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$ightharpoonup \underline{B}$ COMMISSION IMPLEMENTING REGULATION (EU) No 792/2012

of 23 August 2012

laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006

(OJ L 242, 7.9.2012, p. 13)

Amended by:

Official Journal

		No	page	date
<u>M1</u>	Commission Implementing Regulation (EU) 2015/57 of 15 January 2015	L 10	19	16.1.2015
<u>M2</u>	Commission Implementing Regulation (EU) 2021/2281 of 16 December 2021	L 473	131	30.12.2021

Corrected by:

►<u>C1</u> Corrigendum, OJ L 294, 24.10.2012, p. 10 (792/2012)

COMMISSION IMPLEMENTING REGULATION (EU) No 792/2012

of 23 August 2012

laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006

Article 1

General provision

The design and technical specifications with regard to forms for permits, certificates and other documents provided for in Regulation (EC) No 338/97 and Regulation (EC) No 865/2006 are described in this Regulation. The design and technical specifications are specified for the following documents:

- (1) import permits;
- (2) export permits;
- (3) re-export certificates;
- (4) personal ownership certificates;
- (5) sample collection certificates;

▼M1

(5a) musical instrument certificates;

▼<u>B</u>

- (6) import notifications;
- (7) travelling exhibition certificates;

▼M1

(8) continuation sheets for personal ownership certificates, for travelling exhibition certificates and for musical instrument certificates;

▼<u>B</u>

- (9) certificates provided for in paragraphs 2(b), 3 and 4 of Article 5 of Regulation (EC) No 338/97, and in Articles 8(3) and 9(2)(b) thereof;
- (10) labels referred to in Article 7(4) of Regulation (EC) No 338/97.

Article 2

Forms

▼M1

1. The forms on which import permits, export permits, re-export certificates, personal ownership certificates, sample collection certificates and musical instrument certificates and applications for such documents are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex I.

2. The forms on which import notifications are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex II. They may contain a serial number.

▼ M2

Until 19 January 2023 import notifications may instead be drawn up using the forms set out in Annex II in the version in force on 18 January 2022.

▼B

- 3. The forms on which travelling exhibition certificates and applications for such documents are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex III.
- 4. The forms on which continuation sheets for personal ownership certificates and for travelling exhibition certificates are drawn up shall conform to the model set out in Annex IV.
- 5. The forms on which the certificates provided for in paragraphs 2(b), 3 and 4 of Article 5 of Regulation (EC) No 338/97 and in Articles 8(3) and 9(2)(b) thereof and applications for such certificates are drawn up shall conform to the model set out in Annex V to this Regulation, except as regards spaces reserved for national use.

Member States may, however, provide that, instead of the pre-printed text, boxes 18 and 19 are to contain only the relevant certification or authorisation, or both.

6. The form of the labels referred to in Article 7(4) of Regulation (EC) No 338/97 shall conform to the model set out in Annex VI to this Regulation.

Article 3

Technical specifications with regard to forms

- 1. The paper used for the forms referred to in Article 2 shall be free of mechanical pulp and dressed for writing purposes, and shall weigh at least 55 g/m^2 .
- 2. The size of the forms referred to in Article 2(1) to (5) shall be 210 x 297 mm (A4) with a maximum tolerance as to length of 18 mm less and 8 mm more.
- 3. The colour of the paper used for the forms referred to in Article 2(1) shall be as follows:
- (a) white for form 1, the original, with a guilloche pattern background, printed in grey on the front, so as to reveal any falsification by mechanical or chemical means;
- (b) yellow for form 2, the copy for the holder;
- (c) pale green for form 3, the copy for the exporting or re-exporting country in the case of an import permit, or the copy for return by customs to the issuing management authority in the case of an export permit or re-export certificate;

- (d) pink for form 4, the copy for the issuing management authority;
- (e) white for form 5, the application.
- 4. The colour of the paper used for the forms referred to in Article 2(2) shall be as follows:
- (a) white for form 1, the original;
- (b) yellow for form 2, the copy for the importer.
- 5. The colour of the paper used for the forms referred to in Article 2(3) and (5) shall be as follows:
- (a) yellow for form 1, the original, with a guilloche pattern background, printed in grey on the front, so as to reveal any falsification by mechanical or chemical means;
- (b) pink for form 2, the copy for the issuing management authority;
- (c) white for form 3, the application.
- 6. The colour of the paper used for the continuation sheets and labels referred to in Article 2(4) and (6) respectively shall be white.
- 7. The forms referred to in Article 2 shall be printed and completed in one of the official Union languages as specified by the management authorities of each Member State. They shall, where necessary, contain a translation of their contents in one of the official working languages of the Convention.
- 8. Member States shall be responsible for the printing of the forms referred to in Article 2, which, in the case of the forms referred to in Article 2(1) to (5), may be part of a computerised permit/certificate issuing process.

Article 4

Regulation (EC) No 865/2006 is amended as follows:

- (1) Articles 2 and 3 are deleted;
- (2) Annexes I to VI are deleted.

Article 5

This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.

It shall apply from 27 September 2012.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

ANNEX I

EUROPEAN UNION

1	1.	Exporter/Re-exporter		PERMIT/CERTIF	FICATE		No	
				☐ IMPORT			2. Last da	ay of validity:
				☐ EXPORT				
				OTHER:				
Ļ	2	Importor		+				
ORIGINAL	3. 1	Importer		CIE	Convention Endangered S		Internation of Wild Fa	al Trade in auna and Flora
				4. Country of (re	e)-export			
				5. Country of im	port			
1	6. 4	Authorized location for live specimens	of Annex A species	7. Issuing Mana	gement Authority			
		Description of specimens (incl. mar	rks, sex/date of birth for liv	e 9. Net mass (kg)	10. Q	uantity	
	'	aimmais)		11. CITES Appendix	12. EU Annex	13. Sc	ource	14. Purpose
				15. Country of c	prigin			
				16. Permit No		17. Da	ate of issue	i
				18. Country of la	ast re-export			
				19. Certificate N	lo	20. Da	ate of issue	•
	21.	Scientific name of species						
	22.	Common name of species						
	23.	Special conditions						
		This permit/certificate is only valid if I	live animals are transported in	compliance with the	e CITES Guidelines	for the	Transport a	nd Preparation for
		Shipment of Live Wild Animals or, Association (IATA)	in the case of air transport,	the Live Animals R	legulations published	d by th	e Internation	onal Air Transport
	24.	The (re-)export documentation from (re-)export	the country of	25. The 🔲 imp	ortation exporta	tion 🔲	re-exportat	tion
		☐ has been surrendered to the issu	uing authority	of the good	s described above	is hereb	by permitted	d.
		has to be surrendered to the buduction	oorder customs office of intro	Signature a	nd official stamp:			
				Name of iss	suing official:			
				Place and o	date of issue:			
	26.	Bill of Lading / Air Waybill Number:						
	27.	For customs use only		Signature and o	fficial stamp:			
				Customs docume	ent			
			Number of animals dead on arrival	Type:				
				Number:				
				Date:				

