx microdon*
- 320 Includes synonyms *Lutra annectens*, *Lutra enudris*, *Lutra incarum* and *Lutra platensis*
- 321 Includes synonym *Eupleres major*
- 322 Also referenced as *Lynx caracal*; Includes generic synonym *Caracal*
- 323 Also referenced as *Lynx pardinus* or *Felis lynx pardina*
- 324 Also referenced as *Lynx rufus esculnape*
- 325 Includes synonyms *Equus kiang* and *Equus onager*
- 326 Includes generic synonym *Dama*
- 327 Includes generic synonyms *Axis* and *Hyelaphus*
- 328 Includes synonym *Bos frontalis*
- 329 Includes synonym *Bos grunniens*
- 330 Includes generic synonym *Novibos*
- 331 Includes generic synonym *Anoa*
- 332 Includes synonym *Oryx tao*
- 333 Includes synonym *Ovis arles ophion*

- 334 Also referenced as *Anas platyrhynchos laysanensis*
- 336 Includes synonyms *Falco pelegrinoides* and *Falco babylonicus*
- 337 Includes generic synonym *Pipile*
- 338 Includes generic synonym *Mitu*
- 339 Also incorrectly referred to as *Rheinartia ocellata*;  
Includes synonym *Rheinartia nigrescens*
- 340 Also referenced as *Eupodotis bengalensis*
- 341 Often traded under the incorrect designation *Ara caninde*
- 342 Includes generic synonym *Cyclopsitta*
- 343 Formerly included in genus *Gallirex*
- 344 Also referenced as *Mimizuku gurneyi*
- 345 Formerly included in genus *Ramphodon*
- 346 Also referenced as *Muscicapa rueckl*
- 347 Formerly included in genus *Spinus*
- 348 Includes generic synonyms *Nicoria* and *Geoemyda* (part)
- 349 Also referenced in genus *Testudo*
- 350 Formerly included in *Podocnemis* spp.
- 351 Includes *Alligatoridae*, *Crocodylidae* and *Gavialidae*
- 352 Formerly included in *Chamaeleo* spp.
- 353 Also referenced as *Constrictor constrictor occidentalis*
- 354 Includes synonym *Pseudoboa cloelia*
- 355 Also referenced as *Hydrodynastes gigas*
- 356 Includes generic synonym *Megalobatrachus*
- 357 Ssensu D'Abbrera
- 358 Also referenced in genus *Dysnomia*
- 359 Includes generic synonym *Proptera*
- 360 Also referenced in genus *Carunculina*
- 361 Includes generic synonym *Micromya*
- 362 Includes generic synonym *Papuina*
- 363 Also referenced as *Podophyllum emodi*
- 364 Also referenced in genus *Sclerocactus*
- 365 Also referenced in genus *Echinocactus*
- 366 Also referenced in genus *Pachycereus*
- 367 Also referenced in genus *Escobaria*
- 368 Also referenced as *Echinocereus lindsayi*
- 369 Also referenced as *Wilcoxia schmollii*

- 370 Also referenced in genus *Neolloydia* or in genus *Sclerocactus*
- 371 Also referenced as *Sollisia pectinata*
- 372 Also referenced as *Lobelia macdougallii*
- 373 Also referenced in genus *Neolloydia*
- 374 Also referenced as *Saussurea lappa*
- 375 Also referenced as *Engelhardia pterocarpa*
- 376 Includes families *Apostasiaceae* and *Cypripediaceae* as subfamilies  
*Apostasioideae* and *Cypripedioideae*
- 377 Also referenced as *Lycaste virginalis* var. *alba*
- 378 Also referenced as *Sarracenia rubra alabamensis*
- 379 Also referenced as *Sarracenia rubra jonesii*
- 380 Includes synonym *Stangeria paradoxa*
- 381 Includes synonym *Welwitschia bainesii*
- 385 Includes generic synonym *Coendou*
- 386 Includes generic synonym *Cuniculus*
- 387 Includes synonym *Vulpes vulpes leucopus*
- 388 Includes synonym *Nasua narica*
- 389 Includes synonym *Gallictis allamandi*
- 390 Includes synonym *Martes gwatkinsi*
- 391 Includes generic synonym *Viverra*
- 392 Also referenced as *Tragelaphus eurycerus*;  
Includes generic synonym *Taurotragus*
- 393 Formerly included as *Bubalus bubalis* (domesticated form)
- 394 Also referenced as *Ardeola ibis*
- 395 Also referenced as *Egretta alba*
- 396 Also referenced as *Spatula clypeata*
- 397 Also referenced as *Nyroca nyroca*
- 398 Includes synonym *Dendrocygna fulva*
- 399 Also referenced as *Cairina hartlaubii*
- 402 Also referenced as *Turturoena iriditorques* or *Columba matherbilli*  
(in part)
- 403 Also referenced as *Columba mayeri*
- 404 Also referenced as *Treron australis* (in part)
- 405 Also referenced as *Calopella brehmeri*;  
Includes synonym *Calopella puella*

- 406 Also referenced as *Tympanistria tympanistria*
- 407 Also referenced as *Terpsiphone bourbonensis*
- 408 Also referenced as *Estrilda subflava* or *Sporaeginthus subflavus*
- 409 Also referenced as *Estrilda larvata*;  
Includes synonym *Lagonosticta vinacea*
- 410 Includes generic synonym *Spermestes*
- 411 Also referenced as *Euodice cantans*
- 412 Also referenced as *Hypargos nitidulus*
- 413 Includes synonym *Parmoptila rubrifrons*
- 414 Includes synonyms *Pyrenestes frommi* and *Pyrenestes rothschildi*
- 415 Also referenced as *Estrilda bengala*
- 416 Includes synonym *Bubalornis niger*
- 417 Also referenced as *Euplectes afra*
- 418 Also referenced as *Colluspasser ardens*
- 419 Also referenced as *Colluspasser macrourus*
- 420 Includes synonym *Euplectes franciscanus*
- 421 Also referenced as *Anaplectes melanotis*
- 422 Includes synonyms *Passer diffusus*, *Passer gongonensis*, *Passer suahelicus* and *Passer swainsonii*
- 423 Includes synonym *Ploceus nigriceps*
- 424 Includes synonym *Ploceus atrogularis*
- 425 Also referenced as *Sitagra luteola*
- 426 Also referenced as *Sitagra melanocephala*
- 427 Includes synonyms *Ploceus katangae*, *Ploceus reichardi*, *Ploceus ruwetii* and *Ploceus vitellinus*
- 428 Also referenced as *Hypochera chalybeata*;  
Includes synonyms *Vidua amauropteryx*, *Vidua centralis*, *Vidua neumanni*, *Vidua okavangoensis* and *Vidua ultramarina*
- 429 Includes synonym *Vidua orientalis*
- 430 Also referenced as *Pelusios subniger*
- 431 Formerly included in genus *Natrix*
- 480 Also referenced as *Phaeornis obscurus lanalensis*
- 481 Also referenced as *Phaeornis obscurus myadestinus*
- 482 Also referenced as *Loxia curvirostra scotica*
- 483 Also referenced as *Varanus grayi*
- 484 Also referenced as *Chlorophora excelsa*

16. The symbol "\*" followed by a number placed against the name of a species or higher taxon shall be interpreted as follows :

\*501 For the exclusive purpose of allowing international trade in cloth made from wool sheared from live vicuñas of the populations included in Annex B (see +209), and of the items made thereof. The reverse side of the cloth must bear the logotype adopted by the range states of the species, which are signatories to the Convenio para la conservación y Manejo de la Vicuña, and the selvages either the words VICU ANDES-CHILE or the words VICU ANDES-PERU, depending on the country of origin.

\*502 Fossils are not subject to CITES provisions.

\*520 All specimens of *Cystophora cristata* are included in Annex B except skins of young animals in the phase of pelage where they are known as "bluebacks". Only blueback skins are included in Annex A.

\*521 All specimens of *Phoca groenlandica* are included in Annex B except skins of young animals in the phase of pelage where they are known as "whitecoats". Only whitecoat skins are included in Annex A.

\*522 All specimens are included in Annex C except plant seeds, spores, pollen (including pollinia), tissue cultures and flasks seedling cultures.

17. The symbol (\*) followed by a number placed against the name of a species of higher taxon included in Annex B indicates that, in relation thereto, the only parts and derivatives considered as specimens for the purposes of this Regulation are those designated as follows :

- \*1 Designates all parts and derivatives, except :
- a) seeds, spores and pollen (including pollinia); and
  - b) tissue cultures and flasks seedling cultures

- 2 Designates all parts and derivatives except :

  - a) seeds and pollen;
  - b) tissue cultures and flasks seedling cultures; and
  - c) chemical derivatives
  
- 3 Designates roots and readily recognizable parts thereof
  
- 4 Designates all parts and derivatives, except :

  - a) seeds and pollen;
  - b) tissue cultures and flasks seedling cultures;
  - c) fruits and parts and derivatives thereof of naturalised or artificially propagated plants; and
  - d) separate stem joints (pads) and parts and derivatives thereof of naturalized or artificially propagated *Opuntia* subgenus *Opuntia* spp.
  
- 5 Designates all parts and derivatives, except :

  - a) seeds and pollen;
  - b) tissue cultures and flasks seedling cultures; and
  - c) separate leaves and parts and derivatives thereof of naturalized or artificially propagated *Aloe vera*
  
- 6 Designates all parts and derivatives except :

  - a) seeds and pollen (including pollinia);
  - b) tissue cultures and flasks seedling cultures;
  - c) cut flowers of artificially propagated plants; and
  - d) fruits and parts and derivatives thereof of artificially propagated *Vanilla* spp.





Annex A

Annex B

Annex C

Macropodidae

Bettongia spp. (1)  
Caloprymnus campestris p.e. (1)  
Lagorchestes hirsutus (1)  
Lagostrophus fasciatus (1)  
Onychogalea fraenata (1)  
Onychogalea lunata (1)

Macropodidae spp.\* x701

INSECTIVORA

Solenodontidae

Solenodon cubanus  
Solenodon paradoxus

Erinaceidae

Erinaceus aigirus

Soricidae

Crocidura canariensis

Talpidae

Galemys pyrenaicus

CHIROPTERA

Pteropodidae

Pteropus insularis (1)  
Pteropus livingstoni  
Pteropus mariannus (1)  
Pteropus molossinus (1)  
Pteropus phaeocephalus (1)  
Pteropus pilosus (1)  
Pteropus rodricensis  
Pteropus samoensis(1)  
Pteropus tonganus (1)  
Pteropus voeltzkowi

Acerodon spp. (11)  
Pteralopex spp.  
Pteropus spp.\* (11)

Annex A

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Annex C

Rhinolophidae  
Rhinolophus blasii  
Rhinolophus euryale  
Rhinolophus ferrumequinum  
Rhinolophus hipposideros  
Rhinolophus mehelyi

Phyllostomidae

Vampyrops lineatus (III, UY)

Vespertilionidae

Barbastella barbastellus  
Eptesicus nilssonii  
Eptesicus serotinus  
Miniopterus schreibersi  
Myotis bechsteini  
Myotis blythii  
Myotis brandti  
Myotis capaccinii  
Myotis dasycneme  
Myotis daubentonii  
Myotis emarginatus  
Myotis myotis  
Myotis mystacinus  
Myotis nattereri  
Nyctalus lasiopterus  
Nyctalus leisleri  
Nyctalus noctula  
Pipistrellus kuhlii  
Pipistrellus maderensis  
Pipistrellus nathusii  
Pipistrellus pipistrellus  
Pipistrellus savii  
Plecotus auritus  
Plecotus austriacus  
Vespertilio murinus

Molossidae

Tadarida teniotis

Annex A

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Annex C

PRIMATES

Lemuridae

Lemuridae spp. (1)

Cheirogaleidae

Cheirogaleidae spp. (1)

Indridae

Indridae spp. (1)

Daubentonidae

Daubentonia madagascariensis (1)

Lorisidae

Nycticebus pygmaeus (11)

Tarsiidae

Tarsius pumilus (11)  
Tarsius syrichta (11)

Callithricidae

Callithrix humeralifer (11)  
Callithrix jacchus aurita (1)  
Callithrix jacchus flaviceps (1)  
Leontopithecus spp. -302 (1)  
Saguinus bicolor (1)  
Saguinus leucopus (1)  
Saguinus oedipus -303 (1)

Callimiconidae

Callimico goeldii (1)

Cebidae

Alouatta palliata (1)  
Ateles spp. x702  
Brachyteles arachnoides (1)  
Cacajao spp. (1)  
Callicebus personatus (11)  
Chiropotes albinasus (1)  
Lagothrix spp. x703  
Saimiri oerstedii (1)

Cercopithecoidea

Allenopithecus nigroviridis (11)  
Cercocebus galeritus x704  
Cercopithecus diana -304 (1)

PRIMATES spp. • -301 (11)

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Annex C

Cercopithecus solatus (11)  
Colobus pennantii x705  
Colobus preussi (11)  
Colobus rufomitratus -306 (1)  
Colobus satanas (11)  
Macaca silenus (1)  
Nasalis spp. -307 (1)  
Papio leucophaeus -308 (1)  
Papio sphinx -308 (1)  
Presbytis entellus (1)  
Presbytis francoisi (11)  
Presbytis gaei (1)  
Presbytis johnii (11)  
Presbytis pileata (1)  
Presbytis potenziani (1)  
Pygathrix spp. -309 (1)

Hylobatidae

Hylobatidae spp. (1)

Pongidae

Pongidae spp. (1)

EDENTATA

Myrmecophagidae

Myrmecophagidae spp. x706

Bradypodidae

Bradypodidae spp.\* x707

Bradypus torquatus

Choloepidae

Choloepidae spp. x708

Dasypodidae

Dasypodidae spp.\* x709

Priodontes maximus -311

PHOLIDOTA

Manidae

Manis spp.\* x710

Manis temminckii (1)

Annex A

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Annex C

LAGOMORPHA

Leporidae

Caprolagus hispidus (I)

Lepus capensis  
Lepus timidus

Romerolagus diazi (I)

RODENTIA

Gliridae

Dryomys nitidula  
Muscardinus avellanarius  
Myomimus roachi

Sciuridae

Cynomys mexicanus (I)

Epixerus ebii (III, GH)  
Marmota caudata (III, IN)  
Marmota himalayana (III, IN)

Ratufa spp.\* (II)

Ratufa Indica (II)  
Sciurus anomalus

Sciurus deppel (III, CR)

Spermophilus citellus

Castoridae

Castor fiber

Castor canadensis

Anomaluridae

Anomalurus beecrofti (III, GH)  
Anomalurus derbianus (III, GH)  
Anomalurus pelli (III, GH)  
Idiurus macrotis (III, GH)

Cricetidae

Cricetus cricetus

Arvicolidae

Microtus cabrerae  
Microtus oeconomus arenicola

Ondatra zibethicus

Annex A

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Annex C

Muridae            Leporillus conditor (I)  
                  Pseudomys praeconis (I)  
                  Xeromys myoides (I)  
                  Zygomys pedunculatus (I)

Zapodidae        Sicista betulina

Hystriidae        Hystrix cristata (III, GH)

Erethizontidae

Agoutidae

Dasyproctidae

Chinchillidae    Chinchilla spp. +201 (I)

Capromyidae     Capromys spp.

