

# Official Journal of the European Union

# L 336



English edition

## Legislation

Volume 62

30 December 2019

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## I

(Legislative acts)

## REGULATIONS

## COUNCIL REGULATION (EU, Euratom) 2019/2234

of 19 December 2019

**on measures concerning the implementation and financing of the general budget of the Union in 2020 in relation to the withdrawal of the United Kingdom from the Union**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 352 thereof,

Having regard to the Treaty establishing the European Atomic Energy Community, and in particular Article 203 thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

Having regard to the consent of the European Parliament <sup>(1)</sup>,

Acting in accordance with a special legislative procedure,

Whereas:

- (1) On 29 March 2017, the United Kingdom submitted the notification of its intention to withdraw from the Union pursuant to Article 50 of the Treaty on European Union (TEU). The Treaties will cease to apply to the United Kingdom from the date of entry into force of the withdrawal agreement or, failing that, two years after that notification, that is, from 30 March 2019, unless the European Council, in agreement with the United Kingdom, unanimously decides to extend that period. The period has been extended twice by the European Council, most recently by its Decision (EU) 2019/584 <sup>(2)</sup>, which extended it until 31 October 2019. In the absence of a withdrawal agreement with the United Kingdom and of a further extension of the period referred to in Article 50(3) TEU, a financial settlement with regard to the financial obligations following from the membership of the United Kingdom in the Union needs to be agreed in a future international agreement between the Union and the United Kingdom.
- (2) This Regulation is without prejudice to the respective obligations of the Union and the United Kingdom resulting from the whole period of the membership of the United Kingdom in the Union.
- (3) Council Regulation (EU, Euratom) 2019/1197 <sup>(3)</sup> has laid down rules on the relations between the Union, on the one hand, and the United Kingdom and its beneficiaries, on the other, as regards the financing and implementation of the general budget of the Union ('the budget') in 2019. It is necessary to lay down rules on the relations between the Union, on the one hand, and the United Kingdom and its beneficiaries, on the other, also as regards the financing and implementation of the budget in 2020.

<sup>(1)</sup> Consent of 22 October 2019 (not yet published in the Official Journal).

<sup>(2)</sup> European Council Decision (EU) 2019/584 taken in agreement with the United Kingdom of 11 April 2019 extending the period under Article 50(3) TEU (OJ L 101, 11.4.2019, p. 1).

<sup>(3)</sup> Council Regulation (EU, Euratom) 2019/1197 of 9 July 2019 on measures concerning the implementation and financing of the general budget of the Union in 2019 in relation to the withdrawal of the United Kingdom from the Union (OJ L 189, 15.7.2019, p. 1).

- (4) The Treaties do not provide powers other than those under Article 352 of the Treaty on the Functioning of the European Union (TFEU) and Article 203 of the Treaty establishing the European Atomic Energy Community for the adoption of the measures concerning the implementation and financing of the budget in 2020 in relation to the withdrawal of the United Kingdom from the Union without a withdrawal agreement.
- (5) The United Kingdom, and persons and entities established in the United Kingdom, are participating in a number of Union programmes or actions on the basis of the membership of the United Kingdom in the Union. That participation takes place on the basis of agreements with the United Kingdom or persons or entities established in the United Kingdom, or decisions in favour of the United Kingdom or persons or entities established in the United Kingdom which constitute legal commitments.
- (6) For many of those agreements and decisions, the rules governing the eligibility require the beneficiary to be a Member State or a person or entity established in a Member State. The eligibility of the United Kingdom, or persons or entities established in the United Kingdom, is in such cases linked to the United Kingdom being a Member State. The withdrawal of the United Kingdom from the Union without a withdrawal agreement therefore entails the loss of eligibility of such recipients of Union financing under such agreements and decisions. However, this does not concern cases where persons or entities established in the United Kingdom would participate in an action under, and subject to the conditions applicable under, the respective Union rules for persons and entities established in a third country.
- (7) In case of a withdrawal without a withdrawal agreement, it would be beneficial both for the Union and its Member States and for the United Kingdom, and persons and entities established in the United Kingdom, to provide for the eligibility in 2020 of the United Kingdom and beneficiaries established in the United Kingdom to receive Union funds and for the participation of the United Kingdom in the financing of the budget for 2020. It would also be beneficial if the legal commitments signed and adopted before the date of withdrawal, or in 2019 in application of Article 4 of Regulation (EU, Euratom) 2019/1197, could continue to be executed throughout 2020.
- (8) It is therefore appropriate to lay down conditions under which the United Kingdom, and persons and entities established in the United Kingdom, could continue to be eligible in 2020 with regard to the agreements signed with them and the decisions adopted with regard to them until the date on which the Treaties cease to apply to and in the United Kingdom ('date of withdrawal') or, where applicable, in 2019 in application of Article 4 of Regulation (EU, Euratom) 2019/1197. The following conditions are required for the application of this Regulation: (i) the United Kingdom has confirmed the commitment in writing to the Commission to continue to pay a contribution calculated on the basis of the estimated own resources from the United Kingdom as set out in the draft budget for 2020, as proposed on 5 July 2019, and adjusted to take into account the total amount of payment appropriations set out in the adopted budget for 2020; (ii) a first instalment has been paid by the United Kingdom; (iii) the United Kingdom has confirmed the commitment in writing to the Commission to allow audits and controls in full by the Union in compliance with the applicable rules; (iv) and the Commission has adopted the decision pursuant to Article 2(2) of Regulation (EU, Euratom) 2019/1197 and has not adopted a decision pursuant to Article 3(2) of that Regulation. The last condition is only applicable insofar as Regulation (EU, Euratom) 2019/1197 has become applicable before the end of the financial year 2019. In view of the need for certainty, it is appropriate to limit the time for the fulfilment of the conditions. The Commission should adopt a decision on the fulfilment of the conditions.
- (9) The condition as regards the contribution from the United Kingdom should be based on the draft budget for 2020 as proposed for 28 Member States and should be adjusted to take into account the total amount of payment appropriations under the adopted budget. It is reasonable that no Member State should be in a less favourable position as regards their relative contribution than laid down in the budget for 2020 as proposed, following the adoption of this Regulation. Therefore, to ensure the beneficial effect of this Regulation for all Member States, it is appropriate to deduct a specific amount from the amount of the contribution by the United Kingdom to be entered in the budget. Such specific amount should benefit the Member States which would otherwise be at a disadvantage following the adoption of this Regulation, as further specified in dedicated practical arrangements setting out the distribution of the payments due and entrusting the Commission with the disbursement of the specific amount.

- (10) As long as the conditions for eligibility of the United Kingdom, and persons and entities established in the United Kingdom, under this Regulation continue to be fulfilled, it is also appropriate to provide for their eligibility, in 2020, for the purposes of conditions set in calls, tenders, contests or any other procedure which may lead to financing from the Union's budget, with the exception of specific cases related to security, and to the loss of membership of the United Kingdom in the European Investment Bank, and to provide Union funding to them. Such Union funding should be limited to eligible expenditure incurred in 2020, except for public procurement contracts signed before the end of 2020 in application of Title VII of Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council <sup>(4)</sup> ('the Financial Regulation'), which continue to be implemented in accordance with their terms, and except for the United Kingdom agricultural direct payment scheme for the claim year 2020, which should be excluded from eligibility. It is also appropriate to exclude the United Kingdom, or persons or entities established in the United Kingdom, from eligibility under Regulation (EU) No 1309/2013 of the European Parliament and of the Council <sup>(5)</sup>, as amended by Regulation (EU) 2019/1796 <sup>(6)</sup> of the European Parliament and of the Council, for actions covering workers made redundant and self-employed persons whose activity has ceased as a consequence of a withdrawal without an agreement, and under Council Regulation (EC) No 2012/2002 <sup>(7)</sup>, as amended for actions covering serious financial burden inflicted on Member States directly imputable to a withdrawal without a withdrawal agreement. In line with the Financial Regulation, calls, tenders, contests or other procedures, as well as any ensuing agreements with, or decisions in favour of, the United Kingdom or persons or entities established in the United Kingdom, are to stipulate the conditions for eligibility and for continuation thereof by reference to this Regulation.
- (11) It is also appropriate to provide that the eligibility of the United Kingdom, and persons and entities established in the United Kingdom, would continue under the conditions that the United Kingdom continues to pay the contribution for 2020 and, where applicable, for 2019 under Regulation (EU, Euratom) 2019/1197, and that controls and audits can be carried out effectively. Where these conditions are no longer fulfilled, the Commission should take a decision establishing such failure. In such a case, the United Kingdom, and persons and entities established in the United Kingdom, should cease to be eligible for Union financing.
- (12) It is also appropriate to provide for the continuation, in 2020, of eligibility of actions in which Member States or persons or entities established in the Member States receive Union funds and which are related to the United Kingdom. However, the potential non-acceptance by the United Kingdom of controls and audits should constitute an element to be taken into account for the purposes of sound financial management when assessing the implementation of such actions.
- (13) The actions should continue to be implemented in compliance with the relevant rules governing such actions, including the Financial Regulation. It is therefore, necessary to treat the United Kingdom as a Member State for the purpose of the application of such rules.
- (14) Since the objectives of this Regulation cannot be sufficiently achieved by the Member States but can rather, as they concern the Union budget and programmes and actions implemented by the Union, be better achieved at Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality as set out in that Article, this Regulation does not go beyond what is necessary in order to achieve those objectives.

<sup>(4)</sup> Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

<sup>(5)</sup> Regulation (EU) No 1309/2013 of the European Parliament and of the Council of 17 December 2013 on the European Globalisation Adjustment Fund (2014-2020) and repealing Regulation (EC) No 1927/2006 (OJ L 347, 20.12.2013, p. 855).

<sup>(6)</sup> Regulation (EU) 2019/1796 of the European Parliament and of the Council of 24 October 2019 amending Regulation (EU) No 1309/2013 on the European Globalisation Adjustment Fund (2014-2020) (OJ L 279 I, 31.10.2019, p. 4).

<sup>(7)</sup> Council Regulation (EC) No 2012/2002 of 11 November 2002 establishing the European Union Solidarity Fund (OJ L 311, 14.11.2002, p. 3).

- (15) In order to allow for a limited flexibility, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of a possible extension of the deadlines set out in points (a), (b) and (c) of the first subparagraph of Article 2(1), and amendments to the payment schedule. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making<sup>(8)</sup>. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts. Where, in the case of a risk of a serious disruption of the implementation and financing of the Union budget in 2020, imperative grounds of urgency so require, the delegated act should enter into force without delay and should apply as long as no objection is expressed by the European Parliament or by the Council.
- (16) To avoid the most significant disruptions for beneficiaries of Union spending programmes and other actions at the date of the withdrawal of the United Kingdom from the Union, this Regulation should enter into force as a matter of urgency on the day following that of its publication in the *Official Journal of the European Union* and should apply from the day following that on which the Treaties cease to apply to and in the United Kingdom, unless a withdrawal agreement concluded with the United Kingdom has entered into force by that date. Given that this Regulation lays down measures concerning the implementation and financing of the budget of the Union for 2020, it should only apply to the eligibility for the year 2020,

HAS ADOPTED THIS REGULATION:

#### Article 1

### Subject matter and scope

This Regulation lays down rules on the implementation and the financing of the general budget of the Union ('the budget') in 2020 in relation to the withdrawal of the United Kingdom from the Union without a withdrawal agreement and on actions under direct, indirect and shared management for which the eligibility is fulfilled through the membership of the United Kingdom in the Union at the date on which the Treaties cease to apply to and in the United Kingdom ('date of withdrawal').

This Regulation applies without prejudice to the territorial cooperation programmes covered by Regulation (EU) 2019/491 of the European Parliament and of the Council<sup>(9)</sup> and to the learning mobility activities under the Erasmus+ programme covered by Regulation (EU) 2019/499 of the European Parliament and of the Council<sup>(10)</sup>.

#### Article 2

### Conditions for eligibility

1. Where the United Kingdom, or a person or entity established in the United Kingdom, receive Union funding under an action carried out in direct, indirect or shared management pursuant to legal commitments signed or adopted before the date of withdrawal or, where applicable, in 2019 in application of Article 4 of Regulation (EU, Euratom) 2019/1197 and eligibility under that action depends on the membership of the United Kingdom in the Union, they shall continue to be eligible for Union funding for eligible expenditure incurred in 2020 following the date of withdrawal, if the following conditions are met, and as long as no decision as referred to in Article 3(2) has entered into force:

<sup>(8)</sup> OJ L 123, 12.5.2016, p. 1.

<sup>(9)</sup> Regulation (EU) 2019/491 of the European Parliament and of the Council of 25 March 2019 in order to allow for the continuation of the territorial cooperation programmes PEACE IV (Ireland-United Kingdom) and United Kingdom-Ireland (Ireland-Northern Ireland-Scotland) in the context of the withdrawal of the United Kingdom from the Union (OJ L 85I, 27.3.2019, p. 1).

<sup>(10)</sup> Regulation (EU) 2019/499 of the European Parliament and of the Council of 25 March 2019 laying down provisions for the continuation of ongoing learning mobility activities under the Erasmus+ programme established by Regulation (EU) No 1288/2013, in the context of the withdrawal of the United Kingdom from the Union (OJ L 85I, 27.3.2019, p. 32).



- (a) the United Kingdom, on 1 January 2020 or within 7 calendar days after the entry into force of this Regulation or after the date of its application, whichever is the later, has confirmed in writing to the Commission that it will contribute in euros, in accordance with the payment schedule laid down in this Regulation, the amount resulting from the following formula:  $UK\ OR\ DB2020 + UK\ GNI\ key\ DB2020 \times (PA\ B2020 - PA\ DB2020)$ ;
- (b) the United Kingdom, on 20 January 2020 or within 20 calendar days after the entry into force of this Regulation or after the date of its application, whichever is the later, has paid on the account determined by the Commission the first payment which corresponds to  $[3,5]$  twelfths of the amount referred to in point (a) of this subparagraph;
- (c) the United Kingdom, on 1 January 2020 or within 7 calendar days after the entry into force of this Regulation or after the date of its application, whichever is the later, has confirmed the commitment in writing to the Commission that it will continue to accept the controls and audits which cover the entire period of the programmes and actions in accordance with the applicable rules;
- (d) the Commission has adopted the decision pursuant to Article 2(2) of Regulation (EU, Euratom) 2019/1197 and has not adopted a decision pursuant to Article 3(2) of Regulation (EU, Euratom) 2019/1197; and
- (e) the Commission has adopted the decision referred to in paragraph 4 of this Article confirming that the conditions referred to in points (a), (b) and (c) of this subparagraph have been fulfilled.

The condition set out in point (d) of the first subparagraph shall only apply insofar as Regulation (EU, Euratom) 2019/1197 has become applicable before the end of the financial year 2019.

2. For the purposes of the formula set out in point (a) of the first subparagraph of paragraph 1, the following shall apply:

- (a) 'UK OR DB2020' shall be the amount displayed in the line 'United Kingdom' and the column 'Total own resources' of table 7 of the part 'A. Introduction and financing of the general budget' of the revenue part of the budget for 2020 set out in the draft budget of the European Union for the financial year 2020, as proposed on 5 July 2019;
- (b) 'UK GNI key DB2020' shall be the amount displayed in the line 'United Kingdom' and the column 'GNI-based own resources' of table 7 of the part 'A. Introduction and financing of the general budget' of the revenue part of the budget for 2020 set out in the draft budget of the European Union for the financial year 2020, as proposed on 5 July 2019, divided by the amount displayed in the line 'Total' of the same column;
- (c) 'PA B2020 — PA DB2020' shall be the difference between the amount displayed in the line 'Total expenditure' and the column 'Budget 2020' of the table 'Expenditure' of the part 'A. Introduction and financing of the general budget' of the revenue part of the budget of the European Union for the financial year 2020 as adopted, and the amount displayed in the same line and the same column of the same table of the same part of the draft budget of the European Union for the financial year 2020, as proposed on 5 July 2019;

Notwithstanding the first subparagraph, if the budget 2020 is not definitively adopted by the date of entry into force of this Regulation or by the date of its application, whichever is the later, the 'PA B2020 — PA DB2020' shall be set at zero.

3. The amount referred to in point (a) of the first subparagraph of paragraph 1, after deduction of the amount of the first payment referred to in point (b) of the first subparagraph of paragraph 1, shall be broken down into equal instalments. The number of instalments shall correspond to the number of full months between the date of the first payment referred to in point (b) of the first subparagraph of paragraph 1 and the end of the year 2020.

The amount referred to in point (a) of the first subparagraph of paragraph 1 shall be entered in the general budget of the Union as other revenue after deduction of a specific amount aiming at ensuring the budgetary distribution as provided in the column 'Total own resources' of the table referred to in point (a) of paragraph 2 and subject to dedicated practical arrangements to that effect.

The commitment referred to in point (c) of the first subparagraph of paragraph 1 shall include in particular the cooperation in the protection of the financial interests of the Union and the acceptance of the rights of the Commission, the Court of Auditors and the European Anti-Fraud Office to access data and documents relating to Union contributions, and perform controls and audits.

4. The Commission shall adopt a decision on whether the conditions laid down in points (a), (b) and (c) of the first subparagraph of paragraph 1 have been fulfilled.

5. The Commission is empowered to adopt delegated acts in accordance with Article 7 concerning the extension of the deadlines set out in points (a), (b) and (c) of the first subparagraph of paragraph 1 of this Article.

Where, in the case of a risk of serious disruption of the implementation and financing of the Union budget in 2020, imperative grounds of urgency so require, the procedure provided for in Article 8 shall apply to delegated acts adopted pursuant to this paragraph.

*Article 3***Continuation of eligibility of United Kingdom and of persons and entities established in the United Kingdom**

1. The eligibility of the United Kingdom, and persons and entities established in the United Kingdom, established in accordance with Article 2 shall continue in the year 2020 as long as the following conditions are fulfilled:
  - (a) the United Kingdom has, following the first payment made in accordance with point (b) of the first subparagraph of Article 2(1), paid on the account determined by the Commission the monthly instalment referred to in Article 2(3) on the first working day of each month until August 2020;
  - (b) the United Kingdom has paid on the account determined by the Commission on the first working day of September 2020 the remaining monthly instalments referred to in Article 2(3), unless the Commission communicates to the United Kingdom a different payment schedule for this payment by 31 August 2020; and
  - (c) no significant deficiencies have been observed in the execution of the controls and audits referred to in point (c) of the first subparagraph of Article 2(1).
2. Where one or more of the conditions referred to in paragraph 1 are not fulfilled, the Commission shall adopt a decision to that effect. That decision shall be published in the *Official Journal of the European Union*.

As of the date of entry into force of the decision referred to in the first subparagraph of this paragraph, the United Kingdom, and persons and entities established in the United Kingdom, shall cease to be eligible under paragraph 1 of this Article, and under Articles 2 and 4, actions shall cease to be eligible under Article 6(2), and Article 5 shall cease to apply.

3. The Commission is empowered to adopt delegated acts in accordance with Article 7 concerning a different payment schedule for the payments referred to in points (a) and (b) of paragraph 1 of this Article.

Where, in the case of a risk of a serious disruption of the implementation and financing of the Union budget in 2020, imperative grounds of urgency so require, the procedure provided for in Article 8 shall apply to delegated acts adopted pursuant to this paragraph.

*Article 4***Participation in calls and eligibility of resulting expenditures**

1. As of the date of entry into force of the decision referred to in point (e) of the first subparagraph of Article 2(1), and as long as no decision as referred to in Article 3(2) has entered into force, the United Kingdom, or persons and entities established in the United Kingdom, shall be eligible in 2020 for the purposes of conditions set in any calls, tenders, contests or any other procedure which may lead to financing from the Union's budget to the same extent as Member States and persons or entities established in the Member States, and be eligible for Union funding for eligible expenditure incurred in 2020.

Notwithstanding the first subparagraph:

- (a) contracts signed in application of Title VII of Regulation (EU, Euratom) 2018/1046 ('the Financial Regulation') until the end of 2020 shall be implemented in accordance with their terms and until their end date;
  - (b) expenditure in respect of the United Kingdom direct payments scheme for the claim year 2020 pursuant to Regulation (EU) No 1307/2013 of the European Parliament and of the Council <sup>(1)</sup> shall not be eligible for Union funding.
2. Notwithstanding paragraph 1, the United Kingdom, or persons or entities established in the United Kingdom, shall not be eligible under Regulation (EU) No 1309/2013, as amended by Regulation (EU) 2019/1796, for actions covering workers made redundant and self-employed persons whose activity has ceased as a consequence of a withdrawal without an agreement nor under Regulation (EC) No 2012/2002, as amended for actions covering serious financial burden inflicted on Member States directly imputable to a withdrawal without a withdrawal agreement.

<sup>(1)</sup> Regulation (EU) No 1307/2013 of the European Parliament and of the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 (OJ L 347, 20.12.2013, p. 608).

3. The first subparagraph of paragraph 1 shall not apply:
- (a) where the participation is limited to the Member States and persons or entities established in the Member States for security reasons;
  - (b) to financial operations carried out within financial instruments managed directly or indirectly under Title X of the Financial Regulation, or to financial operations guaranteed by the Union budget under the European Fund for Strategic Investments (EFSI) established by Regulation (EU) 2015/1017 of the European Parliament and of the Council <sup>(12)</sup> or under the European Fund for Sustainable Development (EFSD) established by Regulation (EU) 2017/1601 of the European Parliament and of the Council <sup>(13)</sup>.

#### Article 5

#### Other necessary adaptations

If the conditions laid down in Article 2(1) are fulfilled, and as long as no decision as referred to in Article 3(2) has entered into force, for the purpose of the application of any rules governing the actions carried out under the legal commitments referred to in the first subparagraph of Article 2(1), the calls referred to in Article 4 and the actions carried out under the legal commitments signed or adopted following the calls referred to in Article 4, which are necessary to give effect to Articles 2(1) and 4(1), the United Kingdom shall be treated as a Member State, subject to this Regulation.

However, the United Kingdom, or United Kingdom representatives, shall not be allowed to participate in any committee assisting in the management under the rules of the relevant basic act, or expert groups or other bodies advising on the programmes or on the actions, with the exception of monitoring or similar committees specific for the particular operational, national or similar programmes in shared management.

#### Article 6

#### Eligibility of actions that relate to the United Kingdom, where the Member States or persons or entities established in the Member States receive the Union funds

1. Actions under direct, indirect and shared management for which the Member States, or persons or entities established in the Member States, receive Union funds under legal commitments signed or adopted before the date of withdrawal or, where applicable, in 2019 in application of Article 4 of Regulation (EU, Euratom) 2019/1197, and for which the eligibility is fulfilled through the membership of the United Kingdom in the Union at the date of withdrawal or, where applicable, through the eligibility of the United Kingdom in application of Article 4 of Regulation (EU, Euratom) 2019/1197, shall be eligible for Union funding for eligible expenditure incurred in 2020 as of the date of withdrawal.
2. Actions for which the eligibility condition of a minimum number of participants from different Member States in a consortium is fulfilled at the date of withdrawal through a member of the consortium which is a person or entity established in the United Kingdom, shall be eligible for Union funding for eligible expenditure incurred in 2020 where the conditions set out in Article 2(1) are fulfilled and as long as no decision as referred to in Article 3(2) has entered into force.
3. The non-fulfilment of the condition referred to in point (c) of the first subparagraph of Article 2(1) or a Commission decision as referred to in Article 3(2) concerning the non-fulfilment of conditions referred to in point (c) of Article 3(1), shall be taken into account by the responsible authorising officer for the purposes of assessment of a possible serious deficiency in complying with the main obligations in the implementation of the legal commitment referred to in paragraph 1 of this Article.

<sup>(12)</sup> Regulation (EU) 2015/1017 of the European Parliament and of the Council of 25 June 2015 on the European Fund for Strategic Investments, the European Investment Advisory Hub and the European Investment Project Portal and amending Regulations (EU) No 1291/2013 and (EU) No 1316/2013 — the European Fund for Strategic Investments (OJ L 169, 1.7.2015, p. 1).

<sup>(13)</sup> Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund (OJ L 249, 27.9.2017, p. 1).

#### Article 7

##### Exercise of the delegation

1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.
2. The power to adopt delegated acts referred to in Articles 2 and 3 shall be conferred on the Commission for an indeterminate period of time from the date of entry into force of this Regulation.
3. The delegation of power referred to in Articles 2 and 3 may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the *Official Journal of the European Union* or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.
4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making.
5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.
6. A delegated act adopted pursuant to Articles 2 and 3 shall enter into force only if no objection has been expressed either by the European Parliament or by the Council within a period of one month of notification of that act to the European Parliament and to the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by one month at the initiative of the European Parliament or of the Council.

#### Article 8

##### Urgency procedure

1. Delegated acts adopted under this Article shall enter into force without delay and shall apply as long as no objection is expressed in accordance with paragraph 2. The notification of a delegated act to the European Parliament and to the Council shall state the reasons for the use of the urgency procedure.
2. Either the European Parliament or the Council may object to a delegated act in accordance with the procedure referred to in Article 7(6). In such a case, the Commission shall repeal the act immediately following the notification of the decision to object by the European Parliament or by the Council.

#### Article 9

##### Transitional provision

By way of derogation from point (b) of the second subparagraph of Article 4(1) of Regulation (EU, Euratom) 2019/1197, expenditure in respect of the United Kingdom direct payment scheme for the claim year 2019 pursuant to Regulation (EU) No 1307/2013 shall be eligible for Union funding after the Commission adopted the decision referred to in Article 2(4) of this Regulation, unless it adopts a decision referred to in Article 3(2) of this Regulation.

#### Article 10

##### Entry into force and application

This Regulation shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

It shall apply from the day following that on which the Treaties cease to apply to and in the United Kingdom pursuant to Article 50(3) of the TEU.

However, this Regulation shall not apply if a withdrawal agreement concluded with the United Kingdom in accordance with Article 50(2) TEU has entered into force by the date referred to in the second paragraph of this Article.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 19 December 2019.

*For the Council*  
*The President*  
K. MIKKONEN

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# DIRECTIVES

## COUNCIL DIRECTIVE (EU) 2019/2235

of 16 December 2019

**amending Directive 2006/112/EC on the common system of value added tax and Directive 2008/118/EC concerning the general arrangements for excise duty as regards defence efforts within the Union framework**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 113 thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

Having regard to the opinion of the European Parliament <sup>(1)</sup>,

Having regard to the opinion of the European Economic and Social Committee <sup>(2)</sup>,

Acting in accordance with a special legislative procedure,

Whereas:

- (1) Council Directive 2006/112/EC <sup>(3)</sup> provides, under certain conditions, for an exemption from value added tax (VAT) for goods and services supplied to and goods imported by the armed forces of any State party to the North Atlantic Treaty when such forces take part in the common defence effort outside their own State.
- (2) Council Directive 2008/118/EC <sup>(4)</sup> provides for an exemption from excise duty for excise goods intended to be used by the armed forces of any State party to the North Atlantic Treaty other than the Member State within which the excise duty is chargeable, for the use of those forces, for the civilian staff accompanying them or for supplying their messes or canteens, subject to conditions and limitations laid down by the host Member State.
- (3) Such exemptions are not available where the armed forces of a Member State take part in activities under the common security and defence policy (CSDP) as set out in Section 2 of Chapter 2 of Title V of the Treaty on European Union. Priority should be given to the need to improve European capabilities in the field of defence and crisis management and to strengthen Union security and defence. In their joint communication of 28 March 2018 on the Action Plan on Military Mobility, the High Representative of the Union for Foreign Affairs and Security Policy and the Commission recognised the overall need for aligning the VAT treatment of defence efforts undertaken within the Union framework and the North Atlantic Treaty Organization (NATO) umbrella.
- (4) A defence effort carried out for the implementation of a Union activity under the CSDP covers military missions and operations, activities of battlegroups, mutual assistance, permanent structured cooperation (PESCO) projects and activities of the European Defence Agency (EDA). It should not, however, cover activities under the solidarity clause set out in Article 222 of the Treaty on the Functioning of the European Union or any other bilateral or multilateral activities between Member States that do not relate to a defence effort carried out for the implementation of a Union activity under the CSDP.

<sup>(1)</sup> Opinion of 26 November 2019 (not yet published in the Official Journal).

<sup>(2)</sup> Opinion of 30 October 2019 (not yet published in the Official Journal).

<sup>(3)</sup> Council Directive 2006/112/EC of 28 November 2006 on the common system of value added tax (OJ L 347, 11.12.2006, p. 1).

<sup>(4)</sup> Council Directive 2008/118/EC of 16 December 2008 concerning the general arrangements for excise duty and repealing Directive 92/12/EEC (OJ L 9, 14.1.2009, p. 12).

- (5) An exemption from VAT should thus be introduced to cover the supply of goods or services intended either for the use of the armed forces of a Member State or of the civilian staff accompanying them or for supplying their messes or canteens when such forces take part in a defence effort carried out for the implementation of a Union activity under the CSDP outside their Member State. Supplies of goods or services for the armed forces of the Member State in which those goods or services are supplied should be excluded from the VAT exemption.
- (6) In addition, it is necessary to provide for an exemption from VAT where goods imported by the armed forces of a Member State are intended either for the use of those forces or of the civilian staff accompanying them or for supplying their messes or canteens when such forces take part in a defence effort carried out for the implementation of a Union activity under the CSDP outside their Member State.
- (7) An exemption from excise duty should also be introduced to cover excise goods supplied for the use of the armed forces of any Member State other than the Member State within which the excise duty is chargeable when such forces take part in a defence effort carried out for the implementation of a Union activity under the CSDP outside their Member State.
- (8) As with the exemption from VAT and excise duty for NATO defence efforts, the exemptions for defence efforts carried out for the implementation of a Union activity under the CSDP should be limited in scope. Those exemptions should apply only to situations where armed forces carry out tasks directly linked to a defence effort under the CSDP and should not cover civilian missions under the CSDP. Goods or services supplied for the use of civilian staff could therefore only be covered by the exemptions when the civilian staff are accompanying armed forces carrying out tasks directly linked to a defence effort under the CSDP outside their Member State. Tasks performed exclusively by civilian staff or performed exclusively by using civilian capabilities should not be considered to be a defence effort. The exemptions should not in any circumstances cover goods or services that the armed forces acquire for the use of those forces or the civilian staff accompanying them within their own Member State.
- (9) Since the objective of this Directive, namely to align the VAT and excise duty treatment of defence efforts within the Union and NATO frameworks, cannot be sufficiently achieved by the Member States but can rather be better achieved at Union level, the Union may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality as set out in that Article, this Directive does not go beyond what is necessary in order to achieve that objective.
- (10) In accordance with the Joint Political Declaration of 28 September 2011 of Member States and the Commission on explanatory documents <sup>(?)</sup>, Member States have undertaken to accompany, in justified cases, the notification of their transposition measures with one or more documents explaining the relationship between the components of a directive and the corresponding parts of national transposition instruments. With regard to this Directive, the legislator considers the transmission of such documents to be justified.
- (11) Directives 2006/112/EC and 2008/118/EC should therefore be amended accordingly,

HAS ADOPTED THIS DIRECTIVE:

#### *Article 1*

#### **Amendments to Directive 2006/112/EC**

Directive 2006/112/EC is amended as follows:

- (1) in Article 22, the following paragraph is inserted before the first paragraph:

'The application by the armed forces of a Member State taking part in a defence effort carried out for the implementation of a Union activity under the common security and defence policy, for their use or for the use of the civilian staff accompanying them, of goods which they have not purchased subject to the general rules governing

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<sup>(?)</sup> OJ C 369, 17.12.2011, p. 14.

taxation on the domestic market of a Member State shall be treated as an intra-Community acquisition of goods for consideration, where the importation of those goods would not be eligible for the exemption provided for in point (ga) of Article 143(1).’;

(2) in Article 143(1), the following point is inserted:

‘(ga) the importation of goods into Member States by the armed forces of other Member States for the use of those forces, or of the civilian staff accompanying them, or for supplying their messes or canteens when such forces take part in a defence effort carried out for the implementation of a Union activity under the common security and defence policy;’;

(3) in Article 151(1), the following points are inserted:

‘(ba) the supply of goods or services within a Member State, intended either for the armed forces of other Member States for the use of those forces, or of the civilian staff accompanying them, or for supplying their messes or canteens when such forces take part in a defence effort carried out for the implementation of a Union activity under the common security and defence policy;

(bb) the supply of goods or services to another Member State, intended for the armed forces of any Member State other than the Member State of destination itself, for the use of those forces, or of the civilian staff accompanying them, or for supplying their messes or canteens when such forces take part in a defence effort carried out for the implementation of a Union activity under the common security and defence policy;’.

#### *Article 2*

### **Amendment to Directive 2008/118/EC**

In Article 12(1) of Directive 2008/118/EC, the following point is inserted:

‘(ba) by the armed forces of any Member State other than the Member State within which the excise duty is chargeable, for the use of those forces, for the civilian staff accompanying them or for supplying their messes or canteens when such forces take part in a defence effort carried out for the implementation of a Union activity under the common security and defence policy;’.

#### *Article 3*

### **Transposition**

1. Member States shall adopt and publish, by 30 June 2022, the laws, regulations and administrative provisions necessary to comply with this Directive. They shall immediately communicate the text of those measures to the Commission.

They shall apply those measures from 1 July 2022.

When Member States adopt those measures, they shall contain a reference to this Directive or be accompanied by such a reference on the occasion of their official publication. Member States shall determine how such reference is to be made.

2. Member States shall communicate to the Commission the text of the main provisions of national law which they adopt in the field covered by this Directive.

#### *Article 4*

### **Entry into force**

This Directive shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.



*Article 5***Addressees**

This Directive is addressed to the Member States.

Done at Brussels, 16 December 2019.

*For the Council*  
*The President*  
J. LEPPÄ

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## II

(Non-legislative acts)

## REGULATIONS

## COUNCIL REGULATION (EU) 2019/2236

of 16 December 2019

**fixing for 2020 the fishing opportunities for certain fish stocks and groups of fish stocks applicable in the Mediterranean and Black Seas**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(3) thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) Article 43(3) of the Treaty provides that the Council, on a proposal from the Commission, is to adopt measures on the fixing and allocation of fishing opportunities.
- (2) Regulation (EU) No 1380/2013 of the European Parliament and of the Council <sup>(1)</sup> requires that conservation measures be adopted taking into account available scientific, technical and economic advice, including, where relevant, reports drawn up by the Scientific, Technical and Economic Committee for Fisheries.
- (3) It is incumbent upon the Council to adopt measures on the fixing and allocation of fishing opportunities, including, where appropriate, certain conditions functionally linked thereto. In accordance with Article 16(4) of Regulation (EU) No 1380/2013, fishing opportunities are to be fixed in accordance with the objectives of the Common Fisheries Policy (CFP) established in Article 2(2) of that Regulation. Article 16(1) of Regulation (EU) No 1380/2013 provides that fishing opportunities be allocated to Member States in such a way as to ensure the relative stability of fishing activities of each Member State for each fish stock or fishery.
- (4) Article 16(4) of Regulation (EU) No 1380/2013 provides that for stocks subject to specific multiannual plans, the fishing opportunities should be established in accordance with the rules laid down in those plans.
- (5) The Multiannual plan for the fisheries exploiting demersal stocks in the western Mediterranean Sea was established by Regulation (EU) 2019/1022 of the European Parliament and of the Council <sup>(2)</sup> and entered into force on 16 July 2019. In accordance with Article 4(1) of that Regulation, fishing opportunities for stocks listed in Article 1 of that Regulation should be fixed to achieve fishing mortality at maximum sustainable yield on a progressive, incremental basis by 2020 where possible, and by 1 January 2025 at the latest. Fishing opportunities should be expressed as maximum allowable fishing effort and fixed in accordance with the fishing effort regime laid down in Article 7 of that Regulation. For 2020, the maximum allowable fishing effort should therefore be reduced by 10 % compared to the baseline calculated in accordance with Article 7(4) of that Regulation.

<sup>(1)</sup> Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

<sup>(2)</sup> Regulation (EU) 2019/1022 of the European Parliament and of the Council of 20 June 2019 establishing a multiannual plan for the fisheries exploiting demersal stocks in the western Mediterranean Sea and amending Regulation (EU) No 508/2014 (OJ L 172, 26.6.2019, p. 1).

- (6) At its 42nd annual meeting in 2018, the General Fisheries Commission for the Mediterranean (GFCM) adopted recommendation GFCM/42/2018/1 on a multiannual management plan for European eel in the Mediterranean Sea, which established management measures for European eel (*Anguilla anguilla*) in the Mediterranean Sea (GFCM geographical subareas 1 to 27). Those measures include an annual closure period of three consecutive months to be defined by each Member State in accordance with the conservation objectives of Council Regulation (EC) No 1100/2007 <sup>(3)</sup>, the national management plan(s) for eel and the temporal migration patterns of eel in the Member State. The closure shall apply to all marine waters of the Mediterranean Sea and to brackish waters such as estuaries, coastal lagoons and transitional waters, in accordance with that recommendation. That measure should be implemented in Union law.
- (7) At its 42nd annual meeting in 2018, the GFCM also adopted recommendation GFCM/42/2018/8 on further emergency measures in 2019-2021 for small pelagic stocks in the Adriatic Sea (geographical subareas 17 and 18), which established catch and effort limits for small pelagic stocks for the years 2019, 2020 and 2021 in the GFCM geographical subareas 17 and 18 (Adriatic Sea). Those measures should be implemented in Union law. The maximum catch limits are fixed exclusively for one year and without prejudice to any other measures adopted in the future and any possible allocation scheme between Member States.
- (8) At its 43rd annual meeting in 2019, the GFCM adopted recommendation GFCM/43/2019/5 on a multiannual management plan for sustainable demersal fisheries in the Adriatic Sea (geographical subareas 17 and 18), which introduced a fishing effort regime for certain demersal stocks. Those measures should be implemented in Union law.
- (9) Taking into account the particularities of the Slovenian fleet and their marginal impact on the stocks of small pelagic and demersal stocks, it is appropriate to preserve the existing fishing patterns and to ensure access of the Slovenian fleet to a minimum quantity of small pelagic species and a minimum fishing effort for demersal stocks.
- (10) At its 43rd annual meeting in 2019, the GFCM adopted Recommendation GFCM/43/2019/3 amending Recommendation GFCM/41/2017/4 on a multiannual management plan for turbot fisheries in the Black Sea (GFCM geographical subarea 29). That recommendation introduces an updated regional Total Allowable Catch (TAC) and a quota allocation scheme for turbot and further conservation measures for that stock, in particular a two months closure period and a limitation of fishing days to 180 days per year. Those measures should be implemented in Union law.
- (11) In accordance with the scientific advice provided by the GFCM, it is necessary to maintain the current level of fishing mortality to ensure the sustainability of the stock of sprat in the Black Sea. It is therefore appropriate to continue setting an autonomous quota for that stock.
- (12) The fishing opportunities should be established on the basis of the available scientific advice, taking into account biological and socioeconomic aspects whilst ensuring fair treatment between fishing sectors, as well as in the light of the opinions expressed during the consultation of stakeholders.
- (13) Council Regulation (EC) No 847/96 <sup>(4)</sup> introduced additional conditions for year-to-year management of TACs, including, under Articles 3 and 4 of that Regulation, flexibility provisions for precautionary and analytical TACs. Under Article 2 of that Regulation, when fixing the TACs, the Council is to decide to which stocks Article 3 or 4 of that Regulation is not to apply, in particular on the basis of the biological status of the stocks. More recently, the year-to-year flexibility mechanism was introduced by Article 15(9) of Regulation (EU) No 1380/2013 for all stocks that are subject to the landing obligation. Therefore, in order to avoid excessive flexibility that would undermine the principle of rational and responsible exploitation of marine biological resources, hinder the achievement of the objectives of the CFP and cause the biological status of the stocks to deteriorate, it should be established that Articles 3 and 4 of Regulation (EC) No 847/96 apply to analytical TACs only where the year-to-year flexibility provided for in Article 15(9) of Regulation (EU) No 1380/2013 is not used.

<sup>(3)</sup> Council Regulation (EC) No 1100/2007 of 18 September 2007 establishing measures for the recovery of the stock of European eel (OJ L 248, 22.9.2007, p. 17).

<sup>(4)</sup> Council Regulation (EC) No 847/96 of 6 May 1996 introducing additional conditions for year-to-year management of TACs and quotas (OJ L 115, 9.5.1996, p. 3).

- (14) The use of fishing opportunities available to Union fishing vessels set out in this Regulation is subject to Council Regulation (EC) No 1224/2009 <sup>(?)</sup> and in particular to Articles 33 and 34 of that Regulation, concerning the recording of catches and fishing effort and the notification of data on the exhaustion of fishing opportunities. It is therefore necessary to specify the codes to be used by Member States when sending data to the Commission relating to landings of stocks subject to this Regulation.
- (15) In order to avoid the interruption of fishing activities and to ensure the livelihood of Union fishermen, this Regulation should apply from 1 January 2020. For reasons of urgency, this Regulation should enter into force immediately after its publication.
- (16) Fishing opportunities should be used in full compliance with Union law,

HAS ADOPTED THIS REGULATION:

#### TITLE I

### GENERAL PROVISIONS

#### Article 1

#### Subject matter

This Regulation fixes for 2020 the fishing opportunities applicable in the Mediterranean and Black Seas for certain fish stocks and groups of fish stocks.

#### Article 2

#### Scope

1. This Regulation applies to Union fishing vessels exploiting the following fish stocks:
  - (a) European eel (*Anguilla anguilla*) in the Mediterranean Sea, as defined in Article 4(b);
  - (b) blue and red shrimp (*Aristeus antennatus*), deep-water rose shrimp (*Parapenaeus longirostris*), giant red shrimp (*Aristaeomorpha foliacea*), European hake (*Merluccius merluccius*), Norway lobster (*Nephrops norvegicus*) and red mullet (*Mullus barbatus*) in the western Mediterranean Sea, as defined in Article 4(c);
  - (c) anchovy (*Engraulis encrasicolus*) and sardine (*Sardina pilchardus*) in the Adriatic Sea, as defined in Article 4(d);
  - (d) European hake (*Merluccius merluccius*), Norway lobster (*Nephrops norvegicus*), common sole (*Solea solea*), deep-water rose shrimp (*Parapenaeus longirostris*) and red mullet (*Mullus barbatus*) in the Adriatic Sea, as defined in Article 4(d);
  - (e) sprat (*Sprattus sprattus*) and turbot (*Psetta maxima*) in the Black Sea, as defined in Article 4(e).
2. This Regulation also applies to recreational fisheries where they are expressly referred to in the relevant provisions.

#### Article 3

#### Definitions

For the purposes of this Regulation, the definitions laid down to in Article 4 of Regulation (EU) No 1380/2013 apply. In addition, the following definitions apply:

- (a) 'international waters' means waters falling outside the sovereignty or jurisdiction of any State;

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<sup>(?)</sup> Council Regulation (EC) No 1224/2009 of 20 November 2009 establishing a Union control system for ensuring compliance with the rules of the common fisheries policy, amending Regulations (EC) No 847/96, (EC) No 2371/2002, (EC) No 811/2004, (EC) No 768/2005, (EC) No 2115/2005, (EC) No 2166/2005, (EC) No 388/2006, (EC) No 509/2007, (EC) No 676/2007, (EC) No 1098/2007, (EC) No 1300/2008, (EC) No 1342/2008 and repealing Regulations (EEC) No 2847/93, (EC) No 1627/94 and (EC) No 1966/2006 (OJ L 343, 22.12.2009, p. 1).

- (b) 'recreational fisheries' means non-commercial fishing activities exploiting marine living aquatic resources for recreation, tourism or sport;
- (c) 'total allowable catch' (TAC) means:
  - (i) in fisheries subject to the exemption of the landing obligation referred to in Article 15(4) to (7) of Regulation (EU) No 1380/2013, the quantity of fish that can be landed from each stock each year;
  - (ii) in all other fisheries, the quantity of fish that can be caught from each stock over the period of a year;
- (d) 'quota' means a proportion of the TAC allocated to the Union or a Member State;
- (e) 'Union autonomous quota' means a catch limit autonomously allocated to Union fishing vessels in the absence of an agreed TAC;
- (f) 'analytical quota' means a Union autonomous quota for which an analytical assessment is available;
- (g) 'analytical assessment' means a quantitative evaluation of trends in a given stock, based on data about the stock's biology and exploitation which scientific review has indicated to be of sufficient quality to provide scientific advice on options for future catches.

#### Article 4

### Fishing zones

For the purposes of this Regulation, the following zone definitions apply:

- (a) 'GFCM geographical subareas' means the areas as defined in Annex I to Regulation (EU) No 1343/2011 of the European Parliament and of the Council <sup>(6)</sup>;
- (b) 'Mediterranean Sea' means the waters in GFCM geographical subareas 1 to 27, as defined in Annex I to Regulation (EU) No 1343/2011;
- (c) 'western Mediterranean Sea' means the waters in GFCM geographical subareas 1, 2, 5, 6, 7, 8, 9, 10 and 11, as defined in Annex I to Regulation (EU) No 1343/2011;
- (d) 'Adriatic Sea' means the waters in GFCM geographical subareas 17 and 18, as defined in Annex I to Regulation (EU) No 1343/2011;
- (e) 'Black Sea' means the waters in GFCM geographical subarea 29, as defined in Annex I to Regulation (EU) No 1343/2011.

## TITLE II

### FISHING OPPORTUNITIES

#### CHAPTER I

### *Mediterranean Sea*

#### Article 5

### European eel

1. All activities by Union fishing vessels and other Union fishing activities catching European eel (*Anguilla anguilla*), namely targeted, incidental and recreational fisheries, shall be subject to the provisions of this Article.
2. This Article applies to the Mediterranean Sea and to brackish waters such as estuaries, coastal lagoons and transitional waters.

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<sup>(6)</sup> Regulation (EU) No 1343/2011 of the European Parliament and of the Council of 13 December 2011 on certain provisions for fishing in the GFCM (General Fisheries Commission for the Mediterranean) Agreement area and amending Council Regulation (EC) No 1967/2006 concerning management measures for the sustainable exploitation of fishery resources in the Mediterranean Sea (OJ L 347, 30.12.2011, p. 44).

3. It shall be prohibited for Union fishing vessels to fish for European eel in Union and international waters of the Mediterranean Sea for a consecutive three-month period to be determined by each Member State. The fishing closure period shall be consistent with the conservation objectives set out in Regulation (EC) No 1100/2007, with national management plans in place and with the temporal migration patterns of European eel in the Member States concerned. Member States shall communicate the determined period to the Commission no later than one month prior to the entry into force of the closure and in any case no later than 31 January 2020.

## CHAPTER II

### **Western Mediterranean Sea**

#### *Article 6*

#### **Demersal stocks**

1. The maximum allowable fishing effort for 2020 for demersal stocks in the western Mediterranean Sea is set out in Annex I to this Regulation.
2. Member States shall manage the maximum allowable fishing effort in accordance with Article 9 of Regulation (EU) 2019/1022.

#### *Article 7*

#### **Data transmission**

Member States shall record and transmit the fishing effort data to the Commission in accordance with Article 10 of Regulation (EU) 2019/1022.

When submitting effort data to the Commission in accordance with this Article, Member States shall use the fishing effort group codes set out in Annex I to this Regulation.

## CHAPTER III

### **Adriatic Sea**

#### *Article 8*

#### **Small pelagic stocks**

1. Catches of sardine (*Sardina pilchardus*) and anchovy (*Engraulis encrasicolus*) by Union fishing vessels in the Adriatic Sea shall not exceed the levels set out in Annex II to this Regulation.
2. Union fishing vessels targeting sardine and anchovy in the Adriatic Sea shall not exceed 180 fishing days per year. Within that total of 180 fishing days, a maximum of 144 fishing days targeting sardine and a maximum of 144 fishing days targeting anchovy shall apply.

#### *Article 9*

#### **Demersal stocks**

1. The maximum allowable fishing effort for 2020 for demersal stocks in the Adriatic Sea is set out in Annex II.
2. Member States shall manage the maximum allowable effort in accordance with Articles 26 to 35 of Regulation (EC) No 1224/2009.

*Article 10***Data transmission**

When pursuant to Articles 33 and 34 of Regulation (EC) No 1224/2009 Member States submit to the Commission data relating to landings of quantities of stocks caught and fishing effort data, they shall use the stock codes and the effort group codes set out in Annex II to this Regulation.

## CHAPTER IV

**Black Sea***Article 11***Allocation of fishing opportunities for sprat**

The Union autonomous quota for sprat (*Sprattus sprattus*), the allocation of such quota among Member States and the conditions functionally linked thereto, where appropriate, are set out in Annex III to this Regulation.

*Article 12***Allocation of fishing opportunities for turbot**

The TAC for turbot (*Psetta maxima*) applicable in Union waters in the Black Sea for Union fishing vessels and the allocation of such TAC among Member States and the conditions functionally linked thereto, where appropriate, are set out in Annex III to this Regulation.

*Article 13***Management of fishing effort for turbot**

Union fishing vessels authorised to fish for turbot in Union waters in the Black Sea, irrespective of the vessels' length overall, shall not exceed 180 fishing days per year.

*Article 14***Closure period for turbot**

It shall be prohibited for Union fishing vessels to carry out any fishing activity, including transshipment, retaining on board, landing and first sale of turbot in Union waters in the Black Sea from 15 April to 15 June.

*Article 15***Special provisions on allocations of fishing opportunities in the Black Sea**

1. The allocation of fishing opportunities among Member States as set out in Articles 11 and 12 of this Regulation shall be without prejudice to:

- (a) exchanges made pursuant to Article 16(8) of Regulation (EU) No 1380/2013;
- (b) deductions and reallocations made pursuant to Article 37 of Regulation (EC) No 1224/2009; and
- (c) deductions made pursuant to Articles 105 and 107 of Regulation (EC) No 1224/2009.

2. Articles 3 and 4 of Regulation (EC) No 847/96 shall not apply where a Member State uses the year-to-year flexibility provided for in Article 15(9) of Regulation (EU) No 1380/2013.

*Article 16***Data transmission**

When pursuant to Articles 33 and 34 of Regulation (EC) No 1224/2009 Member States submit to the Commission data relating to landings of quantities of stocks of sprat and turbot caught in Union waters in the Black Sea, they shall use the stock codes set out in Annex III to this Regulation.

## TITLE III

**FINAL PROVISIONS***Article 17***Entry into force**

This Regulation shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 1 January 2020.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 16 December 2019.

*For the Council*  
*The President*  
J. LEPPÄ

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## ANNEX I

## FISHING EFFORT FOR UNION FISHING VESSELS IN THE CONTEXT OF THE MANAGEMENT OF DEMERSAL STOCKS IN THE WESTERN MEDITERRANEAN SEA

The tables in this Annex set out the maximum allowable fishing effort (in fishing days) by stock groups, as defined in Article 1 of Regulation (EU) 2019/1022, and overall length of vessels for all types of trawls <sup>(1)</sup> fishing for demersal stocks in the western Mediterranean Sea.

All maximum allowable fishing efforts set out in this Annex shall be subject to the rules set out in Regulation (EU) 2019/1022 and Articles 26 to 35 of Regulation (EC) No 1224/2009.

The references to fishing zones are references to GFCM geographical subareas (GSAs).

For the purposes of this Annex, the following comparative table of Latin names and common names of fish stocks is provided:

Scientific name	Alpha-3 code	Common name
<i>Aristaeomorpha foliacea</i>	ARS	Giant red shrimp
<i>Aristeus antennatus</i>	ARA	Blue and red shrimp
<i>Merluccius merluccius</i>	HKE	European hake
<i>Mullus barbatus</i>	MUT	Red mullet
<i>Nephrops norvegicus</i>	NEP	Norway lobster
<i>Parapenaeus longirostris</i>	DPS	Deep-water rose shrimp

## Maximum allowable fishing effort in fishing days

## (a) Alboran Sea, Balearic Islands, Northern Spain and Gulf of Lion (GSAs 1-2-5-6-7)

Stock group	Overall length of vessels	Spain	France	Italy	Fishing effort group code
Red mullet in GSAs 1, 5, 6 and 7; Hake in GSAs 1-5-6-7; Deep-water rose shrimp in GSAs 1, 5 and 6; Norway lobster in GSAs 5 and 6.	< 12 m	2 260	0	0	EFF1/MED1_TR1
	≥ 12 m and < 18 m	24 284	0	0	EFF1/MED1_TR2
	≥ 18 m and < 24 m	46 277	5 144	0	EFF1/MED1_TR3
	≥ 24 m	16 240	6 258	0	EFF1/MED1_TR4
Blue and red shrimp in GSAs 1, 5, 6 and 7.	< 12 m	0	0	0	EFF2/MED1_TR1
	≥ 12 m and < 18 m	1 139	0	0	EFF2/MED1_TR2
	≥ 18 m and < 24 m	10 822	0	0	EFF2/MED1_TR3
	≥ 24 m	9 066	0	0	EFF2/MED1_TR4

(1) TBB, OTB, PTB, TBN, TBS, TB, OTM, PTM, TMS, TM, OTT, OT, PT, TX, OTP, TSP.

## (b) Corsica Island, Ligurian Sea, Tyrrhenian Sea and Sardinia Island (GSAs 8-9-10-11)

Stock group	Overall length of vessels	Spain	France	Italy	Fishing effort group code
Red mullet in GSAs 9, 10 and 11; Hake in GSAs 9-10-11; Deep-water rose shrimp in GSAs 9-10-11; Norway lobster in GSAs 9 and 10.	< 12 m	0	208	3 081	EFF1/MED2_TR1
	≥ 12 m and < 18 m	0	833	46 350	EFF1/MED2_TR2
	≥ 18 m and < 24 m	0	208	31 170	EFF1/MED2_TR3
	≥ 24 m	0	208	4 160	EFF1/MED2_TR4
Giant red shrimp in GSAs 9, 10 and 11.	< 12 m	0	0	510	EFF2/MED2_TR1
	≥ 12 m and < 18 m	0	0	3 760	EFF2/MED2_TR2
	≥ 18 m and < 24 m	0	0	3 028	EFF2/MED2_TR3
	≥ 24 m	0	0	405	EFF2/MED2_TR4

## ANNEX II

## FISHING OPPORTUNITIES FOR UNION FISHING VESSELS IN THE ADRIATIC SEA

The tables in this Annex set out the fishing opportunities by stock or vessels effort groups and the conditions functionally linked thereto, where appropriate.

All fishing opportunities set out in this Annex shall be subject to the rules set out in Articles 26 to 35 of Regulation (EC) No 1224/2009.

The references to fishing zones are references to GFCM geographical subareas (GSAs).

For the purposes of this Annex, the following comparative table of Latin names and common names is provided:

Scientific name	Alpha-3 code	Common name
<i>Engraulis encrasicolus</i>	ANE	Anchovy
<i>Merluccius merluccius</i>	HKE	European hake
<i>Mullus barbatus</i>	MUT	Red mullet
<i>Nephrops norvegicus</i>	NEP	Norway lobster
<i>Parapenaeus longirostris</i>	DPS	Deep-water rose shrimp
<i>Sardina pilchardus</i>	PIL	Sardine
<i>Solea solea</i>	SOL	Common sole

## 1. Small pelagic stocks — GSAs 17 and 18

The table in this section sets out the maximum level of catches expressed in tonnes live weight.

Species:	Small pelagic species (Anchovy and Sardine) <i>Engraulis encrasicolus</i> and <i>Sardina pilchardus</i>	Zone:	Union and International waters of GFCM-GSAs 17 and 18 (SP1/GF1718)
Union	101 711 <sup>(1)</sup> <sup>(2)</sup>	Maximum level of catches	Article 3 of Regulation (EC) No 847/96 shall not apply
TAC	Not relevant	Article 4 of Regulation (EC) No 847/96 shall not apply	

<sup>(1)</sup> Concerning Slovenia, the quantities are based on the level of catches exerted in 2014, up to an amount which should not exceed 300 tonnes.

<sup>(2)</sup> Limited to Croatia, Italy and Slovenia.

## 2. Demersal stocks — GSAs 17 and 18

The table in this section sets out the maximum allowable fishing effort (in fishing days) by types of trawls fishing for demersal stocks in GSAs 17 and 18 (Adriatic Sea).

Gear type	Stocks	Member State	Fishing effort (fishing days) Year 2020	Fishing effort group code
Trawls (OTB)	European hake, deep-water rose shrimp, Norway lobster, red mullet	Italy, GSAs 17-18	108 349	EFF/MED3_OTB
		Croatia, GSAs 17-18	39 257	EFF/MED3_OTB
		Slovenia, GSA 17	(*)	EFF/MED3_OTB

Gear type	Stocks	Member State	Fishing effort (fishing days) Year 2020	Fishing effort group code
Beam trawls (TBB)	Common sole	Italy, GSA 17	8 663	EFF/MED3_TBB

(\*) Fishing vessels flying the flag of Slovenia operating with OTB gear in GSA 17 shall not exceed the effort limit of 3 000 fishing days per year.

## ANNEX III

## FISHING OPPORTUNITIES FOR UNION FISHING VESSELS IN THE BLACK SEA

The tables in this Annex set out TACs and quotas expressed in tonnes of live weight by stock and the conditions functionally linked thereto, where appropriate.

All fishing opportunities set out in this Annex shall be subject to the rules set out in Articles 26 to 35 of Regulation (EC) No 1224/2009.

The references to fishing zones are references to GFCM geographical subareas (GSAs).

For the purposes of this Annex, the following comparative table of Latin names and common names is provided:

Scientific name	Alpha-3 code	Common name
<i>Sprattus sprattus</i>	SPR	Sprat
<i>Psetta maxima</i>	TUR	Turbot

Species:	Sprat <i>Sprattus sprattus</i>	Zone:	Union waters in the Black Sea — GSA 29 (SPR/F3742C)
Bulgaria	8 032,50	Analytical quota	
Romania	3 442,50	Article 3 of Regulation (EC) No 847/96 shall not apply.	
Union	11 475	Article 4 of Regulation (EC) No 847/96 shall not apply.	
TAC	Not relevant/Not agreed		

Species	Turbot <i>Psetta maxima</i>	Zone:	Union waters in the Black Sea — GSA 29 (TUR/F3742C)
Bulgaria	75	Analytical TAC	
Romania	75	Article 3 of Regulation (EC) No 847/96 shall not apply.	
Union	150 (*)	Article 4 of Regulation (EC) No 847/96 shall not apply.	
TAC	857		

(\*) No fishing activity, including transshipment, retaining on board, landing and first sale shall be permitted from 15 April to 15 June 2020.

**COMMISSION DELEGATED REGULATION (EU) 2019/2237****of 1 October 2019****specifying details of the landing obligation for certain demersal fisheries in South-Western waters  
for the period 2020-2021**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC <sup>(1)</sup>, and in particular Articles 15(6) and 18(1) and (3) thereof,

Having regard Regulation (EU) 2019/472 of the European Parliament and of the Council of 19 March 2019 establishing a multiannual plan for stocks fished in the Western Waters and adjacent waters, and for fisheries exploiting those stocks, amending Regulations (EU) 2016/1139 and (EU) 2018/973, and repealing Council Regulations (EC) No 811/2004, (EC) No 2166/2005, (EC) No 388/2006, (EC) No 509/2007 and (EC) No 1300/2008 <sup>(2)</sup>, and in particular Article 13 thereof,

Whereas:

- (1) Regulation (EU) No 1380/2013 aims to eliminate progressively discards in all Union fisheries through the introduction of a landing obligation for catches of species subject to catch limits.
- (2) Article 9 of Regulation (EU) No 1380/2013 provides for the adoption of multiannual plans containing conservation measures for fisheries exploiting certain stocks in a relevant geographical area.
- (3) Such multiannual plans specify details of the implementation of the landing obligation and may empower the Commission to further specify those details on the basis of joint recommendations developed by Member States.
- (4) On 19 March 2019, the European Parliament and the Council adopted Regulation (EU) 2019/472 establishing a multiannual plan for stocks fished in the Western Waters, and for fisheries exploiting those stocks. Article 13 of that Regulation empowers the Commission to adopt delegated acts in order to supplement this Regulation by specifying details of the landing obligation for all stocks of species in the Western Waters to which the landing obligation applies under Article 15(1) of Regulation (EU) No 1380/2013, as provided for in points (a) to (e) of Article 15(5) of that Regulation (EU) No 1380/2013, on the basis of joint recommendations developed by Member States.

<sup>(1)</sup> OJ L 354, 28.12.2013, p. 22.

<sup>(2)</sup> OJ L 83, 25.3.2019, p. 1.

- (5) Belgium, Spain, France, the Netherlands and Portugal have a direct fisheries management interest in the South-Western waters. By Delegated Regulation (EU) 2016/2374 <sup>(3)</sup>, the Commission established a discard plan for certain demersal fisheries in South-Western waters, which was repealed and replaced by Commission Delegated Regulation (EU) 2018/2033 <sup>(4)</sup> following a joint recommendation submitted by Belgium, Spain, France, the Netherlands and Portugal in 2018.
- (6) On 31 May 2019, Belgium, Spain, France, the Netherlands and Portugal submitted a new joint recommendation to the Commission after consulting the South Western Waters Advisory Council and Pelagic Advisory Council, the joint recommendation was amended on 9 August 2019. Scientific contributions were obtained from the relevant scientific bodies and reviewed by the Scientific, Technical and Economic Committee for Fisheries (STECF) <sup>(5)</sup>. The measures concerned were presented for a written consultation of the expert group, consisting of 28 Member States and European Parliament as an observer, by the Commission.
- (7) Delegated Regulation (EU) 2018/2033 included an exemption from the landing obligation for Norway lobster caught with bottom trawls in the International Council for the Exploration of the Seas (ICES) subareas 8 and 9 and for red seabream caught with the artisanal gear 'voracera' in ICES division 9a, as existing scientific evidence indicated possible high survival rates, taking into account the characteristics of the gears targeting that species, the fishing practices and the ecosystem. The STECF in its evaluation <sup>(6)</sup> concluded that the latest experiments and studies completed in 2016-2018 show Norway lobster survival rates in the range of the survival rate observed in previous assessments. The evidence to demonstrate discard survival rates of red seabream was submitted by Member States to the STECF which concluded <sup>(7)</sup> that the exemption is well justified. Therefore, considering that the circumstances have not changed, those survivability exemptions should be maintained in the South-Western waters demersal discard plan for the period 2020-2021.
- (8) In Delegated Regulation (EU) 2018/2033 a survivability exemption for skates and rays caught with any gears in ICES subareas 8 and 9 was granted pending the submission of detailed scientific evidence on survival rates for all fleet segments and combinations of gears, areas and species. The STECF considers that Member States have collected vitality information which provides a certain indication on survivability <sup>(8)</sup>, but that further details are required. In order to collect the relevant data, fishing needs to be continued. The exemption may therefore be granted, but Member States should be required to submit relevant data allowing the STECF to fully assess the justification and allowing the Commission to carry out a review. Member States having a direct management interest should submit by 1 May each year: (a) a roadmap developed in order to increase survivability and to fill in the data gaps identified by the STECF, to be annually assessed by the STECF, (b) annual reports on the progress and any modifications or adjustments made to the survivability programmes.
- (9) When considering the survival rates of skates and rays, cuckoo rays (*Leucoraja naevus*) were found to have a considerably lower survival rate than other species. Furthermore, the scientific understanding of the survival pattern of that species appears to be less robust. Evidence on vitality and direct discard survival for cuckoo rays was provided by the Member States. The STECF reviewed that evidence and concluded <sup>(9)</sup> that the data shows variable vitality for cuckoo ray but is not representative for commercial fishing conditions and does not exclude that cuckoo ray survivability is close to zero. Therefore, that exemption should only be granted for 2 years for the cuckoo ray caught with trammel nets in ICES subareas 8 and 9 and for 1 year for the cuckoo ray caught with trawls in ICES subarea 8. The results of the ongoing studies and improved survivability measures should be developed as a matter of urgency and provided to the STECF for assessment as soon as possible and not later than by 1 May 2020 for the cuckoo ray caught with trawls in ICES subarea 8 and not later than by 1 May every year for the cuckoo ray caught with trammel nets in ICES subareas 8 and 9.