▼B

Instructions and explanations

▼M1

- Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate or of a musical instrument certificate, the full name and address of the legal owner. In the case of a musical instrument certificate, if the applicant is different from the legal owner, the full name and address of both the owner and of the applicant should be included in the form and a copy of a loan agreement between owner and applicant should be provided to the relevant permit issuing authority.
- 2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate and of a musical instrument certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.
- 3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.
- 5. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.

▼B

For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.

▼ M1

Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein. In the case of a musical instrument certificate, the description of the instrument should allow the competent authority to verify that the certificate corresponds to the specimen being imported or exported, and the description should include elements such as the manufacturer's name, the serial number or other means of identification such as photographs.

- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- Enter the number of the CITES Appendix (I, II or III) in which the 11. species is listed at the date of issue of the permit/certificate.
- Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or 12. C) in which the species is listed at the date of issue of the permit/ certificate.

- 13. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens (1)
 - O Pre-convention (2)
 - U Source unknown (must be justified)

▼ M1

X Specimens taken in the marine environment not under the jurisdiction of any State

▼ <u>M2</u>

Y Plant specimens obtained from assisted production, which are considered not to be 'artificially propagated' as set out in Article 56 of Regulation (EC) No 865/2006 (2), and also not considered to be taken from the wild because they are propagated or planted in an environment with some level of human intervention for the purpose of plant production.

- 14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
 - B Breeding in captivity or artificial propagation
 - E Educational
 - G Botanical gardens
 - H Hunting trophies

⁽¹⁾ To be used only in conjunction with another source code.

⁽²⁾ Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein (OJ L 166, 19.6.2006, p. 1).

- L Law enforcement/judicial/forensic
- M Medical (including bio-medical research)
- N Reintroduction or introduction into the wild
- P Personal

▼<u>M1</u>

Q Travelling exhibitions (sample collection, circus, menagerie, plant exhibition, orchestra or museums exhibition that is used for commercial display for the public)

- S Scientific
- T Commercial
- Z Zoos
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
- 21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23 to 25. For official use only.
- The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
- 27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

EUROPEAN UNION

_						
2	Exporter/Re-exporter		PERMIT/CERTIFICA	ATE	No	
			☐ IMPORT			
			□ EXPORT			
			☐ RE-EXPORT			
2			OTHER:			
2						
101	3. Importer		CIE	Convention Endangered S	on Internation pecies of Wild F	
5			4. Country of (re)-e.	xport		
			5. Country of impor	t		
2	6. Authorized location for live specimens	of Annex A species	7. Issuing Managen	nent Authority		
	8. Description of specimens (incl. mark animals)	ks, sex/date of birth for	live 9. Net mass (kg)		10. Quantity	
			11. CITES 12 Appendix	2. EU Annex	13. Source	14. Purpose
			15. Country of origi	n		1
			16. Permit No		17. Date of issue	е
			18. Country of last	re-export		
			19. Certificate No		20. Date of issue	9
	21. Scientific name of species					
	22. Common name of species					
	23. Special conditions					
	This permit/certificate is only valid if lix Shipment of Live Wild Animals or, in Association (IATA)	ve animals are transported in the case of air transport	in compliance with the Cl , the Live Animals Regu	TES Guidelines tulations published	for the Transport and by the Internati	and Preparation for onal Air Transport
	24. The (re-)export documentation from the (re-)export	he country of	25. The ☐ imports	ation 🔲 exporta	tion 🔲 re-exporta	ition
	has been surrendered to the issui	ing authority	of the goods d	escribed above i	is hereby permitte	d.
	has to be surrendered to the boduction	order customs office of in	tro- Signature and	official stamp:		
			Name of issuin	ng official:		
			Place and date	e of issue:		
	26. Bill of Lading / Air Waybill Number:					
	27. For customs purposes only		Signature and offici	al stamp:		
	Counties (make a constant to be a const		Customs document			
		Number of animals dead on arrival	Type:			
			Number:			
			Date:			

Instructions and explanations

▼M1

- Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate or of a musical instrument certificate, the full name and address of the legal owner. In the case of a musical instrument certificate, if the applicant is different from the legal owner, the full name and address of both the owner and of the applicant should be included in the form and a copy of a loan agreement between owner and applicant should be provided to the relevant permit issuing authority.
- 2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate and of a musical instrument certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.
- 3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.
- 5. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.

▼B

For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.

▼ M1

Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein. In the case of a musical instrument certificate, the description of the instrument should allow the competent authority to verify that the certificate corresponds to the specimen being imported or exported, and the description should include elements such as the manufacturer's name, the serial number or other means of identification such as photographs.

▼B

- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- Enter the number of the CITES Appendix (I, II or III) in which the 11. species is listed at the date of issue of the permit/certificate.
- Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or 12. C) in which the species is listed at the date of issue of the permit/ certificate.

- 13. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens (1)
 - O Pre-convention (1)
 - U Source unknown (must be justified)

▼<u>M1</u>

X Specimens taken in the marine environment not under the jurisdiction of any State

▼B

- 14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
 - B Breeding in captivity or artificial propagation
 - E Educational
 - G Botanical gardens
 - H Hunting trophies
 - L Law enforcement/judicial/forensic
 - M Medical (including bio-medical research)
 - N Reintroduction or introduction into the wild
 - P Personal

▼M1

Q Travelling exhibitions (sample collection, circus, menagerie, plant exhibition, orchestra or museums exhibition that is used for commercial display for the public)

- S Scientific
- T Commercial

⁽¹⁾ To be used only in conjunction with another source code.