CETACEA          CETACEA spp. x711

CARNIVORA

Canidae

Canis lupus\*\* +202 x712  
Canis rufus  
Canis sinensis  
Chrysocyon brachyurus (II)  
Cuon alpinus (II)

Lycaon pictus  
Speothos venaticus (I)

Canis aureus (III, IN)  
Canis latrans  
Canis lupus\* -102 (II)

Dusicyon spp. x713

Urocyon spp.

Sphiggurus mexicanus -385 (III, HN)  
Sphiggurus spinosus -385 (III, UY)

Agouti paca -386 (III, HN)

Dasyprocta punctata (III, HN)

Vulpes bengalensis (III, IN)

Annex A

Annex B

Annex C

Ursidae

Alluopoda melanoleuca (1)  
Helarctos malayanus (1)  
Melursus ursinus (1)  
Selenarctos thibetanus -317 (1)  
Tremarctos ornatus (1)

Ursus arctos\*\* +203 x714  
Ursus arctos isabellinus (1)  
Ursus arctos pruinosus (1)

Procyonidae

Mustelidae

Aonyx congica\*\* -319 +204 (1)

Enhydra lutris nereis (1)

Gulo gulo

Lutra felina (1)  
Lutra longicaudis -320 (1)  
Lutra lutra (1)  
Lutra provocax (1)

Vulpes cana (11)

Vulpes zerda -316 (11)

Ursus americanus (111, CA)  
Ursus arctos\* -103 (11)

Ursus maritimus -318 (11)

Allurus fulgens (11)

Procyon lotor

Conepatus humboldtii (11)

Lutrinae spp.\* (11)

Martes americana

Vulpes vulpes griffithi (111, IN)  
Vulpes vulpes montana (111, IN)  
Vulpes vulpes pusilla -387 (111, IN)

Bassaricyon gabbii (111, CR)  
Bassariscus sumichrasti (111, CR)  
Nasua nasua -388 (111, HN)  
Nasua nasua solitaria (111, UY)  
Potos flavus (111, HN)

Eira barbara (111, HN)

Galictis vittata -389 (111, CR)

Annex A

Annex B

Annex C

Viverridae

*Mustela lutreola*  
*Mustela nigripes* (I)

*Pteronura brasiliensis* (I)

*Cynogale bennettii* (II)  
*Eupleres goudotii* -321 (II)  
*Fossa fossa* (II)

*Liberiictis kuhni*

*Prionodon linsang* (II)  
*Prionodon pardicolor* (I)

Herpestidae

*Martes martes*  
*Martes pennanti*  
*Martes zibellina*

*Mustela erminea* (III, IN)

*Mustela putorius*

*Taxidea taxus*

*Cryptoprocta ferox* (II)

*Genetta genetta*  
*Hemigalus derbyanus* (II)

*Martes flavigula* -390 (III, IN)  
*Martes foina intermedia* (III, IN)

*Mellivora capensis* (III, BW, GH)  
*Mustela altaica* (III, IN)

*Mustela kathiah* (III, IN)

*Mustela sibirica* (III, IN)

*Arctictis binturong* (III, IN)  
*Civettictis civetta* -391 (III, BW)

*Paguma larvata* (III, IN)  
*Paradoxurus hermaphroditus* (III, IN)  
*Paradoxurus jerdoni* (III, IN)

*Viverra megaspila* (III, IN)  
*Viverra zibetha* (III, IN)  
*Viverricula indica* (III, IN)

*Herpestes auropunctatus* (III, IN)  
*Herpestes edwardsi* (III, IN)



Annex A

Annex B

Annex C

Herpestes fuscus (III, IN)

Herpestes smithii (III, IN)

Herpestes urva (III, IN)

Herpestes vitticollis (III, IN)

Proteles cristatus (III, BW)

Herpestes ichneumon

Proterididae

Hyaenidae

Hyaena brunnea (I)

Felidae

Felidae spp.\* (II)

Acinonyx jubatus (I)

Felis badia (II)

Felis bengalensis bengalensis\*\* -104 (I)

Felis caracal\*\* +205 -322 (I)

Felis concolor coryi (I)

Felis concolor costaricensis (I)

Felis concolor cougar (I)

Felis geoffroyi (II)

Felis iriomotensis (II)

Felis jacobita (I)

Felis lynx\*\* +250 (II)

Felis marmorata (I)

Felis nigripes (I)

Felis pardalis (I)

Felis pardina -323 (I)

Felis planiceps (I)

Felis rubiginosa\*\* +206 (I)

Felis rufa escuinapae -324 (I)

Felis silvestris (II)

Felis temminckii (I)

Felis tigrina (I)

Felis wiedii (I)

Felis yagouaroundi\*\* +207 (I)

Neofelis nebulosa (I)

Panthera leo persica (I)

Panthera onca (I)

Panthera pardus (I)

Annex A

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Annex C

Panthera tigris (1)  
Panthera unclia (1)

PINNIPEDIA

Otariidae

Arctocephalus philippii (11)  
Arctocephalus townsendi (1)  
Eumetopias jubatus

Arctocephalus spp.\* (11)

Neophoca cinerea  
Phocarcos hookeri

Odobenidae

Odobenus rosmarus (111, CA)

Phocidae

Cystophora cristata \*520  
  
Monachus spp. (1)  
Phoca groenlandica \*521

Cystophora cristata \*520  
Erignathus barbatus  
Halichoerus grypus  
Mirounga spp. (11)  
  
Phoca groenlandica \*521  
Phoca hispida  
Phoca vitulina

TUBULIDENTATA

Orycteropodidae

Orycteropus afer (11)

PROBOSCIDEA

Elephantidae

Elephas maximus (1)  
Loxodonta africana (1)

SIRENIA

Dugongidae

Dugong dugon x715

	Annex A	Annex B	Annex C
Trichechidae	Trichechidae spp. x716		
<b>PERISSODACTYLA</b>			
Equidae	Equus africanus (I) Equus grevyi (I) Equus hemionus -325 x717 Equus przewalskii (I)  Equus zebra zebra (I)	Equus zebra hartmannae (II)	
Tapiridae	Tapiridae spp.** (I)	Tapirus terrestris (II)	
Rhinocerotidae	Rhinocerotidae spp. (I)		
<b>ARTIODACTYLA</b>			
Suidae	Babyrousa babyrussa (I) Sus salvanus (I)		
Tayassuidae		Tayassuidae spp.* -106 (II)	
Hippopotamidae	Catagonus wagneri (I)  Choeropsis iberiensis (II)	Hippopotamus amphibius (III, GH)	
Camelidae	Vicugna vicugna -107 ** (I)	Lama guanicoe (II) Vicugna vicugna* +209 *501 (II)	
Tragulidae			Hyemoschus aquaticus (III, GH)
Cervidae	Blastocerus dichotomus (I) Cervus dama mesopotamicus -326 (I) Cervus duvaucelli (I)	Cervus elaphus bactrianus (II)	Cervus elaphus barbarus (III, TN)

Annex A

Annex B

Annex C

Cervus elaphus corsicanus  
Cervus elaphus hanglu (I)  
Cervus eldi (I)  
Cervus porcinus annamiticus -327 (I)  
Cervus porcinus calamianensis -327 (I)  
Cervus porcinus kuhli -327 (I)  
Hippocamelus spp. (I)

Moschus spp. x718  
Muntiacus crinifrons (I)  
Muntiacus feal

Ozotoceros bezoarticus (I)

Pudu pudu (I)

Bovidae

Addax nasomaculatus (I)

Antilocapra americana mexicana (II)  
Antilocapra americana peninsularis (I)  
Antilocapra americana sonoriensis (I)

Bison bison athabasca (I)

Bos gaurus -328 (I)

Bos mutus -329 (I)  
Bos sauveli -330 (I)

Bubalus depressicornis -331 (I)  
Bubalus mindorensis -331 (I)  
Bubalus quarlesi -331 (I)

Capra aegagrus  
Capra falconeri x719

Pudu mephistophiles (II)

Ammodorcas clarki  
Ammotragus lervia (II)  
Antilocapra americana\*

Bos javanicus

Budorcas taxicolor (II)

Mazama americana cerasina (III, GT)

Odocoileus virginianus mayensis (III, GT)

Antilope cervicapra (III, NP)

Boocercus eurycerus -392 (III, GH)

Bubalus arnee -393 (III, NP)

Annex A

Capra pyrenalca pyrenalca  
Capricornis sumatraensis (I)

Cephalophus jentinki (I)

Gazella dama (I)

Hippotragus niger variani (I)

Nemorhaedus goral (I)  
Oryx dammah -332 (I)  
Oryx leucoryx (I)

Ovis ammon hodgsoni (I)

Ovis musimon  
Ovis orientalis ophion -333 (I)  
Ovis vignei (I)  
Pantholops hodgsoni (I)

Rupicapra rupicapra balcanica  
Rupicapra rupicapra ornata (I)

Annex B

Capra ibex  
Capra pyrenalca \*

Cephalophus spp.\* x720

Damaliscus dorcas dorcas (II)  
Damaliscus hunteri

Gazella spp.\* x721

Hemitragus spp.  
Hippotragus equinus (II)

Kobus leche (II)

Ovis ammon\* (II)

Ovis canadensis +211 (II)

Rupicapra rupicapra\*

Tragelaphus buxtoni

Annex C

Damaliscus lunatus (III, GH)

Tetracerus quadricornis (III, NP)

Tragelaphus spekii (III, GH)

Annex A

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Annex C

AVES

STRUTHIONIFORMES

Struthionidae      *Struthio camelus* +212 (1)

RHEIFORMES

Rheidae      *Pterocnemia pennata* (1)

*Rhea americana* x722

CASUARIIFORMES

Casuaridae

Casuaridae spp.

TINAMIFORMES

Tinamidae

*Tinamus solitarius* (1)

*Rhynchotus rufescens* x723

SPHENISCIFORMES

Spheniscidae

*Spheniscus humboldti* (1)

*Spheniscus demersus* (11)

GAVIIFORMES

Gavidae

Gavidae spp.

PODICIPEDIFORMES

Podicipedidae

*Podiceps auritus*  
*Podiceps cristatus*  
*Podiceps grisegena*

*Podiceps major*

*Podiceps nigricollis*

Annex A

*Podilymbus gigas* (1)

*Tachybaptus ruficollis*

PROCELLARIIFORMES

Diomedelidae

*Diomedea albatrus* (1)

*Diomedea amsterdamensis*

*Podilymbus podiceps*

*Diomedea exulans*

*Diomedea melanophris*

Procellariidae

*Bulweria bulwerii*

*Calonectris diomedea*

*Daption capense*

*Fulmarus glacialis*

*Pterodroma hasitata*

*Pterodroma mollis feae*

*Pterodroma mollis madeira*

*Puffinus assimilis*

*Puffinus gravis*

*Puffinus griseus*

*Puffinus puffinus*

Hydrobatidae

*Hydrobates pelagicus*

*Oceanodroma castro*

*Oceanodroma leucorhoa*

*Oceanodroma monorhis*

*Oceanites oceanicus*

*Pelagodroma marina*

PELECANIFORMES

Phaethontidae

*Phaethon aethereus*

Pelecanidae

Pelecanidae spp.\*

*Pelecanus crispus* (1)

Annex C

	Annex A	Annex B	Annex C
	Pelecanus onocrotalus Pelecanus philippensis		
Sulidae	Morus bassanus Sula abbotti (I)	Sula dactylatra Sula leucogaster	
Phalacrocoracidae	Haliastur pygmaeus Phalacrocorax aristotelis Phalacrocorax carbo		
Fregatidae	Fregata andrewsi (I)	Fregata magnificens	
CICONIIFORMES			
Ardeidae	Ardea cinerea  Ardea humbloti Ardea imperialis Ardea purpurea  Ardeola railoides  Botaurus stellaris Bubulcus ibis -394 (III, GH)  Casmerodius albus -395 (III, GH) Egretta eulophotes Egretta garzetta (III, GH)  Gorsachius golsagi	Agamia agami  Ardea herodias  Ardea sumatrana Ardeola idae  Botaurus lentiginosus  Butorides striatus  Egretta gularis Egretta thula	Ardea goliath (III, GH)



Annex A

Annex B

Annex C

Gorsachius magnificus

Gorsachius melanolophus  
Hydranassa caerulea  
Hydranassa tricolor  
Hydranassa vinaceigula  
Ixobrychus eurhythmus  
Ixobrychus exilis

Ixobrychus minutus

Ixobrychus sturmi

Nycticorax nycticorax

Tigrisoma fasciatum  
Zebrius undulatus

Balaenicipitidae

Balaeniceps rex (11)

Scopidae

Scopus umbretta

Ciconiidae

Ciconiidae spp.\* x724

Ciconia ciconia x725  
Ciconia nigra (11)  
Ciconia episcopus stormi  
Jabiru mycteria (1)  
Leptoptilos dubius  
Leptoptilos javanicus  
Mycteria cinerea (1)

Threskiornithidae

Threskiornithidae spp.\* x726

Geronticus calvus (11)  
Geronticus eremita (1)  
Nipponia nippon (1)  
Platalea leucorodia (11)  
Platalea minor  
Plegadis falcinellus  
Thaumatibis gigantea

Phoenicopteridae

Phoenicopteridae spp.\* (11)

Annex A

Annex B

Annex C

Phoenicopterus ruber (11)

ANSERIFORMES

Anhimidae

Anatidae

Anas americana

Anas aucklandica neslotis (1)

Anas discors

Anas laysanensis -334 (1)

Anas oustaletii (1)

Anas rubripes

Anser caerulescens

Anser erythropus

Aythya collaris

Aythya innotata

Chauna chavaria

Aix sponsa

Anas acuta (111, GH)

Anas aucklandica\* x727

Anas bernieri (11)

Anas clypeata -396 (111, GH)

Anas crecca (111, GH)

Anas formosa

Anas penelope (111, GH)

Anas platyrhynchos

Anas querquedula (111, GH)

Anas strepera

Anser albifrons

Anser anser

Anser fabalis

Aythya affinis

Aythya baeri

Aythya ferina

Aythya fulligula

Alopochen aegyptiacus (111, GH)

Anas capensis (111, GH)

Annex A

*Aythya nyroca* -397 (III, GH)

*Branta canadensis leucopareia* (I)

*Branta leucopsis*

*Branta ruficollis* (II)

*Branta sandvicensis* (I)

*Caarina scutulata* (I)

*Cygnus columbianus bewickii*

*Cygnus cygnus*

*Marmaronetta angustirostris*

*Melanitta perspicillata*

*Mergus albellus*

Annex B

*Aythya marila*

*Branta bernicla*

*Branta canadensis*\*

*Bucephala albeola*

*Bucephala clangula*

*Bucephala islandica*

*Clangula hyemalis*

*Coscoroba coscoroba* (II)

*Cygnus columbianus*\* x728

*Cygnus melanocoryphus* (II)

*Cygnus olor*

*Dendrocygna arborea* (II)