<sup>(3)</sup> Commission Delegated Regulation (EU) 2016/2374 of 12 October 2016 establishing a discard plan for certain demersal fisheries in South-Western waters (OJ L 352, 23.12.2016, p. 33).

<sup>(4)</sup> Commission Delegated Regulation (EU) 2018/2033 of 18 October 2018 establishing a discard plan for certain demersal fisheries in South-Western waters for the period 2019-2021 (OJ L 327, 21.12.2018, p. 1).

<sup>(5)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>

<sup>(6)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>

<sup>(7)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>

<sup>(8)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(9)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

- (10) Delegated Regulation (EU) 2018/2033 included *de minimis* exemptions from the landing obligation pursuant to Article 15(5)(c) of Regulation (EU) No 1380/2013 for common sole caught with beam trawls and bottom trawls in ICES divisions 8a and 8b and for common sole caught with trammel nets and gillnets in ICES divisions 8a and 8b. The evidence provided by the Member States for those exemptions was reviewed by the STECF<sup>(10)</sup>. The STECF concluded that the joint recommendation contained reasoned arguments demonstrating difficulties in increasing selectivity and disproportionate costs of handling unwanted catches. Therefore, considering that the circumstances have not changed, the *de minimis* exemptions should be maintained in the South-Western waters demersal discard plan for the period 2020-2021.
- (11) Delegated Regulation (EU) 2018/2033 provisionally included a *de minimis* exemption from the landing obligation pursuant to Article 15(5)(c) of Regulation (EU) No 1380/2013 for hake caught with trawls and seines in ICES subareas 8 and 9. The evidence provided by the Member States for that exemption in the new joint recommendation was reviewed by the STECF, which concluded<sup>(11)</sup> that selectivity trials have not identified more selective devices available at this stage. The STECF noted that the information available shows a substantial increase in cost of handling unwanted catches. An additional study on disproportionate costs is being carried out by Member States, including for hake catches. In order to collect the relevant data, fishing needs to be continued. The exemption may therefore be granted, but Member States should be required to submit relevant data allowing the STECF to fully assess the justification and allowing the Commission to carry out a review. The Member States concerned should undertake additional trials and provide information as soon as possible and not later than by 1 May every year for assessment by the STECF.
- (12) The new joint recommendation suggests an extension of a survivability exemption for red seabream caught with hooks and lines in ICES subarea 8 and ICES division 9a in addition to an existing exemption for that species in ICES subarea 10. The Member States provided scientific evidence in order to demonstrate high survival rates of red seabream in ICES subarea 8 and ICES division 9a in that fishery. The additional evidence on survival rates in ICES subarea 8 and ICES division 9a was submitted to the STECF which concluded<sup>(12)</sup> that there are limitations in the method used, as regards in particular including a short monitoring period, which are likely to result in an overestimation of survival rates. Further studies are needed to generate robust survival estimates. The exemption may be therefore be granted, but Member States should be required to submit relevant data allowing the STECF to fully assess the justification and allowing the Commission to carry out a review. Member States having a direct management interest should submit by 1 May each year: (a) annual reports on the progress and any modifications or adjustments made to the survivability programmes to be annually assessed by the STECF.
- (13) Delegated Regulation (EU) 2018/2033 included a *de minimis* exemption for alfonsinos caught with hooks and lines in ICES subarea 10. The STECF reviewed the evidence submitted by the Member States and concluded<sup>(13)</sup> that the information provided contained reasoned arguments demonstrating that further improvements in selectivity are difficult to achieve or imply disproportionate costs in handling unwanted catches. Considering that the circumstances have not changed, it is appropriate to include those *de minimis* exemptions in the new discard plan for the years 2020-2021.
- (14) The new joint recommendation contains *de minimis* exemptions for:
- horse mackerel caught with trawls and seines in ICES subareas 8 and 9,
  - horse mackerel caught with gillnets in ICES subareas 8, 9 and Fishery Committee for the Eastern Central Atlantic (CECAF) zones 34.1.1, 34.1.2, 34.2.0,
  - mackerel caught with trawls and seines in ICES subareas 8 and 9,
  - mackerel caught with gillnets in ICES subareas 8 and 9 and CECAF zones 34.1.1, 34.1.2, 34.2.0,
  - megrim caught with trawls and seines in ICES subareas 8 and 9,
  - megrim caught with gillnets in ICES subareas 8 and 9,
  - plaice caught with trawls and seines in ICES subareas 8 and 9,
  - plaice caught with gillnets in ICES subareas 8 and 9,

<sup>(10)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/1099561/STECF+PLEN+15-02.pdf>

<sup>(11)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(12)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(13)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>



- anglerfish caught with trawls and seines in ICES subareas 8 and 9,
- anglerfish caught with gillnets in ICES subareas 8 and 9,
- whiting caught with trawls and seines in ICES subarea 8,
- whiting caught with gillnets in ICES subarea 8,
- pollack caught with trawls and seines in ICES subareas 8 and 9,
- pollack caught with gillnets in ICES subareas 8 and 9.

- (15) The evidence provided by the Member States on the new *de minimis* exemptions for horse mackerel and mackerel caught with trawls and seines in ICES subareas 8 and 9 was reviewed by the STECF, which concluded <sup>(14)</sup> that the relevant trials did not show that by-catches could be reduced. The STECF noted that an additional work has been planned by the Member States to support the exemptions on the basis of disproportionate handling costs. Given that the selectivity is difficult to achieve, the exemption for that fishery may be granted for one year and Member States should be required to submit relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. The Member States concerned should undertake additional trials and provide information as soon as possible and not later than by 1 May 2020 for assessment by the STECF. Those exemptions should therefore be applied provisionally until 31 December 2020.
- (16) The evidence provided by the Member States on the new *de minimis* exemptions for megrim, plaice, anglerfish, whiting and pollack caught with trawls and seines in ICES subareas 8 and 9 was reviewed by the STECF which concluded <sup>(15)</sup> that completion of a Spanish study on disproportionate handling costs may provide additional evidence to support the exemption for anglerfish and megrim. The STECF noted that Member States should commit to undertake further work to justify the exemptions for whiting and pollack. Given that selectivity is difficult to achieve, the exemptions may be granted for one year, but Member States should be required to submit relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. The Member States concerned should undertake additional trials and provide information as soon as possible and not later than by 1 May 2020 for assessment by the STECF. Those exemptions should therefore be applied provisionally until 31 December 2020.
- (17) The evidence provided by the Member States on the new *de minimis* exemptions for horse mackerel and mackerel caught with gillnets in ICES subareas 8 and 9 and CECAF zones 34.1.1, 34.1.2, 34.2.0 was reviewed by the STECF, which concluded <sup>(16)</sup> that the information regarding difficulties in improving selectivity is credible given the nature of the fisheries. The STECF noted that a study on disproportionate costs in Spanish gillnet fisheries is ongoing and that the assessment of that study should take place once it is finished. Given that selectivity is difficult to achieve, the exemptions for that fishery may be granted for one year and Member States should be required to submit relevant data allowing the STECF to fully assess the justification of those exemptions and allowing the Commission to carry out a review. The Member States concerned should undertake additional trials and provide information as soon as possible and not later than by 1 May 2020 for assessment by the STECF. Those exemptions should therefore be applied provisionally until 31 December 2020.
- (18) The evidence provided by the Member States on the new *de minimis* exemptions for megrim, plaice, anglerfish, whiting and pollack caught with gillnets in ICES subareas 8 and 9 was reviewed by the STECF, which concluded <sup>(17)</sup> that the potential scale of any marketable losses resulting from an increase in selectivity in these fisheries has not been quantified and that it is not clear how that potential scale would vary across particular gillnet fisheries. The STECF noted that Member States should provide specific information for each gillnet fishery involved. Given that selectivity is difficult to achieve, those exemptions may be granted for one year, but Member States should be required to submit relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. The Member States concerned should undertake additional trials and provide information as soon as possible and not later than by 1 May 2020 for assessment by the STECF. Those exemptions should therefore be applied provisionally until 31 December 2020.

<sup>(14)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(15)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(16)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(17)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

- (19) To ensure reliable estimates of discard levels for the purpose of setting total allowable catches (TACs), Member States should, in cases where the *de minimis* exemption is based on extrapolation of data-limited situations and partial fleet information, provide accurate and verifiable data for the whole fleet covered by that exemption.
- (20) The measures suggested by the new joint recommendation are in line with Article 15(4), Article 15(5)(c) and Article 18(3) of Regulation (EU) No 1380/2013 and may thus be included in this Regulation.
- (21) Under Article 18 of Regulation (EU) No 1380/2013, the Commission has considered both the STECF's assessment and the need for Member States to ensure the full implementation of the landing obligation. In several cases, continued fishing activity and data collection is necessary in order to address the comments made by the STECF. In those cases, it is appropriate to follow a pragmatic and prudent approach to fisheries management by granting exemptions on a temporary basis. Not granting such exemptions would prevent the collection of data which are essential for the proper and informed management of discards with a view to implementing fully the landing obligation.
- (22) Delegated Regulation (EU) 2018/2033 should therefore be repealed and replaced by a new Regulation.
- (23) Since the measures provided for in this Regulation impact directly on the economic activities linked to and the planning of the fishing season of Union vessels, this Regulation should enter into force immediately after its publication. It should apply from 1 January 2020,

HAS ADOPTED THIS REGULATION:

#### Article 1

### Implementation of the landing obligation

In ICES subareas 8, 9, 10 and CECAF zones 34.1.1, 34.1.2, 34.2.0, the landing obligation provided for in Article 15(1) of Regulation (EU) No 1380/2013 shall apply to demersal species in accordance with this Regulation for the period 2020-2021.

#### Article 2

### Definitions

'*Voracera*' means a locally designed and built mechanised hook line, used by the artisanal fleet targeting red seabream in the South of Spain in ICES division 9a.

#### Article 3

### Survivability exemption for Norway lobster

1. The exemption from the landing obligation for species for which scientific evidence demonstrates high survival rates, as provided for in Article 15(4)(b) of Regulation (EU) No 1380/2013, shall apply to Norway lobster (*Nephrops norvegicus*) caught in ICES subareas 8 and 9 with bottom trawls (gear codes <sup>(18)</sup>: OTB, OTT, PTB, TBN, TBS, TB, TBB, OT, PT and TX).
2. When discarding Norway lobster caught in the cases referred to in paragraph 1, the Norway lobster shall be released immediately and in the area where it has been caught.

<sup>(18)</sup> Gear codes used in this Regulation refer to those codes in Annex XI to Commission Implementing Regulation (EU) No 404/2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common fisheries policy. For the vessels whose LOA is less than 10 metres gear codes used in this table refer to the codes from the FAO gear classification.

## Article 4

**Survivability exemption for skates and rays**

1. The exemption from the landing obligation for species for which scientific evidence demonstrates high survival rates, as provided for in Article 15(4)(b) of Regulation (EU) No 1380/2013, shall apply to skates and rays (*Rajiformes*) caught with all gears in ICES subareas 8 and 9.
2. When discarding skates and rays in the cases referred to in paragraph 1, the skates and rays shall be released immediately.
3. Member States having a direct management interest shall submit as soon as possible, but not later than by 1 May every year additional scientific information supporting the exemption laid down in paragraph 1. The Scientific, Technical and Economic Committee for Fisheries shall assess that scientific information by 31 July every year.
4. The exemption referred to in paragraph 1 shall apply to Cuckoo ray:
  - caught by trammel nets in ICES subareas 8 and 9 until 31 December 2021. Member States having a direct management interest shall submit as soon as possible, but not later than by 1 May every year, additional scientific information supporting this exemption for Cuckoo ray caught with trammel nets. The Scientific, Technical and Economic Committee for Fisheries shall assess that scientific information by 31 July every year;
  - caught by trawls in ICES subarea 8 only until 31 December 2020. Member States having a direct management interest shall submit as soon as possible, but not later than by 1 May 2020, additional scientific information supporting this exemption for Cuckoo ray caught with bottom trawls. The Scientific, Technical and Economic Committee for Fisheries shall assess that scientific information by 31 July 2020.

## Article 5

**Survivability exemption for red sea bream**

1. The exemption from the landing obligation for species for which scientific evidence demonstrates high survival rates, as provided for in Article 15(4)(b) of Regulation (EU) No 1380/2013, shall apply to red seabream (*Pagellus bogaraveo*) caught with the artisanal gear *voracera* in ICES division 9a and to red seabream (*Pagellus bogaraveo*) caught with hooks and lines (gear codes: LHP, LHM, LLS, LLD) in ICES subareas 8 and 10 and in ICES division 9a.
2. Member States having a direct management interest shall submit as soon as possible, but not later than by 1 May every year additional scientific information supporting the exemption laid down in paragraph 1 for red seabream caught with hooks and lines in ICES subareas 8 and 10 and in ICES division 9a. The Scientific, Technical and Economic Committee for Fisheries shall assess the provided scientific information by 31 July every year.
3. When discarding red sea bream caught in the cases referred to in paragraph 1, the red seabream shall be released immediately.

## Article 6

**De minimis exemptions**

1. By way of derogation from Article 15(1) of Regulation (EU) No 1380/2013, the following quantities may be discarded pursuant to Article 15(5)(c) of that Regulation:
  - (a) for hake (*Merluccius merluccius*), up to a maximum of 5 % of the total annual catches of that species by vessels using trawls and seines (gear codes: OTT, OTB, PTB, OT, PT, TBN, TBS, TX, SSC, SPR, TB, SDN, SX, SV) in ICES subareas 8 and 9;

Member States having a direct management interest shall submit yearly as soon as possible, but not later than by 1 May 2020, additional scientific information supporting this exemption. The Scientific, Technical and Economic Committee for Fisheries shall assess that scientific information by 31 July every year;

- (b) for common sole (*Solea solea*), up to a maximum of 5 % of the total annual catches of that species by vessels using beam trawls and bottom trawls (gear codes: OTB, OTT, PTB, TBN, TBS, TBB, OT, PT and TX) in ICES divisions 8a and 8b;
- (c) for common sole (*Solea solea*), up to a maximum of 3 % of the total annual catches of that species by vessels using trammel nets and gillnets (gear codes: GNS, GN, GND, GNC, GTN, GTR and GEN) in ICES divisions 8a and 8b;
- (d) for alfonsinos (*Beryx spp.*), up to a maximum of 5 %, of the total annual catches of those species by vessels using hooks and lines (gear codes: LHP, LHM, LLS, LLD) in ICES subarea 10;
- (e) for horse mackerel (*Trachurus spp.*), up to a maximum of 7 % in 2020 of the total annual catches of horse mackerel by vessels using beam trawls, bottom trawls and seines (gear codes: OTB, OTT, PTB, TBN, TBS, TBB, OT, PT, TX, SSC, SPR, SDN, SX, SV) in ICES subareas 8 and 9;
- (f) for horse mackerel (*Trachurus spp.*), up to a maximum of 3 % in 2020 of the total annual catches of horse mackerel by vessels using gillnets (gear codes: GNS, GND, GNC, GTR, GTN) in ICES subareas 8, 9 and 10 and and CECAF zones 34.1.1, 34.1.2, 34.2.0;
- (g) for mackerel (*Scomber scombrus*), up to a maximum of 7 % in 2020 of the total annual catches of that species by vessels using beam trawls, bottom trawls and seines (gear codes: OTB, OTT, PTB, TBN, TBS, TBB, OT, PT, TX, SSC, SPR, SDN, SX, SV) in ICES subareas 8 and 9;
- (h) for mackerel (*Scomber scombrus*), up to a maximum of 3 % in 2020 of the total annual catches of that species by vessels using gillnets (gear codes: GNS, GND, GNC, GTR, GTN) in ICES subareas 8 and 9 and and CECAF zones 34.1.1, 34.1.2, 34.2.0;
- (i) for megrim (*Lepidorhombus spp.*), up to a maximum of 5 % in 2020 of the total annual catches of megrim by vessels using beam trawls, bottom trawls and seines (gear codes: OTB, OTT, PTB, TBN, TBS, TBB, OT, PT, TX, SSC, SPR, SDN, SX, SV) in ICES subareas 8 and 9;
- (j) for megrim (*Lepidorhombus spp.*), up to a maximum of 4 % in 2020 of the total annual catches of megrim by vessels using gillnets (gear codes: GNS, GND, GNC, GTR, GTN) in ICES subareas 8 and 9;
- (k) for plaice (*Pleuronectes platessa*), up to a maximum of 5 % in 2020 of the total annual catches of that species by vessels using beam trawls, bottom trawls and seines (gear codes: OTB, OTT, PTB, TBN, TBS, TBB, OT, PT, TX, SSC, SPR, SDN, SX, SV) in ICES subareas 8 and 9;
- (l) for plaice (*Pleuronectes platessa*), up to a maximum of 3 % in 2020 of the total annual catches of that species by vessels using gillnets (gear codes: GNS, GND, GNC, GTR, GTN) in ICES subareas 8 and 9;
- (m) for anglerfish (*Lophiidae*), up to a maximum of 5 % in 2020 of the total annual catches of anglerfish by vessels using beam trawls, bottom trawls and seines (gear codes: OTB, OTT, PTB, TBN, TBS, TBB, OT, PT, TX, SSC, SPR, SDN, SX, SV) in ICES subareas 8 and 9;
- (n) for anglerfish (*Lophiidae*), up to a maximum of 4 % in 2020 of the total annual catches of anglerfish by vessels using gillnets (gear codes: GNS, GND, GNC, GTR, GTN) in ICES subareas 8 and 9;
- (o) for whiting (*Merlangius merlangus*), up to a maximum of 5 % in 2020 of the total annual catches of that species by vessels using beam trawls, bottom trawls and seines (gear codes: OTB, OTT, PTB, TBN, TBS, TBB, OT, PT, TX, SSC, SPR, SDN, SX, SV) in ICES subarea 8;
- (p) for whiting (*Merlangius merlangus*), up to a maximum of 4 % in 2020 of the total annual catches of that species by vessels using gillnets (gear codes: GNS, GND, GNC, GTR, GTN) in ICES subareas 8;
- (q) for pollack (*Pollachius pollachius*), up to a maximum of 5 % in 2020 of the total annual catches of that species by vessels using beam trawls, bottom trawls and seines (gear codes: OTB, OTT, PTB, TBN, TBS, TBB, OT, PT, TX, SSC, SPR, SDN, SX, SV) in ICES subareas 8 and 9;
- (r) for pollack (*Pollachius pollachius*), up to a maximum of 2 % in 2020 of the total annual catches of that species by vessels using gillnets (gear codes: GNS, GND, GNC, GTR, GTN) in ICES subareas 8 and 9;

2. The *de minimis* exemptions set out in paragraph 1 (e) to (r) shall be provisionally applicable until 31 December 2020. Member States having a direct management interest shall submit as soon as possible but not later than by 1 May 2020, additional scientific information supporting those exemptions. The Scientific, Technical and Economic Committee for Fisheries shall assess that scientific information by 31 July 2020.

*Article 7***Repeal**

Delegated Regulation (EU) 2018/2033 is repealed.

*Article 8***Entry into force**

This Regulation shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 1 January 2020 until 31 December 2021.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 1 October 2019.

*For the Commission*  
*The President*  
Jean-Claude JUNCKER

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**COMMISSION DELEGATED REGULATION (EU) 2019/2238****of 1 October 2019****specifying details of implementation of the landing obligation for certain demersal fisheries in the North Sea for the period 2020-2021**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) 2018/973 of the European Parliament and of the Council of 4 July 2018 establishing a multiannual plan for demersal stocks in the North Sea and the fisheries exploiting those stocks, specifying details of the implementation of the landing obligation in the North Sea and repealing Council Regulations (EC) No 676/2007 and (EC) No 1342/2008 <sup>(1)</sup>, and in particular Article 11 thereof,

Having regard to Regulation (EU) 2019/1241 of the European Parliament and of the Council of 20 June 2019 on the conservation of fisheries resources and the protection of marine ecosystems through technical measures, amending Council Regulations (EC) No 1967/2006, (EC) No 1224/2009 and Regulations (EU) No 1380/2013, (EU) 2016/1139, (EU) 2018/973, (EU) 2019/472 and (EU) 2019/1022 of the European Parliament and of the Council, and repealing Council Regulations (EC) No 894/97, (EC) No 850/98, (EC) No 2549/2000, (EC) No 254/2002, (EC) No 812/2004 and (EC) No 2187/2005 <sup>(2)</sup>, and in particular Article 15(2) thereof,

Whereas:

- (1) Regulation (EU) No 1380/2013 of the European Parliament and of the Council <sup>(3)</sup> aims to eliminate progressively discards in all Union fisheries through the introduction of a landing obligation for catches of species subject to catch limits.
- (2) Article 9 of Regulation (EU) No 1380/2013 provides for the adoption of multiannual plans containing conservation measures for fisheries exploiting certain stocks in a relevant geographical area.
- (3) Such multiannual plans specify details of the implementation of the landing obligation and may empower the Commission to further specify those details on the basis of joint recommendations developed by Member States.
- (4) On 4 July 2018, the European Parliament and the Council adopted Regulation (EU) 2018/973 establishing a multiannual plan for demersal stocks in the North Sea and the fisheries exploiting those stocks <sup>(4)</sup>. Article 11 of that Regulation empowers the Commission to adopt delegated acts in order to supplement this Regulation by specifying details of the landing obligation for all stocks of species in the North Sea to which the landing obligation applies under Article 15(1) of Regulation (EU) No 1380/2013, as provided for in points (a) to (e) of Article 15(5) of that Regulation on the basis of joint recommendations developed by Member States.
- (5) Commission Delegated Regulation (EU) 2018/2035 <sup>(5)</sup> specified details of implementation of the landing obligation for certain demersal fisheries in the North Sea for the period 2019-2021, following a joint recommendation submitted by Belgium, Denmark, France, Germany, the Netherlands, Sweden and the United Kingdom which have a direct fisheries management interest in the North Sea.

<sup>(1)</sup> OJ L 179, 16.7.2018, p. 1.

<sup>(2)</sup> OJ L 198, 25.7.2019, p. 105.

<sup>(3)</sup> Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

<sup>(4)</sup> Regulation (EU) 2018/973 of the European Parliament and of the Council of 4 July 2018 establishing a multiannual plan for demersal stocks in the North Sea and the fisheries exploiting those stocks, specifying details of the implementation of the landing obligation in the North Sea and repealing Council Regulations (EC) No 676/2007 and (EC) No 1342/2008 (OJ L 179, 16.7.2018, p. 1).

<sup>(5)</sup> Commission Delegated Regulation (EU) 2018/2035 of 18 October 2018 specifying details of implementation of the landing obligation for certain demersal fisheries in the North Sea for the period 2019-2021 (OJ L 327, 21.12.2018, p. 17).

- (6) Those Member States, after consulting the North Sea Advisory Council and the Pelagic Advisory Council, submitted on 29 May 2019 a new joint recommendation to the Commission concerning details of implementation of the landing obligation for demersal fisheries in the North Sea. The joint recommendation was amended on 7 August 2019.
- (7) The new joint recommendation submitted by the Member States suggests continuation of a number of additional technical measures agreed between the Union and Norway in 2011 <sup>(6)</sup>, and 2012 <sup>(7)</sup> and authorizing the use of the SepNep selectivity device. These measures aim to increase selectivity and reduce unwanted catches for fisheries or species covered by the landing obligation and were introduced pursuant to Article 15(5)(a) of the Regulation (EU) No 1380/2013 for the years 2019-2021 by Delegated Regulation (EU) 2018/2035.
- (8) On 14 August 2019 a new Regulation (EU) 2019/1241 on the conservation measures of fisheries resources and the protection of marine ecosystems through technical measures came into force. It establishes in Annex V specific provisions regarding technical measures established at regional level for the North Sea, which also include rules on mesh sizes, associated conditions and by-catches. Article 15 of that Regulation empowers the Commission to adopt delegated acts in order to amend, supplement, repeal or derogate from the technical measures set out in the Annexes to Regulation (EU) 2019/1241, including when implementing the landing obligation.
- (9) Regulation (EU) 2019/1241 does not provide for transitional measures. Therefore, to ensure compatibility between this Delegated Regulation and Regulation (EU) 2019/1241, it is necessary to apply the conditions established in Regulation (EU) 2019/1241, whilst at the same time taking into account the exceptional circumstances involved. Based on the information provided by the Member States, the Scientific, Technical and Economic Committee for Fisheries (STECF) positively assessed <sup>(8)</sup> the information provided by the regional group in support of the technical measures included in the joint recommendation. This joint recommendation was developed and submitted by the Member States and assessed by STECF before the new technical measures regulation was adopted, before the entry into force of Regulation (EU) 2019/1241 and therefore did not refer to that Regulation. Nevertheless, in view of the exceptional circumstances, the Commission considers that on the basis of information available to it at this stage in the joint recommendation and STECF assessment there does not appear to be any element indicating that the additional proposed technical measures would fail to comply with the requirements established for technical measures in Article 15 of Regulation (EU) 2019/1241.
- (10) To increase gear selectivity and reduce unwanted catches in the Skagerrak it is therefore appropriate to include the technical measures submitted by the Member States. These measures should apply for the period of 2020-2021.
- (11) As set out in Regulation (EU) 2018/973, the North Sea comprises ICES <sup>(9)</sup> divisions 2a, 3a and subarea 4.
- (12) Scientific contributions were obtained from relevant scientific bodies and reviewed by the STECF <sup>(10)</sup>. The Commission presented the measures concerned for a written consultation of the expert group, consisting of 28 Member States and European Parliament as an observer.
- (13) For some stocks, such as plaice, the STECF identified that survival rates of individual fish may not be as robust as that found for other species. However, the Commission considered the relative impact of this exemption on the overall stock, compared to individual fish, and balanced it against the need to continue fishing activity to provide data collection in order to address the comments made by the STECF. In the cases where the relative amount of dead discards are comparatively low, the Commission considers it to be a pragmatic and prudent approach to fisheries management, to allow exemptions on a temporary basis, on the understanding that not to do so, would prevent the collection of data which are essential for the proper and informed management of discards with a view to implementing fully the landing obligation.

<sup>(6)</sup> Agreed record of fisheries consultations between Norway and the European Union on the regulation of fisheries in the Skagerrak and the Kattegat for 2012.

<sup>(7)</sup> Agreed record of fisheries consultations between the European Union and Norway on measures for the implementation of a discard ban and control measures in the Skagerrak area, 4 July 2012.

<sup>(8)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(9)</sup> International Council for the Exploration of the Sea.

<sup>(10)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

- (14) Delegated Regulation (EU) 2018/2035 included a survivability exemptions for common sole below minimum conservation reference size (MCRS) caught by trawls in ICES division 4c, based on scientific evidence which demonstrated high discard survival rates. That evidence was evaluated in previous years and the STECF concluded <sup>(11)</sup> that the evidence was sufficient. The STECF pointed out that no new information on the location of nursery area was provided <sup>(12)</sup>. Since currently there are no identified nursery areas, the exemption may be included in this Regulation, but Member States should submit the relevant information as soon as such areas are identified. Considering that the circumstances have not changed, that exemption should continue to apply under this Regulation.
- (15) Delegated Regulation (EU) 2018/2035 included a survivability exemption, as provided for by Article 15(4)(b) of Regulation (EU) No 1380/2013, for catches of Norway lobster made with pots in ICES divisions 2a, 3a and ICES subarea 4, based on the scientific evidence which demonstrated high discard survival rates. That evidence was evaluated in previous years and the STECF concluded <sup>(13)</sup> that the evidence was sufficient. Considering that the circumstances have not changed, that exemption should continue to apply under this Regulation.
- (16) Delegated Regulation (EU) 2018/2035 included a survivability exemption for catches of Norway lobster made in ICES subarea 4 and ICES divisions 2a and 3a with certain gears on the condition that a netgrid selectivity device was used. That exemption was granted on a condition that the Member States submit data on the fisheries of the west coast of the North Sea. There has been no new evidence submitted to the STECF on high survivability. The Member States stated that no additional data was needed as in 2018 the STECF noted that the supporting scientific information was robust <sup>(14)</sup> on the survival estimates covering the west coast of the North Sea. However, the STECF raises issues <sup>(15)</sup> concerning East coast Norway lobster and *Pandalus* fisheries, as this information is missing and the assessment can not be made on Norway lobster survival in those fisheries. Under those circumstances, the exemption may be applied until 31 December 2020 and Member States having a direct management interest should submit data on the fisheries of the East coast of the North Sea for the STECF assessment as soon as possible and not later than by 1 May 2020.
- (17) Delegated Regulation (EU) 2018/2035 included a survivability exemption for the by-catch of species subject to catch limits in the fishery carried out with pots and fyke nets, based on the scientific evidence which demonstrated high discard survival rates. That evidence was evaluated in previous years and the STECF concluded <sup>(16)</sup> that the available data indicates that mortality of discarded fish is likely to be low, however the actual catches in the fishery are negligible. Since the catches are not significant and considering that the circumstances have not changed, the exemption should continue to apply under this Regulation.
- (18) Delegated Regulation (EU) 2018/2035 included a survivability exemption for plaice in the fishery carried out with gillnets and trammel nets in ICES division 3a and ICES subarea 4. The Member States provided scientific evidence in order to demonstrate high discard survival rates for plaice in that fishery. The evidence was submitted to the STECF which concluded <sup>(17)</sup> that reasonable information was provided showing the considerably high survivability. That exemption should therefore be included in this Regulation.
- (19) Delegated Regulation (EU) 2018/2035 included a survivability exemption for plaice in the fishery carried out with Danish seines in ICES division 3a and ICES subarea 4. The Member States provided scientific evidence in order to demonstrate high discard survival rates for plaice in that fishery. The evidence was submitted to the STECF which concluded <sup>(18)</sup> that the data of the study on the survival rates are reliable, albeit additional measures could be used in order to enhance survivability, as the decrease of survivability is significant if the sorting time of the plaice is longer than 30 minutes. That exemption should therefore be included in this Regulation.

<sup>(11)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/1099561/STECF+PLEN+15-02.pdf>

<sup>(12)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>

<sup>(13)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/1099561/STECF+PLEN+15-02.pdf>

<sup>(14)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>

<sup>(15)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(16)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/1780485/STECF+PLEN+17-02.pdf>

<sup>(17)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>

<sup>(18)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>



- (20) Delegated Regulation (EU) 2018/2035 included a survivability exemption for catch and by-catch of plaice in the fishery targeting flatfish or round fish carried out with trawls in ICES division 3a and ICES subarea 4 in winter months. The Member States provided scientific evidence in order to demonstrate high discard survival rates for plaice in that fishery. The evidence was submitted to the STECF which concluded <sup>(19)</sup> that the survival rates in the supporting study decreased if the sorting time was greater than 60 minutes in the summer months, therefore a low survival rate of plaice in summer justifies the exemption being restricted to winter months. That exemption should therefore be included in this Regulation.
- (21) In delegated Regulation (EU) 2018/2035 a survivability exemption for skates and rays caught with all fishing gears in ICES divisions 2a and 3a, and ICES subarea 4, was granted despite that the detailed scientific evidence on survival rates was not available for all fleet segments and combinations of gears, areas and species. However, with a few exceptions, the STECF considers the survival rates to be generally robust <sup>(20)</sup>, but further details are required. Given the need to continue fishing activity in order to ensure the necessary data collection, that exemption should be granted, but Member States should be required to submit relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. Member States having a direct management interest should submit by 1 May each year: (a) a roadmap developed in order to increase survivability and to fill in the data gaps identified by the STECF, to be annually assessed by the STECF, (b) annual reports on the progress and any modifications or adjustments made to the survivability programmes.
- (22) When considering the survival rates of skates and rays, cuckoo rays (*Leucoraja naevus*) were found to have a considerably lower survival rate than other species. Furthermore, the scientific understanding of the survival pattern of that species appears to be less robust. However, to exclude this species from the exemption would prevent fishing and continued, accurate data collection. Relevant evidence was submitted to the STECF which concluded <sup>(21)</sup> that two new studies have been started on survival experiments concerning Cuckoo ray, but more observations will be needed to make a definitive judgement on the survival rates in 1 or 2 years. Therefore, this exemption should be granted for two years and new studies and improved survivability measures should be developed as a matter of urgency and provided to the STECF for assessment as soon as possible and not later than by 1 May.
- (23) The new joint recommendation suggests a survivability exemption for catches of plaice below the minimum conservation reference size (MCRS) caught with 80-119 mm beam trawl gears (BT2) in ICES division 2a and ICES subarea 4 for the period 2020-2021:
- for plaice caught with gears equipped with the flip-up rope or Benthos release panel (BRP) and caught by vessels with an engine power of more than 221 kW,
  - for plaice caught by the vessels of Member States implementing the roadmap for the Fully Documented Fisheries,
  - for flatfish caught with beam trawl gears (BT2) and by vessels with an engine power of not more than 221 kW or less than 24 m in length overall, which are constructed to fish in the twelve miles zone, if the average trawl duration is less than ninety minutes.

The evidence was submitted to the STECF which concluded <sup>(22)</sup> that in the new research projects, committed to be carried out in 2020-2021, the new observations will enable the STECF to make a sound judgement on the survival of discarded plaice caught with the beam trawls. Future research plans within the roadmap presented are detailed and ambitious in addressing the uncertainties regarding the factors affecting discard survival for plaice. However, more knowledge of factors that can explain the observed variability in previous survival estimates is necessary. Therefore, the exemption should be granted, but with an obligation for Member States to submit relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. Member States having a direct management interest should submit by 1 May each year: (a) a roadmap developed in order to increase survivability and to fill in the data gaps identified by the STECF, to be annually assessed by the STECF, (b) annual reports on the progress and any modifications or adjustments made to the survivability programmes.

<sup>(19)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>

<sup>(20)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(21)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(22)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

- (24) The new joint recommendation suggests a survivability exemption for turbot caught by TBB gears with a cod end more than 80 mm in ICES subarea 4. The Member States provided scientific evidence in order to demonstrate high discard survival rates for turbot in that fishery. The evidence was submitted to the STECF which concluded <sup>(23)</sup> that no new survival evidence was presented and the previously submitted studies were based on the gear type different than beam trawl, therefore they are not representative. However, more research is committed by the Member States to observe the survival of discarded turbot caught by beam trawls and to provide more detailed information of survivability in the new project, foreseen to be carried out until 2021. Given the need to continue fishing activity in order to ensure the necessary data collection, that exemption should be granted, but Member States should be required to submit, in the indicated timeframe, the relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. That exemption should be applied provisionally until 31 December 2020.
- (25) The new joint recommendation suggests an extension of application of a survivability exemption for plaice caught with trawls with a mesh size of at least 120 mm when targeting flatfish or roundfish in ICES division 3a and ICES subarea 4 for summer months. In Delegated Regulation (EU) 2018/2035 the same exemption was granted only for the winter months (from 1 November to 30 April). The new joint recommendation suggests also new survivability exemptions for:
- plaice caught with trawls with a mesh size of at least 90-99 mm equipped with Seltra panel targeting flatfish or roundfish in Union waters of ICES division 3a,
  - plaice caught with trawls with a mesh size of at least 80-99 mm targeting flatfish or roundfish in Union waters of ICES subarea 4.
- (26) The Member States provided scientific evidence in order to demonstrate high discard survival rates for plaice in that fishery. The STECF observed <sup>(24)</sup> that methods for estimates of survival are variable between studies and noted that smaller plaice, caught more frequently with smaller codend meshes, are indicated to have lower survival levels. However, for the plaice survivability during summer months the full scientific report on the whole area would enable a representative assessment. Therefore, the Commission considers that this exemption should only be granted for one year and the new studies and improved survivability measures should be developed as a matter of urgency and provided to the STECF for assessment as soon as possible and not later than by 1 May 2020.
- (27) Delegated Regulation (EU) 2018/2035 included *de minimis* exemptions for:
- common sole caught with trammel nets and gillnets in ICES divisions 2a and 3a, ICES subarea 4,
  - common sole caught with certain beam trawls equipped with a Flemish panel in ICES subarea 4,
  - combined catches of common sole, haddock, whiting, cod, saithe, plaice, herring, Norway pout, greater silver smelt and blue whiting made with certain bottom trawls in ICES division 3a,
  - combined catches of common sole, haddock, whiting, cod, saithe and hake made with certain bottom trawls in ICES division 3a,
  - whiting caught with certain bottom trawls in ICES division 3a,
  - whiting and cod caught with bottom trawls in ICES division 4c,
  - plaice caught with certain bottom trawls in ICES subarea 4,
  - all species subject to catch limits caught with beam trawls in ICES divisions 4b and 4c.
- (28) Member States provided evidence supporting those *de minimis* exemptions. The STECF <sup>(25)</sup> reviewed that evidence and concluded <sup>(26)</sup> that the documents submitted by the Member States contained reasoned arguments demonstrating that further improvements in selectivity are difficult to achieve or imply disproportionate costs in

<sup>(23)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(24)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(25)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/1099561/STECF+PLEN+15-02.pdf>

<sup>(26)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>

handling unwanted catches. Considering that the circumstances have not changed, it is appropriate to continue the *de minimis* exemptions in accordance with the percentage level and the necessary modifications suggested in the new joint recommendation pursuant to Article 15(5)(c) of Regulation (EU) No 1380/2013.

- (29) The new joint recommendation provided additional scientific evidence for the extension of *de minimis* exemptions for:
- horse mackerel caught with bottom trawls (OTB, OTT, PTB, TBB) in ICES subarea 4,
  - mackerel caught with bottom trawls (OTB, OTT, PTB, TBB) in ICES subarea 4,
  - whiting caught with beam trawls (BT2) in ICES subarea 4,
  - whiting and cod caught with bottom trawls (OTB, OTT, SDN, SSC) in ICES divisions 4a and 4b.
- (30) The new joint recommendation suggested *de minimis* exemptions for:
- ling below the MCRS caught with longlines in ICES subarea 4,
  - combined catches of industrial species (sprat, sandeel, Norway pout and blue whiting) caught in demersal mixed fishery and fishery for shrimp with trawls using selectivity devices in ICES division 3a and ICES subarea 4.
- (31) Member States provided scientific evidence supporting those existing and new *de minimis* exemptions based on difficulties to improve selectivity and disproportionate costs of handling the catches. That evidence was reviewed by the STECF during its plenary session on 1-5 July 2019 <sup>(27)</sup>.
- (32) The additional evidence provided by the Member States on the *de minimis* exemptions regarding horse mackerel and mackerel caught by vessels using bottom trawls and beam trawls was reviewed by the STECF which concluded that further information needs to be provided for most of the Member States. Given the need to continue fishing activity in order to ensure the necessary data collection to provide that information, individual exemptions for each species should be limited to one year and Member States should be required to submit the relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. The Member States concerned should undertake the necessary work to collect and process needed data or additional trials and provide information as soon as possible and not later than by 1 May 2020, for assessment by the STECF. Those exemptions should therefore be applied provisionally until 31 December 2020.
- (33) The evidence provided by the Member States on the *de minimis* exemption regarding a combined quantity of sprat, sandeel, Norway pout and blue whiting in demersal mixed fisheries caught by vessels using trawls was reviewed by the STECF which concluded that there is no quantitative evidence to support the assertions of small discards and the exhaustion of options to improve the selectivity. The STECF noted that achieving additional selectivity improvements would be difficult in such fisheries and *de minimis* is needed to cover the residual unwanted catches. Given the need to continue fishing activity in order to ensure the necessary data collection to provide that information, the exemption for combined *de minimis* should be limited to one year and Member States should be required to submit the relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. The Member States concerned should undertake the additional trials and provide information as soon as possible and not later than by 1 May 2020, for assessment by the STECF. Those exemptions should therefore be applied provisionally until 31 December 2020.
- (34) The evidence provided by the Member States on the *de minimis* exemption regarding whiting below the MCRS caught with beam trawls was reviewed by the STECF which concluded that evidence stating that landing unwanted catches has an associated cost is not sufficient in itself to demonstrate that those costs are disproportionate. STECF noted that improving selectivity in the relevant fisheries should be the priority as this will reduce the costs for handling unwanted catches. Given the need to continue fishing activity in order to ensure the necessary data collection to provide that information, the *de minimis* exemption should be limited to one year and Member States should be

<sup>(27)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

required to submit the relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. In addition, Member States are expected to report on the uptake of further selectivity measures. The Member States concerned should undertake the additional trials and provide all relevant information as soon as possible and not later than by 1 May 2020, for assessment by the STECF. This exemption should therefore be applied provisionally until 31 December 2020.

- (35) The evidence provided by the Member States on the *de minimis* exemption regarding whiting and cod below the MCRS caught with bottom trawls was reviewed by STECF which concluded that there is no possibility to evaluate whether the estimates on the disproportionate costs are correct. STECF noted that improving selectivity in the relevant fisheries should be the priority as this will reduce the costs for handling unwanted catches. Given the need to continue fishing activity in order to ensure the necessary data collection to provide that information, the *de minimis* exemption should be limited to one year and Member States should be required to submit the relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. In addition, Member States are expected to report on the uptake of further selectivity measures. The Member States concerned should undertake the additional trials and provide information as soon as possible and not later than by 1 May 2020, for assessment by the STECF. This exemption should therefore be applied provisionally until 31 December 2020.
- (36) The evidence provided by the Member States on the new *de minimis* exemption regarding ling below the MCRS caught with longlines was reviewed by the STECF which concluded that achieving additional selectivity improvements would be difficult in such fisheries. In addition, the STECF noted that given that the *de minimis* volume is likely to be small, the exemption would have no impact on the overall fishing mortality, provided the catches discarded are recorded. Given that the selectivity is difficult to achieve, the exemption for that fishery should be limited to one year and Member States should be required to submit the relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. The Member States concerned should undertake the additional trials and provide information as soon as possible and not later than by 1 May 2020, for assessment by the STECF. Those exemptions should therefore be applied provisionally until 31 December 2020.
- (37) To ensure reliable estimations of levels of discarding for the purpose of the setting of the total allowable catches, Member States should, in the cases where the *de minimis* exemption is based on extrapolation of data-limited situations and partial fleet information, ensure provision of accurate and verifiable data for the whole fleet covered by that exemption.
- (38) The measures suggested by the new joint recommendation are in line with Article 15(4), Article 15(5)(c) and Article 18(3) of Regulation (EU) No 1380/2013, and with Regulation (EU) 2018/973, in particular Article 11 thereof, and may thus be included in this Regulation.
- (39) According to Article 16(2) of Regulation (EU) 2018/973, the power to adopt delegated acts with regards to the landing obligation is conferred on the Commission for a period of five years from 5 August 2018. It is therefore appropriate to revise the impact of the survivability and *de minimis* exemptions from the landing obligation in the second year of application of this Regulation.
- (40) Delegated Regulation (EU) 2018/2035 should be repealed and replaced by a new Regulation.
- (41) As the measures provided for in this Regulation have a direct impact on the planning of the fishing season of Union vessels and on related economic activities, this Regulation should enter into force immediately after its publication. It should apply from 1 January 2020,

HAS ADOPTED THIS REGULATION:

#### Article 1

#### **Implementation of the landing obligation**

In Union waters of the North Sea (ICES divisions 2a, 3a and subarea 4), the landing obligation provided for in Article 15(1) of Regulation (EU) No 1380/2013 shall apply to demersal fisheries which are subject to catch limits in accordance with this Regulation for the period 2020-2021.

## Article 2

### Definitions

For the purpose of this Regulation the following definitions shall apply:

- (1) 'Seltra panel' means a selectivity device which:
  - consists of a top panel of at least 270 mm mesh size (diamond mesh) placed in a four-panel section and mounted with a joining ratio of three meshes of 90 mm to one mesh of 270 mm, or of a top panel of at least 140 mm mesh size (square mesh);
  - is at least 3 metres long;
  - is positioned no more than 4 metres from the cod line; and
  - is the full width of the top sheet of the trawl (i.e. from selvedge to selvedge).
- (2) 'Netgrid selectivity device' means a selectivity device consisting of a four panel section inserted into a two-panel trawl with an inclined sheet of diamond mesh netting with a mesh size of at least 200 mm, leading to an escape hole in the top of the trawl.
- (3) 'Flemish panel' means the last tapered netting section of a beam trawl whose:
  - posterior is directly attached to the cod-end;
  - upper and lower netting sections are constructed of at least 120 mm mesh as measured between the knots;
  - stretched length is at least 3 m.
- (4) 'Benthos release panel' means a panel of larger mesh or square mesh netting fitted into the lower panel of a trawl, usually a beam trawl to release any benthic material and seabed debris before it passes into the codend;
- (5) 'SepNep' means an otter trawl which:
  - is constructed within the mesh size range of 80 to 99 + $\geq$  100 mm;
  - is fitted with multiple cod-ends of mesh sizes ranging from at least 80 to 120 mm attached to a single extension piece, the uppermost cod-end being constructed with a mesh size of at least 120 mm and fitted with a separation panel with a maximum mesh size of 105 mm; and
  - may furthermore be fitted with an optional selection grid with a bar spacing of at least 17 mm provided it is constructed in such a way so as to allow the escape of small Norway lobster.

## Article 3

### Survivability exemptions for Norway lobster

1. The survivability exemption referred to in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply in the Union waters of the North Sea (ICES divisions 2a, 3a and subarea 4) to the following catches of Norway lobster (*Nephrops norvegicus*):
  - (a) catches with pots (FPO <sup>(28)</sup>);
  - (b) catches with bottom trawls (OTB, OTT, TBN) fitted with:
    - (1) a cod-end larger than 80 mm; or
    - (2) a cod-end with a mesh size of at least 70 mm equipped with a species selective grid with a bar spacing of maximum 35 mm; or
    - (3) a cod-end of at least 35 mm equipped with a species selective grid with a bar spacing of maximum 19 mm.
2. When discarding Norway lobster caught in the cases referred to in paragraph 1, the Norway lobster shall be released whole, immediately and in the area where it has been caught.

<sup>(28)</sup> Gear codes used in this Regulation are set out in Annex XI to Commission Implementing Regulation (EU) No 404/2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common fisheries policy. For the vessels whose LOA is less than 10 metres gear codes used in this Regulation are set out in the FAO gear classification.

3. The exemption referred to in paragraph 1(b) shall be provisionally applicable until 31 December 2020. Member States having a direct management interest shall submit as soon as possible and not later than by 1 May 2020, additional scientific information supporting the exemption laid down in paragraph 1(b). The Scientific, Technical and Economic Committee for Fisheries (STECF) shall assess the provided scientific information by 31 July 2020.

#### Article 4

##### **Survivability exemption for common sole**

1. The survivability exemption referred to in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply in the Union waters of ICES division 4c, within six nautical miles of the coast but outside identified nursery areas, to catches of common sole (*Solea solea*) below the minimum conservation reference size, made using otter trawls (OTB) with a cod-end mesh size of 80-99 mm.
2. The exemption referred to in paragraph 1 shall only apply to vessels with a maximum length of 10 meters and a maximum engine power of 221 kW, fishing in waters with a depth of 30 meters or less and with tow durations of no more than 1:30 hours.
3. When discarding common sole caught in the cases referred to in paragraph 1, the common sole shall be released immediately.

#### Article 5

##### **Survivability exemption for by-catch of all species subject to catch limits in pots and fyke nets**

1. The survivability exemption referred to in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply in the Union waters of ICES division 3a and ICES subarea 4 to catches of all species subject to catch limits, made with pots and fyke nets (FPO, FYK).
2. When discarding fish caught in the cases referred to in paragraph 1, the fish shall be released immediately and below the sea surface.

#### Article 6

##### **Survivability exemption for catch and by-catch of plaice**

1. The survivability exemption referred to in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply in the Union waters of ICES division 3a and subarea 4 to:
  - (a) plaice (*Pleuronectes platessa*) caught with nets (GNS, GTR, GTN, GEN);
  - (b) plaice caught with Danish seines;
  - (c) plaice caught with bottom trawls (OTB, PTB) with a mesh size of at least 120 mm when targeting flatfish or roundfish.
2. The survivability exemption referred to in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply to:
  - (a) plaice caught with trawls (OTB, PTB) with a mesh size of at least 90-99 mm equipped with Seltra panel targeting flatfish or roundfish in the Union waters of ICES division 3a;
  - (b) plaice caught with trawls (OTB, PTB) with a mesh size of at least 80-99 mm targeting flatfish or roundfish in the Union waters of ICES subarea 4.
3. When discarding plaice caught in the cases referred to in paragraphs 1 and 2, the plaice shall be released immediately.
4. The exemption referred to in paragraph 1(c) shall be provisionally applicable until 31 December 2020. Member States having a direct management interest shall submit as soon as possible and not later than by 1 May 2020, additional scientific information supporting the exemption laid down in paragraph 1(c). The Scientific, Technical and Economic Committee for Fisheries (STECF) shall assess the provided scientific information by 31 July 2020.

*Article 7***Survivability exemption for plaice below the minimum conservation reference size**

1. The survivability exemption referred to in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply in the Union waters of ICES division 2a and ICES subarea 4 and to catches of plaice below the minimum conservation reference size made with 80-119 mm beam trawls (BT2), if the plaice is caught:
  - (a) with gears equipped with the flip-up rope or Benthos release panel (BRP) and caught by vessels with an engine power of more than 221 kW; or
  - (b) by the vessels of Member States implementing the roadmap for the Fully Documented Fisheries,
2. The exemption referred to in paragraph 1 shall also apply for flatfish caught with beam trawl gears (BT2) by vessels with an engine power of not more than 221 kW or less than 24 m in length overall, which are constructed to fish in the twelve miles zone, if the average trawl duration is less than ninety minutes.
3. Member States having a direct management interest shall submit every year, as soon as possible and not later than by 1 May, additional scientific information supporting the exemptions laid down in paragraphs 1 and 2. The Scientific, Technical and Economic Committee for Fisheries (STECF) shall assess the provided scientific information by 31 July every year.
4. When discarding plaice caught in the cases referred to in paragraphs 1 and 2, the plaice shall be released immediately.

*Article 8***Survivability exemption for turbot**

1. The survivability exemption referred to in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply in the Union waters of ICES subarea 4 to catches of turbot (*Scophthalmus maximus*) with beam trawls with a cod-end larger than 80 mm (TBB).
2. The exemption referred to in paragraph 1 shall be provisionally applicable until 31 December 2020. Member States having a direct management interest shall submit, as soon as possible and not later than by 1 May 2020, additional scientific information supporting the exemption laid down in paragraph 1. The Scientific, Technical and Economic Committee for Fisheries (STECF) shall assess the provided scientific information by 31 July 2020.
3. When discarding turbot caught in the cases referred to in paragraph 1, the turbot shall be released immediately.

*Article 9***Survivability exemption for skates and rays**

1. The survivability exemption referred to in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply in the Union waters of the North Sea (ICES divisions 2a, 3a and subarea 4) to skates and rays caught with any fishing gears.
2. Member States having a direct management interest shall submit every year, as soon as possible and not later than by 1 May, additional scientific information supporting the exemption laid down in paragraph 1. The Scientific, Technical and Economic Committee for Fisheries (STECF) shall assess the provided scientific information by 31 July every year.
3. When discarding skates and rays caught in the cases referred to in paragraph 1, the skates and rays shall be released immediately.

*Article 10****De minimis* exemptions**

By way of derogation from Article 15(1) of Regulation (EU) No 1380/2013, the following quantities may be discarded pursuant to Article 15(4)(c) of that Regulation:

- (a) in fisheries for common sole by vessels using trammel nets and gill nets (GN, GNS, GND, GNC, GTN, GTR, GEN, GNF) in Union waters of ICES divisions 2a and 3a, and ICES subarea 4:

a quantity of common sole below and above the minimum conservation reference size, which shall not exceed 3 % of the total annual catches of that species;

- (b) in fisheries for common sole by vessels using beam trawl (TBB) of mesh size 80-119 mm equipped with a Flemish panel, in the Union waters of ICES subarea 4:

a quantity of common sole below the minimum conservation reference sizes, which shall not exceed 5 % of the total annual catches of that species;

- (c) in the fishery for Norway lobster by vessels using bottom trawls (OTB, OTT, TBN) with a mesh size equal to or larger than 70 mm equipped with a species-selective grid with a bar spacing of maximum 35 mm in the Union waters of ICES division 3a:

a combined quantity of common sole, haddock, whiting, cod, saithe and hake below the minimum conservation reference size, which shall not exceed 4 % of the total annual catches of Norway lobster, common sole, haddock, whiting and Northern prawn, cod, saithe and hake;

- (d) in the fishery for Northern prawn by vessels using bottom trawls (OTB, OTT) with a mesh size equal to or larger than 35 mm equipped with a species selective grid with a bar spacing of maximum 19 mm, and with unblocked fish outlet, in the Union waters of ICES division 3a:

a combined quantity of common sole, haddock, whiting, cod, plaice, saithe, herring, Norway pout, greater silver smelt and blue whiting below the minimum conservation reference size, which shall not exceed 5 % of the total annual catches of Norway lobster, common sole, haddock, whiting, cod, saithe, plaice, Northern prawn, hake, Norway pout, greater silver smelt, herring and blue whiting;

- (e) in the mixed demersal fisheries by vessels using bottom trawls or seines (OTB, OTT, SDN, SSC) with a mesh size of 70-99 mm (TR2) in the Union waters of ICES division 4c:

a combined quantity of whiting and cod (*Gadus morhua*) below the minimum conservation reference size, which shall not exceed 5 % in 2020 and 2021 of the total annual catches of whiting and cod; the maximum amount of cod that may be discarded shall be limited to 2 % of those total annual catches;

- (f) in the mixed demersal fisheries by vessels using bottom trawls or seines (OTB, OTT, SDN, SSC) with a mesh size of 70-99 mm (TR2) in the Union waters of ICES divisions 4a and 4b:

a combined quantity of whiting and cod (*Gadus morhua*) below the minimum conservation reference size, which shall not exceed 6 % in 2020 of the total annual catches of whiting and cod; the maximum amount of cod that may be discarded shall be limited to 2 % of those total annual catches;

the *de minimis* exemption set out in this point shall be provisionally applicable until 31 December 2020. Member States having a direct management interest shall submit, as soon as possible and not later than by 1 May 2020, additional scientific information supporting the exemption. The STECF shall assess the provided scientific information by 31 July 2020;

- (g) in fisheries by vessels using bottom trawls (OTB, OTT, TBN, PTB) with a mesh size of 90-119 mm, equipped with Seltra panel, or bottom trawls (OTB, OTT, TBN, PTB) with a mesh size equal to or larger than 120 mm, in the Union waters of ICES division 3a:

a quantity of whiting below the minimum conservation reference sizes, up to a maximum of 2 % of the total annual catches of Norway lobster, cod, haddock, whiting, saithe, common sole, plaice and hake;

- (h) in the demersal mixed fishery by vessels using beam trawls with a mesh size of 80-119 mm in Union waters of ICES subarea 4:

a quantity of whiting below the minimum conservation reference size, which shall not exceed 2 % of the total annual catches of plaice and sole;

the *de minimis* exemption set out in this point shall be provisionally applicable until 31 December 2020. Member States having a direct management interest shall submit, as soon as possible and not later than by 1 May 2020, additional scientific information supporting the exemption. The STECF shall assess the provided scientific information by 31 July 2020;



- (i) in the fisheries for Norway lobster by vessels using bottom trawls with a mesh size of 80-99 mm, equipped with a SepNep, in the Union waters of ICES subarea 4:  
a quantity of plaice below the minimum conservation reference size, which shall not exceed 3 % of the total annual catches of saithe, plaice, haddock, whiting, cod, Northern prawn, common sole and Norway lobster;
- (j) in the fisheries for brown shrimp by vessels using beam trawls, in the Union waters of ICES divisions 4b and 4c:  
a quantity of all species subject to catch limits, which shall not exceed 7 % in 2020 and 6 % in 2021 of the total annual catches of all species subject to catch limits made in those fisheries;
- (k) in the demersal mixed fishery with bottom trawls (OTB, OTT, PTB, TBB) with a mesh size between 80 and 99 mm (TR2, BT2) in ICES subarea 4:  
a quantity of horse mackerel (*Trachurus spp.*), which shall not exceed 7 % in 2020 and 6 % in 2021 of the total annual catches of horse mackerel made in that fishery;  
the *de minimis* exemption set out in this point shall be provisionally applicable until 31 December 2020. Member States having a direct management interest shall submit, as soon as possible and not later than by 1 May 2020, additional scientific information supporting the exemption. The Scientific, Technical and Economic Committee for Fisheries (STECF) shall assess the provided scientific information by 31 July 2020;
- (l) in the demersal mixed fishery with bottom trawls (OTB, OTT, PTB, TBB) with a mesh size between 80 and 99 mm in ICES subarea 4:  
a quantity of mackerel (*Scomber scombrus*), which shall not exceed 7 % in 2020 and 6 % in 2021 of the total annual catches of mackerel made in that fishery;  
the *de minimis* exemption set out in this point shall be provisionally applicable until 31 December 2020. Member States having a direct management interest shall submit, as soon as possible and not later than by 1 May 2020, additional scientific information supporting the exemption. The STECF shall assess the provided scientific information by 31 July 2020;
- (m) in the demersal mixed fishery with trawls (OTB, OTM, OTT, PTB, PTM, SDN, SPR, SSC, TB, TBN) with mesh sizes above 80 mm in ICES division 3a and ICES subarea 4 and in the fishery for Northern prawn carried out using gears with a sorting grid with a maximum bar spacing of 19 mm or equivalent selectivity device and a fish retention device with mesh sizes above 35 mm in ICES division 3a and 32 mm in ICES subarea 4:  
a combined quantity of sprat, sandeel, norway pout and blue whiting, which shall not exceed 1 % of the total annual catches made in mixed demersal fishery and in the fishery for Northern prawn;  
the *de minimis* exemption set out in this point shall be provisionally applicable until 31 December 2020. Member States having a direct management interest shall submit, as soon as possible and not later than by 1 May 2020, additional scientific information supporting the exemption. The STECF shall assess the provided scientific information by 31 July 2020;
- (n) in the demersal fishery for hake by vessels using longlines (LLS) in ICES subarea 4:  
a quantity of ling (*Molva molva*) below the minimum conservation reference size, which shall not exceed 3 % of the total annual catches of ling made in that demersal fishery;  
the *de minimis* exemption set out in this point shall be provisionally applicable until 31 December 2020. Member States having a direct management interest shall submit, as soon as possible and not later than by 1 May 2020, additional scientific information supporting the exemption. The STECF shall assess the provided scientific information by 31 July 2020.

#### Article 11

#### Specific technical measures in the Skagerrak

1. The carrying on board or the use of any trawl, Danish seine, beam trawl or similar towed net having a mesh size of less than 120 mm shall be prohibited in the Skagerrak.
2. By way of derogation from paragraph 1, the following trawls may be used:
  - (a) trawls with a cod-end having a mesh size of at least 90 mm, provided they are equipped with a Seltra panel or a sorting grid with no more than 35 mm bar spacing.

- (b) trawls with a cod-end having a mesh size of at least 70 mm (square mesh), equipped with a sorting grid with no more than 35 mm bar spacing;
  - (c) trawls with a minimum mesh sizes of less than 70 mm when fishing for pelagic or industrial species, provided that more than 80 % of the catch consists of one or more pelagic or industrial species;
  - (d) trawls with a cod-end of at least 35 mm mesh size when fishing for Northern prawn, provided the trawl is equipped with a sorting grid with a maximum bar spacing of 19 mm.
3. A fish retention device may be used when fishing for Northern prawn in accordance with paragraph 2(d), provided there are adequate fishing opportunities to cover by-catch and that the retention device is:
- (a) constructed with a top panel with a minimum mesh size of 120 mm square mesh;
  - (b) at least 3 metres long; and
  - (c) at least as wide as the sorting grid.

#### Article 12

#### **SepNep**

It shall be permitted to use the SepNep nets.

#### Article 13

#### **Repeal**

Delegated Regulation (EU) 2018/2035 is repealed.

#### Article 14

#### **Entry into force and application**

This Regulation shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 1 January 2020 until 31 December 2021.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 1 October 2019.