Y Plant specimens obtained from assisted production, which are considered not to be 'artificially propagated' as set out in Article 56 of Regulation (EC) No 865/2006, and also not considered to be taken from the wild because they are propagated or planted in an environment with some level of human intervention for the purpose of plant production

▼<u>B</u>

- Z Zoos
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
- 21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23 to 25. For official use only.
- 26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
- 27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

EUROPEAN UNION

Endangered Species of Wild Fauna and F 4. Country of (re)-export 5. Country of import 6. Authorized location for live specimens of Annex A species 7. Issuing Management Authority 8. Description of specimens (incl. marks, sex/date of birth for live animals) 9. Net mass (kg) 10. Quantity	3	1.	Exporter/Re-exporter		PERMIT/CERTIF	ICATE		No	
Social conditions Soci	a l				_			2. Last da	ay of validity:
Social conditions Soci	, to				I —	г			
Second of the parameter of the specimens of Annex A species 7. Issuing Management Authority 10. Quantity	stoms ity *								
Second of the parameter of the property of the parameter of the paramete	sturn by cu	3.	Importer		CIE				
Second of the parameter of the property of the parameter of the paramete	for re iss				4. Country of (re)-export			
8. Description of specimens (incl. marks, sex/date of birth for live animals) 9. Net mass (kg) 10. Quantity 11. CITES Appendix 12. EU Annex 13. Source 14. Purpor Appendix 15. Country of origin 16. Permit No 17. Date of issue 18. Country of last re-export 19. Certificate No 20. Date of issue 21. Scientific name of species 22. Common name of species 23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Prepartor Scientific Alice (re-)export 18. Country of last re-export 19. Certificate No 20. Date of issue 21. Scientific name of species 22. Common name of species 23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Prepartor Scientific (IATA) 24. The (re-)export documentation from the country of (re-)export has been surrendered to the issuing authority has to be surrendered to the issuing authority Signature and official stamp: Name of issuing official: Place and date of issue:	COPY				5. Country of imp	port			
animals) 11. CITES Appendix 12. EU Annex 13. Source 14. Purpos Appendix 15. Country of origin 16. Permit No 17. Date of issue 18. Country of last re-export 19. Certificate No 20. Date of issue 21. Scientific name of species 22. Common name of species 23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preprior for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport and Preprior (re-)export Association (IATA) 25. The importation exportation re-exportation of the goods described above is hereby permitted. Signature and official stamp: Name of issuing official: Place and date of issue:	3	6.	Authorized location for live specimens	of Annex A species	7. Issuing Manag	gement Authority			
This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Prepared for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport of the goods described above is hereby permitted. Appendix 15. Country of origin 16. Permit No 17. Date of issue 18. Country of last re-export 19. Certificate No 20. Date of issue 20.	of the			s, sex/date of birth for live	9. Net mass (kg))	10. Q	uantity	
23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation (Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport (re-)export documentation from the country of (re-)export has been surrendered to the issuing authority has to be surrendered to the border customs office of introduction Name of issuing official: Place and date of issue:	the MA					12. EU Annex	13. Sc	ource	14. Purpose
23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation (Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport (re-)export documentation from the country of (re-)export has been surrendered to the issuing authority has to be surrendered to the border customs office of introduction Name of issuing official: Place and date of issue:	ission to				15. Country of or	rigin			
23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation (Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport (re-)export documentation from the country of (re-)export has been surrendered to the issuing authority has to be surrendered to the border customs office of introduction Name of issuing official: Place and date of issue:	for subn				16. Permit No		17. Da	ate of issue	•
23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation (Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport (re-)export documentation from the country of (re-)export has been surrendered to the issuing authority has to be surrendered to the border customs office of introduction Name of issuing official: Place and date of issue:	pplicant				18. Country of la	ast re-export			
23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation (Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport (re-)export documentation from the country of (re-)export has been surrendered to the issuing authority has to be surrendered to the border customs office of introduction Name of issuing official: Place and date of issue:	e the at				19. Certificate No	0	20. Da	ate of issue	Э
23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation (Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport (re-)export documentation from the country of (re-)export has been surrendered to the issuing authority has to be surrendered to the border customs office of introduction Name of issuing official: Place and date of issue:	med th	21.	. Scientific name of species						
of	y be ret	22.	. Common name of species						
of	opy ma	23.	. Special conditions						
of	ecies, this c		for Shipment of Live Wild Animals or						
of	lix I sp	24.		the country of	25. The ☐ imp	ortation exportation	tion 🔲	re-exporta	tion
of	bueddy			uing authority	of the goods	s described above i	is herek	by permitte	d.
of	CITES /			der customs office of intro-	Signature ar	nd official stamp:			
of	nens of				Name of iss	uing official:			
26. Bill of Lading / Air Waybill Number: 27. For customs purposes only Signature and official stamp: Customs document Quantity / net mass (kg) actually imported or (re)-exported on arrival Number:					Place and d	late of issue:			
27. For customs purposes only Signature and official stamp: Customs document Quantity / net mass (kg) actually Number of animals dead on arrival Number:	ermit fc	26.	. Bill of Lading / Air Waybill Number:						
Customs document Output Outp	mport p try.	27.	. For customs purposes only		Signature and of	ficial stamp:			
Quantity / net mass (kg) actually Number of animals dead on arrival Number:	of an i				Customs docu	ument			
± 6	he case)exportir								
S S Date:	* In t								

▼B

Instructions and explanations

▼M1

- Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate or of a musical instrument certificate, the full name and address of the legal owner. In the case of a musical instrument certificate, if the applicant is different from the legal owner, the full name and address of both the owner and of the applicant should be included in the form and a copy of a loan agreement between owner and applicant should be provided to the relevant permit issuing authority.
- 2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate and of a musical instrument certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.
- 3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.
- 5. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.

▼B

For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.

▼ M1

Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein. In the case of a musical instrument certificate, the description of the instrument should allow the competent authority to verify that the certificate corresponds to the specimen being imported or exported, and the description should include elements such as the manufacturer's name, the serial number or other means of identification such as photographs.

- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- Enter the number of the CITES Appendix (I, II or III) in which the 11. species is listed at the date of issue of the permit/certificate.
- Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or 12. C) in which the species is listed at the date of issue of the permit/ certificate.

