

**Statement of revenue and expenditure of the European Securities and Markets Authority (ESMA) for
the financial year 2016**

(2016/C 113/34)

REVENUE

Title Chapter	Heading	Financial year 2016	Financial year 2015	Financial year 2014
1	CONTRIBUTION FROM NATIONAL SUPERVISORY AUTHORITIES			
1 0	CONTRIBUTION FROM NATIONAL SUPERVISORY AUTHORITIES	15 733 282	14 153 059	14 954 833,—
	Title 1 — Total	15 733 282	14 153 059	14 954 833,—
2	CONTRIBUTION FROM THE EUROPEAN UNION			
2 0	CONTRIBUTION FROM THE EUROPEAN UNION	10 203 000	9 703 423	10 949 148,—
	Title 2 — Total	10 203 000	9 703 423	10 949 148,—
3	FEES PAID TO THE AUTHORITY			
3 0	FEES PAID TO THE AUTHORITY	10 548 293	9 752 297	6 549 618,—
	Title 3 — Total	10 548 293	9 752 297	6 549 618,—
4	CONTRIBUTION FROM OBSERVERS			
4 0	CONTRIBUTION FROM OBSERVERS	446 968	402 076	424 853,—
	Title 4 — Total	446 968	402 076	424 853,—
6	ADMINISTRATIVE OPERATIONS			
6 0	ADMINISTRATIVE OPERATIONS	—	20 748	20 095,—
	Title 6 — Total	—	20 748	20 095,—
7	CONTRIBUTION FROM NATIONAL SUPERVISORY AUTHORITIES FOR DELEGATED TASKS			
7 0	CONTRIBUTION FROM NATIONAL SUPERVISORY AUTHORITIES FOR DELEGATED TASKS	2 461 199	2 708 547	0,—
	Title 7 — Total	2 461 199	2 708 547	0,—
	GRAND TOTAL	39 392 742	36 740 150	32 898 547,—

EXPENDITURE

Title Chapter	Heading	Appropriations 2016	Appropriations 2015	Outturn 2014
1	STAFF EXPENDITURE			
1 1	STAFF IN ACTIVE EMPLOYMENT	19 382 000	17 019 546	15 576 452,—
1 2	EXPENDITURE RELATING TO STAFF MANAGEMENT AND RECRUITMENT	354 000	325 530	289 203,—
1 4	SOCIAL-MEDICAL INFRASTRUCTURE	405 000	383 000	390 438,—
1 6	TRAINING	340 000	415 000	484 991,—
	Title 1 — Total	20 481 000	18 143 076	16 741 084,—
2	INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE			
2 0	RENTAL OF BUILDING AND ASSOCIATED COSTS	4 940 000	4 616 500	4 124 887,—
2 1	INFORMATION AND COMMUNICATION TECHNOLOGY	1 820 000	2 378 652	1 839 805,—
2 2	MOVABLE PROPERTY AND ASSOCIATED COSTS	—	24 000	160 308,—
2 3	CURRENT ADMINISTRATIVE EXPENDITURE	955 000	1 503 888	956 654,—
2 7	REPRESENTATION EXPENSES, RECEPTIONS AND EVENTS	14 000	9 036	12 008,—
	Title 2 — Total	7 729 000	8 532 076	7 093 662,—
3	OPERATING EXPENDITURES			
3 1	TRAINING FOR A COMMON SUPERVISORY CULTURE	140 000	90 000	221 469,—
3 2	COLLECTION OF INFORMATION: IT PROJECTS	5 350 000	4 660 000	5 550 247,—
3 3	SUPERVISION	—	137 000	121 789,—
3 4	LEGAL ADVICE	200 000	900	305 475,—
3 5	ACCESS TO DATA FOR ECONOMIC RESEARCH	517 095	635 769	398 423,—
3 6	MISSIONS EXPENSES, TRAVEL AND INCIDENTAL EXPENSES	900 000	631 378	852 164,—
3 7	COMMUNICATION	590 000	394 000	375 557,—
3 8	MEETING EXPENSES	500 000	357 500	364 194,—
3 9	SERVICES ON OPERATIONAL MATTERS	370 000	100 000	874 483,—
	Title 3 — Total	8 567 095	7 006 547	9 063 801,—
4	DELEGATED TASKS			
4 0	SINGLE INTERFACE TO TRADE REPOSITORIES	300 000	700 000	0,—
4 1	INSTRUMENTS REFERENCE DATA	2 315 647	2 400 000	0,—
	Title 4 — Total	2 615 647	3 100 000	0,—
9	ITEMS OUTSIDE THE FORESEEN BUDGET LINES			
9 0	ITEMS OUTSIDE THE FORESEEN BUDGET LINES	p.m.	p.m.	0,—
	Title 9 — Total	p.m.	p.m.	0,—
	GRAND TOTAL	39 392 742	36 781 699	32 898 547,—

Establishment plan

Function group and grade	2016		2015		2014	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16	—	1	—	—	—	—
AD 15	—	1	—	1	—	1
AD 14	—	—	—	1	—	1
AD 13	—	2	—	—	—	—
AD 12	—	4	—	3	—	3
AD 11	—	7	—	5	—	5
AD 10	—	10	—	6	—	6
AD 9	—	22	—	14	—	14
AD 8	—	29	—	27	—	27
AD 7	—	24	—	27	—	27
AD 6	—	17	—	22	—	20
AD 5	—	10	—	16	—	14
Total AD	—	127	—	122	—	118
AST 11	—	—	—	—	—	—
AST 10	—	—	—	—	—	—
AST 9	—	—	—	1	—	1
AST 8	—	—	—	—	—	—
AST 7	—	2	—	—	—	—
AST 6	—	2	—	1	—	1
AST 5	—	4	—	2	—	2
AST 4	—	4	—	6	—	6
AST 3	—	1	—	2	—	2
AST 2	—	—	—	3	—	3
AST 1	—	—	—	—	—	—
Total AST	—	13	—	15	—	15
AST/SC6	—	—	—	—	—	—
AST/SC5	—	—	—	—	—	—
AST/SC4	—	—	—	—	—	—
AST/SC3	—	—	—	—	—	—
AST/SC2	—	—	—	—	—	—
AST/ASC1	—	—	—	—	—	—
Total AST/SC	—	—	—	—	—	—
Total	—	140	—	137	—	133
Grand Total		140		137		133

Estimate of number of contract staff (expressed in full-time equivalents) and seconded national experts

Contract staff posts	2016
FG IV	20
FG III	24
FG II	—
FG I	—
Total FG	44
Seconded national experts posts	21
Total	65