*For the Commission*  
*The President*  
Jean-Claude JUNCKER

**COMMISSION DELEGATED REGULATION (EU) 2019/2239****of 1 October 2019****specifying details of the landing obligation for certain demersal fisheries in North-Western waters for the period 2020-2021**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) 2019/472 of the European Parliament and of the Council of 19 March 2019 establishing a multiannual plan for stocks fished in the Western Waters and adjacent waters, and for fisheries exploiting those stocks, amending Regulations (EU) 2016/1139 and (EU) 2018/973, and repealing Council Regulations (EC) No 811/2004, (EC) No 2166/2005, (EC) No 388/2006, (EC) No 509/2007 and (EC) No 1300/2008 <sup>(1)</sup>, and in particular Article 13 thereof,

Having regard to Regulation (EU) 2019/1241 of the European Parliament and of the Council of 20 June 2019 on the conservation of fisheries resources and the protection of marine ecosystems through technical measures, amending Council Regulations (EC) No 1967/2006, (EC) No 1224/2009 and Regulations (EU) No 1380/2013, (EU) 2016/1139, (EU) 2018/973, (EU) 2019/472 and (EU) 2019/1022 of the European Parliament and of the Council, and repealing Council Regulations (EC) No 894/97, (EC) No 850/98, (EC) No 2549/2000, (EC) No 254/2002, (EC) No 812/2004 and (EC) No 2187/2005 <sup>(2)</sup>, and in particular Article 15(2) thereof,

Whereas:

- (1) Regulation (EU) No 1380/2013 of the European Parliament and of the Council <sup>(3)</sup> aims to progressively eliminate discards in all Union fisheries through the introduction of a landing obligation for catches of species subject to catch limits.
- (2) Article 9 of Regulation (EU) No 1380/2013 provides for the adoption of multiannual plans containing conservation measures for fisheries exploiting certain stocks in a relevant geographical area.
- (3) Such multiannual plans specify details of the implementation of the landing obligation and may empower the Commission to further specify those details on the basis of joint recommendations developed by Member States.
- (4) Commission Delegated Regulation (EU) 2018/2034 <sup>(4)</sup> established a discard plan for certain demersal fisheries in the North-Western waters for the period 2019-2021, following a joint recommendation submitted by Belgium, Spain, France, Ireland, the Netherlands and the United Kingdom.
- (5) On 19 March 2019, the European Parliament and the Council adopted Regulation (EU) 2019/472 establishing a multiannual plan for stocks fished in the Western Waters, and for fisheries exploiting those stocks. Article 13 of that Regulation empowers the Commission to adopt delegated acts in order to supplement this Regulation by specifying details of the landing obligation for all stocks of species in the Western Waters to which the landing obligation applies under Article 15(1) of Regulation (EU) No 1380/2013, as provided for in points (a) to (e) of Article 15(5) of that Regulation (EU) No 1380/2013, on the basis of joint recommendations developed by Member States. Belgium, Spain, France, Ireland, the Netherlands and the United Kingdom have a direct fisheries management interest in the North-Western waters. After consulting the North Western Waters Advisory Council and the Pelagic Advisory Council, those Member States submitted on 6 June 2019 a new joint recommendation to the Commission concerning a discard plan for certain demersal fisheries in the North-Western Waters for the period 2020-2021. The joint recommendation was amended on 22 August 2019.

<sup>(1)</sup> OJ L 83, 25.3.2019, p. 1.

<sup>(2)</sup> OJ L 198, 25.7.2019, p. 105.

<sup>(3)</sup> Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22).

<sup>(4)</sup> Commission Delegated Regulation (EU) 2018/2034 of 18 October 2018 establishing a discard plan for certain demersal fisheries in North-Western waters for the period 2019-2021 (OJ L 327, 21.12.2018, p. 8).

- (6) The new joint recommendation submitted by the Member States proposed continuation of a number of additional technical measures that aim to increase selectivity and reduce unwanted catches for fisheries or species covered by the landing obligation that were introduced pursuant to Article 15(5)(a) of the Regulation (EU) No 1380/2013 for the years 2019-2021 by Delegated Regulation (EU) 2018/2034.
- (7) On 14 August 2019 a new Regulation (EU) 2019/1241 on the conservation measures of fisheries resources and the protection of marine ecosystems through technical measures came into force. It establishes in Annex VI specific provisions regarding technical measures in North Western Waters, which also include rules on mesh sizes, associated conditions and by-catches. In addition, point 3 of Annex VI of this Regulation refers to Delegated Regulation (EU) 2018/2034. Article 15 of Regulation (EU) 2019/1241 empowers the Commission to adopt delegated acts in order to amend, supplement, repeal or derogate from the technical measures set out in the Annexes to Regulation (EU) 2019/1241, including when implementing the landing obligation.
- (8) Regulation (EU) 2019/1241 does not provide for transitional measures. Therefore, to ensure compatibility between this Delegated Regulation and Regulation (EU) 2019/1241, it is necessary to apply the conditions established in Regulation (EU) 2019/1241, whilst at the same time taking into account the exceptional circumstances involved. Based on the information provided by the Member States, the Scientific, Technical and Economic Committee for Fisheries (STECF) assessed the joint recommendation and concluded <sup>(5)</sup> that the proposed changes to increase selectivity in the North Western Waters are reasonable and represent an increase in selectivity compared to the gear defined previously. This joint recommendation was developed and submitted by the Member States and assessed by the STECF before the entry into force of Regulation (EU) 2019/1241 and therefore did not refer to that Regulation. Nevertheless, in view of the exceptional circumstances, the Commission considers that on the basis of information available to it at this stage in the joint recommendation and STECF assessment there does not appear to be any element indicating that the additional proposed technical measures would fail to comply with the requirements established for technical measures in Article 15 of Regulation (EU) 2019/1241.
- (9) To increase gear selectivity and reduce unwanted catches in the Celtic Sea, Irish Sea and West of Scotland, it is therefore appropriate to include a number of selective measures for demersal fisheries. Those technical measures should therefore apply for the period 2020-2021.
- (10) Scientific contributions were obtained from relevant scientific bodies and reviewed by the Scientific, Technical and Economic Committee for Fisheries (STECF) <sup>(6)</sup>. The Commission presented the measures concerned for a written consultation of the expert group, consisting of 28 Member States and European Parliament as an observer.
- (11) In the cases where the relative amount of dead discards are comparatively low, the Commission considers it to be a pragmatic and prudent approach to fisheries management to allow exemptions on a temporary basis, on the understanding that not to do so would prevent the collection of data which are essential for the proper and informed management of discards with a view to implementing fully the landing obligation.
- (12) Delegated Regulation (EU) 2018/2034 included a survivability exemption from the landing obligation, as referred to in Article 15(4)(b) of Regulation (EU) No 1380/2013, for Norway lobster caught by pots, traps or creels in ICES <sup>(7)</sup> subareas 6 and 7, based on scientific evidence which demonstrated high survival rates. That evidence was evaluated in previous years and the STECF concluded <sup>(8)</sup> that the exemption is justified. The new joint recommendation suggests the continuation of that exemption. Considering that the circumstances have not changed, that exemption should continue to apply for the period 2020-2021.
- (13) Delegated Regulation (EU) 2018/2034 included a survivability exemption for common sole below the minimum conservation reference size (MCRS) caught with 80-99 mm otter trawl gears in ICES division 7d within six nautical miles from the coast and outside identified nursery areas, based on scientific evidence which demonstrated high discard survival rates. That evidence was evaluated in previous years and the STECF has concluded <sup>(9)</sup> that the

<sup>(5)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(6)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(7)</sup> International Council for the Exploration of the Sea.

<sup>(8)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/1099561/STECF+PLEN+15-02.pdf>

<sup>(9)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/1099561/STECF+PLEN+15-02.pdf>

evidence was sufficient. The new joint recommendation suggests the continued application of the exemption. Considering that the circumstances have not changed, that exemption should continue to apply for the period 2020-2021.

- (14) Delegated Regulation (EU) 2018/2034 included a survivability exemption for Norway lobster caught using bottom trawls with a mesh size equal to or larger than 100 mm and for Norway lobster caught with bottom trawls with a mesh size of 70-99 mm in combination with selective gear options (TRI and TR2 fisheries) in ICES subarea 7. Member States provided scientific evidence in order to demonstrate high discard survival rates for Norway lobster in that fishery. The evidence was submitted to the STECF which concluded <sup>(10)</sup> that the survival study made with Seltra trawl provided sufficient data, but that the overall effect on the extensive fishery of Norway lobster with other fishing gears remains difficult to assess. The STECF noted that assuming that a relatively high survival rate applies to all gears, a relatively low discard rate is implied in that fishery. That exemption should therefore apply for the period 2020-2021.
- (15) Delegated Regulation (EU) 2018/2034 included a survivability exemption for Norway lobster caught in ICES division 6a, within twelve nautical miles of the coast, using otter trawls with a mesh size of 80-110 mm. Member States provided scientific evidence in order to demonstrate high discard survival rates for Norway lobster in that fishery. The evidence was submitted to STECF which concluded that the survivability study is robust and indicates a relatively high survival rate. That exemption should therefore apply for the period 2020-2021.
- (16) Delegated Regulation (EU) 2018/2034 included a survivability exemption for skates and rays caught with any gear in ICES subareas 6 and 7, pending the submission of detailed scientific evidence on survival rates for all fleet segments and combinations of gears, areas and species. However, with a few exceptions, the STECF considers the survival rates to be generally robust <sup>(11)</sup>, but notes that further details are required. In order to collect the relevant data, fishing needs to continue. The exemption may therefore be granted, but Member States should be required to submit relevant data allowing the STECF to fully assess the justification and allowing the Commission to carry out a review. Member States having a direct management interest should submit by 1 May each year: (a) a roadmap developed in order to increase survivability and to fill in the data gaps identified by the STECF, to be annually assessed by the STECF, (b) annual reports on the progress and any modifications or adjustments made to the survivability programmes.
- (17) When considering the survival rates of skates and rays, cuckoo rays (*Leucoraja naevus*) were found to have a considerably lower survival rate than other species. Furthermore the scientific understanding of the survival pattern of that species appears to be less robust. However, to exclude this species from the exemption would prevent fishing and continued, accurate data collection. Relevant evidence was submitted to the STECF which concluded <sup>(12)</sup> that two new studies have been started on survival experiments concerning Cuckoo ray, but that more observations will be needed to make a definitive judgement on the survival rates in 1 or 2 years. Therefore, this exemption should be granted for two years and new studies and improved survivability measures should be developed as a matter of urgency and provided to the STECF, for assessment, as soon as possible and not later than by 1 May.
- (18) Delegated Regulation (EU) 2018/2034 included a survivability exemptions for plaice caught with trammel nets or otter trawls in ICES divisions 7d, 7e, 7f and 7g. Member States provided scientific evidence in order to demonstrate high discard survival rates for plaice in that fishery. The evidence was submitted to the STECF which concluded that survivability study is robust and indicates a relatively high survival rate. That exemption should therefore apply for the period 2020-2021.
- (19) Delegated Regulation (EU) 2018/2034 included a survivability exemption for the species caught in pots, traps and creels in North Western Waters (ICES subareas 5, 6 and 7). Member States provided scientific evidence in order to demonstrate high discard survival rates for species caught in that fishery. The evidence was submitted to the STECF which concluded that the survival of the species discarded from trap and pot fishing is likely to be substantial. That exemption may therefore apply for the period 2020-2021.

<sup>(10)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2147402/STECF+PLEN+18-02.pdf>

<sup>(11)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(12)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

- (20) The new joint recommendation suggests an extension of a survivability exemptions for plaice caught in ICES divisions 7a to 7k by vessels using beam trawls, with a maximum engine power of 221 kW, a maximum length of 24 meters, fishing within 12 nautical miles of the coast and with tow durations of no more than 1:30 hours, and by vessels using beam trawls with an engine power of more than 221 kW, using a flip-up rope or benthic release panel. Member States provided scientific evidence in order to demonstrate high discard survival rates for plaice in that fishery. The evidence was submitted to the STECF, which concluded <sup>(13)</sup> that the scientific information is of good quality. The STECF, however, pointed out that the data do not cover all the Member States concerned and that in that fishery survivability is affected by many factors and is highly variable. The STECF noted that new vitality evidence was provided on plaice at the point of discarding in the English South West beam trawl fishery. Member States have developed a three-year project to generate directly observed survival estimates for plaice in ICES divisions 7d, 7f, 7g, however, ICES divisions 7h, 7j, 7k are not covered. That project will contribute to delivering the roadmap and the evidence needed to evaluate the suggested exemption. The STECF noted that Member States should describe how the suggested exemption is linked to the By-catch Reduction Plan for the plaice stocks in ICES divisions 7h, 7j, 7k. Under those circumstances, the exemption should be limited to one year as regards the plaice in ICES divisions 7h, 7j, 7k to still allow the collection of data and the Member States should be required to submit relevant data allowing the STECF to fully assess the justification and allowing the Commission to carry out a review. That exemption may therefore be applied to plaice in ICES divisions 7h, 7j, 7k until 31 December 2020 and the Member States concerned should undertake additional trials and provide information as soon as possible and not later than by 1 May 2020, for assessment by the STECF.
- (21) The new joint recommendation suggests a survivability exemption for plaice caught with Danish seines in ICES division 7d. Member States provided scientific evidence in order to demonstrate high discard survival rates for plaice in that fishery. The evidence was submitted to the STECF which concluded <sup>(14)</sup> that the data of the study on survival rates are reliable and give robust survival estimates for that fishery. That exemption should therefore be included in the new discard plan for the years 2020-2021.
- (22) Delegated Regulation (EU) 2018/2034 included *de minimis* exemptions from the landing obligation for certain fisheries. The evidence provided by the Member States was reviewed by the STECF which concluded <sup>(15)</sup> that documents submitted by the Member States contained reasoned arguments demonstrating difficulties in increasing selectivity and disproportionate costs in handling unwanted catches, supported in some cases by qualitative assessments of the costs. Considering that the circumstances have not changed, it is appropriate to continue the *de minimis* exemptions, in accordance with the percentages proposed in the new joint recommendation, for:
- whiting caught by vessels using bottom trawls and seines with a mesh size equal to or larger than 80 mm (OTB, OTT, OT, PTB, PT, SSC, SDN, SPR, SX, SV, TBN, TBS, TB, TX), pelagic trawls (OTM, PTM) and beam trawls (BTT) with a mesh size of 80-119 mm (BT2) in ICES divisions 7b to 7k,
  - common sole caught by vessels using TBB gear with a mesh size of 80-119mm and with increased selectivity (Flemish panel) in ICES divisions 7d, 7e, 7f, 7g and 7h,
  - common sole caught by vessels using trammel and gill nets to catch common sole in ICES divisions 7d, 7e, 7f and 7g.
- (23) The new joint recommendation suggested *de minimis* exemptions from the landing obligation for:
- horse mackerel caught by vessels using bottom trawls, seines and beam trawls in ICES subarea 6 and ICES divisions 7b to 7k,
  - mackerel caught by vessels using bottom trawls, seines and beam trawls in ICES subarea 6 and ICES divisions 7b to 7k,
  - common sole caught by vessels using beam trawls with a mesh size of 80-119 mm (BT2) with increased selectivity (Flemish panel) in ICES divisions 7a, 7j and 7k,
  - demersal mixed fishery caught by vessels targeting brown shrimp and using beam trawls with a mesh size equal to or greater than 31 mm in ICES division 7a,

<sup>(13)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(14)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(15)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/1099561/STECF+PLEN+15-02.pdf>

- megrims below MCRS caught by vessels using bottom trawls with a mesh size of 70-99 mm and beam trawls with a mesh size of 80-119 mm in ICES subarea 7,
  - boarfish caught by vessels using bottom trawls in ICES divisions 7b-7c and 7f to 7k,
  - greater silver smelt caught by vessels using bottom trawls with a mesh size equal to or greater than 100 mm in ICES division 5b (EU waters) and ICES subarea 6;
  - haddock caught by vessels using bottom trawls with a mesh size greater than or equal to 80 mm in ICES divisions 7b-7c and 7e to 7k;
  - haddock below MCRS caught by vessels using bottom trawls with a mesh size up to 119 mm in ICES division 6a.
- (24) The evidence by the Member States on the new *de minimis* exemptions regarding horse mackerel and mackerel caught by vessels using bottom trawls, seines and beam trawls in ICES subarea 6 and ICES divisions 7b to 7k was reviewed by the STECF, which concluded <sup>(16)</sup> that evidence that landing unwanted catches has associated costs, is not sufficient to demonstrate that those costs are disproportionate. Improving selectivity in the relevant fisheries should be the priority as it will reduce the costs for handling unwanted catches. The STECF also noted that the introduction of the specific technical measures for vessels operating with bottom trawls or seines in the Celtic Sea protection zone may reduce the unwanted catch of horse mackerel, mackerel and other species. The effectiveness of those measures should be monitored. Given the need to continue fishing activity in order to ensure the necessary data collection, those exemptions may be granted for one year, but Member States should be required to submit the relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. The Member States concerned should undertake additional trials and provide information as soon as possible, and not later than by 1 May 2020, for assessment by the STECF. Those exemptions should therefore be applied provisionally until 31 December 2020.
- (25) The evidence provided by Member States on the new *de minimis* exemption for common sole caught by vessels using beam trawls with Flemish panel in ICES divisions 7d, 7e, 7f, 7g and 7h was reviewed by the STECF, which concluded <sup>(17)</sup> that the data for other Member States than Belgium and Ireland would be needed. Also the effectiveness of the Flemish panel used in the trials conducted by Member States may be limited and not ensure the reductions of unwanted catches observed in those trials. The STECF notes that additional information to evidence this would be useful, accepting that the Flemish panel as currently used does improve selectivity for the common sole. Given the need to continue fishing activity in order to ensure the necessary data collection with regards to the areas 7h, 7j, 7k that new exemption may be granted for one year, but Member States should be required to submit the relevant data allowing the STECF to assess fully the justification and allowing the Commission to carry out a review. The Member States concerned should undertake additional trials and provide information as soon as possible, and not later than by 1 May 2020, for assessment by the STECF. That exemption should therefore be applied provisionally until 31 December 2020.
- (26) The evidence provided by the Member States on the new *de minimis* exemption regarding demersal mixed fishery made by vessels targeting brown shrimp and using beam trawls in ICES division 7a, was reviewed by the STECF which concluded <sup>(18)</sup> that justification for the exemption is that significant increases in selectivity are very difficult to achieve and that the cost of handling the unwanted catch are disproportionate. However, the STECF notes that limited qualitative information is provided to support the exemption and that the information provided might be not representative. Given the information provided, discards may be very low and not require a *de minimis* deduction. That exemption may therefore apply for the period 2020-2021.
- (27) The evidence provided by the Member States on the new *de minimis* exemption for megrim below the MCRS caught by vessels using bottom trawls and beam trawls, and boarfish and greater silver smelt caught by vessels using bottom trawls was reviewed by the STECF which concluded <sup>(19)</sup> that limited data was provided by the Member States and the evidence that landing unwanted catches has an associated cost is not sufficient to demonstrate that those costs are disproportionate. The STECF notes that improving selectivity in the relevant fisheries should be the priority as it would reduce the costs for handling unwanted catches. Given the need to continue fishing activity in order to ensure the necessary data collection, those exemptions may be granted for one year, but Member States should be

<sup>(16)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(17)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(18)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(19)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

required to submit relevant data allowing the STECF to fully assess the justification and allowing the Commission to carry out a review. The Member States concerned should undertake additional trials and provide information as soon as possible and not later than by 1 May 2020, for assessment by the STECF. Those exemptions should therefore be applied provisionally until 31 December 2020.

- (28) The evidence provided by the Member States on the new *de minimis* exemption for haddock caught by vessels using bottom trawls with a mesh size greater than or equal to 80 mm in ICES subareas 7b-7c and 7e to 7k was reviewed by the STECF which concluded <sup>(20)</sup> that information provided shows that improvements in selectivity for haddock are difficult to achieve without substantial short-term losses in marketable catches. STECF notes that specific technical measures in the Celtic Sea protection zone are expected to reduce unwanted catches of haddock to a lesser extent, but it is too early to evaluate the possible achievements. Given the need to continue fishing activity in order to ensure the necessary data collection, that exemption may be granted for one year, but Member States should be required to submit relevant data allowing the STECF to fully assess the justification and allowing the Commission to carry out a review. The Member States concerned should undertake additional trials and provide information as soon as possible and not later than by 1 May 2020, for assessment by the STECF. That exemptions should therefore be applied provisionally until 31 December 2020.
- (29) The evidence provided by the Member States on the new *de minimis* exemption for haddock below MCRS caught by vessels using using bottom trawls with a mesh size up to 119 mm in the West of Scotland Nephrops fishery ICES division 6a was reviewed by the STECF which concluded <sup>(21)</sup> that the justification for the analysis is based on an analysis of disproportionate cost of handling unwanted catches. STECF notes that the costs seem to be reasonable, however there is no objective means to assess whether they are realistic or can be considered disproportionate. Given the need to continue fishing activity in order to ensure the necessary data collection, that exemption may be granted for one year, but Member States should be required to submit relevant data allowing the STECF to fully assess the justification and allowing the Commission to carry out a review. The Member States concerned should provide information as soon as possible and not later than by 1 May 2020, for assessment by the STECF. That exemptions should therefore be applied provisionally until 31 December 2020.
- (30) To ensure reliable estimates of discard levels for the purpose of setting total allowable catches (TACs), Member States should, in the cases where the *de minimis* exemption is based on extrapolation of data-limited situations and partial fleet information, provide accurate and verifiable data for the whole fleet covered by that exemption.
- (31) Under Article 18 of the Regulation (EU) No 1380/2013, the Commission has considered both the the STECF's assessment and the need for Member States to ensure the full implementation of the landing obligation. In several cases, continued fishing activity and data collection is necessary in order to address the comments made by the STECF. In those cases, it is appropriate to follow a pragmatic and prudent approach to fisheries management by granting exemptions on a temporary basis. Not granting such exemptions would prevent the collection of data which are necessary for the proper and informed management of discards with a view to implementing fully the landing obligation.
- (32) Following the new joint recommendation, it is appropriate to repeal Delegated Regulation (EU) 2018/2034 and to replace it with a new act.
- (33) As the measures provided for in this Regulation have a direct impact on the planning of the fishing season of Union vessels and on related economic activities, this Regulation should enter into force immediately after its publication. It should apply from 1 January 2020,

<sup>(20)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>

<sup>(21)</sup> <https://stecf.jrc.ec.europa.eu/documents/43805/2537709/STECF+PLEN+19-02.pdf>



HAS ADOPTED THIS REGULATION:

*Article 1*

**Implementation of the landing obligation**

In ICES Subareas 5 (excluding 5a and including only Union waters of 5b), 6 and 7, the landing obligation provided for in Article 15(1) of Regulation (EU) No 1380/2013 shall apply in demersal fisheries in accordance with this Regulation for the period 2020-2021.

*Article 2*

**Definitions**

1. 'Flemish panel' means the last tapered netting section of a beam trawl whose:
  - posterior is directly attached to the cod-end;
  - upper and lower netting sections are constructed of at least 120 mm mesh as measured between the knots;
  - stretched length is at least 3 m.
2. 'Seltra panel' means a selectivity device which:
  - (a) consists of a top panel of at least 270 mm mesh size (diamond mesh) or a top panel of at least 300 mm mesh size (square mesh), placed in a four-panel box section, in the straight section of a cod end;
  - (b) is at least 3 metres long;
  - (c) is positioned no more than 4 metres from the cod line; and
  - (d) is the full width of the top sheet of the box section of the trawl (i.e. from selvedge to selvedge).
3. 'Netgrid selectivity device' means a selectivity device consisting of a four panel section inserted into a two-panel trawl with an inclined sheet of diamond mesh netting with a mesh size of at least 200mm, leading to an escape hole in the top of the trawl;
4. 'CEFAS Netgrid' means a Netgrid selectivity device developed by The Centre for Environment, Fisheries and Aquaculture Science for catches of Nephrops in the Irish Sea;
5. 'Flip-flap trawl' means a trawl equipped with a netting grid developed to reduce the capture of cod, haddock and whiting in Norway lobster fisheries;
6. 'Flip-up rope' means a gear modification on demersal beam trawls to help prevent stones and boulders entering the trawl and causing damage to both gear and catches;
7. 'Benthos release panel' means a panel of larger mesh or square mesh netting fitted into the lower panel of a trawl, usually a beam trawl to release any benthic material and seabed debris before it passes into the codend;
8. 'Celtic Sea Protection Zone' means the waters inside ICES divisions 7f, 7g and the part of 7j that lies North of latitude 50° N and East of 11° W.

*Article 3*

**Survivability exemption for Norway lobster**

1. The survivability exemption provided for in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply to:

- (a) Norway lobster (*Nephrops norvegicus*) caught in pots, traps or creels (gear codes: <sup>(22)</sup> FPO and FIX and FYK), in ICES subareas 6 and 7;
  - (b) Norway lobster (*Nephrops norvegicus*) caught with bottom trawls (OTT, OTB, TBS, TBN, TB, PTB, OT, PT, TX) with a mesh size equal to or larger than 100 mm in ICES subarea 7;
  - (c) Norway lobster (*Nephrops norvegicus*) caught with bottom trawls (OTT, OTB, TBS, TBN, TB, PTB, OT, PT, TX) with a mesh size of 70-99 mm in combination with highly selective gear options, as set out in Articles 9(2) and 10(2) of this Regulation, in ICES subarea 7;
  - (d) Norway lobster (*Nephrops norvegicus*) caught with otter trawls (OTT, OTB, TBS, TBN, TB, PTB, OT, PT, TX) with a mesh size of 80-110 mm in ICES division 6a within twelve nautical miles of the coast.
2. When discarding Norway lobster caught in the cases referred to in paragraph 1, the Norway lobster shall be released whole, immediately and in the area where it has been caught.

#### Article 4

##### Survivability exemption for common sole

1. In ICES division 7d, within six nautical miles of the coast but outside identified nursery areas, the survivability exemption provided for in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply to catches of common sole (*Solea solea*) below the minimum conservation reference size made using otter trawl gears (gear codes: OTT, OTB, TBS, TBN, TB, PTB, OT, PT, TX) with a cod end mesh size of 80-99 mm, by vessels:
- (a) having a maximum length of 10 meters and a maximum engine power of 221 kW; and
  - (b) fishing in waters with the depth of 30 meters or less and with tow durations of no more than 1:30 hours.
2. When discarding common sole caught in the cases referred to in paragraphs 1, the common sole shall be released immediately.

#### Article 5

##### Survivability exemption for skates and rays

1. The survivability exemption provided for in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply to skates and rays (*Rajiformes*) caught by any fishing gear in the North Western Waters (ICES subareas 6 and 7).
2. Member States having a direct management interest shall submit, every year as soon as possible and not later than by 1 May, additional scientific information supporting the exemption laid down in paragraph 1. The Scientific, Technical and Economic Committee for Fisheries (STECF) shall assess that scientific information by 31 July every year.
3. When discarding skates and rays caught in the cases referred to in paragraph 1, the skates and rays shall be released immediately.

#### Article 6

##### Survivability exemption for plaice

1. The survivability exemption provided for in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply to:
- (a) plaice (*Pleuronectes platessa*) caught in ICES divisions 7d, 7e, 7f and 7g with trammel nets (gear codes: GTR, GTN, GEN, GN);

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<sup>(22)</sup> Gear codes used in this Regulation refer to those codes in Annex XI to Commission Implementing Regulation (EU) No 404/2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common fisheries policy. For the vessels whose LOA is less than 10 metres gear codes used in this table refer to the codes from the FAO gear classification.

- (b) plaice (*Pleuronectes platessa*) caught in ICES divisions 7d, 7e, 7f and 7g with otter trawls (gear codes: OTT, OTB, TBS, TBN, TB, PTB, OT, PT, TX);
- (c) plaice (*Pleuronectes platessa*) caught in ICES divisions 7a to 7k by vessels having a maximum engine greater than 221 kW, and using beam trawls (TBB) fitted with a flip-up rope or benthic release panel;
- (d) plaice (*Pleuronectes platessa*) caught in ICES divisions 7a to 7k by vessels using beam trawls (TBB), having a maximum engine power of 221 kW or a maximum length of 24 meters, which are constructed to fish within 12 nautical miles of the coast and with average tow durations of no more than 1:30 hours;
- (e) plaice (*Pleuronectes platessa*) caught in ICES division 7d with Danish seines (gear code: SDN).

2. The exemptions referred to in points (c) and (d) of paragraph 1 shall be provisionally applicable until 31 December 2020 for plaice caught in ICES divisions 7h, 7j, 7k. Member States having a direct management interest shall submit, as soon as possible and not later than by 1 May 2020, additional scientific information supporting those exemptions as regards the plaice caught in ICES divisions 7h, 7j, 7k. The Scientific, Technical and Economic Committee for Fisheries shall assess that information by 31 July 2020.

3. When discarding plaice caught in the cases referred to in paragraph 1, the plaice shall be released immediately.

#### Article 7

##### Survivability exemption for species caught in pots, traps and creels

- 1. In ICES subareas 5 (excluding 5a and including only Union waters of 5b), 6 and 7 the survivability exemption provided for in Article 15(4)(b) of Regulation (EU) No 1380/2013 shall apply to species caught with pots, traps and creels (gear codes: FPO, FIX, FYK).
- 2. When discarding fish caught in the cases referred to in paragraph 1, the fish shall be released immediately.

#### Article 8

##### De minimis exemptions

- 1. By way of derogation from Article 15(1) of Regulation (EU) No 1380/2013, the following quantities may be discarded pursuant to Article 15(5)(c) of that Regulation:
  - (a) for whiting (*Merlangius merlangus*), up to a maximum of 5 % of the total annual catches of that species by vessels using bottom trawls and seines with a mesh size equal to or greater than 80 mm (OTB, OTT, OT, PTB, PT, SSC, SDN, SPR, SX, SV, TBN, TBS, TB, TX), pelagic trawls (OTM, PTM) and beam trawls (BT2) with a mesh size of 80-119 mm in ICES divisions 7b to 7k;
  - (b) for common sole (*Solea solea*), up to a maximum of 3 % of the total annual catches of that species by vessels using trammel and gill nets (GN, GNS, GND, GNC, GTN, GTR, GEN, GNF) to catch common sole in ICES divisions 7d, 7e, 7f and 7g;
  - (c) for common sole (*Solea solea*), up to a maximum of 3 % of the total annual catches of that species by vessels using TBB gear with a mesh size of 80-119 mm equipped with Flemish panel, to catch common sole in ICES divisions 7d, 7e, 7f, 7g and 7h;
  - (d) for haddock (*Melanogrammus aeglefinus*), up to a maximum of 5 % in 2020 of the total annual catches of that species by vessels using bottom trawls, seines and beam trawls (OTB, OTT, OT, PTB, PT, SSC, SDN, SPR, SX, SV, TBB, TBN, TBS, TB, TX) with a mesh size greater than or equal to 80 mm in ICES divisions 7b, 7c and 7e to 7k;
  - (e) for horse mackerel (*Trachurus spp.*), up to a maximum of 7 % in 2020 of the total annual by-catches of those species, caught in demersal mixed fisheries, by vessels using bottom trawls, seines and beam trawls (OTB, OTT, OT, PTB, PT, SSC, SDN, SPR, SX, SV, TBB, TBN, TBS, TB, TX) in ICES subarea 6 and ICES divisions 7b to 7k;
  - (f) for mackerel (*Scomber scombrus*), up to a maximum of 7 % in 2020 of the total annual by-catches of that species, caught in demersal mixed fisheries, by vessels using bottom trawls, seines and beam trawls in ICES subarea 6 and ICES divisions 7b to 7k;

- (g) for common sole (*Solea solea*), up to a maximum of 3 % in 2020 of the total annual catches of that species by vessels using beam trawls with a mesh size of 80-119 mm (BT2) with increased selectivity (Flemish panel) in ICES divisions 7a, 7j and 7k,
- (h) for megrim (*Lepidorhombus* spp.) below MCRS, up to a maximum of 5 % in 2020 of the total annual catches of those species by vessels using bottom trawls (OTT, OTB, TBS, TBN, TB, PTB, OT, PT, TX) with a mesh size of 70-99 mm (TR2) and beam trawls (TBB) with a mesh size of 80-199 mm (BT2) in ICES subarea 7,
- (i) for boarfish (*Caproidae*), up to a maximum of 0,5 % in 2020 of the total annual catches of that species by vessels using bottom trawls (OTT, OTB, TBS, TBN, TB, PTB, OT, PT, TX) in ICES divisions 7b,7c and 7f to 7k,
- (j) for greater silver smelt (*Argentina silus*), up to a 0,6 % in 2020 of the total annual catches of that species by vessels using bottom trawls (OTT, OTB, TBS, TBN, TB, PTB, OT, PT, TX) with a mesh size equal to or greater than 100 mm (TR1) in ICES division 5b (EU waters) and ICES subarea 6;
- (k) for haddock (*Melanogrammus aeglefinus*) below minimum conservation reference size, up to a maximum of 3 % in 2020, of the total annual catches of that species by vessels using bottom trawls with a mesh size up to 119 mm (OTB, OTT, OT, TBN, TB) in the West of Scotland Norway lobster (*Nephrops norvegicus*) fishery in ICES division 6a;
- (l) in the demersal mixed fishery carried out by vessels targeting brown shrimp and using beam trawls (TBB) with a mesh size equal to or greater than 31 mm in ICES division 7a:

a combined quantity of fish species below MCRS, which shall not exceed 0,85 % of the total annual catches of plaice and 0,15 % of the total annual catches of whiting in the demersal mixed fisheries.

2. The *de minimis* exemptions set out in points (d) to (k) of paragraph 1 shall be applicable until 31 December 2020. Member States having a direct management interest shall submit as soon as possible and not later than by 1 May 2020, additional scientific information supporting the exemption. The Scientific, Technical and Economic Committee for Fisheries shall assess that scientific information before 31 July 2020.

#### Article 9

##### Specific technical measures in the Celtic Sea Protection Zone

1. Fishing vessels operating with bottom trawls or seines in the Celtic Sea Protection Zone shall use one of the following gear options:

- (a) 110 mm cod-end with 120 mm square mesh panel <sup>(23)</sup>;
- (b) 100 mm T90 cod-end;
- (c) 100 mm cod-end with 160 mm square mesh panel;
- (d) 120 mm cod-end.

2. By way of derogation from paragraph 1, vessels operating with bottom trawls or seines with catches comprising more than 5 % of Norway lobster shall use one of the following gear options:

- (a) 300 mm square mesh panel with a cod-end of at least 80 mm mesh size; vessels below 12 meters in length over all may use a 200 mm square mesh panel;
- (b) Seltra panel;
- (c) Sorting grid with a 35 mm bar spacing as referred to in Annex VI Part B to Regulation (EU) 2019/1241;
- (d) 100 mm cod-end with a 100 mm square mesh panel;
- (e) Dual cod-end with the uppermost cod-end constructed with T90 mesh of at least 90 mm and fitted with a separation panel with a maximum mesh size of 300 mm.

3. By way of derogation from paragraph 1, vessels operating with bottom trawls or seines with catches comprising more than 55 % of whiting or 55 % of anglerfish, hake or megrim combined, shall use one of the following gear options:

- (a) 100 mm cod-end with a 100 mm square mesh panel;
- (b) 90 mm T90 cod-end and extension;

<sup>(23)</sup> As referred to in Annex VI Part B of Regulation (EU) 2019/1241.

- (c) 80 mm cod-end with a 160 mm square mesh panel;
  - (d) 80 mm cod-end with a 2 m multiplied by 100 mm squared mesh cylinder.
4. By way of derogation from paragraph 1, vessels operating with bottom trawls or seines with catches comprising less than 10 % of gadoids (*Gadidae*) in ICES division 7f East of 5° West, shall use 80 mm cod-end fitted with a 120 mm square mesh panel.
5. A selective gear or device assessed by the STECF as having the same or higher selectivity characteristics for cod, haddock and whiting than the gear options set out in paragraphs 1 to 4 may be used as an alternative to those gear options.

#### Article 10

##### Specific technical measures in the Irish Sea

1. Fishing vessels operating with bottom trawls or seines in ICES division 7a (Irish Sea) shall comply with the technical measures set out in paragraphs 2, 3 and 4.
2. Vessels operating with bottom trawls or seines with a cod-end mesh size equal to or larger than 70 mm and smaller than 100 mm and with catches comprising more than 5 % of Norway lobster shall use one of the following gear options:
- (a) 300 mm square mesh panel; vessels below 12 meters in length over all may use a 200 mm squared mesh panel;
  - (b) Seltra panel;
  - (c) Sorting grid with 35 mm bar spacing as referred to in Annex VI Part B to Regulation (EU) 2019/1241;
  - (d) CEFAS Netgrid;
  - (e) Flip-flap trawl.
3. Vessels equal to or greater than 12 meters in length over all operating with bottom trawls or seines with catches comprising more than 10 % of haddock, cod and skates and rays combined, shall use one of the following gear options:
- (a) 120 mm cod-end;
  - (b) an eliminator trawl with 600 mm large mesh panels and a 100 mm cod-end.
4. Vessels equal to or greater than 12 meters in length over all operating with bottom trawls or seines with catches comprising less than 10 % of haddock, cod and skates and rays combined shall apply a cod-end mesh size of 100 mm with a 100 mm squared mesh panel. This provision shall not apply to vessels with catches comprising more than 30 % of Norway lobster or more than 85 % of Queen scallops.
5. A selective gear or device assessed by the STECF as having the same or higher selectivity characteristics for cod, haddock and whiting than the gear options set out in paragraphs 1 to 4 may be used as an alternative to those gear options

#### Article 11

##### Specific technical measures in the West of Scotland

1. From 1 July 2020, fishing vessels operating with bottom trawls or seines in ICES divisions 6a and 5b, within the Union waters, East of 12°W (West of Scotland) shall comply with the following technical measures:
- (a) mandatory use of a square mesh panel (positioning retained) of at least 300 mm for vessels deploying a cod-end mesh size less than 100 mm; for vessels below 12 m in length over all and/or with engine power of 200 kW or less, the panel overall length may be 2 m and the panel 200 mm in Norway lobster (*Nephrops norvegicus*) fisheries;

(b) mandatory use of a square mesh panel (positioning retained) of at least 160 mm for vessels deploying a cod-end mesh size of 100-119 mm and if catches comprising more than 30 % of Norway lobster (*Nephrops norvegicus*).

2. A selective gear or device assessed by the STECF as having the same or higher selectivity characteristics for cod, haddock and whiting than the measures set out in paragraph 1 may be used as an alternative to those measures.

#### Article 12

##### **Repeal**

Delegated Regulation (EU) 2018/2034 is repealed.

Reference to Regulation (EU) 2018/2034 in Annex VI, Part B, point 3 of Regulation (EU) 2019/1241 <sup>(24)</sup> shall be construed as reference to Articles 9 and 10 of this Regulation.

#### Article 13

##### **Entry into force**

This Regulation shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 1 January 2020 until 31 December 2021.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 1 October 2019.

*For the Commission*  
*The President*  
Jean-Claude JUNCKER

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<sup>(24)</sup> OJ L 198, 25.7.2019, p. 105.

**COMMISSION IMPLEMENTING REGULATION (EU) 2019/2240**  
**of 16 December 2019**

**specifying the technical items of the data set, establishing the technical formats for transmission of information and specifying the detailed arrangements and content of the quality reports on the organisation of a sample survey in the labour force domain in accordance with Regulation (EU) 2019/1700 of the European Parliament and of the Council**

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) 2019/1700 of the European Parliament and of the Council of 10 October 2019 establishing a common framework for European statistics relating to persons and households, based on data at individual level collected from samples, amending Regulations (EC) No 808/2004, (EC) No 452/2008 and (EC) No 1338/2008 of the European Parliament and of the Council, and repealing Regulation (EC) No 1177/2003 of the European Parliament and of the Council and Council Regulation (EC) No 577/98 <sup>(1)</sup>, and in particular Articles 7(1), 8(3) and 13(6) thereof,

Whereas:

- (1) In order to ensure the accurate implementation of the sample survey in the labour force domain, the Commission should specify the technical items of the data set, the technical formats for transmission of information and the detailed arrangements and content of the quality reports.
- (2) The Member States and the Union institutions should use statistical classifications for the territorial units, education, occupation and economic sector that are compatible with the NUTS <sup>(2)</sup>, ISCED <sup>(3)</sup>, ISCO <sup>(4)</sup> and NACE <sup>(5)</sup> classifications.
- (3) The measures provided for in this Regulation are in accordance with the opinion of the European Statistical System Committee,

HAS ADOPTED THIS REGULATION:

*Article 1*

**Subject matter**

This Regulation specifies the technical items of the data set, the technical formats for the transmission of information from Member States to the Commission (Eurostat) and the detailed arrangements for transmission and the content of the quality reports in the labour force domain.

*Article 2*

**Definitions**

For the purposes of this Regulation, the following definitions apply:

- (1) 'main variable' means a variable with a quarterly periodicity;

<sup>(1)</sup> OJ L 261 I, 14.10.2019, p. 1.

<sup>(2)</sup> Regulation (EC) No 1059/2003 of the European Parliament and of the Council of 26 May 2003 on the establishment of a common classification of territorial units for statistics (NUTS) (OJ L 154, 21.6.2003, p. 1).

<sup>(3)</sup> International Standard Classification of Education 2011, <http://uis.unesco.org/sites/default/files/documents/international-standard-classification-of-education-isced-2011-en.pdf> (available in English and French).

<sup>(4)</sup> Commission Recommendation of 29 October 2009 on the use of the International Standard Classification of Occupations (ISCO-08) (OJ L 292, 10.11.2009, p. 31).

<sup>(5)</sup> Regulation (EC) No 1893/2006 of the European Parliament and of the Council of 20 December 2006 establishing the statistical classification of economic activities NACE Revision 2 and amending Council Regulation (EEC) No 3037/90 as well as certain EC Regulations on specific statistical domains (OJ L 393, 30.12.2006, p. 1).

- (2) 'structural variable' means an annual, biennial, eight-yearly variable or a variable collected on an ad hoc subject;
- (3) 'minimum set of variables' means the variables to be collected for all household members to allow analyses both at household level and at individual level broken down by specific household characteristics;
- (4) 'sample rotation scheme' means the split of the total sample into sub-samples of observation units similar in size and design, used to specify the number of times and the exact reference quarters of the year for which an observation unit shall provide information in the survey;
- (5) 'wave' means the sub-sample of observation units due to be interviewed for the same nth time according to the sample rotation scheme in a reference quarter;
- (6) 'uniform sample distribution of the annual sample' with regards to all the reference quarters of the year means that each quarterly sample is the total annual sample divided by four;
- (7) 'uniform sample distribution of the quarterly sample' with regards to all the reference weeks of the quarter means that each weekly sample is the total quarterly sample divided by the number of the weeks of the quarter; the acceptable variation as specified in Article 6(2) and (3) applies to both distribution;
- (8) 'sample of independent observations' means a sample where each observation unit occurs only once according to the sample design;
- (9) 'reliability limits' mean estimated sizes of population groups below which figures have to be suppressed or published with warning;
- (10) 'employed persons' comprise persons aged 15 to 89 (in completed years at the end of the reference week) who, during the reference week, were in one of the following categories:
  - (a) persons who during the reference week worked for at least 1 hour for pay or profit, including contributing family workers <sup>(6)</sup>;
  - (b) persons with a job or business who were temporarily not at work during the reference week but had an attachment to their job, where the following groups have a job attachment:
    - persons not at work due to holidays, working time arrangements, sick leave, maternity or paternity leave;
    - persons in job-related training;
    - persons on parental leave, either receiving and/or being entitled to job-related income or benefits, or whose parental leave is expected to be 3 months or less;
    - seasonal workers during the off-season, where they continue to regularly perform tasks and duties for the job or business, excluding fulfilment of legal or administrative obligations;
    - persons temporarily not at work for other reasons where the expected duration of the absence is 3 months or less;
  - (c) persons that produce agricultural goods whose main part is intended for sale or barter.

Persons in own-use production work, voluntary workers, unpaid trainees and individuals involved in other forms of work <sup>(6)</sup> are not included in employment on the basis of those activities;

- (11) 'unemployed persons' comprise persons aged 15 to 74 (in completed years at the end of the reference week) who were:
  - (a) during the reference week not employed according to the definition of employment described in paragraph 10; and
  - (b) currently available for work, i.e. were available for paid employment or self-employment before the end of the 2 weeks following the reference week; and
  - (c) actively seeking work, i.e. had either carried out activities in the four-week period ending with the reference week to seek paid employment or self-employment or found a job to start within a period of at most 3 months from the end of the reference week.

<sup>(6)</sup> Contributing family workers, persons in own-use production work, voluntary workers, unpaid trainees and individuals involved in other forms of work are defined in the 19th International Conference of Labour Statisticians (ICLS) Resolution concerning statistics of work, employment and labour underutilisation, adopted on 11 October 2013.



For the purposes of identifying active job search, such activities are:

- studying job advertisements;
- placing or answering job advertisements;
- placing or updating CVs online;
- contacting employers directly;
- asking friends, relatives or acquaintances;
- contacting a public employment service;
- contacting a private employment agency;
- taking a test, interview or examination as part of a recruitment process; and
- making preparations to set up a business.

Seasonal workers not at work during the reference week (off-season) but who expect to return to their seasonal job are to be considered as having 'found a job';

- (12) 'persons outside the labour force' comprise persons who were in one of the following categories:
- (a) aged below 15 (in completed years at the end of the reference week);
  - (b) aged above 89 (in completed years at the end of the reference week); or
  - (c) aged 15 to 89 (in completed years at the end of the reference week) and neither employed nor unemployed during the reference week according to the definitions of employment and unemployment described in paragraphs 10 and 11.

#### *Article 3*

### **Description of variables**

1. The description and technical format of the quarterly, annual, biennial variables and the eight-yearly variables on the detailed topic 'labour market situation of migrants and their immediate descendants' and the coding to be used for the data transmission and the variables to be included in the minimum set of variables are set out in Annex I of this Regulation.
2. The number of eight-yearly variables to be collected in a given year shall not exceed 11, except in the case of:
  - (a) the detailed topic on 'work organisation and working time arrangements', for which the number of variables shall not exceed 10 in a given year; and
  - (b) the detailed topics on 'young people on the labour market' and on 'educational attainment — details, including education interrupted or abandoned', for which the combined number of eight-yearly variables shall not exceed seven in a given year.
3. The number of structural variables to be collected on an ad hoc subject every 4 years shall not exceed 11 in a given year.

#### *Article 4*

### **Statistical populations, observation units and rules for respondents**

1. The target population for the labour force domain shall be all persons usually residing in private households in the territory of the Member State.
2. The data collection for the labour force domain shall be carried out for a sample of private households or a sample of persons belonging to private households as observation units.
3. Information shall be provided for:
  - (a) every person of whatever age on the topics 'technical items' and 'person and household characteristics';
  - (b) every person aged 15 to 74 on the 'participation in education and training' topic;
  - (c) every person aged 15 to 89 for quarterly, annual and biennial variables on all other topics;

- (d) every person aged 15 to 74 for the eight-yearly variables of the detailed topics on 'labour market situation of migrants and their immediate descendants' and 'accidents at work and other work-related health problems';
- (e) every person aged 50 to 74 for the eight-yearly variables of the detailed topic on 'pensions and labour market participation';
- (f) every person aged 15 to 34 for the eight-yearly variables of the detailed topics on 'young people on the labour market' and 'educational attainment — details, including education interrupted or abandoned';
- (g) every person aged 18 to 74 for the eight-yearly variables of the detailed topic on 'reconciliation of work and family life';
- (h) every employed person aged 15 to 74 for the eight-yearly variables of the detailed topic on 'work organisation and working time arrangements'.

All age groups include the limit ages specified therein.

- 4. Proxy interviews are allowed but their number shall be as limited as possible.

#### *Article 5*

##### **Reference periods and reference dates**

- 1. The information collected for the labour force domain shall generally relate to the situation during the course of a single week, running from Monday to Sunday, which constitutes the reference week.
- 2. The age of a person shall be the age in completed years at the end of the reference week.
- 3. Reference quarters shall be as follows:
  - (a) quarters of each year refer to the 12 months of the year divided by four so that January, February and March belong to the first quarter, April, May and June to the second quarter, July, August and September to the third quarter and October, November and December to the fourth quarter;
  - (b) the reference weeks are allocated to the reference quarters so that a week belongs to the quarter as defined in point (a) to which at least four days of that week belong (called 'Thursday rule'), unless this results in the first quarter of the year consisting of only 12 weeks. In that case, the quarters of the year in question will be formed by consecutive blocks of 13 weeks;
  - (c) where, in accordance with point (b), a quarter consists of 14 weeks instead of 13 weeks Member States should attempt to spread the sample over all 14 weeks; that includes the option to divide the sample usually assigned to one week over 2 weeks;
  - (d) if it is not feasible to spread the sample to cover all 14 weeks of the quarter, the Member State concerned may skip one week of that quarter by not covering it;
  - (e) the weeks with a divided sample and the week to be skipped should be typical with regard to unemployment, employment and average actual hours worked and should be part of a month containing five Thursdays;
  - (f) the first quarter of 2021 shall begin on Monday, 4 January 2021.
- 4. A reference year shall be the combination of the four reference quarters of that year.

#### *Article 6*

##### **Detailed sample characteristics**

- 1. The data collection shall refer to one reference week for each observation unit. The reference week shall be assigned to the observation unit prior to the fieldwork.
- 2. In addition to the quarterly requirements set out in point 1 of Annex III of Regulation (EU) 2019/1700, the full national sample for the reference year shall be uniformly distributed between all the reference quarters of the year. In each reference quarter the full quarterly sample shall be uniformly distributed between all the reference weeks of the quarter, except in the case of quarters consisting of 14 weeks where the sample may first be uniformly distributed in 13 reference weeks and then further treated in accordance with one of the following:
  - (a) the sample assigned to one reference week shall be further divided over two typical reference weeks to cover all 14 weeks;

(b) one typical week shall be skipped in line with Article 5(3) of this Regulation.

3. The full sample distributions referred to in paragraph 2 and in point 1 of Annex III of Regulation (EU) 2019/1700 shall not deviate more than 10 % from the exact shares at national level, except in the case of Member States producing monthly unemployment statistics for which, for no more than 5 weeks a quarter, a 15 % difference from the exact weekly share is allowed. Those Member States are required to apply a deterministic correction to the quarterly weights assuring that in applying those weights all weeks of the quarter are equally represented. At NUTS 2 regional level, the threshold of 10 % shall be respected to the fullest extent possible.

4. Sub-samples of independent observations which refer to all weeks of the reference year shall fulfil the distributional requirements as laid down in paragraphs 2 and 3 to the fullest extent possible.

5. All distributional requirements referred to in paragraphs 2 to 4 shall be fulfilled either for the gross sample or for the net sample.

6. All main variables shall be transmitted for the full sample in every quarter.

7. All structural variables shall be transmitted for each quarter of the reference year, for at least one wave per quarter and not less than one eighth of the full quarterly sample. Specifically,

(a) all annual and all biennial structural variables, for which only annual averages are needed, shall be transmitted, alternatively:

(i) for the full sample in every quarter;

(ii) for one sub-sample of independent observations that refer to all weeks of the reference year.

The sample for biennial structural variables shall be part of the sample for annual structural variables;

(b) eight-yearly variables and variables on an ad hoc subject shall be transmitted for one sub-sample of independent observations that refer to all weeks of the reference year. That sub-sample shall be part of the sample for annual and biennial structural variables;

(c) sub-sampling as defined in points (a) and (b) shall always be applied using complete waves.

8. Member States using a sample of persons belonging to private households can transmit the information concerning the other members of those households (called 'the minimum set of variables') for a sub-sample of independent observations (called 'household sub-sample') constructed in such a way that:

(a) the household sub-sample consists of independent observations that refer to all weeks of the reference year. The resulting annual sample shall, for the persons forming part of both the sample of persons belonging to private households and the household sub-sample, fulfil the distributional requirements as laid down in paragraphs 2 and 3 to the fullest extent possible;

(b) the household sub-sample comprises at least one wave per quarter or at least 15 000 households.

9. Member States that use:

(a) a sample of persons;

(b) a household sub-sample as defined under paragraph 8; and

(c) a sub-sample for the eight-yearly detailed topics different than the household sub-sample;

shall transmit, for all members of households to which the persons selected for answering in a given year the eight-yearly detailed topic belong, and for the same reference periods, all parts of the topics 'technical items' and 'persons and household characteristics' which also form part of the minimum set of variables.

This transmission shall apply to the eight-yearly detailed topics 'labour market situation of migrants and their immediate descendants', 'pensions and labour market participation' and 'reconciliation of work and family life'.

#### Article 7

#### Data gathering periods and methods

1. The interviews collecting information for the labour force domain shall take place during the week immediately following the reference week, and no more than 5 weeks after it.

2. In duly justified cases and only to the necessary extent, the interview period may be further extended.
3. The interviews shall be conducted by computer-assisted interviewing methods, like the computer-assisted personal interviewing (CAPI), the computer-assisted telephone interviewing (CATI) and the computer-assisted web interviewing (CAWI), except in duly justified cases.

#### Article 8

##### **Methodology for data on employment and unemployment**

1. The flowcharts on the order of questions for the detailed topics 'employment status', 'search for employment', 'willingness to work', 'availability', 'second or multiple job(s)' and 'main activity status (self-defined)' shall be as specified in Annex II of this Regulation.
2. Information on the variables WKSTAT, ABSREAS, JATTACH, SEEKWORK, ACTMETNE and AVAILBLE referred to in Annex I of this Regulation shall be obtained by interview.
3. Administrative records and any other sources and methods can be used for all other variables provided that the data obtained are of equivalent coverage and at least equivalent quality. Relevant quality dimensions include comparable and coherent definitions and concepts, correct reference periods, and timeliness of data availability.

#### Article 9

##### **Common standards for editing, imputation, weighting and estimation**

1. Administrative or register data, results from previous interviews, and results from interviews of another person shall not be used to replace or impute information on the variables WKSTAT, ABSREAS, JATTACH, SEEKWORK, ACTMETNE and AVAILBLE referred to in Annex I of this Regulation. Simplified rules may be applied for certain groups of persons, as specified in Annex II of this Regulation.
2. Where information on other variables is missing, invalid or inconsistent, methods of statistical imputation may be applied where appropriate, except for the variable INCGROSS referred to in Annex I of this Regulation, to which statistical imputation shall always be applied when non-response exceeds 5 %.
3. The reference population for weighting shall be the (real or estimated) population usually residing in private households.
4. Weighting factors for estimates at individual level based on quarterly, annual, and biennial variables shall fulfil the following requirements:
  - (a) the weighting factors shall be calculated taking into account the probability of selection and external data on the distribution of the population being surveyed, by sex, age groups and region (NUTS 2 level). Five-year age groups shall be the standard. However, given the sample size and the quality and availability of the external data, aggregations that include more than one five-year age group are allowed only to the necessary extent;
  - (b) consistency between annual totals of sub-samples for annual and biennial structural variables and full-sample annual averages shall be ensured for employment, unemployment and outside the labour force by sex and for the following age groups: 25-34, 35-44, and 45-54. Consistency for the groups of persons aged 15-24, 55-64, and 65 and over shall be achieved to the fullest extent possible.
5. Weighting factors for estimates at household level, using the average weight of the household members, and at individual level by specific household characteristics shall fulfil the following requirements:
  - (a) the weighting factors shall be calculated taking into account the probability of selection and data on the distribution of the private households being surveyed, namely the (real or estimated) number of households and the (real or estimated) household size (household level), and the distribution of the population being surveyed, by sex and at least age groups 0-14 and 15+ (individual level). Estimates of the number of households and the household size shall be based on the best sources and concepts available;

- (b) consistency between annual full-sample or sub-sample totals using the household weighting factors and full-sample annual averages using the individual weighting factors defined in paragraph 4 shall be ensured for employment, unemployment and outside the labour force by sex and for the following age groups: 25-34, 35-44, and 45-54. Consistency for the groups of persons 15-24, 55-64, and 65 and over shall be achieved to the fullest extent possible.
6. Weighting factors for estimates at individual level based on eight-yearly/ad hoc subject variables shall fulfil the following requirements:
- (a) the weighting factors shall be calculated taking into account the probability of selection and data relating to the distribution of the population being surveyed, by sex and eight-yearly target population age groups as defined in paragraph 3 of Article 4 of this Regulation. Five-year age groups shall be the standard. However, given the sample size and the quality and availability of the data, aggregations which include more than one five-year age group are allowed only to the necessary extent;
- (b) consistency between annual sub-sample totals using the eight-yearly weighting factors and annual sub-sample totals or, if not applicable, full-sample annual averages using individual weighting factors referred to in paragraph 4 shall be ensured for the eight-yearly target population defined in paragraph 3 of Article 4 of this Regulation and for employment, unemployment and outside the labour force by sex;
- (c) the requirements shall apply accordingly to ad hoc subjects and their target populations.
7. Information on the variables WKSTAT, ABSREAS, JATTACH, SEEKWORK, ACTMETNE and AVAILBLE referred to in Annex I of this Regulation shall not be used in the weighting process.

#### Article 10

##### Data dissemination

1. Member States shall transmit to the Commission (Eurostat), as part of the quarterly accuracy report described in paragraph 2 of Annex III of this Regulation, two reliability limits. The Commission (Eurostat) shall use those reliability limits for data dissemination.
2. To produce back-calculated break-free time series of main indicators starting from the first quarter of 2009, Member States shall transmit to the Commission (Eurostat), by 31 December 2021, one of the following:
- (a) correction factors to be applied to the back data for each indicator listed in paragraph 3 of this Article;
- (b) the full time series covering the time period from the first quarter of 2009 to the fourth quarter of 2020 for each indicator listed in paragraph 3 of this Article.
3. The indicators for which back-calculated break-free time series are required are the employment and unemployment levels in thousands broken down by sex and age groups 15-24, 25-64, 65+ and, for employment only, age group 20-64.
4. Correction factors for back-calculation, full break-free time series, and relevant metadata for back-calculated break-free time series required according to paragraph 2 of this Article shall be sent using the format provided by the Commission (Eurostat).

#### Article 11

##### Standards for transmitting and exchanging information

1. Member States shall transmit to the Commission (Eurostat) quarterly and annual datasets with pre-checked microdata that comply with validation rules according to the specification of variables for their coding and filter conditions set out in Annex I of this Regulation. Member States and the Commission shall agree on additional validation rules that shall be fulfilled as a condition for transmitted data to be accepted.
2. Quarterly datasets shall contain all main variables for the quarterly samples. Including variables with an annual reference period for the respective samples or sub-samples in these datasets shall be optional.

3. Annual datasets shall include all structural variables as well as main variables for the respective samples or sub-samples.
4. The main and structural variables of the quarterly and annual datasets shall comply with the requirements described in Article 4(3) of this Regulation.
5. Revised data shall be transmitted in complete datasets covering all variables, regardless of the number of revised observations and variables.
6. The contents of the quarterly variables transmitted in the quarterly datasets shall be consistent with the contents of the variables transmitted in the annual datasets.
7. Member States shall make available to the Commission (Eurostat) the data and metadata required under this Regulation using the statistical data and metadata exchange standards specified by the Commission (Eurostat) and the Single Entry Point.

#### *Article 12*

##### **Detailed arrangements and content of quality reports**

In addition to the requirements laid down in Article 13(6) of Regulation (EU) 2019/1700, quarterly and annual quality reports by Member States shall comply with the requirements listed in Annex III of this Regulation.

#### *Article 13*

##### **Entry into force**

This Regulation shall enter into force on the twentieth day following that of its publication in *the Official Journal of the European Union*.

It shall apply from 1 January 2021.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 16 December 2019.