- 13. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens (1)
 - O Pre-convention (1)
 - U Source unknown (must be justified)

▼<u>M1</u>

X Specimens taken in the marine environment not under the jurisdiction of any State

▼B

- 14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
 - B Breeding in captivity or artificial propagation
 - E Educational
 - G Botanical gardens
 - H Hunting trophies
 - L Law enforcement/judicial/forensic
 - M Medical (including bio-medical research)
 - N Reintroduction or introduction into the wild
 - P Personal

▼M1

Q Travelling exhibitions (sample collection, circus, menagerie, plant exhibition, orchestra or museums exhibition that is used for commercial display for the public)

- S Scientific
- T Commercial

⁽¹⁾ To be used only in conjunction with another source code.

Y Plant specimens obtained from assisted production, which are considered not to be 'artificially propagated' as set out in Article 56 of Regulation (EC) No 865/2006, and also not considered to be taken from the wild because they are propagated or planted in an environment with some level of human intervention for the purpose of plant production

▼<u>B</u>

- Z Zoos
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
- 21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23 to 25. For official use only.
- 26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
- 27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

EUROPEAN UNION

4	1.	Exporter/Re-exporter			RMIT/CERT	'IFICA'	TE		No.		
Ę					EXPORT RE-EXPOR	RΤ				st day of dity:	
utnor					OTHER:						
III g	3.	Importer					Convention	on li	nternatio	nal Trac	le in
COPY for the Issuing authority							Endangered Flora				
ı TOL I				4.	Country of	(re)-e	xport				
2				5.	Country of	impor	rt				
4	6.	Authorized location for live specimens of Annex A sp	pecies	7.	Issuing Ma	anager	ment Authority	'			
	8.	Description of specimens (incl. marks, sex/date of animals)	f birth for live	9.	Net mass	(kg)		10. Qu	antity		
				11.	CITES Appendix	12. E	EU Annex	13. So	urce	14. Purp	ose
				15.	Country of	origin	1				
				16.	Permit No			17. Da	te of issu	ie	
				18.	Country of	last r	e-export				
				19.	Certificate	No		20. Da	te of issu	ie	
	21.	Scientific name of species									
	22.	Common name of species									
	23.	Special conditions									
		This permit/certificate is only valid if live animals are tr for Shipment of Live Wild Animals or, in the case of a Association (IATA)									
	24.	The (re-)export documentation from the country of		25.	The 🔲 im	nportat	tion expor	tation	re-expo	rtation	
		(re-)export ☐ has been surrendered to the issuing authority			of the goo	ds de	scribed above	e is here	by permi	tted.	
		has to be surrendered to the border customs of duction	office of intro-		Signature	and of	fficial stamp:				
					Name of is	ssuing	official:				
					Place and	date	of issue:				
	26.	Bill of Lading / Air Waybill Number:									
	27.	For customs purposes only		Sig	nature and	officia	l stamp:				
					SOMETIME OF						
		Quantity / net mass (kg) actually Number of anima	als	Cust	oms docum	ient					
		imported or (re)-exported dead on arrival		Num							
				Date							

EUROPEAN UNION

5	1.	Exporter/Re-exporter	PERMIT/CERTIFICATE
			☐ IMPORT
			☐ EXPORT
			☐ RE-EXPORT
Z			OTHER:
APPLICATION	3.	Importer	Convention on International Trade in Endangered Species of Wild Fauna and Flora
•			4. Country of (re)-export
			5. Country of import
5	6.	Location at which live specimens of Annex A species will be kept	7. Issuing Management Authority
	8.	Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg) 10. Quantity
			11. CITES Appendix 12. EU Annex 13. Source 14. Purpose
			15. Country of origin
			16. Permit No 17. Date of issue
			18. Country of last re-export
			19. Certificate No 20. Date of issue
	21.	Scientific name of species	
	22.	Common name of species	
	23.	I hereby apply for the permit/certificate indicated above.	
		Remarks (e.g. on purpose of introduction, details of accommodation	for live specimens, etc.)
			I attach the necessary documentary evidence and declare that all the particulars provided are to the best of my knowledge and belief correct. I declare that an application for a permit/certificate for the above specimens was not previously rejected.
			Signature
	Gui	e animals will be transported in compliance with the CITES delines for the Transport and Preparation for Shipment of Live I Animals or, in the case of air transport, the Live Animals Regu-	Name of applicant
	latio	ons published by the International Air Transport Association	Place and date

Instructions and explanations

▼ M1

Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate or of a musical instrument certificate, the full name and address of the legal owner. In the case of a musical instrument certificate, if the applicant is different from the legal owner, the full name and address of both the owner and of the applicant should be included in the form and a copy of a loan agreement between owner and applicant should be provided to the relevant permit issuing authority.

▼B

2. Not applicable.

▼M1

- Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.
- To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.

▼<u>B</u>

 To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.

▼<u>M1</u>

B. Description must be as precise as possible and include a 3-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein. In the case of a musical instrument certificate, the description of the instrument should allow the competent authority to verify that the certificate corresponds to the specimen being imported or exported, and the description should include elements such as the manufacturer's name, the serial number or other means of identification such as photographs.

- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application for the permit/certificate.
- Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or
 in which the species is listed at the date of application.
- 13. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood

- D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
- A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
- C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
- F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
- I Confiscated or seized specimens (1)
- O Pre-convention (1)
- U Source unknown (must be justified)

▼<u>M1</u>

X Specimens taken in the marine environment not under the jurisdiction of any State

▼<u>B</u>

- 14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
 - B Breeding in captivity or artificial propagation
 - E Educational
 - G Botanical gardens
 - H Hunting trophies
 - L Law enforcement/judicial/forensic
 - M Medical (including bio-medical research)
 - N Reintroduction or introduction into the wild
 - P Personal

▼M1

Q Travelling exhibitions (sample collection, circus, menagerie, plant exhibition, orchestra or museums exhibition that is used for commercial display for the public)

▼<u>B</u>

- S Scientific
- T Commercial

▼ M2

Y Plant specimens obtained from assisted production, which are considered not to be 'artificially propagated' as set out in Article 56 of Regulation (EC) No 865/2006, and also not considered to be taken from the wild because they are propagated or planted in an environment with some level of human intervention for the purpose of plant production

▼<u>B</u>

Z Zoos

⁽¹⁾ To be used only in conjunction with another source code.

- 15 to 17. The country of origin is the country where the specimens were taken form the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
- 21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- Provide as many details as possible and justify any omissions to the information required above.