*Dendrocygna bicolor* -398 (III, GH, HN)

*Dendrocygna viduata* (III, GH)

*Histrionicus histrionicus*

*Hymenolaimus malacorhynchus*

*Melanitta fusca*

*Melanitta nigra*

*Mergus cucullatus*

*Mergus merganser*

*Mergus octosetaceus*

*Mergus serrator*

*Mergus squamatus*

*Neochen jubatus*

Annex C

*Caarina moschata* (III, HN)

*Dendrocygna autumnalis* (III, HN)

Annex A

Annex B

Annex C

Oxyura leucocephala (II)

Netta rufina

Nettapus auritus (III, GH)

Rhodonessa caryophyllacea p.e.(I)

Polysticta stelleri

Plectropterus gambensis (III, GH)

Somateria spectabilis

Tadorna cristata

Tadorna ferruginea

Tadorna tadorna

Sarkidiornis melanotos (II)

Somateria mollissima

Pteronetta hartlaubii -399 (III, GH)

FALCONIFORMES

Cathartidae

Gymnogyps californianus (I)

Vultur gryphus (I)

Cathartidae spp.\* x729

Pandionidae

Pandion haliaetus (II)

Accipitridae

Accipiter brevipes (II)

Accipiter gentilis (II)

Accipiter nisus (II)

Aegyptus monachus (II)

Aquila chrysaetos (II)

Aquila clanga (II)

Aquila heliaca (I)

Aquila pomarina (II)

Buteo buteo (II)

Buteo galapagoensis (II)

Buteo ridgwayi (II)

Buteo rufinus (II)

Buteo lagopus (II)

Chondrohierax uncinatus wilsonii (I)

Accipitridae spp.\* (II)

Annex A

Annex B

Annex C

*Circaetus gallicus* (II)  
*Circus aeruginosus* (II)  
*Circus cyaneus* (II)  
*Circus macrourus* (II)  
*Circus pygargus* (II)  
*Elanus caeruleus* (II)  
*Eurhlorchis astur* (II)  
*Gypaetus barbatus* (II)  
*Gyps fulvus* (II)  
*Haliaeetus albicilla* (I)  
*Haliaeetus leucocephalus* (I)  
*Haliaeetus pelagicus* (II)  
*Haliaeetus sanfordi* (II)  
*Haliaeetus vociferoides* (II)  
*Harpia harpyja* (I)  
*Henicopernis infusata* (II)  
*Hieraaetus fasciatus* (II)  
*Hieraaetus pennatus* (II)  
*Leucopternis lacernulata* (II)  
*Leucopternis occidentalis* (II)  
*Milvus migrans* (II)  
*Milvus milvus* (II)  
*Neophron percnopterus* (II)  
*Pernis ptilorhynchus* (II)  
*Pithecophaga jefferyi* (I)  
*Spilornis cheela kinabaluensis* (II)  
*Spilornis oligini* (II)  
*Spizaetus bartelsi* (II)

Sagittariidae

Sagittariidae spp. (II)

Falconidae

Falconidae spp.\* (II)

*Falco araea* (I)  
*Falco biarmicus* (II)  
*Falco cherrug* (II)  
*Falco columbarius* (II)  
*Falco eleonorae* (II)

Annex A

Annex B

Annex C

Falco jugger (I)  
Falco naumanni (II)  
Falco newtoni aldabranus (I)  
Falco peregrinus -336 (I)  
Falco punctatus (I)  
Falco rusticolus (I)  
Falco subbuteo (II)  
Falco tinnunculus (II)  
Falco vespertinus (II)

GALLIFORMES

Megapodiidae

Macrocephalon maleo (I)

Cracidae

Aburria jacutinga -337 (I)  
Aburria pipile pipile -337 (I)  
Crax alberti (III, CO)  
Crax blumenbachii (I)  
Crax globulosa (III, CO)  
Crax mitu mitu -338 (I)  
Oreophasis derbianus (I)  
Ortalis erythroptera  
Penelope albipennis (I)  
Penelope barbata  
Penelope dabbeni  
Penelope ochrogaster  
Penelopina nigra (III, GT)

Phasianidae

Agelastes meleagrides (III, GH)

Alectoris chukar

Megapodiidae spp.\*

Cracidae spp.\* x730

Afropavo congensis

Alectoris barbara

Alectoris graeca

Agriocharis ocellata (III, GT)

Annex A

Arborophila ardens  
Arborophila rufipectus

Catreus wallichii (I)  
Collinus virginianus ridgwayi (I)

Crossoptilon crossoptilon (I)  
Crossoptilon mantchuricum (I)

Francolinus francolinus

Lophophorus spp. (I)

Lophura bulweri  
Lophura edwardsi (I)  
Lophura hatinhensis  
Lophura imperialis (I)  
Lophura swinhoei (I)

Odontophorus strophium  
Ophrysa superciliosa

Annex B

Alectoris rufa  
Arborophila spp.\* x731

Argusianus argus (II)  
Bonasa bonasia

Coturnix coturnix

Cyrtonyx montezumae x732

Gallus sonneratii (II)  
Ithaginis cruentus (II)  
Lagopus lagopus  
Lagopus mutus

Lophura spp.\* x733

Pavo muticus (II)  
Perdix perdix  
Phasianus colchicus  
Polyplectron bicalcaratum (II)

Annex C

Caloperdix oculea (III, MY)

Meianoperdix nigra (III, MY)

Annex A

*Polyplectron ophanum* (1)

*Rheinardia ocellata* -339 (1)

*Syrnaticus ellioti* (1)

*Syrnaticus humiae* (1)

*Syrnaticus mikado* (1)

*Tetraogallus caspius* (1)

*Tetraogallus tibetanus* (1)

*Tragopan blythii* (1)

*Tragopan caboti* (1)

*Tragopan melanocephalus* (1)

*Tympanuchus cupido attwateri* (1)

GRUIFORMES

Mesitornithidae

Turnicidae

*Turnix sylvatica*

Pedionomidae

Gruidae

*Bucconyx carunculatus* (11)

*Grus americana* (1)

*Grus canadensis* x734

*Grus grus* (11)

*Grus japonensis* (1)

Annex B

*Polyplectron germaini* (11)

*Polyplectron malacense* (11)

*Tetrao tetrix*

*Tetrao urogallus*

Mesitornithidae spp.

*Turnix melanogaster* (11)

*Pedionomus torquatus* (11)

Gruidae spp.\* (11)

Annex C

*Polyplectron inopinatum* (111, MY)

*Rhizothera longirostris* (111, MY)

*Rollulus rouloul* (111, MY)

*Tragopan satyra* (111, NP)



Annex A

Annex B

Annex C

Grus leucogeranus (I)  
Grus monacha (I)  
Grus nigricollis (I)  
Grus vipio (I)

Psophiidae

Psophiidae spp.

Rallidae

Crex crex

Fulica americana  
Fulica atra

Fulica cristata

Gallinula chloropus  
Gallirallus australis hectori (II)  
Limnocorax flavirostra

Notornis mantelli  
Porphyrio porphyrio

Porphyryula alieni  
Porphyryula martinica  
Porzana carolina

Porzana parva  
Porzana porzana  
Porzana pusilla

Rallus aquaticus

Tricholimnas sylvestris (I)

Rhynochetidae

Rhynochetos jubatus (I)

Otididae

Otididae spp.\* (II)

Chlamydotis undulata (I)  
Choriotis nigriceps (I)  
Houbaropsis bengalensis -340 (I)  
Otis tarda (II)  
Sypheotides indica (II)  
Tetrax tetrax (II)

Annex A

Annex B

Annex C

CHARADRIIFORMES

Haematopodidae

Haematopus ostralegus

Recurvirostridae

Recurvirostridae spp.\*

Himantopus himantopus  
Himantopus novaezelandiae  
Recurvirostra avosetta

Burhinidae

Burhinus bistriatus (III, GT)

Burhinus oedichemus

Glareolidae

Cursorius cursor

Glareola maldivarum

Glareola pratincola  
Glareola nordmanni

Pluvianus aegyptius

Charadriidae

Charadrius alexandrinus

Charadrius asiaticus

Charadrius dubius  
Charadrius hiaticula

Charadrius leschenaultii  
Charadrius mongolus  
Charadrius semipalmatus  
Charadrius vociferus

Eudromias morinellus

Pluvialis apricaria  
Pluvialis dominica  
Pluvialis squatarola  
Vanellus gregarius  
Vanellus leucurus

Vanellus spinosus

Vanellus vanellus

Scolopacidae

Actitis hypoleucos  
Actitis macularia  
Arenaria interpres

Annex A

*Calidris alba*  
*Calidris alpina*

*Calidris ferruginea*

*Calidris maritima*

*Calidris melanotos*  
*Calidris minuta*

*Calidris temminckii*

*Gallinago media*

*Limicola falcinellus*

*Numenius borealis* (1)

*Numenius tenuirostris* (1)  
*Phalaropus lobatus*

Annex B

*Bartramia longicauda*  
*Calidris acuminata*

*Calidris bairdii*  
*Calidris canutus*

*Calidris fuscicollis*

*Calidris mauri*

*Calidris minutilla*  
*Calidris pusilla*  
*Calidris ruficollis*  
*Calidris subminuta*

*Calidris tenuirostris*  
*Catoptrophorus semipalmatus*  
*Gallinago gallinago*

*Heteroscelus brevipes*

*Limnodromus griseus*  
*Limnodromus scolopaceus*  
*Limnodromus semipalmatus*  
*Limosa haemastica*  
*Limosa lapponica*  
*Limosa limosa*  
*Lymnocyptes minimus*  
*Micropalama himantopus*  
*Numenius arquata*

*Numenius minutus*  
*Numenius phaeopus*

Annex C

Annex A

Phalaropus fulicarius

Tringa glareola  
Tringa guttifer (1)

Tringa ochropus

Tringa stagnatilis

Xenus cinereus

Stercorariidae

Catharacta skua  
Stercorarius longicaudus  
Stercorarius parasiticus  
Stercorarius pomarinus

Laridae

Chlidonias hybridus  
Chlidonias leucopterus  
Chlidonias niger  
Gelocheildon nilotica  
Hydropogone caspia

Larus audouinii  
Larus cachinnans

Larus genei  
Larus glaucooides

Annex B

Phalaropus tricolor  
Philomachus pugnax  
Scolopax rusticola  
Tringa erythropus  
Tringa flavipes

Tringa melanoleuca  
Tringa nebularia

Tringa solitaria

Tringa totanus  
Tryngites subruficollis

Anous stolidus

Larus argentatus  
Larus atricilla

Larus canus  
Larus cirrocephalus  
Larus delawarensis  
Larus fuscus

Annex C

Annex A

Larus hyperboreus

Larus melanocephalus  
Larus minutus

Larus relictus (1)

Rissa tridactyla  
Sterna albifrons

Sterna dougallii

Sterna hirundo  
Sterna paradisaea

Thalasseus sandvicensis  
Xema sabinii

**Alcidae**

Alca torda  
Alle alle  
Cepphus grylle  
Fratercula arctica  
Uria aalge

**COLUMBIFORMES**

**Pteroclididae** Pterocles alchata

Annex B

Larus ichthyaetus  
Larus leucophthalmus  
Larus marinus

Larus philadelphia  
Larus pipixcan

Larus ridibundus  
Pagophila alba  
Rhodostethia rosea

Sterna aleutica  
Sterna anaethetus

Sterna elegans  
Sterna forsteri  
Sterna fuscata

Thalasseus bengalensis  
Thalasseus maximus

Uria lomvia

Annex C

Annex A

Annex B

Annex C

Columbidae

*Pterocles orientalis*

*Syrrhaptes paradoxus*

*Caloenas nicobarica* (I)

*Claravis godefrida*

*Columba bollii*

*Columba caribaea*

*Columba junoniae*

*Columba trocaz*

*Didunculus strigirostris*

*Drepanoptila holosericea*

*Ducula aurorae*

*Ducula galeata*

*Ducula goliath*

*Ducula mindorensis* (I)

*Ducula whartoni*

*Gallicolumba erythroptera*

*Geotrygon caniceps*

*Leptotilia wellsi*

*Nesoenas mayeri* -403 (III, MU)

*Ptilinopus huttoni*

*Ptilinopus roseicapilla*

*Pterocles senegallus*

*Alectroenas* spp.

*Columba livia* (III, GH)

*Columba oenas*

*Columba oliviae*

*Columba palumbus*

*Ducula* spp.\*

*Gallicolumba* spp.\* x735

*Goura* spp. (II)

*Otidiphaps nobilis*

*Columba guinea* (III, GH)

*Columba iriditorques* -402 (III, GH)

*Columba unicolor* (III, GH)

*Oena capensis* (III, GH)

Annex A

Annex B

Annex C

*Streptopelia decaocto*

*Streptopelia orientalis*

*Streptopelia senegalensis* (III, GH)

*Streptopelia turtur* (III, GH)

*Zenaida macroura graysoni*

*Streptopelia decipiens* (III, GH)

*Streptopelia roseogrisea* (III, GH)

*Streptopelia semitorquata* (III, GH)

*Streptopelia vinacea* (III, GH)

*Treron calva* -404 (III, GH)

*Treron waalia* (III, GH)

*Turtur abyssinicus* (III, GH)

*Turtur afer* (III, GH)

*Turtur brehmeri* -405 (III, GH)

*Turtur tympanistria* -406 (III, GH)

PSITTACIFORMES spp.\* -111 x736

PSITTACIFORMES

*Amazona agilis* (II)  
*Amazona araucariaca* (I)  
*Amazona barbadensis* (I)  
*Amazona brasiliensis* (I)  
*Amazona collaria* (II)  
*Amazona dufresniana rhodocorytha* (I)  
*Amazona guildingii* (I)  
*Amazona imperialis* (I)  
*Amazona leucocephala* (I)  
*Amazona pretrei* (I)  
*Amazona tucumana* (I)  
*Amazona ventralis* (II)  
*Amazona versicolor* (I)  
*Amazona vinacea* (I)  
*Amazona vittata* (I)  
*Anodorhynchus* spp. (I)  
*Ara ambigua* (I)  
*Ara glaucogularis* -341 (I)  
*Ara macao* (I)

Annex A

Annex B

Annex C

Ara maracana (I)  
Ara militaris (I)  
Ara rubrogenys (I)  
Aratinga euops (II)  
Aratinga guarouba (I)  
Brotogeris pyrrhopterus (II)  
Cacatua moluccensis (I)  
Chamosyna diadema (II)  
Cyanopsitta spixii (I)  
Cyanoramphus auriceps forbesi (I)  
Cyanoramphus novaezealandiae (I)  
Geopsittacus occidentalis p.e.(I)  
Leptosittaca branickii (II)  
Lorius tibilis (II)  
Neophema chrysogaster (I)  
Ognorhynchus icterotis (I)  
Opopsitta diophthalma coxeni -342 (I)  
Pezoporus wallicus (I)  
Plonopsitta pileata (I)  
Probosciger aterrimus (I)  
Prosopela spp. (II)  
Psephotus chrysopterygius (I)  
Psephotus pulcherrimus p.e.(I)  
Psittacula echo (I)  
Psittacula intermedia (II)  
Psittacus erithacus princeps (I)  
Pyrrhura cruentata (I)  
Pyrrhura hypoxantha (II)  
Rhynchopsitta spp. (I)  
Strigops habroptilus (I)  
Tanygnathus heterurus (II)  
Vini spp. (II)