*For the Commission*  
*The President*  
Ursula VON DER LEYEN

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**Description and technical format of the variables to be collected for each topic and detailed topic of the labour force domain and the codification to be used**

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
01.Technical Items	Data collection information	REFYEAR	Year of survey	YYYY	Year of survey (4 digits)		Everybody in the target population	Yes	Technical
01.Technical Items	Data collection information	REFWEEK	Reference week	01-53	Number of the week (2 digits)		Everybody in the target population	Yes	Technical
01.Technical Items	Data collection information	RE-FMONTH	Reference month	01-12	Number of the month (2 digits)		Everybody in the target population	Yes	Derived
01.Technical Items	Data collection information	INTWEEK	Interview week	01-53	Number of the week (2 digits)		Everybody in the target population	Yes	Technical
01.Technical Items	Data collection information	HHTYPE	Living in a private household or an institution	1 2 3	Person surveyed and living in the same private household Person surveyed in the private household but living in an institution Person surveyed in the private household but living in another private household		Everybody in the target population	Yes	Technical
01.Technical items	Data collection information	STRATUM	Stratum	Not blank  Blank	Stratum identifier (15 character alphanumeric)  Not applicable		Everybody in the target population, when the target population (or a part thereof) is stratified at the first stage of the sample design or in case of self-representing primary sampling units	Yes	Technical
01.Technical items	Data collection information	PSU	Primary sampling unit	Not blank  Blank	Primary sampling unit identifier (15 character alphanumeric)  Not applicable		Everybody in the target population, when the target population is divided into clusters (PSUs)	Yes	Technical

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
01.Technical items	Data collection information	FSU	Final (or ultimate) sampling unit	Not blank Blank	Final sampling unit identifier (15 character alphanumeric) Not applicable (only for the not sampled persons in a sample of individuals)		Everybody in the target population	No	Technical
01.Technical items	Data collection information	DE-WEIGHT	Design weight	Not blank Blank	Design weight (7 digits: first 5 contain whole number, following 2 are decimals) Not applicable		Everybody in the target population	No	Technical
01.Technical items	Identification	IDENT	Unique identifier	Not blank	Identifier (25 character alphanumeric)		Everybody in the target population	Yes	Technical
01.Technical items	Identification	HHNUM	Serial number of the household	Not blank	Household number (8 character alphanumeric)		Everybody in the target population	Yes	Technical
01.Technical items	Identification	HHSEQ- NUM	Sequence number in the household	01-98	Sequence number allocated to each member of the household (2 digits)		Everybody in the target population	Yes	Technical
01.Technical items	Weights	COEFFQ	Quarterly weighting factor	0000000- 00- 9999999- 99 Blank	Quarterly weight with 9 digits: first 5 digits contain whole numbers; last 4 digits contain decimal places Not applicable		Everybody in the target population	No	Technical
01.Technical items	Weights	COEFFY	Yearly weighting factor	0000000- 00- 9999999- 99 Blank	Yearly weight with 9 digits: first 5 digits contain whole numbers; last 4 digits contain decimal places Not applicable	Everybody in the yearly (sub-)sample for annual variables	Everybody in the yearly (sub-)sample for annual variables	No	Technical
01.Technical items	Weights	COEFF2Y	Weighting factor for the two-yearly variables	0000000- 00- 9999999- 99	Two-yearly weight with 9 digits: first 5 digits contain whole numbers; last 4 digits contain decimal places	Everybody in the yearly (sub-) sample for two-yearly variables	Everybody in the yearly (sub-)sample for two-yearly variables	No	Technical



Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				Blank	Not applicable				
01.Technical items	Weights	COEFF-MOD	Yearly weighting factor — module	0000000-00-9999999-99 Blank	Yearly module weight with 9 digits: first 5 digits contain whole numbers; last 4 digits contain decimal places Not applicable	Everybody in the yearly module subsample	Everybody in the yearly module subsample	No	Technical
01.Technical items	Weights	COEFFHH	Yearly household weighting factor	0000000-00-9999999-99 Blank	Yearly household weight with 9 digits: first 5 digits contain whole numbers; last 4 digits contain decimal places Not applicable	Everybody in the yearly (sub-) sample to be used for household analyses	Everybody in the yearly (sub-)sample to be used for household analyses	Yes	Technical
01.Technical items	Interview characteristics	INTWAVE	Sequence number of the survey wave	1-8	Sequence number of the survey wave		Everybody in the target population	Yes	Technical
01.Technical items	Interview characteristics	INTQUEST	Questionnaire used	01 02 03 04 05 06 07	Quarterly Quarterly and yearly Quarterly, yearly and biennial Quarterly, yearly, biennial and module Quarterly and (originally selected) respondent forms part of household subsample Quarterly, yearly and (originally selected) respondent forms part of household subsample Quarterly, yearly, biennial and (originally selected) respondent forms part of household subsample		Everybody in the target population	Yes	Technical

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				08	Quarterly, yearly, biennial, module and (originally selected) respondent forms part of household subsample				
				09	Household — minimum set of variables (for additional household members)				
				10	Household — restricted set of module background variables (for additional household members)				
01.Technical items	Interview characteristics	MODE	Interviewing mode used	1	Computer-assisted personal interviewing (CAPI)		Everybody in the target population	Yes	Technical
				2	Computer-assisted telephone interviewing (CATI)				
				3	Computer-assisted web interviewing (CAWI)				
				4	Pen-and-Paper Personal Interviews (PAPI)				
				5	Copied from previous interview				
				6	Other				
				Blank	Not stated				
01.Technical items	Interview characteristics	PROXY	Nature of participation in the survey	1	Direct participation		Everybody in the target population	Yes	Technical
				2	Indirect participation (i.e. participation via another member of the household)				
				Blank	Not stated				
01.Technical items	Localisation	COUNTRY	Country of residence	Not Blank	Country of residence (SCL GEO alpha-2 code)		Everybody in the target population	Yes	Technical
01.Technical items	Localisation	REGION	Region of residence	Not Blank	NUTS 3 region (3 character alphanumeric)		Everybody in the target population	Yes	Technical
01.Technical items	Localisation	DEGURBA	Degree of urbanisation	1	Cities		Everybody in the target population	Yes	Technical

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				2	Towns and suburbs				
				3	Rural areas				
02.Person and household characteristics	Demography	SEX	Sex	1	Male		Everybody in the target population	Yes	Collected
				2	Female				
02.Person and household characteristics	Demography	YEARBIR	Year of birth	YYYY	Year of birth (4 digits)		Everybody in the target population	Yes	Collected
02.Person and household characteristics	Demography	PASSBIR	Passing of birthday	1	Yes and the reference week does not spill over into the next calendar year		Everybody in the target population	Yes	Collected
				2	No and the reference week does not spill over into the next calendar year				
				3	Yes and the reference week spills over into the next calendar year				
				4	No and the reference week spills over into the next calendar year				
02.Person and household characteristics	Demography	AGE	Age in completed years	0-120	Age in completed years (3 digits)		Everybody in the target population	Yes	Derived
02.Person and household characteristics	Citizenship and migrant background	CITIZENS HIP	Country of main citizenship	Not blank	Country of main citizenship (SCL GEO alpha-2 code)		Everybody in the target population	Yes	Collected
				STLS	Stateless				
				FOR	Foreign citizenship but country unknown				
				Blank	Not stated				
02.Person and household characteristics	Citizenship and migrant background	COUNTRYB	Country of birth	Not blank	Country of birth (SCL GEO alpha-2 code)		Everybody in the target population	Yes	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				FOR Blank	Foreign-born but country of birth unknown Not stated				
02.Person and household characteristics	Citizenship and migrant background	COBFATH	Country of birth of the father	Not blank FOR Blank 999	Country of birth of the father (SCL GEO alpha-2 code) Father foreign-born but country of birth of the father unknown Not stated Not applicable	AGE <= 74	Everybody in the target population aged 74 years or less	Yes	Collected
02.Person and household characteristics	Citizenship and migrant background	COB-MOTH	Country of birth of the mother	Not blank FOR Blank 999	Country of birth of the mother (SCL GEO alpha-2 code) Mother foreign-born but country of birth of the mother unknown Not stated Not applicable	AGE <= 74	Everybody in the target population aged 74 years or less	Yes	Collected
02.Person and household characteristics	Reasons for migration	MIGREAS	Main reason for migrating	1 2 3 4 5 6 7 Blank	Employment, job found before migrating Employment, no job found before migrating Family reasons Education or training Retirement International protection or asylum Other Not stated	COUNTRY ≠ COUNTRYB AND 15 <= AGE <= 74	First generation immigrants or persons with unknown country of birth, aged 15 to 74 years	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				9	Not applicable				
02.Person and household characteristics	Household composition	HHLINK	Relationship to the reference person in the household	01	Reference person		Everybody in the target population	Yes	Collected
				02	Partner of reference person				
				03	Son/daughter of reference person				
				04	Son/daughter-in-law of reference person				
				05	Grandchild of reference person				
				06	Parent of reference person				
				07	Parent-in-law of reference person				
				08	Grandparent of reference person				
				09	Brother/sister of reference person				
				10	Other relative				
				11	Other non-relative				
				Blank	Not stated				
				99	Not applicable				
02.Person and household characteristics	Household composition — additional specific details	HHSPOU	Sequence number of partner	00	Person has no partner, or the partner does not belong to this household		Everybody in the target population	Yes	Collected
				01-98	Sequence number of partner in the household				
				99	Not applicable				
02.Person and household characteristics	Household composition — additional specific details	HHFATH	Sequence number of father	00	Father does not belong to this household		Everybody in the target population	Yes	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				01-98	Sequence number of father in the household				
				99	Not applicable				
02.Person and household characteristics	Household composition — additional specific details	HHMOTH	Sequence number of mother	00	Mother does not belong to this household		Everybody in the target population	Yes	Collected
				01-98	Sequence number of mother in the household				
				99	Not applicable				
02.Person and household characteristics	Stay in the country	YEARESID	Duration of stay in the country of residence in completed years	999	Born in this country and never lived abroad for a period of at least 1 year		Everybody in the target population	No	Collected
				000	Less than 1 year in the country but intention to stay at least 1 year in total (residence definition)				
				001-150	Number of years in this country (since last establishing the place of usual residence in this country)				
				Blank	Not stated				
02.Person and household characteristics	Stay in the country	COUNTR-PR	Country of previous residence	Not blank	Country of previous residence (SCL GEO alpha-2 code)	YEARESID = 000-010	Everybody who last established his/her place of usual residence in the country in the last 10 years	No	Collected
				FOR	Foreign country but exact country of previous residence unknown				
				999	Not applicable				
03.Labour market participation	Employment status	WKSTAT	Working in the reference week	1	Worked for pay or profit in the reference week	15 <= AGE <= 89	Everybody in the target population aged 15 to 89 years	Yes	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				2	Absent from work or business during the reference week (self-declared)				
				3	Worked as unpaid family worker in the reference week				
				4	Neither worked nor had a job or business during the reference week				
				9	Not applicable				
03.Labour market participation	Employment status	ABSREAS	Main reason for absence from work during the entire reference week	01	Holidays	WKSTAT = 2	Persons reporting being absent from work or business during the reference week	Yes	Collected
				02	Working time arrangements or compensation of overtime				
				03	Sick leave				
				04	Maternity or paternity leave				
				05	Job-related training				
				06	Parental leave				
				07	Off-season				
				08	Other reason				
				09	Having a job not started yet				
				Blank	Not stated				
				99	Not applicable				
03.Labour market participation	Employment status	JATTACH	Job attachment	1	Parental leave with any job-related income or benefit	ABSREAS = 06, 07, 08, Blank	Persons reporting being absent from work during the reference week for one of the following main reasons: parental leave, off-season, other reason or 'don't know'	Yes	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				2	Parental leave without any job-related income or benefit and with an expected duration of 3 months or less				
				3	Parental leave without any job-related income or benefit and with an expected duration of more than 3 months				
				4	Seasonal worker in off-season, regularly performing job-related tasks				
				5	Seasonal worker in off-season, not regularly performing any job-related task				
				6	Other absence where duration of absence is 3 months or less				
				7	Other absence where duration of absence is more than 3 months				
				9	Not applicable				
03.Labour market participation	Employment status	EMPSTAT	Being in employment	1	Employed	15 <= AGE <= 89	Everybody in the target population aged 15 to 89 years	Yes	Derived
				2	Not employed				
				9	Not applicable				
03.Labour market participation	Second or multiple job(s)	NUMJOB	Number of jobs	1	Only one job	EMPSTAT = 1	Persons in employment	Yes	Collected
				2	Two jobs				
				3	Three jobs or more				
				Blank	Not stated				
				9	Not applicable				
03.Labour market participation	Search for employment	SEEK-WORK	Searching for employment during the 4 weeks ending in the reference week	1	Person is searching for employment	EMPSTAT = 2 AND AGE <= 74	Persons classified as not in employment aged less than 75 years	Yes	Collected



Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				2	Person is not searching for employment and has already found a job which has not yet started but will start within a period of at most 3 months after the end of the reference week				
				3	Person is not searching for employment and has already found a job which has not yet started but will start in more than 3 months after the end of the reference week				
				4	Person is not searching for employment and has already found a job which started between the end of the reference week and the interview date				
				5	Person is not searching for employment and has not found any job to start later				
				9	Not applicable				
03.Labour market participation	Willingness to work	WANT-WORK	Willingness to work even if not searching for employment	1	Person is not searching for employment but would nevertheless like to work	SEEKWORK = 5	Persons not in employment, not searching for employment and not having found any job to start after the reference week	Yes	Collected
				2	Person is not searching for employment and does not want to work				
				Blank	Not stated				
				9	Not applicable				
03.Labour market participation	Search for employment	SEEKREAS	Main reason for not searching for employment	1	No suitable job is available	WANT-WORK = 1	Persons not in employment, not searching for employment and not having found any job to start after the reference week but who would like to work	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				2 3 4 5 6 7 8 Blank 9	Education or training Own illness or disability Care responsibilities Other family reasons Other personal reasons Awaiting recall to work (lay-off) Other reasons Not stated Not applicable				
03.Labour market participation	Willingness to work	WAN-TREAS	Main reason for not wanting to work	1  2 3 4 5 6 7 Blank 9	Education or training  Own illness or disability Care responsibilities Other family reasons Other personal reasons Retirement Other reasons Not stated Not applicable	WANT-WORK = 2	Persons not in employment, not searching for employment and not having found any job to start after the reference week and not wanting to work	No	Collected
03.Labour market participation	Search for employment	ACT-METNE	Having used an active search method to find a job (for not employed persons)	1	Used active search method to find work	SEEKWORK = 1	Persons not in employment and searching for employment	Yes	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				2	Did not use active search method to find work				
				9	Not applicable				
03.Labour market participation	Underemployment	WISH-MORE	Wish to work more than the current number of usual hours	1	No	EMPSTAT = 1	Persons in employment	Yes	Collected
				2	Yes				
				Blank	Not stated				
				9	Not applicable				
03.Labour market participation	Availability	AVAILBLE	Availability to start working immediately or to work more	1	Person could start to work immediately (within 2 weeks)	SEEKWORK = 1, 2, 3, 4 OR WANT-WORK = 1 OR WISHMORE = 2	Persons having already found a job, searching for employment, not searching for employment but would like to have work, or working already but wishing to work more hours	Yes	Collected
				2	Person could not start to work immediately (within 2 weeks)				
				9	Not applicable				
03.Labour market participation	Availability	AVAIRESAS	Main reason for not being available to start working immediately or to work more	1	Education or training	AVAILBLE = 2	Persons who could not start to work immediately (within 2 weeks)	No	Collected
				2	Own illness or disability				
				3	Care responsibilities				
				4	Other family reasons				
				5	Other personal reasons				
				6	Other reasons				
				Blank	Not stated				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				9	Not applicable				
03.Labour market participation	Employment status	ILOSTAT	ILO employment status	1 2 3	Employed Unemployed Outside the labour force		Everybody in the target population	Yes	Derived
03.Labour market participation	Workplace	COUNTRYW	Country of place of work for main job	Not blank FOR Blank 999	Country of place of work (SCL GEO alpha-2 code) Foreign country but exact country of place of work unknown Not stated Not applicable	EMPSTAT = 1	Persons in employment	No	Collected
03.Labour market participation	Workplace	REGIONW	Region of place of work for main job	Not blank Blank 999	NUTS 3 region for people working in their country of residence; NUTS 2 region for people working in border regions of neighbouring countries; country level information otherwise Not stated Not applicable	EMPSTAT = 1	Persons in employment	No	Collected
03.Labour market participation	Working at home	HOME-WORK	Working at home for the main job	1 2 3 Blank 9	Person mainly works at home Person sometimes works at home Person never works at home Not stated Not applicable	EMPSTAT = 1	Persons in employment	Yes	Collected
03.Labour market participation	Elementary job characteristics	STAPRO	Status in employment in main job	1 2 3	Self-employed person with employees Self-employed person without employees Employee	EMPSTAT = 1	Persons in employment	Yes	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				4 Blank 9	Family worker (unpaid) Not stated Not applicable				
03.Labour market participation	Elementary job characteristics	NACE3D	Economic activity of the local unit for main job	010-990 Blank 000	NACE code at 3-digit level Not stated Not applicable	EMPSTAT = 1	Persons in employment	No	Collected
03.Labour market participation	Elementary job characteristics	ISCO4D	Occupation in main job	0000-9900 Blank 9999	ISCO code at 4-digit level Not stated Not applicable	EMPSTAT = 1	Persons in employment	No	Collected
03.Labour market participation	Elementary job characteristics	FTPT	Full- or part-time main job (self-defined)	1 2 Blank 9	Full-time job Part-time job Not stated Not applicable	EMPSTAT = 1	Persons in employment	Yes	Collected
03.Labour market participation	Duration of contract	TEMP	Permanency of main job	1 2 Blank 9	Permanent job Fixed-term job Not stated Not applicable	STAPRO = 3	Employees	Yes	Collected
03.Labour market participation	Duration of contract	TEMPDUR	Total duration of temporary main job	1	Less than 1 month	TEMP = 2	Employees with a fixed-term job	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				2	From 1 to less than 3 months				
				3	From 3 to less than 6 months				
				4	From 6 to less than 12 months				
				5	From 12 to less than 18 months				
				6	From 18 to less than 24 months				
				7	From 24 to less than 36 months				
				8	36 months or over				
				Blank	Not stated				
				9	Not applicable				
03.Labour market participation	Details of contract	TEM-PREAS	Main reason for having a temporary main job	1	Could not find a permanent job	TEMP = 2	Employees with a fixed-term job	No	Collected
				2	Did not want a permanent job				
				3	Fixed-term probationary contract				
				4	Apprenticeship				
				5	Training other than apprenticeship (trainees, internships, research assistants, etc.)				
				6	This type of job is only available with a temporary contract				
				7	Other reasons				
				Blank	Not stated				
				9	Not applicable				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
03.Labour market participation	Details of contract	TEMPAG-CY	Contract with a temporary employment agency for the main job	1 2 Blank 9	No Yes Not stated Not applicable	STAPRO = 3	Employees	No	Collected
03.Labour market participation	Full- or part-time status — reason	FTPTREAS	Main reason for part-time work in the main job	1 2 3 4 5 6 7 Blank 9	Education or training Own illness or disability Care responsibilities Other family reasons Other personal reasons Could not find a full-time job Other reasons Not stated Not applicable	FTPT = 2	Persons in employment with a part-time job	No	Collected
03.Labour market participation	Dependent self-employment	MAINCLN-T	Number and importance of clients in the 12 months ending with the reference week	1 2 3 4	Only one client in the 12 months ending with the reference week 2-9 clients in the 12 months ending with the reference week, but one was dominant 2-9 clients in the 12 months ending with the reference week, and none was dominant 10 clients or more in the 12 months ending with the reference week, but one was dominant	STAPRO = 1, 2	Self-employed workers	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				5	10 clients or more in the 12 months ending with the reference week, and none was dominant				
				6	No client in the 12 months ending with the reference week				
				Blank	Not stated				
				9	Not applicable				
03.Labour market participation	Dependent self-employment	VARITIME	Decision on the start and end of working time	1	Worker can fully decide him/herself	EMPSTAT = 1	Persons in employment	No	Collected
				2	Worker can decide under flexible working time arrangements				
				3	Employer, organisation, or client(s) decides				
				4	Any other party decides				
				Blank	Not stated				
				9	Not applicable				
03.Labour market participation	Supervisory responsibilities	SUPVISOR	Supervisory responsibilities in main job	1	Yes	STAPRO = 3	Employees	No	Collected
				2	No				
				Blank	Not stated				
				9	Not applicable				
03.Labour market participation	Establishment size	SIZEFIRM	Size of the local unit for main job	01-09	Exact number of people, if between 1 and 9	EMPSTAT = 1	Persons in employment	No	Collected
				10	10 to 19 people				
				11	20 to 49 people				
				12	50 to 249 people				
				13	250 people or more				



Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				14 15 Blank 99	Do not know but less than 10 people Do not know but 10 people or more Not stated Not applicable				
03.Labour market participation	Search for another job	LOOKOJ	Looking for another job	1 2 Blank 9	Person is not looking for another job Person is looking for another job Not stated Not applicable	EMPSTAT = 1	Persons in employment	No	Collected
03.Labour market participation	Search for another job	HWWISH	Number of hours that the person would like to work in total in a week	00-98 Blank 99	Number of hours wished to work in total in a week Not stated Not applicable	EMPSTAT = 1	Persons in employment	No	Collected
03.Labour market participation	Search for employment	SEEKDUR	Duration of search for employment	1 2 3 4 5 6	Less than 1 month 1 to 2 months 3 to 5 months 6 to 11 months 12 to 17 months 18 to 23 months	SEEKWORK = 1, 2, 4	Persons searching for employment or having already found a job which started between the reference week and the interview date or which will start within 3 months after the end of the reference week	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				7 8 Blank 9	24 to 47 months 4 years or longer Not stated Not applicable				
03.Labour market participation	Care needs	NEED-CARE	Main reason why care for children or incapacitated relatives limits labour market participation	1 2 3 4 Blank 9	Relevant care services not available  Relevant care services not affordable Want to provide care themselves Other factors were decisive Not stated Not applicable	SEEKREAS = 4 OR WAN-TREAS = 3 OR FTP TREAS = 3 OR AVAIR-EAS = 3	Persons whose reason for not searching for employment or for not wanting to work or for working part-time or for not being available to start work is having care responsibilities	No	Collected
03.Labour market participation	Second or multiple job(s)	STAPRO2J	Status in employment in second job	1 2 3 4 Blank 9	Self-employed person with employees Self-employed person without employees Employee Family worker (unpaid) Not stated Not applicable	NUMJOB = 2, 3	Persons in employment with more than one job	No	Collected
03.Labour market participation	Second or multiple job(s)	NACE2J2D	Economic activity of the local unit for second job	01-99  Blank	NACE code at 2-digit level  Not stated	NUMJOB = 2, 3	Persons in employment with more than one job	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				00	Not applicable				
03.Labour market participation	Main activity status (self-defined)	MAIN-STAT	Main activity status (self-defined)	1	Employed	15 <= AGE <= 89	Everybody in the target population aged 15 to 89 years	Yes	Collected
				2	Unemployed				
				3	Retired				
				4	Unable to work due to long-standing health problems				
				5	Student, pupil				
				6	Fulfilling domestic tasks				
				7	Compulsory military or civilian service				
				8	Other				
				Blank	Not stated				
				9	Not applicable				
04.Educational attainment and background	Educational attainment level	HATLEVEL	Educational attainment level (highest level of education successfully completed)	000	No formal education or below ISCED 1	15 <= AGE <= 89	Everybody in the target population aged 15 to 89 years	Yes	Collected
				100	ISCED 1 Primary education				
				200	ISCED 2 Lower secondary education				
				342	ISCED 3 Upper secondary education (general) — partial level completion, without direct access to tertiary education				
				343	ISCED 3 Upper secondary education (general) — level completion, without direct access to tertiary education				
				344	ISCED 3 Upper secondary education (general) — level completion, with direct access to tertiary education				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				349	ISCED 3 Upper secondary education (general) — without possible distinction of access to tertiary education				
				352	ISCED 3 Upper secondary education (vocational) — partial level completion, without direct access to tertiary education				
				353	ISCED 3 Upper secondary education (vocational) — level completion, without direct access to tertiary education				
				354	ISCED 3 Upper secondary education (vocational) — level completion, with direct access to tertiary education				
				359	ISCED 3 Upper secondary education (vocational) — without possible distinction of access to tertiary education				
				392	ISCED 3 Upper secondary education (orientation unknown) — partial level completion, without direct access to tertiary education				
				393	ISCED 3 Upper secondary education (orientation unknown) — level completion, without direct access to tertiary education				
				394	ISCED 3 Upper secondary education (orientation unknown) — level completion, with direct access to tertiary education				
				399	ISCED 3 Upper secondary education (orientation unknown) — without possible distinction of access to tertiary education				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				440	ISCED 4 Post-secondary non-tertiary education — general				
				450	ISCED 4 Post-secondary non-tertiary education — vocational				
				490	ISCED 4 Post-secondary non-tertiary education — orientation unknown				
				540	ISCED 5 Short-cycle tertiary education — general				
				550	ISCED 5 Short-cycle tertiary education — vocational				
				590	ISCED 5 Short-cycle tertiary education — orientation unknown				
				600	ISCED 6 Bachelor's or equivalent level				
				700	ISCED 7 Master's or equivalent level				
				800	ISCED 8 Doctoral or equivalent level				
				Blank	Not stated				
				999	Not applicable				
04.Educational attainment and background	Educational attainment — details, including education interrupted or abandoned	HATFIELD	Field of the highest level of education successfully completed	001	Basic programmes and qualifications	HATLEVEL = 342-800	Persons with educational attainment level equal to or higher than ISCED 3	No	Collected
				002	Literacy and numeracy				
				003	Personal skills and development				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				009	Generic programmes and qualifications not further defined				
				011	Education				
				018	Inter-disciplinary programmes and qualifications involving education				
				021	Arts				
				022	Humanities (except languages)				
				023	Languages				
				028	Inter-disciplinary programmes and qualifications involving arts and humanities				
				029	Arts and humanities not further defined				
				031	Social and behavioural sciences				
				032	Journalism and information				
				038	Inter-disciplinary programmes and qualifications involving social sciences, journalism and information				
				039	Social sciences, journalism and information not further defined				
				041	Business and administration				
				042	Law				
				048	Inter-disciplinary programmes and qualifications involving business, administration and law				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				049	Business, administration and law not further defined				
				051	Biological and related sciences				
				052	Environment				
				053	Physical sciences				
				054	Mathematics and statistics				
				058	Inter-disciplinary programmes and qualifications involving natural sciences, mathematics and statistics				
				059	Natural sciences, mathematics and statistics not further defined				
				061	Information and Communication Technologies (ICTs)				
				068	Inter-disciplinary programmes and qualifications involving Information and Communication Technologies (ICTs)				
				071	Engineering and engineering trades				
				072	Manufacturing and processing				
				073	Architecture and construction				
				078	Inter-disciplinary programmes and qualifications involving engineering, manufacturing and construction				
				079	Engineering, manufacturing and construction not further defined				
				081	Agriculture				
				082	Forestry				
				083	Fisheries				
				084	Veterinary				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				088	Inter-disciplinary programmes and qualifications involving agriculture, forestry, fisheries and veterinary				
				089	Agriculture, forestry, fisheries and veterinary not further defined				
				091	Health				
				092	Welfare				
				098	Inter-disciplinary programmes and qualifications involving health and welfare				
				099	Health and welfare not further defined				
				101	Personal services				
				102	Hygiene and occupational health services				
				103	Security services				
				104	Transport services				
				108	Inter-disciplinary programmes and qualifications involving services				
				109	Services not further defined				
				Blank	Not stated				
				999	Not applicable				
04.Educational attainment and background	Educational attainment — details, including education interrupted or abandoned	HATYEAR	Year when the highest level of education was successfully completed	YYYY	Year when the highest level of education was successfully completed (4 digits)	HATLEVEL = 100-800	Persons with at least primary educational attainment	No	Collected



Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				Blank	Not stated				
				9999	Not applicable				
04.Educational attainment and background	Educational attainment — details, including education interrupted or abandoned	HAT-WORK	Work experience at a workplace as part of HATLEVEL	1	Work experience(s) at a workplace from 1 to 6 months, at least one paid	HATLEVEL = 342-800 AND 20 <= AGE <= 34	Persons aged 20-34 with educational attainment level equal to or higher than ISCED 3	No	Collected
				2	Work experience(s) at a workplace from 1 to 6 months, all unpaid				
				3	Work experience(s) at a workplace 7 months or over, at least one paid				
				4	Work experience(s) at a workplace 7 months or over, all unpaid				
				5	No or less than 1 month work experience				
				Blank	Not stated				
				9	Not applicable				
05.Job tenure, work biography and previous work experience	Start of job	YS-TARTWK	Year in which person started working for current employer or as self-employed in current main job	YYYY	Year concerned (4 digits)	EMPSTAT = 1	Persons in employment	No	Collected
				Blank	Not stated				
				9999	Not applicable				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
05.Job tenure, work biography and previous work experience	Start of job	MSTART-WK	Month in which person started working for current employer or as self-employed in current main job	01-12  Blank 99	Month concerned (2 digits)  Not stated Not applicable	YSTARTWK ≠ 9999, Blank AND (REFYEAR — YSTARTWK) ≤ 2	Persons in employment who started working in their current main job in the current or previous 2 years	No	Collected
05.Job tenure, work biography and previous work experience	Way job found	WAYJ-FOUN	Public employment service helped to find the current main job	1  2 Blank 9	Yes  No Not stated Not applicable	(EMPSTAT = 1) AND ((YSTARTWK = REFYEAR) OR (YSTARTWK = REFYEAR — 1 AND 01 ≤ MSTARTWK ≤ 12 AND MSTARTWK > REFMONTH))	Persons in employment who started working in their current main job in the last 12 months	No	Collected
05.Job tenure, work biography and previous work experience	Way job found	FIND-METH	Most effective method used to find the current main job (for persons in employment)	01  02 03 04	Job advertisements  Friends, relatives or acquaintances Public employment service Private employment agency	STAPRO = 3 AND (YSTARTWK ≠ 9999, Blank) AND (REFYEAR — YSTARTWK ≤ 7)	Employees who started working in their current main job in the current year or previous 7 years	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				05	Education or training institution, internship or previous work experience				
				06	Contacted employer directly				
				07	Employer contacted person directly				
				08	Applying for a public competition				
				09	Other method				
				Blank	Not stated				
				99	Not applicable				
05.Job tenure, work biography and previous work experience	Career continuity and breaks	EXISTPR	Existence of previous employment experience	1	Person has never been in employment	EMPSTAT = 2	Persons not in employment	No	Collected
				2	Person has employment experience limited to occasional work				
				3	Person has employment experience other than occasional work				
				Blank	Not stated				
				9	Not applicable				
05.Job tenure, work biography and previous work experience	Career continuity and breaks	YEARPR	Year in which person left the last job or business	YYYY	Year concerned (4 digits)	EXISTPR = 2, 3	Persons not in employment who have been previously in employment	No	Collected
				Blank	Not stated				
				9999	Not applicable				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
05.Job tenure, work biography and previous work experience	Career continuity and breaks	MONTHPR	Month in which person left the last job or business	01-12	Month concerned (2 digits)	YEARPR ≠ 9999, Blank AND REF-YEAR — YEARPR ≤ 2	Persons who left their last employment in the current year or previous 2 years	No	Collected
				Blank 99	Not stated Not applicable				
05.Job tenure, work biography and previous work experience	Career continuity and breaks	LEAVREAS	Main reason for leaving last job or business	01	Dismissal or business closed for economic reasons	(EXISTPR = 2, 3) AND (YEARPR ≠ 9999, Blank) AND (REF-YEAR — YEARPR ≤ 7)	Persons not in employment who have been previously in employment, and left their last job in the current year or previous 7 years	No	Collected
				02	A fixed-term job has ended				
				03	Care responsibilities				
				04	Other family reasons				
				05	Education or training				
				06	Own illness and disability				
				07	Retirement				
				08	Other personal reasons				
				09	Other reasons				
				Blank 99	Not stated Not applicable				
05.Job tenure and previous work experience	Elementary characteristics of the last job	STAPROPR	Status in employment in last job or business	1	Self-employed person with employees	(EXISTPR = 2, 3) AND (YEARPR ≠ 9999, Blank) AND (REF-YEAR — YEARPR ≤ 7)	Persons not in employment who have been previously in employment, and left their last job in the current year or previous 7 years	No	Collected
				2	Self-employed person without employees				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				3 4 Blank 9	Employee Family worker (unpaid) Not stated Not applicable				
05.Job tenure and previous work experience	Elementary characteristics of the last job	NA-CEPR2D	Economic activity of the local unit in which person last worked	01-99  Blank 00	NACE code at 2-digit level  Not stated Not applicable	(EXISTPR = 2, 3) AND (YEARPR ≠ 9999, Blank) AND (REF-YEAR — YEARPR ≤ 7)	Persons not in employment who have been previously in employment, and left their last job in the current year or previous 7 years	No	Collected
05.Job tenure and previous work experience	Elementary characteristics of the last job	ISCOPR3D	Occupation in the last job	000-990  Blank 999	ISCO code at 3-digit level  Not stated Not applicable	(EXISTPR = 2, 3) AND (YEARPR ≠ 9999, Blank) AND (REF-YEAR — YEARPR ≤ 7)	Persons not in employment who have been previously in employment, and left their last job in the current year or previous 7 years	No	Collected
06.Working conditions including working hours and working time arrangements	Working hours	CONTRHRS	Contractual working hours in main job	010, 015, 020.. 945, 950  960 970 Blank 999	Number of working hours per week in the contract or agreement (x10)  Has a contract or agreement without specified hours Does not have a contract or agreement Not stated Not applicable	STAPRO = 3	Employees	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
06.Working conditions including working hours and working time arrangements	Working hours	HWUSUAL	Number of hours per week usually worked in main job	010, 015, 020.. 945, 950	Number of hours usually worked in the main job (x10)	EMPSTAT = 1	Persons in employment	Yes	Collected
				970	Hours worked vary from week to week				
				Blank	Not stated				
				999	Not applicable				
06.Working conditions including working hours and working time arrangements	Working hours	ABSHO-LID	Days of absence from main job due to holidays and leave	00, 05, 10..65,70	Number of days of absence (x10)	EMPSTAT = 1	Persons in employment	No	Collected
				Blank	Not stated				
				99	Not applicable				
06.Working conditions including working hours and working time arrangements	Working hours	ABSILLINJ	Days of absence from main job due to own illness, injury or temporary disability	00, 05, 10..65,70	Number of days of absence (x10)	EMPSTAT = 1	Persons in employment	No	Collected
				Blank	Not stated				
				99	Not applicable				
06.Working conditions including working hours and working time arrangements	Working hours	ABS-OTHER	Days of absence from main job due to other reasons	00, 05, 10..65,70	Number of days of absence (x10)	EMPSTAT = 1	Persons in employment	No	Collected
				Blank	Not stated				
				99	Not applicable				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
06.Working conditions including working hours and working time arrangements	Working hours	EX-TRAHRS	Overtime or extra hours worked in main job	000	No overtime or extra hours in the main job	WKSTAT = 1, 3	Persons present at work for at least 1 hour or who worked as a family worker in the reference week	No	Collected
				005, 010, 015, 020.. 945, 950	Number of hours of overtime or extra hours in the main job (x10)				
				Blank	Not stated				
				999	Not applicable				
06.Working conditions including working hours and working time arrangements	Working hours	HWAC-TUAL	Number of hours actually worked in main job	000	Did not work in the main job in the reference week	EMPSTAT = 1	Persons in employment	Yes	Collected
				010, 015, 020.. 945, 950	Number of hours actually worked in the main job (x10)				
				Blank	Not stated				
				999	Not applicable				
06.Working conditions including working hours and working time arrangements	Working hours	HWUSU2J	Number of hours per week usually worked in second job	010, 015, 020.. 945, 950	Number of hours usually worked in the second job (x10)	NUMJOB = 2, 3	Persons in employment with more than one job	No	Collected
				970	Hours worked vary from week to week				
				Blank	Not stated				
				999	Not applicable				
06.Working conditions including working hours and working time arrangements	Working hours	HWAC-TU2J	Number of hours actually worked in second job	000	Did not work in the second job in the reference week	NUMJOB = 2, 3	Persons in employment with more than one job	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				010, 015, 020.. 945, 950 Blank 999	Number of hours actually worked in the second job (x10) Not stated Not applicable				
06.Working conditions including working hours and working time arrangements	Working time arrangements	SHIFTWK	Shift work in main job	1 3 Blank 9	Person usually does shift work Person never does shift work Not stated Not applicable	STAPRO = 3	Employees	No	Collected
06.Working conditions including working hours and working time arrangements	Working time arrangements	EVENWK	Evening work in main job	1 2 3 Blank 9	Person frequently works in the evening Person sometimes works in the evening Person never works in the evening Not stated Not applicable	EMPSTAT = 1	Persons in employment	No	Collected
06.Working conditions including working hours and working time arrangements	Working time arrangements	NIGHTWK	Night work in main job	1 2 3 Blank 9	Person frequently works at night Person sometimes works at night Person never works at night Not stated Not applicable	EMPSTAT = 1	Persons in employment	No	Collected



Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
06.Working conditions including working hours and working time arrangements	Working time arrangements	SATWK	Saturday work in main job	1	Person frequently works on Saturdays	EMPSTAT = 1	Persons in employment	No	Collected
				2	Person sometimes works on Saturdays				
				3	Person never works on Saturdays				
				Blank	Not stated				
				9	Not applicable				
06.Working conditions including working hours and working time arrangements	Working time arrangements	SUNWK	Sunday work in main job	1	Person frequently works on Sundays	EMPSTAT = 1	Persons in employment	No	Collected
				2	Person sometimes works on Sundays				
				3	Person never works on Sundays				
				Blank	Not stated				
				9	Not applicable				
07.Participation in education and training	Participation in formal and non-formal education and training (4 weeks)	EDUCFE-D4	Participation in formal education and training (student or apprentice) in the last 4 weeks	1	Yes (includes students on holidays)	15 <= AGE <= 74	Everybody in the target population aged 15 to 74 years	Yes	Collected
				2	No				
				Blank	Not stated				
				9	Not applicable				
07.Participation in education and training	Participation in formal and non-formal education and training (4 weeks)	EDU-CLEV4	Level of the most recent formal education or training activity in the last 4 weeks	10	ISCED 1 Primary education	EDUCFED4 = 1	Persons who participated in formal education and training (student or apprentice) in the last 4 weeks	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				20	ISCED 2 Lower secondary education				
				34	ISCED 3 Upper secondary education — general				
				35	ISCED 3 Upper secondary education — vocational				
				39	ISCED 3 Upper secondary education — orientation unknown				
				44	ISCED 4 Post-secondary non-tertiary education — general				
				45	ISCED 4 Post-secondary non-tertiary education — vocational				
				49	ISCED 4 Post-secondary non-tertiary education — orientation unknown				
				54	ISCED 5 Short-cycle tertiary education — general				
				55	ISCED 5 Short-cycle tertiary education — vocational				
				59	ISCED 5 Short-cycle tertiary education — orientation unknown				
				60	ISCED 6 Bachelor's or equivalent level				
				70	ISCED 7 Master's or equivalent level				
				80	ISCED 8 Doctoral or equivalent level				
				Blank	Not stated				
				99	Not applicable				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
07.Participation in education and training	Participation in formal and non-formal education and training (4 weeks)	EDUCNF-E4	Participation in non-formal education and training in the last 4 weeks	1	Participating in at least one job-related non-formal education or training activity	15 <= AGE <= 74	Everybody in the target population aged 15 to 74 years	Yes	Collected
				2	Participating only in non-job-related/personal non-formal education or training activities				
				3	Not participating in any non-formal education or training activity				
				Blank	Not stated				
				9	Not applicable				
07.Participation in education and training	Participation in formal and non-formal education and training (12 months)	EDUCFE-D12	Participation in formal education and training (student or apprentice) in the last 12 months	1	Yes	15 <= AGE <= 74	Everybody in the target population aged 15 to 74 years	No	Collected
				2	No				
				Blank	Not stated				
				9	Not applicable				
07.Participation in education and training	Participation in formal and non-formal education and training (12 months)	EDU-CLEV12	Level of the most recent formal education or training activity in the last 12 months	10	ISCED 1 Primary education	EDUCFED12- = 1	Persons who participated in formal education and training (student or apprentice) in the last 12 months	No	Collected
				20	ISCED 2 Lower secondary education				
				34	ISCED 3 Upper secondary education — general				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				35	ISCED 3 Upper secondary education — vocational				
				39	ISCED 3 Upper secondary education — orientation unknown				
				44	ISCED 4 Post-secondary non-tertiary education — general				
				45	ISCED 4 Post-secondary non-tertiary education — vocational				
				49	ISCED 4 Post-secondary non-tertiary education — orientation unknown				
				54	ISCED 5 Short-cycle tertiary education — general				
				55	ISCED 5 Short-cycle tertiary education — vocational				
				59	ISCED 5 Short-cycle tertiary education — orientation unknown				
				60	ISCED 6 Bachelor's or equivalent level				
				70	ISCED 7 Master's or equivalent level				
				80	ISCED 8 Doctoral or equivalent level				
				Blank	Not stated				
				99	Not applicable				
07.Participation in education and training	Participation in formal and non-formal education and training (12 months)	EDUCNF-E12	Participation in non-formal education and training in the last 12 months	1	Participating in at least one job-related non-formal education or training activity	15 <= AGE <= 74	Everybody in the target population aged 15 to 74 years	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				2	Participating only in non-job-related/personal non-formal education or training activities				
				3	Not participating in any non-formal education or training activity				
				Blank	Not stated				
				9	Not applicable				
08.Health: status and disability, access to, availability and use of health care and health determinants	Disability and other elements of Minimum European Health Module	GEN-HEALTH	Self-perceived general health	1	Very good	15 <= AGE <= 89	Everybody in the target population aged 15 to 89 years	No	Collected
				2	Good				
				3	Fair (neither good nor bad)				
				4	Bad				
				5	Very bad				
				Blank	Not stated				
				9	Not applicable				
08.Health: status and disability, access to, availability and use of health care and health determinants	Elements of the Minimum European Health Module	GALI	Limitation in activities because of health problems	1	Severely limited	15 <= AGE <= 89	Everybody in the target population aged 15 to 89 years	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				2 3 Blank 9	Limited but not severely Not limited at all Not stated Not applicable				
09.Income, consumption and elements of wealth, including debts	Income from work	INCGROSS	Gross monthly pay from the main job	0000000-0-9999999-8 Blank 9999999-9	Gross monthly pay from main job (8 digits), including the proportionally part of payments made on a higher than monthly periodicity (National currency) Not stated Not applicable	STAPRO = 3	Employees	Yes	Collected
09.Income, consumption and elements of wealth, including debts	Income from work	INCGROSS_F	Flag on gross monthly pay from main job	11 12 13 14 21	Gross income collected and no imputation for item non-response/inconsistency Gross income collected and imputation for item non-response/inconsistency from the labour force survey (LFS) Gross income collected and imputation for item non-response/inconsistency from an administrative data source Gross income collected and imputation for item non-response/inconsistency from other data source (s) Net-to-gross conversion applied and no imputation (net amount available and no imputation for non-response/inconsistency)	STAPRO = 3	Employees	Yes	Technical

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				22	Imputed net income for item non-response/inconsistency from the LFS and net-to-gross conversion applied (net amount not available and imputation applied for the net value)				
				23	Imputed net income for item non-response/inconsistency from an administrative data source and net-to-gross conversion applied (net amount not available and imputation applied for the net value)				
				24	Imputed net income for item non-response/inconsistency from other data source(s) and net-to-gross conversion applied (net amount not available and imputation applied for the net value)				
				25	Imputed gross income for item non-response/inconsistency (net amount not available and imputation applied directly for the gross value; no net-to-gross conversion applied)				
				Blank	Not stated				
				99	Not applicable				
09.Income, consumption and elements of wealth, including debts	Income from unemployment allowances	REGISTER	Registration at a public employment service (PES)	1	Person is registered at a public employment service and receives benefit or assistance	15 <= AGE <= 74	Everybody in the target population aged 15 to 74 years	No	Collected
				2	Person is registered at a public employment service but does not receive benefit or assistance				
				3	Person is not registered at a public employment service but receives benefit or assistance				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				4	Person is not registered at a public employment service and does not receive benefit or assistance				
				Blank	Not stated				
				9	Not applicable				
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	HATCNTR	Country where the highest level of education was successfully completed	Not blank	Country where the highest level of education was successfully completed (SCL GEO alpha-2 code)	15 <= AGE <= 74	Everybody in the target population aged 15 to 74 years	No	Collected
				FOR	Foreign country but exact country of completion of highest level of education unknown				
				Blank	Not stated				
				998	No formal education or below ISCED 1				
				999	Not applicable				
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	ESTQUAL	Recognition of formal qualifications obtained abroad	01	Has applied, formal qualification partially or fully recognised	HATCNTR ≠ COUNTRY AND 15 <= AGE <= 74	Persons aged 15 to 74 years who obtained their highest formal qualification abroad or in an unknown country	No	Collected
				02	Has applied, but formal qualification not recognised				
				03	Has applied, procedure under way/ outcome still pending				
				04	Has not applied, because not needed				
				05	Has not applied, because not aware of possibilities or procedures				



Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				06	Has not applied, because of costs or complexity of the procedures				
				07	Has not applied, because not possible to apply				
				08	No, for other reasons				
				09	No formal education or below ISCED 1				
				Blank	Not stated				
				99	Not applicable				
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	HATPAR	Educational attainment level of the respondent's parents	1	Low (ISCED 0-2)	15 <= AGE <= 74	Everybody in the target population aged 15 to 74 years	No	Collected
				2	Medium (ISCED 3-4)				
				3	High (ISCED 5-8)				
				Blank	Not stated				
				9	Not applicable				
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	JOBSATISF	Job satisfaction	1	Satisfied to a large extent	EMPSTAT = 1 AND 15 <= AGE <= 74	Persons aged 15 to 74 years in employment	No	Collected
				2	Satisfied to some extent				
				3	Satisfied to a small extent				
				4	Not satisfied at all				
				Blank	Not stated				
				9	Not applicable				

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	SKILLEQ	Skill equivalence new main and old main job	1	Higher now	EMPSTAT = 1 AND COUNTRYB ≠ COUNTRY AND 15 ≤ AGE ≤ 74	First generation immigrants or persons with unknown country of birth, in employment aged 15 to 74 years	No	Collected
				2	Lower now				
				3	Same				
				4	Did not work before migrating				
				Blank	Not stated				
				9	Not applicable				
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	DISCRIMI	Feeling of being discriminated against at work in the current job	1	No	EMPSTAT = 1 AND 15 ≤ AGE ≤ 74	Persons aged 15 to 74 years in employment	No	Collected
				2	Yes, mainly discrimination on the grounds of age				
				3	Yes, mainly discrimination on the grounds of gender				
				4	Yes, mainly discrimination on the grounds of foreign origin				
				5	Yes, mainly discrimination on the grounds of disability				
				6	Yes, mainly on other grounds				
				Blank	Not stated				
				9	Not applicable				
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	JOBOSTA	Main obstacle to getting a suitable job	1	Lack of language skills in host country language(s)	COUNTRYB ≠ COUNTRY AND 15 ≤ AGE ≤ 74	First generation immigrants or persons with unknown country of birth, aged 15 to 74 years	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				2	Lack of recognition of formal qualification obtained abroad				
				3	Restricted right to work because of citizenship or residence permit				
				4	Discrimination on the grounds of foreign origin				
				5	No suitable job available				
				6	Other obstacle				
				7	No obstacles				
				8	Have never looked for work/never worked				
				Blank	Not stated				
				9	Not applicable				
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	DURFIJOB	Time required to find the first paid job in the host country	01	Less than 3 months	COUNTRYB ≠ COUNTRY AND 15 ≤ AGE ≤ 74	First generation immigrants or persons with unknown country of birth, aged 15 to 74 years	No	Collected
				02	From 3 to less than 6 months				
				03	From 6 to less than 12 months				
				04	From 12 months to less than 2 years				
				05	From 2 to less than 3 years				
				06	From 3 to less than 4 years				
				07	4 years or over				
				08	Did not find a job				
				09	Did not look for a job				
				Blank	Not stated				

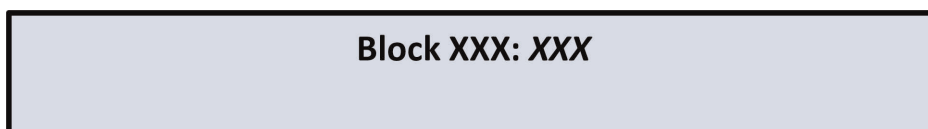
Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				99	Not applicable				
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	PRKNLANG	Skills in the main host country language before migrating	1 2 3 4 5 6 Blank 9	Mother tongue  Advanced (proficient user)  Intermediate (independent user)  Beginner (basic user)  Hardly any or no language skills  Was too young to speak any language at the time of migrating  Not stated  Not applicable	COUNTRYB ≠ COUNTRY AND 15 ≤ AGE ≤ 74	First generation immigrants or persons with unknown country of birth, aged 15 to 74 years	No	Collected
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	LANGHOST	Current skills in the main host country language	1 2 3 4 5 Blank	Mother tongue  Advanced (proficient user)  Intermediate (independent user)  Beginner (basic user)  Hardly any or no language skills  Not stated	COUNTRYB ≠ COUNTRY AND 15 ≤ AGE ≤ 74	First generation immigrants or persons with unknown country of birth, aged 15 to 74 years	No	Collected

Topic	Detailed topic	Variable identifier	Variable name	Codes	Labels	Filter	Filter labels	Minimum set of variables	Variable type
				9	Not applicable				
03b.Labour market participation	Labour market situation of migrants and their immediate descendants	LANG-COUR	Participation in course for the main host country language	1 2 3 4 5 Blank 9	Yes, general language course  Yes, work-specific language course  No, because language courses were not available or affordable  No, because language skills were sufficient  No, for other reasons  Not stated  Not applicable	COUNTRYB ≠ COUNTRY AND 15 ≤ AGE ≤ 74	First generation immigrants or persons with unknown country of birth, aged 15 to 74 years	No	Collected

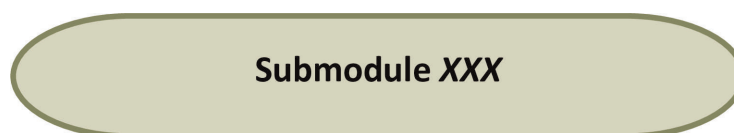
## ANNEX II

**Flowcharts on the order of questions on employment and unemployment in the questionnaire**

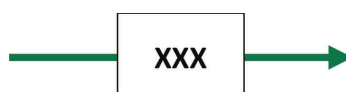
1. The national questionnaire shall be structured according to the following flowcharts to ensure sufficient comparability between countries. The flowcharts only cover the labour status module of the questionnaire, which relates to the questions on labour status according to the International Labour Organization (ILO) definition (employment, unemployment or outside the labour market).
2. The labour status module shall be at the beginning of the national questionnaire, after the questions on the demographic characteristics.
3. Questions on main activity status (self-perceived) shall be collected after the labour status module. The main activity status shall be collected by interview and neither derived from other variables nor imputed from registers.
4. A flowchart is the graphical representation of the information flows through the questions in the questionnaire. It aims to define the information and concepts to be covered but does not impose any constraint in terms of number, translation and wording of the questions. Notes to the flowchart are integral part of the flowchart.
5. The flowcharts will not be amended for at least 5 years after the entry into force of this Regulation.
6. The flowcharts contain different elements which are defined as follows:
  - A block represents a single set of information to be requested in the questionnaire by means of one or more questions. It contains the concepts on which information is to be collected. The concepts covered in one block can be asked in any country-defined order. It appears as a rectangular box:



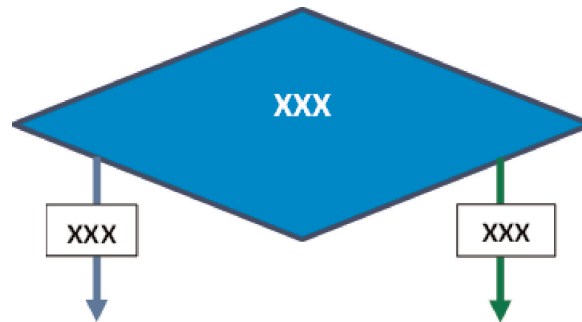
- A submodule represents a set of blocks covering the same matter. It appears as a rectangular box with rounded short sides:



- An outflow represents the actual information collected in the block and the consequent flow towards other blocks. It appears as an arrow and a box:



- A filter represents a set of information based on external data. Its outflows depend in this case on external information. It appears as a rhombus with its outflows:



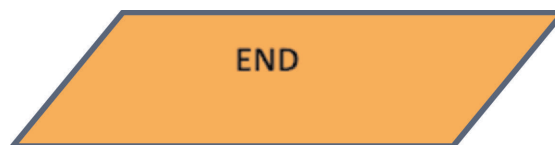
- An intermediate classification represents the intermediate result of the flow according to the ILO definition. It appears as an oval with light background and black text:



- A final classification represents the final result of the flow according to the ILO definition. It appears as an oval with dark background and white text:



- The end represents the end of the flows in the flowchart. It appears as a parallelepiped shaped box:



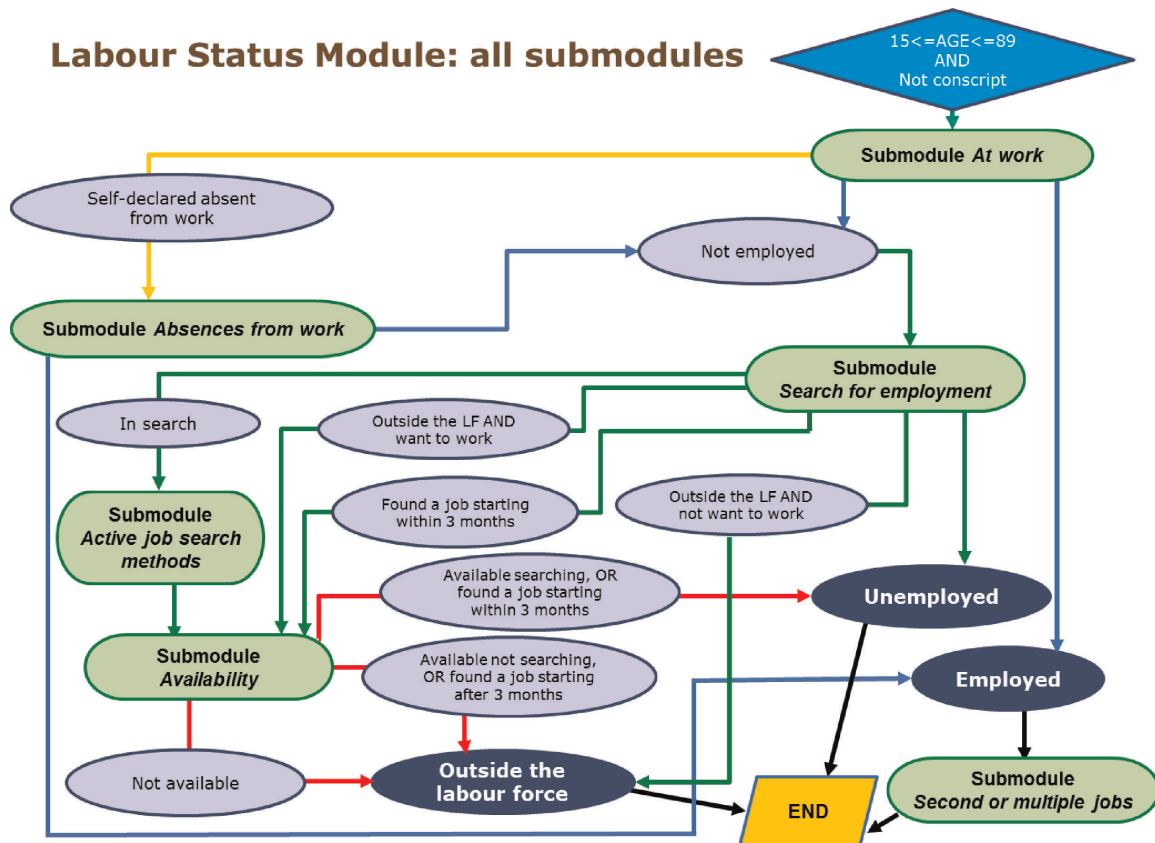
7. In each *block* the number of questions asked to collect the requested information can be customised by Member States. However, the content of the information to be collected cannot be expanded, i.e. questions that are not directly related to the information requested or the concept covered in the concerned *block* cannot be introduced.

8. The box in the *outflow* can contain one or more items, separated by semicolon, having the same end-flow. Every item must be reported in the questionnaire and can be represented by one or several possible answers. However, an item can be dropped if justified by the national context (national law or circumstances). The order of the items in the questionnaire cannot be changed unless specified in the flowchart. No new outflows can be added.

9. Questions can be added at the end of each submodule, between submodules or after a (intermediate or final) classification element, when justified by the national context and when this has no significant impact on the labour status classification according to the ILO definition. By way of exception, questions can be added on small jobs or casual work and on the production of agricultural goods intended for sale or barter, if needed in a given national context to better fulfil the ILO definition of employment.

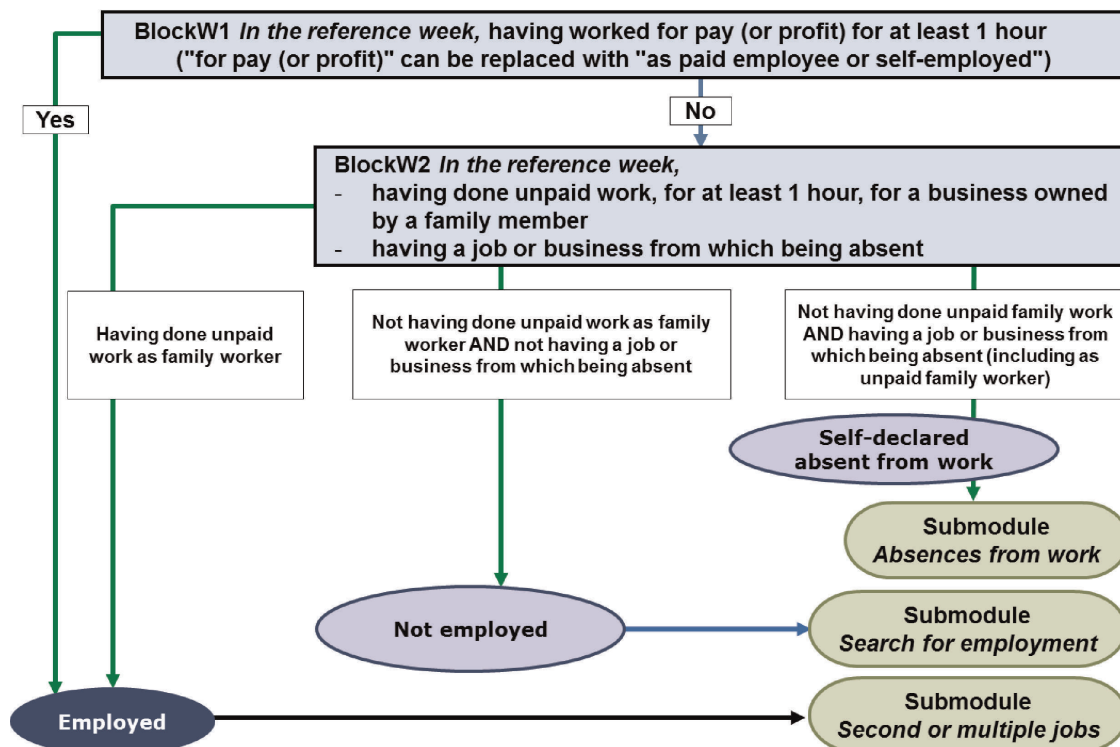
10. The labour status module contains different submodules, each covering a particular strand of labour status according to the ILO definition. The information flows among the submodules are set out in a meta-flowchart that represents the entire labour status module.

11. The meta-flowchart of the labour status module is defined as follows:



12. The flowchart for the submodule 'At work' and its associated notes is defined as follows:

### Submodule 'At work'





Notes:

**BlockW1:**

Work should be understood as any activity undertaken by persons to produce goods or to provide services for use by themselves or others. Work ‘for pay or profit’ refers to work carried out as part of a transaction in exchange for remuneration payable in the form of wages or salaries for time worked or work done, or in the form of profits derived from the goods and services produced through market transactions. The term ‘pay’ covers money or payment in kind, whether taxable or not.

Specifying ‘for pay or profit’ is the preferred option. Nevertheless, if justified in a given national context, alternative wording like ‘as paid employee or self-employed’ can be used as long as it describes the same concept. If the term ‘profit’ is too difficult to translate, asking more than one question can be used as a solution. As the least preferred option, if it is clear in a given national language that the goal is to identify those who worked for remuneration, the term ‘profit’ can be omitted and only the term ‘for pay’ kept.

Member States where Block W1 does not cover all types of jobs, in particular the small jobs or casual work and the production of agricultural goods intended for sale or barter, can include additional questions on these specific types of work.

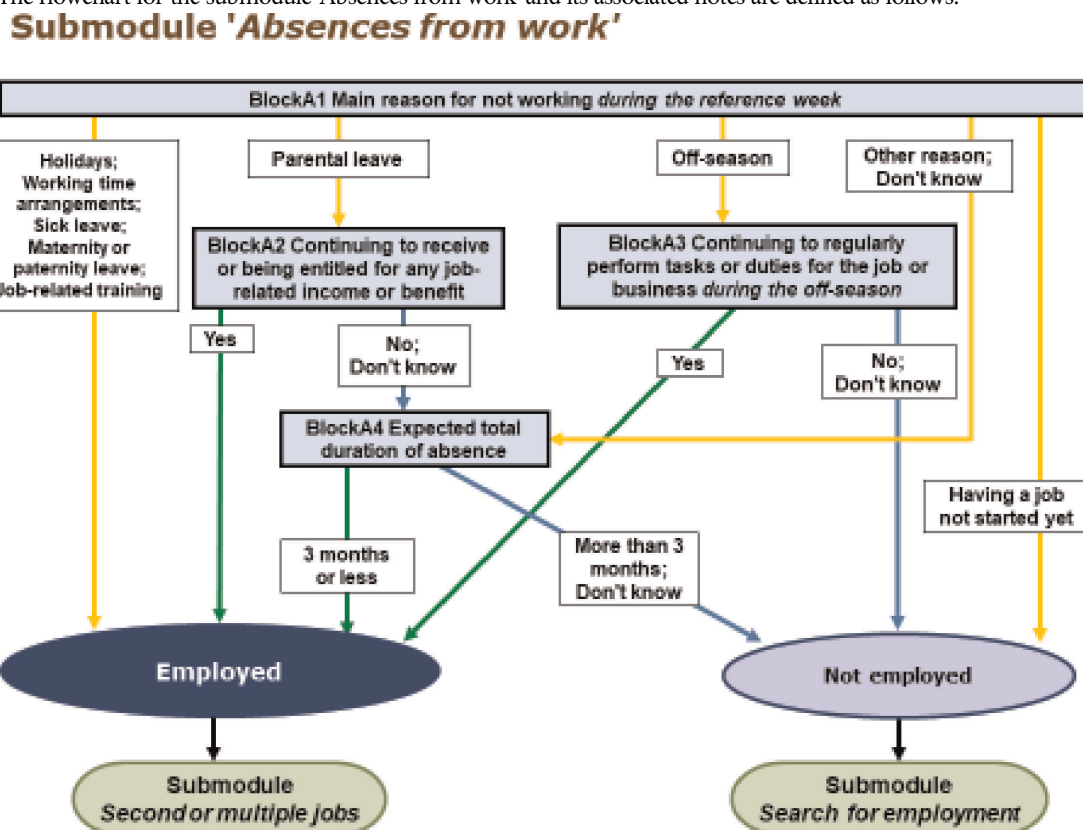
**BlockW2:**

Work undertaken by a family worker is to be understood as being unpaid. If the work for a business owned by a family member is paid, then the outflow from BlockW1 should be ‘yes’.

Each Member State can choose whether it first asks about unpaid work done as family member or about absence. If the question about unpaid work done as family member is asked first, the question about absence is only mandatory for those who answer ‘no’ to this first question.

In contrast, if the question about absence is asked first, it should not only be checked whether those declaring not being absent from work were working as unpaid family workers in the reference week, but also whether those declaring an absence from work were working as such in the reference week. By way of exception, the ‘Absence from work’ submodule can be asked before the question on unpaid work done as family member, if relevant in a given national context. In this situation, the question on unpaid work done as family member will be asked only to all those classified as ‘not employed’ in the ‘Absence from work’ submodule.

- 13. The flowchart for the submodule ‘Absences from work’ and its associated notes are defined as follows:



Notes:

**Block A1:**

'Parental leave' is the interruption of work to bring up or look after a young child. It can be taken either by the mother or by the father. This category covers both persons on statutory parental leave (legal, if existing, or contractual) and the self-employed. In certain national contexts, special leave to take care of a child of young age ('care leave') can also be considered as parental leave.

The respondent should be in employment (employee, self-employed) at the beginning of the period of absence. If the parental leave period directly follows another period of absence, the status (i.e. in employment or not) at the beginning of the overall period should be considered. If the respondent was not in employment at the beginning of the period of leave, the absence cannot be considered as parental leave.

In case of consecutive periods of absence, the main reason of absence during the reference week should be chosen.