ANNEX II

EUROPEAN UNION				Annex II	
1. Importer	IMPORT NOTII	FICATION	No		
	Council Regulation Regulation (EC) N wild fauna and flo	lo 865/2006 or	the protecti	on of species of	
2. Member State of import	3. Date of import				
4. Country of origin	5. Country of (re)-expor	t			
Country of origin Description of specimens (incl. (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		9. Source	
A	10. Scientific name of s	species		11. CITES Appendi	
	12. Common name of	species		13. EU Annex	
Description of specimens (incl. (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	,	9. Source	
B	10. Scientific name of s	species		11. CITES Appendi	
	12. Common name of	12. Common name of species		13. EU Annex	
6. Description of specimens (incl. (re-)export document	7. Net mass (kg)	8. Quantity	,	9. Source	
number for CITES Appendix III species)	10. Scientific name of s	species		11. CITES Appendi	
	12. Common name of	12. Common name of species		13. EU Annex	
Description of specimens (incl. (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	,	9. Source	
ID	10. Scientific name of s	species		11. CITES Appendi	
	12. Common name of	species		13. EU Annex	
6. Description of specimens (incl. (re-)export document	7. Net mass (kg)	8. Quantity	,	9. Source	
number for CITES Appendix III species)	10. Scientific name of s	species		11. CITES Appendi	
E	12. Common name of	species		13. EU Annex	
Description of specimens (incl. (re-)export document	7. Net mass (kg)	8. Quantity	,	9. Source	
number for CITES Appendix III species)	10. Scientific name of s	10. Scientific name of species		11. CITES Appendi	
F	12. Common name of	species		13. EU Annex	
14. For specimens above which are of species listed in Appendix III to	CITES, 15. Official stamp of bo	order customs of	fice:		
I attach the necessary documents from the (re-)exporting country.					
Signature of importer or his authorised representative					

Instructions and explanations

- 1. Enter full name and address of importer or authorised representative.
- 4. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated.
- 5. Only applies where the country from which the specimens are imported is not the country of origin.
- 6. Description must be as precise as possible.
- 9. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof.
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens (1)
 - O Pre-convention (1)
 - U Source unknown (must be justified)
 - X Specimens taken in the marine environment not under the jurisdiction of any State
 - Y Plant specimens obtained from assisted production, which are considered not to be 'artificially propagated' as set out in Article 56 of Regulation (EC) No 865/2006, and also not considered to be taken from the wild because they are propagated or planted in an environment with some level of human intervention for the purpose of plant production.
- The scientific name must be the name used in Annexes C or D to Regulation (EC) No 338/97.
- 11. Enter III for species listed in Appendix III to CITES.

⁽¹⁾ To be used only in conjunction with another source code.

- 13. Enter the letters (C or D) of the Annex to Regulation (EC) No 338/97 in which the species is listed.
- 14. The importer has to submit the signed original (form 1) and 'copy for the importer' (form 2), where appropriate together with CITES Appendix III documents from the (re-)exporting country to the customs office of introduction into the Union.
- 15. The customs office shall send the stamped original (form 1) to the management authority of his country and return the stamped 'copy for the importer' (form 2) to the importer or his authorised representative.

7	EUROPEAN UNION 1. Importer				
	i. iliponei	IMPORT NOTII	FICATION No		
			on the protection of	d Commission Regulation species of wild fauna and	
	2. Member State of import	3. Date of import			
	4. Country of origin	5. Country of (re)-expor	t		
	Description of specimens (incl. (re-)export document number for CITES Appendix III species)	7. Net mass (kg) 8. Quantity		9. Source	
	A	10. Scientific name of s	species	11. CITES Appendix	
		12. Common name of	species	13. EU Annex	
	Description of specimens (incl. (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	9. Source	
7	В	10. Scientific name of s	pecies	11. CITES Appendix	
		12. Common name of	species	13. EU Annex	
	Description of specimens (incl. (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	9. Source	
	c	10. Scientific name of s	species	11. CITES Appendix	
		12. Common name of species		13. EU Annex	
	Description of specimens (incl. (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	9. Source	
	D	10. Scientific name of species		11. CITES Appendix	
		12. Common name of	13. EU Annex		
	Description of specimens (incl. (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	9. Source	
	inamos of office appendix in operatory	10. Scientific name of s	species	11. CITES Appendix	
	E	12. Common name of	species	13. EU Annex	
	6. Description of specimens (incl. (re-)export document	7. Net mass (kg)	8. Quantity	9. Source	
	number for CITES Appendix III species)	10. Scientific name of s	species	11. CITES Appendix	
	F	12. Common name of	species	13. EU Annex	
	14. For specimens above which are of species listed in Appendix III to CITES, I attach the necessary documents from the (re-)exporting country.	15. Official stamp of bo	order customs office:		
	, , , , , , , , , , , , , , , , , , ,				
	14. For specimens above which are of species listed in Appendix III to CITES, I attach the necessary documents from the (re-)exporting country. Signature of importer or his authorised representative	15. Official stamp of bo	rder customs office:		

Instructions and explanations

- 1. Enter full name and address of importer or authorised representative.
- 4. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated.
- 5. Only applies where the country from which the specimens are imported is not the country of origin.
- 6. Description must be as precise as possible.
- 9. Use one of the following codes to indicate the source
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens (1)
 - O Pre-convention (1)
 - U Source unknown (must be justified)
 - X Specimens taken in the marine environment not under the jurisdiction of any State
 - Y Plant specimens obtained from assisted production, which are considered not to be 'artificially propagated' as set out in Article 56 of Regulation (EC) No 865/2006, and also not considered to be taken from the wild because they are propagated or planted in an environment with some level of human intervention for the purpose of plant production.
- The scientific name must be the name used in Annexes C or D to Regulation (EC) No 338/97.
- 11. Enter III for species listed in Appendix III to CITES.

⁽¹⁾ To be used only in conjunction with another source code.

- 13. Enter the letters (C or D) of the Annex to Regulation (EC) No 338/97 in which the species is listed.
- 14. The importer has to submit the signed original (form 1) and 'copy for the importer' (form 2), where appropriate together with CITES Appendix III documents from the (re-)exporting country to the customs office of introduction into the Union.
- 15. The customs office shall send the stamped original (form 1) to the management authority of his country and return the stamped 'copy for the importer' (form 2) to the importer or his authorised representative.