CUCULIFORMES

Musophagidae

Tauraco bannermani

Musophagidae spp.\* x737



Annex A

Annex B

Annex C

Tauraco porphyreolophus =343 (11)  
Tauraco ruspolii

Cuculidae

Centropus chlororhynchus  
Ciamator glandarius

Coccyzus americanus  
Coccyzus erythrophthalmus

Cuculus canorus

STRIGIFORMES

Tytonidae

Tyto alba (11)  
Tyto soumagnei (1)

Tytonidae spp.\* (11)

Strigidae

Aegolius funereus (11)  
Asio flammeus (11)  
Asio otus (11)  
Athene blewitti (1)  
Athene noctua (11)  
Bubo bubo (11)  
Glaucidium passerinum (11)  
Ninox novaeseelandiae royana (1)  
Ninox squamipila natalis (1)  
Nyctea scandiaca (11)  
Otus gurneyi =344 (1)  
Otus ireneae (11)  
Otus scops (11)  
Strix aluco (11)  
Strix uralensis (11)

Strigidae spp.\* (11)

CAPRIMULGIFORMES

Caprimulgidae

Caprimulgus europaeus  
Caprimulgus ruficollis

Caprimulgus aegyptius

	Annex A	Annex B	Annex C
		Chordeiles minor	
<b>APODIFORMES</b>			
<b>Apodidae</b>	Apus apus Apus caffer Apus melba  Apus pallidus	Apus affinis  Apus pacificus  Chaetura pelagica Hirundapus caudacutus	
<b>Trochilidae</b>	Glaucis dohrnii -345 (1)	Trochilidae spp.* (11)	
<b>TROGONIFORMES</b>			
<b>Trogonidae</b>	Pharomachrus mocinno (1)	Trogonidae spp.*	
<b>CORACIIFORMES</b>			
<b>Alcedinidae</b>	Alcedo atthis	Alcedinidae spp.*	
<b>Momotidae</b>		Momotidae spp.	
<b>Meropidae</b>	Merops aplaster	Meropidae spp.*	
<b>Coraciidae</b>	Coracias garrulus	Coraciidae spp.*	
<b>Upupidae</b>	Upupa epops		
<b>Bucerotidae</b>		Bucerotidae spp.* x738	

Annex A

Annex B

Annex C

Buceros bicornis hornal (1)  
Rhinoplax vigil (1)

PICIFORMES

Capitonidae

Semnornis ramphastinus (III, C0)

Ramphastidae

Ramphastidae spp. x739

Picidae

Campephilus imperialis (1)  
Campephilus principalis  
Dryocopus javensis richardsi (1)  
Dryocopus martius  
Jynx torquilla  
Picoides leucotos  
Picoides major  
Picoides medius  
Picoides minor  
Picoides syriacus  
Picoides tridactylus  
Picus canus  
Picus viridis  
Sapheopipo noguchii

Sphyrapicus varius

PASSERIFORMES

Cotingidae

Cotingidae spp.\* x740

Cotinga maculata (1)  
Xipholena atropurpurea (1)

Pittidae

Pittidae spp.\* x741

Pitta gurneyi (1)  
Pitta kochi (1)

Atrichornithidae

Atrichornis clamosus (1)

Annex A

Annex B

Annex C

Alaudidae

Calandrella cinerea brachydactyla  
Calandrella rufescens  
Chersophilus duponti  
Eremophila alpestris

Galerida cristata  
Galerida theklae  
Lullula arborea

Melanocorypha calandra

Hirundinidae

Cecropis daurica  
Delichon urbica  
Hirundo rustica

Pseudochelidon sirintarae (1)  
Ptyonoprogne rupestris  
Riparia riparia

Motacillidae

Anthus berthelotii  
Anthus campestris  
Anthus cervinus

Anthus hodgsoni  
Anthus pratensis  
Anthus novaeseelandiae richardi  
Anthus spinoletta\*\*

Anthus trivialis  
Motacilla alba

Alaemon alaudipes  
Alauda arvensis  
Ammodramus cincturus

Eremophila bilopha

Melanocorypha bimaculata

Melanocorypha leucoptera  
Melanocorypha yeltoniensis

Petrochelidon pyrrhonota

Anthus godlewskii  
Anthus gustavi

Anthus spinoletta rubescens

Annex A

Annex B

Annex C

	Motacilla cinerea		Motacilla citreola
	Motacilla flava		
Pycnonotidae			Pycnonotus barbatus
Laniidae	Lanius collurio**		Lanius collurio isabellinus Lanius cristatus
	Lanius excubitor		
	Lanius minor		
	Lanius nubicus		
	Lanius senator		
Bombycillidae	Bombycilla garrulus		
Cinclidae	Cinclus cinclus		
Troglodytidae	Troglodytes troglodytes		
Mimidae	Mimodes graysoni Ramphocinclus brachyurus		Dumetella carolinensis  Toxostoma rufum
Prunellidae	Prunella collaris Prunella modularis		Prunella montanella
Muscicapidae	Acrocephalus arundinaceus  Acrocephalus paludicola Acrocephalus palustris Acrocephalus schoenobaenus Acrocephalus scirpaceus		Acrocephalus aedon Acrocephalus agricola  Acrocephalus dumetorum

Annex A

*Alethe choloensis*  
*Bebrornis rodericanus* (III, MU)

*Cettia cetti*  
*Cisticola juncidis*

*Copsychus sechellarum*  
*Dasyornis broadbenti litoralis* p.e. (I)  
*Dasyornis longirostris* (I)  
*Erithacus luscinia*  
*Erithacus megarhynchos*  
*Erithacus rubecula*  
*Erithacus svecicus*  
*Erythropygia galactotes*  
*Ficedula albicollis*  
*Ficedula hypoleuca*  
*Ficedula parva*

*Hippolais icterina*  
*Hippolais olivitorum*  
*Hippolais pallida*  
*Hippolais polyglotta*

*Lilicichia omeiensis*

*Locustella fluviatilis*

*Locustella luscinoides*  
*Locustella naevia*

*Luscinola melanopogon*

Annex B

*Catharus fuscescens*  
*Catharus guttatus*  
*Catharus minimus*  
*Catharus ustulatus*

*Conostoma oemodum*

*Hippolais caligata*

*Hylocichia mustelina*  
*Irania gutturalis*  
*Leiothrix spp.*

*Locustella certhiola*  
*Locustella fasciolata*

*Locustella lanceolata*

*Luscinia caliope*

Annex C

Annex A

Monticola saxatilis  
Monticola solitarius

Muscicapa striata  
Myadestes lanaiensis -480  
Myadestes myadestinus -481  
Myiagra freycineti

Oenanthe hispanica  
Oenanthe isabellina

Oenanthe leucura  
Oenanthe oenanthe

Panurus biarmicus

Phaeornis palmeri

Phoenicurus ochruros  
Phoenicurus phoenicurus  
Phylloscopus bonelli  
Phylloscopus borealis  
Phylloscopus collybita

Phylloscopus inornatus

Phylloscopus proregulus

Phylloscopus sibilatrix  
Phylloscopus trochiloides  
Phylloscopus trochilus  
Picathartes spp. (1)  
Pomarea dimidiata  
Pomarea nigra

Annex B

Muscicapa latirostris

Nitaira rueckl -346 (11)  
Oenanthe deserti

Oenanthe leucopyga

Oenanthe pleschanka

Paradoxornis spp.

Phoenicurus moussieri

Phylloscopus fuscatus  
Phylloscopus nitidus  
Phylloscopus schwarzi

Annex C

Annex A

Regulus ignicapillus  
Regulus regulus  
Saxicola dacotiae  
Saxicola rubetra  
Saxicola torquata  
Sylvia atricapilla  
Sylvia borin  
Sylvia cantillans  
Sylvia communis  
Sylvia conspicillata  
Sylvia curruca  
Sylvia hortensis  
Sylvia melanocephala

Sylvia nisoria  
Sylvia rueppellii  
Sylvia sarda  
Sylvia undata

Terpsiphone corvina

Turdus helleri

Turdus torquatus

Annex B

Sylvia mystacea  
Sylvia nana

Tarsiger cyanurus

Turdus iliacus

Turdus merula  
Turdus migratorius  
Turdus naumanni  
Turdus obscurus  
Turdus pallidus  
Turdus philomelos  
Turdus pilaris  
Turdus ruficollis

Turdus unicolor  
Turdus viscivorus  
Zoothera dauma  
Zoothera naevia

Annex C

Tchitrea bourbonensis -407 (III, MU)



Annex A

Annex B

Annex C

Zoothera sibirica

Aegithalidae      Aegithalos caudatus

Remizidae        Remiz pendulinus

Paridae          Parus ater  
Parus caeruleus  
Parus cristatus

Parus cyanus

Parus lugubris  
Parus major  
Parus montanus  
Parus palustris

Sittidae         Sitta europaea  
Sitta krueperi  
Sitta neumayer  
Sitta whiteheadi  
Tichodroma muraria

Certhiidae       Certhia brachydactyla  
Certhia familiaris

Nectariniidae   Anthreptes pallidigaster  
Anthreptes rectirostris rubritorques  
Nectarinia afra prigoginei  
Nectarinia loveridgei

Nectariniidae spp.\*

Zosteropidae    Zosterops albogularis (1)

Meliphagidae    Meliphaga cassidix (1)  
Moho bishopi  
Moho braccatus

Emberizidae

Ammodramus sandwichensis

Annex A

*Calcarius lapponicus*

*Emberiza caesia*  
*Emberiza calandra*

*Emberiza cia*

*Emberiza cirius*  
*Emberiza citrinella*  
*Emberiza hortulana*

*Emberiza melanocephala*

*Emberiza pusilla*

*Emberiza schoeniclus*

*Incaeziza pulchra*

*Nemosia rourei*

*Plectrophenax nivalis*  
*Tangara fastuosa*

Annex B

*Emberiza aureola*  
*Emberiza bruniceps*

*Emberiza chrysophrys*

*Emberiza cineracea*

*Emberiza leucocephala*

*Emberiza pallasii*

*Emberiza rustica*

*Emberiza spodocephala*  
*Emberiza striolata*  
*Gubernatrix cristata (II)*

*Junco hyemalis*  
*Melospiza melodia*

*Paroaria spp. x742*  
*Passerella iliaca*  
*Passerina cyanea*  
*Pheucticus ludovicianus*  
*Pipilo erythrophthalmus*

*Thraupinae spp.\* -190*  
*Zonotrichia albicollis*  
*Zonotrichia leucophrys*

Annex C

Annex A

Annex B

Annex C

Parulidae

Dendroica coronata  
Dendroica fusca  
Dendroica magnolia  
Dendroica pensylvanica  
Dendroica petechia  
Dendroica striata  
Dendroica tigrina  
Dendroica virens  
Geothlypis trichas  
Mniotilta varia  
Parula americana  
Setophaga ruticilla  
Selurus aurocapillus  
Selurus noveboracensis  
Vermivora chrysoptera  
Vermivora peregrina  
Wilsonia citrina  
Wilsonia pusilla

Drepanididae

Hemignathus lucidus  
Hemignathus obscurus  
Hemignathus wilsoni  
Loxops maculata  
Psittirostra psittacea

Vireonidae

Vireo olivaceus  
Vireo philadelphicus

Icteridae

Dolichonyx oryzivorus  
Icterus galbula  
Quiscalus quiscula

Xanthopsar flavus (III, UY)

Fringillidae

Acanthis cannabina  
Acanthis flammea  
Acanthis flavirostris

Acanthis hornemanni

Annex A

Carduelis carduelis  
Carduelis chloris  
Carduelis cucullata -347 (I)  
Carduelis spinus

Carpodacus erythrinus

Coccothraustes coccothraustes  
Fringilla coelebs  
Fringilla montifringilla  
Fringilla teydea

Loxia curvirostra

Loxia pytyopsittacus  
Loxia scotica -482

Pyrrhula pyrrhula  
Rhodopechys githaginea  
Serinus canaria  
Serinus citrinella

Serinus pusillus  
Serinus serinus

Estrildidae

Annex B

Carduelis yarrellii -347 (II)

Carpodacus roseus

Hesperiphona vespertina

Loxia leucoptera

Pinicola enucleator

Annex C

Serinus gularis (III, GH)  
Serinus leucopygius (III, GH)  
Serinus mozambicus (III, GH)

Amadina fasciata (III, GH)  
Amandava subflava -408 (III, GH)  
Estrilda astrild (III, GH)  
Estrilda caerulescens (III, GH)  
Estrilda melpoda (III, GH)  
Estrilda troglodytes (III, GH)  
Lagonosticta larvata -409 (III, GH)  
Lagonosticta rara (III, GH)  
Lagonosticta rubricata (III, GH)  
Lagonosticta rufopicta (III, GH)

Annex A

Annex B

Annex C

*Poephila cincta cincta* (11)

*Lagonosticta senegala* (111, GH)  
*Lonchura bicolor* -410 (111, GH)  
*Lonchura cucullata* -410 (111, GH)  
*Lonchura fringillioides* -410 (111, GH)  
*Lonchura malabarica* -411 (111, GH)  
*Mandingoa nitidula* -412 (111, GH)  
*Nesocharis capistrata* (111, GH)  
*Nigrita bicolor* (111, GH)  
*Nigrita canicapilla* (111, GH)  
*Nigrita fusconota* (111, GH)  
*Nigrita lutefrons* (111, GH)  
*Ortygospiza atricollis* (111, GH)  
*Parmoptila woodhousei* -413 (111, GH)  
*Pholidornis rushiae* (111, GH)

*Pyrenestes ostrinus* -414 (111, GH)  
*Pytilia hypogrammica* (111, GH)  
*Pytilia phoenicoptera* (111, GH)  
*Spermophaga haematina* (111, GH)  
*Uraeginthus bengalus* -415 (111, GH)

*Amblyospiza albifrons* (111, GH)  
*Anomalospiza imberbis* (111, GH)  
*Bubalornis albirostris* -416 (111, GH)  
*Euplectes afer* -417 (111, GH)  
*Euplectes ardens* -418 (111, GH)  
*Euplectes hordeaceus* (111, GH)  
*Euplectes macrourus* -419 (111, GH)  
*Euplectes orix* -420 (111, GH)

*Malimbus cassini* (111, GH)

*Malimbus malimbicus* (111, GH)  
*Malimbus nitens* (111, GH)  
*Malimbus rubriceps* -421 (111, GH)  
*Malimbus rubricollis* (111, GH)

**Ploceidae**

*Foudia flavicans*  
*Foudia rubra*

*Malimbus ibadanensis*

Annex A

*Montifringilla nivalis*  
*Passer domesticus*

*Passer hispaniolensis*  
*Passer montanus*

*Petronia petronia*

*Ploceus golandi*

Sturnidae

*Apionis cinerascens*

*Leucopsar rothschildi* (1)