'Seasonal work' refers to a job situation where the economic activity (production of goods or provision of services) of the economic unit is completely halted for a recurring and a, more or less, specific period of the year. The interruption of the economic activity should not be related to any particular or exceptional situation (bad weather, lack of customers, etc.) but should relate to standard factors occurring at repeated and long periods of the year. In that sense, seasonal work alternates between a long period of work and a long period of leave, within a given year. In this situation, the period of leave is defined as the off-season period.

'Job-related training' for employees refers to any training where one of the three following statements is true:

- the participation of the employee is required by the employer,
- the training takes place inside normal paid working hours and not during any kind of leave,
- the training is directly connected to the current job, and is paid by the employer or the employee continues receiving a remuneration from the employer.

For the self-employed, job-related training should be connected to their activities.

**Block A2:**

'Any job-related income or benefit' means any income or benefit the respondent would not be entitled to receive if they did not have a job at the moment of starting this period of absence, regardless of their employment status (employee or self-employed). If the person is entitled to receive this income or benefit but has chosen not to receive it, they should be considered as having received it.

The income or benefit can be paid by either the employer or the social security, or both. It includes any compensation of wages (e.g. job-linked parental leave allowance or social security contributions) but excludes benefits that the person would receive even without a job (e.g. family allowances). If the employer continues to pay the social contributions for the person taking parental leave, even if a salary is no longer being paid, the person should be considered as continuing to receive a job-related income or benefit.

Job-related income or benefit may not be proportional to the income received just before the beginning of this period of absence. A flat rate can be considered as a job-related income or benefit as long as the person is entitled to receive it because of the hold job. Consequently, the income or benefit can either be granted at a flat rate or as a percentage of the last salary.

An exception to Article 8 paragraph 2 and Article 9 paragraph 1 of this Regulation is that external information (rather than interview results) can be used as a data source for this Block A2 if the entitlement to a job-related income or benefit can be unambiguously determined.

An important factor to consider is whether the respondent has a guarantee that they can return to their job at the end of their parental leave.

**Block A3:**

If the respondent did not work during the reference week but was regularly engaged in work-related activities or duties (e.g. maintenance, renovation, etc.) during the off-season, they should be considered as being employed. However, administrative tasks are not considered as work-related activities or duties.

**Block A4:**

The 'don't know' option is only proposed in proxy interviews.

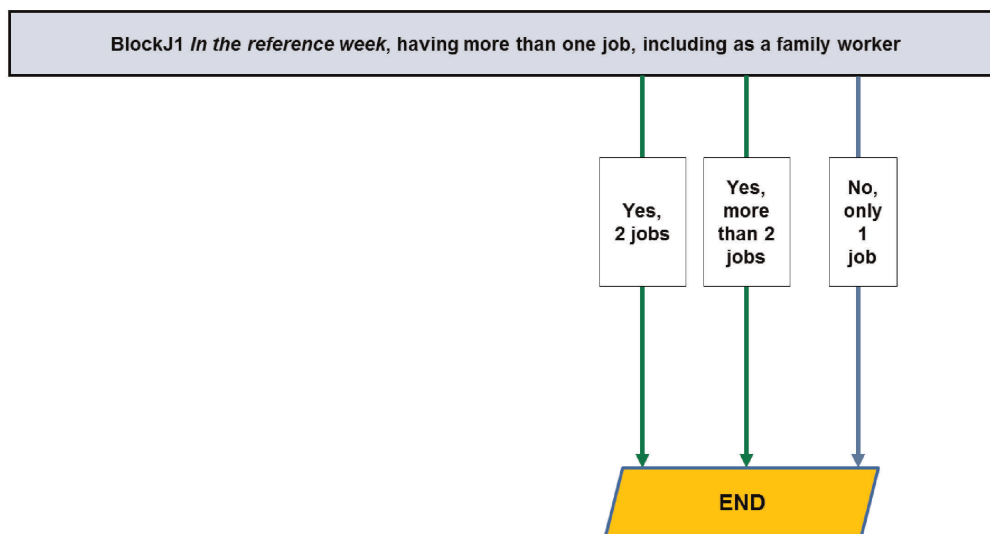
The expected total duration only refers to the main reason for the absence. For example, for individuals on parental leave, the length of the absence should exclude the maternity/paternity leave.

The expected total duration of the absence, as evaluated by the respondent, should be recorded. The respondent may rely on a particular law or agreement, but will generally answer according to their self-perception.

If the respondent does not know if the total duration of their absence is shorter or longer than 3 months, they should consider the time between the beginning of the absence for that reason and the end of the reference week.

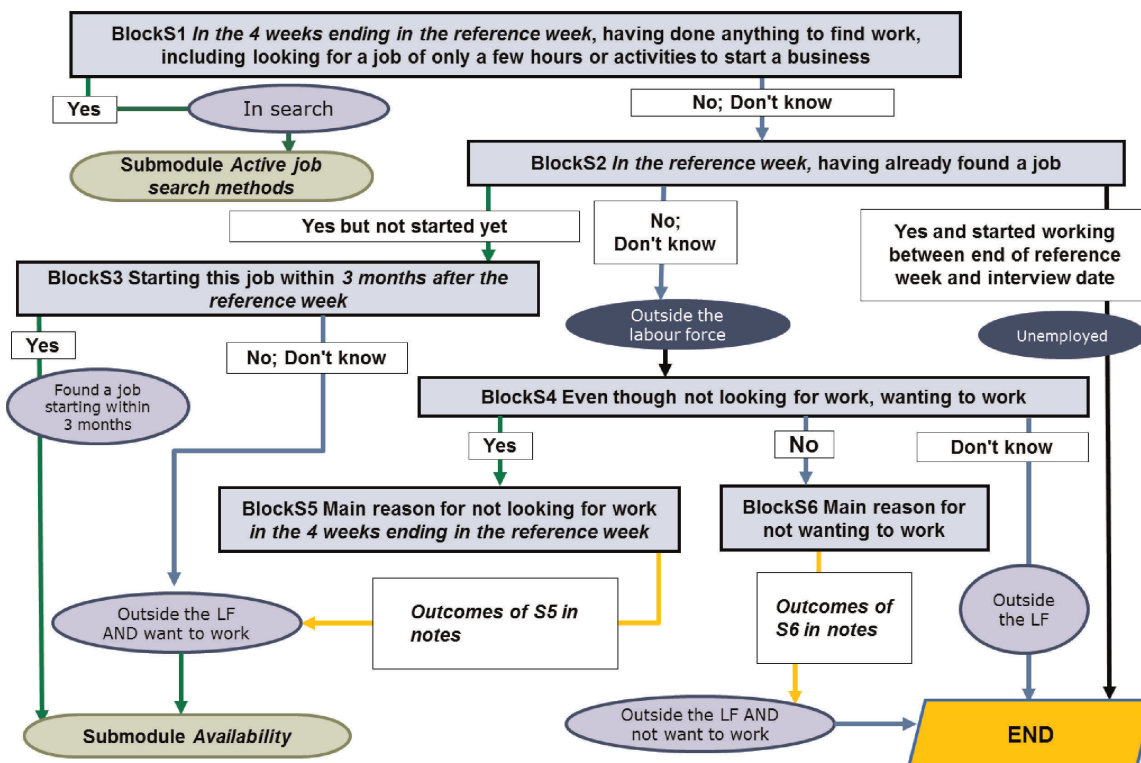
14. The flowchart for the submodule 'Second or multiple jobs' is defined as follows:

**Sub module 'Second or multiple jobs'**



15. The flowchart for the submodule 'Search for employment (for not employed persons)' and its associated notes are defined as follows:

**Submodule 'Search for employment (for not employed persons)'**



Notes:

**Block S1:**

The 'don't know' option is only proposed in proxy interviews.

**Block S2:**

Having already found a job includes seasonal workers who are not at work during the reference week (off-season) but expect to return to their seasonal job at the end of the off-season.

The 'yes and started working between reference week and interview date' option is proposed only if the interview date does not directly follow the reference week.

**Block S4:**

The 'don't know' option is only proposed in proxy interviews.

**Block S5:**

The list of options for the main reason for not looking for work includes:

- no suitable job is available [it must remain in first position];
- education or training;
- own illness or disability;
- care responsibilities;
- other family reasons;
- other personal reasons;
- awaiting recall to work (lay-off) [can be dropped if irrelevant];
- other reasons; or
- don't know.

Apart from first option, the order of possible responses can be decided by country according to national considerations. If the category 'laid off workers' does not exist in a country, the outcome 'awaiting recall to work' can be skipped in the national questionnaire.

'Care responsibilities' is limited to own children, partner children and to ill, elderly or incapacitated relatives. Persons caring for friends, for non-relatives or as volunteers should be classified in 'other personal reasons'.

**Block S6:**

The list of options for the main reason for not wanting to work includes:

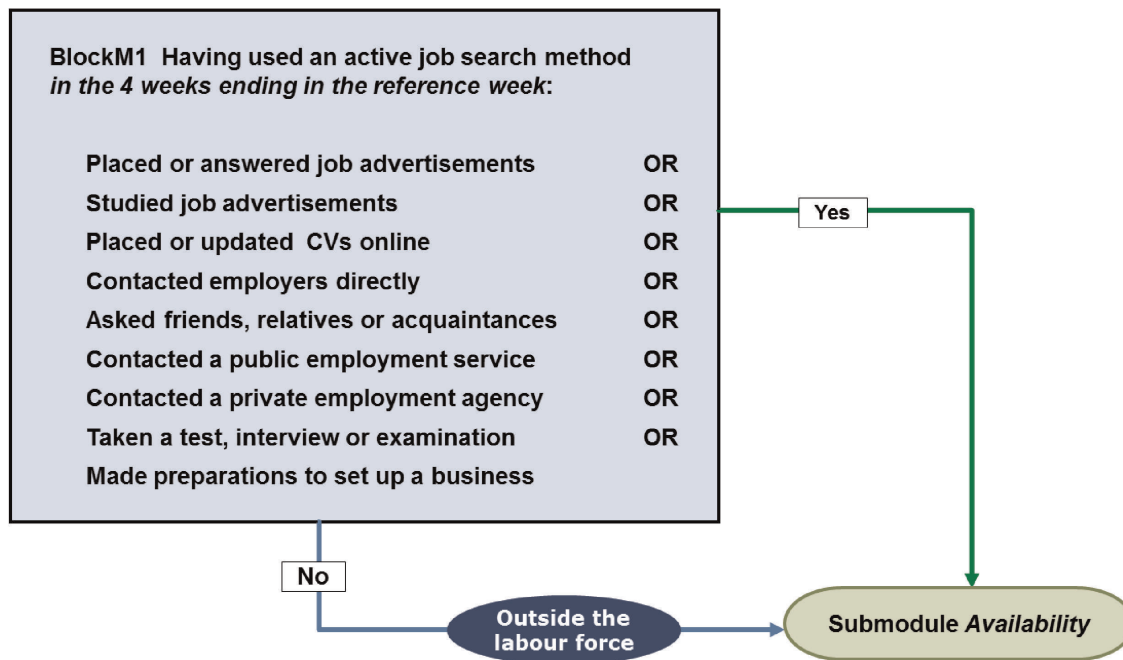
- education or training;
- own illness or disability;
- care responsibilities;
- other family reasons;
- other personal reasons;
- retirement;
- other reasons; or
- don't know.

The order and split of possible responses can be decided by country according to national considerations.

'Care responsibilities' is limited to own children, partner children and to ill, elderly or incapacitated relatives. Persons caring for friends, for non-relatives or as volunteers should be classified in 'other personal reasons'.

16. The flowchart for the submodule 'Active job search methods (for not employed persons)' and its associated notes are defined as follows:

**Submodule 'Active job search methods (for not employed persons)'**



Notes:

**Block M1:**

The order of the questions is flexible. Member States can choose the number of questions in the sense that at the first 'yes' answer this Block M1 can be closed, but if a Member State wishes to go further and collect information on all nine methods it is free to do so. Member States can also split any required information into several questions.

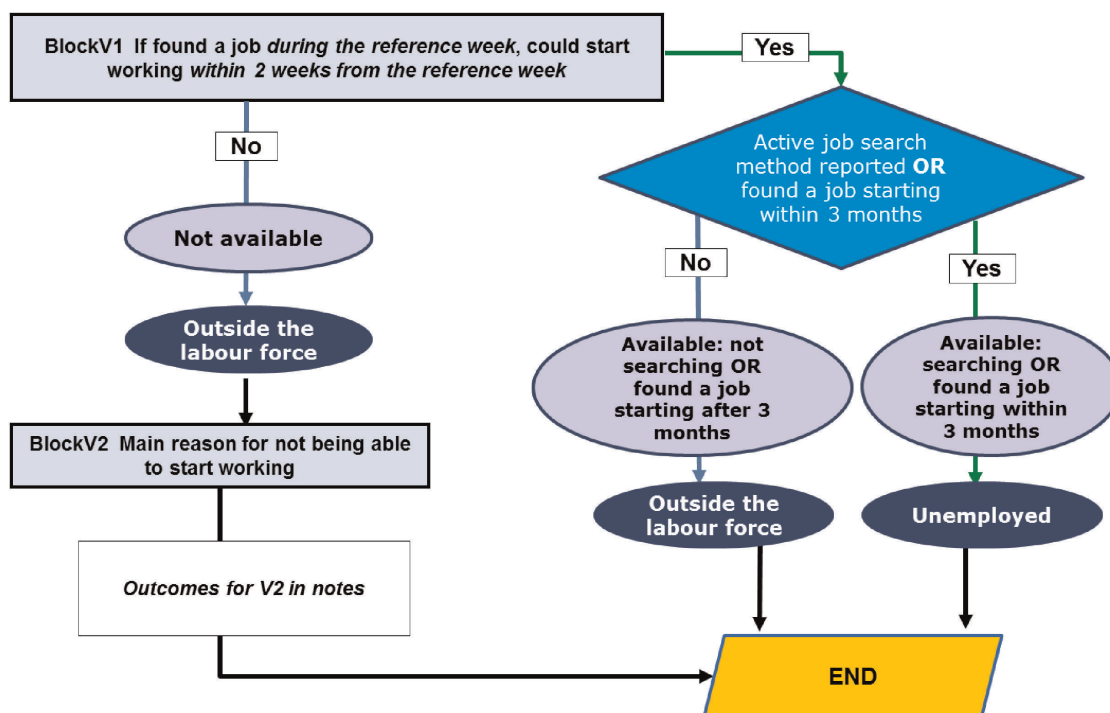
The 'asked friends, relatives or acquaintances' option includes asking about job opportunities, asking for help in creating and updating a CV online or for help to prepare for a test or an interview.

The 'contacted a public employment service' option refers to the respondent's job-search-related contacts, and not applying for unemployment benefits.

The information should be collected through closed questions. There should be no reclassification from open questions.

17. The flowchart for the submodule 'Availability to work (for not employed persons)' and its associated notes are defined as follows:

### Submodule 'Availability to work (for not employed persons)'



Notes:

#### Block V1:

If the respondent already said that they found a job, this Block can be rephrased as 'could start the job within 2 weeks from the reference week'.

#### Block V2:

The list of options for the main reason for not being available to start working within 2 weeks include:

- education or training;
- own illness or disability;
- care responsibilities;
- other family reasons;
- other personal reasons;
- other reasons; or
- don't know.

The order and split of possible responses can be decided by country according to national consideration.

If the respondent already gave an answer in Block S5, that answer can be copied in Block V2. In such a case, the two additional answer categories in Block S5 'no suitable job is available' and 'awaiting recall to work (lay-off)' can be recoded in Block V2 as 'other reasons'.

'Care responsibilities' is limited to own children, partner children and to ill, elderly or incapacitated relatives. Persons caring for friends, for non-relatives or as volunteers should be classified in 'other personal reasons'.

18. To reduce unnecessary burden, simplified rules are defined in the form of minimum required information to be asked by age group:

- People aged 15 to 69 are interviewed using the whole 'labour status module' in all interviews/waves according the applied rotation scheme.
  - People aged 70 to 74 are asked about the whole 'labour status module' in the first interview/wave and in the following interviews/waves as long as they are classified as being in the labour force at the previous interview. People aged 70 to 74 and classified as being outside the labour force at the previous interview can either be re-interviewed or their answers can be copied from the last available interview.
  - People aged 75 to 89 are asked only about the submodules 'At work', 'Absences from work' and 'Second or multiple jobs' in the first interview/wave. From the second interview, people aged 75 to 89 can either be re-interviewed or answers can be copied from the last available interview or from external sources if more relevant in a given national context.
  - Persons who are unable to work due to long-standing health problems are asked about the whole 'labour status module' in the first interview/wave and in the following interviews/waves as long as they are classified as being in the labour force at the previous interview. Persons who are unable to work due to long-standing health problems and are classified as being outside the labour force at the previous interview can either be re-interviewed or their answers can be copied from the last available interview.
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*ANNEX III***Detailed arrangements and content of quality reports**

1. Member States shall transmit, for the labour force domain, quarterly accuracy reports and an annual quality report to the Commission (Eurostat).
  2. The quarterly accuracy reports shall contain basic information on the accuracy and reliability of the survey and describe changes in the basic concepts and definitions that affect the comparability over time. Member States shall transmit quarterly accuracy reports within 2 weeks after the defined quarterly labour force survey (LFS) data transmission deadlines.
  3. The annual quality report shall contain quality-related data and metadata and be transmitted to the Commission (Eurostat) within 3 months after the defined data transmission deadline for other LFS data.
-



**COMMISSION IMPLEMENTING REGULATION (EU) 2019/2241****of 16 December 2019****describing the variables and the length, quality requirements and level of detail of the time series for the transmission of monthly unemployment data pursuant to Regulation (EU) 2019/1700 of the European Parliament and of the Council****(Text with EEA relevance)**

THE EUROPEAN COMMISSION,

Having regard to Regulation (EU) 2019/1700 of the European Parliament and of the Council of 10 October 2019 establishing a common framework for European statistics relating to persons and households, based on data at individual level collected from samples, amending Regulations (EC) No 808/2004, (EC) No 452/2008 and (EC) No 1338/2008 of the European Parliament and of the Council, and repealing Regulation (EC) No 1177/2003 of the European Parliament and of the Council and Council Regulation (EC) No 577/98 <sup>(1)</sup>, and in particular Article 7(3) thereof,

Whereas:

- (1) The provision of monthly unemployment data by Member States plays an essential role in informing the Commission (Eurostat) and thus supporting the Union key priorities for growth and job creation by ensuring the use of timely and comparable data for the formulation and monitoring of the Union's policies targeted to those priorities.
- (2) Monthly unemployment data are used to compile the Monthly Unemployment Rate (MUR), which is one of Principal European Economic Indicators <sup>(2)</sup>.
- (3) The monthly unemployment statistics should be based on internationally agreed concepts as defined by the International Labour Organization (ILO).
- (4) In case Member States do not compile the monthly unemployment statistics in accordance with the ILO definition, they should compile monthly unemployment estimates or transmit monthly unemployment inputs to the Commission (Eurostat) that would compile such monthly estimates on their behalf.
- (5) Monthly unemployment data should measure unemployment at the reference month, after adjusting for possible seasonal effects without excessive smoothing. They should be consistent with the quarterly data collected in the labour force domain under Regulation (EU) 2019/1700.
- (6) Member States should transmit monthly unemployment data according to a precise timetable so that the Commission (Eurostat) can meet the timeliness requirements of the users.
- (7) The quality of monthly unemployment data should be monitored through a set of commonly agreed and uniformly applied indicators.
- (8) The measures provided for in this Regulation are in accordance with the opinion of the European System Statistical Committee,

HAS ADOPTED THIS REGULATION:

*Article 1***Subject matter**

This Regulation establishes the variables, the length, level of detail and quality requirements of monthly unemployment data to be transmitted to the Commission (Eurostat) under Regulation (EU) 2019/1700.

<sup>(1)</sup> OJ L 261 I, 14.10.2019, p. 1.

<sup>(2)</sup> Communication of the Commission to the European Parliament and the Council on eurozone statistics 'Towards improved methodologies for Eurozone statistics and indicators', COM(2002) 661 final.

## Article 2

### Definitions

For the purposes of this Regulation, the following definitions shall apply:

- (1) 'monthly unemployment statistics' means the record of the number of employed and unemployed persons, and the derived 'monthly unemployment rate', in accordance with the ILO definition <sup>(3)</sup> as implemented in the labour force domain under Regulation (EU) 2019/1700;
- (2) 'monthly unemployment rate' means the number of unemployed persons divided by the number of employed and unemployed persons for the reference month;
- (3) 'monthly unemployment inputs' means the record of the number of unemployed persons registered in the Public Employment Services of the Member States;
- (4) 'monthly unemployment estimates' means the combination of quarterly data based on the ILO definition, as implemented in the labour force domain under Regulation (EU) 2019/1700, and monthly registered unemployment;
- (5) 'monthly unemployment data' means the data to be transmitted in accordance with this Regulation, namely monthly unemployment statistics, monthly unemployment inputs or monthly unemployment estimates;
- (6) 'reference month' means the set of weeks assembled in the following way:
  - Each week belonging to a reference quarter, as established for the quarterly data collected in the labour force domain under Regulation (EU) 2019/1700, is allocated to only one of the three reference months belonging to that quarter;
  - Weeks that belong in full to a calendar month are allocated to the corresponding reference month;
  - Weeks that cross over two calendar months are allocated to one of the two corresponding reference months.

## Article 3

### Data requirements

1. Member States shall transmit to the Commission (Eurostat) either monthly unemployment statistics [option 1]; monthly unemployment inputs [option 2] or monthly unemployment estimates [option 3].
2. Data sets containing monthly unemployment statistics shall include monthly statistics that are representative of the entire reference month.
3. Data sets containing monthly unemployment inputs shall include data corresponding to the number of persons that were registered as unemployed at a given period of the calendar month.
4. Registered unemployment figures used in the compilation of monthly unemployment estimates shall correspond to the number of persons that were registered as unemployed at a given period of the calendar month.
5. The names and definitions of the variables to be transmitted shall be those listed in Annex I for monthly unemployment statistics [option 1], in Annex II for monthly unemployment inputs [option 2] and in Annex III for monthly unemployment estimates [option 3].

## Article 4

### Transmission deadlines

1. Monthly unemployment data shall be transmitted each month within the deadlines set in Annex V of Regulation (EU) 2019/1700, as follows:
  - (a) for Member States having chosen option 1, the monthly unemployment statistics shall be transmitted within 27 days after the calendar month;
  - (b) for Member States having chosen option 2, the monthly unemployment inputs shall be transmitted within 25 days after the calendar month;

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<sup>(3)</sup> As adopted on 11 October 2013 by the 19th International Conference of Labour Statisticians in its first resolution concerning statistics of work, employment and labour utilization.

(c) for Member States having chosen option 3, the monthly unemployment estimates shall be transmitted within 25 days after the calendar month.

2. In months where the deadline falls on Saturday or Sunday, the effective deadline shall be the following Monday. Monthly unemployment data for the reference month of November shall be transmitted by 31 December.

Data transmission shall start at the latest for the reference month of May 2021.

#### *Article 5*

##### **Back series**

1. For Member States having chosen to submit monthly unemployment statistics [option 1] or monthly unemployment estimates [option 3]:

- (a) the first transmission for the reference month of May 2021 shall also include monthly unemployment statistics or, respectively, monthly unemployment estimates for the reference months of January to April 2021;
- (b) the transmission for the reference month of April 2022 shall include break-free time series for monthly unemployment statistics or, respectively, monthly unemployment estimates for all reference months back to January 2009 included;
- (c) from the reference month of May 2022 onwards, the transmissions shall include revised series back to January 2009.

2. For Member States having chosen to submit monthly unemployment inputs [option 2], the first transmission for the reference month of May 2021 shall also include monthly unemployment inputs back to January 2009.

#### *Article 6*

##### **Sources and methods**

1. By 19 July 2020 at the latest, Member States having chosen to submit monthly unemployment estimates [option 3], or respectively to submit monthly unemployment inputs [option 2], shall transmit to the Commission (Eurostat) a description of the sources and methods used for the compilation of the monthly unemployment estimates or, respectively, the monthly unemployment inputs.

2. Member States having chosen to submit monthly unemployment statistics [option 1] shall only transmit a description of the methods used for the derivation of the monthly statistics from the quarterly data collected in the labour force domain under Regulation (EU) 2019/1700.

3. Member States shall inform the Commission (Eurostat) two months before any changes to the description provided in accordance with paragraph 1 or 2 are implemented, and transmit the updated documentation no later than six months after the implementation of the changes.

4. Member States shall include, within each monthly transmission, information on possible breaks in the series as well as other special events that may impact comparability over time.

#### *Article 7*

##### **Quality requirements**

1. The Commission (Eurostat) shall monitor the quality of the overall monthly unemployment rate, according to the ILO concept, which is published by Member States (options 1 and 3) or by Eurostat (option 2) as headline indicator.

2. The quality of the overall monthly unemployment rate is monitored, every three years, through the set of indicators and thresholds included in Annex IV.

*Article 8*

**Entry into force**

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 16 December 2019.

*For the Commission*  
*The President*  
Ursula VON DER LEYEN

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## ANNEX I

**Monthly unemployment statistics submitted in accordance with Article 3(1) [option 1]**

Name	Description	Statistical adjustments
NAT_LFS_PRI.Y.F.MON.UNEMP	Number of female persons aged 15 to 24, being unemployed in accordance with the ILO concept during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.Y.F.MON.EMPL	Number of female persons aged 15 to 24, being employed in accordance with the ILO concept during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.Y.M.MON.UNEMP	Number of male persons aged 15 to 24, being unemployed in accordance with the ILO concept during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.Y.M.MON.EMPL	Number of male persons aged 15 to 24, being employed in accordance with the ILO concept during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.O.F.MON.UNEMP	Number of female persons aged 25 to 74, being unemployed in accordance with the ILO concept during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.O.F.MON.EMPL	Number of female persons aged 25 to 74, being employed in accordance with the ILO concept during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.O.M.MON.UNEMP	Number of male persons aged 25 to 74, being unemployed in accordance with the ILO concept during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.O.M.MON.EMPL	Number of male persons aged 25 to 74, being employed in accordance with the ILO concept during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend

## ANNEX II

**Monthly unemployment inputs submitted in accordance with Article 3(1) [option 2]**

Name	Description	Statistical adjustments
PREA.Y.F.MON.UNEMP	Number of female persons aged 15 to 24, being unemployed according to the national register at a given period of the calendar month.	Not seasonally adjusted
PREA.Y.M.MON.UNEMP	Number of male persons aged 15 to 24, being unemployed according to the national register at a given period of the calendar month.	Not seasonally adjusted
PREA.O.F.MON.UNEMP	Number of female persons aged 25 to 74, being unemployed according to the national register at a given period of the calendar month.	Not seasonally adjusted
PREA.O.M.MON.UNEMP	Number of male persons aged 25 to 74, being unemployed according to the national register at a given period of the calendar month.	Not seasonally adjusted

## ANNEX III

**Monthly unemployment estimates submitted in accordance with Article 3(1) [option 3]**

Name	Description	Statistical adjustments
NAT_LFS_PRI.Y.F.MON.UNEMP	Number of female persons aged 15 to 24, being unemployed during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.Y.F.MON.EMPL	Number of female persons aged 15 to 24, being employed during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.Y.M.MON.UNEMP	Number of male persons aged 15 to 24, being unemployed during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.Y.M.MON.EMPL	Number of male persons aged 15 to 24, being employed during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.O.F.MON.UNEMP	Number of female persons aged 25 to 74, being unemployed during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.O.F.MON.EMPL	Number of female persons aged 25 to 74, being employed during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.O.M.MON.UNEMP	Number of male persons aged 25 to 74, being unemployed during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend
NAT_LFS_PRI.O.M.MON.EMPL	Number of male persons aged 25 to 74, being employed during the reference month.	— Not seasonally adjusted — Seasonally adjusted — Trend

## ANNEX IV

**Quality**

The quality of the overall monthly unemployment rate shall be monitored through a set of indicators measuring volatility and revisions, as detailed below.

The indicators shall be calculated over the last 36 monthly observations available starting in May 2022.

Those indicators shall be updated by the Commission (Eurostat) every three years.

**Volatility**

- The correlation of month-on-month changes, calculated before rounding, as the Pearson's correlation coefficient between the series of the month-on-month changes and the same series lagged by one month (i.e. first order autocorrelation coefficient). That indicator should remain within the  $[-0,30; 0,75]$  interval.
- The frequency of double large reversals calculated as the proportion of observations preceded by two consecutive large reversals in opposite directions. A large reversal is recorded when the monthly unemployment rate varies by 0,2 percentage point or more. That indicator should remain below 5 %.

**Revisions**

- The frequency of very large revisions in levels calculated as the proportion of monthly observations in levels that are revised by more than 0,3 percentage point between the first estimate and the revised data that are released six months later. The 'level' corresponds to the value of the MUR at a given reference month. This indicator should remain below 10 %.
  - The frequency of large revisions in month-on-month changes calculated as the proportion of observations with month-on-month changes that are revised by 0,2 percentage point or more between the first estimate and the revised data that are released six months later. This indicator should remain below 10 %.
-



**COMMISSION IMPLEMENTING REGULATION (EU) 2019/2242**  
**of 16 December 2019**

**specifying the technical items of data sets, establishing the technical formats and specifying the detailed arrangements and content of the quality reports on the organisation of a sample survey in the income and living conditions domain pursuant to Regulation (EU) 2019/1700 of the European Parliament and of the Council**

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) 2019/1700 of the European Parliament and of the Council of 10 October 2019 establishing a common framework for European statistics relating to persons and households, based on data at individual level collected from samples, amending Regulations (EC) No 808/2004, (EC) No 452/2008 and (EC) No 1338/2008 of the European Parliament and of the Council, and repealing Regulation (EC) No 1177/2003 of the European Parliament and of the Council and Council Regulation (EC) No 577/98 <sup>(1)</sup>, and in particular Article 7(1), Article 8(3) and Article 13(6) thereof,

Whereas:

- (1) In order to ensure the accurate implementation of the sample survey as regards the income and living conditions domain, EU-SILC, the Commission should specify the technical items of the data set, the technical formats for transmission of information and the detailed arrangements and content of the quality reports.
- (2) The EU-SILC survey is a key instrument for providing information required by the European Semester and the European Pillar of Social Rights, in particular for income distribution, poverty and social exclusion, as well as various related living conditions and poverty EU policies, such as on child poverty, access to health care and other services, housing, over indebtedness and quality of life. It is also the main source of data for microsimulation purposes and flash estimates of income distribution and poverty rates.
- (3) The international comparability of national and regional statistics on income and living conditions requires the use of statistical classifications for the territorial units, education, occupation and economic sector that are compatible with the NUTS <sup>(2)</sup>, ISCED <sup>(3)</sup>, ISCO <sup>(4)</sup> and NACE <sup>(5)</sup> classifications. The recommendations made by the United Nations in the Canberra Group Handbook on Household Income Statistics should also be taken into account.
- (4) The measures provided for in this Regulation are in accordance with the opinion of the European Statistical System Committee,

HAS ADOPTED THIS REGULATION:

*Article 1*

**Subject matter**

This Regulation specifies the technical items of the data set, the technical formats for the transmission of information from Member States to the Commission (Eurostat) and the arrangements for transmission and the content of the quality reports in the income and living conditions domain (EU-SILC).

<sup>(1)</sup> OJ L 261 I, 14.10.2019, p. 1.

<sup>(2)</sup> Regulation (EC) No 1059/2003 of the European Parliament and of the Council of 26 May 2003 on the establishment of a common classification of territorial units for statistics (NUTS) (OJ L 154, 21.6.2003, p. 1).

<sup>(3)</sup> International Standard Classification of Education 2011, <http://uis.unesco.org/sites/default/files/documents/international-standard-classification-of-education-isced-2011-en.pdf> (available in English and French).

<sup>(4)</sup> Commission Recommendation of 29 October 2009 on the use of the International Standard Classification of Occupations (ISCO-08) (OJ L 292, 10.11.2009, p. 31).

<sup>(5)</sup> Regulation (EC) No 1893/2006 of the European Parliament and of the Council of 20 December 2006 establishing the statistical classification of economic activities NACE Revision 2 and amending Council Regulation (EEC) No 3037/90 as well as certain EC Regulations on specific statistical domains (OJ L 393, 30.12.2006, p. 1).

*Article 2***Definitions**

For the purposes of this Regulation, the following definitions apply:

- (1) 'fieldwork period' means the period during which data is collected from respondents;
- (2) 'reference period' means the period to which a particular item of information relates;
- (3) 'cross-sectional' means, in reference to data, those pertaining to a particular time or a given reference period;
- (4) 'longitudinal' means, in reference to data, those pertaining to given subsequent reference periods, observed yearly over a certain length of time with respect to the same observation unit;
- (5) 'sample person' means a member of a private household in the initial sample who is at least 16 years old at the end of the income reference period;
- (6) 'sample household' means a private household containing at least one sample person;
- (7) 'selected respondent model' means a mode of sampling based on individuals where the household to which the selected respondent belongs is the sample household and the selected respondent is the sample person;
- (8) 'age' of a person means the age in completed years at the end of income reference period,
- (9) 'current household member' means a member of a sample household at the time of data collection or compilation;
- (10) 'co-resident' means a current household member other than a sample person;
- (11) 'household split' means a situation where the sample persons living in a sample household at the time of wave  $x$ , live, at the time of wave  $x+1$ , in more than one private household within the national territory included in the target population; when a household split occurs, there will be only one initial household and one or more split-off households;
- (12) 'initial household' means a sample household which has undergone a household split and where any sample person who lived in that household at the time of wave  $x$  still lives at the same address at the time of wave  $x+1$ . If more than one sample person lived in the household at the time of the wave  $x$  and still live in that address at the time of the wave  $x+1$  but in different households, the initial household is the household of the sample person still living at the initial address who has the lowest person number referred to in Annex III. If at the time of wave  $x+1$  no sample person lives at the address of wave  $x$ , then the household of the sample person who had the lowest person number at the time of wave  $x$  is the initial household. If this person is no longer alive or no longer living in a private household within the national territory of the target population, the initial household is the household of the sample person with the next lowest person number. For the selected respondent model 'initial household' means the household of the selected respondent;
- (13) 'split-off household' means a household composed of members of the household that has undergone a household split, other than the initial household;
- (14) 'fusion household' means all sample persons from different sample households from the previous wave who join together to form a new household;
- (15) 'modelling' means generating information that is missing in the data set by making use of substantive relationships with information from outside the data set;
- (16) 'collection unit' means a household or person with certain characteristics to which or whom the information collected pertains;
- (17) 'household respondent' means the person from whom household-level information is obtained;
- (18) 'rotational panel design' means sample selection based on a fixed number of subsamples, each of which is representative of the target population at the time of its selection. Each year, one subsample rotates out and a new one is drawn as a substitute;
- (19) 'wave' means a year in which a subsample is participating in the survey;
- (20) 'panel' means a subsample observed over more than one year.

*Article 3***Statistical concepts and description of variables**

1. Member States shall use the statistical concepts laid down in Annex I.
2. The technical characteristics of variables shall be those laid down in Annex II and refer to:
  - (a) the variable's identifier;

- (b) the variable's name;
  - (c) the modality label and code;
  - (d) the collection unit;
  - (e) the mode of collection;
  - (f) the reference period.
3. The variables for which missing values are not allowed and for which data shall be imputed shall be those identified in the Annex II.
  4. All household and personal data shall be linkable for the whole duration of the panel, both for cross-sectional and for longitudinal information.

#### *Article 4*

##### **Characteristics of the statistical populations and observation units and the rules on respondents**

1. The target population in the income and living conditions domain shall be private households and all persons composing these households in the territory of the Member State.
2. Information at household and person level shall be collected or compiled for all household members, including all sample persons and co-residents as specified in Annex II. In the selected respondent model, data shall be collected through individual interviews only from selected respondents aged 16 or over. For a sample person, starting from the second wave, information shall be obtained on whether that person remained at the same address or moved to a different address from one year to the next, as well as on the new contact information in case of change.
3. Information on household members from the previous wave who are no longer household members shall be collected to establish whether those persons have died or whether they have moved abroad or to an institution or to a different address within the national territory.
4. A household shall be included for the collection or compilation of detailed information if it contains at least one sample person.
5. In both the initial and the split-off household, the full information required for current household members and the full information at household level shall be collected or compiled.
6. At least three attempts shall be made to contact a household or person before it is considered that it, he or she is non-responding, unless there are conclusive reasons why this cannot be done (such as a definite refusal to cooperate or circumstances endangering the interviewer's safety).
7. Where proxy interviews are allowed, the proxy rate shall be kept as limited as possible with regard to:
  - (a) the income personal variables;
  - (b) any variables required for at least one household member aged 16 and over.

If a proxy interview is conducted, the identification of the person who has provided the information shall be recorded.

8. Detailed characteristics of the identification of households and persons shall be those set out in Annex III.

#### *Article 5*

##### **Reference periods**

1. The income reference period shall be a 12-month period, such as the previous calendar or tax year.
2. The reference periods for variables not referring to income shall be those specified in Annex II.

#### *Article 6*

##### **Detailed sample characteristics**

1. Only Member States that used the selected respondent model before 19 January 2020 shall be authorised to continue using the method over the following years.

2. Controlled substitutions of sample households or persons may be allowed only in the first year of each panel, if the response rate falls below 60 % and one of the following situations arises:
  - (a) the sample household or person is not contacted because it/she/he cannot be located or is inaccessible;
  - (b) the sample household or person is contacted, but the interview is not completed because the household or person refuses to cooperate, the whole household is temporarily away, the household or person is unable to respond or there are circumstances endangering the interviewer's safety.
3. The set of sample households or persons for substitution shall be defined prior to data collection. There shall be no substitution with households or persons not belonging to that set.
4. Procedures shall be followed to ensure that the process of substitution is controlled to the maximum extent possible. Such procedures shall include using a design which ensures that the selected substitutes closely match the households or persons they replace in terms of their significant characteristics.
5. Small parts of a national territory amounting to no more than 2 % of the national population may be excluded from EU-SILC, as may French overseas departments and territories. Both exclusions shall not take place simultaneously.
6. If subpopulations covered by the survey are significantly under-represented in the achieved sample owing to specific non-response or attrition, Member States shall take measures such as oversampling, calibration or applying any relevant method to correct for the resulting bias. The methods used, their impact and any drawbacks shall be explained and assessed in the quality report.

#### *Article 7*

#### **Data gathering periods and methods**

1. For data directly provided by respondents, the fieldwork period shall be as close as possible to the income reference period, to minimise time lag between income and current variables.
2. The interval between the collection or compilation of data concerning a given household or person for successive waves shall be kept as close as possible to 12 months.
3. Data provided directly by respondents shall be collected by computer-assisted methods, including computer-assisted personal interview (CAPI), computer-assisted telephone interview (CATI) and computer-assisted web-interview (CAWI). Justification shall be provided for any exceptions.

#### *Article 8*

#### **Rules on follow-up**

1. Sample persons, co-residents and sample households shall be observed for the purpose of the survey over the duration of the panel in accordance with the rules set out in paragraphs 2 to 7.
2. Sample persons moving to a private household within the national territory covered in the survey shall be followed to the location of the household.
3. Sample persons who are temporarily away from the household but who are still considered members of the household shall be covered by the survey in that household.
4. Sample persons who are no longer members of a private household, or who have moved outside the national territory covered in the survey or to an institution, shall be dropped from the survey.
5. Co-residents living in a household containing at least one sample person shall be followed.
6. Co-residents living in a household not containing any sample person shall be dropped from the survey.
7. A sample household shall be dropped from the survey in the following situations:
  - (a) the household was not enumerated for a single year due to either of the following reasons:
    - (1) the address was impossible to locate;
    - (2) the address was non-residential or unoccupied;
    - (3) there was no information on what happened to the household (the household was lost);
    - (4) the household refused to cooperate;

- (b) the household was not contacted in the first year of the panel or in two consecutive years of the panel due to either of the following reasons:
- (1) it was not possible to access the address;
  - (2) the whole household was temporarily away or unable to respond due to incapacity or illness or for other serious reasons.

#### *Article 9*

### **Common standards for data editing, imputation, weighting and estimation**

1. Imputation, modelling or weighting shall be applied to the data where necessary.
2. Where non-response to income variables at component level results in missing data, appropriate methods of statistical imputation shall be applied.
3. Where any net income variable at component level is collected directly, appropriate methods of statistical imputation or modelling, or both, shall be applied to obtain the required target gross variables and vice versa.
4. Where non-response to an individual questionnaire occurs within a sample household, appropriate statistical methods for statistical weighting or imputation shall be used to estimate the total income of the household.
5. The procedure applied to the data shall preserve the variation in and the correlation between variables. Methods that incorporate 'error components' into the imputed values shall be preferable to those that simply impute a predicted value.
6. Methods which take into account the correlation structure (or other characteristics of the joint distribution of the variables) shall be preferable to the marginal or univariate approach.

#### *Article 10*

### **Formats for transmitting information**

1. Member States shall transmit the data that comply with the characteristics of the variables as specified in Annex II in electronic form to the Commission (Eurostat).
2. Member States shall transmit the data to the Commission (Eurostat) in the form of micro-data files (including appropriate weights), using the statistical data and metadata exchange standard through the single entry point to allow the Commission (Eurostat) to retrieve the data by electronic means. The data must have been fully checked and edited.
3. Member States shall annually transmit all the subsamples belonging to the rotational design of the given year, regardless of their duration. All the subsamples shall be transmitted together.
4. Member States shall provide the metadata necessary for the purpose of microsimulation in accordance with country specificities and in conformity with an appropriate classification for the variables on social benefits, using the exchange standards referred to in paragraph 2.

#### *Article 11*

### **Quality reporting**

1. Member States shall apply quality evaluation criteria and the detailed content of the quality report as laid down in Annex IV.
2. Member States shall transmit the quality-related reference metadata required by this Regulation to the Commission (Eurostat), using the statistical data and metadata exchange standard. They shall send the metadata through the single entry point so that the Commission (Eurostat) can retrieve the data by electronic means.

#### *Article 12*

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 16 December 2019.

*For the Commission*  
*The President*  
Ursula VON DER LEYEN

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## ANNEX I

**Definitions of statistical concepts**

## 1. GROSS INCOME COMPONENTS

1.1. **Employee income**

Employee income is defined as the total remuneration, in cash or in kind, payable by an employer to an employee in return for work done by the latter during the income reference period.

Employee income is broken down into:

- (1) Gross cash or near-cash employee income (PY010G);
- (2) Gross non-cash employee income (PY020G);
- (3) Employers' social insurance contributions (PY030G).

1.1.1. *Gross cash or near-cash employee income (PY010G)*

It refers to the monetary component of the compensation of employees in cash payable by an employer to an employee. It includes the value of any social contributions and income taxes payable by an employee or by the employer on behalf of the employee to social insurance schemes or tax authorities.

Gross cash or near-cash employee income includes the followings items:

- wages and salaries paid in cash for time worked or work done in main and any secondary or casual job(s),
- remuneration for time not worked (e.g. holiday payments),
- higher rates of pay for overtime,
- fees paid to directors of incorporated enterprises,
- piece rate payments,
- payments for fostering children,
- commissions, tips and gratuities,
- supplementary payments (e.g. 13th month payment),
- profit-sharing and bonuses paid in cash,
- additional payments based on productivity,
- allowances paid for working in remote locations (regarded as part of the conditions of the job),
- allowances for transport to or from work,
- additional payments made by employers to their employees or former employees and other eligible persons to supplement the sick, disability, maternity leave or survivors' pay entitlement from social insurance schemes, where such payments cannot be separately and clearly identified as social benefits,
- payments made by employers to an employee in lieu of wages and salaries through a social insurance scheme when that employee is unable to work through sickness, disability or maternity leave where such payment cannot be separately and clearly identified as social benefits,
- cash subsidies received from the employer for dwelling costs.

Gross cash or near-cash employee income does not include:

- payments made by an employer to reimburse work-related expenses (e.g. business travel),
- severance and termination pay to compensate employees for employment ending before the employee has reached the normal retirement age for that job, and redundancy payments (they are included under 'Unemployment benefits' (PY090G)),
- allowances for purely work-related expenses such as those for travel and subsistence or for protective clothes,
- lump-sum payments at the normal retirement date (included under 'Old age benefits' (PY100G)),

- union strike pay,
- employers' social insurance contributions.

#### 1.1.2. *Gross non-cash employee income (PY020G)*

It refers to the non-monetary income components which an employer may provide free of charge or at a reduced price to an employee as part of the employment package. (If any goods or services are provided for both private and work use, then private use, as a proportion of total use, must be estimated and applied to the total value).

Gross non-cash employee income includes:

- a company car and associated costs (e.g. free fuel, car insurance, taxes and duties, as applicable) provided either for private use or for both private and work use (PY021G),
- free or subsidised meals, luncheon vouchers,
- reimbursement or payment of housing-related expenses (e.g. gas, electricity, water, telephone or mobile telephone bills),
- accommodation provided free or at reduced rent to an employee as the household's main or secondary residence,
- other goods and services provided free or at a reduced price by employers to their employees, if they are a significant component of income at national level or they constitute a significant component of the income of particular groups of households.

The value of goods and services provided free of charge shall be calculated according to their market value. The value of goods and services provided at a reduced price shall be calculated as the difference between the market value and the amount paid by the employee.

Gross non-cash employee income does not include:

- the cost to the employer of providing any of these goods and services if they are necessary to enable employees to do their work,
- accommodation services at a place of work which cannot be used by the households to which the employees belong,
- allowances paid to employees for the purchase of tools, equipment, clothes, etc. needed exclusively or primarily for their work,
- special meals or drinks necessitated by exceptional working conditions,
- any goods or services provided to employees at the place of work or required because of the nature of their work (e.g. a medical examination required for work).

#### 1.1.3. *Employers' social insurance mandatory/legal contributions (PY030G)*

Employers' contributions means payments made, during the income reference period, by employers for the benefit of their employees to insurers (social security funds and private funded schemes). The term covers statutory, conventional or contractual contributions to provide insurance against social risks. Traditionally, they cover statutory old age pension schemes, statutory health insurance and unemployment benefit. Employers' contributions are paid for most people in employment and can be deducted from the wages they receive, in accordance with published rules.

The variable includes:

- employers' contributions to government insurance (social security) schemes (including payroll taxes levied for social insurance purposes),
- employers' contributions to private retirement (pension) plans that are a component of a defined insurance system in a country (e.g. second pension insurance pillar),
- statutory employers' contributions to other private retirement (pension) plans,
- statutory or conventional employers' contributions to private health insurance,



- statutory or conventional employers' contributions to life insurance,
- statutory or conventional employers' contributions to other employer insurance schemes (e.g. disability).

The variable does not include voluntary contributions by employers.

## 1.2. Self-employment income

Self-employment income means income received, during the income reference period, by individuals, for themselves or in respect of their family members, as a result of being or having been self-employed. Self-employment refers to jobs in which remuneration depends directly on the profits (or the potential for profits) derived from the goods and services produced (with own consumption considered as part of profits). A self-employed person makes the operational decisions affecting his or her enterprise, or delegates such decisions while retaining responsibility for the welfare of the enterprise. (In this context, 'enterprise' includes one-person operations). Remuneration for engaging in a hobby is regarded as an instance of self-employment.

If the income collected or compiled corresponds to a time period earlier than the reference period, basic adjustments shall be applied to update the data to the income reference period.

Self-employment income is broken down into:

- (1) Gross cash profits or losses from self-employment (including royalties) (PY050G);
- (2) Value of goods produced for own consumption (HY170G).

### 1.2.1. Gross cash profits or losses from self-employment (including royalties) (PY050G)

That includes:

- net operating profit or loss accruing to working owners of, or partners in, an unincorporated enterprise, less interest on business loans,
- royalties earned on writing, inventions and so on, not included in the profit/loss of unincorporated enterprises,
- rentals from business buildings, vehicles, equipment, etc., not included in the profit/loss of unincorporated enterprises, after deduction of related costs such as interest on associated loans, repairs and maintenance and insurance charges.

It does not include:

- directors' fees earned by owners of incorporated enterprises (which are included under 'Gross cash or near cash employee income' (PY010G)),
- dividends paid by incorporated enterprises (which are included under 'Interest, dividends, profits from capital investment in an unincorporated business' (HY090G)),
- profits from capital invested in an unincorporated enterprise in which the person does not work ('sleeping partners') (these profits are included under 'Interest, dividends, profits from capital investment in an unincorporated business' (HY090G)),
- rent from land and receipts from boarders or lodgers (which are included under 'Income from rental of a property or land' (HY040G)),
- rentals from dwellings not included in the profit/loss of unincorporated enterprises (which are included under 'Income from rental of a property or land' (HY040G)).

Income from self-employment shall be calculated as:

- market output (gross revenue to turnover, including the value of goods produced by the enterprise but consumed by the self-employed person or his/her household),
- plus the market value of goods and services bought for the unincorporated enterprise but consumed by the entrepreneur and his/her household members,
- plus property income received in connection with financial and other assets belonging to the enterprise,
- minus intermediate consumption (raw material costs, costs of sales, distribution costs, maintenance costs, administrative expenses, etc.),

- minus compensation of employees (wages, salaries and social security contributions for employees),
- minus taxes on production and import taxes,
- minus interest paid on business loans,
- minus rents paid on land and other non-produced tangible assets rented by the enterprise,
- minus consumption of fixed capital,
- plus subsidies.

In practice if the self-employed person or business prepares annual accounts for tax purposes, the gross income profits/losses shall be calculated as net operating profits/losses shown on this tax account for the most recent 12-month period, before deduction of taxes on income and compulsory social insurance contributions.

In the absence of annual accounts, either for tax purposes or as a business account, the alternative approach to measuring self-employment income shall be to collect the amount of money (and goods) drawn out of the business for personal use (for consumption or saving, including the market value of goods produced or purchased by the business but taken for personal use).

#### 1.2.2. *Value of goods produced for own consumption (HY170G)*

The value of goods produced for own consumption refers to the value of food and beverages produced and consumed within the same household.

The value of goods produced for own consumption shall be calculated as the market value of goods produced deducting any expenses incurred in the production.

The value of food and beverages shall be included if they are a significant component of income at national level or if they constitute a significant component of the income of particular groups of households.

This value does not include:

- the value of household services,
- any production for sale and any withdrawals from a business by a self-employed person (these values are included under 'Gross income profits or losses from self-employment' (including royalties) (PY050G)).

### 1.3. **Property income**

Property income means income received minus expenses accruing, during the income reference period, by the owner of a financial asset or a tangible non-produced asset (land) in return for providing funds to or putting the tangible non-produced asset at the disposal of another institutional unit.

Property income is broken down into:

- (1) Interest, dividends, profits from capital investment in an unincorporated business (HY090G);
- (2) Income from rental of a property or land (HY040G);
- (3) Pensions received from individual private plans (other than those covered under the European system of integrated social protection statistics (ESSPROS)) (PY080).

#### 1.3.1. *Interest, dividends, profits from capital investment in an unincorporated business (HY090G)*

Interest (not included in the profit/loss of an unincorporated enterprise), dividends and profits from capital investment in an unincorporated business refer to the amount of interest from assets such as bank accounts, certificates of deposit, bonds, etc., dividends and profits from capital investment in an unincorporated business in which the person does not work, received during the income reference period, minus expenses incurred.

#### 1.3.2. *Income from rental of a property or land (HY040G)*

Income from rental of a property or land refers to the income received, during the income reference period, from renting a property (for example renting a dwelling not included in the profit/loss of unincorporated enterprises, receipts from boarders or lodgers or rent from land) after deducting costs such as mortgage interest repayments, minor repairs, maintenance, insurance and other charges.

1.3.3. *Pensions received from individual private plans (other than those covered under ESSPROS) (PY080).*

Regular pensions from private plans (other than those covered under ESSPROS) refer to pensions and annuities received, during the income reference period, in the form of interest or dividend income from individual private insurance plans, i.e. fully organised schemes where contributions are at the contributor's discretion, independently of his or her employers or government.

The term includes:

- Old age, survivors, sickness, disability and unemployment pensions received as interest or dividends from individual insurance private plans.

It does not include:

- Pensions from mandatory government schemes,
- Pensions from mandatory employer-based schemes.

1.4. **Current transfers received**

1.4.1. *Social benefits*

Social benefits <sup>(1)</sup> are defined as current transfers received by households during the income reference period <sup>(2)</sup> and intended to relieve them of the financial burden of certain risks or needs, made through collectively organised schemes, or outside such schemes by government units and non-profit institutions serving households.

Social benefits include the value of any social contributions and income tax payable on the benefits by the beneficiary to social insurance schemes or to tax authorities.

To be classed as a social benefit, a transfer must meet one of two criteria:

- coverage is compulsory (under a law, regulation or collective bargaining agreement) for the group in question,
- it is based on the principle of social solidarity (i.e. if it is an insurance-based pension, the premium and entitlements are not proportional to the individual exposure to risk of the people protected).

Social benefits are broken down into:

- (1) Family/children-related allowances (HY050G);
- (2) Housing allowances (HY070G);
- (3) Unemployment benefits (PY090G);
- (4) Old-age benefits (PY100G);
- (5) Survivors' benefits (PY110G);
- (6) Sickness benefits (PY120G);
- (7) Disability benefits (PY130G);
- (8) Education-related allowances (PY140G);
- (9) Social exclusion allowances/benefits not classified elsewhere (HY060G).

Social benefits are classed as means-tested or non-means-tested benefits, and as contributory or non-contributory.

Contributory schemes are social protection schemes that require the payment of contributions, by the protected persons or by other parties on their behalf, in order to secure individual entitlement to benefits.

Non-contributory schemes are social protection schemes in which eligibility to benefits is not conditional on the payment of contributions by the protected persons or by other parties on their behalf.

Means-tested social benefits are social benefits which are explicitly or implicitly conditional on the beneficiary's income or wealth, or both, falling below a specified level.

Non means-tested social benefits are social benefits which are not conditional on the beneficiary's income or wealth, or both, falling below a specified level.

<sup>(1)</sup> The social benefits included in EU-SILC, with the exception of housing benefits, are restricted to cash benefits.

<sup>(2)</sup> To obtain a more accurate measure of the well-being of the household, the lump-sum benefits received during the income reference period are treated in accordance with Eurostat technical recommendations. In the same way, lump-sum payments received before the income reference period may be taken into account and imputed according to Eurostat recommendations.

Social benefits do not include:

- benefits paid from schemes into which the recipient has made voluntary payments only, independently of his/her employer or government (which are included under 'Pensions from individual private plans (other than those covered under ESSPROS)' (PY080G)).

#### 1.4.1.1. Family/children- related allowance (HY050G)

The family/children function refers to benefits that:

- provide financial support to households for bringing up children,
- provide financial assistance to people supporting relatives other than children.

It includes:

- income maintenance benefit in the event of childbirth: flat-rate or earnings-related payments intended to compensate the parent for loss of earnings due to absence from work in connection with childbirth for the period before or after confinement, or both, or in connection with adoption,
- birth grant: benefits normally paid as a lump sum or by instalments in the case of childbirth or adoption,
- parental leave benefit: benefit paid to either the mother or the father in the event of interruption of work or reduction of working time in order to bring up a child, normally of a young age,
- family or child allowance: periodical payments to a member of a household with dependent children to help with the costs of bringing them up,
- or support paid by government (central or local) if the spouse for some reason does not pay the alimony/or child support. The amount paid by the government should not be recorded in variables HY080 and HY081,
- other cash benefits: benefits paid independently of family allowances to support households and help them meet specific costs, such as costs arising from the specific needs of lone-parent families or families with disabled children. These benefits may be paid periodically or as a lump sum.

The term does not include:

- payments made by employers to an employee in lieu of wages and salaries through a social insurance scheme when the employee is unable to work because of maternity leave, where such payment cannot be separately and clearly identified as social benefits (these payments are included under 'Gross cash or near-cash employee income' (PY010G)),
- additional payments made by employers to an employee to supplement the maternity leave pay entitlement from a social insurance schemes, where such payments cannot be separately and clearly identified as social benefits (these payments are included under 'gross cash or near-cash employee income' (PY010G)).

#### 1.4.1.2. Housing allowances (HY070G)

The housing function refers to interventions by public authorities to help households meet the cost of housing. An essential criterion for defining the scope of a housing allowance is the existence of a qualifying means-test for the benefit.

Housing allowances include:

- rent benefit: a current means-tested transfer granted by a public authority to tenants, temporarily or on a long-term basis, to help with rent costs,
- benefits payable to owner-occupiers: a means-tested transfer by a public authority to owner-occupiers to alleviate their current housing costs: in practice often to help with paying mortgages or interest, or both.

The term does not include:

- social housing policy organised through the fiscal system (i.e. tax benefits),
- all capital transfers (in particular investment grants).

#### 1.4.1.3. Unemployment benefits (PY090G)

Unemployment benefits refer to benefits that replace, in whole or in part, income lost by a worker through the loss of gainful employment; provide a subsistence (or better) income for individuals entering or re-entering the labour market; compensate for loss of earnings owing to partial unemployment; replace in whole or in part income lost by an older worker who retires from gainful employment before the legal retirement age because of job reductions for economic reasons; contribute to the cost of training or retraining people looking for employment; or help unemployed people meet the cost of travelling or relocating to obtain employment.

The term includes:

- full unemployment benefits: benefits compensating for loss of earnings where a person is capable of working and available for work but is unable to find suitable employment, including persons who have not previously been employed,
- partial unemployment benefits: benefits compensating for the loss of wages or salary as a result of formal short-time working arrangements or intermittent work schedules, or both, irrespective of their cause (business recession or slow-down, breakdown of equipment, climatic conditions, accidents and so on), and where the employer/employee relationship continues,
- early retirement for labour-market reasons: periodic payments to older workers who retire before reaching standard retirement age because of unemployment or job reductions caused by economic measures such as the restructuring of an industrial sector or of a business enterprise. These payments normally cease when the beneficiary becomes entitled to an old-age pension,
- vocational training allowance: payments by social security funds or public agencies to targeted groups of people in the labour force who take part in training schemes intended to develop their potential for employment,
- mobility and resettlement: payments by social security funds or public agencies to unemployed persons to encourage them to move to another locality or change their occupation in order to seek or to obtain work,
- severance and termination payments (benefits compensating employees for employment ending before they reach the normal retirement age for the job concerned),
- redundancy compensation: capital sums paid to employees who have been dismissed through no fault of their own by an enterprise that is ceasing or scaling down its activities,
- other cash benefits: other financial assistance, particularly payments to the long-term unemployed.

It does not include:

- family allowances paid for dependent children (included under 'Family/children-related allowances' (HY050G)).

#### 1.4.1.4. Old-age benefits (PY100G)

The old-age function refers to the provision of social protection against the risks linked to old age: loss of income, inadequate income, lack of independence in carrying out daily tasks, reduced participation in social life, and so on.

Old-age benefits cover benefits that provide a replacement income when an elderly person retires from the labour market or that guarantee a certain income when an elderly person has reached a prescribed age.

The term includes:

- old-age pensions: periodic payments intended to maintain the income of the beneficiary after retirement from gainful employment at the standard age or to support old people's income,
- early old-age pensions: periodic payments intended to maintain the income of beneficiaries who retire before the standard age as defined in the relevant scheme or in the scheme of reference. This may occur with or without a reduction in the normal pension,
- partial retirement pensions: periodic payment of a portion of the full retirement pension to older workers who continue to work but reduce their working hours or whose income from a professional activity is below a defined ceiling,
- care allowances: benefit paid to old people who need frequent or constant assistance to help them meet the additional costs of obtaining care that is required to assist them in old age (other than medical care) when the benefit is not a reimbursement of certified expenditure,
- disability cash benefits paid after the standard retirement age,

- lump-sum payments at the normal retirement date,
- other cash benefits: other periodic and lump-sum benefits paid upon retirement or on account of old age, such as capital sums paid to people who do not fully meet the requirements for a periodic retirement pension, or who were members of a scheme designed to provide only capital sums at retirement.

Old-age benefits do not include:

- family allowances for dependent children (included under 'Family/children-related allowances' (HY050G)),
- early retirement benefits paid for labour-market reasons or in the case of reduced capacity to work (they are included under 'Unemployment benefits' (PY090G) or 'Disability benefits' (PY130G) respectively),
- benefits paid to old people who need frequent or constant assistance to help them meet the extra costs of attendance when the benefits are reimbursed against a certified expenditure.

#### 1.4.1.5. Survivors' benefits (PY110G)

Survivors' benefits refer to benefits that provide a temporary or permanent income for people below retirement age after the death of their spouse, partner or next-of-kin, usually when that person was the main breadwinner.

Survivors eligible for benefit may be the spouse or ex-spouse of the deceased person, or his or her children, grandchildren, parents or other relatives. In some cases, the benefit may also be paid to someone outside the family.

A survivors' benefit is normally granted on the basis of a derived right, that is, a right originally belonging to another person whose death is a condition for granting the benefit.

Survivors' benefit includes:

- survivors' pension: periodic payments, even after the standard retirement age, to people whose entitlement derives from their relationship with a deceased person protected by a scheme (widows, widowers, orphans and similar),
- death grant: a single payment to someone whose entitlement derives from their relationship with a deceased person (widows, widowers, orphans and similar),
- other cash benefits: other periodic or lump-sum payments made by virtue of a survivor's derived right.

It does not include:

- family allowances for dependent children (these benefits are included under 'Family/children-related allowance' (HY050G)),
- funeral expenses,
- additional payments made by employers to other eligible persons to supplement the survivors' benefits pay entitlement from a social insurance scheme, where such payments cannot be separately and clearly identified as social benefits (those payments are included under 'Gross cash or near-cash employee income' (PY010G)).

#### 1.4.1.6. Sickness benefits (PY120G)

Sickness benefits refer to cash benefits that replace, in whole or in part, loss of earnings during temporary inability to work owing to sickness or injury.

Sickness benefits include:

- paid sick leave: flat-rate or earnings-related payments intended to compensate the protected person in full or in part for the loss of earnings caused by temporary inability to work owing to sickness or injury. These benefits may be paid by autonomous social protection schemes, but they may also be provided by the employer in the form of the continued payment of wages and salaries during the period of sickness,
- paid leave in the event of the sickness or injury of a dependent child,
- other cash benefits: miscellaneous payments made to protected people in connection with sickness or injury.