ANNEX III

CTA EUROPEA	N UNION		TRAVELL	.ING-EXHIBI	TION CERTIFICATE	
				Origi	inal	
CONVENTION ON INTERNATIONA ENDANGERED SPECIES OF WILD			1. Certificate No		2. Valid until	
Owner of specimen(s) (name, per country of registration)	manent addr	ess and	4. Issuing management a	uthority		
Signature	of owner					
5. Special conditions:						
a) Valid for multiple cross-bord Regulation (EC) No 338/97.			pecimens to be display	ed to the p	ublic in accordance with Art. 8 (3)	
(EC) No 338/97, in any State	other than t len, destroy	he State in which the e	xhibition is based and re	egistered. Th	e with the provisions of Regulatior nis certificate is non-transferable. I st be immediately returned by the	
c) This certificate is not valid u	ınless accor	mpanied by a continuat	ion sheet.			
 d) The certificate shall in no way affect the right of states to adopt stricter domestic measures regarding restrictions or conditions for the certified specimens, especially the holding/keeping of live animals. 						
This certificate is valid only if the tran Live Animal Regulations	sport condition	ons conform to the Guidel	ines for Transport of Live	Animals or, ir	the case of air transport, to the IATA	
6. Country of import		7. Purpose of the trans	nsaction 8. Security stamp no			
Various		a				
Scientific name (genus and species common name of species	pecies) and	10. Description of spec	imen/s, including identifyir	ng marks or I	numbers, age, sex	
11. Quantity	12. CITES	Appendix	13. EU Annex		14. Source	
15. Country of origin	16. Permit I	No and date	17. Exhibition registratio number	n	Date of acquisition (if specimen originated in a Member State of the Union)	
19. This certificate is issued by:						
Place	Date		Signat	ure and official seal		
20. Additional conditions						
21. Customs endorsement (see Cor	ntinuation she	et)				

Instructions and explanations

- A unique number should be generated by the issuing management authority for the certificate.
- The date of expiry of the document may not be more than three years
 after the date of issuance. Where the travelling exhibition originates from
 a third country the expiry date shall be no later than that indicated on the
 equivalent certificate from that country.
- Complete the full name, permanent address and country of the owner of the specimen covered by the certificate. Absence of the signature of the owner renders the certificate invalid.
- The name, address and country of the issuing management authority should already be pre-printed on the form.
- 5. This block has been pre-printed to indicate the validity of the certificate for multiple cross-border movements of the specimen with its exhibition for exhibition purposes only, allowing the specimens to be displayed to the public in accordance with Article 8(3) of Regulation (EC) No 338/97 and to clarify that the certificate is not to be collected but is to remain with the specimen/owner. This block also can be used to justify the omission of certain information.
- This block has been pre-printed to indicate that cross-border movement is permitted to any country accepting this certificate as a matter of national law.
- 7. This block has been pre-printed with the code Q for circuses and travelling exhibitions.
- 8. Where appropriate, indicate the number of the security stamp affixed in block 19.
- 9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
- 11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state 'see attached inventory'.
- 12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of issuance of the certificate.
- Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of issuance of the certificate.

- 14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 became applicable to them and the code O is also used.
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - U Source unknown (must be justified)
 - O Pre-Convention (may be used in conjunction with any other code).

▼M1

X Specimens taken in the marine environment not under the jurisdiction of any State

▼ M2

Y Plant specimens obtained from assisted production, which are considered not to be 'artificially propagated' as set out in Article 56 of Regulation (EC) No 865/2006, and also not considered to be taken from the wild because they are propagated or planted in an environment with some level of human intervention for the purpose of plant production

▼<u>B</u>

- 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, box 16 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 17. This block must contain the exhibition registration number.
- 18. Enter the date of acquisition only for specimens which were acquired in or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 applied to them.
- 19. To be completed by the official who issues the certificate. A certificate may only be issued by the management authority of the country where an exhibition is based and only when the owner of the exhibition has registered full details of the specimen with that management authority. In the case of an exhibition originating in a third country, a certificate may only be issued by the management authority of the country of first destination. The name of the issuing official must be written in full. The seal, signature and, where appropriate, security stamp number, should be clearly legible.

- This block may be used to refer to national legislation or additional special conditions placed on the cross-border movement by the issuing management authority.
- This block has been pre-printed to refer to the attached continuation sheet, which should indicate all cross-border movements.

Subject to point 5, upon expiration, this document must be returned to the issuing management authority.

The holder or his authorised representative shall surrender the original of this certificate (form 1) — and, where applicable, the travelling exhibition certificate issued by a third country — for verification purposes and submit the accompanying continuation sheet or (where the certificate is issued on the basis of an equivalent certificate from a third country) the two continuation sheets and copies thereof to a customs office designated in accordance with Article 12(1) of Regulation (EC) No 338/97. The customs office shall, after completing the continuation sheet or sheets, return the original of this certificate (form 1), the original certificate issued by a third country (where applicable) — and the continuation sheet or sheets — to the holder or to his authorised representative and forward an endorsed copy of the continuation sheet of the certificate issued by Member State's management authority to the relevant management authority in accordance with Article 45 of Regulation (EC) No 865/2006.

CTA EUROPEA	N UNION		TRAVELL	ING-EXHIBI	TION CERTIFICATE
			Сору	for the Iss	suing Authority
CONVENTION ON INTERNATIONA ENDANGERED SPECIES OF WILD			1. Certificate No		2. Valid until
Owner of specimen(s) (name, per country of registration)	rmanent addr	ress and	4. Issuing Management A	uthority	
Signature	of owner				
5. Special conditions:					
Regulation (EC) No 338/97.	Owner to re	tain original form.			ublic in accordance with Art. 8 (3)
(EC) No 338/97, in any State	other than to len, destroy	the State in which the e /ed, lost, sold or other	xhibition is based and re	gistered. Tl	e with the provisions of Regulation nis certificate is non-transferable. It st be immediately returned by the
c) This certificate is not valid t	unless acco	mpanied by a continuat	tion sheet.		
d) The certificate shall in no wa certified specimens, especia				res regardir	ng restrictions or conditions for the
This certificate is valid only if the tran Live Animal Regulations	sport condition	ons conform to the Guidel	lines for Transport of Live A	Animals or, ir	n the case of air transport, to the IATA
6. Country of import		7. Purpose of the trans	action	8. Security	stamp no
Various		Q			
Scientific name (genus and speci and common name of species	es)	10. Description of spec	cimen/s, including identifyin	g marks or	numbers, age, sex
11. Quantity	12. CITES	Appendix	13. EU Annex		14. Source
15. Country of origin	16. Permit	No and date	17. Exhibition registratio number	n	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. This certificate is issued by:	1				
Place		Date		Signat	ure and official seal
20. Additional conditions					
21. Customs endorsement (see Cor	ntinuation she	eet)			