Annex B

*Gracula religiosa*

Annex C

*Mallibus scutatus* (III, GH)

*Passer griseus* -422 (III, GH)

*Petronia dentata* (III, GH)

*Plocepasser superciliosus* (III, GH)  
*Ploceus albinucha* (III, GH)  
*Ploceus aurantius* (III, GH)  
*Ploceus cucullatus* -423 (III, GH)

*Ploceus hauglini* -424 (III, GH)  
*Ploceus luteolus* -425 (III, GH)  
*Ploceus melanocephalus* -426 (III, GH)  
*Ploceus nigerrimus* (III, GH)  
*Ploceus nigricollis* (III, GH)  
*Ploceus pelzeini* (III, GH)  
*Ploceus preussi* (III, GH)  
*Ploceus superciliosus* (III, GH)  
*Ploceus tricolor* (III, GH)  
*Ploceus velatus* -427 (III, GH)  
*Quelea erythrops* (III, GH)  
*Sporopipes frontalis* (III, GH)  
*Vidua chalybeata* -428 (III, GH)  
*Vidua interjecta* (III, GH)  
*Vidua larvaticola* (III, GH)  
*Vidua macroura* (III, GH)  
*Vidua paradisaea* -429 (III, GH)  
*Vidua raricola* (III, GH)  
*Vidua togoensis* (III, GH)  
*Vidua wilsoni* (III, GH)

Annex A

Annex B

Annex C

Sturnus roseus

Sturnus unicolor  
Sturnus vulgaris

Orloliidae

Oriolus oriolus

Paradisaeidae

Paradisaeidae spp. (11)

Corvidae

Corvus corax

Corvus corone  
Corvus frugilegus  
Corvus monedula  
Corvus ruficollis

Corvus tropicus  
Cyanopica cyana

Garrulus glandarius

Nucifraga caryocatactes

Pica pica

Pyrrhonorax graculus  
Pyrrhonorax pyrrhonorax

Annex A

Annex B

Annex C

REPTILIA

TESTUDINATA

Dermatemydidae

Dermatemys mawii (II)

Chelydridae

Macrocllemys temminckii

Emydidae

Batagur baska (I)  
Callagur borneoensis

Clemmys muhlenbergi (II)  
Cuora criskarannarum  
Cuora pani

Emys orbicularis  
Geoclemys hamiltonii (I)  
Kachuga tecta tecta (I)  
Mauremys caspica  
Mauremys leprosa  
Melanocheilus tricarinata -348 (I)  
Morenia ocellata (I)

Rhinoclemmys spp.  
Terrapene spp.\*

Terrapene coahuila (I)

Trachemys scripta elegans

Testudinidae

Testudinidae spp.\* (II)

Geochelone elephantopus -349 (I)  
Geochelone radiata -349 (I)  
Geochelone yniphora -349 (I)  
Gopherus flavomarginatus (I)  
Homopus bergeri (II)  
Malacochersus tornieri (II)  
Psammobates geometricus -349 (I)  
Pyxis planicauda (II)  
Testudo graeca (II)



Annex A

Annex B

Annex C

	Testudo hermanni (II)		
	Testudo marginata (II)		
Cheloniidae	Cheloniidae spp. (I)		
Dermochelyidae	Dermochelys coriacea (I)		
Trionychidae	Lissemys punctata punctata (I)		
	Trionyx ater (I)		
	Trionyx gangeticus (I)		
	Trionyx hurum (I)		
	Trionyx nigricans (I)		Trionyx triunguis (III, GH)
Pelomedusidae		Erymnochelys madagascariensis -350 (II)	
		Peltocephalus dumerillianus -350 (II)	Pelomedusa subrufa (III, GH)
			Pelusios adansonii (III, GH)
			Pelusios castaneus (III, GH)
			Pelusios gabonensis -430 (III, GH)
			Pelusios niger (III, GH)
	Podocnemis lewyana (II)	Podocnemis spp*. (II)	
Chelidae	Pseudemys umbrina (I)		
CROCODYLIA		CROCODYLIA spp.* -351 (II)	
Alligatoridae	Alligator sinensis (I)		
	Caiman crocodilus apaporiensis (I)		
	Caiman latirostris (I)		
	Melanosuchus niger (I)		
Crocodylidae	Crocodylus acutus (I)		
	Crocodylus cataphractus** -112 (I)		
	Crocodylus intermedius (I)		

Annex A

Annex B

Annex C

Crocodylus moreletii (I)  
Crocodylus niloticus\*\* -113 (I)  
Crocodylus novaeguineae mindorensis (I)  
Crocodylus palustris (I)  
Crocodylus porosus\*\* -114 (I)  
Crocodylus rhombifer (I)  
Crocodylus siamensis (I)  
Osteolaemus tetraspis\*\* -115 (I)  
Tomistoma schlegelii (I)

Gavialidae

Gavialis gangeticus (I)

RHYNCHOCEPHALIA

Sphenodontidae

Sphenodon spp. x743 (I)

SAURIA

Gekkonidae

Cyrtopodion kotschyi

Phelsuma edwardnewtonii (II)  
Phelsuma guentheri (II)  
Phyllodactylus europaeus

Tarentola angustimentalis  
Tarentola boettgeri  
Tarentola delalandii  
Tarentola gomerensis

Agamidae

Stellio stellio

Cyrtodactylus serpensinsula (II)

Phelsuma spp.\* (II)

Rhacodactylus spp.

Ceratophora tennentii  
Chlamydosaurus kingii  
Hydrosaurus spp.

Uromastyx spp. (II)

Annex A

Annex B

Annex C

Chamaeleonidae

Chamaeleo chamaeleon (II)

Iguanidae

Anolis roosevelti  
Brachylophus spp. (I)

Cyclura spp. (I)  
Gambella silus

Sauromalus varius (I)

Lacertidae

Algyroides fitzingeri  
Algyroides marchi  
Algyroides moreoticus  
Algyroides nigropunctatus  
Gallotia atlantica  
Gallotia galloti  
Gallotia simonyi (I)  
Gallotia stehlini  
Lacerta agilis  
Lacerta bedriagae  
Lacerta danfordi  
Lacerta dugesi  
Lacerta graeca  
Lacerta horvathi  
Lacerta lepida  
Lacerta monticola  
Lacerta schreiberi  
Lacerta trilineata  
Lacerta viridis  
Ophisops elegans  
Podarcis erhardii

Bradypodion spp. =352 (II)  
Chamaeleo spp.\* (II)

Amblyrhynchus cristatus (II)  
Anolis equestris

Conolophus spp. (II)

Iguana spp. (II)  
Oplurus spp.  
Phrynosoma coronatum blainvilliei (II)

Annex A

Annex B

Annex C

Podarcis filifolensis  
Podarcis hispanica atrata  
Podarcis lilfordi (II)  
Podarcis mellisellensis  
Podarcis nilensis  
Podarcis muralis  
Podarcis peloponnesiaca  
Podarcis pityusensis (II)  
Podarcis sicula  
Podarcis taurica  
Podarcis tiliguerta  
Podarcis wagleriana

Cordylidae

Cordylus spp. (II)  
Pseudocordylus spp. (II)  
Zonosaurus spp.

Telidae

Callopistes palluma  
Cnemidophorus hyperythrus (II)  
Crocodylurus lacertinus (II)  
Dracaena spp. (II)  
Tupinambis spp. (II)

Scincidae

Ablepharus kitaibelli  
Chalcides bedriagai  
Chalcides occidentalis  
Chalcides ocellatus  
Chalcides sexlineatus  
Chalcides viridianus  
Ophiomorus punctatissimus

Corucia zebrata

Anguillidae

Ophisaurus apodus

Xenosauridae

Shinisaurus crocodilurus (II)

Annex A

Annex B

Annex C

Helodermatidae

Heloderma spp. (II)

Varanidae

Varanus spp.\* -483

Varanus bengalensis (I)  
Varanus flavescens (I)  
Varanus griseus (I)  
Varanus komodoensis (I)  
Varanus olivaceus -483 (II)

SERPENTES

Boidae

Boidae spp.\* (II)

Acrantophis spp. (I)  
Boa constrictor occidentalis -353 (I)  
Bolyeria multocarinata (I)  
Casarea dussumieri (I)  
Epicrates inornatus (I)  
Epicrates monensis (I)  
Epicrates subflavus (I)  
Eryx jaculus (II)  
Python molurus molurus (I)  
Sanzinia madagascariensis (I)

Colubridae

Coluber caspius  
Coluber hippocrepis  
Coluber jugularis  
Coluber laurenti  
Coluber najadum  
Coluber nummifer  
Coluber viridiflavus  
Coronella austriaca

Clelia clelia -354 (II)

Atretium schistosum (III, IN)  
Cerberus rhynchops (III, IN)

Eirenis modesta

Cyclagras gigas -355 (II)

Elachistodon westermanni (II)

Annex A

*Elaphe longissima*  
*Elaphe quatuorlineata*  
*Elaphe situla*

*Natrix natrix cetti*  
*Natrix natrix corsa*  
*Natrix tessellata*

*Telescopus fallax*

Elapidae

*Naja naja oxiana* (II)

Viperidae

*Vipera ammodytes*

*Vipera latasti*

Annex B

*Enhydris* spp.  
*Homalopsis buccata*  
*Langaha* spp.

*Ptyas mucosus* (II)

*Hoplocephalus bungaroides* (II)

*Naja naja*\* (II)

*Ophiophagus hannah* (II)

*Agkistrodon bilineatus* (III, HN)

*Bothrops insularis*

*Crotalus unicolor*  
*Crotalus willardi*  
*Trimeresurus wiroti*  
*Vipera albizona*

*Vipera bornmuelleri*  
*Vipera bulgardaghica*  
*Vipera kaznakovi*

Annex C

*Xenochrophis piscator* -431 (III, IN)

*Micrurus diastema* (III, HN)  
*Micrurus nigrocinctus* (III, HN)

*Bothrops asper* (III, HN)

*Bothrops nasutus* (III, HN)  
*Bothrops nummifer* (III, HN)  
*Bothrops ophryomegas* (III, HN)  
*Bothrops schlegelii* (III, HN)  
*Crotalus durissus* (III, HN)

Annex A

Annex B

Annex C

*Vipera latifili*

*Vipera raddel*

*Vipera russelii* (III, IN)

*Vipera seoanni*

*Vipera schweizeri*

*Vipera ursinii* x744

*Vipera wagneri*

*Vipera xanthina*

AMPHIBIA

ANURA

Bufo<sup>n</sup>idae

*Atelopus varius zeteki* (I)

*Bufo calamita*

*Bufo periglenes*

*Bufo retiformis* (II)

*Bufo superciliaris* (I)

*Bufo viridis*

*Nectophrynoides* spp. (I)

Dendrobatidae

*Dendrobates* spp. (II)

*Phyllobates* spp. (II)

Discoglossidae

*Alytes cisternasii*

*Alytes muletensis*

*Alytes obstetricans*

*Bombina bombina*

*Bombina fortinuptialis*

*Bombina maxima*

*Bombina microdeladigitora*

*Bombina orientalis*

*Bombina variegata*

*Discoglossus galganoi*

*Discoglossus jeanneae*

*Discoglossus montalentii*

*Discoglossus pictus*

Annex A

Annex B

Annex C

	Discoglossus sardus	
Hyllidae	Hyla arborea Hyla meridionalis Hyla sarda	
Microhylidae		Dyscophus spp.*
	Dyscophus antongilii (I)	
Myobatrachidae		Rheobatrachus spp.* (II)
	Rheobatrachus silus (II)	
Pelobatidae	Pelobates cultripes Pelobates fuscus Pelobates syriacus	
Ranidae	Conraua goliath	Conraua robusta Mantella spp.
	Mantella aurantiaca	Rana arfaki
	Rana arvalis	Rana blythi Rana boulengeri Rana cancrivora Rana catesbeiana Rana crassa
	Rana dalmatina	Rana esculenta
	Rana graeca	Rana grunniens Rana gryllo Rana hexadactyla (II) Rana heckscheri
	Rana iberica Rana italica Rana latastei Rana lessonae	



Annex A

Annex B

Annex C

Rana macrodon  
Rana occipitalis  
Rana perezii  
Rana phrynoides  
Rana ridibunda  
Rana rugulosa  
Rana temporaria  
Rana tigrina (II)

CAUDATA

Ambystomatidae

Ambystoma dumerilii (II)  
Ambystoma mexicanum (II)

Cryptobranchidae

Andrias spp. -356 (I)

Plethodontidae

Speleomantes ambrosii  
Speleomantes flavus  
Speleomantes genei  
Speleomantes imperialis  
Speleomantes italicus  
Speleomantes supramontes

Proteidae

Proteus anguinus

Salamandridae

Chiloglossa lusitanica

Cynops pyrrhogaster

Euproctus asper  
Euproctus montanus  
Euproctus platycephalus  
Salamandra atra  
Salamandra aurorae  
Salamandra lanzai  
Salamandra luschani  
Salamandrina terdigitata  
Triturus carnifex

Annex A

Annex B

Annex C

Triturus cristatus  
Triturus italicus  
Triturus karelinii  
Triturus marmoratus

PISCES

PETROMYZONTIFORMES

Petromyzontidae

Lampetra fluviatilis  
Lethenteron zanandrai

CERATODONTIFORMES

Ceratodidae

Neoceratodus forsteri (II)

COELACANTHIFORMES

Coelacanthidae

Latimeria chalumnae (I)

ACIPENSERIFORMES

Acipenseridae

Acipenser brevirostrum (I)  
Acipenser naccarii

Acipenser nudiventris  
Acipenser oxyrhynchus (II)  
Acipenser ruthenus  
Acipenser stellatus

Acipenser sturio (I)

Huso huso

OSTEOGLOSSIFORMES

Osteoglossidae

Arapaima gigas (II)  
Heterotis niloticus

Annex A

Annex B

Annex C

Scleropages formosus\*\* -116 (1)

Scleropages formosus\* +214 (11)

CLUPEIFORMES

Clupeidae

Alosa alosa  
Alosa fallax

CYPRINIFORMES

Cyprinidae

Anaocypris hispanica

Barbus barbus  
Barbus meridionalis  
Barbus plebejus  
Caecobarbus geertsii (11)  
Ctenopharyngodon idella  
Hypophthalmichthys molitrix

Probarbus jullieni (1)

Rasbora kalachroma  
Rhodeus sericeus

Catostomidae

Chasmistes cujus (1)

SILURIFORMES

Silbidae

Pangaslanodon gigas (1)

Siluridae

Silurus aristotelis

SALMONIFORMES

Coregonidae

Coregonus oxyrhynchus

Coregonus spp.\*

Salmonidae

Hucho hucho  
Salmo salar  
Thymallus thymallus

Annex A

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Annex C

ATHERINIFORMES

Cyprinodontidae

Crenichthys spp.  
Cynolebias constanciae (II)  
Cynolebias marmoratus p.e. (II)  
Cynolebias minimus (II)  
Cynolebias opalescens p.e. (II)  
Cynolebias splendens (II)  
Cyprinodon bovinus  
Cyprinodon diabolis  
Cyprinodon elegans  
Cyprinodon eremus  
Cyprinodon latifasciatus  
Cyprinodon macularius  
Cyprinodon milleri  
Cyprinodon nevadensis  
Cyprinodon radiosus  
Cyprinodon tularosa  
Empetrichthys spp.