The term does not include:

- cash benefits that replace loss of earnings during temporary inability to work as a result of pregnancy (these benefits are included under 'Family/children-related allowance' (HY050G)),
- cash benefits that replace loss of earnings during temporary inability to work as a result of disability (these benefits are included under 'Disability benefits' (PY130G)),
- payments made by employers to an employee in lieu of wages and salaries through a social insurance scheme when the employee is prevented from working through sickness, where such payment can not be separately and clearly identified as a social benefit (these payments are included under 'Gross cash or near-cash employee income' (PY010G)),
- additional payments made by employers to an employee to supplement the sickness leave pay entitlement from a social insurance scheme, where such payments can not be separately and clearly identified as social benefits (those payments are included under 'Gross cash or near-cash employee income' (PY010G)).

#### 1.4.1.7. Disability benefits (PY130G)

Disability benefits refer to benefits that provide an income for people below standard retirement age whose ability to work and earn is impaired by a physical or mental disability, beyond a minimum level laid down by legislation.

Disability is the full or partial inability to engage in economic activity or to lead a normal life, owing to a physical or mental impairment that is likely to be either permanent or to persist beyond a minimum prescribed period.

Disability benefits include:

- disability pension: periodic payment intended to maintain or support the income of someone below standard retirement age who suffers from a disability which impairs his or her ability to work or earn beyond a minimum level laid down by legislation,
- early retirement in the event of reduced ability to work: periodic payments to older workers who retire before reaching standard retirement age as a result of reduced ability to work. These pensions normally cease when the beneficiary becomes entitled to an old-age pension,
- care allowance: benefit paid to disabled people below standard retirement age who need frequent or constant assistance to help them meet the extra costs of attendance (other than medical care). The benefit must not be a reimbursement of certified expenditure,
- economic integration of the disabled: allowances paid to disabled people when they undertake work adapted to their condition, normally in a sheltered workshop, or when they undergo vocational training,
- disability benefits to disabled children in their own right, irrespective of dependency,
- other cash benefits: periodic and lump-sum payments not falling under the above headings, such as occasional income support.

Disability benefits do not include:

- benefits provided to replace in whole or in part earnings during temporary incapacity to work due to sickness or injury (these benefits are included under 'Sickness benefits' (PY120G)),
- family allowances paid to recipients of disability benefits (these benefits are included under 'Family/children related allowances' (HY050G)),
- benefits paid to the surviving dependants of disabled people, such as pensions (these benefits are included under 'Survivors benefits' (PY110G)),
- benefits that are a reimbursement of certified expenditure,
- disability cash benefits paid after the standard retirement age (these benefits are included under 'Old-age benefits' (PY100G)),
- payments made by employers to an employee or former employee in lieu of wages and salaries through a social insurance scheme when that employee or former employee is unable to work as a result of disability, where such payment cannot be separately and clearly identified as social benefits (these payments are included under 'Gross cash or near-cash employee income' (PY010G)),
- additional payments made for employers to an employee or former employee to supplement the disability leave pay entitlement from a social insurance scheme, where such payments cannot be separately and clearly identified as social benefits (those payments are included under 'Gross cash or near-cash employee income' (PY010G)).

#### 1.4.1.8. Education- related allowances (PY140G)

Education allowances refer to grants, scholarships and other help for educational purposes received by students.

#### 1.4.1.9. Social exclusion allowances/benefits not classified elsewhere (HY060G)

Social benefits in the function 'social exclusion not classified elsewhere' refer to the 'socially excluded' or to 'those at risk of social exclusion'. The general target group includes destitute people, migrants, refugees, drug addicts, alcoholics and victims of criminal violence.

The term encompasses:

- income support: periodic payments to people with insufficient resources. Conditions for entitlement may be related not only to personal resources but also to nationality, residence, age, availability for work and family status. Benefits may be of limited or unlimited duration; they may be paid to the individual or to the family and may be provided by central or local government,
- other cash benefits: support for destitute and vulnerable persons to help alleviate poverty or assist in difficult situations. These benefits may be paid by private non-profit organisations.

#### 1.4.2. *Regular inter-household cash transfers received*

Regular inter-household cash transfers received refer to regular monetary amounts received, during the income reference period, from other households or persons.

'Regular' does not imply precise timing and does not require strong periodicity. It can correspond to one of two different timescales:

- it could refer to an annual amount received every year or over several years,
- alternatively, it could refer to periodic (e.g. monthly) receipts over a short period embedded in the income reference period (e.g. six months).

#### 1.4.2.1 Regular inter-household cash transfers received (HY080G)

Regular inter-household cash transfers received refer to regular payments received, even if only once a year, which are available to finance (regular) consumption expenditure.

The term encompasses:

- compulsory alimony and child support,
- voluntary alimony and child support received on a regular basis,
- regular cash support from persons other than household members,
- regular cash support from households in other countries.

It does not include:

- Free or subsidised housing provided by another household (which is included under the concept of Imputed rent),
- Inheritances and other capital transfers, i.e. transfers received from other households which the household does not consider as being wholly available for consumption within the income reference period,
- Gifts and other large, one-off and unexpected cash flows, such as lump sums to buy a car, a house, or the like, or to be saved for long-term consumption (more than one year ahead),
- Alimonies or support paid by government (central or local) if, for some reason, the spouse does not pay alimony/child support. The amount paid by the government should be recorded in the family allowances (variable HY050).



#### 1.4.2.2 Alimonies (HY081G) received

Alimonies include:

- Compulsory alimony and child support;
- Voluntary alimony and child support received on a regular basis.

Alimonies refer to receipts for children and/or former spouse, which can come from within the same country or from another country.

Alimonies exclude:

- Regular cash support (other than alimonies) from persons other than household members;
- Regular cash support (other than alimonies) from households in other countries;
- Free or subsidised housing provided by another household (which is included under the concept of Imputed rent);
- Inheritances and other capital transfers, i.e. transfers received from other households which the household does not consider as being wholly available for consumption within the income reference period;
- Gifts and other large, one-off and unexpected cash flows, like lump sums to buy a car or a house, or to be saved for long term consumption (more than one year ahead);
- Alimonies or support paid by government (central or local) if, for some reason, the spouse does not pay the alimony/child support. The amount paid by the government should be recorded in the family allowances (variable HY050).

#### 1.5. Other income received

##### 1.5.1. *Income received by people aged under 16 (HY110G)*

Income received by people aged under 16 is defined as gross income received by all household members aged under 16 during the income reference period.

It does not include:

- transfers between household members,
- income collected at household level (i.e. variables HY040G, HY050G, HY060G, HY070G, HY080G and HY090G).

#### 1.6. Interest payments

##### 1.6.1. *Interest paid on mortgage (HY100G)*

Interest paid on mortgage refers to the total gross amount, before deducting any tax credit or tax allowance, of mortgage interest on the main household residence during the income reference period.

It does not include:

- any other mortgage payments, either interest or principal, made at the same time, such as mortgage protection insurance or home and contents insurance,
- payments on re-mortgages to obtain money for housing purposes (e.g. repairs, renovations or maintenance) or for non-housing purposes,
- re-payments of the principal or capital sum.

#### 1.7. Current transfers paid

Current transfers paid are broken down into:

- (1) Tax on income and social insurance contributions (HY140G);
- (2) Regular taxes on wealth (HY120G);
- (3) Employers' social insurance contributions (PY030G);
- (4) Regular inter-household cash transfers paid (HY130G).

#### 1.7.1. *Tax on income and social insurance contributions (HY140G)*

Tax on income refers to taxes on income, profits and capital gains. They are assessed on the actual or presumed income of individuals, households or tax units. They include taxes assessed on holdings of property, land or real estate, where these holdings are used as a basis for estimating the income of their owners. Taxes related to pensions received from individual private plans (other than those covered under ESSPROS) should also be taken into account.

Taxes on income include:

- taxes on individual, household or tax-unit income (income from employment, property, entrepreneurship, pensions, etc.), including taxes deducted by employers (pay-as-you-earn taxes), other taxes at source and taxes on the income of owners of unincorporated enterprises paid during the income reference period,
- any tax repayment received during the income reference period relating to tax paid on the income received during the income reference period or previous years. This value should be taken into account as a reduction of taxes paid,
- any interest charged on arrears of taxes due and any fines imposed by taxation authorities.

By way of exception, Member States using data from registers, and other Member States for which this is the most suitable approach, may report taxes on 'income received' in the income reference year, if it only marginally affects comparability.

Taxes on income do not include:

- fees paid for hunting, shooting and fishing rights.

Social insurance contributions refer to employees', self-employed, unemployed, retirement and any other contributions (if applicable) paid during the income reference period to either mandatory government or employer-based social insurance schemes (pension, health, etc.).

#### 1.7.2. *Regular taxes on wealth (HY120G)*

Regular taxes on wealth refer to taxes payable periodically on the ownership or use of land or buildings by owners and current taxes on net wealth and on other assets (jewellery, other external signs of wealth). The regular taxes on wealth to be reported are those paid during the income reference period.

Regular taxes on wealth include:

- regular taxes on the ownership of the household's main dwelling,
- regular taxes on the ownership of other real estates,
- any interest charged on arrears of taxes due and any fines imposed by taxation authorities,
- property taxes paid directly to the taxation authority by tenants.

Regular taxes on wealth do not include:

- intermittent taxes such as inheritance taxes, death duties or taxes on inter vivos gifts,
- taxes assessed on holdings of property, land or real estate when these holdings are used as a basis for estimating the income of their owners (these taxes are included under 'Tax on income and social insurance contributions' (HY140G)),
- taxes on land, buildings or other assets owned or rented by enterprises and used by them for production (these taxes are considered as taxes on production and they are deducted from the market output of selfemployment income to build the component 'Gross cash profits or losses from self-employment' (including royalties) (PY050G)).

1.7.3. *Regular taxes on the ownership of a household's main dwelling (HY121G)*

This term refers to taxes that are payable periodically on the ownership or use of land or buildings where the dwelling is located by the owners of the dwelling. The regular taxes to be reported are those paid during the income reference period.

1.7.4. *Employers' social insurance contributions (PY030G)*

Employers' social insurance contributions are defined in employee income.

1.7.5. *Regular inter-household cash transfers paid*

This term refers to regular monetary amounts paid to other households during the income reference period.

'Regular' does not refer to precise timing and does not require strong periodicity. It can correspond to two different timescales:

- it could refer to an annual amount received every year or over several years,
- or it could refer to periodic (e.g. monthly) receipts over a short period embedded in the income reference period (e.g. six months).

1.7.5.1 *Regular inter-household cash transfers paid (HY130G)*

This term refers to regular monetary amounts paid to other households during the income reference period. They should refer to regular payments, even once a year, out of income.

Regular inter-household transfers paid include:

- compulsory alimony and child support,
- voluntary alimony and child support paid on a regular basis,
- regular cash support to persons other than household members,
- regular cash support to households in other countries.

The term does not include capital transfers and money drawn from savings.

1.7.5.2 *Alimonies paid (HY131G)*

Alimonies refer to payments for children and/or a former spouse, within the same country or to another country.

Alimonies include:

- Compulsory alimony and child support;
- Voluntary alimony and child support paid on a regular basis.

Alimonies exclude:

- Regular cash support (other than alimonies) to persons other than household members;
- Regular cash support (other than alimonies) to households in other countries;
- Capital transfers and money drawn from savings.

2. NET INCOME COMPONENTS

The net income components are derived from the corresponding gross income components after deducting income tax at source and social insurance contributions.

Net components can be provided:

- (1) Net of tax on income at source and social contributions;
- (2) Net of tax on income at source;
- (3) Net of social contributions.

3. TOTAL GROSS AND DISPOSABLE HOUSEHOLD INCOME

3.1. **Total gross household income (HY010) is computed as:**

The sum for all household members of gross personal income components (gross cash or near-cash employee income (PY010G); company car (PY021G), gross cash profits or losses from self-employment (including royalties) (PY050G); pensions received from individual private plans (other than those covered under ESSPROS) (PY080G), unemployment benefits (PY090G); old-age benefits (PY100G); survivors' benefits (PY110G); sickness benefits (PY120G); disability benefits (PY130G) and education-related allowances (PY140G)) plus gross income components at household level (income from rental of a property or land (HY040G); family/children-related allowances (HY050G); social exclusion allowances/benefits not classified elsewhere (HY060G); housing allowances (HY070G); regular inter-household cash transfers received (HY080G); interests, dividends, profit from capital investments in unincorporated business (HY090G); and income received by people aged under 16 (HY110G))

3.2. **Total disposable household income (HY020) shall be computed as:**

The sum for all household members of gross personal income components (gross cash or near-cash employee income (PY010G); company car (PY021G); gross cash profits or losses from self-employment (including royalties) (PY050G); pensions received from individual private plans (other than those covered under ESSPROS) (PY080G), unemployment benefits (PY090G); old-age benefits (PY100G); survivors' benefits (PY110G); sickness benefits (PY120G); disability benefits (PY130G) and education-related allowances (PY140G)) plus gross income components at household level (income from rental of a property or land (HY040G); family/children-related allowances (HY050G); social exclusion allowances/benefits not classified elsewhere (HY060G); housing allowances (HY070G); regular inter-household cash transfers received (HY080G); interests, dividends, profit from capital investments in unincorporated business (HY090G); and income received by people aged under 16 (HY110G)); minus regular taxes on wealth (HY120G); regular inter-household cash transfer paid (HY130G); and tax on income and social insurance contributions (HY140G)).

That means:  $HY020 = HY010 - HY120G - HY130G - HY140G$ .

The variable HY140G includes tax adjustments-repayment/receipt on income, income tax at source and social insurance contributions (if applicable).

3.3. **Total disposable household income, before social transfers other than old-age and survivors' benefits (HY022) is defined as:**

Total disposable income (HY020) minus total net transfers, plus old-age benefits (PY100N) and survivors' benefits (PY110N) (i.e. total disposable income (HY020) minus unemployment benefits (PY090N); sickness benefits (PY120N); disability benefits (PY130N); education-related allowances (PY140N); family/children-related allowances (HY050N); social exclusion allowances/benefits not classified elsewhere (HY060N); and housing allowances (HY070N)).

3.4. **Total disposable household income, before social transfers including old-age and survivors' benefits (HY023), is defined as:**

Total disposable income (HY020) minus total net transfers (unemployment benefits (PY090N); old-age benefits (PY100N); survivors' benefits (PY110N); sickness benefits (PY120N); disability benefits (PY130N); education-related allowances (PY140N); family/children-related allowances (HY050N); social exclusion allowances/benefits not classified elsewhere (HY060N); and housing allowances (HY070N)).

3.5. **Equivalised disposable income is defined as:**

The household's total disposable income divided by its 'equivalent size'.

3.6. **Equivalent size**

Refers to the OECD modified equivalence scale (which gives a weight of 1,0 to the first adult, 0,5 to other persons aged 14 or over who are living in the household and 0,3 to each child aged under 14).

4. OTHER ITEMS NOT CONSIDERED AS PART OF INCOME

4.1. **Contributions to individual private pension plans (PY035G)**

Contributions made to individual private pension plans, during the income reference period, refers to the pensions policies taken out by individual households on their own initiative and for their own benefit, independently of their employers or government and outside any social insurance scheme.

These contributions represent the counterpart to pensions from individual private plans (other than those covered under ESSPROS) (PY080G).

The term includes contributions to individual pension plans related to old age, survivors, sickness, disability and unemployment.

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## ANNEX II

## Technical characteristics of variables

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>DATA COLLECTION INFORMATION</b>						
<b>DB010</b>	<b>Year of the survey</b>	<i>Year</i>	Year of the survey	Household	Frame	Current
<b>DB020</b>	<b>Country of residence</b>	SCL GEO code	Country of residence	Household	Frame	Constant
<b>DB040</b>	<b>Region of residence</b>	<i>NUTS (2 digits)</i>	Region (2 digits)	Household	Frame, register or interviewer	Current
DB040_F	Region of residence ( <i>flag</i> )	1	Filled according to NUTS			
		-1	Missing			
<b>DB050</b>	<b>Stratum</b>	1-99999	Stratum identifier	Household	Frame, register or sample design	At selection
DB050_F	Stratum ( <i>flag</i> )	1	Filled			
		2	Self-representing PSU			
		3	Collapsed stratum due to single PSU (only for households selected in the stratum with the single PSU)			
		-2	Not applicable (no stratification)			
<b>DB060</b>	<b>Primary sampling unit (PSU)</b>	1-99999	Primary sampling unit identifier	Household	Frame, register or sample design	At selection
DB060_F	Primary sampling unit (PSU) ( <i>flag</i> )	1	Rotation is implemented at PSU level (the PSU rotates in and out of the sample)			
		2	Rotation is implemented at SSU or household level (The PSU remains in the sample for the entire duration of EU-SILC)			
		-2	Not applicable (no first or second sampling stage)			
<b>DB062</b>	<b>Secondary sampling unit (SSU)</b>	1-99999	Secondary sampling unit identifier	Household	Frame, register or sample design	At selection

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
DB062_F	Secondary sampling unit (SSU) (flag)	1	Rotation is implemented at PSU level (the PSU rotates in and out of the sample)			
		2	Rotation is implemented at SSU or household level (The PSU remains in the sample for the entire duration of EU-SILC)			
		-2	Not applicable (no first or second sampling stage)			
<b>DB070</b>	<b>Order of selection of PSU</b>	1-99999	Order of selection of PSU	Household	Frame, register or sample design	At selection
DB070_F	Order of selection of PSU (flag)	11	Order on sampling frame is fixed for all EU-SILC survey years and PSUs have an equal probability of selection (within explicit strata)			
		12	Order on sampling frame is fixed for all EU-SILC survey years and PSUs have an unequal probability of selection (within explicit strata)			
		21	Order on sampling frame may change over time and PSUs have an equal probability of selection (within explicit strata)			
		22	Order on sampling frame may change over time and PSUs have an unequal			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
			probability of selection (within explicit strata)			
		-2	Not applicable (no systematic selection)			
<b>DB075</b>	<b>Rotational group</b>	1-9	Rotational group	Household	Derived	Constant
DB075_F	Rotational group ( <i>flag</i> )	1	Filled			
		-2	Not applicable (no rotational design used)			
<b>DB076</b>	<b>Interview wave</b>	1-9	Interview wave	Household	Derived	Current
DB076_F	Interview wave ( <i>flag</i> )	1	Filled			
<b>DB110</b>	<b>Household status</b>	1	Household from previous wave: At the same address as last interview	Household	Interviewer	Current
		2	Household from previous wave: Entire household moved to a private household within the country			
		3	Household no longer in-scope: Entire household moved to a collective household or institution within the country			
		4	Household no longer in-scope: Household moved outside the country			
		5	Household no longer in-scope: Entire household died			
		6	Household no longer in-scope: Household does not contain sample person			
		7	Address non-contacted: Household unable to access (due to for example climatic conditions)			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		8	New household for this wave: Split-off household			
		9	New household for this wave: New address added to the sample this wave or first wave			
		10	Fusion household			
		11	Address non-contacted: Lost household (no information on record on what happened to the household)			
DB110_F	Household status <i>(flag)</i>	1	Filled			
<b>DB120</b>	<b>Contact at address</b>	11	Address/phone contacted	Household	Interviewer	Current
		21	Address/phone non-contacted: not located/not found			
		22	Address/phone non-contacted: unable to access			
		23	Address/phone non-contacted: unexistent/non-residential or not private/unoccupied/not principal residence			
DB120_F	Contact at address <i>(flag)</i>	1	Filled			
		-2	Not applicable (DB110 not equal to 2, 8 or 9)			
<b>DB130</b>	<b>Household questionnaire result</b>	11	Household questionnaire completed	Household	Interviewer	Current
		21	Refusal to cooperate			
		22	Entire household temporarily away for duration of fieldwork			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		23	Household unable to respond (illness, incapacity...)			
		24	Other reason			
DB130_F	Household questionnaire result ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (DB120 not equal to 11 and DB110 not equal to 1)			
<b>DB135</b>	<b>Household interview acceptance</b>	1	Interview accepted for database	Household	Derived	Current
		2	Interview rejected			
DB135_F	Household interview acceptance ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (DB130 not equal to 11)			
<b>RB010</b>	<b>Year of the survey</b>	<i>Year</i>	Year of the survey	All current household members (of any age) and former household members	Frame	Current
<b>RB020</b>	<b>Country of residence</b>	SCL GEO code	Country of residence	All current household members (of any age) and former household members	Frame	Constant
<b>HB010</b>	<b>Year of the survey</b>	<i>Year</i>	Year of the survey	Household	Frame	Current
<b>HB020</b>	<b>Country of residence</b>	SCL GEO code	Country of residence	Household	Frame	Constant
<b>HB040</b>	<b>Day of the household interview</b>	1-31	Day of the household interview	Household	Interviewer	Current
HB040_F	Day of the household interview ( <i>flag</i> )	1	Filled			
		-1	Missing			
<b>HB050</b>	<b>Month of the household interview</b>	1-12	Month of the household interview	Household	Interviewer	Current
HB050_F	Month of the household interview ( <i>flag</i> )	1	Filled			
		-1	Missing			
<b>HB060</b>	<b>Year of the household interview</b>	<i>Year</i>	Year of the household interview	Household	Interviewer	Current

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HB060_F	Year of the household interview <i>(flag)</i>	1	Filled			
<b>HB100</b>	<b>Number of minutes to complete the household questionnaire</b>	1-90	Minutes to complete the household questionnaire	Household	Interviewer	Current
HB100_F	Number of minutes to complete the household questionnaire <i>(flag)</i>	1	Filled			
		-1	Missing			
<b>PB010</b>	<b>Year of the survey</b>	<i>Year</i>	Year of the survey	All current household members aged 16 and over	Frame	Current
<b>PB020</b>	<b>Country of residence</b>	SCL GEO code	Country of residence	All current household members aged 16 and over	Frame	Constant
<b>PB090</b>	<b>Day of the personal interview</b>	1-31	Day of the personal interview	All current household members aged 16 and over or selected respondent (where applies)	Interviewer	Current
PB090_F	Day of the personal interview <i>(flag)</i>	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PB100</b>	<b>Month of the personal interview</b>	1-12	Month of the personal interview	All current household members aged 16 and over or selected respondent (where applies)	Interviewer	Current
PB100_F	Month of the personal interview <i>(flag)</i>	1	Filled			
		-1	Missing			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PB110</b>	<b>Year of the personal interview</b>	<i>Year</i>	Year of the personal interview	All current household members aged 16 and over or selected respondent (where applies)	Interviewer	Current
PB110_F	Year of the personal interview <i>(flag)</i>	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PB120</b>	<b>Number of minutes to complete the personal questionnaire</b>	1-90	Number of minutes to complete the personal questionnaire	All current household members aged 16 and over or selected respondent (where applies)	Interviewer	Current
PB120_F	Number of minutes to complete the personal questionnaire <i>(flag)</i>	1	Filled			
		-1	Missing			
		-2	Not applicable (information only extracted from registers)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>IDENTIFICATION</b>						
<b>DB030</b>	<b>Household ID</b>	<i>ID number</i>	Household ID	Household	Frame or interviewer	Current
<b>RB030</b>	<b>Personal ID</b>	<i>ID number</i>	Personal ID	All current household members (of any age) and former household members	Frame or interviewer	Constant
<b>RB032</b>	<b>Sequential number of the person in the household</b>	<i>Number</i>	Sequential number of the person in the household	All current household members (of any age)	Frame or interviewer	Current
RB032_F	Sequential number of the person in the household <i>(flag)</i>	1	Filled			
		-2	Not applicable (single person household)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>RB040</b>	<b>Current household identification (ID)</b>	<i>ID number</i>	Current household identification (ID)	All current household members (of any age) and former household members	Frame or interviewer	Current
<b>RB100</b>	<b>Sample person or co-resident</b>	1	Sample person	All current household members (of any age)	Frame or interviewer	Constant
		2	Co-resident			
RB100_F	Sample person or co-resident ( <i>flag</i> )	1	Filled			
		-1	Missing			
<b>HB030</b>	<b>Household ID</b>	<i>ID number</i>	Household ID	Household	Frame, register or interviewer	Current
<b>HB070</b>	<b>Personal ID of the person responding to the household questionnaire</b>	<i>ID number</i>	Personal ID of the person responding to the household questionnaire	Household	Interviewer	Current
HB070_F	Personal ID of person responding to the household questionnaire ( <i>flag</i> )	1	Filled			
		-1	Missing			
<b>PB030</b>	<b>Personal ID</b>	<i>ID number</i>	Personal ID	All current household members aged 16 and over	Frame, register or interviewer	Constant
<b>PB265</b>	<b>Personal ID of the person who filled in the individual questionnaire</b>	<i>ID number</i>	Personal ID of the person who filled in the individual questionnaire	All current household members aged 16 and over or selected respondent (where applies)	Interviewer	Current
PB265_F	Personal ID of person who filled in the individual questionnaire ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (PB260 not equal to 2)			
<b>WEIGHTS</b>						
<b>DB080</b>	<b>Household design weight</b>	<i>Weight</i>	Household design weight	Household	Constructed	Current
DB080_F	Household design weight ( <i>flag</i> )	1	Filled			
		-2	Not applicable (not first year in the survey or split off)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>DB090</b>	<b>Household cross-sectional weight</b>	<i>Weight</i>	Household cross-sectional weight	Household	Constructed	Current
DB090_F	Household cross-sectional weight ( <i>flag</i> )	1	Filled			
		-7	Not applicable (DB010 not equal to 'last year of operation')			
<b>DB095</b>	<b>Household longitudinal weight</b>	<i>Weight</i>	Household longitudinal weight	Household	Constructed	Current
DB095_F	Household longitudinal weight ( <i>flag</i> )	1	Filled			
		-2	Not applicable (DB110 equal 9 and DB010 equal 'last year of operation')			
<b>RB050</b>	<b>Personal cross-sectional weight</b>	<i>Weight</i>	Personal cross-sectional weight	All current household members (of any age)	Constructed	Current
RB050_F	Personal cross-sectional weight ( <i>flag</i> )	1	Filled			
		-7	Not applicable (RB010 not equal to 'last year of operation')			
<b>RB060</b>	<b>Personal base weight</b>	<i>Weight</i>	Personal base weight	All current household members (of any age)	Constructed	Current
RB060_F	Personal base weight ( <i>flag</i> )	1	Filled			
<b>RB062</b>	<b>Longitudinal weight (two-year duration)</b>	<i>Weight</i>	Longitudinal weight (two-year duration)	All current household members (of any age)	Constructed	Current
RB062_F	Longitudinal weight (two-year duration) ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (only one year trajectory for the individual, i.e. individual in first wave)			
<b>RB063</b>	<b>Longitudinal weight (three-year duration)</b>	<i>Weight</i>	Longitudinal weight (three-year duration)	All current household members (of any age)	Constructed	Current

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
RB063_F	Longitudinal weight (three-year duration) (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (less than three-year trajectory for the individual, i.e. individual in first or second wave)			
<b>RB064</b>	<b>Longitudinal weight (four-year duration)</b>	<i>Weight</i>	Longitudinal weight (four-year duration)	All current household members (of any age)	Constructed	Current
RB064_F	Longitudinal weight (four-year duration) (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (less than four-year trajectory for the individual, i.e. individual in first, second or third wave)			
<b>RB065</b>	<b>Longitudinal weight (five-year duration)</b>	<i>Weight</i>	Longitudinal weight (five-year duration)	All current household members (of any age)	Constructed	Current
RB065_F	Longitudinal weight (five-year duration) (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (less than five-year trajectory for the individual, i.e. individual in first, second, third or fourth wave)			
		-5	Not applicable (country has a four year panel)			
<b>RB066</b>	<b>Longitudinal weight (six-year duration)</b>	<i>Weight</i>	Longitudinal weight (six-year duration)	All current household members (of any age)	Constructed	Current
RB066_F	Longitudinal weight (six-year duration) (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (less than six-year trajectory for the individual, i.e. individual in first, second, third, fourth or fifth wave)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		-5	Not applicable (country has a four year panel)			
<b>RL070</b>	<b>Children's cross-sectional weight for childcare</b>	<i>Weight</i>	Children's' cross-sectional weight for childcare	All current household members not over 12 years old (age at the date of interview)	Constructed	Current
RL070_F	Children's cross-sectional weight for childcare ( <i>flag</i> )	1	Filled			
		-2	Not applicable (person aged more than 12 years old at the date of interview)			
		-7	Not applicable (RB010 not equal to 'last year of operation')			
<b>PB040</b>	<b>Personal cross-sectional weight (all household members aged 16 and over)</b>	<i>Weight</i>	Personal cross-sectional weight (all household members aged 16 and over)	All current household members aged 16 and over	Constructed	Current
PB040_F	Personal cross-sectional weight (all household members aged 16 and over) ( <i>flag</i> )	1	Filled			
		-7	Not applicable (RB010 not equal to 'last year of operation')			
<b>PB050</b>	<b>Personal base weight (all household members aged 16 and over)</b>	<i>Weight</i>	Personal base weight (all household members aged 16 and over)	All current household members aged 16 and over	Constructed	Current
PB050_F	Personal base weight (all household members aged 16 and over) ( <i>flag</i> )	1	Filled			
<b>PB060</b>	<b>Personal cross-sectional weight for selected respondent</b>	<i>Weight</i>	Personal cross-sectional weight for selected respondent	All current household members aged 16 and over	Constructed	Current
PB060_F	Personal cross-sectional weight for selected respondent ( <i>flag</i> )	1	Filled			
		-2	Not applicable (country does not use the selected respondent model)			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
		-7	Not applicable (PB010 not equal to 'last year of operation')			
<b>PB070</b>	<b>Personal design weight for selected respondent</b>	<i>Weight</i>	Personal design weight for selected respondent	All current household members aged 16 and over	Constructed	Current
PB070_F	Personal design weight for selected respondent ( <i>flag</i> )	1	Filled			
		-2	Not applicable (country does not use the selected respondent model)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PB080</b>	<b>Personal base weight for selected respondent</b>	<i>Weight</i>	Personal base weight for selected respondent	All current household members aged 16 and over	Constructed	Current
PB080_F	Personal base weight for selected respondent ( <i>flag</i> )	1	Filled			
		-2	Not applicable (country does not use the selected respondent model)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>INTERVIEW CHARACTERISTICS</b>						
<b>RB250</b>	<b>Data status</b>	11	Information completed only from interview	All current household members (of any age)	Interviewer	Current
		12	Information completed only from registers			
		13	Information completed from both: interview and registers			
		14	Information completed from full-record imputation			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		21	Individual unable to respond (illness, incapacity, etc.) and no proxy possible			
		22	Failed to return self-completed questionnaire			
		23	Refusal to cooperate			
		31	Person temporarily away and no proxy possible			
		32	No contact for other reasons			
		33	Information not completed: reason unknown			
RB250_F	Data status (flag)	1	Filled			
		-2	Not applicable (RB245 not equal to 1, 2 or 3)			
<b>HB130</b>	<b>Interviewing mode used (household)</b>	1	Paper assisted personal interview (PAPI)	Household	Interviewer	Current
		2	Computer assisted personal interview (CAPI)			
		3	Computer assisted telephone interview (CATI)			
		4	Computer assisted web-interview (CAWI)			
		5	Other			
HB130_F	Interviewing mode used (household) (flag)	1	Filled			
		-1	Missing			
<b>PB260</b>	<b>Nature of participation in the survey</b>	1	Direct participation	All current household members aged 16 and over or selected respondent (where applies)	Interviewer	Current
		2	Indirect participation			
PB260_F	Nature of participation in the survey (flag)	1	Filled			
		-1	Missing			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PB270</b>	<b>Interviewing mode used (person)</b>	1	Paper assisted personal interview (PAPI)	All current household members aged 16 and over or selected respondent (where applies)	Interviewer	Current
		2	Computer assisted personal interview (CAPI)			
		3	Computer assisted telephone interview (CATI)			
		4	Computer assisted web-interview (CAWI)			
		5	Other			
PB270_F	Interviewing mode used (person) ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			

**LOCALISATION**

<b>DB100</b>	<b>Degree of urbanisation</b>	1	Cities	Household	Constructed	Current
		2	Towns and suburbs			
		3	Rural areas			
DB100_F	Degree of urbanisation ( <i>flag</i> )	1	Filled			
		-1	Missing (allowed from the wave 2 onwards)			

**DEMOGRAPHY**

<b>RB080</b>	<b>Year of birth</b>	<i>Year</i>	Year of birth	All current household members (of any age)	Household respondent or registers	Constant
RB080_F	Year of birth ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>RB081</b>	<b>Age in completed years</b>	Age	Age	All current household members (of any age)	Derived	Current
RB081_F	Age in completed years (flag)	1	Filled			
		-1	Missing			
<b>RB082</b>	<b>Age in completed years at the time of the interview</b>	Age	Age	All current household members (of any age)	Derived	Current
RB082_F	Age in completed years at the time of the interview (flag)	1	Filled			
		-1	Missing			
<b>RB083</b>	<b>Passing of birthday at the time of the interview</b>	1	Yes	All current household members (of any age)	Household respondent, registers or derived	Current
		2	No			
RB083_F	Passing of birthday at the time of the interview (flag)	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>RB090</b>	<b>Sex</b>	1	Male	All current household members (of any age)	Household respondent or registers	Current
		2	Female			
RB090_F	Sex (flag)	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>RB110</b>	<b>Membership status</b>	1	Was in the household in previous waves or current household member	All current household members (of any age) and former household members	Household respondent or registers	Current
		2	Moved into this household from another sample house-			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
			hold since previous wave			
		3	Moved into this household from outside sample since previous wave			
		4	Newly born into this household since last wave			
		5	Moved out since previous wave or last interview if not contacted in previous wave			
		6	Died			
RB110_F	Membership status (flag)	1	Filled			
		-1	Missing			
<b>RB120</b>	<b>Location where the person moved</b>	1	To a private household in the country	Former household members	Household respondent or registers	Current
		2	To a collective household or institution in the country			
		3	Abroad			
		4	Lost			
RB120_F	Location where the person moved (flag)	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (RB110 not equal to 5)			
<b>RB220</b>	<b>Father ID</b>	<i>ID number</i>	Father ID	All current household members (of any age)	Derived	Current
RB220_F	Father ID (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (father is not a household member)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>RB230</b>	<b>Mother ID</b>	<i>ID number</i>	Mother ID	All current household members (of any age)	Derived	Current
RB230_F	Mother ID <i>(flag)</i>	1	Filled			
		-1	Missing			
		-2	Not applicable (mother is not a household member)			
<b>RB240</b>	<b>Spouse/partner ID</b>	<i>ID number</i>	Spouse/partner ID	All current household members (of any age)	Derived	Current
RB240_F	Spouse/partner ID <i>(flag)</i>	1	Filled			
		-1	Missing			
		-2	Not applicable (Have no spouse/partner or spouse/partner is not a household member)			
<b>RB245</b>	<b>Respondent status</b>	1	Current household member aged 16 and over	All current household members (of any age)	Derived	Current
		2	Selected respondent			
		3	Non-selected respondent			
		4	Ineligible person			
RB245_F	Respondent status <i>(flag)</i>	1	Filled			
<b>PB140</b>	<b>Year of birth</b>	<i>Year</i>	Year of birth	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Constant
PB140_F	Year of birth <i>(flag)</i>	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		4	Not possible to establish a source			
		-1	Missing			
<b>PB150</b>	<b>Sex</b>	1	Male	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		2	Female			
PB150_F	Sex (flag)	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PB160</b>	<b>Father ID</b>	<i>ID number</i>	Father ID	All current household members aged 16 and over	Derived	Current
PB160_F	Father ID (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (father is not a household member)			
<b>PB170</b>	<b>Mother ID</b>	<i>ID number</i>	Mother ID	All current household members aged 16 and over	Derived	Current
PB170_F	Mother ID (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (mother is not a household member)			
<b>PB180</b>	<b>Spouse/partner ID</b>	<i>ID number</i>	Spouse/partner ID	All current household members aged 16 and over	Derived	Current
PB180_F	Spouse/partner ID (flag)	1	Filled			
		-1	Missing			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		-2	Not applicable (person has no spouse/partner or spouse/partner is not a household member)			
<b>PB190</b>	<b>Marital status</b>	1	Never married	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		2	Married			
		3	Separated			
		4	Widowed			
		5	Divorced			
PB190_F	Marital status ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PB200</b>	<b>Consensual Union</b>	1	Yes, on a legal basis	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		2	Yes, without a legal basis			
		3	No			
PB200_F	Consensual Union ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>CITIZENSHIP AND MIGRANT BACKGROUND</b>						
<b>RB280</b>	<b>Country of birth</b>	<i>SCL GEO code</i>	Country of birth	All current household members (of any age)	Household respondent or registers	Constant
		FOR	Foreign-born but country of birth unknown			
RB280_F	Country of birth <i>(flag)</i>	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>RB290</b>	<b>Country of main citizenship</b>	<i>SCL GEO code</i>	Country of main citizenship	All current household members (of any age)	Household respondent or registers	Current
		STLS	Stateless			
		FOR	Foreign citizenship but country unknown			
RB290_F	Country of main citizenship <i>(flag)</i>	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PB230</b>	<b>Country of birth of the father</b>	<i>SCL GEO code</i>	Country of birth of father	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Constant
		FOR	Father foreign-born but country of birth unknown			
PB230_F	Country of birth of the father <i>(flag)</i>	1	Collected via survey/interview			
		2	Collected from administrative data			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PB240</b>	<b>Country of birth of the mother</b>	<i>SCL GEO code</i>	Country of birth of mother	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Constant
		FOR	Mother foreign-born but country of birth unknown			
PB240_F	Country of birth of the mother ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			

## HOUSEHOLD COMPOSITION

<b>RB200</b>	<b>Residential status</b>	1	Currently living in the household	All current household members (of any age)	Household respondent or registers	Current
		2	Temporarily absent			
RB200_F	Residential status ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>RG_Z#</b>	<b>Household grid</b>	10	Partner (low level)	All current household members (of any age)	Household respondent or registers	Current
		11	Husband/wife/civil partner (high level)/ <i>optional</i>			
		12	Partner/cohabitee (high level)/ <i>optional</i>			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		20	Son/daughter (low level)			
		21	Natural/adopted son/daughter (high level)/ <i>optional</i>			
		22	Step-son/step-daughter (high level)/ <i>optional</i>			
		30	Son-in-law/daughter-in-law (low; high level)			
		40	Grandchild (low; high level)			
		50	Parent (low level)			
		51	Natural/adoptive parent (high level)/ <i>optional</i>			
		52	Step-parent (high level)/ <i>optional</i>			
		60	Parent in law (low; high level)			
		70	Grandparent (low; high level)			
		80	Brother/Sister (low level)			
		81	Natural brother/sister (high level)/ <i>optional</i>			
		82	Step-brother/sister (high level)/ <i>optional</i>			
		90	Other relative (low; high level)			
		95	Other non-relative (low; high level)			
		99	Not stated (low; high level)			
RG_Z#_F	Household grid ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (one person household)			

**HOUSEHOLD COMPOSITION — ADDITIONAL SPECIFIC DETAILS**

<b>HB110</b>	<b>Household type</b>	1	One-person household	Household	Derived	Current
		2	Lone parent with at least one child aged less than 25			
		3	Lone parent with all children aged 25 or more			
		4	Couple without any child(ren)			
		5	Couple with at least one child aged less than 25			
		6	Couple with all children aged 25 or more			
		7	Other type of household			
HB110_F	Household type <i>(flag)</i>	1	Filled			
		-1	Missing			
<b>HB120</b>	<b>Household size</b>	1-99	Total number of members of the household	Household	Derived	Current
HB120_F	Household size <i>(flag)</i>	1	Filled			
<b>PB205</b>	<b>Partners living in the same household</b>	1	Person living with a legal or de facto partner	All current household members aged 16 and over	Derived	Current
		2	Person not living with a legal or de facto partner			
PB205_F	Partners living in the same household <i>(flag)</i>	1	Filled			
		-1	Missing			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>DURATION OF STAY IN THE COUNTRY</b>						
<b>RB285</b>	<b>Duration of stay in the country of residence in completed years</b>	<i>Years</i>	Number of years in this country (since last establishing the place of usual residence in this country)	All current household members (of any age)	Household respondent or registers	Current
RB285_F	Duration of stay in the country of residence in completed years ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (born in this country and never lived abroad for a period of at least 1 year)			
<b>DISABILITY AND MINIMUM EUROPEAN HEALTH MODULE</b>						
<b>PH010</b>	<b>Self-perceived general health</b>	1	Very good	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	Good			
		3	Fair (neither good nor bad)			
		4	Bad			
		5	Very bad			
PH010_F	Self-perceived general health ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PH020</b>	<b>Long standing health problems</b>	1	Yes	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	No			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PH020_F	Long standing health problems (flag)	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PH030</b>	<b>Limitation in activities because of health problems</b>	1	Severely limited	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	Limited but not severely			
		3	Not limited at all			
PH030_F	Limitation in activities because of health problems (flag)	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>CHILDREN HEALTH</b>						
<b>RCH010</b>	<b>General health (child)</b>	1	Very good	All current household members not over 15 years old (individual level)	Household respondent	Current
		2	Good			
		3	Fair (neither good nor bad)			
		4	Bad			
		5	Very bad			
RCH010_F	General health (child) (flag)	1	Filled			
		-1	Missing			
		-4	Not applicable (person is more than 15 years old)			
		-7	Not applicable (variable not collected in respective year)			
<b>RCH020</b>	<b>Limitation in activities because of health problems (child)</b>	1	Severely limited	All current household members not over 15 years old (individual level)	Household respondent	Current
		2	Limited but not severely			
		3	Not limited at all			
RCH020_F	Limitation in activities because of health problems (child) (flag)	1	Filled			
		-1	Missing			
		-4	Not applicable (person is more than 15 years old)			
		-7	Not applicable (variable not collected in respective year)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>ACCESS TO HEALTHCARE</b>						
<b>PH040</b>	<b>Unmet need for medical examination or treatment</b>	1	Yes, there was at least one occasion when the person really needed examination or treatment but did not receive it	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Last 12 months
		2	No, there was no occasion when the person really needed examination or treatment but did not receive it			
PH040_F	Unmet need for medical examination or treatment ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (person did not really need any medical examination or treatment)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PH050</b>	<b>Main reason for unmet need for medical examination or treatment</b>	1	Could not afford to (too expensive)	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Last 12 months
		2	Waiting list			
		3	Could not take time because of work, care for children or for others			
		4	Too far to travel/no means of transportation			
		5	Fear of doctor/hospitals/examination/treatment			
		6	Wanted to wait and see if problem got better on its own			
		7	Didn't know any good doctor or specialist			
		8	Other reason			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PH050_F	Main reason for unmet need for medical examination or treatment ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (PH040 not equal to 1)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PH060</b>	<b>Unmet need for dental examination or treatment</b>	1	Yes, there was at least one occasion when the person really needed dental examination or treatment but did not receive it	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Last 12 months
		2	No, there was no occasion when the person really needed dental examination or treatment but did not receive it			
PH060_F	Unmet need for dental examination or treatment ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (the person did not really need any dental examination or treatment)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PH070</b>	<b>Main reason for unmet need for dental examination or treatment</b>	1	Could not afford to (too expensive)	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Last 12 months
		2	Waiting list			
		3	Could not take time because of work, care for children or for others			
		4	Too far to travel/no means of transportation			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		5	Fear of doctor (dentist)/hospitals/examination/treatment			
		6	Wanted to wait and see if problem got better on its own			
		7	Didn't know any good dentist			
		8	Other reason			
PH070_F	Main reason for unmet need for dental examination or treatment ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (PH060 not equal to 1)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			

## ACCESS TO HEALTH CARE (CHILDREN)

<b>HCH010</b>	<b>Unmet need for medical examination or treatment (children)</b>	1	Yes, there was at least one occasion where at least one of the children did not have a medical examination or treatment	All current household members not over 15 years old (household level)	Household respondent	Last 12 months
		2	No, the child(ren) had a medical examination or treatment each time it was needed			
HCH010_F	Unmet need for medical examination or treatment (children) ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (none of the children really needed any medical examination or treatment)			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>HCH020</b>	<b>Main reason for unmet need for medical examination or treatment (children)</b>	1	Could not afford to (too expensive)	All current household members not over 15 years old (household level)	Household respondent	Last 12 months
		2	Waiting list			
		3	Could not take the time because of work, care of other children or of other people			
		4	Too far to travel or no means of transport			
		5	Other reason			
HCH020_F	Main reason for unmet need for medical examination or treatment (children) ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (HCH010 not equal to 1)			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			
<b>HCH030</b>	<b>Unmet need for dental examination or treatment (children)</b>	1	Yes, there was at least one occasion where at least one of the children did not have a dental examination or treatment	All current household members not over 15 years old (household level)	Household respondent	Last 12 months
		2	No, the child(ren) had a dental examination or treatment each time it was needed			
HCH030_F	Unmet need for dental examination or treatment (children) ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (none of the children really needed any dental examination or treatment)			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>HCH040</b>	<b>Main reason for unmet need for dental examination or treatment (children)</b>	1	Could not afford to (too expensive)	All current household members not over 15 years old (household level)	Household respondent	Last 12 months
		2	Waiting list			
		3	Could not take the time because of work, care of other children or of other people			
		4	Too far to travel or no means of transport			
		5	Other reason			
HCH040_F	Main reason for unmet need for dental examination or treatment (children) ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (HCH030 not equal to 1)			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			

**MAIN ACTIVITY STATUS (SELF-DEFINED)**

<b>RB211</b>	<b>Main activity status (self-defined)</b>	1	Employed	All current household members (of any age)	Household respondent or registers	Current
		2	Unemployed			
		3	Retired			
		4	Unable to work due to long-standing health problems			
		5	Student, pupil			
		6	Fulfilling domestic tasks			
		7	Compulsory military or civilian service			
		8	Other			
RB211_F	Main activity status (self-defined) ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>PL032</b>	<b>Self-defined current economic status</b>	1	Employed	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	Unemployed			
		3	Retired			
		4	Unable to work due to long-standing health problems			
		5	Student, pupil			
		6	Fulfilling domestic tasks			
		7	Compulsory military or civilian service			
		8	Other			
PL032_F	Self-defined current economic status ( <i>flag</i> )	1	Filled			
		-1	Missing			
<b>PL040A</b>	<b>Status in employment</b>	1	Self-employed person with employees	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		2	Self-employed person without employees			
		3	Employee			
		4	Family worker (unpaid)			
PL040A_F	Status in employment ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PL032 not equal to 1)			
<b>PL040B</b>	<b>Status in employment (last job)</b>	1	Self-employed person with employees	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Last situation
		2	Self-employed person without employees			
		3	Employee			
		4	Family worker (unpaid)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PL040B_F	Status in employment (last job) <i>(flag)</i>	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable ((PL016 not equal to 3) and (PL032 not equal to 1))			

## ELEMENTARY JOB CHARACTERISTICS

<b>PL051A</b>	<b>Occupation in main job</b>	ISCO-08	ISCO at 2-digit level	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
PL051A_F	Occupation in main job <i>(flag)</i>	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PL032 not equal to 1)			
<b>PL051B</b>	<b>Occupation (last job)</b>	ISCO-08	ISCO at 2-digit level	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Last situation
PL051B_F	Occupation (last job) <i>(flag)</i>	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable ((PL016 not equal to 3) and (PL032 not equal to 1))			
<b>PL111A</b>	<b>Economic activity of the local unit for the main job</b>	NACE Rev. 2	NACE Rev.2 at 2-digit level	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
PL111A_F	Economic activity of the local unit for the main job ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PL032 not equal to 1)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PL111B</b>	<b>Economic activity of the local unit (last job)</b>	NACE Rev. 2	NACE Rev.2 at 2-digit level	All current household members aged 16 and over or selected respondent (where applies) in working age who have previous work experience	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Last situation
PL111B_F	Economic activity of the local unit (last job) ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable ((PL016 not equal to 3) and (PL032 not equal to 1) or respondent not in working age)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PL145</b>	<b>Full or part-time main job (self-defined)</b>	1	Full-time job	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		2	Part-time job			
PL145_F	Full or part-time main job (self-defined) ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PL032 not equal to 1)			
<b>DURATION OF CONTRACT</b>						
<b>PL141</b>	<b>Permanency of main job</b>	11	Fixed-term written contract	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		12	Fixed-term verbal agreement			
		21	Permanent written contract			
		22	Permanent verbal agreement			
PL141_F	Permanency of main job ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PL040A not equal to 3)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>DETAILED LABOUR MARKET SITUATION</b>						
<b>PL073</b>	<b>Number of months spent in full-time work as employee</b>	0-12	Number of months	All current household members aged 16 and over	Derived	Income reference period
PL073_F	Number of months spent in full-time work as employee ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PL074</b>	<b>Number of months spent in part-time work as employee</b>	0-12	Number of months	All current household members aged 16 and over	Derived	Income reference period
PL074_F	Number of months spent in part-time work as employee ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PL075</b>	<b>Number of months spent in full-time work as self-employed (including family worker)</b>	0-12	Number of months	All current household members aged 16 and over	Derived	Income reference period
PL075_F	Number of months spent in full-time work as self-employed (including family worker) ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		4	Not possible to establish a source			
		-1	Missing			
<b>PL076</b>	<b>Number of months spent in part-time work as self-employed (including family worker)</b>	0-12	Number of months	All current household members aged 16 and over	Derived	Income reference period
PL076_F	Number of months spent in part-time work as self-employed (including family worker) ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PL080</b>	<b>Number of months spend in unemployment</b>	0-12	Number of months	All current household members aged 16 and over	Derived	Income reference period
PL080_F	Number of months spend in unemployment ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PL085</b>	<b>Number of months spend in retirement</b>	0-12	Number of months	All current household members aged 16 and over	Derived	Income reference period
PL085_F	Number of months spend in retirement ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PL086</b>	<b>Number of months unable to work due to long-standing health problems</b>	0-12	Number of months	All current household members aged 16 and over	Derived	Income reference period

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PL086_F	Number of months unable to work due to long-standing health problems ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PL087</b>	<b>Number of months spent studying</b>	0-12	Number of months	All current household members aged 16 and over	Derived	Income reference period
PL087_F	Number of months spent studying ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PL088</b>	<b>Number of months spent in compulsory military service</b>	0-12	Number of months	All current household members aged 16 and over	Derived	Income reference period
PL088_F	Number of months spent in compulsory military service ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-5	Not applicable (this concept is not used in the country)			
<b>PL089</b>	<b>Number of months spent fulfilling domestic tasks</b>	0-12	Number of months	All current household members aged 16 and over	Derived	Income reference period
PL089_F	Number of months spent fulfilling domestic tasks ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>PL090</b>	<b>Number of months spent in other activity</b>	4	Not possible to establish a source	All current household members aged 16 and over	Derived	Income reference period
		-1	Missing			
		0-12	Number of months			
PL090_F	Number of months spent in other activity ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PL271</b>	<b>Duration of the most recent unemployment spell</b>	0-60	Number of months	All current household members aged 16 and over or selected respondent	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Last 5 years from the end of the income reference period
PL271_F	Duration of the most recent unemployment spell ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			

**SUPERVISORY RESPONSIBILITIES**

<b>PL150</b>	<b>Supervisory responsibility in the main job</b>	1	Yes	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		2	No			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PL150_F	Supervisory responsibility in the main job ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PL040A not equal to 3)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PREVIOUS WORK EXPERIENCE</b>						
<b>PL016</b>	<b>Existence of previous employment experience</b>	1	Persons has never been in employment	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		2	Person has employment experience limited to purely occasional work			
		3	Person has employment experience other than purely occasional work			
PL016_F	Existence of previous employment experience ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PL032 equal to 1)			
<b>PL200</b>	<b>Number of years spent in paid work (as employee or self-employed)</b>	0-65	Number of years	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Working life

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PL200_F	Number of years spent in paid work (as employee or self-employed) ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PL016 not equal to 3)			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			

**CALENDAR OF ACTIVITIES**

PL211A	<b>Main activity in January</b>	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			
		4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			
PL211A_F	Main activity in January ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
PL211B	<b>Main activity in February</b>	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			
		4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			
PL211B_F	Main activity in February ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PL211C	<b>Main activity in March</b>	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			
		4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			
PL211C_F	Main activity in March ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
PL211D	<b>Main activity in April</b>	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PL211D_F	Main activity in April (flag)	4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			
		1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
PL211E	Main activity in May	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			
		4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PL211E_F	Main activity in May (flag)	8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			
		1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
PL211F	Main activity in June	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			
		4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PL211F_F	Main activity in June (flag)	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
PL211G	Main activity in July	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			
		4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			
PL211G_F	Main activity in July (flag)	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
PL211H	<b>Main activity in August</b>	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			
		4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			
PL211H_F	Main activity in August ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>PL211I</b>	<b>Main activity in September</b>	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			
		4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			
PL211I_F	Main activity in September <i>(flag)</i>	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PL211J</b>	<b>Main activity in October</b>	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PL211J_F	Main activity in October (flag)	4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			
		1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
PL211K	Main activity in November	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			
		4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			
PL211K_F	Main activity in November ( <i>flag</i> )	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
PL211L	<b>Main activity in December</b>	1	Employee working full-time	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		2	Employee working part-time			
		3	Self-employed working full-time (including family worker)			
		4	Self-employed working part-time (including family worker)			
		5	Unemployed			
		6	Student, pupil			
		7	Retired			
		8	Unable to work due to long-standing health problems			
		9	Compulsory military or civilian service			
		10	Fulfilling domestic tasks			
		11	Other			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PL211L_F	Main activity in December <i>(flag)</i>	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>WORKING HOURS</b>						
<b>PL060</b>	<b>Number of hours usually worked per week in the main job</b>	1-99	Number of hours	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
PL060_F	Number of hours usually worked per week in the main job <i>(flag)</i>	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PL032 not equal to 1)			
		-6	Hours varying (when an average for over four weeks is not possible)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>PL100</b>	<b>Total number of hours usually worked per week in the second, third, ... jobs</b>	1-99	Number of hours	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
PL100_F	Total number of hours usually worked per week in the second, third, ... jobs <i>(flag)</i>	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PL032 not equal to 1)			
		-4	Not applicable (Not second, third...job)			
		-6	Hours varying (when an average for over four weeks is not possible)			

**EDUCATIONAL ATTAINMENT LEVEL**

<b>PE041</b>	<b>Educational attainment level</b>	0	No formal education or below ISCED 1	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		1	ISCED 1 Primary education			
		2	ISCED 2 Lower secondary education			
		3	ISCED 3 Upper secondary education			
		34	ISCED 3 Upper secondary education-general/ <i>only for persons aged 35 and over</i>			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		342	ISCED 3 Upper secondary education (general) - partial level completion, without direct access to tertiary education/ <i>only for persons aged 16-34</i>			
		343	ISCED 3 Upper secondary education (general) - level completion, without direct access to tertiary education/ <i>only for persons aged 16-34</i>			
		344	ISCED 3 Upper secondary education (general) - level completion, with direct access to tertiary education/ <i>only for persons aged 16-34</i>			
		349	ISCED 3 Upper secondary education (general) - without possible distinction of access to tertiary education/ <i>only for persons aged 16-34</i>			
		35	ISCED 3 Upper secondary education-vocational/ <i>only for persons aged 35 and over</i>			
		352	ISCED 3 Upper secondary education (vocational) - partial level completion, without direct access to tertiary education/ <i>only for persons aged 16-34</i>			
		353	ISCED 3 Upper secondary education (vocational) - level completion, without direct access to tertiary education/ <i>only for persons aged 16-34</i>			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		354	ISCED 3 Upper secondary education (vocational) - level completion, with direct access to tertiary education/ <i>only for persons aged 16-34</i>			
		359	ISCED 3 Upper secondary education (vocational) - without possible distinction of access to tertiary education/ <i>only for persons aged 16-34</i>			
		39	ISCED 3 Upper secondary education-orientation unknown/ <i>only for persons aged 35 and over</i>			
		392	ISCED 3 Upper secondary education (orientation unknown) - partial level completion, without direct access to tertiary education/ <i>only for persons aged 16-34</i>			
		393	ISCED 3 Upper secondary education (orientation unknown) - level completion, without direct access to tertiary education/ <i>only for persons aged 16-34</i>			
		394	ISCED 3 Upper secondary education (orientation unknown) - level completion, with direct access to tertiary education/ <i>only for persons aged 16-34</i>			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		399	ISCED 3 Upper secondary education (orientation unknown) - without possible distinction of access to tertiary education/ <i>only for persons aged 16-34</i>			
		4	ISCED 4 Post-secondary non-tertiary education			
		44	ISCED 4 Post-secondary non-tertiary education — general			
		45	ISCED 4 Post-secondary non-tertiary education — vocational			
		49	ISCED 4 Post-secondary non-tertiary education — orientation unknown			
		5	ISCED 5 Short-cycle tertiary education			
		54	ISCED 5 Short-cycle tertiary education — general			
		55	ISCED 5 Short-cycle tertiary education — vocational			
		59	ISCED 5 Short-cycle tertiary education — orientation unknown			
		6	ISCED 6 Bachelor's or equivalent level			
		7	ISCED 7 Master's or equivalent level			
		8	ISCED 8 Doctoral or equivalent level			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PE041_F	Educational attainment level (flag)	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			

**PARTICIPATION IN FORMAL EDUCATION ACTIVITIES (current)**

<b>RL010</b>	<b>Education at pre-school</b>	0-99	Number of hours of education during an usual week	All current household members not over 12 years old (age at the date of interview)	Household respondent or registers	A typical week
RL010_F	Education at pre-school (flag)	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (person is not admitted to pre-school because of his or her age OR is at compulsory school OR is more than 12 years old)			
<b>RL020</b>	<b>Education at compulsory school</b>	0-99	Number of hours of education during an usual week	All current household members not over 12 years old (age at the date of interview)	Household respondent or registers	A typical week
RL020_F	Education at compulsory school (flag)	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		-2	Not applicable (person is not admitted to compulsory school because of his or her age OR is more than 12 years)			
<b>PE010</b>	<b>Participation in formal education and training (student or apprentice)</b>	1	Yes	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		2	No			
PE010_F	Participation in formal education and training (student or apprentice) ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>PE021</b>	<b>Level of current/most recent formal education or training activity</b>	0	Early childhood education	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Current
		1	ISCED 1 Primary education			
		2	ISCED 2 Lower secondary education			
		3	ISCED 3 Upper secondary education/ <i>only for persons aged 35 and over</i>			
		34	ISCED 3 Upper secondary education — general/ <i>only for persons aged 16-34</i>			
		35	ISCED 3 Upper secondary education — vocational/ <i>only for persons aged 16-34</i>			
		39	ISCED 3 Upper secondary education — orientation unknown/ <i>only for persons aged 16-34</i>			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		4	ISCED 4 Post-secondary non-tertiary education/ <i>only for persons aged 35 and over</i>			
		44	ISCED 4 Post-secondary non-tertiary education — general/ <i>only for persons aged 16-34</i>			
		45	ISCED 4 Post-secondary non-tertiary education — vocational/ <i>only for persons aged 16-34</i>			
		49	ISCED 4 Post-secondary non-tertiary education — orientation unknown/ <i>only for persons aged 16-34</i>			
		5	ISCED 5 Short-cycle tertiary education/ <i>only for persons aged 35 and over</i>			
		54	ISCED 5 Short-cycle tertiary education — general/ <i>only for persons aged 16-34</i>			
		55	ISCED 5 Short-cycle tertiary education — vocational/ <i>only for persons aged 16-34</i>			
		59	ISCED 5 Short-cycle tertiary education — orientation unknown/ <i>only for persons aged 16-34</i>			
		6	ISCED 6 Bachelor's or equivalent level			
		7	ISCED 7 Master's or equivalent level			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		8	ISCED 8 Doctoral or equivalent level			
PE021_F	Level of current/ most recent formal education or training activity (flag)	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (PE010 not equal to 1)			

## QUALITY OF LIFE

<b>PW010</b>	<b>Overall life satisfaction</b>	0-10	Not at all satisfied — completely satisfied	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
PW010_F	Overall life satisfaction (flag)	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PW191</b>	<b>Trust in others</b>	0-10	No trust at all — trust completely	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
PW191_F	Trust in others (flag)	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>MATERIAL DEPRIVATION</b>						
<b>HD080</b>	<b>Replacing worn-out furniture</b>	1	Yes	Household	Household respondent	Current
		2	No, cannot afford			
		3	No, other reason			
HD080_F	Replacing worn-out furniture <i>(flag)</i>	1	Filled			
		-1	Missing			
<b>HH050</b>	<b>Ability to keep home adequately warm</b>	1	Yes	Household	Household respondent	Current
		2	No			
HH050_F	Ability to keep home adequately warm <i>(flag)</i>	1	Filled			
		-1	Missing			
<b>HS040</b>	<b>Capacity to afford paying for one week annual holiday away from home</b>	1	Yes	Household	Household respondent	Current
		2	No			
HS040_F	Capacity to afford paying for one week annual holiday away from home <i>(flag)</i>	1	Filled			
		-1	Missing			
<b>HS050</b>	<b>Capacity to afford a meal with meat, chicken, fish (or vegetarian equivalent) every second day</b>	1	Yes	Household	Household respondent	Current
		2	No			
HS050_F	Capacity to afford a meal with meat, chicken, fish (or vegetarian equivalent) every second day <i>(flag)</i>	1	Filled			
		-1	Missing			
<b>HS060</b>	<b>Capacity to face unexpected financial expenses</b>	1	Yes	Household	Household respondent	Current
		2	No			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HS060_F	Capacity to face unexpected financial expenses ( <i>flag</i> )	1	Filled			
		-1	Missing			
<b>HS090</b>	<b>Do you have a computer</b>	1	Yes	Household	Household respondent	Current
		2	No, cannot afford			
		3	No, other reason			
HS090_F	Do you have a computer ( <i>flag</i> )	1	Filled			
		-1	Missing			
<b>HS110</b>	<b>Do you have a car</b>	1	Yes	Household	Household respondent	Current
		2	No, cannot afford			
		3	No, other reason			
HS110_F	Do you have a car ( <i>flag</i> )	1	Filled			
		-1	Missing			
<b>HS120</b>	<b>Ability to make ends meet</b>	1	With great difficulty	Household	Household respondent	Current
		2	With difficulty			
		3	With some difficulty			
		4	Fairly easily			
		5	Easily			
		6	Very easily			
HS120_F	Ability to make ends meet ( <i>flag</i> )	1	Filled			
		-1	Missing			
<b>PD020</b>	<b>Replace worn-out clothes by some new (not second-hand) ones</b>	1	Yes	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	No, cannot afford			
		3	No, other reason			
PD020_F	Replace worn-out clothes by some new (not second-hand) ones ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>PD030</b>	<b>Two pairs of properly fitting shoes (including a pair of all-weather shoes)</b>	1	Yes	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	No, cannot afford			
		3	No, other reason			
PD030_F	Two pairs of properly fitting shoes (including a pair of all-weather shoes) <i>(flag)</i>	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PD050</b>	<b>Get-together with friends/family (relatives) for a drink/meal at least once a month</b>	1	Yes	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	No, cannot afford			
		3	No, other reason			
PD050_F	Get-together with friends/family (relatives) for a drink/meal at least once a month <i>(flag)</i>	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PD060</b>	<b>Regularly participate in a leisure activity</b>	1	Yes	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	No, cannot afford			
		3	No, other reason			
PD060_F	Regularly participate in a leisure activity <i>(flag)</i>	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>PD070</b>	<b>Spend a small amount of money each week on yourself</b>	1	Yes	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	No, cannot afford			
		3	No, other reason			
PD070_F	Spend a small amount of money each week on yourself <i>(flag)</i>	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			
<b>PD080</b>	<b>Internet connection for personal use at home</b>	1	Yes	All current household members aged 16 and over or selected respondent (where applies)	Personal interview (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	No, cannot afford			
		3	No, other reason			
PD080_F	Internet connection for personal use at home <i>(flag)</i>	1	Filled			
		-1	Missing			
		-3	Not applicable (non-selected respondent (RB245 equal to 3))			