CIF EUROPEA	N UNION		TRAVELLING-EXHIBITION CERTIFICATE			
CONVENTION ON INTERNATIONA ENDANGERED SPECIES OF WILD			Application			
Owner of specimen(s) (name, per country of registration)	manent addr	ress and	4. Issuing Management A	uthority		
Signature	of owner					
6. Country of import		7. Purpose of the tran	saction	8. Security	stamp no	
Various		Q				
Scientific name (genus and speci and common name of species	es)	10. Description of spe	cimen/s, including identifyir	numbers, age, sex		
11. Quantity	12. CITES	Appendix	13. EU Annex	14. Source		
15. Country of origin	16. Permit	No and date	17. Exhibition registrationumber			
19. I hereby apply for the certificate	indicated ab	pove.				
Remarks			particulars provided are	to the best	y evidence and declare that all the of my knowledge and belief correct. I tificate for the above specimens was	
			Signature			
Live animals will be transported Guidelines for the Transport and Pre Animals or, in the case of air transport	paration for	Shipment of Live Wild	Name of Applicant			
published by the International Air Tra			Place and date			

Instructions and explanations

- Complete the full name, permanent address and country of the owner of the specimen covered by the certificate (not of an agent). Absence of the signature of the owner renders the certificate invalid.
- Where appropriate, indicate the number of the security stamp affixed in block 19.
- 9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
- Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state 'see attached inventory'.
- 12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of application.
- 13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of application.
- 14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 became applicable to them and the code O is also used.
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - U Source unknown (must be justified)
 - O Pre-Convention (may be used in conjunction with any other code)

▼<u>M1</u>

X Specimens taken in the marine environment not under the jurisdiction of any State

Y Plant specimens obtained from assisted production, which are considered not to be 'artificially propagated' as set out in Article 56 of Regulation (EC) No 865/2006, and also not considered to be taken from the wild because they are propagated or planted in an environment with some level of human intervention for the purpose of plant production

▼<u>B</u>

- 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated. Where this is a third country (i.e. a non-EU country), box 16 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 17. This block must contain the exhibition registration number.
- 18. Enter the date of acquisition only for specimens which were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 applied to them.
- Provide as many details as possible and justify any omissions to the information required above.

ANNEX IV

EUROPEAN UNION				▶ ⁽¹⁾ TRAVELLING-EXHIBITION CERTIFICATE					
CIR		NTION ON IATIONAL T	RADE IN	PERSONAL OWNERSHIP CERTIFICATE					
	ENDAN	GERED SPE	CIES OF	MUSICAL INSTRUMENT CERTIFICATE CONTINUATION SHEET 4					
							•		
Original certificate No.	<u> </u>			4. Issuing Managemen			<u>- </u>		
8. Security stamp No									
3. Owner of specimen(s) (name,	permanent a	ddress and country	of registration)					
Customs office of import	Date	Signature	Official stamp	Customs office of (re)export	Date	Signature	Official stamp		
Customs office of import	Date	Signature	Official stamp	Customs office of (re)export	Date	Signature	Official stamp		
Customs office of import	Date	Signature	Official stamp	Customs office of (re)export		Signature	Official stamp		
				(4,5,5,5)					
Customs office of import	Data	Cignotius	Official stemp	Customs office of (va) consert	Dete	Signature	Official stamp		
Customs office of import	Date	Signature	Official stamp	Customs office of (re)export	Date	Signature	Official stamp		
Customs office of import	Date	Signature	Official stamp	Customs office of (re)export	Date	Signature	Official stamp		
Customs office of import	Date	Signature	Official stamp	Customs office of (re)export	Date	Signature	Official stamp		

ANNEX V

EUROPEAN UNION

1	1.	Holder CERTIFICATE						No			
					Not for use outside the European Union						
					☐ Certificate of I						
					☐ Certificate for commercial activities						
					☐ Certificate for movement of live specimen						
					Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein						
ORIGINAL	2.	Authorized location for live specimens of Annex A species Issuing Management Authority									
		Desc		n of specimens (incl. marks, sex/date of birth for live	5. Net mass (kg)			6. Quantity			
					7. CITES Append	lix	8. EU Ann	ex	9. Sou	rce	
					10. Country of origin						
1		11. F				. Permit No 12. D			Date of issue		
	16. Scientific name of species			name of species		13. Member State			of import		
	17.	Со	Common name of species (if available) 14. Document No			15. Date of issue					
18. It is hereby certified that the specimens described above:											
		a) were taken from the wild in accordance with the legislation in force in the issuing Member State									
		b) are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member St									
c) are captive born-and-bred or artificially propagated specimens d) were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/s											
						3/97					
	e) were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82						3626/82				
		f) were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES									
	g) were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 or 3626/82 or of CITES became applicable in this territory						97 or (EEC) No				
	19.	19. This document is issued for the purpose of :									
a) confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the species in question b) exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of 338/97							ce on the	protection of the			
							8.1 of Re	gulation (EC) No			
		c) cerempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97									
		d) using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental pure								mental purposes	
		e) authorising the movement within the Union of a live Annex A specimen from the location indicated in the import per certificate							permit or in any		
	Ce	rtific	ate v	alid only for holder named in box 1			Yes			No 🗖	
	20.	Sp	ecial o	conditions							
			N	lame of issuing official F	Place and date			Signatur	e and sta	mp	

Instructions and explanations

- 1. Full name and address of the holder of the certificate, not of an agent.
- Only to be completed in case the import permit for the specimens concerned prescribes the location at which they are to be kept, or where specimens that were taken from the wild in a Member State shall be required to be kept at an authorised address.

Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, from the location indicated shall then be subject to prior authorisation from the competent management authority (see box 19).