Valencia hispanica

Anablepidae

Anableps anableps

SYNGNATHIFORMES

Centriscidae

Aeoliscus punctulatus  
Aeoliscus strigatus  
Centriscus scutatus

Solenostomidae

Solenostomus armatus  
Solenostomus cyanopterus  
Solenostomus paegnis  
Solenostomus paradoxus

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Syngnathidae

PERCIFORMES

Serranidae

Centrarchidae

Percidae

Zingel asper

Ehipplidae

Sciaenidae

Cynoscion macdonaldi (1)

Chaetodontidae

Doryrhamphus dactylophorus

Hemanthias carberryl  
Pseudanthias luzonensis  
Pseudanthias hypselosomus  
Pseudanthias rubrizonatus

Lepomis spp.

Gymnocephalus schraetzer

Zingel zingel

Platax pinnatus

Chaetodon austriacus  
Chaetodon baronessa  
Chaetodon bennetti  
Chaetodon citrinellus  
Chaetodon larvatus  
Chaetodon melapterus  
Chaetodon meyeri  
Chaetodon ocellicaudatus  
Chaetodon octofasciatus  
Chaetodon ornatissimus  
Chaetodon plebius  
Chaetodon reticulatus  
Chaetodon speculum  
Chaetodon triangulum  
Chaetodon trifasciatus  
Chaetodon trifasciatus  
Chaetodon zanzibarensis

Annex A

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Pomacanthidae

Heniochus chrysostomus  
Parachaetodon ocellatus

Apolectichthys arcuatus  
Centropyge multifasciatus  
Chaetodontoplus mesoleucus

Labridae

Anampses caeruleopunctatus  
Anampses chrysocephalus  
Anampses cuvier  
Anampses elegans  
Anampses geographicus  
Anampses lennardi  
Anampses lineatus  
Anampses melanurus  
Anampses meleagrides  
Anampses neoguinalcus  
Anampses rubrocaudatus  
Cirrhitlabrus cyanopleura  
Cirrhitlabrus lubbocki  
Cirrhitlabrus rubripinnis  
Labroides pectoralis  
Labroides pthiophagus  
Labroides rubrolabiatus  
Labropsis allenii  
Labropsis australis  
Labropsis micronesica  
Labropsis xanthonota  
Macropharyngodon choati  
Macropharyngodon cyanoguttatus  
Macropharyngodon geoffroyi  
Macropharyngodon negrosensis  
Macropharyngodon ornatus  
Microlabrichthys bartletti  
Microlabrichthys bicolor  
Microlabrichthys dispar  
Microlabrichthys evansi

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Annex C

Microlabrichthys ignitus  
Microlabrichthys laeidae  
Microlabrichthys pascalus  
Microlabrichthys smithvanizi  
Microlabrichthys thompsoni  
Microlabrichthys tuka  
Miralabrichthys spp.  
Paracheilinus lineopunctatus  
Pseudojulioides cerasinus  
Pseudojulioides elongatus  
Pseudojulioides erythrops  
Stethojulis spp.

Acanthuridae

Acanthurus triostegus

Zanclidae

Zanclus canescens

Belontiidae

Betta bellica  
Maipulutta kretseri

Luciocephalidae

Luciocephalidae spp.

TETRAODONTIFORMES

Ballistidae

Oxymonacanthus longirostris

Triacanthidae

Triacanthidae spp.

ECHINODERMATA

ECHINOIDEA

AULODONTA

Centrostephanus longispinus

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Annex C

ARTHROPODA

INSECTA

COLEOPTERA

Carabidae	Carabus olympiae
Dytiscidae	Dytiscus latissimus Graphoderus bilineatus
Lucanidae	Lucanus cervus
Scarabaeidae	Osmoderma eremita
Buprestidae	Buprestis splendens
Cucujidae	Cucujus cinnaberinus
Cerambycidae	Cerambyx cerdo Rosalia alpina

LEPIDOPTERA

Papilionidae

	Atrophaneura palu
	Baronia brevicornis
	Bhutanitis spp. (II)
	Graphium sandawanum
	Graphium stresemanni
	Ornithoptera spp.* -357 (II)
Ornithoptera alexandrae (I)	
Papilio alexanor	
	Papilio aristodemus ponceanus
	Papilio benguetanus
Papilio chikae (I)	
	Papilio esperanza



Annex A

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Annex C

Papilio homerus (1)  
Papilio hospiton (1)

Parnassius apollo (11)  
Parnassius mnemosyne  
Plebicula golgus  
Proserpinus prosperpina

Zerynthia polyxena

Lycaenidae

Lycaena dispar  
Maculinea arion  
Maculinea nausithous  
Maculinea teleius

Satyridae

Coenonympha hero  
Coenonympha oedippus  
Erebia calcaria  
Erebia christi  
Erebia sudetica  
Lopinga achine  
Melanargia arge

Nymphalidae

Apatura metis  
Euphydryas aurinia  
Fabriciana elisa  
Hypodryas maturna

Lasiocampidae

Erlogaster catax

Papilio grose-Smithi

Papilio maraho  
Papilio morondavana  
Papilio neumoegeni  
Parides ascanius  
Parides hahneli

Telnopalpus spp. (11)  
Trogonoptera spp. -357 (11)  
Troides spp. -357 (11)

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Annex C

Saturniidae

*Graellsia isabellae*

Sphingidae

*Hyles hippophaes*

MANTODEA

Mantidae

*Apteromantis aptera*

ODONATA

Coenagrionidae

*Coenagrion mercuriale*

Lestidae

*Sympecma braueri*

Aeshnidae

*Aeshna viridis*

Gomphidae

*Gomphus grasilinii*  
*Lindenia tetraphylla*  
*Ophiogomphus cecilia*  
*Stylurus flavipes*

Cordulegasteridae

*Cordulegaster trinacriae*

Corduliidae

*Macromia splendens*  
*Oxygastra curtisii*

Libellulidae

*Leucorrhinia albifrons*  
*Leucorrhinia caudalis*  
*Leucorrhinia pectoralis*

ORTHOPTERA

Tettigoniidae

*Baetisca ustulata*  
*Saga pedo*

Annex A

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Annex C

ARACHNIDA

ARANEAE

Theraphosidae

Brachypelma smithi (II)

Dipluridae

Macrothele calpelana

CRUSTACEA

DECAPODA

Astacidae

Astacus astacus  
Austropotamobius pallipes  
Austropotamobius torrentium

Scyllaridae

Scyllarides latus

ANNELIDA

HIRUDINOIDEA

ARHYNCHOBELLAE

Hirudinidae

Hirudo medicinalis (II)

MOLLUSCA

BIVALVIA

ANISOMYARIA

	Annex A	Annex B	Annex C
Mytilidae	Lithophaga lithophaga	Pholas dactylus	
Pinnidae	Pinna nobilis		
VENEROIDA			
Tridacnidae		Tridacnidae spp. (11)	
UNIONOIDA			
Unionidae	Conradilla caelata (1)	Cyprogenia aberti (11)	
	Dromus dromas (1)		
	Epioblasma curtisi -358 (1)		
	Epioblasma florentina -358 (1)		
	Epioblasma sampsoni -358 (1)		
	Epioblasma sulcata perobliqua -358 (1)		
	Epioblasma torulosa gubernaculum -358 (1)	Epioblasma torulosa rangiana -358 (11)	
	Epioblasma torulosa torulosa -358 (1)		
	Epioblasma turgidula -358 (1)		
	Epioblasma walkerii -358 (1)		
	Fusconala cuneolus (1)	Fusconala subrotunda (11)	
	Fusconala edgariana (1)	Lampsilis brevicula (11)	
	Lampsilis higginsi (1)		
	Lampsilis orbiculata orbiculata (1)		
	Lampsilis satura (1)		
	Lampsilis virescens (1)		
	Plethobasus cicatricosus (1)	Lexingtonia dolabelloides (11)	
	Plethobasus cooperianus (1)	Microcondylaea compressa	
	Pleurobema plenus (1)	Pleurobema clava (11)	
	Potamilus capax -359 (1)		
	Quadrula intermedia (1)		
	Quadrula sparsa (1)		
	Toxolasma cylindrella -360 (1)		

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Annex C

Unio crassus

Unio nickliniana (1)

Unio tampicoensis tecomatensis (1)

Villosa trabalis -361 (1)

Margaritiferidae

Margaritifera auricularia

Unio elongatulus

Margaritifera margaritifera

GASTROPODA

PROSOBRANCHIA

Patellidae

Patella feruginea

STYLOMMATOPHORA

Achatinellidae

Achatinella spp. (1)

Partulidae

Partula spp.

Chondrinidae

Lelostyla abbreviata

Lelostyla cassida

Lelostyla corneocostata

Lelostyla gibba

Lelostyla lamellosa

Arionidae

Geomalacus maculosus

Endodontidae

Discus defloratus

Discus guerlinianus

Camaenidae

Papustyla pulcherrima -362 (11)

Paryphantidae

Paryphanta spp. +215 (11)

Helicidae

Caseolus calculus

Caseolus commixta

Caseolus sphaerula

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Discula leacockiana  
Discula tabellata  
Discula testudinalis  
Discula turricula  
Geomitra monoziana

Helix subplicata

Helix pomatia

Elonidae

Elona quimperiana

CNIDARIA

ANTHOZOA

GORGONACEA

Corallidae

Corallium rubrum \*502

ANTIPATHARIA

ANTIPATHARIA spp. (11)

SCLERACTINIA

SCLERACTINIA spp. \*502 (11)

HYDROZOA

ATHECATA

Milleporidae

Milleporidae spp. \*502 (11)

Stylasteridae

Stylasteridae spp. \*502 (11)

ALCYONARIA

COENOTHECALIA

Coenothecalia spp. \*502 (11)

STOLONIFERA

Tubiporidae

Tubiporidae spp. \*502 (11)

Annex A

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Annex C

FLORA

SPERMATOPHYTA

ANGIOSPERMAE

AGAVACEAE

Agave arizonica (I)  
Agave parviflora (I)

Agave victoriae-reginae #1 (II)

Dracaena draco  
Nolina interrata (I)

ALISMATACEAE

Caldesia parnassifolia  
Luronium natans

AMARYLLIDACEAE

Leucojum nicaeense

Galanthus spp. #1 (II)

Narcissus bulbocodium #1  
Narcissus juncifolius #1

Narcissus asturiensis  
Narcissus calcicola  
Narcissus cyclamineus  
Narcissus fernandesii  
Narcissus humilis  
Narcissus longispathus  
Narcissus nevadensis  
Narcissus pseudonarcissus nobilis  
Narcissus scaberulus  
Narcissus triandrus  
Narcissus viridiflorus

Sternbergia spp. #1 (II)

	Annex A	Annex B	Annex C
APOCYNACEAE	Pachypodium baronii (1) Pachypodium brevicaule (1) Pachypodium decaryi (1) Pachypodium namaquanum (1)	Pachypodium spp.* #1 (11)  Rauwolfia serpentina #2 (11)	
AQUIFOLIACEAE		Ilex aquifolium #1	
ARACEAE	Alocasia sanderiana (1)		
ARALIACEAE		Panax quinquefolius #3 (11)	
ASCLEPIADACEAE	Caralluma burchardii Ceropegia chrysantha	Ceropegia spp.* #1 (11) Frerea indica #1 (11)	
BERBERIDACEAE	Berberis maderensis	Podophyllum hexandrum #2 -363 (11)	
BORAGINACEAE	Anchusa crispa Echium candicans Echium gentianoides Lithodora nitida Myosotis azorica Myosotis lusitanica Myosotis maritima Myosotis rehsteineri Myosotis retusifolia Omphalodes kuzinskyana Omphalodes littoralis Solnanthus albanicus Symphytum cycladense		
BYBLIDACEAE		Byblis spp. #1 (11)	



CACTACEAE

Ancistrocactus tobuschii -364 (1)  
Arlocarpus spp. x745  
Astrophytum asterias -365 (1)  
Aztekium ritteri (1)  
Backebergia militaris -366 (1)  
Coryphantha minima -367 (1)  
Coryphantha sneedii -367 (1)  
Coryphantha werdermannii (1)  
Discocactus spp. (11)  
Echinocereus ferrelrianus var. lindsayi  
-368 (1)  
Echinocereus schmollii -369 (1)  
Echinomastus erectocentrus -370 (1)  
Echinomastus mariposensis -370 (1)  
Leuchtenbergia principis (1)  
Mammillaria pectinifera -371 (1)  
Mammillaria plumosa (1)  
Mammillaria solisoides (1)  
Melocactus conoideus (1)  
Nopalxochia macdougalii -372 (1)  
Obregonia denegrii (1)  
Pedlocactus bradyi (1)  
Pedlocactus despainii (1)  
Pedlocactus knowltonii (1)  
Pedlocactus papyracanthus -364 (1)  
Pedlocactus paradinei (1)  
Pedlocactus peeblesianus (1)  
Pedlocactus slieri (1)  
Pedlocactus winkleri (1)  
Pelecypora spp. (1)  
Sclerocactus glaucus (1)  
Sclerocactus mesae-verdae (1)  
Sclerocactus pubispinus (1)  
Sclerocactus wrightiae (1)  
Strombocactus disciformis (1)  
Turbinicarpus laui -373 (1)  
Turbinicarpus lophophoroides -373 (1)

CACTACEAE spp.\* #4 (11)

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Turbinicarpus pseudomacrolele -373 (1)  
Turbinicarpus pseudopectinatus -373 (1)  
Turbinicarpus schledickeanus -373 (1)  
Turbinicarpus valdezianus -373 (1)  
Uebelmannia spp. (11)

CAMPANULACEAE

Asyneuma giganteum  
Azorina vidalii  
Campanula morettiana  
Campanula sabatia  
Jasione crispa serpentina  
Jasione lusitana  
Musschia aurea  
Musschia wollastonii  
Physoplexis comosa

CAPRIFOLIACEAE

Sambucus palmeri

CARYOCARACEAE

Caryocar costaricense #1 (11)

CARYOPHYLLACEAE

Arenaria nevadensis  
Arenaria provincialis  
Dianthus cintranus cintranus  
Dianthus marizii  
Dianthus rupicola  
Gypsophila papillosa  
Herniaria algarvica  
Herniaria berlingiana  
Herniaria latifolia litardierei  
Herniaria maritima  
Moehringia fontqueri  
Moehringia tomasinii  
Petrocoptis grandiflora  
Petrocoptis montsiciana  
Petrocoptis pseudoviscosa  
Silene cintrana  
Silene hicesiae  
Silene hifacensis  
Silene longicilla

Annex A

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Annex C

*Silene maritima*  
*Silene orphanidis*  
*Silene rothmaleri*  
*Silene velutina*  
*Spergularia azorica*

CELASTRACEAE

*Maytenus umbellata*

CEPHALOTACEAE

*Cephalotus follicularis* #1 (11)