**CHILDREN SPECIFIC DEPRIVATION**

<b>HD100</b>	<b>Some new (not second-hand) clothes</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD100_F	Some new (not second-hand) clothes <i>(flag)</i>	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>HD110</b>	<b>Two pairs of properly fitting shoes (including a pair of all-weather shoes)</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD110_F	Two pairs of properly fitting shoes (including a pair of all-weather shoes) <i>(flag)</i>	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			
<b>HD120</b>	<b>Fruits and vegetables once a day</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD120_F	Fruits and vegetables once a day <i>(flag)</i>	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			
<b>HD140</b>	<b>One meal with meat, chicken or fish (or vegetarian equivalent) at least once a day</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD140_F	One meal with meat, chicken or fish (or vegetarian equivalent) at least once a day <i>(flag)</i>	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			
<b>HD150</b>	<b>Books at home suitable for their age</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HD150_F	Books at home suitable for their age <i>(flag)</i>	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			
HD160	<b>Outdoor leisure equipment</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD160_F	Outdoor leisure equipment <i>(flag)</i>	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			
HD170	<b>Indoor games</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD170_F	Indoor games <i>(flag)</i>	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			
HD180	<b>Regular leisure activity</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD180_F	Regular leisure activity <i>(flag)</i>	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>HD190</b>	<b>Celebrations on special occasions</b>	-7	Not applicable (variable not collected in respective year)	All current household members not over 15 years old (household level)	Household respondent	Current
		1	Yes			
		2	No, household cannot afford it			
		3	No, other reason			
HD190_F	Celebrations on special occasions <i>(flag)</i>	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			
<b>HD200</b>	<b>Invite friends round to play or eat from time to time</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD200_F	Invite friends round to play or eat from time to time <i>(flag)</i>	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			
<b>HD210</b>	<b>Participate in school trips and school events that cost money</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD210_F	Participate in school trips and school events that cost money <i>(flag)</i>	1	Filled			
		-1	Missing			
		-2	Not applicable (no children attending school)			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>HD220</b>	<b>Suitable place to study or do homework</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD220_F	Suitable place to study or do homework ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (no children attending school)			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			
<b>HD240</b>	<b>Go on holiday away from home at least one week per year</b>	1	Yes	All current household members not over 15 years old (household level)	Household respondent	Current
		2	No, household cannot afford it			
		3	No, other reason			
HD240_F	Go on holiday away from home at least one week per year ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-4	Not applicable (no children aged 0-15 in the household)			
		-7	Not applicable (variable not collected in respective year)			

**MAIN HOUSING CHARACTERISTICS**

<b>HH010</b>	<b>Dwelling type</b>	1	Detached house	Household	Household respondent or registers	Current
		2	Semi-detached or terraced house			
		3	Apartment or flat in a building with less than 10 dwellings			
		4	Apartment or flat in a building with 10 or more dwellings			
		5	Some other kind of accommodation			
HH010_F	Dwelling type ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>HH021</b>	<b>Tenure status of the household</b>	1	Owner without outstanding mortgage	Household	Household respondent or registers	Current
		2	Owner with outstanding mortgage			
		3	Tenant, rent at market price			
		4	Tenant, rent at reduced price			
		5	Tenant, rent free			
HH021_F	Tenure status of the household ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>HH030</b>	<b>Number of rooms available to the household</b>	1-9.9	Number of rooms	Household	Household respondent or registers	Current
		10	10 or more rooms			
HH030_F	Number of rooms available to the household ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>HOUSING COST INCLUDING REDUCED UTILITY COSTS</b>						
<b>HH060</b>	<b>Current rent related to the occupied dwelling</b>	1-999999.99	Rent (national currency)	Household	Household respondent or registers	Current
HH060_F	Current rent related to the occupied dwelling ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (HH021 not equal to 3 or 4)			
<b>HH070</b>	<b>Total housing cost</b>	0-999999.99	Housing cost — including electricity, water, gas and heating	Household	Household respondent or registers	Current
HH070_F	Total housing cost ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
<b>HH071</b>	<b>Mortgage principal repayment</b>	0	No mortgage principal repayment	Household	Household respondent	Current
		1-999999.99	Mortgage principal repayment			
HH071_F	Mortgage principal repayment ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (HH021 not equal to 2)			
<b>HS022</b>	<b>Reduced utility cost</b>	1	Yes	Household	Household respondent or registers	Last 12 months
		2	No			
HS022_F	Reduced utility cost ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (this does not exist in the country)			
<b>CHILDCARE</b>						
<b>RL030</b>	<b>Childcare at centre-based services outside school hours (before/after)</b>	0-99	Number of hours of education during an usual week	All current household members not over 12 years old (age at the date of interview)	Household respondent or registers	A typical week

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
RL030_F	Childcare at centre-based services outside school hours (before/after) ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (person is neither at pre-school nor at school or is more than 12 years old)			
<b>RL040</b>	<b>Childcare at day-care centre</b>	0-99	Number of hours of education during an usual week	All current household members not over 12 years old (age at the date of interview)	Household respondent or registers	A typical week
RL040_F	Childcare at day-care centre ( <i>flag</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Imputed			
		4	Not possible to establish a source			
		-1	Missing			
		-2	Not applicable (person is more than 12 years old)			
<b>RL050</b>	<b>Childcare by a professional child-minder at child's home or at child-minder's home</b>	0-99	Number of hours of education during an usual week	All current household members not over 12 years old (age at the date of interview)	Household respondent	A typical week
RL050_F	Childcare by a professional child-minder at child's home or at child-minder's home ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (person is more than 12 years old)			
<b>RL060</b>	<b>Childcare by grand-parents, household members other than parents, other relatives, friends or neighbours</b>	0-99	Number of hours of education during an usual week	All current household members not over 12 years old (age at the date of interview)	Household respondent	A typical week

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
RL060_F	Childcare by grand-parents, household members, other than parents, other relatives, friends or neighbours <i>(flag)</i>	1	Filled			
		-1	Missing			
		-2	Not applicable (person is more than 12 years old)			

**INCOME FROM WORK**

<b>PY010G/ PY010N</b>	<b>Employee cash or near cash income</b>	0	No income <i>(to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)</i>	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		1-999999.99	Income (national currency)			
PY010G_F/ PY010N_F	Employee cash or near cash income <i>(Two-digit flag: first digit)</i>	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Deductive/logical imputation (also including top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputation			
		6	Donor imputation			
		7	Not possible to establish the most common source or method			
	Employee cash or near cash income <i>(Two-digit flag: second digit)</i>	1	Net of tax on income at source and social contributions			
		2	Net of tax on income at source			
		3	Net of social contributions			
	4	Mix of different nets				
	5	Gross				

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		6	Income component (s) not taxed			
		7	Mix of net and gross			
		8	Unknown			
		9	Not applicable (the value was not collected)			
PY010-G_IF/ PY010N_IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
		.	if problem of dividing by 0 appears			
<b>PY020G/ PY020N</b>	<b>Non-Cash employee income</b>	0	No income ( <i>to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF</i> )	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		1-999999.99	Income (national currency)			
PY020G_F/ PY020N_F	Non-Cash employee income ( <i>Two-digit flag: first digit</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Deductive/logical imputation (also including top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputation			
		6	Donor imputation			
		7	Not possible to establish the most common source or method			
	Non-Cash employee income ( <i>Two-digit flag: second digit</i> )	1	Net of tax on income at source and social contributions			
		2	Net of tax on income at source			
		3	Net of social contributions			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		4	Mix of different nets			
		5	Gross			
		6	Income component (s) not taxed			
		7	Mix of net and gross			
		8	Unknown			
		9	Not applicable (the value was not collected)			
	Non-Cash employee income (Alternative: One-digit flag)	-4	Amount included in another income component			
PY020-G_IF/ PY020N_IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
		.	if problem of dividing by 0 appears/if _F=-4			
<b>PY021G/ PY021N</b>	<b>Company car</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		1-999999.99	Income (national currency)			
PY021G_F/ PY021N_F	Company car (Two-digit flag or alternative one-digit flag)		Income flags as for the variable PY020G_F/ PY020N_F and PY020G_IF/PY020N_IF			
<b>PY030G</b>	<b>Employer's social insurance contribution</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		1-999999.99	Income (national currency)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period	
PY030G_F	Employer's social insurance contribution (Two-digit flag: first digit)	1	Collected via survey/interview				
		2	Collected from administrative data				
		3	Deductive/logical imputation (also including top- and bottom-coding)				
		4	Gross/net conversion				
		5	Model-based imputation				
		6	Donor imputation				
		7	Not possible to establish the most common source or method				
	Employer's social insurance contribution (Two-digit flag: second digit)	1	Net of tax on income at source and social contributions				
		2	Net of tax on income at source				
		3	Net of social contributions				
		4	Mix of different nets				
		5	Gross				
		6	Income component(s) not taxed				
		7	Mix of net and gross				
		8	Unknown				
	Employer's social insurance contribution (Alternative: one-digit flag)	9	Not applicable (the value was not collected)				
		-1	Missing				
	PY030G_IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
			.	if problem of dividing by 0 appears/if _F=-1			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>PY050G/ PY050N</b>	<b>Cash profits or losses from self-employment</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		-999999.99 - -1	Losses			
		1-999999.99	Benefits			
PY050G_F/ PY050N_F	Cash profits or losses from self-employment (Two-digit flag)		Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010N_IF			

**INCOME FROM SOCIAL TRANSFERS**

<b>HY050G/ HY050N</b>	<b>Family/Children-related allowances</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY050G_F/ HY050N_F	Family/Children-related allowances (Two-digit flag)		Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010N_IF			
<b>HY060G/ HY060N</b>	<b>Social exclusion allowances/benefits not classified elsewhere</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY060G_F/ HY060N_F	Social exclusion allowances/benefits not classified elsewhere (Two-digit flag)		Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010N_IF			
<b>HY070G/ HY070N</b>	<b>Housing allowances</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HY070G_ F/ HY070N_F	Housing allowan- ces (Two-digit flag)	1-999999.99	Income (national currency)			
			<i>Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010- N_IF</i>			
<b>PY090G/ PY090N</b>	<b>Unemployment benefits</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal inter- view (proxy as an exception for persons tem- porarily away or in incapacity) or registers	Income refer- ence period
		1-999999.99	Income (national currency)			
PY090G_F/ PY090N_F	Unemployment benefits (Two-digit flag)		<i>Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010- N_IF</i>			
<b>PY110G/ PY110N</b>	<b>Survivor' bene- fits</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal inter- view (proxy as an exception for persons tem- porarily away or in incapacity) or registers	Income refer- ence period
		1-999999.99	Income (national currency)			
PY110G_F/ PY110N_F	Survivor' benefits (Two-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY020G_F/ PY020N_F and PY020G_IF/PY020- N_IF</i>			
<b>PY120G/ PY120N</b>	<b>Sickness benefits</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal inter- view (proxy as an exception for persons tem- porarily away or in incapacity) or registers	Income refer- ence period
		1-999999.99	Income (national currency)			
PY120G_F/ PY120N_F	Sickness benefits (Two-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY020G_F/ PY020N_F and PY020G_IF/PY020- N_IF</i>			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PY130G/ PY130N	<b>Disability benefits</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		1-999999.99	Income (national currency)			
PY130G_F/ PY130N_F	Disability benefits (Two-digit flag or alternative one-digit flag)		Income flags as for the variable PY020G_F/ PY020N_F and PY020G_IF/PY020N_IF			
PY140G/ PY140N	<b>Education-related allowances</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		1-999999.99	Income (national currency)			
PY140G_F/ PY140N_F	Education-related allowances (Two-digit flag or alternative one-digit flag)		Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010N_IF			
HY051G	<b>Family/Children-related allowances (Contributory and means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
HY051G_F	Family/Children-related allowances (Contributory and means-tested) (Three-digit flag: first digit)	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Deductive/logical imputation (also including top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputation			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		6	Donor imputation			
		7	Not possible to establish the most common source or method			
	Family/Children-related allowances (Contributory and means-tested) (Three-digit flag: second digit)	1	Net of tax on income at source and social contributions			
		2	Net of tax on income at source			
		3	Net of social contributions			
		4	Mix of different nets			
		5	Gross			
		6	Income component (s) not taxed			
		7	Mix of net and gross			
		8	Unknown			
		9	Not applicable (the value was not collected)			
		Family/Children-related allowances (Contributory and means-tested) (Three-digit flag: third digit)	1	Filled with only contributory and means-tested components		
	2		Filled with mixed components			
	Family/Children-related allowances (Contributory and means-tested) (Alternative: one-digit flag)	-5	This scheme does not exist at national level			
HY051-G_IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
			. if problem of dividing by 0 appears/if _F=-5			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HY052G	<b>Family/Children-related allowances (Contributory and non means-tested)</b>	0	No income ( <i>to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF</i> )	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
HY052G_F	Family/Children-related allowances (Contributory and non means-tested) ( <i>Three-digit flag: first digit</i> )	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Deductive/logical imputation (also including top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputation			
		6	Donor imputation			
		7	Not possible to establish the most common source or method			
	Family/Children-related allowances (Contributory and non means-tested) ( <i>Three-digit flag: second digit</i> )	1	Net of tax on income at source and social contributions			
		2	Net of tax on income at source			
		3	Net of social contributions			
		4	Mix of different nets			
		5	Gross			
		6	Income component (s) not taxed			
		7	Mix of net and gross			
		8	Unknown			
9	Not applicable (the value was not collected)					

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
	Family/Children-related allowances (Contributory and non means-tested) <i>(Three-digit flag: third digit)</i>	1	Filled with only contributory and non means-tested components			
		2	Filled with mixed components			
	-5	This scheme does not exist at national level				
HY052-G_IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
		.	if problem of dividing by 0 appears/if _F=-5			
<b>HY053G</b>	<b>Family/Children-related allowances (Non-contributory and means tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
HY053G_F	Family/Children-related allowances (Non-contributory and means tested) <i>(Three-digit flag: first digit)</i>	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Deductive/logical imputation (also including top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputation			
		6	Donor imputation			
		7	Not possible to establish the most common source or method			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HY053-G_IF	Family/Children-related allowances (Non-contributory and means tested) (Three-digit flag: second digit)	1	Net of tax on income at source and social contributions	Household	Household respondent or registers – known to the countries	Income reference period
		2	Net of tax on income at source			
		3	Net of social contributions			
		4	Mix of different nets			
		5	Gross			
		6	Income component (s) not taxed			
		7	Mix of net and gross			
		8	Unknown			
		9	Not applicable (the value was not collected)			
	Family/Children-related allowances (Non-contributory and means tested) (Three-digit flag: third digit)	1	Filled with only non-contributory and means-tested components			
		2	Filled with mixed components			
	Family/Children-related allowances (Non-contributory and means tested) (Alternative: one-digit flag)	-5	This scheme does not exist at national level			
		-999999.99 - 999999.99	Collected value/Recorded value *100			
		.	if problem of dividing by 0 appears/if _F=-5			
	<b>HY054G</b>	<b>Family/Children-related allowances (Non-contributory and non means-tested)</b>	0			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HY054G_F	Family/Children-related allowances (Non-contributory and non means-tested) (Three-digit flag: first digit)	1-999999.99	Income (national currency)			
		1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Deductive/logical imputation (also including top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputation			
		6	Donor imputation			
		7	Not possible to establish the most common source or method			
	Family/Children-related allowances (Non-contributory and non means-tested) (Three-digit flag: second digit)	1	Net of tax on income at source and social contributions			
		2	Net of tax on income at source			
		3	Net of social contributions			
		4	Mix of different nets			
		5	Gross			
		6	Income component (s) not taxed			
		7	Mix of net and gross			
		8	Unknown			
		9	Not applicable (the value was not collected)			
	Family/Children-related allowances (Non-contributory and non means-tested) (Three-digit flag: third digit)	1	Filled with only non-contributory and non means-tested components			
		2	Filled with mixed components			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
	Family/Children-related allowances (Non-contributory and non means-tested) (Alternative: one-digit flag)	-5	This scheme does not exist at national level			
HY054-G_IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
			. if problem of dividing by 0 appears/if _F=-5			
HY061G	<b>Social exclusion allowances/benefits not elsewhere classified (Contributory and means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
HY061G_F	Social exclusion allowances/benefits not elsewhere classified (Contributory and means-tested) (Three-digit flag or alternative one-digit flag)		Income flags as for the variable HY051G			
HY062G	<b>Social exclusion allowances/benefits not elsewhere classified (Contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
HY062G_F	Social exclusion allowances/benefits not elsewhere classified (Contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		Income flags as for the variable HY052G			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>HY063G</b>	<b>Social exclusion allowances/benefits not elsewhere classified (Non-contributory and means tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
HY063G_F	Social exclusion allowances/benefits not elsewhere classified (Non-contributory and means tested) (Three-digit flag or alternative one-digit flag)		Income flags as for the variable HY053G			
<b>HY064G</b>	<b>Social exclusion allowances/benefits not elsewhere classified (Non-contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
HY064G_F	Social exclusion allowances/benefits not elsewhere classified (Non-contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		Income flags as for the variable HY054G			
<b>HY071G</b>	<b>Housing allowances (Contributory and means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HY071G_F	Housing allowances (Contributory and means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable HY051G</i>			
<b>HY072G</b>	<b>Housing allowances (Contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
HY072G_F	Housing allowances (Contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable HY052G</i>			
<b>HY073G</b>	<b>Housing allowances (Non-contributory and means tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
HY073G_F	Housing allowances (Non-contributory and means tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable HY053G</i>			
<b>HY074G</b>	<b>Housing allowances (Non-contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	Household	Household respondent or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HY074G_F	Housing allowances (Non-contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable HY054G</i>			
PY091G	<b>Unemployment benefits (Contributory and means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY091G_F	Unemployment benefits (Contributory and means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable HY051G</i>			
PY092G	<b>Unemployment benefits (Contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY092G_F	Unemployment benefits (Contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable HY052G</i>			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>PY093G</b>	<b>Unemployment benefits (Non-contributory and means tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY093G_F	Unemployment benefits (Non-contributory and means tested) (Three-digit flag or alternative one-digit flag)		Income flags as for the variable HY053G			
<b>PY094G</b>	<b>Unemployment benefits (Non-contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY094G_F	Unemployment benefits (Non-contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		Income flags as for the variable HY054G			
<b>PY111G</b>	<b>Survivor' benefits (Contributory and means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PY111G_F	Survivor' benefits (Contributory and means-tested) (Three-digit flag: first digit)	1	Collected via survey/ interview			
		2	Collected from ad- ministrative data			
		3	Deductive/logical imputation (also in- cluding top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputa- tion			
		6	Donor imputation			
		7	Not possible to es- tablish the most common source or method			
	Survivor' benefits (Contributory and means-tested) (Three-digit flag: second digit)	1	Net of tax on income at source and social contributions			
		2	Net of tax on income at source			
		3	Net of social contri- butions			
		4	Mix of different nets			
		5	Gross			
		6	Income component (s) not taxed			
		7	Mix of net and gross			
		8	Unknown			
		9	Not applicable (the value was not col- lected)			
	Survivor' benefits (Contributory and means-tested) (Three-digit flag: third digit)	1	Filled with only con- tributory and means- tested components			
		2	Filled with mixed components			
	Survivor' benefits (Contributory and means-tested) (Alternative: one-di- git flag)	-4	Amount included in another income component			
		-5	This scheme does not exist at national level			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PY111G_IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
		.	if problem of dividing by 0 appears/if_F=-4/if_F=-5			
PY112G	<b>Survivor' benefits (Contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY112G_F	Survivor' benefits (Contributory and non means-tested) (Three-digit flag: first digit)	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Deductive/logical imputation (also including top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputation			
		6	Donor imputation			
		7	Not possible to establish the most common source or method			
	Survivor' benefits (Contributory and non means-tested) (Three-digit flag: second digit)	1	Net of tax on income at source and social contributions			
		2	Net of tax on income at source			
		3	Net of social contributions			
		4	Mix of different nets			
		5	Gross			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		6	Income component (s) not taxed			
		7	Mix of net and gross			
		8	Unknown			
		9	Not applicable (the value was not collected)			
	Survivor' benefits (Contributory and non means-tested) (Three-digit flag: third digit)	1	Filled with only contributory and non means-tested components			
		2	Filled with mixed components			
	Survivor benefits (Contributory and non means-tested) (Alternative: one-digit flag)	-4	Amount included in another income component			
		-5	This scheme does not exist at national level			
PY112G_IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
		.	if problem of dividing by 0 appears/if _F=-4/ if _F=-5			
PY113G	<b>Survivor' benefits (Non-contributory and means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY113G_F	Survivor' benefits (Non-contributory and means-tested) (Three-digit flag: first digit)	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Deductive/logical imputation (also including top- and bottom-coding)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period	
PY113G_IF	Survivor' benefits (Non-contributory and means-tested) (Three-digit flag: second digit)	4	Gross/net conversion				
		5	Model-based imputation				
		6	Donor imputation				
		7	Not possible to establish the most common source or method				
		1	Net of tax on income at source and social contributions				
		2	Net of tax on income at source				
		3	Net of social contributions				
		4	Mix of different nets				
		5	Gross				
		6	Income component (s) not taxed				
		7	Mix of net and gross				
		8	Unknown				
		9	Not applicable (the value was not collected)				
		Survivor' benefits (Non-contributory and means-tested) (Three-digit flag: third digit)	1	Filled with only non-contributory and means-tested components			
	2		Filled with mixed components				
	Survivor' benefits (Non-contributory and means-tested) (Alternative: one-digit flag)	-4	Amount included in another income component				
		-5	This scheme does not exist at national level				
			-999999.99 - 999999.99	Collected value/Recorded value *100			
			.	if problem of dividing by 0 appears/if_F=-4/ if_F=-5			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PY114G	<b>Survivor' benefits (Non-contributory and non means-tested)</b>	0	No income ( <i>to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF</i> )	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY114G_F	Survivor' benefits (Non-contributory and non means-tested) (Three-digit flag: first digit)	1	Collected via survey/ interview			
		2	Collected from administrative data			
		3	Deductive/logical imputation (also including top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputation			
		6	Donor imputation			
		7	Not possible to establish the most common source or method			
	Survivor' benefits (Non-contributory and non means-tested) (Three-digit flag: second digit)	1	Net of tax on income at source and social contributions			
		2	Net of tax on income at source			
		3	Net of social contributions			
		4	Mix of different nets			
		5	Gross			
		6	Income component (s) not taxed			
		7	Mix of net and gross			
		8	Unknown			
9	Not applicable (the value was not collected)					



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
	Survivor' benefits (Non-contributory and non means-tested) <i>(Three-digit flag: third digit)</i>	1	Filled with only non-contributory and non means-tested components			
		2	Filled with mixed components			
	Survivor' benefits (Non-contributory and non means-tested) <i>(Alternative: one-digit flag)</i>	-4	Amount included in another income component			
		-5	This scheme does not exist at national level			
PY114G_IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
		.	if problem of dividing by 0 appears/if _F=-4/ if _F=-5			
PY121G	<b>Sickness benefits (Contributory and means-tested)</b>	0	No income <i>(to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)</i>	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY121G_F	Sickness benefits (Contributory and means-tested) <i>(Three-digit flag or alternative one-digit flag)</i>		<i>Income flags as for the variable PY111G</i>			
PY122G	<b>Sickness benefits (Contributory and non means-tested)</b>	0	No income <i>(to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)</i>	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PY122G_F	Sickness benefits (Contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY112G</i>			
PY123G	<b>Sickness benefits (Non-contributory and means tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY123G_F	Sickness benefits (Non-contributory and means tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY113G</i>			
PY124G	<b>Sickness benefits (Non-contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY124G_F	Sickness benefits (Non-contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY114G</i>			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PY131G	<b>Disability benefits (Contributory and means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY131G_F	Disability benefits (Contributory and means-tested) (Three-digit flag or alternative one-digit flag)		Income flags as for the variable PY111G			
PY132G	<b>Disability benefits (Contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY132G_F	Disability benefits (Contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		Income flags as for the variable PY112G			
PY133G	<b>Disability benefits (Non-contributory and means tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PY133G_F	Disability benefits (Non-contributory and means tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY113G</i>			
PY134G	<b>Disability benefits (Non-contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY134G_F	Disability benefits (Non-contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY114G</i>			
PY141G	<b>Education-related allowances (Contributory and means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY141G_F	Education-related allowances (Contributory and means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable HY051G</i>			
PY142G	<b>Education-related allowances (Contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or	Income reference period

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
			<i>components for _F must be reported, as well as IF)</i>		in incapacity) or registers – known to the countries	
		1-999999.99	Income (national currency)			
PY142G_F	Education-related allowances (Contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable HY052G</i>			
PY143G	<b>Education-related allowances (Non-contributory and means tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY143G_F	Education-related allowances (Non-contributory and means tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable HY053G</i>			
PY144G	<b>Education-related allowances (Non-contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PY144G_F	Education-related allowances (Non-contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable HY054G</i>			
<b>INCOME FROM PENSIONS</b>						
<b>PY080G/ PY080N</b>	<b>Pension from individual private plans</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		1-999999.99	Income (national currency)			
PY080G_F/ PY080N_F	Pension from individual private plans (Two-digit flag)		<i>Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010N_IF</i>			
<b>PY100G/ PY100N</b>	<b>Old-age benefits</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		1-999999.99	Income (national currency)			
PY100G_F/ PY100N_F	Old-age benefits (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY020G_F/ PY020N_F and PY020G_IF/PY020N_IF</i>			
<b>PY101G</b>	<b>Old-age benefits (Contributory and means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
PY101G_F	Old-age benefits (Contributory and means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY111G</i>			
PY102G	<b>Old-age benefits (Contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY102G_F	Old-age benefits (Contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY112G</i>			
PY103G	<b>Old-age benefits (Non-Contributory and means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY103G_F	Old-age benefits (Non-Contributory and means-tested) (Three-digit flag or alternative one-digit flag)		<i>Income flags as for the variable PY113G</i>			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>PY104G</b>	<b>Old-age benefits (Non-Contributory and non means-tested)</b>	0	No income (to be treated in the same way as any other income value; therefore, all three components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers – known to the countries	Income reference period
		1-999999.99	Income (national currency)			
PY104G_F	Old-age benefits (Non-Contributory and non means-tested) (Three-digit flag or alternative one-digit flag)		Income flags as for the variable PY114G			
<b>OTHER INCOMES, INCLUDING INCOME FROM PROPERTY AND CAPITAL AND INTER-HOUSEHOLD TRANSFERS</b>						
<b>HY040G/ HY040N</b>	<b>Income from rental of a property or land</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY040G_- F/ HY040N_F	Income from rental of a property or land (Two-digit flag)		Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010N_IF			
<b>HY080G/ HY080N</b>	<b>Regular inter-household cash transfer received</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY080G_- F/ HY080N_F	Regular inter-household cash transfer received (Two-digit flag)		Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010N_IF			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>HY081G/ HY081N</b>	<b>Alimonies received (compulsory + voluntary)</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY081G_ F/ HY081N_F	Alimonies received (compulsory + voluntary) (Two-digit flag or alternative one-digit flag)		Income flags as for the variable PY020G_F/ PY020N_F and PY020G_IF/PY020N_IF			
<b>HY090G/ HY090N</b>	<b>Interest, dividends, profit from capital investments in unincorporated business</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY090G_ F/ HY090N_F	Interest, dividends, profit from capital investments in unincorporated business (Two-digit flag)		Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010N_IF			
<b>HY100G/ HY100N</b>	<b>Interest repayments on mortgage</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY100G_ F/ HY100N_F	Interest repayments on mortgage (Two-digit flag: first digit)	1	Collected via survey/ interview			
		2	Collected from administrative data			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		3	Deductive/logical imputation (also including top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputation			
		6	Donor imputation			
		7	Not possible to establish the most common source or method			
	Interest repayments on mortgage (Two-digit flag: second digit)	1	Net of tax on income at source and social contributions			
		9	Not applicable (the value was not collected)			
HY100-G_IF/ HY100-N_IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
		.	if problem of dividing by 0 appears			
<b>HY110G/ HY110N</b>	<b>Income received by people aged under 16</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY110G - F/ HY110N_F	Income received by people aged under 16 (Two-digit flag)		Income flags as for the variable PY010G_F/ PY010N_F and PY010G_IF/PY010N_IF			
<b>HY170G/ HY170N</b>	<b>Value of goods produced for own consumption</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HY170G_ F/ HY170N_ F	Value of goods produced for own consumption (Two-digit flag)		Income flags as for the variable PY010G_ F/ PY010N_ F and PY010G_ IF/ PY010N_ IF			
<b>TAXES AND CONTRIBUTIONS ACTUALLY PAID AFTER REDUCTIONS</b>						
HY120G/ HY120N	Regular taxes on wealth	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY120G_ F	Regular taxes on wealth (Two-digit flag: first digit)	1	Collected via survey/interview			
		2	Collected from administrative data			
		3	Deductive/logical imputation (also including top- and bottom-coding)			
		4	Gross/net conversion			
		5	Model-based imputation			
		6	Donor imputation			
		7	Not possible to establish the most common source or method			
	Regular taxes on wealth (Two-digit flag: second digit)	1	Net of tax on income at source and social contributions			
		9	Not applicable (the value was not collected)			
	Regular taxes on wealth (Alternative: one-digit flag)	-4	Amount included in another income component			
HY120- G_ IF		-999999.99 - 999999.99	Collected value/Recorded value *100			
		.	if problem of dividing by 0 appears/if _F=-4			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>HY121G/ HY121N</b>	<b>Taxes paid on ownership of household main dwelling</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		-999999.99 - 999999.99	Income (national currency)			
HY121G_ F/ HY121N_F	Taxes paid on ownership of household main dwelling (Two-digit flag; or alternative one-digit flag)		Income flags as for the variable HY120G_ F/ HY120N_F and HY120G_ IF/HY120N_ IF			
<b>HY130G/ HY130N</b>	<b>Regular inter-household cash transfer paid</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY130G_ F/ HY130N_F	Regular inter-household cash transfer paid (Two-digit flag)		Income flags as for the variable PY010G_ F/ PY010N_F and PY010G_ IF/PY010N_ IF			
<b>HY131G/ HY131N</b>	<b>Alimonies paid (compulsory + voluntary)</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		1-999999.99	Income (national currency)			
HY131G_ F/ HY131N_F	Alimonies paid (compulsory + voluntary) (Two-digit flag or alternative one-digit flag)		Income flags as for the variable PY020G_ F/ PY020N_F and PY020G_ IF/PY020N_ IF			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>HY140G/ HY140N</b>	<b>Tax on income and social contributions</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		-999999.99 - 999999.99	Income (national currency)			
HY140G_F/ HY140N_F	Tax on income and social contributions (Two-digit flag or alternative one-digit flag)		Income flags as for the variable PY030G_F and PY030G_IF			
<b>HY145N</b>	<b>Repayments/receipts for tax adjustment</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Household respondent or registers	Income reference period
		-999999.99 - 999999.99	Income (national currency)			
HY145N_F	Repayments/receipts for tax adjustment (Two-digit flag)		Income flags as for the variable HY100G_F/HY100N_F and HY100G_IF/HY100N_IF			
<b>PY035G/ PY035N</b>	<b>Contributions to individual private pension plans</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	All current household members aged 16 and over	Personal interview (proxy as an exception for persons temporarily away or in incapacity) or registers	Income reference period
		1-999999.99	Income (national currency)			
PY035G_F/ PY035N_F	Contributions to individual private pension plans (Two-digit flag or alternative one-digit flag)		Income flags as for the variable PY030G_F and PY030G_IF			
<b>TOTAL ANNUAL INCOME AT THE LEVEL OF PERSONS AND HOUSEHOLDS</b>						
<b>HI010</b>	<b>Change in the household income compared to previous year</b>	1	Increased	Household	Household respondent	Current
		2	Remained more or less the same			
		3	Decreased			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HI010_F	Change in the household income compared to previous year ( <i>flag</i> )	1	Filled			
		-1	Missing			
HI020	<b>Reason for increase in income</b>	1	Indexation/re-evaluation of salary	Household	Household respondent	Current
		2	Increased working time, wage or salary (same job)			
		3	Come back to job market after illness, parenthood, parental leave, child care or to take care of a person with illness or disability			
		4	Starting or changed job			
		5	Change in household composition			
		6	Increase in social benefits			
		7	Other			
HI020_F	Reason for increase in income ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (HI010 not equal to 1)			
HI030	<b>Reason for decrease in income</b>	1	Reduced working time, wage or salary (same job), including self-employment (involuntary)	Household	Household respondent	Current
		2	Parenthood/parental leave/child care/to take care of a person with illness or disability			
		3	Changed job			
		4	Lost job/unemployment/bankruptcy of (own) enterprise			
		5	Became unable to work because of illness or disability			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		6	Divorce/partnership ended/other change in household composition			
		7	Retirement			
		8	Cut in social benefits			
		9	Other			
HI030_F	Reason for decrease in income (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (HI010 not equal to 3)			
<b>HI040</b>	<b>Expectation of the household income in the next 12 months</b>	1	Increase	Household	Household respondent	Current
		2	Remain the same			
		3	Decrease			
HI040_F	Expectation of the household income in the next 12 months (flag)	1	Filled			
		-1	Missing			
<b>HY010</b>	<b>Total household gross income</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Derived	Income reference period
		-999999.99 - 999999.99	Income (national currency)			
HY010_F	Total household gross income (Two-digit flag)		Income flags as for the variable PY010G_F/PY010N_F and PY010G_IF/PY010N_IF			
<b>HY020</b>	<b>Total disposable household income</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Derived	Income reference period
		-999999.99 - 999999.99	Income (national currency)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HY020_F	Total disposable household income (Two-digit flag)		<i>Income flags as for the variable PY010G_F/PY010N_F and PY010G_IF/PY010N_IF</i>			
<b>HY022</b>	<b>Total disposable household income before social transfers other than old-age and survivor's benefits</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Derived	Income reference period
		-999999.99 - 999999.99	Income (national currency)			
HY022_F	Total disposable household income before social transfers other than old-age and survivor's benefits (Two-digit flag)		<i>Income flags as for the variable PY010G_F/PY010N_F and PY010G_IF/PY010N_IF</i>			
<b>HY023</b>	<b>Total disposable household income before social transfers including old-age and survivor's benefits</b>	0	No income (to be treated in the same way as any other income value; therefore, both components for _F must be reported, as well as IF)	Household	Derived	Income reference period
		-999999.99 - 999999.99	Income (national currency)			
HY023_F	Total disposable household income before social transfers including old-age and survivor's benefits (Two-digit flag)		<i>Income flags as for the variable PY010G_F/PY010N_F and PY010G_IF/PY010N_IF</i>			
<b>ARREARS</b>						
<b>HS011</b>	<b>Arrears on mortgage or rental payments</b>	1	Yes, once	Household	Household respondent	Last 12 months
		2	Yes, twice or more			
		3	No			



Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
HS011_F	Arrears on mortgage or rental payments (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (HH021 equal to 1 or 5)			
<b>HS021</b>	<b>Arrears on utility bills</b>	1	Yes, once	Household	Household respondent	Last 12 months
		2	Yes, twice or more			
		3	No			
HS021_F	Arrears on utility bills (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (no utility bills)			
<b>HS031</b>	<b>Arrears on hire purchase instalments or other loan payments</b>	1	Yes, once	Household	Household respondent	Last 12 months
		2	Yes, twice or more			
		3	No			
HS031_F	Arrears on hire purchase instalments or other loan payments (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (no hire purchase instalments and no other loan payments)			
<b>HS150</b>	<b>Financial burden of the repayment of debts from hire purchases or loans</b>	1	Repayment is a heavy burden	Household	Household respondent	Current
		2	Repayment is somewhat a burden			
		3	Repayment is not a burden at all			
HS150_F	Financial burden of the repayment of debts from hire purchases or loans (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (no repayment of debts)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>AD-HOC SUBJECT MODULE 2021: LIVING ARRANGEMENTS AND CONDITIONS OF CHILDREN IN SEPARATED AND BLENDED FAMILIES</b>						
<b>HK010</b>	<b>Number of children who are household members and whose other parent lives outside the household</b>	0-99	Number	Household	Household respondent	Current
HK010_F	Number of children who are household members and whose other parent lives outside the household ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (no children aged 0-17 in the household)			
		-7	Not applicable (HB010 not equal to 2021)			
<b>HK020</b>	<b>Number of children who are not household members and whose parent lives inside the household</b>	0-99	Number	Household	Household respondent	Current
HK020_F	Number of children who are not household members and whose parent lives inside the household ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-7	Not applicable (HB010 not equal to 2021)			
<b>PK010</b>	<b>Household member has children who are not household members</b>	1	Yes	All current household members aged 16 and over	Household respondent	Current
		2	No			
PK010_F	Household member has children who are not household members ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (HK020 equal to 0)			
		-7	Not applicable (PB010 not equal to 2021)			
<b>RK010</b>	<b>Parent ID and sequential number of the child who is not household member</b>	Number	Number	Children aged 0-17 who are not household members but have a parent inside the household	Derived	Current
RK010_F	Parent ID and sequential number of the child who is not household member ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (HK020 equal to 0)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
		-4	Not applicable (current household member)			
		-7	Not applicable (RB010 not equal to 2021)			
<b>RK020</b>	<b>Age of the child who is not household member</b>	0-17	Number	Children aged 0-17 who are not household members but have a parent inside the household	Personal interview with the person who has children who are not household members (proxy as an exception for persons temporarily away or in incapacity)	Current
RK020_F	Age of the child who is not household member ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (HK020 equal to 0)			
		-4	Not applicable (current household member)			
		-7	Not applicable (RB010 not equal to 2021)			
<b>PK020</b>	<b>Main reason for not spending more time with children who are household members</b>	1	My work (paid work or unpaid work)	All current household members aged 16 and over	Personal interview with the person who has children who are household members (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	My studies			
		3	Being separated			
		4	For health reasons			
		4	Distance			
		5	Child doesn't want/ has other priorities			
		6	Other reason			
		7	Nothing prevents me from spending more time with children			
PK020_F	Main reason for not spending more time with children who are household members ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (person does not have children 0-17 living in the household)			
		-7	Not applicable (PB010 not equal to 2021)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>PK030</b>	<b>Main reason for not spending more time with children who are not household members</b>	1	My work (paid work or unpaid work)	All current household members aged 16 and over	Personal interview with the person who has children who are not household members (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	My studies			
		3	Being separated			
		4	For health reasons			
		4	Distance			
		5	Child doesn't want/ has other priorities			
		6	Other reason			
		7	Nothing prevents me from spending more time with children			
PK030_F	Main reason for not spending more time with children who are not household members ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (PK010 not equal to 1)			
		-7	Not applicable (PB010 not equal to 2021)			
<b>RK030</b>	<b>Usual time the parent needs to get to the child who is not household member</b>	Minutes	Minutes	Children aged 0-17 who are not household members but have a parent inside the household	Personal interview with the person who has children who are not household members (proxy as an exception for persons temporarily away or in incapacity)	Current
RK030_F	Usual time the parent needs to get to the child who is not household member ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (HK020 equal to 0)			
		-4	Not applicable (current household member)			
		-7	Not applicable (RB010 not equal to 2021)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>RK040</b>	<b>Frequency of contact during the last 12 months (via phone, social media etc.) with the child who is not household member</b>	1	Daily	Children aged 0-17 who are not household members but have a parent inside the household	Personal interview with the person who has children who are not household members (proxy as an exception for persons temporarily away or in incapacity)	Last 12 months
		2	Every week (not every day)			
		3	Several times a month (not every week)			
		4	Once a month			
		5	Less than once a month			
		6	Never			
RK040_F	Frequency of contact during the last 12 months (via phone, social media etc.) with the child who is not household member ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (HK020 equal to 0)			
		-4	Not applicable (current household member)			
		-7	Not applicable (RB010 not equal to 2021)			
<b>RK050</b>	<b>Child having a bedroom in which to sleep (including bedroom shared with siblings)</b>	1	Yes	All children aged 0-17 including those who are not household members but have a parent inside the household	Personal interview with the person who has children who are household members and/or children who are not household members (proxy as an exception for persons temporarily away or in incapacity) or household respondent	Current
		2	No, could not afford			
		3	No, other reason			
RK050_F	Child having a bedroom in which to sleep (including bedroom shared with siblings) ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-5	Not applicable (person aged 18 and over)			
		-7	Not applicable (RB010 not equal to 2021)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>RK060</b>	<b>Frequency of actively spending time with the child (meals, play, homework, walking, talking etc.)</b>	1	Various times a day	All children aged 0-17 including those who are not household members but have a parent inside the household	Personal interview with the person who has children who are household members and/or children who are not household members (proxy as an exception for persons temporarily away or in incapacity)	Last 12 months
		2	Once a day			
		3	Every week (not every day)			
		4	Several times a month (not every week)			
		5	Once a month			
		6	Less than once a month			
		7	Never			
RK060_F	Frequency of actively spending time with the child (meals, play, homework, walking, talking etc.) (flag)	1	Filled			
		-1	Missing			
		-5	Not applicable (person aged 18 and over)			
		-7	Not applicable (RB010 not equal to 2021)			
<b>RK070</b>	<b>Number of nights per month the child spends in the household (average)</b>	0-31	Number of nights per month	Children aged 0-17 who are household members but have parent outside the household or children aged 0-17 who are not household members but have a parent inside the household	Personal interview with the person who has children who are household members but have parent outside the household or who has children who are not household members (proxy as an exception for persons temporarily away or in incapacity)	A typical month
RK070_F	Number of nights per month the child spends in the household (average) (flag)	1	Filled			
		-1	Missing			
		-2	Not applicable (HK010 equal to 0 and HK020 equal to 0)			
		-5	Not applicable (person aged 18 and over)			
		-7	Not applicable (RB010 not equal to 2021)			

Variable's identifier	Variable name	Modality code	Modality label	Collection unit	Mode of collection	Reference period
<b>RK080</b>	<b>Legal child custody situation</b>	1	Exclusively the mother	Children aged 0-17 who are household members but have parent outside the household or children aged 0-17 who are not household members but have a parent inside the household	Personal interview with the person who has children who are household members but have parent outside the household or who has children who are not household members (proxy as an exception for persons temporarily away or in incapacity)	Current
		2	Exclusively the father			
		3	Both parents together			
		4	Other (e.g. grandparents, other relatives, youth welfare office)			
RK080_F	Legal child custody situation ( <i>flag</i> )	1	Filled			
		-1	Missing			
		-2	Not applicable (HK010 equal to 0 and HK020 equal to 0)			
		-5	Not applicable (person aged 18 and over)			
		-7	Not applicable (RB010 not equal to 2021)			

## ANNEX III

**Identification of households and persons****Household identification number**

- (1) The household identification number (ID) is composed of the household number and the split number. The household number is a unique number assigned to each household in wave 1. That number shall be generated in such a way as to contain no information that might enable the household to be identified. The split number for the first wave shall always take the value '00'.
- (2) In the event of a household split, the initial household shall keep the household number and split number from one wave to the next. The split-off household or households shall keep the same household number, but shall be assigned the next available unique split number in sequence.
- (3) In the event of a fusion of two sample households, if the new household remains at the previous address of one of them, it shall retain the household number and the split number of the household that occupied that address during the previous wave. If the new household now occupies a new address, the household number and split number of the household of the sample person who now has the lowest person number shall be used.

**Personal identification number**

- (1) The personal identification number (ID) is composed of the household ID and the person number.
  - (2) The person number for any new person in the household shall be formed by adding 1 to the highest person number used, for all the years of the survey and the household ID.
  - (3) The household ID shall be the household identification number of the household where the person first appears in the panel.
  - (4) The personal ID shall remain unchanged throughout the time the person is taking part in the survey.
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## ANNEX IV

**Detailed arrangements and contents of quality reports**

Member States shall include the following specific information in the quality report on the income and living conditions domain.

## STATISTICAL PROCESSING

**Sample design**

- Sample size by rotational group and allocation criteria
- Weightings (design factor, non-response adjustments, adjustments to external data (level, variables used and sources))
- Final cross-sectional and longitudinal weight
- Substitutions if applied in cases of unit non-response, method of selection of substitutes, main characteristics of substituted units compared to original units, by region (NUTS 2), distribution of substituted units by record of contact at address, household questionnaire result and household interview acceptance of the original units for each rotational group and total

*Sampling unit*

Description of the sampling units.

*Sources other than surveys*

Description of each individual source and of how they are combined.

**Data collection**

The method by which income variables at component level were obtained and the form they take (e.g. gross, net of taxes on income at source and social contributions, net of tax on income at source, net of social contributions) shall be described.

For each wave, the distribution of household members aged 16 or over by 'data status' and by 'type of interview' shall be provided, for each sample person, for co-residents and for the total.

Any differences between the national follow up rules and the standard EUSILC follow up rules shall be mentioned.

**Data compilation**

If there is any imputation, the extent to which it is used and the reasons for it shall be explained.

For the EU-SILC cross-sectional component and for each wave (if there are differences) of the EU-SILC longitudinal component, the imputation procedure used for item non-response shall be described. The list of variables where imputation is used and the instances of imputation as a percentage of the total number of observations per variable shall be reported.

If the sum of the income components is different from the total income, an explanation of the reasons shall be provided.

## ACCURACY AND RELIABILITY

**Sampling error**

For each year for the income components the mean, the total number of observations (before and after imputation) and the standard errors shall be reported at national and regional level (NUTS 2).

**Measurement error**

The different sources of measurement errors likely to be found in the survey shall be described. This includes a description of the questionnaire design, the use of a cognitive laboratory (if applicable), field testing of the questionnaire, the effect of its design, content and wording, information on the intensity and efficiency of interview training: number of training days, skills testing before starting the fieldwork (rate of success and so on), information on studies to check the impact of the interviewer, such as re-interviews, record check studies, or split-sample experiments (if available), and results from models (if available). Proxy interview rates shall be provided.

**Non-response error:***Achieved (Net) sample size*

The following information shall be provided for the achieved sample size:

- (a) For each wave the number of households for which an interview is accepted for the database by rotational group breakdown and total,
- (b) For each wave, the number of persons aged 16 or older who are members of the households for which an interview is accepted for the database, and who underwent a personal interview, by rotational group breakdown and total,
- (c) For each wave, the number of selected respondents (if applicable) who are members of the households for which an interview is accepted for the database, and who underwent a personal interview, by rotational group breakdown and total.

*Unit non-response*

For each wave, the following shall be reported: the distribution of households (original units and substituted units, if applicable) by 'record of contact at address', by 'household questionnaire result' and by 'household interview acceptance'.

For the first wave the unit non-response rate shall be provided in accordance with the formulas set out below.

For the total sample, the unit non-response shall be calculated by removing, from the numerator and the denominator of the formulas set out below, those units that are out of scope, according to the follow-up rules.

— Household non-response rates (NRh) shall be computed as follows:

$$\text{NRh} = (1 - (\text{Ra} * \text{Rh})) * 100$$

Where:

Ra = Number of addresses successfully contacted/Number of valid addresses selected

Ra is the address contact rate

Rh = Number of household interviews completed and accepted for database/Number of eligible households at contacted addresses. Rh is the proportion of complete household interviews accepted for the database.

For those Member States where substitutions are made in case of unit non-response, non-response rates shall be calculated before and after substitutions.

— Individual non-response rates (NRp) shall be computed as follows:

$$\text{NRp} = (1 - (\text{Rp})) * 100$$

Where:

Rp = Number of personal interviews completed/Number of eligible individuals in the households whose interviews were completed and accepted for the database. Rp is the proportion of complete personal interviews within the households accepted for the database.

— Overall individual non-response rates (\*NRp) shall be computed as follows:

$$* \text{NRp} = (1 - (\text{Ra} * \text{Rh} * \text{Rp})) * 100$$

For those Member States where substitutions are made in cases of unit non-response, non-response rates shall be calculated before and after substitutions.

For those Member States where a sample of persons rather than a sample of households (addresses) was selected, the individual non-response rates shall be calculated for 'the selected respondent', for all individuals aged 16 or older and for the non-selected respondent.

For the second and subsequent waves, the following information shall be provided:

— The distribution of persons by membership status

Response rate for the sample for households

— Wave response rate

Percentage of households successfully interviewed which were passed on to wave t (from wave t-1) or newly created or added during wave t, excluding those out of scope (under the rules on follow up) or non-existent.

— Longitudinal follow-up rate

Percentage of households which are passed on to wave t+1 for follow-up within the households received into wave t from wave t-1, excluding those out of scope (under the rules on follow up) or non-existent.

— Follow-up ratio

Number of households passed on from wave t to wave t+1 in comparison to the number of households received for follow-up at wave t from wave t-1.

— Achieved sample size ratio

Ratio of the number of households accepted for the database in wave t to the number of households accepted for the database in wave t-1.

Response rate for the sample of persons

— Wave response rate

Percentage of sample persons successfully interviewed among those passed on to wave t (from wave t-1) or newly created or added during wave t, excluding those out of scope (under the rules on follow up).

Percentage of co-residents selected in wave 1 successfully interviewed among those passed on to wave t (from wave t-1).

— Longitudinal follow-up rate

Percentage of sample persons successfully interviewed in wave t out of all sample persons selected, excluding those who have died or been found ineligible (out of scope), breakdown by causes of non-response.

— Achieved sample size ratio

Ratio of the number of completed personal interviews in wave t to the number of completed personal interviews in wave t-1. This ratio shall be defined for sample persons and for all persons including non-sample persons aged 16+ and for co-residents aged 16+ selected in the first wave.

— Response rate for non-sample persons

Ratio of the number of completed personal interviews of non-sample persons aged 16+ in wave t to all non-sample persons aged 16+ listed in the households accepted for the database in wave t or listed in the most recently conducted household interviews for households, which were forwarded from wave t-1 to wave t for follow-up, but could not be successfully interviewed in wave t.

For non-response or attrition in some sub-populations (e.g. young people in selected-respondent countries, other households difficult to reach), for each sub-population concerned:

Description of any measures taken to correct for non-response or attrition.

Evaluation of the impact of any such measures, i.e. how far they correct for non-response or attrition; indicator: share of the subpopulation in the achieved sample vs share of the subpopulation in the sampling frame/initial sample.

Evaluation of any difficulties such measures may indirectly involve (e.g. calibration involving high and volatile weights).

*Item non-response*

For income variables the following information shall be provided for each wave:

- Percentages of households (per income components collected or compiled at household level)/persons (per income components collected or compiled at personal level) having received an amount for each income component,
- Percentage of missing values for each income component collected or compiled at household/personal level,
- Percentage of partial information for each income component collected or compiled at household/personal level.

**Processing errors:**

The data entry controls, coding controls and the editing system applied to the data shall be described. An account shall be given of the main errors detected in the post-data-collection process, and the list of editing rules for income for income variables shall be provided.

Procedures for implementing the follow up rules (in accordance with Article 8).

Re-interview rates between wave 1 and each of the subsequent waves (to take re-entries into account too), bearing the out-of-scope rate in mind as well (i.e. among those interviewed at wave 1, the percentages at wave t of (a) individuals in interviewed households, (b) individuals out of scope and (c) individuals not interviewed for reasons other than their being out of scope).

Re-interview rates (from wave t-1 to t) for people leaving their original household, broken down by sex.

Re-interview rates (from wave t-1 to t) for young people (16-35) leaving home, broken down by sex.

**Data revision – policy**

Information shall be provided about any policy designed to ensure the transparency of disseminated data, whereby the preliminary data compiled are subsequently revised. If data are revised, this shall be reported.

**Data revision -practice**

Schedule for revisions

Main reasons for revisions and their nature (new source data available, new methods, etc.)

Average size of revisions and their direction

Explanation of any difference between provisional and final data

Date of dissemination of national results.

**COHERENCE AND COMPARABILITY****Coherence- Household Budget Survey (HBS)**

For each year for which information is available, a comparison of the income variables with HBS shall be made.

**Coherence- National Accounts**

For each year a comparison with of the main target variables with National Accounts shall be made.

**Coherence- internal**

For each year any lack of coherence in the EU-SILC data set shall be reported, together with explanations for such inconsistencies.

## COST AND BURDEN

**Respondent burden**

The mean interview duration per household shall be calculated as the sum of the duration of all household interviews plus the sum of the duration of all personal interviews, divided by the number of household questionnaires completed and accepted for the database.

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**COMMISSION IMPLEMENTING REGULATION (EU) 2019/2243****of 17 Decembre 2019****establishing a template for the contract summary to be used by providers of publicly available electronic communications services pursuant to Directive (EU) 2018/1972 of the European Parliament and of the Council****(Text with EEA relevance)**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Directive (EU) 2018/1972 of the European Parliament and of the Council of 11 December 2018 establishing the European Electronic Communications Code <sup>(1)</sup>, and in particular Article 102(3) thereof,

Whereas:

- (1) In order to identify the main elements of the contract summary that the providers of publicly available electronic communications services are to provide the consumers, microenterprises, small enterprises and not-for-profit organisations pursuant to Article 102(1) and (2) of Directive (EU) 2018/1972, a template laying down the main elements of the contract summary should be established. The contract summary should be easy to read, understand and compare, with a common structure and format.
- (2) The contract summary information, whether printed or available electronically, is to comply with the relevant accessibility requirements of Union law harmonising accessibility requirements for products and services provided for by Directive (EU) 2019/882 of the European Parliament and the Council <sup>(2)</sup>.
- (3) The contract summary is to comply with the obligations stemming from consumer protection legislation such as Council Directive 93/13/EEC <sup>(3)</sup>, Directive 2005/29/EC of the European Parliament and of the Council <sup>(4)</sup> and Directive 2011/83/EU of the European Parliament and of the Council <sup>(5)</sup>. It is also to comply with the rights and obligations stemming from the legislation on the protection of personal data, such as Regulation (EU) 2016/679 of the European Parliament and of the Council <sup>(6)</sup>.
- (4) In order to facilitate easy readability, the contract summary should not, unless duly justified, exceed the equivalent of one single-sided A4 page when printed with an easily readable font. For bundled services it should not exceed three single-sided A4 pages when printed with an easily readable font. A longer length could be justified, for example, for reasons of accessibility for consumers with disabilities. In order to ensure comparability between electronic communications service offers, the layout of the contract summary should include clearly distinguishable headings under which the different elements should be grouped. To facilitate understanding and a swift identification of important information by the consumers, the relevant elements under each heading should be described in short sentences. For reasons of readability and printability, sufficient margins should be left between the edges and the text of the contract summary.

<sup>(1)</sup> OJ L 312, 17.12.2018, p. 36.

<sup>(2)</sup> Directive (EU) 2019/882 of the European Parliament and of the Council of 17 April 2019 on the accessibility requirements for products and services (OJ L 151, 7.6.2019, p. 70).

<sup>(3)</sup> Council Directive 93/13/EEC of 5 April 1993 on unfair terms in consumer contracts (OJ L 95, 21.4.1993, p. 29).

<sup>(4)</sup> Directive 2005/29/EC of the European Parliament and of the Council of 11 May 2005 concerning unfair business-to-consumer commercial practices in the internal market and amending Council Directive 84/450/EEC, Directives 97/7/EC, 98/27/EC and 2002/65/EC of the European Parliament and of the Council and Regulation (EC) No 2006/2004 of the European Parliament and of the Council (OJ L 149, 11.6.2005, p. 22).

<sup>(5)</sup> Directive 2011/83/EU of the European Parliament and of the Council of 25 October 2011 on consumer rights, amending Council Directive 93/13/EEC and Directive 1999/44/EC of the European Parliament and of the Council and repealing Council Directive 85/577/EEC and Directive 97/7/EC of the European Parliament and of the Council (OJ L 304, 22.11.2011, p. 64).

<sup>(6)</sup> Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (OJ L 119, 04.05.2016, p.1)

- (5) The easy readability of a font depends on various factors, and includes the relation between viewing distance, the character height and whether the font size is easily enlarged when provided electronically. Where read from a close distance, a font size of at least 10 points is considered easily readable for many consumers. Headings should be clearly distinguishable from the text, for example by increased font size. Commonly used sans-serif fonts could be used to improve readability. Easy readability should also be ensured by using sufficient contrast, following state of the art practices, between the font and the background, especially when using colours.
- (6) Where the contract summary should normally be presented using a font size of at least 10 points, electronic devices or channels used for the sale of electronic communications services, such as prepaid services primarily sold at retailers, could require scaling down of the contract summary where justified, for example in order to fit the packaging or the device. Prepaid services are sometimes sold in packaging with dimensions that would render the provision of the 10 points font size impracticable.
- (7) The required information should be provided directly in the summary and not by reference to other sources of information, unless specifically provided in the instructions for completing the summary. The use of visuals, such as symbols, icons and graphics or the use of hyperlinks or pop-ups should not adversely affect easy readability and should not be intrusive so that it could distract the consumer's attention from the content of the summary. The content of the contract summary should focus on key information that the consumer needs to compare offers and to make an informed decision.
- (8) Specialised language, technical jargon and acronyms should be avoided.
- (9) Describing the services in a standardised manner is of high importance to consumers. The services included in the contract summary and the volumes included per billing period, where applicable, should be specified. The volumes should refer to the quantity of calls, messages and data included in the service, including the roaming fair use policy applied by the provider, where applicable. Calls should be measured by minutes or seconds in accordance with the provider's pre-contractual information, messages should be measured by their number and data by megabytes or gigabytes, where relevant.
- (10) The contract summary should provide information enabling consumers to contact their provider, especially in case of complaints. Relevant contact information may include, in addition to an e-mail address or telephone number, the possibility to use web forms or other types of direct contact.
- (11) The electronic communications services should be clearly described and their main service features should be indicated. Where applicable, the type of equipment should be described..
- (12) Point (d) of Article 4(1) of Regulation (EU) 2015/2120 of the European Parliament and of the Council <sup>(7)</sup> requires a contract which includes internet access services to provide also a clear and comprehensible explanation of the minimum, normally available, maximum and advertised download and upload speed in the case of fixed networks, or of the estimated maximum and advertised download and upload speed in the case of mobile networks. Point (f) of Article 102(3) of Directive (EU) 2018/1972 requires a summary of that information to be included in the contract summary. The contract summary should include the minimum, normally available and maximum download and upload speed of the internet access services in the case of fixed networks and of the estimated maximum download and upload speed of the internet access services in the case of mobile networks.

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<sup>(7)</sup> Regulation (EU) 2015/2120 of the European Parliament and of the Council of 25 November 2015 laying down measures concerning open internet access and retail charges for regulated intra-EU communications and amending Directive 2002/22/EC on universal service and users' rights relating to electronic communications networks and services and Regulation (EU) No 531/2012 on roaming on public mobile communications networks within the Union (OJ L 310, 26.11.2015, p. 1).

- (13) Point (e) of Article 4(1) of Regulation (EU) 2015/2120 requires a contract which includes internet access services to provide a clear and comprehensible explanation of the remedies available to the consumer in accordance with national law in the event of discrepancy between the actual performance of the internet access and the performance indicated in the contract. Point (f) of Article 102(3) of Directive (EU) 2018/1972 requires a summary of that explanation to be included in the contract summary. The contract summary should include a summary of the remedies available to the consumer under national law if there is a discrepancy between the actual performance of the internet access regarding speed or other quality of service parameters and the performance indicated in the contract.
- (14) Information on the price should include the applicable activation price, recurring and consumption-related charges such as the price per billing period and per month to allow for comparability, any discounts and, where applicable, the price of equipment. Where a promotional price applies, this should be clearly indicated, including the period that the discount is valid and the full price without the promotion. Information on tariffs not included in the recurring price can be extensive and it should be sufficient to indicate in the summary that this information is available separately as part of complete pre-contractual information, for example, by electronic means.
- (15) The information on conditions for termination under the heading "Duration, renewal and termination" should refer to termination of the contract, including bundled offers, due to the end of the contract duration and to early termination, where applicable under Union and national law, including fees due on early termination and information on unlocking the terminal equipment.
- (16) Where information on different products and services for end-users with disabilities is extensive and variable the contract summary may indicate that this detailed information is available separately, for example, by electronic means.
- (17) Providers may include additional information required by Union or national law before a consumer is bound by a contract or any corresponding offer in the optional section on other relevant information. This could include for example, information on switching, security, handling of personal data, energy consumption or carbon generation. Where Member States exercise their freedom to maintain or introduce in their national law provisions related to aspects not covered by Article 102 of Directive (EU) 2018/1972, the relevant information could be included in this optional section by the providers.
- (18) Pursuant to Article 123 of Directive (EU) 2018/1972 the Commission is to periodically review the application of this implementing Regulation, as part of the report on the application of Title III of Part III of that Directive.
- (19) The Body of European Regulators for Electronic Communications was consulted.
- (20) The measures provided for in this Regulation are in accordance with the opinion of the Communications Committee,

HAS ADOPTED THIS REGULATION:

#### *Article 1*

#### **Template for the contract summary**

Providers of publicly available electronic communications services other than transmission services used for the provision of machine-to-machine services shall use the template set out in Part A of the Annex, in accordance with the instructions set out in Part B of the Annex, when providing the contract summary.