- 4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the certificate.
- 8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the certificate.
- 9. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof

- I Confiscated or seized specimens (1)
- O Pre-convention (1)
- U Source unknown (must be justified)

▼ M1

X Specimens taken in the marine environment not under the jurisdiction of any State

▼<u>M2</u>

Y Plant specimens obtained from assisted production, which are considered not to be 'artificially propagated' as set out in Article 56 of Regulation (EC) No 865/2006, and also not considered to be taken from the wild because they are propagated or planted in an environment with some level of human intervention for the purpose of plant production

▼<u>B</u>

- 10 to 12. The country of origin is the country where the specimens were taken form the wild, born and bred in captivity, or artificially propagated.
- 13 to 15. The Member State of import is, where applicable, the Member State having issued the import permit for the specimens concerned.
- 16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

⁽¹⁾ To be used only in conjunction with another source code.

▼<u>C1</u>

EUROPEAN UNION

2	1. Holder	CERTIFICATE					No		
		Not for use outsid							
		☐ Certificate of le							
		☐ Certificate for a	comme	ercial activities	3				
У		☐ Certificate for I	novem	nent of live sp	ecimen				
issuing authority		Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein							
he issuin	2. Authorised location for live specimens of Annex A species	ns of Annex A species 3. Issuing Management Authority							
COPY for the	Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)			6. Quantity				
CO		7. CITES Appendix	8. EU Annex		x	9. Source	e		
		10. Country of origin							
2		11. Permit No	12. Permit No						
	16. Scientific name of species		13. N	13. Member State of import					
	17. Common name of species (if available)	14. Document No			15. Date of issue				
18. It is hereby certified that the specimens described above:									
	a) were taken from the wild in accordance with the leg	islation in force in th	e issu	ing Member 8	State				
b) are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the							g Member State		
	c) are captive born-and-bred or artificially propagated specimens d) were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97. e) were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82.								
	f) were acquired in or introduced into the Union before	e 1 January 1984 in	compl	iance with the	provisions o	f CITES			
g) were acquired in or introduced into the issuing Member State before the provisions of Regula 3626/82 or of CITES became applicable in this territory							julations (EC) No 338/97 or (EEC) No		
	19. This document is issued for the purpose of :								
 a) confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on t species in question 							protection of the		
 exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regis 338/97 c) exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 									
									d) using the specimens for the advancement of science/
	e) authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit or in certificate								
	Certificate valid only for holder named in box 1			Yes			No 🗖		
20. Special conditions									
	Name of issuing official P	Place and date			Signatur	Signature and stamp			

▼<u>C1</u>

EUROPEAN UNION

ПСАТ	on for live specimens of Annex A species becimens (incl. marks, sex/date of birth for live	Council Regulation 865/2006 on the regulating trade the	gal acquisition commercial activities movement of live sp n (EC) No 338/97 a protection of sp terein	s pecimen and Commiss									
2. Authorised locat 4. Description of significant animals)		Certificate for of Council Regulation 865/2006 on the regulating trade the council Results of the regulating trade the council Regulating trade the regulating trade the council Regulating trade the regulation of the regulatio	commercial activities movement of live sp n (EC) No 338/97 a protection of sp terein	ecimen									
2. Authorised locat 4. Description of significant		Council Regulation 865/2006 on the regulating trade the 3. Issuing Manager	novement of live sp n (EC) No 338/97 a protection of sp nerein	ecimen									
2. Authorised locat 4. Description of significant		Council Regulation 865/2006 on the regulating trade the 3. Issuing Manager	n (EC) No 338/97 a protection of sp erein	and Commiss									
2. Authorised locat 4. Description of syanimals)		865/2006 on the regulating trade the 3. Issuing Manager	protection of sp erein										
Authorised locat Authorised locat Authorised locat Authorised locat animals)			nent Authority										
4. Description of spanimals)	pecimens (incl. marks, sex/date of birth for live	5 Net mass (kg)			3. Issuing Management Authority								
		o. Hot mass (kg)	6. Quantity										
		7. CITES Appendix	8. EU Anne	ex 9. Source		e							
		10. Country of origi											
3		11. Permit No	12. Date of issue										
16. Scientific name	of species		13. Member State	of import	iport								
17. Common name	of species (if available)	14. Document No		15. Date of issue									
18. I hereby certify	18. I hereby certify that the specimens described above:												
a) 🔲 were													
b) 🔲 are a	b) are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member State c) are captive born-and-bred or artificially propagated specimens d) were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97. e) were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82.												
c) \square are o													
, -													
	•	- , ,											
g) 🔲 were	 □ were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES □ were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 or (EEC) 3626/82 or of CITES became applicable in this territory 												
19. I request a document for the purpose of : a) confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the protection species in question													
 c) exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed Article 8.1 of Regulation (EC) No 338/97 d) using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental purp e) authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit or in certificate 													
							20. Remarks	10. Remarks I attach the necessary documentary evidence and declare that the particulars provided are to the best of my knowledge and b correct. I declare that an application for a certificate for the abspecimens was not previously rejected.					
Nam	Name of applicant Signature Place and date												

Instructions and explanations

- Full name and address of the applicant for the certificate, not of an agent.
- To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.
- 4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application.
- 8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date application.
- 9. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens (1)
 - O Pre-convention (1)
 - U Source unknown (must be justified)

▼ M1

X Specimens taken in the marine environment not under the jurisdiction of any State

⁽¹⁾ To be used only in conjunction with another source code.

Y Plant specimens obtained from assisted production, which are considered not to be 'artificially propagated' as set out in Article 56 of Regulation (EC) No 865/2006, and also not considered to be taken from the wild because they are propagated or planted in an environment with some level of human intervention for the purpose of plant production

▼<u>B</u>

- 10 to 12. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated.
- 13 to 15. The Member State of import is, where applicable, the Member State having issued the import permit for the specimens concerned.
- 16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 18. Provide as many details as possible and justify any omissions to the information required above.

ANNEX VI

CIE	Convention on International Trade in Endangered Species of Wild Fauna and Flora	
	Article VII(6)	
	SCIENTIFIC MATERIAL	
1. Contents:		
2. From (full name and address):		
3. Registration No:		
4. To (full name and address):		
5. Registration No: Label No:		
This part to be returned to the management a	authority immediately after use	
Registration No of sender Registration No of recipient		
Contents:		
Label No:		