CHENOPODIACEAE

*Bassia saxicola*  
*Beta patula*  
*Kochia saxicola*  
*Salicornia veneta*

CISTACEAE

*Cistus chinamadensis*  
*Cistus palhinhae*  
*Halmium verticillatum*  
*Hellianthemum alypoides*  
*Hellianthemum bystropogophyllum*  
*Hellianthemum caput-felis*  
*Tuberaria major*

COMPOSITAE  
(ASTERACEAE)

*Andryala crithmifolia*  
*Anthemis glaberrima*  
*Argyranthemum liddii*  
*Argyranthemum pinnatifidum succulentum*  
*Argyranthemum thalassophyllum*  
*Argyranthemum winterii*

*Arnica montana* #1  
*Artemisia eriantha* #1  
*Artemisia genipi* #1

*Artemisia granatensis*  
*Aster pyrenaeus*  
*Aster sorrentinii*  
*Atractylis arbuscula*  
*Atractylis preauxiana*

*Brachylaena hutchinsii* #1

*Calendula maderensis*

Annex A

Annex B

Annex C

Carduus myriacanthus  
Centaurea alba heldreichii  
Centaurea alba princeps  
Centaurea attica megarensis  
Centaurea balearica  
Centaurea borjao  
Centaurea citricolor  
Centaurea corymbosa  
Centaurea gadorensis  
Centaurea horrida  
Centaurea kalambakensis  
Centaurea kartschlana  
Centaurea lactiflora  
Centaurea micrantha herminii  
Centaurea niederi  
Centaurea peucedanifolia  
Centaurea pinnata  
Centaurea pulvinata  
Centaurea rothmalerana  
Centaurea vicentina  
Chelidonium duranii  
Chelidonium ghomerytus  
Chelidonium junonianus  
Chelidonium massonianus  
Cirsium latifolium  
Crepis crocifolia  
Crepis granatensis

Doronicum plantagineum tournefortii #1

Erigeron frigidus  
Helichrysum gossypinum  
Helichrysum oligocephala  
Helichrysum sibthorpii  
Hymenostemum pseudanthemis  
Jurinea cyanoides  
Jurinea fontqueri  
Lactuca watsoniana  
Lamyropsis microcephala  
Leontodon microcephalus  
Leontodon boryi

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Leontodon siculus  
Leuzea longifolia

Leuzea rhaponticoides #1

Ligularia sibirica  
Onopordum carduelinum  
Onopordum nogalesii  
Pericallis hadrosoma  
Phagnalon benettii  
Picris wilkommii  
Santolina elegans  
Santolina impressa  
Santolina semidentata  
Saussurea costus -374 (I)  
Senecio caespitosus  
Senecio elodes  
Senecio lagascanus lusitanicus  
Senecio nevadensis  
Stemmacantha cynaroides  
Sventenia bupleuroides  
Tanacetum ptarmiciflorum  
Wagenitzia lancifolia

CONVOLVULACEAE

Convolvulus argyrotamnus  
Convolvulus caput-medusae  
Convolvulus fernandesii  
Convolvulus lopez-socasii  
Convolvulus massonii

CRASSULACEAE

Aeonium gomeraense  
Aeonium saundersii  
Alchryson dumosum  
Dudleya stolonifera (I)  
Dudleya traskiae (I)  
Monanthes wildpretii  
Sedum brissemoretii

CRUCIFERAE

Alyssum pyrenaicum  
Arabis sadina

Alyssum pintodasilvae #1

Annex A

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Annex C

Biscutella neustriaca  
Biscutella vinentina  
Boleum asperum  
Brassica glabrescens  
Brassica insularis  
Brassica macrocarpa  
Coincya cintrana  
Coincya rupestris  
Coronopus navasii  
Crambe arborea  
Crambe laevigata  
Crambe sventenii  
Diploaxis ibicensis  
Diploaxis slettiana  
Diploaxis vinentina  
Erucastrum palustre  
Iberis arbuscula  
Iberis procumbens microcarpa  
Ionopsidium acaule  
Ionopsidium savianum

Murbeckiella sousae  
Parolinia schizogynoides  
Sinapidendron rupestre  
Sisymbrium cavanillesianum  
Sisymbrium supinum

CYPERACEAE

Carex malato-belizii  
Carex panormitana  
Eleocharis carniolica

DIAPENSIACEAE

Shortia galacifolia #1 (II)

DIDIEREACEAE

DIDIEREACEAE spp. #1 (II)

DIOSCOREACEAE

Borderea chouardii

Dioscorea deltoidea #1 (II)

	Annex A	Annex B	Annex C
DIPSACACEAE	Scabiosa nitens		
DIPTEROCARPACEAE		Neobalanocarpus heimii #1	
DROSERACEAE	Aldrovanda vesiculosa		
ERICACEAE	Erica scoparia azorica	Kalmia cuneata #1 (II)	
EUPHORBIACEAE	Euphorbia ambovombensis (I) Euphorbia cylindrifolia (I) Euphorbia decaryi (I) Euphorbia francoisii (I) Euphorbia handiensis (II) Euphorbia lambii (II) Euphorbia margalidiana Euphorbia moratii (I) Euphorbia nevadensis Euphorbia parvicyathophora (I) Euphorbia primullifolia (I) Euphorbia quartziticola (I) Euphorbia styliana Euphorbia transtagana Euphorbia tullearensis (I)	Euphorbia spp. #1 -118 (II)	
FAGACEAE		Quercus copeyensis #1 (II)	
FOUQUIERIACEAE	Fouquieria fasciculata (I) Fouquieria purpusii (I)	Fouquieria columnaris #1 (II)	
GENTIANACEAE	Centaurium rigualii Centaurium somedanum		

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Annex C

IRIDACEAE

Crocus etruscus  
Iris bolssleri

Iris lusitanica #1

Iris marisca

JUGLANDACEAE

Oreomunnea pterocarpa -375 (1)

JUNCACEAE

Juncus valvatus

LABIATAE

Dracocephalum austriacum  
Micromeria taygetea  
Nepeta dirphyia  
Nepeta sphaciotica  
Origanum dictamnus  
Rosmarinus tomentosus  
Sideritis cystosiphon  
Sideritis discolor  
Sideritis incana glauca  
Sideritis infernalis  
Sideritis javalambrensis  
Sideritis marmorea  
Sideritis serrata  
Teucrium abutilloides  
Teucrium betonicum  
Teucrium charidemii  
Teucrium lepicepalum

Teucrium salviastrum salviastrum #1

Teucrium turredanum  
Thymus camphoratus  
Thymus capitellatus  
Thymus carnosus  
Thymus cephalotes  
Thymus villosus villosus



Annex A

Annex B

Annex C

	<i>Gentiana ligustica</i>	
	<i>Gentianella angelica</i>	<i>Gentiana lutea</i> #1
GERANIACEAE	<i>Erodium astragaloides</i> <i>Erodium paularense</i> <i>Erodium rupicola</i> <i>Geranium maderense</i>	
GESNERIACEAE	<i>Jankaea heldreichii</i> <i>Ramonda serbica</i>	
GLOBULARIACEAE	<i>Globularia ascanii</i> <i>Globularia sarcophylla</i> <i>Globularia stygia</i>	
GRAMINEAE	<i>Avenula hackellii</i> <i>Bromus grossus</i> <i>Coleanthus subtilis</i> <i>Deschampsia maderensis</i> <i>Festuca brigantina</i> <i>Festuca durlotagana</i> <i>Festuca elegans</i> <i>Festuca henriquesii</i> <i>Festuca sumiisitanica</i> <i>Gaudinia hispanica</i> <i>Holcus setiglumis durlensis</i> <i>Micropyropsis tuberosa</i> <i>Pseudarrhenatherum pallens</i> <i>Puccinellia pungens</i> <i>Stipa austroitalica</i> <i>Stipa bavarica</i> <i>Stipa veneta</i>	
GROSSULARIACEAE	<i>Ribes sardoum</i>	
GUTTIFERAE	<i>Hypericum aciferum</i>	
HUMIRIACEAE		<i>Vantanea barbourii</i> #1 (II)

	Annex A	Annex B	Annex C
LEGUMINOSAE (FABACEAE)	Anagyris latifolia Anthyllis hystrix Anthyllis lemnihana  Astragalus algarbiensis Astragalus aquilanus Astragalus centralpinus Astragalus maritimus Astragalus tremolsianus Astragalus verrucosus  Cytisus aeolicus  Dorycnium spectabile Genista dorycnifolia Genista holopetala  Lotus azoricus Lotus callis-viridis Lotus kunkellii Melilotus segetalis-fallax Ononis hackellii  Teucrium rosmarinifolia Teucrium saisioides Trifolium saxatile  Vicia bifoliolata Vicia dennesiana	Anthyllis lusitanica #1  Baiklaea plurijuga #1 Cynometra hemitomophylla #1 (II)  Dalbergia cochinchinensis #1 Dalbergia nigra #1 Dalbergia stevensonii #1 Dorycnium pentaphyllum transmontana #1  Gossweilerodendron balsamiferum #1  Platymiscium pleiostachyum #1 (II) Tachigall versicolor #1 (II)  Ulex densus #1	
LENTIBULARIACEAE	Pinguicula nevadensis		

Annex A

Annex B

Annex C

LILIACEAE

Allium gorsii

Aloe alblida (1)  
Aloe pillansii (1)  
Aloe polyphylla (1)  
Aloe thorncroftii (1)  
Aloe vossii (1)  
Androcymbium europeum  
Androcymbium psammophilum  
Androcymbium rechingeri  
Asphodelus bento-rainhae  
Bellevallia hackelii  
Colchicum corsicum  
Colchicum cousturieri  
Fritillaria conica  
Fritillaria drenovskii  
Fritillaria gussichiae  
Fritillaria obliqua  
Fritillaria rhodocanakis  
Hyacinthoides vicentina

Muscari gussonei  
Ornithogalum reverchonii

Scilla beltrana  
Scilla maderensis  
Scilla odorata  
Semele maderensis

LINACEAE

Linum muelleri

Aloe spp.\* #5 (11)

Lilium rubrum #1

Ruscus aculeatus #1

	Annex A	Annex B	Annex C
LORANTHACEAE	<i>Arceuthobium azoricum</i>		
LYTHRACEAE	<i>Lythrum flexuosum</i>		
MAGNOLIACEAE			<i>Talauma hodgsonii</i> *522 (III, NP)
MALVACEAE	<i>Kosteletzkya pentacarpos</i>		
MELIACEAE		<i>Lovoa swynnertonii</i> #1 <i>Swietenia humilis</i> #1 (II)	
MORACEAE		<i>Batocarpus costaricensis</i> #1 (II) <i>Millicia excelsa</i> #1 -484 <i>Millicia regia</i> #1	
MYRICACEAE	<i>Myrica rivas-martinezii</i>		
NAJADACEAE	<i>Najas flexilis</i>		
NEPENTHACEAE	<i>Nepenthes khasiana</i> (I) <i>Nepenthes rajah</i> (I)	<i>Nepenthes</i> spp.* #1 (II)	
OLEACEAE	<i>Jasminum azoricum</i> <i>Picconia azorica</i>		
ORCHIDACEAE	<i>Cattleya skinneri</i> (I) <i>Cattleya trianae</i> (I) <i>Cephalanthera cucullata</i> (II) <i>Cypripedium</i> spp. (II)	ORCHIDACEAE spp.* #6 -376 (II)	

Annex A

Annex B

Annex C

Didicléa cunninghamii (I)  
Goodyera macrophylla (II)  
Himantoglossum spp. (II)  
Laëlia jongheana (I)  
Laëlia lobata (I)  
Liparis loeselii (II)  
Lycaste skinneri var. alba -377 (I)  
Ophrys spp. (II)  
Orchis spp. (II)  
Paphiopedilum spp. (I)  
Peristeria elata (I)  
Phragmipedium spp. (I)  
Renanthera lmschootiana (I)  
Vanda coerulea (I)

PAEONIACEAE

Paeonia cambessedesii  
Paeonia clusii rhodia  
Paeonia parnassica

PALMAE  
(ARECACEAE)

Phoenix theophrasti

Areca ipot #1 (II)  
Chrysalidocarpus decipiens #1 (II)  
Neodypsis decaryi #1 (II)

PAPAVERACEAE

Meconopsis regia \*522 (III, NP)

PITTIOSPORACEAE

Pittosporum coriaceum

PLANTAGINACEAE

Plantago algarbiensis  
Plantago almogravensis  
Plantago malato-belizii

PLUMBAGINACEAE

Armeria berlengensis  
Armeria helodes  
Armeria neglecta  
Armeria pseudarmeria  
Armeria rouyana

Armeria sampaloi #1

Armeria soleirolii

Annex A

Annex B

Annex C

*Armeria velutina*

*Limonium arborescens*  
*Limonium dendroides*  
*Limonium dodartii lusitanicum*  
*Limonium insulare*  
*Limonium lanceolatum*  
*Limonium multiflorum*  
*Limonium pseudolaetum*  
*Limonium strictissimum*  
*Limonium spectabile*  
*Limonium sventenii*

*Limonium* spp.\* #1 -191

POLYGONACEAE

*Polygonum praelongum*  
*Rumex azoricus*  
*Rumex rupestris*

PORTULACACEAE

*Anacampseros* spp. #1 (II)  
*Lewisia cotyledon* #1 (II)  
*Lewisia maguirei* #1 (II)  
*Lewisia serrata* #1 (II)  
*Lewisia tweedyi* #1 (II)

PRIMULACEAE

*Androsace cylindrica*  
*Androsace mathildae*  
*Androsace pyrenalca*

*Cyclamen* spp. #1 (II)

*Primula apennina*  
*Primula glaucescens*  
*Primula pallinuri*  
*Primula spectabilis*  
*Soldanella villosa*

PROTEACEAE

*Orothamnus zeyheri* (I)  
*Protea odorata* (I)

RANUNCULACEAE

*Aconitum corsicum*  
*Adonis distorta*

	<i>Aquilegia alpina</i>	
	<i>Aquilegia bertolonii</i>	
	<i>Aquilegia kitaibellii</i>	
	<i>Aquilegia pyrenaica cazoriensis</i>	
	<i>Consolida samia</i>	
	<i>Pulsatilla patens</i>	
	<i>Ranunculus weyeri</i>	
RESEDACEAE	<i>Reseda decursiva</i>	
RHAMNACEAE	<i>Frangula azorica</i>	
ROSACEAE	<i>Bencomia brachystachya</i>	
	<i>Bencomia sphaerocarpa</i>	
	<i>Chamaemeles coriacea</i>	
	<i>Dendriopoterium pulldoi</i>	
	<i>Marcetella maderensis</i>	
	<i>Potentilla delphinensis</i>	
	<i>Prunus lusitanica azorica</i>	
	<i>Sorbus maderensis</i>	<i>Rubus genevieri herminii</i> #1
RUBIACEAE	<i>Balmea stormiae</i> (1)	
	<i>Gallium litorale</i>	
	<i>Gallium viridiflorum</i>	
SALICACEAE	<i>Salix salvifolia</i>	
SANTALACEAE	<i>Kunkeliella subsucculenta</i>	
	<i>Thesium ebracteatum</i>	<i>Santalum album</i> #1