*Article 2***Presentation of content**

1. The contract summary shall not, unless duly justified, exceed the equivalent of one single-sided A4 page when printed. Where services or services and terminal equipment, comprising at least an internet access service or a publicly available number-based interpersonal communications service, are bundled into a single contract, the contract summary shall not, unless duly justified, exceed the equivalent of three single-sided A4 pages when printed.
2. The information in the contract summary shall be presented in accordance with the order of headings set out in the Annex in portrait format. The font type used shall be such that the text is easily readable. The font size shall be at least 10 points. In duly justified circumstances the font size may be reduced; in such cases a possibility to enlarge the contract summary by electronic means or to receive the contract summary upon request with a font size of at least 10 points shall be provided.
3. The content of the contract summary shall be easily readable with sufficient contrast between font and background, especially when using colours. Visuals shall not overlay text.
4. The contract summary shall be drafted in language that is easily readable and understandable for consumers. The contract summary shall focus on key information that the consumer needs to compare offers and to make an informed decision.
5. Headings shall be clearly distinguishable from the text.

*Article 3***Entry into force and application**

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 21 December 2020.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 17 December 2019.

*For the Commission*

*The President*

Ursula VON DER LEYEN

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## ANNEX

## CONTRACT SUMMARY TEMPLATE

## PART A –Template

[Service name]

[Provider/provider logo]

[Contact]

## Contract summary

- This contract summary provides the main elements of this service offer as required by EU law <sup>(1)</sup>.
- It helps to make a comparison between service offers.
- Complete information about the service is provided in other documents.

**Services and equipment**

[...]

*Speeds of the internet service and remedies*

[...]

**Price**

[...]

**Duration, renewal and termination**

[...]

**Features for end-users with disabilities**

[...]

*Other relevant information*

[...]

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<sup>(1)</sup> Article 102(3) of Directive (EU) 2018/1972 of the European Parliament and of the Council of 11 December 2018 establishing the European Electronic Communications Code (OJ L 321, 17.12.2018, p. 36).

## **PART B - Instructions for completing the contract summary template**

The service or brand name of the electronic communications service(s) offered shall be immediately above the title "Contract summary". The name of the provider shall immediately follow the name of the electronic communications service(s). The provider may insert its logo to the right of the title "Contract summary". The three introductory sentences form an integral part of the contract summary and shall not be altered.

The name, address and direct contact information of the provider and, if different, the direct contact information for any complaint, shall be included below the name of the provider. The contract summary shall be dated.

In cases where the contract does not include the provision of terminal equipment, the reference to equipment under the heading "Service(s) and equipment" shall be deleted or indicated as not applicable. In cases where the contract does not include an internet access service, the section on the "Speed of the internet access service and remedies" shall be deleted or indicated as not applicable. In cases where no other information is provided, the section "Other relevant information" shall be deleted or indicated as not applicable.

Italic type font is used in Part A to illustrate that the abovementioned headings and related information are not mandatory in all circumstances. The square brackets used in Part A shall be replaced with the required information.

### Section "Services and equipment"

Description of the main characteristics of the electronic communications service(s), for example, fixed voice telephony, mobile voice telephony, mobile internet access, fixed internet access, transmission service for TV-broadcasting or number-independent interpersonal communications services shall be included. For bundles pursuant to Article 107 of Directive (EU) 2018/1972, also the type of terminal equipment, and services, such as for example TV packages, video-on-demand or other media services shall be described, where applicable. For transmission services for TV-broadcasting and for bundles including such services, the types of TV packages offered may be described where it is not possible to list all the channels included in the package. For bundles, the services shall be listed in the order mentioned in this paragraph. The description shall include, where applicable, the volume or quantity for calls, messages and data and the roaming fair use policy applied by the provider.

### Section "Speeds of the internet service and remedies"

Where the service includes internet access, a summary of the information required pursuant to points (d) and (e) of Article 4(1) of Regulation (EU) 2015/2120 shall be included. For fixed internet access service the minimum, normally available and maximum download and upload speed and for mobile internet access service the estimated maximum download and upload speed shall be included. A summary of the remedies available to the consumer in accordance with national law in the event of continuous or regularly recurring discrepancy between the actual performance of the internet access service regarding speed or other quality of service parameters and the performance indicated in the contract shall be described.

### Section "Price"

For electronic communications services provided for direct monetary payment, this section shall include the prices for activating the service and recurring or consumption-related charges.

For subscription contracts, the recurring price, inclusive of taxes, per billing period and, if the billing period is other than monthly, also per month shall be included. Any additional fixed prices such as for activating the service, and, where applicable, the price of equipment shall be indicated, as well as any time-limited discounts, where applicable.

Where applicable, consumption-related charges, which will apply after the volumes included in the recurring price have been exceeded, shall be indicated in the contract summary. Where applicable, information about tariffs for additional services not included in the recurring prices shall be indicated to be available separately.

Where the service is provided without a direct monetary payment but subject to certain obligations on users as a condition of service, that shall be indicated.

Section "Duration, renewal and termination"

The information on the duration of the contract in months and the main conditions for its renewal and termination due to the end of the contract duration and to early termination, where applicable, shall be included in the contract summary. Fees due on early termination, including information on unlocking the terminal equipment shall be included. This information will be without prejudice to other grounds for termination provided by Union or national law such as in the event of a lack of conformity with the contract.

Section "Features for end-users with disabilities"

Information on the main products and services for end-users with disabilities shall be included. This may include, where available, at least real-time text, total conversation, relay services, accessible emergency communications, specialised equipment, special tariffs and accessible information. Where applicable, details can be indicated to be available separately.

Section "Other relevant information"

Any additional information required by Union or national law before a consumer is bound by a contract or any corresponding offer can be included by the providers.

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# DECISIONS

## COUNCIL IMPLEMENTING DECISION (EU) 2019/2244

of 16 December 2019

### authorising Spain and France to apply a special measure derogating from Article 5 of Directive 2006/112/EC on the common system of value added tax

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Directive 2006/112/EC of 28 November 2006 on the common system of value added tax <sup>(1)</sup>, and in particular the first subparagraph of Article 395(1) thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) By letter registered on 23 May 2019 and by letter registered on and 17 June 2019 with the Commission, France and Spain, respectively, requested authorisation to introduce a special measure derogating from Article 5 of Directive 2006/112/EC in relation to the construction of an electricity interconnection between Gatica in Spain and Cubnezais in France ('the special measure').
- (2) By letters dated 10 September 2019, the Commission transmitted to the other Member States, pursuant to the second subparagraph of Article 395(2) of Directive 2006/112/EC, the requests made by Spain and France. By letters dated 11 September 2019, the Commission notified Spain and France that it had all the information it considered necessary for appraisal of the requests.
- (3) The national electricity market regulator of Spain, the *Comisión Nacional de los Mercados y la Competencia*, and the national electricity market regulator of France, the *Commission de Régulation de l'Energie*, signed an agreement on 22 September 2017 to finance an electricity interconnection between Spain and France across the Bay of Biscay. Construction of the interconnection was entrusted to the electricity transmission system operators in Spain and France, *Red Eléctrica de España* and *Réseau de transport d'Electricité*. That agreement provides for the costs of the project to be borne in equal shares: 50 % by Spain and 50 % by France.
- (4) By means of the special measure, the electricity interconnection between Gatica in Spain and Cubnezais in France is to be treated as being situated 50 % in Spain and 50 % in France for the purposes of supplies of goods and services, intra-Community acquisition of goods and importation of goods intended for its construction.
- (5) Without the special measure, it would be necessary to ascertain for each supply whether the place of taxation is within Spain or France in accordance with the principle of territoriality.
- (6) Based on the information provided by Spain and France, the special measure will simplify the procedure for collecting VAT and the overall amount of the tax revenue of Spain and France collected at the stage of final consumption will be affected only to a negligible extent. It is therefore appropriate to authorise Spain and France to apply the special measure.
- (7) The derogation will have no impact on the Union's own resources accruing from VAT,

HAS ADOPTED THIS DECISION:

#### Article 1

By way of derogation from Article 5 of Directive 2006/112/EC, Spain and France are authorised to consider the electricity interconnection between Gatica in Spain and Cubnezais in France as being situated 50 % on the territory of Spain and 50 % on the territory of France for the purposes of supplies of goods and services, intra-Community acquisitions of goods and importations of goods intended for its construction.

<sup>(1)</sup> OJ L 347, 11.12.2006, p. 1.

*Article 2*

This Decision shall take effect on the date of its notification.

*Article 3*

This Decision is addressed to the Kingdom of Spain and the French Republic.

Done at Brussels, 16 December 2019.

*For the Council*  
*The President*  
J. LEPPÄ

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**COUNCIL DECISION (EU) 2019/2245****of 19 December 2019****on the position to be taken on behalf of the European Union within the Association Committee in Trade configuration established by the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and the Republic of Moldova, of the other part, as regards the update of Annex XV (Elimination of customs duties) to the Agreement**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular the first subparagraph of Article 207(4) in conjunction with Article 218(9) thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) The Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and the Republic of Moldova, of the other part <sup>(1)</sup> ('the Agreement') was concluded, on behalf of the Union, on 23 May 2016 in accordance with Council Decision (EU) 2016/839 <sup>(2)</sup> and entered into force on 1 July 2016.
- (2) In accordance with Article 438(3) of the Agreement, the Association Committee in Trade configuration, as referred to in Article 438(4) of the Agreement, has the power to adopt decisions in areas in which the Association Council established by Article 434 of the Agreement has delegated powers to it. On 16 December 2014 the Association Council, by means of Decision No 3/2014 <sup>(3)</sup>, delegated to the Association Committee in Trade configuration the power to update or amend certain trade-related Annexes to the Agreement.
- (3) Following consultations the Union and the Republic of Moldova have agreed on a reciprocal basis, in accordance with Article 147 of the Agreement, to increase the volume of some products subject to annual duty-free tariff rate quotas.
- (4) The Union has agreed to increase the volume of the tariff rate quotas ('TRQs') for goods originating in the Republic of Moldova for table grapes and plums, and to introduce a new TRQ for cherries. The Republic of Moldova has agreed to gradually increase the volume of the TRQs for goods originating in the Union for the following products included in the schedule of concessions (Republic of Moldova) set out in Annex XV-D to the Agreement: pork (TRQ 1), poultry (TRQ 2), dairy (TRQ 3) and sugar (TRQ 5).
- (5) The Republic of Moldova has requested the Union to amend Annex XV-C to the Agreement in order to increase the volume of imports of wheat (flour and pellets), barley (flour and pellets), maize (flour and pellets) and cereal processed for triggering the anti-circumvention mechanism referred to in Article 148 of the Agreement.
- (6) The Association Committee in Trade configuration is to adopt a decision updating Annex XV to the Agreement. That decision is binding upon the Union.
- (7) It is appropriate to establish the position to be taken on the Union's behalf in the Association Committee in Trade configuration as regards the update of Annex XV to the Agreement.
- (8) The position of the Union within the Association Committee in Trade configuration should therefore be based on the attached draft Decision,

<sup>(1)</sup> OJ L 260, 30.8.2014, p. 4.

<sup>(2)</sup> Council Decision (EU) 2016/839 of 23 May 2016 on the conclusion, on behalf of the European Union, of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and the Republic of Moldova, of the other part (OJ L 141, 28.5.2016, p. 28).

<sup>(3)</sup> Decision No 3/2014 of the EU-Republic of Moldova Association Council of 16 December 2014 on the delegation of certain powers by the Association Council to the Association Committee in Trade configuration [2015/673] (OJ L 110, 29.4.2015, p. 40).

HAS ADOPTED THIS DECISION:

*Article 1*

The position to be taken on the Union's behalf within the Association Committee in Trade configuration, as referred to in Article 438(4) of the Agreement, as regards the update of Annex XV to the Agreement shall be based on the draft Decision of the EU-Republic of Moldova Association Committee in Trade configuration concerning the update of Annex XV (Elimination of customs duties) to the Agreement attached to this Decision.

*Article 2*

This Decision shall enter into force on the date of its adoption.

Done at Brussels, 19 December 2019.

*For the Council*  
*The President*  
K. MIKKONEN

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**DRAFT****DECISION No .../... OF THE EU- REPUBLIC OF MOLDOVA ASSOCIATION COMMITTEE IN TRADE CONFIGURATION****of ...****concerning the update of Annex XV (Elimination of customs duties) to the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and the Republic of Moldova, of the other part**

THE ASSOCIATION COMMITTEE IN TRADE CONFIGURATION,

Having regard to the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and the Republic of Moldova, of the other part, signed in Brussels on 27 June 2014, and in particular Article 147(4) and (5), Article 148(5) and Article 438(3) thereof,

Whereas:

- (1) The Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and the Republic of Moldova, of the other part ('the Agreement') entered into force on 1 July 2016.
- (2) Following consultations the EU and the Republic of Moldova have agreed on a reciprocal basis, in accordance with Article 147 of the Agreement, to increase the volume of some products subject to annual duty-free tariff rate quotas.
- (3) The EU has agreed to increase the volume of the tariff rate quotas ('TRQs') for goods originating in the Republic of Moldova for table grapes and plums, and to introduce a new TRQ for cherries. The Republic of Moldova has agreed to gradually increase the volume of the TRQs for goods originating in the EU for the following products included in the schedule of concessions (Republic of Moldova) set out in Annex XV-D to the Agreement: pork (TRQ 1), poultry (TRQ 2), dairy (TRQ 3) and sugar (TRQ 5).
- (4) Following a request made by the Republic of Moldova in accordance with Article 148 of the Agreement, the Union has agreed to increase the trigger volume for wheat (flour and pellets), barley (flour and pellets), maize (flour and pellets) and cereal processed.
- (5) On 16 December 2014 the Association Council, by means of Decision No 3/2014, delegated to the Association Committee in Trade configuration, as referred to in Article 438(4) of the Agreement, the power to update or amend certain trade-related Annexes to the Agreement,

HAS ADOPTED THIS DECISION:

*Article 1*

Annex XV to the Agreement is amended as follows:

- (1) Annex XV-A is replaced by the text set out in the Annex to this Decision;
- (2) in Annex XV-B, in the list of products subject to entry price, the entry with the CN code 2012 0809 29 00 and the product description 'Cherries (excl. sour cherries), fresh' is deleted;
- (3) in Annex XV-C, the trigger volumes for the following product categories are amended as follows:
  - (a) for product category 6 ('Wheat, flour and pellets'), in the column with the title 'Trigger volume (tonnes)', the amount '75 000' is replaced by the amount '150 000';
  - (b) for product category 7 ('Barley, flour and pellets'), in the column with the title 'Trigger volume (tonnes)', the amount '70 000' is replaced by the amount '100 000';
  - (c) for product category 8 ('Maize, flour and pellets'), in the column with the title 'Trigger volume (tonnes)', the amount '130 000' is replaced by the amount '250 000'; and
  - (d) for product category 10 ('Cereal processed'), in the column with the title 'Trigger volume (tonnes)', the amount '2 500' is replaced by the amount '5 000';

- (4) in Annex XV-D, in the schedule of concessions (Republic of Moldova), the fourth column with the title 'Category' is amended as follows:
- (a) all references to 'TRQ 1 (4 000 t)' are replaced by 'TRQ 1 (4 500 t; for the year 2021: 5 000 t; and as of the year 2022: 5 500 t)';
  - (b) all references to 'TRQ 2 (4 000 t)' are replaced by 'TRQ 2 (5 000 t; for the year 2021: 5 500 t; and as of the year 2022: 6 000 t)';
  - (c) all references to 'TRQ 3 (1 000 t)' are replaced by 'TRQ 3 (1 500 t; and as of the year 2021: 2 000 t)';
  - (d) all references to 'TRQ 5 (5 400 t)' are replaced by 'TRQ 5 (7 000 t; for the year 2021: 8 000 t; and as of the year 2022: 9 000 t)'.

*Article 2*

This Decision shall enter into force on the date of its adoption.

Done at ..., ....

*For the Association Committee in Trade configuration*

*The Chair*

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## ANNEX

## 'ANNEX XV-A

## PRODUCTS SUBJECT TO ANNUAL DUTY-FREE TARIFF-RATE QUOTAS (UNION)

Order No	CN code 2012	Product description	Volume (tonnes)	Rate of duty
1	0702 00 00	Tomatoes, fresh or chilled	2 000	free
2	0703 20 00	Garlic, fresh or chilled	220	free
3	0806 10 10	Table grapes, fresh	20 000	free
4	0808 10 80	Apples, fresh (excl. cider apples, in bulk, from 16 September to 15 December)	40 000	free
5	0809 29 00	Cherries (excl. sour cherries), fresh	1 500	free
6	0809 40 05	Plums, fresh	15 000	free
7	2009 61 10	Grape juice, incl. grape must, unfermented, Brix value $\leq 30$ at 20 °C, value of $> \text{EUR } 18$ per 100 kg, whether or not containing added sugar or other sweetening matter (excl. containing spirit)	500	free'
	2009 69 19	Grape juice, incl. grape must, unfermented, Brix value $> 67$ at 20 °C, value of $> \text{EUR } 22$ per 100 kg, whether or not containing added sugar or other sweetening matter (excl. containing spirit)		
	2009 69 51	Concentrated grape juice, incl. grape must, unfermented, Brix value $> 30$ but $\leq 67$ at 20 °C, value of $> \text{EUR } 18$ per 100 kg, whether or not containing added sugar or other sweetening matter (excl. containing spirit)		
	2009 69 59	Grape juice, incl. grape must, unfermented, Brix value $> 30$ but $\leq 67$ at 20 °C, value of $> \text{EUR } 18$ per 100 kg, whether or not containing added sugar or other sweetening matter (excl. concentrated or containing spirit)		

**COUNCIL DECISION (EU) 2019/2246****of 19 December 2019****on the position to be taken on behalf of the European Union in the CETA Joint Committee as regards the adoption of the List of Arbitrators pursuant to Article 29.8 of the Agreement**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular the first subparagraph of Article 207(4), in conjunction with Article 218(9) thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) The Comprehensive Economic and Trade Agreement (CETA) between Canada, of the one part, and the European Union and its Member States, of the other part ('the Agreement') was signed on 30 October 2016.
- (2) In accordance with Council Decision (EU) 2017/38 <sup>(1)</sup>, parts of the Agreement have been provisionally applied since 21 September 2017.
- (3) Article 29.8.1 of the Agreement provides that the CETA Joint Committee, established under Article 26.1 of the Agreement, is to establish a list of at least 15 individuals who are willing and able to serve as arbitrators.
- (4) It is therefore appropriate to establish the position to be taken on the Union's behalf in the CETA Joint Committee as the envisaged decision will be binding on the Union,

HAS ADOPTED THIS DECISION:

*Article 1*

The position to be taken on the Union's behalf in the CETA Joint Committee with regard to the adoption of the list of arbitrators pursuant to Article 29.8 of the Agreement shall be based on the draft decision of the CETA Joint Committee attached to this Decision.

*Article 2*

This Decision shall enter into force on the date of its adoption.

Done at Brussels, 19 December 2019.

*For the Council*  
*The President*  
K. MIKKONEN

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<sup>(1)</sup> Council Decision (EU) 2017/38 of 28 October 2016 on the provisional application of the Comprehensive Economic and Trade Agreement (CETA) between Canada, of the one part, and the European Union and its Member States, of the other part (OJ L 11, 14.1.2017, p. 1080).

**DRAFT**  
**DECISION No .../2019 OF THE CETA JOINT COMMITTEE**  
**of ...**  
**establishing a list of arbitrators pursuant to Article 29.8 of the Agreement**

THE CETA JOINT COMMITTEE,

Having regard to the Comprehensive Economic and Trade Agreement (CETA) between Canada, of the one part, and the European Union and its Member States, of the other part ('the Agreement'), and in particular Article 29.8 thereof,

Whereas:

- (1) In accordance with Article 30.7.3 of the Agreement, parts of the Agreement have been applied provisionally since 21 September 2017.
- (2) Pursuant to Article 29.8.1 of the Agreement, the CETA Joint Committee is to establish a list of at least 15 individuals who are willing and able to serve as arbitrators. The list of arbitrators is to be composed of three sub-lists: one sub-list for each Party and one sub-list of individuals who are not nationals of either Party to act as chairpersons,

HAS ADOPTED THIS DECISION:

1. The list of arbitrators for the purposes of Article 29.8 of the Agreement as set out in the Annex is hereby established.
2. This Decision takes effect on the date of its adoption by the CETA Joint Committee.

Done at ...,

*For the CETA Joint Committee*

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## ANNEX

## LIST OF ARBITRATORS ESTABLISHED PURSUANT TO ARTICLE 29.8 OF THE AGREEMENT

## Sub-list for Canada:

1. Serge Fréchette
2. Valerie Hughes
3. Matthew Kronby
4. Debra Steger
5. J. Christopher Thomas
6. Cherise Valles

## Sub-list for the EU:

1. Claudio Dordi
2. Michael Hahn
3. Pieter Jan Kuijper
4. H  l  ne Ruiz Fabri
5. Peter Van den Bossche

## Sub-list of Chairpersons:

1. James Bacchus
  2. Christian H  berli
  3. Daniel Moulis
  4. David Unterhalter
  5. Seung Wha Chang
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**COUNCIL DECISION (EU) 2019/2247**  
**of 19 December 2019**  
**amending Decision 2013/488/EU on the security rules for protecting EU classified information**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 240(3) thereof,

Having regard to Council Decision 2009/937/EU of 1 December 2009 adopting the Council's Rules of Procedure <sup>(1)</sup>, and in particular Article 24 thereof,

Whereas:

- (1) Appendix B to Council Decision 2013/488/EU <sup>(2)</sup> contains a table of equivalence of security classifications.
- (2) Appendix C to that decision contains a list of national security authorities (NSAs).
- (3) Sweden has notified the General Secretariat of the Council of changes to its security classifications and to its NSAs.
- (4) Furthermore, Bulgaria, the Czechia, Denmark, Germany, Estonia, Ireland, Spain, Croatia, Cyprus, Latvia, Lithuania, Hungary, Austria, Poland, Romania, Slovakia, Finland and the United Kingdom have notified the General Secretariat of the Council of changes to their respective NSAs.
- (5) Decision 2013/488/EU should therefore be amended accordingly,

HAS ADOPTED THIS DECISION:

*Article 1*

Appendices B and C to Decision 2013/488/EU are replaced by the text appearing respectively in Annexes 1 and 2 to this Decision.

*Article 2*

This Decision shall enter into force on the day of its publication.

Done at Brussels, 19 December 2019.

*For the Council*  
*The President*  
K. MIKKONEN

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<sup>(1)</sup> OJ L 325, 11.12.2009, p. 35.

<sup>(2)</sup> Council Decision 2013/488/EU of 23 September 2013 on the security rules for protecting EU classified information (OJ L 274, 15.10.2013, p. 1).

## ‘APPENDIX B

## EQUIVALENCE OF SECURITY CLASSIFICATIONS

EU	TRÈS SECRET UE/EU TOP SECRET	SECRET UE/EU SECRET	CONFIDENTIEL UE/EU CONFIDENTIAL	RESTREINT UE/EU RESTRICTED
Belgium	Très Secret (Loi 11.12.1998) Zeer Geheim (Wet 11.12.1998)	Secret (Loi 11.12.1998) Geheim (Wet 11.12.1998)	Confidentiel (Loi 11.12.1998) Vertrouwelijk (Wet 11.12.1998)	nota (1) below
Bulgaria	Строго секретно	Секретно	Поверително	За служебно ползване
Czechia	Přísně tajné	Tajné	Důvěrné	Vyhrazené
Denmark	YDERST HEMMELIGT	HEMMELIGT	FORTROLIGT	TIL TJENESTEBRUG
Germany	STRENG GEHEIM	GEHEIM	VS (?) - VERTRAULICH	VS - NUR FÜR DEN DIENSTGEB- RAUCH
Estonia	Täiesti salajane	Salajane	Konfidentsiaalne	Piiratud
Ireland	Top Secret	Secret	Confidential	Restricted
Greece	Άκρως Απόρρητο Abr: ΑΑΠ	Απόρρητο Abr: (ΑΠ)	Εμπιστευτικό Abr: (ΕΜ)	Περιορισμένης Χρήσης Abr: (ΠΧ)
Spain	SECRETO	RESERVADO	CONFIDENCIAL	DIFUSIÓN LIMITADA
France	Très Secret Défense	Secret Défense	Confidentiel Défense	nota (2) below
Croatia	VRLO TAJNO	TAJNO	POVJERLJIVO	OGRANIČENO
Italy	Segretissimo	Segreto	Riservatissimo	Riservato
Cyprus	Άκρως Απόρρητο Abr: (ΑΑΠ)	Απόρρητο Abr: (ΑΠ)	Εμπιστευτικό Abr: (ΕΜ)	Περιορισμένης Χρήσης Abr: (ΠΧ)
Latvia	Sevišķi slepeni	Slepeni	Konfidenciāli	Dienesta vajadzībām
Lithuania	Visiškai slaptai	Slaptai	Konfidencialiai	Riboto naudojimo
Luxembourg	Très Secret Lux	Secret Lux	Confidentiel Lux	Restreint Lux
Hungary	Szigorúan titkos!	Titkos!	Bizalmas!	Korlátozott terjesztésű!
Malta	L-Oghla Segretezza Top Secret	Sigriet Secret	Kunfidenzjali Confidential	Ristrett Restricted (4)



EU	TRÈS SECRET UE/EU TOP SECRET	SECRET UE/EU SECRET	CONFIDENTIEL UE/EU CONFIDENTIAL	RESTREINT UE/EU RESTRICTED
Netherlands	Stg. ZEER GEHEIM	Stg. GEHEIM	Stg. CONFIDENTIEEL	Dep. VERTROUWELIJK
Austria	Streng Geheim	Geheim	Vertraulich	Eingeschränkt
Poland	Ścisłe Tajne	Tajne	Poufne	Zastrzeżone
Portugal	Muito Secreto	Secreto	Confidencial	Reservado
Romania	Strict secret de importanță deosebită	Strict secret	Secret	Secret de serviciu
Slovenia	STROGO TAJNO	TAJNO	ZAUPNO	INTERNO
Slovakia	Prísne tajné	Tajné	Dôverné	Vyhradené
Finland	ERITTÄIN SALAINEN YTTERST HEMLIK	SALAINEN HEMLIK	LUOTTAMUKSELLINEN KONFIDENTIELL	KÄYTTÖ RAJOITETTU BEGRÄNSAD TILLGÅNG
Sweden	Kvalificerat hemlig	Hemlig	Konfidentiell	Begränsat hemlig
United Kingdom	UK TOP SECRET	UK SECRET	nota (⁴) below	UK OFFICIAL SENSITIVE

(<sup>1</sup>) Diffusion Restreinte/Beperkte Verspreiding is not a security classification in Belgium. Belgium handles and protects “RESTREINT UE/EU RESTRICTED” information in a manner no less stringent than the standards and procedures described in the security rules of the Council of the European Union.

(<sup>2</sup>) Germany: VS = Verschlusssache.

(<sup>3</sup>) France does not use the classification “RESTREINT” in its national system. France handles and protects “RESTREINT UE/EU RESTRICTED” information in a manner no less stringent than the standards and procedures described in the security rules of the Council of the European Union.

(<sup>4</sup>) The Maltese and English markings for Malta can be used interchangeably.

(<sup>5</sup>) The UK no longer uses the classification “UK CONFIDENTIAL” in its national system. The UK handles and protects “CONFIDENTIEL UE/EU CONFIDENTIAL” classified information in accordance with the protective security requirements for “UK SECRET”.

## ANNEX II

## APPENDIX C

## LIST OF NATIONAL SECURITY AUTHORITIES (NSAs)

<p><b>BELGIUM</b>  Autorité nationale de Sécurité  SPF Affaires étrangères, Commerce extérieur et Coopération  au Développement  15, rue des Petits Carmes  1000 Bruxelles  Tel. Secretariat: +32 25014542  Fax +32 25014596  Email: nvo-ans@diplobel.fed.be</p>	<p><b>ESTONIA</b>  National Security Authority Department  Estonian Foreign Intelligence Service  Rahumäe tee 4B  11316 Tallinn  Tel. +372 6939211  Fax +372 6935001  Email: nsa@fis.gov.ee</p>
<p><b>BULGARIA</b>  State Commission on Information Security  4 Kozloduy Str.  1202 Sofia  Tel. +359 29333600  Fax +359 29873750  Email: dksi@government.bg  Website: www.dksi.bg</p>	<p><b>IRELAND</b>  National Security Authority  Department of Foreign Affairs and Trade  76 - 78 Harcourt Street  Dublin 2  D02 DX45 Ireland  Tel. 1: +353 14082842  Tel. 2: +353 14082724  Email: nsa@dfa.ie</p>
<p><b>CZECHIA</b>  Národní bezpečnostní úřad  (National Security Authority)  Na Popelce 2/16  150 06 Praha 56  Tel. +420 257283335  Fax +420 257283110  Email: oms@nbu.cz  Website: www.nbu.cz</p>	<p><b>GREECE</b>  Γενικό Επιτελείο Εθνικής Άμυνας (ΓΕΕΘΑ)  Διεύθυνση Ασφαλείας και Αντιπληροφοριών  ΣΤΓ 1020 -Χολαργός (Αθήνα)  Ελλάδα  Τηλ.: +30 2106572045 (ώρες γραφείου)  +30 2106572009 (ώρες γραφείου)  Φαξ: +30 2106536279  +30 2106577612  Hellenic National Defence General Staff (HNDGS)  Counter Intelligence and Security Directorate (NSA)  227-231 HOLARGOS  STG 1020 ATHENS  Tel. +30 2106572045  +30 2106572009  Fax +30 2106536279  +30 2106577612</p>
<p><b>DENMARK</b>  Politiets Efterretningstjeneste  (Danish Security Intelligence Service)  Klausdalsbrovej 1  2860 Søborg  Tel. +45 45159007  Fax +45 45150190  Forsvarets Efterretningstjeneste  (Danish Defence Intelligence Service)  Kastellet 30  2100 Copenhagen Ø  Tel. +45 33325566  Fax +45 33931320</p>	<p><b>SPAIN</b>  Autoridad Nacional de Seguridad  Oficina Nacional de Seguridad  Calle Argentona, 30  28023 Madrid  Tel. +34 913725000  Fax +34 913725808  Email: nsa-sp@areatec.com</p>

<p><b>GERMANY</b>          Bundesministerium des Innern, für Bau und Heimat          Section ÖS II 5          Alt-Moabit 140          D-10557 Berlin          Tel. +49 30186810          Fax +49 30186811441          Email 1: OESII5@bmi.bund.de          Email 2: PersGS@bmi.bund.de</p>	<p><b>FRANCE</b>          Secrétariat général de la défense et de la sécurité nationale          Sous-direction Protection du secret (SGDSN/PSD)          51 Boulevard de la Tour-Maubourg          75700 Paris 07 SP          Tel. +33 171758177          Fax +33 171758200</p>
<p><b>CROATIA</b>          Office of the National Security Council          Croatian NSA          Jurjevska 34          10000 Zagreb          Croatia          Tel. +385 14681222          Fax +385 14686049          Email: NSACroatia@uvns.hr          Website: www.uvns.hr</p>	<p><b>LUXEMBOURG</b>          Autorité nationale de Sécurité          Boîte postale 2379          1023 Luxembourg          Tel. +352 24782210 central          Tel. +352 24782253 direct          Fax +352 24782243</p>
<p><b>ITALY</b>          Presidenza del Consiglio dei Ministri          D.I.S. - U.C.Se          Via di Santa Susanna, 15          00187 Roma          Tel. +39 0661174266          Fax +39 064885273</p>	<p><b>HUNGARY</b>          Nemzeti Biztonsági Felügyelet          (National Security Authority of Hungary)          1024 Budapest, Szilágyi Erzsébet fasor 11/B          Postal address: 1399 Budapest, Pf. 710/50          Tel. +36 13911862          Fax +36 13911889          Email: nbf@nbf.hu          Website: www.nbf.hu</p>
<p><b>CYPRUS</b>          ΥΠΟΥΡΓΕΙΟ ΑΜΥΝΑΣ          ΣΤΡΑΤΙΩΤΙΚΟ ΕΠΙΤΕΛΕΙΟ ΤΟΥ ΥΠΟΥΡΓΟΥ ΑΜΥΝΑΣ          ΕΘΝΙΚΗ ΑΡΧΗ ΑΣΦΑΛΕΙΑΣ (ΕΑΑ)          Υπουργείο Άμυνας          Λεωφόρος Στροβόλου 172-174, 1432          Λευκωσία          Ταχυδρομικός Κώδικας: 2048          Τηλεφωνα: +357 22807569, +357 22807643, +357 22807764          Τηλεμοιότυπ: +357 22302351          Ηλεκτρονικό Ταχυδρομείο: cynsa@mod.gov.cy          Ministry of Defence          Minister's Military Staff          National Security Authority (NSA)          172-174 Strovolou Avenue, 1432          Nicosia          Postal code: 2048          Tel. +357 22807569, +357 22807643, +357 22807764          Fax +357 22302351          Email: cynsa@mod.gov.cy</p>	<p><b>MALTA</b>          Ministry for Home Affairs and National Security          P.O. Box 146          MT-Valletta          Tel. +356 21249844          Fax +356 25695321</p>
<p><b>LATVIA</b>          National Security Authority          Constitution Protection Bureau of the Republic of Latvia          P.O.Box 286          LV-1001 Riga          Tel. +371 67025418          Email: ndi@sab.gov.lv</p>	<p><b>NETHERLANDS</b>          Ministerie van Binnenlandse Zaken en Koninkrijksrelaties          Postbus 20010          2500 EA Den Haag          Tel. +31 703204400          Fax +31 703200733          Ministerie van Defensie          Beveiligingsautoriteit          Postbus 20701          2500 ES Den Haag          Tel. +31 703187060          Fax +31 703187522</p>

<p><b>LITHUANIA</b> Lietuvos Respublikos paslapčių apsaugos koordinavimo komisija (The Commission for Secrets Protection Coordination of the Republic of Lithuania National Security Authority) Pilaitės ave. 19 LT-06264 Vilnius Tel. +370 570666128 Fax +370 70666700 Email: nsa@vsd.lt</p>	<p><b>AUSTRIA</b> Informationssicherheitskommission Bundeskanzleramt Ballhausplatz 2 1010 Wien Tel. +43 153115202594 Fax +43 153109202594 Email: isk@bka.gv.at</p>
<p><b>POLAND</b> Agencja Bezpieczeństwa Wewnętrznego — ABW (Internal Security Agency) 2A Rakowiecka St. 00-993 Warszawa Tel. +48 225857663 Fax +48 225858509 Email: nsa@abw.gov.pl Website: www.abw.gov.pl</p>	<p><b>SLOVAKIA</b> Národný bezpečnostný úrad (National Security Authority) Budatínska 30 851 06 Bratislava Tel. +421 268691111 Fax +421 268691700 Email: podatelna@nbu.gov.sk Website: www.nbu.gov.sk</p>
<p><b>PORTUGAL</b> Presidência do Conselho de Ministros Autoridade Nacional de Segurança Rua da Junqueira, 69 1300-342 Lisboa Tel. +351 213031710 Fax +351 213031711</p>	<p><b>FINLAND</b> National Security Authority Ministry for Foreign Affairs P.O. Box 453 FI-00023 Government Tel. +358 916055890 Email: NSA@formin.fi</p>
<p><b>ROMANIA</b> Oficiul Registrului Național al Informațiilor Secrete de Stat – Romanian NSA – ORNISS National Registry Office for Classified Information Strada Mureș nr. 4 012275 Bucharest Tel. +40 212075114 Fax +40 212240714 Email: nsa.romania@nsa.ro Website: www.orniss.ro</p>	<p><b>SWEDEN</b> Ministry for Foreign Affairs Swedish National Security Authority 103 39 Stockholm Tel. +46 84051000 Email: ud-nsa@gov.se</p>
<p><b>SLOVENIA</b> Urad Vlade RS za varovanje tajnih podatkov Gregorčičeva 27 1000 Ljubljana Tel. +386 14781390 Fax +386 14781399 Email: gp.uvtp@gov.si</p>	<p><b>UNITED KINGDOM</b> UK National Security Authority Cabinet Office Room 335 70 Whitehall London SW1A 2AS Tel. 1: +44 2072765645 Tel. 2: +44 2072765497 Email: uk-nsa@cabinetoffice.gov.uk</p>

**COUNCIL DECISION (EU) 2019/2248****of 19 December 2019****on the position to be taken on behalf of the European Union within the Joint Committee established by the Agreement on Air Transport between Canada and the European Community and its Member States**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 100(2), in conjunction with Article 218(9) thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) The Agreement on Air Transport between Canada and the European Community and its Member States ('the Agreement') was concluded by the Union by means of Council Decision (EU) 2019/702 <sup>(1)</sup> and entered into force on 16 May 2019.
- (2) Article 17 of the Agreement establishes a Joint Committee to ensure the proper functioning and implementation of the Agreement.
- (3) Article 17(8) of the Agreement provides that the Joint Committee is to adopt its rules of procedure.
- (4) In order to ensure the effective implementation of the Agreement, the Joint Committee's rules of procedure should be adopted.
- (5) The position of the Union within the Joint Committee should therefore be based on the attached draft Joint Committee Decision,

HAS ADOPTED THIS DECISION:

*Article 1*

The position to be taken on behalf of the Union during the first meeting of the Joint Committee established by Article 17 of the Agreement on Air Transport between Canada and the European Community and its Member States, in relation to the adoption of the rules of procedure of the Joint Committee, shall be based on the draft Decision of the Joint Committee attached to this Decision.

Minor changes to the draft Decision of the Joint Committee may be agreed to by the representatives of the Union within the Joint Committee without a further Council Decision.

*Article 2*

This Decision shall enter into force on the date of its adoption.

Done at Brussels, 19 December 2019.

*For the Council*  
*The President*  
K. MIKKONEN

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<sup>(1)</sup> Council Decision (EU) 2019/702 of 15 April 2019 on the conclusion, on behalf of the Union, of the Air Transport Agreement between the European Community and its Member States, of the one part, and Canada, of the other part (OJ L 120, 8.5.2019, p. 1).

**DRAFT****DECISION No 1/2020 OF THE CANADA-EU JOINT COMMITTEE ESTABLISHED BY THE AGREEMENT ON AIR TRANSPORT BETWEEN CANADA AND EUROPEAN COMMUNITY AND ITS MEMBER STATES****of ...****adopting its rules of procedure**

THE CANADA-EU JOINT COMMITTEE,

Having regard to the Agreement on Air Transport between Canada and the European Community and its Member States ('the Agreement'), and in particular Article 17 thereof,

HAS DECIDED AS FOLLOWS:

*Sole Article*

The rules of procedure of the Joint Committee annexed to this Decision are hereby adopted.

Done at ...,

*For the Joint Committee,**The Head of the European Union Delegation*  
[name]*The Head of the Canada Delegation*  
[name]

\_\_\_\_\_

## ANNEX

## RULES OF PROCEDURE

THE JOINT COMMITTEE,

Having regard to the Agreement on Air Transport between Canada and the European Community and its Member States, and in particular Article 17 thereof,

HAS ADOPTED THE FOLLOWING RULES OF PROCEDURE:

*Article 1***Heads of Delegation**

1. The Joint Committee shall be composed of representatives of the Parties.
2. The Joint Committee shall be jointly chaired by the Heads of Delegation of the Parties.

*Article 2***Meetings**

1. The Joint Committee shall meet at least once a year, with responsibility for hosting alternating between the Parties. In addition, either Party may request the convening of a meeting of the Joint Committee pursuant to Article 17(3) of the Agreement.
2. The Joint Committee may hold meetings face-to-face or via other means (conference calls or video conferences).

*Article 3***Delegations**

1. Prior to a meeting, the Heads of Delegation shall inform each other of the intended composition of their delegations for that meeting.
2. Air transport industry stakeholder representatives may be invited to attend meetings as observers, if the Joint Committee so agrees.
3. The Joint Committee may agree to invite other interested parties or experts to attend meetings in order to provide information on particular subjects.

*Article 4***Secretariat**

An official of the European Commission and an official of the Government of Canada shall act jointly as secretaries of the Joint Committee.

*Article 5***Agenda of the meetings**

1. The Heads of Delegation shall establish the provisional agenda of each meeting by mutual agreement. To facilitate distribution to, and consultation with, members of each delegation, and to the extent possible, that provisional agenda shall be established at the latest fifteen days before the date of the meeting.

2. The agenda shall be adopted by the Joint Committee at the beginning of each meeting. Items other than those appearing on the provisional agenda may be included in the agenda if the Joint Committee so agrees.
3. The Heads of Delegation may shorten the time limit provided for in paragraph 1 in order to take into account the urgent nature of a particular matter.

#### *Article 6*

### **Record of the Meeting**

1. A draft Record of the Meeting of each Joint Committee meeting shall be drawn up at the end of each meeting. It shall indicate the items discussed and any joint conclusions reached, including any recommendations and decisions adopted.
2. The Record of the Meeting shall be approved in writing by the Heads of Delegation within 30 days of the date of the meeting or by any other date decided by the Parties.
3. When approved, the Record of the Meeting shall be signed by the Heads of Delegation and one original copy will be filed by each of the Parties. The Parties may decide that signing and exchanging electronic copies satisfies that requirement.
4. Except as otherwise agreed, the meetings of the Joint Committee shall not be public. The Records of the Meeting and exchanges of correspondence, as the case may be, shall be public unless otherwise requested by one of the Parties. If necessary, the Joint Committee may agree to recommend the issuance of a joint press release.

#### *Article 7*

### **Written procedure**

Where necessary, and duly motivated, decisions and recommendations of the Joint Committee may be adopted through written procedure. To that end, the Heads of Delegation shall exchange the draft measures on which the opinion of the Joint Committee is asked, which then may be confirmed by exchange of correspondence.

#### *Article 8*

### **Deliberations**

1. The Joint Committee shall take its decisions and formulate recommendations on the basis of consensus.
2. The decisions and recommendations of the Joint Committee shall be entitled 'Decision' and 'Recommendation' and followed by a serial number, by the date of their adoption and by a description of their subject.
3. The decisions and recommendations of the Joint Committee shall be signed by the Heads of Delegation and attached to the Record of the Meeting.
4. Any decision taken by the Joint Committee shall be implemented by the Parties in accordance with their own internal procedures.

#### *Article 9*

### **Working Groups**

1. The Joint Committee may agree to set up working groups to study specific matters of relevance to the Agreement. The terms of reference for a working group shall be approved by the Joint Committee and included in the appropriate Record of the Meeting.
2. The membership of the working groups shall be determined by the Joint Committee.



3. The working groups shall report to the Joint Committee. They shall not make decisions but may make recommendations to the Joint Committee.
4. The Joint Committee may at any time agree to abolish any existing working groups, modify their terms of reference or establish new working groups to assist it in carrying out its duties.

*Article 10*

**Expenses**

1. Members of each delegation shall defray their expenses related to their participation in a meeting or a working group.
2. Any other expenditure relating to the material organisation of meetings shall be borne by the Party hosting the meeting.

*Article 11*

**Amendments to the Rules of Procedure**

The Joint Committee may, at any time, amend these Rules of procedure, by decision taken in accordance with Article 8.

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**COUNCIL DECISION (EU) 2019/2249****of 19 December 2019****on the position to be taken on behalf of the European Union within the Committee on Rules of Origin of the World Trade Organization**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular the first subparagraph of Article 207(4) in conjunction with Article 218(9) thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) The Agreement on Rules of Origin (the 'Agreement') was concluded by the Union by Council Decision 94/800/EC <sup>(1)</sup> and entered into force on 1 January 1995.
- (2) Article 4 of the Agreement establishes the Committee on Rules of Origin.
- (3) The Committee on Rules of Origin is to adopt a communication entitled 'Enhancing Transparency in Non-preferential Rules of Origin'.
- (4) It is appropriate to establish the position to be taken on the Union's behalf within the Committee on Rules of Origin, as the communication to be adopted will be binding on the Union.
- (5) The proposed position to be taken on the Union's behalf aims to enhance transparency of laws, regulations and practices regarding non-preferential rules of origin, by means of rules on mandatory or voluntary notification by World Trade Organization (WTO) members of their non-preferential rules of origin, using standardised templates. This will result in clearer and more predictable rules of origin and will facilitate the flow of international trade,

HAS ADOPTED THIS DECISION:

*Article 1*

The position to be taken on the Union's behalf within the Committee on Rules of Origin shall be based on the draft communication of the Committee on Rules of Origin set out in the Annex to this Decision.

Minor technical changes to that draft communication may be agreed by the representatives of the Union in the Committee on Rules of Origin.

*Article 2*

This Decision shall enter into force on the date of its adoption.

Done at Brussels, 19 December 2019.

*For the Council*

*The President*

K. MIKKONEN

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<sup>(1)</sup> Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986-1994) (OJ L 336, 23.12.1994, p. 1).

## ANNEX

**Enhancing Transparency in Non-preferential Rules of Origin**

*Members of the World Trade Organization,*

*Desiring* to ensure that rules of origin themselves do not create restrictive, distorting or disruptive effects on international trade;

*Desiring* to ensure that rules of origin are prepared and applied in an impartial, transparent, predictable, consistent and neutral manner;

*Recognizing* that clear and predictable rules of origin and their application facilitate the flow of international trade;

*Recognizing* that it is desirable to provide transparency of laws, regulations, and practices regarding rules of origin;

*Desiring* to complement the notifications obligations of Article 5 of the Agreement on Rules of Origin;

*Affirming* that enhancing transparency of laws, regulations, and practices regarding rules of origin contribute to mitigate compliance costs of economic operators wishing to integrate global value chains, notably micro, small and medium enterprises;

*Decide*, with respect to rules of origin, as follows:

1. That it is desirable to maintain and promote a high level of transparency and mutual understanding about existing rules of origin and related documentary requirements used by WTO Members. Rules of origin are understood as those falling under the scope of Article 1 of the Agreement on Rules of Origin.
2. In order to enhance transparency and promote a better understanding of rules of origin, Members shall notify the WTO Secretariat, pursuant to Annex 1 of this Decision, the rules of origin that they use in the application of most-favoured-nation treatment under Articles I, II, III, XI and XIII of GATT 1994.
3. Members are encouraged to complete the notification template in Annex 1 when notifying the WTO Secretariat of any other rules of origin that they use for the application of other non-preferential commercial policy instruments as provided for in Article 1.2 of the Agreement on Rules of Origin.
4. In addition, Members shall describe, according to Annex 2, their practices with respect to certification of origin and other mandatory documentary proofs of origin for non-preferential purposes that have been notified according to Annex 1 <sup>(1)</sup>. Members that report that they do not implement rules of origin according to Annex 1 shall, nonetheless, complete Annex 2.
5. Notifications pursuant to paragraphs 2 and 4 of this Decision shall be submitted no later than one year after the adoption of this Decision.
6. The information notified pursuant to this decision shall be made publicly available by the WTO Secretariat.
7. Each Member shall, within its available resources, establish or maintain one or more enquiry points to answer reasonable enquiries of governments, traders and other interested parties on matters regarding rules of origin and related documentary requirements as well as to provide the required forms and documents <sup>(2)</sup>. Members shall communicate the contact details of their respective enquiry points to the WTO Secretariat pursuant to Annex 1. Least-developed country Members shall have two years to communicate this information to the WTO Secretariat.
8. Members shall endeavour to provide legal references, websites, explanatory documents, or any other documents, in an official WTO language.
9. Members introducing substantive changes to their rules of origin and related documentary requirements that have been notified according to this Decision, shall notify such changes promptly to the WTO Secretariat according to this Decision.

<sup>(1)</sup> This is without prejudice to other proofs of origin that may be required by the competent authorities for control purposes.

<sup>(2)</sup> It is understood that this enquiry point can be the same as established or maintained pursuant to Article 1.3 (*Enquiry points*) of the Trade Facilitation Agreement (TFA) and Members are not required to provide more information or provide more forms and documents than covered by the TFA.

10. The Committee on Rules of Origin (CRO) shall examine existing rules of origin and related documentary requirements based on the information notified according to this Decision, with a view to identifying trade-facilitating practices and to promoting their international diffusion.
  11. Assistance by the WTO Secretariat should be provided upon request to help developing and least-developed country Members implement the provisions of this Decision.
  12. Nothing in this Decision shall be construed as affecting the rights and obligations of Members contained in Article 5 of the Agreement on Rules of Origin or in Article 1 of the Trade Facilitation Agreement.
  13. This Decision, in particular paragraphs 2 and 3, shall be reviewed three years after its adoption, and thereafter when necessary, with a view to further enhancing transparency on non-preferential rules of origin as appropriate.
-

## ANNEX 1

## NOTIFICATION TEMPLATE FOR NON-PREFERENTIAL RULES OF ORIGIN

*Annex 1 may be duplicated as many times as the member deems necessary*

## I. BASIC INFORMATION

1)	Notifying member	
2)	Enquiry point (If possible, provide the following contact details: Name, tel., e-mail, website)	
3)	Are Non-Preferential RO in force?	<input type="checkbox"/> Yes <input type="checkbox"/> No* <i>* If your answer is "No", the subsequent questions of this Annex do not need to be completed</i>
4)	Please indicate which commercial policy instruments use these non-preferential RO (refer to Article 1.2 of the Agreement on Rules of Origin)	
5)	Date of entry into force or any substantive modification thereof:	
6)	Date of expiration, if applicable:	
7)	Governmental or non-governmental authorities in charge of administration:	
8)	Internet link to legislation and any other explanatory documents, if applicable:	
9)	Comments, if any	

## II. APPLICATION OF NON-PREFERENTIAL RULES OF ORIGIN

10)	Do non-preferential RO apply to imports	<input type="checkbox"/> Yes <input type="checkbox"/> No
11)	Do non-preferential RO apply to exports	<input type="checkbox"/> Yes <input type="checkbox"/> No
12)	De minimis rule for the application of non-preferential RO	<input type="checkbox"/> Yes <input type="checkbox"/> No
	If Yes, please specify the de minimis threshold and provide the relevant legal references applicable to questions 10 to 12.	

## III. CRITERIA FOR DETERMINING SUBSTANTIAL TRANSFORMATION FOR ASSESSING THE ORIGIN OF THE GOOD

13)	General criteria, if applicable for all products:	
14)	Product specific rules of origin where applicable:	
15)	Definition of non-originating material and originating material, if any:	
16)	List of minimal operations not conferring origin, if any:	
17)	Residual rules, if any:	
18)	Any other information the member deems necessary (provide an Internet link, if appropriate)	

## IV. ADVANCE RULINGS

Are advance rulings on the origin of a good issued? <sup>(1)</sup>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Authority in charge of issuing advance rulings (on origin)		
Instructions for the application for an advance ruling		
Internet link to legislation and any other relevant legal references:		

<sup>(1)</sup> As defined in Article 2(h) of the Agreement on Rules of Origin and Article 3 of the Trade Facilitation Agreement.

## ANNEX 2

## NOTIFICATION TEMPLATE FOR DOCUMENTARY REQUIREMENTS RELATED TO NON-PREFERENTIAL RULES OF ORIGIN

1)	Mandatory requirements for certificate and/or any other mandatory documentary proof of origin for imports?	<input type="checkbox"/> Yes	<input type="checkbox"/> No**
2)	Mandatory requirements for certificate and/or any other mandatory documentary proof of origin for exports?	<input type="checkbox"/> Yes	<input type="checkbox"/> No**
3)	Is there a standardized or prescribed format and/or content of Certificate and/or any other mandatory documentary proof of origin?  If Yes, please attach a copy or provide relevant details in the Appendix of this Annex	<input type="checkbox"/> Yes	<input type="checkbox"/> No  <i>** If answers to questions 1 and 2 are "No", the subsequent questions of this Annex do not need to be completed</i>
4)	If only requested in specific circumstances, please describe the cases for which a certificate (or other mandatory documentary proofs of origin) is requested and the respective format (prescribed form or other).		
5)	If mandatory requirements for certificate and/or any other mandatory documentary proof of origin are limited to certain products please specify for which HS Chapters and the respective format (prescribed form or other).		
6)	Exemptions to the mandatory requirements of presenting a certificate and/or any other mandatory documentary proof of origin (e.g. low value consignments, postal consignments, ...)		
7)	Governmental or non-governmental authorities designated for issuance of certificate and/or any other mandatory documentary proof of origin, if any		
8)	Please provide the relevant legal references applicable to Questions 1 to 7		

*ANNEX 2 — APPENDIX*

Please attach the prescribed form and/or Internet link to the prescribed form of Certificate of origin (or other mandatory documentary proof of origin), if applicable

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**COUNCIL IMPLEMENTING DECISION (EU) 2019/2250**  
**of 19 December 2019**  
**approving the Rules of Procedure of Eurojust**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) 2018/1727 of the European Parliament and of the Council of 14 November 2018 on the European Union Agency for Criminal Justice Cooperation (Eurojust), and replacing and repealing Council Decision 2002/187/JHA <sup>(1)</sup>, and in particular Article 5(5) thereof,

Whereas:

- (1) In accordance with Regulation (EU) 2018/1727, the College of Eurojust ('the College') is to adopt Eurojust's Rules of Procedure. Those Rules of Procedure are to be approved by the Council by means of implementing acts.
- (2) The draft Rules of Procedure were approved, in accordance with Article 5(5) of Regulation (EU) 2018/1727, by the College on 12 December 2019. The separate draft Rules of Procedure on the processing and protection of personal data referred to in Article 17 of the Rules of Procedure were approved by the College on 16 December 2019.
- (3) The Rules of Procedure and the separate Rules of Procedure on the processing and protection of personal data referred to in Article 17 of the Rules of Procedure, should be approved by the Council.
- (4) Denmark is not bound by Regulation (EU) 2018/1727 and is therefore not taking part in the adoption and application of this Decision, which implements Regulation (EU) 2018/1727.
- (5) Ireland and the United Kingdom are bound by Regulation (EU) 2018/1727 and are therefore taking part in the adoption and application of this Decision, which implements Regulation (EU) 2018/1727.

HAS ADOPTED THIS DECISION:

*Article 1*

The Rules of Procedure of Eurojust, and the separate Rules of Procedure on the processing and protection of personal data referred to in Article 17 of the Rules of Procedure, are hereby approved.

*Article 2*

This Decision shall enter into force on the date of its adoption.

Done at Brussels, 19 December 2019,

*For the Council*  
*The President*  
K. MIKKONEN

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<sup>(1)</sup> OJ L 295, 21.11.2018, p. 138.

**COUNCIL IMPLEMENTING DECISION (EU) 2019/2251****of 19 December 2019****on a mechanism for compensating the Member State whose national member is elected President of Eurojust**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) 2018/1727 of the European Parliament and of the Council of 14 November 2018 on the European Union Agency for Criminal Justice Cooperation (Eurojust), and replacing and repealing Council Decision 2002/187/JHA <sup>(1)</sup>, and in particular Article 12 thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) In accordance with Regulation (EU) 2018/1727 and the Rules of Procedure of Eurojust, the national member elected President of Eurojust has additional functions.
- (2) The carrying out of the functions of President of Eurojust affects the workload of the deputy and Assistant from the Member State whose national member was elected President, and the Member State concerned may choose to second another suitably qualified person to reinforce the national desk for the duration of the President's term of office.
- (3) Article 11(7) of Regulation (EU) 2018/1727 provides *inter alia* that, in those cases where another suitably qualified person is seconded, the Member State concerned is entitled to apply for compensation.
- (4) A mechanism for compensation should ensure the equality of treatment regarding the actual reimbursement of living costs and other associated expenses between a national member elected President and another suitably qualified person seconded by the Member State concerned.
- (5) Denmark is not bound by Regulation (EU) 2018/1727 and is therefore not taking part in the adoption and application of this Decision, which implements Regulation (EU) 2018/1727.
- (6) Ireland and the United Kingdom are bound by Regulation (EU) 2018/1727 and are therefore taking part in the adoption and application of this Decision, which implements Regulation (EU) 2018/1727,

HAS ADOPTED THIS DECISION:

*Article 1*

1. A Member State whose national member has been elected President of Eurojust and which has seconded another person to its national desk for this reason, and so is entitled under Article 11(7) of Regulation (EU) 2018/1727 to apply for compensation to the College of Eurojust ('the College') for that other person, shall include in its application the following information:

- (a) the decision of the Member State concerned on the secondment of that person;
- (b) the justification for the need to reinforce its national desk on grounds of an increased workload;
- (c) details on the national monthly gross salary of the seconded person;

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<sup>(1)</sup> OJ L 295, 21.11.2018, p. 138.

- (d) details on any living costs and other associated expenses provided to the seconded person under national law;
- (e) details on the account to which the compensation is to be transferred.

2. The Member State concerned shall send the application for compensation to the College within six months of the decision on the secondment of the person.

#### *Article 2*

1. The College shall decide on granting the compensation within three months from the reception of the application.
2. The Member State concerned shall be entitled to compensation for as long as its national member is President and for the corresponding period of the secondment of the person concerned.

#### *Article 3*

1. In accordance with Article 12(3) of Regulation (EU) 2018/1727, Eurojust shall reimburse the Member State concerned as follows:

- (a) 50 % of the national monthly gross salary of the seconded person; and
- (b) living costs and other associated expenses which are actually incurred by the Member State concerned with respect to the seconded person.

2. The expenses referred to in point (b) of paragraph 1 shall be reimbursed only if the seconded person is entitled under national law to any type of allowances, or of payments corresponding to expenses, which are comparable in their nature to those provided for in Annex VII to the Staff Regulations of Officials of the European Union ('Staff Regulations')<sup>(2)</sup>, such as: family allowances, expatriation allowance, reimbursement of expenses linked to taking-up duties, including installation allowance, resettlement allowance, travel expenses, removal expenses, daily subsistence allowance.

3. Eurojust shall reimburse the Member State concerned according to the conditions and financial limits applicable in that Member State. The reimbursements shall, in any case, not exceed the maximum amounts of the allowances or of the payments corresponding to expenses, as provided for in Annex VII to the Staff Regulations.

#### *Article 4*

This Decision shall enter into force on the date of its adoption.

Done at Brussels, 19 December 2019.

*For the Council*  
*The President*  
K. MIKKONEN

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<sup>(2)</sup> Regulation (EEC, Euratom, ECSC) No 259/68 of the Council of 29 February 1968 laying down the Staff Regulations of Officials and the Conditions of Employment of Other Servants of the European Communities and instituting special measures temporarily applicable to officials of the Commission (OJ L 56, 4.3.1968, p. 1).

**COMMISSION DECISION (EU) 2019/2252****of 17 December 2019****on the proposed citizens' initiative entitled 'Stop Finning — Stop the trade'***(notified under document C(2019) 9203)***(Only the English text is authentic)**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) No 211/2011 of the European Parliament and of the Council of 16 February 2011 on the citizens' initiative <sup>(1)</sup>, and in particular Article 4 thereof,

Whereas:

- (1) The subject matter of the proposed citizens' initiative entitled 'Stop Finning — Stop the trade' refers to the following: 'Although the removal of fins on board of EU vessels and in EU waters is prohibited and sharks must be landed with their fins naturally attached, the EU is among the biggest exporters of fins and a major transit hub for the global fin trade.'
- (2) The objectives of the proposed citizens' initiative refer to the following: 'EU is a major player in the exploitation of sharks and as inspections at sea are scarce fins are still illegally retained, transshipped, or landed in EU. We aim to end the trade of fins in the EU including the import, export and transit of fins other than if naturally attached to the animal's body. As finning prevents effective shark conservation measures, we request to extend Regulation (EU) No 605/2013 of the European Parliament and of the Council <sup>(2)</sup> also to the trade of fins and therefore ask the commission to develop a new regulation, extending 'fins naturally attached' to all trading of sharks and rays in the EU.'
- (3) The Treaty on European Union (TEU) reinforces citizenship of the Union and enhances further the democratic functioning of the Union by providing, inter alia, that every citizen is to have the right to participate in the democratic life of the Union by way of a European citizens' initiative.
- (4) To this end, the procedures and conditions required for the citizens' initiative should be clear, simple, user-friendly and proportionate to the nature of the citizens' initiative so as to encourage participation by citizens and to make the Union more accessible.
- (5) In relation to the subject matter of the proposed initiative, legal acts of the Union for the purpose of implementing the Treaties can be adopted:
  - for the establishment of provisions necessary for the pursuit of the objectives of the common fisheries policy, on the basis of Article 43(2) of the Treaty on the Functioning of the European Union (TFEU);
  - for defining the framework for implementing the common commercial policy, on the basis of Article 207(2) TFEU.
- (6) For these reasons, the proposed citizens' initiative does not manifestly fall outside the framework of the Commission's powers to submit a proposal for a legal act of the Union for the purpose of implementing the Treaties in accordance with Article 4(2)(b) of the Regulation.
- (7) Furthermore, the citizens' committee has been formed and the contact persons have been designated in accordance with Article 3(2) of the Regulation and the proposed citizens' initiative is neither manifestly abusive, frivolous or vexatious nor manifestly contrary to the values of the Union as set out in Article 2 TEU.
- (8) The proposed citizens' initiative entitled 'Stop Finning — Stop the trade' should therefore be registered.

<sup>(1)</sup> OJ L 65, 11.3.2011, p. 1.

<sup>(2)</sup> Regulation (EU) No 605/2013 of the European Parliament and of the Council of 12 June 2013 amending Council Regulation (EC) No 1185/2003 on the removal of fins of sharks on board vessels (OJ L 181, 29.6.2013, p. 1).

HAS ADOPTED THIS DECISION:

*Article 1*

The proposed citizens' initiative entitled 'Stop Finning — Stop the trade' is hereby registered.

*Article 2*

This Decision shall apply from 2 January 2020.

*Article 3*

This Decision is addressed to the organisers (members of the citizens' committee) of the proposed citizens' initiative entitled 'Stop Finning — Stop the trade', represented by Mr Nils KLUGER and Mr Alexander Hendrik CORNELISSEN acting as contact persons.

Done at Strasbourg, 17 December 2019.

*For the Commission*  
Věra JOUROVÁ  
*Vice-President*

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ISSN 1977-0677 (electronic edition)  
ISSN 1725-2555 (paper edition)



**Publications Office of the European Union**  
2985 Luxembourg  
LUXEMBOURG