	Annex A	Annex B	Annex C
SARRACENIACEAE		Darlingtonia californica #1 (II) Sarracenia spp.* #1 (II)	
	Sarracenia alabamensis alabamensis-378 (I) Sarracenia jonesii -379 (I) Sarracenia oreophila (I)		
SAXIFRAGACEAE	Saxifraga berica Saxifraga cintrana Saxifraga florulenta Saxifraga hirculus Saxifraga portosanctana Saxifraga presolanensis Saxifraga tombeanensis Saxifraga valdensis Saxifraga vayredana		
SCROPHULARIACEAE		Anarrhinum longipedicelatum #1	
	Antirrhinum charidemii Antirrhinum lopesianum Chaenorrhinum serpyllifolium lusitanicum Euphrasia azorica Euphrasia genargentea Euphrasia grandiflora Euphrasia marchesettii		
	Isoplexis chalcantha Isoplexis isabelliana Linaria algarviana Linaria coutinhoi Linaria ficaihoana Linaria flava Linaria ricardoi Linaria tonzigi Linaria tursica Lindernia procumbens Odontites granatensis Odontites holliana	Euphrasia mendoncae #1	
		Scrophularia grandiflora #1	



Annex A

Annex B

Annex C

Scrophularia herminii #1  
Scrophularia sublyrata #1

Sibthorpia peregrina  
Verbascum litigiosum  
Veronica micrantha  
Veronica oetaea

SOLANACEAE

Atropa baetica  
Mandragora officinarum  
Solanum lildii

TETRACENTRACEAE

Tetracentron sinense \*522 (III, NP)

THEACEAE

Camellia chrysantha #1 (II)

THYMELAEACEAE

Daphne petraea  
Daphne rodriguezii  
Thymelaea broterana

ULMACEAE

Zelkova abelicea

UMBELLIFERAE

Anni trifoliatum  
Angelica heterocarpa  
Angelica palustris  
Apium bermejoi  
Apium repens  
Athamanta cortiana  
Bunium brevifolium  
Bupleurum capillare  
Bupleurum handlense  
Bupleurum kakiskalae  
Chaerophyllum azoricum  
Eryngium alpinum  
Eryngium viviparum  
Ferula latipinna  
Laserpitium longiradium  
Melanoselinum decipiens  
Monizia edulis

	Annex A	Annex B	Annex C
	<i>Naufraga balearica</i> <i>Oenanthe coniolides</i> <i>Oenanthe divaricata</i> <i>Petagnia saniculifolia</i> <i>Rouya polygama</i> <i>Sanicula azorica</i> <i>Seseli intricatum</i> <i>Thorella verticillatundata</i>		
VALERIANACEAE	<i>Centranthus trinervis</i>		
VIOLACEAE	<i>Viola athois</i> <i>Viola cazoriensis</i> <i>Viola delphinantha</i> <i>Viola hispida</i> <i>Viola jaubertiana</i> <i>Viola paradoxa</i>		
ZINGIBERACEAE	<i>Hedychium philippinense</i> (I)		
ZYGOPHYLLACEAE		<i>Gualacum sanctum</i> #1 (II)	
GYMNOSPERMAE			
ARAUCARIACEAE	<i>Araucaria araucana</i> ** +216 (I)	<i>Araucaria araucana</i> * #1 -117 (II)	
CUPRESSACEAE	<i>Fitz-Roya cupressoides</i> (I) <i>Pilgerodendron uviferum</i> (I)		
CYCADACEAE		CYCADACEAE spp.* #1 (II)	
	<i>Cycas beddomei</i> (I)		
GNETACEAE			<i>Gnetum montanum</i> *522 (III, NP)

PINACEAE  
 Abies guatemalensis (I)  
 Abies nebrodensis

PODOCARPACEAE  
 Podocarpus parlatoresi (I)

STANGERIACEAE  
 Stangeria eriopus -380 (I)

WELWITSCHIAEAE

ZAMIACEAE  
 Ceratozamia spp. (I)  
 Chigua spp. (I)  
 Encephalartos spp. (I)  
 Microcycas calocoma (I)

Podocarpus nerifolius #1 \*522 (III, NP)

Welwitschia mirabilis #1 -381 (II)

ZAMIACEAE spp. #1 (II)

PTERIDOPHYTA

ASPLENIACEAE  
 Asplenium hemionitis  
 Asplenium jahandiezii  
 Dryopteris corleyi  
 Polystichum drepanum

BLECHNACEAE  
Woodwardia radicans

CYATHEACEAE  
CYATHEACEAE spp. #1 (II)

DICKSONIACEAE  
DICKSONIACEAE spp.\* #1 (II)

Culcita macrocarpa

HYMENOPHYLLACEAE  
 Hymenophyllum maderensis  
 Trichomanes speciosum

ISOETACEAE  
 Isoetes azorica  
 Isoetes boryana  
 Isoetes malinverniana

LYCOPODIACEAE

Lycopodium spp. #1

MARSILEACEAE

Marsilea azorica  
Marsilea batardae  
Marsilea quadrifolia  
Marsilea strigosa

OPHIOGLOSSACEAE

Botrychium simplex  
Ophioglossum polyphyllum

BRYOPHYTA

MUSCI

Leucobryum glaucum #1

Annex A

Sphagnum pylaissi  
Tayloria rudolphiana  
Thamnobryum fernandesii

Annex B

Sphagnum spp.\* #1

Annex C

THALLOPHYTA

ALGAE

Lithothamnium coralloide #1  
Phymatholithon calcareum #1

LICHENS

Cladina spp. #1

ANNEX D

INTERPRETATION

1. The symbol "\*" against the name of a taxon indicates that some species in the named taxon are included in Annex A and are excluded from Annex D.

2. The symbol "\*\*\*" against the name of a taxon indicates that some species in the named taxon are included in Annex B and are excluded from Annex D.

3. The symbol "-" followed by a number placed against the name of a species or higher taxon denotes that the name of that species or taxon shall be interpreted as follows :

-485 Also referenced as Mytragyna ciliata

-486 Also referenced as Podocarpus blumel

-487 Also referenced as Podocarpus amarus

4. In respect of species of Fauna listed in Annex D, the provisions shall not apply to any domestic forms and shall not apply to any specimens except the following:

A. For Mammals, Birds, Reptiles and Amphibians:

(I) Live specimens;

(II) Whole dead specimens, frozen, dried or preserved by chemicals, which externally resemble the complete body of the species concerned;

(III) The whole or any part of or anything made wholly or partly from any tooth or tusk of any animal;

- (iv) The whole or any part of the horns or antlers of any animal;
- (v) The whole or any part of any raw hide or skin (with or without fur or hair) if fresh, salted, dried, pickled or limed and whether or not split;
- (vi) The whole or any part of any shell of any animal in the Order Testudines;
- (vii) Creams, oils, soup, meat or other foodstuffs, made from or derived from any animal in the following groups:
  - Order Testudines (turtles, tortoises and terrapins)
  - Order Marsupialia (marsupials)
  - Families Otariidae (eared seals) and Phocidae (true seals) in the Order Pinnipedia
  - Order Lagomorpha (hares and rabbits)
  - Order Artiodactyla (even-toed ungulates)
  - Order Apodiformes (swifts etc.)
  - Order Anura (frogs and toads);
- viii) Any feather or feathers or any skin or any other part with feathers on it of any bird or birds other than the downy feathers of any adult female of the species *Somateria mollissima* (elder duck) or the train of any adult male of the species *Pavo cristatus* (Indian peacock);
- (ix) Any egg, whether whole or blown; and
- (x) The casque, whether or not attached to the upper part of the bill, of any bird in the Order Coraciiformes (hornbills etc).

B. For Fish:

- (xi) All live specimens; and
- (xii) All specimens, live or dead, of species in the Family Syngnathidae (sea horses etc.).

C. For Invertebrates:

- (xiii) The whole or any part of any external shell or skeleton, except for fossils.

5. In respect of species of Flora listed in Annex D, the provisions shall not apply to the following parts and derivatives which shall not be considered as specimens of species listed in Annex D :

Seeds, spores, pollen, tissue cultures, flanked seedling cultures, chemical derivatives, fruits and parts and derivatives thereof of artificially propagated plants, cut flowers of artificially propagated plants, manufactured products made from timber.



ANNEX D

FAUNA

VERTEBRATES

MAMMALIA

All taxa not included in Annexes A, B and C except:

Oryctolagus cuniculus domestic forms  
Rattus norvegicus  
Mus musculus  
Mesocricetus auratus domestic forms  
Cavia spp. domestic forms  
Chinchilla laniger domestic forms  
Canis familiaris  
Mustela furo  
Felis catus  
Equus caballus  
Equus asinus  
Equus caballus x asinus  
Sus scrofa domestic forms  
Lama glama  
Lama pacos  
Camelus bactrianus domestic forms  
Camelus dromedarius  
Cervus elaphus elaphus  
Cervus dama dama  
Cervus nippon  
Cervus timorensis  
Rangifer tarandus domestic forms  
Bubalus bubalis  
Bos taurus  
Bos indicus  
Bos frontalis  
Bos grunniens domestic forms  
Capra hircus domestic forms  
Ovis arles

AVES

All taxa not included in Annexes A, B and C except:

Anser anser domestic forms  
Anser cygnoides domestic forms  
Caillina moschata domestic forms  
Anas platyrhynchos domestic forms

Gallus gallus  
Phasianus colchicus  
Coturnix japonica  
Excalfactoria chinensis  
Numida meleagris domestic forms  
Meleagris gallopavo domestic forms  
Nymphicus hollandicus  
Melopsittacus undulatus  
Lonchura striata domestic forms

REPTILIA

All taxa not listed in Annexes A, B and C

AMPHIBIA

All taxa not listed in Annexes A, B and C

PISCES

All marine species

INVERTEBRATES

CNIDARIA

ANTHOZOA

GORGONACEA

Corallidae  
Corallium spp.

MOLLUSCA

GASTROPODA

ARCHAEOGASTROPODA

Turbinidae  
Turbo marmoratus

MESOGASTROPODA

Strombidae  
Strombus gigas

ECHINODERMATA

ECHINOIDA

ECHINOIDA

Echinidae  
Paracentrotus lividus

**FLORA**

**SPERMATOPHYTA**

**ANGIOSPERMAE**

**Agavaceae**

Nolina spp.\*

**Amaryllidaceae**

Leucolum spp.\*

Narcissus spp.\*/\*\*

**Anacardiaceae**

Dracontomelon dao

**Apocynaceae**

Adenium spp.

Aspidosperma polyneuron

Hunteria eburnea

Strophanthus spp.

Voacanga africana

Voacanga thouarsii

**Araceae**

Arisaema spp.

Biarium spp.

**Asclepiadaceae**

Brachystelma spp.

Fockea spp.

**Boraginaceae**

Cordia millenii

Cordia platythyrsa

**Bromeliaceae**

Tillandsia spp.

**Burseraceae**

Aucoumea klaineana

**Dioscoreaceae**

Dioscorea elephantipes

**Droseraceae**

Dionaea muscipula

Drosera spp.

**Ebenaceae**

Diospyros celebica

Diospyros crassiflora

Diospyros ebenum

Diospyros muni

Diospyros philippinensis

Diospyros pilosanthera

Diospyros rumphii

Euphorbiaceae

Monadenium spp.

Guttiferae

Allanblackia parviflora

Pentadesma butyracea

Iridaceae

Iris species of the section Oncocycclus including:

Iris acutiloba  
Iris antilibanotica  
Iris assadiana  
Iris atrofusca  
Iris atropurpurea  
Iris auranitica  
Iris barnumae  
Iris basaltica  
Iris beniaminii  
Iris bloggeri  
Iris bismarkiana  
Iris bostrensis  
Iris camillae  
Iris cedretii  
Iris damascena  
Iris elizabethae  
Iris galtesii  
Iris grossheimii  
Iris hauranensis  
Iris haynei  
Iris hermona  
Iris heylandiana  
Iris iberica  
Iris jordana  
Iris keredlensis  
Iris kirkwoodii  
Iris lortetii  
Iris mariae  
Iris meda  
Iris nectarifera  
Iris nigricans  
Iris paradoxa  
Iris petrana  
Iris samariae  
Iris sari  
Iris schelkownikowii  
Iris sprengeri  
Iris susiana  
Iris swensoniana  
Iris westii  
Iris yebrudii

Juglandaceae

Juglans neotropica

Lauraceae

Eusideroxylon zwageri

Ocotea porosa

Leguminosae

Afzella africana  
Afzella bipindensis  
Afzella pachyloba  
Amburana cearensis  
Caesalpinia paraguayensis  
Copalfera salikounda  
Dalbergia spp.\*\*  
Griffonia simplicifolia  
Gulbourtia ehle  
Hapiformosia monophylla  
Intsia biluga  
Intsia palembanica  
Koompassia malaccensis  
Microberlinia bisculcata  
Microberlinia brazzavillensis  
Millettia laurentii  
Monopetalanthus helzli  
Pericopsis elata  
Pericopsis mooniana  
Pterocarpus spp.  
Physostigma venenosum  
Swartzia fistuloides

Liliaceae

Astroloba spp.  
Erythronium spp.  
Fritillaria spp.\*  
Gasteria spp.  
Haworthia spp.  
Lilium spp.\*\*  
Muscari spp.\*  
Poellnitzia spp.  
Scilla spp.\*  
Trillium spp.  
Tulipa spp.

Meliaceae

Cedrela fissilis  
Cedrela odorata  
Entandrophragma spp.  
Guarea cedrata  
Guarea thompsonii  
Khaya spp.  
Lourea trichilioides  
Swietenia macrophylla  
Swietenia mahagoni  
Turraeanthus africanus

Ochnaceae

Lophira alata  
Testulea gabonensis

Passifloraceae

Adenia spp.

Rosaceae

Prunus africana

Rubiaceae

Corynanthe pachyceras  
Hallea ledermannii -485  
Nauclea diderrichii  
Pausinystalia johimbe

Rutaceae

Balfourodendron riedelianum  
Chloroxylon swietenia

Sapotaceae

Aningeria altissima  
Autranella congolensis  
Baillonella toxisperma  
Tieghemella africana  
Tieghemella heckelii

Sterculiaceae

Mansonia altissima  
Nesogordonia papaverifera  
Sterculia oblonga  
Triplochiton scleroxylon

Thymelaeaceae

Aquilaria malaccensis  
Gonystylus bancanus

Verbenaceae

Tectona spp.

GYMNOSPERMAE

Araucariaceae

Agathis spp.  
Araucaria angustifolia

Podocarpaceae

Dacrydium elatum  
Nageia wallichiana -486  
Podocarpus rumphii -487  
Prumnopitys amara

PTERIDOPHYTA

Selaginellaceae

Selaginella imbricata  
Selaginella lepidophylla  
Selaginella novoleonis  
Selaginella pilifera

THALLOPHYTA

LICHENS

Cetraria spp.

Annex E









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# DOCUMENTS

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